# A Generalized 2D and 3D Hilbert Curve

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#### **Abstract**

The two and three dimensional Hilbert curves are fractal space filling curves that map the unit interval to the unit square or unit cube. Hilbert curves preserve locality, keeping distance from the unit interval to their respective higher dimensional embeddings. Finite approximations of the Hilbert curve can be constructed in stages by stopping the recursive construction at a specified depth, providing locality from a discrete array of points to points in the embedded dimension. The locality property of the Hilbert curve can help with caching optimizations based on order and finds uses as a companion method in applications ranging from job scheduling to scene rendering. One limitation of the Hilbert curve approximation construction is that the regions need to be exact powers of two, making it difficult to work with in many real world scenarios. In this paper, we present the Gilbert curve, a conceptually straight forward generalization of the Hilbert curve, that works on arbitrary rectangular regions. The construction provides reasonable worst case run-time guarantees for random access lookups for both two and three dimensions. We also provide comparisons to other Hilbert curve generalization methods and investigate overall quality metrics of the Gilbert curve construction.

# 1. Introduction

#### 1.1. Overview

We present the *Gilbert curve*, a generalized Hilbert curve for 2D and 3D, that works on arbitrary rectangular regions.

An overview of the benefits of the Gilbert curve are that it is:

- Valid on arbitrary rectangular regions
- Equivalent to the Hilbert curve when dimensions are exact powers of 2
- Efficient at random access lookups  $(O(\lg N))$
- · A conceptually straight forward algorithm
- Able to realize curves without notches unnecessarily and limits the resulting curve to a single notch when forced
- Similar in measures of locality to the Hilbert curve

#### Further, we show:

- Measures of locality are preserved (Section X)
- Comparison metrics to other space filling curves (Section X)

#### Some drawbacks are that:

- Our implementation is recursive, which may be undesirable for some applications requiring better than  $O(\lg N)$  memory usage or better constant bounds for runtime performance
- Might not adequately capture some features of a Hilbert curve

Generalizations of the Hilbert curve to non power of two rectangular cuboid regions have been explored before but are overly complicated algorithmically, create unbalanced curves and often don't generalize well to 3D. Our realization focuses on a conceptually simple algorithm which creates pleasing resulting curves and works in both 2D and 3D.

Space filling curves are a specialization of a more general Hamiltonian path, but have a more stringent requirement of local connectivity. The local connectivity, or locality, preserves a measure of nearness, where points from the source unit line remain close in the embedded space.

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The Gilbert curve algorithm works by choosing sub rectangular cuboid regions to recursively find a connecting path. If one extent of the cuboid becomes too large or small relative to the other lengths, a special subdivision is performed to try and make further subdivisions more cube-like. Subdivisions are performed until a base case is reached and the lowest unit of the curve can be realized.

A Hamiltonian path is not always realizable for certain side lengths and endpoint constraints. In such a case, the Gilbert curve will introduce a single diagonal path move, called a *notch*.

#### 1.2. Definitions

A Gilbert curve, for a rectangular cuboid region, is a self avoiding path, restricted to the cubic lattice graph in a rectangular region, that also has locality. That is, we want to find a Hamiltonian path, with pre-specified start and end points, that hit every vertex within a cuboid rectangular region exactly once with any points close on the curve being appropriately close in the cubic lattice.

Here, the *cubic lattice graph* is a graph whose vertices are the integral points in  $\mathbb{Z}^3$ , with edges joined between them when they are unit distance away.

A discrete *path* is an array of points,  $\omega = (\omega_0, \omega_1, \dots, \omega_{N-1})$ , where each  $w_i$  is on the cubic lattice graph  $(w_i \in \mathbb{Z}^3)$ . A *self avoiding path*  $\omega$ , is one that does not visit a previously vertex  $(i \neq j \rightarrow \omega_i \neq \omega_j)$ . A *Hamiltonian path* is a valid path through a graph that visits every vertex exactly once, starting and ending at pre-specified points.

A cuboid is a rectangular region of side lengths  $(N_x,N_y,N_z)$ , of total vertices  $N=(N_x\cdot N_y\cdot N_z)$ . A cuboid region is said to have a feasible Hamiltonian path if there exists a Hamiltonian path, with endpoints specified, that hits every vertex within the cuboid region exactly once, starts at the specified start point,  $\omega_0$  and ends at the specified endpoint  $\omega_{N-1}$ . A cuboid region that cannot have a Hamiltonian path, with the specified endpoints, is said to be infeasible.

A self avoiding path of length N that is confined to the rectangular region  $(N_x, N_y, N_z)$  is necessarily a Hamiltonian path.

A discrete realization of the Hilbert curve as a path within a cuboid region has an additional *local connectivity* feature. For our purposes, *local connectivity*, or *locality*, denotes the idea that if  $\operatorname{dist}_1(i,j)$  is small, then  $\operatorname{dist}_d(w_i,w_j)$  should also be small by some measure, where  $\operatorname{dist}_d(\cdot,\cdot)$  is a distance function defined for dimension d. The condition of

a Hamiltonian path through a cuboid region doesn't address locality and the Gilbert curve has additional features to make its locality similar to the Hilbert curve. We use metrics to get a handle on local connectivity in a discrete and finite setting and leave their definition and usage until Section 5

The concept of local connectivity gives one of the key characteristics of a space filling curve and differentiates it from other curves that can fill rectangular regions but don't do so in a locally connected way <sup>1</sup>. The local connectivity, or locality, is the feature that allows features in dimension one to remain clustered in higher dimensions <sup>2</sup>.

If a cuboid region has one side larger or smaller than the maximum or minimum of the remaining two, respectively, for a given ratio,  $\rho$ , it is said to be *eccentric* or  $\rho-eccentric$ . For example is, if  $\rho=\frac{3}{2}$  and  $N_x>\frac{3}{2}\max(N_y,N_z)$ , the cuboid region is said to be eccentric.

In this paper, we talk about *shape templates* as cuboid subdivision schemes, often with some choice as to whether to make the side lengths of the subdivided regions even or odd.

#### 2. Related Work

Peano was the first to discover the first space filling curve, with Hilbert later discovering a space filling curve that we concern ourselves with in this paper [2] Hilbert's curve takes a one dimensional line and maps it to a compact, connected and locally connected set. For an introduction to space filling curves in addition to the necessary and sufficient conditions for a space filling curve, the reader is referred to Sagan [1].

As an artifact of the construction scheme, the Hilbert curve requires the side lengths to be exact powers of two.

# 3. Algorithm

#### 3.1. Overview

We discuss one possible generalization of the Hilbert curve, which we call the Gilbert curve, to arbitrary axis-aligned cuboid regions.

The design of the Gilbert curve is done to provide a:

- Conceptually simple algorithm
- · Harmonious realization
- Resulting curve with good locality conditions

The discretized Hilbert curve recursively traces out a Hamiltonian path through a square region whose sides are powers of two. The Gilbert curve extends this idea to trace out Hamiltonian paths through to arbitrary axis-aligned cuboid regions.

The Gilbert curve recursively subdivides a larger cuboid region into smaller cuboid regions of different sizes and orientations. The subdivision scheme uses a shape template that will be explained in more detail in subsequent sections. The orientations of the subdivided cuboid regions are chosen so that the recursive path will start and end at pre-specified

endpoints, connecting neighboring cuboid regions after a path is realized.

If a cuboid becomes too oblong, or *eccentric*, a subdivision shape template scheme is chosen in an attempt to create subdivisions that are closer to being cube like.

The subdivided cuboid region is processed by the Gilbert curve algorithm, with virtual origin point  $p \in \mathbb{Z}^3$  and local coordinate system  $[\alpha, \beta, \gamma]$ , with  $\alpha, \beta, \gamma \in \mathbb{Z}^3$ . Each of  $\alpha$ ,  $\beta$  and  $\gamma$  are axis aligned and orthogonal.

In a cuboid region, the the Hamiltonian path start at p and ends at  $p+\alpha$ . For this reason, we call  $\alpha$  the "width-like" dimension, with  $\beta$  and  $\gamma$  called the "height-like" dimension and "depth-like" dimension, respectively.

When processing the subdivided cuboid regions, the coordinate system is updated with new integral lengths and rotated. Since rotations are only in units of  $(\pi/2)$  radians and  $\alpha$ ,  $\beta$ ,  $\gamma$  start off as axis-aligned and orthogonal, they remain axis aligned and orthogonal throughout.

When applying the shape template to choose the subdivided cuboid regions during the coarse of the algorithm, side lengths are chosen so as to preserve the possibility of a Hamiltonian path. Should the lengths of the cuboid being subdivided preclude a Hamiltonian path from occurring, the lengths of the subdivided cuboids are chosen so that all but one will preserve the possibility of a Hamiltonian path. In this case, since only a single cuboid absorbs the impossibility of a Hamiltonian path, and this process is recursively applied, there will be a single jump, or *notch*, in the resulting curve, globally.

The next sub-section discusses parity arguments for when a valid curve can be traced out in a sub-region. We then discuss in detailed the 2D Gilbert curve algorithm and end with a discussion of the 3D Gilbert curve.

When talking about the 2D Gilbert curves, we assume vectors are in  $\mathbb{Z}^3$  so they can be used without alteration for algorithms working in 3D.

# 3.2. Valid Paths from Grid Parity

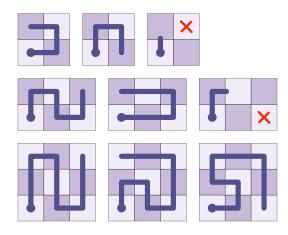


Figure 1: Illustrative examples of Hamiltonian paths height/width that are even/even, even/odd and odd/odd, respectively, when starting from the lower left hand corner

The feasibility of determining whether there exists a Hamiltonian path in a rectangular cuboid grid region can be accomplished through parity arguments. Label grid cell points in a volume as 0 or 1, alternating between labels with

<sup>&</sup>lt;sup>1</sup>For example, the *zig-zag* curve that creates a straight line until it hits the bounds of the region to turn around and increment in the other dimensional lengths to fill a rectangular region.

 $<sup>^2\</sup>mathrm{We}$  refer the reader to Sagan [1] for a more thorough introduction to local connectivity.

every axis-aligned single step move. Any Hamiltonian path that ends at one of the three remaining corners has to have the same parity as the starting point if the volume is odd, or different parity if the volume is even.

Path Possible		Volume	
		even	odd
$ \alpha $	even	Yes	Yes
	odd	No	Yes

#### Table 1

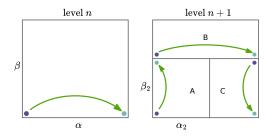
Table showing when a Hamiltonian path is possible. Here,  $|\alpha|$  is the absolute difference in start and end position of the path which coincides with one of the axis aligned side length of the cuboid volume. A Hamiltonian path is possible only when  $|\alpha|$  even of both  $|\alpha|$  and the volume are odd.

For a path starting at (0,0,0) and ending  $|\alpha|$  steps in one of the axis-aligned dimensions, then Table 1 enumerates this condition under which a valid path is possible. Figure 1 illustrates this for starting position (0,0) with volumes  $(2\times 2), (3\times 2)$  and  $(3\times 3)$ , where a red cross indicating a precluded endpoint.

Without loss of generality, we will assume a curve starts from position  $p_s=(0,0,0)$  and has proposed endpoint at  $p_e=((w-1),0,0)$ , with a cuboid region as  $\alpha=(w,0,0),\beta=(0,h,0),\gamma=(0,0,d)$ . We state, without proof, that a Hamiltonian path is always possible from  $p_s$  to  $p_e$  when  $|\alpha|$  is even or when  $|\alpha|$ ,  $|\beta|$  and  $|\gamma|$  are all odd  $(|\alpha|\cdot(1-|\beta|\cdot|\gamma|)\equiv 0 \bmod 2)$ .

With this condition, any cuboid subdivision will always have a Hamiltonian path within it and we can recreate a Hamiltonian path in the larger cuboid by connecting endpoints from the ending point of one cuboid to the starting point of the succeeding cuboid. For cuboids that violate this condition, there will be a required notch and an feasible cuboid subdivision is possible for all but one of the cuboid subdivisions, recursively limiting the notch violation to a single point.

# 3.3. 2D Generalized Hilbert Function (Gilbert2D)



**Figure 2:** For the 2D Gilbert curve, the main rectangle is subdivided into three sub regions, making sure their paths connect and preferring even side lengths for the first rectangular subdivision. Partitioning the rectangle in this way is what we call a U-split.

For the 2D Gilbert curve, a U-split template is used, highlighted in Figure 2. The U-split breaks the region into three sub-blocks, labeled A,B and C. Each of the A,B and C regions have local coordinate systems that are resized, rotated and new endpoints chosen so the process can be recursively re-applied.

The sides of the subdivided blocks A,B and C are chosen to remain integral. The width-like dimension for subdivided block A is chosen to be even ( $\beta_2$  in Figure 2) by dividing the height-like dimension of the original region ( $\beta$  in Figure 2) by two and by adding one if need be. Since the width-like length of the subdivided A and C block is even ( $\beta_2$ ), there is a guaranteed valid Hamiltonian path in both.

Should the original width-like length be even ( $\alpha$  in Figure 2), the C block will continue to have a valid Hamiltonian path. If the original width-like dimension is odd, a Hamiltonian path is not possible and there is a forced notch that will appear in the subdivided block C.

Figure 3 gives examples of the different parity conditions for the original area, as well as the different conditions when the A and C subdivided areas are coerced into having even parity. Figure 3 also shows when a Hamiltonian path is not possible, indicated by a red cross, and illustrates how the notch is pushed into the C block under these conditions.

If a subdivided block becomes too long in its width-like dimension, the block is divided in half and the recursion proceeds as normal. Even sides are only enforced if the length is larger than 2, with a length 2 or smaller representing the base case. The base case enumerates paths in the axis direction of length greater than one.

Since A and C are chosen to have an even width-like local axis length, no new notches are introduced and any obligatory notch is effectively "pushed" into the C block.

Algorithm 1 shows the pseudo-code for computing the 2D Gilbert curve. Note that  $\alpha$  and  $\beta$  are taken to be vectors in 3D, where the third dimension can be ignored if a purely 2D curve is desired. The generalization to 3D allows the Gilbert2D function to be used unaltered when the 3D Gilbert curve needs to trace out in-plane sub-curves.

The  $\delta(\cdot)$  function returns one of the six directional vectors indicating which of the major signed axis aligned directions the input vector points to  $((\pm 1,0,0),(0,\pm 1,0),(0,0,\pm 1))$ . For completeness, the function is defined in Procedure 3.

Algorithm 1 assumes standard Euclidean two norm ( $|v| = \sqrt{v_0^2 + v_1^2 + v_2^2}$ ) and abuses notation by allowing scalar to vector multiplication ( $i \in \mathbb{Z}, v \in \mathbb{Z}^3, i \cdot v \to [i \cdot v_0, i \cdot v_1, i \cdot v_2]$ ). where the context is clear.

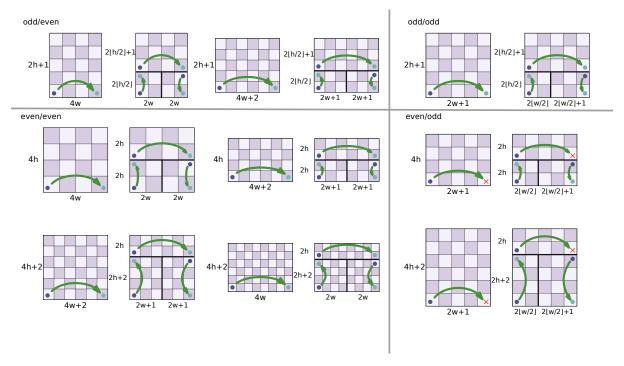
Example outputs of the Gilbert2D function are listed in Figure 4, showing realizations for dimensions  $(8\times 8), (18\times 6), (13\times 8)$  and  $(14\times 14).$  The  $(8\times 8)$  (i) in Figure 4) Gilbert curve is identical to the Hilbert curve of side length 8. The  $(18\times 6)$  (ii) curve highlights a horizontal split when the  $\alpha$ , or width-like, dimension becomes  $\frac{3}{2}$  larger than the  $\beta$ , or height-like, dimension. For the  $(13\times 8)$  (iii) Gilbert curve, a Hamiltonian path is not possible and the algorithm inserts a notch in a best effort approach to realize the curve.

# 3.4. 3D Generalized Hilbert Function (Gilbert3D)

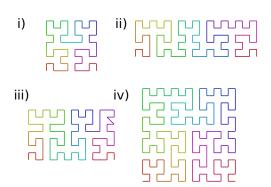
The concept of a U-split is extended to 3D by constructing a J-split shape templates. An exploded view of the  $J_0$  shape template is shown in Figure 5.

As discussed in section 3.1, we consider  $p \in \mathbb{Z}^3$  to be the local origin point in the cuboid with  $\alpha, \beta, \gamma \in \mathbb{Z}^3$  to be the local basis whose lengths encode the size of the cuboid. The generalized 3D Hilbert algorithm (*Gilbert3D*) is shown in Algorithm 2.

Side lengths of the subdivided cuboids are chosen to try and not preclude a Hamiltonian path, if possible. If a path



**Figure 3:** Enumeration of the subdivision template depending on different parities of  $\alpha$  and  $\beta$  dimensions.

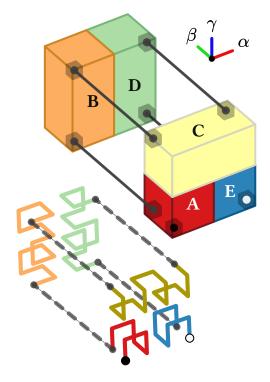


**Figure 4:** Example outputs of the 2D Gilbert curve algorithm for width and height of i)  $8\times 8$  ii)  $18\times 6$  iii)  $13\times 8$  and iv)  $14\times 14$ . The  $8\times 8$  Gilbert curve (i) is identical to the Hilbert curve of length 8. For the 13x8 curve (iii), there is no Hamiltonian path possible from (0,0) to (12,0) resulting in the Gilbert curve algorithm inserting a notch in the upper right hand corner.

starts at the local (0,0,0), a Hamiltonian path that ends at ((w-1),0,0) is only possible when w is even or when all lengths are odd, including w. That is, only when  $(|\alpha|\cdot(1-|\beta|\cdot|\gamma|)\equiv 0 \bmod 2)$  will a Hamiltonian path be possible through the cuboid region.

Should a Hamiltonian path not be possible, all but one sub-cuboid regions are chosen to allow a Hamiltonian path. The sub-cuboid where a Hamiltonian path is not possible will be further subdivided as necessary, recursively ensuring that the infeasible Hamiltonian path region will eventually be resolved at a single point.

When considering a cuboid region to trace out a Gilbert curve on, there are conceptually three main guides:



**Figure 5:** The  $J_0$ -split subdivision, representing the main subdivision of the bulk recursion for the 3D Gilbert curve case.

- Bulk Recursion Case: The cuboid region defined by  $\alpha,\beta,\gamma$  is sufficiently cube-like
- Eccentric Case: One of  $|\alpha|, |\beta|, |\gamma|$  are significantly larger or smaller than the other two dimension
- Base Case:

```
\#\,p,\alpha,\beta\in\mathbb{Z}^3
function GILBERT2D(p, \alpha, \beta)
     \alpha_2, \beta_2 = \operatorname{div}(\alpha, 2), \operatorname{div}(\beta, 2)
     if (|\beta| \equiv 1) then
           yield p+i\cdot\delta(\alpha) forall i\in |\alpha|
      else if (|\alpha| \equiv 1) then
           yield p + i \cdot \delta(\alpha) forall i \in |\beta|
     else if (2|\alpha| > 3|\beta|) then
           if (|\alpha_2| > 2) and (|\alpha_2| \mod 2 \equiv 1) then
                 \alpha_2 \leftarrow \alpha_2 + \delta(\alpha)
           yield Gilbert2D(p,
                                     \alpha_2, \beta
           yield Gilbert2D(p + \alpha_2,
                                    \alpha - \alpha_2, \beta
      else
           if (|\beta_2| > 2) and (|\beta_2| \mod 2 \equiv 1) then
                 \beta_2 \leftarrow \beta_2 + \delta(\beta)
           end if
           yield Gilbert2D(p,
                                     \beta_2, \alpha_2
           yield Gilbert2D(p + \beta_2,
                                     \alpha, (\beta - \beta_2)
           yield Gilbert2D(p + \alpha - \delta(\alpha) + \beta_2 - \delta(\beta),
                                     \beta_2, -(\alpha - \alpha_2)
      end if
end function
```

One of  $|\alpha|$ ,  $|\beta|$ ,  $|\gamma|$  are length 1 or all are length 2

For the *bulk recursion case*, there are three main shape templates,  $J_0$ ,  $J_1$  and  $J_2$ . An atlas of which J-split to choose, what parity each of the subdivided cuboid regions and how to connect each of the subdivisions is shown in Figure 6. Figure 6 also enumerates the local coordinate system for each of the sub-cuboid regions, displayed under each of the exploded sub-cuboid region volumes. When a Hamiltonian path is infeasible, a red cross is shown on the cuboid region where the notch will be directed to. Pseudo-code for each of the J-split functions is provided in Appendix B.3

For many of the subdivisions, there is some choice of parity for non-constrained sides. For example, if all sides start out even (parity 0), the  $J_0$  template would be used, constraining  $\beta_2$  to be even but otherwise allowing  $\gamma_2$  and  $\beta_2$  to be chosen as even or odd parity.

For conceptual simplicity,  $|\beta_2|$  and  $|\gamma_2|$  are preferred even. Should  $|\alpha|$  be even,  $|\alpha_2|$  is preferred even. Otherwise, in the case  $|\alpha|$  odd,  $|\alpha_2|$  is preferred odd.

When  $|\alpha|$ ,  $|\beta|$  or  $|\gamma|$  become smaller than 2, coercing the respective sub-cuboid side lengths to be odd or even is not done, as the sub-cuboid region can be safely reduced to its lower dimensional base case.

#### Algorithm 2 3D Generalized Hilbert Function (Gilbert3D)

```
\#\,p,\alpha,\beta,\gamma\in\mathbb{Z}^3
function GILBERT3D(p, \alpha, \beta, \gamma)
      # Parity of dimensions
      \alpha_0 \leftarrow (|\alpha| \bmod 2)
      \beta_0 \leftarrow (|\beta| \bmod 2)
      \gamma_0 \leftarrow (|\gamma| \bmod 2)
      # Base cases
      if ((|\alpha| \equiv 2) \text{ and } (|\beta| \equiv 2) \text{ and } (|\gamma| \equiv 2))
            return Hilbert3D(p,\alpha,\beta,\gamma)
      return Gilbert2D(p,\beta,\gamma) if (|\alpha| \equiv 1)
      return Gilbert2D(p,\alpha,\gamma) if (|\beta| \equiv 1)
      return Gilbert2D(p,\alpha,\beta) if (|\gamma| \equiv 1)
      # Eccentric cases
      if (3|\alpha| > 5|\beta|) and (3|\alpha| > 5|\gamma|))
            return S_0(p,\alpha,\beta,\gamma)
      if (2|\beta| > 3|\gamma|) or (2|\beta| > 3|\alpha|))
            return S_2(p,\alpha,\beta,\gamma)
      if (2|\gamma| > 3|\beta|)
            return S_1(p,\alpha,\beta,\gamma)
      # Bulk recursion
      return J_0(p,\alpha,\beta,\gamma) if (\gamma_0 \equiv 0)
      return J_1(p,\alpha,\beta,\gamma) if (\alpha_0 \equiv 0)or(\beta_0 \equiv 0)
      return J_2(p,\alpha,\beta,\gamma)
end function
```

The *base case* reduces to *Gilbert2D* if at least one of the dimensions is 1 or a straight forward call to a Hilbert curve procedure in the case all dimensions have length 2 (*Hilbert2x2x2* in Appendix B.1).

In the *eccentric case*, where a cuboid region is too lopsided or oblong, an S-split is used to try to shape sub-cuboid regions to be more cube-like. Figure 7 shows the three shape templates,  $S_0$ ,  $S_1$ ,  $S_2$ , along with the connecting points, for the different conditions when one axis length is significantly different from the others. Pseudo-code is provided for each of the S-split functions in Appendix B.2.

When  $|\alpha| > \frac{5}{3} \max(|\beta|, |\gamma|)$ , the  $S_0$  template is used to partition the cuboid the  $\alpha$  axis. In the other cases, when  $|\gamma| > \frac{3}{2} \max(|\alpha|, |\beta|)$  or  $|\beta| < \frac{2}{3} \min(|\alpha|, |\gamma|)$  the  $S_1$  template is used and the  $S_2$  template is used when  $|\alpha| < \frac{2}{3} \min(|\beta|, |\gamma|)$ ,  $|\gamma| < \frac{2}{3} \min(|\alpha|, |\beta|)$  or  $|\beta| > \frac{3}{2} \max(|\alpha|, |\gamma|)$ .

Figure 8 shows curated example curves for dimensions. Example i shows a Gilbert curve of  $(4\times4\times4)$ , reducing to a Hilbert curve of the same dimension. Examples ii, iii and iv show Gilbert curves of  $(4\times4\times5)$ ,  $(5\times5\times5)$  and  $(6\times6\times6)$  showing a  $J_1$ ,  $J_2$  and  $J_0$  split, respectively. An  $S_0$ ,  $S_2$  and  $S_1$  split are showing in the v, vi and vii Gilbert curves of lengths  $(8\times4\times4)$ ,  $(3\times5\times3)$  and  $(3\times3\times5)$  respectively. A Gilbert curve with an unavoidable notch is shown in viii, where the side lengths  $(5\times4\times4)$  preclude a Hamiltonian path from (0,0,0) to (4,0,0).

The constants used to determine eccentricity are chosen by considering the *average defect* of the subdivided cuboid volumes. We use the term *defect* to mean the ratio of volume of a cuboid region to the cube of its smallest length. That is:

$$\operatorname{defect}(|\alpha|, |\beta|, |\gamma|) \stackrel{\text{def}}{=} \frac{|\alpha| \cdot |\beta| \cdot |\gamma|}{\min(|\alpha|, |\beta|, |\gamma|)}$$

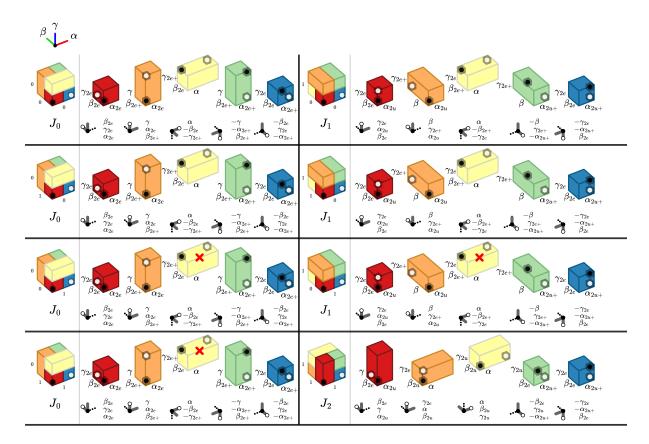


Figure 6: Bulk recursion J-split atlas for the 3D Gilbert algorithm. A subscript of 2e is used to denote a preference to coerce the length even where as the subscript 2u is used to denote a preference to coerce the length odd. The subscript 2e+ is the remainder of the axis after removing the 2e portion (e.g.  $\alpha_{2e+}=\alpha-\alpha_{2e}$ ). The subscript 2u+ is the remainder of the axis after removing the 2u portion (e.g.  $\beta_{2u+}=\beta-\beta_{2u}$ ). The parity of the original cuboid volume are shown in the left most, assembled, volume, for each of the rows. The local axis for each of the subdivided cuboid regions is shown underneath them, with a white dot denoting the "width-like" axis, a solid line denoting the "height-like" dimension and a dotted line denoting the "depth-like" dimension. For each of the cuboids, the block dot denotes the start of the path and the white dot denotes the path end. A red cross is used to show when a Hamiltonian path is not possible within a cuboid volume.

For a cube, the defect will be 1, with an increasing defect the larger a side length becomes relative to the other two.

The average defect is defined to be the sum of the individual sub-cuboid defect, weighted by each sub-cuboids proportional volume.

The concept of defect is ad hoc and has potential problems<sup>3</sup>. Regardless, with this ad hoc definition, we can justify constants used for the eccentric cases by showing a defect reduction, relative to the bulk recursion case.

For the  $S_0$  template, the two subdivided cuboids are chosen to be roughly half  $|\alpha|$ . For the other templates making the obvious choice of subdividing the "height-like" axis by half does not lead to a defect reduction. That is, choosing a roughly half subdivision of  $|\gamma|$  for  $S_1$  or a roughly half subdivision of  $|\beta|$  for  $S_2$ , does not always yield a defect reduction.

A constant of  $\frac{1}{3}$  is chosen to split the "height-like" dimensions for the  $S_0$  and  $S_1$  case, while the other split is chosen at the halfway mark. The calculations showing that the constants chosen yield a defect reduction in each of the

three eccentric S-splits is provided in Appendix A.1.

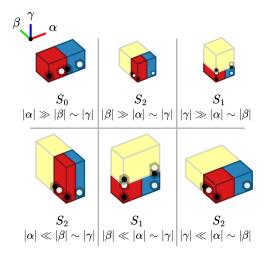
### 3.5. Extensions d2xyz and xyz2d

To provide an index to point lookup or to convert a point to an index along the curve, Algorithm 1 and Algorithm 2 can be modified. Since the recursion is on cuboid regions, we can adjust an index or point easily by skipping over the appropriate number of cells.

A function to convert from an index along the Gilbert curve to a point in space is provided as  $Gilbert2D\_d2xyz$  in Appendix 10. A destination and current index is added to the function call, where the destination index is tested against the current updated index to test whether it should fall into the recursion. Otherwise, cuboid regions are skipped without processing, providing an  $O(\log N)$  worst case run time

A similar function to convert from a point in space to an index along the curve is called  $Gilbert2D\_xyz2d$  and is provided in Appendix 11.  $Gilbert2D\_xyz2d$  functions similarly to  $Gilbert2D\_d2xyz$  but instead uses a current test point, q, to test whether the recursion is within the cuboid bounds and, if so, recurs. As with the  $Gilbert2D\_d2xyz$ ,  $Gilbert2D\_xyz2d$  provides a worst case execution of  $O(\log N)$  for point to index lookups.

 $<sup>^3{\</sup>rm For}$  example, if one dimension is of length 1, the denominator will always be 1. Further, under this definition, the  $J{\rm -split}$  will lead to an increase defect even though another recursion level for a  $J{\rm -split}$  on the eccentric cuboids would lead to a near equal defect, indicating a recursive definition might be better.



**Figure 7:** Eccentric cases for recursion. When the cuboid is too far from being cube-like, an S-split shape template is used to try and subdivide the cuboids into elements that are more cube like. Here  $\alpha$ ,  $\beta$ ,  $\gamma$  are the width-like, height-like and depth-like dimensions. See the text or the algorithm description for the ratios used to determine eccentricity.

For the sake of brevity, the xyz2d and d2xyz functions are not provided for 3D but the reader is referred to the reference implementation for details  $^4$ .

#### 3.6. Adaptive Extensions

Applications that require a strict Hamiltonian path without a notch point, but are unconstrained in endpoint location, can choose endpoints on the even length dimension so that a Gilbert curve with a Hamiltonian path realization is possible. Other options can include increasing one or more length dimensions with padding to make the major width-like axis  $(\alpha)$  even or by forcing all length dimensions to be odd.

#### 3.7. Corner Cases, Pathological Cases

For the special case when dimensions are of the form ((2k+1),2), for  $k\in\mathbb{N}$ , the Gilbert curve realization will not have the ending point of the path at the appropriate location. For the ((2k+1),2) case, no Hamiltonian path is possible from (0,0) to (2k,0) but as an artifact of the algorithm, a "hook" is formed, causing the last two points to be in different locations. See Figure ?? for an example configuration.

The hook formed for ((2k+1),2) configurations can be removed for a diagonal move by swapping the last two endpoints. For the sake of clarity and brevity, we leave the algorithm as is, without adding extra complication for this special case.

#### 4. Experiments and Results

#### 5. Conclusion

The Gilbert2D and Gilbert3D provide a generalization of the Hilbert curve to arbitrary rectangular regions in to two and three dimensions, respectively. Previous work had side length restrictions, involved complicated algorithms or lacked easily accessible reference implementations.

Our implementation is conceptually simple, allowing for ease of implementation. We also provide a libre/free reference implementation which can be downloaded from its repository <sup>5</sup>

The 2D and 3D Gilbert curve has similar locality metrics to the Hilbert curve, and provides adequate run-time and memory performance  $(O(\lg N))$  for enumeration and random lookup. When a strict Hamiltonian path is not possible within the desired cuboid volume, the Gilbert curve provides a "best-effort" curve, restricting the inadmissible region to a single notch point.

Our recursive implementation provides a proof-ofconcept for the method. Further Attempts at memory reductions and constant run-time execution speed optimizations are not pursued in this paper. There is also a possibility that the shape template subdivision scheme could be extended to higher dimensions but this idea is not pursued in this paper.

#### References

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 $<sup>^4</sup>https://github.com/jakubcerveny/gilbert\\$ 

<sup>&</sup>lt;sup>5</sup> https://github.com/jakubcerveny/gilbert.

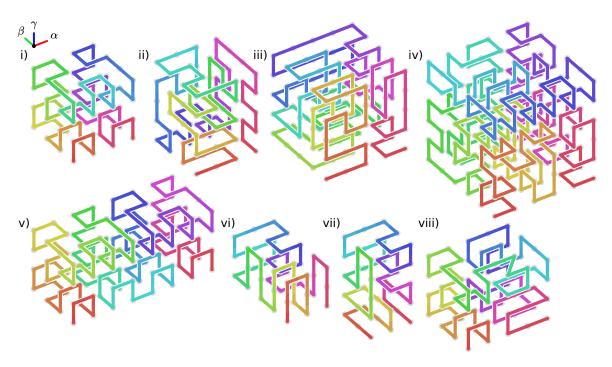


Figure 8: Examples of 3D Gilbert curves highlighting different aspects of the algorithm. i)  $(4 \times 4 \times 4)$ , equivalent to the Hilbert curve of the same side lengths. ii)  $(4 \times 4 \times 5)$  show a  $J_1$  split subdivision scheme. iii)  $(5 \times 5 \times 5)$ , shows a  $J_2$  split subdivision scheme. iv)  $(6 \times 6 \times 6)$ , shows a  $J_0$  subdivision scheme. v)  $(8 \times 4 \times 4)$ , shows an  $S_0$  subdivision scheme, splitting on the  $\alpha$  dimension. vi)  $(3 \times 5 \times 3)$ , shows an  $S_2$  subdivision scheme. vii)  $(3 \times 3 \times 5)$ , shows an  $S_1$  subdivision scheme. viii)  $(5 \times 4 \times 4)$ , shows an example of a configuration where a diagonal move (notch) is formed as there's no Hamiltonian path possible for the given endpoints.

# A. Appendix

#### A.1. Defect

Call the *defect* a function  $\lambda_d : \mathbb{N}^d \mapsto \mathbb{N}$ :

$$\lambda_2(w,h) = \frac{w \cdot h}{\min(w,h)^2}$$
$$\lambda_3(w,h,d) = \frac{w \cdot h \cdot d}{\min(w,h,d)^2}$$

If there is a disjoint subdivision of a volume  $V_0$  to  $V_1 = (V_{0,0}, V_{0,1}, \dots, V_{0,m-1})$ ,  $V_0 = \bigcup_k V_{0,k}$ , define the *average defect* of the subdivided volume to be:

$$\lambda_s(V_1) = \sum_k rac{\operatorname{Vol}(V_{0,k})}{\operatorname{Vol}(V_0)} \cdot \lambda(V_{0,k})$$

This weights the defect of each subdivided cuboid by its proportional volume.

The defect gives us a coarse idea of how lopsided or *eccentric* a cuboid region is. If the defect is too high, we might want to split the larger sides while keeping the smaller sides the same size.

### A.2. Eccentric Split Threshold

Calculations in this section will justify what threshold value to pick of when to choose an eccentric split over a J-split. Our concern is to find a simple ratio of when each of w,h or d are considered "small enough" or "large enough", relative to the other dimensions, to split on.

An enumeration of what conditions lead to an eccentric split are as follows:

$$w >> h \sim d(1)$$
  
 $h >> w \sim d(2)$   
 $d >> w \sim h(3)$   
 $h << w \sim d(4)$   
 $w << h \sim d(5)$   
 $d << w \sim h(6)$ 

A representation of the eccentric splits are enumerated in figure ??. The eccentric split differs from the J-split as it's only splitting in one or two dimensions, not the full three that the J-split would be doing.

For each of the six cases, we want to know what relative difference in sizes should be used to determine when an eccentric split is used and how to subdivide the cuboids so as to make progress.

Specifically, we want to find the ratio,  $\sigma$  or  $\eta$ , of when one dimension is proportionally larger or smaller, respectively, than the others and the ratio,  $\rho$ , of where to choose the split point of subdivision. For simplicity, we might want to subdivide at the half way point ( $\rho=\frac{1}{2}$ ) but as we will see, this might give lopsided sub-cuboid regions and using a better split point is desirable.

In what follows, our goal is to choose a subdivision that will reduce the average defect. We assume that the start and end of the path lie in the w dimension with the local start point at (0,0,0) and endpoint at (w-1,0,0).

Because we want to avoid adding unnecessary notches, we are forced split in the w axis. We commit to always splitting the w axis in two. If we want to subdivide into three cuboid regions, we also need to pick the split point in the other dimension another dimension

**A.3.** 
$$w >> h \sim d$$

Take  $w=\sigma s$  and h=d=s. We commit to splitting the width dimension in half, subdividing the original volume into two equal volumes.

The defect of the original volume is  $\lambda(w, h, d) = \sigma$ .

If w>2h=2s, then  $\min(\frac{w}{2},h,d)=s$  and we have an average defect  $\lambda_s(V_1)=\frac{\sigma}{2}$ . Intuitively, this is validation that if the width is more than twice the length of the depth and height, we make progress if we split the width in half and recursively process each sub-cuboid.

If w < 2h but  $\min(\frac{w}{2}, h, d) = \frac{w}{2}$ , the average defect  $\lambda_s(V_1) = 2(\frac{1}{2})\frac{\frac{\sigma}{2} \cdot s^3}{(\frac{\sigma}{2} s)^3} = \frac{4}{\sigma^2}$ . We want to reduce the average defect relative to the original defect, so:

$$\lambda_s(V_1) < \lambda(V_0)$$

$$\rightarrow \frac{4}{\sigma^2} < \sigma$$

$$\rightarrow \sigma > 4^{\frac{1}{3}}$$

$$\rightarrow \frac{5}{3} > \sigma > 4^{\frac{1}{3}} = 1.58740 \dots$$

We've chosen the constant  $\frac{5}{3}$  as a simple fraction above  $4^{\frac{1}{3}}$  to know when to split w.

For this case,  $\sigma = \frac{5}{3}$  and  $\rho = \frac{1}{2}$ . That is, we split the w axis by half when the width axis exceed  $\frac{5}{3}$  of both the depth, d, and height, h, dimension.

**A.4.** 
$$h >> w \sim d$$

# B. Auxiliary Algorithms and Procedures

# **B.1. Auxiliary Functions**

```
Auxiliary Functions 3
```

```
# integral sign function
function sgn(w \in \mathbb{Z})
      if (w < 0) return -1
      if (w > 0) return 1
      return 0
end function
\# vector integer round down divide
function \operatorname{DIV}(v \in \mathbb{Z}^3, q \in \mathbb{Z})
     u_0 = \operatorname{sgn}(v_0) \lfloor |\frac{v_0}{q}| \rfloor
u_1 = \operatorname{sgn}(v_1) \lfloor |\frac{v_1}{q}| \rfloor
u_2 = \operatorname{sgn}(v_2) \lfloor |\frac{v_2}{q}| \rfloor
      return (u_0, u_1, \overset{\mathsf{q}}{u}_2)
end function
# directional vector
function \delta(v \in \mathbb{Z}^3)
      return (\operatorname{sgn}(v_0), \operatorname{sgn}(v_1), \operatorname{sgn}(v_2))
end function
# Test if q in directional volume with origin p
function inBounds(q, p, \alpha, \beta, \gamma \in \mathbb{Z}^3)
      v \leftarrow \alpha + \beta + \gamma
      \mathbf{for}\ i \leftarrow 0\ \mathbf{to}\ 2\ \mathbf{do}
            return false if (\operatorname{sgn}(v_i) \cdot (q_i - p_i) < 0)
            return false if (\operatorname{sgn}(v_i) \cdot (q_i - p_i - v_i) \ge 0)
      end for
      return true
end function
# base case
function Hilbert2x2x2(p, \alpha, \beta, \gamma)
      yield p
      yield p + \delta(\beta)
      yield p + \delta(\beta) + \delta(\gamma)
      yield p + \delta(\gamma)
      yield p + \delta(\alpha) + \delta(\gamma)
      yield p + \delta(\alpha) + \delta(\beta) + \delta(\gamma)
      yield p + \delta(\alpha) + \delta(\beta)
      yield p + \delta(\alpha)
end function
```

## **B.2. Gilbert3D S-Split Functions**

# **Procedure 4** $S_0$ -Split function (eccentric split)

#### **Procedure 5** $S_1$ -Split function (eccentric split)

```
# split \frac{1}{3} on \gamma and halfway on \alpha
function S_1(p, \alpha, \beta, \gamma)
      \alpha_2, \gamma_3 \leftarrow \text{div}(\alpha, 2), \text{div}(\gamma, 3)
      if (|\alpha| > 2) and ((|\alpha_2| \mod 2) \equiv 1) then
           \alpha_2 \leftarrow \alpha_2 + \delta(\alpha)
      end if
      if (|\gamma| > 2) and ((|\gamma_3| \bmod 2) \equiv 1) then
            \gamma_3 \leftarrow \gamma_3 + \delta(\gamma)
      end if
      yield Gilbert3D(p,
                                 \gamma_3, \alpha_2, \beta
      yield Gilbert3D(p + \gamma_3,
                                 \alpha, \beta, (\gamma - \gamma_3)
      yield Gilbert3D(p + \alpha - \delta(\alpha) + \gamma_3 - \delta(\gamma),
                                 \gamma_3, (\alpha - \alpha_2), \beta)
end function
```

#### **Procedure 6** $S_2$ -Split function (eccentric split)

```
# split \frac{1}{3} on \beta and halfway on \alpha
function S_2(p, \alpha, \beta, \gamma)
      \alpha_2, \beta_3 \leftarrow \operatorname{div}(\alpha, 2), \operatorname{div}(\beta, 3)
      if (|\alpha| > 2) and ((|\alpha_2| \mod 2) \equiv 1) then
           \alpha_2 \leftarrow \alpha_2 + \delta(\alpha)
      end if
      if (|\beta| > 2) and ((|\beta_3| \mod 2) \equiv 1) then
            \beta_3 \leftarrow \beta_3 + \delta(\beta)
      end if
      yield Gilbert3D(p,
                                 \beta_3, \gamma, \alpha_2)
      yield Gilbert3D(p + \beta_3,
                                 \alpha, (\beta - \beta_3), \gamma)
      yield Gilbert3D(p + \alpha - \delta(\alpha) + \beta_3 - \delta(\beta),
                                 -\beta_3, \gamma, -\alpha
end function
```

## **B.3.** Gilbert3D J-Split Functions

#### **Procedure 7** $J_0$ -Split function

# 
$$|\gamma|$$
 even function  $J_0(p, \alpha, \beta, \gamma)$ 
 $\alpha_2, \beta_2, \gamma_2 \leftarrow \operatorname{div}(\alpha, 2), \operatorname{div}(\beta, 2), \operatorname{div}(\gamma, 2)$ 

# prefer initial block even  $\alpha_2 = \alpha_2 + \delta(\alpha)$  if  $(|\alpha| > 2)$  and  $(|\alpha_2| \mod 2 \equiv 1)$   $\beta_2 = \beta_2 + \delta(\beta)$  if  $(|\beta| > 2)$  and  $(|\beta_2| \mod 2 \equiv 1)$   $\gamma_2 = \gamma_2 + \delta(\gamma)$  if  $(|\gamma| > 2)$  and  $(|\gamma_2| \mod 2 \equiv 1)$  yield Gilbert3D( $p$ ,  $\beta_2, \gamma_2, \alpha_2$ )

yield Gilbert3D( $p + \beta_2, \gamma_2, \alpha_2$ )

yield Gilbert3D( $p + \beta_2 - \delta(\beta) + \gamma - \delta(\gamma), \alpha, -\beta_2, -(\gamma - \gamma_2)$ )

yield Gilbert3D( $p + \alpha - \delta(\alpha) + \beta_2 + \gamma - \delta(\gamma), -\gamma, -(\alpha - \alpha_2), (\beta - \beta_2)$ )

yield Gilbert3D( $p + \alpha - \delta(\alpha) + \beta_2 - \delta(\beta), -\beta_2, \gamma_2, -(\alpha - \alpha_2)$ )

#### **Procedure 8** $J_1$ -Split function

end function

$$\label{eq:continuity} \begin{split} \# \left| \gamma \right| \ odd, \ one \ of \left| \alpha \right| \ or \left| \beta \right| \ even \\ \mathbf{function} \ J_1(p,\alpha,\beta,\gamma) \\ \\ \alpha_2,\beta_2,\gamma_2 \leftarrow \operatorname{div}(\alpha,2), \operatorname{div}(\beta,2), \operatorname{div}(\gamma,2) \\ \# \ prefer \ \beta_2,\gamma_2 \ even \ but \ force \ \alpha_2 \ odd \\ \alpha_2 = \alpha_2 + \delta(\alpha) \ \mathbf{if} \ (|\alpha| > 2) \mathrm{and}(|\alpha_2| \ \mathrm{mod} \ 2 \equiv 0) \\ \beta_2 = \beta_2 + \delta(\beta) \ \mathbf{if} \ (|\beta| > 2) \mathrm{and}(|\beta_2| \ \mathrm{mod} \ 2 \equiv 1) \\ \gamma_2 = \gamma_2 + \delta(\gamma) \ \mathbf{if} \ (|\gamma| > 2) \mathrm{and}(|\gamma_2| \ \mathrm{mod} \ 2 \equiv 1) \\ \mathbf{yield} \ \mathrm{Gilbert3D}(p, \\ \gamma_2,\alpha_2,\beta_2) \\ \\ \mathbf{yield} \ \mathrm{Gilbert3D}(p+\gamma_2, \\ \beta,\gamma-\gamma_2,\alpha_2) \\ \\ \mathbf{yield} \ \mathrm{Gilbert3D}(p+\gamma_2-\delta(\gamma)+\beta-\delta(\beta), \\ \alpha,-(\beta-\beta_2),-\gamma_2) \end{split}$$

# **yield** Gilbert3D( $p + \alpha - \delta(\alpha) + \gamma_2 - \delta(\gamma)$ , $-\gamma_2, -(\alpha-\alpha_2), \beta_2)$

yield Gilbert3D $(p + \alpha - \delta(\alpha) + \beta - \delta(\beta) + \gamma_2$ ,  $\beta, \gamma - \gamma_2, -(\alpha - \alpha_2)$ 

end function

#### **Procedure 9** $J_2$ -Split function

rocedure 9 
$$J_2$$
-Split function

#  $|\alpha|, |\beta|, |\gamma|$  odd

function  $J_2(p, \alpha, \beta, \gamma)$ 
 $\alpha_2, \beta_2, \gamma_2 \leftarrow \operatorname{div}(\alpha, 2), \operatorname{div}(\beta, 2), \operatorname{div}(\gamma, 2)$ 

#  $\operatorname{prefer} \beta_2, \gamma_2$  even but force  $\alpha_2$  odd

 $\alpha_2 = \alpha_2 + \delta(\alpha)$  if  $(|\alpha| > 2)$ and $(|\alpha_2| \mod 2 \equiv 0)$ 
 $\beta_2 = \beta_2 + \delta(\beta)$  if  $(|\beta| > 2)$ and $(|\beta_2| \mod 2 \equiv 1)$ 
 $\gamma_2 = \gamma_2 + \delta(\gamma)$  if  $(|\gamma| > 2)$ and $(|\gamma_2| \mod 2 \equiv 1)$ 

yield Gilbert3D $(p, \beta_2, \gamma, \alpha_2)$ 

yield Gilbert3D $(p + \beta_2, \gamma_2, \alpha, (\beta - \beta_2))$ 

yield Gilbert3D $(p + \beta_2 + \gamma_2, \alpha, (\beta - \beta_2), (\gamma - \gamma_2))$ 

yield Gilbert3D $(p + \alpha - \delta(\alpha) + \beta_2 - \delta(\beta) + \gamma_2, -\beta_2, (\gamma - \gamma_2), -(\alpha - \delta(\alpha)))$ 

**yield** Gilbert3D( $p + \alpha - \delta(\alpha) + \gamma_2 - \delta(\gamma)$ ,

end function

 $-\gamma_2, -(\alpha-\alpha_2), \beta_2$ 

#### **B.4. Gilbert2D Lookup Functions**

Algorithm 10 2D Generalized Hilbert Index to Position Lookup Function (Gilbert2D\_d2xyz)

```
# d_{dst}, d_{cur} \in \mathbb{Z}, p, \alpha, \beta \in \mathbb{Z}^3
function Gilbert2D_d2xyz(d_{dst}, d_{cur}, p, \alpha, \beta)
      \alpha_2, \beta_2 = \operatorname{div}(\alpha, 2), \operatorname{div}(\beta, 2)
      if (|\beta| \equiv 1) then
             return p + (d_{\text{dst}} - d_{\text{cur}}) \cdot \delta(\alpha)
       else if (|\alpha| \equiv 1) then
             return p + (d_{\text{dst}} - d_{\text{cur}}) \cdot \delta(\beta)
      else if (2|\alpha| > 3|\beta|) then
             if (|\alpha_2| > 2) and (|\alpha_2| \mod 2 \equiv 1) then
                    \alpha_2 \leftarrow \alpha_2 + \delta(\alpha)
             end if
             d_{\text{nxt}} \leftarrow d_{\text{cur}} + |\alpha_2| \cdot |\beta|
             if d_{\mathrm{cur}} \leq d_{\mathrm{dst}} < d_{\mathrm{nxt}} then
                    return Gilbert2D_d2xyz(d_{dst}, d_{cur},
                                                                  \alpha_2, \beta)
             end if
             d_{\text{cur}} \leftarrow d_{\text{nxt}}
             return Gilbert2D_d2xyz(d_{dst}, d_{cur},
                                                            \alpha - \alpha_2, \beta
      end if
       if (|\beta_2| > 2) and (|\beta_2| \mod 2 \equiv 1) then
             \beta_2 \leftarrow \beta_2 + \delta(\beta)
       end if
      d_{\text{nxt}} \leftarrow d_{\text{cur}} + |\beta_2| \cdot |\alpha_2|
      if d_{\text{cur}} \leq d_{\text{dst}} < d_{\text{nxt}} then
             return Gilbert2D_d2xyz(d_{dst}, d_{cur},
                                                            \beta_2, \alpha_2
      end if
      d_{\text{cur}} \leftarrow d_{\text{nxt}}
      d_{\text{nxt}} \leftarrow d_{\text{cur}} + |\alpha| \cdot |\beta - \beta_2|
       if d_{cur} \leq d_{dst} < d_{nxt} then
             return Gilbert2D_d2xyz(d_{dst}, d_{cur},
                                                            p+\beta_2,
                                                            \alpha, (\beta - \beta_2)
      end if
      d_{\text{cur}} \leftarrow d_{\text{nxt}}
       return Gilbert2D_d2xyz(d_{dst}, d_{cur},
                                                     p + \alpha - \delta(\alpha) + \beta_2 - \delta(\beta),
                                                     \beta_2, -(\alpha - \alpha_2)
```

end function

Algorithm 11 2D Generalized Hilbert Position to Index Lookup Function (Gilbert2D\_xyz2d)