

*Multitask Pointer Network for Discontinuous Constituent Parsing*  
*An application to the German language*

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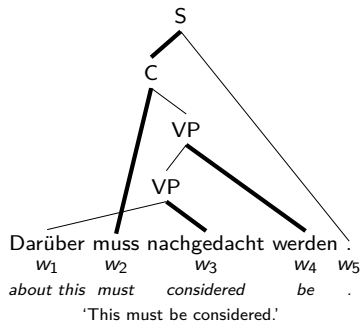
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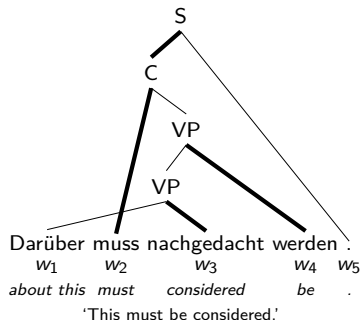
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- I achieve state-of-the-art performance across several metrics.

## *Linguistic preliminaries: constituent trees*





## Linguistic preliminaries: constituent trees



Let  $w = (w_1, \dots, w_L)$  be a sentence.

### Definition

- A *constituent tree* is a rooted tree whose leaves are the words  $(w_i)_{i=1}^L$  and internal nodes are constituents satisfying some constraints.
- A *constituent* is a triple  $(Z, \mathcal{Y}, h)$  containing, respectively, its label, yield, and lexical head.
- A constituent is *discontinuous* if its yield is not contiguous.

## *Reduction to dependency parsing*

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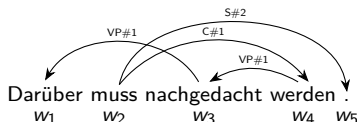
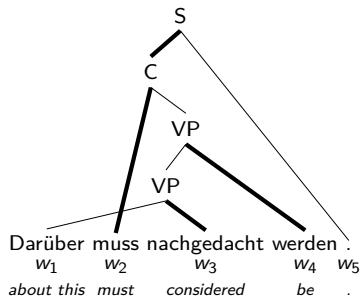
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# Reduction to dependency parsing

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Fernández-González and Martins (2015) show that constituent trees are isomorphic to dependency trees in which the edges contain information about constituent labels and attachment order.



- Regressor: sentence  $(w_i)_{i=1}^L$ .

---

<sup>1</sup>For notational simplicity we have written  $p_w(\cdot) \equiv p(\cdot \mid w)$  and  $p_w(\cdot \mid \cdot) \equiv p(\cdot \mid \cdot, w)$ .

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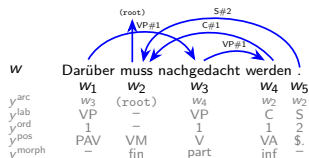
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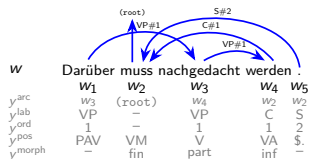
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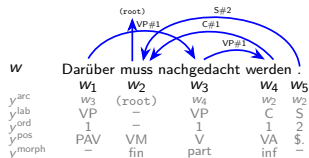
Denote the regressand by  $y = (y_i)_{i=1}^L$  where  $y_i = (y_i^{\text{arc}}, y_i^{\text{lab}}, y_i^{\text{ord}}, y_i^{\text{pos}}, y_i^{\text{morph}})$  and with mild abuse of notation let  $y_{<i} = (y_1, \dots, y_{i-1})$  for each  $i = 2, \dots, L$  and  $y_{<1} = 0$ .

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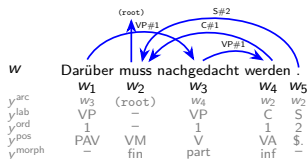
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(conditional independence) For each  $i = 1, \dots, L$ , the random variables  $y_i^{\text{lab}}, y_i^{\text{ord}}, y_i^{\text{pos}}$  and  $y_i^{\text{morph}}$  are mutually independent conditional on  $y_i^{\text{arc}}, y_{<i}$  and  $w$ .

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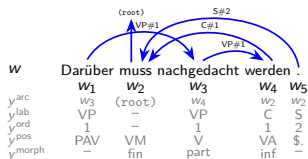
We can decompose the conditional probability of  $y$  given  $w$ :<sup>1</sup>

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## *Sequence-to-sequence setup*

Given an input sentence  $w = (w_i)_{i=1}^L$  we generate *embeddings*  $\omega = (\omega_i)_{i=1}^L$ , where

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$$\mathbf{e} = (\mathbf{e}_i)_{i=0,\dots,n} = \mathbf{BiLSTM}(\omega).$$

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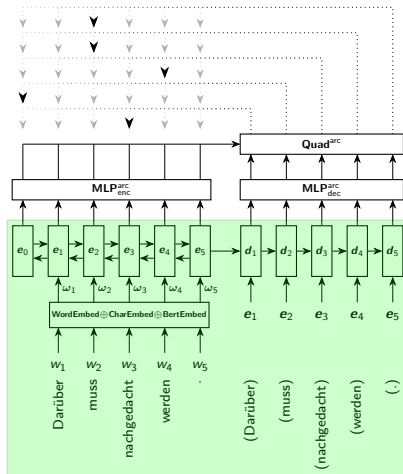
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Building on Dozat and Manning (2016)'s bi-affine mechanism and drawing from Vinyals et al. (2015).

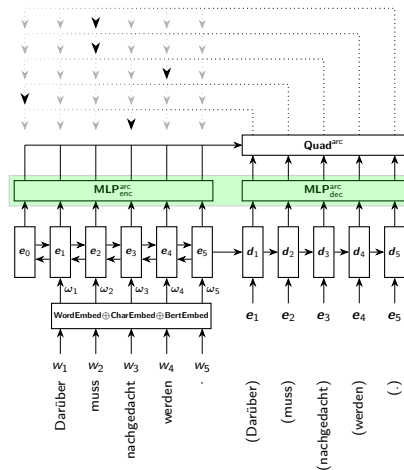


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- Obtain dimension-reduced representations:

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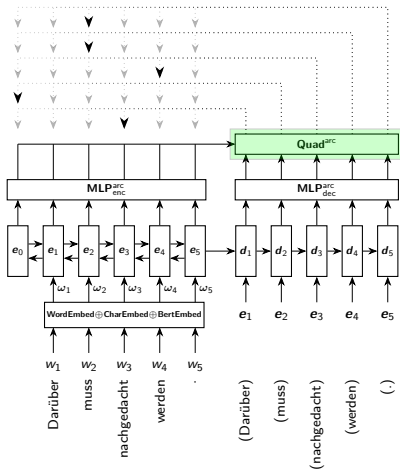
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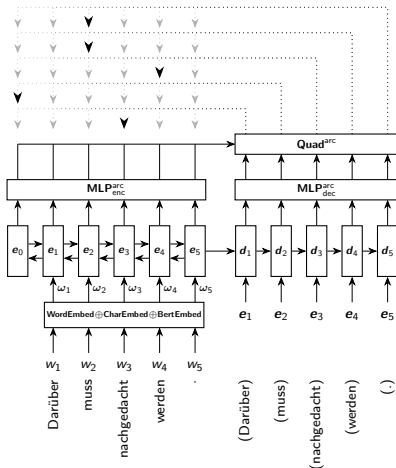
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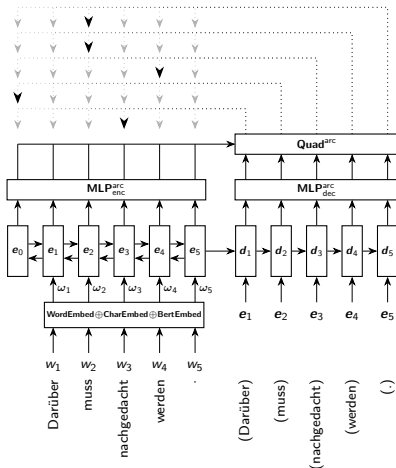
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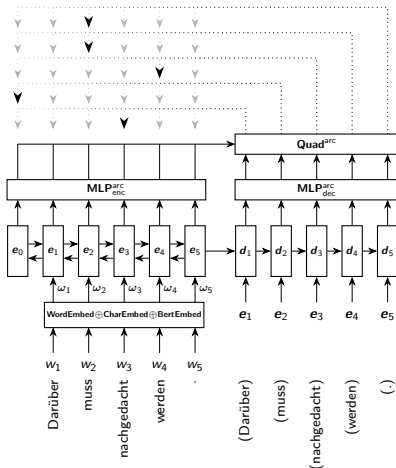
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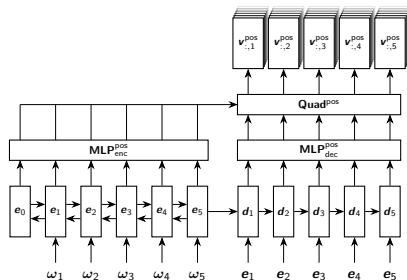
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# Classification tasks: quadratic classifier

Building on Dozat and Manning (2016).

- Encoder and decoder are shared across tasks.  
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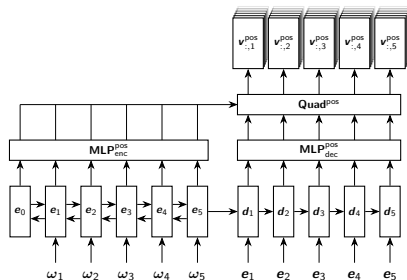




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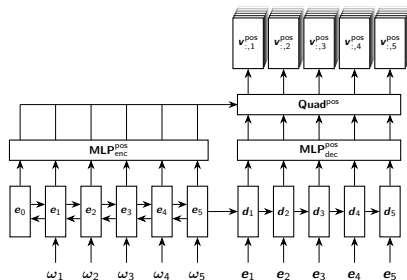
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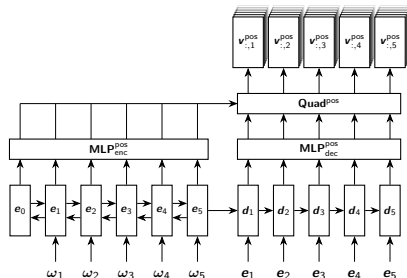
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- Obtain class logits  $v_{i,j}^{\text{pos}}$ :

$$\begin{aligned} v_{i,j}^{\text{pos}} &= \text{Quad}^{\text{pos}}(e_i^{\text{pos}}, d_j^{\text{pos}}) \\ &:= e_i^{\text{posT}} \mathbf{U}_{\text{h-d}}^{\text{pos}} d_j^{\text{pos}} + e_i^{\text{posT}} \mathbf{U}_{\text{h-h}}^{\text{pos}} e_i^{\text{pos}} + U_{\text{h}}^{\text{pos}} e_i^{\text{pos}} \\ &\quad + d_j^{\text{posT}} \mathbf{U}_{\text{d-d}}^{\text{pos}} d_j^{\text{pos}} + U_{\text{d}}^{\text{pos}} d_j^{\text{pos}} + u_{\text{bias}}^{\text{pos}}. \end{aligned}$$



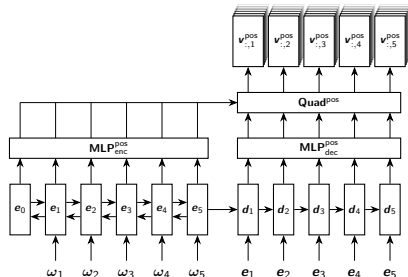
# Classification tasks: quadratic classifier

Building on Dozat and Manning (2016).

- Encoder and decoder are shared across tasks. The remaining network is separately trained.
- Classification via a quadratic layer allows modelling of class probabilities conditional on arcs.

**Example:** part-of-speech classification.

$$e^{\text{pos}} = \text{MLP}_{\text{enc}}^{\text{pos}}(e); \quad d^{\text{pos}} = \text{MLP}_{\text{dec}}^{\text{pos}}(d).$$



- Obtain class logits  $v_{i,j}^{\text{pos}}$ :

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- Fixing child  $w_j$ , the vector  $\text{softmax}(v_{i,j}^{\text{pos}})$  can be interpreted as a probability distribution over its parts of speech conditional on having an arc to  $w_j$ :

$$p^{\text{pos}}(c \mid w_i, y_{<j}, w) = \text{softmax}(v_{i,j}^{\text{pos}})_c.$$

## *Training*

We find  $\hat{p}$  using *SGD* with *Nesterov momentum*, finding a suitably low *cross-entropy* between the model  $p$  and the empirical distribution present in the dataset  $(w^n, y^n)_{n=1}^N$ :

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$$-\sum_{n=1}^N \log \prod_{i=1}^{L_n} \left\{ \begin{array}{l} p_w(y_i^{n\text{arc}} | y_{<i}^n) p_w(y_i^{n\text{lab}} | y_i^{n\text{arc}}, y_{<i}^n) p_w(y_i^{n\text{ord}} | y_i^{n\text{arc}}, y_{<i}^n) \\ \cdot p_w(y_i^{n\text{pos}} | y_i^{n\text{arc}}, y_{<i}^n) p_w(y_i^{n\text{morph}} | y_i^{n\text{arc}}, y_{<i}^n) \end{array} \right.$$

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# Inference and Training

## Training

We find  $\hat{p}$  using *SGD* with *Nesterov momentum*, finding a suitably low *cross-entropy* between the model  $p$  and the empirical distribution present in the dataset  $(w^n, y^n)_{n=1}^N$ :

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## Inference

Given  $w = (w_i)_{i=1}^L$ , estimate  $y = (y_i)_{i=1}^L$  by maximising the estimated conditional probability:

$$\hat{y} = \arg \max_y \left\{ \prod_{i=1}^L \left\{ \hat{p}_w(y_i^{\text{narc}} | y_{<i}) \hat{p}_w(y_i^{\text{lab}} | y_i^{\text{narc}}, y_{<i}) \right. \right. \\ \quad \cdot \hat{p}_w(y_i^{\text{nord}} | y_i^{\text{narc}}, y_{<i}) \hat{p}_w(y_i^{\text{npos}} | y_i^{\text{narc}}, y_{<i}) \hat{p}_w(y_i^{\text{nmorph}} | y_i^{\text{narc}}, y_{<i}) \left. \right\} \left. \right\}.$$



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The feasible region is very large, so the maximisation is approximated via *beam search*.

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Model	F1	Disc. F1
Coavoux et al. (2019)	82.7	55.9
Corro (2020)	90.0	62.1
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<b>This work</b>	<b>90.59</b>	<b>84.74</b>

*Table:* Comparison of overall F1-score (%) and F1-score measured only on discontinuous constituents (disc. F1). Calculated using disco-dop (Van Cranenburgh et al., 2016) as standard practice. All models configured with BERT.

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Model	pos	morph (avr)
Müller et al. (2013)	98.20	98.27
Schnabel & Schütze (2014)	97.50	97.76
Kondratyuk et al. (2018)	98.58	98.97
<b>This work</b>	<b>99.21</b>	<b>99.60</b>

*Table:* Comparison of part of speech (pos) and morphology accuracies (%). Morphology accuracies are the average of accuracies for case, degree, gender, mood, number, person and tense.



## *Appendix: Mathematical justification for quadratic classifier*

Notation has been simplified for presentational clarity. Take a single class  $c$  and suppose

$$\begin{bmatrix} \mathbf{e}_i \\ \mathbf{d}_j \end{bmatrix} \Big|_c \sim \mathcal{N} \left( \begin{bmatrix} \boldsymbol{\mu}_c \\ \phi_c \end{bmatrix}, \begin{bmatrix} A_c & Q_c^\top \\ Q_c & B_c \end{bmatrix}^{-1} \right).$$

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The conditional log-probability of  $c$  is the following affine quadratic form:

$$\log p(c \mid \mathbf{e}_i, \mathbf{d}_j) = k_c - \frac{1}{2} \left( (\mathbf{e}_i - \boldsymbol{\mu}_c) + A_c^{-1} Q_c^\top (\mathbf{d}_j - \boldsymbol{\phi}_c) \right)^\top A_c \left( (\mathbf{e}_i - \boldsymbol{\mu}_c) + A_c^{-1} Q_c^\top (\mathbf{d}_j - \boldsymbol{\phi}_c) \right)$$

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**Memory-efficient implementation:** under conditional normality, the affine quadratic transformation can be computed as

$$v_{i,j}^c = k^c + \left\| \mathbf{W}_h^c \mathbf{e}_i + \mathbf{W}_d^c \mathbf{d}_j + \mathbf{w}^c \right\|_2^2.$$

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