

SERIOUS MISCONDUCT IN DRC PRISONS FROM 2007 TO 2012

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Introduction

This report examines serious misconduct in the Ohio state prison system from 2007 to 2012. The data and analysis are part of a larger body of work surrounding the support of correctional policies and violence reduction strategy efforts in our institutions. This information is broken down into meaningful prison sub-categories (like prison security level) to help monitor trends in violence according to differences in operational mission. This report should also serve as an important source of reliable data for the media, scholars, and outside agencies seeking violence and assault trends within our prisons.

The Bureau of Research and Evaluation can now validly and reliably generate detailed institutional misbehavior data from January 1, 2007 through the present time. This has afforded the opportunity to examine prison misconduct for the entire incarcerated population, entire institutions, and meaningful subsets of prisons and the incarcerated population. The department has utilized this rule infraction data in several ways from an operational perspective. For instance, this information has provided the empirical basis for the development of new objective security classification instruments for male inmates, supported the revision of the profile for security threat group (STG) inmates, provided state-level comparisons in the Performance-Based Measures System (PBMS) reporting system, and helped facilitate analysis of inmate-level and prison-level violence.

Please note that we expect to distribute a supplementary report each month as well. These smaller scale reports will display violent and assaultive rule infraction data (and Rule 19 and Rule 17 data) in table and graphical form spanning the most recent 12-month time period. This information will allow wardens and prison staff to see the most recent violence trends in their institutions.

Rules Infraction Board Data and Inmate Misconduct Measures

The data below is based on statewide, prison-level, and categorical rule infraction data generated at the Rules Infraction Board (RIB) from January 1, 2007 through December 31, 2012. The level of analysis is at the rule infraction-level. As a consequence, this information is not the total amount of incidents per se (since multiple rule infractions can make up an incident), but rather the counts of all rule infractions found guilty by the RIB. Please be aware that the availability of RIB data for any particular time period is always contingent upon a one month lag period to allow for the completion of disciplinary hearings, any possible appeals, and due process. For example, misbehavior information for March of 2013 would not be available for analysis until the first week of May of 2013.

In the tables that follow, violent and assaultive rule infractions are expressed in rates per 1,000 inmates. The use of rates is a conventional method that is appropriate when comparing categories with different sized populations. The population data used to compute these rates consists of an average of 26 official Monday counts (or every 2 weeks). Please note that some tables display both rates and counts of rule infractions.

We seek to refine the concept of inmate misconduct by advancing multiple definitions with an emphasis on more sophisticated conceptions of violence. Definitions of violence that include more routine types of fighting somewhat obscure more serious types of assaultive (and related) behaviors due to the relative frequency of fighting rule infractions. Fighting accounts for 71.2% of violent rule infractions in CY 2012 (see definitions below). As such, we present information on several additional outcome measures.

First, our definition of *violent rule infractions* includes physical assaults, sexual assaults, rioting, fights, throwing liquids/substances, and physical harassment (see Table 2 for the complete list of particular rule violations that make up this measure).

Our definition of *physical/sexual assault rule infractions* provide a baseline measure of serious violence and include both physical assaults and sexual assaults (see Table 3 for the complete list of particular rule violations that make up this measure).

Our measure of *harassment assault rule infractions* involves throwing bodily substances or liquids onto others, and physical harassment (i.e., intentional grabbing to impede movement, see Table 4 for the complete list of particular rule violations that make up this measure).

We also look at two specific rule infractions from the Inmate Rules of Conduct (5120-9-06). We separately analyze *Rule 19 rule infractions* including fights with or without weapons. We also independently assess *Rule 17 rule infractions* involving engaging in unauthorized group activities, which includes most active and disruptive security threat group participation.

Aggregate and Prison Categorical Violence and Assault Trends

Table 1 (see page 3) displays the aggregate violent, physical/sexual assault, and harassment assault rule infraction rates for the last six calendar years. This information is also broken down by meaningful subcategories of prison context. Female inmates are analyzed as an entire population (and not by prison context).

Table 1 displays a steady increase in violence from 2007 to 2011. However, we start to see some improvement across all outcome measures as we move into 2012. These reductions can be especially seen at Level 1 and 2 Security male institutions, and Level 1 and 2 Security male open dorm facilities. The most unfavorable outcomes in terms of recent trends seem to be present at our Level 3 Security male institutions.

Some important observations:

- We see a 7.8% increase in the violent rule infraction rate from CY10 to CY11, but in contrast, we see a 7.3% decrease in the violent rule infraction rate from CY11 to CY12.
- The violent rule infraction rate has decreased from CY11 to CY12 for Level 1 and 2 Security male institutions, Level 1 and 2 Security male open dorm facilities, Level 4 and 5 Security male institutions, male reception centers, and for female inmates (as a whole).

- Alternatively, the violent rule infraction rate has increased 4.9% for Level 3 Security male institutions comparing the two most recent time periods.
- Although the physical/sexual assault rule infraction rate and the harassment assault rule infraction rate have increased system-wide from CY11 to CY12, these increases are less than the increases we observe from the CY10 to CY11. For instance, we see a 17.5% increase in the physical/sexual assault rule infraction rate from CY10 to CY11, but we see just a 1.5% increase from CY11 to CY12.
- After showing increases from CY10 to CY11, all outcome measures in Table 1 indicate a decrease at Level 1 and 2 Security male institutions and Level 1 and 2 Security male open dorm facilities from CY11 to CY12. The violent rule infraction rate, physical/sexual assault rule infraction rate, and harassment assault rule infraction rate have decreased between 1.5% to 12.4% at these prisons from CY11 to CY12.
- The majority of our violent, physical/sexual assault, and harassment assault rule infractions occur at higher security levels (Level 3, 4, and 5 male prisons).

Table 1. Violent and Assaultive Rule Infraction Rates, CY07-CY12. *

Rule Infraction Type	2007	2008	2009	2010	2011	2012
Violent Rule Infraction Rate	157.09	167.00	217.89	213.56	230.24	213.40
by Level 1 and 2 Security (Males) ¹	146.75	145.26	179.91	184.56	194.36	170.29
by Level 1 and 2 Security Open Dorm (Males) ²	158.49	152.89	189.55	200.17	221.75	195.74
by Level 3 Security (Males) ³	160.78	174.24	254.97	244.65	275.98	289.59
by Level 4 and 5 Security (Males) ⁴	415.42	544.07	608.98	459.08	553.97	484.87
by Reception Centers (Males) ⁵	167.52	177.10	258.13	250.40	260.46	247.27
by Female Inmates (across several institutions)	89.83	115.46	186.64	199.04	200.18	177.92
Physical/Sexual Assault Rule Infraction Rate	25.06	26.29	32.55	30.86	36.26	36.79
by Level 1 and 2 Security (Males) ¹	20.32	22.49	28.79	30.39	33.42	30.45
by Level 1 and 2 Security Open Dorm (Males) ²	21.47	23.25	31.80	35.89	39.35	35.62
by Level 3 Security (Males) ³	25.09	25.55	33.57	30.87	39.04	52.71
by Level 4 and 5 Security (Males) ⁴	133.81	128.31	126.16	67.68	108.21	87.97
by Reception Centers (Males) ⁵	20.80	21.86	26.82	26.42	19.49	28.74
by Female Inmates (across several institutions)	12.61	11.49	20.51	21.86	30.04	22.34
Harassment Assault Rule Infraction Rate	18.35	21.58	21.30	18.99	21.95	24.32
by Level 1 and 2 Security (Males) ¹	14.44	11.69	10.08	11.95	13.09	12.55
by Level 1 and 2 Security Open Dorm (Males) ²	12.07	10.75	10.07	12.55	13.63	13.43
by Level 3 Security (Males) ³	11.00	11.58	15.80	16.79	15.15	25.86
by Level 4 and 5 Security (Males) ⁴	144.70	265.21	260.31	161.61	221.39	233.01
by Reception Centers (Males) ⁵	15.94	15.13	16.44	9.61	15.59	15.47
by Female Inmates (across several institutions)	9.19	8.10	14.36	16.59	13.98	14.45

* Rate of RIB rule infractions per 1,000 inmates.

¹ AOCI, BeCI, CCI, GCI, HCF, LaeCI, LoCI, MaCI, MCI, NCI, NCCC, PCI, RiCI, and SCI.

² BeCI, CCI, LaeCI, LoCI, NCI, NCCC, PCI, RiCI, and SCI.

³ LeCI, ManCI, RCI, ToCI, TCI, and WCI.

⁴ OSP and SOCF.

⁵ CRC and LorCI.

Prison-Level Violence and Assault Trends

Table 2 (violent rule infractions, see page 5), Table 3 (physical/sexual assault rule infractions, see page 6), and Table 4 (harassment assault rule infractions, see page 7) disaggregates the information from Table 1 by institution and offers percent change comparisons between CY10 and CY11, and CY11 and CY12. Please note that is important to exhibit some caution when comparing percent changes both within and across institutions.

First, it is important to keep in mind that changes between small counts can produce large percentage changes (for example, see the percent change for physical/sexual assault rule infraction rates for FMC, HCF, and NEPRC).

Next, it is critical to recognize the operational mission and inmate composition of each prison. For instance, we may expect to see more harassment assaults at higher level security institutions. Further, higher level security institutions contain more inmates with violent and disruptive prison misconduct histories.

Finally, please note that some facilities have undergone dramatic changes in mission and operational functioning in last few years. In some cases, data has been merged for AOCI (both ACI and OCF), FMC (both CMC and FPRC), and GCI (GCI and NCCTF) to provide a standardized basis for comparison, and to reflect mission and organizational changes within the department. In other cases, percent change comparisons are completely excluded from the report, like for DCI where the majority of 2011 housed male inmates, while the majority of 2012 housed female inmates.

Some important observations:

- It is somewhat difficult to make broader generalizations regarding violence and assaults at this level of analysis due to the reasons listed above (i.e., different inmate compositions) and the variation in the data at the prison-level.
- However, the majority of the facilities that have reduced their violent rule infraction rate from CY11 to CY12 are Level 1 and 2 Security male institutions, and Level 1 and 2 Security male open dorm facilities (although some exceptions exist). These institutions include: BeCI, CCI, GCI, HCF, LeCI, LoCI, LorCI, MaCI, ManCI, MCI, NCCC, NCI, ORW, OSP, RiCI, and SOCF.
- Similarly, the majority of the facilities that have reduced their physical/sexual assault rule infraction rate from CY11 to CY12 are Level 1 and 2 Security male institutions (again, some exceptions exist). These institutions include: BeCI, CCI, GCI, LeCI, LoCI, MaCI, MCI, NCI, NEPRC, ORW, OSP, RiCI, and SOCF.
- The prisons that have reduced their harassment assault rule infraction rate from CY11 to CY12 include: BeCI, CCI, HCF, LaeCI, LoCI, LorCI, MaCI, MCI, NCI, NEPRC, ORW, and RiCI.

Table 2. Violent Rule Infraction Rate Percent Change by Institution. *

Prison ¹	2010			2011			2012			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	10 to 11	11 to 12
AOCI	135	1539.04	87.72	108	1533.31	70.44	163	1585.38	102.81	-19.70	45.97
BeCI	666	2684.00	248.14	660	2622.62	251.66	538	2672.96	201.27	1.42	-20.02
CCI	432	2908.08	148.55	450	2864.96	157.07	319	2642.50	120.72	5.73	-23.14
CRC	294	1493.85	196.81	336	1577.19	213.04	387	1724.46	224.42	8.25	5.34
DCI	70	797.00	87.83	98	760.81	128.81	228	867.92	262.70	NA	NA
FMC	48	589.58	81.41	32	537.15	59.57	36	533.42	67.49	-26.83	13.29
GCI	162	2188.46	74.02	150	2173.62	69.01	78	1896.19	41.14	-6.77	-40.39
HCF	48	481.19	99.75	29	471.85	61.46	21	454.54	46.20	-38.39	-24.83
LaeCI	165	1493.46	110.48	227	1493.00	152.04	340	1701.15	199.86	37.62	31.45
LeCI	791	2792.19	283.29	1177	2783.35	422.87	948	2560.27	370.27	49.27	-12.44
LoCI	361	2512.62	143.67	302	2329.88	129.62	271	2245.85	120.67	-9.78	-6.91
LorCI	540	1836.88	293.98	466	1502.00	310.25	396	1442.08	274.60	5.54	-11.49
MaCI	745	2308.15	322.77	670	2352.85	284.76	512	2319.23	220.76	-11.78	-22.47
ManCI	698	2493.42	279.94	600	2502.58	239.75	533	2466.46	216.10	-14.35	-9.87
MCI	216	2290.81	94.29	252	2543.88	99.06	209	2588.50	80.74	5.06	-18.49
NCCC	305	2288.42	133.28	395	2297.46	171.93	281	2572.46	109.23	29.00	-36.47
NCI	776	2438.38	318.24	906	2434.04	372.22	734	2454.96	298.99	16.96	-19.68
NEPRC	74	560.65	131.99	62	527.69	117.49	65	540.42	120.28	-10.98	2.37
ORW	660	2628.35	251.11	615	2640.35	232.92	381	2314.42	164.62	-7.24	-29.32
OSP	76	546.46	139.08	130	602.15	215.89	92	584.12	157.50	55.23	-27.05
PCI	300	2100.50	142.82	264	2143.69	123.15	327	2172.42	150.52	-13.77	22.23
RCI	503	2580.27	194.94	397	2322.35	170.95	443	2104.81	210.47	-12.31	23.12
RiCI	513	2509.19	204.45	631	2513.00	251.09	477	2520.92	189.22	22.82	-24.64
SCI	581	1543.35	376.45	656	1553.46	422.28	735	1564.42	469.82	12.17	11.26
SOCF	833	1433.58	581.06	986	1412.38	698.11	834	1325.69	629.11	20.14	-9.88
TCI	287	1367.35	209.90	304	1188.96	255.69	403	1075.27	374.79	21.82	46.58
ToCI	179	1162.00	154.04	272	1358.77	200.18	373	1412.15	264.14	29.95	31.95
WCI	427	1397.00	305.65	438	1395.42	313.88	492	1403.42	350.57	2.69	11.69
Total	10885	50969.08	213.56	11613	50438.77	230.24	10616	49746.42	213.40	7.81	-7.31

* Rate of Violent RIB rule infractions per 1,000 inmates (Rule 1, Rule 2, Rule 3, Rule 4, Rule 5, Rule 6, Rule 7, Rule 11, Rule 12, Rule 15, Rule 19, and Rule 25). The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that most cell values are rounded to two decimal places.

¹ RIB data and population data are combined for (1) AOCI (both ACI and OCF), (2) FMC (both CMC and FPRC), and (3) GCI (GCI and NCCTF) to achieve a standardized basis for comparison. No comparison is made for DCI since the majority of the 2011 time period reflected male inmates, while the majority of the 2012 time period reflected female inmates.

Table 3. Physical/Sexual Assault Rule Infraction Rate Percent Change by Institution. *

Prison ¹	2010			2011			2012			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	10 to 11	11 to 12
AOCI	27	1539.04	17.54	34	1533.31	22.17	52	1585.38	32.80	26.40	47.92
BeCI	138	2684.00	51.42	128	2622.62	48.81	79	2672.96	29.56	-5.08	-39.44
CCI	32	2908.08	11.00	32	2864.96	11.17	24	2642.50	9.08	1.50	-18.69
CRC	50	1493.85	33.47	49	1577.19	31.07	66	1724.46	38.27	-7.18	23.19
DCI	9	797.00	11.29	19	760.81	24.97	35	867.92	40.33	NA	NA
FMC	7	589.58	11.87	4	537.15	7.45	11	533.42	20.62	-37.28	176.92
GCI	14	2188.46	6.40	21	2173.62	9.66	8	1896.19	4.22	51.02	-56.33
HCF	0	481.19	0.00	2	471.85	4.24	3	454.54	6.60	NA	55.71
LaeCI	18	1493.46	12.05	42	1493.00	28.13	82	1701.15	48.20	133.41	71.35
LeCI	126	2792.19	45.13	157	2783.35	56.41	129	2560.27	50.39	25.00	-10.68
LoCI	23	2512.62	9.15	34	2329.88	14.59	27	2245.85	12.02	59.42	-17.62
LorCI	38	1836.88	20.69	11	1502.00	7.32	25	1442.08	17.34	-64.60	136.72
MaCI	78	2308.15	33.79	83	2352.85	35.28	58	2319.23	25.01	4.39	-29.11
ManCI	55	2493.42	22.06	92	2502.58	36.76	128	2466.46	51.90	66.66	41.17
MCI	36	2290.81	15.71	43	2543.88	16.90	42	2588.50	16.23	7.56	-4.01
NCCC	74	2288.42	32.34	63	2297.46	27.42	79	2572.46	30.71	-15.20	11.99
NCI	238	2438.38	97.61	209	2434.04	85.87	162	2454.96	65.99	-12.03	-23.15
NEPRC	12	560.65	21.40	5	527.69	9.48	1	540.42	1.85	-55.73	-80.47
ORW	69	2628.35	26.25	92	2640.35	34.84	48	2314.42	20.74	32.73	-40.48
OSP	18	546.46	32.94	36	602.15	59.79	26	584.12	44.51	81.50	-25.55
PCI	38	2100.50	18.09	36	2143.69	16.79	52	2172.42	23.94	-7.17	42.53
RCI	48	2580.27	18.60	46	2322.35	19.81	53	2104.81	25.18	6.48	27.13
RiCI	99	2509.19	39.45	156	2513.00	62.08	93	2520.92	36.89	57.34	-40.57
SCI	75	1543.35	48.60	97	1553.46	62.44	134	1564.42	85.65	28.49	37.18
SOCF	116	1433.58	80.92	182	1412.38	128.86	142	1325.69	107.11	59.25	-16.88
TCI	51	1367.35	37.30	52	1188.96	43.74	60	1075.27	55.80	17.26	27.58
ToCI	30	1162.00	25.82	51	1358.77	37.53	105	1412.15	74.35	45.38	98.10
WCI	54	1397.00	38.65	53	1395.42	37.98	106	1403.42	75.53	-1.74	98.86
Total	1573	50969.08	30.86	1829	50438.77	36.26	1830	49746.42	36.79	17.50	1.45

* Rate of Physical/Sexual Assault RIB rule infractions per 1,000 inmates (Rule 1, Rule 3, Rule 4, Rule 5, Rule 11, and Rule 12). The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that most cell values are rounded to two decimal places.

¹ RIB data and population data are combined for (1) AOCI (both ACI and OCF), (2) FMC (both CMC and FPRC), and (3) GCI (GCI and NCCTF) to achieve a standardized basis for comparison. No comparison is made for DCI since the majority of the 2011 time period reflected male inmates, while the majority of the 2012 time period reflected female inmates.

Table 4. Harassment Assault Rule Infraction Rate Percent Change by Institution. *

Prison ¹	2010			2011			2012			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	10 to 11	11 to 12
AOCI	18	1539.04	11.70	9	1533.31	5.87	14	1585.38	8.83	-49.81	50.45
BeCI	33	2684.00	12.30	47	2622.62	17.92	18	2672.96	6.73	45.76	-62.42
CCI	58	2908.08	19.94	35	2864.96	12.22	19	2642.50	7.19	-38.75	-41.14
CRC	14	1493.85	9.37	18	1577.19	11.41	24	1724.46	13.92	21.78	21.95
DCI	1	797.00	1.25	2	760.81	2.63	20	867.92	23.04	NA	NA
FMC	7	589.58	11.87	2	537.15	3.72	7	533.42	13.12	-68.64	252.45
GCI	9	2188.46	4.11	4	2173.62	1.84	7	1896.19	3.69	-55.25	100.60
HCF	3	481.19	6.23	4	471.85	8.48	0	454.54	0.00	35.97	-100.00
LaeCI	17	1493.46	11.38	43	1493.00	28.80	40	1701.15	23.51	153.02	-18.36
LeCI	49	2792.19	17.55	52	2783.35	18.68	54	2560.27	21.09	6.46	12.89
LoCI	12	2512.62	4.78	12	2329.88	5.15	7	2245.85	3.12	7.84	-39.48
LorCI	18	1836.88	9.80	30	1502.00	19.97	25	1442.08	17.34	103.83	-13.20
MaCI	46	2308.15	19.93	63	2352.85	26.78	52	2319.23	22.42	34.36	-16.26
ManCI	52	2493.42	20.85	13	2502.58	5.19	58	2466.46	23.52	-75.09	352.69
MCI	17	2290.81	7.42	28	2543.88	11.01	20	2588.50	7.73	48.32	-29.80
NCCC	21	2288.42	9.18	25	2297.46	10.88	32	2572.46	12.44	18.58	14.32
NCI	38	2438.38	15.58	39	2434.04	16.02	29	2454.96	11.81	2.81	-26.27
NEPRC	4	560.65	7.13	2	527.69	3.79	1	540.42	1.85	-46.88	-51.18
ORW	56	2628.35	21.31	48	2640.35	18.18	34	2314.42	14.69	-14.68	-19.19
OSP	8	546.46	14.64	29	602.15	48.16	30	584.12	51.36	228.97	6.64
PCI	26	2100.50	12.38	17	2143.69	7.93	21	2172.42	9.67	-35.93	21.90
RCI	17	2580.27	6.59	19	2322.35	8.18	26	2104.81	12.35	24.18	50.99
RiCI	12	2509.19	4.78	19	2513.00	7.56	19	2520.92	7.54	58.09	-0.31
SCI	40	1543.35	25.92	39	1553.46	25.11	91	1564.42	58.17	-3.13	131.70
SOCF	312	1433.58	217.64	417	1412.38	295.25	415	1325.69	313.04	35.66	6.03
TCI	25	1367.35	18.28	63	1188.96	52.99	69	1075.27	64.17	189.81	21.10
ToCI	37	1162.00	31.84	20	1358.77	14.72	56	1412.15	39.66	-53.77	169.41
WCI	18	1397.00	12.88	8	1395.42	5.73	22	1403.42	15.68	-55.51	173.43
Total	968	50969.08	18.99	1107	50438.77	21.95	1210	49746.42	24.32	15.56	10.83

* Rate of Harassment Assault RIB rule infractions per 1,000 inmates (Rule 6, Rule 7, and Rule 25). The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that most cell values are rounded to two decimal places.

¹ RIB data and population data are combined for (1) AOCI (both ACI and OCF), (2) FMC (both CMC and FPRC), and (3) GCI (GCI and NCCTF) to achieve a standardized basis for comparison. No comparison is made for DCI since the majority of the 2011 time period reflected male inmates, while the majority of the 2012 time period reflected female inmates.

Aggregate and Prison Categorical Rule 19 and Rule 17 Trends

Table 5 and Table 6 display the aggregate Rule 19 (fighting) and Rule 17 (engaging in unauthorized group activities) infraction rates for the last six calendar years. As before, this information is also broken down by meaningful subcategories of prison context, and female inmates are analyzed as an entire population.

Table 5. Rule 19 Infraction Rates, CY07-CY12. *

Rule Infraction Type	2007	2008	2009	2010	2011	2012
Rule 19 Infraction Rate	113.37	118.99	163.78	163.47	171.71	151.91
by Level 1 and 2 Security (Males) ¹	111.79	111.01	141.00	142.08	147.40	127.01
by Level 1 and 2 Security Open Dorm (Males) ²	124.74	118.83	147.68	151.63	168.18	146.34
by Level 3 Security (Males) ³	124.25	136.84	205.60	196.49	221.53	210.12
by Level 4 and 5 Security (Males) ⁴	135.88	150.03	216.13	228.78	224.37	163.37
by Reception Centers (Males) ⁵	130.23	139.83	214.87	214.37	225.38	203.06
by Female Inmates (across several institutions)	68.03	95.87	151.77	160.59	156.15	141.12

* Rate of Rule 19 infractions per 1,000 inmates.

¹ AOCI, BeCI, CCI, GCI, HCF, LaeCI, LoCI, MaCI, MCI, NCI, NCCC, PCI, RiCI, and SCI.

² BeCI, CCI, LaeCI, LoCI, NCI, NCCC, PCI, RiCI, and SCI.

³ LeCI, ManCI, RCI, ToCI, TCI, and WCI.

⁴ OSP and SOCF.

⁵ CRC and LorCI.

Table 6. Rule 17 Infraction Rates, CY07-CY12. *

Rule Infraction Type	2007	2008	2009	2010	2011	2012
Rule 17 Infraction Rate	7.11	5.24	8.66	11.03	20.42	24.36
by Level 1 and 2 Security (Males) ¹	7.64	4.70	9.30	13.08	21.62	18.51
by Level 1 and 2 Security Open Dorm (Males) ²	8.91	6.01	11.47	16.85	29.58	24.09
by Level 3 Security (Males) ³	9.18	7.60	12.10	10.94	23.37	44.27
by Level 4 and 5 Security (Males) ⁴	10.37	7.07	3.19	6.06	39.21	42.41
by Reception Centers (Males) ⁵	2.97	7.57	5.48	9.91	14.29	30.00
by Female Inmates (across several institutions)	0.26	0.00	0.26	0.25	0.78	0.79

* Rate of Rule 17 infractions per 1,000 inmates.

¹ AOCI, BeCI, CCI, GCI, HCF, LaeCI, LoCI, MaCI, MCI, NCI, NCCC, PCI, RiCI, and SCI.

² BeCI, CCI, LaeCI, LoCI, NCI, NCCC, PCI, RiCI, and SCI.

³ LeCI, ManCI, RCI, ToCI, TCI, and WCI.

⁴ OSP and SOCF.

⁵ CRC and LorCI.

Table 5 indicates an increase in fighting from 2007 to 2011. However, we observe reductions in the rate of fighting in the aggregate and across all measures of prison context when comparing CY11 with CY12. The greatest reductions can be seen at our Level 4 and 5 Security male institutions, followed by our Level 1 and 2 Security male facilities.

Table 6 indicates large increases in Rule 17 violations in the last three years. It is important to point out that security threat group participation has increased over the last six years with STG inmates making up 16.6 percent of the DRC prison population at the start of 2013, compared to 13.8 percent in 2007. However, the increase in the rate of Rule 17 infractions is also impacted by the revised profiling system for STG offenders, which requires all documented active or disruptive gang activity to include a Rule 17 violation. We see the greatest increases in Rule 17s at our male reception centers and our Level 3 Security male prisons.

Prison-Level Rule 19 and Rule 17 Trends

Table 7 (Rule 19 infractions, see page 10) and Table 8 (Rule 17 infractions, see page 11) disaggregates the information from Tables 5 and 6 by institution and again offers percent change comparisons between CY10 and CY11, and CY11 and CY12.

Again, please observe caution when comparing percent changes both within and across institutions due to small counts, operational mission, inmate composition, and mission changes at the prison-level.

Some important observations:

- Again, it is somewhat difficult to make broader generalizations regarding violence and assaults at this level of analysis due to the aforementioned reasons and the variation in the data at the prison-level. This is especially the case with inmate composition in terms of Rule 17 infractions (i.e., some prisons have larger concentrations of STG inmates, and the male reception centers see everyone admitted system-wide).
- The Rule 19 trends consistently follow the violence trends since Rule 19 infractions make up the majority of our broader violence measure.

Table 7. Rule 19 Infraction Rate Percent Change by Institution. *

Prison ¹	2010			2011			2012			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	10 to 11	11 to 12
AOCI	90	1539.04	58.48	65	1533.31	42.39	97	1585.38	61.18	-27.51	44.33
BeCI	495	2684.00	184.43	484	2622.62	184.55	441	2672.96	164.99	0.07	-10.60
CCI	342	2908.08	117.60	383	2864.96	133.68	276	2642.50	104.45	13.67	-21.87
CRC	230	1493.85	153.96	269	1577.19	170.56	297	1724.46	172.23	10.78	0.98
DCI	60	797.00	75.28	77	760.81	101.21	173	867.92	199.33	NA	NA
FMC	34	589.58	57.67	26	537.15	48.40	18	533.42	33.74	-16.07	-30.29
GCI	137	2188.46	62.60	125	2173.62	57.51	63	1896.19	33.22	-8.14	-42.23
HCF	45	481.19	93.52	23	471.85	48.74	18	454.54	39.60	-47.88	-18.76
LaeCI	128	1493.46	85.71	136	1493.00	91.09	214	1701.15	125.80	6.28	38.10
LeCI	613	2792.19	219.54	968	2783.35	347.78	764	2560.27	298.41	58.41	-14.20
LoCI	326	2512.62	129.75	253	2329.88	108.59	236	2245.85	105.08	-16.31	-3.23
LorCI	484	1836.88	263.49	425	1502.00	282.96	346	1442.08	239.93	7.39	-15.21
MaCI	621	2308.15	269.05	524	2352.85	222.71	401	2319.23	172.90	-17.22	-22.36
ManCI	591	2493.42	237.02	495	2502.58	197.80	343	2466.46	139.07	-16.55	-29.69
MCI	163	2290.81	71.15	180	2543.88	70.76	147	2588.50	56.79	-0.56	-19.74
NCCC	210	2288.42	91.77	306	2297.46	133.19	170	2572.46	66.08	45.14	-50.38
NCI	500	2438.38	205.05	658	2434.04	270.33	543	2454.96	221.18	31.83	-18.18
NEPRC	58	560.65	103.45	55	527.69	104.23	63	540.42	116.58	0.75	11.85
ORW	535	2628.35	203.55	475	2640.35	179.90	299	2314.42	129.19	-11.62	-28.19
OSP	50	546.46	91.50	65	602.15	107.95	36	584.12	61.63	17.98	-42.91
PCI	236	2100.50	112.35	211	2143.69	98.43	254	2172.42	116.92	-12.39	18.79
RCI	438	2580.27	169.75	332	2322.35	142.96	363	2104.81	172.46	-15.78	20.64
RiCI	402	2509.19	160.21	455	2513.00	181.06	364	2520.92	144.39	13.01	-20.25
SCI	466	1543.35	301.94	520	1553.46	334.74	509	1564.42	325.36	10.86	-2.80
SOCF	403	1433.58	281.12	387	1412.38	274.00	276	1325.69	208.19	-2.53	-24.02
TCI	208	1367.35	152.12	189	1188.96	158.96	274	1075.27	254.82	4.50	60.30
ToCI	112	1162.00	96.39	198	1358.77	145.72	212	1412.15	150.13	51.18	3.02
WCI	355	1397.00	254.12	377	1395.42	270.17	360	1403.42	256.52	6.32	-5.05
Total	8332	50969.08	163.47	8661	50438.77	171.71	7557	49746.42	151.91	5.04	-11.53

* Rate of Rule 19 infractions per 1,000 inmates. The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that most cell values are rounded to two decimal places.

¹ RIB data and population data are combined for (1) AOCI (both ACI and OCF), (2) FMC (both CMC and FPRC), and (3) GCI (GCI and NCCTF) to achieve a standardized basis for comparison. No comparison is made for DCI since the majority of the 2011 time period reflected male inmates, while the majority of the 2012 time period reflected female inmates.

Table 8. Rule 17 Infraction Rate Percent Change by Institution. *

Prison ¹	2010			2011			2012			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	10 to 11	11 to 12
AOCI	1	1539.04	0.65	2	1533.31	1.30	5	1585.38	3.15	100.75	141.79
BeCI	58	2684.00	21.61	105	2622.62	40.04	89	2672.96	33.30	85.27	-16.83
CCI	5	2908.08	1.72	23	2864.96	8.03	8	2642.50	3.03	366.92	-62.29
CRC	5	1493.85	3.35	15	1577.19	9.51	38	1724.46	22.04	184.15	131.70
DCI	4	797.00	5.02	0	760.81	0.00	0	867.92	0.00	NA	NA
FMC	0	589.58	0.00	0	537.15	0.00	1	533.42	1.87	NA	NA
GCI	4	2188.46	1.83	4	2173.62	1.84	5	1896.19	2.64	0.68	43.29
HCF	0	481.19	0.00	0	471.85	0.00	0	454.54	0.00	NA	NA
LaeCI	39	1493.46	26.11	37	1493.00	24.78	27	1701.15	15.87	-5.10	-35.96
LeCI	53	2792.19	18.98	35	2783.35	12.57	49	2560.27	19.14	-33.75	52.20
LoCI	4	2512.62	1.59	9	2329.88	3.86	9	2245.85	4.01	142.65	3.74
LorCI	28	1836.88	15.24	29	1502.00	19.31	57	1442.08	39.53	26.66	104.72
MaCI	22	2308.15	9.53	11	2352.85	4.68	28	2319.23	12.07	-50.95	158.23
ManCI	42	2493.42	16.84	71	2502.58	28.37	104	2466.46	42.17	68.43	48.62
MCI	11	2290.81	4.80	18	2543.88	7.08	11	2588.50	4.25	47.36	-39.94
NCCC	64	2288.42	27.97	87	2297.46	37.87	38	2572.46	14.77	35.40	-60.99
NCI	102	2438.38	41.83	136	2434.04	55.87	129	2454.96	52.55	33.57	-5.96
NEPRC	1	560.65	1.78	0	527.69	0.00	1	540.42	1.85	-100.00	NA
ORW	0	2628.35	0.00	3	2640.35	1.14	2	2314.42	0.86	NA	-23.95
OSP	5	546.46	9.15	11	602.15	18.27	71	584.12	121.55	99.65	565.39
PCI	17	2100.50	8.09	22	2143.69	10.26	11	2172.42	5.06	26.80	-50.66
RCI	12	2580.27	4.65	28	2322.35	12.06	66	2104.81	31.36	159.25	160.08
RiCI	6	2509.19	2.39	99	2513.00	39.40	66	2520.92	26.18	1547.50	-33.54
SCI	50	1543.35	32.40	81	1553.46	52.14	118	1564.42	75.43	60.95	44.66
SOCHF	7	1433.58	4.88	68	1412.38	48.15	10	1325.69	7.54	886.00	-84.33
TCI	14	1367.35	10.24	22	1188.96	18.50	106	1075.27	98.58	80.72	432.76
ToCI	1	1162.00	0.86	94	1358.77	69.18	104	1412.15	73.65	7938.75	6.46
WCI	7	1397.00	5.01	20	1395.42	14.33	59	1403.42	42.04	186.04	193.32
Total	562	50969.08	11.03	1030	50438.77	20.42	1212	49746.42	24.36	85.20	19.31

* Rate of Rule 17 infractions per 1,000 inmates. The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that most cell values are rounded to two decimal places.

¹ RIB data and population data are combined for (1) AOCI (both ACI and OCF), (2) FMC (both CMC and FPRC), and (3) GCI (GCI and NCCTF) to achieve a standardized basis for comparison. No comparison is made for DCI since the majority of the 2011 time period reflected male inmates, while the majority of the 2012 time period reflected female inmates.