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**MASTER THESIS**

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**Monitoring Tool for Distributed Java  
Applications**

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Abstract: The main goal of this thesis is to create a monitoring platform and library which can be used to monitor distributed Java-based applications. This work is based on Google Dapper and shares a concept called "Span" with it. Spans encapsulate set of calls among multiple communicating hosts and in order to be able to capture them without the need of changing the original application, instrumentation techniques are highly used in the thesis. The thesis consists of 2 parts: the native agent and instrumentation server. The users of this platform need to extend the instrumentation server and specify the points in their application's code where new spans should be created and closed. In order to achieve high performance and affect the running application least as possible, the instrumentation server is used for instrumenting the code. All classes marked for instrumentation are sent to the server which alters the byte code and caches the changed byte-code for the future instrumentation requests from other nodes.

Keywords: monitoring cluster instrumentation

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# 1. Introduction

The volume of data processed is becoming larger every day. In order to process this data, the application are becoming distributed for reasons of scalability, stability and availability. However having these applications available is not sufficient. We need to be able to monitor and reason about distributed applications as well since only then we can efficiently find bugs and improve performance of such applications. However, reasoning about distributed applications is inherently more complex compared to single-node applications. In case of single node application we can use standard monitoring or profiling tools producing output we can use to reason about the application, but in the complex cluster solutions this can't be applied. Standard monitoring tools per each node in the cluster may be used however we still could reason only about one particular node and not the whole cluster.

Several monitoring tools for such a problem have been already developed. The most significant one is proprietorial software from Google called Google Dapper and open-sourced tool called Zipkin. They both build on a simple idea called distributed trace. In single node applications we can get current stracktrace which represents the call hierarchy at given moment. Distributed stack trace is the very same concept except that the dependencies between different nodes are preserved in that stack too. Therefore, using the distributed traces we can reason about more complex systems.

This thesis introduces another monitoring tool for the similar purpose, however it has some different concepts than the tools mentioned above. Google Dapper is build proprietorial and available only for Google applications. In case of Zipkin, the user has to instrument the application itself by introducing the annotation. This thesis tries to overcome these issues by creating open-source monitoring platform with small footprint on the monitored applications whilst giving the user the possibility to use high-level programming language to define their instrumentation points.

## 1.1 Project Goals

The project makes trade-offs between application level transparency and easiness of use. It is not an universal monitoring tool which could be used out of the box but it can be thought of as an extendable library providing the developer with means how to instrument their specific application in high-level programming language such as Java. All instrumentation specific internals and low-level code is hidden from the user but low-level overhead is still achieved by multiple techniques discussed later. In order to use this platform on some particular application, the programmer has to extend the prepared library for the application by defining points where instrumentation should take place, but the original application's code remains unaffected and thus no recompilation of classes is required.

The project should also be extensible in a way that information from additional low-level system monitoring tools can be attached to the monitored data - such as the memory usage or data allocation. We use native java agent written in C++ for the instrumentation purposes and the architecture is prepared to



combine the monitored data from our tool with the other external tools in the future.

Using the native client we achieve low-overhead on the system and can query various interesting information such as number of loaded classes, garbage collection time and so on. To minimize performance and memory effects on the monitored applications, the instrumentation does not happen in the same JVM as the monitored applications runs. The native agents informs secondary helper JVM with our instrumentor running in it about the loaded classes and the instrumentor JVM decides whether the class should be instrumented or not and instruments the classes when required. This instrumentor JVM can also be shared by all the nodes in the cluster which has yet another advantage that once any class has been instrumented by any node in the cluster, the other nodes just obtain the instrumented bytecode without the delays for instrumentation itself.

The easiness of deployment is also the significant aspect of the introduced tool. In order for developers and testers to use this tool, it needs to be relatively easy to deploy it and use it. This was achieved by limiting the number of artifacts to the bare minimum and therefore when it comes to using the tool, the user has only two files - native agent which needs to be attached to monitored application and the instrumentation server written in java which handles the instrumentation for the whole cluster application. Several deployment strategies exist and are discussed later in the 8 chapter.

## 1.2 Thesis outline

The thesis starts

## 2. Background

This chapter covers technologies which are relevant to the thesis. It starts with the overview of similar monitoring tools for cluster based applications and follows by short overview of tools for debugging of large scale applications. Later different approaches to applications profiling are described. In the next several sections the technologies which have been considered or are used in the thesis in the current moment are introduced. It covers libraries for bytecode manipulation, communication, logging and Java relevant libraries such as JNI and JVMTI. Docker is briefly described at the end of this chapter as it is used as the main distributed package for the whole platform.

### 2.1 Cluster Monitoring Tools

The most significant platforms to this thesis are Google Dapper and Zipkin, where Zipkin is based on the previous. Both serve the same core purpose which is to monitor large-scale Java based distributed applications. This thesis is based mainly on Google Dapper but also uses helpful Zipkin modules such as the user interface. Since Zipkin is developed according to Google Dapper design, these two platforms share very similar concepts. The most important concept is a Span and it is explained in more details in the following section. For now, we can think of a span as time slots encapsulating several calls from one node to another with well-defined start and end of the communication. The following two sections describe the basics of both mentioned platforms. Both Zipkin and Dapper share very similar concepts so we just point out the most important parts relevant to the thesis.

#### 2.1.1 Google Dapper

Google Dapper is proprietary software which was mainly developed as a tool for monitoring large distributed applications since debugging and reasoning about applications running on multiple hosts at the same time, sometimes written in different programming languages is inherently complex. Google Dapper has 3 main pillars on which it is built:

- **Low overhead** It was assumed that such a tool should share the same lifecycle as the monitored application itself thus low overhead was one of the main design goals as well. Google Dapper
- **Application level transparency** The developers and users of the application should not know about the monitoring tool and are not supposed to change the way how they interact with the system. It can be assumed from the paper that achieving application level transparency at Google was easier than it could be in more diverse environments since all the code produced in the Google shares the same libraries and control flow.
- **Scalability** Such a system should perform well on large scale data.



Figure 2.1: Example of Span in Google Dapper. Picture taken from the Google Dapper paper

Google Dapper collects so called distributed traces. The origin of the distributed trace is the communication/task initiator and the trace spans across the nodes in the cluster which took part as the computation/communication.

There were two proposals for obtaining this information - using the black-box and annotation-based monitoring approaches. The first one assumes no additional knowledge about the application whereas the second can use of additional information via annotations. Dapper is mainly using black-box monitoring schema since most of the control flow and RPC subsystems are shared among Google.

In Dapper, distributed traces are captured in so called trace trees, where tree nodes are basic units of work referred to as spans. Span is related to other spans via dependency edges. These edges represents relationship between parent span and children of this span. Usually the edges represents some kind of RPC calls or similar kind of communication.

Each span its own id so it can be uniquely identified. In order to reconstruct the whole trace tree, we need to be able to identify the starting Span. Spans without parent id are called root spans serves exactly that purpose. Span can also contain information from multiple hosts, usually from spans from direct neighborhood. Spans structure in Dapper platform is described in the figure 2.1.

Dapper is able to achieve application-level transparency and follow distributed control paths thanks to instrumentation of a few common, mostly shared libraries among Google developers.

- Dapper attaches so called trace-context as thread-local variable to the thread when the thread handles any kind of control path. Trace context is small data structure containing mainly just reference to current and parent span via their ids.
- Dapper instruments the callback mechanism so when computation is deferred, the callbacks still carry around trace context of the creator and therefore also parent span and current span id
- Most of the communication in Google is using single RPC framework with language bindings to different languages. This library was instrumented as well to achieve the desired transparency.



Figure 2.2: Zipkin architecture - <http://zipkin.io/pages/architecture.html>

Even though Dapper is mainly following black-box monitoring scheme mentioned below, it still have small support for adding custom annotation to the code. This gives the developer of an application possibility to attach additional information to spans which are very application-specific.

The low-level overhead was also achieved by sampling the data. As is mentioned in the paper, the volume of data at Google is significant so only samples are taken at a time.

### 2.1.2 Zipkin

Zipkin is open-source distributed tracing system. It based on Google Dapper technical paper and manages both the collection and lookup of captured data.

Zipkin uses instrumentation and annotations for capturing the data. Some information are captured automatically such as time when Span was created whereas some are optional and some even application-specific.

Zipkin architecture can be seen on figure 2.2. The instrumented application is responsible for creating valid traces. For that reason Zipkin has set of pre-instrumented libraries ready to be used which works well with whole Zipkin infrastructure. Spans are stored asynchronously in Zipkin to ensure lower overhead.

Once the span is created, it is sent to Zipkin, in more details, to Zipkin collector. In General, Zipkin consists of 4 components:

- Zipkin Collector It is usually a daemon thread or process which stores, validates and indexes the data for future lookups.

- Storage Data in zipkin can be stored in a multiple ways, so this is a plug-gable component. Data can be stored in for example in Cassandra, MySQL or can be send to Zipkin UI right away without storing it anywhere. The last option is good only for small amount of data.
- Zipkin Query Service This component act as a query daemon allowing us to query various informaion about span using simple JSON API.
- Web UI Basic, but very useful user interface. The user can see whole trace trees and all spans with dependencies between them.

In the thesis the Zipkin UI is used as front end for developed the monitoring tool and it's format is described in more detail in Zipkin UI section of Design chapter.

The reason why Zipkin UI was selected as the primary user interface for this work is mainly it's simplicity and ease of use. Also it fulfills the visualization requirements of the thesis as well, since we need to see dependencies between spans and also whole trace tree as well. However the monitoring platform is not tightly-coupled with this user interface. We will see later how to create custom span savers which can store data in any format suitable for different visualization tools.

## 2.2 Tools for Large-Scale Debugging

Standard techniques and tools can be used for debugging distributed applications, however when using these tools we lack the information about dependencies between different nodes in the cluster. There are many tools under the category of large-scale debugging but we just point out basic ideas behind two different approaches - discovering scalling bugs and behaviour based debugging.

### 2.2.1 Discovering Scaling Bugs

In distributed systems the scalability is very important. It is very important to know how our platform scales when it comes to significantly big data and what is the scalability trend we can expect. It can happen that on large data the platform can run significantly slower than expected when tested on smaller data. We call this issue as a scaling bug. Tools which can be used to help with these kind od bugs are for example Krishna and WuKong. Both of the mention tools are based on the same idea. They build a scaling trend based on data batches of smaller size. The observed scalling trend acts as a boundary. We observe the scalling bug when the scalling trend is violated. In the first tool, Wrishna, we can't tell which port of the program violated the scalling trend, however it is possible in the second tool, WuKong. In comparison to Krishna, Wukong doesn't build one scalling trend of the whole applications, but creates more smaller models, each per some control flow structure in desired programming language where the all these smaller models represent together the whole scalling trend. When we hit into scalling bug, WuKong can give us hints where the trend can be violated.

### 2.2.2 Behavior-based Analysis

The different category of tools used for debugging of large scale applications are based on behaviour analysis. The basic idea behind these tools is that the classes of equivalence are created from different program processes and different runs. Using this approach we lower down the number of data we need to inspect and the tools can help us to discover anomalies between different observed classes. For example, STAT - Stack Trace Analysis Tool, is a lightweight and scalable debugging tool used for identifying errors on massive high performance computing platforms. It gathers stack traces from all parallel executions, merges together stacktraces from different processes that have the same calling sequence and based on that creates equivalence classes which make it easier for debugging highly parallel applications. As the other example falling under the same category is AutomaDed. This tool creates several models from an execution and can compare them using clustering algorithm with (dis)-similarity metric to discover anomalous behaviours. It also can point to specific code region which may be causing the anomaly.

## 2.3 Profiling Tools

Profiling is a form of dynamic code analysis used for analyzing for example how long each part of the system takes in the whole computation, where the computation spends the most time or the memory requirements of the whole program. Generally, we can group the profiling tools into two categories: sampling profilers and instrumentation profilers.

- sampling profilers

Sampling profilers take statistical samples of an application at well-defined points such as method invocations. It usually have less overhead comparing to instrumentation profilers. The points where the application should take samples can be inserted at the compilation time by the compiler. Using these profilers we can collect how long the method run, who call it or for example the complete stacktrace. We however can't record any application specific information.

- instrumentation profilers This can be solved by instrumentation profilers. These profilers build on the instrumentation of the application's source code. They record the same kind of information as the sampling profilers but usually give us the ability to specify extra points in the code we are interested in and also to record application specific data.

However, we can look on profilers from different point of view and categorize them based on the level on which they operate and are able to record the information - system profilers and application specific profilers. At application specific profilers, we are the most interested in profilers targeted for JVM platform.

- system profilers System profilers operate on OS-level. They are great at showing system code paths, but are not able to capture method calls done for example in Java application.

- JVM profilers These profilers show Java methods, but usually not system code paths.

The ideal solution for monitoring purposes would be to have information from both kind of profilers, however combining outputs of these profiler types is not straightforward. The profilers which are able to collect traces from both the profiler types are usually called mixed-mode profilers. JDK8u60 comes with the solution in a way of extra JVM argument `-XX:+PreserveFramePointer` Mix. Operating system is usually using this field to point to most recent call of the stack frame and system profilers make uses of this field. In case of Java, compilers and virtual machines don't need to use this field since they are able to calculate the offset of the latest stack frame from the stack pointer. This leaves this register available for various kind of JVM optimizations.

This option ensures that JVM abides the frame pointer register and will not use it as general purpose register and therefore we can get both system and JVM stack frames in a one call hierarchy. Using the JVM mixed-mode profilers we are able to collect information about:

- page faults They allow us to see what leads to from of JVM resident memory.
- context switches Context switches are interesting to see code path leads to leaving the CPU.
- disk i/o requests Show code paths leading to IO operations such as blocking disk seek operation.
- TCP events Show code paths leading from high-level Java code to low-level system methods such as connect or accept, so we can reason about performance and good design of network communication in much more better detail.
- CPU cache misses Show code paths leading to cache misses. Using this information we can optimize the Java code to make better use of the existing cache hierarchy.

All the information bellow can be described on a special chart called Flame charts.

## Flame Charts

Flame Chart is a concept by a developer Brendan Gregg. Flame graphs are aa visualization for samples stack traces, which allows the hot paths in the code to be identified quickly. The output of sampling of instrumentation profiler can be significantly big and therefore visualizing can help to reason about performance in more comfortable way.

The description:

- Each box represents a function call in the stack
- The **y-axis** shows stack frame depth. The top function is the function which was at the moment of capturing this flame chart on the CPU. All functions underneath of it are its ancestors.

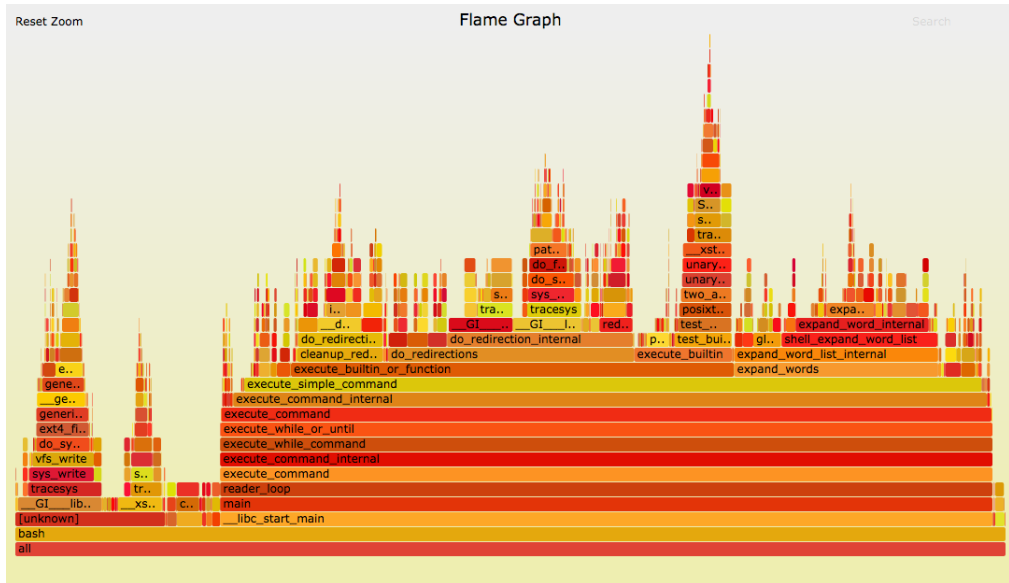


Figure 2.3: Flame Graph example

- The **x-axis** shows the population of traces. It doesn't represent passing of time. The function calls are usually sorted alphabetically.
- The width of each box represents the time the function was on CPU.
- The colors are not significant, they are just used to visually separate different function calls

Flame charts can be created in a few simple steps, but it depends on the type of profiler the user wants to use.

1. Capture stack traces For this step we can use profiler of our choice.
2. Fold stacks We need to prepare the stacks so Flame graphs can be created out of them. For this, there are several scripts prepared for different profiler types.
3. Generate the flame graph itself, again using the prepared script provided on the link above.

Purpose of this really short section was just to introduce the idea of Flame charts since it's one of the future plans the thesis could be extended to support. For more information about the flame charts please visit the Brendan Gregg's blog.

## 2.4 Bytecode Manipulation Libraries

This thesis highly depends on the instrumentation for which the byte-code manipulation is a core feature. Since the work is written in Java, we are mainly interested in instrumentation and byte-code manipulation libraries based on Java. This section covers . The purpose of this section is to introduce 4 standard byte-code manipulation libraries - Javassist, ByteBuddy, CGLib and ASM - and give



their comparison. Since it's a core feature of the whole platform and affect the performance and the usability of the whole platform, the library was thoroughly reviewed before selected. ByteBuddy was selected to be used in the thesis and the reasons why are mentioned bellow as well.

### 2.4.1 ASM

ASM is a low-level high-performance Java bytecode manipulation framework. It can be used to dynamically create new classes or redefined already existing classes. It works on the bytecode level so the user of this library is expected to understand the JVM bytecode in detail. ASM operates on event-driven model as it makes use of Visitor design pattern to walk through complex bytecode structures. ASM defines some default visitors such as *FieldVisitor*, *MethodVisitor* or *ClassVisitor*. The ASM project can be a great fit for project requiring a full control over the bytecode creation or inspection since it's low-level nature.

### 2.4.2 Javassist

Javassist is well-known bytecode manipulation library built on top of ASM. It allows to Java programs to define new classes at runtime and also to modify a class files prior the JVM loads them. It works on higher level abstraction so the user of this library is not required to work with the low-level bytecode. The code to be injected to the existing bytecode is expressed as Java Strings which has the disadvantage that the code to be injected is not subject to code inspection in most of the current IDEs. The advantage of Javassist is that the injected code does not depend on the Javassist library at all. The strings representing the code are compiled on runtime by special javassist compiler which works well for most of the common programming structures but just to point out auto-boxing and generics are not supported by the compiler. Javassist does not have support for the code injection itself. Therefore, it can be used for specifying the code which alters the original code but external tool needs to be used to inject the code.

### 2.4.3 CGLib

CGLib as another byte-code manipulation library built on top of ASM. The main concepts are build around 'Enhancer' class which is used to create proxies by dynamically extending classes at runtime. The proxified class is then used to to intercept method calls and the result of previous methods or fields as we define. However cglib lacks comprehensive documentation making harder to even understand the basics from the users.

### 2.4.4 Byte Buddy

ByteBuddy is fairly new, light-weight and high-level bytecode manipulation library. The library depends only on visitor API of the ASM library which does not further have any other dependencies. It does not require from the user to understand format of java bytecode but despite this, it gives the users full flexibility to redefine the byte code according their specific needs. Also, classes created or instrumented by Byte Buddy does not depend on the Byte Buddy framework.

Despite it's high-level approach, it still offers great performance and is used at frameworks such as Mockito or Hibernate. Byte Buddy can be used for both code generation and transformation of existing code.

## Code Generation

Code generation is done by specifying from which class we want to create a subclass, in the most generic way we can create subclass from Object class. The newly created class can introduce new methods or intercept methods from it's super class. In order to intercept existing methods and change their behavior and return value, the method to be intercepted has to be identified using so-called ElementMatchers. These matchers allow us to identify methods using for example their names, number of arguments, return methods or associated annotations. The whole list of matchers and also examples how code can be generated is greatly described on the project Github page.

As mentioned earlier, the power behind Byte Buddy is also that it can be used to redefine classes at runtime. This is achieved several concepts, mainly Transformers, Interceptors and Advice API.

## Code Transformation

In order to tell byte buddy when it needs to intercept a method or field, we need to identify the place in the code which triggers the interception. First, the class containing method to be instrumented need to be located. It can be done by simply specifying the class name or using more complex structures. For example we can only consider all classes A extending class B whilst implementing interface C at the same type.

The next step is to define the transformer class itself. Transformers are used to identify methods in the class which should be instrumented and they also specify what interceptor or advice should handle the instrumentation for the particular matched method. Such methods can be again identified using the ElementMatchers mentioned in the section above. In more detail, transformer interface has a method transform which has DynamicType.Builder as it's argument. This builder is used to create a single transformer wrapping all the previous ones for all classes in the code so the result of this builder can be thought of as a dispatcher of the instrumentation. Methods to be instrumented are specified on the builder instance using the ElementMatchers as well as what interceptor or advice API will be used to handle the transformation.

As mentioned above there are 2 ways how to instrument a class in ByteBuddy.

## Interceptors

Interceptor is a class defining the new or changed desired behavior for the method to be instrumented. We demonstrate how Byte Buddy uses interceptors on a small example. Let's assume we have original class Foo:

```
class Foo {  
    String bar() {  
        return "bar";  
    }  
}
```

```
}
```

Let's also assume that our `Interceptor` is of type `Qux`. The interception of the class `Foo` using our interceptor looks like this in schematic code:

```
class Foo {  
    // Requires your interceptor class to be known  
    static Qux $interceptor;  
    String bar() {  
        return $interceptor.intercept ();  
    }  
    static {  
        // Requires knowing the framework  
        $interceptor = ByteBuddyFramework.defineField(Foo.class);  
    }  
}
```

We can therefore see that in case of interceptors, Byte Buddy does not inline the byte code to the `Foo` class but requires the interceptor class to be available on the machine where instrumentation takes place. Also the interceptor field needs to be initialized, which is in this case done in the static initializer. The initialization of interceptors is done using special helper class called `LoadedTypeInitializer`.

There are multiple ways how this behavior can be changed:

1. In Byte Buddy, initialization strategy can be modified according to the specific needs. We can define no-op strategy and read `LoadedTypeInitializer` right before the class is about to be instrumented. Later we can perform the initialization our self using observed initializer or we can even serialize this initializer together with the `Qux Interceptor` class, send them to different JVM where the instrumentation should take place and manually initialize the the interceptor field.
2. Instead of referring to `Qux` as a instance, we can delegate to `Qux` as a class and call the interception logic via static methods. In this case the interceptor class still needs to ne known at runtime, but there is no need to perform the interceptor initialization.
3. Instead of using interceptors, advice api which inlines the code to the class itself may be used.

## Advice API

Advices are another approach how code can be instrumented in Byte Buddy. Compared to `Interceptors` it is more limited, but on the other hand, in cases where it's possible to use it, the code is in-lined into the class's bytecode and therefore no other dependencies are required. It is also stated in Byte Buddy documentation that performance of Advice API is better compared to using interceptors.

However, instrumentation using Advice API is only allowed before or after the matched method which is achieved using the `Advice.onMethodEnter` and `Advice.onMethodExit` annotations.

## 2.5 Communication Middleware

This thesis consist of several parts which need be able to communicate. The communication is also complicated by the fact that the parts are written in different programming languages - Java and C++. In order to achieve communication in such an environment, following libraries has been inspected.

### 2.5.1 Raw Sockets

We are not referring to a library but to using raw sockets on their low-level API. Using raw sockets has several pros and cos. It give us a full flexibility and the highest possible performance since there isn't any additional layer between our application data and the socket itself. However, integrating different platforms and different languages can be time-consuming. Several frameworks have already been created to achieve this so the user does not need to know about the language or underlying platform.

### 2.5.2 ZeroMQ

ZeroMq is a communication library build on top of raw sockets. The core of the library is written in C++ however binding into different languages exist. The library is able transport messages inside a single process, between different processes on the same node, using TCP or also using multicast.

The library also supports to create typologies using one of many supported communication patterns like publisher-subscriber or request-reply.

- Hiding the differences of underlying operating systems.
- Message framing - delivering whole messages instead of stream of bytes
- No need to worry about queuing messages. The internals take care of ensuring the messages are sent and received in correct order. The user can send the messages without knowing whether there are other messages in the queue or not.
- Language mappings to different languages.
- Ability to create a topology. For example, one socket can be connected to multiple endpoints.
- Automatic TCP re-connection
- Zero-copy

#### Zero-copy in ZeroMQ

The library also tries to apply concept called zero-copy if possible. When high-performance is expected from a system or network, copying of data is usually considered harmful and should be minimized as possible. The technique of avoiding copies of data is known as zero-copy.

Example of data copying is transferring data from memory to network interface or from user application to underlying kernel. We can see that zero-copy can't be implemented at all layers because for example without copying the data from the kernel to network interface, we could not actually exchange any data. However, ZeroMQ can achieve zero-copy at least on the application message level so the users can create ZeroMQ messages from their data without any copying which is a big performance plus.

### 2.5.3 NanoMsg

NanoMsg [<http://nanomsg.org/documentation-zeromq.html>] is a socket library shadowing the differences in the underlying operation systems. It offers several communication patterns, is implemented on C and does not have any other dependencies. Generally, it offers very similar features to ZeroMQ since it's heavily based on it.

Unlike ZeroMQ, nanomsg matches the full POSIX compliance. The author of the library states, that since it's implemented in C, the number of memory allocations is drastically reduced compared to c++ when using C++ STL containers for example. Also compared to ZeroMQ, objects are not tightly bound to particular threads this it gives the user flexibility to create their custom threading models without big limitations. NanoMsg should also implement zero-copy technique at additional layers which again leads to performance benefits.

As in ZeroMQ, NanoMsg supports the following transport mechanisms:

- **INPROC** Used for transporting messages withing a single process, for example between different threads. In-process address is arbitrary case-sensitive string starting with `inproc://`
- **IPC** - inter processes communication It enables several processes to communicate on the same node The implementation uses native IPC mechanism available on the target platform. On Unix-like systems, IPC addresses are just references to files where both absolute and relative path can be used. The application has to have rights to read and write from the IPC file in order to allow the communication. On Windows, the named pipes are used. The address can be arbitrary-case sensitive string containing any character but backslash. On both mentioned platforms, the address has to start with `ipc://` prefix.
- **TCP** TCP is used to transport messages in a reliable manner to a single recipient to in a reachable network. When connecting to a node, the address in format `tcp://interface:port` needs to used and when binding a node, address in format `texttttcp://*:port` should be used.

NanoMsg can be used in via it's core C library, but also several language mappings for different languages exist.

### C++11 Mapping

Nanomsgxx [<https://github.com/achille-roussel/nanomsgxx>] is a C++11 mapping for nanomsg library. It is a small layer build on top of core library making the

API more C++11 like friendly. Especially, there is no need to tell when to release resources, since it's handled automatically in destructors. The `nnxx::message` abstraction over `NanoMsg nn::message` automatically manages buffers for zero-copy and also errors are reported using the exceptions which are sub-classes from `std::system_error`

## Java Mapping

Several Java bindings of nanomsg library exists, but just jnanomsg library [<http://niwinz.github>] is described here. This language binding is build on top of JNA - Java Native Access library. It offers all the functionalities offered by the core library but also introduces non-blocking sockets exposed via a callback interface.

## 2.6 Java Libraries

This section describes some fundamental Java related libraries on technologies on which this thesis heavily depends. Firstly, Java Virtual Machine Tool Interface (JVMTI) is described followed by basic introduction to Java Native Interface. Important Java concepts and classes relevant to the thesis are described in the following few sections.

### 2.6.1 JVMTI

The JVM Tool Interface <https://docs.oracle.com/javase/7/docs/platform/jvmti/jvmti.html> is a interface used by development and monitoring tools for communication with JVM. It allows its user to monitor and control the execution running in Java virtual machine. An application communicating with the JVM using JVMTI is usually called agent. Agents are notified of the events happening inside JVM and can react upon them.

Agents run in the same process as the application itself this reducing the communication. Since JVMTI as interface written in C, agents can be written in C or C++. The agent has to be attached to the application via

JVMTI supports 2 modes how agent can be started, either in OnLoad phase or in Live phase. In OnLoad phase, client is started together with the application and agent location can be specified using 2 arguments:

- `-agentlib:<agent-lib-name>=<options>`  
In this case, the library name to load is specified and it is loaded using platform specific manner .
- `-agentpath:<path-to-agent>=<options>`  
In this case, the path to a location of the library is specified and the library is loaded from there.

In the Live phase, the agent is dynamically attached to running application. This approach can be though as more flexible since we don't have to specify agent library to monitored application in advance, but it brings several limitation as well.

We don't aim to describe full JVMTI functionality here, please consider this just as brief introduction to the interface and inspect the documentation for more information. In the following sections we aim to very briefly describe the important parts of JVMTI relevant to the thesis.

## JVMTI Agent Initialization

When client is started, the method `Agent_OnLoad(JavaVM *jvm, char *options, void *reserved)` is called. In this method we can do custom initialization for our agent.

Usually the initialization consist of several phases:

1. Optionally, parse arguments passed to JVMTI agent.
2. Initialize JVMTI environment in order to be able to communicate with the observed application. JVMTI does not handle threads switches automatically, so proper locking and thread management fully depends on the user code.
3. Register capabilities we want the JVMTI to support. We can specify what are the operations our JVMTI agent can perform. The agent can be for example allowed to re-transform classes, signal threads or generate different class hook events.
4. Register events we are interested in observing. JVMTI does not inform the agent about all events by default, the events has to be manually defined.
5. Register callbacks for the events we are interested in. In case of agent used for instrumentation we are mostly interested in events `cbClassLoad`, `cbClassPrepare`, `cbClassFileLoadHook`, `callbackVMInit` and `callbackVMDeath`.
6. Optionally, initializing phase is also good for creating various locks required for synchronization between different JVMTI threads.

The user of JVMTI is also required to manually implement queening and locking when processing multiple JVMTI events at the same time since the framework does not handle this. <http://www.oracle.com/technetwork/articles/java/jvmpitransition-138768.html>

## JVMTI basic callbacks

As mentioned above, there are several events send from the observed application. When instrumenting, we are mostly interested in the following events:

- `cbClassLoad` - triggered when class has been loaded by target JVM
- `cbClassPrepare` - triggered when class has been prepared by target JVM. At the point the class is prepared all static fields, methods and implemented interfaces are available but no code has been executed at this phase.

- **cbClassFileLoadHook** - triggered when virtual machine obtains class file data but before the class is loaded. Usually, class instrumentation happens based on this hook since the callback allows as to change the bytecode passed for further loading.
- **callbackVMInit** - triggered when virtual machine is initialized
- **callbackVMDeath** - triggered when virtual machine has been closed either using standard way or forcibly.

## 2.6.2 JNI

Java Native Interface is a framework which allows Java code running in a Java Virtual Machine to call native applications ( usually written in C or C++ ). It also allows native applications to access and call Java methods.

All operations require instance of class **JNIEnv**. This environment keeps the connection to the virtual machine. When calling the methods from native application, the method has to be first found. This is achieved by specifying the types and method signature.

### Java Types Mapping

For each Java primitive type there is corresponding native type in JNI. Native types always start with the **j** as the prefix, for example **boolean** is Java type whereas **jboolean** as native type. All other JNI reference types are referred to via **jobject** class. This means that java arrays are accessed via **jobject** as well.

The most important is however how we can specify the types in method signatures. There is a mapping giving each type a signature which can be used exactly for this purpose. This table is base on <http://docs.oracle.com/javase/7/docs/technotes/guides/>.

Type Signature	Java Type
Z	boolean
B	byte
C	char
S	short
I	int
J	long
F	float
L fully-qualified-class ;	fully-qualified-class
[ type	type[]
( arg-types ) ret-type	method type

So for example the method:

`xx.yy.Person foo(int n; boolean[] arr, String s);` would have the following signature:

`(I[ZLxx/yy/Person;])Lxx/yy/Person;`

Note that in JNI, the elements in fully qualified class name are separated by slashes instead of dots.



## Example JNI Method Call

On the method bellow we can see how JNI can be used to call a Java method `getClassLoader`.

```
jobject getClassLoaderForClass(JNIEnv *jni, jclass clazz){  
    // Get the class object's class descriptor  
    // (jclass inherits from jobject)  
    jclass clsClazz = jni->GetObjectClass(clazz);  
    // Find the getClassLoader() method in the class object  
    jmethodID methodId = jni->GetMethodID( clsClazz,  
  
    return (jobject) jni->CallObjectMethod(clazz, methodId);  
}
```

First we need to get a reference to a method, which we use later for the invocation itself. From performance reasons, it's good practice to cache the references to methods or objects in Java which we access from JNI often since getting the reference has some initial overhead.

## 2.6.3 Relevant Aspects of the Java Language

This section covers selected areas of the Java programming language relevant to the thesis. It briefly describes the class loading process when for dynamically loaded classes. This is followed by explanation of 2 important class loaders relevant to the thesis and lastly, `ServiceLoader` class is shortly described.

### Class Loading Process

Java allows program to load classes dynamically at runtime. This is achieved by a following process:

1. **Loading** - Load the bytecode from class file
2. **Linking** - Linking is the process of incorporating a new class to the runtime state of the JVM. It phase consists of 3 sub-phases:
  - (a) **Verification** - Ensure that type in the binary former is correct and respects JVM restrictions.
  - (b) **Preparation** - This phase consist of allocation memory for fields inside the loaded type.
  - (c) **Resolution** - This phase is optional ( depends on JVM implementation ). Resolution is the process of transformation symbolic references in the type's constant pool into direct references. The implementation may decide to behave in lazy way and delay resolution for the time when the type is being actually used. Constant pool contains all references to variables and methods found during compilation time.
3. **Linking Phase** - class variables are initialized to initial values

Loading step loads data from class files in a binary from known as the bytecode.

## Relevant Class Loaders

There are several class loaders used natively in Java. However we describe only 2 which are references in the thesis later.

- **Bootstrap class loader**

This classloader is used to load system classes. When using native agent, even classes loaded by bootstrap classloader can be instrumented and thus behavior of standard Java classes can be changed.

- **sun.reflect.DelegatingClassLoader**

This class loader is used on the Sun JVM as the effect of a mechanism called inflation. Usually reflective access to method or fields is initially performed via JNI calls. When Sun JVM determines there is a repetition in calling the same method or using the same field via JNI ( reflection), it creates synthetic class ( class created dynamically at runtime), which is used to perform this call without using JNI. This has initial speed overhead, but at the end it speeds up the reflection calls. The classes created for this purpose are loaded and managed by exactly this class loader.

## ServiceLoader Class

ServiceLoader class is used to locate and load service providers. Service Provider is an implementation of some service which is usually defined as set of methods. The service is often defined as abstract class or interface.

ServiceLoader allows us to specify the service type for which we want to load all service providers and then load the desired service providers. The available services have to be defined in the META-INF folder of the application jar distribution. Let's say we have a service A and 2 implementations, Impl1 and Impl2. In that case META-INF folder will contain text file with name A containing lines

```
Impl1
Impl1
```

Service loaders can therefore be used to extend the application without changing the source code. When the user of the application needs to provide another implementation of the service, it can create service provider, register it inside the META-INF folder and the application will use the new service provider as well the rest of the providers defined earlier.

## 2.7 Logging libraries

One of the key aspects of the developed platform is low-overhead. Logging can have negative effect on the performance but sometimes it's necessary to have information from various application runs. That is why the selection of logging library is important for the performance of the thesis as well.

Spdlog is a C++11 fast, header only logging library this project is based on. It allows both synchronous and asynchronous logging and custom message formation

## 2.8 Docker

Docker is an open source project to pack, ship and run any application as a lightweight container [cite]. It is used to package the applications in a prepared environments so the user does not need to worry about configuration and downloading the correct dependencies for the application.

Docker Compose is an extension build on top of docker allowing us to specify multi-container startup-script. This script can define dependencies between different containers which leads to a simple and automated way on how to start whole bunch of related applications in separated environments using one single call.

## 3. Analysis

This chapter provides reasoning behind some architectural decisions of the monitoring platform. It describes different approaches for creating monitoring tool of this nature and provides arguments why the selected solution fits into the goals of this tool. The next section gives arguments why we decided to implement the application extensible instead of implementing all pieces from scratch. The last part of this chapter compares different approaches to the code instrumentation from the point of technical complexity and also the performance point of view

### 3.1 Platform Architecture

Several architectures for the whole platform was considered during the analysis stage of development. The main goals of this thesis is to achieve high level of application transparency, easy deployment and still affect the monitored application the least by the monitoring process itself.

One of the rejected approaches was to create a universal monitoring tool similar to Google Dapper, but support major portion of applications to be monitored. Whilst this would give the user great flexibility and universality and would also ensure that the users have no need to extend the library, it's was decided as not feasible task. Every platform or application is different in it's architecture or in the way how it communicates. The communication can be implemented using various number of RPC frameworks and via HTTP using technologies like SOAP or REST. Such instrumentation tool could only instrument very basic information about Java-based programs, but since one of the main goals of this tools is the platform to be widely used and not tight to a specific platform, this approach was rejected.

The second and chosen approach for the monitoring tool was to design it as an extendable library. This library is supposed to hide all low-level details about core instrumentation and communication via JVMTI or JNI. The typical usage of this library is that developer can create monitoring tool for their particular applications based on this library just by specifying the points where the instrumentation should happens and what are the actions. The advantage of this approach is that only core instrumentation library needs to be created, generic to all platforms. However this library can't be used right away the programmer needs to build on top of it so the monitoring tool for their application can capture the application-specific control flow or data. The application transparency is therefore achieved in this approach by creating separation between the specific application and generic instrumentation and monitoring framework.

### 3.2 Application Modularity

During analysis of similar technologies and comparing different approaches for the monitoring it was decided that some modules of the application will be modular and the user could replace them with their own implementations. The extendable modules are the user interface presenting the observed data and the collectors

bringing the data from application nodes to the user interface. Whilst default implementation exist and the user can use the application without changing these modules, we give the users the possibility to plug-in custom user interface or more advanced data collectors. In order to be able to plug these modules in, they have to meet some criteria such as implement specific interfaces or extend specific classes, however the core implementation is left by the user.

The main reason for this solution is that a lot of monitoring solutions already exist and users are used to specific user interfaces or are using platform with already set-up data collecting. We wanted to support these use-cases so the environment where this monitoring tool runs does not have to be significantly changed. This leads to easier deployment of the platform.

### **3.3 Instrumentation Methods**

This work is heavily based on byte-code instrumentation.

Compare instrumentation via raw native agent vs java agent in the same jvm vs instrumenting in second jvm.

describe also the case of writing bytecode instrumentation library from scratch

Mention also the variant with instrumenting in one process and have 2 JVMs and why it's not possible

mention its pluses and minuses

#### **3.3.1 Java Agent Solution**

#### **3.3.2 Native Agent Solution**

#### **3.3.3 Instrumenting in Secondary JVM**

Describe why bytebuddy has been chosen compared also to other approaches such as pure JVMTI instrumentation

## 4. Overview

In order to support different kinds of applications, environments and the user needs, the application was designed to be configurable in several ways. We wanted to support monitoring of both

### 4.1 Architecture Description

### 4.2 Native Agent

### 4.3 Instrumentation Server

### 4.4 User Interface

### 4.5 Data Collectors

### 4.6 Example Use Case

# 5. Design

## 5.1 Basic Concepts

### 5.1.1 Spans

## 5.2 Native Agent

mention here the issue with running more JVMs inside one process

### 5.2.1 Structure Overview

### 5.2.2 Instrumentation

mention issues with circular dependencies but leave how it is implemented into the next chapter

### 5.2.3 Instrumentation API

### 5.2.4 Native Agent Arguments

### 5.2.5 Used JVMTI Callbacks

## 5.3 Instrumentation Server

### 5.3.1 Instrumentation Protocol

### 5.3.2 Communication Modes

### 5.3.3 Class Caching

### 5.3.4 Custom Service Loader

### 5.3.5 Public interfaces

### 5.3.6 Extending the Server

.. instrumentation server can run on the same node or over the network. Instrumentation server can have client code attached or not.

### **5.3.7 Class Loaders**

### **5.3.8 JSON Generation**

## **5.4 User Interface**

### **5.4.1 Zipkin UI Overview**

### **5.4.2 Zipkin Data Model**

### **5.4.3 Zipkin JSON Format**

## **5.5 Collectors**

Should I mention the collectors ? It may be sufficient to have send data right to zipkin for demonstration purposes



# 6. Implementation Details

Mention interesting parts of the implementation

## 6.1 Native Agent

### 6.1.1 Byte Class Parsing

explain byte code structure

### 6.1.2 Instrumentation

Handling auxiliary classes and loaded type initializers

## 6.2 Instrumentation Server

### 6.2.1 Byte-Code Instrumentation

## 6.3 Zipkin Integration

Sending Data to Zipkin

## 7. Big Example

# 8. Evaluation

## 8.1 Known Limitations

here mention limitations with the instrumentation  
Appendix!

## 8.2 Platform demonstration

### 8.2.1 Deployment Strategies

Instrumentor per Application Node

Instrumentor per Whole Cluster

Optimizing the Deployment

### 8.2.2 Basic Building Blocks

### 8.2.3 Basic Demonstration

### 8.2.4 Optimizing the Solution

grafy

## 9. Conclusion

### 9.1 Comparison to Related Work

### 9.2 Future plans

#### 9.2.1 Integration with well-known data collectors

#### 9.2.2 Add support for Flame charts

An example citation: Anděl [2007]

# Bibliography

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# List of Abbreviations

# Attachments