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United States Legal Document

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IECC®

INTERNATIONAL ENERGY CONSERVATION CODE®



2009

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2009

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2009 International Energy Conservation Code®

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PREFACE

Introduction

Internationally, code officials recognize the need for a modern, up-to-date energy conservation code addressing the design of energy-efficient building envelopes and installation of energy efficient mechanical, lighting and power systems through requirements emphasizing performance. The *International Energy Conservation Code®* in this 2009 edition, is designed to meet these needs through model code regulations that will result in the optimal utilization of fossil fuel and nondepletable resources in all communities' large and small.

This comprehensive energy conservation code establishes minimum regulations for energy efficient buildings using prescriptive and performance-related provisions. It is founded on broad-based principles that make possible the use of new materials and new energy efficient designs. This 2009 edition is fully compatible with all the *International Codes®*(I-Codes®) published by the International Code Council (ICC)® including: the *International Building Code®* *International Existing Building Code®* *International Fire Code®* *International Fuel Gas Code®* *International Mechanical Code®* *ICC Performance Code®* *International Plumbing Code®* *International Private Sewage Disposal Code®* *International Property Maintenance Code®* *International Residential Code®* *International Wildland-Urban Interface Code™* and *International Zoning Code®*.

The *International Energy Conservation Code* provisions provide many benefits, among which is the model code development process that offers an international forum for energy professionals to discuss performance and prescriptive code requirements. This forum provides an excellent arena to debate proposed revisions. This model code also encourages international consistency in the application of provisions.

Development

The first edition of the *International Energy Conservation Code* (1998) was based on the 1995 edition of the *Model Energy Code* promulgated by the Council of American Building Officials (CABO) and included changes approved through the CABO Code Development Procedures through 1997. CABO assigned all rights and responsibilities to the International Code Council and its three statutory members at that time, including Building Officials and Code Administrators International, Inc. (BOCA), International Conference of Building Officials (ICBO) and Southern Building Code Congress International (SBCCI). This 2009 edition presents the code as originally issued, with changes reflected in the 2000, 2003 and 2006 editions and further changes approved through the ICC Code Development Process through 2008. A new edition such as this is promulgated every three years.

This code is founded on principles intended to establish provisions consistent with the scope of an energy conservation code that adequately conserves energy; provisions that do not unnecessarily increase construction costs; provisions that do not restrict the use of new materials, products or methods of construction; and provisions that do not give preferential treatment to particular types or classes of materials, products or methods of construction.

Adoption

The *International Energy Conservation Code* is available for adoption and use by jurisdictions internationally. Its use within a governmental jurisdiction is intended to be accomplished through adoption by reference in accordance with proceedings establishing the jurisdiction's laws. At the time of adoption, jurisdictions should insert the appropriate information in provisions requiring specific local information, such as the name of the adopting jurisdiction. These locations are shown in bracketed words in small capital letters in the code and in the sample ordinance. The sample adoption ordinance on page vii addresses several key elements of a code adoption ordinance, including the information required for insertion into the code text.

Maintenance

The *International Energy Conservation Code* is kept up to date through the review of proposed changes submitted by code enforcing officials, industry representatives, design professionals and other interested parties. Proposed changes are carefully considered through an open code development process in which all interested and affected parties may participate.

The contents of this work are subject to change both through the Code Development Cycles and the governmental body that enacts the code into law. For more information regarding the code development process, contact the Code and Standard Development Department of the International Code Council.

While the development procedure of the *International Energy Conservation Code* assures the highest degree of care, ICC, its members and those participating in the development of this code do not accept any liability resulting from compliance or noncompliance with the provisions because ICC and its members do not have the power or authority to police or enforce compliance with the contents of this code. Only the governmental body that enacts the code into law has such authority.

Marginal Markings

Solid vertical lines in the margins within the body of the code indicate a technical change from the requirements of the 2006 edition. Deletion indicators in the form of an arrow (•) are provided in the margin where an entire section, paragraph, exception or table has been deleted or an item in a list of items or a table has been deleted.

Italicized Terms

Selected terms set forth in Chapter 2, Definitions, are italicized where they appear in code text. Such terms are not italicized where the definition set forth in Chapter 2 does not impart the intended meaning in the use of the term. The terms selected have definitions which the user should read carefully to facilitate better understanding of the code.

Effective Use of the International Energy Conservation Code

The *International Energy Conservation Code* (IECC) is a model code that regulates minimum energy conservation requirements for new buildings. The IECC addresses energy conservation requirements for all aspects of energy uses in both commercial and residential construction, including heating and ventilating, lighting, water heating, and power usage for appliances and building systems.

The IECC is a design document. For example, before one constructs a building, the designer must determine the minimum insulation R-values and fenestration V-factors for the building exterior envelope. Depending on whether the building is for residential use or for commercial use, the IECC sets forth minimum requirements for exterior envelope insulation, window and door V-factors and SHGC ratings, duct insulation, lighting and power efficiency, and water distribution insulation.

Arrangement and Format of the 2009 IECC

Before applying the requirements of the IECC it is beneficial to understand its arrangement and format. The IECC, like other codes published by ICC, is arranged and organized to follow sequential steps that generally occur during a plan review or inspection. The IECC is divided into five different parts:

Chapters	Subjects
1-2	Administration and definitions
3	Climate zones and general materials requirements
4	Energy efficiency for residential buildings
5	Energy efficiency for commercial buildings
6	Referenced standards

The following is a chapter-by-chapter synopsis of the scope and intent of the provisions of the *International Energy Conservation Code*:

Chapter 1 Administration. This chapter contains provisions for the application, enforcement and administration of subsequent requirements of the code. In addition to establishing the scope of the code, Chapter 1 identifies which buildings and structures come under its purview. Chapter 1 is largely concerned with maintaining "due process of law" in enforcing the energy conservation criteria contained in the body of the code. Only through careful observation of the administrative provisions can the building official reasonably expect to demonstrate that "equal protection under the law" has been provided.

Chapter 2 Definitions. All terms that are defined in the code are listed alphabetically in Chapter 2. While a defined term may be used in one chapter or another, the meaning provided in Chapter 2 is applicable throughout the code.

Additional definitions regarding climate zones are found in Tables 301.3(1) and (2). These are not listed in Chapter 2.

Where understanding of a term's definition is especially key to or necessary for understanding of a particular code provision, the term is shown in *italics* wherever it appears in the code. This is true only for those terms that have a meaning that is unique to the code. In other words, the generally understood meaning of a term or phrase might not be sufficient or consistent with the meaning prescribed by the code; therefore, it is essential that the code-defined meaning be known.

Guidance regarding tense, gender and plurality of defined terms as well as guidance regarding terms not defined in this code is provided.

Chapter 3 Climate Zones. Chapter 3 specifies the climate zones that will serve to establish the exterior design conditions. In addition, Chapter 3 provides interior design conditions that are used as a basis for assumptions in heating and cooling load calculations, and provides basic material requirements for insulation materials and fenestration materials.

Climate has a major impact on the energy use of most buildings. The code establishes many requirements such as wall and roof insulation R-values, window and door thermal transmittance requirement (V-factors) as well as provisions that affect the mechanical systems based upon the climate where the building is located. This chapter will contain the information that will be used to properly assign the building location into the correct climate zone and will then be used as the basis for establishing requirements or elimination of requirements.

Chapter 4 Residential Energy Efficiency. Chapter 4 contains the energy-efficiency-related requirements for the design and construction of residential buildings regulated under this code. It should be noted that the definition of a *residential building* in this code is unique for this code. In this code, a *residential building* is an R-2, R-3 or R-4 building three stories or less in height. All other buildings, including residential buildings greater than three stories in height, are regulated by the energy conservation requirements of Chapter 5. The applicable portions of a residential building must comply with the provisions within this chapter for energy effi-

ciency. This chapter defines requirements for the portions of the building and building systems that impact energy use in new residential construction and promotes the effective use of energy. The provisions within the chapter promote energy efficiency in the building envelope, the heating and cooling system and the service water heating system of the building.

Chapter 5 Commercial Energy Efficiency. Chapter 5 contains the energy-efficiency-related requirements for the design and construction of most types of commercial buildings and residential buildings greater than three stories in height above grade. Residential buildings, townhouses and garden apartments three stories or less in height are covered in Chapter 4. Like Chapter 4, this chapter defines requirements for the portions of the building and building systems that impact energy use in new commercial construction and new residential construction greater than three stories in height, and promotes the effective use of energy. The provisions within the chapter promote energy efficiency in the building envelope, the heating and cooling system and the service water heating system of the building.

Chapter 6 Referenced Standards. The code contains numerous references to standards that are used to regulate materials and methods of construction. Chapter 6 contains a comprehensive list of all standards that are referenced in the code. The standards are part of the code to the extent of the reference to the standard. Compliance with the referenced standard is necessary for compliance with this code. By providing specifically adopted standards, the construction and installation requirements necessary for compliance with the code can be readily determined. The basis for code compliance is, therefore, established and available on an equal basis to the code official, contractor, designer and owner.

Chapter 6 is organized in a manner that makes it easy to locate specific standards. It lists all of the referenced standards, alphabetically, by acronym of the promulgating agency of the standard. Each agency's standards are then listed in either alphabetical or numeric order based upon the standard identification. The list also contains the title of the standard; the edition (date) of the standard referenced; any addenda included as part of the ICC adoption; and the section or sections of this code that reference the standard.

ORDINANCE

The International Codes are designed and promulgated to be adopted by reference by ordinance. Jurisdictions wishing to adopt the 2009 *International Energy Conservation Code* as an enforceable regulation governing energy efficient building envelopes and installation of energy efficient mechanical, lighting and power systems should ensure that certain factual information is included in the adopting ordinance at the time adoption is being considered by the appropriate governmental body. The following sample adoption ordinance addresses several key elements of a code adoption ordinance, including the information required for insertion into the code text.

SAMPLE ORDINANCE FOR ADOPTION OF THE *INTERNATIONAL ENERGY CONSERVATION CODE* ORDINANCE NO.-----

An ordinance of the [JURISDICTION] adopting the 2009 edition of the *International Energy Conservation Code*, regulating and governing energy efficient building envelopes and installation of energy efficient mechanical, lighting and power systems in the [JURISDICTION]; providing for the issuance of permits and collection of fees therefor; repealing Ordinance No. _____ of the [JURISDICTION] and all other ordinances and parts of the ordinances in conflict therewith.

The [GOVERNING BODY] of the [JURISDICTION] does ordain as follows:

Section 1. That a certain document, three (3) copies of which are on file in the office of the [TITLE OF JURISDICTION'S KEEPER OF RECORDS] of [NAME OF JURISDICTION], being marked and designated as the *International Energy Conservation Code*, 2009 edition, as published by the International Code Council, be and is hereby adopted as the Energy Conservation Code of the [JURISDICTION], in the State of [STATE NAME] for regulating and governing energy efficient building envelopes and installation of energy efficient mechanical, lighting and power systems as herein provided; providing for the issuance of permits and collection of fees therefor; and each and all of the regulations, provisions, penalties, conditions and terms of said Energy Conservation Code on file in the office of the [JURISDICTION] are hereby referred to, adopted, and made a part hereof, as if fully set out in this ordinance, with the additions, insertions, deletions and changes, if any, prescribed in Section 2 of this ordinance.

Section 2. The following sections are hereby revised:

Section 101.1. Insert: [NAME OF JURISDICTION].

Section 108.4. Insert: [DOLLAR AMOUNT] in two places.

Section 3. That Ordinance No. _____ of [JURISDICTION] entitled [FILL IN HERE THE COMPLETE TITLE OF THE ORDINANCE OR ORDINANCES IN EFFECT AT THE PRESENT TIME SO THAT THEY WILL BE REPEALED BY DEFINITE MENTION] and all other ordinances or parts of ordinances in conflict herewith are hereby repealed.

Section 4. That if any section, subsection, sentence, clause or phrase of this ordinance is, for any reason, held to be unconstitutional, such decision shall not affect the validity of the remaining portions of this ordinance. The [GOVERNING BODY] hereby declares that it would have passed this ordinance, and each section, subsection, clause or phrase thereof, irrespective of the fact that anyone or more sections, subsections, sentences, clauses and phrases be declared unconstitutional.

Section 5. That nothing in this ordinance or in the Energy Conservation Code hereby adopted shall be construed to affect any suit or proceeding impending in any court, or any rights acquired, or liability incurred, or any cause or causes of action acquired or existing, under any act or ordinance hereby repealed as cited in Section 3 of this ordinance; nor shall any just or legal right or remedy of any character be lost, impaired or affected by this ordinance.

Section 6. That the [JURISDICTION'S KEEPER OF RECORDS] is hereby ordered and directed to cause this ordinance to be published. (An additional provision may be required to direct the number of times the ordinance is to be published and to specify that it is to be in a newspaper in general circulation. Posting may also be required.)

Section 7. That this ordinance and the rules, regulations, provisions, requirements, orders and matters established and adopted hereby shall take effect and be in full force and effect [TIME PERIOD] from and after the date of its final passage and adoption.

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CHAPTER 1

ADMINISTRATION

I PART 1-SCOPE AND APPLICATION

SECTION 101 SCOPE AND GENERAL REQUIREMENTS

101.1 Title. This code shall be known as the *International Energy Conservation Code* of [NAME OF JURISDICTION], and shall be cited as such. It is referred to herein as "this code."

101.2 Scope. This code applies to *residential* and *commercial buildings*.

101.3 Intent. This code shall regulate the design and construction of buildings for the effective use of energy. This code is intended to provide flexibility to permit the use of innovative approaches and techniques to achieve the effective use of energy. This code is not intended to abridge safety, health or environmental requirements contained in other applicable codes or ordinances.

101.4 Applicability. Where, in any specific case, different sections of this code specify different materials, methods of construction or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall govern.

101.4.1 Existing buildings. Except as specified in this chapter, this code shall not be used to require the removal, *alteration* or abandonment of, nor prevent the continued use and maintenance of, an existing building or building system lawfully in existence at the time of adoption of this code.

101.4.2 Historic buildings. Any building or structure that is listed in the State or National Register of Historic Places; designated as a historic property under local or state designation law or survey; certified as a contributing resource with a National Register listed or locally designated historic district; or with an opinion or certification that the property is eligible to be listed on the National or State Registers of Historic Places either individually or as a contributing building to a historic district by the State Historic Preservation Officer or the Keeper of the National Register of Historic Places, are exempt from this code.

101.4.3 Additions, alterations, renovations or repairs. Additions, alterations, renovations or repairs to an existing building, building system or portion thereof shall conform to the provisions of this code as they relate to new construction without requiring the unaltered portion(s) of the existing building or building system to comply with this code. Additions, alterations, renovations or repairs shall not create an unsafe or hazardous condition or overload existing building systems. An addition shall be deemed to comply with this code if the addition alone complies or if the exist-

ing building and addition comply with this code as a single building.

Exception: The following need not comply provided the energy use of the building is not increased:

1. Storm windows installed over existing fenestration.
2. Glass only replacements in an existing sash and frame.
3. Existing ceiling, wall or floor cavities exposed during construction provided that these cavities are filled with insulation.
4. Construction where the existing roof, wall or floor cavity is not exposed.
5. Reroofing for roofs where neither the sheathing nor the insulation is exposed. Roofs without insulation in the cavity and where the sheathing or insulation is exposed during reroofing shall be insulated either above or below the sheathing.
6. Replacement of existing doors that separate *conditioned space* from the exterior shall not require the installation of a vestibule or revolving door, provided, however, that an existing vestibule that separates a *conditioned space* from the exterior shall not be removed,
7. Alterations that replace less than 50 percent of the luminaires in a space, provided that such alterations do not increase the installed interior lighting power.
8. Alterations that replace only the bulb and ballast within the existing luminaires in a space provided that the *alteration* does not increase the installed interior lighting power.

101.4.4 Change in occupancy or use. Spaces undergoing a change in occupancy that would result in an increase in demand for either fossil fuel or electrical energy shall comply with this code. Where the use in a space changes from one use in Table 505.5.2 to another use in Table 505.5.2, the installed lighting wattage shall comply with Section 505.

101.4.5 Change in space conditioning. Any nonconditioned space that is altered to become *conditioned space* shall be required to be brought into full compliance with this code.

101.4.6 Mixed occupancy. Where a building includes both *residential* and *commercial* occupancies, each occupancy shall be separately considered and meet the applicable provisions of Chapter 4 for *residential* and Chapter 5 for *commercial*.

101.5 Compliance. Residential buildings shall meet the provisions of Chapter 4. Commercial buildings shall meet the provisions of Chapter 5.

101.5.1 Compliance materials. The *code official* shall be permitted to approve specific computer software, worksheets, compliance manuals and other similar materials that meet the intent of this code.

101.5.2 Low energy buildings. The following buildings, or portions thereof, separated from the remainder of the building by *building thermal envelope* assemblies complying with this code shall be exempt from the *building thermal envelope* provisions of this code:

1. Those with a peak design rate of energy usage less than 3.4 *Btu/h·ft²* (10.7 *W/m²*) or 1.0 *watt/ft²* (10.7 *W/m²*) offloor area for space conditioning purposes.
2. Those that do not contain *conditioned space*.

SECTION 102 ALTERNATE MATERIALS-METHOD OF CONSTRUCTION, DESIGN OR INSULATING SYSTEMS

102.1 General. This code is not intended to prevent the use of any material, method of construction, design or insulating system not specifically prescribed herein, provided that such construction, design or insulating system has been *approved* by the *code official* as meeting the intent of this code.

102.1.1 Above code programs. The *code official* or other authority having jurisdiction shall be permitted to deem a national, state or local energy efficiency program to exceed the energy efficiency required by this code. Buildings *approved* in writing by such an energy efficiency program shall be considered in compliance with this code. The requirements identified as "mandatory" in Chapters 4 and 5 of this code, as applicable, shall be met.

I PART 2-ADMINISTRATION AND ENFORCEMENT

SECTION 103 CONSTRUCTION DOCUMENTS

103.1 General. Construction documents and other supporting data shall be submitted in one or more sets with each application for a permit. The construction documents shall be prepared by a registered design professional where required by the statutes of the jurisdiction in which the project is to be constructed. Where special conditions exist, the *code official* is authorized to require necessary construction documents to be prepared by a registered design professional.

Exception: The *code official* is authorized to waive the requirements for construction documents or other supporting data if the *code official* determines they are not necessary to confirm compliance with this code.

103.2 Information on construction documents. Construction documents shall be drawn to scale upon suitable material. Electronic media documents are permitted to be submitted

when *approved* by the *code official*. Construction documents shall be of sufficient clarity to indicate the location, nature and extent of the work proposed, and show in sufficient detail pertinent data and features of the building, systems and equipment as herein governed. Details shall include, but are not limited to, as applicable, insulation materials and their R-values; fenestration V-factors and SHGCs; area-weighted V-factor and SHGC calculations; mechanical system design criteria; mechanical and service water heating system and equipment types, sizes and efficiencies; economizer description; equipment and systems controls; fan motor horsepower (hp) and controls; duct sealing, duct and pipe insulation and location; lighting fixture schedule with wattage and control narrative; and air sealing details.

103.3 Examination of documents. The *code official* shall examine or cause to be examined the accompanying construction documents and shall ascertain whether the construction indicated and described is in accordance with the requirements of this code and other pertinent laws or ordinances.

103.3.1 Approval of construction documents. When the *code official* issues a permit where construction documents are required, the construction documents shall be endorsed in writing and stamped "Reviewed for Code Compliance." Such *approved* construction documents shall not be changed, modified or altered without authorization from the *code official*. Work shall be done in accordance with the *approved* construction documents.

One set of construction documents so reviewed shall be retained by the *code official*. The other set shall be returned to the applicant, kept at the site of work and shall be open to inspection by the *code official* or a duly authorized representative.

103.3.2 Previous approvals. This code shall not require changes in the construction documents, construction or designated occupancy of a structure for which a lawful permit has been heretofore issued or otherwise lawfully authorized, and the construction of which has been pursued in good faith within 180 days after the effective date of this code and has not been abandoned.

103.3.3 Phased approval. The *code official* shall have the authority to issue a permit for the construction of part of an energy conservation system before the construction documents for the entire system have been submitted or *approved*, provided adequate information and detailed statements have been filed complying with all pertinent requirements of this code. The holders of such permit shall proceed at their own risk without assurance that the permit for the entire energy conservation system will be granted.

103.4 Amended construction documents. Changes made during construction that are not in compliance with the *approved* construction documents shall be resubmitted for approval as an amended set of construction documents.

103.5 Retention of construction documents. One set of *approved* construction documents shall be retained by the *code official* for a period of not less than 180 days from date of completion of the permitted work, or as required by state or local laws.

SECTION 104 INSPECTIONS

104.1 General. Construction or work for which a permit is required shall be subject to inspection by the *code official*.

104.2 Required approvals. Work shall not be done beyond the point indicated in each successive inspection without first obtaining the approval of the *code official*. The *code official*, upon notification, shall make the requested inspections and shall either indicate the portion of the construction that is satisfactory as completed, or notify the permit holder or his or her agent wherein the same fails to comply with this code. Any portions that do not comply shall be corrected and such portion shall not be covered or concealed until authorized by the *code official*.

104.3 Final inspection. The building shall have a final inspection and not be occupied until *approved*.

104.4 Reinspection. A building shall be reinspected when determined necessary by the *code official*.

104.5 Approved inspection agencies. The *code official* is authorized to accept reports of *approved* inspection agencies, provided such agencies satisfy the requirements as to qualifications and reliability.

104.6 Inspection requests. It shall be the duty of the holder of the permit or their duly authorized agent to notify the *code official*/when work is ready for inspection. It shall be the duty of the permit holder to provide access to and means for inspections of such work that are required by this code.

104.7 Reinspection and testing. Where any work or installation does not pass an initial test or inspection, the necessary corrections shall be made so as to achieve compliance with this code. The work or installation shall then be resubmitted to the *code official*for inspection and testing.

104.8 Approval. After the prescribed tests and inspections indicate that the work complies in all respects with this code, a notice of approval shall be issued by the *code official*.

104.8.1 Revocation. The *code official*is authorized to, in writing, suspend or revoke a notice of approval issued under the provisions of this code wherever the certificate is issued in error, or on the basis of incorrect information supplied, or where it is determined that the building or structure, premise, or portion thereof is in violation of any ordinance or regulation or any of the provisions of this code.

SECTION 105 VALIDITY

105.1 General. If a portion of this code is held to be illegal or void, such a decision shall not affect the validity of the remainder of this code.

SECTION 106 REFERENCED STANDARDS

106.1 General. The codes and standards referenced in this code shall be those listed in Chapter 6, and such codes and stan-

dards shall be considered as part of the requirements of this code to the prescribed extent of each such reference.

106.2 Conflicting requirements. Where the provisions of this code and the referenced standards conflict, the provisions of this code shall take precedence.

106.3 Application of references. References to chapter or section numbers, or to provisions not specifically identified by number, shall be construed to refer to such chapter, section or provision of this code.

106.4 Other laws. The provisions of this code shall not be deemed to nullify any provisions of local, state or federal law.

SECTION 107 FEES

107.1 Fees. A permit shall not be issued until the fees prescribed in Section 107.2 have been paid, nor shall an amendment to a permit be released until the additional fee, if any, has been paid.

107.2 Schedule of permit fees. A fee for each permit shall be paid as required, in accordance with the schedule as established by the applicable governing authority.

107.3 Work commencing before permit issuance. Any person who commences any work before obtaining the necessary permits shall be subject to an additional fee established by the *code official*, which shall be in addition to the required permit fees.

107.4 Related fees. The payment of the fee for the construction, *alteration*, removal or demolition of work done in connection to or concurrently with the work or activity authorized by a permit shall not relieve the applicant or holder of the permit from the payment of other fees that are prescribed by law.

107.5 Refunds. The *code official*is authorized to establish a refund policy.

SECTION 108 STOP WORK ORDER

108.1 Authority. Whenever the *code official* finds any work regulated by this code being performed in a manner either contrary to the provisions of this code or dangerous or unsafe, the *code official*is authorized to issue a stop work order.

108.2 Issuance. The stop work order shall be in writing and shall be given to the owner of the property involved, or to the owner's agent, or to the person doing the work. Upon issuance of a stop work order, the cited work shall immediately cease. The stop work order shall state the reason for the order, and the conditions under which the cited work will be permitted to resume.

108.3 Emergencies. Where an emergency exists, the *code official*shall not be required to give a written notice prior to stopping the work.

108.4 Failure to comply. Any person who shall continue any work after having been served with a stop work order, except such work as that person is directed to perform to remove a vio-

lation or unsafe condition, shall be liable to a fine of not less than [AMOUNT] dollars or more than [AMOUNT] dollars.

SECTION 109 BOARD OF APPEALS

109.1 General. In order to hear and decide appeals of orders, decisions or determinations made by the *code official* relative to the application and interpretation of this code, there shall be and is hereby created a board of appeals. The *code official* shall be an ex officio member of said board but shall have no vote on any matter before the board. The board of appeals shall be appointed by the governing body and shall hold office at its pleasure. The board shall adopt rules of procedure for conducting its business, and shall render all decisions and findings in writing to the appellant with a duplicate copy to the *code official*.

109.2 Limitations on authority. An application for appeal shall be based on a claim that the true intent of this code or the rules legally adopted thereunder have been incorrectly interpreted, the provisions of this code do not fully apply or an equally good or better form of construction is proposed. The board shall have no authority to waive requirements of this code.

109.3 Qualifications. The board of appeals shall consist of members who are qualified by experience and training and are not employees of the jurisdiction.

CHAPTER 2

DEFINITIONS

SECTION 201 GENERAL

201.1 Scope. Unless stated otherwise, the following words and terms in this code shall have the meanings indicated in this chapter.

201.2 Interchangeability. Words used in the present tense include the future; words in the masculine gender include the feminine and neuter; the singular number includes the plural and the plural includes the singular.

201.3 Terms defined in other codes. Terms that are not defined in this code but are defined in the *International Building Code*, *International Fire Code*, *International Fuel Gas Code*, *International Mechanical Code*, *International Plumbing Code* or the *International Residential Code* shall have the meanings ascribed to them in those codes.

201.4 Terms not defined. Terms not defined by this chapter shall have ordinarily accepted meanings such as the context implies.

SECTION 202 GENERAL DEFINITIONS

ABOVE-GRADE WALL. A wall more than 50 percent above grade and enclosing *conditioned space*. This includes between-floor spandrels, peripheral edges of floors, roof and basement knee walls, dormer walls, gable end walls, walls enclosing a mansard roof and skylight shafts.

ACCESSIBLE. Admitting close approach as a result of not being guarded by locked doors, elevation or other effective means (see "Readily accessible").

ADDITION. An extension or increase in the *conditioned space* floor area or height of a building or structure.

AIR BARRIER. Material(s) assembled andjoined together to provide a barrier to air leakage through the building envelope. An air barrier may be a single material or a combination of materials.

ALTERATION. Any construction or renovation to an existing structure other than repair or addition that requires a permit. Also, a change in a mechanical system that involves an extension, addition or change to the arrangement, type or purpose of the original installation that requires a permit.

APPROVED. Approval by the *code official* as a result of investigation and tests conducted by him or her, or by reason of accepted principles or tests by nationally recognized organizations.

AUTOMATIC. Self-acting, operating by its own mechanism when actuated by some impersonal influence, as, for example, a change in current strength, pressure, temperature or mechanical configuration (see "Manual").

BASEMENT WALL. A wall 50 percent or more below grade and enclosing *conditioned space*.

BUILDING. Any structure used or intended for supporting or sheltering any use or occupancy.

BUILDING THERMAL ENVELOPE. The basement walls, exterior walls, floor, roof, and any other building element that enclose *conditioned space*. This boundary also includes the boundary between *conditioned space* and any exempt or unconditioned space.

C-FACTOR (THERMAL CONDUCTANCE). The coefficient of heat transmission (surface to surface) through a building component or assembly, equal to the time rate of heat flow per unit area and the unit temperature difference between the warm side and cold side surfaces ($\text{Btu}/(\text{h ft}^2 \times \text{OF})$) [$\text{W}/(\text{m}^2 \times \text{K})$].

CODE OFFICIAL. The officer or other designated authority charged with the administration and enforcement of this code, or a duly authorized representative.

COMMERCIAL BUILDING. For this code, all buildings that are not included in the definition of "Residential buildings."

CONDITIONED FLOOR AREA. The horizontal projection of the floors associated with the *conditioned space*.

CONDITIONED SPACE. An area or room within a building being heated or cooled, containing uninsulated ducts, or with a fixed opening directly into an adjacent *conditioned space*.

CRAWL SPACE WALL. The opaque portion of a wall that encloses a crawl space and is partially or totally below grade.

CURTAIN WALL. Fenestration products used to create an external nonload-bearing wall that is designed to separate the exterior and interior environments.

DAYLIGHT ZONE.

1. Under skylights. The area under skylights whose horizontal dimension, in each direction, is equal to the skylight dimension in that direction plus either the floor-to-ceiling height or the dimension to a ceiling height opaque partition, or one-half the distance to adjacent skylights or vertical fenestration, whichever is least.

2. Adjacent to vertical fenestration. The area adjacent to vertical fenestration which receives daylight through the fenestration. For purposes of this definition and unless more detailed analysis is provided, the *daylight zone* depth is assumed to extend into the space a distance of 15 feet (4572 mm) or to the nearest ceiling height opaque partition, whichever is less. The *daylight zone* width is assumed to be the width of the window plus 2 feet (610 mm) on each side, or the window width plus the distance to an opaque partition, or the window width plus one-half the distance to adjacent skylight or vertical fenestration, whichever is least.

DEFINITIONS

DEMAND CONTROL VENTILATION (DCV). A ventilation system capability that provides for the automatic reduction of outdoor air intake below design rates when the actual occupancy of spaces served by the system is less than design occupancy.

DUCT. A tube or conduit utilized for conveying air. The air passages of self-contained systems are not to be construed as air ducts.

DUCT SYSTEM. A continuous passageway for the transmission of air that, in addition to ducts, includes duct fittings, dampers, plenums, fans and accessory air-handling equipment and appliances.

DWELLING UNIT. A single unit providing complete independent living facilities for one or more persons, including permanent provisions for living, sleeping, eating, cooking and sanitation.

ECONOMIZER, AIR. A duct and damper arrangement and automatic control system that allows a cooling system to supply outside air to reduce or eliminate the need for mechanical cooling during mild or cold weather.

ECONOMIZER, WATER. A system where the supply air of a cooling system is cooled indirectly with water that is itself cooled by heat or mass transfer to the environment without the use of mechanical cooling.

ENERGY ANALYSIS. A method for estimating the annual energy use of the *proposed design* and *standard reference design* based on estimates of energy use.

ENERGY COST. The total estimated annual cost for purchased energy for the building functions regulated by this code, including applicable demand charges.

ENERGY RECOVERY VENTILATION SYSTEM. Systems that employ air-to-air heat exchangers to recover energy from exhaust air for the purpose of preheating, precooling, humidifying or dehumidifying outdoor ventilation air prior to supplying the air to a space, either directly or as part of an HVAC system.

ENERGY SIMULATION TOOL. An *approved* software program or calculation-based methodology that projects the annual energy use of a building.

ENTRANCE DOOR. Fenestration products used for ingress, egress and access in nonresidential buildings, including, but not limited to, exterior entrances that utilize latching hardware and automatic closers and contain over 50-percent glass specifically designed to withstand heavy use and possibly abuse.

EXTERIOR WALL. Walls including both above-grade walls and basement walls.

FAN BRAKE HORSEPOWER (BHP). The horsepower delivered to the fan's shaft. Brake horsepower does not include the mechanical drive losses (belts, gears, etc.).

FAN SYSTEM BHP. The sum of the fan brake horsepower of all fans that are required to operate at fan system design conditions to supply air from the heating or cooling source to the *conditioned spacers* and return it to the source or exhaust it to the outdoors.

FAN SYSTEM DESIGN CONDITIONS. Operating conditions that can be expected to occur during normal system operation that result in the highest supply fan airflow rate to conditioned spaces served by the system.

FAN SYSTEM MOTOR NAMEPLATE HP. The sum of the motor nameplate horsepower of all fans that are required to operate at design conditions to supply air from the heating or cooling source to the *conditioned spacers* and return it to the source or exhaust it to the outdoors.

FENESTRATION. Skylights, roof windows, vertical windows (fixed or moveable), opaque doors, glazed doors, glazed block and combination opaque/glazed doors. Fenestration includes products with glass and nonglass glazing materials.

F-FACTOR. The perimeter heat loss factor for slab-on-grade floors (Btu/h x ft x OF) [W/(m x K)].

HEAT TRAP. An arrangement of piping and fittings, such as elbows, or a commercially available heat trap that prevents thermosyphoning of hot water during standby periods.

HEATED SLAB. Slab-on-grade construction in which the heating elements, hydronic tubing, or hot air distribution system is in contact with, or placed within or under, the slab.

HIGH-EFFICACY LAMPS. Compact fluorescent lamps, T-8 or smaller diameter linear fluorescent lamps, or lamps with a minimum efficacy of:

1. 60 lumens per watt for lamps over 40 watts,
2. 50 lumens per watt for lamps over 15 watts to 40 watts, and
3. 40 lumens per watt for lamps 15 watts or less.

HUMIDISTAT. A regulatory device, actuated by changes in humidity, used for automatic control of relative humidity.

INFILTRATION. The uncontrolled inward air leakage into a building caused by the pressure effects of wind or the effect of differences in the indoor and outdoor air density or both.

INSULATING SHEATHING. An insulating board with a core material having a minimum R-value of R-2.

LABLED. Equipment, materials or products to which have been affixed a label, seal, symbol or other identifying mark of a nationally recognized testing laboratory, inspection agency or other organization concerned with product evaluation that maintains periodic inspection of the production of the above-labeled items and whose labeling indicates either that the equipment, material or product meets identified standards or has been tested and found suitable for a specified purpose.

LISTED. Equipment, materials, products or services included in a list published by an organization acceptable to the *code official* and concerned with evaluation of products or services that maintains periodic inspection of production of *listed* equipment or materials or periodic evaluation of services and whose listing states either that the equipment, material, product or service meets identified standards or has been tested and found suitable for a specified purpose.

LOW-VOLTAGE LIGHTING. Lighting equipment powered through a transformer such as a cable conductor, a rail conductor and track lighting.

MANUAL. Capable of being operated by personal intervention (see "Automatic").

NAMEPLATE HORSEPOWER. The nominal motor horsepower rating stamped on the motor nameplate.

PROPOSED DESIGN. A description of the proposed building used to estimate annual energy use for determining compliance based on total building performance.

READILY ACCESSIBLE. Capable of being reached quickly for operation, renewal or inspection without requiring those to whom ready access is requisite to climb over or remove obstacles or to resort to portable ladders or access equipment (see "Accessible").

REPAIR. The reconstruction or renewal of any part of an existing building.

RESIDENTIAL BUILDING. For this code, includes R-3 buildings, as well as R-2 and R-4 buildings three stories or less in height above grade.

ROOF ASSEMBLY. A system designed to provide weather protection and resistance to design loads. The system consists of a roof covering and roof deck or a single component serving as both the roof covering and the roof deck. A roof assembly includes the roof covering, underlayment, roof deck, insulation, vapor retarder and interior finish.

R-VALUE (THERMAL RESISTANCE). The inverse of the time rate of heat flow through a body from one of its bounding surfaces to the other surface for a unit temperature difference between the two surfaces, under steady state conditions, per unit area ($h \cdot ft^2 \cdot \text{of/Btu}$) [$(m^2 \cdot K)/W$].

SCREW LAMP HOLDERS. A lamp base that requires a screw-in-type lamp, such as a compact-fluorescent, incandescent, or tungsten-halogen bulb.

SERVICE WATER HEATING. Supply of hot water for purposes other than comfort heating.

SKYLIGHT. Glass or other transparent or translucent glazing material installed at a slope of 15 degrees (0.26 rad) or more from vertical. Glazing material in skylights, including unit skylights, solariums, sunrooms, roofs and sloped walls is included in this definition.

SLEEPING UNIT. A room or space in which people sleep, which can also include permanent provisions for living, eating, and either sanitation or kitchen facilities but not both. Such rooms and spaces that are also part of a dwelling unit are not *sleeping units*.

SOLAR HEAT GAIN COEFFICIENT (SHGC). The ratio of the solar heat gain entering the space through the fenestration assembly to the incident solar radiation. Solar heat gain includes directly transmitted solar heat and absorbed solar radiation which is then reradiated, conducted or convected into the space.

STANDARD REFERENCE DESIGN. A version of the *proposed design* that meets the minimum requirements of this code and is used to determine the maximum annual energy use requirement for compliance based on total building performance.

STOREFRONT. A nonresidential system of doors and windows mulled as a composite fenestration structure that has been designed to resist heavy use. *Storefrontsystems* include, but are not limited to, exterior fenestration systems that span from the floor level or above to the ceiling of the same story on commercial buildings.

SUNROOM. A one-story structure attached to a dwelling with a glazing area in excess of 40 percent of the gross area of the structure's exterior walls and roof.

THERMAL ISOLATION. Physical and space conditioning separation from *conditioned space(s)*. The *conditioned space(s)* shall be controlled as separate zones for heating and cooling or conditioned by separate equipment.

THERMOSTAT. An automatic control device used to maintain temperature at a fixed or adjustable set point.

V-FACTOR (THERMAL TRANSMITTANCE). The coefficient of heat transmission (air to air) through a building component or assembly, equal to the time rate of heat flow per unit area and unit temperature difference between the warm side and cold side air films ($Btu/h \cdot ft^2 \cdot \text{OF}$) [$W/(m^2 \cdot K)$].

VENTILATION. The natural or mechanical process of supplying conditioned or unconditioned air to, or removing such air from, any space.

VENTILATION AIR. That portion of supply air that comes from outside (outdoors) plus any recirculated air that has been treated to maintain the desired quality of air within a designated space.

ZONE. A space or group of spaces within a building with heating or cooling requirements that are sufficiently similar so that desired conditions can be maintained throughout using a single controlling device.

CHAPTER 3

CLIMATE ZONES

SECTION 301

CLIMATE ZONES

301.1 General. Climate *zones* from Figure 301.1 or Table 301.1 shall be used in determining the applicable requirements from Chapters 4 and 5. Locations not in Table 301.1 (outside the United States) shall be assigned a climate *zone* based on Section 301.3.

301.2 Warm humid counties. Warm humid counties are identified in Table 301.1 by an asterisk.

301.3 International climate zones. The climate *zone* for any location outside the United States shall be determined by • applying Table 301.3(1) and then Table 301.3(2).

CLIMATE ZONES

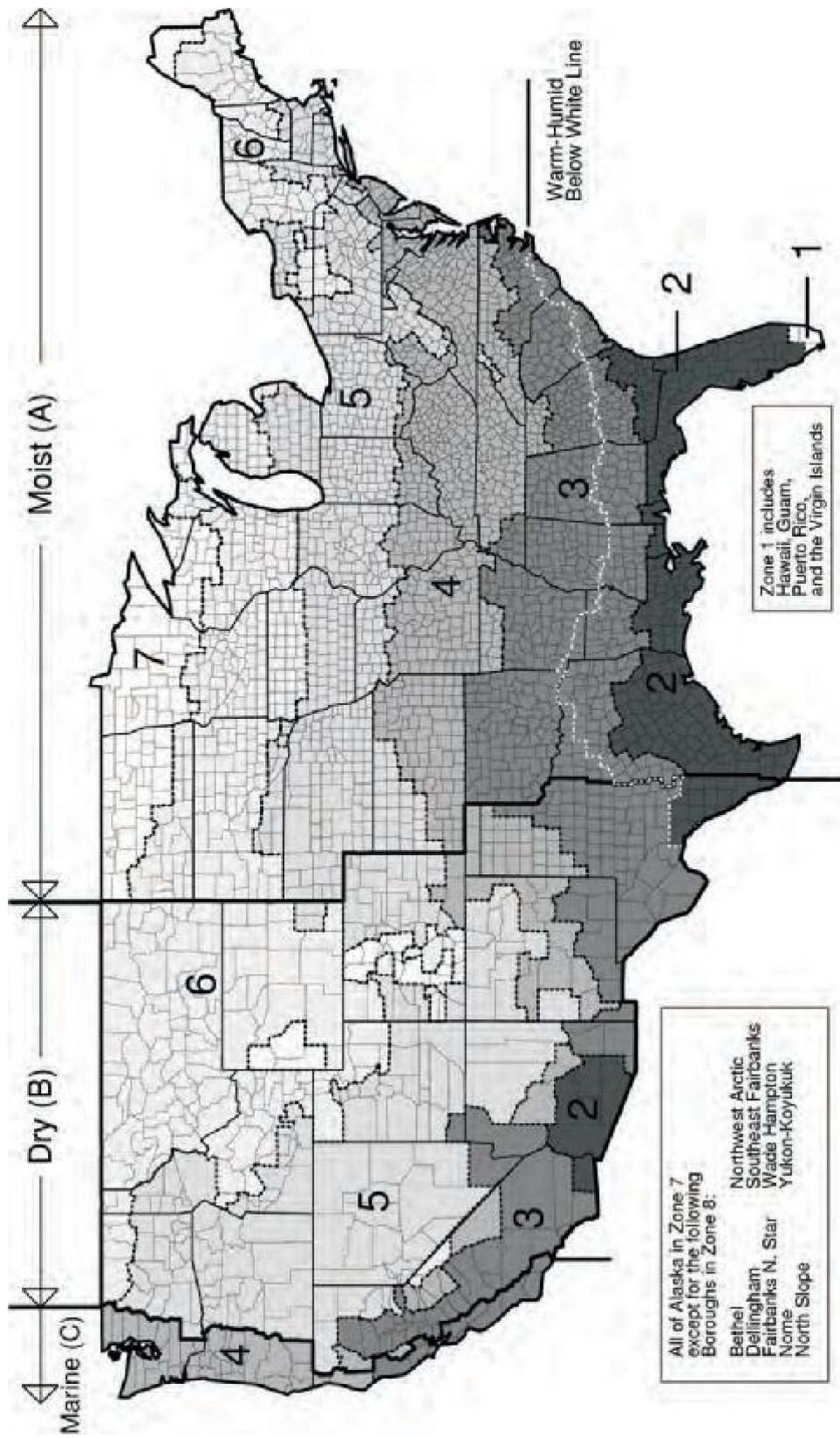


FIGURE 301.1
CLIMATE ZONES

TABLE 301.1
CLIMATE IONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY

Note: Table 301.1 in the 2006 edition has been replaced in its entirety. Margin lines are omitted for clarity.

Key: A - Moist, B - Dry, C - Marine. Absence of moisture designation indicates moisture regime is irrelevant.
Asterisk (*) indicates a warm-humid location.

US STATES

ALABAMA	3A Henry*	ALASKA	SB Coconino	3A Desha
3A Autauga*	3A Houston*	7 Aleutians East	4B Gila	3A Drew
2A Baldwin*	3AJackson	7 Aleutians West	3B Graham	3A Faulkner
3A Barbour*	3A Jefferson	7 Anchorage	3B Greenlee	3A Franklin
3A Bibb	3A Lamar	8 Bethel	2B La Paz	4A Fulton
3A Blount	3A Lauderdale	7 Bristol Bay	2B Maricopa	3A Garland
3A Bullock*	3A Lawrence	7 Denali	3B Mohave	3A Grant
3A Butler*	3A Lee	8 Dillingham	SB Navajo	3A Greene
3A Calhoun	3A Limestone	8 Fairbanks North Star	2B Pima	3A Hempstead*
3A Chambers	3A Lowndes*	7 Haines	2B Pinal	3A Hot Spring
3A Cherokee	3A Macon*	7 Juneau	3B Santa Cruz	3A Howard
3A Chilton	3A Madison	7 Kenai Peninsula	4B Yavapai	3A Independence
3A Choctaw*	3A Marengo*	7 Ketchikan Gateway	2B Yuma	4A Izard
3A Clarke*	3A Marion	7 Kodiak Island	ARKANSAS	3A Jackson
3A Clay	3A Marshall	7 Lake and Peninsula	3A Arkansas	3A Jefferson
3A Cleburne	2A Mobile*	7 Matanuska-Susitna	3A Ashley	3AJohnson
3A Coffee*	3A Monroe*	8 Nome	4A Baxter	3A Lafayette*
3A Colbert	3A Montgomery*	8 North Slope	4A Benton	3A Lawrence
3A Conecuh*	3A Morgan	8 Northwest Arctic	4A Boone	3ALee
3A Coosa	3A Perry*	7 Prince of Wales-Outer Ketchikan	3A Bradley	3A Lincoln
3A Covington*	3A Pickens	7 Sitka	3A Calhoun	3A Little River*
3A Crenshaw*	3A Pike*	7 Skagway-Hoonah-Angoon	4A Carroll	3A Logan
3A Cullman	3A Randolph	7 Valdez-Cordova	3A Chicot	3A Lonoke
3A Dale*	3A Russell*	8 Wade Hampton	3A Clark	4A Madison
3A Dallas*	3A Shelby	8 Southeast Fairbanks	3A Clay	4A Marion
3A DeKalb	3A St. Clair	7 Wrangell-Petersburg	3A Cleburne	3A Miller*
3A Elmore*	3A Sumter	7 Yakutat	3A Cleveland	3A Mississippi
3A Escambia*	3A Talladega	8 Yukon-Koyukuk	3A Columbia*	3A Monroe
3A Etowah	3A Tallapoosa	ARIZONA	3A Conway	3A Montgomery
3A Fayette	3A Tuscaloosa		3A Craighead	3A Nevada
3A Franklin	3A Walker		3A Crawford	4A Newton
3A Geneva*	3A Washington*		3A Crittenden	3A Ouachita
3A Greene	3A Wilcox*	SB Apache	3A Cross	3A Perry
3A Hale	3A Winston	3B Cochise	3A Dallas	3A Phillips

(continued)

CLIMATE ZONES

**TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY**

3A Pike	3B Los Angeles	COLORADO	7 Mineral	2A Charlotte*
3A Poinsett	3B Madera	5B Adams	6B Moffat	2A Citrus*
3A Polk	3C Marin	6B Alamosa	5B Montezuma	2A Clay*
3A Pope	4B Mariposa	5B Arapahoe	5B Montrose	2A Collier*
3A Prairie	3C Mendocino	6B Archuleta	5B Morgan	2A Columbia*
3A Pulaski	3B Merced	4B Baca	4B Otero	2A DeSoto*
3A Randolph	5B Modoc	5B Bent	6B Ouray	2A Dixie*
3A Saline	6B Mono	5B Boulder	7 Park	2A Duval*
3A Scott	3C Monterey	6B Chaffee	5B Phillips	2A Escambia*
4A Searcy	3C Napa	5B Cheyenne	7 Pitkin	2A Flagler*
3A Sebastian	5B Nevada	7 Clear Creek	5B Prowers	2A Franklin*
3A Sevier*	3B Orange	6B Conejos	5B Pueblo	2A Gadsden*
3A Sharp	3B Placer	6B Costilla	6B Rio Blanco	2A Gilchrist*
3A St. Francis	5B Plumas	5B Crowley	7 Rio Grande	2A Glades*
4A Stone	3B Riverside	6B Custer	7 Routt	2A Gulf*
3A Union*	3B Sacramento	5B Delta	6B Saguache	2A Hamilton*
3A Van Buren	3C San Benito	5B Denver	7 San Juan	2A Hardee*
4A Washington	3B San Bernardino	6B Dolores	6B San Miguel	2A Hendry*
3A White	3B San Diego	5B Douglas	5B Sedgwick	2A Hernando*
3A Woodruff	3C San Francisco	6B Eagle	7 Summit	2A Highlands*
3A Yell	3B San Joaquin	5B Elbert	5B Teller	2A Hillsborough*
CALIFORNIA		5B El Paso	5B Washington	2A Holmes*
3C Alameda	3C San Luis Obispo	5B Fremont	5B Weld	2A Indian River*
6B Alpine	3C San Mateo	5B Garfield	5B Yuma	2A Jackson*
4B Amador	3C Santa Barbara	5B Gilpin		2A Jefferson*
3B Butte	3C Santa Clara	7 Grand		2A Lafayette*
4B Calaveras	3B Shasta	7 Gunnison		2A Lake*
3B Colusa	5B Sierra	7 Hinsdale		2A Lee*
3B Contra Costa	5B Siskiyou	5B Huerfano		2A Leon*
4C Del Norte	3B Solano	7 Jackson		2A Levy*
4B El Dorado	3C Sonoma	5B Jefferson		2A Liberty*
3B Fresno	3B Stanislaus	5B Kiowa		2A Madison*
3B Glenn	3B Sutter	5B Kit Carson		2A Manatee*
4C Humboldt	3B Tehama	7 Lake		2A Marion*
2B Imperial	4B Trinity	5B La Plata		2A Martin*
4B Inyo	3B Tulare	5B Larimer		1A Miami-Dade*
3B Kern	4B Tuolumne	4B Las Animas		1A Monroe*
3B Kings	3C Ventura	5B Lincoln		2A Nassau*
4B Lake	3B Yolo	5B Logan		2A Okaloosa*
5B Lassen	3B Yuba	5B Mesa		2A Okeechobee*

(continued)

TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY

2A Orange*	2A Camden*	4A Gilmer	3A Monroe	3A Twiggs*
2A Osceola*	3A Candler*	3A Glascock	3A Montgomery*	4A Union
2A Palm Beach*	3A Carroll	2A Glynn*	3A Morgan	3A Upson
2A Pasco*	4A Catoosa	4A Gordon	4A Murray	4A Walker
2A Pinellas*	2A Charlton*	2A Grady*	3A Muscogee	3A Walton
2A Polk*	2A Chatham*	3A Greene	3A Newton	2A Ware*
2A Putnam*	3A Chattahoochee*	3A Gwinnett	3A Oconee	3A Warren
2A Santa Rosa*	4A Chattooga	4A Habersham	3A Oglethorpe	3A Washington
2A Sarasota*	3A Cherokee	4A Hall	3A Paulding	2A Wayne*
2A Seminole*	3A Clarke	3A Hancock	3A Peach*	3A Webster*
2A St. Johns*	3A Clay*	3A Haralson	4A Pickens	3A Wheeler*
2A St. Lucie*	3A Clayton	3A Harris	2A Pierce*	4A White
2A Sumter*	2A Clinch*	3A Hart	3A Pike	4A Whitfield
2A Suwannee*	3A Cobb	3A Heard	3A Polk	3A Wilcox*
2A Taylor*	3A Coffee*	3A Henry	3A Pulaski*	3A Wilkes
2A Union*	2A Colquitt*	3A Houston*	3A Putnam	3A Wilkinson
2A Volusia*	3A Columbia	3A Irwin*	3A Quitman*	3A Worth*
2A Wakulla*	2A Cook*	3A Jackson	4A Rabun	
2A Walton*	3A Coweta	3A Jasper	3A Randolph*	
2A Washington*	3A Crawford	2A Jeff Davis*	3A Richmond	
3A Crisp*		3A Jefferson	3A Rockdale	
GEORGIA	4A Dade	3A Jenkins*	3A Schley*	
2A Appling*	4A Dawson	3A Johnson*	3A Screven*	
2A Atkinson*	2A Decatur*	3A Jones	2A Seminole*	
2A Bacon*	3A DeKalb	3A Lamar	3A Spalding	
2A Baker*	3A Dodge*	2A Lanier*	4A Stephens	
3A Baldwin	3A Dooley*	3A Laurens*	3A Stewart*	
4A Banks	3A Dougherty*	3A Lee*	3A Sumter*	
3A Barrow	3A Douglas	2A Liberty*	3A Talbot	
3A Bartow	3A Early*	3A Lincoln	3A Taliaferro	
3A Ben Hill*	2A Echols*	2A Long*	2A Tattnall*	
2A Berrien*	2A Effingham*	2A Lowndes*	3A Taylor*	
3A Bibb	3A Elbert	4A Lumpkin	3A Telfair*	
3A Bleckley*	3A Emanuel*	3A Macon*	3A Terrell*	
2A Brantley*	2A Evans*	3A Madison	2A Thomas*	
2A Brooks*	4A Fannin	3A Marion*	3A Tift*	
2A Bryan*	3A Fayette	3A McDuffie	2A Toombs*	
3A Bulloch*	4A Floyd	2A McIntosh*	4A Towns	
3A Burke	3A Forsyth	3A Meriwether	3A Treutlen*	
3A Butts	4A Franklin	2A Miller*	3A Troup	
3A Calhoun*	3A Fulton	2A Mitchell*	3A Turner*	

(continued)

CLIMATE ZONES

**TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY**

6B Franklin	SA Cook	4A Macoupin	4A Wayne	SA Henry
6B Fremont	4A Crawford	4A Madison	4A White	SA Howard
SB Gem	SA Cumberland	4A Marion	SA Whiteside	SA Huntington
SB Gooding	SA DeKalb	SA Marshall	SA Will	4Ajackson
SB Idaho	SA De Witt	SA Mason	4A Williamson	SA jasper
6B jefferson	SA Douglas	4A Massac	SA Winnebago	SA jay
SB jerome	SA DuPage	SA McDonough	SA Woodford	4A jefferson
SB Kootenai	SA Edgar	SA McHenry	INDIANA	
SB Latah	4A Edwards	SA McLean	SA Adams	SA johnson
6B Lemhi	4A Effingham	SA Menard	SA Allen	4A Knox
SB Lewis	4A Fayette	SA Mercer	SA Bartholomew	SA Kosciusko
SB Lincoln	SA Ford	4A Monroe	SA Benton	SA Lagrange
6B Madison	4A Franklin	4A Montgomery	SA Blackford	SA Lake
SB Minidoka	SA Fulton	SA Morgan	SA Boone	SA La Porte
SB Nez Perce	4A Gallatin	SA Moultrie	4A Brown	4A Lawrence
6B Oneida	SA Greene	SA Ogle	SA Carroll	SA Madison
SB Owyhee	SA Grundy	SA Peoria	SA Cass	SA Marion
SB Payette	4A Hamilton	4A Perry	4A Clark	SA Marshall
SB Power	SA Hancock	SA Piatt	SA Clay	4A Martin
SB Shoshone	4A Hardin	SA Pike	SA Clinton	SA Miami
6B Teton	SA Henderson	4A Pope	4A Crawford	4A Monroe
SB Twin Falls	SA Henry	4A Pulaski	4A Daviess	SA Montgomery
6B Valley	SA Iroquois	SA Putnam	4A Dearborn	SA Morgan
SB Washington	4Ajackson	4A Randolph	SA Decatur	SA Newton
ILLINOIS				
SA Adams	4A jasper	4A Richland	SA De Kalb	SA Noble
4A Alexander	4A jefferson	SA Rock Island	SA Delaware	4A Ohio
4A Bond	SA jersey	4A Saline	4A Dubois	4A Orange
SA Boone	SA jo Daviess	SA Sangamon	SA Elkhart	SA Owen
SA Brown	4Ajohnson	SA Schuyler	SA Fayette	SA Parke
SA Bureau	SA Kane	SA Scott	4A Floyd	4A Perry
SA Calhoun	SA Kankakee	4A Shelby	SA Fountain	4A Pike
SA Carroll	SA Kendall	SA Stark	SA Franklin	SA Porter
SA Cass	SA Knox	4A St. Clair	SA Fulton	4A Posey
SA Champaign	SA Lake	SA Stephenson	4A Gibson	SA Pulaski
4A Christian	SA La Salle	SA Tazewell	SA Grant	SA Putnam
SA Clark	4A Lawrence	4A Union	4A Greene	SA Randolph
4A Clay	SA Lee	SA Vermilion	SA Hamilton	4A Ripley
4A Clinton	SA Livingston	4A Wabash	SA Hancock	SA Rush
SA Coles	SA Logan	SA Warren	4A Harrison	4A Scott
	SA Macon	4A Washington	SA Hendricks	SA Shelby

(continued)

TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY

4A Spencer	SA Clarke	6A Lyon	KANSAS	4A Harvey
SA Starke	6A Clay	SA Madison	4A Allen	4A Haskell
SA Steuben	6A Clayton	SA Mahaska	4A Anderson	4A Hodgeman
SA St. Joseph	SA Clinton	SA Marion	4A Atchison	4A Jackson
4A Sullivan	SA Crawford	SA Marshall	4A Barber	4A Jefferson
4A Switzerland	SA Dallas	SA Mills	4A Barton	SA Jewell
SA Tippecanoe	SA Davis	6A Mitchell	4A Bourbon	4A Johnson
SA Tipton	SA Decatur	SA Monona	4A Brown	4A Kearny
SA Union	6A Delaware	SA Monroe	4A Butler	4A Kingman
4A Vanderburgh	SA Des Moines	SA Montgomery	4A Chase	4A Kiowa
SA Vermillion	6A Dickinson	SA Muscatine	4A Chautauqua	4A Labette
SA Vigo	SA Dubuque	6A O'Brien	4A Cherokee	SA Lane
SA Wabash	6A Emmet	6A Osceola	SA Cheyenne	4A Leavenworth
SA Warren	6A Fayette	SA Page	4A Clark	4A Lincoln
4A Warrick	6A Floyd	6A Palo Alto	4A Clay	4A Linn
4A Washington	6A Franklin	6A Plymouth	SA Cloud	SA Logan
SA Wayne	SA Fremont	6A Pocahontas	4A Coffey	4A Lyon
SA Wells	SA Greene	SA Polk	4A Comanche	4A Marion
SA White	6A Grundy	SA Pottawattamie	4A Cowley	4A Marshall
SA Whitley	SA Guthrie	SA Poweshiek	4A Crawford	4A McPherson
IOWA	6A Hamilton	SA Ringgold	SA Decatur	4A Meade
SA Adair	6A Hancock	6ASac	4A Dickinson	4A Miami
SA Adams	6A Hardin	SA Scott	4A Doniphan	SA Mitchell
6A Allamakee	SA Harrison	SA Shelby	4A Douglas	4A Montgomery
SA Appanoose	SA Henry	6A Sioux	4A Edwards	4A Morris
SA Audubon	6A Howard	SA Story	4A Elk	4A Morton
SA Benton	6A Humboldt	SA Tama	SA Ellis	4A Nemaha
6A Black Hawk	6A Ida	SA Taylor	4A Ellsworth	4A Neosho
SA Boone	SA Iowa	SA Union	4A Finney	SA Ness
6A Bremer	SA jackson	SA Van Buren	4A Ford	SA Norton
6A Buchanan	SA jasper	SA Wapello	4A Franklin	4A Osage
6A Buena Vista	SA jefferson	SA Warren	4A Geary	SA Osborne
6A Butler	SA johnson	SA Washington	SA Gove	4A Ottawa
6A Calhoun	SA jones	SA Wayne	SA Graham	4A Pawnee
SA Carroll	SA Keokuk	6A Webster	4A Grant	SA Phillips
SA Cass	6A Kossuth	6A Winnebago	4A Gray	4A Pottawatomie
SA Cedar	SA Lee	6A Winneshiek	SA Greeley	4A Pratt
6A Cerro Gordo	SA Linn	SA Woodbury	4A Greenwood	SA Rawlins
6A Cherokee	SA Louisa	6A Worth	SA Hamilton	4A Reno
6A Chickasaw	SA Lucas	6A Wright	4A Harper	SA Republic

(continued)

CLIMATE ZONES

**TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY**

4A Rice	2A Cameron*	2A St. Mary*	4A Cecil	6A Crawford
4A Riley	3A Catahoula*	2A St. Tammany*	4A Charles	6A Delta
SA Rooks	3A Claiborne*	2A Tangipahoa*	4A Dorchester	6A Dickinson
4A Rush	3A Concordia*	3A Tensas*	4A Frederick	SA Eaton
4A Russell	3A De Soto*	2A Terrebonne*	SA Garrett	6AE Emmet
4A Saline	2A East Baton Rouge*	3A Union*	4A Harford	SA Genesee
SA Scott		2A Vermilion*	4A Howard	6A Gladwin
4A Sedgwick	3A East Carroll	3A Vernon*	4A Kent	7 Gogebic
4A Seward	2A East Feliciana*	2A Washington*	4A Montgomery	6A Grand Traverse
4A Shawnee	2A Evangeline*	3A Webster*	4A Prince George's	SA Gratiot
SA Sheridan	3A Franklin*	2A West Baton Rouge*	4A Queen Anne's	SA Hillsdale
SA Sherman	3A Grant*		4A Somerset	7 Houghton
SA Smith	2A Iberia*	3A West Carroll	4A St. Mary's	6A Huron
4A Stafford	2A Iberville*	2A West Feliciana*	4A Talbot	SA Ingham
4A Stanton	3A Jackson*	3A Winn*	4A Washington	SA Ionia
4A Stevens	2A Jefferson*	MAINE	4A Wicomico	6A Iosco
4A Sumner	2A Jefferson Davis*	6A Androscoggin	4A Worcester	7 Iron
SA Thomas	2A Lafayette*	7 Aroostook	MASSACHUSETTS	6A Isabella
SA Trego	2A Lafourche*	6A Cumberland		SA Jackson
4A Wabaunsee	3A La Salle*	6A Franklin		SA Kalamazoo
SA Wallace	3A Lincoln*	6A Hancock	MICHIGAN	6A Kalkaska
4A Washington	2A Livingston*	6A Kennebec		SA Kent
SA Wichita	3A Madison*	6A Knox		7 Keweenaw
4A Wilson	3A Morehouse	6A Lincoln		6A Lake
4A Woodson	3A Natchitoches*	6A Oxford		SA Lapeer
4A Wyandotte	2A Orleans*	6A Penobscot		6A Leelanau
KENTUCKY	3A Ouachita*	6A Piscataquis		SA Lenawee
4A (all)	2A Plaquemines*	6A Sagadahoc		SA Livingston
LOUISIANA	2A Pointe Coupee*	6A Somerset		7 Luce
2A Acadia*	2A Rapides*	6A Waldo		7 Mackinac
2A Allen*	3A Red River*	6A Washington		SA Macomb
2A Ascension*	3A Richland*	6A York		6A Manistee
2A Assumption*	2A St. Bernard*	MARYLAND		6A Marquette
2A Avoyelles*	2A St. Charles*	4A Allegany		6A Mason
2A Beauregard*	2A St. Helena*	4A Anne Arundel		6A Mecosta
3A Bienville*	2A St. James*	4A Baltimore		6A Menominee
3A Bossier*	2A St. John the Baptist*	4A Baltimore (city)		SA Midland
3A Caddo*		4A Calvert		6A Missaukee
2A Calcasieu*	2A St. Landry*	4A Caroline		SA Monroe
3A Caldwell*	2A St. Martin*	4A Carroll		SA Montcalm

(continued)

TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY

6A Montmorency	7 Cook	7 Pennington	3A Carroll	3A Montgomery
SA Muskegon	6A Cottonwood	7 Pine	3A Chickasaw	3A Neshoba
6A Newaygo	7 Crow Wing	6A Pipestone	3A Choctaw	3A Newton
SA Oakland	6A Dakota	7 Polk	3A Claiborne*	3A Noxubee
6A Oceana	6A Dodge	6A Pope	3A Clarke	3A Okribbeha
6A Ogemaw	6A Douglas	6A Ramsey	3A Clay	3A Panola
7 Ontonagon	6A Faribault	7 Red Lake	3A Coahoma	2A Pearl River*
6A Osceola	6A Fillmore	6A Redwood	3A Copiah*	3A Perry*
6A Oscoda	6A Freeborn	6A Renville	3A Covington*	3A Pike*
6A Otsego	6A Goodhue	6A Rice	3A DeSoto	3A Pontotoc
SA Ottawa	7 Grant	6A Rock	3A Forrest*	3A Prentiss
6A Presque Isle	6A Hennepin	7 Roseau	3A Franklin*	3A Quitman
6A Roscommon	6A Houston	6A Scott	3A George*	3A Rankin*
SA Saginaw	7 Hubbard	6A Sherburne	3A Greene*	3A Scott
6A Sanilac	6A Isanti	6A Sibley	3A Grenada	3A Sharkey
7 Schoolcraft	7 Itasca	6A Stearns	2A Hancock*	3A Simpson*
SA Shiawassee	6AJackson	6A Steele	2A Harrison*	3A Smith*
SA St. Clair	7 Kanabec	6A Stevens	3A Hinds*	2A Stone*
SA St. Joseph	6A Kandiyohi	7 St. Louis	3A Holmes	3A Sunflower
SA Tuscola	7 Kittson	6A Swift	3A Humphreys	3A Tallahatchie
SA Van Buren	7 Koochiching	6A Todd	3A Issaquena	3A Tate
SA Washtenaw	6A Lac qui Parle	6A Traverse	3A Itawamba	3A Tippah
SA Wayne	7 Lake	6A Wabasha	2A Jackson*	3A Tishomingo
6A Wexford	7 Lake of the Woods	7 Wadena	3A Jasper	3A Tunica
MINNESOTA				
7 Aitkin	6A Le Sueur	6A Waseca	3A Jefferson*	3A Union
6A Anoka	6A Lincoln	6A Washington	3A Jefferson Davis*	3A Walthall*
6A Becker	6A Lyon	6A Watonwan	3A Jones*	3A Warren*
7 Beltrami	7 Mahnomen	7 Wilkin	3A Kemper	3A Washington
6A Benton	7 Marshall	6A Winona	3A Lafayette	3A Wayne*
6A Big Stone	6A Martin	6A Wright	3A Lamar*	3A Webster
6A Blue Earth	6A McLeod	6A Yellow	3A Lauderdale	3A Wilkinson*
6A Brown	6A Meeker	Medicine	3A Lawrence*	3A Winston
6A Carlton	7 Mille Lacs		3A Leake	3A Yalobusha
6A Carver	6A Morrison		3A Lee	3A Yazoo
7 Cass	6AMower	3A Adams*	3A Leflore	
6A Chippewa	6A Murray	3A Alcorn	3A Lincoln*	MISSOURI
6A Chisago	6A Nicollet	3A Amite*	3A Lowndes	SA Adair
7 Clay	6A Nobles	3A Attala	3A Madison	SA Andrew
7 Clearwater	7 Norman	3A Benton	3A Marion*	SA Atchison
	6A Olmsted	3A Bolivar	3A Marshall	4A Audrain
	7 Otter Tail	3A Calhoun	3A Monroe	4A Barry

(continued)

CLIMATE ZONES

**TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY**

4A Barton	4A Iron	4A Randolph	SB Eureka	SA Passaic
4A Bates	4A Jackson	4ARay	SB Humboldt	4A Salem
4A Benton	4A jasper	4A Reynolds	SB Lander	SA Somerset
4A Bollinger	4A jefferson	4A Ripley	SB Lincoln	SA Sussex
4A Boone	4A johnson	4A Saline	SB Lyon	4A Union
SA Buchanan	SA Knox	SA Schuyler	SB Mineral	SA Warren
4A Butler	4A Laclede	SA Scotland	SB Nye	NEW MEXICO
SA Caldwell	4A Lafayette	4A Scott	SB Pershing	4B Bernalillo
4A Callaway	4A Lawrence	4A Shannon	SB Storey	SB Catron
4A Camden	SA Lewis	SA Shelby	SB Washoe	3B Chaves
4A Cape Girardeau	4A Lincoln	4A St. Charles	SB White Pine	4B Cibola
4A Carroll	SA Linn	4A St. Clair	NEW	SB Colfax
4A Carter	SA Livingston	4A Ste. Genevieve	HAMPSHIRE	4B Curry
4A Cass	SA Macon	4A St. Francois	6A Belknap	4B DeBaca
4A Cedar	4A Madison	4A St. Louis	6A Carroll	3B Dona Ana
SA Chariton	4A Maries	4A St.Louis (city)	SA Cheshire	3B Eddy
4A Christian	SA Marion	4A Stoddard	6A Coos	4B Grant
SA Clark	4A McDonald	4A Stone	6A Grafton	4B Guadalupe
4A Clay	SA Mercer	SA Sullivan	SA Hillsborough	SB Harding
SA Clinton	4A Miller	4A Taney	6A Merrimack	3B Hidalgo
4A Cole	4A Mississippi	4A Texas	SA Rockingham	3B Lea
4A Cooper	4A Moniteau	4A Vernon	SA Strafford	4B Lincoln
4A Crawford	4A Monroe	4A Warren	6A Sullivan	SB Los Alamos
4A Dade	4A Montgomery	4A Washington	NEW	3B Luna
4A Dallas	4A Morgan	4A Wayne	JERSEY	SB McKinley
SA Daviess	4A New Madrid	4A Webster	4A Atlantic	SB Mora
SA DeKalb	4A Newton	SA Worth	SA Bergen	3B Otero
4A Dent	SA Nodaway	4A Wright	4A Burlington	4B Quay
4A Douglas	4A Oregon	MONTANA	4A Camden	SB Rio Arriba
4A Dunklin	4A Osage	6B (all)	4A Cape May	4B Roosevelt
4A Franklin	4A Ozark	NEBRASKA	4A Cumberland	SB Sandoval
4A Gasconade	4A Pemiscot	SA (all)	4A Essex	SB San juan
SA Gentry	4A Perry	NEVADA	4A Gloucester	SB San Miguel
4A Greene	4A Pettis	SB Carson City (city)	4A Hudson	SB Santa Fe
SA Grundy	4A Phelps	SA Pike	SA Hunterdon	4B Sierra
SA Harrison	SA Pike	SB Churchill	SA Mercer	4B Socorro
4A Henry	4A Platte	3B Clark	4A Middlesex	SB Taos
4A Hickory	4A Polk	SB Douglas	4A Monmouth	SB Torrance
SA Holt	4A Pulaski	SB Elko	SA Morris	4B Union
4A Howard	SA Putnam	SB Esmeralda	4A Ocean	4B Valencia
4A Howell	SA Ralls			

(continued)

TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY

NEW YORK	SA Putnam	3A Carteret*	4A Madison	3A Wilson
SA Albany	4A Queens	4A Caswell	3A Martin	4A Yadkin
6A Allegany	SA Rensselaer	4A Catawba	4A McDowell	SA Yancey
4A Bronx	4A Richmond	4A Chatham	3A Mecklenburg	NORTH DAKOTA
6A Broome	SA Rockland	4A Cherokee	SA Mitchell	6A Adams
6A Cattaraugus	SA Saratoga	3A Chowan	3A Montgomery	7 Barnes
SA Cayuga	SA Schenectady	4A Clay	3A Moore	7 Benson
SA Chautauqua	6A Schoharie	4A Cleveland	4A Nash	6A Billings
SA Chemung	6A Schuyler	3A Columbus*	3A New Hanover*	7 Bottineau
6A Chenango	SA Seneca	3A Craven	4A Northampton	6ABowman
6A Clinton	6A Steuben	3A Cumberland	3A Onslow*	7 Burke
SA Columbia	6A St. Lawrence	3A Currituck	4A Orange	6A Burleigh
SA Cortland	4A Suffolk	3A Dare	3A Pamlico	7 Cass
6A Delaware	6A Sullivan	3A Davidson	3A Pasquotank	7 Cavalier
SA Dutchess	SA Tioga	4A Davie	3A Pender*	6A Dickey
SA Erie	6A Tompkins	3A Duplin	3A Perquimans	7 Divide
6A Essex	6A Ulster	4A Durham	4A Person	6A Dunn
6A Franklin	6A Warren	3A Edgecombe	3A Pitt	7 Eddy
6A Fulton	SA Washington	4A Forsyth	4A Polk	6A Emmons
SA Genesee	SA Wayne	4A Franklin	3A Randolph	7 Foster
SA Greene	4A Westchester	3A Gaston	3A Richmond	6A Golden Valley
6A Hamilton	6A Wyoming	4A Gates	3A Robeson	7 Grand Forks
6A Herkimer	SA Yates	4A Graham	4A Rockingham	6A Grant
6A Jefferson	NORTH CAROLINA	4A Granville	3A Rowan	7 Griggs
4A Kings	3A Greene	4A Rutherford	6A Hettinger	
6A Lewis	4A Guilford	3A Sampson		7 Kidder
SA Livingston	4A Alamance	4A Halifax	3A Scotland	6A LaMoure
6A Madison	4A Alexander	4A Harnett	3A Stanly	6A Logan
SA Monroe	SA Alleghany	4A Haywood	4A Stokes	7 McHenry
6A Montgomery	3A Anson	4A Henderson	4A Surry	6A McIntosh
4A Nassau	SA Ashe	4A Hertford	4A Swain	6A McKenzie
4A New York	SA Avery	3A Hoke	4A Transylvania	7 McLean
SA Niagara	3A Beaufort	3A Hyde	3A Tyrrell	
6A Oneida	4A Bertie	4A Iredell	3A Union	6A Mercer
SA Onondaga	3A Bladen	4A Jackson	4A Vance	6A Morton
SA Ontario	3A Brunswick*	3A Johnston	4A Wake	7 Mountrail
SA Orange	4A Buncombe	3AJones	4A Warren	7 Nelson
SA Orleans	4A Burke	4ALee	3A Washington	6A Oliver
SA Oswego	3A Cabarrus	3A Lenoir	SA Watauga	7 Pembina
6A Otsego	4A Caldwell	4A Lincoln	3A Wayne	7 Pierce
	3A Camden	4A Macon	4A Wilkes	7 Ramsey

(continued)

CLIMATE ZONES

**TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY**

6A Ransom	SA Fairfield	SA Perry	3A Coal	3A Okmulgee
7 Renville	SA Fayette	SA Pickaway	3A Comanche	3A Osage
6A Richland	SA Franklin	4A Pike	3A Cotton	3A Ottawa
7 Rolette	SA Fulton	SA Portage	3A Craig	3A Pawnee
6A Sargent	4A Gallia	SA Preble	3A Creek	3A Payne
7 Sheridan	SA Geauga	SA Putnam	3A Custer	3A Pittsburg
6A Sioux	SA Greene	SA Richland	3A Delaware	3A Pontotoc
6A Slope	SA Guernsey	SA Ross	3A Dewey	3A Pottawatomie
6A Stark	4A Hamilton	SA Sandusky	3A Ellis	3A Pushmataha
7 Steele	SA Hancock	4A Scioto	3A Garfield	3A Roger Mills
7 Stutsman	SA Hardin	SA Seneca	3A Garvin	3A Rogers
7 Towner	SA Harrison	SA Shelby	3A Grady	3A Seminole
7 Traill	SA Henry	SA Stark	3A Grant	3A Sequoyah
7 Walsh	SA Highland	SA Summit	3A Greer	3A Stephens
7 Ward	SA Hocking	SA Trumbull	3A Harmon	4B Texas
7 Wells	SA Holmes	SA Tuscarawas	3A Harper	3A Tillman
7 Williams	SA Huron	SA Union	3A Haskell	3A Tulsa
OHIO	SA Jackson	SA Van Wert	3A Hughes	3A Wagoner
4A Adams	SA Jefferson	SA Vinton	3A Jackson	3A Washington
SA Allen	SA Knox	SA Warren	3A Jefferson	3A Washita
SA Ashland	SA Lake	4A Washington	3A Johnston	3A Woods
SA Ashtabula	4A Lawrence	SA Wayne	3AKay	3A Woodward
SA Athens	SA Licking	SA Williams	3A Kingfisher	
SA Auglaize	SA Logan	SA Wood	3A Kiowa	OREGON
SA Belmont	SA Lorain	SA Wyandot	3A Latimer	SB Baker
4A Brown	SA Lucas		3A Le Flore	4C Benton
SA Butler	SA Madison	OKLAHOMA	3A Lincoln	4C Clackamas
SA Carroll	SA Mahoning	3A Adair	3A Logan	4C Clatsop
SA Champaign	SA Marion	3A Alfalfa	3A Love	4C Columbia
SA Clark	SA Medina	3A Atoka	3A Major	4C Coos
4A Clermont	SA Meigs	4B Beaver	3A Marshall	SB Crook
SA Clinton	SA Mercer	3A Beckham	3A Mayes	4C Curry
SA Columbian	SA Miami	3A Blaine	3A McClain	SB Deschutes
SA Coshocton	SA Monroe	3A Bryan	3A McCurtain	4C Douglas
SA Crawford	SA Montgomery	3A Caddo	3A McIntosh	SB Gilliam
SA Cuyahoga	SA Morgan	3A Canadian	3A Murray	SB Grant
SA Darke	SA Morrow	3A Carter	3A Muskogee	SB Harney
SA Defiance	SA Muskingum	3A Cherokee	3A Noble	SB Hood River
SA Delaware	SA Noble	3A Choctaw	3A Nowata	4C Jackson
SA Erie	SA Ottawa	4B Cimarron	3A Okfuskee	SB Jefferson
	SA Paulding	3A Cleveland	3A Oklahoma	4C Josephine

(continued)

TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY

SB Klamath	SA Cumberland	SA Warren	3A Lee	6A Faulk	
SB Lake	SA Dauphin	SA Washington	3A Lexington	6A Grant	
4C Lane	4A Delaware	6A Wayne	3A Marion	SA Gregory	
4C Lincoln	6A Elk	SA Westmoreland	3A Marlboro	6A Haakon	
4C Linn	SA Erie	SA Wyoming	3A McCormick	6A Hamlin	
SB Malheur	SA Fayette	4A York	3A Newberry	6A Hand	
4C Marion	SA Forest	RHODE ISLAND			
SB Morrow	SA Franklin	SA (all)	3A Oconee	6A Hanson	
4C Multnomah	SA Fulton	SOUTH CAROLINA			
4C Polk	SA Greene	3A Abbeville	3A Orangeburg	6A Harding	
SB Sherman	SA Huntingdon	3A Aiken	3A Pickens	6A Hughes	
4C Tillamook	SA Indiana	3A Allendale*	3A Richland	SA Hutchinson	
SB Umatilla	SA Jefferson	3A Anderson	3A Saluda	6A Hyde	
SB Union	SA Juniata	3A Bamberg*	3A Spartanburg	SA Jackson	
SB Wallowa	SA Lackawanna	3A Barnwell*	3A Sumter	6A jerauld	
SB Wasco	SA Lancaster	3A Beaufort*	3A Union	6A Jones	
4C Washington	SA Lawrence	3A Berkeley*	3A Williamsburg	6A Kingsbury	
SB Wheeler	SA Lebanon	3A Calhoun	3A York	6A Lake	
4C Yamhill	SA Lehigh	3A Charleston*	SOUTH DAKOTA		
PENNSYLVANIA		3A Cherokee	6A Aurora	6A Lawrence	
SA Adams	SA Lycoming	3A Chester	6A Beadle	6A Lincoln	
SA Allegheny	6A McKean	3A Chesterfield	SA Bennett	6A Lyman	
SA Armstrong	SA Mercer	3A Clarendon	SA Bon Homme	6A Marshall	
SA Beaver	SA Mifflin	3A Colleton*	6A Brookings	6A McCook	
SA Bedford	SA Monroe	3A Darlington	6A Brown	6A McPherson	
SA Berks	4A Montgomery	3A Dillon	6A Brule	6A Meade	
SA Blair	SA Montour	3A Dorchester*	6A Buffalo	SA Mellette	
SA Bradford	SA Northampton	3A Edgefield	6A Butte	6A Miner	
4A Bucks	SA Northumberland	3A Fairfield	6A Campbell	6A Minnehaha	
SA Butler	SA Perry	3A Florence	6A Charles Mix	6AMoody	
SA Cambria	4A Philadelphia	3A Georgetown*	6A Clark	6A Pennington	
6A Cameron	SA Pike	3A Greenville	SA Clay	6A Perkins	
SA Carbon	6A Potter	3A Greenwood	6A Codington	6A Roberts	
SA Centre	SA Schuylkill	3A Hampton*	6A Corson	6A Sanborn	
4A Chester	SA Snyder	3A Horry*	6A Custer	6A Spink	
SA Clarion	SA Somerset	3A Jasper*	6A Davison	6A Stanley	
6A Clearfield	SA Sullivan	3A Kershaw	6ADay	6A Sully	
SA Clinton	6A Susquehanna	3A Lancaster	6A Deuel	SA Todd	
SA Columbia	6A Tioga	3A Laurens	SA Douglas	6A Tripp	
SA Crawford	SA Union	3A Fall River	6A Edmunds	6A Turner	
	SA Venango		6A Walworth	SA Union	

(continued)

CLIMATE ZONES

**TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY**

SA Yankton	3A Haywood	3A Shelby	4B Briscoe	2B Dimmit*
6A Ziebach	3A Henderson	4A Smith	2A Brooks*	4B Donley
TENNESSEE	4A Henry	4A Stewart	3A Brown*	2A Duval*
4A Anderson	4A Hickman	4A Sullivan	2A Burleson*	3A Eastland
4A Bedford	4A Houston	4A Sumner	3A Burnet*	3B Ector
4A Benton	4A Humphreys	3A Tipton	2A Caldwell*	2B Edwards*
4A Bledsoe	4A Jackson	4A Trousdale	2A Calhoun*	3A Ellis*
4A Blount	4A Jefferson	4A Unicoi	3B Callahan	3B El Paso
4A Bradley	4A Johnson	4A Union	2A Cameron*	3A Erath*
4A Campbell	4A Knox	4A Van Buren	3A Camp*	2A Falls*
4A Cannon	3A Lake	4A Warren	4B Carson	3A Fannin
4A Carroll	3A Lauderdale	4A Washington	3A Cass*	2A Fayette*
4A Carter	4A Lawrence	4A Wayne	4B Castro	3B Fisher
4A Cheatham	4A Lewis	4A Weakley	2A Chambers*	4B Floyd
3A Chester	4A Lincoln	4A White	2A Cherokee*	3B Foard
4A Claiborne	4A Loudon	4A Williamson	3B Childress	2A Fort Bend*
4A Clay	4A Macon	4A Wilson	3A Clay	3A Franklin*
4A Cocke	3A Madison	TEXAS	4B Cochran	2A Freestone*
4A Coffee	4A Marion	2A Anderson*	3B Coke	2B Frio*
3A Crockett	4A Marshall	3B Andrews	3B Coleman	3B Gaines
4A Cumberland	4A Maury	2A Angelina*	3A Collin*	2A Galveston*
4A Davidson	4A McMinn	2A Aransas*	3B Collingsworth	3B Garza
4A Decatur	3A McNairy	3A Archer	2A Colorado*	3A Gillespie*
4A DeKalb	4A Meigs	4B Armstrong	2A Comal*	3B Glasscock
4A Dickson	4A Monroe	2A Atascosa*	3A Comanche*	2A Goliad*
3A Dyer	4A Montgomery	2A Austin*	3B Concho	2A Gonzales*
3A Fayette	4A Moore	4B Bailey	3A Cooke	4B Gray
4A Fentress	4A Morgan	2B Bandera*	2A Coryell*	3A Grayson
4A Franklin	4A Obion	2A Bastrop*	3B Cottle	3A Gregg*
4A Gibson	4A Overton	3B Baylor	3B Crane	2A Grimes*
4A Giles	4A Perry	2A Bee*	3B Crockett	2A Guadalupe*
4A Grainger	4A Pickett	2A Bell*	3B Crosby	4B Hale
4A Greene	4A Polk	2A Bexar*	3B Culberson	3B Hall
4A Grundy	4A Putnam	3A Blanco*	4B Dallam	3A Hamilton*
4A Hamblen	4A Rhea	3B Borden	3A Dallas*	4B Hansford
4A Hamilton	4A Roane	2A Bosque*	3B Dawson	3B Hardeman
4A Hancock	4A Robertson	3A Bowie*	4B Deaf Smith	2A Hardin*
3A Hardeman	4A Rutherford	2A Brazoria*	3A Delta	2A Harris*
3A Hardin	4A Scott	2A Brazos*	3A Denton*	3A Harrison*
4A Hawkins	4A Sequatchie	3B Brewster	2A DeWitt*	4B Hartley
			3B Dickens	3B Haskell

(continued)

TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY

2A Hays*	2A Liberty*	2A Polk*	2A Trinity*	5B Kane
3B Hemphill	2A Limestone*	4B Potter	2A Tyler*	5B Millard
3A Henderson*	4B Lipscomb	3B Presidio	3A Upshur*	6B Morgan
2A Hidalgo*	2A Live Oak*	3A Rains*	3B Upton	5B Piute
2A Hill*	3A Llano*	4B Randall	2B Uvalde*	6B Rich
4B Hockley	3B Loving	3B Reagan	2B Val Verde*	5B Salt Lake
3A Hood*	3B Lubbock	2B Real*	3A Van Zandt*	5B San Juan
3A Hopkins*	3B Lynn	3A Red River*	2A Victoria*	5B Sanpete
2A Houston*	2A Madison*	3B Reeves	2A Walker*	5B Sevier
3B Howard	3A Marion*	2A Refugio*	2A Waller*	6B Summit
3B Hudspeth	3B Martin	4B Roberts	3B Ward	5B Tooele
3A Hunt*	3B Mason	2A Robertson*	2A Washington*	6B Uintah
4B Hutchinson	2A Matagorda*	3A Rockwall*	2B Webb*	5B Utah
3B Irion	2B Maverick*	3B Runnels	2A Wharton*	6B Wasatch
3A Jack	3B McCulloch	3A Rusk*	3B Wheeler	3B Washington
2A Jackson*	2A McLennan*	3A Sabine*	3A Wichita	5B Wayne
2A Jasper*	2A McMullen*	3A San Augustine*	3B Wilbarger	5B Weber
3B Jeff Davis	2B Medina*	2A San Jacinto*	2A Willacy*	VERMONT
2A Jefferson*	3B Menard	2A San Patricio*	2A Williamson*	6A (all)
2A Jim Hogg*	3B Midland	3A San Saba*	2A Wilson*	VIRGINIA
2A Jim Wells*	2A Milam*	3B Schleicher	3B Winkler	4A (all)
3A Johnson*	3A Mills*	3B Scurry	3A Wise	WASHINGTON
3B Jones	3B Mitchell	3B Shackelford	3A Wood*	5B Adams
2A Karnes*	3A Montague	3A Shelby*	4B Yoakum	5B Asotin
3A Kaufman*	2A Montgomery*	4B Sherman	3A Young	5B Benton
3A Kendall*	4B Moore	3A Smith*	2B Zapata*	5B Chelan
2A Kenedy*	3A Morris*	3A Somervell*	2B Zavala*	4C Clallam
3B Kent	3B Motley	2A Starr*	UTAH	4C Clark
3B Kerr	3A Nacogdoches*	3A Stephens	5B Beaver	5B Columbia
3B Kimble	3A Navarro*	3B Sterling	6B Box Elder	4C Cowlitz
3B King	2A Newton*	3B Stonewall	6B Cache	5B Douglas
2B Kinney*	3B Nolan	3B Sutton	6B Carbon	6B Ferry
2A Kleberg*	2A Nueces*	4B Swisher	6B Daggett	5B Franklin
3B Knox	4B Ochiltree	3A Tarrant*	5B Davis	5B Garfield
3A Lamar*	4B Oldham	3B Taylor	6B Duchesne	5B Grant
4B Lamb	2A Orange*	3B Terrell	5B Emery	4C Grays Harbor
3A Lampasas*	3A Palo Pinto*	3B Terry	5B Garfield	4C Island
2B La Salle*	3A Panola*	3B Throckmorton	5B Grand	4C Jefferson
2A Lavaca*	3A Parker*	3A Titus*	5B Iron	4C King
2A Lee*	4B Parmer	3B Tom Green	5B Juab	4C Kitsap
2A Leon*	3B Pecos	2A Travis*		

(continued)

CLIMATE ZONES

**TABLE 301.1-continued
CLIMATE ZONES, MOISTURE REGIMES, AND WARM-HUMID DESIGNATIONS
BY STATE, COUNTY AND TERRITORY**

SB Kittitas	4A Kanawha	6A Brown	6A Pepin	6B Park
SB Klickitat	SA Lewis	6A Buffalo	6A Pierce	SB Platte
4C Lewis	4A Lincoln	7 Burnett	6A Polk	6B Sheridan
SB Lincoln	4A Logan	6A Calumet	6A Portage	7 Sublette
4C Mason	SA Marion	6A Chippewa	7 Price	6B Sweetwater
6B Okanogan	SA Marshall	6A Clark	6A Racine	7 Teton
4C Pacific	4A Mason	6A Columbia	6A Richland	6B Uinta
6B Pend Oreille	4A McDowell	6A Crawford	6A Rock	6B Washakie
4C Pierce	4A Mercer	6A Dane	6A Rusk	6B Weston
4C San Juan	SA Mineral	6A Dodge	6A Sauk	US TERRITORIES
4C Skagit	4A Mingo	6A Door	7 Sawyer	
SB Skamania	SA Monongalia	7 Douglas	6A Shawano	AMERICAN SAMOA
4C Snohomish	4A Monroe	6A Dunn	6A Sheboygan	1A (all)*
SB Spokane	4A Morgan	6A Eau Claire	6A St. Croix	GUAM
6B Stevens	SA Nicholas	7 Florence	7 Taylor	1A (all)*
4C Thurston	SA Ohio	6A Fond du Lac	6A Trempealeau	NORTHERN MARIANA ISLANDS
4C Wahkiakum	SA Pendleton	7 Forest	6A Vernon	1A (all)*
SB Walla Walla	4A Pleasants	6A Grant	7 Vilas	PUERTO RICO
4C Whatcom	SA Pocahontas	6A Green	6A Walworth	1A (all)*
SB Whitman	SA Preston	6A Green Lake	7 Washburn	VIRGIN ISLANDS
SB Yakima	4A Putnam	6A Iowa	6A Washington	1A (all)*
WEST VIRGINIA	SA Raleigh	7 Iron	6A Waukesha	
SA Barbour	SA Randolph	6A Jackson	6A Waupaca	
4A Berkeley	4A Ritchie	6A Jefferson	6A Waushara	
4A Boone	4A Roane	6AJuneau	6A Winnebago	
4A Braxton	SA Summers	6A Kenosha	6A Wood	
SA Brooke	SA Taylor	6A Kewaunee	WYOMING	
4A Cabell	SA Tucker	6A La Crosse	6B Albany	
4A Calhoun	4A Tyler	6A Lafayette	6B Big Horn	
4A Clay	SA Upshur	7 Langlade	6B Campbell	
SA Doddridge	4A Wayne	7 Lincoln	6B Carbon	
SA Fayette	SA Webster	6A Manitowoc	6B Converse	
4A Gilmer	SA Wetzel	6A Marathon	6B Crook	
SA Grant	4A Wirt	6A Marinette	6B Fremont	
SA Greenbrier	4A Wood	6A Marquette	SB Goshen	
SA Hampshire	4A Wyoming	6A Menominee	6B Hot Springs	
SA Hancock	WISCONSIN	6A Milwaukee	6B Johnson	
SA Hardy	6AAdams	6A Monroe	6B Laramie	
SA Harrison	7 Ashland	6A Oconto	7 Lincoln	
4A Jackson	6A Barron	7 Oneida	6B Natrona	
4A Jefferson	7 Bayfield	6A Outagamie	6B Niobrara	
		6A Ozaukee		

TABLE 301.3(1)
INTERNATIONAL CLIMATE ZONE DEFINITIONS

MAJOR CLIMATE TYPE DEFINITIONS
Warm-humid Definition-Moist (A) locations where either of the following wet-bulb temperature conditions shall occur during the warmest six consecutive months of the year:
1. $67^{\circ}P$ (19.4°C) or higher for 3,000 or more hours; or
2. $73^{\circ}P$ (22.8°C) or higher for 1,500 or more hours
Dry (B) Definition-Locations meeting the following criteria: Not marine and $P_{in} < 0.44 \times (TF - 19.5)$ $[P_{em} < 2.0 \times (TC + 7)]$ in 51 units where: P_{in} = Annual precipitation in inches (em) T = Annual mean temperature in of (OC)
Moist (A) Definition-Locations that are not marine and not dry.

For 51: $^{\circ}C = [(OF)-32]/1.8$; 1 inch = 2.54 cm.

TABLE 301.3(2)
INTERNATIONAL CLIMATE ZONE DEFINITIONS

ZONE NUMBER	THERMAL CRITERIA	
	IP Units	51 Units
1	$9000 < CDD500P$	$5000 < CDD10^{\circ}C$
2	$6300 < CDD500P \leq 9000$	$3500 < CDD10^{\circ}C \leq 5000$
3A and 3B	$4500 < CDD500P \leq 6300$ AND $HDD65^{\circ}P \leq 5400$	$2500 < CDD10^{\circ}C \leq 3500$ AND $HDD18^{\circ}C \leq 3000$
4A and 4B	$CDD500P \leq 4500$ AND $HDD65^{\circ}P \leq 5400$	$CDD10^{\circ}C \leq 2500$ AND $HDD18^{\circ}C \leq 3000$
3C	$HDD65^{\circ}P \leq 3600$	$HDD18^{\circ}C \leq 2000$
4C	$3600 < HDD65^{\circ}P \leq 5400$	$2000 < HDD18^{\circ}C \leq 3000$
5	$5400 < HDD65^{\circ}P \leq 7200$	$3000 < HDD18^{\circ}C \leq 4000$
6	$7200 < HDD65^{\circ}P \leq 9000$	$4000 < HDD18^{\circ}C \leq 5000$
7	$9000 < HDD65^{\circ}P \leq 12600$	$5000 < HDD18^{\circ}C \leq 7000$
8	$12600 < HDD65^{\circ}P$	$7000 < HDD18^{\circ}C$

For 51: $^{\circ}C = [(OF)-32]/1.8$

SECTION 302 DESIGN CONDITIONS

302.1 Interior design conditions. The interior design temperatures used for heating and cooling load calculations shall be a maximum of $72^{\circ}F$ (22°C) for heating and minimum of $75^{\circ}F$ (24°C) for cooling.

SECTION 303 MATERIALS, SYSTEMS AND EQUIPMENT

303.1 Identification. Materials, systems and equipment shall be identified in a manner that will allow a determination of compliance with the applicable provisions of this code.

303.1.1 Building thermal envelope insulation. An R-value identification mark shall be applied by the manufacturer to each piece of *building thermal envelope* insulation 12 inches (305 mm) or greater in width. Alternately, the insulation installers shall provide a certification listing the type,

manufacturer and R-value of insulation installed in each element of the *building thermal envelope*. For blown or sprayed insulation (fiberglass and cellulose), the initial installed thickness, settled thickness, settled R-value, installed density, coverage area and number of bags installed shall be *listed* on the certification. For sprayed polyurethane foam (SPF) insulation, the installed thickness of the areas covered and R-value of installed thickness shall be *listed* on the certification. The insulation installer shall sign, date and post the certification in a conspicuous location on the job site.

303.1.1.1 Blown or sprayed roof/ceiling insulation. The thickness of blown-in or sprayed roof/ceiling insulation (fiberglass or cellulose) shall be written in inches (mm) on markers that are installed at least one for every 300 square feet ($28 m^2$) throughout the attic space. The markers shall be affixed to the trusses or joists and marked with the minimum initial installed thickness with numbers a minimum of 1 inch (25 mm) in height. Each

marker shall face the attic access opening. Spray polyurethane foam thickness and installed *R*-value shall be listed on certification provided by the insulation installer.

303.1.2 Insulation mark installation. Insulating materials shall be installed such that the manufacturer's *R*-value mark is readily observable upon inspection.

303.1.3 Fenestration product rating. V-factors of fenestration products (windows, doors and skylights) shall be determined in accordance with NFRC 100 by an accredited, independent laboratory, and labeled and certified by the manufacturer. Products lacking such a labeled V-factor shall be assigned a default V-factor from Table 303.1.3(1) or 303.1.3(2). The solar heat gain coefficient (SHGC) of glazed fenestration products (windows, glazed doors and skylights) shall be determined in accordance with NFRC 200 by an accredited, independent laboratory, and labeled and certified by the manufacturer. Products lacking such a labeled SHGC shall be assigned a default SHGC from Table 303.1.3(3).

TABLE 303.1.3(1)
DEFAULT GLAZED FENESTRATION U-FACTOR

FRAME TYPE	SINGLE PANE	DOUBLE PANE	SKYLIGHT	
			Single	Double
Metal	1.20	0.80	2.00	1.30
Metal with Thermal Break	1.10	0.65	1.90	1.10
Nonmetal or Metal Clad	0.95	0.55	1.75	1.05
Glazed Block			0.60	

TABLE 303.1.3(2)
DEFAULT DOOR U-FACTORS

DOOR TYPE	U-FACTOR
Uninsulated Metal	1.20
Insulated Metal	0.60
Wood	0.50
Insulated, nonmetal edge, max 45% glazing, any glazing double pane	0.35

TABLE 303.1.3(3)
DEFAULT GLAZED FENESTRATION SHGC

SINGLE GLAZED	DOUBLE GLAZED	GLAZED BLOCK		
Clear	Tinted			
0.8	0.7	0.7	0.6	0.6

303.1.4 Insulation product rating. The thermal resistance (*R*-value) of insulation shall be determined in accordance with the U.S. Federal Trade Commission *R*-value rule (CFR Title 16, Part 460, May 31, 2005) in units of $\text{ft}^2 \times \text{Btu}/(\text{h} \times ^\circ\text{F})$ at a mean temperature of 75°F (24°C).

303.2 Installation. All materials, systems and equipment shall be installed in accordance with the manufacturer's installation instructions and the *International Building Code*.

303.2.1 Protection of exposed foundation insulation. Insulation applied to the exterior of basement walls, crawl-

space walls and the perimeter of slab-on-grade floors shall have a rigid, opaque and weather-resistant protective covering to prevent the degradation of the insulation's thermal performance. The protective covering shall cover the exposed exterior insulation and extend a minimum of 6 inches (153 mm) below grade.

303.3 Maintenance information. Maintenance instructions shall be furnished for equipment and systems that require preventive maintenance. Required regular maintenance actions shall be clearly stated and incorporated on a readily accessible label. The label shall include the title or publication number for the operation and maintenance manual for that particular model and type of product.

CHAPTER 4

RESIDENTIAL ENERGY EFFICIENCY

SECTION 401 GENERAL

401.1 Scope. This chapter applies to residential buildings.

401.2 Compliance. Projects shall comply with Sections 401, 402.4, 402.5, and 403.1, 403.2.2, 403.2.3, and 403.3 through 403.9 (referred to as the mandatory provisions) and either:

1. Sections 402.1 through 402.3, 403.2.1 and 404.1 (prescriptive); or
2. Section 405 (performance).

401.3 Certificate. A permanent certificate shall be posted on or in the electrical distribution panel. The certificate shall not cover or obstruct the visibility of the circuit directory label, service disconnect label or other required labels. The certificate shall be completed by the builder or registered design professional. The certificate shall list the predominant R-values of insulation installed in or on ceiling/roof, walls, foundation (slab, *basement wall*, crawlspace wall and/or floor) and ducts outside conditioned spaces; U-factors for fenestration and the solar heat gain coefficient (SHGC) of fenestration. Where there is more than one value for each component, the certificate shall list the value covering the largest area. The certificate shall list the types and efficien-

cies of heating, cooling and service water heating equipment. Where a gas-fired unvented room heater, electric furnace, or baseboard electric heater is installed in the residence, the certificate shall list "gas-fired unvented room heater," "electric furnace" or "baseboard electric heater," as appropriate. An efficiency shall not be listed for gas-fired unvented room heaters, electric furnaces or electric baseboard heaters.

SECTION 402 BUILDING THERMAL ENVELOPE

402.1 General (Prescriptive).

402.1.1 Insulation and fenestration criteria. The *building thermal envelope* shall meet the requirements of Table 402.1.1 based on the climate zone specified in Chapter 3.

402.1.2 R-value computation. Insulation material used in layers, such as framing cavity insulation and insulating sheathing, shall be summed to compute the component R-value. The manufacturer's settled R-value shall be used for blown insulation. Computed R-values shall not include an R-value for other building materials or air films.

TABLE 402.1.1
INSULATION AND FENESTRATION REQUIREMENTS BY COMPONENT^a

CLIMATE ZONE	FENESTRATION U-FACTOR ^b	SKYLIGHT ^b U-FACTOR	GLAZED FENESTRATION SHGC ^{b,e}	CEILING R-VALUE	WOOD FRAME WALL R-VALUE	MASS WALL R-VALUE ⁱ	FLOOR R-VALUE	BASEMENT ^c WALL R-VALUE	SLAB ^d R-VALUE & DEPTH	CRAWL SPACE ^c WALL R-VALUE
1	1.2	0.75	0.30	30	13	3/4	13	0	0	0
2	0.65 ^j	0.75	0.30	30	13	4/6	13	0	0	0
3	0.50 ^j	0.65	0.30	30	13	5/8	19	5/13 ^f	0	5/13
4 except Marine	0.35	0.60	NR	38	13	5/10	19	10/13	10, 2 ft	10/13
5 and Marine 4	0.35	0.60	NR	38	20 or 13+5 ^h	13/17	30g	10/13	10, 2 ft	10/13
6	0.35	0.60	NR	49	20 or 13+5 ^h	15/19	30g	15/19	10, 4 ft	10/13
7 and 8	0.35	0.60	NR	49	21	19/21	38g	15/19	10, 4 ft	10/13

For SI: 1 foot = 304.8 mm.

- a. R-values are minimums. U-factors and SHGC are maximums. R-19 batts compressed into a nominal 2 x 6 framing cavity such that the R-value is reduced by R-1 or more shall be marked with the compressed batt R-value in addition to the full thickness R-value.
- b. The fenestration U-factor column excludes skylights. The SHGC column applies to all glazed fenestration.
- c. "15/19" means R-15 continuous insulated sheathing on the interior or exterior of the home or R-19 cavity insulation at the interior of the basement wall. "15/19" shall be permitted to be met with R-13 cavity insulation on the interior of the basement wall plus R-5 continuous insulated sheathing on the interior or exterior of the home. "10/13" means R-10 continuous insulated sheathing on the interior or exterior of the home or R-13 cavity insulation at the interior of the basement wall.
- d. R-5 shall be added to the required slab edge R-values for heated slabs. Insulation depth shall be the depth of the footing or 2 feet, whichever is less in Zones 1 through 3 for heated slabs.
- e. There are no SHGC requirements in the Marine Zone.
- f. Basement wall insulation is not required in warm-humid locations as defined by Figure 301.1 and Table 301.1.
- g. Or insulation sufficient to fill the framing cavity, R-19 minimum.
- h. "13+5" means R-13 cavity insulation plus R-5 insulated sheathing. If structural sheathing covers 25 percent or less of the exterior, insulating sheathing is not required where structural sheathing is used. If structural sheathing covers more than 25 percent of exterior, structural sheathing shall be supplemented with insulated sheathing of at least R-2.
- i. The second R-value applies when more than half the insulation is on the interior of the mass wall.
- j. For impact rated fenestration complying with Section R301.2.1.2 of the *International Residential Code* or Section 1608.1.2 of the *International Building Code*, the maximum U-factor shall be 0.75 in Zone 2 and 0.65 in Zone 3.

TABLE 402.1.3
EQUIVALENT U-FACTORS^a

CLIMATE ZONE	FENESTRATION U-FACTOR	SKYLIGHT U-FACTOR	CEILING U-FACTOR	FRAME WALL U-FACTOR	MASS WALL U-FACTOR ^b	FLOOR U-FACTOR	BASEMENT WALL U-FACTOR ^d	CRAWL SPACE WALL U-FACTOR ^c
1	1.20	0.75	0.035	0.082	0.197	0.064	0.360	0.477
2	0.65	0.75	0.035	0.082	0.165	0.064	0.360	0.477
3	0.50	0.65	0.035	0.082	0.141	0.047	0.091 ^c	0.136
4 except Marine	0.35	0.60	0.030	0.082	0.141	0.047	0.059	0.065
5 and Marine 4	0.35	0.60	0.030	0.057	0.082	0.033	0.059	0.065
6	0.35	0.60	0.026	0.057	0.060	0.033	0.050	0.065
7 and 8	0.35	0.60	0.026	0.057	0.057	0.028	0.050	0.065

- a. Nonfenestration Ufactors shall be obtained from measurement, calculation or an approved source.
- b. When more than half the insulation is on the interior, the mass wall Ufactors shall be a maximum of 0.17 in Zone 1, 0.14 in Zone 2, 0.12 in Zone 3, 0.10 in Zone 4 except Marine, and the same as the frame wall Ufactor in Marine Zone 4 and Zones 5 through 8.
- c. Basement wall Ufactor of 0.360 in warm-humid locations as defined by Figure 301.1 and Table 301.2.
- d. Foundation Ufactor requirements shown in Table 402.1.3 include wall construction and interior air films but exclude soil conductivity and exterior air films. Ufactors for determining code compliance in accordance with Section 402.1.4 (total VA alternative) of Section 405 (Simulated Performance Alternative) shall be modified to include soil conductivity and exterior air films.

402.1.3 V-factor alternative. An assembly with a V-factor equal to or less than that specified in Table 402.1.3 shall be permitted as an alternative to the R-value in Table 402.1.1.

402.1.4 Total VA alternative. If the total *building thermal envelope VA* (sum of V-factor times assembly area) is less than or equal to the total VA resulting from using the V-factors in Table 402.1.3 (multiplied by the same assembly area as in the proposed building), the building shall be considered in compliance with Table 402.1.1. The VA calculation shall be done using a method consistent with the ASHRAE *Handbook of Fundamentals* and shall include the thermal bridging effects of framing materials. The SHGC requirements shall be met in addition to VA compliance.

402.2 Specific insulation requirements (Prescriptive).

402.2.1 Ceilings with attic spaces. When Section 402.1.1 would require R-38 in the ceiling, R-30 shall be deemed to satisfy the requirement for R-38 wherever the full height of uncompressed R-30 insulation extends over the wall top plate at the eaves. Similarly, R-38 shall be deemed to satisfy the requirement for R-49 wherever the full height of uncompressed R-38 insulation extends over the wall top plate at the eaves. This reduction shall not apply to the V-factor alternative approach in Section 402.1.3 and the total VA alternative in Section 402.1.4.

402.2.2 Ceilings without attic spaces. Where Section 402.1.1 would require insulation levels above R-30 and the design of the roof/ceiling assembly does not allow sufficient space for the required insulation, the minimum required insulation for such roof/ceiling assemblies shall be R-30. This reduction of insulation from the requirements of Section 402.1.1 shall be limited to 500 square feet (46 m^2) or 20

percent of the total insulated ceiling area, whichever is less. This reduction shall not apply to the V-factor alternative approach in Section 402.1.3 and the total VA alternative in Section 402.1.4.

402.2.3 Access hatches and doors. Access doors from conditioned spaces to unconditioned spaces (e.g., attics and crawl spaces) shall be weatherstripped and insulated to a level equivalent to the insulation on the surrounding surfaces. Access shall be provided to all equipment that prevents damaging or compressing the insulation. A wood framed or equivalent baffle or retainer is required to be provided when loose fill insulation is installed, the purpose of which is to prevent the loose fill insulation from spilling into the living space when the attic access is opened, and to provide a permanent means of maintaining the installed R-value of the loose fill insulation.

402.2.4 Mass walls. Mass walls for the purposes of this chapter shall be considered above-grade walls of concrete block, concrete, insulated concrete form (ICF), masonry cavity, brick (other than brick veneer), earth (adobe, compressed earth block, rammed earth) and solid timber/logs.

402.2.5 Steel-frame ceilings, walls, and floors. Steel-frame ceilings, walls and floors shall meet the insulation requirements of Table 402.2.5 or shall meet the V-factor requirements in Table 402.1.3. The calculation of the V-factor for a steel-frame envelope assembly shall use a series-parallel path calculation method.

Exception: In Climate Zones 1 and 2, the continuous insulation requirements in Table 402.2.4 shall be permitted to be reduced to R-3 for steel frame wall assemblies with studs spaced at 24 inches (610 mm) on center.

TABLE 402.2.5
STEEL-FRAME CEILING, WALL AND FLOOR INSULATION
(R-VALUE)

WOOD FRAME R-VALUE REQUIREMENT	COLD-FORMED STEEL EQUIVALENT R-VALUE ^a
Steel Truss Ceilings ^b	
R-30	R-38 or R-30 + 3 or R-26 + 5
R-38	R-49 or R-38 + 3
R-49	R-38 + 5
Steel Joist Ceilings ^b	
R-30	R-38 in 2 x 4 or 2 x 6 or 2 x 8 R-49 in any framing
R-38	R-49 in 2 x 4 or 2 x 6 or 2 x 8 or 2 x 10
Steel-Framed Wall	
R-13	R-13 + 5 or R-15 + 4 or R-21 + 3 or R-0 + 10
R-19	R-13 + 9 or R-19 + 8 or R-25 + 7
R-21	R-13 + 10 or R-19 + 9 or R-25 + 8
Steel Joist Floor	
R-13	R-19 in 2 x 6 R-19 + 6 in 2 x 8 or 2 x 10
R-19	R-19 + 6 in 2 x 6 R-19 + 12 in 2 x 8 or 2 x 10

a. Cavity insulation *R*-value is listed first, followed by continuous insulation *R*-value.

b. Insulation exceeding the height of the framing shall cover the framing.

402.2.6 Floors. Floor insulation shall be installed to maintain permanent contact with the underside of the subfloor decking.

402.2.7 Basement walls. Walls associated with conditioned basements shall be insulated from the top of the *basement wall* down to 10 feet (3048 mm) below grade or to the basement floor, whichever is less. Walls associated with unconditioned basements shall meet this requirement unless the floor overhead is insulated in accordance with Sections 402.1.1 and 402.2.6.

402.2.8 Slab-on-grade floors. Slab-on-grade floors with a floor surface less than 12 inches (305 mm) below grade shall be insulated in accordance with Table 402.1.1. The insulation shall extend downward from the top of the slab on the outside or inside of the foundation wall. Insulation located below grade shall be extended the distance provided in Table 402.1.1 by any combination of vertical insulation, insulation extending under the slab or insulation extending out from the building. Insulation extending away from the building shall be protected by pavement or by a minimum of 10 inches (254 mm) of soil. The top edge of the insulation installed between the *exterior wall* and the edge of the interior slab shall be permitted to be cut at a 45-degree (0.79 rad) angle away from the *exterior wall*. Slab-edge insulation is not required in jurisdictions designated by the *code official* as having a very heavy termite infestation.

402.2.9 Crawl space walls. As an alternative to insulating floors over crawl spaces, crawl space walls shall be permitted to be insulated when the crawl space is not vented to the outside. Crawl space wall insulation shall be permanently fastened to the wall and extend downward from the floor to the finished grade level and then vertically and/or horizon-

tally for at least an additional 24 inches (610 mm). Exposed earth in unvented crawl space foundations shall be covered with a continuous Class I vapor retarder. All joints of the vapor retarder shall overlap by 6 inches (153 mm) and be sealed or taped. The edges of the vapor retarder shall extend at least 6 inches (153 mm) up the stem wall and shall be attached to the stem wall.

402.2.10 Masonry veneer. Insulation shall not be required on the horizontal portion of the foundation that supports a masonry veneer.

402.2.11 Thermally isolated sunroom insulation. The minimum ceiling insulation *R*-values shall be R-19 in Zones 1 through 4 and R-24 in Zones 5 through 8. The minimum wall *R*-value shall be R-13 in all zones. New wall(s) separating a sunroom from *conditioned space* shall meet the *building thermal envelope* requirements.

402.3 Fenestration. (Prescriptive).

402.3.1 V-factor. An area-weighted average of fenestration products shall be permitted to satisfy the *U*-factor requirements.

402.3.2 Glazed fenestration SHGC. An area-weighted average of fenestration products more than 50 percent glazed shall be permitted to satisfy the SHGC requirements.

402.3.3 Glazed fenestration exemption. Up to 15 square feet (1.4 m²) of glazed fenestration per dwelling unit shall be permitted to be exempt from *U*-factor and SHGC requirements in Section 402.1.1. This exemption shall not apply to the *U-factor* alternative approach in Section 402.1.3 and the Total UA alternative in Section 402.1.4.

402.3.4 Opaque door exemption. One side-hinged opaque door assembly up to 24 square feet (2.22 m²) in area is exempted from the *U*-factor requirement in Section 402.1.1. This exemption shall not apply to the *U*-factor alternative approach in Section 402.1.3 and the total UA alternative in Section 402.1.4.

402.3.5 Thermally isolated sunroom V-factor. For Zones 4 through 8, the maximum fenestration *U*-factor shall be 0.50 and the maximum skylight *U-factor* shall be 0.75. New windows and doors separating the sunroom from *conditioned space* shall meet the *building thermal envelope* requirements.

402.3.6 Replacement fenestration. Where some or all of an existing fenestration unit is replaced with a new fenestration product, including sash and glazing, the replacement fenestration unit shall meet the applicable requirements for *U*-factor and SHGC in Table 402.1.1.

402.4 Air leakage (Mandatory).

402.4.1 Building thermal envelope. The *building thermal envelope* shall be durably sealed to limit infiltration. The sealing methods between dissimilar materials shall allow for differential expansion and contraction. The following shall be caulked, gasketed, weatherstripped or otherwise sealed with an air barrier material, suitable film or solid material:

1. All joints, seams and penetrations.

2. Site-built windows, doors and skylights.
3. Openings between window and door assemblies and their respective jambs and framing.
4. Utility penetrations.
5. Dropped ceilings or chases adjacent to the thermal envelope.
6. Knee walls.
7. Walls and ceilings separating a garage from conditioned spaces.
8. Behind tubs and showers on exterior walls.
9. Common walls between dwelling units.
10. Attic access openings.
11. Rim joist junction.
12. Other sources of infiltration.

402.4.2 Air sealing and insulation. Building envelope air tightness and insulation installation shall be demonstrated to comply with one of the following options given by Section 402.4.2.1 or 402.4.2.2:

402.4.2.1 Testing option. Building envelope tightness and insulation installation shall be considered acceptable when tested air leakage is less than seven air changes per hour (ACH) when tested with a blower door at a pressure of 33.5 psf (50 Pa). Testing shall occur after rough in and after installation of penetrations of the building envelope, including penetrations for utilities, plumbing, electrical, ventilation and combustion appliances.

During testing:

1. Exterior windows and doors, fireplace and stove doors shall be closed, but not sealed;
2. Dampers shall be closed, but not sealed, including exhaust, intake, makeup air, backdraft and flue dampers;
3. Interior doors shall be open;
4. Exterior openings for continuous ventilation systems and heat recovery ventilators shall be closed and sealed;
5. Heating and cooling system(s) shall be turned off;
6. HVAC ducts shall not be sealed; and
7. Supply and return registers shall not be sealed.

402.4.2.2 Visual inspection option. Building envelope tightness and insulation installation shall be considered acceptable when the items listed in Table 402.4.2, applicable to the method of construction, are field verified. Where required by the *code official*, an *approved* party independent from the installer of the insulation shall inspect the air barrier and insulation.

402.4.3 Fireplaces. New wood-burning fireplaces shall have gasketed doors and outdoor combustion air.

402.4.4 Fenestration air leakage. Windows, skylights and sliding glass doors shall have an air infiltration rate of no

more than 0.3 cfm per square foot (1.5 L/s/m^2), and swinging doors no more than 0.5 cfm per square foot (2.6 L/s/m^2), when tested according to NFRC 400 or *AAMA/WDMA/CSA 101/1.S.2/A440* by an accredited, independent laboratory and *labeled* by the manufacturer.

Exceptions: Site-built windows, skylights and doors.

402.4.5 Recessed lighting. Recessed luminaires installed in the *building thermal envelope* shall be sealed to limit air leakage between conditioned and unconditioned spaces. All recessed luminaires shall be IC-rated and *labeled* as meeting ASTM E 283 when tested at 1.57 psf (75 Pa) pressure differential with no more than 2.0 cfm (0.944 *L/s*) of air movement from the *conditioned space* to the ceiling cavity. All recessed luminaires shall be sealed with a gasket or caulk between the housing and the interior wall or ceiling covering.

402.5 Maximum fenestration V-factor and SHGC (Mandatory). The area-weighted average maximum fenestration V-factor permitted using trade-offs from Section 402.1.4 or 404 shall be 0.48 in Zones 4 and 5 and 0.40 in Zones 6 through 8 for vertical fenestration, and 0.75 in Zones 4 through 8 for skylights. The area-weighted average maximum fenestration SHGC permitted using trade-offs from Section 405 in Zones 1 through 3 shall be 0.50.

SECTION 403 SYSTEMS

403.1 Controls (Mandatory). At least one thermostat shall be provided for each separate heating and cooling system.

403.1.1 Programmable thermostat. Where the primary heating system is a forced-air furnace, at least one thermostat per dwelling unit shall be capable of controlling the heating and cooling system on a daily schedule to maintain different temperature set points at different times of the day. This thermostat shall include the capability to set back or temporarily operate the system to maintain zone temperatures down to 55°F (13°C) or up to 85°F (29°C). The thermostat shall initially be programmed with a heating temperature set point no higher than 70°F (21°C) and a cooling temperature set point no lower than 78°F (26°C).

403.1.2 Heat pump supplementary heat (Mandatory). Heat pumps having supplementary electric-resistance heat shall have controls that, except during defrost, prevent supplemental heat operation when the heat pump compressor can meet the heating load.

403.2 Ducts.

403.2.1 Insulation (Prescriptive). Supply ducts in attics shall be insulated to a minimum of R-8. All other ducts shall be insulated to a minimum of R-6.

Exception: Ducts or portions thereof located completely inside the *building thermal envelope*.

403.2.2 Sealing (Mandatory). All ducts, air handlers, filter boxes and building cavities used as ducts shall be sealed.

Joints and seams shall comply with Section M1601.4.1 of the *International Residential Code*.

Duct tightness shall be verified by either of the following:

- Postconstruction test: Leakage to outdoors shall be less than or equal to 8 cfm (226.5 L/min) per 100 ft² (9.29 m²) of *conditioned floor area* or a total leakage less than or equal to 12 cfm (12 L/min) per 100 ft² (9.29 m²) of *conditioned floor area* when tested at a pressure differential of 0.1 inches w.g. (25 Pa) across the entire system, including the manufacturer's air handler enclosure. All register boots shall be taped or otherwise sealed during the test.

- Rough-in test: Total leakage shall be less than or equal to 6 cfm (169.9 L/min) per 100 ft² (9.29 m²) of *conditioned floor area* when tested at a pressure differential of 0.1 inches w.g. (25 Pa) across the roughed in system, including the manufacturer's air handler enclosure. All register boots shall be taped or otherwise sealed during the test. If the air handler is not installed at the time of the test, total leakage shall be less than or equal to 4 cfm (113.3 L/min) per 100 ft² (9.29 m²) of *conditioned floor area*.

Exceptions: Duct tightness test is not required if the air handler and all ducts are located within *conditioned space*.

TABLE 402.4.2
AIR BARRIER AND INSULATION INSPECTION COMPONENT CRITERIA

COMPONENT	CRITERIA
Air barrier and thermal barrier	Exterior thermal envelope insulation for framed walls is installed in substantial contact and continuous alignment with building envelope air barrier. Breaks or joints in the air barrier are filled or repaired. Air-permeable insulation is not used as a sealing material. Air-permeable insulation is inside of an air barrier.
Ceiling/attic	Air barrier in any dropped ceiling/soffit is substantially aligned with insulation and any gaps are sealed. Attic access (except unvented attic), knee wall door, or drop down stair is sealed.
Walls	Corners and headers are insulated. Junction of foundation and sill plate is sealed.
Windows and doors	Space between window/door jambs and framing is sealed.
Rim joists	Rimjoists are insulated and include an air barrier.
Floors (including above-garage and cantilevered floors)	Insulation is installed to maintain permanent contact with underside of subfloor decking. Air barrier is installed at any exposed edge of insulation.
Crawl space walls	Insulation is permanently attached to walls. Exposed earth in unvented crawl spaces is covered with Class I vapor retarder with overlapping joints taped.
Shafts, penetrations	Duct shafts, utility penetrations, knee walls and flue shafts opening to exterior or unconditioned space are sealed.
Narrow cavities	Batts in narrow cavities are cut to fit, or narrow cavities are filled by sprayed/blown insulation.
Garage separation	Air sealing is provided between the garage and conditioned spaces.
Recessed lighting	Recessed light fixtures are air tight, IC rated, and sealed to drywall. Exception-fixtures in conditioned space.
Plumbing and wiring	Insulation is placed between outside and pipes. Batt insulation is cut to fit around wiring and plumbing, or sprayed/blown insulation extends behind piping and wiring.
Shower/tub on exterior wall	Showers and tubs on exterior walls have insulation and an air barrier separating them from the exterior wall.
Electrical/phone box on exterior walls	Air barrier extends behind boxes or air sealed-type boxes are installed.
Common wall	Air barrier is installed in common wall between dwelling units.
HVAC register boots	HVAC register boots that penetrate building envelope are sealed to subfloor or drywall.
Fireplace	Fireplace walls include an air barrier.

403.2.3 Building cavities (Mandatory). Building framing cavities shall not be used as supply ducts.

403.3 Mechanical system piping insulation (Mandatory). Mechanical system piping capable of carrying fluids above 105°F (41°C) or below 55°F (13°C) shall be insulated to a minimum of R-3.

403.4 Circulating hot water systems (Mandatory). All circulating service hot water piping shall be insulated to at least R-2. Circulating hot water systems shall include an automatic or readily *accessible* manual switch that can turn off the hot-water circulating pump when the system is not in use.

403.5 Mechanical ventilation (Mandatory). Outdoor air intakes and exhausts shall have automatic or gravity dampers that close when the ventilation system is not operating.

403.6 Equipment sizing (Mandatory). Heating and cooling equipment shall be sized in accordance with Section M1401.3 of the *International Residential Code*.

403.7 Systems serving multiple dwelling units (Mandatory). Systems serving multiple dwelling units shall comply with Sections 503 and 504 in lieu of Section 403.

403.8 Snow melt system controls (Mandatory). Snow- and ice-melting systems, supplied through energy service to the building, shall include automatic controls capable of shutting off the system when the pavement temperature is above 50°F, and no precipitation is falling and an automatic or manual control that will allow shutoff when the outdoor temperature is above 40°F.

403.9 Pools (Mandatory). Pools shall be provided with energy-conserving measures in accordance with Sections 403.9.1 through 403.9.3.

403.9.1 Pool heaters. All pool heaters shall be equipped with a readily *accessible* on-off switch to allow shutting off the heater without adjusting the thermostat setting. Pool heaters fired by natural gas shall not have continuously burning pilot lights.

403.9.2 Time switches. Time switches that can automatically turn off and on heaters and pumps according to a preset schedule shall be installed on swimming pool heaters and pumps.

Exceptions:

1. Where public health standards require 24-hour pump operation.
2. Where pumps are required to operate solar- and waste-heat-recovery pool heating systems.

403.9.3 Pool covers. Heated pools shall be equipped with a vapor-retardant pool cover on or at the water surface. Pools heated to more than 90°F (32°C) shall have a pool cover with a minimum insulation value of R-12.

Exception: Pools deriving over 60 percent of the energy for heating from site-recovered energy or solar energy source.

SECTION 404

ELECTRICAL POWER AND LIGHTING SYSTEMS

404.1 Lighting equipment (Prescriptive). A minimum of 50 percent of the lamps in permanently installed lighting fixtures shall be high-efficacy lamps.

SECTION 405

SIMULATED PERFORMANCE ALTERNATIVE (Performance)

405.1 Scope. This section establishes criteria for compliance using simulated energy performance analysis. Such analysis shall include heating, cooling, and service water heating energy only.

405.2 Mandatory requirements. Compliance with this section requires that the mandatory provisions identified in Section 401.2 be met. All supply and return ducts not completely inside the *building thermal envelope* shall be insulated to a minimum of R-6.

405.3 Performance-based compliance. Compliance based on simulated energy performance requires that a proposed residence (*proposed design*) be shown to have an annual energy cost that is less than or equal to the annual energy cost of the *standard reference design*. Energy prices shall be taken from a source approved by the *code official*, such as the Department of Energy, Energy Information Administration's *State Energy Price and Expenditure Report*. *Code officials* shall be permitted to require time-of-use pricing in energy cost calculations.

Exception: The energy use based on source energy expressed in Btu or Btu per square foot of *conditioned floor area* shall be permitted to be substituted for the energy cost. The source energy multiplier for electricity shall be 3.16. The source energy multiplier for fuels other than electricity shall be 1.1.

405.4 Documentation.

405.4.1 Compliance software tools. Documentation verifying that the methods and accuracy of the compliance software tools conform to the provisions of this section shall be provided to the *code official*.

405.4.2 Compliance report. Compliance software tools shall generate a report that documents that the *proposed design* complies with Section 405.3. The compliance documentation shall include the following information:

1. Address or other identification of the residence;
2. An inspection checklist documenting the building component characteristics of the *proposed design* as listed in Table 405.5.2(1). The inspection checklist shall show results for both the *standard reference design* and the *proposed design*, and shall document all inputs entered by the user necessary to reproduce the results;
3. Name of individual completing the compliance report; and

4. Name and version of the compliance software tool.

Exception: Multiple orientations. When an otherwise identical building model is offered in multiple orientations, compliance for any orientation shall be permitted by documenting that the building meets the performance requirements in each of the four cardinal (north, east, south and west) orientations.

405.4.3 Additional documentation. The *code official* shall be permitted to require the following documents:

1. Documentation of the building component characteristics of the *standard reference design*.
2. A certification signed by the builder providing the building component characteristics of the *proposed design* as given in Table 405.5.2(1).
3. Documentation of the actual values used in the software calculations for the *proposed design*.

405.5 Calculation procedure.

405.5.1 General. Except as specified by this section, the *standard reference design* and *proposed design* shall be configured and analyzed using identical methods and techniques.

405.5.2 Residence specifications. The *standard reference design* and *proposed design* shall be configured and analyzed as specified by Table 405.5.2(1). Table 405.5.2(1) shall include by reference all notes contained in Table 402.1.1.

405.6 Calculation software tools.

405.6.1 Minimum capabilities. Calculation procedures used to comply with this section shall be software tools capable of calculating the annual energy consumption of all building elements that differ between the *standard reference design* and the *proposed design* and shall include the following capabilities:

1. Computer generation of the *standard reference design* using only the input for the *proposed design*. The calculation procedure shall not allow the user to directly modify the building component characteristics of the *standard reference design*.
2. Calculation of whole-building (as a single *zone*) sizing for the heating and cooling equipment in the *standard reference design* residence in accordance with Section M1401.3 of the *International Residential Code*.
3. Calculations that account for the effects of indoor and outdoor temperatures and part-load ratios on the performance of heating, ventilating and air-conditioning equipment based on climate and equipment sizing.
4. Printed *code official* inspection checklist listing each of the *proposed design* component characteristics from Table 405.5.2(1) determined by the analysis to provide compliance, along with their respective performance ratings (e.g., R-value, V-factor, SHGC, HSPF, AFUE, SEER, EF, etc.).

405.6.2 Specific approval. Performance analysis tools meeting the applicable sections of Section 405 shall be permitted to be *approved*. Tools are permitted to be *approved* based on meeting a specified threshold for a jurisdiction. The *code official* shall be permitted to approve tools for a specified application or limited scope.

405.6.3 Input values. When calculations require input values not specified by Sections 402, 403, 404 and 405, those input values shall be taken from an *approved* source. I

TABLE 405.5.2(1)
SPECIFICATIONS FOR THE STANDARD REFERENCE AND PROPOSED DESIGNS

BUILDING COMPONENT	STANDARD REFERENCE DESIGN	PROPOSED DESIGN
Above-grade walls	Type: mass wall if proposed wall is mass; otherwise wood frame. Gross area: same as proposed V-factor: from Table 402.1.3 Solar absorptance = 0.75 Remittance = 0.90	As proposed As proposed As proposed As proposed As proposed
Basement and crawlspace walls	Type: same as proposed Gross area: same as proposed V-factor: from Table 402.1.3, with insulation layer on interior side of walls.	As proposed As proposed As proposed
Above-grade floors	Type: wood frame Gross area: same as proposed V-factor: from Table 402.1.3	As proposed As proposed As proposed
Ceilings	Type: wood frame Gross area: same as proposed V-factor: from Table 402.1.3	As proposed As proposed As proposed
Roofs	Type: composition shingle on wood sheathing Gross area: same as proposed Solar absorptance = 0.75 Emittance = 0.90	As proposed As proposed As proposed As proposed
Attics	Type: vented with aperture = 1 ft ² per 300 ft ² ceiling area	As proposed
Foundations	Type: same as proposed foundation wall area above and below grade and soil characteristics: same as proposed.	As proposed As proposed
Doors	Area: 40 ft ² Orientation: North V-factor: same as fenestration from Table 402.1.3.	As proposed As proposed As proposed
Glazing ^a	Total area ^b = (a) The proposed glazing area; where proposed glazing area is less than 15% of the conditioned floor area. (b) 15% of the conditioned floor area; where the proposed glazing area is 15% or more of the conditioned floor area. Orientation: equally distributed to four cardinal compass orientations (N, E, S & W). V-factor: from Table 402.1.3 SHGC: From Table 402.1.1 except that for climates with no requirement (NR) SHGC = 0.40 shall be used. Interior shade fraction: Summer (all hours when cooling is required) = 0.70 Winter (all hours when heating is required) = 0.85 ^c External shading: none	As proposed As proposed As proposed Same as standard reference design As proposed
Skylights	None	As proposed
Thermally isolated sunrooms	None	As proposed

(continued)

**TABLE 405.5.2(1)-continued
SPECIFICATIONS FOR THE STANDARD REFERENCE AND PROPOSED DESIGNS**

BUILDING COMPONENT	STANDARD REFERENCE DESIGN	PROPOSED DESIGN
Air exchange rate	Specific leakage area (SLA) $d = 0.00036$ assuming no energy recovery	For residences that are not tested, the same as the standard reference design. For residences without mechanical ventilation that are tested in accordance with ASHRAE 119, Section 5.1, the measured air exchange rate ^e but not less than 0.35 ACH For residences with mechanical ventilation that are tested in accordance with ASHRAE 119, Section 5.1, the measured air exchange rate ^e combined with the mechanical ventilation rate, [which shall not be less than $0.01 \times CFA + 7.5 \times (N_{br} + 1)$] where: CFA = conditioned floor area N_{br} = number of bedrooms
Mechanical ventilation	None, except where mechanical ventilation is specified by the proposed design, in which case: Annual vent fan energy use: $kWh/yr = 0.03942 \times CFA + 29.565 \times (N_{br} + 1)$ where: CFA = conditioned floor area N_{br} = number of bedrooms	As proposed
Internal gains	$IGain = 17,900 + 23.8 \times CFA + 4104 \times N_{br}$ (Btu/day per dwelling unit)	Same as standard reference design
Internal mass	An internal mass for furniture and contents of 8 pounds per square foot of floor area.	Same as standard reference design, plus any additional mass specifically designed as a thermal storage element ^{g, i} , but not integral to the building envelope or structure
Structural mass	For masonry floor slabs, 80% of floor area covered by R-2 carpet and pad, and 20% of floor directly exposed to room air. For masonry basement walls, as proposed, but with insulation required by Table 402.1.3 located on the interior side of the walls For other walls, for ceilings, floors, and interior walls, wood frame construction	As proposed As proposed As proposed
Heating systems ^{g, h}	As proposed Capacity: sized in accordance with Section M1401.3 of the <i>International Residential Code</i>	As proposed
Cooling systems ^{g, i}	As proposed Capacity: sized in accordance with Section M1401.3 of the <i>International Residential Code</i>	As proposed
Service water heating ^{g, i, j, k}	As proposed Use: same as proposed design	As proposed $gal/day = 30 + (10 \times N_{br})$
Thermal distribution systems	A thermal distribution system efficiency (DSE) of 0.88 shall be applied to both the heating and cooling system efficiencies for all systems other than tested duct systems. Duct insulation: From Section 403.2.1. For tested duct systems, the leakage rate shall be the applicable maximum rate from Section 403.2.2.	As tested or as specified in Table 405.5.2(2) if not tested
Thermostat	Type: Manual, cooling temperature setpoint = 75°F; Heating temperature setpoint = 72°F	Same as standard reference

(continued)

TABLE 405.5.2(1)-continued

For SI: 1 square foot = 0.93 m²; 1 British thermal unit = 1055]; 1 pound per square foot = 4.88 kg/m²; 1 gallon (U.S.) = 3.785 L; °C = (OF-3)/1.8, 1 degree = 0.79 rad.

- a. Glazing shall be defined as sunlight-transmitting fenestration, including the area of sash, curbing or other framing elements, that enclose conditioned space. Glazing includes the area of sunlight-transmitting fenestration assemblies in walls bounding conditioned basements. For doors where the sunlight-transmitting opening is less than 50 percent of the door area, the glazing area is the sunlight transmitting opening area. For all other doors, the glazing area is the rough frame opening area for the door including the door and the frame.

- b. For residences with conditioned basements, R-2 and R-4 residences and townhouses, the following formula shall be used to determine glazing area:

$$AF = A_s \times FA \times F$$

where:

AF = Total glazing area.

A_s = Standard reference design total glazing area.

FA = (Above-grade thermal boundary gross wall area) $/$ (above-grade boundary wall area + 0.5 x below-grade boundary wall area).

F = (Above-grade thermal boundary wall area) $/$ (above-grade thermal boundary wall area + common wall area) or 0.56, whichever is greater.

and where:

Thermal boundary wall is any wall that separates conditioned space from unconditioned space or ambient conditions.

Above-grade thermal boundary wall is any thermal boundary wall component not in contact with soil.

Below-grade boundary wall is any thermal boundary wall in soil contact.

Common wall area is the area of walls shared with an adjoining dwelling unit.

- c. For fenestrations facing within 15 degrees (0.26 rad) of true south that are directly coupled to thermal storage mass, the winter interior shade fraction shall be permitted to be increased to 0.95 in the proposed design.

- d. Where leakage area (L) is defined in accordance with Section 5.1 of ASHRAE 119 and where:

$$SLA = LICFA$$

where L and CFA are in the same units.

- e. Tested envelope leakage shall be determined and documented by an independent party approved by the *code official*. Hourly calculations as specified in the 2001 ASHRAE *Handbook of Fundamentals*, Chapter 26, page 26.21, Equation 40 (Sherman-Grimsrud model) or the equivalent shall be used to determine the energy loads resulting from infiltration.

- f. The combined air exchange rate for infiltration and mechanical ventilation shall be determined in accordance with Equation 43 of 2001 ASHRAE *Handbook of Fundamentals*, page 26.24 and the "Whole-house Ventilation" provisions of 2001 ASHRAE *Handbook of Fundamentals*, page 26.19 for intermittent mechanical ventilation.

- g. Thermal storage element shall mean a component not part of the floors, walls or ceilings that is part of a passive solar system, and that provides thermal storage such as enclosed water columns, rock beds, or phase-change containers. A thermal storage element must be in the same room as fenestration that faces within 15 degrees (0.26 rad) of true south, or must be connected to such a room with pipes or ducts that allow the element to be actively charged.

- h. For a proposed design with multiple heating, cooling or water heating systems using different fuel types, the applicable standard reference design system capacities and fuel types shall be weighted in accordance with their respective loads as calculated by accepted engineering practice for each equipment and fuel type present.

- i. For a proposed design without a proposed heating system, a heating system with the prevailing federal minimum efficiency shall be assumed for both the standard reference design and proposed design. For electric heating systems, the prevailing federal minimum efficiency air-source heat pump shall be used for the standard reference design.

- j. For a proposed design home without a proposed cooling system, an electric air conditioner with the prevailing federal minimum efficiency shall be assumed for both the standard reference design and the proposed design.

- k. For a proposed design with a nonstorage-type water heater, a 40-gallon storage-type water heater with the prevailing federal minimum energy factor for the same fuel as the predominant heating fuel type shall be assumed. For the case of a proposed design without a proposed water heater, a 40-gallon storage-type water heater with the prevailing federal minimum efficiency for the same fuel as the predominant heating fuel type shall be assumed for both the proposed design and standard reference design.

TABLE 405.5.2(2)
DEFAULT DISTRIBUTION SYSTEM EFFICIENCIES FOR PROPOSED DESIGNS^a

DISTRIBUTION SYSTEM CONFIGURATION AND CONDITION:	FORCED AIR SYSTEMS	HYDRONIC SYSTEMS ^b
Distribution system components located in unconditioned space	-	0.95
Untested distribution systems entirely located in conditioned space ^c	0.88	1
"Ductless" systems ^d	1	-

For SI: 1 cubic foot per minute = 0.47 L/s; 1 square foot = 0.093 m²; 1 pound per square inch = 6895 Pa; 1 inch water gauge = 1250 Pa.

- a. Default values given by this table are for untested distribution systems, which must still meet minimum requirements for duct system insulation.

- b. Hydronic systems shall mean those systems that distribute heating and cooling energy directly to individual spaces using liquids pumped through closed loop piping and that do not depend on ducted, forced airflow to maintain space temperatures.

- c. Entire system in conditioned space shall mean that no component of the distribution system, including the air handler unit, is located outside of the conditioned space.

- d. Ductless systems shall be allowed to have forced airflow across a coil but shall not have any ducted airflow external to the manufacturer's air handler enclosure.

CHAPTER 5

COMMERCIAL ENERGY EFFICIENCY

SECTION 501 GENERAL

501.1 Scope. The requirements contained in this chapter are applicable to commercial buildings, or portions of commercial buildings. These commercial buildings shall meet either the requirements of ASHRAE/IESNA Standard 90.1, *Energy Standard for Buildings Except for Low-Rise Residential Buildings*, or the requirements contained in this chapter.

501.2 Application. The *commercial building* project shall comply with the requirements in Sections 502 (Building envelope requirements), 503 (Building mechanical systems), 504 (Service water heating) and 505 (Electrical power and lighting systems) in its entirety. As an alternative the *commercial building* project shall comply with the requirements of ASHRAE/IESNA 90.1 in its entirety.

Exception: Buildings conforming to Section 506, provided Sections 502.4, 503.2, 504, 505.2, 505.3, 505.4, 505.6 and 505.7 are each satisfied.

SECTION 502 BUILDING ENVELOPE REQUIREMENTS

502.1 General (Prescriptive).

502.1.1 Insulation and fenestration criteria. The *building thermal envelope* shall meet the requirements of Tables 502.2(1) and 502.3 based on the climate *zone* specified in Chapter 3. Commercial buildings or portions of commercial buildings enclosing Group R occupancies shall use the R-values from the "Group R" column of Table 502.2(1). Commercial buildings or portions of commercial buildings enclosing occupancies other than Group R shall use the R-values from the "All other" column of Table 502.2(1). Buildings with a vertical fenestration area or skylight area that exceeds that allowed in Table 502.3 shall comply with the building envelope provisions of ASHRAE/IESNA 90.1.

502.1.2 V-factor alternative. An assembly with a *U-factor*, C-factor, or F-factor equal or less than that specified in Table 502.1.2 shall be permitted as an alternative to the R-value in Table 502.2(1). Commercial buildings or portions of commercial buildings enclosing Group R occupancies shall use the U-factor, C-factor, or F-factor from the "Group R" column of Table 502.1.2. Commercial buildings or portions of commercial buildings enclosing occupancies other than Group R shall use the U-factor, C-factor or F-factor from the "All other" column of Table 502.1.2.

502.2 Specific insulation requirements (Prescriptive). Opaque assemblies shall comply with Table 502.2(1).

502.2.1 Roof assembly. The minimum thermal resistance (R-value) of the insulating material installed either between the roof framing or continuously on the roof assembly shall

be as specified in Table 502.2(1), based on construction materials used in the roof assembly.

Exception: Continuously insulated roof assemblies where the thickness of insulation varies 1 inch (25 mm) or less and where the area-weighted Ufactor is equivalent to the same assembly with the R-value specified in Table 502.2(1).

Insulation installed on a suspended ceiling with removable ceiling tiles shall not be considered part of the minimum thermal resistance of the roof insulation.

502.2.2 Classification of walls. Walls associated with the building envelope shall be classified in accordance with Section 502.2.2.1 or 502.2.2.2.

502.2.2.1 Above-grade walls. Above-grade walls are those walls covered by Section 502.2.3 on the exterior of the building and completely above grade or walls that are more than 15 percent above grade.

502.2.2.2 Below-grade walls. Below-grade walls covered by Section 502.2.4 are basement or first-story walls associated with the exterior of the building that are at least 85 percent below grade.

502.2.3 Above-grade walls. The minimum thermal resistance (R-value) of the insulating material(s) installed in the wall cavity between the framing members and continuously on the walls shall be as specified in Table 502.2(1), based on framing type and construction materials used in the wall assembly. The R-value of integral insulation installed in concrete masonry units (CMU) shall not be used in determining compliance with Table 502.2(1). "Mass walls" shall include walls weighing at least (1) 35 pounds per square foot (170 kg/m^2) of wall surface area or (2) 25 pounds per square foot (120 kg/m^2) of wall surface area if the material weight is not more than 120 pounds per cubic foot (1900 kg/m^3).

502.2.4 Below-grade walls. The minimum thermal resistance (R-value) of the insulating material installed in, or continuously on, the below-grade walls shall be as specified in Table 502.2(1), and shall extend to a depth of 10 feet (3048 mm) below the outside finished ground level, or to the level of the floor, whichever is less.

502.2.5 Floors over outdoor air or unconditioned space. The minimum thermal resistance (R-value) of the insulating material installed either between the floor framing or continuously on the floor assembly shall be as specified in Table 502.2(1), based on construction materials used in the floor assembly.

"Mass floors" shall include floors weighing at least (1) 35 pounds per square foot (170 kg/m^2) of floor surface area or (2) 25 pounds per square foot (120 kg/m^2) of floor surface area if the material weight is not more than 12 pounds per cubic foot ($1,900 \text{ kg/m}^3$).

**TABLE 502.1.2
BUILDING ENVELOPE REQUIREMENTS OPAQUE ELEMENT, MAXIMUM U-FACTORS**

CLIMATE ZONE	1		2		3		⁴ EXCEPT MARINE		SAND MARINE 4		6		7		8	
	All other	Group R	All other	Group R	All other	Group R	All other	Group R	All other	Group R	All other	Group R	All other	Group R	All other	Group R
Roofs																
Insulation entirely above deck	U-O.063	U-O.048	U-O.048	U-O.048	U-O.048	U-O.048	U-O.048	U-O.048	U-O.048	U-O.048	U-O.048	U-O.039	U-O.039	U-O.039	U-O.039	U-O.039
Metal buildings	U-O.06S	U-O.06S	U-O.0SS	U-O.0SS	U-O.0SS	U-O.0SS	U-O.0SS	U-O.0SS	U-O.0SS	U-O.049	U-O.049	U-O.049	U-O.03S	U-O.03S	U-O.03S	U-O.03S
Attic and other	U-O.034	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027	U-O.027
Walls, Above Grade																
Mass	U-O.0S8	U-O.1S1	U-O.1S1	U-O.123	U-O.123	U-O.104	U-O.104	U-O.090	U-O.90	U-O.80	U-O.080	U-O.071	U-O.071	U-O.071	U-O.071	U-O.0S2
Metal building	U-O.093	U-O.093	U-O.093	U-O.093	U-O.084	U-O.084	U-O.084	U-O.084	U-O.069	U-O.069	U-O.069	U-O.069	U-O.0S7	U-O.0S7	U-O.0S7	U-O.0S7
Metal framed	U-O.124	U-O.124	U-O.124	U-O.064	U-O.084	U-O.064	U-O.064	U-O.064	U-O.064	U-O.064	U-O.064	U-O.0S7	U-O.064	U-O.0S2	U-O.064	U-O.037
Wood framed and other	U-O.089	U-O.089	U-O.089	U-O.089	U-O.089	U-O.089	U-O.089	U-O.064	U-O.064	U-O.0S1	U-O.0S1	U-O.0S1	U-O.0S1	U-O.0S1	U-O.036	U-O.036
Walls, Below Grade																
Below-grade walla	C-1.140	C-1.140	C-1.140	C-1.140	C-1.140	C-1.140	C-1.140	C-0.119	C-0.119	C-0.119	C-0.119	C-0.119	C-0.092	C-0.119	C-0.07S	
Floors																
Mass	U-O.322	U-O.322	U-O.107	U-O.087	U-O.107	U-O.087	U-O.087	U-O.074	U-O.074	U-O.064	U-O.064	U-O.0S7	U-O.064	U-O.0S1	U-O.0S7	U-O.0S1
JoistiFraming	U-O.282	U-O.282	U-O.0S2	U-O.0S2	-	U-O.033	U-O.033	U-O.033	U-O.033	U-O.033	U-O.033	U-O.033	U-O.033	U-O.033	U-O.033	U-O.033
Slab-on-Grade Floors																
Unheated slabs	F-O.730	F-O.730	F-O.730	F-O.730	F-O.730	F-O.730	F-O.730	F-O.S40	F-O.730	F-O.S40	F-O.S40	F-O.S20	F-O.S20	F-O.S20	F-O.S20	F-O.S10
Heated slabs	F-1.020	F-1.020	F-1.020	F-1.020	F-O.900	F-O.900	-	F-O.860	F-O.860	F-O.860	F-O.860	F-O.688	F-O.830	F-O.688	F-O.688	F-O.688

a. When heated slabs are placed below-grade, below grade walls must meet the *F-factor* requirements for perimeter insulation according to the heated slab-on-grade construction.

TABLE 502.2(1)
BUILDING ENVELOPE REQUIREMENTS - OPAQUE ASSEMBLIES

CLIMATE ZONE	1		2		3		4 EXCEPT MARINE		5 AND MARINE 4		6		7		8	
	All other	Group R	All other	Group R	All other	Group R	All other	Group R	All other	Group R	All other	Group R	All other	Group R	All other	Group R
Roofs																
Insulation entirely above deck	R-15ci	R-20ci	R-20ci	R-20ci	R-20ci	R-20ci	R-20ci	R-20ci	R-20ci	R-20ci	R-20ci	R-25ci	R-25ci	R-25ci	R-25ci	R-25ci
Metal buildings (with R-5 thermal blocks ^{a, b})	R-19	R-19	R-13 + R-13	R-13 + R-13	R-19	R-13 + R-13	R-19	R-13 + R-13	R-19	R-13 + R-19	R-19	R-13 + R-19	R-19 + R-10	R-11 + R-19	R-19 + R-10	R-19 + R-10
Attic and other	R-30	R-38	R-38	R-38	R-38	R-38	R-38	R-38	R-38	R-38	R-38	R-38	R-38	R-49	R-49	R-49
Walls, Above Grade																
Mass	NR	R-5.7ci	R-5.7ci	R-7.6ci	R-7.6ci	R-9.5ci	R-9.5ci ^c	R-11.4ci	R-11.4ci	R-13.3 ci	R-13.3ci	R-15.2ci	R-15.2ci	R-15.2ci	R-25ci	R-25ci
Metal building ^b	R-16	R-16	R-16	R-16	R-19	R-19	R-19	R-19	R-13 + R-5.6ci	R-13 + R-5.6ci	R-13 + R-5.6ci	R-19 + R-5.6ci				
Metal framed	R-13	R-13	R-13	R-13+	R-13 + R-3.8ci	R-13 + R-7.5ci	R-13 + 7.5	R-13 + R-7.5ci	R-13 + R-7.5 ci	R-13 + R-7.5ci	R-13 + R-7.5ci	R-13 + R-7.5ci	R-13 + R-15.6ci	R-13 + R-7.5 ci	R-13 + R-18.8ci	R-13 + R-18.8ci
Wood framed and other	R-13	R-13	R-13	R-13	R-13	R-13	R-13	R-13+ R-3.8ci	R-13 + R-3.8ci	R-13 + 3.8	R-13 + 7.5	R-13 + R-7.5	R-13+ R-7.5ci	R-13 + R-15.6ci	R-13 + 15.6ci	R-13 + 15.6ci
Walls, Below Grade																
Below grade wall ^d	NR	NR	NR	NR	NR	NR	NR	R-7.5ci	R-7.5ci	R-7.5ci	NR R-7.5ci	R-7.5ci	R-7.5ci	R-10ci	R-7.5ci	R-12.5ci
Floors																
Mass	NR	NR	R-6.3ci	R-8.3ci	R-6.3ci	R-8.3ci	R-10ci	R-10.4ci	R-10ci	R-12.5ci	R-12.5ci	R-14.6ci	R-15ci	R-16.7ci	R-15ci	R-16.7ci
Joist/framing Steel(wood)	NR	NR	R-19	R-30	R-19	R-30	R-30	R-30	R-30	R-30	R-30	R-30 ^e	R-30	R-30 ^e	R-30 ^e	R-30 ^e
Slab-on-Grade Floors																
Unheated slabs	NR	NR	NR	NR	NR	NR	NR	R-10 for 24 in. below	NR	R-10 for 24 in. below	R-10 for 24 in. below	R-15 for 24 in. below	R-15 for 24 in. below	R-15 for 24 in. below	R-20 for 24 in. below	R-20 for 24 in. below
Heated slabs	R-7.5 for 12 in. below	R-10 for 24 in. below	R-10 24 in. below	R-15 for 24 in. below	R-20 for 48 in. below	R-20 for 24 in. below	R-20 for 48 in. below									
Opaque doors																
Swinging	U - 0.70	U - 0.70	U - 0.70	U - 0.70	U - 0.70	U - 0.70	U - 0.70	U - 0.70	U - 0.70	U - 0.70	U - 0.50					
Roll-up or sliding	U - 1.45	U - 1.45	U - 1.45	U - 1.45	U - 1.45	U - 1.45	U - 0.50									

For SI: 1 inch = 25.4 mm.

ci = Continuous insulation. NR = No requirement.

a. When using R-value compliance method, a thermal spacer block is required, otherwise use the V-factor compliance method. [see Tables 502.1.2 and 502.2(2)].

b. Assembly descriptions can be found in Table 502.2(2).

c. R-5.7 ci is allowed to be substituted with concrete block walls complying with ASTM C 90, ungrouted or partially grouted at 32 inches or less on center vertically and 48 inches or less on center horizontally, with ungrouted cores filled with material having a maximum thermal conductivity of 0.44 Btu-in./h·f² F.

d. When heated slabs are placed below grade, below-grade walls must meet the exterior insulation requirements for perimeter insulation according to the heated slab-on-grade construction.

e. Steel floor joist systems shall be R-38.

TABLE 502.2(2)
BUILDING ENVELOPE REQUIREMENTS-OPAQUE ASSEMBLIES

ROOFS	DESCRIPTION	REFERENCE
R-19	<p>Standing seam roof with single fiberglass insulation layer.</p> <p>This construction is R-19 faced fiberglass insulation batts draped perpendicular over the purlins. A minimum R-3.S thermal spacer block is placed above the purlin/batt, and the roof deck is secured to the purlins.</p>	ASHRAE/IESNA 90.1 Table A2.3 including Addendum "G"
R-13 + R-13 R-13 + R-19	<p>Standing seam roof with two fiberglass insulation layers.</p> <p>The first R-value is for faced fiberglass insulation batts draped over purlins. The second R-value is for unfaced fiberglass insulation batts installed parallel to the purlins. A minimum R-3.S thermal spacer block is placed above the purlin/batt, and the roof deck is secured to the purlins.</p>	ASHRAE/IESNA 90.1 Table A2.3 including Addendum "G"
R-11 + R-19 Fe	<p>Filled cavity fiberglass insulation.</p> <p>A continuous vapor barrier is installed below the purlins and uninterrupted by framing members. Both layers of uncompressed, unfaced fiberglass insulation rest on top of the vapor barrier and are installed parallel, between the purlins. A minimum R-3.S thermal spacer block is placed above the purlin/batt, and the roof deck is secured to the purlins.</p>	ASHRAE/IESNA 90.1 Table A2.3 including Addendum "G"
WALLS		
R-16, R-19	<p>Single fiberglass insulation layer.</p> <p>The construction is faced fiberglass insulation batts installed vertically and compressed between the metal wall panels and the steel framing.</p>	ASHRAE/IESNA 90.1 Table A3.2 including Addendum "G"
R-13 + R-S.6 ci R-19 + R-S.6 ci	<p>The first R-value is for faced fiberglass insulation batts installed perpendicular and compressed between the metal wall panels and the steel framing. The second rated R-value is for continuous rigid insulation installed between the metal wall panel and steel framing, or on the interior of the steel framing.</p>	ASHRAE/IESNA 90.1 Table A3.2 including Addendum "G"

502.2.6 Slabs on grade. The minimum thermal resistance (R-value) of the insulation around the perimeter of unheated or heated slab-on-grade floors shall be as specified in Table 502.2(1). The insulation shall be placed on the outside of the foundation or on the inside of a foundation wall. The insulation shall extend downward from the top of the slab for a minimum distance as shown in the table or to the top of the footing, whichever is less, or downward to at least the bottom of the slab and then horizontally to the interior or exterior for the total distance shown in the table.

502.2.7 Opaque doors. Opaque doors (doors having less than 50 percent glass area) shall meet the applicable requirements for doors as specified in Table 502.2(1) and be considered as part of the gross area of above-grade walls that are part of the building envelope.

502.3 Fenestration (Prescriptive). Fenestration shall comply with Table 502.3.

502.3.1 Maximum area. The vertical fenestration area (not including opaque doors) shall not exceed the percentage of the gross wall area specified in Table 502.3. The skylight area shall not exceed the percentage of the gross roof area specified in Table 502.3.

502.3.2 Maximum V-factor and SHGC. For vertical fenestration, the maximum V-factor and solar heat gain coefficient (SHGC) shall be as specified in Table 502.3, based on the window projection factor. For skylights, the maximum

V-factor and solar heat gain coefficient (SHGC) shall be as specified in Table 502.3.

The window projection factor shall be determined in accordance with Equation 5-1.

$$PF = A/B \quad (\text{Equation 5-1})$$

where:

PF = Projection factor (decimal).

A = Distance measured horizontally from the furthest continuous extremity of any overhang, eave, or permanently attached shading device to the vertical surface of the glazing.

B = Distance measured vertically from the bottom of the glazing to the underside of the overhang, eave, or permanently attached shading device.

Where different windows or glass doors have different PF values, they shall each be evaluated separately, or an area-weighted PF value shall be calculated and used for all windows and glass doors.

502.4 Air leakage (Mandatory).

502.4.1 Window and door assemblies. The air leakage of window and sliding or swinging door assemblies that are part of the building envelope shall be determined in accordance with AAMA/WDMA/CSA 101/L.S.2/A440, or NFRC 400 by an accredited, independent laboratory, and

labeled and certified by the manufacturer and shall not exceed the values in Section 402.4.2.

Exception: Site-constructed windows and doors that are weatherstripped or sealed in accordance with Section 502.4.3.

502.4.2 Curtain wall, storefront glazing and commercial entrance doors. Curtain wall, *storefront glazing* and commercial-glazed swinging entrance doors and revolving doors shall be tested for air leakage at 1.57 pounds per square foot (psf) (75 Pa) in accordance with ASTM E 283. For curtain walls and *storefront glazing*, the maximum air leakage rate shall be 0.3 cubic foot per minute per square foot (cfm/ft^2) ($5.5 m^3/h \times m^2$) of fenestration area. For commercial glazed swinging entrance doors and revolving doors, the maximum air leakage rate shall be 1.00 cfm/ft^2 ($18.3 m^3/h \times m^2$) of door area when tested in accordance with ASTM E 283.

502.4.3 Sealing of the building envelope. Openings and penetrations in the building envelope shall be sealed with caulking materials or closed with gasketing systems compatible with the construction materials and location. Joints and seams shall be sealed in the same manner or taped or covered with a moisture vapor-permeable wrapping material. Sealing materials spanning joints between construction materials shall allow for expansion and contraction of the construction materials.

502.4.4 Hot gas bypass limitation. Cooling systems shall not use hot gas bypass or other evaporator pressure control systems unless the system is designed with multiple steps of

unloading or continuous capacity modulation. The capacity of the hot gas bypass shall be limited as indicated in Table 502.4.4.

Exception: Unitary packaged systems with cooling capacities not greater than 90,000 Btu/h (26 379 W).

TABLE 502.4.4
MAXIMUM HOT GAS BYPASS CAPACITY

RATED CAPACITY	MAXIMUM HOT GAS BYPASS CAPACITY (% of total capacity)
$\leq 240,000 \text{ Btu/h}$	50%
$> 240,000 \text{ Btu/h}$	25%

For SI: 1 Btu/h = 0.29 watts.

502.4.5 Outdoor air intakes and exhaust openings. Stair and elevator shaft vents and other outdoor air intakes and exhaust openings integral to the building envelope shall be equipped with not less than a Class I motorized, leakage-rated damper with a maximum leakage rate of 4 cfm per square foot ($6.8 L/s \cdot C m^2$) at 1.0 inch water gauge (w.g.) (1250 Pa) when tested in accordance with AMCA 500D.

Exception: Gravity (nonmotorized) dampers are permitted to be used in buildings less than three stories in height above grade.

502.4.6 Loading dock weatherseals. Cargo doors and loading dock doors shall be equipped with weatherseals to restrict infiltration when vehicles are parked in the doorway.

TABLE 502.3
BUILDING ENVELOPE REQUIREMENTS: FENESTRATION

CLIMATE ZONE	1	2	3	4 EXCEPT MARINE	5 AND MARINE 4	6	7	8
Vertical fenestration (40% maximum of above-grade wall)								
U-factor								
Framing materials other than metal with or without metal reinforcement or cladding								
V-factor	1.20	0.75	0.65	0.40	0.35	0.35	0.35	0.35
Metal framing with or without thermal break								
Curtain wall/storefront U-factor	1.0	0.70	0.60	0.50	0.45	0.45	0.40	0.40
Entrance door U -factor	1.20	1.10	0.90	0.85	0.80	0.80	0.80	0.80
All other V-factor ^a	1.20	0.75	0.65	0.55	0.55	0.55	0.45	0.45
SHGC-all frame types								
SHGC: PF < 0.25	0.25	0.25	0.25	0.40	0.40	0.40	0.45	0.45
SHGC: $0.25 \leq PF < 0.5$	0.33	0.33	0.33	NR	NR	NR	NR	NR
SHGC: PF ≥ 0.5	0.40	0.40	0.40	NR	NR	NR	NR	NR
Skylights (3% maximum)								
V-factor	0.75	0.75	0.65	0.60	0.60	0.60	0.60	0.60
SHGC	0.35	0.35	0.35	0.40	0.40	0.40	NR	NR

NR = No requirement.

PF = Projection factor (see Section 502.3.2).

a. All others includes operable windows, fixed windows and nonentrance doors.

502.4.7 Vestibules. A door that separates *conditioned space* from the exterior shall be protected with an enclosed vestibule, with all doors opening into and out of the vestibule equipped with self-closing devices. Vestibules shall be designed so that in passing through the vestibule it is not necessary for the interior and exterior doors to open at the same time.

Exceptions:

1. Buildings in climate Zones 1 and 2 as indicated in Figure 301.1 and Table 301.1.
2. Doors not intended to be used as a building *entrance door*, such as doors to mechanical or electrical equipment rooms.
3. Doors opening directly from a *sleeping unit* or dwelling unit.
4. Doors that open directly from a space less than 3,000 square feet (298 m^2) in area.
5. Revolving doors.
6. Doors used primarily to facilitate vehicular movement or material handling and adjacent personnel doors.

502.4.8 Recessed lighting. Recessed luminaires installed in the *building thermal envelope* shall be sealed to limit air leakage between conditioned and unconditioned spaces. All recessed luminaires shall be IC-rated and *labeled* as meeting ASTM E 283 when tested at 1.57 psf (75 Pa) pressure differential with no more than 2.0 cfm (0.944 L/s) of air movement from the *conditioned space* to the ceiling cavity. All recessed luminaires shall be sealed with a gasket or caulk between the housing and interior wall or ceiling covering.

SECTION 503 BUILDING MECHANICAL SYSTEMS

503.1 General. Mechanical systems and equipment serving the building heating, cooling or ventilating needs shall comply with Section 503.2 (referred to as the mandatory provisions) and either:

1. Section 503.3 (Simple systems), or
2. Section 503.4 (Complex systems).

503.2 Provisions applicable to all mechanical systems (Mandatory).

503.2.1 Calculation of heating and cooling loads. Design loads shall be determined in accordance with the procedures described in the ASHRAE/ACCA Standard 183. Heating and cooling loads shall be adjusted to account for load reductions that are achieved when energy recovery systems are utilized in the HVAC system in accordance with the ASHRAE *HVAC Systems and Equipment Handbook*. Alternatively, design loads shall be determined by an *approved* equivalent computation procedure, using the design parameters specified in Chapter 3.

503.2.2 Equipment and system sizing. Equipment and system sizing. Heating and cooling equipment and systems capacity shall not exceed the loads calculated in accordance

with Section 503.2.1. A single piece of equipment providing both heating and cooling must satisfy this provision for one function with the capacity for the other function as small as possible, within available equipment options.

Exceptions:

1. Required standby equipment and systems provided with controls and devices that allow such systems or equipment to operate automatically only when the primary equipment is not operating.
2. Multiple units of the same equipment type with combined capacities exceeding the design load and provided with controls that have the capability to sequence the operation of each unit based on load.

503.2.3 HVAC equipment performance requirements. Equipment shall meet the minimum efficiency requirements of Tables 503.2.3(1), 503.2.3(2), 503.2.3(3), 503.2.3(4), 503.2.3(5), 503.2.3(6) and 503.2.3(7) when tested and rated in accordance with the applicable test procedure. The efficiency shall be verified through certification under an *approved* certification program or, if no certification program exists, the equipment efficiency ratings shall be supported by data furnished by the manufacturer. Where multiple rating conditions or performance requirements are provided, the equipment shall satisfy all stated requirements. Where components, such as indoor or outdoor coils, from different manufacturers are used, calculations and supporting data shall be furnished by the designer that demonstrates that the combined efficiency of the specified components meets the requirements herein.

Exception: Water-cooled centrifugal water-chilling packages listed in Table 503.2.3(7) not designed for operation at ARHI Standard 550/590 test conditions of 44°F (7°C) leaving chilled water temperature and 85°OF (29°C) entering condenser water temperature with 3 gpm/ton (0.054 l/s.kW) condenser water flow shall have maximum full load and NPLV ratings adjusted using the following equations:

$$\text{Adjusted maximum full load kW/ton rating} = [\text{full load kW/ton from Table 503.2.3(7)}]/K_{\text{adj}}$$

$$\text{Adjusted maximum NPLV rating} = [\text{IPLV from Table 503.2.3(7)}]/K_{\text{adj}}$$

where:

$$K_{\text{adj}} = 6.174722 - 0.303668(X) + 0.00629466(X)^2 - 0.000045780(X)^3$$

$$X = DT_{\text{std}} + \text{LIFT}$$

$$DT_{\text{std}} = \{24 + [\text{full load kW/ton from Table 503.2.3(7)}] \times 6.83\}/\text{Flow}$$

$$\text{Flow} = \text{Condenser water flow (GPM)}/\text{Cooling Full Load Capacity (tons)}$$

$$\text{LIFT} = \text{CEWT} - \text{CLWT (OF)}$$

$$\text{CEWT} = \text{Full Load Condenser Entering Water Temperature (OF)}$$

$$\text{CLWT} = \text{Full Load Leaving Chilled Water Temperature (OF)}$$

The adjusted full load and NPLV values are only applicable over the following full-load design ranges:

Minimum Leaving Chilled Water Temperature: 38°F (3.3°C)

Maximum Condenser Entering Water Temperature: 102°F (38.9°C)

Condensing Water Flow: 1 to 6 gpm/ton 0.018 to 0.1076 l/s . kW) and X ≥ 39 and ≤ 60

Chillers designed to operate outside of these ranges or applications utilizing fluids or solutions with secondary coolants (e.g., glycol solutions or brines) with a freeze point of 27°F (-2.8°C) or lower for freeze protection are not covered by this code.

TABLE 503.2.3(1)
UNITARY AIR CONDITIONERS AND CONDENSING UNITS, ELECTRICALLY OPERATED, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE	SIZE CATEGORY	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
Air conditioners, Air cooled	< 65,000 Btu/h ^d	Split system	13.0 SEER	AHRI 210/240
		Single package	13.0 SEER	
	≥ 65,000 Btu/h and < 135,000 Btu/h	Split system and single package	10.3 EERc (before Jan 1, 2010) 11.2 EERc (as of Jan 1, 2010)	
		Split system and single package	9.7 EERc (before Jan 1, 2010) 11.0 EERc (as of Jan 1, 2010)	
	≥ 135,000 Btu/h and < 240,000 Btu/h	Split system and single package	9.5 EERc 9.7 IPLYc (before Jan 1, 2010)	
		Split system and single package	10.0 EERc 9.7 IPLYg (as of Jan 1, 2010)	
Through-the-wall, Air cooled	> 240,000 Btu/h and < 760,000 Btu/h	Split system and single package	9.2 EERc 9.4 IPLYc (before Jan 1, 2010)	AHRI 340/360
		Split system and single package	9.7 EERc 9.4 IPLYc (as of Jan 1, 2010)	
		Split system and single package	10.9 SEER (before Jan 23, 2010) 12.0 SEER (as of Jan 23, 2010)	
Air conditioners, Water and evaporatively cooled	< 30,000 Btu/h ^d	Split system	10.6 SEER (before Jan 23, 2010) 12.0 SEER (as of Jan 23, 2010)	AHRI 210/240
		Single package	12.1 EER	
	≥ 65,000 Btu/h and < 135,000 Btu/h	Split system and single package	11.5 EERc	AHRI 210/240
		Split system and single package	11.0 EERc	
	≥ 135,000 Btu/h and < 240,000 Btu/h	Split system and single package	11.5 EERc	AHRI 340/360

For SI: 1 British thermal unit per hour = 0.2931 W

a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

b. IPLVs are only applicable to equipment with capacity modulation.

c. Deduct 0.2 from the required EERs and IPLVs for units with a heating section other than electric resistance heat.

d. Single-phase air-cooled air conditioners < 65,000 Btu/h are regulated by the National Appliance Energy Conservation Act of 1987 (NAECA); SEER values are those set by NAECA.

TABLE 503.2.3(2)

UNITARY AIR CONDITIONERS AND CONDENSING UNITS, ELECTRICALLY OPERATED, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE	SIZE CATEGORY	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
Air cooled, (Cooling mode)	< 65,000 Btu/h ^d	Split system	13.0 SEER	AHRI 210/240
		Single package	13.0 SEER	
	≥ 65,000 Btu/h and < 135,000 Btu/h	Split system and single package	10.1 EERc (before Jan 1, 2010)	AHRI 210/240
			11.0 EERc (as of Jan 1, 2010)	
	≥ 135,000 Btu/h and < 240,000 Btu/h	Split system and single package	9.3 EERc (before Jan 1, 2010) 10.6 EERc (as of Jan 1, 2010)	AHRI 340/360
Through-the-Wall (Air cooled, cooling mode)	< 30,000 Btu/h ^d	Split system	9.0 EERc 9.2 IPLVc (before Jan 1, 2010)	AHRI 210/240
			9.5 EERc 9.2 IPLVc (as of Jan 1, 2010)	
		Single package	10.6 SEER (before Jan 23, 2010) 12.0 SEER (as of Jan 23, 2010)	
Water Source (Cooling mode)	< 17,000 Btu/h	86°F entering water	11.2 EER	AHRI/ASHRAE 13256-1
	≥ 17,000 Btu/h and < 135,000 Btu/h	86°F entering water	12.0 EER	AHRI/ASHRAE 13256-1
Groundwater Source (Cooling mode)	< 135,000 Btu/h	59°F entering water	16.2 EER	AHRI/ASHRAE 13256-1
Ground source (Cooling mode)	< 135,000 Btu/h	77°F entering water	13.4 EER	AHRI/ASHRAE 13256-1
Air cooled (Heating mode)	< 65,000 Btu/h ^d (Cooling capacity)	Split system	7.7 HSPF	AHRI 210/240
		Single package	7.7 HSPF	
	≥ 65,000 Btu/h and < 135,000 Btu/h (Cooling capacity)	47°F db/43°F wb Outdoor air	3.2 COP (before Jan 1, 2010)	AHRI 210/240
			3.3 COP (as of Jan 1, 2010)	
	≥ 135,000 Btu/h (Cooling capacity)	47°F db/43°F wb Outdoor air	3.1 COP (before Jan 1, 2010) 3.2 COP (as of Jan 1, 2010)	AHRI 340/360

(continued)

**TABLE 503.2.3(2)-continued
UNITARY AIR CONDITIONERS AND CONDENSING UNITS, ELECTRICALLY OPERATED, MINIMUM EFFICIENCY REQUIREMENTS**

EQUIPMENT TYPE	SIZE CATEGORY	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
Through-the-wall (Air cooled, heating mode)	< 30,000 Btu/h	Split System	7.1 HSPF (before Jan 23, 2010) 7.4 HSPF (as of Jan 23, 2010)	AHRI 210/240
		Single package	7.0 HSPF (before Jan 23, 2010) 7.4 HSPF (as of Jan 23, 2010)	
Water source (Heating mode)	< 135,000 Btu/h (Cooling capacity)	68°F entering water	4.2 COP	AHRI/ASHRAE 13256-1
Groundwater source (Heating mode)	< 135,000 Btu/h (Cooling capacity)	50°F entering water	3.6 COP	AHRI/ASHRAE 13256-1
Ground source (Heating mode)	< 135,000 Btu/h (Cooling capacity)	32°F entering water	3.1 COP	AHRI/ASHRAE 13256-1

For SI: $^{\circ}\text{C} = [(^{\circ}\text{OF}) - 32]/1.8$, 1 British thermal unit per hour = 0.2931 W

db = dry-bulb temperature, of; wb = wet-bulb temperature, of.

- a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.
- b. IPLVs and Part load rating conditions are only applicable to equipment with capacity modulation.
- c. Deduct 0.2 from the required EERs and IPLVs for units with a heating section other than electric resistance heat.
- d. Single-phase air-cooled heat pumps < 65,000 Btu/h are regulated by the National Appliance Energy Conservation Act of 1987 (NAECA). SEER and HSPF values are those set by NAECA.

**TABLE 503.2.3(3)
PACKAGED TERMINAL AIR CONDITIONERS AND PACKAGED TERMINAL HEAT PUMPS**

EQUIPMENT TYPE	SIZE CATEGORY (INPUT)	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
PTAC (Cooling mode) New construction	All capacities	95°F db outdoor air	12.5 - (0.213 . Cap/1000) EER	AHRI 310/380
PTAC (Cooling mode) Replacements ^c	All capacities	95°F db outdoor air	10.9 - (0.213 . Cap/1000) EER	
PTHP (Cooling mode) New construction	All capacities	95°F db outdoor air	12.3 - (0.213 . Cap/1000) EER	
PTHP (Cooling mode) Replacements ^c	All capacities	95°F db outdoor air	10.8 - (0.213 . Cap/1000) EER	
PTHP (Heating mode) New construction	All capacities	-	3.2 - (0.026 . Cap/1000) COP	
PTHP (Heating mode) Replacements ^c	All capacities	-	2.9 - (0.026 . Cap/1000) COP	

For SI: $^{\circ}\text{C} - [(^{\circ}\text{OF}) - 32]/1.8$, 1 British thermal unit per hour - 0.2931 W

db = dry-bulb temperature, of.

wb = wet-bulb temperature, of.

- a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.
- b. Cap means the rated cooling capacity of the product in Btu/h. If the unit's capacity is less than 7,000 Btu/h, use 7,000 Btu/h in the calculation. If the unit's capacity is greater than 15,000 Btu/h, use 15,000 Btu/h in the calculation.
- c. Replacement units must be factory labeled as follows: "MANUFACTURED FOR REPLACEMENT APPLICATIONS ONLY. NOT TO BE INSTALLED IN NEW CONSTRUCTION PROJECTS." Replacement efficiencies apply only to units with existing sleeves less than 16 inches (406 mm) high and less than 42 inches (1067 mm) wide.

TABLE 503.2.3(4)
**WARM AIR FURNACES AND COMBINATION WARM AIR FURNACES/AIR-CONDITIONING UNITS,
 WARM AIR DUCT FURNACES AND UNIT HEATERS, MINIMUM EFFICIENCY REQUIREMENTS**

EQUIPMENT TYPE	SIZE CATEGORY (INPUT)	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^{d, e}	TEST PROCEDURE ^a
Warm air furnaces, gas fired	< 225,000 Btu/h	-	78% AFUE or 80% E_t^f	DOE 10 CFR Part 430 or ANSI Z21.47
	≥ 225,000 Btu/h	Maximum capacity ^c	80% E_t^f	ANSI Z21.47
Warm air furnaces, oil fired	< 225,000 Btu/h	-	78% AFUE or 80% E_t^fC	DOE 10 CFR Part 430 or UL 727
	≥ 225,000 Btu/h	Maximum capacity ^b	81% E_tg	UL 727
Warm air duct furnaces, gas fired	All capacities	Maximum capacity ^b	80% E_c	ANSI Z83.8
Warm air unit heaters, gas fired	All capacities	Maximum capacity ^b	80% E_c	ANSI Z83.8
Warm air unit heaters, oil fired	All capacities	Maximum capacity ^b	80% E_c	UL 731

For SI: 1 British thermal unit per hour = 0.2931 W

- a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.
- b. Minimum and maximum ratings as provided for and allowed by the unit's controls.
- c. Combination units not covered by the National Appliance Energy Conservation Act of 1987 (NAECA) (3-phase power or cooling capacity greater than or equal to 65,000 Btu/h [19 kWd] shall comply with either rating.
- d. E_t = Thermal efficiency. See test procedure for detailed discussion.
- e. E_c = Combustion efficiency (100% less flue losses). See test procedure for detailed discussion.
- f. E_c = Combustion efficiency. Units must also include an IID, have jackets not exceeding 0.75 percent of the input rating, and have either power venting or a flue damper. A vent damper is an acceptable alternative to a flue damper for those furnaces where combustion air is drawn from the conditioned space.
- g. E_t = Thermal efficiency. Units must also include an IID, have jacket losses not exceeding 0.75 percent of the input rating, and have either power venting or a flue damper. A vent damper is an acceptable alternative to a flue damper for those furnaces where combustion air is drawn from the conditioned space.

TABLE 503.2.3(5)
BOILERS, GAS- AND OIL-FIRED, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE ^f	SIZE CATEGORY	SUBCATEGORY OR RATING CONDITION	MINIMUM EFFICIENCY ^b	TEST PROCEDURE
Boilers, Gas-fired	< 300,000 Btu/h	Hot water	80% AFUE	DOE 10 CFR Part 430
		Steam	75% AFUE	
	≥ 300,000 Btu/h and ≤ 2,500,000 Btu/h	Minimum capacity ^b	75% E_t and 80% E_e (See Note c, d)	
			80% E_e (See Note c, d)	DOE 10 CFR Part 431
			80% E_e (See Note c, d)	
	> 2,500,000 Btu/h ^f	Hot water	80% AFUE	DOE 10 CFR Part 430
		Steam	80% E_e (See Note c, d)	
		Steam	80% E_e (See Note c, d)	
Boilers, Oil-fired	< 300,000 Btu/h	-	80% AFUE	DOE 10 CFR Part 431
	≥ 300,000 Btu/h and ≤ 2,500,000 Btu/h	Minimum capacity ^b	78% E_t and 83% E_e (See Note c, d)	
			83% E_e (See Note c, d)	
	> 2,500,000 Btu/h ^a	Hot water	83% E_e (See Note c, d)	
		Steam	83% E_e (See Note c, d)	
Boilers, Oil-fired (Residual)	> 2,500,000 Btu/h ^a	Minimum capacity ^b	78% E_t and 83% E_e (See Note c, d)	DOE 10 CFR Part 431
			83% E_e (See Note c, d)	
			83% E_e (See Note c, d)	

For SI: 1 British thermal unit per hour = 0.2931 W

- a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.
- b. Minimum ratings as provided for and allowed by the unit's controls.
- c. E_e = Combustion efficiency (100 percent less flue losses). See reference document for detailed information.
- d. E_t = Thermal efficiency. See reference document for detailed information.
- e. Alternative test procedures used at the manufacturer's option are ASME PTC-4.1 for units greater than 5,000,000 Btu/h input, or ANSI Z21.13 for units greater than or equal to 300,000 Btu/h and less than or equal to 2,500,000 Btu/h input.
- f. These requirements apply to boilers with rated input of 8,000,000 Btu/h or less that are not packaged boilers, and to all packaged boilers. Minimum efficiency requirements for boilers cover all capacities of packaged boilers.

TABLE 503.2.3(6)
CONDENSING UNITS, ELECTRICALLY OPERATED, MINIMUM EFFICIENCY REQUIREMENTS

EQUIPMENT TYPE	SIZE CATEGORY	MINIMUM EFFICIENCY ^b	TEST PROCEDURE ^a
Condensing units, air cooled	≥ 135,000 Btu/h	10.1 EER 11.2 IPLV	AHRI365
Condensing units, water or evaporatively cooled		13.1 EER 13.1 IPLV	

For SI: 1 British thermal unit per hour = 0.2931 W

- a. Chapter 6 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.
- b. IPLVs are only applicable to equipment with capacity modulation.

TABLE 503.2.3(7)
WATER CHILLING PACKAGES, EFFICIENCY REQUIREMENTS^a

EQUIPMENT TYPE	SIZE CATEGORY	UNITS	BEFORE 1/1/2010		AS OF 1/1/2010 ^c				TEST PROCEDURE ^b		
			FULL LOAD	IPLV	PATH A		PATH B				
					FULL LOAD	IPLV	FULL LOAD	IPLV			
Air-cooled chillers	< 150 tons	EER	≥ 9.562	≥ 10.416	≥ 9.562	≥ 12.500	NAd	NAd	AHRI 550/590		
	≥ 150 tons	EER			≥ 9.562	≥ 12.750	NAd	NAd			
Air cooled without condenser, electrical operated	All capacities	EER	≥ 10.586	≥ 11.782	Air-cooled chillers without condensers must be rated with matching condensers and comply with the air-cooled chiller efficiency requirements						
Water cooled, electrically operated, reciprocating	All capacities	kW/ton	≤ 0.837	≤ 0.696	Reciprocating units must comply with water cooled positive displacement efficiency requirements						
Water cooled, electrically operated, positive displacement	< 75 tons	kW/ton	≤ 0.790	≤ 0.676	≤ 0.780	≤ 0.630	≤ 0.800	≤ 0.600	AHRI 550/590		
	≥ 75 tons and < 150 tons	kW/ton			≤ 0.775	≤ 0.615	≤ 0.790	≤ 0.586			
	≥ 150 tons and < 300 tons	kW/ton	≤ 0.717	≤ 0.627	≤ 0.680	≤ 0.580	≤ 0.718	≤ 0.540			
	≥ 300 tons	kW/ton	≤ 0.639	≤ 0.571	≤ 0.620	≤ 0.540	≤ 0.639	≤ 0.490			
Water cooled, electrically operated, centrifugal	< 150 tons	kW/ton	≤ 0.703	≤ 0.669	≤ 0.634	≤ 0.596	≤ 0.639	≤ 0.450	AHRI 560		
	≥ 150 tons and < 300 tons	kW/ton	≤ 0.634	≤ 0.596							
	≥ 300 tons and < 600 tons	kW/ton	≤ 0.576	≤ 0.549	≤ 0.576	≤ 0.549	≤ 0.600	≤ 0.400			
	≥ 600 tons	kW/ton	≤ 0.576	≤ 0.549	≤ 0.570	≤ 0.539	≤ 0.590	≤ 0.400			
Air cooled, absorption single effect	All capacities	COP	≥ 0.600	NRe	≥ 0.600	NRe	NAd	NAd	AHRI 560		
Water-cooled, absorption single effect	All capacities	COP	≥ 0.700	NRe	≥ 0.700	NRe	NAd	NAd <th data-kind="ghost"></th>			
Absorption double effect, indirect-fired	All capacities	COP	≥ 1.000	≥ 1.050	≥ 1.000	≥ 1.050	NAd	NAd <th data-kind="ghost"></th>			
Absorption double effect, direct fired	All capacities	COP	≥ 1.000	≥ 1.000	≥ 1.000	≥ 1.000	NAd	NAd <th data-kind="ghost"></th>			

For SI: 1 ton = 907 kg, 1 British thermal unit per hour = 0.2931 W

- a. The chiller equipment requirements do not apply for chillers used in ICMT-temperature applications where the design leaving fluid temperature is < 40°F.
- b. Section 12 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.
- c. Compliance with this standard can be obtained by meeting the minimum requirements of Path A or B. However, both the full load and IPLV must be met to fulfill the requirements of Path A or B.
- d. NA means that this requirement is not applicable and cannot be used for compliance.
- e. NR means that there are no minimum requirements for this category.

503.2.4 HVAC system controls. Each heating and cooling system shall be provided with thermostatic controls as required in Section 503.2.4.1, 503.2.4.2, 503.2.4.3, 503.2.4.4, 503.4.1, 503.4.2, 503.4.3 or 503.4.4.

503.2.4.1 Thermostatic controls. The supply of heating and cooling energy to each zone shall be controlled by individual thermostatic controls capable of responding to temperature within the zone. Where humidification or dehumidification or both is provided, at least one humidity control device shall be provided for each humidity control system.

Exception: Independent perimeter systems that are designed to offset only building envelope heat losses or gains or both serving one or more perimeter zones also served by an interior system provided:

1. The perimeter system includes at least one thermostatic control zone for each building exposure having exterior walls facing only one orientation (within +1-45 degrees) (0.8 rad) for more than 50 contiguous feet (15.2 m); and
2. The perimeter system heating and cooling supply is controlled by a thermostat(s) located within the zone(s) served by the system.

503.2.4.1.1 Heat pump supplementary heat. Heat pumps having supplementary electric resistance heat shall have controls that, except during defrost, prevent supplementary heat operation when the heat pump can meet the heating load.

503.2.4.2 Set point overlap restriction. Where used to control both heating and cooling, *zone* thermostatic controls shall provide a temperature range or deadband of at least 5°F (2.8°C) within which the supply of heating and cooling energy to the zone is capable of being shut off or reduced to a minimum.

Exception: Thermostats requiring manual change-over between heating and cooling modes.

503.2.4.3 Off-hour controls. Each zone shall be provided with thermostatic setback controls that are controlled by either an automatic time clock or programmable control system.

Exceptions:

1. Zones that will be operated continuously.
2. Zones with a full HVAC load demand not exceeding 6,800 *Btuh* (2 kW) and having a readily accessible manual shutoff switch.

503.2.4.3.1 Thermostatic setback capabilities. Thermostatic setback controls shall have the capability to set back or temporarily operate the system to maintain zone temperatures down to 55°F (13°C) or up to 85°F (29°C).

503.2.4.3.2 Automatic setback and shutdown capabilities. Automatic time clock or programmable controls shall be capable of starting and stopping the system for seven different daily schedules per week and retaining their programming and time setting dur-

ing a loss of power for at least 10 hours. Additionally, the controls shall have a manual override that allows temporary operation of the system for up to 2 hours; a manually operated timer capable of being adjusted to operate the system for up to 2 hours; or an occupancy sensor.

503.2.4.4 Shutoff damper controls. Both outdoor air supply and exhaust ducts shall be equipped with motorized dampers that will automatically shut when the systems or spaces served are not in use.

Exceptions:

1. Gravity dampers shall be permitted in buildings less than three stories in height.
2. Gravity dampers shall be permitted for buildings of any height located in Climate Zones 1, 2 and 3.
3. Gravity dampers shall be permitted for outside air intake or exhaust airflows of 300 cfm (0.14 m^3/s) or less.

503.2.4.5 Snow melt system controls. Snow- and ice-melting systems, supplied through energy service to the building, shall include automatic controls capable of shutting off the system when the pavement temperature is above 50°F (10°C) and no precipitation is falling and an automatic or manual control that will allow shutoff when the outdoor temperature is above 40°F (4°C) so that the potential for snow or ice accumulation is negligible.

503.2.5 Ventilation. Ventilation, either natural or mechanical, shall be provided in accordance with Chapter 4 of the *International Mechanical Code*. Where mechanical ventilation is provided, the system shall provide the capability to reduce the outdoor air supply to the minimum required by Chapter 4 of the *International Mechanical Code*.

503.2.5.1 Demand controlled ventilation. Demand control ventilation (DCV) is required for spaces larger than 500 ft^2 (50 m^2) and with an average occupant load of 40 people per 1000 ft^2 (93 m^2) of floor area (as established in Table 403.3 of the *International Mechanical Code*) and served by systems with one or more of the following:

1. An air-side economizer;
2. Automatic modulating control of the outdoor air damper; or
3. A design outdoor airflow greater than 3,000 cfm (1400 *Lis*).

Exceptions:

1. Systems with energy recovery complying with Section 503.2.6.
2. Multiple-zone systems without direct digital control of individual zones communicating with a central control panel.
3. System with a design outdoor airflow less than 1,200 cfm (600 *Lis*).

4. Spaces where the supply airflow rate minus any makeup or outgoing transfer air requirement is less than 1,200 cfm (600 *L/s*).

503.2.6 Energy recovery ventilation systems. Individual fan systems that have both a design supply air capacity of 5,000 cfm ($2.36 \text{ m}^3/\text{s}$) or greater and a minimum outside air supply of 70 percent or greater of the design supply air quantity shall have an energy recovery system that provides a change in the enthalpy of the outdoor air supply of 50 percent or more of the difference between the outdoor air and return air at design conditions. Provision shall be made to bypass or control the energy recovery system to permit cooling with outdoor air where cooling with outdoor air is required.

Exception: An energy recovery ventilation system shall not be required in any of the following conditions:

1. Where energy recovery systems are prohibited by the *International Mechanical Code*.
2. Laboratory fume hood systems that include at least one of the following features:
 - 2.1. Variable-air-volume hood exhaust and room supply systems capable of reducing exhaust and makeup air volume to 50 percent or less of design values.
 - 2.2. Direct makeup (auxiliary) air supply equal to at least 75 percent of the exhaust rate, heated no warmer than 2°F (1.1°C) below room setpoint, cooled to no cooler than 30°F (1.7°C) above room setpoint, no humidification added, and no simultaneous heating and cooling used for dehumidification control.
3. Systems serving spaces that are not cooled and are heated to less than 60°F (15.5°C).
4. Where more than 60 percent of the outdoor heating energy is provided from site-recovered or site solar energy.
5. Heating systems in climates with less than 3,600 HDD.
6. Cooling systems in climates with a 1-percent cooling design wet-bulb temperature less than 64°F (18°C).
7. Systems requiring dehumidification that employ series-style energy recovery coils wrapped around the cooling coil.

503.2.7 Duct and plenum insulation and sealing. All supply and return air ducts and plenums shall be insulated with a minimum of R-5 insulation when located in unconditioned spaces and a minimum of R-8 insulation when located outside the building. When located within a building envelope assembly, the duct or plenum shall be separated

from the building exterior or unconditioned or exempt spaces by a minimum of R-8 insulation.

Exceptions:

1. When located within equipment.
2. When the design temperature difference between the interior and exterior of the duct or plenum does not exceed 15°F (8°C).

All ducts, air handlers and filter boxes shall be sealed. Joints and seams shall comply with Section 603.9 of the *International Mechanical Code*.

503.2.7.1 Duct construction. Ductwork shall be constructed and erected in accordance with the *International Mechanical Code*.

503.2.7.1.1 Low-pressure duct systems. All longitudinal and transverse joints, seams and connections of supply and return ducts operating at a static pressure less than or equal to 2 inches w.g. (500 Pa) shall be securely fastened and sealed with welds, gaskets, mastics (adhesives), mastic-plus-embedded-fabric systems or tapes installed in accordance with the manufacturer's installation instructions. Pressure classifications specific to the duct system shall be clearly indicated on the construction documents in accordance with the *International Mechanical Code*.

Exception: Continuously welded and locking-type longitudinal joints and seams on ducts operating at static pressures less than 2 inches w.g. (500 Pa) pressure classification.

503.2.7.1.2 Medium-pressure duct systems. All ducts and plenums designed to operate at a static pressure greater than 2 inches w.g. (500 Pa) but less than 3 inches w.g. (750 Pa) shall be insulated and sealed in accordance with Section 503.2.7. Pressure classifications specific to the duct system shall be clearly indicated on the construction documents in accordance with the *International Mechanical Code*.

503.2.7.1.3 High-pressure duct systems. Ducts designed to operate at static pressures in excess of 3 inches w.g. (746 Pa) shall be insulated and sealed in accordance with Section 503.2.7. In addition, ducts and plenums shall be leak-tested in accordance with the SMACNA *HVAC Air Duct Leakage Test Manual* with the rate of air leakage (*CL*) less than or equal to 6.0 as determined in accordance with Equation 5-2.

$$CL = F \times P^{0.65} \quad (\text{Equation 5-2})$$

where:

F The measured leakage rate in cfm per 100 square feet of duct surface.

P The static pressure of the test.

Documentation shall be furnished by the designer demonstrating that representative sections totaling at

least 25 percent of the duct area have been tested and that all tested sections meet the requirements of this section.

503.2.8 Piping insulation. All piping serving as part of a heating or cooling system shall be thermally insulated in accordance with Table 503.2.8.

Exceptions:

1. Factory-installed piping within HVAC equipment tested and rated in accordance with a test procedure referenced by this code.
2. Factory-installed piping within room fan-coils and unit ventilators tested and rated according to AHRI 440 (except that the sampling and variation provisions of Section 6.5 shall not apply) and 840, respectively.
3. Piping that conveys fluids that have a design operating temperature range between 55°F (13°C) and 105°F (41°C).
4. Piping that conveys fluids that have not been heated or cooled through the use of fossil fuels or electric power.
5. Runout piping not exceeding 4 feet (1219 mm) in length and 1 inch (25 mm) in diameter between the control valve and HVAC coil.

TABLE 503.2.8
MINIMUM PIPE INSULATION
(thickness in inches)

FLUID	NOMINAL PIPE DIAMETER	
	≤ 1.5"	> 1.5"
Steam	1½	3
Hot water	1½	2
Chilled water, brine or refrigerant	1½	1½

For SI: 1 inch = 25.4 mm.

- a. Based on insulation having a conductivity (*k*) not exceeding 0.27 Btu per in/h· ft² · °F.
- b. For insulation with a thermal conductivity not equal to 0.27 Btu· in/h· ft² · °F at a mean temperature of 75°F, the minimum required pipe thickness is adjusted using the following equation;

$$T = r / (1 + \pi r)^{K/k} - 1$$

where:

T Adjusted insulation thickness (in).

r Actual pipe radius (in).

Insulation thickness from applicable cell in table (in).

K New thermal conductivity at 75°F (Btu · in/lhr · ft² · °F).

k 0.27 Btu · in/hr · ft² · °F.

503.2.9 HVAC system completion. Prior to the issuance of a certificate of occupancy, the design professional shall provide evidence of system completion in accordance with Sections 503.2.9.1 through 503.2.9.3.

503.2.9.1 Air system balancing. Each supply air outlet and zone terminal device shall be equipped with means for air balancing in accordance with the requirements of Chapter 6 of the *International Mechanical Code*. Discharge dampers are prohibited on constant volume fans and variable volume fans with motors 10 horsepower (hp) (7.4 kW) and larger.

503.2.9.2 Hydronic system balancing. Individual hydronic heating and cooling coils shall be equipped with means for balancing and pressure test connections.

503.2.9.3 Manuals. The construction documents shall require that an operating and maintenance manual be provided to the building owner by the mechanical contractor. The manual shall include, at least, the following:

1. Equipment capacity (input and output) and required maintenance actions.
2. Equipment operation and maintenance manuals.
3. HVAC system control maintenance and calibration information, including wiring diagrams, schematics, and control sequence descriptions. Desired or field-determined setpoints shall be permanently recorded on control drawings, at control devices or, for digital control systems, in programming comments.
4. A complete written narrative of how each system is intended to operate.

503.2.10 Air system design and control. Each HVAC system having a total fan system motor nameplate horsepower (hp) exceeding 5 horsepower (hp) shall meet the provisions of Sections 503.2.10.1 through 503.2.10.2.

503.2.10.1 Allowable fan floor horsepower. Each HVAC system at fan system design conditions shall not exceed the allowable fan system motor nameplate hp (Option 1) or fan system bhp (Option 2) as shown in Table 503.2.10.1 (1). This includes supply fans, return/relief fans, and fan-powered terminal units associated with systems providing heating or cooling capability.

Exceptions:

1. Hospital and laboratory systems that utilize flow control devices on exhaust and/or return to maintain space pressure relationships necessary for occupant health and safety or environmental control shall be permitted to use variable volume fan power limitation.
2. Individual exhaust fans with motor nameplate horsepower of 1 hp or less.
3. Fans exhausting air from fume hoods. (Note: If this exception is taken, no related exhaust side credits shall be taken from Table 503.2.10.1 (2) and the Fume Exhaust Exception Deduction must be taken from Table 503.2.10.1 (2).)

TABLE 503.2.10.1(1)
FAN POWER LIMITATION

	LIMIT	CONSTANT VOLUME	VARIABLE VOLUME
Option 1: Fan system motor nameplate hp	Allowable nameplate motor hp	$hp \leq CFM_S * 0.0011$	$hp \leq CFM_S * 0.0015$
Option 2: Fan system bhp	Allowable fan system bhp	$bhp \leq CFM_S * 0.00094 + A$	$bhp \leq CFM_S * 0.0013 + A$

where:

CFM_S = The maximum design supply airflow rate to conditioned spaces served by the system in cubic feet per minute.

hp = The maximum combined motor nameplate horsepower.

Bhp = The maximum combined fan brake horsepower.

A = Sum of [$PDx CFM_D / 4131$].

where:

PD = Each applicable pressure drop adjustment from Table 503.2.10.1(2) in. w.c.

TABLE 503.2.10.1(2)
FAN POWER LIMITATION PRESSURE DROP ADJUSTMENT

DEVICE	ADJUSTMENT
Credits	
Fully ducted return and/or exhaust air systems	0.5 in w.c.
Return and/or exhaust airflow control devices	0.5 in w.c.
Exhaust filters, scrubbers or other exhaust treatment.	The pressure drop of device calculated at fan system design condition.
Particulate filtration credit: MERV 9 thru 12	0.5 in w.c.
Particulate filtration credit: MERV 13 thru 15	0.9 in w.c.
Particulate filtration credit: MERV 16 and greater and electronically enhanced filters	Pressure drop calculated at 2x clean filter pressure drop at fan system design condition.
Carbon and other gas-phase air cleaners	Clean filter pressure drop at fan system design condition.
Heat recovery device	Pressure drop of device at fan system design condition.
Evaporative humidifier/cooler in series with another cooling coil	Pressure drop of device at fan system design conditions
Sound attenuation section	0.15 in w.c.
Deductions	
Fume hood exhaust exception (required if Section 503.2.10.1, Exception 3, is taken)	-1.0 in w.c.

503.2.10.2 Motor nameplate horsepower. For each fan, the selected fan motor shall be no larger than the first available motor size greater than the brake horsepower (bhp). The fan brake horsepower (bhp) shall be indicated on the design documents to allow for compliance verification by the *code official*.

Exceptions:

- For fans less than 6 bhp, where the first available motor larger than the brake horsepower has a nameplate rating within 50 percent of the bhp, selection of the next larger nameplate motor size is allowed.
- For fans 6 bhp and larger, where the first available motor larger than the bhp has a nameplate rating within 30 percent of the bhp, selection of the next larger nameplate motor size is allowed.

503.2.11 Heating outside a building. Systems installed to provide heat outside a building shall be radiant systems.

Such heating systems shall be controlled by an occupancy sensing device or a timer switch, so that the system is automatically deenergized when no occupants are present.

503.3 Simple HVAC systems and equipment (Prescriptive). This section applies to buildings served by unitary or packaged HVAC equipment listed in Tables 503.2.3(1) through 503.2.3(5), each serving one zone and controlled by a single thermostat in the zone served. It also applies to two-pipe heating systems serving one or more zones, where no cooling system is installed.

This section does not apply to fan systems serving multiple zones, nonunitary or nonpackaged HVAC equipment and systems or hydronic or steam heating and hydronic cooling equipment and distribution systems that provide cooling or cooling and heating which are covered by Section 503.4.

503.3.1 Economizers. Supply air economizers shall be provided on each cooling system as shown in Table 503.3.1 (1).

Economizers shall be capable of providing 100-percent outdoor air, even if additional mechanical cooling is required to meet the cooling load of the building. Systems shall provide a means to relieve excess outdoor air during economizer operation to prevent overpressurizing the building. The relief air outlet shall be located to avoid recirculation into the building. Where a single room or space is supplied by multiple air systems, the aggregate capacity of those systems shall be used in applying this requirement.

Exceptions:

1. Where the cooling equipment is covered by the minimum efficiency requirements of Table 503.2.3(1) or 503.2.3(2) and meets or exceeds the minimum cooling efficiency requirement (EER) by the percentages shown in Table 503.3.1 (2).
2. Systems with air or evaporatively cooled condensers and which serve spaces with open case refrigeration or that require filtration equipment in order to meet the minimum ventilation requirements of Chapter 4 of the *International Mechanical Code*.

TABLE 503.3.1 (1)
ECONOMIZER REQUIREMENTS

CLIMATE ZONES	ECONOMIZER REQUIREMENT
1A, IB, 2A, 7, 8	No requirement
2B, 3A, 3B, 3C, 4A, 4B, 4C, SA, 5B, 5C, 6A, 6B	Economizers on all cooling systems ≥ 54,000 Btu/h ^a

For SI: 1 British thermal unit per hour = 0.293 W

- a. The total capacity of all systems without economizers shall not exceed 480,000 Btu/h per building, or 20 percent of its air economizer capacity, whichever is greater.

TABLE 503.3.1 (2)
EQUIPMENT EFFICIENCY PERFORMANCE
EXCEPTION FOR ECONOMIZERS

CLIMATE ZONES	COOLING EQUIPMENT PERFORMANCE IMPROVEMENT (EER OR IPLV)
2B	10% Efficiency Improvement
3B	15% Efficiency Improvement
4B	20% Efficiency Improvement

503.3.2 Hydronic system controls. Hydronic systems of at least 300,000 Btu/h (87,930 W) design output capacity supplying heated and chilled water to comfort conditioning systems shall include controls that meet the requirements of Section 503.4.3.

503.4 Complex HVAC systems and equipment. (Prescriptive). This section applies to buildings served by HVAC equipment and systems not covered in Section 503.3.

503.4.1 Economizers. Supply air economizers shall be provided on each cooling system according to Table 503.3.1 (1). Economizers shall be capable of operating at

100 percent outside air, even if additional mechanical cooling is required to meet the cooling load of the building.

Exceptions:

1. Systems utilizing water economizers that are capable of cooling supply air by direct or indirect evaporation or both and providing 100 percent of the expected system cooling load at outside air temperatures of 50°F (10°C) dry bulb/45°F (7°C) wet bulb and below.
2. Where the cooling equipment is covered by the minimum efficiency requirements of Table 503.2.3(1), 503.2.3(2), or 503.2.3(6) and meets or exceeds the minimum EER by the percentages shown in Table 503.3.1 (2)
3. Where the cooling equipment is covered by the minimum efficiency requirements of Table 503.2.3(7) and meets or exceeds the minimum integrated part load value (IPLV) by the percentages shown in Table 503.3.1 (2).

503.4.2 Variable air volume (VAV) fan control. Individual VAV fans with motors of 10 horsepower (7.5 kW) or greater shall be:

1. Driven by a mechanical or electrical variable speed drive; or
2. The fan motor shall have controls or devices that will result in fan motor demand of no more than 30 percent of their design wattage at 50 percent of design airflow when static pressure set point equals one-third of the total design static pressure, based on manufacturer's certified fan data.

For systems with direct digital control of individual zone boxes reporting to the central control panel, the static pressure set point shall be reset based on the zone requiring the most pressure, i.e., the set point is reset lower until one zone damper is nearly wide open.

503.4.3 Hydronic systems controls. The heating of fluids that have been previously mechanically cooled and the cooling of fluids that have been previously mechanically heated shall be limited in accordance with Sections 503.4.3.1 through 503.4.3.3. Hydronic heating systems comprised of multiple-packaged boilers and designed to deliver conditioned water or steam into a common distribution system shall include automatic controls capable of sequencing operation of the boilers. Hydronic heating systems comprised of a single boiler and greater than 500,000 Btu/h input design capacity shall include either a multistaged or modulating burner.

503.4.3.1 Three-pipe system. Hydronic systems that use a common return system for both hot water and chilled water are prohibited.

503.4.3.2 Two-pipe changeover system. Systems that use a common distribution system to supply both heated and chilled water shall be designed to allow a dead band between changeover from one mode to the other of at least 15°F (8.3°C) outside air temperatures; be designed to and provided with controls that will allow operation in

one mode for at least 4 hours before changing over to the other mode; and be provided with controls that allow heating and cooling supply temperatures at the change-over point to be no more than 30°F (16.7°C) apart.

503.4.3.3 Hydronic (water loop) heat pump systems. Hydronic heat pump systems shall comply with Sections 503.4.3.3.1 through 503.4.3.3.3.

503.4.3.3.1 Temperature dead band. Hydronic heat pumps connected to a common heat pump water loop with central devices for heat rejection and heat addition shall have controls that are capable of providing a heat pump water supply temperature dead band of at least 20°F (11.1°C) between initiation of heat rejection and heat addition by the central devices.

Exception: Where a system loop temperature optimization controller is installed and can determine the most efficient operating temperature based on realtime conditions of demand and capacity, dead bands of less than 20°F (11°C) shall be permitted.

503.4.3.3.2 Heat rejection. Heat rejection equipment shall comply with Sections 503.4.3.3.2.1 and 503.4.3.3.2.2.

Exception: Where it can be demonstrated that a heat pump system will be required to reject heat throughout the year.

503.4.3.3.2.1 Climate Zones 3 and 4. For Climate Zones 3 and 4 as indicated in Figure 301.1 and Table 301.1:

1. If a closed-circuit cooling tower is used directly in the heat pump loop, either an automatic valve shall be installed to bypass all but a minimal flow of water around the tower, or lower leakage positive closure dampers shall be provided.
2. If an open-circuit tower is used directly in the heat pump loop, an automatic valve shall be installed to bypass all heat pump water flow around the tower.
3. If an open- or closed-circuit cooling tower is used in conjunction with a separate heat exchanger to isolate the cooling tower from the heat pump loop, then heat loss shall be controlled by shutting down the circulation pump on the cooling tower loop.

503.4.3.3.2.2 Climate Zones 5 through 8. For climate Zones 5 through 8 as indicated in Figure 301.1 and Table 301.1, if an open- or closed-circuit cooling tower is used, then a separate heat exchanger shall be required to isolate the cooling tower from the heat pump loop, and heat loss shall be controlled by shutting down the circulation pump on the cooling tower loop and providing an automatic valve to stop the flow of fluid.

503.4.3.3.3 Two position valve. Each hydronic heat pump on the hydronic system having a total pump system power exceeding 10 horsepower (hp) (7.5 kW) shall have a two-position valve.

503.4.3.4 Part load controls. Hydronic systems greater than or equal to 300,000 Btu/h (87 930 W) in design output capacity supplying heated or chilled water to comfort conditioning systems shall include controls that have the capability to:

1. Automatically reset the supply-water temperatures using zone-return water temperature, building-return water temperature, or outside air temperature as an indicator of building heating or cooling demand. The temperature shall be capable of being reset by at least 25 percent of the design supply-to-return water temperature difference; or
2. Reduce system pump flow by at least 50 percent of design flow rate utilizing adjustable speed drive(s) on pump(s), or multiple-staged pumps where at least one-half of the total pump horsepower is capable of being automatically turned off or control valves designed to modulate or step down, and close, as a function of load, or other *approved* means.

503.4.3.5 Pump isolation. Chilled water plants including more than one chiller shall have the capability to reduce flow automatically through the chiller plant when a chiller is shut down. Chillers piped in series for the purpose of increased temperature differential shall be considered as one chiller.

Boiler plants including more than one boiler shall have the capability to reduce flow automatically through the boiler plant when a boiler is shut down.

503.4.4 Heat rejection equipment fan speed control. Each fan powered by a motor of 7.5 hp (5.6 kW) or larger shall have the capability to operate that fan at two-thirds of full speed or less, and shall have controls that automatically change the fan speed to control the leaving fluid temperature or condensing temperature/pressure of the heat rejection device.

Exception: Factory-installed heat rejection devices within HVAC equipment tested and rated in accordance with Tables 503.2.3(6) and 503.2.3(7).

503.4.5 Requirements for complex mechanical systems serving multiple zones. Sections 503.4.5.1 through 503.4.5.3 shall apply to complex mechanical systems serving multiple zones. Supply air systems serving multiple zones shall be VAV systems which, during periods of occupancy, are designed and capable of being controlled to reduce primary air supply to each *zone* to one of the following before reheating, recooling or mixing takes place:

1. Thirty percent of the maximum supply air to each *zone*.

2. Three hundred cfm (142 *Lis*) or less where the maximum flow rate is less than 10 percent of the total fan system supply airflow rate.
3. The minimum ventilation requirements of Chapter 4 of the *International Mechanical Code*.

Exception: The following define when individual zones or when entire air distribution systems are exempted from the requirement for VAV control:

1. Zones where special pressurization relationships or cross-contamination requirements are such that VAV systems are impractical.
2. Zones or supply air systems where at least 75 percent of the energy for reheating or for providing warm air in mixing systems is provided from a site-recovered or site-solar energy source.
3. Zones where special humidity levels are required to satisfy process needs.
4. Zones with a peak supply air quantity of 300 cfm (142 *Lis*) or less and where the flow rate is less than 10 percent of the total fan system supply airflow rate.
5. Zones where the volume of air to be reheated, re-cooled or mixed is no greater than the volume of outside air required to meet the minimum ventilation requirements of Chapter 4 of the *International Mechanical Code*.
6. Zones or supply air systems with thermostatic and humidistatic controls capable of operating in sequence the supply of heating and cooling energy to the zone(s) and which are capable of preventing reheating, recooling, mixing or simultaneous supply of air that has been previously cooled, either mechanically or through the use of economizer systems, and air that has been previously mechanically heated.

503.4.5.1 Single duct variable air volume (VAV) systems, terminal devices. Single duct VAV systems shall use terminal devices capable of reducing the supply of primary supply air before reheating or recooling takes place.

503.4.5.2 Dual duct and mixing VAV systems, terminal devices. Systems that have one warm air duct and one cool air duct shall use terminal devices which are capable of reducing the flow from one duct to a minimum before mixing of air from the other duct takes place.

503.4.5.3 Single fan dual duct and mixing VAV systems, economizers. Individual dual duct or mixing heating and cooling systems with a single fan and with total capacities greater than 90,000 *Btulh* [(26 375 W) 7.5 tons] shall not be equipped with air economizers.

503.4.5.4 Supply-air temperature reset controls. Multiple zone HVAC systems shall include controls that automatically reset the supply-air temperature in response to representative building loads, or to outdoor air temperature. The controls shall be capable of reset-

ting the supply air temperature at least 25 percent of the difference between the design supply-air temperature and the design room air temperature.

Exceptions:

1. Systems that prevent reheating, recooling or mixing of heated and cooled supply air.
2. Seventy five percent of the energy for reheating is from site-recovered or site solar energy sources.
3. Zones with peak supply air quantities of 300 cfm (142 *Lis*) or less.

503.4.6 Heat recovery for service water heating. Condenser heat recovery shall be installed for heating or reheating of service hot water provided the facility operates 24 hours a day, the total installed heat capacity of water-cooled systems exceeds 6,000,000 *BtuLhr* of heat rejection, and the design service water heating load exceeds 1,000,000 *Btu/h*.

The required heat recovery system shall have the capacity to provide the smaller of:

1. Sixty percent of the peak heat rejection load at design conditions; or
2. The preheating required to raise the peak service hot water draw to 85°F (29°C).

Exceptions:

1. Facilities that employ condenser heat recovery for space heating or reheat purposes with a heat recovery design exceeding 30 percent of the peak water-cooled condenser load at design conditions.
2. Facilities that provide 60 percent of their service water heating from site solar or site recovered energy or from other sources.

SECTION 504 SERVICE WATER HEATING (Mandatory)

504.1 General. This section covers the minimum efficiency of, and controls for, service water-heating equipment and insulation of service hot water piping.

504.2 Service water-heating equipment performance efficiency. Water-heating equipment and hot water storage tanks shall meet the requirements of Table 504.2. The efficiency shall be verified through data furnished by the manufacturer or through certification under an *approved* certification program.

504.3 Temperature controls. Service water-heating equipment shall be provided with controls to allow a setpoint of 110°F (43°C) for equipment serving dwelling units and 90°F (32°C) for equipment serving other occupancies. The outlet temperature of lavatories in public facility rest rooms shall be limited to 110°F (43°C).

504.4 Heat traps. Water-heating equipment not supplied with integral heat traps and serving noncirculating systems shall be provided with heat traps on the supply and discharge piping associated with the equipment.

**TABLE 504.2
MINIMUM PERFORMANCE OF WATER-HEATING EQUIPMENT**

EQUIPMENT TYPE	SIZE CATEGORY (input)	SUBCATEGORY OR RATING CONDITION	PERFORMANCE REQUIRED ^{a, b}	TEST PROCEDURE
Water heaters, Electric	≤ 12 kW	Resistance	0.97 - 0.00132 V , EF	DOE 10 CFR Part 430
	> 12 kW	Resistance	1.73 V + 155 SL, Btu/h	ANSI Z21.10.3
	≤ 24 amps and ≤ 250 volts	Heat pump	0.93 - 0.00132 V , EF	DOE 10 CFR Part 430
Storage water heaters, Gas	≤ 75,000 Btu/h	≥ 20 gal	0.67 - 0.0019 V , EF	DOE 10 CFR Part 430
	> 75,000 Btu/h and ≤ 155,000 Btu/h	< 4,000 Btu/h/gal	$\frac{80\% E_t}{(Q/800 + 110\sqrt{V})} SL, Btu/h$	ANSI Z21.10.3
	> 155,000 Btu/h	< 4,000 Btu/h/gal	$\frac{80\% E_t}{(Q/800 + 110\sqrt{V})} SL, Btu/h$	
Instantaneous water heaters, Gas	> 50,000 Btu/h and < 200,000 Btu/h	≥ 4,000 (Btu/h)/gal and < 2 gal	0.62 - 0.0019 V , EF	DOE 10 CFR Part 430
	≥ 200,000 Btu/h	≥ 4,000 Btu/h/gal and < 10 gal	$80\% E_t$	ANSI Z21.10.3
	≥ 200,000 Btu/h	≥ 4,000 Btu/h/gal and ≥ 10 gal	$\frac{80\% E_t}{(Q/800 + 110\sqrt{V})} SL, Btu/h$	
Storage water heaters, Oil	≤ 105,000 Btu/h	≥ 20 gal	0.59 - 0.0019 V , EF	DOE 10 CFR Part 430
	> 105,000 Btu/h	< 4,000 Btu/h/gal	$\frac{78\% E_t}{(Q/800 + 110\sqrt{V})} SL, Btu/h$	ANSI Z21.10.3
Instantaneous water heaters, Oil	≤ 210,000 Btu/h	≥ 4,000 Btu/h/gal and < 2 gal	0.59 - 0.0019 V , EF	DOE 10 CFR Part 430
	> 210,000 Btu/h	≥ 4,000 Btu/h/gal and < 10 gal	$80\% E_t$	ANSI Z21.10.3
	> 210,000 Btu/h	≥ 4,000 Btu/h/gal and ≥ 10 gal	$\frac{78\% E_t}{(Q/800 + 110\sqrt{V})} SL, Btu/h$	
Hot water supply boilers, Gas and Oil	≥ 300,000 Btu/h and < 12,500,000 Btu/h	≥ 4,000 Btu/h/gal and < 10 gal	$80\% E_t$	ANSI Z21.10.3
Hot water supply boilers, Gas	≥ 300,000 Btu/h and < 12,500,000 Btu/h	≥ 4,000 Btu/h/gal and ≥ 10 gal	$\frac{80\% E_t}{(Q/800 + 110\sqrt{V})} SL, Btu/h$	
Hot water supply boilers, Oil	> 300,000 Btu/h and < 12,500,000 Btu/h	> 4,000 Btu/h/gal and > 10 gal	$\frac{78\% E_t}{(Q/800 + 110\sqrt{V})} SL, Btu/h$	
Pool heaters, Gas and Oil	All	-	$78\% E_t$	ASHRAE 146
Heat pump pool heaters	All	-	4.0 COP	AHRI 1160
Unfired storage tanks	All	-	Minimum insulation requirement R-12.5 (h · ft² · °F)/Btu	(none)

For SI: °C = [(OF - 32)/1.8, 1 British thermal unit per hour = 0.2931 W, 1 gallon = 3.785 L, 1 British thermal unit per hour per gallon = 0.078 W/L.

a. Energy factor (EF) and thermal efficiency (E_t) are minimum requirements. In the EF equation, V is the rated volume in gallons.

b. Standby loss (SL) is the maximum Btu/h based on a nominal 70°F temperature difference between stored water and ambient requirements. In the SL equation, Q is the nameplate input rate in Btu/h. In the SL equation for electric water heaters, V is the rated volume in gallons. In the SL equation for oil and gas water heaters and boilers, V is the rated volume in gallons.

c. Instantaneous water heaters with input rates below 200,000 Btu/h must comply with these requirements if the water heater is designed to heat water to temperatures 180°F or higher.

504.5 Pipe insulation. For automatic-circulating hot water systems, piping shall be insulated with 1 inch (25 mm) of insulation having a conductivity not exceeding 0.27 Btu per inch/h × ft² × of (1.53 W per 25 mm/m² × K). The first 8 feet (2438 mm) of piping in noncirculating systems served by equipment without integral heat traps shall be insulated with 0.5 inch (12.7 mm) of material having a conductivity not exceeding 0.27 Btu per inch/h × ft² × of (1.53 W per 25 mm/m² × K).

504.6 Hot water system controls. Automatic-circulating hot water system pumps or heat trace shall be arranged to be conveniently turned off automatically or manually when the hot water system is not in operation.

504.7 Pools. Pools shall be provided with energy conserving measures in accordance with Sections 504.7.1 through 504.7.3.

504.7.1 Pool heaters. All pool heaters shall be equipped with a readily *accessible* on-off switch to allow shutting off the heater without adjusting the thermostat setting. Pool heaters fired by natural gas or LPG shall not have continuously burning pilot lights.

504.7.2 Time switches. Time switches that can automatically turn off and on heaters and pumps according to a preset schedule shall be installed on swimming pool heaters and pumps.

Exceptions:

1. Where public health standards require 24-hour pump operation.
2. Where pumps are required to operate solar-and waste-heat-recovery pool heating systems.

504.7.3 Pool covers. Heated pools shall be equipped with a vapor retardant pool cover on or at the water surface. Pools heated to more than 50°F (32°C) shall have a pool cover with a minimum insulation value of R-12.

Exception: Pools deriving over 60 percent of the energy for heating from site-recovered energy or solar energy source.

SECTION 505 ELECTRICAL POWER AND LIGHTING SYSTEMS (Mandatory)

505.1 General (Mandatory). This section covers lighting system controls, the connection of ballasts, the maximum lighting power for interior applications and minimum acceptable lighting equipment for exterior applications.

Exception: Lighting within dwelling units where 50 percent or more of the permanently installed interior light fixtures are fitted with high-efficacy lamps.

505.2 Lighting controls (Mandatory). Lighting systems shall be provided with controls as required in Sections 505.2.1, 505.2.2, 505.2.3 and 505.2.4.

505.2.1 Interior lighting controls. Each area enclosed by walls or floor-to-ceiling partitions shall have at least one manual control for the lighting serving that area. The required controls shall be located within the area served by

the controls or be a remote switch that identifies the lights served and indicates their status.

Exceptions:

1. Areas designated as security or emergency areas that must be continuously lighted.
2. Lighting in stairways or corridors that are elements of the means of egress.

505.2.2 Additional controls. Each area that is required to have a manual control shall have additional controls that meet the requirements of Sections 505.2.2.1 and 505.2.2.2.

505.2.2.1 Light reduction controls. Each area that is required to have a manual control shall also allow the occupant to reduce the connected lighting load in a reasonably uniform illumination pattern by at least 50 percent. Lighting reduction shall be achieved by one of the following or other *approved* method:

1. Controlling all lamps or luminaires;
2. Dual switching of alternate rows of luminaires, alternate luminaires or alternate lamps;
3. Switching the middle lamp luminaires independently of the outer lamps; or
4. Switching each luminaire or each lamp.

Exceptions:

1. Areas that have only one luminaire.
2. Areas that are controlled by an occupant-sensing device.
3. Corridors, storerooms, restrooms or public lobbies.
4. *Sleeping unit* (see Section 505.2.3).
5. Spaces that use less than 0.6 watts per square foot (6.5 W/m²).

505.2.2.2 Automatic lighting shutoff. Buildings larger than 5,000 square feet (465 m²) shall be equipped with an automatic control device to shut off lighting in those areas. This automatic control device shall function on either:

1. A scheduled basis, using time-of-day, with an independent program schedule that controls the interior lighting in areas that do not exceed 25,000 square feet (2323 m²) and are not more than one floor; or
2. An occupant sensor that shall turn lighting off within 30 minutes of an occupant leaving a space; or
3. A signal from another control or alarm system that indicates the area is unoccupied.

Exception: The following shall not require an automatic control device:

1. *Sleeping unit* (see Section 505.2.3).
2. Lighting in spaces where patient care is directly provided.

3. Spaces where an automatic shutoff would endanger occupant safety or security.

505.2.2.2.1 Occupant override. Where an automatic time switch control device is installed to comply with Section 505.2.2.2, Item 1, it shall incorporate an override switching device that:

1. Is readily accessible.
2. Is located so that a person using the device can see the lights or the area controlled by that switch, or so that the area being lit is announced.
3. Is manually operated.
4. Allows the lighting to remain on for no more than 2 hours when an override is initiated.
5. Controls an area not exceeding 5,000 square feet (465 m^2).

Exceptions:

1. In malls and arcades, auditoriums, single-tenant retail spaces, industrial facilities and arenas, where captive-key override is utilized, override time shall be permitted to exceed 2 hours.
2. In malls and arcades, auditoriums, single-tenant retail spaces, industrial facilities and arenas, the area controlled shall not exceed 20,000 square feet (1860 m^2).

505.2.2.2.2 Holiday scheduling. If an automatic time switch control device is installed in accordance with Section 505.2.2.2, Item 1, it shall incorporate an automatic holiday scheduling feature that turns off all loads for at least 24 hours, then resumes the normally scheduled operation.

Exception: Retail stores and associated malls, restaurants, grocery stores, places of religious worship and theaters.

505.2.2.3 Daylight zone control. Daylight zones, as defined by this code, shall be provided with individual controls that control the lights independent of general area lighting. Contiguous daylight zones adjacent to vertical fenestration are allowed to be controlled by a single controlling device provided that they do not include zones facing more than two adjacent cardinal orientations (i.e., north, east, south, west). Daylight zones under skylights more than 15 feet (4572 mm) from the perimeter shall be controlled separately from daylight zones adjacent to vertical fenestration.

Exception: Daylight spaces enclosed by walls or ceiling height partitions and containing two or fewer light fixtures are not required to have a separate switch for general area lighting.

505.2.3 Sleeping unit controls. *Sleeping units* in hotels, motels, boarding houses or similar buildings shall have at least one master switch at the main entry door that controls all permanently wired luminaires and switched receptacles, except those in the bathroom(s). Suites shall have a control

meeting these requirements at the entry to each room or at the primary entry to the suite.

505.2.4 Exterior lighting controls. Lighting not designated for dusk-to-dawn operation shall be controlled by either a combination of a photosensor and a time switch, or an astronomical time switch. Lighting designated for dusk-to-dawn operation shall be controlled by an astronomical time switch or photosensor. All time switches shall be capable of retaining programming and the time setting during loss of power for a period of at least 10 hours.

505.3 Tandem wiring (Mandatory). The following luminaires located within the same area shall be tandem wired:

1. Fluorescent luminaires equipped with one, three or odd-numbered lamp configurations, that are recess-mounted within 10 feet (3048 mm) center-to-center of each other.
2. Fluorescent luminaires equipped with one, three or any odd-numbered lamp configuration, that are pendant- or surface-mounted within 1 foot (305 mm) edge- to-edge of each other.

Exceptions:

1. Where electronic high-frequency ballasts are used.
2. Luminaires on emergency circuits.
3. Luminaires with no available pair in the same area.

505.4 Exit signs (Mandatory). Internally illuminated exit signs shall not exceed 5 watts per side.

505.5 Interior lighting power requirements (Prescriptive). A building complies with this section if its total connected lighting power calculated under Section 505.5.1 is no greater than the interior lighting power calculated under Section 505.5.2.

505.5.1 Total connected interior lighting power. The total connected interior lighting power (watts) shall be the sum of the watts of all interior lighting equipment as determined in accordance with Sections 505.5.1.1 through 505.5.1.4.

Exceptions:

1. The connected power associated with the following lighting equipment is not included in calculating total connected lighting power.
 - 1.1. Professional sports arena playing field lighting.
 - 1.2. *Sleeping unit* lighting in hotels, motels, boarding houses or similar buildings.
 - 1.3. Emergency lighting automatically off during normal building operation.
 - 1.4. Lighting in spaces specifically designed for use by occupants with special lighting needs including the visually impaired visual impairment and other medical and age-related issues.
 - 1.5. Lighting in interior spaces that have been specifically designated as a registered interior historic landmark.

- 1.6. Casino gaming areas.
2. Lighting equipment used for the following shall be exempt provided that it is in addition to general lighting and is controlled by an independent control device:
 - 2.1. Task lighting for medical and dental purposes.
 - 2.2. Display lighting for exhibits in galleries, museums and monuments.
3. Lighting for theatrical purposes, including performance, stage, film production and video production.
4. Lighting for photographic processes.
5. Lighting integral to equipment or instrumentation and is installed by the manufacturer.
6. Task lighting for plant growth or maintenance.
7. Advertising signage or directional signage.
8. In restaurant buildings and areas, lighting for food warming or integral to food preparation equipment.
9. Lighting equipment that is for sale.
10. Lighting demonstration equipment in lighting education facilities.
11. Lighting *approved* because of safety or emergency considerations, inclusive of exit lights.
12. Lighting integral to both open and glass-enclosed refrigerator and freezer cases.
13. Lighting in retail display windows, provided the display area is enclosed by ceiling-height partitions.
14. Furniture mounted supplemental task lighting that is controlled by automatic shutoff.

505.5.1.1 Screw lamp holders. The wattage shall be the maximum *labeled* wattage of the luminaire.

505.5.1.2 Low-voltage lighting. The wattage shall be the specified wattage of the transformer supplying the system.

505.5.1.3 Other luminaires. The wattage of all other lighting equipment shall be the wattage of the lighting equipment verified through data furnished by the manufacturer or other *approved* sources.

505.5.1.4 Line-voltage lighting track and plug-in busway. The wattage shall be:

1. The specified wattage of the luminaires included in the system with a minimum of 30 W/*lin. ft.* (98 W/*lin. m*);
2. The wattage limit of the system's circuit breaker; or
3. The wattage limit of other permanent current limiting device(s) on the system.

505.5.2 Interior lighting power. The total interior lighting power (watts) is the sum of all interior lighting powers for all areas in the building covered in this permit. The interior lighting power is the floor area for each building area type listed in Table 505.5.2 times the value from Table 505.5.2 for that area. For the purposes of this method, an "area" shall be defined as all contiguous spaces that accommodate or are associated with a single building area type as *listed* in Table 505.5.2. When this method is used to calculate the total interior lighting power for an entire building, each building area type shall be treated as a separate area.

TABLE 505.5.2
INTERIOR LIGHTING POWER ALLOWANCES

LIGHTING POWER DENSITY	
Building Area Type ^a	(W/ft ²)
Automotive Facility	0.9
Convention Center	1.2
Court House	1.2
Dining: Bar Lounge/Leisure	1.3
Dining: Cafeteria/Fast Food	1.4
Dining: Family	1.6
Dormitory	1.0
Exercise Center	1.0
Gymnasium	1.1
Healthcare-clinic	1.0
Hospital	1.2
Hotel	1.0
Library	1.3
Manufacturing Facility	1.3
Motel	1.0
Motion Picture Theater	1.2
Multifamily	0.7
Museum	1.1
Office	1.0
Parking Garage	0.3
Penitentiary	1.0
Performing Arts Theater	1.6
Police/Fire Station	1.0
Post Office	1.1
Religious Building	1.3
Retail ^b	1.5
School/University	1.2
Sports Arena	1.1
Town Hall	1.1

(continued)

TABLE 505.5.2-continued
INTERIOR LIGHTING POWER ALLOWANCES

LIGHTING POWER DENSITY	
Building Area Type ^a	(W/ft ²)
Transportation	1.0
Warehouse	0.8
Workshop	1.4

For SI: 1 foot = 304.8 mm, 1 watt per square foot = $W/0.0929\text{ m}^2$.

- a. In cases where both a general building area type and a more specific building area type are listed, the more specific building area type shall apply.
- b. Where lighting equipment is specified to be installed to highlight specific merchandise in addition to lighting equipment specified for general lighting and is switched or dimmed on circuits different from the circuits for general lighting, the smaller of the actual wattage of the lighting equipment installed specifically for merchandise, or additional lighting power as determined below shall be added to the interior lighting power determined in accordance with this line item.

Calculate the additional lighting power as follows:

Additional Interior Lighting Power Allowance = 1000 watts + (Retail Area 1 x $0.6W/\text{ft}^2$) + (Retail Area 2 x $0.6W/\text{ft}^2$) + (Retail Area 3 x $1.4W/\text{ft}^2$) + (Retail Area 4 x $2.5W/\text{ft}^2$).

where:

Retail Area 1 = The floor area for all products not listed in Retail Area 2, 3 or 4.

Retail Area 2 = The floor area used for the sale of vehicles, sporting goods and small electronics.

Retail Area 3 = The floor area used for the sale of furniture, clothing, cosmetics and artwork.

Retail Area 4 = The floor area used for the sale of jewelry, crystal and china.

Exception: Other merchandise categories are permitted to be included in Retail Areas 2 through 4 above, provided that justification documenting the need for additional lighting power based on visual inspection, contrast, or other critical display is *approved* by the authority having jurisdiction.

505.6 Exterior lighting. (Mandatory). When the power for exterior lighting is supplied through the energy service to the building, all exterior lighting, other than low-voltage landscape lighting, shall comply with Sections 505.6.1 and 505.6.2.

Exception: Where *approved* because of historical, safety, signage or emergency considerations.

505.6.1 Exterior building grounds lighting. All exterior building grounds luminaires that operate at greater than 100 watts shall contain lamps having a minimum efficacy of 60 lumens per watt unless the luminaire is controlled by a motion sensor or qualifies for one of the exceptions under Section 505.6.2.

505.6.2 Exterior building lighting power. The total exterior lighting power allowance for all exterior building applications is the sum of the base site allowance plus the individual allowances for areas that are to be illuminated and are permitted in Table 505.6.2(2) for the applicable lighting zone. Tradeoffs are allowed only among exterior lighting applications listed in Table 505.6.2(2). Tradable Surfaces section. The lighting zone for the building exterior is determined from Table 505.6.2(1) unless otherwise specified by the local jurisdiction. Exterior lighting for all applications (except those included in the exceptions to Section

505.6.2) shall comply with the requirements of Section 505.6.1.

Exceptions: Lighting used for the following exterior applications is exempt when equipped with a control device independent of the control of the nonexempt lighting:

1. Specialized signal, directional and marker lighting associated with transportation;
2. Advertising signage or directional signage;
3. Integral to equipment or instrumentation and is installed by its manufacturer;
4. Theatrical purposes, including performance, stage, film production and video production;
5. Athletic playing areas;
6. Temporary lighting;
7. Industrial production, material handling, transportation sites and associated storage areas;
8. Theme elements in theme/amusement parks; and
9. Used to highlight features of public monuments and registered historic landmark structures or buildings.

TABLE 505.6.2(1)
EXTERIOR LIGHTING ZONES

LIGHTING ZONE	DESCRIPTION
1	Developed areas of national parks, state parks, forest land, and rural areas
2	Areas predominantly consisting of residential zoning, neighborhood business districts, light industrial with limited nighttime use and residential mixed use areas
3	All other areas
4	High-activity commercial districts in major metropolitan areas as designated by the local land use planning authority

505.7 Electrical energy consumption. (Mandatory). In buildings having individual dwelling units, provisions shall be made to determine the electrical energy consumed by each tenant by separately metering individual dwelling units.

SECTION 506 TOTAL BUILDING PERFORMANCE

506.1 Scope. This section establishes criteria for compliance using total building performance. The following systems and loads shall be included in determining the total building performance: heating systems, cooling systems, service water heating' fan systems, lighting power, receptacle loads and process loads.

506.2 Mandatory requirements. Compliance with this section requires that the criteria of Sections 502.4, 503.2, 504 and 505 be met.

TABLE 505.6.2
LIGHTING POWER DENSITIES FOR BUILDING EXTERIORS

APPLICATIONS	LIGHTING POWER DENSITIES
Tradable Surfaces (Lighting Power Densities for uncovered parking areas, building grounds, building entrances and exits, canopies and overhangs, and outdoor sales areas may be traded.)	
Uncovered Parking Areas	
Parking Lots and drives	0.15 W/ft ²
Building Grounds	
Walkways less than 10 feet wide	1.0 watts/linear foot
Walkways 10 feet wide or greater, plaza areas and special feature areas	0.2 W/ft ²
Stairways	1.0 W/ft ²
Building Entrances and Exits	
Main entries	30 watts/linear foot of door width
Other doors	20 watts/linear foot of door width
Canopies and Overhangs	
Canopies (free standing & attached and overhangs)	1.25 W/ft ²
Outdoor Sales	
Open areas (including vehicle sales lots)	0.5 W/ft ²
Street frontage for vehicle sales lots in addition to "open area" allowance	20 watts/linear foot
Nontradable Surfaces (Lighting Power Density calculations for the following applications can be used only for the specific application and cannot be traded between surfaces or with other exterior lighting. The following allowances are in addition to any allowance otherwise permitted in the Tradable Surfaces section of this table.)	
Building facades	0.2 W/ft ² for each illuminated wall or surface or 5.0 Watts/linear foot for each illuminated wall or surface length
Automated teller machines and night depositories	270 watts per location plus 90 watts per additional ATM per location
Entrances and gatehouse inspection stations at guarded facilities	1.25 W/ft ² of uncovered area (covered areas are included in the Canopies and Overhangs section of Tradable Surfaces)
Loading areas for law enforcement, fire, ambulance and other emergency service vehicles	0.5 W/ft ² of uncovered area (covered areas are included in the Canopies and Overhangs section of Tradable Surfaces)
Drive-up windows at fast food restaurants	400 watts per drive-through
Parking near 24-hour retail entrances	800 watts per main entry

For 51: 1 foot = 304.8 mm, 1 watt per square foot = W/0.0929 m².

TABLE 505.6.2(2)
INDIVIDUAL LIGHTING POWER ALLOWANCES FOR BUILDING EXTERIORS

		Zone 1	Zone 2	Zone 3	Zone 4
Base Site Allowance (Base allowance may be used in tradable or nontradable surfaces.)		500W	600W	750W	1300W
Uncovered Parking Areas					
Parking areas and drives		0.04 W/ft ²	0.06 W/ft ²	0.10 W/ft ²	0.13 W/ft ²
Building Grounds					
Walkways less than 10 feet wide		0.7 W/linear foot	0.7 W/linear foot	0.8 W/linear foot	1.0 W/linear foot
Walkways 10 feet wide or greater, plaza areas, special feature areas		0.14 W/ft ²	0.14 W/ft ²	0.16 W/ft ²	0.2 W/ft ²
Stairways		0.75 W/ft ²	1.0 W/ft ²	1.0 W/ft ²	1.0 W/ft ²
Pedestrian tunnels		0.15 W/ft ²	0.15 W/ft ²	0.2 W/ft ²	0.3 W/ft ²
Building Entrances and Exits					
Main entries		20 W/linear foot of door width	20 W/linear foot of door width	30 W/linear foot of door width	30 W/linear foot of door width
Other doors		20 W/linear foot of door width	20 W/linear foot of door width	20 W/linear foot of door width	20 W/linear foot of door width
Entry canopies		0.25 W/ft ²	0.25 W/ft ²	0.4 W/ft ²	0.4 W/ft ²
Sales Canopies					
Free-standing and attached		0.6 W/ft ²	0.6 W/ft ²	0.8 W/ft ²	1.0 W/ft ²
Outdoor Sales					
Open areas (including vehicle sales lots)		0.25 W/ft ²	0.25 W/ft ²	0.5 W/ft ²	0.7 W/ft ²
Street frontage for vehicle sales lots in addition to "open area" allowance		No allowance	10W/linear foot	10W/linear foot	30 W/linear foot
Nontradable Surfaces (Lighting power density calculations for the following applications can be used only for the specific application and cannot be traded between surfaces or with other exterior lighting. The following allowances are in addition to any allowance otherwise permitted in the "Tradable Surfaces" section of this table.)	Building facades	No allowance	0.1 W/ft ² for each illuminated wall or surface or 2.5 W/linear foot for each illuminated wall or surface length	0.15 W/ft ² for each illuminated wall or surface or 3.75 W/linear foot for each illuminated wall or surface length	0.2 W/ft ² for each illuminated wall or surface or 5.0 W/linear foot for each illuminated wall or surface length
	Automated teller machines and night depositories	270 W per location plus 90 W per additional ATM per location	270 W per location plus 90 W per additional ATM per location	270 W per location plus 90 W per additional ATM per location	270 W per location plus 90 W per additional ATM per location
	Entrances and gatehouse inspection stations at guarded facilities	0.75 W/ft ² of covered and uncovered area	0.75 W/ft ² of covered and uncovered area	0.75 W/ft ² of covered and uncovered area	0.75 W/ft ² of covered and uncovered area
	Loading areas for law enforcement, fire, ambulance and other emergency service vehicles	0.5 W/ft ² of covered and uncovered area	0.5 W/ft ² of covered and uncovered area	0.5 W/ft ² of covered and uncovered area	0.5 W/ft ² of covered and uncovered area
	Drive-up windows/doors	400 W per drive-through	400 W per drive-through	400 W per drive-through	400 W per drive-through
	Parking near 24-hour retail entrances	800 W per main entry	800 W per main entry	800 W per main entry	800 W per main entry

For SI: 1 foot = 304.8 mm, 1 watt per square foot = W/0.0929 m².

506.3 Performance-based compliance. Compliance based on total building performance requires that a proposed building (*proposed design*) be shown to have an annual energy cost that is less than or equal to the annual energy cost of the *standard reference design*. Energy prices shall be taken from a source approved by the *code official*, such as the Department of Energy, Energy Information Administration's *State Energy Price and Expenditure Report*. *Code officials* shall be permitted to require time-of-use pricing in energy cost calculations. Nondepletable energy collected off site shall be treated and priced the same as purchased energy. Energy from nondepletable energy sources collected on site shall be omitted from the annual energy cost of the *proposed design*.

Exception: Jurisdictions that require site energy (1 kWh = 3413 Btu) rather than energy cost as the metric of comparison.

506.4 Documentation. Documentation verifying that the methods and accuracy of compliance software tools conform to the provisions of this section shall be provided to the *code official*.

506.4.1 Compliance report. Compliance software tools shall generate a report that documents that the *proposed design* has annual energy costs less than or equal to the annual energy costs of the *standard reference design*. The compliance documentation shall include the following information:

1. Address of the building;
2. An inspection checklist documenting the building component characteristics of the *proposed design* as listed in Table 506.5.1 (1). The inspection checklist shall show the estimated annual energy cost for both the *standard reference design* and the *proposed design*;
3. Name of individual completing the compliance report; and
4. Name and version of the compliance software tool.

506.4.2 Additional documentation. The *code official* shall be permitted to require the following documents:

1. Documentation of the building component characteristics of the *standard reference design*;
2. Thermal zoning diagrams consisting of floor plans showing the thermal zoning scheme for *standard reference design* and *proposed design*.
3. Input and output report(s) from the energy analysis simulation program containing the complete input and output files, as applicable. The output file shall include energy use totals and energy use by energy source and end-use served, total hours that space conditioning loads are not met and any errors or warning messages generated by the simulation tool as applicable;
4. An explanation of any error or warning messages appearing in the simulation tool output; and

5. A certification signed by the builder providing the building component characteristics of the *proposed design* as given in Table 506.5.1 (1).

506.5 Calculation procedure. Except as specified by this section, the *standard reference design* and *proposed design* shall be configured and analyzed using identical methods and techniques.

506.5.1 Building specifications. The *standard reference design* and *proposed design* shall be configured and analyzed as specified by Table 506.5.1 (1). Table 506.5.1 (1) shall include by reference all notes contained in Table 502.2 (1).

506.5.2 Thermal blocks. The *standard reference design* and *proposed design* shall be analyzed using identical thermal blocks as required in Section 506.5.1.1, 506.2.2 or 506.5.2.3.

506.5.2.1 HVAC zones designed. Where HVAC zones are defined on HVAC design drawings, each HVAC zone shall be modeled as a separate thermal block.

Exception: Different HVAC zones shall be allowed to be combined to create a single thermal block or identical thermal blocks to which multipliers are applied provided:

1. The space use classification is the same throughout the thermal block.
2. All HVAC zones in the thermal block that are adjacent to glazed exterior walls face the same orientation or their orientations are within 45 degrees (0.79 rad) of each other.
3. All of the zones are served by the same HVAC system or by the same kind of HVAC system.

506.5.2.2 HVAC zones not designed. Where HVAC zones have not yet been designed, thermal blocks shall be defined based on similar internal load densities, occupancy' lighting, thermal and temperature schedules, and in combination with the following guidelines:

1. Separate thermal blocks shall be assumed for interior and perimeter spaces. Interior spaces shall be those located more than 15 feet (4572 mm) from an exterior wall. Perimeter spaces shall be those located closer than 15 feet (4572 mm) from an exterior wall.
2. Separate thermal blocks shall be assumed for spaces adjacent to glazed exterior walls: a separate zone shall be provided for each orientation, except orientations that differ by no more than 45 degrees (0.79 rad) shall be permitted to be considered to be the same orientation. Each zone shall include floor area that is 15 feet (4572 mm) or less from a glazed perimeter wall, except that floor area within 15 feet (4572 mm) of glazed perimeter walls having more than one orientation shall be divided proportionately between zones.
3. Separate thermal blocks shall be assumed for spaces having floors that are in contact with the

ground or exposed to ambient conditions from zones that do not share these features.

4. Separate thermal blocks shall be assumed for spaces having exterior ceiling or roof assemblies from zones that do not share these features.

506.5.2.3 Multifamily residential buildings. Residential spaces shall be modeled using one thermal block per space except that those facing the same orientations are permitted to be combined into one thermal block. Corner units and units with roof or floor loads shall only be combined with units sharing these features.

506.6 Calculation software tools. Calculation procedures used to comply with this section shall be software tools capable of calculating the annual energy consumption of all building elements that differ between the *standard reference design* and the *proposed design* and shall include the following capabilities.

1. Computer generation of the *standard reference design* using only the input for the *proposed design*. The calculation procedure shall not allow the user to directly modify the building component characteristics of the *standard reference design*.
2. Building operation for a full calendar year (8760 hours).
3. Climate data for a full calendar year (8760 hours) and shall reflect *approved* coincident hourly data for temperature, solar radiation, humidity and wind speed for the building location.
4. Ten or more thermal zones.
5. Thermal mass effects.
6. Hourly variations in occupancy, illumination, receptacle loads, thermostat settings, mechanical ventilation, HVAC equipment availability, service hot water usage and any process loads.
7. Part-load performance curves for mechanical equipment.
8. Capacity and efficiency correction curves for mechanical heating and cooling equipment.
9. Printed *code official* inspection checklist listing each of the *proposed design* component characteristics from Table 506.5.1 (l) determined by the analysis to provide compliance, along with their respective performance ratings (e.g., R-value, V-factor, SHGC, HSPF, AFUE, SEER, EF, etc.).

506.6.1 Specific approval. Performance analysis tools meeting the applicable subsections of Section 506 and tested according to ASHRAE Standard 140 shall be permitted to be *approved*. Tools are permitted to be *approved* based on meeting a specified threshold for a jurisdiction. The *code official* shall be permitted to approve tools for a specified application or limited scope.

506.6.2 Input values. When calculations require input values not specified by Sections 502, 503, 504 and 505, those input values shall be taken from an *approved* source.

TABLE 506.5.1(1)
SPECIFICATIONS FOR THE STANDARD REFERENCE AND PROPOSED DESIGNS

BUILDING COMPONENT CHARACTERISTICS	STANDARD REFERENCE DESIGN	PROPOSED DESIGN
Space use classification	Same as proposed	The space use classification shall be chosen in accordance with Table 505.5.2 for all areas of the building covered by this permit. Where the space use classification for a building is not known, the building shall be categorized as an office building.
Roofs	Type: Insulation entirely above deck Gross area: same as proposed U-factor: from Table 502.1.2 Solar absorptance: 0.75 Emittance: 0.90	As proposed As proposed As proposed As proposed As proposed
Walls, above-grade	Type: Mass wall if proposed wall is mass; otherwise steel-framed wall Gross area: same as proposed U-factor: from Table 502.1.2 Solar absorptance: 0.75 Emittance: 0.90	As proposed As proposed As proposed As proposed As proposed
Walls, below-grade	Type: Mass wall Gross area: same as proposed U-Factor: from Table 502.1.2 with insulation layer on interior side of walls	As proposed As proposed As proposed
Floors, above-grade	Type: joist/framed floor Gross area: same as proposed U-factor: from Table 502.1.2	As proposed As proposed As proposed
Floors, slab-on-grade	Type: Unheated <i>F-factor</i> : from Table 502.1.2	As proposed As proposed
Doors	Type: Swinging Area: Same as proposed U-factor: from Table 502.2(1)	As proposed As proposed As proposed
Glazing	Area: (a) The proposed glazing area; where the proposed glazing area is less than 40 percent of above-grade wall area. (b) 40 percent of above-grade wall area; where the proposed glazing area is 40 percent or more of the above-grade wall area. U-factor: from Table 502.3 SHGC: from Table 502.3 except that for climates with no requirement (NR) SHGC = 0.40 shall be used External shading and PF: None	As proposed As proposed As proposed
Skylights	Area: (a) The proposed skylight area; where the proposed skylight area is less than 3 percent of gross area of roof assembly. (b) 3 percent of gross area of roof assembly; where the proposed skylight area is 3 percent or more of gross area of roof assembly. U-factor: from Table 502.3 SHGC: from Table 502.3 except that for climates with no requirement (NR) SHGC = 0.40 shall be used.	As proposed As proposed
Lighting, interior	The interior lighting power shall be determined in accordance with Table 505.5.2. Where the occupancy of the building is not known, the lighting power density shall be 1.0 Watt per square foot (10.73 W/m^2) based on the categorization of buildings with unknown space classification as offices.	As proposed
Lighting, exterior	The lighting power shall be determined in accordance with Table 505.6.2. Areas and dimensions of tradable and nontradable surfaces shall be the same as proposed.	As proposed

(continued)

**TABLE 506.5.1 (I)-continued
SPECIFICATIONS FOR THE STANDARD REFERENCE AND PROPOSED DESIGNS**

BUILDING COMPONENT CHARACTERISTICS	STANDARD REFERENCE DESIGN	PROPOSED DESIGN
Internal gains	Same as proposed	Receptacle, motor and process loads shall be modeled and estimated based on the space use classification. All end-use load components within and associated with the building shall be modeled to include, but not be limited to, the following: exhaust fans, parking garage ventilation fans, exterior building lighting, swimming pool heaters and pumps, elevators, escalators, refrigeration equipment and cooking equipment
Schedules	Same as proposed	Operating schedules shall include hourly profiles for daily operation and shall account for variations between weekdays, weekends, holidays and any seasonal operation. Schedules shall model the time-dependent variations in occupancy, illumination, receptacle loads, thermostat settings, mechanical ventilation, HVAC equipment availability, service hot water usage and any process loads. The schedules shall be typical of the proposed building type as determined by the designer and approved by the jurisdiction.
Mechanical ventilation	Same as proposed	As proposed, in accordance with Section 503.2.5.
Heating systems	Fuel type: same as proposed design Equipment type ^a : from Tables 506.5.1(2) and 506.5.1(3) Efficiency: from Tables 503.2.3(4) and 503.2.3(5) Capacity ^b : sized proportionally to the capacities in the proposed design based on sizing runs, and shall be established such that no smaller number of unmet heating load hours and no larger heating capacity safety factors are provided than in the proposed design.	As proposed As proposed As proposed As proposed
Cooling systems	Fuel type: same as proposed design Equipment type ^c : from Tables 506.5.1(2) and 506.5.1(3) Efficiency: from Tables 503.2.3(1), 503.2.3(2) and 503.2.3(3) Capacity ^b : sized proportionally to the capacities in the proposed design based on sizing runs, and shall be established such that no smaller number of unmet cooling load hours and no larger cooling capacity safety factors are provided than in the proposed design. Economizer ^d : same as proposed, in accordance with Section 503.4.1.	As proposed As proposed As proposed As proposed As proposed
Service water heating	Fuel type: same as proposed Efficiency: from Table 504.2 Capacity: same as proposed Where no service water hot water system exists or is specified in the proposed design, no service hot water heating shall be modeled.	As proposed As proposed As proposed

- a. Where no heating system exists or has been specified, the heating system shall be modeled as fossil fuel. The system characteristics shall be identical in both the standard reference design and proposed design.
- b. The ratio between the capacities used in the annual simulations and the capacities determined by sizing runs shall be the same for both the standard reference design and proposed design.
- c. Where no cooling system exists or no cooling system has been specified, the cooling system shall be modeled as an air-cooled single-zone system, one unit per thermal zone. The system characteristics shall be identical in both the standard reference design and proposed design.
- d. If an economizer is required in accordance with Table 503.3.1 (1), and if no economizer exists or is specified in the proposed design, then a supply air economizer shall be provided in accordance with Section 503.4.1.

TABLE 506.5.1 (2)
HVAC SYSTEMS MAP

CONDENSER COOLING SOURCE ^a	HEATING SYSTEM CLASSIFICATION ^b	STANDARD REFERENCE DESIGN HVAC SYSTEM TYPE ^c		
		Single-zone Residential System	Single-zone Nonresidential System	All Other
Water/ground	Electric resistance	System 5	System 5	System 1
	Heat pump	System 6	System 6	System 6
	Fossil fuel	System 7	System 7	System 2
Air/none	Electric resistance	System 8	System 9	System 3
	Heat pump	System 8	System 9	System 3
	Fossil fuel	System 10	System 11	System 4

- a. Select "water!ground" if the proposed design system condenser is water or evaporatively cooled; select "air!none" if the condenser is air cooled. Closed-circuit dry coolers shall be considered air cooled. Systems utilizing district cooling shall be treated as if the condenser water type were "water." If no mechanical cooling is specified or the mechanical cooling system in the proposed design does not require heat rejection, the system shall be treated as if the condenser water type were "Air." For proposed designs with ground-source or groundwater-source heat pumps, the standard reference design HVAC system shall be water-source heat pump (System 6).
- b. Select the path that corresponds to the proposed design heat source: electric resistance, heat pump (including air source and water source), or fuel fired. Systems utilizing district heating (steam or hot water) and systems with no heating capability shall be treated as if the heating system type were "fossil fuel." For systems with mixed fuel heating sources, the system or systems that use the secondary heating source type (the one with the smallest total installed output capacity for the spaces served by the system) shall be modeled identically in the standard reference design and the primary heating source type shall be used to determine *standard* reference design HVAC system type.
- c. Select the standard reference design HVAC system category: The system under "single-zone residential system" shall be selected if the HVAC system in the proposed design is a single-zone system and serves a residential space. The system under "single-zone nonresidential system" shall be selected if the HVAC system in the proposed design is a single-zone system and serves other than residential spaces. The system under "all other" shall be selected for all other cases.

TABLE 506.5.1 (3)
SPECIFICATIONS FOR THE STANDARD REFERENCE DESIGN HVAC SYSTEM DESCRIPTIONS

SYSTEM NO.	SYSTEM TYPE	FAN CONTROL	COOLING TYPE	HEATING TYPE
1	Variable air volume with parallel fan-powered boxes ^a	VAV ^d	Chilled water ^e	Electric resistance
2	Variable air volume with reheat ^b	VAV ^d	Chilled water ^e	Hot water fossil fuel boiler ^f
3	Packaged variable air volume with parallel fan-powered boxes ^a	VAV ^d	Direct expansion ^c	Electric resistance
4	Packaged variable air volume with reheat ^b	VAV ^d	Direct expansion ^c	Hot water fossil fuel boiler ^f
5	Two-pipe fan coil	Constant volume ⁱ	Chilled water ^e	Electric resistance
6	Water-source heat pump	Constant volume ⁱ	Direct expansion ^c	Electric heat pump and boiler ^g
7	Four-pipe fan coil	Constant volume ⁱ	Chilled water ^e	Hot water fossil fuel boiler ^f
8	Packaged terminal heat pump	Constant volume ⁱ	Direct expansion ^c	Electric heat pump ^h
9	Packaged rooftop heat pump	Constant volume ⁱ	Direct expansion ^c	Electric heat pump ^h
10	Packaged terminal air conditioner	Constant volume ⁱ	Direct expansion	Hot water fossil fuel boiler ^f
11	Packaged rooftop air conditioner	Constant volume ⁱ	Direct expansion	Fossil fuel furnace

For SI: 1 foot = 304.8 mm, 1 cfm/ft² = 0.0004719, 1 Btu/h = 0.293/W, °C = [(OF) - 32]/1.8].

- a. VAV with parallel boxes: Fans in parallel VAV fan-powered boxes shall be sized for 50 percent of the peak design flow rate and shall be modeled with 0.35 W/cfm fan power. Minimum volume setpoints for fan-powered boxes shall be equal to the minimum rate for the space required for ventilation consistent with Section 503.4.5, Exception 5. Supply air temperature setpoint shall be constant at the design condition.
- b. VAV with reheat: Minimum volume setpoints for VAV reheat boxes shall be 0.4 cfm/ft² of floor area. Supply air temperature shall be reset based on zone demand from the design temperature difference to a 10°F temperature difference under minimum load conditions. Design airflow rates shall be sized for the reset supply air temperature, Le., a 10°F temperature difference.
- c. Direct expansion: The fuel type for the cooling system shall match that of the cooling system in the proposed design.
- d. YAY: Constant volume can be modeled if the system qualifies for Exception 1, Section 503.4.5. When the proposed design system has a supply, return or relief fan motor 25 horsepower (hp) or larger, the corresponding fan in the VAV system of the standard reference design shall be modeled assuming a variable speed drive. For smaller fans, a forward-curved centrifugal fan with inlet vanes shall be modeled. If the proposed design's system has a direct digital control system at the zone level, static pressure setpoint reset based on zone requirements in accordance with Section 503.4.2 shall be modeled.
- e. Chilled water: For systems using purchased chilled water, the chillers are not explicitly modeled and chilled water costs shall be based as determined in Sections 506.3 and 506.5.2. Otherwise, the standard reference design's chiller plant shall be modeled with chillers having the number as indicated in Table 506.5.1 (4) as a function of standard reference building chiller plant load and type as indicated in Table 506.5.1(5) as a function of individual chiller load. Where chiller fuel source is mixed, the system in the standard reference design shall have chillers with the same fuel types and with capacities having the same proportional capacity as the proposed design's chillers for each fuel type. Chilled water supply temperature shall be modeled at 44°F design supply temperature and 56°F return temperature. Piping losses shall not be modeled in either building model. Chilled water supply water temperature shall be reset in accordance with Section 503.4.3.4. Pump system power for each pumping system shall be the same as the proposed design; if the proposed design has no chilled water pumps, the standard reference design pump power shall be 22 W/gpm (equal to a pump operating against a 75-foot head, 65-percent combined impeller and motor efficiency). The chilled water system shall be modeled as primary-only variable flow with flow maintained at the design rate through each chiller using a bypass. Chilled water pumps shall be modeled as riding the pump curve or with variable-speed drives when required in Section 503.4.3.4. The heat rejection device shall be an axial fan cooling tower with two-speed fans if required in Section 503.4.4. Condenser water design supply temperature shall be 85°F or 10°F approach to design wet-bulb temperature, whichever is lower, with a design temperature rise of 10°F. The tower shall be controlled to maintain a 70°F leaving water temperature where weather permits, floating up to leaving water temperature at design conditions. Pump system power for each pumping system shall be the same as the proposed design; if the proposed design has no condenser water pumps, the standard reference design pump power shall be 19 W/gpm (equal to a pump operating against a 60-foot head, 60-percent combined impeller and motor efficiency). Each chiller shall be modeled with separate condenser water and chilled water pumps interlocked to operate with the associated chiller.
- f. Fossil fuel boiler: For systems using purchased hot water or steam, the boilers are not explicitly modeled and hot water or steam costs shall be based on actual utility rates. Otherwise, the boiler plant shall use the same fuel as the proposed design and shall be natural draft. The standard reference design boiler plant shall be modeled with a single boiler if the standard reference design plant load is 600,000 Btu/h and less and with two equally sized boilers for plant capacities exceeding 600,000 Btu/h. Boilers shall be staged as required by the load. Hot water supply temperature shall be modeled at 180°F design supply temperature and 130°F return temperature. Piping losses shall not be modeled in either building model. Hot water supply water temperature shall be reset in accordance with Section 503.4.3.4. Pump system power for each pumping system shall be the same as the proposed design; if the proposed design has no hot water pumps, the standard reference design pump power shall be 19 W/gpm (equal to a pump operating against a 60-foot head, 60-percent combined impeller and motor efficiency). The hot water system shall be modeled as primary only with continuous variable flow. Hot water pumps shall be modeled as riding the pump curve or with variable speed drives when required by Section 503.4.3.4.
- g. Electric heat pump and boiler: Water-source heat pumps shall be connected to a common heat pump water loop controlled to maintain temperatures between 60°F and 90°F. Heat rejection from the loop shall be provided by an axial fan closed-circuit evaporative fluid cooler with two-speed fans if required in Section 503.4.2. Heat addition to the loop shall be provided by a boiler that uses the same fuel as the proposed design and shall be natural draft. If no boilers exist in the proposed design, the standard reference building boilers shall be fossil fuel. The standard reference design boiler plant shall be modeled with a single boiler if the standard reference design plant load is 600,000 Btu/h or less and with two equally sized boilers for plant capacities exceeding 600,000 Btu/h. Boilers shall be staged as required by the load. Piping losses shall not be modeled in either building model. Pump system power shall be the same as the proposed design; if the proposed design has no pumps, the standard reference design pump power shall be 22 W/gpm, which is equal to a pump operating against a 75-foot head, with a 65-percent combined impeller and motor efficiency. Loop flow shall be variable with flow shutdown at each heat pump when its compressor cycles off as required by Section 503.4.3.3. Loop pumps shall be modeled as riding the pump curve or with variable speed drives when required by Section 503.4.3.4.
- h. Electric heat pump: Electric air-source heat pumps shall be modeled with electric auxiliary heat. The system shall be controlled with a multistage space thermostat and an outdoor air thermostat wired to energize auxiliary heat only on the last thermostat stage and when outdoor air temperature is less than 40°F.
- L. Constant volume: Fans shall be controlled in the same manner as in the proposed design; Le., fan operation whenever the space is occupied or fan operation cycled on calls for heating and cooling. If the fan is modeled as cycling and the fan energy is included in the energy efficiency rating of the equipment, fan energy shall not be modeled explicitly.

TABLE 506.5.1 (4)
NUMBER OF CHILLERS

TOTAL CHILLER PLANT CAPACITY	NUMBER OF CHILLERS
≤ 300 tons	1
> 300 tons, < 600 tons	2, sized equally
≥ 600 tons	2 minimum, with chillers added so that no chiller is larger than 800 tons, all sized equally

For 51: 1 ton = 3517 w.

TABLE 506.5.1 (5)
WATER CHILLER TYPES

INDIVIDUAL CHILLER PLANT CAPACITY	ELECTRIC CHILLER TYPE	FOSSIL FUEL CHILLER TYPE
≤ 100 tons	Reciprocating	Single-effect absorption, direct fired
> 100 tons, < 300 tons	Screw	Double-effect absorption, direct fired
≥ 300 tons	Centrifugal	Double-effect absorption, direct fired

For 51: 1 ton = 3517 w.

CHAPTER 6

REFERENCED STANDARDS

This chapter lists the standards that are referenced in various sections of this document. The standards are listed herein by the promulgating agency of the standard, the standard identification, the effective date and title, and the section or sections of this document that reference the standard. The application of the referenced standards shall be as specified in Section 107.

AAMA		American Architectural Manufacturers Association 1827 Walden Office Square Suite 550 Schaumburg, IL 60173-4268
Standard reference number	Title	Referenced in code section number
AAMA/WDMA/CSA 101I.S.2/A c440-05	Specifications for Windows, Doors and Unit Skylights	402.4.4, 502.4.1

AHRI		Air Conditioning, Heating, and Refrigeration Institute 4100 North Fairfax Drive Suite 200 Arlington, VA 22203
Standard reference number	Title	Referenced in code section number
210/240-03	Unitary Air-Conditioning and Air-Source Heat Pump Equipment.	Table 503.2.3(1), Table 503.2.3(2)
310/380-93	Standard for Packaged Terminal Air-conditioners and Heat Pumps	Table 503.2.3(3)
340/360-2000	Commercial and Industrial Unitary Air-conditioning and Heat Pump Equipment	Table 503.2.3(1), Table 503.2.3(2)
365-02	Commercial and Industrial Unitary Air-conditioning Condensing Units	Table 503.2.3(6)
440-05	Room Fan-coil	503.2.8
550/590-98	Water Chilling Packages Using the Vapor Compression Cycle-with Addenda	Table 503.2.3(7)
560-00	Absorption Water Chilling and Water Heating Packages	Table 503.2.3(7)
840-1998	Unit Ventilators	503.2.8
13256-1 (2004)	Water-source Heat Pumps-Testing and Rating for Performance-Part 1: Water-to-air and Brine-to-air Heat Pumps	Table 503.2.3(2)
1160-2004	Performance Rating of Heat Pump Pool Heaters	Table 504.2

AMCA		Air Movement and Control Association International 30 West University Drive Arlington Heights, IL 60004-1806
Standard reference number	Title	Referenced in code section number
500D-07	Laboratory Methods for Testing Dampers for Rating	502.4.5

ANSI		American National Standards Institute 25 West 43rd Street Fourth Floor New York, NY 10036
Standard reference number	Title	Referenced in code section number
Z21.10.3-01	Gas Water Heaters, Volume III - Storage Water Heaters with Input Ratings Above 75,000 Btu per Hour, Circulating Tank and Instantaneous-with Addenda Z21.10.3a-2003 and Z21.10.3b-2004	Table 504.2
Z21.13-04	Gas-fired Low Pressure Steam and Hot Water Boilers	Table 503.2.3(5)
Z21.47-03	Gas-fired Central Furnaces	Table 503.2.3(4)
Z83.8-02	Gas Unit Heaters and Gas-Fired Duct Furnaces-with Addendum Z83.8a-2003	Table 503.2.3(4)

REFERENCED STANDARDS



American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc.
1791 Tullie Circle, NE
Atlanta, GA 30329-2305

Standard reference number	Title	Referenced in code section number
119-88 (RA 2004)	Air Leakage Performance for Detached Single-family Residential Buildings	Table 405.5.2(1)
140-2007	Standard Method of Test for the Evaluation of Building Energy Analysis Computer Programs	506.6.1
146-1998	Testing and Rating Pool Heaters	Table 504.2
ANSIIASHRAEIACCA Standard 183-2007	Peak Cooling and Heating Load Calculations in Buildings Except Low-rise Residential Buildings	503.2.1
13256-1 (2005)	Water-source Heat Pumps-Testing and Rating for Performance-Part 1: Water-to-air and Brine-to-air Heat Pumps (ANSIIASHRAE/IESNA 90.1-2004)	Table 503.2.3(2)
90.1-2007	Energy Standard for Buildings Except Low-rise Residential Buildings (ANSIIASHRAE/IESNA 90.1-2007)	501.1, 501.2, 502.1.1, Table 502.2(2)
ASHRAE-2005	ASHRAE Handbook of Fundamentals	.402.1.4, Table 405.5.2(1)
ASHRAE-2004	ASHRAE HVAC Systems and Equipment Handbook-2004	503.2.1



American Society of Mechanical Engineers
Three Park Avenue
New York, NY 10016-5990

Standard reference number	Title	Referenced in code section number
PTC 4.1 - 1964 (Reaffirmed 1991)	Steam Generating Units	Table 503.2.3(5)



ASTM International
100 Barr Harbor Drive
West Conshohocken, PA 19428-2859

Standard reference number	Title	Referenced in code section number
C 90-06b	Specification for Load-bearing Concrete Masonry Units	Table 502.2(1)
E 283-04	Test Method for Determining the Rate of Air Leakage Through Exterior Windows, Curtain Walls and Doors Under Specified Pressure Differences Across the Specimen	402.4.5, 502.4.2, 502.4.8



Canadian Standards Association
5060 Spectrum Way
Mississauga, Ontario, Canada L4W 5N6

Standard reference number	Title	Referenced in code section number
I101LS.2/A440-08	Specifications for Windows, Doors and Unit Skylights	402.4.4, 502.4.1



U.S. Department of Energy
c/o Superintendent of Documents
U.S. Government Printing Office
Washington, DC 20402-9325

Standard reference number	Title	Referenced in code section number
10 CFR Part 430, Subpart B, Appendix E (1998)	Uniform Test Method for Measuring the Energy Consumption of Water Heaters	Table 504.2
10 CFR Part 430, Subpart B, Appendix N (1998)	Uniform Test Method for Measuring the Energy Consumption of Furnaces and Boilers Table 503.2.3(4), Table 503.2.3(5)	

DOE-continued

10 CFR Part 431, Subpart E 2004 DOE/EIA-0376 (Current Edition)	Test Procedures and Efficiency Standards for Commercial Packaged Boilers State Energy Prices and Expenditure Report	Table 503.2.3(6) .405.3, 506.2
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ICC

International Code Council, Inc.
500 New Jersey Avenue, NW
6th Floor
Washington, DC 20001

Standard reference number	Title	Referenced in code section number
IBC-09	International Building Code®	303.2,201.3
IFC-09	International Fire Code®	201.3
IFGC-09	International Fuel Gas Code®	201.3
IMC-09	International Mechanical Code®	503.2.5, 503.2.6, 503.2.7.1,503.2.7.1.1, 503.2.7.1.2, 503.2.9.1, 503.3.1, 503.4.5
IPC-09	International Plumbing Code®	201.3
IRC-09	International Residential Code®	201.3,403.2.2,403.6,405.6.1, Table 405.5.2(1)

IESNA

Illuminating Engineering Society of North America
120 Wall Street, 17th Floor
New York, NY 10005-4001

Standard reference number	Title	Referenced in code section number
90.1-2004	Energy Standard for Buildings Except Low-rise Residential Buildings	501.1,501.2,502.1.1, Table 502.2(2)

NFRC

National Fenestration Rating Council, Inc.
6305 Ivy Lane, Suite 140
Greenbelt, MD 20770

Standard reference number	Title	Referenced in code section number
100-04	Procedure for Determining Fenestration Product U-factors-Second Edition	303.1.3
200-04	Procedure for Determining Fenestration Product Solar Heat Gain Coefficients and Visible Transmittance at Normal Incidence-Second Edition	303.1.3
400-04	Procedure for Determining Fenestration Product Air Leakage-Second Edition	402.4.2,502.4.1

SMACNA

Sheet Metal and Air Conditioning Contractors National Association, Inc.
4021 Lafayette Center Drive
Chantilly, VA 20151-1209

Standard reference number	Title	Referenced in code section number
SMACNA-85	HVAC Air Duct Leakage Test Manual.	503.2.7.1.3

UL

Underwriters Laboratories Inc.
333 Pfingsten Road
Northbrook, IL 60062-2096

Standard reference number	Title	Referenced in code section number
727-06	Oil-fired Central Furnaces	Table 503.2.3(4)
731-95	Oil-fired Unit Heaters-with Revisions through February 2006	Table 503.2.3(4)

REFERENCED STANDARDS

United States - Federal Trade Commission
600 Pennsylvania Avenue NW
Washington, DC 20580

Standard reference number	Title	Referenced in code section number
CFR Title 16	R-value Rule	303.1.4



Window and Door Manufacturers Association
1400 East Touhy Avenue, Suite 470
Des Plaines, IL 60018

Standard reference number	Title	Referenced in code section number
AAMA/WDMA/CSA 101/LS.2/A440-08	Specifications for Windows, Doors and Unit Skylights	402.4.4, 502.4.1

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