

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0001

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

Diamond Animal Health Inc  
2538 Se 43rd St  
Des Moines, IA 50327

Telephone: (515) -263-8600

*EOK Dauter 126-02*

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use o pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for wh the use of appropriate anesthetic, analgesic, or tranquiliz drugs would have adversely affected the procedures, res or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reas such drugs were not used must be attached to this report	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats			159		159
6. Guinea Pigs			171		171
7. Hamsters		2902		3967	6869
8. Rabbits			378		378
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
Cattle	63	358			358
13. Other Animals					
Horses		14			14
Goats			9		9

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual rese:  
teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and app:  
Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary in:  
brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

*[Signature]* NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL ( Type or Print )

DATE SIGNED

*11/15/02*

3), which is obsolete.)

JAN 27 2003

#### Column E Explanation

This form is intended as an aid to completing the Column E explanation. It is not an official form and its use is voluntary. Names, addresses, protocols, veterinary care programs, and the like, are not required as part of an explanation. A Column E explanation must be written so as to be understood by lay persons as well as scientists.

1. Registration Number: 42-R-001

2. Species (common name) and number of animals used in this study hamsters, 3967  
(list all that apply for this explanation). If you used the same species in more than one procedure, list each procedure and the number of animals used in that procedure in Item 3.

3. Explain the procedure producing pain and/or distress.

To satisfy Federally mandated testing for Leptospira bacterins virulent Leptospira organisms are injected intra peritoneally into hamsters to determine potency of the bacterin and LD<sub>50</sub> of the Leptospira suspension. Leptospira causes death in susceptible hamsters. From the comparison of the protected alive hamsters to the unprotected dead hamsters the potency of the bacterin and LD<sub>50</sub> are obtained.

4. Provide scientific justification why pain and/or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below)

Death of the control hamsters and hamsters used to determine LD<sub>50</sub> is the endpoint requirement for potency testing of leptospira bacterins.

The test is not valid unless 8 or more of the 10 unvaccinated control hamsters die. To calculate LD<sub>50</sub>, unvaccinated hamsters must be challenged with each potency test.

Interventions, such as analgesics and antibiotics, would likely prolong the course of the disease, may prevent or delay death, interfering with test results.

Pain and/or distress cannot be relieved due to the requirement of death as an endpoint within 14 days post-challenge. (b)(6), (b)(7)c

5. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number (e.g., APHIS, 9 CFR 113.102):

Agency APHIS

9 CFR 113.101, 113.102,  
113.103; and 113.104.

This report is required by law (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 21.

See attached form for additional information.

Interagency Report Control No.: 88852

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**ANNUAL REPORT OF RESEARCH FACILITY**  
(TYPE OR PRINT)

1. CERTIFICATE NUMBER: 42-R-0009  
CUSTOMER NUMBER: 1578

FORM APPROVED  
OMB NO. 0579-0038

Fort Dodge Laboratories  
Division Of Wyeth  
800 5th St Nw  
Fort Dodge, IA 50501

Telephone: (515) -955-4600

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

800 5th Street NW, Fort Dodge, IA 50501      **Facility Locations (Sites)** - See Attached Listing  
2000 Rockford Road, Charles City, IA 50616      2973 Highway 18 East, Charles City, IA 50516

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS Form 7023A)

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquiliz- ing drugs would have adversely affected the procedures, res- ults or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report.)	F.  TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs	198	444	84	204	732
5. Cats	0	966	1	68	1,035
6. Guinea Pigs	0	4,748	0	40	4,788
7. Hamsters	0	17,303	0	6,865	24,168
8. Rabbits	0	373	1,334	0	1,707
9. Non-human Primates	0	0	0	0	0
10. Sheep	0	5	9	0	14
11. Pigs	0	0	0	0	0
12. Other Farm Animals					
Cattle	0	13	0	0	13
13. Other Animals					
Horses	6	17	0	0	17
Opossums	0	2	0	0	2
Goats	0	4	5	0	9

**ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to evaluate the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
(Chief Executive Officer or Legally Responsible Institutional Official)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

11-8-02

**Fort Dodge Animal Health**  
Division of Wyeth  
800 5<sup>th</sup> Street NW  
Fort Dodge, IA 50501

P.O. Box 518  
Fort Dodge, IA 50501  
Telephone: 515-955-4600  
Fax: 515-955-9189

**Biological Research and Development**  
**Regulatory Affairs**

**FORT DODGE**

**Explanation for Column E**  
**Fort Dodge Animal Health**  
**Registration # 42-R-0009**

1. **Species:** Guinea pig
2. **Number of animals achieving Cat. E in this study:** 40
3. **Explanation of the procedure producing pain and/or distress:** Guinea pigs are injected intramuscularly with (b)(4) challenge material as required by the potency testing for this product. Death as an endpoint within the 3-day observation period is required for a valid test.
4. **Scientific justification why pain and/or distress could not be relieved.** State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below.) Antibiotics, analgesics, antipyretics could alter the outcome and validity of this testing within the USDA-mandated timeframe.
5. **Cite the agency, code of Federal Regulations (CFR) title number and the specific section number that require this procedure.**  
(b)(4)

**Fort Dodge Animal Health**  
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Fort Dodge, IA 50501

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Fax: 515-955-9189

**Biological Research and Development**  
**Regulatory Affairs**

**FORT DODGE**

**Explanation for Column E**  
**Fort Dodge Animal Health**  
**Registration # 42-R-0009**

1. **Species:** Canine
2. **Number of animals achieving Cat. E in this study:** 9
3. **Explanation of the procedure producing pain and/or distress:** Dogs were given intraperitoneal injections of (b)(4) under anesthesia or topical ocular instillation of (b)(4) in the testing the antigen blockage effects of a new combination of a novel canine vaccine. Animals that became moribund were euthanized per AVMA guidelines rather than use death as an endpoint.
4. **Scientific justification why pain and/or distress could not be relieved.** State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below.) Analgesics, antipyretics, corticosteroids, antibiotics or non-steroidal anti-inflammatories could not be given since their use would have masked the clinical signs associated with the disease caused by the organism and therefore not allow for clear evaluation of the effects of any antigen blockage for the fractions of the vaccine being tested.
5. **Cite the agency, code of Federal Regulations (CFR) title number and the specific section number that require this procedure.** N/A

**Fort Dodge Animal Health**  
*Division of Wyeth*  
800 5<sup>th</sup> Street NW  
Fort Dodge, IA 50501

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Fax: 515-955-9189

**Biological Research and Development**  
**Regulatory Affairs**

**FORT DODGE**

## **Explanation for Column E**

### **Fort Dodge Animal Health**

### **Registration # 42-R-0009**

- 1. Species:** Canine
- 2. Number of animals achieving Cat. E in this study:** 63
- 3. Explanation of the procedure producing pain and/or distress:** Dogs were given live (b)(4) virus by injection in (b)(4) the manner required by and under the direction observation the USDA. The dogs were allowed to develop clinical (b)(4) as part of the development and testing of a novel canine vaccine.
- 4. Scientific justification why pain and/or distress could not be relieved.** State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below.) The USDA-approved protocol requires that the cages be locked closed once the dogs are challenged. Safety of personnel precludes handling or treating the infected animals. Death as an endpoint is a requirement of the testing.
- 5. Cite the agency, code of Federal Regulations (CFR) title number and the specific section number that require this procedure.**  
APHIS-9CFR-(b)(4)

**Fort Dodge Animal Health**  
*Division of Wyeth*  
800 5<sup>th</sup> Street NW  
Fort Dodge, IA 50501

P.O. Box 518  
Fort Dodge, IA 50501  
Telephone: 515-955-4600  
Fax: 515-955-9189

**Biological Research and Development**  
**Regulatory Affairs**

**FORT DODGE**

**Explanation for Column E**  
**Fort Dodge Animal Health**  
**Registration # 42-R-0009**

- 1. Species:** Canine
- 2. Number of animals achieving Cat. E in this study:** 7
- 3. Explanation of the procedure producing pain and/or distress:** Dogs were given an aerosol challenge with (b)(4)  
Evaluation of rectal temps, ocular and nasal discharge, coughing, sneezing and depression was done in the testing of a canine vaccine.
- 4. Scientific justification why pain and/or distress could not be relieved.**  
State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below.) Analgesics, antipyretics, corticosteroids, antibiotics or non-steroidal anti-inflammatories could not be given since their use would have masked the clinical signs associated with the disease caused by the organism and therefore not allow for clear evaluation of the effects of the vaccine being tested.
- 5. Cite the agency, code of Federal Regulations (CFR) title number and the specific section number that require this procedure.** N/A

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Division of Wyeth  
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Fort Dodge, IA 50501

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Fax: 515-955-9189

**Biological Research and Development**  
**Regulatory Affairs**

**FORT DODGE**

**Explanation for Column E**  
**Fort Dodge Animal Health**  
**Registration # 42-R-0009**

- 1. Species:** Canine
- 2. Number of animals achieving Cat. E in this study:** 7
- 3. Explanation of the procedure producing pain and/or distress:** Dogs were given an oronasal challenge with virulent (b)(4). Evaluation of rectal temps, ocular and nasal discharge, diarrhea, grossly evident salivation was done in the testing, development and validation of an in-vitro test method.
- 4. Scientific justification why pain and/or distress could not be relieved.** State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below.) Analgesics, antipyretics, corticosteroids, antibiotics or non-steroidal anti-inflammatories could not be given since their use would have masked the clinical signs associated with the disease caused by the organism and therefore not allow for clear clinical picture associated with (b)(4).
- 5. Cite the agency, code of Federal Regulations (CFR) title number and the specific section number that require this procedure.** N/A

**Fort Dodge Animal Health**  
Division of Wyeth  
800 5<sup>th</sup> Street NW  
Fort Dodge, IA 50501

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Fort Dodge, IA 50501  
Telephone: 515-955-4600  
Fax: 515-955-9189

**Biological Research and Development**  
**Regulatory Affairs**

**FORT DODGE**

**Explanation for Column E**  
**Fort Dodge Animal Health**  
**Registration # 42-R-0009**

1. **Species:** Feline
2. **Number of animals achieving Cat. E in this study:** 68
3. **Explanation of the procedure producing pain and/or distress:** Cats were given live (b)(4) virus by injection in (b)(4) the manner required by and under the direction observation the USDA. The cats were allowed to develop clinical rabies as part of the development and testing of a novel feline vaccine.
4. **Scientific justification why pain and/or distress could not be relieved.** State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below.) The USDA-approved protocol requires that the cages be locked closed once the cats are challenged. Safety of personnel precludes handling or treating the infected animals. Death as an endpoint is a requirement of the testing.
5. **Cite the agency, code of Federal Regulations (CFR) title number and the specific section number that require this procedure.**  
APHIS-9CFR- (b)(4)

**Fort Dodge Animal Health**  
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Fort Dodge, IA 50501

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Fax: 515-955-9189

**Biological Research and Development**  
**Regulatory Affairs**

**FORT DODGE**

**Explanation for Column E**  
**Fort Dodge Animal Health**  
**Registration # 42-R-0009**

1. **Species:** Canine
2. **Number of animals achieving Cat. E in this study:** 118
3. **Explanation of the procedure producing pain and/or distress:** Dogs were given subcutaneous and/or intradermal injections of a live organism in the process of developing and testing a novel canine vaccine. Animals becoming moribund were euthanized per AVMA guidelines.
4. **Scientific justification why pain and/or distress could not be relieved.** State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below.) Analgesics, antipyretics, corticosteroids, antibiotics or non-steroidal anti-inflammatories could not be given since their use would have masked the clinical signs associated with the disease caused by the organism and therefore not allow for clear evaluation of the vaccine being tested.
5. **Cite the agency, code of Federal Regulations (CFR) title number and the specific section number that require this procedure.** N/A

**Fort Dodge Animal Health**  
Division of Wyeth  
800 5th Street NW  
Fort Dodge, IA 50501

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Fort Dodge, IA 50501  
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**Biological Research and Development**  
**Regulatory Affairs**

**FORT DODGE**

**Explanation for Column E**  
**Fort Dodge Animal Health**  
**Registration # 42-R-0009**

- 1. Species:** Hamsters
- 2. Number of animals achieving Cat. E in this group of studies:** 6,865
- 3. Explanation of the procedure producing pain and/or distress:**  
Potency testing for the release of Leptospirosis requires that 8 of 10 control hamsters must die from Leptospirosis for a test to be valid. The vaccines and controls are injected intraperitoneally with virulent Lepto organisms and observed for 14 days.
- 4. Scientific justification why pain and/or distress could not be relieved.**  
State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below.) Antibiotics, analgesics, antipyretics could alter the outcome and therefore the validity of this testing within the USDA-mandated timeframe.
- 5. Cite the agency, code of Federal Regulations (CFR) title number and the specific section number that require this procedure.**

APHIS-9CFR- 113.101, 102, 103, 104

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0020  
CUSTOMER NUMBER: 1625

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
(TYPE OR PRINT)

Novartis Animal Vaccines, Inc. (Navi)  
1447 140th Street  
Larchwood, IA 51241

Telephone: (712) -477-2811

1. Service 1977-62

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY / Attach additional sheets if necessary or use APHIS Form 7023A

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use o pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for wh the use of appropriate anesthetic, analgesic, or tranquil drugs would have adversely affected the procedures, res or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reas such drugs were not used must be attached to this report)	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	0	0	0	0	0
5. Cats	0	0	0	0	0
6. Guinea Pigs	0	2094	154	6	2254
7. Hamsters	0	6107	0	3405	9412
8. Rabbits	0	0	2216	0	2216
9. Non-human Primates	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	0	64	0	0	64
12. Other Farm Animals					0
Chickens	0	19	0	0	19
13. Other Animals					

ASSURANCE STATEMENTS

1. Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
2. Each principal investigator has considered alternatives to painful procedures.
3. This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and appropriate Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
4. The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED  
11/21/2000

solet.)

(AUG 91)

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### **Column E Explanation**

This form is intended as an aid to completing the Column E explanation. It is not an official form and its use is voluntary. Names, addresses, protocols, veterinary care programs, and the like, are not required as part of an explanation. A Column E explanation must be written so as to be understood by lay persons as well as scientists.

1. Registration Number: 42-R-0020
2. Species (common name) and number of animals used in this study Guinea Pigs  
(list all that apply for this explanation). If you used the same species in more than one procedure, list each procedure and the number of animals used in that procedure in Item 3.  
6 guinea pigs used for potency test for (b)(4)
3. Explain the procedure producing pain and/or distress.  
(b)(4)
4. Provide scientific justification why pain and/or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below)
5. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number (e.g., APHIS, 9 CFR 113.102):  
9CFR 113.451  
Agency USDA/APHIS CFR 113.451

### **Column E Explanation**

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1. Registration Number: 42-R-0020
  2. Species (common name) and number of animals used in this study Hamsters  
(list all that apply for this explanation). If you used the same species in more than one procedure, list each procedure and the number of animals used in that procedure in Item 3.  
3405 animals used for various potency tests for (b)(4)  
(b)(4)
  3. Explain the procedure producing pain and/or distress.

The procedure is a vaccination/challenge model in which death is the endpoint.
  4. Provide scientific justification why pain and/or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 5 below)
  5. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number (e.g., APHIS, 9 CFR 113.102):  
9CFR 113.101, 113.102, 113.103, and 113.104
- Agency USDA/APHIS      CFR See Above

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE1. CERTIFICATE NUMBER: 42-R-0002  
CUSTOMER NUMBER: 1574FORM APPROVED  
OMB NO. 0579-0036ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )Des Moines University, Des Moines  
3200 Grand Ave  
Des Moines, IA 50312

Telephone: (515) 271-1500

1520

## 3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

Animal Care Facility, Science and Education Building, Des Moines University  
Surgical Facility, Science and Education Building, Des Moines University

## REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use o pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for wh the use of appropriate anesthetic, analgesic, or tranquiliz drugs would have adversely affected the procedures, res or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reas such drugs were not used must be attached to this report )	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs			45		45
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs			2		2
12. Other Farm Animals					
13. Other Animals					

## ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual rese  
teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
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Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary in  
brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

1)

DATE SIGNED

11/12/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE1. CERTIFICATE NUMBER: 42-R-0003  
CUSTOMER NUMBER: 1575FORM APPROVED  
OMB NO. 0579-0036ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )Iowa State University  
1426 Vet Med  
Attn: [REDACTED]  
Ames, IA 50011

Telephone: (515) -294-8507

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

## FACILITY LOCATIONS ( Sites ) - See Attached Listing

## REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
1. Dogs	136	32	* 675	0	707
2. Cats	0	2	* 286	0	288
3. Guinea Pigs	0	34	* 4	0	38
4. Hamsters	0	58	0	0	58
5. Rabbits	0	40	* 28	0	68
6. Non-human Primates	0	0	0	0	0
7. Sheep	0	0	0	0	0
8. Pigs	0	44	0	0	44
2. Other Farm Animals					
Horses	0	15	0	0	15
3. Other Animals					
ferrets	0	12	0	0	12
chinchillas	0	2	0	0	2
gerbils	0	6	0	0	6

## ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIC

PT

( Type or Print )

DATE SIGNED

11-26-02

6377 dogs, 286 cats, 4 guinea pigs, and 6 rabbits were used in the Humane Society spay/neuter class and returned to the Humane Societies.

**All redactions on this page are pursuant to (b)(6) & (b)(7)(c).**

This report is required by law (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

**See reverse side for  
additional information.**

Interagency Report Control No.  
0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 43-R-0003

FORM APPROVED  
OMB NO. 0579-0036

**CONTINUATION SHEET FOR ANNUAL REPORT  
OF RESEARCH FACILITY  
( TYPE OR PRINT )**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA)

Iowa State University  
1426 Vet Med  
Attn: [REDACTED]  
Ames, IA 50011  
Telephone (515) 294-8507

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY** (Attach additional sheets if necessary or use this form.)

## **SURANCE STATEMENTS**

- i). Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - ii). Each principal investigator has considered alternatives to painful procedures.
  - iii). This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - iv). The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
(Chief Executive Officer or Legally Responsible Institutional Official)

**OFFICIAL (Type or Print)**

**DATE SIGNED**

11-26-02

IOWA STATE UNIVERSITY (42-R-0003)

ANIMAL FACILITIES

Laboratory Animal Resources

Veterinary Medical Research Institute

Animal Resource Station

Kildee Hall

Human Nutritional Sciences Building

Molecular Biology Building

Science II

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0004  
CUSTOMER NUMBER: 1576

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

University Of Iowa  
Animal Care Unit  
400 Medical Laboratories  
Iowa City, IA 52242

Telephone: (319) -335-7985

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )**

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquiliz- ing drugs would have adversely affected the procedures, res- ults or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F.  TOTAL NUMBER OF ANIMALS  ( COLUMNS C + D + E )
4. Dogs	5		54		54
5. Cats	2	115	27		142
6. Guinea Pigs	2		51		51
7. Hamsters	3	139	69		208
8. Rabbits	36	120	330		450
9. Non-human Primates	8		9		9
10. Sheep	7	44	117		161
11. Pigs		18	318		336
12. Other Farm Animals					
13. Other Animals					
Pigeon	11	52			52
Frog	55	461	17		478
Ferret	3	82	40		122

**ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIGN

DATE SIGNED

11/20/02

APHIS  
(...) ,

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**1. REGISTRATION NO.** 42-B-0004

FORM APPROVED  
OMB NO. 0579-0036

**CONTINUATION SHEET FOR ANNUAL REPORT  
OF RESEARCH FACILITY  
(TYPE OR PRINT)**

**2. HEADQUARTERS RESEARCH FACILITY** (Name and Address, as registered with USDA  
include Zip Code)

University of Iowa  
Animal Care Unit  
400 Medical Laboratories  
Iowa City, IA 52242

ph# (319)335-7985

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY** (Attach additional sheets if necessary or use this form)

#### **ASSURANCE STATEMENTS**

- 1). Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2). Each principal investigator has considered alternatives to painful procedures.
  - 3). This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4). The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**(Chief Executive Officer or Legally Responsible Institutional Official)**

I certify that the above is true, correct, and complete. (3 W.C.S. Cont. - 21-10)

2014 年度第 2 四半期決算説明会資料(最終版) | 17 / 17

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL: \_\_\_\_\_

DATE SIGNED

11/20/02

ID: 1576

400 Medical Lab  
Iowa City, IA 52242  
County: Johnson

Telephone  
(319)335-7985

**Facility Locations:**

**College of Medicine:**

- Bowen Science Building
- Medical Laboratories
- Oakdale

**College of Liberal Arts:**

- Biology Department
- Psychology Department

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0005  
CUSTOMER NUMBER: 1577

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Drake University  
2507 University Ave.  
Des Moines, IA 50311

Telephone: (515) -271-4933

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use o pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for wh the use of appropriate anesthetic, analgesic, or tranquiliz drugs would have adversely affected the procedures, res or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reas such drugs were not used must be attached to this report )	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	<i>D</i>				
5. Cats	<i>C</i>				
6. Guinea Pigs	<i>G</i>				
7. Hamsters	<i>H</i>				
8. Rabbits	<i>R</i>				
9. Non-human Primates	<i>P</i>				
0. Sheep	<i>S</i>				
1. Pigs	<i>P</i>				
2. Other Farm Animals	<i>O</i>				
3. Other Animals	<i>O</i>				

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual rese  
teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and app  
Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary in  
brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

( Type or Print )

DATE SIGNED

11/13/02

This report is required by law (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 215.

See attached form for additional information.

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0016

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )**

Mercy Med Center-Sioux City  
801 5th St  
Sioux City, IA 51102

Telephone: (712) -279-2151

2942

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY | Attach additional sheets if necessary or use APHIS Form 7023A |

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, testing, surgery, or experimentation were followed by this research facility.
  - 2) Each principal investigator has considered alternatives to painful procedures.
  - 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**( Chief Executive Officer or Legally Responsible Institutional Official )**

SIGNATURE OF CEO OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

**DATE SIGNED:**

11-3-07

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0019

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Boehringer Ingelheim Vetmedica, Inc  
1568 N Main Ave  
Sioux Center, IA 51250

Telephone: (712) -722-4696

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B.	C.	D.	E.	F.
Animals Covered By The Animal Welfare Regulations	Number of animal being bred, conditioned; or held for use in teaching, testing, experiments, research, or surgery but not ye used for such purposes.	Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use o pain-relieving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for wh the use of appropriate anesthetic, analgesic, or tranquiliz drugs would have adversely affected the procedures, res or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reas such drugs were not used must be attached to this repor	TOTAL NUMBER OF ANIMALS  ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs			88		88
7. Hamsters					
8. Rabbits			22		22
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual rese  
teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and app  
Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary in  
brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

TE SIGNED

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0026  
CUSTOMER NUMBER: 1582

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Kirkwood Comm College  
6301 Kirkwood Blvd Sw  
Cedar Rapids, IA 52406

Telephone: (319) -398-5609

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquiliz- ing drugs would have adversely affected the procedures, res- ults or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F.  TOTAL NUMBER OF ANIMALS  ( COLUMNS C + D + E )
4. Dogs	11	4	51		66
5. Cats		14	19		33
6. Guinea Pigs		12			12
7. Hamsters		7			7
8. Rabbits		9			9
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
MIN. HORSE		1			1
13. Other Animals					
LLAMA		1			1
FERRETS		5			5
GERBILS		2			1

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

Y HEADQUARTERS RESEARCH FACILITY OFFICIAL  
Officer or Legally Responsible Institutional Official )

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL ( Type or Print )

DATE SIGNED

10/25/02

**UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**

**1. REGISTRATION NO.**

FORM APPROVED  
OMB NO. 0579-0036

**CONTINUATION SHEET FOR ANNUAL REPORT  
OF RESEARCH FACILITY  
( TYPE OR PRINT )**

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY** (Attach additional sheets if necessary or use this form.)

#### **ASSURANCE STATEMENTS**

- 1). Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2). Each principal investigator has considered alternatives to painful procedures.
  - 3). This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4). The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
*(Chief Executive Officer or Legally Responsible Institutional Official)*

**Level of Legally Responsible Institutional Offender**  
This is true, correct, and complete (7 U.S.C. Section 3143).

ve is true, correct, and complete (7 U.S.C. Section 2143).

SIGN

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL: *John Smith*

**DATE SIGNED**

10125703

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0027  
CUSTOMER NUMBER: 1583

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Midland Bioproducts Corp  
Po Box 309  
Boone, IA 50036

Telephone: (515) 432-5516

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for wh the use of appropriate anesthetic, analgesic, or tranquiliz drugs would have adversely affected the procedures, res or interpretation of the teaching, research, experiments surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reas such drugs were not used must be attached to this report )	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
goats	57	622			622
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual rese:  
teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and app:  
Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary in:  
brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL

DATE SIGNED

11-07-2002



MIDLAND BIOPRODUCTS

INCORPORATED

**ANNUAL REPORT OF RESEARCH FACILITY**

**Registration No. 42-R-0027**

**Customer No. 1583**

**November 7, 2002**

**ITEM 3 – List location of each Facility or Site where animals were housed or used in actual research, testing, teaching, or experimentation, or held for those purposes.**

**MBC Animal Operations  
1284 Quill Avenue  
Boone, IA 50036**

**Rental Facility  
1826 230<sup>th</sup> Street  
Boone, IA 50036**

**Rental Facility  
1437 230<sup>th</sup> Street  
Boone, IA 50036**

**Rental Facility  
1285 T Avenue  
Boone, IA 50036**

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0029  
CUSTOMER NUMBER: 1617

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
(TYPE OR PRINT)

Elmira Biologicals Inc.  
5514 Elmira Rd Ne  
Iowa City, IA 52240

Telephone: (319) -643-2275

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS Form 7023A)

A.	B.	C.	D.	E.	F.
Animals Covered By The Animal Welfare Regulations	Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report)	TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits	11	0			0
9. Non-human Primates					
10. Sheep	72	23			23
11. Pigs					
12. Other Farm Animals					
13. Other Animals	Spats	11	9		9
	Nine				

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional Official)

SIGNATURE OF CEO OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF CEO OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

11-26-02

A

(AUG 91)

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-B-0030

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
**( TYPE OR PRINT )**

Veterinary Resources, Inc  
416 Douglas Avenue, Suite 100  
Po Box 866  
Ames, IA 50010

Telephone: (515) -233-2349

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report )	F.
Animals Covered By The Animal Welfare Regulations					TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	0	0	0	0	C
5. Cats	0	0	0	0	C
6. Guinea Pigs	0	0	0	0	C
7. Hamsters	0	0	0	0	C
8. Rabbits	0	0	0	0	C
9. Non-human Primates	0	0	0	0	C
10. Sheep	0	0	0	0	C
11. Pigs	0	0	0	0	C
12. Other Farm Animals	0	0	0	0	C
13. Other Animals	0	0	0	0	C

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, testing, surgery, or experimentation were followed by this research facility.
  - 2) Each principal investigator has considered alternatives to painful procedures.
  - 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**( Chief Executive Officer or Legally Responsible Institutional Official )**

**SIGNATURE OF CEO OR INSTITUTIONAL OFFICIAL**

**NAME & TITLE OF CEO OR INSTITUTIONAL OFFICIAL (Type or Print)**

**DATE SIGNED**

APHIS FOF  
(AUG 91)

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO.  
42-R-0031

CUSTOMER NO.  
1653

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)  
TRANS OVA GENETICS  
2938 380TH ST  
SIOUX CENTER, IA 51250  
(712) 722-3586

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing

*see attached sheet*

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A.	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-Human Primates					
10. Sheep					
11. Pigs	○	○	○	○	○
12. Other Farm Animals					
Cattle	79	23	134		157
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional official)**

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

S

ATE SIGNED

*17-21-92*

All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

**3. Reporting Facilities:**

1. Trans Ova Genetics  
2938 380<sup>th</sup> Street  
Sioux Center, IA 51250  
Phone: (712) 722-3586  
Fax: (712) 722-3577
2. Genetic Advancement Center  
3483 US 75 Avenue,  
Hull, IA 51239  
Phone: (712) 722-4193  
Fax: (712) 722-3572
2. a. South Barn  
4251 US 75 Avenue  
Sioux Center, IA 51250
2. b. [REDACTED]  
3145 360<sup>th</sup> Street  
Sioux Center, IA 51250
2. c. [REDACTED]  
3726 250<sup>th</sup> Street  
George, IA 51237

ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)

## 1. REGISTRATION NO.

42-R-0032 CUST #  
17512. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA,  
Include Zip Code)Stoddard Cancer Res. Inst.  
1415 Woodland Ave. Ste. 218  
Des Moines, IA 50309

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

Phone: (515) 241-8787

## FACILITY LOCATIONS (Sites)

1415 Woodland Ave., Site 218

Des Moines, IA 50309

## REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A.	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	F.
4. Dogs		Ø			TOTAL NO. OF ANIMALS (Cols. C + D + E)
5. Cats		Ø			Ø
6. Guinea Pigs		Ø			Ø
7. Hamsters		Ø			Ø
8. Rabbits		Ø			Ø
9. Non-human Primates		Ø			Ø
10. Sheep		Ø			Ø
11. Pigs		Ø			Ø
12. Other Farm Animals		Ø			Ø
13. Other Animals		Ø			Ø

## ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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- The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional Official)

I certify that the above is true, correct, and complete (7 U.S.C. Section 2149).

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

MANUFACTURER'S NAME OR INSTITUTIONAL OFFICIAL SIGNATURE

DATE SIGNED

11/26/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 42-R-0035

FORM APPROVED

CUSTOMER NUMBER: 16953

OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Creature Comfort Veterinary Center  
2122 Act Circle  
Iowa City, IA 52245

Telephone: (319) -338-9955

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquiliz- ing drugs would have adversely affected the procedures, res- ults or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the rea- son such drugs were not used must be attached to this report )	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs	0	0	2	0	2
12. Other Farm Animals					
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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( Chief Executive Officer or Legally Responsible Institutional Official )

DATE SIGNED

11/20/02