

W.M.D.

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	1. CERTIFICATE NUMBER: 84-R-0007 CUSTOMER NUMBER: 1086	FORM APPROVED OMB NO. 0579-0036
<b>ANNUAL REPORT OF RESEARCH FACILITY</b> ( TYPE OR PRINT )		
Colorado Serum Company 4950 York Street Denver, CO 80216  Telephone: (303) -295-7527		

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

One facility - see above.

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )**

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2) Each principal investigator has considered alternatives to painful procedures.
  - 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIGNATURE	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL <i>(Type or Print)</i>	DATE SIGNED
		10-10-0

Colorado Serum Company  
License No. 84-R-0007

For FY 2001 – 2002 Annual Report of Registered  
Research Facility – APHIS Form 7023

Each animal in each referenced test was employed in testing a Licensed Veterinary Biological product as required by Federal Regulations as codified in Title 9 CFR. Humane euthanasia of animals on 9 CFR required tests are provided for in Federal Register publication dated August 21, 1995 citing part 117.4(d) – Animals at Licensed Establishments – test animals (filed August 18, 1995) has been initiated and included as standard testing protocol. Attending veterinarians and related employees have been informed and Colorado Serum Company is complying with this regulation.

Explanation of usage of animals listed in Column E.

Line Item 6.

Guinea Pigs

1240 Guinea Pigs were tested causing pain, for which no anesthetic, analgesics, or tranquilizers could be used. A detailed breakdown of guinea pigs by test reference is provided.

9 CFR 113.451(d)      Tetanus Antitoxin potency testing – 1003 guinea pigs.

9 CFR 113.106(c)      Clostridium chauvoei Bacterin potency testing – 141 guinea pigs

9 CFR 113.107(c)      Clostridium haemolyticum potency testing – 42 guinea pigs

9 CFR 113.108 (c)      Clostridium novyi potency testing – 29 guinea pigs

9CFR 113.109 (c)      Clostridium sordellii potency testing – 25 guinea pigs

ATTACHMENT NO. 1

Page 2  
of 2001 - 2002 report

Colorado Serum Company  
License No. 84-R-0007

Line Item 7.

Hamsters

21 Hamsters were tested causing pain, for which no anesthetics, analgesics, or tranquilizers could be used. A detailed breakdown of hamsters by test reference is provided.

- |               |   |
|---------------|---|
| 9 CFR 113.101 | Leptospira Pomona Bacterin potency testing – 6 hamsters.              |
| 9 CFR 113.102 | Leptospira Icterohaemorrhagiae Bacterin potency testing – 5 hamsters. |
| 9 CFR 113.103 | Leptospira Canicola Bacterin potency testing – 5 hamsters.            |
| 9 CFR 113.104 | Leptospira Grippotyphosa Bacterin potency testing – 5 hamsters.       |

10-10-02

Date

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0051  
CUSTOMER NUMBER: 1273

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)

Genesis Laboratories, Inc.  
10122 N.E. Frontage Road  
Wellington, CO 80549

Telephone: (970) -568-7059

E OK RR

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS Form 7023A)

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report)	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs	0	48	0	0	48
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
Wild Norway Rat	90	66	0	40	106
Wild House Mouse	6	32	0	36	68
Plains pocket Gopher	15	27	0	23	50

ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- Each principal investigator has considered alternatives to painful procedures.
- This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional Official)

SIC  
API  
(AUG 91)

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

(8), which is obsolete.)

DATE SIGNED

11-27-02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. <u>84-R-0051</u>	FORM APPROVED OMB NO. 0579-0036
2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)	
<p><u>Genesis Laboratories, Inc.</u>  <u>10122 N.E. Frontage Road</u>  <u>Wellington, CO 80549</u></p>	

**CONTINUATION SHEET FOR ANNUAL REPORT  
OF RESEARCH FACILITY  
( TYPE OR PRINT )**

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY** (Attach additional sheets if necessary or use this form.)

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2). Each principal investigator has considered alternatives to painful procedures.
  - 3). This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A **summary** of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4). The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**(Chief Executive Officer or Legally Responsible Institutional Official)**

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

I certify that the above is true, correct, and complete (P.S.C.C. Section 2145).		
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL  <i>See Page 1.</i>	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED 10/14/2014

ANNUAL REPORT OF ANIMALS USED BY GENESIS LABORATORIES, INC.  
DURING THE 12 MONTH PERIOD OCTOBER 1, 2001 TO SEPTEMBER 30, 2002

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HEADQUARTERS OF RESEARCH FACILITY	FACILITY LOCATIONS
GENESIS LABORATORIES, INC. 10122 N. E. FRONTAGE ROAD WELLINGTON, COLORADO 80549 Registration # 84-R-051	GENESIS LABORATORIES, INC. 10122 N. E. FRONTAGE ROAD WELLINGTON, COLORADO 80549 Registration #: 84-R-051

The explanations contained in this report were approved by the Genesis Laboratories, Inc, IACUC committee.

ANIMALS REPORTED IN COLUMN E

**Wild Norway Rat (*Rattus norvegicus*)**

Forty (40) rats used were from column E in the Annual Report. All animals used were used in studies testing rodenticides. USEPA, Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Pesticide Assessment Guideline Subdivision G, Section 96-10, Commensal Rodents (OPP: 1.213 Standard Norway Rat/Roof Rat Wax Block Bait Laboratory Test Method) was followed during these procedures. FIFRA mandates that efficacy data be generated to support label claims for Norway rat control. For rodenticide efficacy studies, no appropriate anesthetic, analgesic, or tranquilizing drugs were used to relieve the pain. There are no alternatives available to this painful procedure. Logic dictates that the only alternative to administration of a toxic product (which is intended to kill animals, and cause unavoidable pain in that process) is not to administer the toxic product. Poisonous substances cause tissue damage, which results in pain perception. One potential alternative is to develop products which create unconsciousness or analgesia prior to death. However, information is not yet available to design such products, which would be effective for rodent control.

**Wild House Mouse (*Mus musculus*)**

Thirty six (36) mice used were from column E in the Annual Report. All 36 were used for product efficacy testing of mouse-trapping glue boards. There are no federal guidelines for this testing. The mice were placed, one at a time, in arenas containing one glue board. Trapped animals experience distress. Because trapped mice can escape through active efforts, they were left on the boards for up to 30 minutes. Animals were euthanized with CO<sub>2</sub> gas within 30 minutes of being trapped. The investigator and the IACUC determined that administration of any substance which would relieve distress would interfere with the outcome of the test – handling the trapped animal to administer a substance, and the action of any substance on the behavior of a trapped animal, would bias the results.

### **Pocket Gophers (*Geomys bursarius*)**

Twenty-three (23) animals used were from column E in the Annual Report. Twenty (20) animals used were used in studies testing rodenticides. USEPA, Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Pesticide Assessment Guideline Subdivision G, Section 96-11 (Product Performance – Rodenticides for Orchards) was followed during these procedures. For rodenticide efficacy studies, no appropriate anesthetic, analgesic, or tranquilizing drugs were used to relieve the pain. There is no alternatives available to this painful procedure. Logic dictates that the only alternative to administration of a toxic product (which is intended to kill animals, and cause unavoidable pain in that process) is not to administer the toxic product. Poisonous substances cause tissue damage, which results in pain perception. One potential alternative is to develop products which create unconsciousness or analgesia prior to death. However, information is not yet available to design such products, which would be effective in the field for rodent control. Additionally, use of any analgesic, anesthetic, and tranquilizing drugs in the secondary hazard study is restricted by USEPA (guideline 96-11).

Three (3) animals were used in a study involving administration of a non-toxic substance by oral gavage. No pain and only transient distress were anticipated based on experience administering substances to other rodent species via oral gavage. Upon initiating the procedure, it was apparent that pain and distress were resulting. Because of the pain and distress observed, the procedure was suspended.

### **White Footed Mouse (*Peromyscus leucopus*)**

Seventy-four (74) animals used were from column E in the Annual Report. All animals used were used in studies testing a plant defoliant. USEPA, Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Pesticide Assessment Guideline Subdivision G, Section 71-2 (Acute Dietary Toxicity) was followed during these procedures. By regulatory fiat, USEPA dietary toxicity studies direct that no anesthetic, analgesic, or tranquilizing drugs can be used to relieve the pain. There are no alternatives available to this painful procedure. The only alternative to administration of a toxic product is not to administer the toxic product. Poisonous substances cause tissue damage, which results in pain perception. The USEPA mandated this test using white-footed mice since they represent a species at risk of exposure to the test substance in agricultural settings, and are an important prey item for other wildlife which may be at risk from secondary exposure.

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

1. CERTIFICATE NUMBER: 84-R-0059  
CUSTOMER NUMBER: 1834

FORM APPROVED  
OMB NO. 0579-0036

University Of Colorado (Hsc)  
4200 E. 9th Avenue, Box A-095  
Denver, CO 80262

Telephone: (303) -724-1057

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use o pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for wh the use of appropriate anesthetic, analgesic, or tranquiliz drugs would have adversely affected the procedures, res or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reas such drugs were not used must be attached to this report	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs			31		31
5. Cats					0
6. Guinea Pigs		9	158		167
7. Hamsters		35			35
8. Rabbits		25	105	48	178
9. Non-human Primates	44	18	5	3	26
10. Sheep			153	22	175
11. Pigs			93	5	98
12. Other Farm Animals					
13. Other Animals					
Gerbils		50			50

ASSURANCE STATEMENTS

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CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIG:

| NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL ( Type or Print )

DATE SIGNED

11/26/02

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is obsolete.)

## CATEGORY E STUDIES

### **1. Sheep exposed to heat stress - 22 sheep**

#### Description of Procedures:

Pregnant sheep are placed in an environmental chamber that cycles room temperature between 40-40.5 °C for 12-14 hours/day and 35 °C for the remainder of the day from day 33-35 of gestation until day 90-115. Humidity in the chamber is 45-50%. Animals are housed in standard cages with ad lib water and food. For safety, the heating system is equipped with an alarm and automatic cut-off system.

#### Explanation of Reasons Why Pain- or Distress- Reliving Drugs Were Not Used:

The procedure is designed to mimic a naturally occurring phenomenon, namely, pregnancy in hot climates where fetal intrauterine growth retardation is a common problem. The animals in the chamber under these conditions give no visual or clinical indication of stress. They eat and drink normally; their stool pattern is also normal; and their wool continues to grow. The procedure does alter placental development, but since altered placental development is not known to be associated with pain or distress in any species, use of any drug or other treatments would be speculative and possibly problematic for fetal development.

### **2. Rabbits exposed to bacteria infection - 48 rabbits**

#### Description of Procedures:

This is a study of the effects of exposure to bacteria during the birth process. The goal of the protocol is to determine, after intra-cervical inoculation of pregnant rabbits with *E. coli*, *G. vaginalis* or *B. bivius* and treatment with antibiotics, the extent of tissue damage over time and whether therapeutic intervention with IL-1a reduces fetal injury. Timed pregnant rabbits at 70% gestational age are anesthetized and inoculated intra-cervically with  $10^3$  to  $10^4$  CFU *E. coli*, *G. vaginalis* or *B. bivius*. Some animals are treated with ampicillin sulbactam. At 1 to 7 days after inoculation, the animals are euthanized and the tissues evaluated. In another group, rabbits are given IL-1ra or saline each day (iv; ear vein) for 5 hours each day. This study requires an iv drip for 5 hours/day, therefore restraint is needed for the constant infusion. After consultation with the University Veterinarian, a wire rabbit box without neck restraint was chosen. The rabbits are gradually acclimated to this restraint over a 5 day time period. At 1, 3 and 5 days, animal are euthanized and the tissues evaluated.

Explanation of Reasons Why Pain- or Distress- Reliving Drugs Were Not Used:

It is important to understand the risk of maternal and newborn infection. It is therefore necessary to allow the experiment to continue until it can be determined whether or not the does and neonatal rabbits become infected by exposure to bacteria during the birth process. Antibiotics and IL-1ra treatment given to mothers before delivery may be an effective treatment. The difference in outcome of animals given IL-1ra and those not treated is the focus of these studies. Drugs given to relieve any possible distress would mask the degree of infection and interfere with the immunoassays to be done, therefore none are given.

**3. Food restriction in pigs – 5 pigs**

Description of Procedures:

The goal of the protocol is to provide hands on training for Board Certified Gastroenterologists and Gastroenterology Nurses in the technique of endoluminal gastroplication, a gastral endoscopic suturing technique. The pigs are placed on a pre-procedural food restriction for 48 hours where they are fed Jell-O on day one and honey/water on day 2. The pigs are then anesthetized and the endoluminal gastroplication performed. The animals are euthanized by an anesthetic overdose at the end of the non-survival surgery.

Explanation of Reasons Why Pain- or Distress- Reliving Drugs Were Not Used:

Due to the highly technical nature of the endoluminal gastroplication procedure, proper training of Physicians and Nurses is required for optimal safety and efficacy of performing this procedure on human patients. The full 48 hour food restriction as described above is required to ensure that the stomach is completely clean for ease of visualization by the Physicians and Nurses learning the procedure. The procedure, endoluminal gastroplication, requires careful examination of the internal mucosal lining of the stomach. Thus, even a small amount of residual material inside the stomach is unacceptable because it interferes with proper visualization, and thereby impairs the trainee's ability to learn how to perform this procedure. Alternative procedures to clean out the digestive tract are not acceptable since the mucosal lining becomes bloody, thereby decreasing visualization.

**4. Parkinsonian Syndrome in non-human primates - 3 monkeys (*M. radiata*)**

Description of Procedures:

The Parkinsonian syndrome is induced when MPTP-HCL is infused into the carotid artery to produce a stable unilateral lesion in the monkey. A dose of 0.8 mg/kg MPTP-HCL dissolved in 50 cc of sterile normal saline infused into the carotid artery over

20 minutes leads to unilateral dilation of the pupil and a weak arm and leg contralateral to the side of the lesion and sensory neglect on that side. The animals are infused at a rate of 2 ml/minute using a 30 gauge needle with the infusion in the direction opposite the normal arterial blood flow. Analgesics are given to all animals for any post-operative pain. The result of the surgical procedure is a weak arm and leg and sensory neglect on the side opposite the lesion. (Water bottles are adjusted for this disability.) Thus, once monkeys have been lesioned, abilities such as locomotion, visual fields, grasping, climbing, etc. are impaired. In addition, the lesioned animals are sensitive to sound and have an enhanced startle response. After the lesioning procedure has produced the hemiparkinsonian effect, each animal is assessed daily for any pain. L-dopa is given as needed to assist the animal in daily routine procedures. In addition, monkey's food intake is carefully assessed and animals are hand fed if necessary until they have learned to adapt to their limitations. Daily fruit, sunflower seed, pomegranate seeds, peanuts, etc., are provided to occupy their foraging behavior. The post lesion state lasts about six to eight months. As the animals become more adept with their routine movements, more foraging materials and additional toys are introduced.

Explanation of Reasons Why Pain- or Distress- Relieving Drugs Were Not Used:

To study the effective treatment of Parkinson's disease, an animal model of the disease is essential. There are currently no effective long-term treatments for this disease and therefore no way to completely eliminate the distress that may be associated with the physical limitations imposed by this disease. It is the goal of this study to test a treatment that may effectively cure the monkeys and, ultimately, human beings.

## **EXCEPTIONS TO REGULATIONS**

The IACUC has approved an exception to the policy regarding multiple surgeries, specifically the placement of maternal and fetal catheters in sheep. The goal of the protocol is to determine how fetal glucose, amino acid and plasma insulin availability regulate fetal energy and amino acid metabolism and thereby lead to changes in fetal growth. For the 2-3 week chronic studies, an initial surgery is done to catheterize the mother's femoral artery and vein. The catheters allow the Investigators to produce, by IV infusion, the necessary metabolic conditions (e.g. insulin-induced hypoglycemia; glucose induced hyperglycemia) and for arterial blood sampling. The fetus is too small to catheterize at this time, and maintaining patency of fetal catheters for more than 2 weeks is very difficult. Thus, a second surgery to place uterine and fetal catheters is done when the fetus is big enough and close enough to final study. The fetal catheters are then used for infusions in both hyperglycemic and hypoglycemic studies and for blood sampling. Within the last reporting period, seventy sheep have had multiple surgeries for placement of maternal and fetal catheters.

The USDA Sector Supervisor has approved an exception to the policy regarding multiple survival surgeries, specifically multiple Cesarean sections in Bonnet monkeys (letter dated 6/29/94). There is currently a moratorium on the importation of Bonnet monkeys. Therefore, multiple surgeries will use the fewest possible number of a rare species. No multiple Cesarean sections were performed during this reporting period under this exception. However, one non-human primate received a single Cesarean section in the past year.

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE1. CERTIFICATE NUMBER: 84-R-0001  
CUSTOMER NUMBER: 1080FORM APPROVED  
OMB NO. 0579-0036ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )National Jewish Medical & Research Center  
1400 Jackson St., Dolla  
Denver, CO 80206

Telephone: (303) -398-1432

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

## REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs	14	43			43
7. Hamsters			4		4
8. Rabbits	27	53	3		56
9. Non-human Primates					
10. Sheep	1				1
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

## ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, testing, surgery, or experimentation were followed by this research facility.
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- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( or Legally Responsible Institutional Official )

NAME &amp; TITLE OF CEO OR INSTITUTIONAL OFFICIAL ( Type or Print )

DATE SIGNED

1/22/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0003  
CUSTOMER NUMBER: 1195

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Colorado State University  
203 Administration  
Fort Collins, CO 80523

Telephone: (303) -491-7364

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

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4. Dogs		32	43		75
5. Cats	73	6	159		165
6. Guinea Pigs		299			299
7. Hamsters			96		96
8. Rabbits		131			131
9. Non-human Primates					
10. Sheep	157	56	269		325
11. Pigs			309		309
12. Other Farm Animals					
13. Other Animals					
Alpaca		10			10
Bats			1,495		1,495
Cattle		12	4		16

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual rese:  
teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and app:  
Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary in:  
brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

DATE SIGNED  
11/18/02

This report is required by law (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

See reverse side for  
additional information

Interagency Report Control No.  
0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	1. REGISTRATION NO.  84-R-0003	FORM APPROVED OMB NO. 0579-0036
2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)		
Colorado State University 203 Administration Fort Collins, CO 80523		

**CONTINUATION SHEET FOR ANNUAL REPORT  
OF RESEARCH FACILITY  
( TYPE OR PRINT)**

Colorado State University  
203 Administration  
Fort Collins, CO 80523

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY** (Attach additional sheets if necessary or use this form)

## **ASSURANCE STATEMENTS**

- 1). Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2). Each principal investigator has considered alternatives to painful procedures
  - 3). This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4). The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**(Chief Executive Officer or Legally Responsible Institutional Official)**

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL	DATE SIGNED
		11/18/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0008  
CUSTOMER NUMBER: 1087

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )**

Univ. Of Northern Colorado  
Pres.'S Office, Carter 4000  
Dept. Of Biological Sciences  
Greeley, CO 80639

Telephone: (970) -351-2921

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	<input type="radio"/>				<input type="radio"/>
5. Cats	<input type="radio"/>				<input type="radio"/>
6. Guinea Pigs	<input type="radio"/>				<input type="radio"/>
7. Hamsters	<input type="radio"/>				<input type="radio"/>
8. Rabbits	<input type="radio"/>				<input type="radio"/>
9. Non-human Primates	<input type="radio"/>				<input type="radio"/>
10. Sheep	<input type="radio"/>				<input type="radio"/>
11. Pigs	<input type="radio"/>				<input type="radio"/>
12. Other Farm Animals	<input checked="" type="radio"/>				<input type="radio"/>
13. Other Animals	<input type="radio"/>				<input type="radio"/>

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIGN

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL ( Type or Print )

DATE SIGNED

11/11/01

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UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0011  
CUSTOMER NUMBER: 1089

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Western State College  
Western State College  
Gunnison, CO 81231

Telephone: (970) -943-2114

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

Hurst 235B

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use o pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for wh the use of appropriate anesthetic, analgesic, or tranquiliz drugs would have adversely affected the procedures, res or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reas such drugs were not used must be attached to this report	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
Wild rodents & insectivores <i>(see addendum)</i>		66			66

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual rese:  
teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and app:  
Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary in  
brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

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11/1/02

**Summary of Small Mammal Trapping Activity: 2002 (31 October 2002)**

(b)(6)

Date	Species	Males	Females	Unknowns	Total
10 April	None				
11 April	Deer Mouse <i>Peromyscus maniculatus</i>	4	1	1	6
	Western Harvest Mouse <i>Reithrodontomys megalotis</i>	3	1	0	4
12 April	Deer Mouse <i>Peromyscus maniculatus</i>	3	2	1	6
13 April	Deer Mouse <i>Peromyscus maniculatus</i>	12	1	1	14
	Western Harvest Mouse <i>Reithrodontomys megalotis</i>	0	1	0	1
15 April	Deer Mouse <i>Peromyscus maniculatus</i>	9	6	0	15
	Water Shrew <i>Sorex palustris</i>	0	1	0	1
16 April	Deer Mouse <i>Peromyscus maniculatus</i>	12	1	3	16
	Western Harvest Mouse <i>Reithrodontomys megalotis</i>	1	1	0	2
	Least Chipmunk <i>Tamias minimus</i>	1	0	0	1
All Dates	Species	Males	Females	Unknowns	Total
	Deer Mouse <i>Peromyscus maniculatus</i>	40	11	6	57
	Western Harvest Mouse <i>Reithrodontomys megalotis</i>	4	3	0	7
	Water Shrew <i>Sorex palustris</i>	0	1	0	1
	Least Chipmunk <i>Tamias minimus</i>	1	0	0	1
All Dates	All Species Total	45	15	6	66

Total Mortalities: 3 *Peromyscus maniculatus* (2 males, 1 unknown)

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0012  
CUSTOMER NUMBER: 1090

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Colorado Mountain College  
3000 County Road 114  
Glenwood Spgs, CO 81601

Telephone: (970) -945-8691

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	0	17	0	0	17
5. Cats	0	17	0	0	17
6. Guinea Pigs	0	3	0	0	3
7. Hamsters	0	0	0	0	0
8. Rabbits	0	3	0	0	3
9. Non-human Primates	0	0	0	0	0
10. Sheep	0	5	0	0	5
11. Pigs	0	3	0	0	3
12. Other Farm Animals <del>Cattle, Horses, Sheep</del>	0	6	0	0	6
Horses	0	5	0	0	5
13. Other Animals	0	0	0	0	0
GGA12 Llamas	0	6	0	0	6
	0	3	0	0	3

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIC

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL ( Type or Print )

s obsolete.

DATE SIGNED

10/30/02

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UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0013

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Bel-Rea Inst. Of Animal Tech.  
1681 South Dayton Street  
Denver, CO 80231

Telephone: (303) -751-8700

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report. )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	2	3			3
5. Cats		3			3
6. Guinea Pigs		7			7
7. Hamsters		14			14
8. Rabbits		5			5
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, testing, surgery, or experimentation were followed by this research facility.
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CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

NAM  


L OFFICIAL ( Type or Print )

DATE SIGNED  
1/20/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0014

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Colorado College  
14 E. Cache La Poudre  
Colorado Spgs, CO 80903

Telephone: (719) -389-6000

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report. )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	0	0	0	0	0
5. Cats	0	0	0	0	0
6. Guinea Pigs	0	0	0	0	0
7. Hamsters	0	0	0	0	0
8. Rabbits	0	0	0	0	0
9. Non-human Primates	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	0	0	0	0	0
12. Other Farm Animals	0	0	0	0	0
13. Other Animals	0	0	0	0	0

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIGNATL

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DATE SIGNED

11-5-72

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. CUSTOMER NO.  
84-R-0016 1094

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA,  
include Zip Code)  
DENVER HEALTH MEDICAL CENTER  
777 BANNOCK ST.  
DENVER, CO 80204

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

DENVER HEALTH MEDICAL CENTER  
DENVER, CO 80204

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A.	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-Human Primates					
10. Sheep					
11. Pigs		24			24
12. Other Farm Animals					
Goat		8			8
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional official)**  
I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

10/17/2002

\* This report is required by law (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

See reverse side for additional information.

Interagency Report Control No  
0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. CUSTOMER NO.  
84-R-0021 8224

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA,  
Include Zip Code)  
FORT LEWIS COLLEGE  
1000 RIM DR.  
DURANGO, CO 81301  
(970) 247-7010

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing

site 001 Biology Dept., Berndt Hall

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A )**

A.	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cola. C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-Human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
Swiss Webster mice	36	20	20		20
leopard frogs	12	12	12		12

**ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
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- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**

(Chief Executive Officer or Legally Responsible Institutional official)

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

11/21/02

t 88), which is obsolete

HEADQUARTERS

This report is required by law (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 21.

See attached form for additional information.

Interagency Report Control No.: 100-000000

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0045  
CUSTOMER NUMBER: 1096

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Colorado Division Of Wildlife  
317 W. Prospect Rd.  
Fort Collins, CO 80526

Telephone: (303) -297-1192

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )**

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
Cattle		11			11
13. Other Animals					
Bighorn Sheep	52				0
Elk	4	18			18
Mule Deer	46	18	62		80

**ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
( Chief Executive Officer or Legally Responsible Institutional Official )

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL ( Type or Print )

S

DATE SIGNED

10/30/02

CT 88, which is obsolete.)

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**1. REGISTRATION NO.**

FORM APPROVED  
OMB NO. 0579-0036

**CONTINUATION SHEET FOR ANNUAL REPORT  
OF RESEARCH FACILITY  
( TYPE OR PRINT )**

<b>1. REGISTRATION NO.</b>	FORM APPROVED OMB NO. 0579-0036
<b>2. HEADQUARTERS RESEARCH FACILITY</b> (Name and Address, as registered with USDA, <i>include Zip Code</i> )	

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY** (Attach additional sheets if necessary or use this form.)

#### **ASSURANCE STATEMENTS**

- 1). Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2). Each principal investigator has considered alternatives to painful procedures.
  - 3). This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4). The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
(Chief Executive Officer or Legally Responsible Institutional Official)

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143).

I certify that the above is true, correct, and complete (P. O.G.C. Section 2143)		
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED
		10/30/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0052  
CUSTOMER NUMBER: 1286

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Osi Pharmaceuticals  
2860 Wilderness Place  
Boulder, CO 80301

Telephone: (303) 444-5893

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	E. Number of animals upon which teaching, experiments, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					0
5. Cats					0
6. Guinea Pigs					0
7. Hamsters					0
8. Rabbits		21			21
9. Non-human Primates					0
10. Sheep					0
11. Pigs					0
12. Other Farm Animals					0
13. Other Animals					0

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and an Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL ( Type or Print )

DATE SIGNED

Date: [Redacted]

10/18/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**1. CERTIFICATE NUMBER:** 84-R-0055  
**CUSTOMER NUMBER:** 1312

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)**

**HealthOne**  
**4850 High Street**  
**Denver, CO 80218**      **NEW ADDRESS**  
**HealthONE Alliance Research**  
**899 Logan St. Suite 203**  
**Denver, CO 80203-3154**

**Telephone: (303) -839-6821      SAME PHONE NUMBER**

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY / Attach additional sheets if necessary or use APHIS Form 7023A**

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, testing, surgery, or experimentation were followed by this research facility.
  - 2) Each principal investigator has considered alternatives to painful procedures.
  - 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
or Legally Responsible Institutional Official )**

**NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)**

| DATE SIGNED

9/23/02

**Customer ID and Address**

**ID: 1312**

**5125 North Stapleton  
Drive  
Denver, CO 80216  
County: Denver**

**Telephone  
(303)320-4061**

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

1. CERTIFICATE NUMBER: 84-R-0056  
CUSTOMER NUMBER: 1765

~~Unigen~~  
~~Unigen Pharmaceuticals, Inc.~~  
100 Technology Drive, Ste. 160  
Broomfield, CO 80021

Telephone: (303) -438-8666

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )**

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquiliz- ing drugs would have adversely affected the procedures, res- ults, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs			2		2
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

**ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Executive Officer or Legally Responsible Institutional Official )

obsolete.)

DATE SIGNED

9/24/01

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0057

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Bolder Path, Inc.  
Univ. Of Colorado At Boulder  
Mcdb Campus Box 347  
Boulder, CO 80309

Telephone: (303) -735-5001

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report. )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs	0	0	5	0	5
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

NA

or Print)

DATE SIGNED

7/25/82

(88) which is obsolete.)

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0058

FORM APPROVED  
OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )

A M C Cancer Research Center  
1600 Pierce Street  
Lakewood, CO 80214

Telephone: (303) -239-3561

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use o pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for wh the use of appropriate anesthetic, analgesic, or tranquiliz drugs would have adversely affected the procedures, res or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reas such drugs were not used must be attached to this repor	F.  TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
MICE	0	673	147		820
RATS	0	305	209		514

ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual rese. teaching, testing, surgery, or experimentation were followed by this research facility.
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- This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and app Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary in brief explanation of the exceptions, as well as the species and number of animals affected.
- The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

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( Type or Print )

DATE SIGNED

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Digitized by srujanika@gmail.com

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**1. CERTIFICATE NUMBER:** 84-R-0060

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY  
( TYPE OR PRINT )**

**University Of Colorado-Boulder  
Department Of Animal Resources  
CB 26  
Boulder, CO 80309**

Telephone: (303) -492-2890

**3. REPORTING FACILITY** ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

**FACILITY LOCATIONS ( Sites ) - See Attached Listing**

**REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )**

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report)	F. TOTAL NUMBER OF ANIMALS ( C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters <i>(BERIAN)</i>	549		50		50
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

## **ASSURANCE STATEMENTS**

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
  - 2) Each principal investigator has considered alternatives to painful procedures.
  - 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
  - 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
**( Chief Executive Officer or Legally Responsible Institutional Official )**

SIGN

| DATE SIGNED

APHIS

T 88) which is obsolete.)

**Customer ID and Site Address:**

ID: 1835

Department Of Animal  
Resources  
Boulder, CO 80309  
County: Boulder

Telephone  
(303)492-2890

Customer ID and Site Address:

ID: 1835

Department Of Animal

Resources

505 Weld County Rd.

16 1/2

Langment, CO 80504

County, Boulder

Telephone 303 288-5778

7901 DAHLIA ST.

HENDERSON, CO 80640

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0061  
CUSTOMER NUMBER: 10643

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

The Veterinary Referral Center Of Colorado  
3550 S. Jason St.  
Englewood, CO 80110

Telephone: (303) -398-1313

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIGNATURE  
APPROVAL  
AP

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL ( Type or Print )

DATE SIGNED

10/21/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0062

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Mesa State College  
1100 North Avenue  
Grand Junction, CO 81501

Telephone: (970) -248-1424

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
Deer Mice	86	26	0	0	26
White-Footed Mice	24	0	0	0	0

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

DATE SIGNED

11/13/02

(B), which is obsolete.)

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0063  
CUSTOMER NUMBER: 14004

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
( TYPE OR PRINT )

Rxkinetix, Inc.  
1172 Century Drive, Suite 260  
Louisville, CO 80027  
Telephone: (303) -926-1900

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS ( Sites ) - See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used. ( An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report )	F. TOTAL NUMBER OF ANIMALS ( COLUMNS C + D + E )
4. Dogs	0	0	0	0	0
5. Cats	0	0	0	0	0
6. Guinea Pigs	0	0	0	0	0
7. Hamsters	0	0	0	0	0
8. Rabbits	0	0	0	0	0
9. Non-human Primates	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	0	0	0	0	0
12. Other Farm Animals	0	0	0	0	0
13. Other Animals	0	0	250	0	250
Mice					

ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, surgery, or experimentation were followed by this research facility.
- Each principal investigator has considered alternatives to painful procedures.
- This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
( Chief Executive Officer or Legally Responsible Institutional Official )

SIGNAT:

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DATE SIGNED

11/20/02

APHIS FC  
( AUG 91 )

23 (OCT 88), which is obsolete.

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO.

24-5-7064

FORM APPROVED  
OMB NO. 0579-0016

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA.  
Include Zip Code)

PEDIGREE PUPPIES LTD., INC.  
2950 WILDERNESS PLACE  
ROCKVILLE, MD 20850

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS (sites)

2950 Wilderness Place, Rockville, MD 20850

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs	?	3	3	3	3
5. Cats	?	2	2	2	2
6. Guinea Pigs	2	2	2	2	2
7. Hamsters	2	2	2	2	2
8. Rabbits	2	2	2	2	2
9. Non-human Primates	2	2	2	2	2
10. Sheep	2	2	2	2	2
11. Pigs	2	2	2	2	2
12. Other Farm Animals	2	2	2	2	2
13. Other Animals	2	2	2	2	2

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility
- 2) Each principal investigator has considered alternatives to painful procedures
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional Official)

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143).

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

DATE SIGNED

10/29/02

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 84-R-0065  
CUSTOMER NUMBER: 18462

FORM APPROVED  
OMB NO. 0578-0038

ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)

Rocky Mountain Bio Labs  
P O Box 519  
Created Butte, CO 81224

Telephone: (907)-349-7231

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Site) - See Attached Listing

Rocky Mtn Bio Lab

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY | Attach additional sheets if necessary or use APHIS Form 7023A 1

A.	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate analgesic, anesthetic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate analgesic, anesthetic, or tranquilizing drugs would have adversely affected the procedures, test or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reason such drugs were not used must be attached to this report)	F. TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
Brook Trout		5			5
Blue-tailed Hummingbird		47			47

ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- Each principal investigator has considered alternatives to painful procedures.
- This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes an brief explanation of the exceptions, as well as the species and number of animals affected.
- The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer or Legally Responsible Institutional Official)

N  
NAME (Type or Print)

DATE SIGNED

9/30/2

(AMG 91), which is obsolete.)

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