

**Subject Name: Operating Systems**

**Unit: 5**

**Unit Name: Memory Management**

Faculty Name: Ms. Puja Padiya

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Unit No: 5

Unit Name: Memory Management

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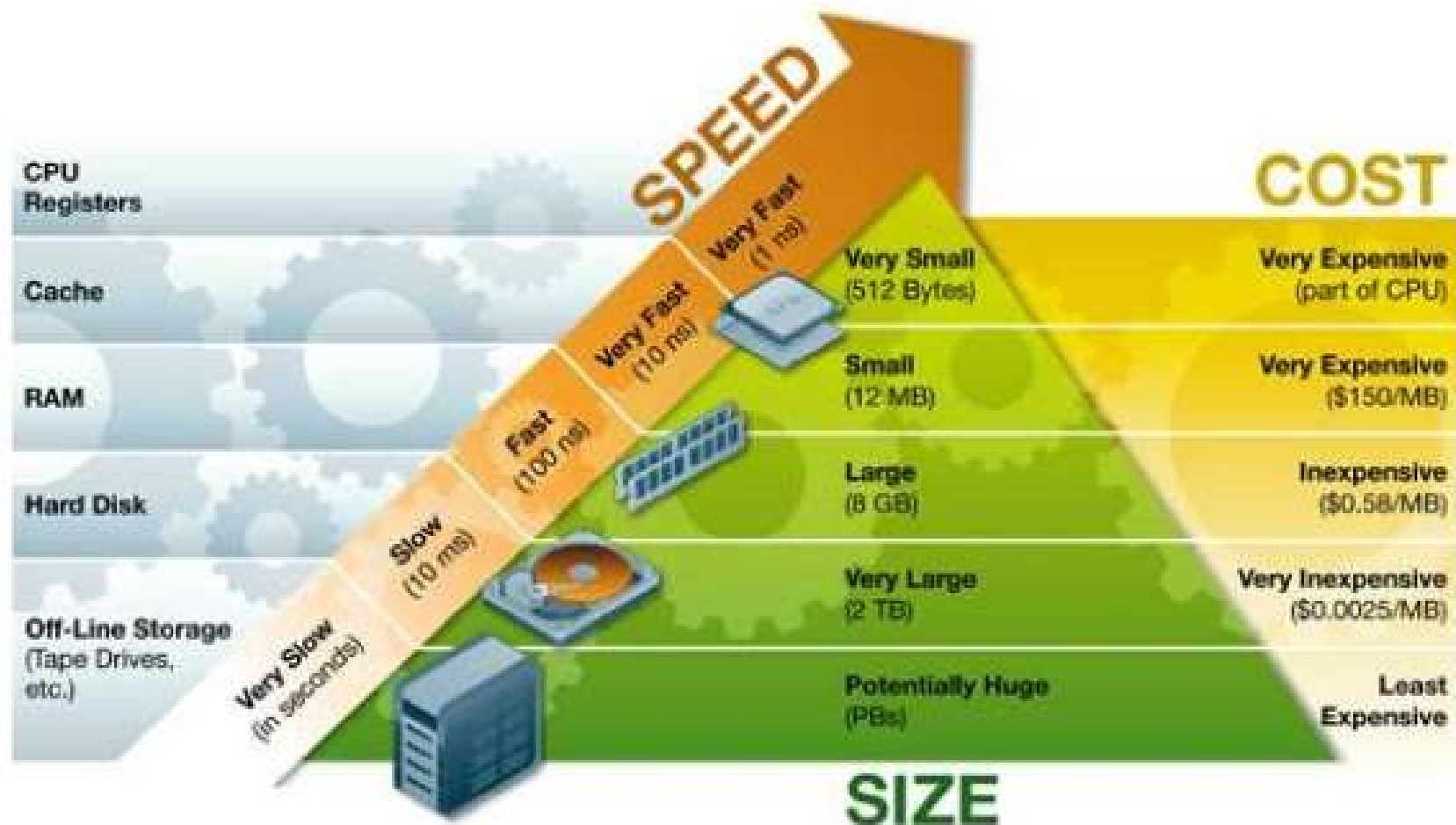
# Lecture:

Memory Management: Memory  
Management Requirements, Memory  
Partitioning: Fixed Partitioning,  
Dynamic Partitioning



## Memory Hierarchy

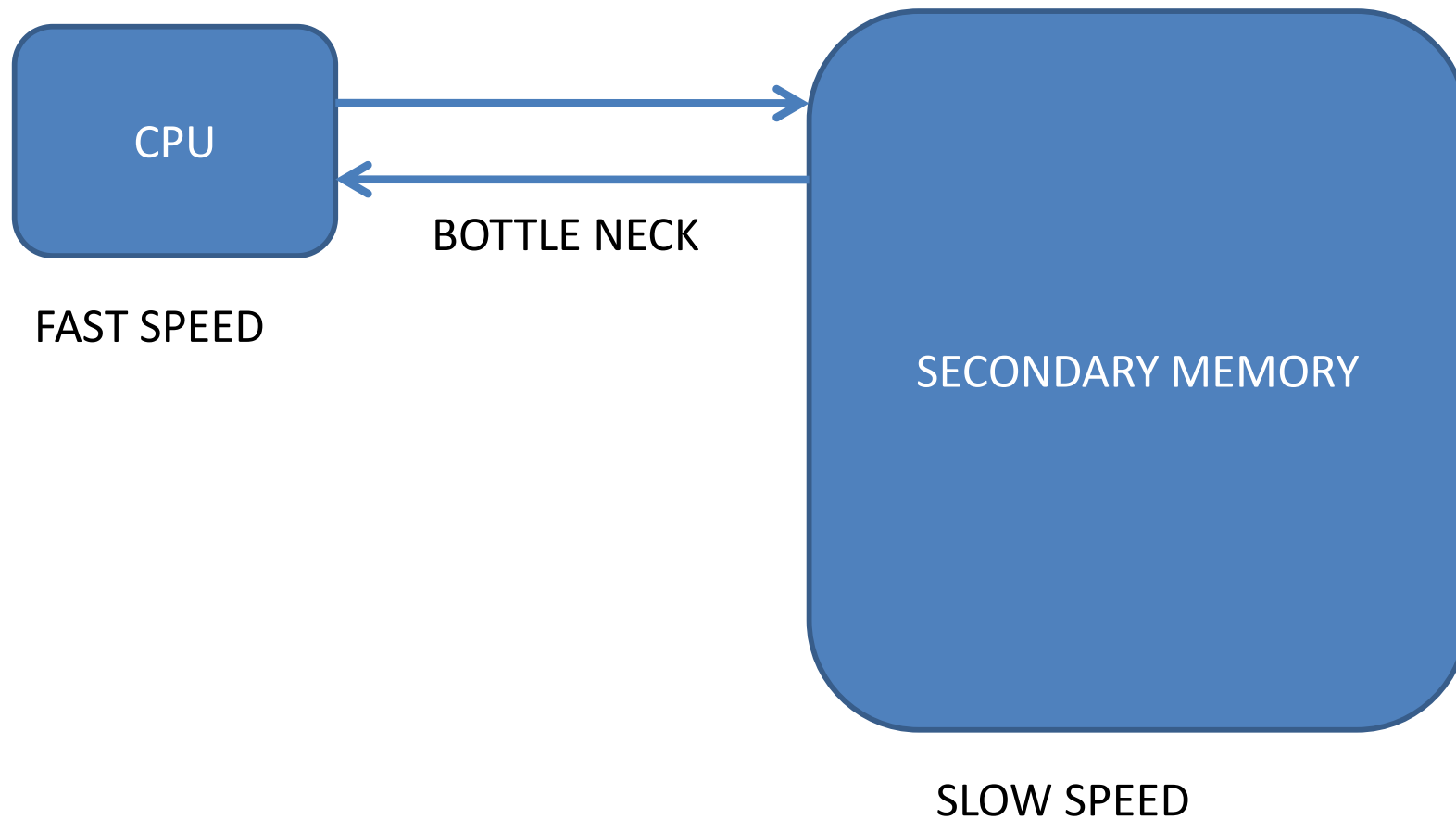
# Extended Memory Hierarchy



Source: [http://www.ts.avnet.com/uk/products\\_and\\_solutions/storage/hierarchy.html](http://www.ts.avnet.com/uk/products_and_solutions/storage/hierarchy.html)

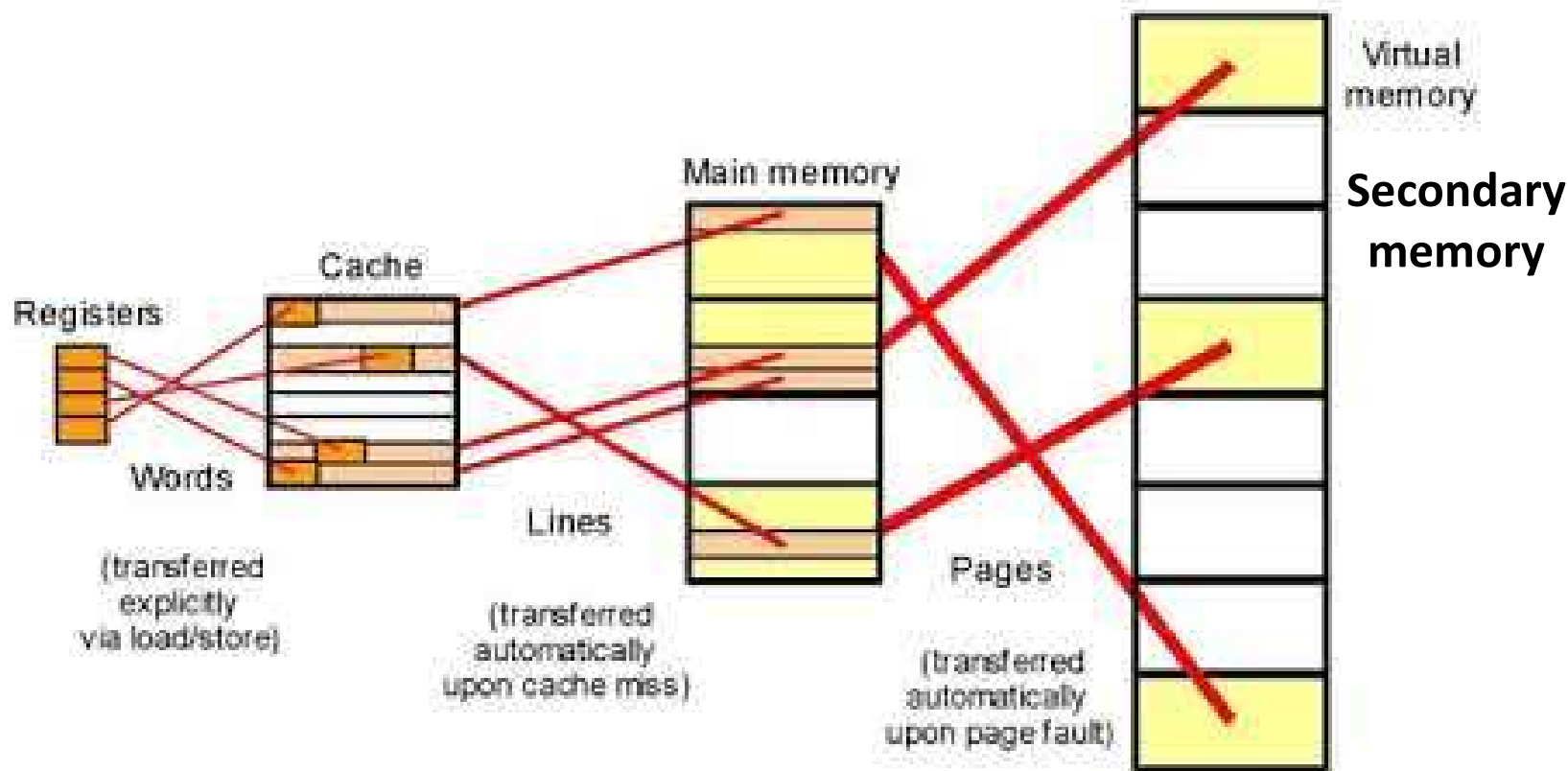
# Memory Hierarchy

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## Data movement in memory hierarchy

# Memory Hierarchy: The Big Picture



Data movement in a memory hierarchy.

## Memory Management- Background

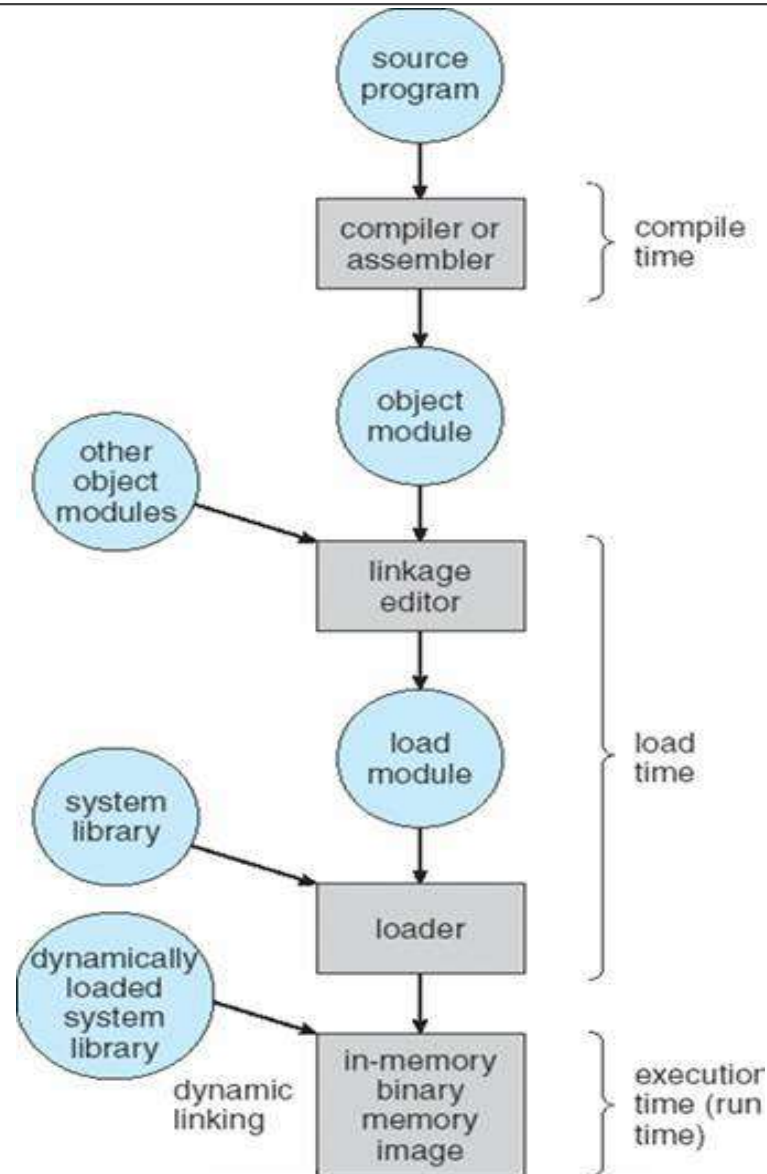
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- Program must be brought into memory and placed within a process for it to be run
- Input queue or job queue – collection of processes on the disk that are waiting to be brought into memory to run the program
- User programs go through several steps before being run.



# Memory Management- Background

## Multistep Processing of a User Program





# Memory Management- Background

- **Address Binding**
- Address binding of instructions and data to memory addresses can happen at **three** different stages:
  - **Compile time:** If memory location known a priori, **absolute code** can be generated; must recompile code if starting location changes
    - Minimum setup time
    - Logical address
  - **Load time:** Must generate **relocatable code** if memory location is **not known** at compile time.
    - Physical address
  - **Execution time:** **Binding delayed** until **run time** if the process can be **moved** during its execution from one memory segment to another. **Need hardware support** for address maps (e.g., base and limit registers)

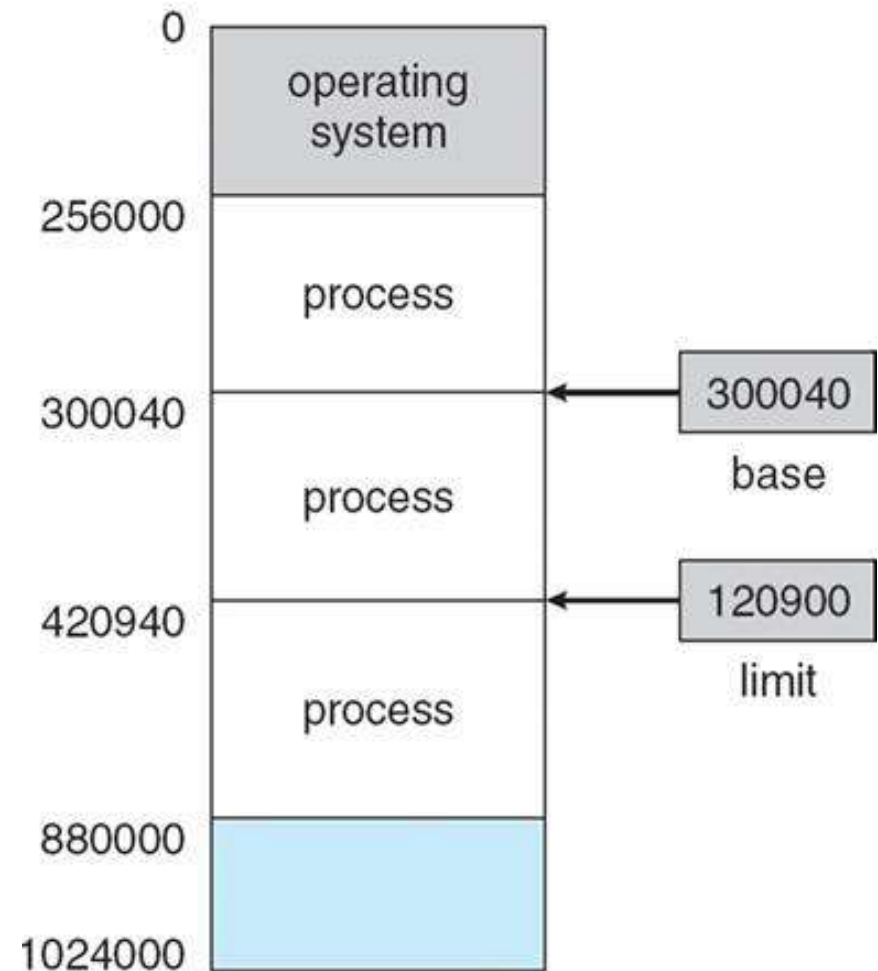
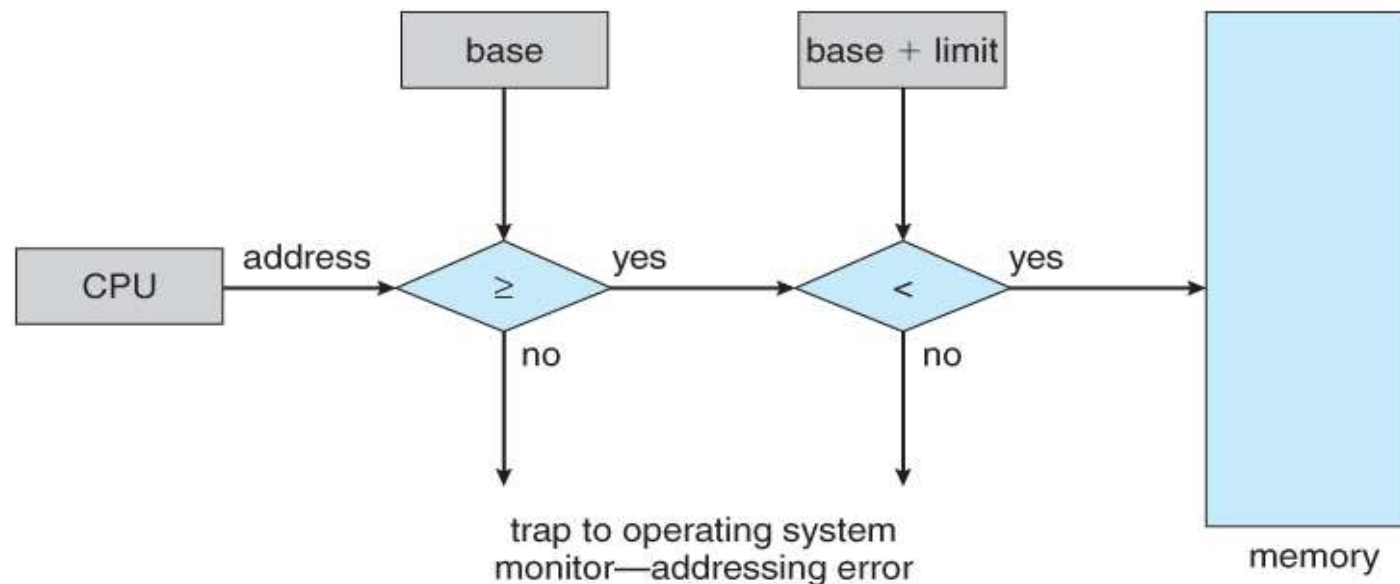


Figure: Base and Limit Registers

# Memory Management- Background

- **Memory Protection**
  - **User processes** must be **restricted** so that they only access memory locations that "**belong**" to that particular process.
  - This is usually **implemented using a base register** and **a limit register** for each process.
  - **Every** memory access made by a user process is **checked against these two registers**



**Figure: Hardware address protection with base and limit registers**



# Memory Management- Background

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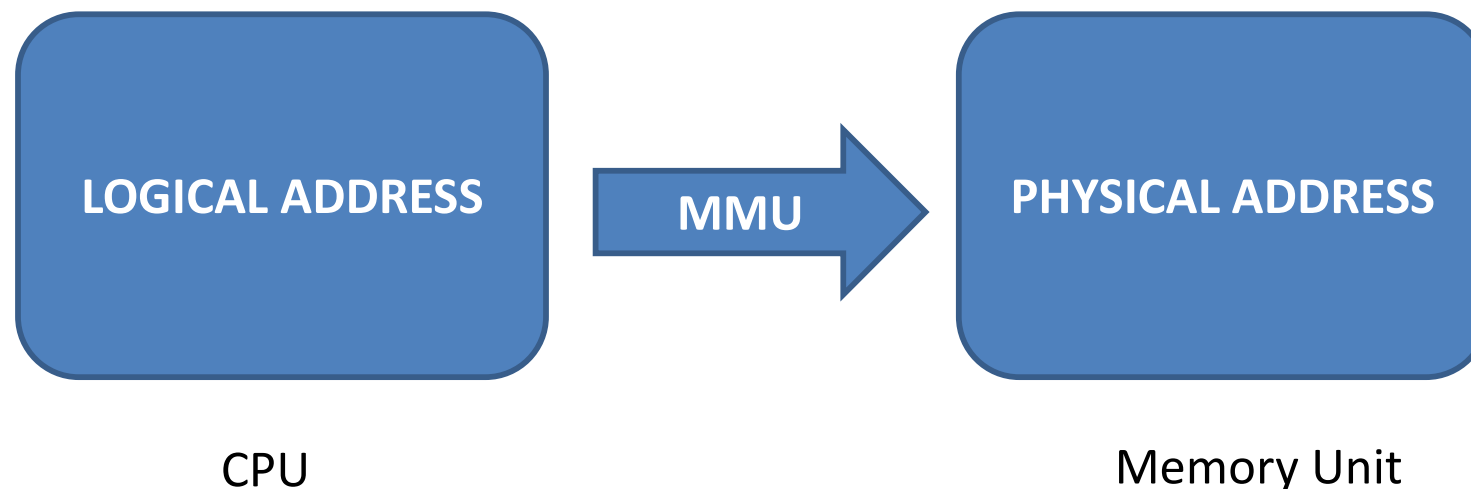
- **Dynamic Loading**
- Routine is not loaded **until it is called**
  - Better memory-space utilization; **unused routine is never loaded**
  - Useful when large amounts of code are needed to handle **infrequently occurring cases**
- **Dynamic Linking**
- When Routine is loaded then it will link to library routines



## Memory Management- Background

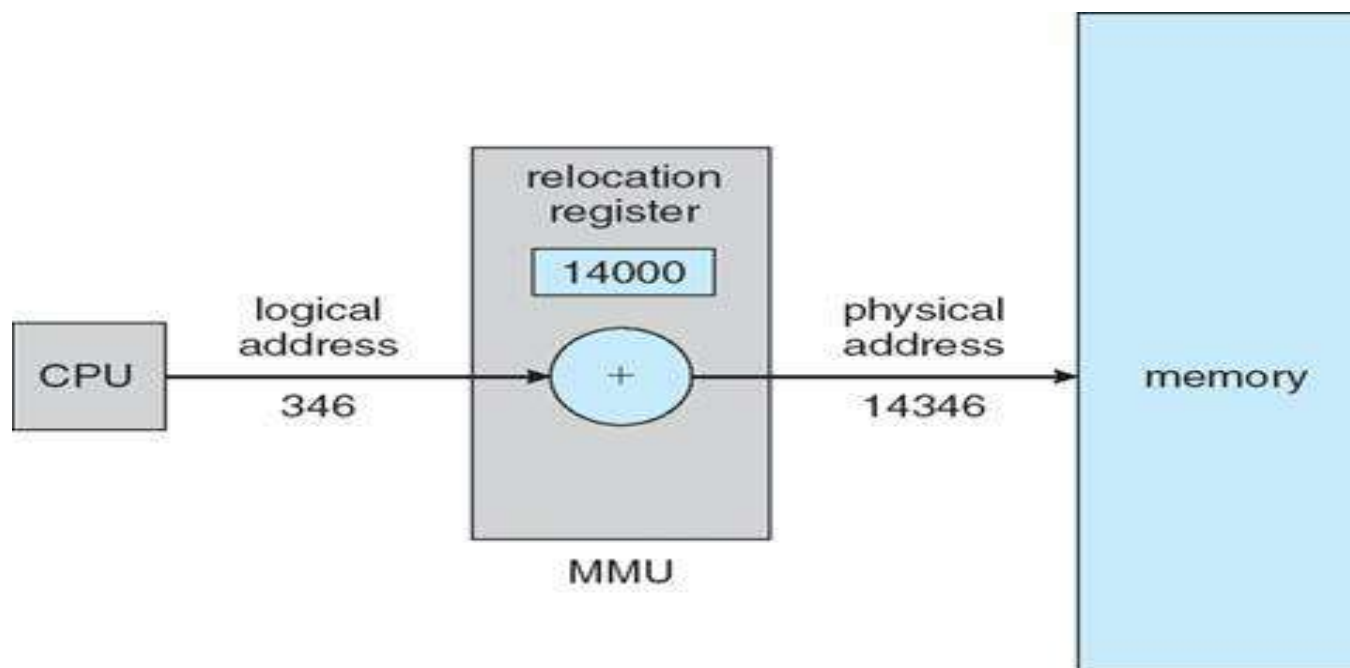
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- **Logical vs. Physical Address Space**
  - **Logical address space** bound to a separate **physical address space** is central concept in memory management
  - **Logical address** – **generated by the CPU**; also referred to as **virtual address**.
  - **Physical address** – **address seen** by the **memory unit**.



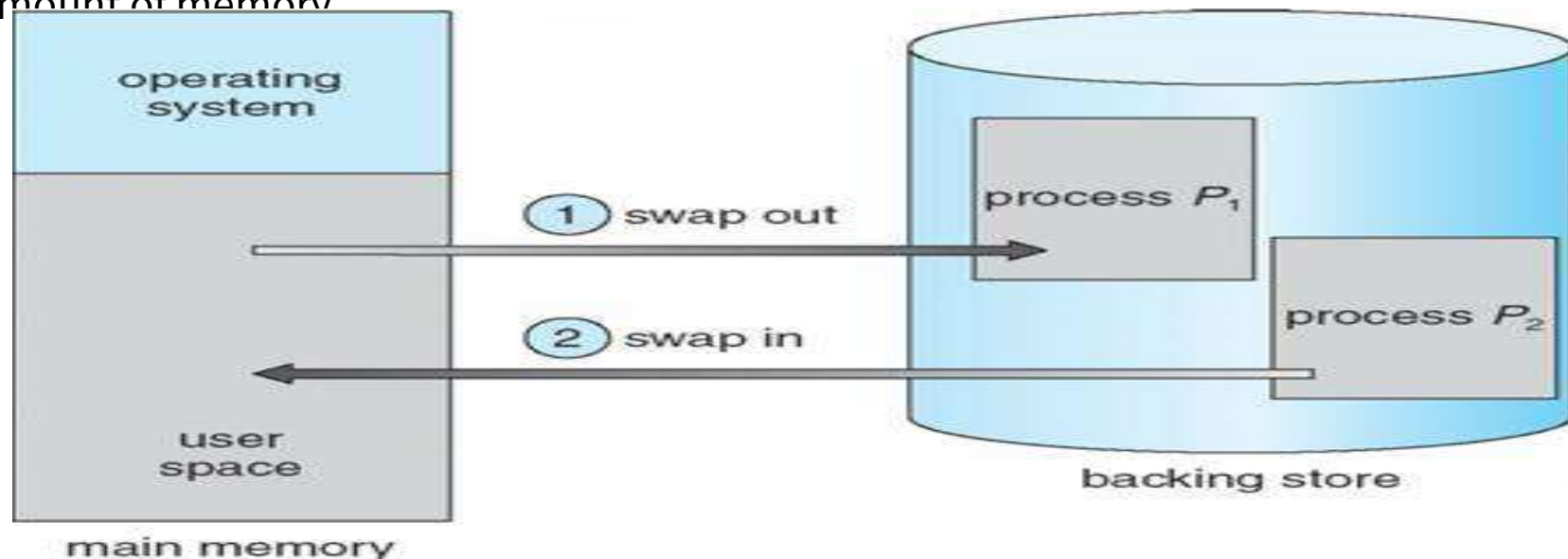
## Memory Management- Background

- **Memory-Management Unit (MMU)**
  - Hardware device that maps **virtual** to **physical** address
  - The value in the **relocation register** is added to every address generated by a user process
  - The **user program deals with logical addresses**; it never sees **the real physical addresses**



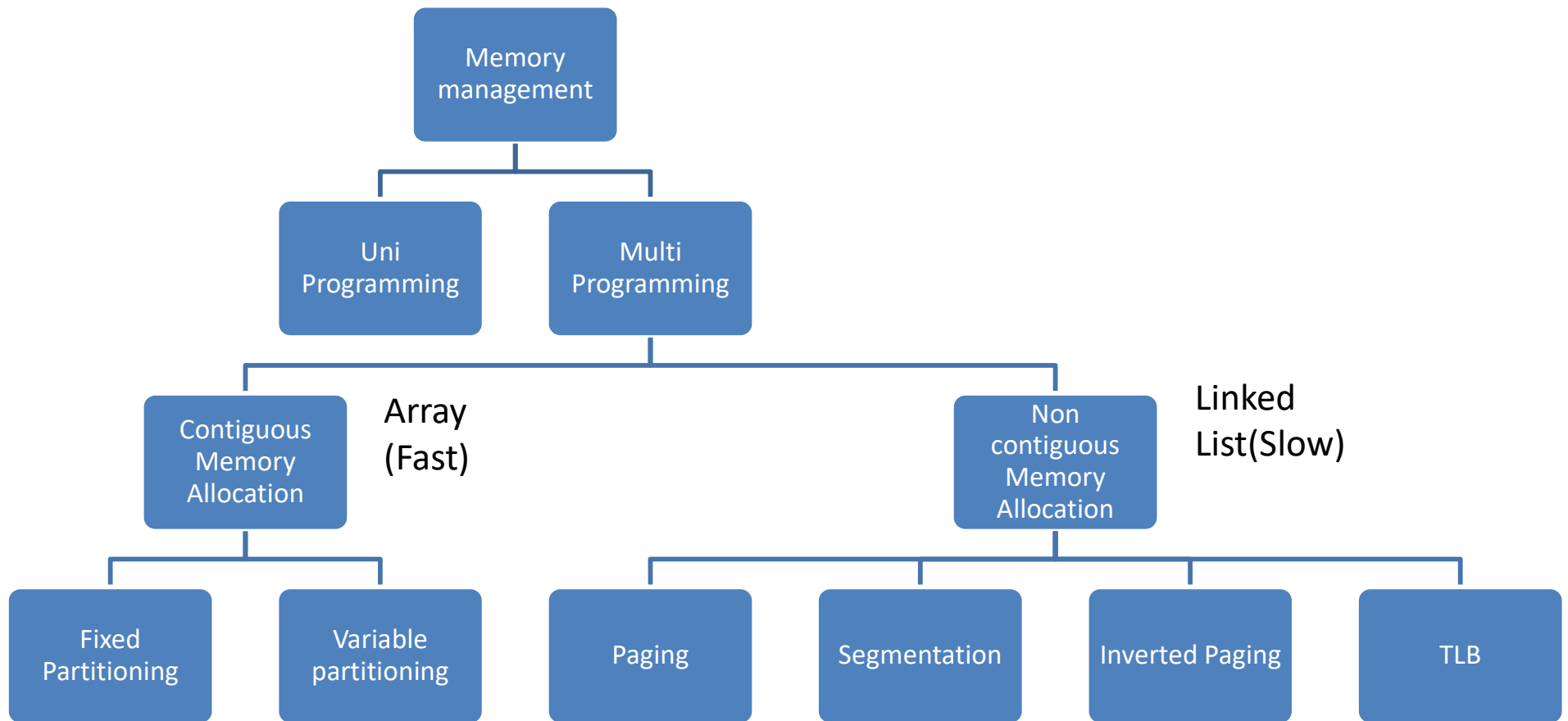
# Swapping

- A process can be swapped temporarily out of memory to a backing store, and then brought back into memory for continued execution
- Backing store – fast disk large enough to accommodate copies of all memory images for all users; must provide direct access to these memory images
- Roll out, roll in – swapping variant used for priority- based scheduling algorithms; lower-priority process is swapped out so higher-priority process can be loaded and executed
- Major part of swap time is transfer time; total transfer time is directly proportional to the amount of memory



# Memory management

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# Memory Management- Contiguous Memory Allocation

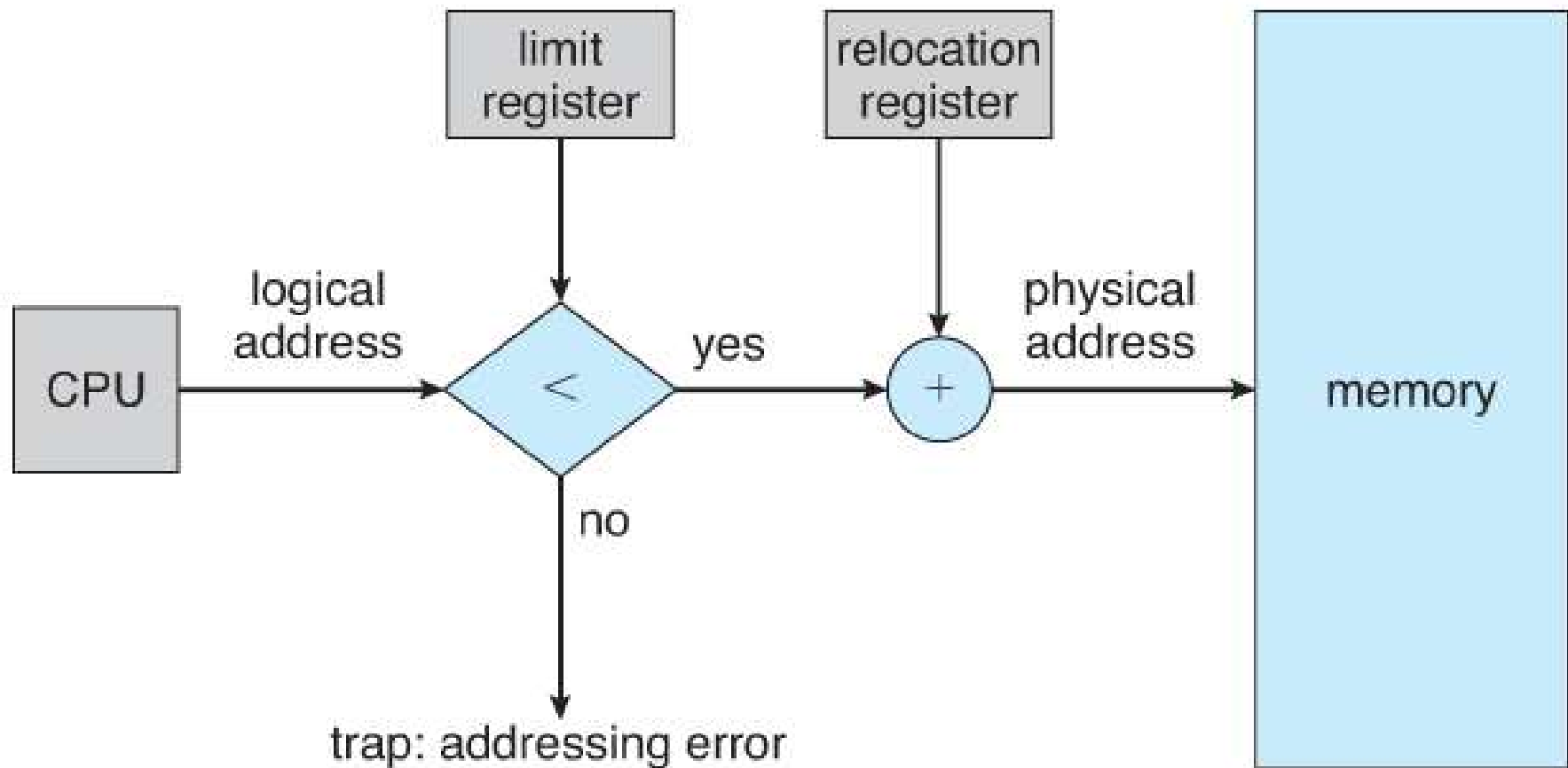
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- One approach to memory management is to load each process into a contiguous space.
- The operating system is allocated space first, usually at either low or high memory locations, and then the remaining available memory is allocated to processes as needed.
- **Memory Protection**
  - Protection against user programs accessing areas that they should not, allows programs to be relocated to different memory starting addresses as needed, and allows the memory space devoted to the OS to grow or shrink dynamically as needs change.

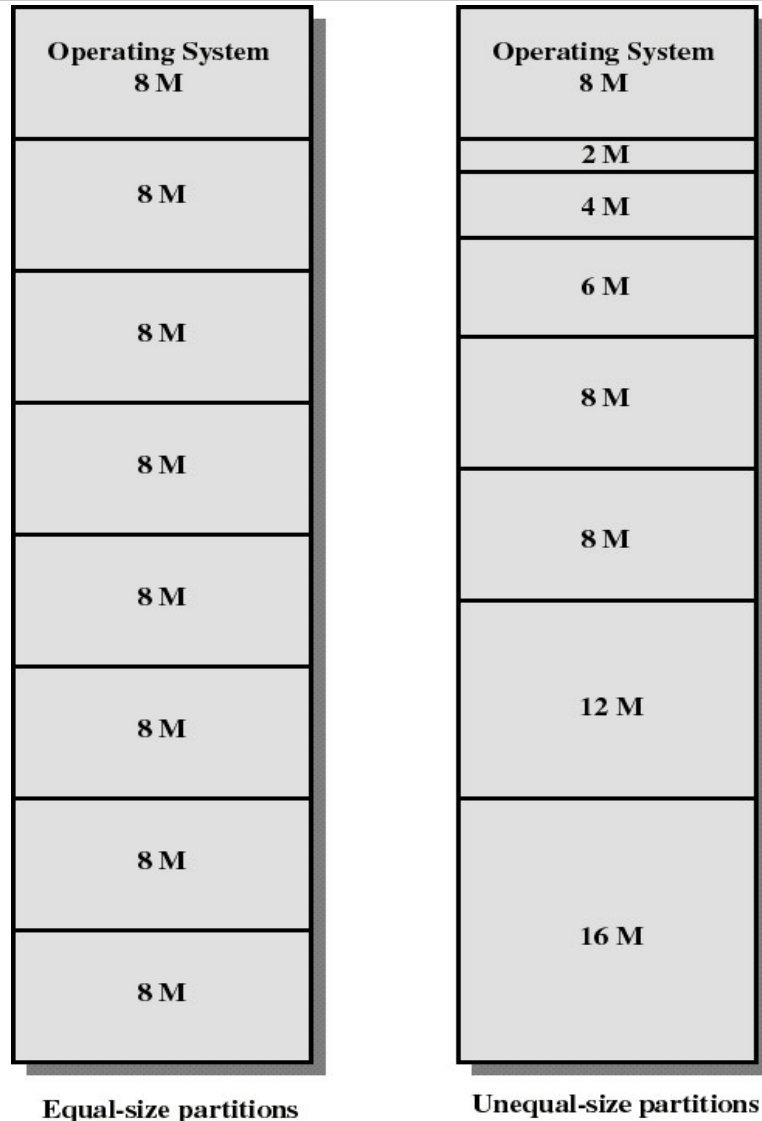




## Memory Management- Contiguous Memory Allocation



## Fixed Partitioning



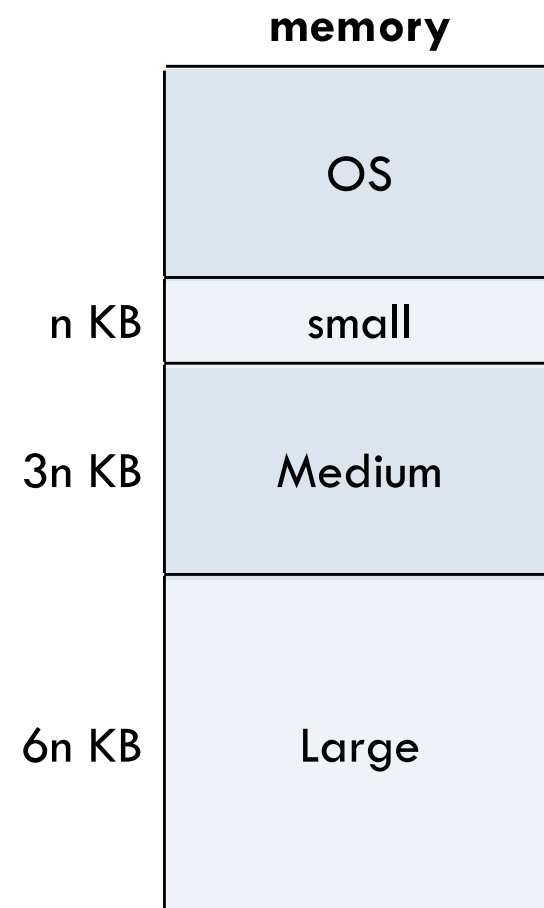
- Partition main memory into a set of non-overlapping memory regions called partitions.
- Fixed partitions can be of equal or unequal sizes.
- Leftover space in partition, after program assignment, is called internal fragmentation.



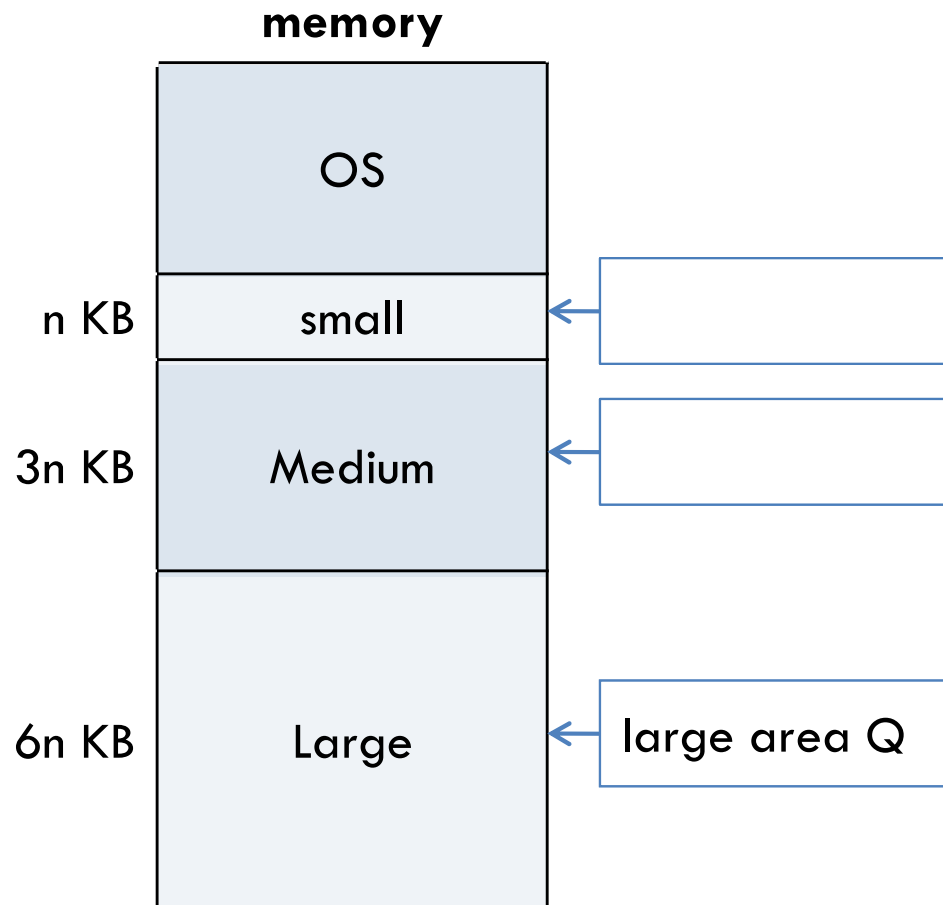
## Fixed Partitioning

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- In this method, memory is divided into partitions whose sizes are fixed.
- OS is placed into the lowest bytes of memory.
- Relocation of processes is not needed



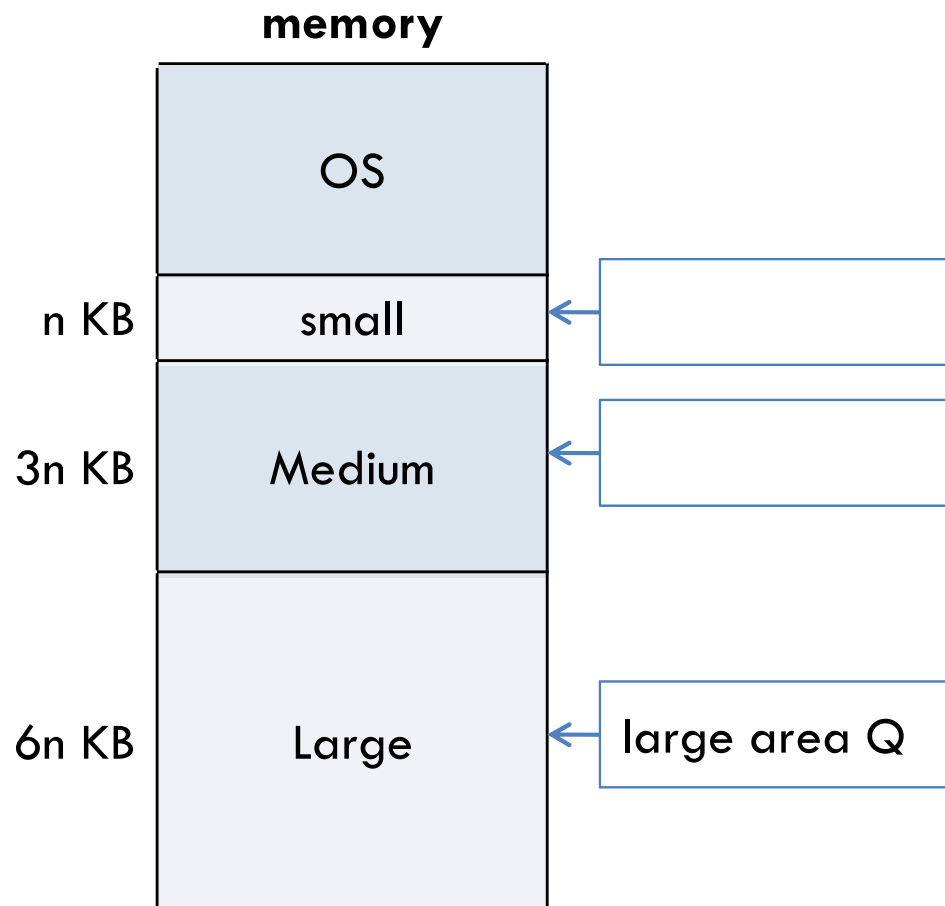
## 3.1 Fixed Partitioning



- Processes are classified on entry to the system according to their memory requirements.
- We need one *Process Queue (PQ)* for each class of process.



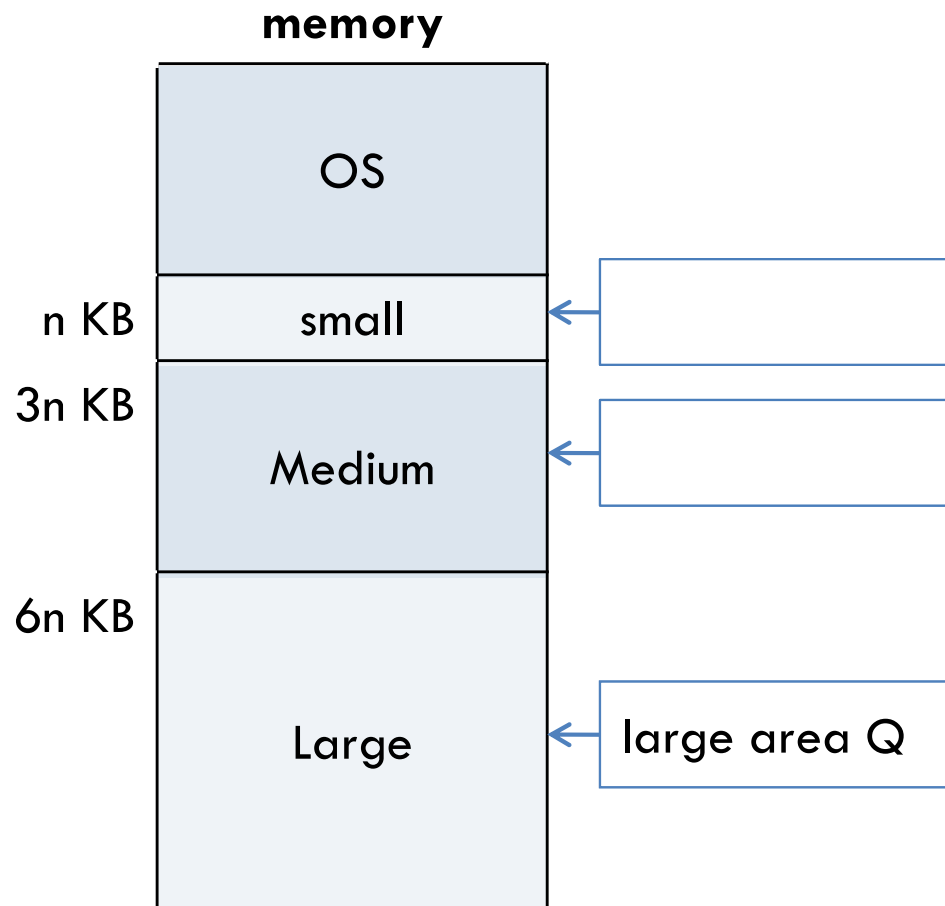
## 3.1 Fixed Partitioning



- If a process is selected to allocate memory, then it goes into memory and competes for the processor.
- The number of fixed partition gives the degree of multiprogramming.
- Since each queue has its own memory region, there is no competition between queues for the memory.



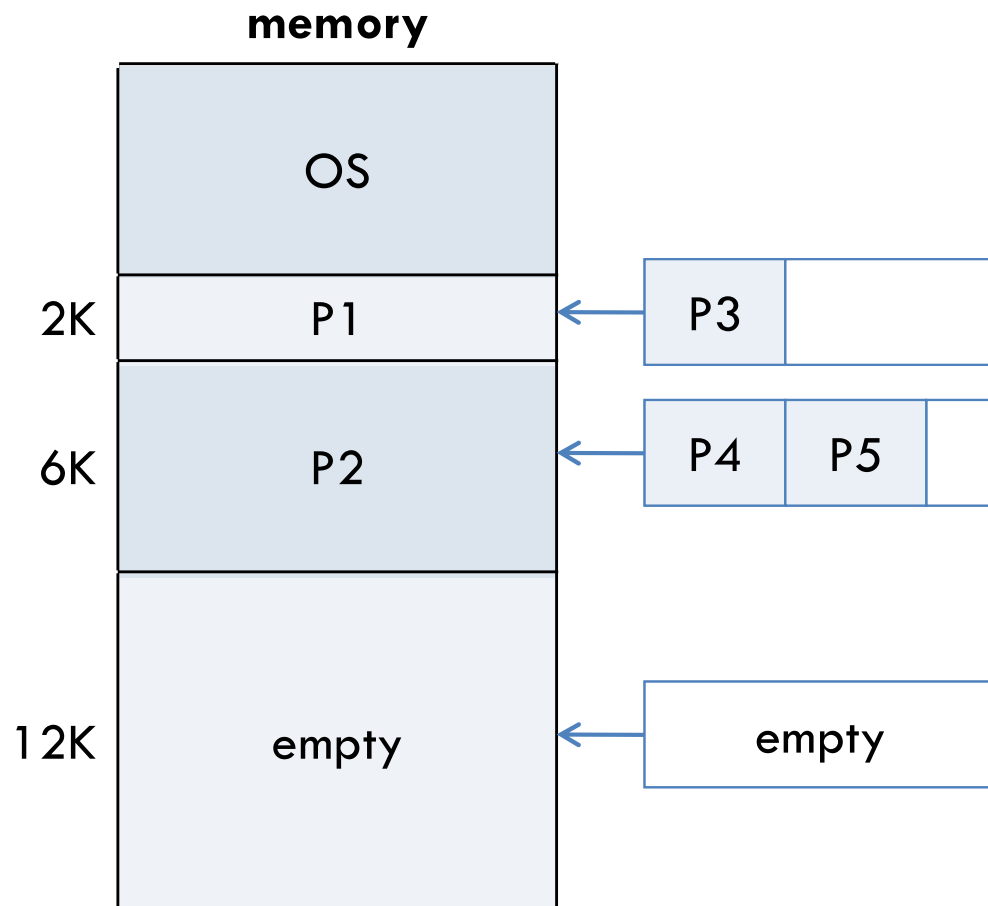
## 3.1 Fixed Partitioning



- The main problem with the fixed partitioning method is how to determine the number of partitions, and how to determine their sizes.



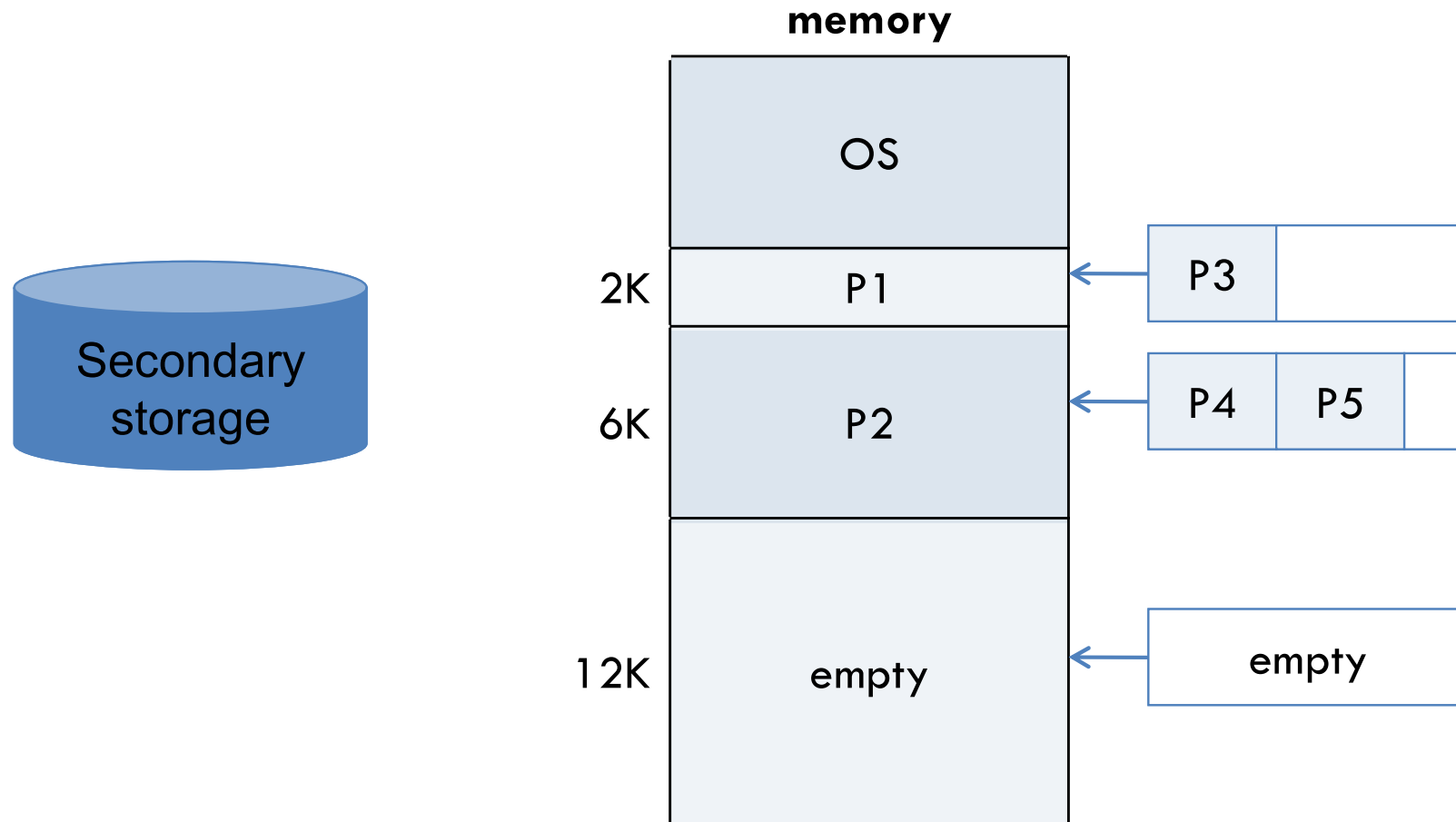
## Fixed Partitioning with Swapping



- This is a version of fixed partitioning that uses RRS with some time quantum.
- When time quantum for a process expires, it is swapped out of memory to disk and the next process in the corresponding process queue is swapped into the memory.

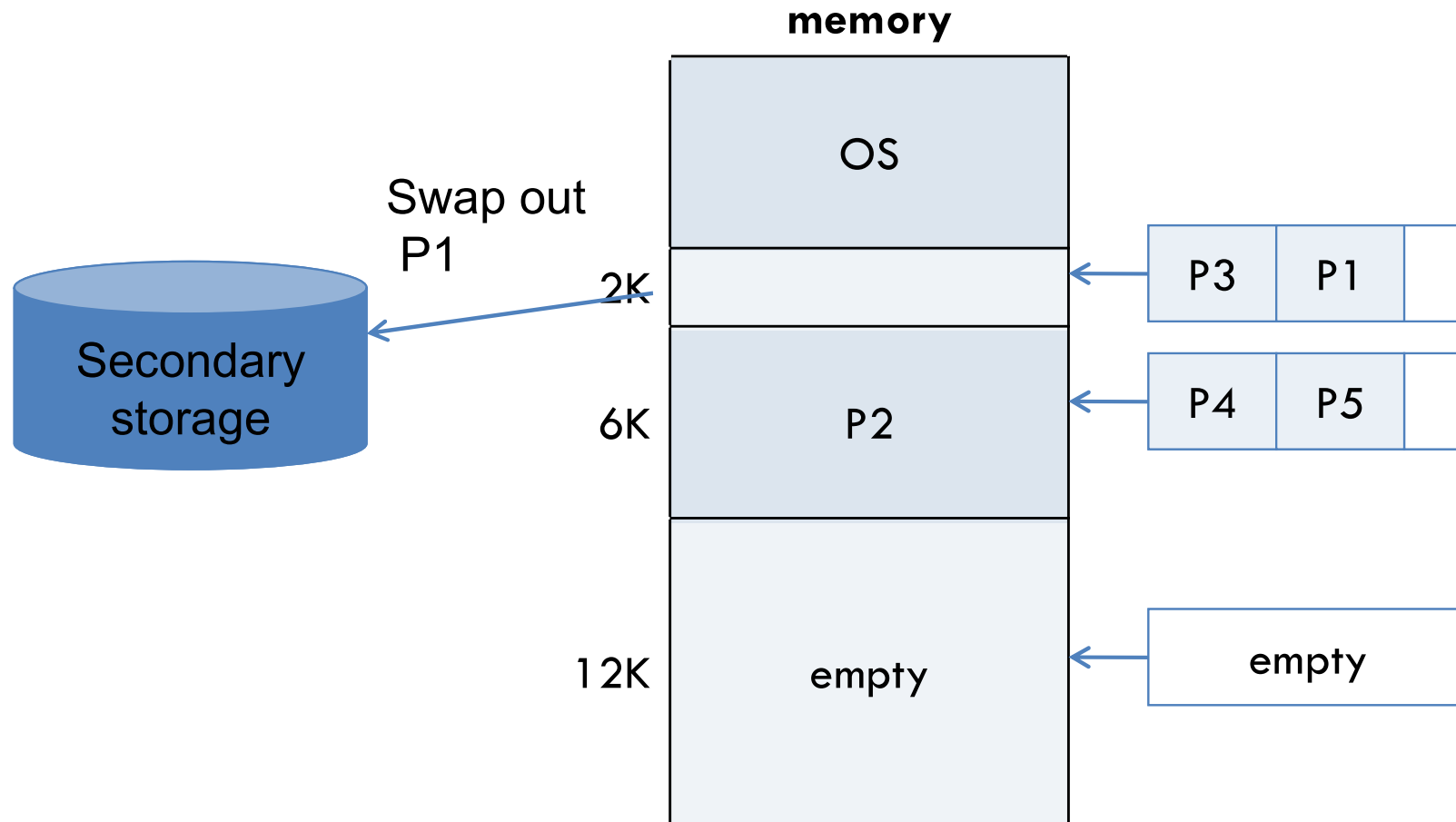


## Fixed Partitioning with Swapping

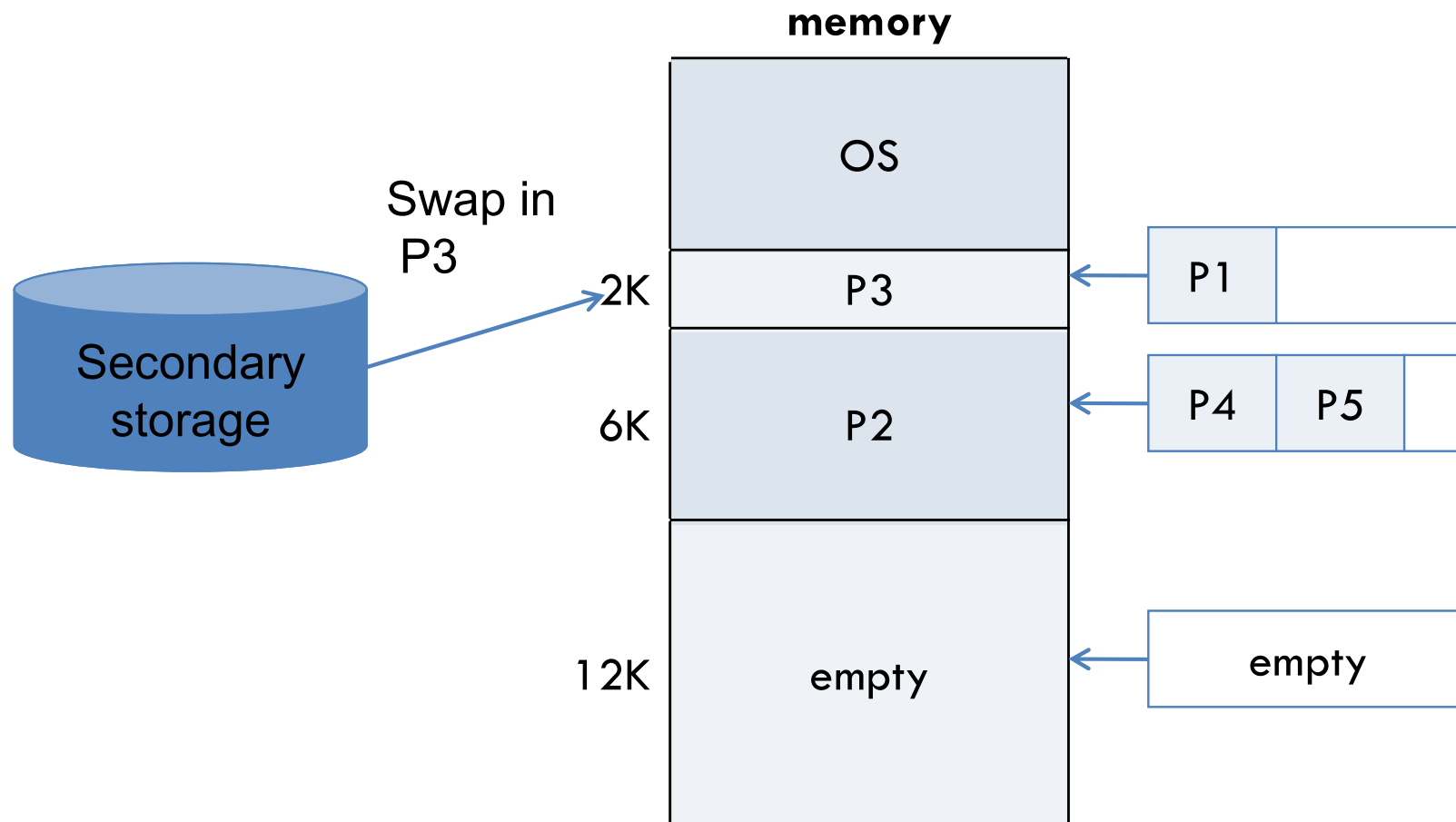




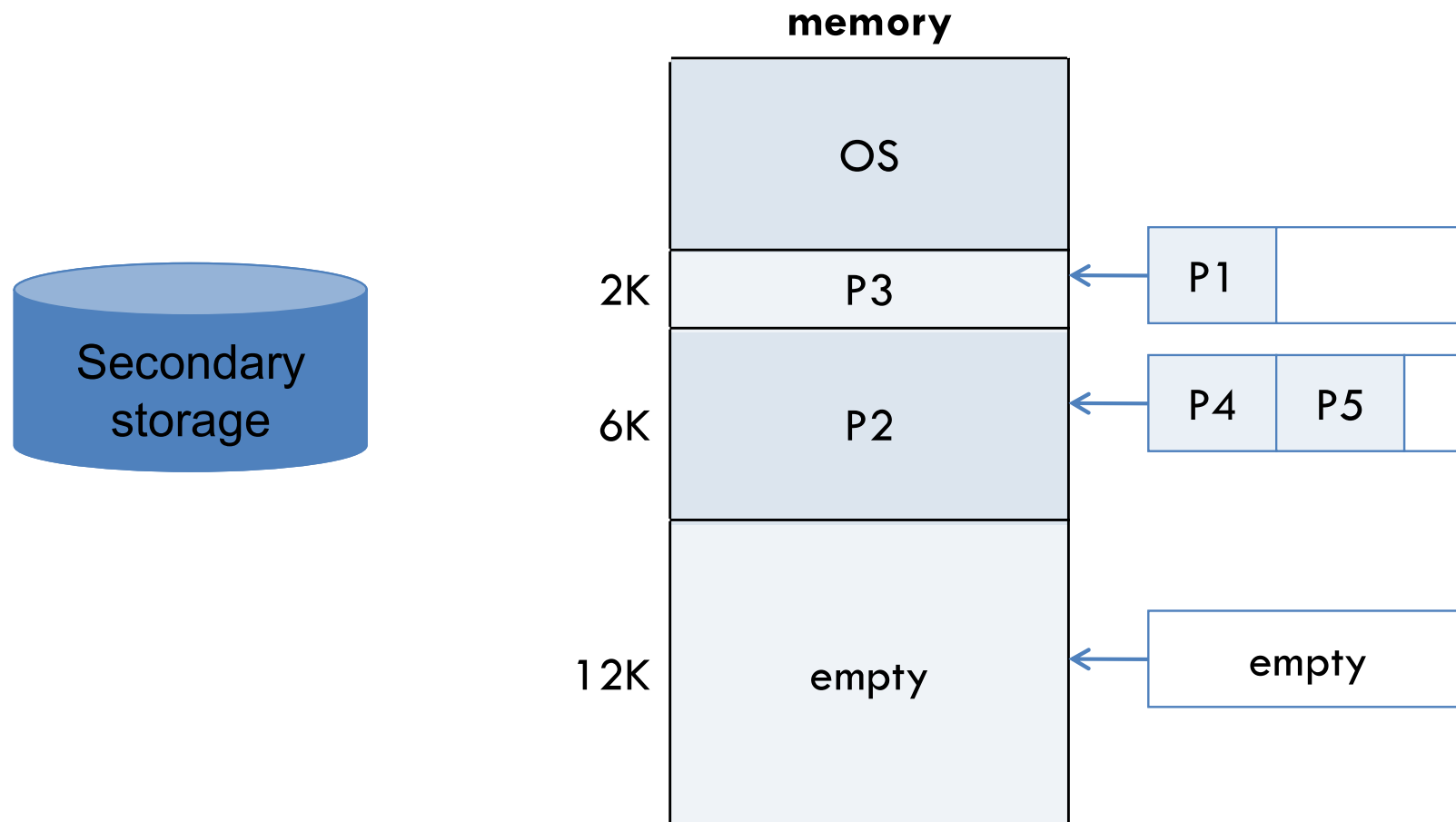
## Fixed Partitioning with Swapping



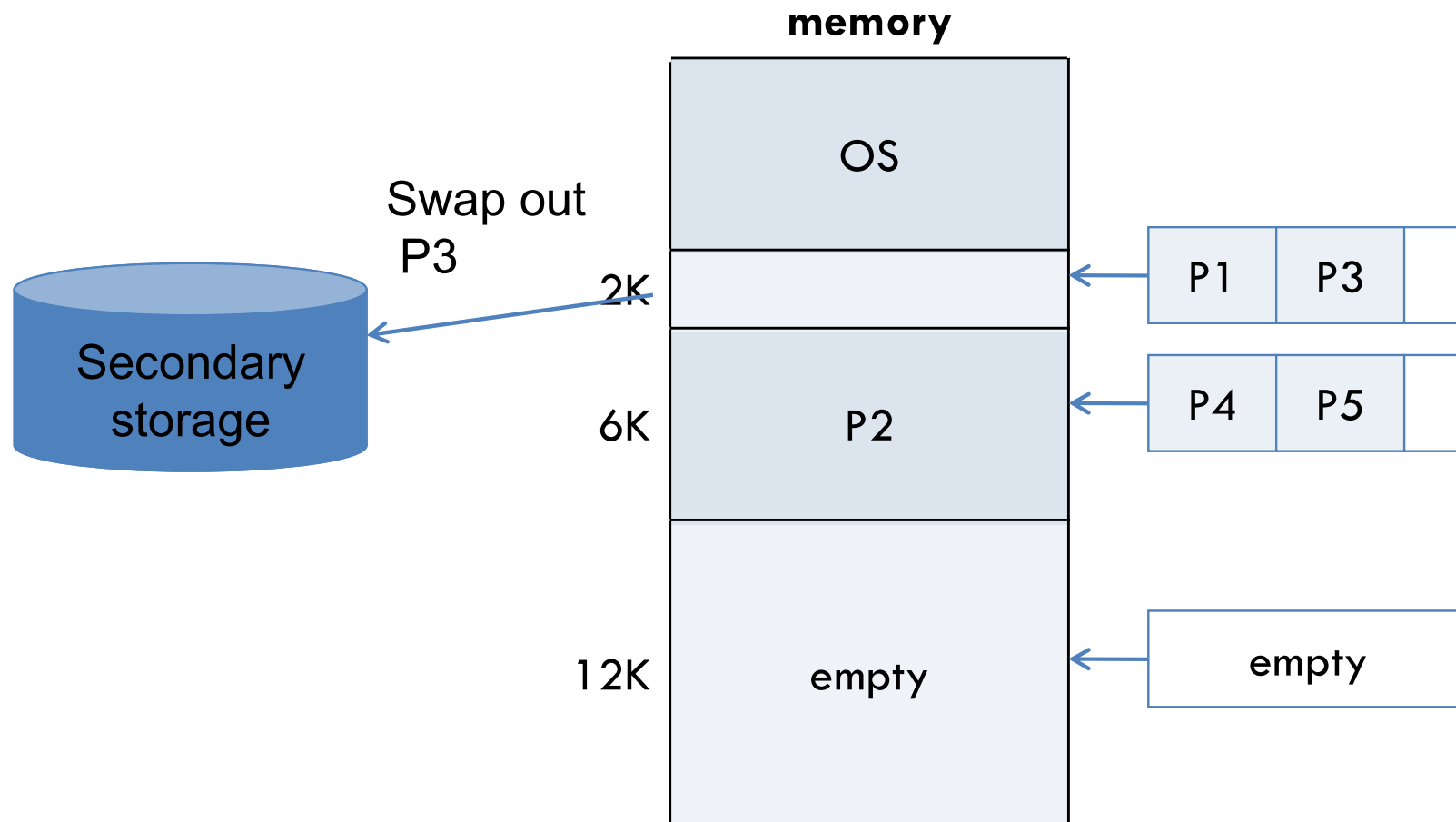
## Fixed Partitioning with Swapping



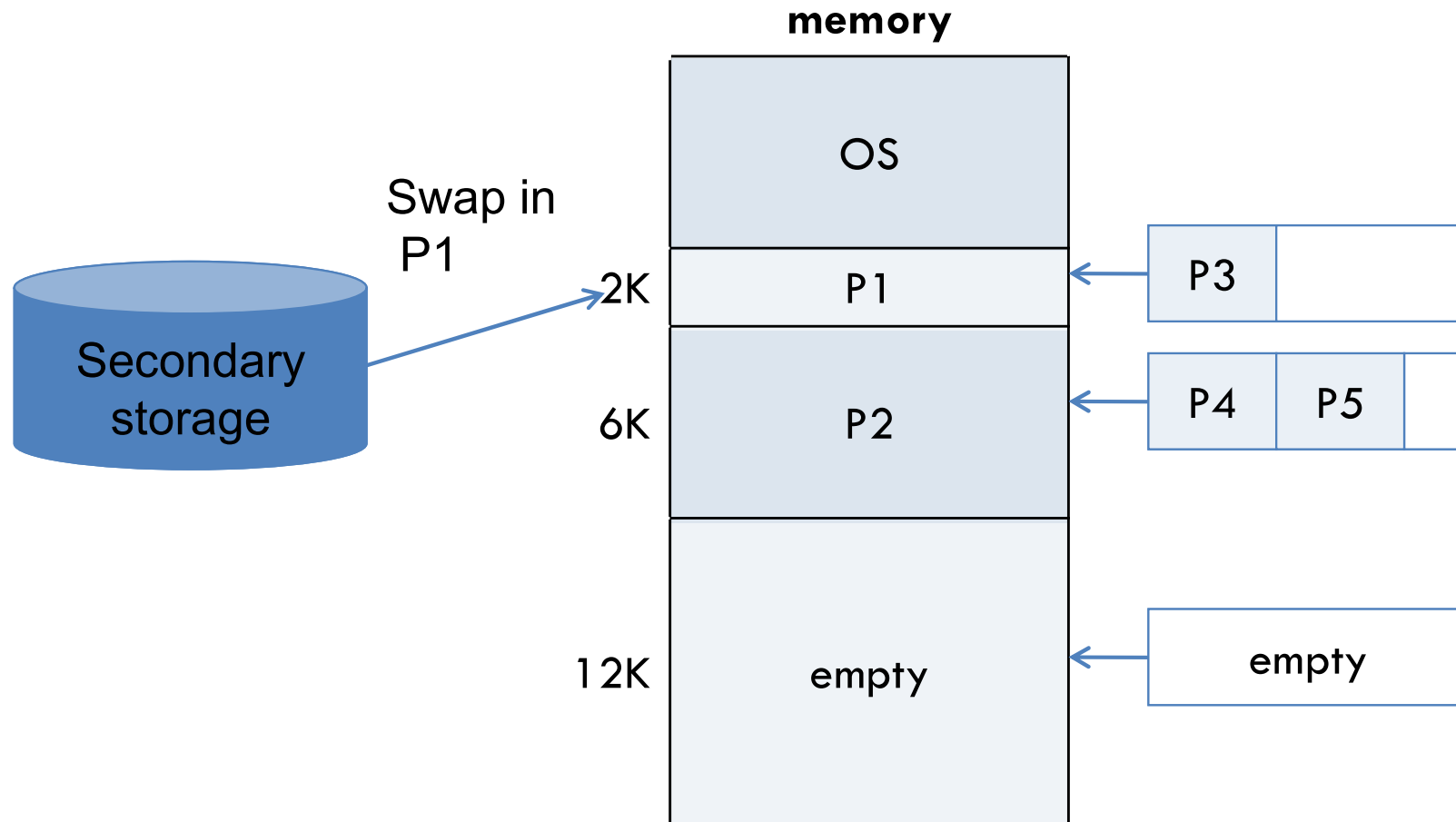
## Fixed Partitioning with Swapping



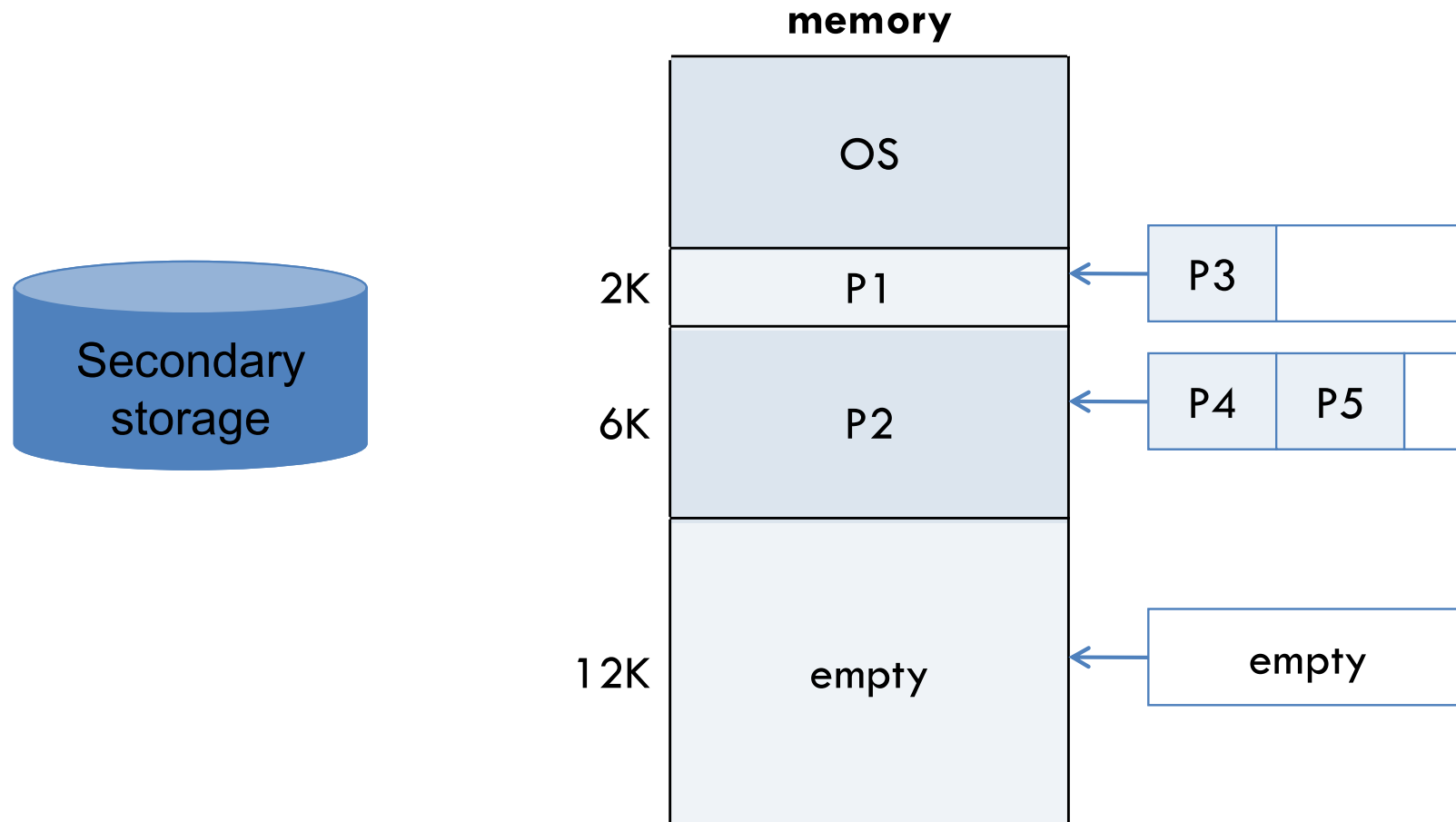
## Fixed Partitioning with Swapping



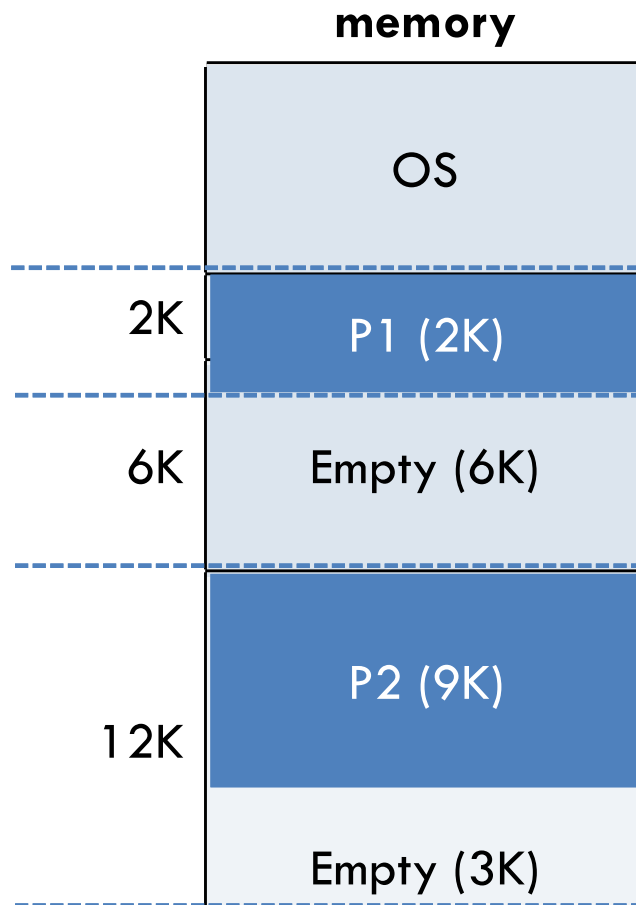
## Fixed Partitioning with Swapping



## Fixed Partitioning with Swapping



# Fragmentation



If a whole partition is currently not being used, then it is called an **external fragmentation**.

If a partition is being used by a process requiring some memory smaller than the partition size, then it is called an **internal fragmentation**.

## Variable Partitioning

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- With fixed partitions we have to deal with the problem of determining the number and sizes of partitions to minimize internal and external fragmentation.
- If we use variable partitioning instead, then partition sizes may vary dynamically.
- In the variable partitioning method, we keep a table (linked list) indicating used/free areas in memory.





## Variable Partitioning

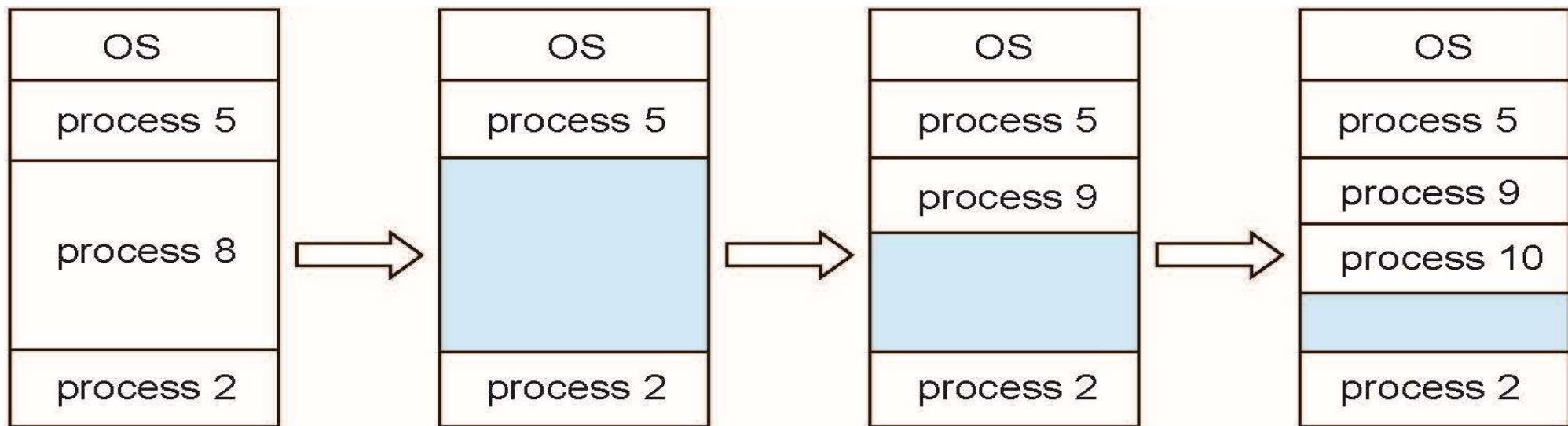
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- Initially, the whole memory is free and it is considered as one large block.
- When a new process arrives, the OS searches for a block of free memory large enough for that process.
- We keep the rest available (free) for the future processes.
- If a block becomes free, then the OS tries to merge it with its neighbors if they are also free.



## Variable Partitioning

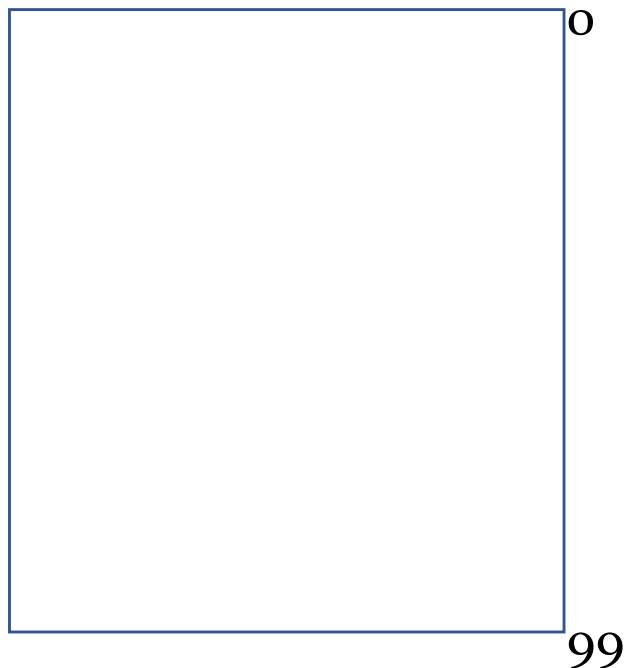
- Degree of multiprogramming limited by number of partitions.
- Variable-partition sizes for efficiency (sized to a given process' needs).
- **Hole** – block of available memory; holes of various size are scattered throughout memory.
- When a process arrives, it is allocated memory from a hole large enough to accommodate it.
- Process exiting frees its partition, adjacent free partitions combined.
- Operating system maintains information about:
  - a) allocated partitions   b) free partitions (hole)



# Variable partition working

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- Suppose total memory is 100Mb



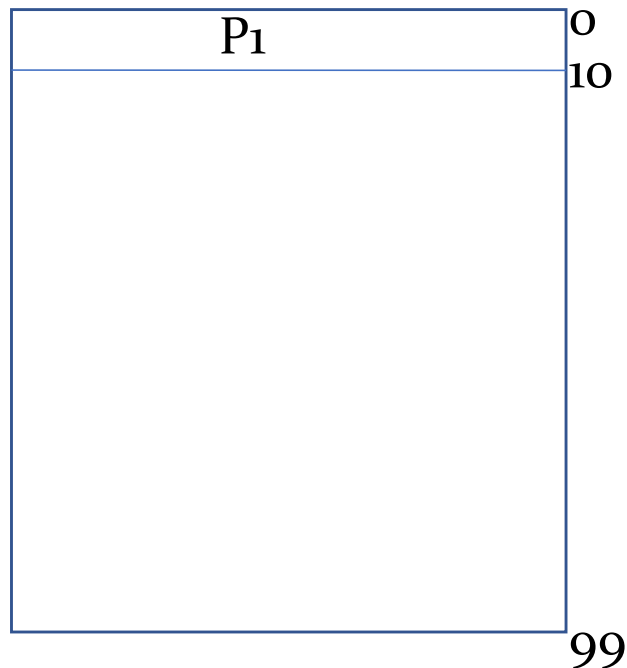
Memory	Status
0-99	Free



# Variable partition working

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- Process P1 requires 10Mb space

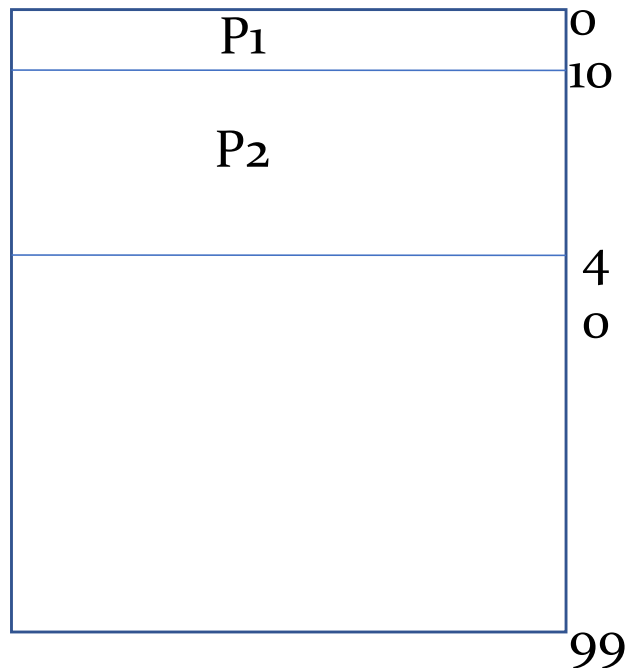


Memory	Status
0-9	P1
10-99	free



# Variable partition working

- Process P2 requires 30Mb space

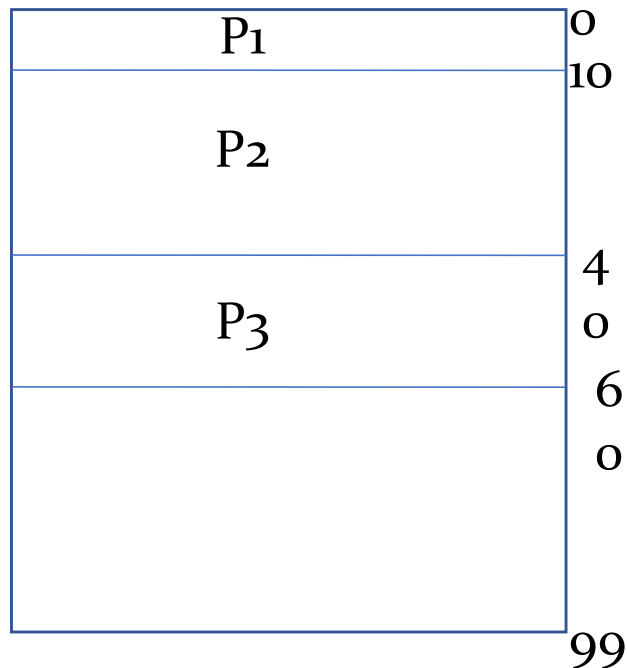


Memory	Status
0-9	P1
10-99	free



# Variable partition working

- Process P3 requires 20Mb space

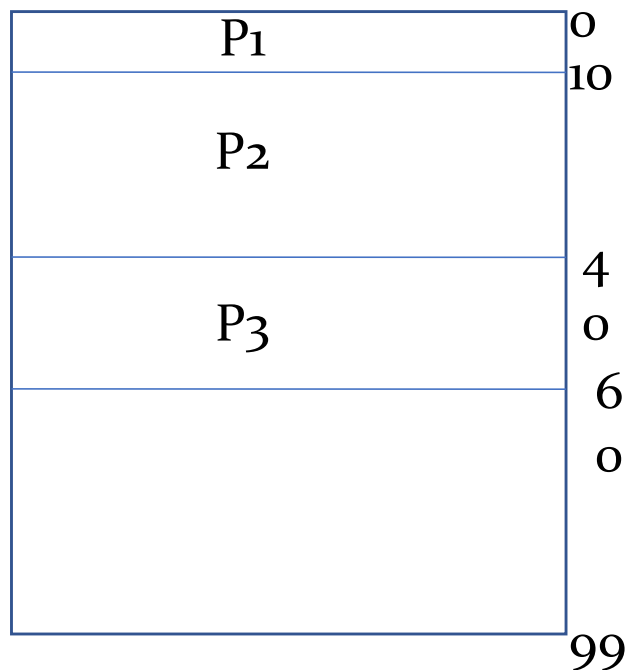


Memory	Status
0-9	P1
10-39	P2
40-59	P3
60-99	Free



# Variable partition working

- Process P<sub>1</sub> finishes its execution.
- So the space is freed

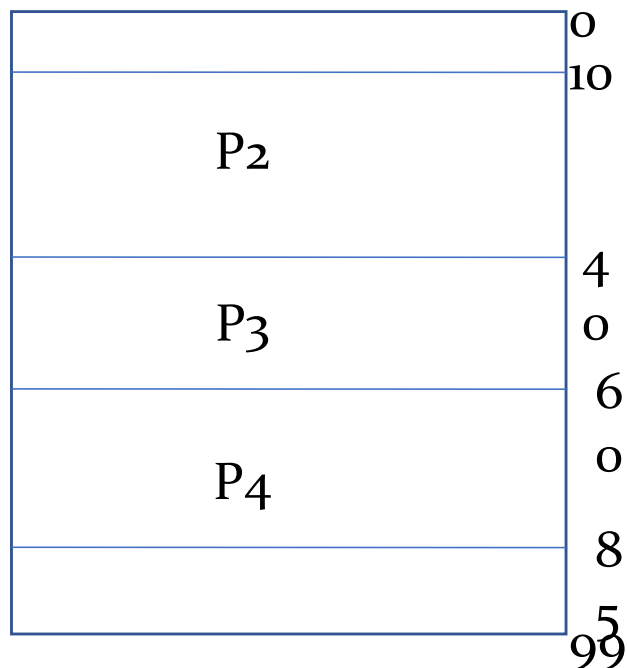


Memory	Status
0-9	Free
10-39	P2
40-59	P3
60-99	Free



# Variable partition working

- Process P4 requires 25 Mb space



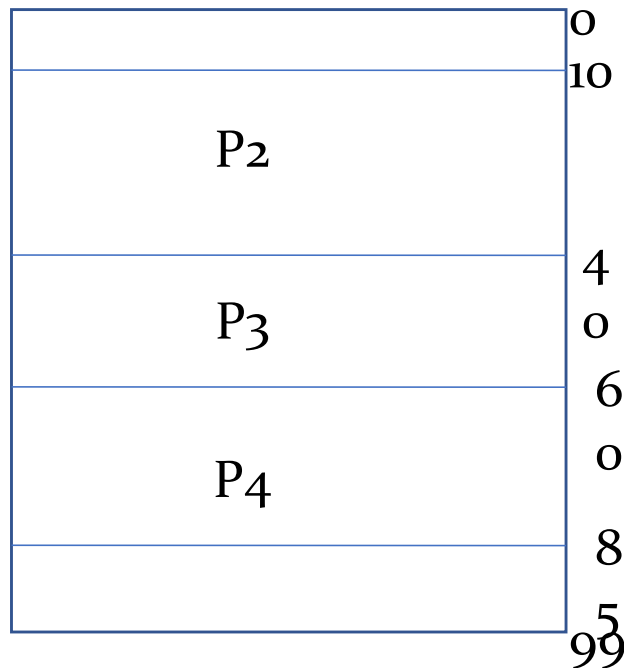
Memory	Status
0-9	Free
10-39	P2
40-59	P3
60-84	P4
85-99	Free





# Variable partition working

- Process P3 finishes its execution.
- So the space is freed



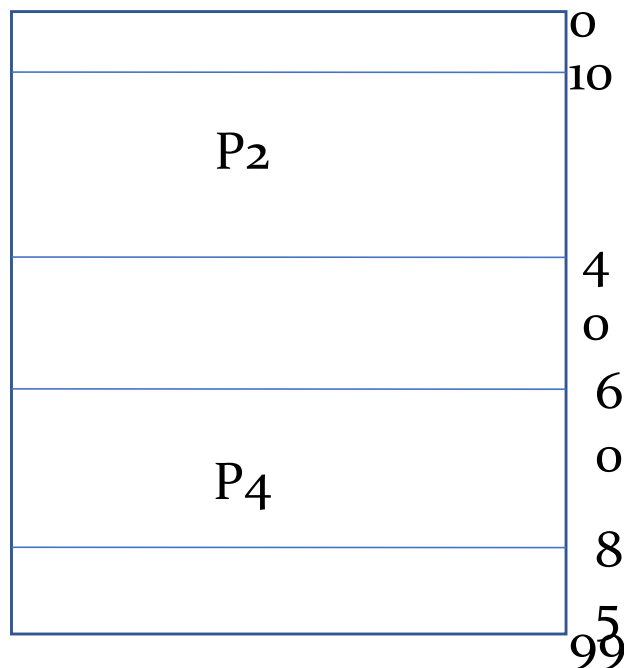
Memory	Status
0-9	Free
10-39	P2
40-59	Free
60-84	P4
85-99	Free



# Variable partition working

- Process P5 requires 25 Mb space
- Can it be granted?

No, because 25Mb is not free in a single slot(hole)



Memory	Status
0-9	Free
10-39	P2
40-59	Free
60-84	P4
85-100	Free

10 Mb free

20 Mb free

15 Mb free

Total free space =  
 $10+20+15=45\text{Mb}$



## External Fragmentation

- When there is enough total memory space to satisfy a request, but the available space is not contiguous.
- Solution
  - Compaction/Defragmentation – shuffle the memory contents so as to place all free memory together in one large block.



## Memory Management- Contiguous Memory Allocation

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### ▪ Fragmentation

- **External Fragmentation** – total memory space exists to satisfy a request, but it is not contiguous
- Reduce external fragmentation by **compaction**
  - Shuffle memory contents to place **all free memory** together in one large block
  - Compaction is possible *only* if **relocation is dynamic**, and is **done at execution time**
  - **I/O problem**
    - **Latch job** in memory while it is involved in I/O
    - Do I/O only into **OS buffers**
- **Internal fragmentation** also occurs, with all memory allocation strategies. This is caused by the fact **that memory is allocated in blocks of a fixed size**, whereas **the actual memory needed** will **rarely be that exact size**.



# Thank You



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INSTITUTE OF TECHNOLOGY  
NAVI MUMBAI

Unit No: 5

Unit Name: Memory Management

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# Lecture:

## Memory Allocation Strategies:

Best-Fit, First Fit, Worst Fit, Next Fit, Buddy System, Relocation



## Memory Management- Contiguous Memory Allocation

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### ▪Memory Allocation

- One method of allocating contiguous memory is to **divide all available memory into equal sized partitions**, and to assign each process to their own partition. This restricts both the **number of simultaneous processes and the maximum size of each process**, and is no longer used.
- An **alternate approach** is to keep a **list of unused ( free ) memory blocks ( holes )**, and to **find a hole of a suitable size** whenever a process needs to be loaded into memory. There are **many different strategies** for finding the "best" **allocation of memory to processes**, including the three most commonly discussed:
  - **First-fit:** → Allocate the **first hole** that is big enough
  - **Best-fit:** → Allocate the **smallest hole** that is big enough;
    - Must search entire list, unless ordered by size
    - Produces the smallest leftover hole
  - **Worst-fit:** → Allocate the **largest hole**;
    - Must also search entire list
    - Produces the largest leftover hole



# Allocation Strategies

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- First Fit
  - Allocate the first spot in memory that is big enough to satisfy the requirements.
- Best Fit
  - Search through all the spots, allocate the spot in memory that most closely matches requirements.
- Next Fit
  - Scan memory from the location of the last placement and choose the next available block that is large enough.
- Worst Fit
  - The largest free block of memory is used for bringing in a process.





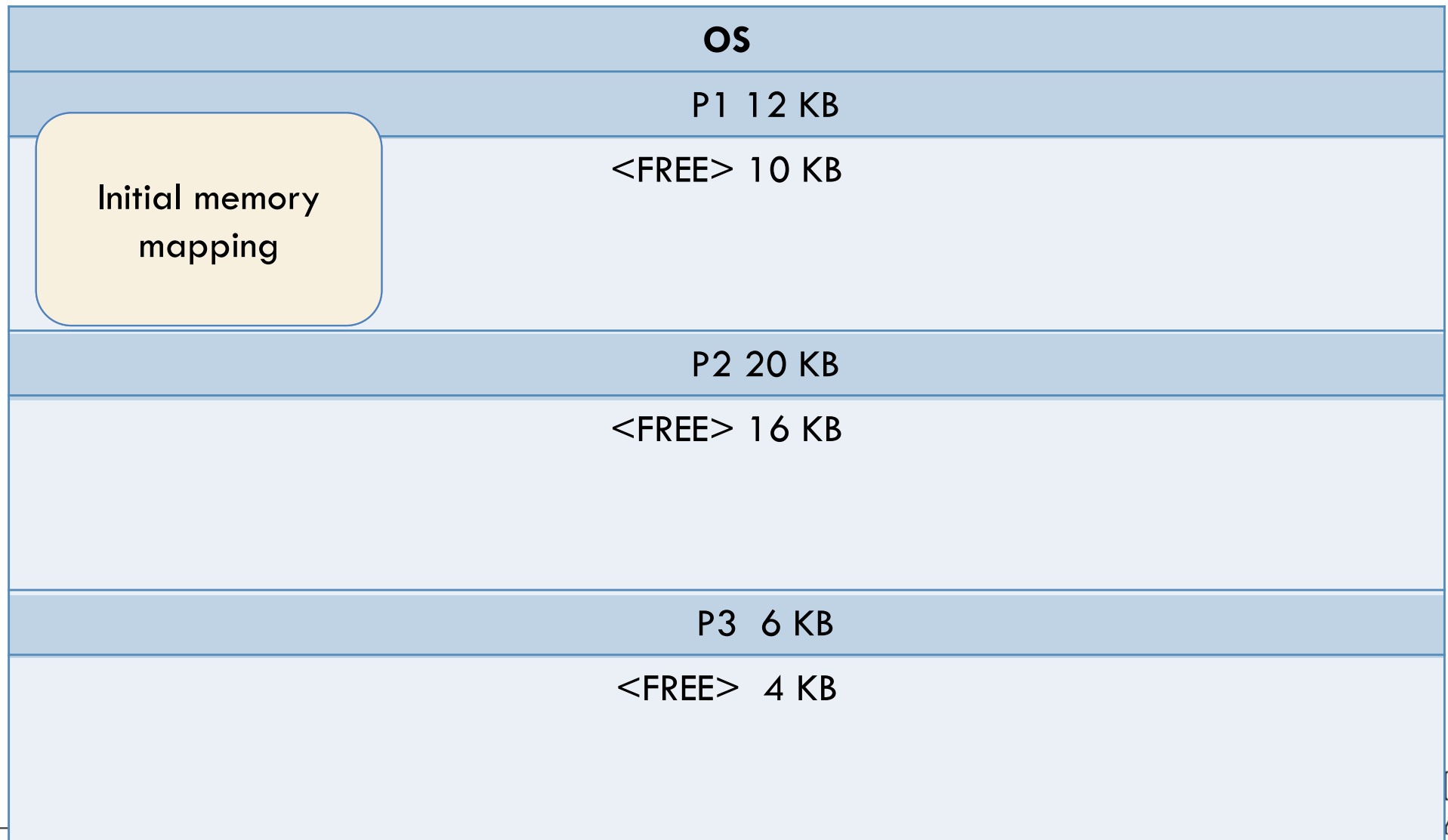
## First Fit

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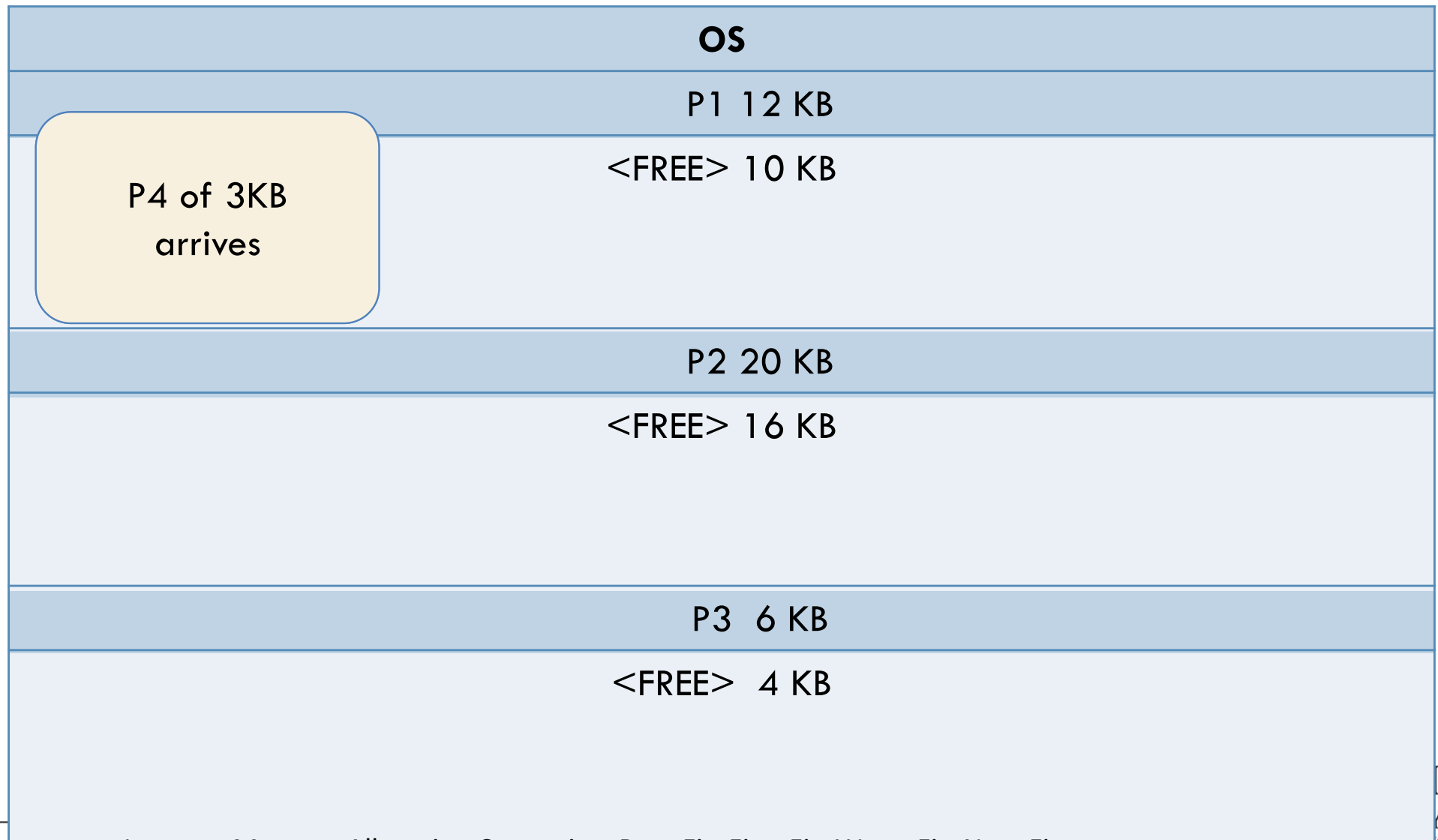
- First Fit : Allocate the first free block that is large enough for the new process.
- This is a fast algorithm.



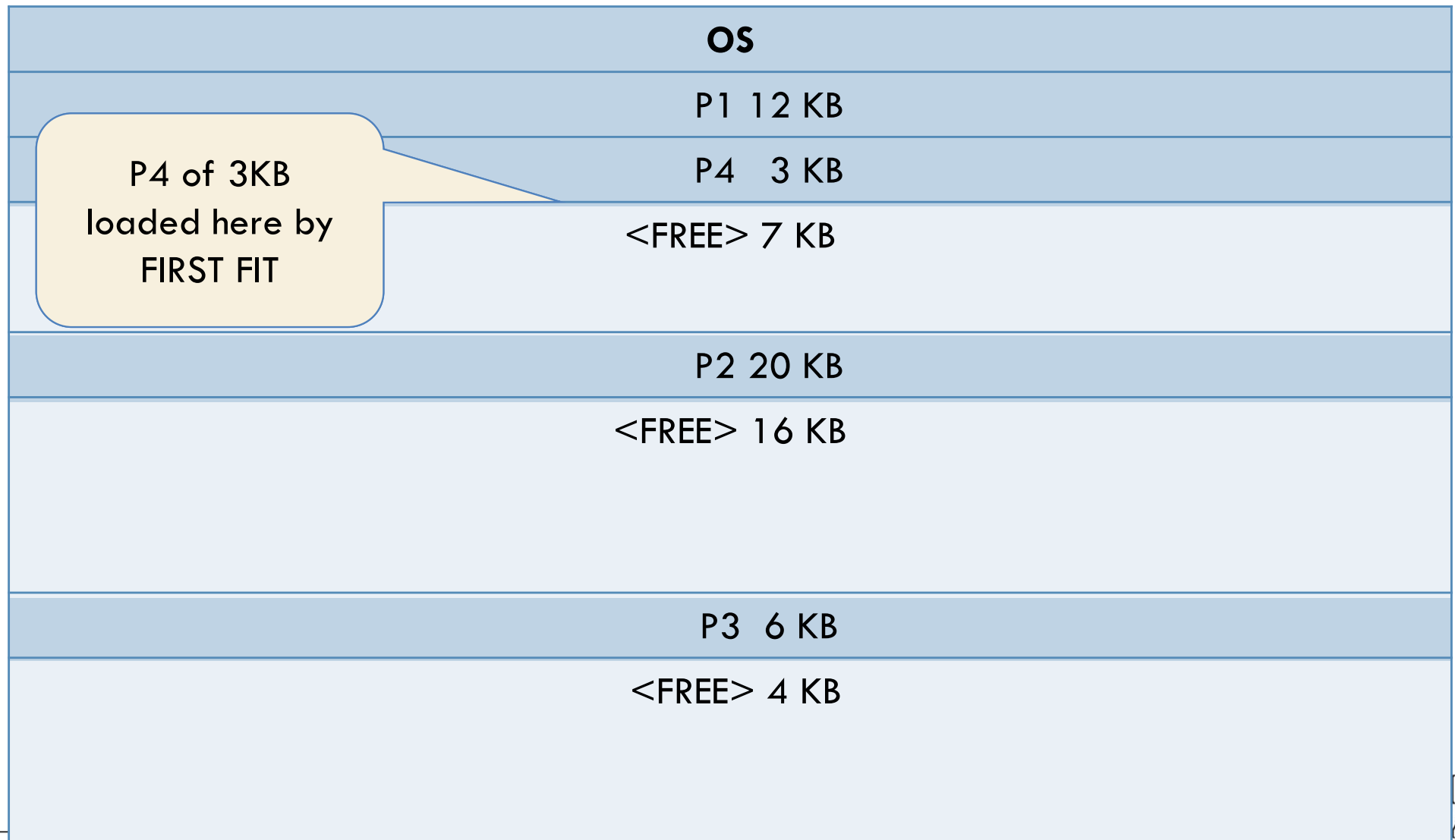
## First Fit



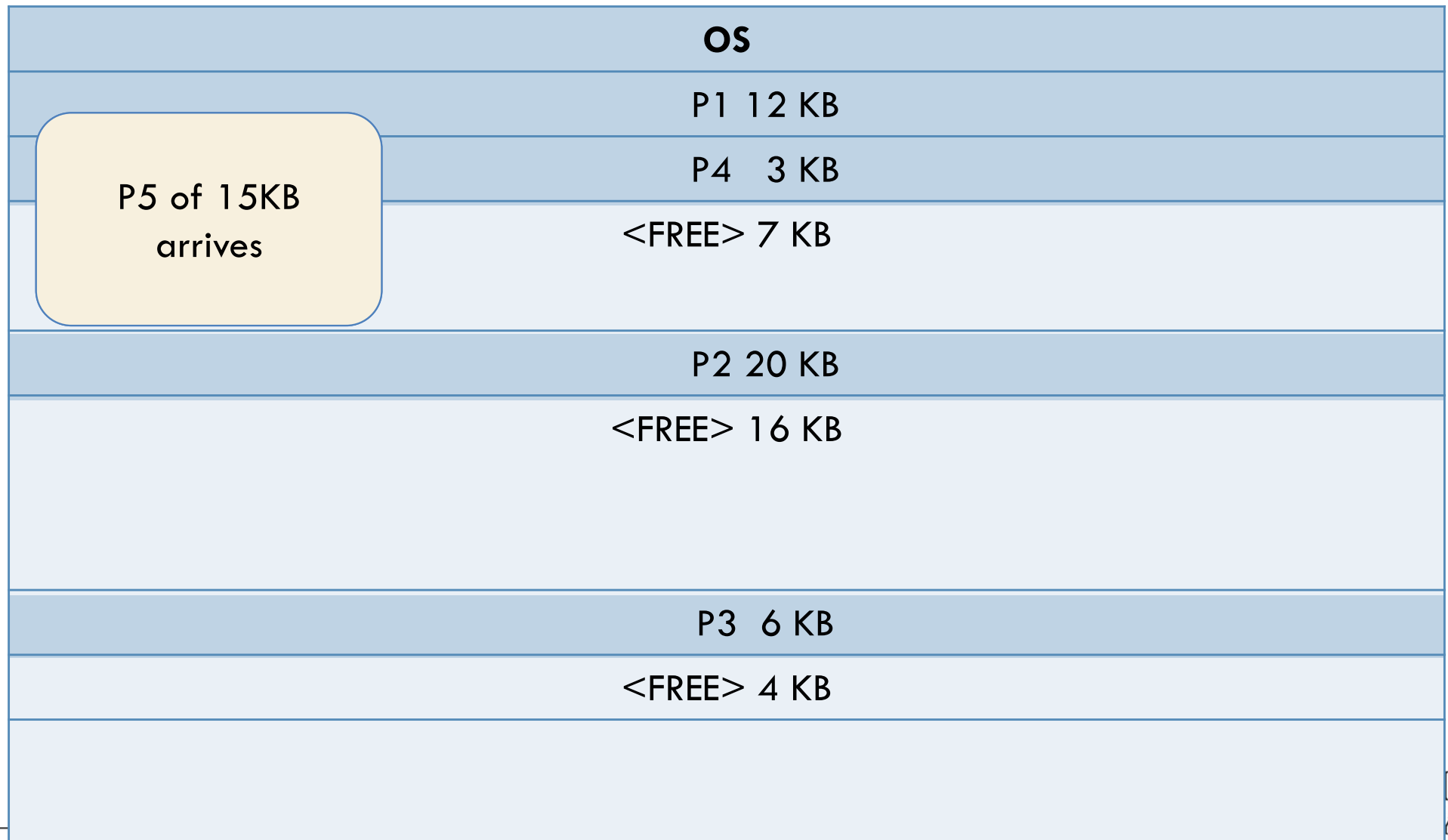
## First Fit



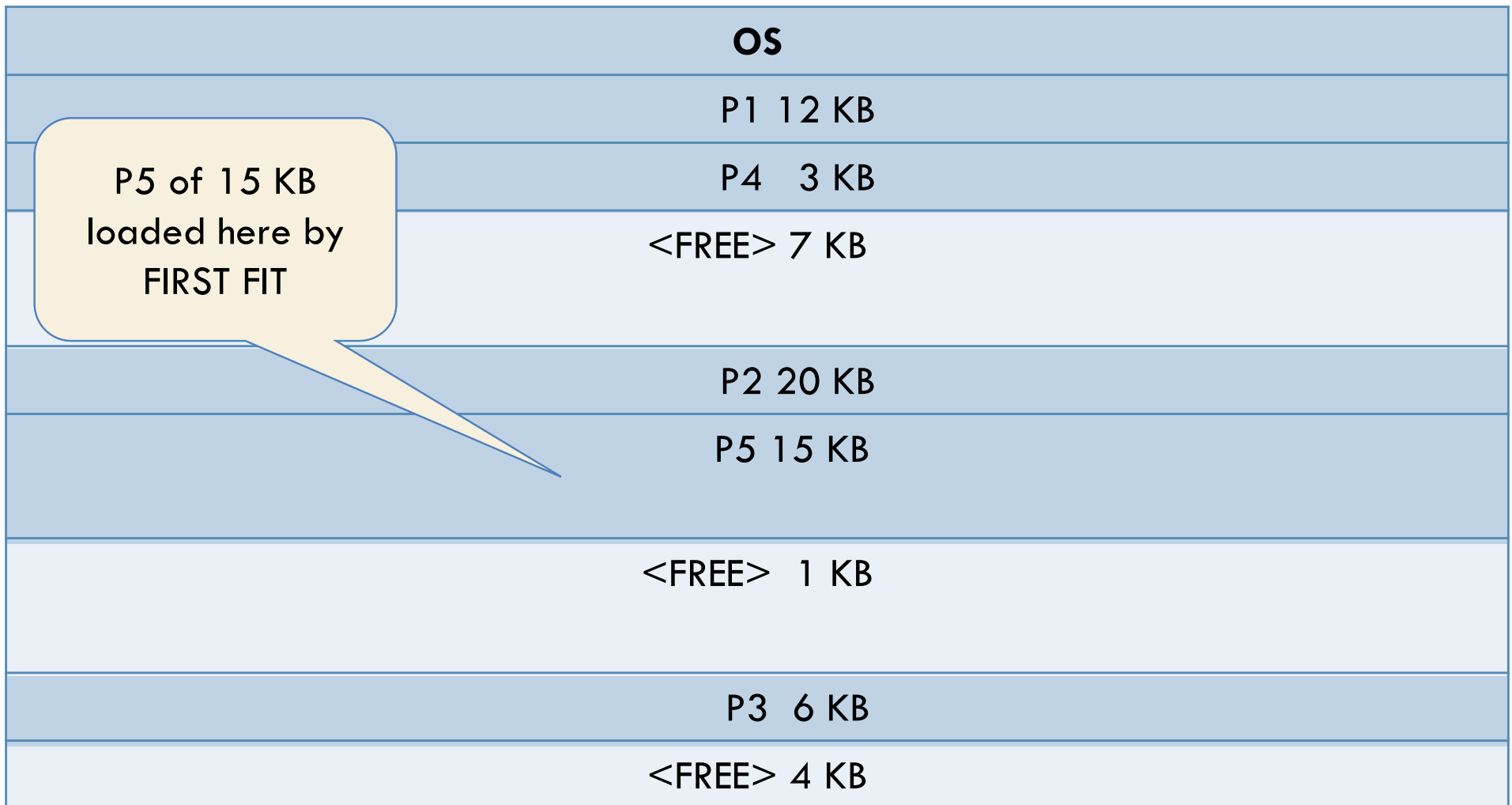
## First Fit



## First Fit



## First Fit



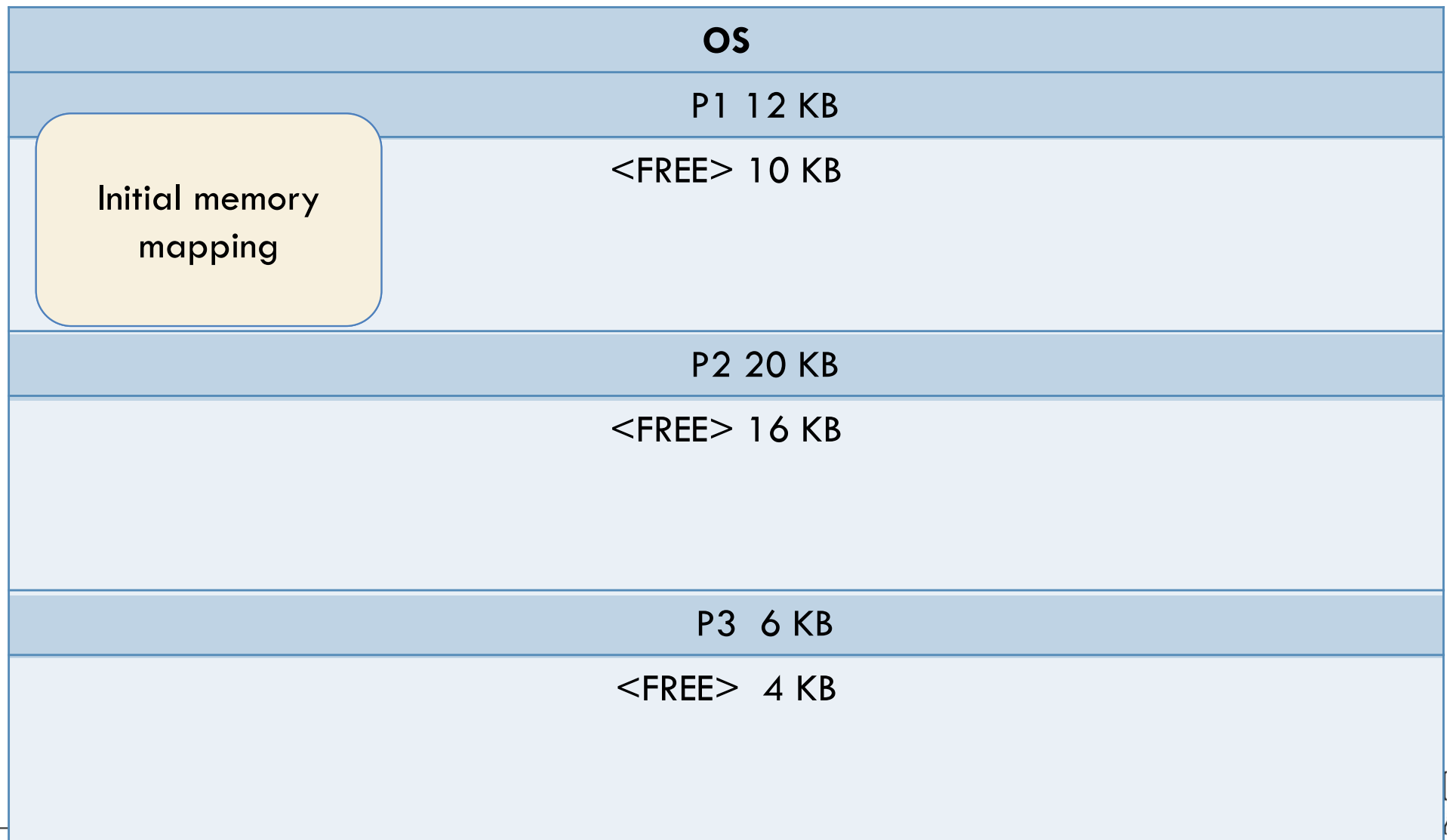
## Best Fit

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- Best Fit : Allocate the smallest block among those that are large enough for the new process.
- In this method, the OS has to search the entire list, or it can keep it sorted and stop when it hits an entry which has a size larger than the size of new process.
- This algorithm produces the smallest left over block.
- However, it requires more time for searching all the list or sorting it
- If sorting is used, merging the area released when a process terminates to neighboring free blocks, becomes complicated.

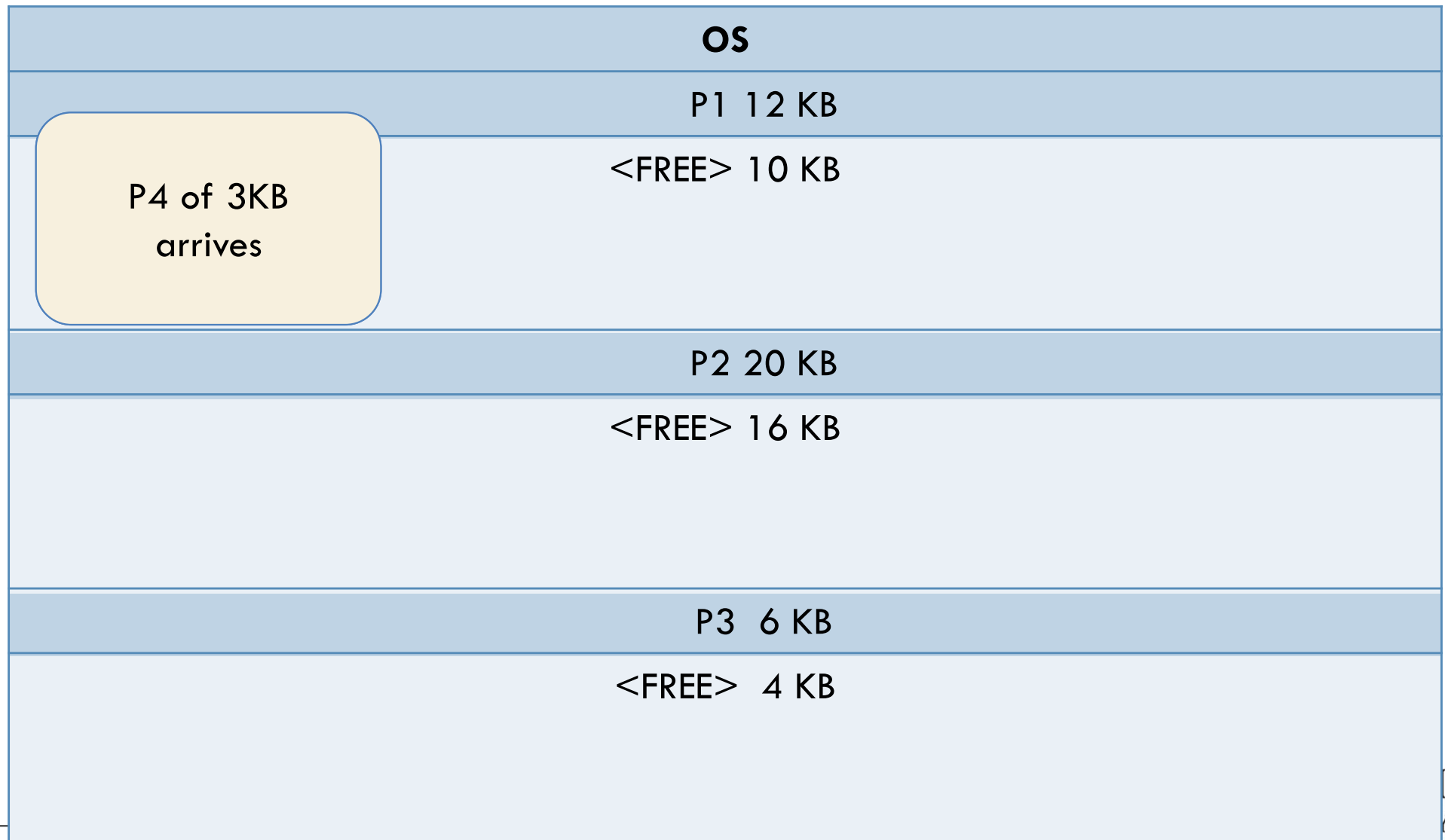


## Best Fit

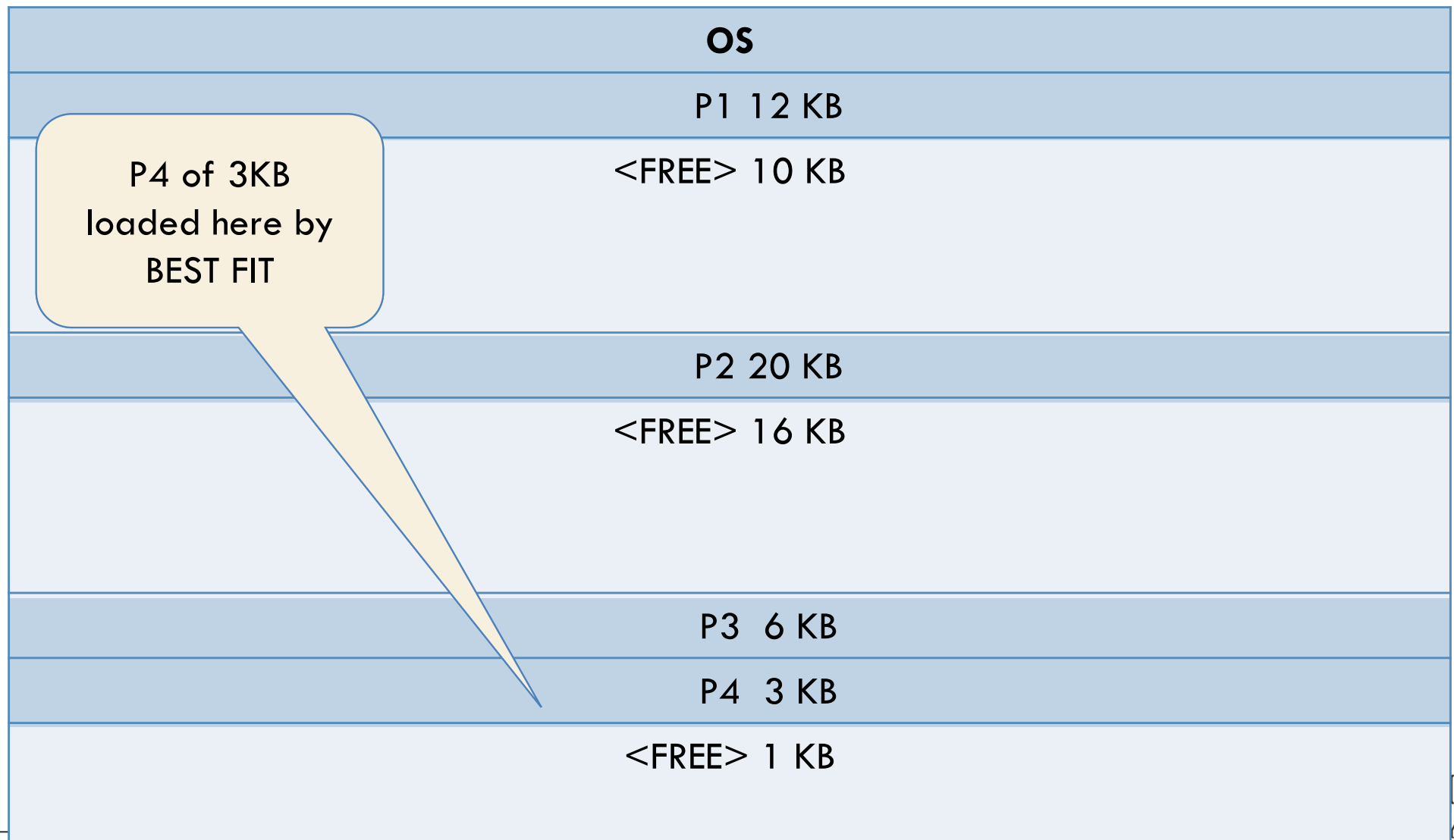




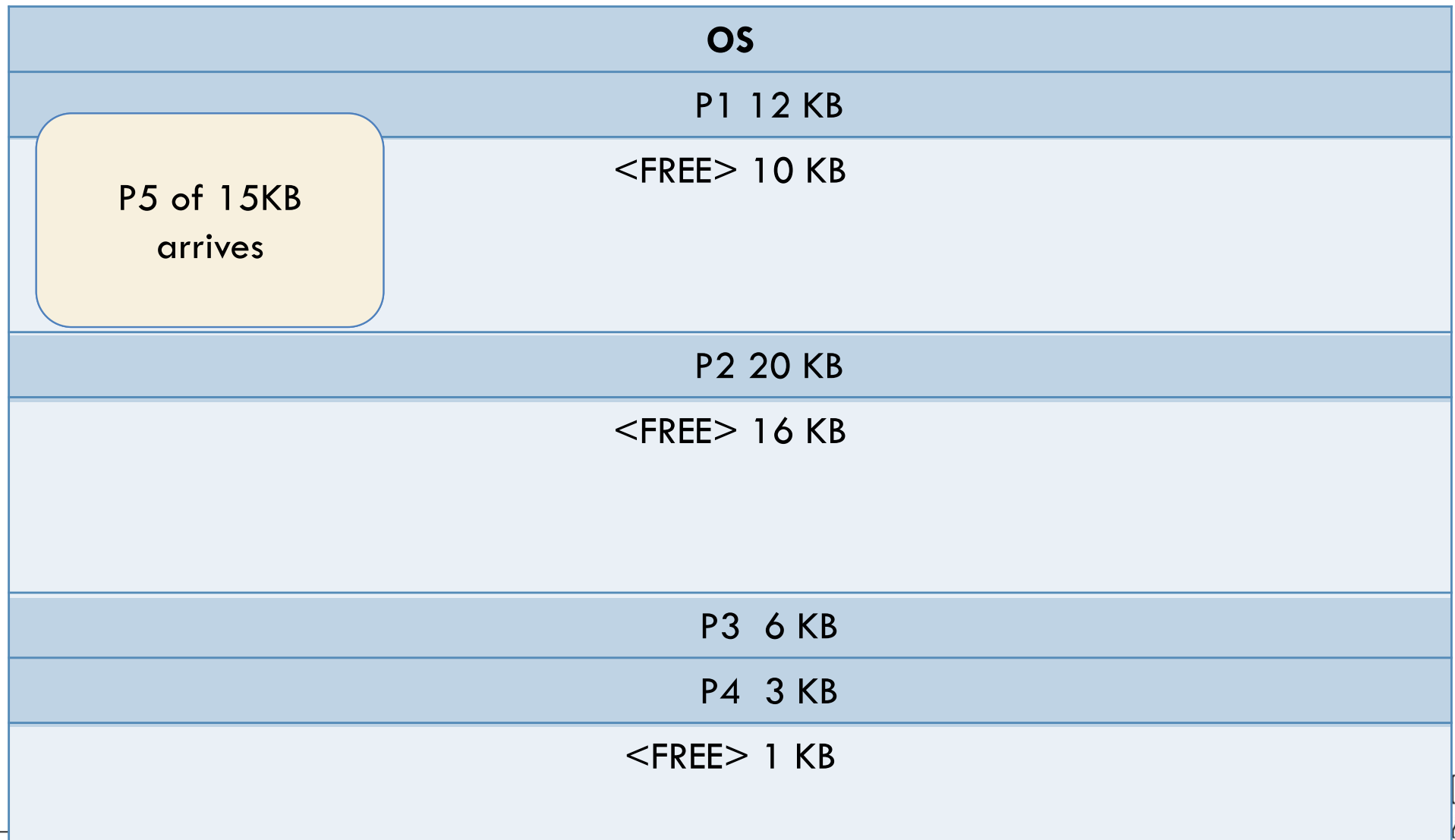
## Best Fit



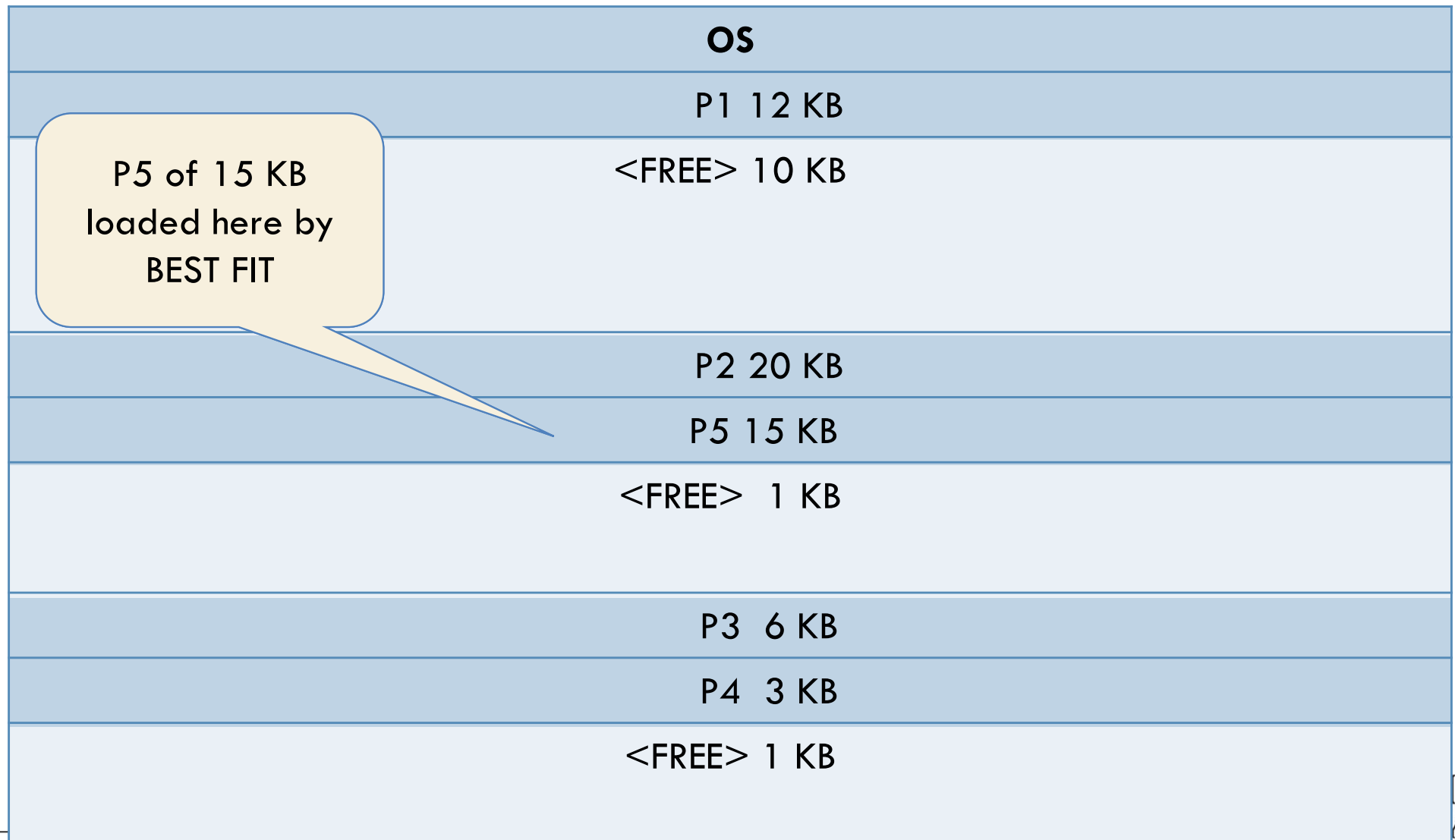
## Best Fit



## Best Fit



## Best Fit



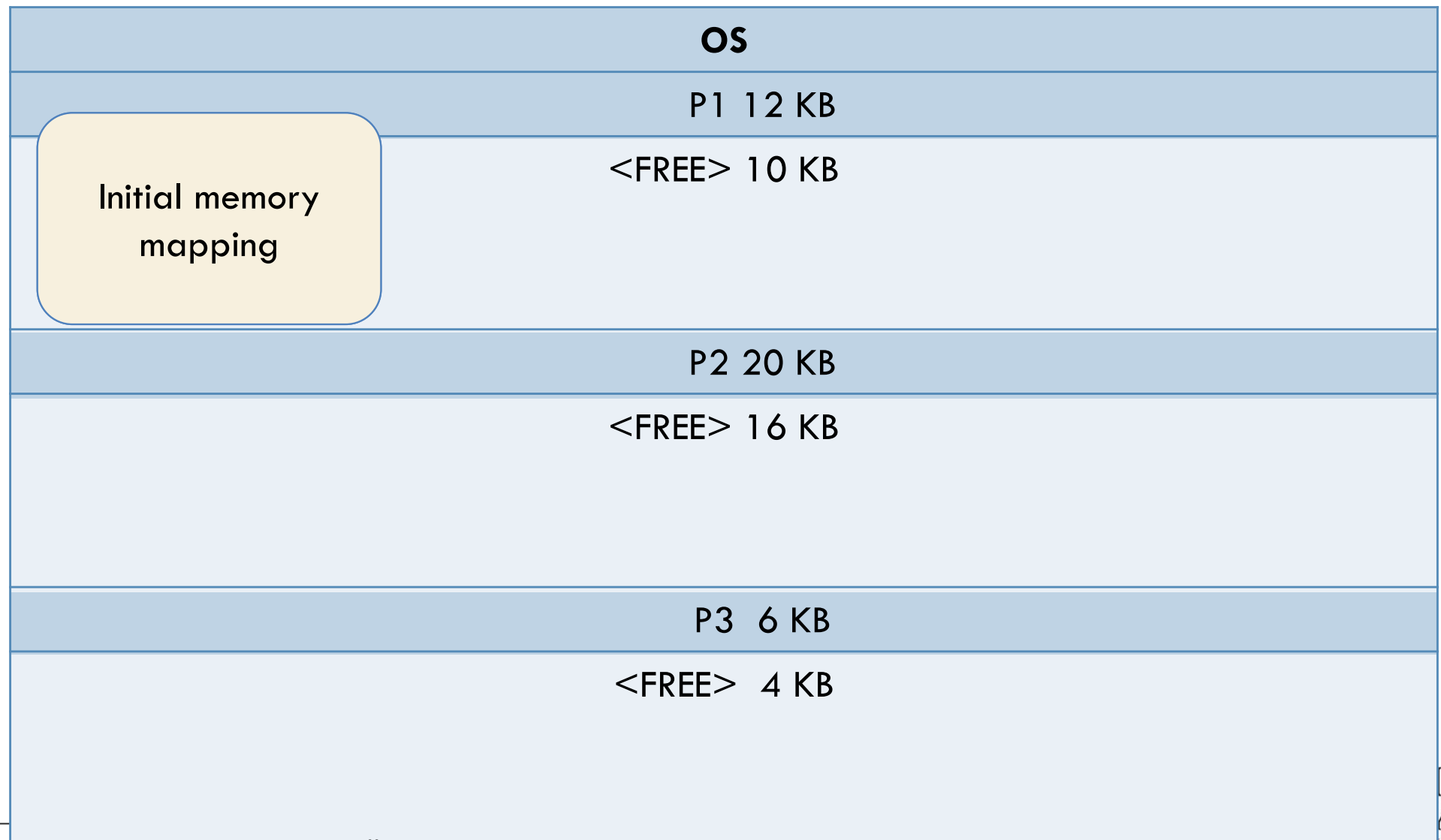
## Worst Fit

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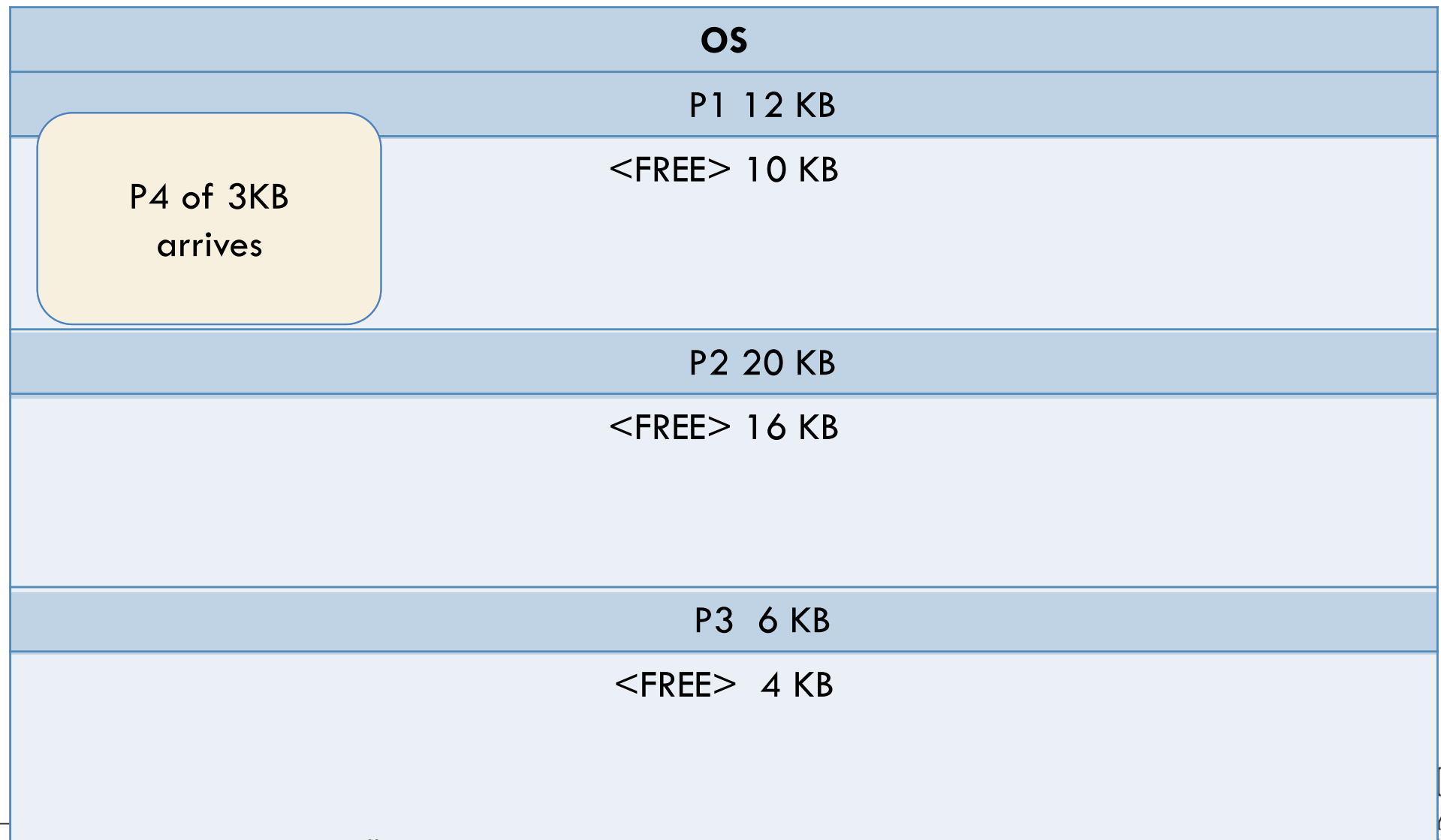
- ❑ Worst Fit : Allocate the largest block among those that are large enough for the new process.
- Again a search of the entire list or sorting it is needed.
- This algorithm produces the largest over block.



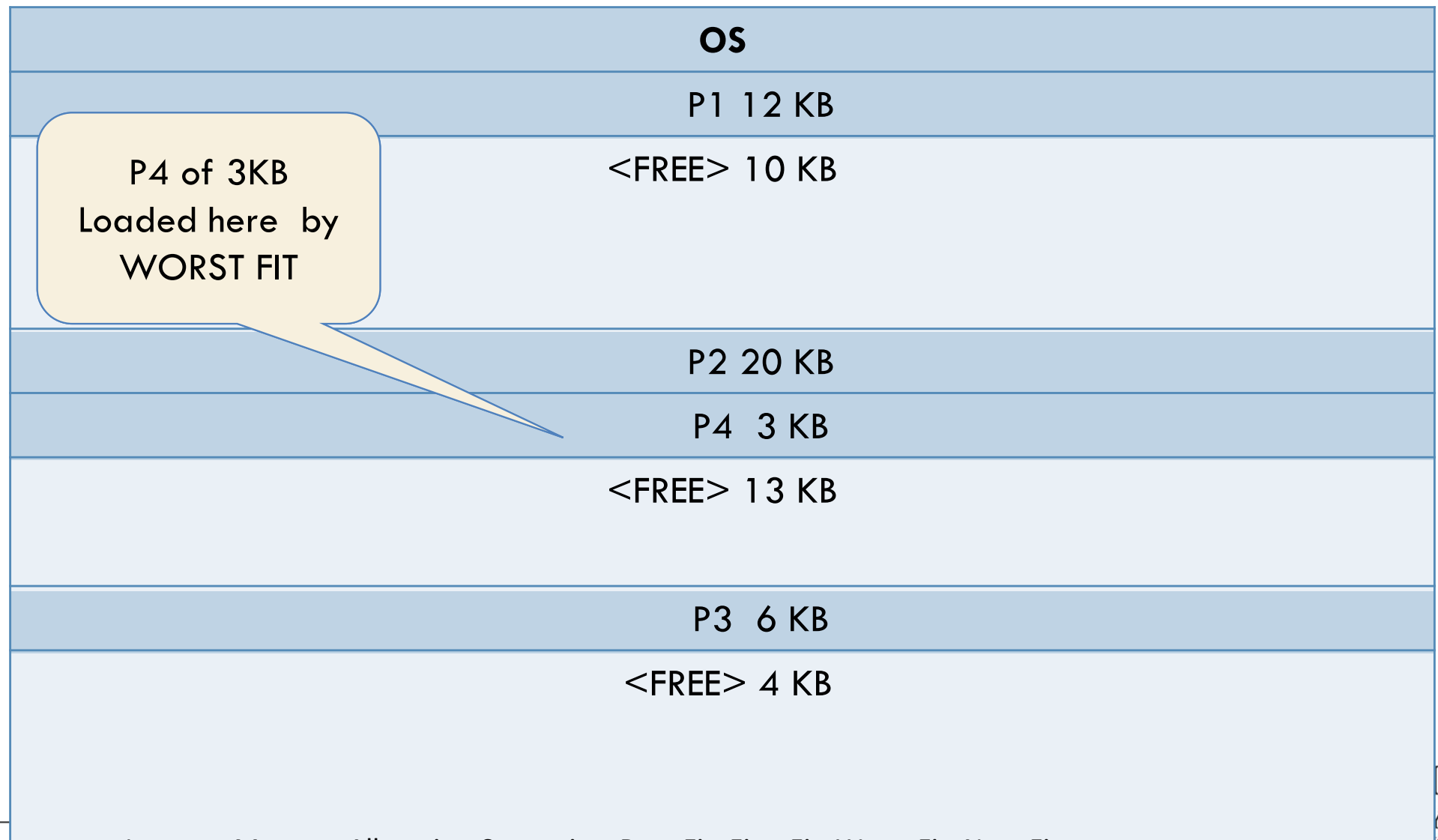
## Worst Fit



## Worst Fit

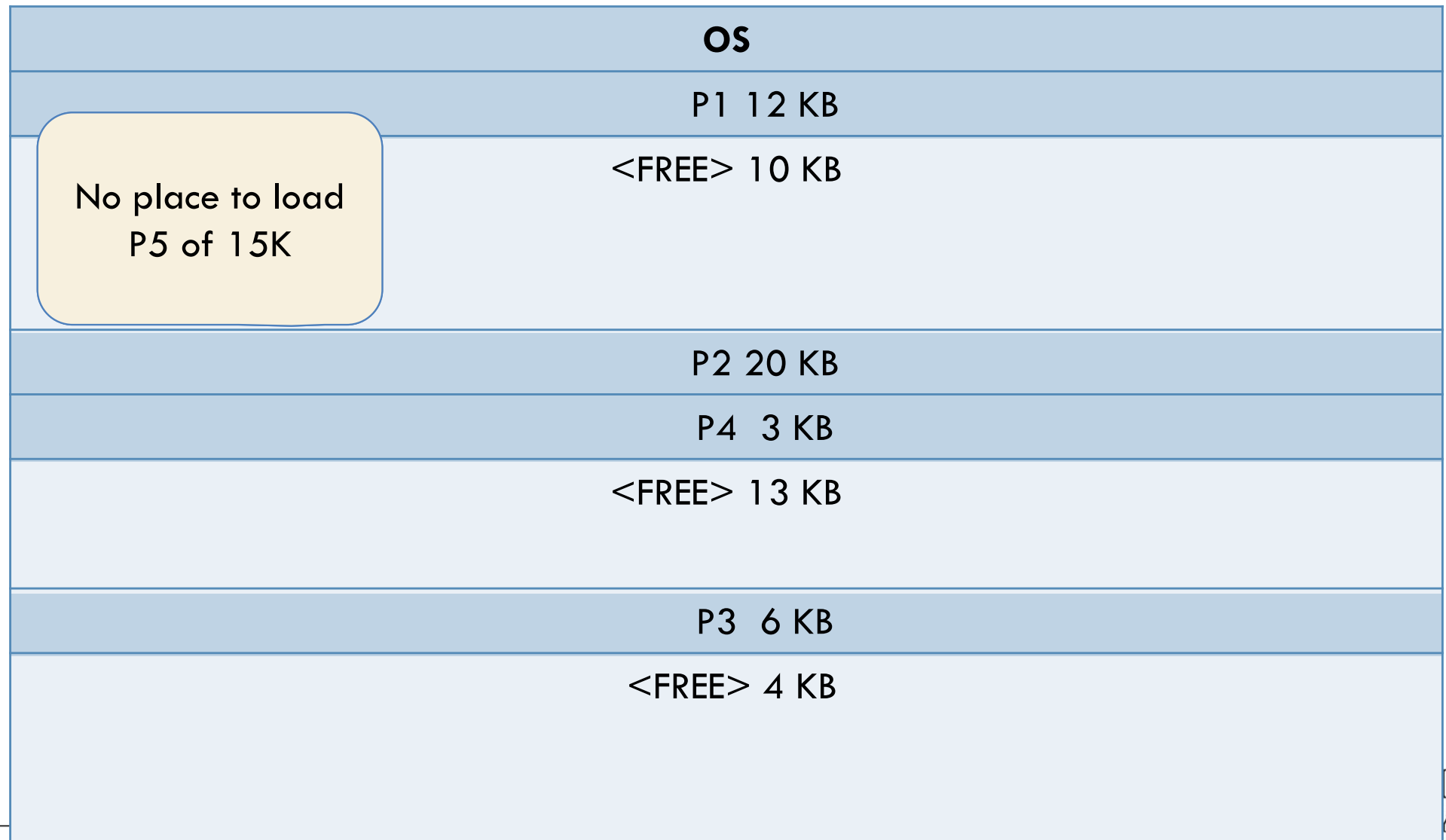


## Worst Fit

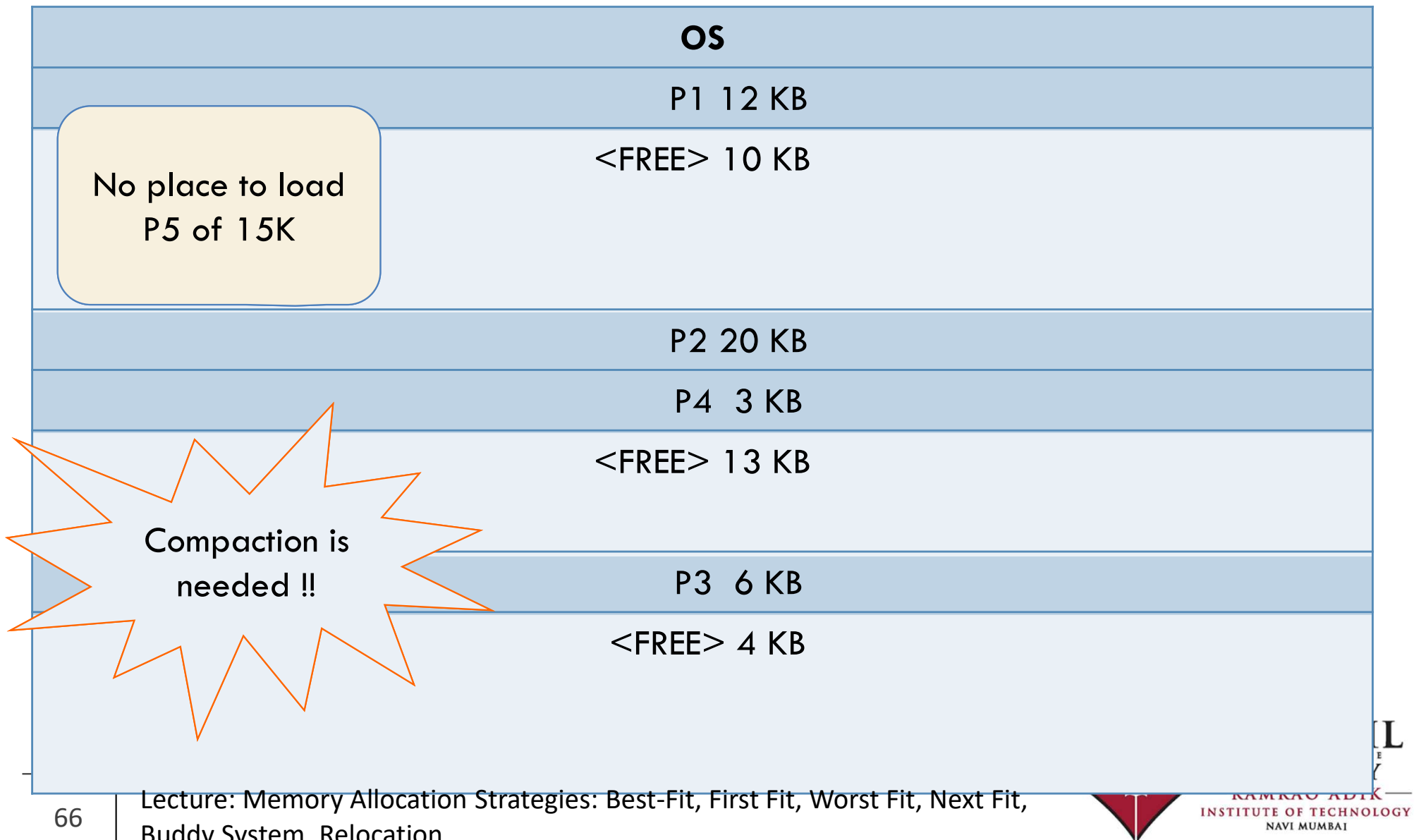




## Worst Fit



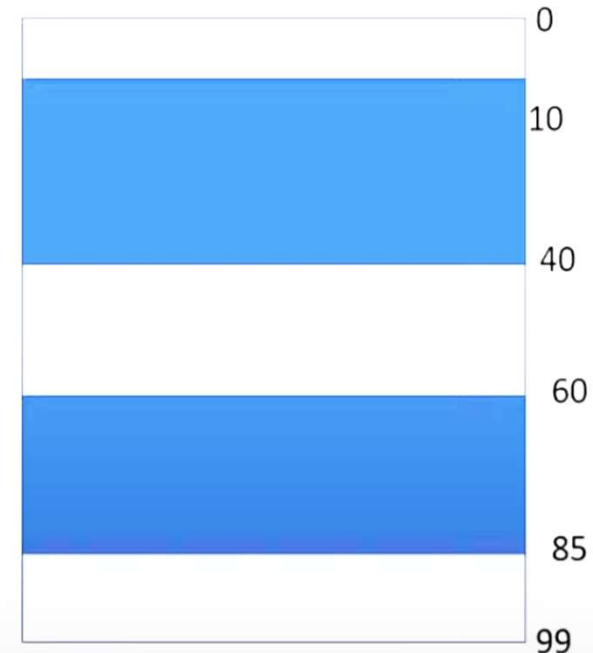
## Worst Fit



## Problem

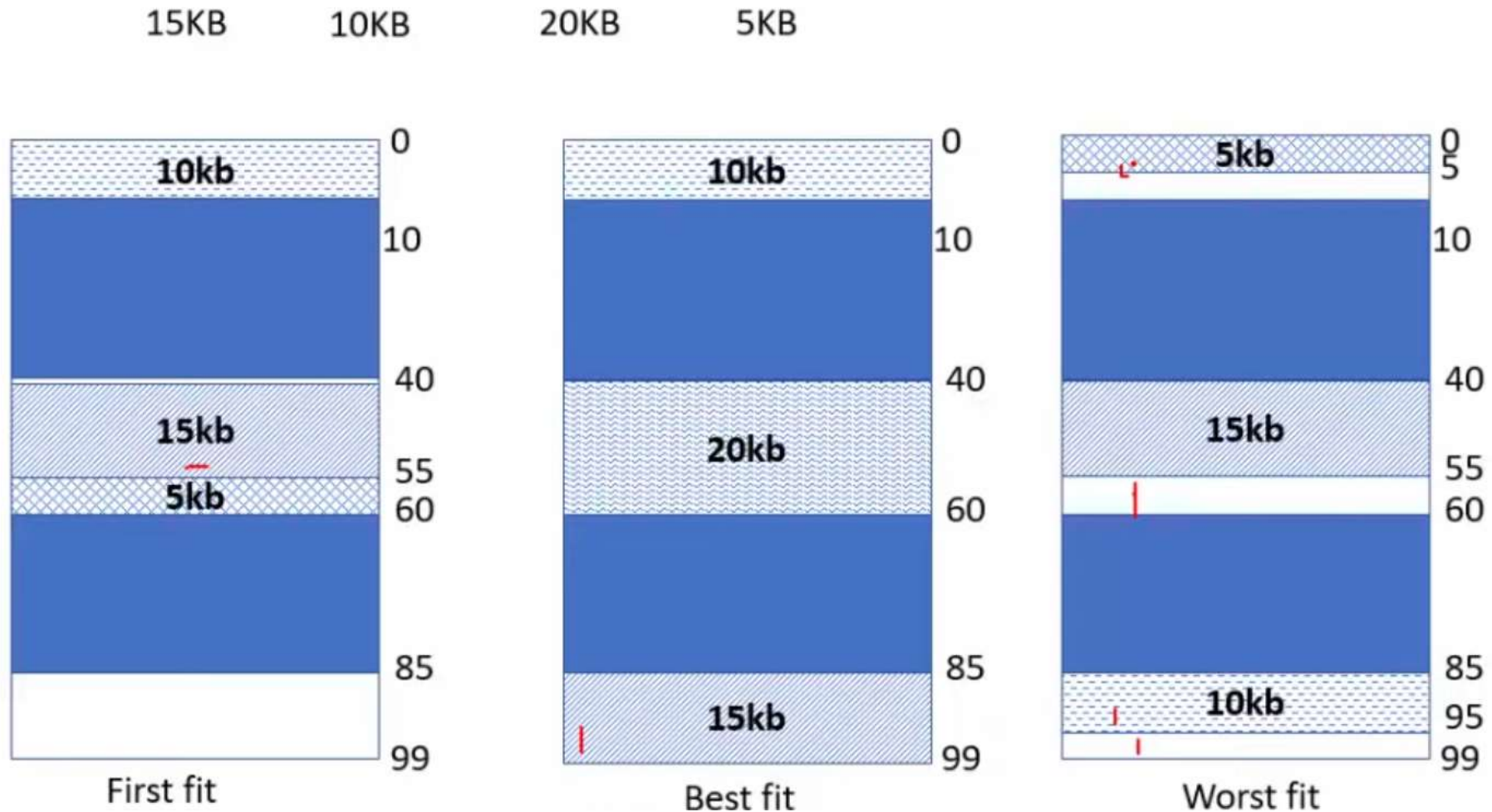
### ■ Block(hole) allocation- Example

- Given three free memory partitions of 10 KB, 20 KB and 15 KB(in order), how would each of the first-fit, best-fit, and worst-fit algorithms place processes of 15 KB, 10 KB, 20 KB and 5 KB (in order)?

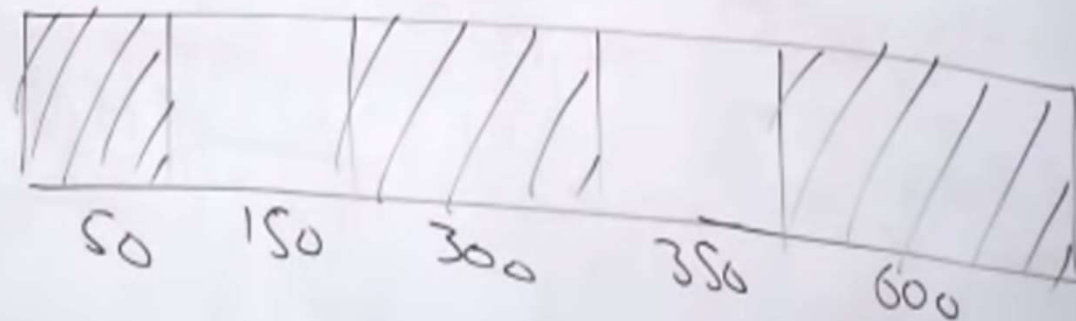
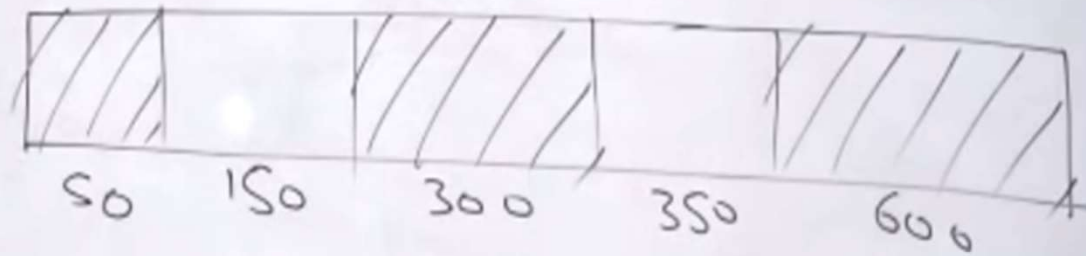
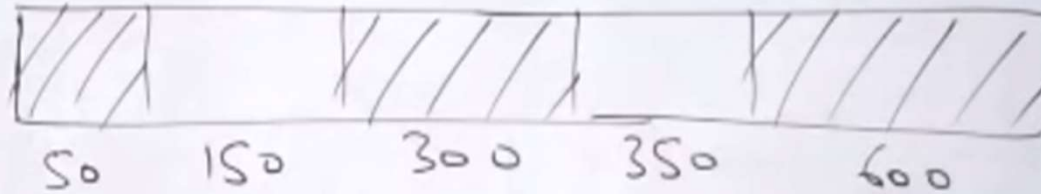
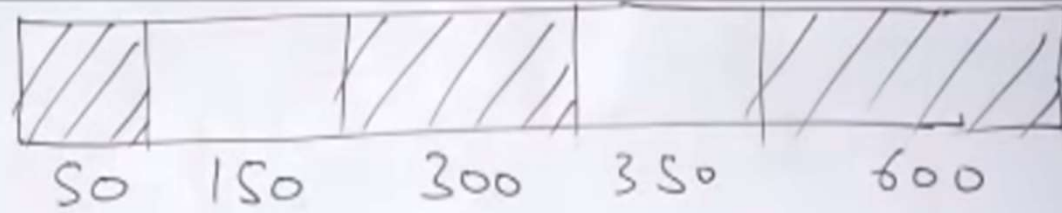


# Block(hole) allocation- Example

- 15 KB, 10 KB, 20 KB and 5 KB (in order)



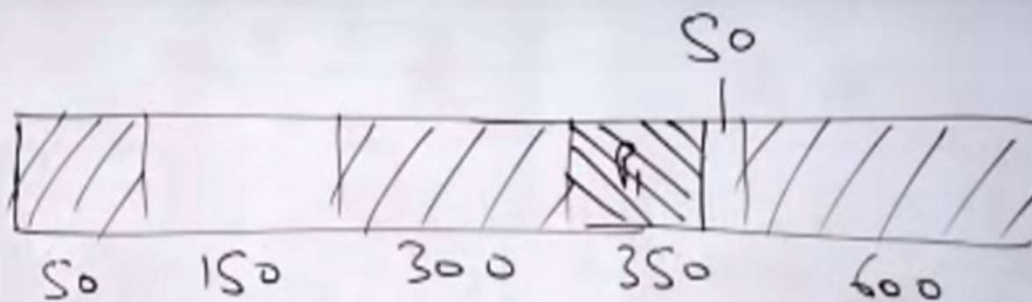
$P_1 - 500$   
 $P_2 - 25$   
 $P_3 - 125$   
 $P_4 - 50$



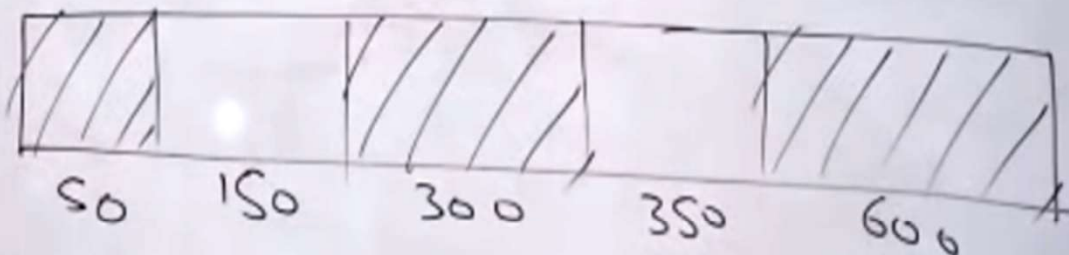
P<sub>1</sub> - 500  
P<sub>2</sub> - 25  
P<sub>3</sub> - 125  
P<sub>4</sub> - 50



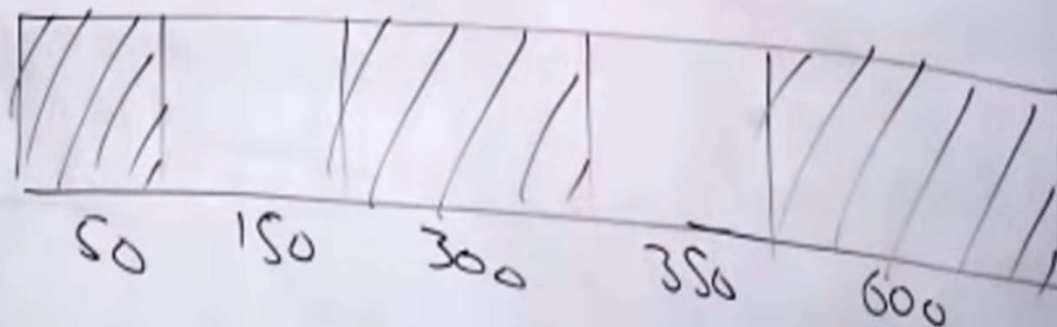
first →



best →



worst →

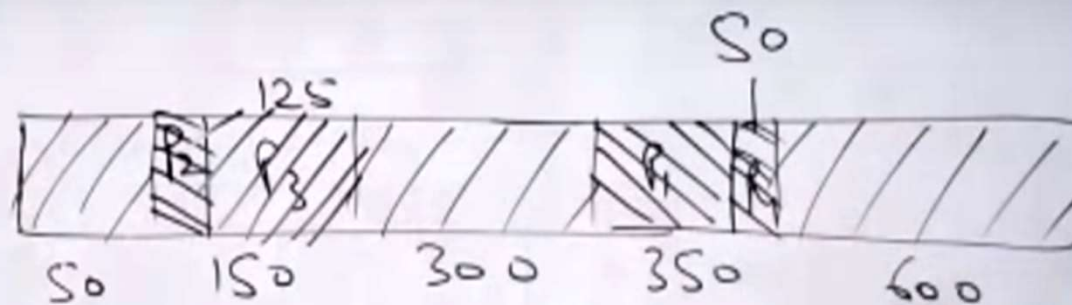




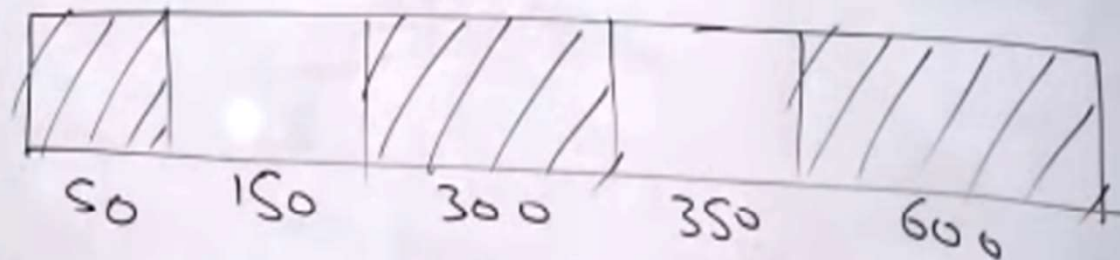
# size | FIRST | BEST | WORST | Memory management | OS | Operating System

$P_1 - 500$   
 $P_2 - 25$   
 $P_3 - 125$  ×  
 $P_4 - 50$

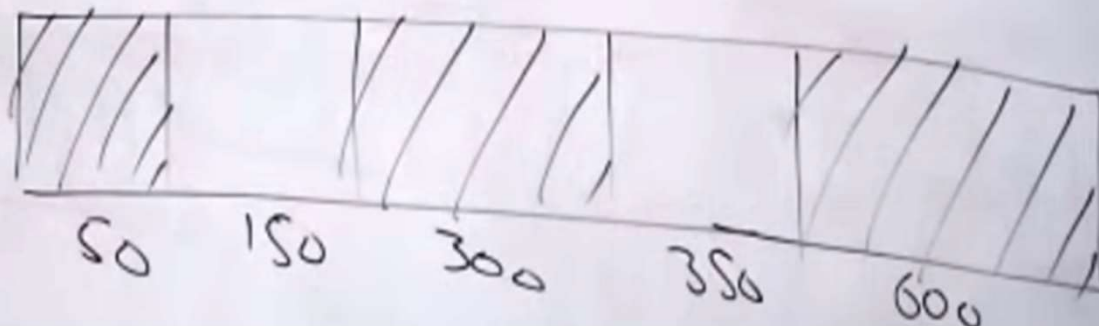
first →



best →

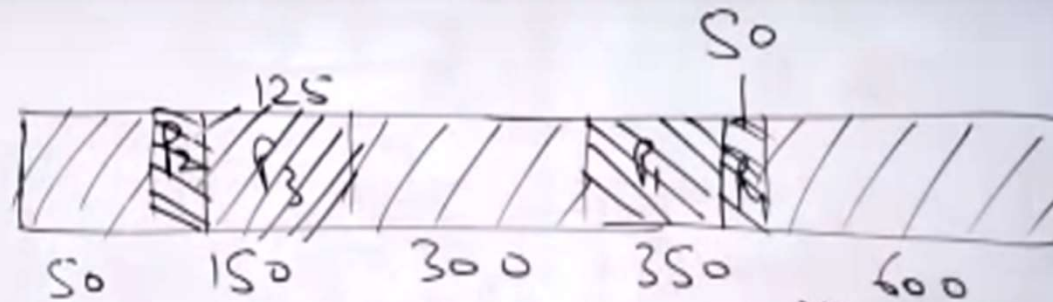


worst →

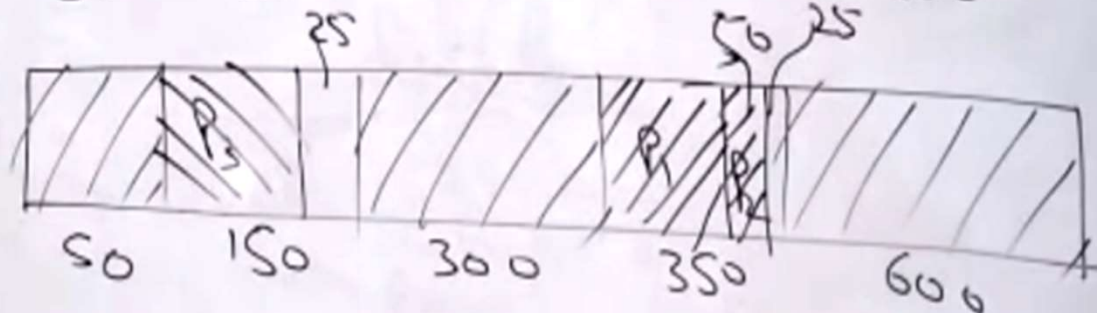


$P_1 - 500$   
 $P_2 - 25$   
 $P_3 - 125$  ✗  
 $P_4 - 50$

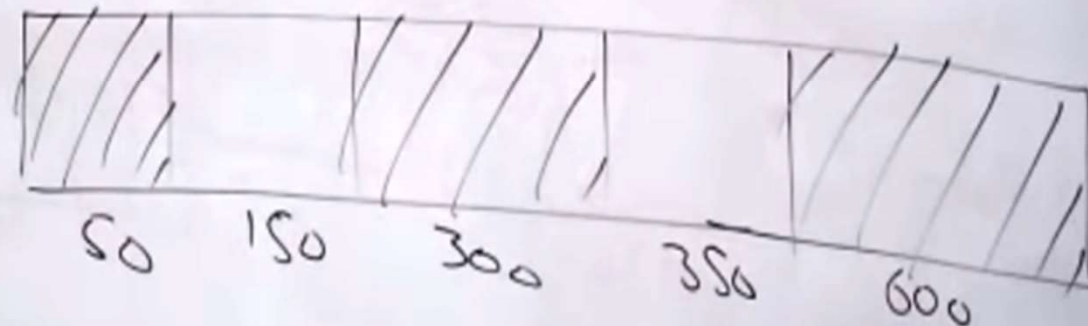
first →



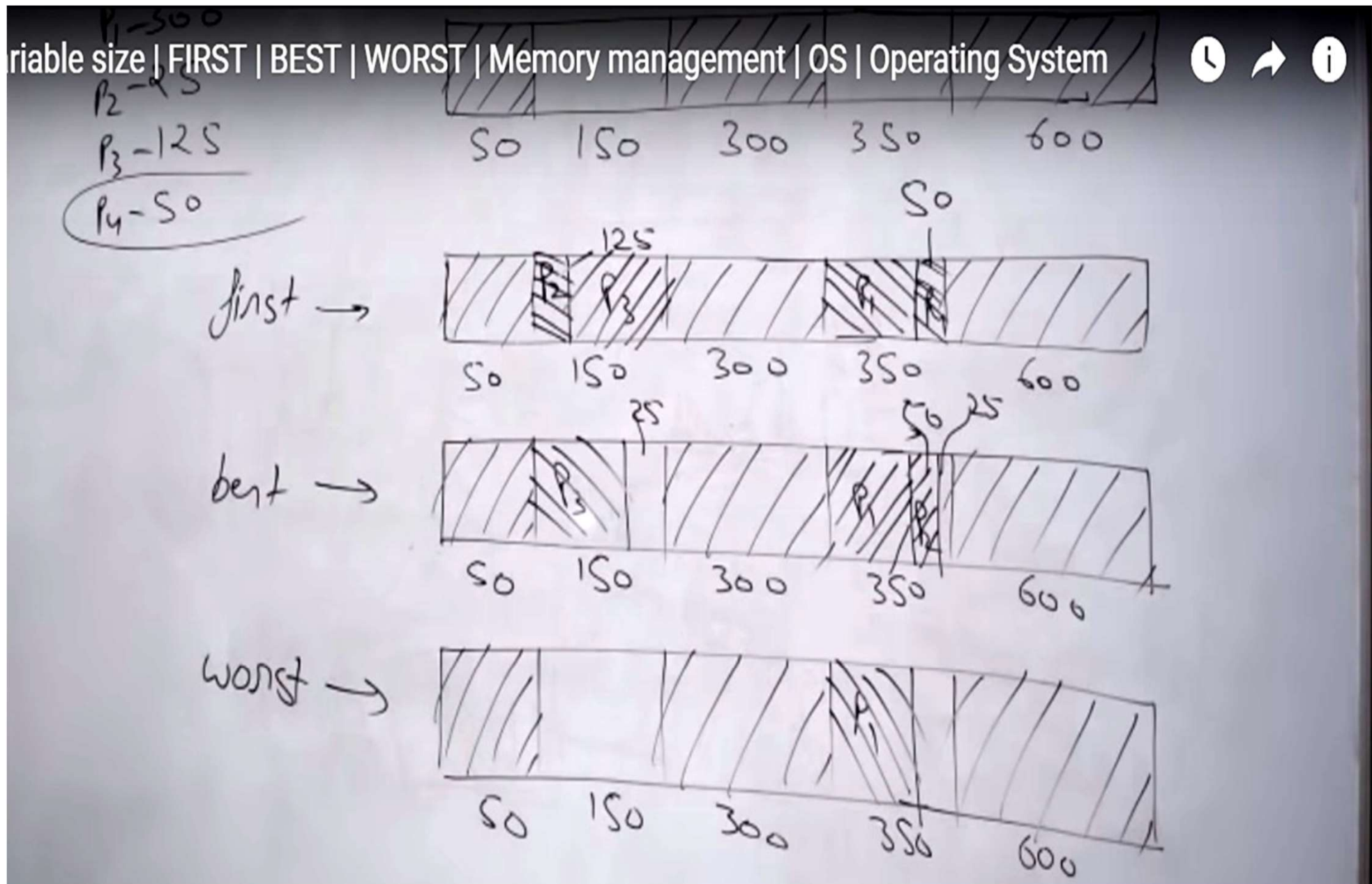
best →



worst →







## Practice Problem

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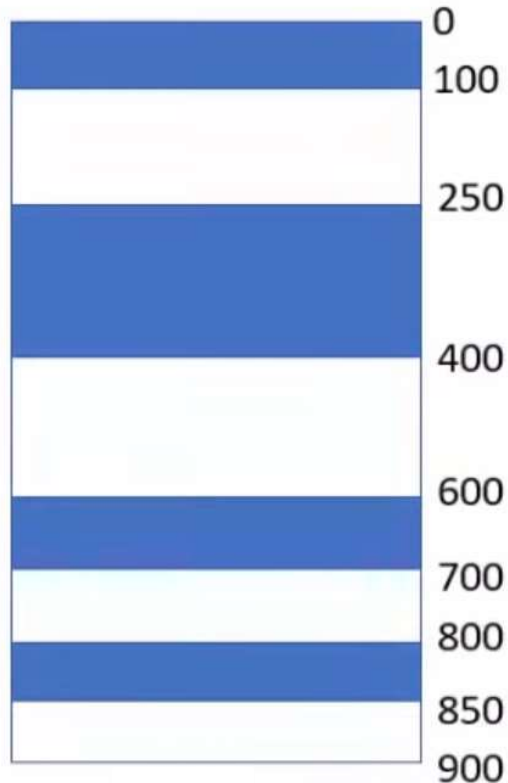
- Given four free memory partitions of 150 KB, 200 KB , 100 KB and 50 KB(in order), how would each of the first-fit, best-fit, and worst-fit algorithms place processes of 150 KB, 100 KB, 200 KB and 50 KB (in order)? Which algorithm performs the best?



## Practice Problem

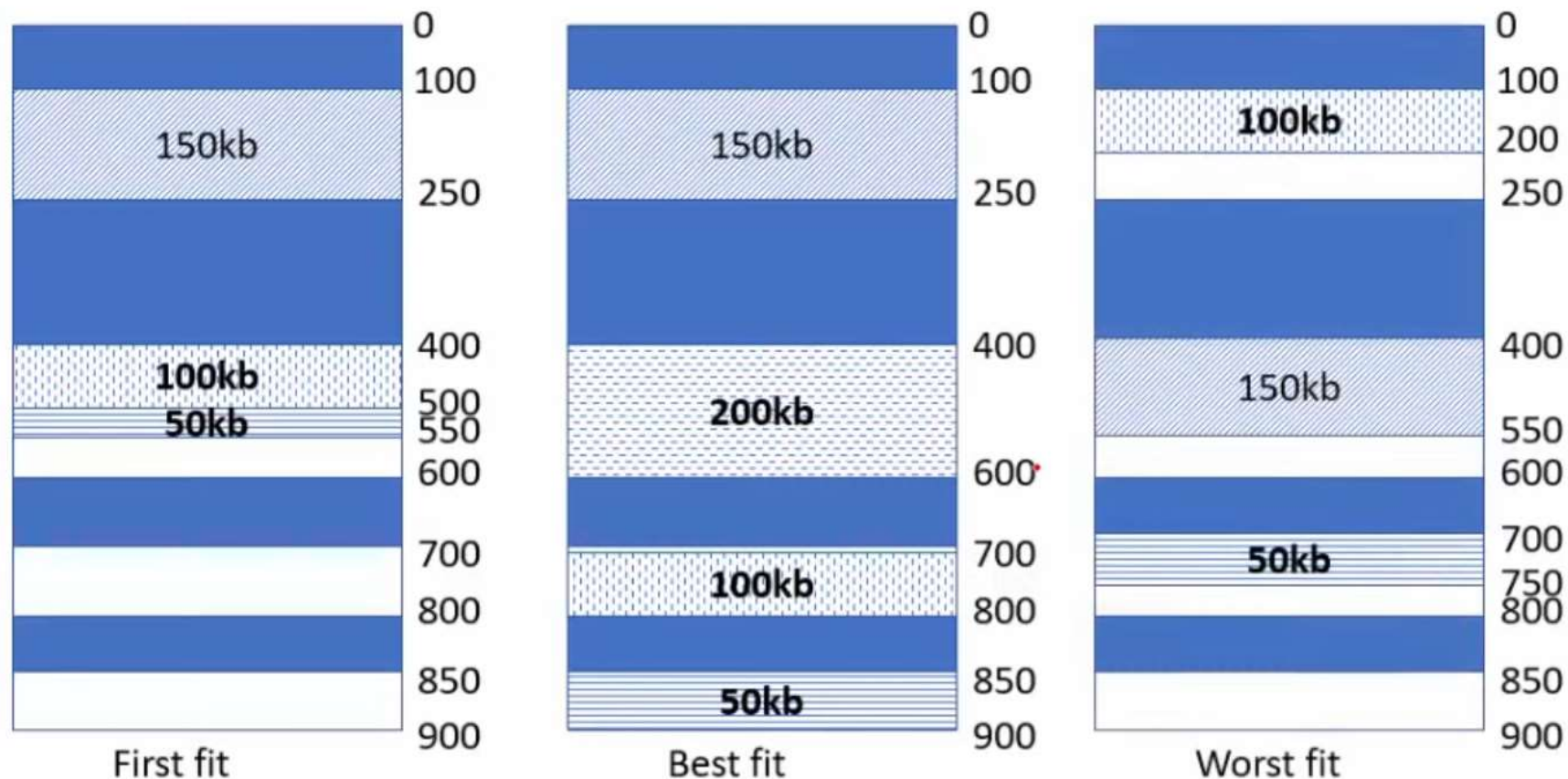
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## Practice Problem

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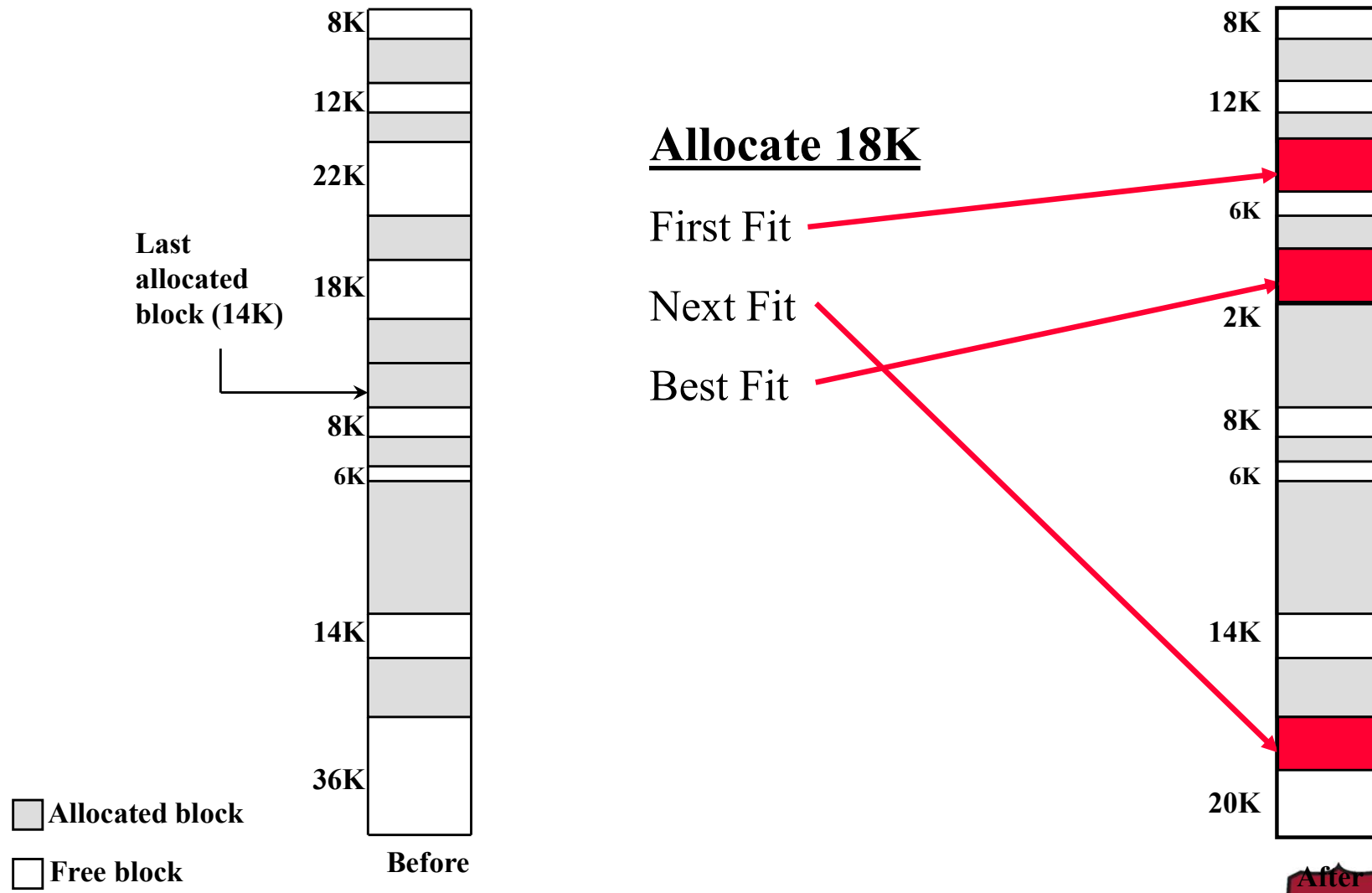
## Which Allocation Strategy?

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- The first-fit algorithm is not only the simplest but usually the best and the fastest as well.
  - May litter the front end with small free partitions that must be searched over on subsequent first-fit passes.
- The next-fit algorithm will more frequently lead to an allocation from a free block at the end of memory.
  - Results in fragmenting the largest block of free memory.
  - Compaction may be required more frequently.
- Best-fit is usually the worst performer.
  - Guarantees the fragment left behind is as small as possible.
  - Main memory quickly littered by blocks too small to satisfy memory allocation requests.



# Dynamic Partitioning Placement Algorithm





# Memory Fragmentation

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- As memory is allocated and deallocated fragmentation occurs
- External -
  - Enough space exists to launch a program, but it is not contiguous
- Internal -
  - Allocate more memory than asked for to avoid having very small holes



## Memory Fragmentation

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- Statistical analysis shows that given  $N$  allocated blocks, another  $0.5 N$  blocks will be lost due to fragmentation.
  - On average,  $1/3$  of memory is unusable
    - (50-percent rule)
- Solution – Compaction.
  - Move allocated memory blocks so they are contiguous
  - Run compaction algorithm periodically
    - How often?
    - When to schedule?





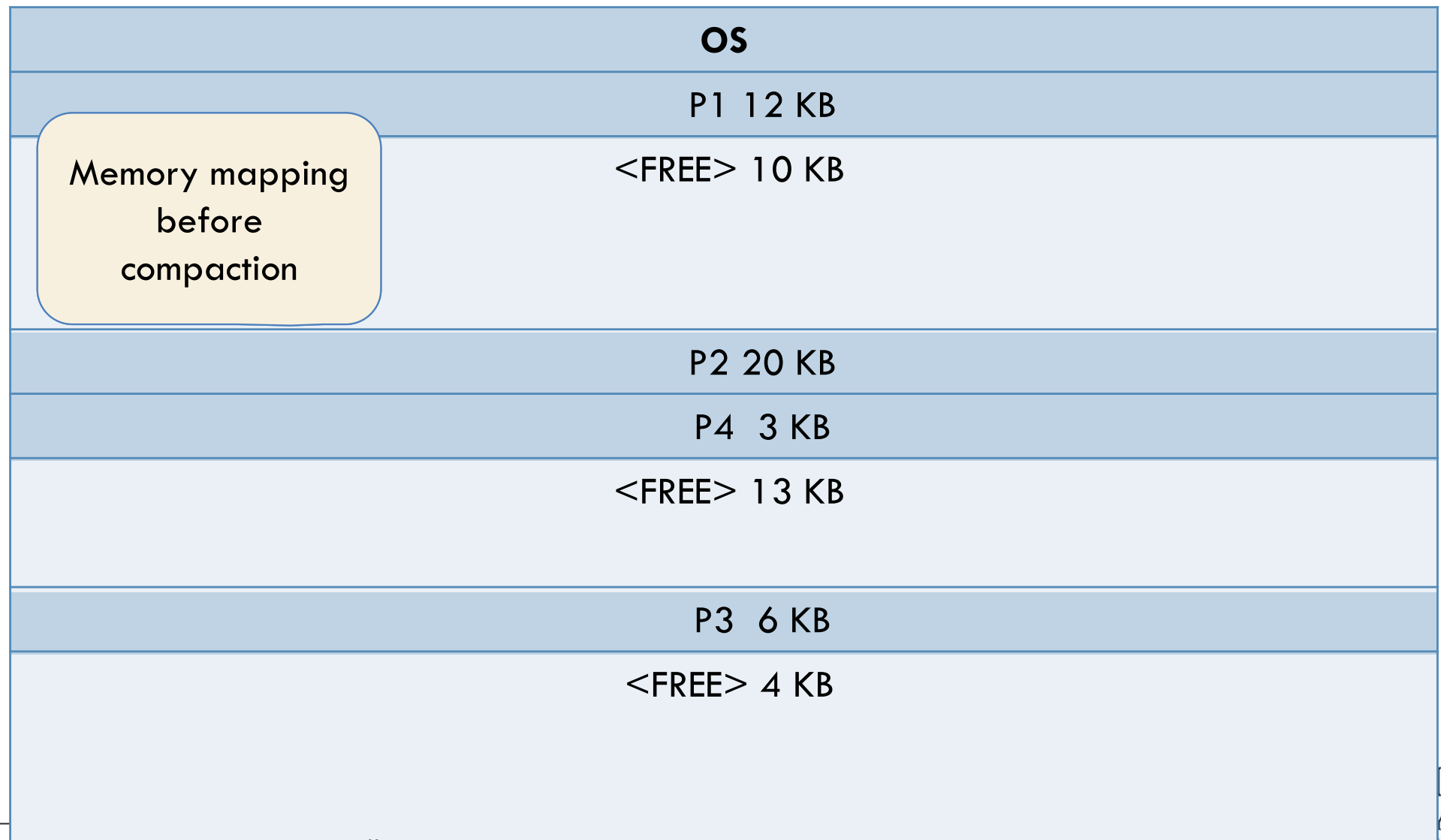
## Compaction

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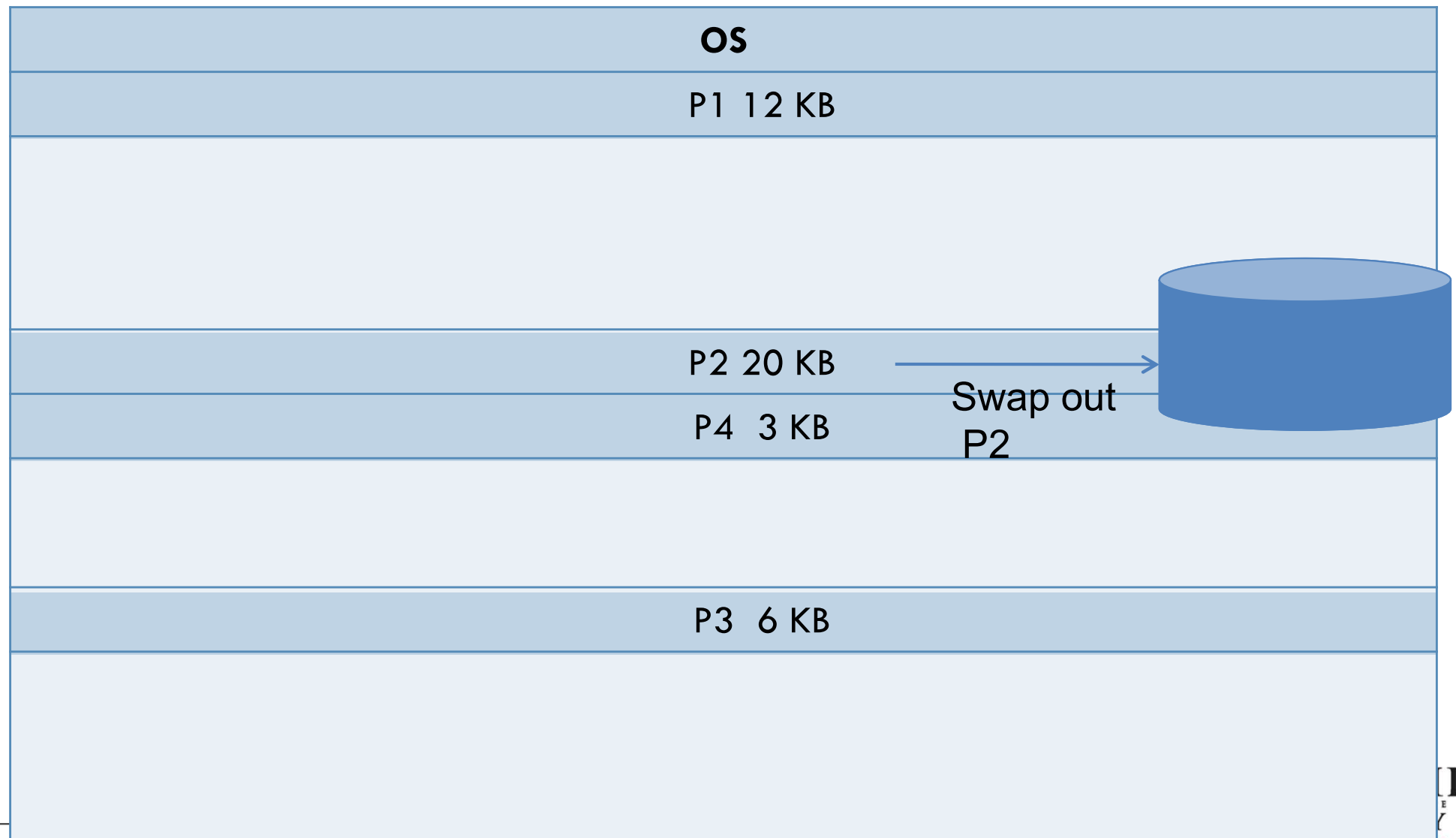
- Compaction is a method to overcome the external fragmentation problem.
- All free blocks are brought together as one large block of free space.
- Compaction requires dynamic relocation.
- Certainly, compaction has a cost and selection of an optimal compaction strategy is difficult.
- One method for compaction is swapping out those processes that are to be moved within the memory, and swapping them into different memory locations



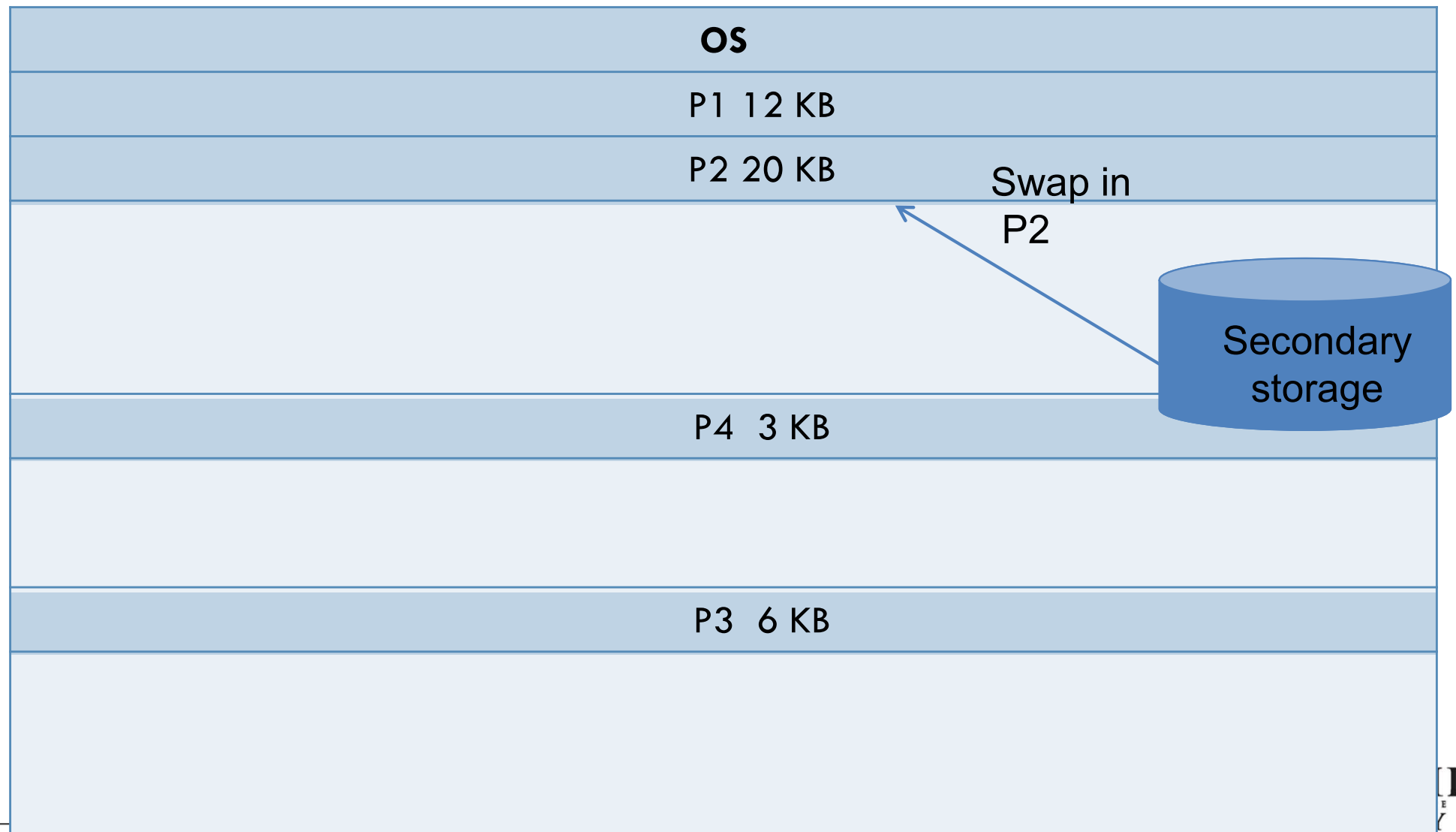
# Compaction



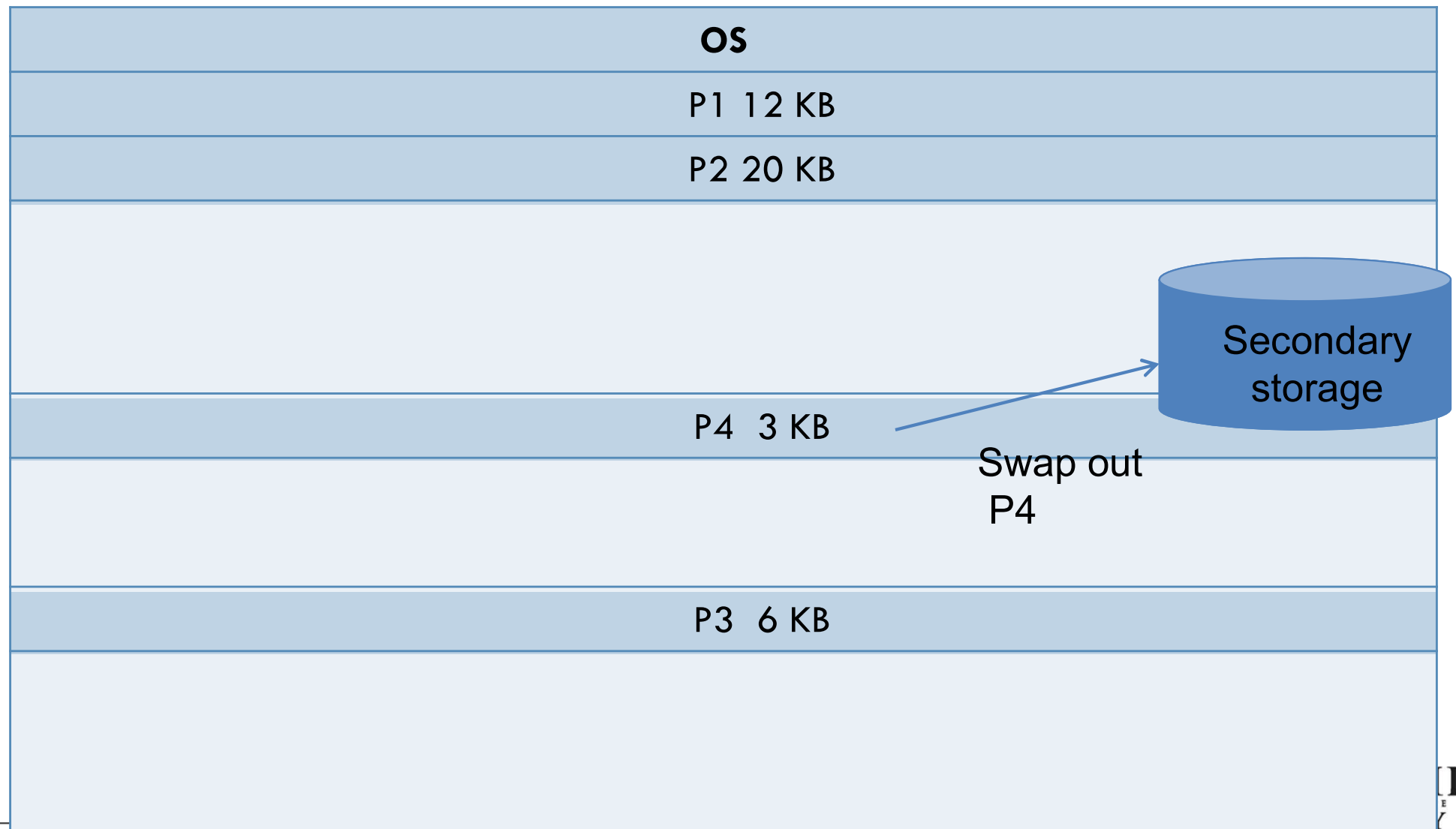
# Compaction



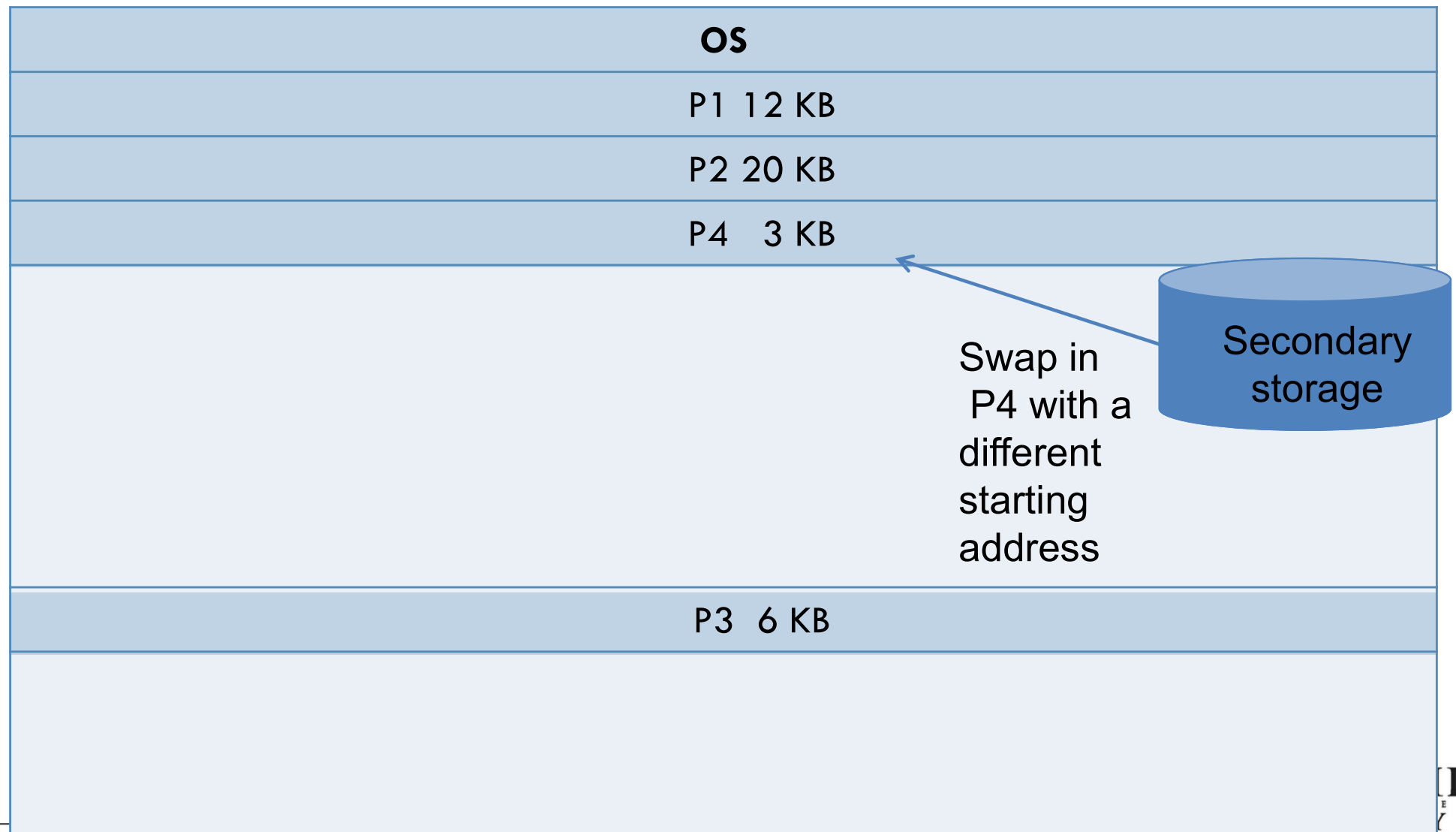
# Compaction



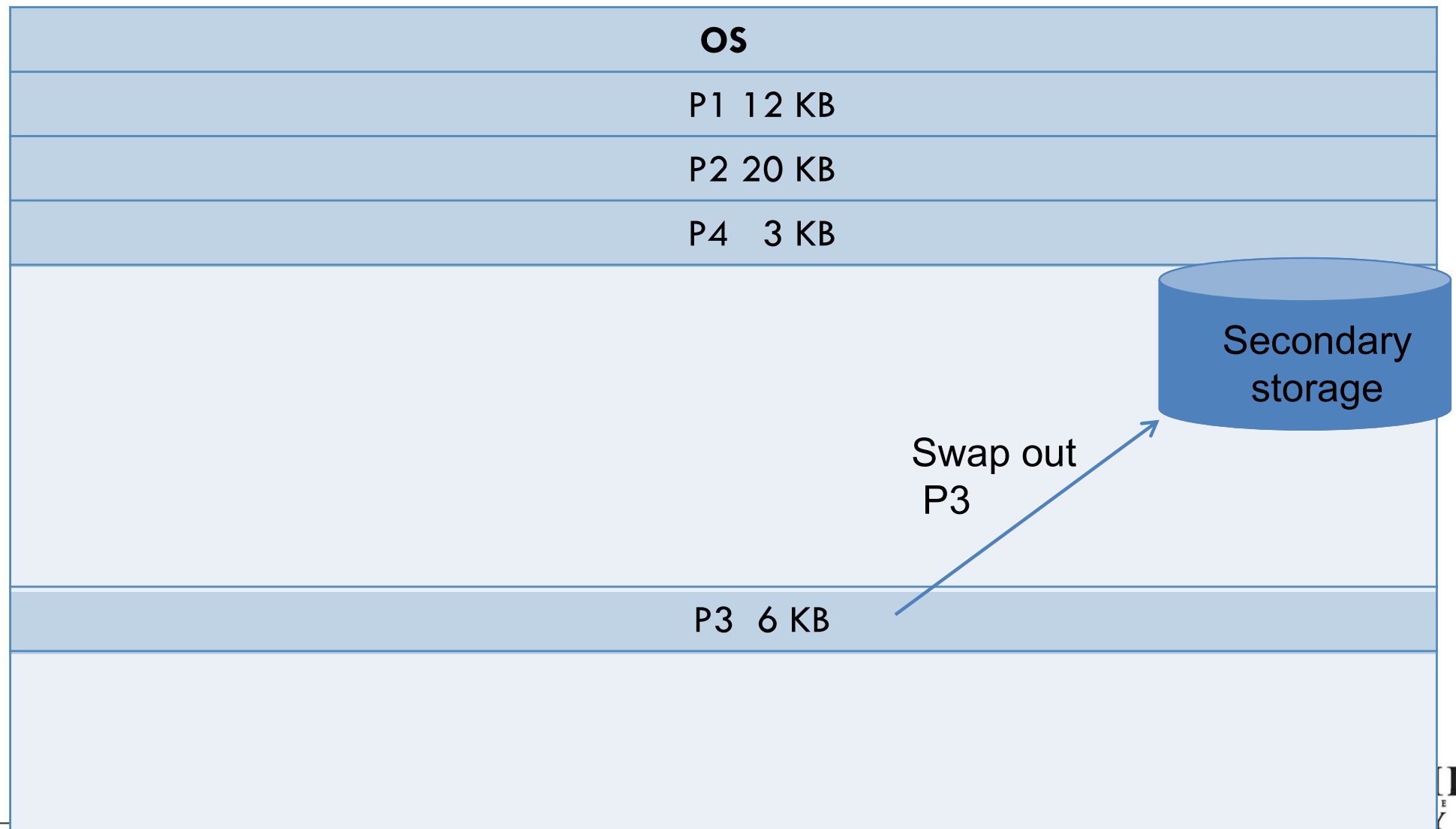
# Compaction



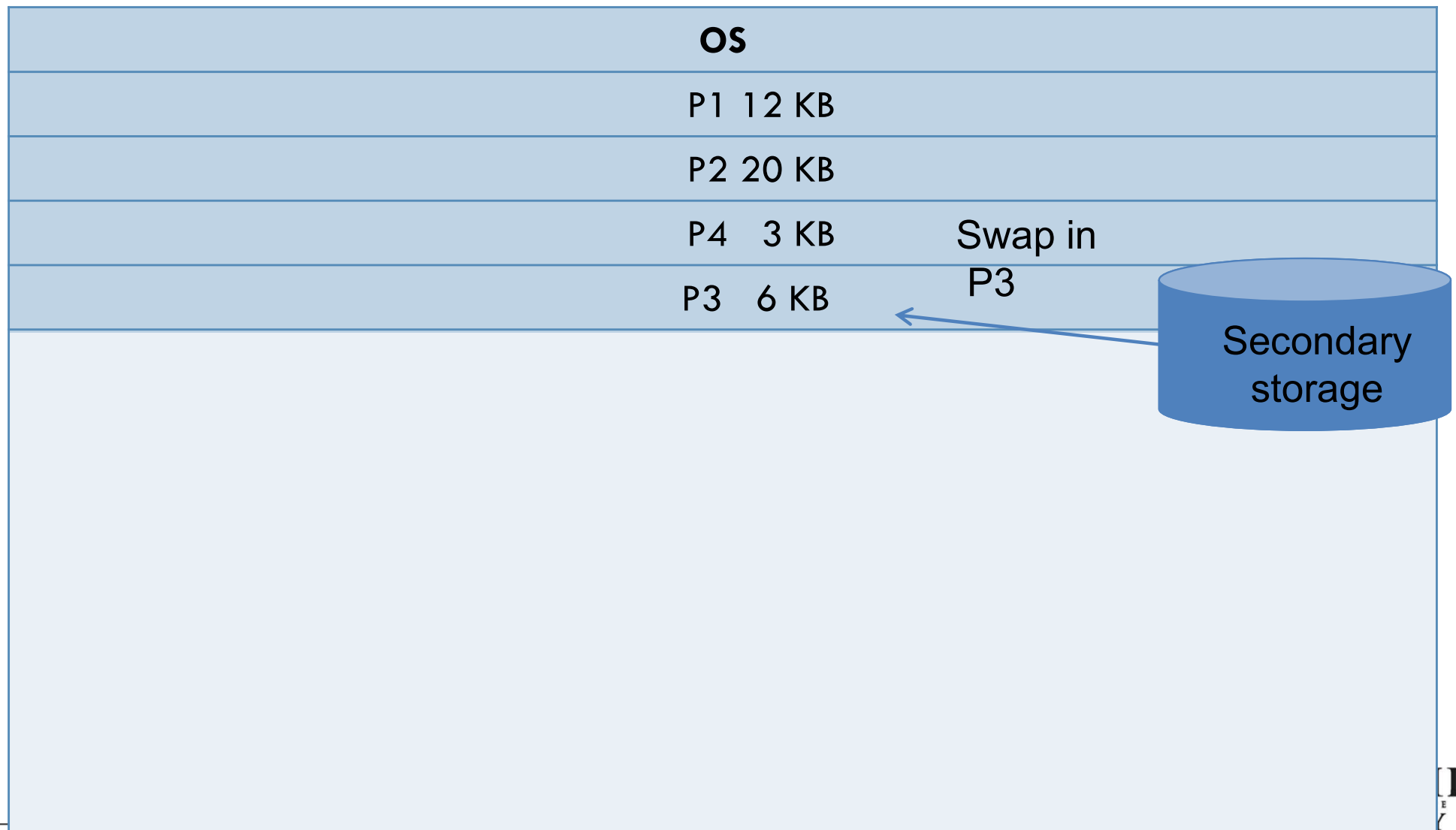
# Compaction



# Compaction

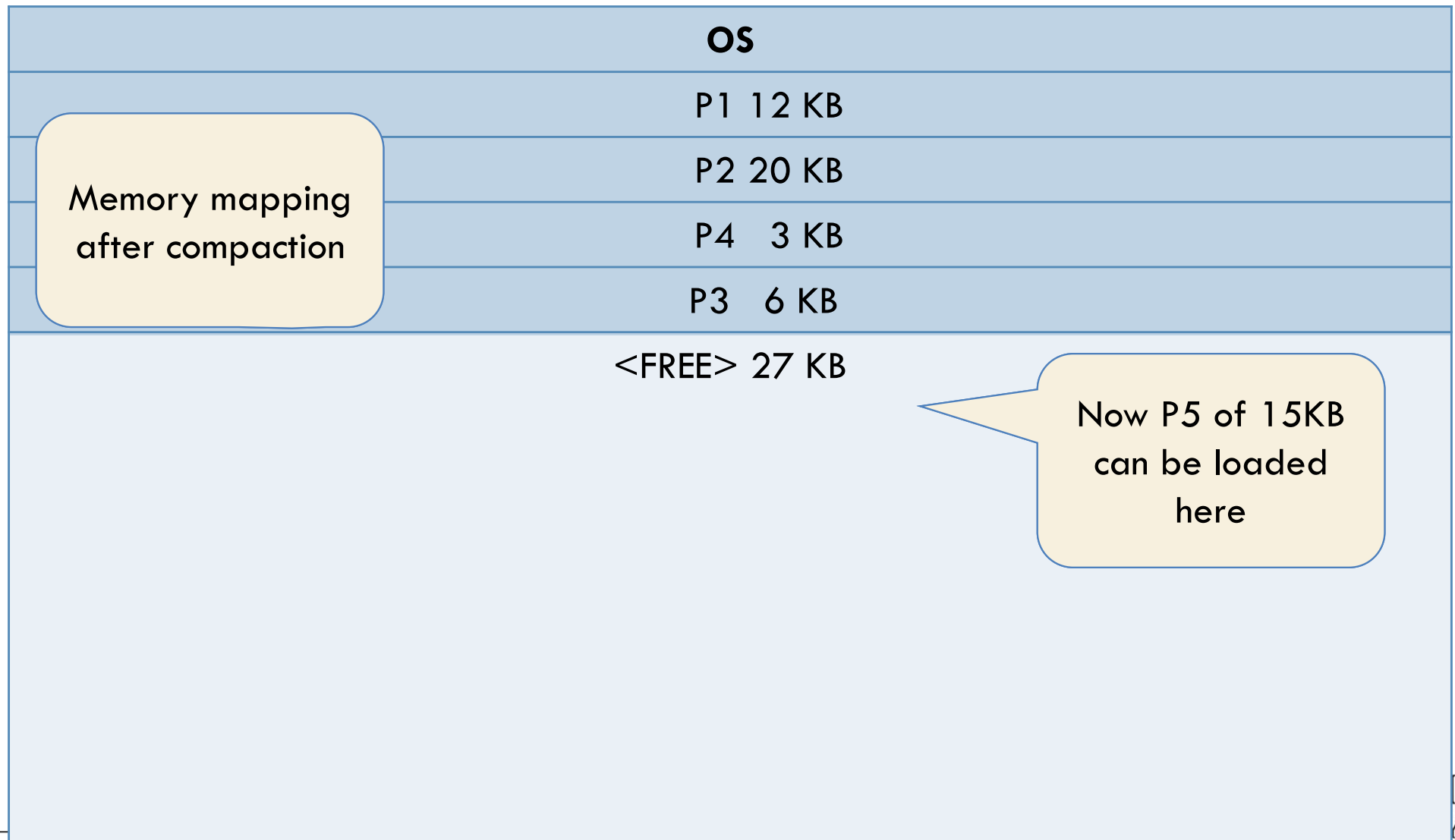


# Compaction





## Compaction



## Compaction

**OS**

P1 12 KB

P2 20 KB

P4 3 KB

P3 6 KB

P5 12 KB

<FREE> 12 KB

P5 of 15KB is  
loaded

## Relocation

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- **Static relocation:** A process may be loaded into memory, each time possibly having a different starting address
  - Necessary for variable partitioning
- **Dynamic relocation:** In addition to static relocation, the starting address of the process may change while it is already loaded in memory
  - Necessary for compaction



## Buddy System

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- Tries to allow a variety of block sizes while avoiding excess fragmentation
- Blocks generally are of size  $2^k$ , for a suitable range of  $k$
- Initially, all memory is one block
- All sizes are rounded up to  $2^s$
- If a block of size  $2^s$  is available, allocate it
- Else find a block of size  $2^{s+1}$  and split it in half to create two buddies
- If two buddies are both free, combine them into a larger block
- Largely replaced by paging
  - Seen in parallel systems and Unix kernel memory allocation



# Thank You



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INSTITUTE OF TECHNOLOGY  
NAVI MUMBAI