

SERIOUS MISCONDUCT IN DRC PRISONS

2014 ANNUAL REPORT

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Introduction

This annual report details serious misconduct trends in the Ohio state prison system from 2007 to 2013. The data and analysis are part of a larger body of work surrounding the support of correctional policies and violence reduction strategies in our institutions. This information is broken down into meaningful prison sub-categories (like prison security level and open dorm facilities) to help monitor trends in violence according to differences in operational mission. A more targeted description of serious misconduct during the last three years is also undertaken at the prison-level.

This report also serves as an important source of reliable data and information for the media, scholars, and outside agencies seeking violence and assault trends within our prisons. Prison misconduct is captured using Rules Infraction Board (RIB) data. This data source is widely used throughout the agency from an operational perspective. For instance, this information has provided the empirical basis for the development of objective security classification instruments for male inmates, supported the revision of the profile for security threat group (STG) inmates, provided state-level comparisons in the Performance-Based Measures System (PBMS) reporting system, and helped facilitate analysis of inmate-level and prison-level violence and other misconduct.

Rules Infraction Board Data

The data below is based on system-wide, prison-level, and categorical rule infraction data generated at the Rules Infraction Board (RIB) from January 1, 2007 through December 31, 2013. **The level of analysis is at the rule infraction-level.** As a result, this information is not the total amount of incidents per se (since multiple rule infractions can make up one incident), but rather the counts of all rule infractions found guilty by the RIB. Please be aware that the availability of RIB data for any particular time period is always contingent upon a one month lag period to allow for the completion of disciplinary hearings, any possible appeals, and due process. For example, misbehavior information for March of 2014 would not be available for analysis until after the first few weeks of May of 2014.

Inmate Serious Misconduct Measures

In the tables that follow, serious rule infractions are expressed in rates per 1,000 inmates. The use of rates is a conventional method that is appropriate when comparing categories with different sized populations. The population data used to compute these rates consists of an average of 26 official Monday counts (or every 2 weeks). Please note that some tables display both rates and counts of rule infractions.

We advance multiple and sophisticated categories of violence and assaults in order to be sensitive to different types of behavior. Definitions of violence that include more routine types of fighting can somewhat obscure more serious types of assaultive (and related) behaviors due to the relative frequency of fighting rule infractions in DRC institutions. For instance, fighting rule violations accounts for 72.8% of violent rule infractions in 2013 (see definitions below). As such, we present information on several additional outcome measures.

First, our definition of ***violent rule infractions*** includes physical assaults, sexual assaults, rioting, fights, throwing liquids/substances, and physical harassment (see Table 2 for the complete list of particular rule violations that make up this measure).

Our definition of ***physical/sexual assault rule infractions*** provide a baseline measure of serious violence and include both physical assaults and sexual assaults (see Table 3 for the complete list of particular rule violations that make up this measure).

Our measure of ***harassment assault rule infractions*** involves throwing bodily substances or liquids onto others, and physical harassment (i.e., intentional grabbing to impede movement, see Table 4 for the complete list of particular rule violations that make up this measure).

We also look at two specific rule infractions from the Inmate Rules of Conduct (5120-9-06). We separately analyze ***Rule 17 rule infractions*** involving engaging in unauthorized group activities, which includes most active and disruptive security threat group participation. We also independently assess ***Rule 19 rule infractions*** including fights with or without weapons.

System-wide and Prison Categorical Violence and Assault Trends

Table 1 (see page 3) displays the overall violent, physical/sexual assault, and harassment assault rule infraction rates over the last seven calendar years. These rates are also observed in terms of meaningful subcategories of prison context. Please note that female inmates are analyzed as an entire population (and not by prison context).

Table 1 indicates a steady increase in violence and assaults from 2007 to 2011. However, we started to see some improvement across all outcome measures moving into 2012. A modest downward trend to varying degrees continued system-wide across all serious misconduct outcome measures during 2013. The reductions in violence and assaults over the last few years are especially apparent at Level 1/2 security male institutions, and Level 1/2 security male open dorm facilities. In contrast, more recent increases in violence and assaults are observed at our Level 3, Level 4, and Level 5 security male institutions.

Some important observations:

*We see a 7.8% increase in the overall violent rule infraction rate from 2010 to 2011. However, we see a 7.4% decrease in the overall violent rule infraction rate from 2011 to 2012, and a 3.4% decrease in the overall violent rule infraction rate from 2012 to 2013.

*The violent rule infraction rate has also decreased from 2012 to 2013 for Level 1/2 security male institutions (7.2% lower), Level 1/2 security male open dorm facilities (10.7% lower), male reception centers (16.1% lower), and for female inmates as a whole (8.1% lower).

Table 1. Violent and Assaultive Rule Infraction Rates, 2007-2013. *

Rule Infraction Type	2007	2008	2009	2010	2011	2012	2013
Violent Rule Infraction Rate	157.09	167.00	217.89	213.56	230.22	213.20	206.03
by Level 1 and 2 Security (Males) ¹	146.75	145.26	179.91	184.56	194.32	169.98	157.76
by Level 1 and 2 Security Open Dorm (Males) ²	160.22	154.15	191.47	202.51	223.14	196.42	175.46
by Level 3 Security (Males) ³	160.78	174.24	254.97	244.65	275.98	289.50	306.44
by Level 4 and 5 Security (Males) ⁴	415.42	544.07	608.98	459.08	553.97	484.86	515.80
by Reception Centers (Males) ⁵	167.52	177.10	258.13	250.40	260.46	247.27	207.56
by Female Inmates (across several institutions)	89.83	115.46	186.64	199.04	200.18	177.92	163.58
Physical/Sexual Assault Rule Infraction Rate	25.06	26.29	32.55	30.86	36.24	36.71	35.54
by Level 1 and 2 Security (Males) ¹	20.32	22.49	28.79	30.39	33.38	30.35	27.05
by Level 1 and 2 Security Open Dorm (Males) ²	21.57	23.30	31.85	35.89	39.40	35.67	30.35
by Level 3 Security (Males) ³	25.09	25.55	33.57	30.87	39.04	52.62	56.67
by Level 4 and 5 Security (Males) ⁴	133.81	128.31	126.16	67.68	108.21	87.97	102.93
by Reception Centers (Males) ⁵	20.80	21.86	26.82	26.42	19.49	28.74	22.82
by Female Inmates (across several institutions)	12.61	11.49	20.51	21.86	30.04	22.34	23.04
Harassment Assault Rule Infraction Rate	18.35	21.58	21.30	18.99	21.95	24.32	20.47
by Level 1 and 2 Security (Males) ¹	14.44	11.69	10.08	11.95	13.09	12.55	12.09
by Level 1 and 2 Security Open Dorm (Males) ²	12.42	10.80	10.07	12.70	13.83	13.43	12.66
by Level 3 Security (Males) ³	11.00	11.58	15.80	16.79	15.15	25.86	22.47
by Level 4 and 5 Security (Males) ⁴	144.70	265.21	260.31	161.61	221.39	233.01	174.76
by Reception Centers (Males) ⁵	15.94	15.13	16.44	9.61	15.59	15.47	14.38
by Female Inmates (across several institutions)	9.19	8.10	14.36	16.59	13.98	14.45	13.67

* Rate of RIB rule infractions per 1,000 inmates. Please note that rates are rounded to two decimal places.

¹ AOCI, BeCI, CCI, GCI, LaeCI, LoCI, MaCI, MCI, NCI, NCCC, PCI, RiCI, and SCI.

² BeCI, CCI, LaeCI, LoCI, NCI, NCCC, PCI, RiCI, and SCI.

³ LeCI, ManCI, RCI, ToCI, TCI, and WCI.

⁴ OSP and SOCF.

⁵ CRC and LorCI.

*Alternatively, the violent rule infraction rate has increased 5.9% for Level 3 security male institutions and increased 6.4% for Level 4 and 5 security male institutions over the last two years.

*The physical/sexual assault rule infraction rate has decreased 3.2% system-wide from 2012 to 2013. However, we see increases during this same time period at Level 3 security male institutions (7.7% higher), Level 4 and 5 security male institutions (17.0% higher), and among our female inmate population (3.1% higher).

*The harassment assault rule infraction rate has decreased system-wide by almost 16% from 2012 to 2013, and these reductions are seen across all prison sub-categories.

Prison-Level Violence and Assault Trends

Table 2 (violent rule infractions, see page 5), Table 3 (physical/sexual assault rule infractions, see page 6), and Table 4 (harassment assault rule infractions, see page 7) disaggregates the serious misconduct information in Table 1 by institution and offers percent change comparisons between misconduct rates from 2011 and 2012, and misconduct rates from 2012 and 2013. These particular tables also list the annual raw counts of serious misbehavior and average population data.

Please note that it is important to exhibit some caution for several reasons when comparing percent changes both within and across institutions. First, please keep in mind that changes between rates with small baseline counts can produce very large percent change values. For instance, please see the percent change for physical/sexual assault rule infraction rates for NERC and the percent change for harassment assault rule infraction rates for FMC.

Next, it is critical to recognize the operational mission and inmate composition of each prison. For instance, we may expect to see more harassment assaults at higher level security institutions. Further, higher level security institutions contain more inmates with violent and disruptive prison misconduct histories.

Finally, it is important to note that some facilities have separate compounds and the data has been merged to reflect operational mission/functioning and to provide a standardized basis for comparison. These prisons include AOCI (both ACI and OCF), FMC (both CMC and FPRC), GCI (GCI and NCCTF), and SCI (both HCF and SCI).

Please also observe that percentage change comparisons for DCI from 2011 to 2012 are not appropriate because the institution transitioned from male inmates to female inmates in 2011.

Some important observations:

*It is somewhat difficult to make broader generalizations regarding violence and assaults at this level of analysis due to the reasons listed above (i.e., different inmate compositions) and the variation in the data at the prison-level.

Table 2. Violent Rule Infraction Rate Percent Change by Institution. *

Prison ¹	2011			2012			2013			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	11 to 12	12 to 13
AOCI	108	1533.31	70.44	163	1585.38	102.81	186	1591.12	116.90	45.97	13.70
BeCI	660	2622.62	251.66	537	2672.96	200.90	528	2717.19	194.32	-20.17	-3.28
CCI	450	2864.96	157.07	319	2642.50	120.72	349	2762.46	126.34	-23.14	4.65
CRC	336	1577.19	213.04	387	1724.46	224.42	345	1790.15	192.72	5.34	-14.12
DCI	98	760.81	128.81	228	867.92	262.70	237	878.42	269.80	NA	2.70
FMC	32	537.15	59.57	36	533.42	67.49	21	452.23	46.44	13.29	-31.19
GCI	150	2173.62	69.01	78	1896.19	41.14	100	1977.50	50.57	-40.39	22.93
LaeCI	227	1493.00	152.04	338	1701.15	198.69	322	1764.31	182.51	30.68	-8.14
LeCI	1177	2783.35	422.87	948	2560.27	370.27	895	2585.69	346.14	-12.44	-6.52
LoCI	302	2329.88	129.62	271	2245.85	120.67	318	2288.81	138.94	-6.91	15.14
LorCI	466	1502.00	310.25	396	1442.08	274.60	319	1408.88	226.42	-11.49	-17.55
MaCI	670	2352.85	284.76	512	2319.23	220.76	336	2320.27	144.81	-22.47	-34.40
ManCI	600	2502.58	239.75	533	2466.46	216.10	589	2497.50	235.84	-9.87	9.13
MCI	252	2543.88	99.06	207	2588.50	79.97	349	2592.19	134.64	-19.27	68.36
NCCC	395	2297.46	171.93	281	2572.46	109.23	338	2668.73	126.65	-36.47	15.95
NCI	906	2434.04	372.22	733	2454.96	298.58	745	2506.00	297.29	-19.78	-0.43
NERC	62	527.69	117.49	65	540.42	120.28	50	574.35	87.06	2.37	-27.62
ORW	615	2640.35	232.92	381	2314.42	164.62	357	2407.81	148.27	-29.32	-9.93
OSP	130	602.15	215.89	92	584.12	157.50	132	463.04	285.07	-27.05	81.00
PCI	264	2143.69	123.15	327	2172.42	150.52	261	2122.12	122.99	22.23	-18.29
RCI	397	2322.35	170.95	443	2104.81	210.47	641	2039.73	314.26	23.12	49.31
RiCI	630	2513.00	250.70	477	2520.92	189.22	275	2503.69	109.84	-24.52	-41.95
SCI	685	2025.31	338.22	753	2018.96	372.96	605	2053.31	294.65	10.27	-21.00
SOCF	986	1412.38	698.11	834	1325.69	629.11	780	1305.08	597.67	-9.88	-5.00
TCI	304	1188.96	255.69	403	1075.27	374.79	255	1294.92	196.92	46.58	-47.46
ToCI	272	1358.77	200.18	372	1412.15	263.43	354	1251.85	282.78	31.59	7.35
WCI	438	1395.42	313.88	492	1403.42	350.57	662	1412.38	468.71	11.69	33.70
Total	11612	50438.77	230.22	10606	49746.42	213.20	10349	50229.73	206.03	-7.39	-3.36

* Rate of violent RIB rule infractions per 1,000 inmates (Rule 1, Rule 2, Rule 3, Rule 4, Rule 5, Rule 6, Rule 7, Rule 11, Rule 12, Rule 15, Rule 19, and Rule 25). The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.

Table 3. Physical/Sexual Assault Rule Infraction Rate Percent Change by Institution. *

Prison ¹	2011			2012			2013			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	11 to 12	12 to 13
AOCI	34	1533.31	22.17	52	1585.38	32.80	52	1591.12	32.68	47.92	-0.36
BeCI	128	2622.62	48.81	79	2672.96	29.56	74	2717.19	27.23	-39.44	-7.85
CCI	32	2864.96	11.17	24	2642.50	9.08	20	2762.46	7.24	-18.69	-20.29
CRC	49	1577.19	31.07	66	1724.46	38.27	53	1790.15	29.61	23.19	-22.64
DCI	19	760.81	24.97	35	867.92	40.33	35	878.42	39.84	NA	-1.20
FMC	4	537.15	7.45	11	533.42	20.62	3	452.23	6.63	176.92	-67.83
GCI	21	2173.62	9.66	8	1896.19	4.22	8	1977.50	4.05	-56.33	-4.11
LaeCI	42	1493.00	28.13	81	1701.15	47.61	77	1764.31	43.64	69.26	-8.34
LeCI	157	2783.35	56.41	129	2560.27	50.39	156	2585.69	60.33	-10.68	19.74
LoCI	34	2329.88	14.59	27	2245.85	12.02	35	2288.81	15.29	-17.62	27.20
LorCI	11	1502.00	7.32	25	1442.08	17.34	20	1408.88	14.20	136.72	-18.12
MaCI	83	2352.85	35.28	58	2319.23	25.01	23	2320.27	9.91	-29.11	-60.36
ManCI	92	2502.58	36.76	128	2466.46	51.90	154	2497.50	61.66	41.17	18.82
MCI	43	2543.88	16.90	41	2588.50	15.84	78	2592.19	30.09	-6.29	89.97
NCCC	63	2297.46	27.42	79	2572.46	30.71	92	2668.73	34.47	11.99	12.25
NCI	209	2434.04	85.87	162	2454.96	65.99	175	2506.00	69.83	-23.15	5.82
NERC	5	527.69	9.48	1	540.42	1.85	4	574.35	6.96	-80.47	276.37
ORW	92	2640.35	34.84	48	2314.42	20.74	52	2407.81	21.60	-40.48	4.13
OSP	36	602.15	59.79	26	584.12	44.51	44	463.04	95.02	-25.55	113.48
PCI	36	2143.69	16.79	52	2172.42	23.94	39	2122.12	18.38	42.53	-23.22
RCI	46	2322.35	19.81	53	2104.81	25.18	93	2039.73	45.59	27.13	81.07
RiCI	155	2513.00	61.68	93	2520.92	36.89	38	2503.69	15.18	-40.19	-58.86
SCI	99	2025.31	48.88	136	2018.96	67.36	97	2053.31	47.24	37.81	-29.87
SOCF	182	1412.38	128.86	142	1325.69	107.11	138	1305.08	105.74	-16.88	-1.28
TCI	52	1188.96	43.74	60	1075.27	55.80	38	1294.92	29.35	27.58	-47.41
ToCI	51	1358.77	37.53	104	1412.15	73.65	92	1251.85	73.49	96.21	-0.21
WCI	53	1395.42	37.98	106	1403.42	75.53	95	1412.38	67.26	98.86	-10.95
Total	1828	50438.77	36.24	1826	49746.42	36.71	1785	50229.73	35.54	1.28	-3.19

* Rate of physical/sexual assault RIB rule infractions per 1,000 inmates (Rule 1, Rule 3, Rule 4, Rule 5, Rule 11, and Rule 12). The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.

Table 4. Harassment Assault Rule Infraction Rate Percent Change by Institution. *

Prison ¹	2011			2012			2013			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	11 to 12	12 to 13
AOCI	9	1533.31	5.87	14	1585.38	8.83	35	1591.12	22.00	50.45	149.10
BeCI	47	2622.62	17.92	18	2672.96	6.73	24	2717.19	8.83	-62.42	31.16
CCI	35	2864.96	12.22	19	2642.50	7.19	33	2762.46	11.95	-41.14	66.14
CRC	18	1577.19	11.41	24	1724.46	13.92	19	1790.15	10.61	21.95	-23.74
DCI	2	760.81	2.63	20	867.92	23.04	32	878.42	36.43	NA	58.09
FMC	2	537.15	3.72	7	533.42	13.12	9	452.23	19.90	252.45	51.65
GCI	4	2173.62	1.84	7	1896.19	3.69	6	1977.50	3.03	100.60	-17.81
LaeCI	43	1493.00	28.80	40	1701.15	23.51	34	1764.31	19.27	-18.36	-18.04
LeCI	52	2783.35	18.68	54	2560.27	21.09	38	2585.69	14.70	12.89	-30.32
LoCI	12	2329.88	5.15	7	2245.85	3.12	7	2288.81	3.06	-39.48	-1.88
LorCI	30	1502.00	19.97	25	1442.08	17.34	27	1408.88	19.16	-13.20	10.54
MaCI	63	2352.85	26.78	52	2319.23	22.42	13	2320.27	5.60	-16.26	-75.01
ManCI	13	2502.58	5.19	58	2466.46	23.52	44	2497.50	17.62	352.69	-25.08
MCI	28	2543.88	11.01	20	2588.50	7.73	37	2592.19	14.27	-29.80	84.74
NCCC	25	2297.46	10.88	32	2572.46	12.44	51	2668.73	19.11	14.32	53.63
NCI	39	2434.04	16.02	29	2454.96	11.81	40	2506.00	15.96	-26.27	35.12
NERC	2	527.69	3.79	1	540.42	1.85	4	574.35	6.96	-51.18	276.37
ORW	48	2640.35	18.18	34	2314.42	14.69	18	2407.81	7.48	-19.19	-49.11
OSP	29	602.15	48.16	30	584.12	51.36	67	463.04	144.70	6.64	181.73
PCI	17	2143.69	7.93	21	2172.42	9.67	30	2122.12	14.14	21.90	46.24
RCI	19	2322.35	8.18	26	2104.81	12.35	41	2039.73	20.10	50.99	62.72
RiCI	19	2513.00	7.56	19	2520.92	7.54	12	2503.69	4.79	-0.31	-36.41
SCI	43	2025.31	21.23	91	2018.96	45.07	39	2053.31	18.99	112.29	-57.86
SOCF	417	1412.38	295.25	415	1325.69	313.04	242	1305.08	185.43	6.03	-40.77
TCI	63	1188.96	52.99	69	1075.27	64.17	14	1294.92	10.81	21.10	-83.15
ToCI	20	1358.77	14.72	56	1412.15	39.66	85	1251.85	67.90	169.41	71.22
WCI	8	1395.42	5.73	22	1403.42	15.68	27	1412.38	19.12	173.43	21.95
Total	1107	50438.77	21.95	1210	49746.42	24.32	1028	50229.73	20.47	10.83	-15.86

* Rate of harassment assault RIB rule infractions per 1,000 inmates (Rule 6, Rule 7, and Rule 25). The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.

*Over half of DRC institutions reduced their violent rule infraction rate from 2012 to 2013 with the majority of these facilities being Level 1/2 security male institutions and Level 1/2 security male open dorm facilities (although some exceptions exist).

*Both male reception centers (CRC and LorCI) and two of three female facilities (NERC and ORW) reduced their violent rule infraction rate from 2012 to 2013.

*Over 60% of DRC institutions reduced their physical/sexual assault rule infraction rate from 2012 to 2013. Although exceptions exist, the majority of these facilities are again Level 1/2 security male institutions and Level 1/2 security male open dorm facilities.

*Half of the Level 3 security male institutions and both male reception centers reduced their physical/sexual assault rule infraction rate over the last two years.

*Over 40% of DRC institutions reduced their harassment assault rule infraction rate from 2012 to 2013. SOCF, which has the highest count and rate of harassment assaults over the last three years, lowered their harassment assault rule infraction rate by 40.8 percent from 2012 to 2013.

System-wide and Prison Categorical Rule 17 and Rule 19 Trends

Table 5 (see page 9) displays the overall Rule 17 (engaging in unauthorized group activities) and Rule 19 (fighting) infraction rates for the last seven calendar years. As before, this information is also broken down by meaningful subcategories of prison context, and female inmates are analyzed as an entire population.

Some important observations:

*Table 5 indicates an increase in fighting from 2007 to 2011. Reductions in the rate of overall fighting and across all measures of prison context are seen when comparing 2011 and 2012, and further reductions are evident system-wide, at Level 1/2 security male institutions, at Level 1/2 security male open dorm facilities, at male reception centers, and for female inmates as a whole moving into 2013.

*We see increases in the rate of fighting at Level 3 security male institutions and Level 4 and 5 security male institutions from 2012 to 2013.

*Table 5 indicates large increases in Rule 17 violations over the last three or four years. However, this trend seems to have stabilized moving into 2013.

*Security threat group participation has increased over the last seven years in DRC prisons. STG inmates made up 13.8 percent of the DRC prison population at the start of 2007 and grew to 16.2 percent at the start of 2014. However, the increase in the rate of Rule 17 infractions has also been greatly impacted by a revised profiling system for STG offenders established in early 2012, which requires all documented active or disruptive gang activity to include a Rule 17 violation.

Table 5. Rule 17 and Rule 19 Infraction Rates, 2007-2013. *

Rule Infraction Type	2007	2008	2009	2010	2011	2012	2013
Rule 17 Infraction Rate	7.11	5.24	8.66	11.03	20.42	24.30	23.95
by Level 1 and 2 Security (Males) ¹	7.64	4.70	9.30	13.08	21.62	18.47	18.98
by Level 1 and 2 Security Open Dorm (Males) ²	8.91	6.01	11.52	16.85	29.58	24.04	23.17
by Level 3 Security (Males) ³	9.18	7.60	12.10	10.94	23.37	44.18	41.15
by Level 4 and 5 Security (Males) ⁴	10.37	7.07	3.19	6.06	39.21	42.41	55.99
by Reception Centers (Males) ⁵	2.97	7.57	5.48	9.91	14.29	29.69	23.76
by Female Inmates (across several institutions)	0.26	0.00	0.26	0.25	0.78	0.79	1.27
Rule 19 Infraction Rate	113.37	118.99	163.78	163.47	171.71	151.79	149.89
by Level 1 and 2 Security (Males) ¹	111.79	111.01	141.00	142.08	147.40	126.81	118.56
by Level 1 and 2 Security Open Dorm (Males) ²	126.03	120.00	149.56	153.82	169.32	146.98	132.41
by Level 3 Security (Males) ³	124.25	136.84	205.60	196.49	221.53	210.12	226.94
by Level 4 and 5 Security (Males) ⁴	135.88	150.03	216.13	228.78	224.37	163.37	237.54
by Reception Centers (Males) ⁵	130.23	139.83	214.87	214.37	225.38	203.06	170.36
by Female Inmates (across several institutions)	68.03	95.87	151.77	160.59	156.15	141.12	126.86

* Rate of rule infractions per 1,000 inmates. Please note that rates are rounded to two decimal places.

¹ AOCI, BeCI, CCI, GCI, LaeCI, LoCI, MaCI, MCI, NCI, NCCC, PCI, RiCI, and SCI.

² BeCI, CCI, LaeCI, LoCI, NCI, NCCC, PCI, RiCI, and SCI.

³ LeCI, ManCI, RCI, ToCI, TCI, and WCI.

⁴ OSP and SOCF.

⁵ CRC and LorCI.

Prison-Level Rule 17 and Rule 19 Trends

Table 6 (Rule 17 infractions, see page 11) and Table 7 (Rule 19 infractions, see page 12) disaggregate the information from Table 5 by institution and again offer raw counts of rule infractions, average population data, and percent change comparisons between 2011 and 2012, and 2012 and 2013.

Please observe caution when comparing percent changes both within and across institutions due to the aforementioned data and interpretational issues.

Some important observations:

*Again, it is somewhat difficult to make broader generalizations due to variation in inmate composition at the prison-level like housing larger amounts of violent and disruptive inmates.

*This challenge is especially relevant in terms of Rule 17 infractions where some prisons may have larger concentrations of STG-related inmates.

Table 6. Rule 17 Infraction Rate Percent Change by Institution. *

Prison ¹	2011			2012			2013			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	11 to 12	12 to 13
AOCI	2	1533.31	1.30	5	1585.38	3.15	6	1591.12	3.77	141.79	19.57
BeCI	105	2622.62	40.04	89	2672.96	33.30	74	2717.19	27.23	-16.83	-18.21
CCI	23	2864.96	8.03	8	2642.50	3.03	17	2762.46	6.15	-62.29	103.27
CRC	15	1577.19	9.51	37	1724.46	21.46	22	1790.15	12.29	125.60	-42.72
DCI	0	760.81	0.00	0	867.92	0.00	0	878.42	0.00	NA	NA
FMC	0	537.15	0.00	1	533.42	1.87	0	452.23	0.00	NA	-100.00
GCI	4	2173.62	1.84	5	1896.19	2.64	4	1977.50	2.02	43.29	-23.29
LaeCI	37	1493.00	24.78	26	1701.15	15.28	70	1764.31	39.68	-38.33	159.59
LeCI	35	2783.35	12.57	49	2560.27	19.14	48	2585.69	18.56	52.20	-3.00
LoCI	9	2329.88	3.86	9	2245.85	4.01	21	2288.81	9.18	3.74	128.95
LorCI	29	1502.00	19.31	57	1442.08	39.53	54	1408.88	38.33	104.72	-3.03
MaCI	11	2352.85	4.68	28	2319.23	12.07	7	2320.27	3.02	158.23	-75.01
ManCI	71	2502.58	28.37	104	2466.46	42.17	116	2497.50	46.45	48.62	10.15
MCI	18	2543.88	7.08	11	2588.50	4.25	56	2592.19	21.60	-39.94	408.37
NCCC	87	2297.46	37.87	38	2572.46	14.77	64	2668.73	23.98	-60.99	62.35
NCI	136	2434.04	55.87	129	2454.96	52.55	103	2506.00	41.10	-5.96	-21.78
NERC	0	527.69	0.00	1	540.42	1.85	0	574.35	0.00	NA	-100.00
ORW	3	2640.35	1.14	2	2314.42	0.86	5	2407.81	2.08	-23.95	140.30
OSP	11	602.15	18.27	71	584.12	121.55	74	463.04	159.81	565.39	31.48
PCI	22	2143.69	10.26	11	2172.42	5.06	7	2122.12	3.30	-50.66	-34.86
RCI	28	2322.35	12.06	66	2104.81	31.36	29	2039.73	14.22	160.08	-54.66
RiCI	99	2513.00	39.40	66	2520.92	26.18	39	2503.69	15.58	-33.54	-40.50
SCI	81	2025.31	39.99	118	2018.96	58.45	99	2053.31	48.21	46.14	-17.51
SOCF	68	1412.38	48.15	10	1325.69	7.54	25	1305.08	19.16	-84.33	153.95
TCI	22	1188.96	18.50	106	1075.27	98.58	79	1294.92	61.01	432.76	-38.11
ToCI	94	1358.77	69.18	103	1412.15	72.94	107	1251.85	85.47	5.43	17.19
WCI	20	1395.42	14.33	59	1403.42	42.04	77	1412.38	54.52	193.32	29.68
Total	1030	50438.77	20.42	1209	49746.42	24.30	1203	50229.73	23.95	19.01	-1.45

* Rate of Rule 17 infractions per 1,000 inmates. The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.

Table 7. Rule 19 Infraction Rate Percent Change by Institution. *

Prison ¹	2011			2012			2013			Percent Change	
	n	pop	rate	n	pop	rate	n	pop	rate	11 to 12	12 to 13
AOCI	65	1533.31	42.39	97	1585.38	61.18	99	1591.12	62.22	44.33	1.69
BeCI	484	2622.62	184.55	440	2672.96	164.61	430	2717.19	158.25	-10.80	-3.86
CCI	383	2864.96	133.68	276	2642.50	104.45	296	2762.46	107.15	-21.87	2.59
CRC	269	1577.19	170.56	297	1724.46	172.23	273	1790.15	152.50	0.98	-11.45
DCI	77	760.81	101.21	173	867.92	199.33	170	878.42	193.53	NA	-2.91
FMC	26	537.15	48.40	18	533.42	33.74	9	452.23	19.90	-30.29	-41.02
GCI	125	2173.62	57.51	63	1896.19	33.22	86	1977.50	43.49	-42.23	30.90
LaeCI	136	1493.00	91.09	213	1701.15	125.21	211	1764.31	119.59	37.45	-4.48
LeCI	968	2783.35	347.78	764	2560.27	298.41	701	2585.69	271.11	-14.20	-9.15
LoCI	253	2329.88	108.59	236	2245.85	105.08	276	2288.81	120.59	-3.23	14.75
LorCI	425	1502.00	282.96	346	1442.08	239.93	272	1408.88	193.06	-15.21	-19.54
MaCI	524	2352.85	222.71	401	2319.23	172.90	299	2320.27	128.86	-22.36	-25.47
ManCI	495	2502.58	197.80	343	2466.46	139.07	389	2497.50	155.76	-29.69	12.00
MCI	180	2543.88	70.76	146	2588.50	56.40	234	2592.19	90.27	-20.29	60.05
NCCC	306	2297.46	133.19	170	2572.46	66.08	195	2668.73	73.07	-50.38	10.57
NCI	658	2434.04	270.33	542	2454.96	220.78	530	2506.00	211.49	-18.33	-4.21
NERC	55	527.69	104.23	63	540.42	116.58	42	574.35	73.13	11.85	-37.27
ORW	475	2640.35	179.90	299	2314.42	129.19	287	2407.81	119.20	-28.19	-7.74
OSP	65	602.15	107.95	36	584.12	61.63	21	463.04	45.35	-42.91	-26.41
PCI	211	2143.69	98.43	254	2172.42	116.92	192	2122.12	90.48	18.79	-22.62
RCI	332	2322.35	142.96	363	2104.81	172.46	506	2039.73	248.07	20.64	43.84
RiCI	455	2513.00	181.06	364	2520.92	144.39	225	2503.69	89.87	-20.25	-37.76
SCI	543	2025.31	268.11	525	2018.96	260.03	468	2053.31	227.92	-3.01	-12.35
SOCF	387	1412.38	274.00	276	1325.69	208.19	399	1305.08	305.73	-24.02	46.85
TCI	189	1188.96	158.96	274	1075.27	254.82	202	1294.92	155.99	60.30	-38.78
ToCI	198	1358.77	145.72	212	1412.15	150.13	177	1251.85	141.39	3.02	-5.82
WCI	377	1395.42	270.17	360	1403.42	256.52	540	1412.38	382.33	-5.05	49.05
Total	8661	50438.77	171.71	7551	49746.42	151.79	7529	50229.73	149.89	-11.60	-1.25

* Rate of Rule 19 infractions per 1,000 inmates. The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.