

U.S. DEPARTMENT OF ENERGY
SECURITY ACKNOWLEDGMENT

I understand an initial investigation (or reinvestigation) was/will be conducted on me at the request of DOE, the results of which have been used by DOE to determine my initial or continued eligibility for a DOE access authorization/security clearance or eligibility to hold a sensitive position using the guidelines below as defined in Appendix A of SEAD 4, National Security Adjudicative Guidelines (or any successor guidance). I also understand that during my tenure in access with DOE, I will be subject to continuous vetting and will remain subject to the below guidelines and investigation into matters that may/will cause security concern(s) affecting my eligibility for a DOE access authorization/security clearance or holding a sensitive position, or logical access to information systems or physical access to DOE facilities.

GUIDELINE A: Allegiance to the United States: The willingness to safeguard classified or sensitive information is in doubt if there is any reason to suspect an individual's allegiance to the U.S. Indicators include the participation in or support for acts against the U.S. or placing the welfare or interests of another country above those of the U.S.

GUIDELINE B: Foreign Influence: Foreign contacts and interests, including, but not limited to, business, financial and property interests, are a national security concern if they result in divided allegiance.

GUIDELINE C: Foreign Preference: When an individual acts in such a way as to indicate a preference for a foreign country over the U.S., then he/she may provide information or make decisions that are harmful to the interests of the U.S. Foreign involvement raises concerns about an individual's judgment, reliability, and trustworthiness when it is in conflict with U.S. national interests or when the individual acts to conceal it.

GUIDELINE D: Sexual Behavior: Sexual behavior that involves a criminal offense; reflects a lack of judgment or discretion; or may subject an individual to undue influence of coercion, exploitation, or duress. Sexual behavior includes conduct occurring in person or via audio, visual, electronic, or written transmission.

GUIDELINE E: Personal Conduct: Conduct involving questionable judgment, lack of candor, dishonesty, or unwillingness to comply with rules and regulations can raise questions about an individual's reliability, trustworthiness, and ability to protect classified information.

GUIDELINE F: Financial Considerations: Failure to live within one's means, satisfy debts, and meet financial obligations may indicate poor self-control, lack of judgment, or unwillingness to abide by rules and regulations, all of which raise questions about an individual's reliability, trustworthiness, and ability to protect classified or sensitive information.

GUIDELINE G: Alcohol Consumption: Excessive alcohol consumption often leads to the exercise of questionable judgment or the failure to control impulses and can raise questions about an individual's reliability and trustworthiness.

GUIDELINE H: Drug Involvement and Substance Misuse: The illegal use of controlled substances, to include the misuse of prescription and nonprescription drugs, and the use of other substances that cause physical or mental impairment or are used in a manner inconsistent with their intended purpose can raise questions about an individual's reliability and trustworthiness.

GUIDELINE I: Psychological Conditions: Certain emotional, mental, and personality conditions can impair judgment, reliability, or trustworthiness. A formal diagnosis of a disorder is not required for there to be a concern under this guideline.

GUIDELINE J: Criminal Conduct: Criminal activity creates doubt about a person's judgment, reliability, and trustworthiness. By its very nature, it calls into question a person's ability or willingness to comply with laws, rules, and regulations.

GUIDELINE K: Handling Protected Information: Deliberate or negligent failure to comply with rules and regulations for handling protected information raises doubt about an individual's trustworthiness, judgment, reliability, or willingness to safeguard such information.

GUIDELINE L: Outside Activities: Involvement in certain types of outside employment or activities is of security concern if it poses a conflict of interest with an individual's security responsibilities and could create an increased risk of unauthorized disclosure of classified or sensitive information.

ALL PAGES OF THIS FORM MUST BE READ AND SIGNED

18 U.S.C. Section 1001; Act of June 25, 1948; 62 STAT. 749; MAKES IT A CRIMINAL OFFENSE TO MAKE A WILLFULLY FALSE STATEMENT OR PRESENTATION TO ANY DEPARTMENT OR AGENCY OF THE UNITED STATES AS TO ANY MATTERS WITHIN ITS JURISDICTION.