

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. CUSTOMER NO.  
55-R-0005 842

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA,  
include Zip Code)

NORTH CAROLINA STATE UNIVERSITY  
PO BOX 7003  
RALEIGH, NC 27695

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

NORTH CAROLINA STATE UNIVERSITY  
RALEIGH, NC 27695-7003

MOUNTAIN RESEARCH STATION  
FLETCHER, NC 28732

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY** (Attach additional sheets if necessary or use APHIS FORM 7023A )

A.	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs	20	47	90	2	139
5. Cats		5	214		219
6. Guinea Pigs		11	3		14
7. Hamsters		12			12
8. Rabbits		27	27	7	61
9. Non-Human Primates					
10. Sheep					
11. Pigs	1	175	419	86	680
12. Other Farm Animals					
Horses	34	9	68		77
13. Other Animals					
American Black Bears			22		22
Grasshopper Mice		125			125
Pine Voles		270			270

**ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional official)**  
I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

11/27/2002

EG  
12/3/02

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2) Each principal investigator has considered alternatives to painful procedures.
  - 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
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**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**(Chief Executive Officer or Legally Responsible Institutional official)**

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

I certify that the above is true, correct, and complete. (P.G.C.C. Section 2146)		
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED

1. Registration Number: 55-R-0005 / 842

2/3. Species (common name) & Number of animals used in this study:

Dogs (2)

4. Explain the procedure producing pain and/or distress.

A dog model of human glycogen storage disease, type Ia has been developed. Affected puppies are homozygous recessive for the mutated glucose-6-phosphatase gene. These puppies are unable to convert glucose-6-phosphate to glucose and therefore, are hypoglycemic, if not treated. Newborn affected puppies show overt clinical signs of hypoglycemia (weak, lethargic, seizures). Gene therapy was used to correct clinical hypoglycemia in two affected puppies by injecting each puppy at 3 days of age with a virus vector carrying the normal gene. Regulated gene expression sufficient to clinically correct hypoglycemia is expected by 6 weeks after gene therapy. Puppies receive food supplementation, if needed, during this period to prevent the clinical affects of hypoglycemia. Puppies that do not respond to gene therapy or clinical treatment as indicated by not meeting the following humane endpoints are removed from the study for euthanasia: not eating > 48 hours, weight loss > 15% of normal weight, mutilation of surgical site, depression > 72 hours, non-weight bearing >72 hours, infection not resolved with antimicrobial therapy, moderate to severe clinical signs of pain and distress unalleviated by appropriate analgesics.

5. Provide scientific justification why pain and/or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 6 below)

See description in #4

6. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number (e.g., APHIS, 9 CFR 113.102):

Agency:

CFR:

---

Approval Status:

Approved/Disapproved By:

Date:

Disapproved Reason:

---

1. Registration Number: 55-R-0005 / 842

2/3. Species (common name) & Number of animals used in this study:

Rabbits (7)

4. Explain the procedure producing pain and/or distress.

Under proparacaine-induced topical anesthesia, one eye of rabbits will be intravitreally injected with a test reagent (LPS 10-50 microliters approximately 3 mm posterior to the limbus. The contralateral eye will receive an equal volume of the appropriate vehicle. Injections will be made with a 30- gauge needle attached to a glass Hamilton syringe. At the same time Adv5kB or ADv5TGFB will be instilled or intravitreally injected (50 microliters). Animals will be monitored for evidence of inflammation at various time intervals with a slit lamp to determine the appropriate time for euthanasia.

5. Provide scientific justification why pain and/or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 6 below)

Rabbit eyes are injected after proparacaine HCl drops have anesthetized the corneal surface. However, the use of opioid analgesics, corticosteroids, or non-steroidal anti-inflammatories are not used as they might alter the course of inflammation that is being studied. The use of additional animals to evaluate these substances would need to be included as controls. Investigators in the literature utilizing this same animal model do not use opioid analgesics in their studies. The dose of endotoxin that we inject is low compared to most reports in the literature and induces a moderate inflammatory response. The animals continue to eat and do not become photophobic. The rabbits do not lose appetite or become photophobic. Rabbits are observed closely and if signs of distress are exhibited we would terminate the experiment immediately or contact the laboratory animal veterinarians.

6. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number (e.g., APHIS, 9 CFR 113.102):

Agency:

CFR:

---

Approval Status:

Approved/Disapproved By:

Date:

Disapproved Reason:

---

1. Registration Number: 55-R-0005 / 842

2/3. Species (common name) & Number of animals used in this study:

Pigs (86)

4. Explain the procedure producing pain and/or distress.

15 pigs- Pigs will be infected with infectious organisms (Porcine reproduction & Respiratory Syndrome virus and Mycoplasma hyponeumoniae), which create pneumonia-like symptoms. Approximately, 3 days after infection, anorexia and fever are expected. If animals become too debilitated (fever with body temperature  $> 105$  F for 2 days and lateral recumbency (2 days) and refusal to eat for 3 days, the animals will be euthanized. 71 pigs - Pigs were infected with PRRS virus to evaluate antibody responses and clinical signs / protection following reexposure to homologous strain virus.

5. Provide scientific justification why pain and/or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 6 below)

15 pigs - We are examining means of improving swine performance without antibiotics and do not want treatments to relieve distress and mask potential benefits of test products. We wish, as much as possible, to mimic disease effects found in the swine industry. 71 pigs-We were monitoring temperature responses following challenge with PRRSV and use of analgesics would have interfered with the normal expression of fever. Fever is one of the most reliable indicators of lack of protection to PRRSV challenge in immunized animals, particularly in adult swine.

6. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number (e.g., APHIS, 9 CFR 113.102):

Agency: CFR:

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Approval Status:

Approved/Disapproved By:

Date:

Disapproved Reason:

---

1. Registration Number: 55-R-0005 / 842

2/3. Species (common name) & Number of animals used in this study:

Woodchucks (5)

4. Explain the procedure producing pain and/or distress.

Woodchuck hepatitis virus infected woodchucks are at risk for liver tumor development. We use infected animals in antiviral trials. Chronically infected animals are kept to yield preneoplastic and neoplastic liver lesions for study of the mechanisms of cancer.

5. Provide scientific justification why pain and/or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 6 below)

It cannot be determined if an animal develops a tumor until blood is collected for serum gamma glutamyl transpeptidase or they are sedated and palpated. Since they cannot be on pain relief medication their entire life span, there may be a period during which an animal has hepatocellular carcinoma. Once the tumor is identified the animals are euthanized.

6. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number (e.g., APHIS, 9 CFR 113.102):

Agency: CFR:

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Approval Status:

Approved/Disapproved By:

Date:

Disapproved Reason:

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**APHIS Form 7023 Additional Reported Sites**

The following additional sites have been reported by the facility. The reported sites have not been verified by APHIS and have been provided by the facility solely for completeness of the APHIS Form 7023 Annual Reporting submission.

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Registration Number: 55-R-0005  
Customer Number: 842  
Facility: NORTH CAROLINA STATE UNIVERSITY  
PO BOX 7003  
RALEIGH, NC 27695

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Site A:College of Veterinary Medicine  
Site A Address: Laboratory Animal Resources (LAR)  
Site A City, State, Zip: Raleigh, NC  
Site B: College of Veterinary Medicine  
Site B Address: Pylon Research Facilities  
Site B City, State, Zip: Raleigh, NC  
Site C: College of Veterinary Medicine  
Site C Address: Veterinary Equine Research Center (VERC)  
Site C City, State, Zip: Southern Pines, NC  
Site D: College of Veterinary Medicine  
Site D Address: Teaching Animal Unit  
Site D City, State, Zip: Raleigh, NC  
Site E: College of Agriculture and Life Sciences  
Site E Address: Biological Resources Facility  
Site E City, State, Zip: Raleigh, NC  
Site F: College of Agriculture and Life Sciences  
Site F Address: Lake Wheeler Field Laboratory - Swine  
Site F City, State, Zip: Raleigh, NC  
Site G: Central Crops Research Station  
Site G Address: Clayton Swine Test Station  
Site G City, State, Zip: Clayton, NC  
Site H: University Field Laboratories  
Site H Address: Metabolism Educational Unit  
Site H City, State, Zip: Raleigh, NC

OCT 22 2002

See attached form for  
additional information

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-F-0001

FORM APPROVED  
CMB NO. 0579-006

CUSTOMER NUMBER: 962

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

U.S. Environmental Protection Agency  
National Health & Environmental  
Research Lab (Mail Drop 51)  
Research Triangle Pa, NC 27711

Telephone: (919) -541-2281

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	None	None	None	None	0
5. Cats	"	"	"	"	0
6. Guinea Pigs	"	"	146	"	146
7. Hamsters	"	175	None	"	175
8. Rabbits	"	17	"	"	17
9. Non-human Primate	"	None	"	"	0
10. Sheep	"	"	"	"	0
11. Pigs	"	"	"	"	0
12. Other Farm Animals	"	"	"	"	0
13. Other Animals	None	None	None	None	0

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

( Type or Print )

DATE SIGNED

10-18-02

**APHIS Form 7023 Site List**

The following sites have been reported by the facility.

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Registration Number: 55-F-0001  
Customer Number: 962  
Facility:  
1. Environmental Research Center  
2. NHEERL Facility

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JAN 24 2003

This report is required by law (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

See reverse side for additional information.

Interagency Report Control No  
0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

## ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

### 1. REGISTRATION NO.

55-F-0007 955

FORM APPROVED  
OMB NO. 0579-0036

### 2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, Include Zip Code)

USAJFKSWCS

Medical Training

BLDG: 5-3845

Fort Bragg, NC 28310 Telephone: (910) 396-0089

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

### FACILITY LOCATIONS (Sites)


### REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep	6				
11. Pigs					6
12. Other Farm Animals					
13. Other Animals					
Goats	1126				1126

### ASSURANCE STATEMENTS

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### CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official)

I certify that the above is true, correct, and complete (7 USC Section 2143)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

22 Jan 03

NOV 9 4 2002

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	1. CERTIFICATE NUMBER: 55-R-0002 CUSTOMER NUMBER: 861	FORM APPROVED OMB NO. 0579-0036
<b>ANNUAL REPORT OF RESEARCH FACILITY</b> ( TYPE OR PRINT )		
Research Triangle Institute 3040 Cornwallis Rd. P.O. Box 12194 Research Triangle Pa, NC 27709		
Telephone: (919) -541-6412		

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

## **ASSURANCE STATEMENTS**

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**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**( Chief Executive Officer or Legally Responsible Institutional Official )**

DATE SIGNED  
11/1/02

APHIS Form 7023 Site List

The following sites have been reported by the facility.

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Registration Number: 55-R-0002  
Customer Number: 861  
Facility: RESEARCH TRIANGLE INSTITUTE  
3040 CORNWALLIS RD.  
P.O. BOX 12194  
RESEARCH TRIANGLE PA, NC 27709  
(919) 541-6412

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RESEARCH TRIANGLE INSTITUTE  
3040 CORNWALLIS ROAD  
RESEARCH TRIANGLE PA, NC 27709

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0004  
CUSTOMER NUMBER: 826

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

University Of North Carolina  
@ Chapel Hill  
Cb# 7115-B12 Berryhill Hall  
Div. Of Lab Animal Medicine  
Chapel Hill, NC 27599

Telephone: (919) -966-5625

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY / Attach additional sheets if necessary or use APHIS Form 7023A

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F.  TOTAL NUMBER OF ANIMALS  (COLUMNS C + D + E)
4. Dogs		162	55	0	217
5. Cats		23	1	0	24
6. Guinea Pigs		13	68	0	81
7. Hamsters		0	0	0	0
8. Rabbits		97	120	0	217
9. Non-human Primate	5	0	14	0	14
10. Sheep		2	2	0	4
11. Pigs		137	171	0	308
12. Other Farm Animals					
13. Other Animals					
ound Squirrels		12	0	0	12

ASSURANCE STATEMENTS

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CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional Official)

DATE SIGNED  
11-26-02

55 124

3. Facility Locations:

- A). Mary Ellen Jones Building
- B). Thurston-Bowles Building
- C). Dental Research Center
- D). Beard Hall
- E). Davie Hall
- F). Berryhill Hall
- G). Burnett-Womack Building
- H). Taylor Hall
- I). McGavran-Greenberg
- J). Francis Owen Blood Research Laboratory
- K). Animal Resources Center
- L). Neuroscience Research Building

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0007  
CUSTOMER NUMBER: 829

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

University Of North Carolina  
@ Charlotte  
9201 University City Blvd.  
Charlotte, NC 28223

*NOV 12 2002*

Telephone: (704) -547-2291

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY / Attach additional sheets if necessary or use APHIS Form 7023A )

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5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primate					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					<i>Ø</i>

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CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL

*or Print*

DATE SIGNED

*11/6/02*

**APHIS Form 7023 Site List**

The following sites have been reported by the facility.

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Registration Number: 55-R-0007  
Customer Number: 829  
Facility: UNIVERSITY OF NORTH CAROLINA  
9201 UNIVERSITY CITY BLVD.  
CHARLOTTE, NC 28223  
(704) 547-2291

---

UNC @ CHARLOTTE  
MCENIRY HALL  
CHARLOTTE, NC 28223

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0010  
CUSTOMER NUMBER: 819

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

East Carolina University  
Department Of Comparative Med,  
School Of Medicine  
Greenville, NC 27858

NOV 20 2002

Telephone: (919) -816-2076

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F.  TOTAL NUMBER OF ANIMALS  ( COLUMNS C + D + E )
4. Dogs			64		64
5. Cats					
6. Guinea Pigs			38		38
7. Hamsters					
8. Rabbits	18		468		486
9. Non-human Primate			35		35
10. Sheep			47		47
11. Pigs			169		169
12. Other Farm Animals					
13. Other Animals					
Ferret			12		12
Grasshopper	Mice		102		102

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or IACUC Responsible Institutional Official )

DATE SIGNED

11/18/02

## FACILITY SITES LISTING

Licensee/Registrant Name: East Carolina University

License/Registration Number: 55-R-0010

Please list below all sites that house regulated animals under the above number. Be sure to include all requested information. If the line does not apply, please mark it N/A. If you have more than three (3) sites copy this form as many times as needed before filling in the sites.

Site No.: 1 Name/Department: School of Medicine

Address: Moye Boulevard, East Carolina University

Greenville, NC 27858

Building: Brody Medical Sciences

Floor/Room: Animal Housing on Ground and Seventh Floor; Research

Laboratories on Floors Three thru Eight

Contact Person: [REDACTED] Phone No.: [REDACTED]

Site No.: 2 Name/Department: School of Medicine

Address: Emergency Drive, East Carolina University

Greenville, NC 27858

Building: Life Sciences Building

Floor/Room: Animal Housing on First Floor; Research Laboratories

on Second Floor

Contact Person: [REDACTED] Phone No.: [REDACTED]

## FACILITY SITES LISTING

Licensee/Registrant Name: East Carolina University

License/Registration Number: 55-R-0010

Please list below all sites that house regulated animals under the above number. Be sure to include all requested information. If the line does not apply, please mark it N/A. If you have more than three (3) sites copy this form as many times as needed before filling in the sites.

Site No.: 3 Name/Department: Biology

Address: East Carolina University

Greenville, NC 27858

Building: Howell Science Complex

Floor/Room: First and Third Floors – Animal Housing Facility

Contact Person: [REDACTED] Phone No.: [REDACTED]

Site No.: 4 Name/Department: Psychology

Address: East Carolina University

Greenville, NC 27858

Building: Ragsdale Annex

Floor/Room: First Floor – Animal Housing Facility and Laboratories

Contact Person: [REDACTED] Phone No.: [REDACTED]

RECEIVED  
UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0012  
CUSTOMER NUMBER: 820

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

U.S. WILMINGTON  
CLOSING PROGRAMS @ WILMINGTON  
University Of North Carolina  
601 South College Rd.  
Wilmington, NC 28403

Telephone: (910) -962-3810

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primate					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
Voles	100	70	0	0	70

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

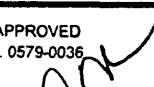
CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

Print

DATE SIGNED

*12/5/02*

100 OCT 22 2002

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	1. CERTIFICATE NUMBER: 55-R-0013 CUSTOMER NUMBER: 821	FORM APPROVED OMB NO. 0579-0036 
<b>ANNUAL REPORT OF RESEARCH FACILITY</b> ( TYPE OR PRINT )		
Greer Laboratories, Inc. Albion Medical Holdings, Inc 639 Nuway Circle, N.E. P.O. Box 800 Lenoir, NC 28645  Telephone: (704) 754-5327    828-754-5327		

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )**

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2) Each principal investigator has considered alternatives to painful procedures.
  - 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**( Chief Executive Officer or Legally Responsible Institutional Official )**

**DATE SIGNED**

202102

APHIS Form 7023 Site List

The following sites have been reported by the facility.

---

Registration Number: 55-R-0013  
Customer Number: 821  
Facility: GREER LABORATORIES, INC.  
639 NUWAY CIRCLE, N.E.  
P.O. BOX 800  
LENOIR, NC 28645  
(828) 754-5327

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GREER LABORATORIES/ANIMAL FACILITY  
HIGHWAY 90 NORTH  
LENOIR, NC 28645

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. CUSTOMER NO.  
55-R-0017 822

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA,  
include Zip Code) **CIIT Centers for Health Research**  
**CHEMICAL INDUSTRY INSTITUTE OF TOXICOLOGY**  
6 DAVIS DRIVE  
RESEARCH TRIANGLE PA, NC 27709

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-Human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
Mice		2,924			2,924
Rats		1,446			1,446

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional official)**

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

Print) DATE SIGNED  
10/01/02

PART 1 - HEADQUARTERS

OCT 04 2002

The following sites have been reported by the facility.

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Registration Number: 55-R-0017

Customer Number: 822

Facility: CHEMICAL INDUSTRY INSTITUTE OF TOXICOLOGY CIIT Centers for Health Research  
6 DAVIS DRIVE  
RESEARCH TRIANGLE PA, NC 27709

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CIIT  
6 DAVIS DRIVE  
RESEARCH TRIANGLE PA, NC 27709

OCT 24 2002

See attached form for additional information

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0019  
CUSTOMER NUMBER: 862

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)

Central Carolina Community College  
1105 Kelly Drive  
Sanford, NC 27330

Telephone: (919) -775-5401

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS Form 7023A)

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F.  TOTAL NUMBER OF ANIMALS  (COLUMNS C + D + E)
4. Dogs	0	0	40	0	40
5. Cats	2	0	32	0	32
6. Guinea Pigs	0	5	0	0	5
7. Hamsters	0	0	0	0	0
8. Rabbits	0	0	10	0	10
9. Non-human Primate	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	0	0	0	0	0
12. Other Farm Animals	—	—	—	—	—
Cows	0	0	5	0	5
13. Other Animals	—	—	—	—	—
Horses	0	0	5	0	5

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL

/ Chief Executive Officer or IACUC Responsible Institutional Official

DATE SIGNED

10/22/02



5/5/9

**LEE COUNTY CAMPUS**  
1105 Kelly Drive • Sanford, NC 27330  
919-775-5401 • (FAX) 919-775-1221  
**SERVING CHATHAM, LEE & HARNETT COUNTIES**

## Facility Locations (Sites)

1. Small animals: Central Carolina Community College Science Building (Vet. Lab

1105 Kelly Drive  
Sanford, NC 27330

2. Large animals: Gaster's Farm  
2718 Country Way  
Sanford, NC 27330

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0021  
CUSTOMER NUMBER: 823

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

University Of North Carolina  
@ Greensboro  
Research Services Rm.100 Mciver Bldg  
Greensboro, NC 27402

Telephone: (336) -334-5878

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

1st floor Stone Bldg  
1st 6th floor Eberhart Bldg

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F.  TOTAL NUMBER OF ANIMALS  ( COLUMNS C + D + E )
4. Dogs					0
5. Cats					0
6. Guinea Pigs					0
7. Hamsters					0
8. Rabbits					0
9. Non-human Primate					0
10. Sheep					0
11. Pigs					0
12. Other Farm Animals					0
13. Other Animals					0

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

DATE SIGNED

11/12/02

NOV 29 2002

See attached form for  
additional information

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0022  
CUSTOMER NUMBER: 813

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

North Carolina A&T State University  
Lab. Animal Resource Unit  
116 Webb Hall  
Greensboro, NC 27411

Telephone: (910) -334-7720

336

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F.  TOTAL NUMBER OF ANIMALS  ( COLUMNS C + D + E )
4. Dogs	2	3	3	0	6
5. Cats	0	1	0	0	1
6. Guinea Pigs	15	11	10	0	21
7. Hamsters	0	0	0	0	0
8. Rabbits	0	3	0	0	3
9. Non-human Primate	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	0	0	4	0	4
12. Other Farm Animals	0	0	0	0	0
13. Other Animals					
14. Gerbils	18	10	8	0	18

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

or Print

DATE SIGNED

11/26/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0104  
CUSTOMER NUMBER: 8210

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

University Of North Carolina-Asheville  
Cpo 4530- *1440*  
The University Of Nc At Asheville  
One University Heights  
Asheville, NC 28804

OCT 7 2002

Telephone: (828) -251-6476

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	0				
5. Cats	- 0				
6. Guinea Pigs	0				
7. Hamsters	0				
8. Rabbits	0				
9. Non-human Primate	0				
10. Sheep	0				
11. Pigs	0				
12. Other Farm Animals	0				
13. Other Animals	0				0

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL

SIGNED  
*/1/09*

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE		1. REGISTRATION NO. 55-R-0107	CUSTOMER NO. 808	FORM APPROVED OMB NO. 0579-0036	
<b>ANNUAL REPORT OF RESEARCH FACILITY</b> <i>(TYPE OR PRINT)</i>		2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code) CAROLINAS MEDICAL CENTER CAROLINA HEALTH CARE SYSTEM P.O. BOX 32861 CHARLOTTE, NC 28232-2861			
3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary)					
FACILITY LOCATIONS(sites)					
CAROLINAS MEDICAL CENTER CHARLOTTE, NC 28232					
<b>REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY</b> (Attach additional sheets if necessary or use APHIS FORM 7023A)					
A.	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs	1		120		120
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits	4		140		140
9. Non-Human Primates					
10. Sheep					
11. Pigs	2		159		159
12. Other Farm Animals					
13. Other Animals					
Sand Rats	83		132		132
Ferrets			2		2
#					
<b>ASSURANCE STATEMENTS</b>					
<ol style="list-style-type: none"> <li>Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.</li> <li>Each principal investigator has considered alternatives to painful procedures.</li> <li>This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.</li> <li>The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.</li> </ol>					

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
(Chief Executive Officer or Legally Responsible Institutional official)  
I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED
		11/29/2002

NOV 25 2002

See attached form for additional information

Interagency Report Control No.: *[Signature]*

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0108  
CUSTOMER NUMBER: 815

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

Integrated Laboratory Systems, Inc.  
800-12 Capitola Dr  
Po Box 13501  
Research Triangle Pa, NC 27713

Telephone: (919) -544-4589

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B.	C.	D.	E.	F.
Animals Covered By The Animal Welfare Regulations	Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet	Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					O
5. Cats					O
6. Guinea Pigs					O
7. Hamsters					O
8. Rabbits					O
9. Non-human Primate					O
10. Sheep					O
11. Pigs					O
12. Other Farm Animals					O
13. Other Animals					O

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

IAL ( Type or Print )

DATE SIGNED

11-21-02

55 & 10Y

## **FACILITY LOCATIONS**

### **Facility # 1**

#### **Physical Address**

800-12 Capitola Drive  
Durham, NC 27709

#### **Mailing Address**

P.O. Box 13501  
RTP, NC 27709

### **Facility # 2**

#### **Physical Address**

601 Keystone Park Drive  
Suite 100  
Durham, NC 27713

#### **Mailing Address**

P.O. Box 13501  
RTP, NC 27709

OCT 01 2002 See attached form for additional information

<p>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE</p> <p><b>ANNUAL REPORT OF RESEARCH FACILITY</b> ( TYPE OR PRINT )</p>	<p>1. CERTIFICATE NUMBER: 55-R-0111 CUSTOMER NUMBER: 959</p>	<p>FORM APPROVED OMB NO. 0579-0036</p>
<p><b>Davidson College</b> P.O. Box 7118 Davidson, NC 28035</p> <p>Telephone: (704) -894-2617</p>		

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F.  TOTAL NUMBER OF ANIMALS  ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primate					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
Gerbils	20	319			319

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2) Each principal investigator has considered alternatives to painful procedures.
  - 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**( Chief Executive Officer or Legally Responsible Institutional Official )**

SIG

**DATE SIGNED**

9/25/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. CUSTOMER NO.  
55-R-0114 988

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)  
GASTON COLLEGE/VET TECH PROGRAM  
222 LEISURE LANE  
DALLAS, NC 28034

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS/sites)

See Attached Listing

Gaston College/Vet Tech Building  
222 Leisure Lane  
Dallas, NC 28034

Gaston County Animal Control  
Dallas, NC 28034

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A.	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs	0	34	120	0	154
5. Cats	0	10	49	0	59
6. Guinea Pigs	0	3	7	0	10
7. Hamsters	0	0	0	0	0
8. Rabbits	0	0	7	0	7
9. Non-Human Primates	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	0	0	0	0	0
12. Other Farm Animals					
Horses	0	5	0	0	5
13. Other Animals					
Cattle	0	4	0	0	4

ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- Each principal investigator has considered alternatives to painful procedures.
- This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional official)**  
I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

DATE SIGNED

11/5/91

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 55-R-0114 CUSTOMER NO. 988

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)  
GASTON COLLEGE/VET TECH PROGRAM  
222 LEISURE LANE  
DALLAS, NC 28034

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing

Gaston College/Vet Tech Building  
222 Leisure Lane  
Dallas, NC 28034

Gaston County Animal Control  
Dallas, NC 28034

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs	0	34	120	0	154
5. Cats	0	10	49	0	59
6. Guinea Pigs	0	3	7	0	10
7. Hamsters	0	0	0	0	0
8. Rabbits	0	0	7	0	7
9. Non-Human Primates	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	0	0	0	0	0
12. Other Farm Animals					
Horses	0	5	0	0	5
13. Other Animals					
Cattle	0	4	0	0	4

ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- Each principal investigator has considered alternatives to painful procedures.
- This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional official)**  
I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

DATE SIGNED

11/5/02

NOV 4 4 2002

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	1. CERTIFICATE NUMBER: 55-R-0115 CUSTOMER NUMBER: 1638	FORM APPROVED OMB NO. 0579-0036
<b>ANNUAL REPORT OF RESEARCH FACILITY</b> ( TYPE OR PRINT )		
Molecular Toxicology, Inc. 157 Industrial Park Dr. Boone, NC 28607		
Telephone: (704) -264-9099 <i>828</i>		

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2) Each principal investigator has considered alternatives to painful procedures.
  - 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**( Chief Executive Officer or Legally Responsible Institutional Official )**

| DATE SIGNED

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NOV 19 2002

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 55-R-0117 CUSTOMER NO. 9721

FORM APPROVED  
OMB NO. 0579-0036

11/19

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA,  
include Zip Code)  
ICAGEN, INC  
ICAGEN, INC  
4222 EMPEROR BOULEVARD  
SUITE 300 350  
DURHAM, NC 27703

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing

Suite 460, same address as above

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY** (Attach additional sheets if necessary or use APHIS FORM 7023A )

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs		15			15
7. Hamsters					
8. Rabbits					
9. Non-Human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

**ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
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- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional official)**

DATE SIGNED

11/15/02

ART 1 - HEADQUARTERS

SEP 23 2002

See attached form for  
additional information

Interagency Report Control No.: *CB*

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE		1. CERTIFICATE NUMBER: 55-R-0118 CUSTOMER NUMBER: 13918	FORM APPROVED OMB NO. 0579-0036
ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)		Biotechnics 310 Millstone Drive Hillsborough, NC 27278 Telephone: (919) -245-3114	

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

*310 Millstone Dr, Hillsborough NC 27278*

FACILITY LOCATIONS (Sites) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY / Attach additional sheets if necessary or use APHIS Form 7023A

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F.  TOTAL NUMBER OF ANIMALS  (COLUMNS C + D + E)
4. Dogs	○	○	○	○	○
5. Cats	○	○	○	○	○
6. Guinea Pigs	○	○	○	○	○
7. Hamsters	○	○	○	○	○
8. Rabbits	○	○	○	○	○
9. Non-human Primate	○	○	○	○	○
10. Sheep	○	○	○	○	○
11. Pigs	○	○	○	○	○
12. Other Farm Animals	○	○	○	○	○
13. Other Animals	-	-	-	-	-

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional Official)

DATE SIGNED

*20 Sept 02*

DEC 09 2002 See attached form for  
additional information

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	1. CERTIFICATE NUMBER: 55-R-0119 CUSTOMER NUMBER: 16933	FORM APPROVED OMB NO. 0579-0036 <i>Ch</i>
<b>ANNUAL REPORT OF RESEARCH FACILITY</b> ( TYPE OR PRINT )		
Hybrizyme Corp. 2801 Blue Ridge Rd-Suite G70 Raleigh, NC 27607  Telephone: (999) -999-9999		

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

NA

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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  - 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )**

**SIGNATURE**

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25 Print

DATE SIGNED

12/2/02

DEC 09 2002

See attached form for additional information

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 55-R-0119  
CUSTOMER NUMBER: 16933

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

Hybrizyme Corp.  
2801 Blue Ridge Rd-Suite G70  
Raleigh, NC 27607

Telephone: (999) -999-9999

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

N/A

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs		23			23
7. Hamsters					
8. Rabbits					
9. Non-human Primate					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SK

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DATE SIGNED

12/2/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. CUSTOMER NO.  
55-R-0109 816

FORM APPROVED!  
OMB NO. 0578-0038

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA,  
Include Zip Code)  
BAYER CORPORATION, PHARMACEUTICAL DIV.  
P. O. BOX 507  
CLAYTON, NC 27520  
(919) 553-5011

*DEC 16 2002*

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing

See Attached

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A.	B. Number of animals covered by the Animal Welfare Regulations	C. Number of animals upon which breeding, conditioning, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (cols. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs		2668 2688		1922**	4590
7. Hamsters		<i>wrong number entered</i> EWS 12-13-02			
8. Rabbits		2311			2311
9. Non-Human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
				See Attached**	

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional official)**

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

*12/12/02*

I (Oct 88), which is obsolete

PART 1 - HEADQUARTERS

*(Signature)*

**Column E Explanation**

This form is intended as an aid to completing the Column E explanation. It is not an official form and its use is voluntary. Names, addresses, protocols, veterinary care programs, and the like, are not required as part of an explanation. A Column E explanation must be written so as to be understood by lay persons as well as scientists.

1. Registration Number: 55-R-0109

2. Number 1922 of animals used in this study.

3. Species (common name) Guinea pigs of animals used in the study.

4. Explain the procedure producing pain and/or distress.

a. Diphtheria potency - To determine the anti-diphtheria potency of intramuscular and intravenous products using neutralization methodology.

b. Tetanus potency - To quantitate the potency of Tetanus Immune Globulin (Human) by using neutralization methodology.

5. Provide scientific justification why pain and/or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 6 below)

This testing is mandated by Bayer Product License Testing Requirements. Administration of anesthetic, analgesic, or tranquilizer drugs could interfere with the outcome of the testing.

6. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number (e.g., APHIS, 9 CFR 113.102):

Agency FDA CFR 21 CFR 640.100