

ANNOUNCEMENTS

today is Pre-Recorded Lecture Monday 🙄
see you back in person on Wednesday!

WARMUP

imagine searching for a tube of toothpaste in the real world
how would find one as quickly as possible?

TODAY

binary search trees

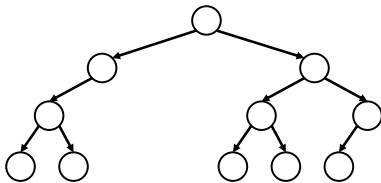
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binary trees

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binary tree

- in a **binary tree**, each node has ≤ 2 children



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🧠 is a linked list a binary tree?

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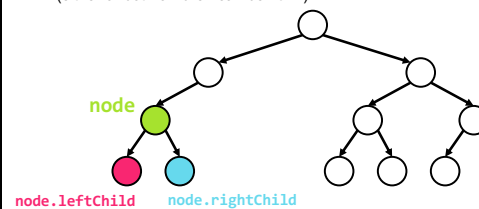
technically, yes

all nodes have ≤ 2 children
(tail has 0 children, all other nodes have 1 child)

844

(ordered) binary tree

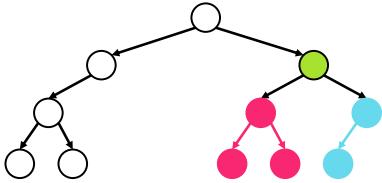
- each **node** has a **left child** and a **right child**
(either or both children can be null)



845

(ordered) binary tree

- each **node** has a **left subtree** and a **right subtree**



846

sort & search

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sort

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sorted

- a list / array is **sorted** if its elements are "in order"
- by convention, this means ascending order (going up from left to right)
 - [1, 2, 5, 6, 9, 13] is sorted
 - [9, 5, 1, 2, 6, 13] is unsorted (NOT sorted)

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search

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search

- to **search** means to look for something (in some data structure)
- a simple search problem is finding a given value in an array / list

```
// get index of the first element in array with this value  
// returns -1 if value not found  
int search(int[] array, int value) { ... }
```

851

linear search (of a list/array)

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linear search

- **linear search** looks at each element one by one
 - linear search works whether or not the list is sorted
 - linear search is **$O(n)$** (linear time) 😊
 - // get index of the first element in array with this value
// returns -1 if value not found
- ```
int linearSearch(int[] array, int value) {
 for (int i = 0; i < array.length; ++i) {
 if (array[i] == value) {
 return i;
 }
 }
 return -1;
}
```

853

**example:** linear search for 17  
[13 2 55 7 17 100 77]

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**example:** linear search for 17  
[13 2 55 7 17 100 77]

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**example:** linear search for 17  
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

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**example:** linear search for 17  
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

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[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

879

**example:** linear search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

880

**example:** linear search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

881

**example:** linear search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

882

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891

**example:** linear search for 17  
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

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if we know that a list is sorted...  
can we search it faster?

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yes 🚀

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## binary search (of a list/array)

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### binary search

- **binary search** "cuts the list in half" over and over
  - **binary search only works when the list is sorted**
  - binary search is  $O(\log(n))$  😊

896

**example:** binary search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

897

**example:** binary search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

10 < 17

898

**example:** binary search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

899

**example:** binary search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

16 < 17

900

**example:** binary search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

901

**example:** binary search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

17 < 18

902

**example:** binary search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

903

**example:** binary search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

17 == 17

904

**example:** binary search for 17

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

905

implementating binary search is  
actually pretty tricky!

make sure you test thoroughly!

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✨ hint: binary search

[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

$\hat{i} = 0$   $\hat{j}$

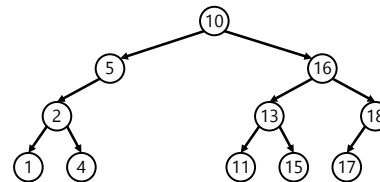
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## binary search tree

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## binary search tree

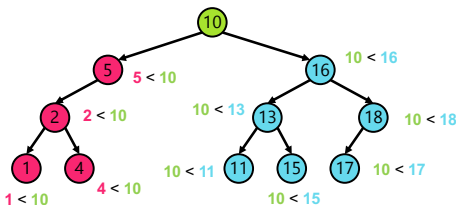
- in a **binary search tree** (sorted binary tree) **every** node follows:  
"a node's value is **greater than the values of all nodes in its left subtree**  
and **less than the values of all nodes in its right subtree**"



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## binary search tree

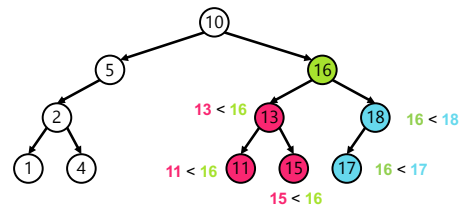
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## binary search tree

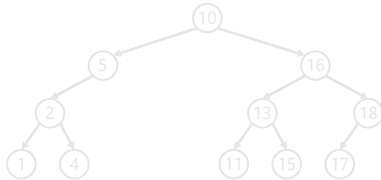
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911

## binary search tree

- in a **binary search tree** (sorted binary tree) **every** node follows:  
"a node's value is greater than the values of **all** nodes in its left subtree  
and less than the values of **all** nodes in its right subtree"



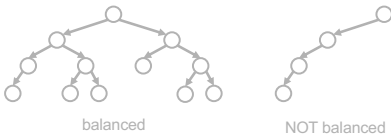
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## binary search (of a binary search tree)

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## binary search

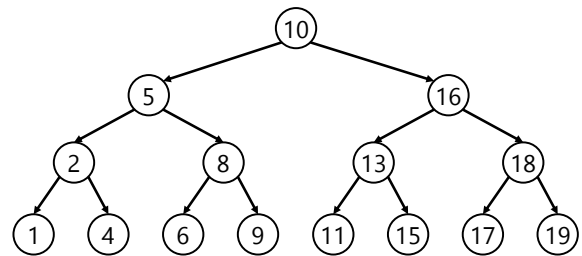
- **binary search** "cuts the tree in half" over and over
- **binary search only works when the binary tree is sorted**  
(is a binary search tree)
- binary search is  $O(\log(n))$  if the tree is **balanced** 😊
  - balanced means each node's children are similar in height  
(we will talk more about balance on Friday)



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### example: binary search for 17

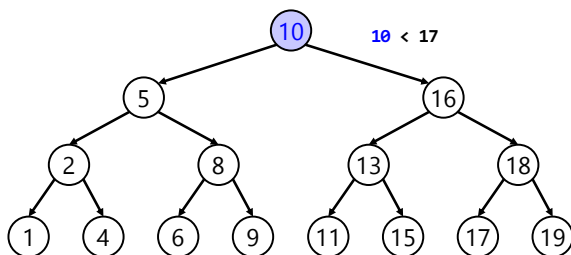
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]



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### example: binary search for 17

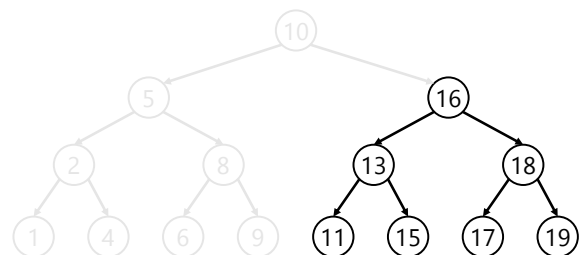
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]



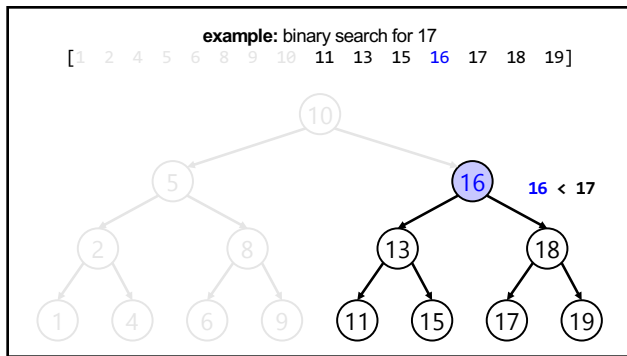
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### example: binary search for 17

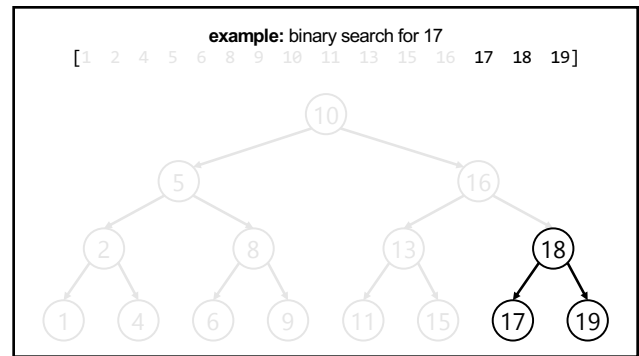
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]



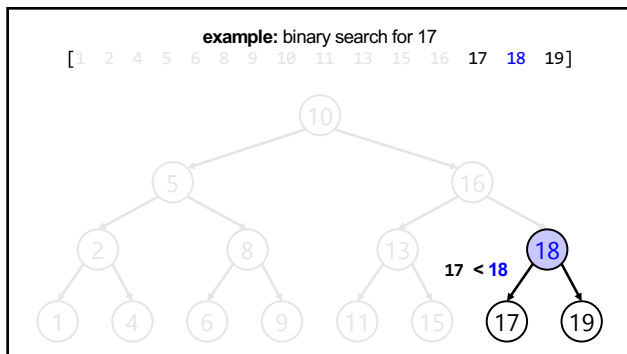
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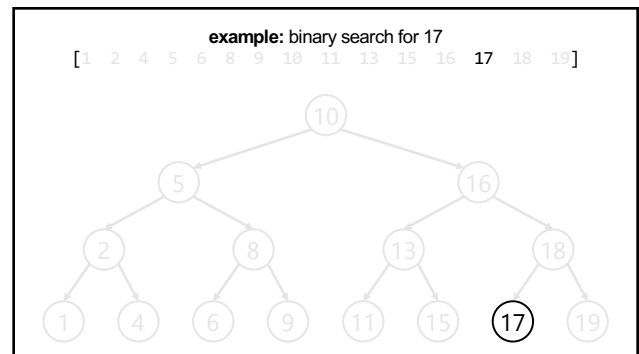
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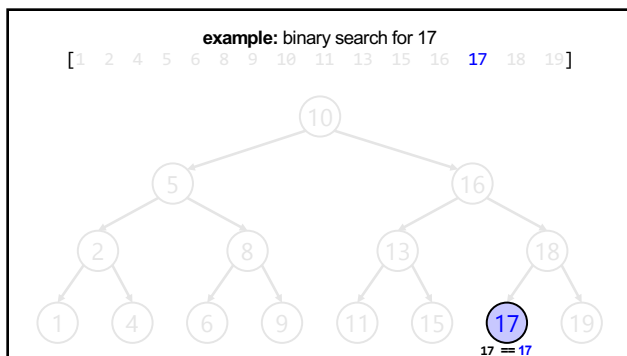
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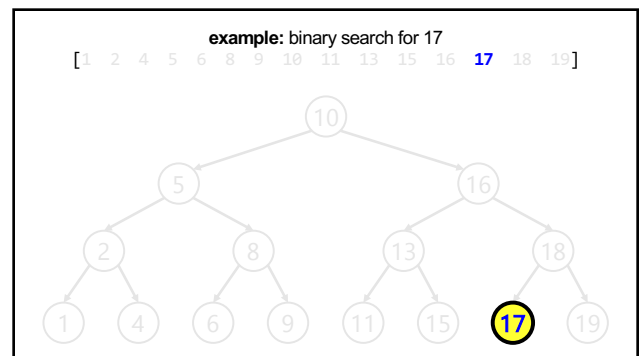
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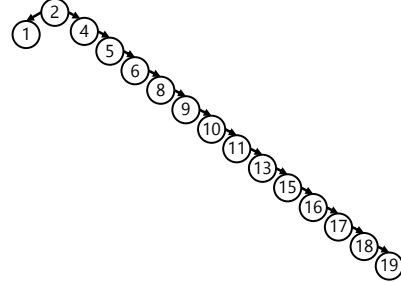
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**note:** there are many different  
binary search trees that  
represent the same data

let's look at another one!

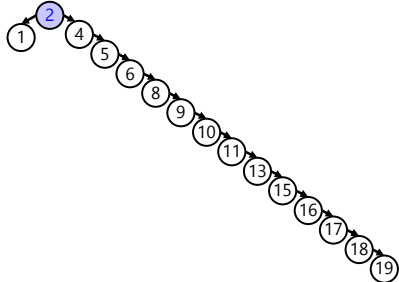
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**example:** binary search for 17  
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]



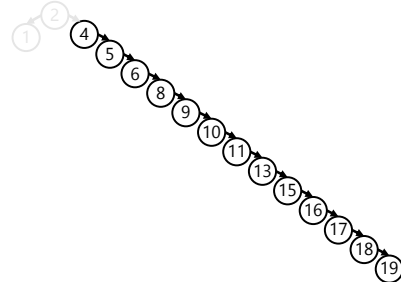
925

**example:** binary search for 17  
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]



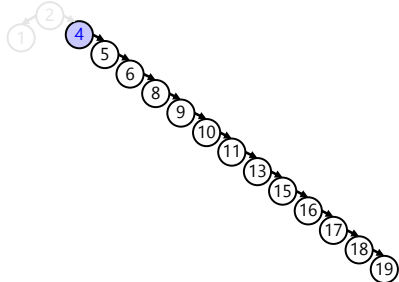
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**example:** binary search for 17  
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]



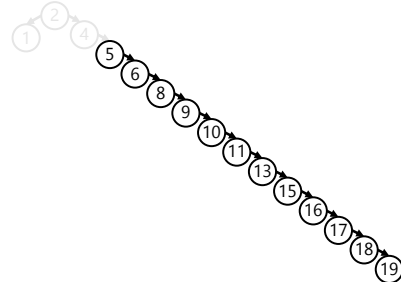
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**example:** binary search for 17  
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]

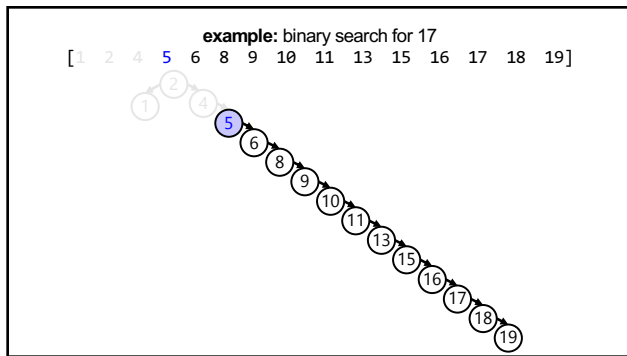


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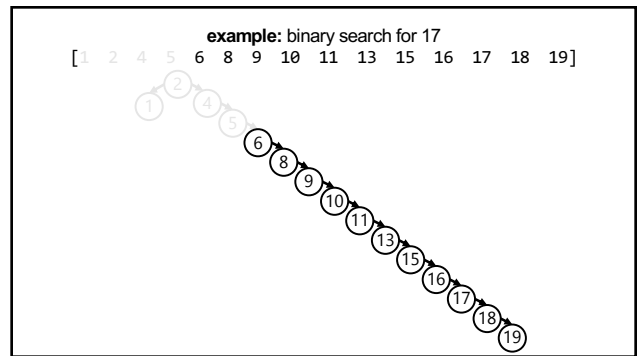
**example:** binary search for 17  
[1 2 4 5 6 8 9 10 11 13 15 16 17 18 19]



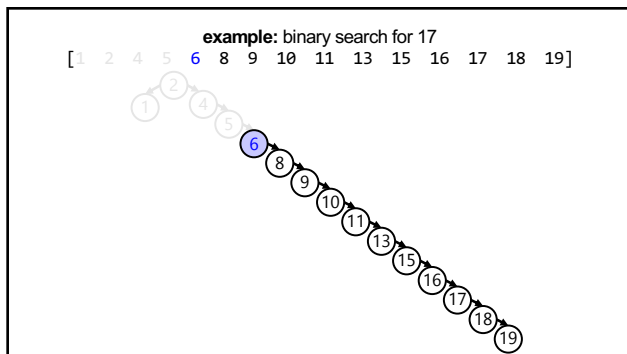
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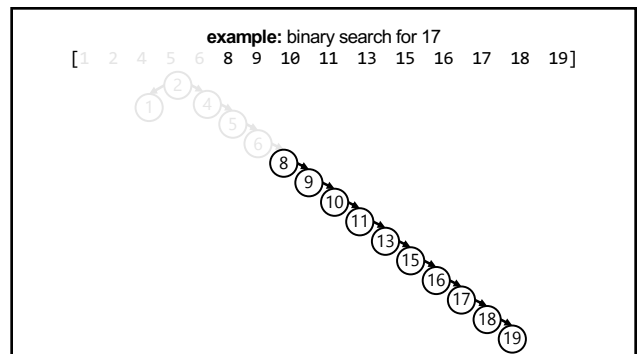
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**life lesson:** it is important that  
your binary search tree is  
balanced ✨  
(otherwise things starts to look a lot like linear search on a linked list 🤔)

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adding a new node to a  
binary search tree  
(the simplest version)

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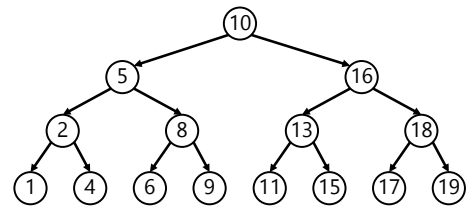
for a binary search tree,  
**add** starts out just like **search**

937

just keep going until you hit a  
null node  
  
make it the new node 😊👍

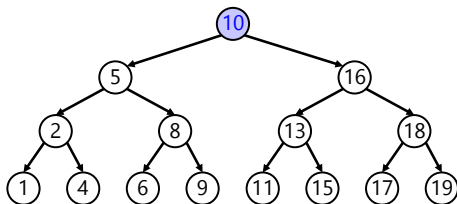
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**example:** adding 7



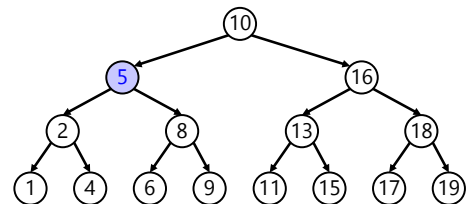
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**example:** adding 7

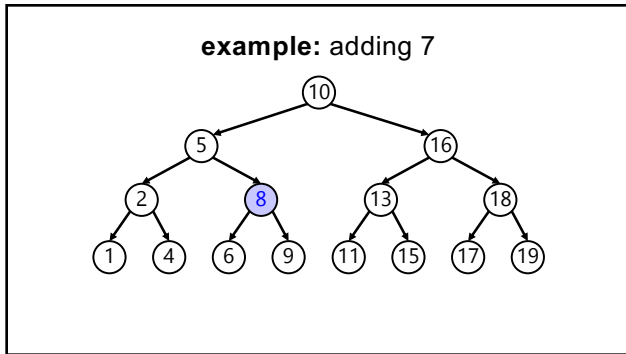


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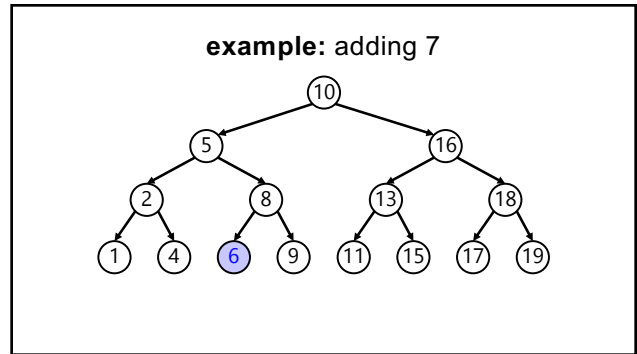
**example:** adding 7



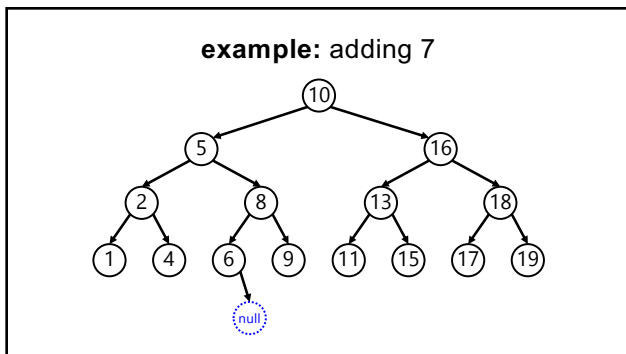
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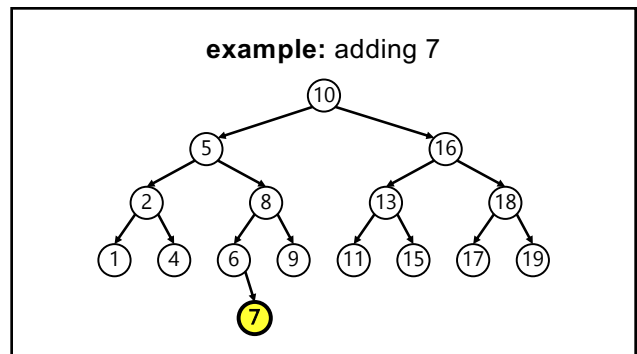
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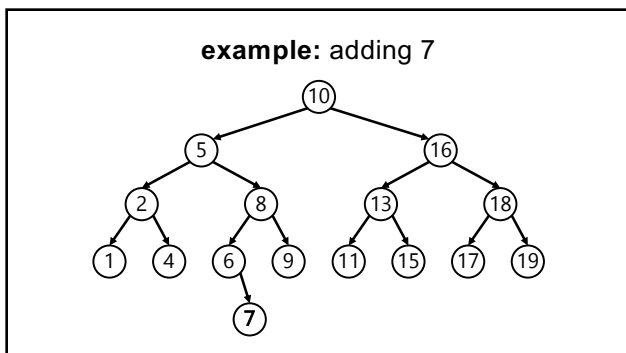
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**ANNOUNCEMENTS**  
today is See You Back In Person  
on Wednesday Wednesday!

**WARMUP**  
**Is It A Binary Search Tree?**

in a **binary search tree** (sorted binary tree)  
**every** node follows:  
"a node's value is **greater** than the values of  
**all** nodes in its left subtree and less than  
the values of **all** nodes in its right subtree"

**TODAY**  
traversal order demo; heaps

947

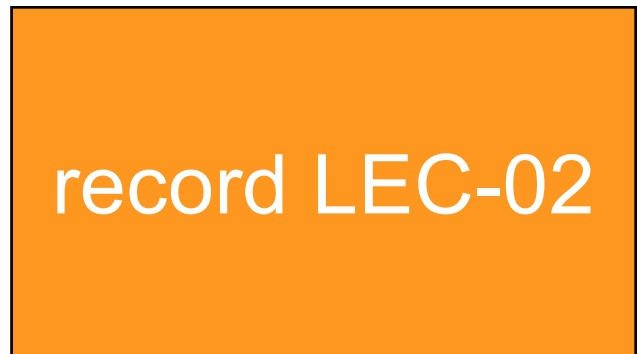
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**WARMUP**  
**Is It A Binary Search Tree?**

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**TODAY**  
traversal order demo; heaps

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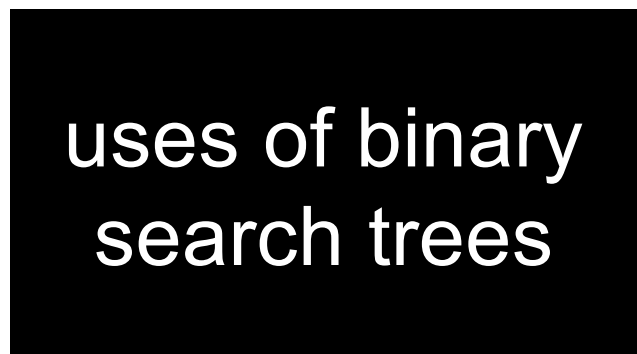
**something to ponder:**  
what will this pseudocode do?

```

binarySearchTree = BinarySearchTree()
array = [1, 2, 5, 6, 7, 9, 12, 17]
for element in array:
 binarySearchTree.add(element)

```

950



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**tree map (implement a map)**

- implement a **map as a binary search tree**  
( NOT a hash map!—no hashing will be involved!)

```

class Node {
 String key; // the binary search tree is sorted by key
 Integer value;
 Node leftChild;
 Node rightChild;
}

class TreeMap {
 Node root;
 ValueType getKeyType() { ... }
 void put(ValueType, KeyType) { ... }
}

```

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**bucket in a hash map**

- use a binary search tree as a **bucket in a hash map** (with separate chaining)

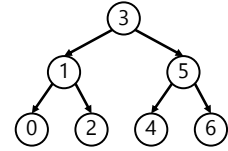
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## binary tree depth-first traversal orders

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### depth-first traversal orders (of a binary tree)

- **pre-order** = self, left, right
  - 3, 1, 0, 2, 5, 4, 6
- **in-order** = left, self, right
  - 0, 1, 2, 3, 4, 5, 6
- **post-order** = left, right, self
  - 0, 2, 1, 4, 6, 5, 3
- **reverse pre-order** = self, right, left
  - 3, 5, 6, 4, 1, 2, 0
- **reverse in-order** = right, self, left
  - 6, 5, 4, 3, 2, 1, 0
- **reverse post-order** = right, left, self
  - 6, 4, 5, 2, 0, 1, 3



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TODO (Jim): Live-code traversal orders  
(Feel free to follow along or race.)

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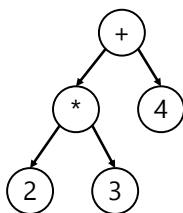
```

void _recurse(Node self) {
 // rearranging these 3 lines gives you all
 // 3! = 6 traversal orders
 System.out.print(self.value + " ");
 if (self.right != null) { _recurse(self.right); }
 if (self.left != null) { _recurse(self.left); }
}

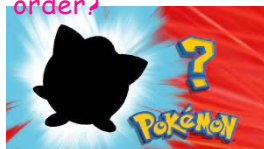
```

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$2 * 3 + 4$



who's that  
traversal in-order!  
order?



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# heaps

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# always-complete max binary heap

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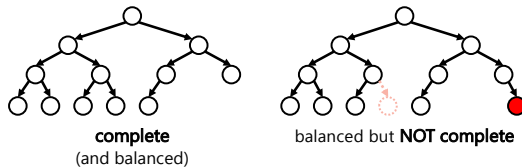
## always-complete max binary heap

- in this class, when we say "heap" or "max heap", we mean an "always-complete max binary heap"
- we might occasionally mention a "min heap", which means an "always-complete min binary heap"

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## always-complete max binary heap

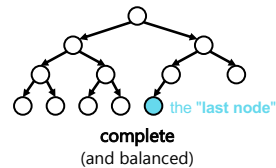
- in a **complete binary tree**, all levels (depths, rows) are "full of nodes", except for possibly the bottom level, in which all nodes are "as far to the left as possible"



962

## always-complete max binary heap

- in a **complete binary tree**, all levels (depths) are "full of nodes", except for possibly the bottom level, in which all nodes are "as far to the left as possible"



963

## always-complete max binary heap

- "always-complete" means that every function in the Heap interface (add(...) & remove()) "preserves the completeness of the heap"
- the heap **was complete** before calling add...
- ...and the heap **is still complete** after add returns

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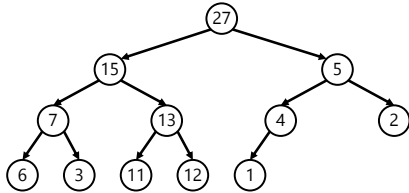
## always-complete max binary heap

- a **binary heap** is another special kind of binary tree
- a binary heap is NOT, in general, a binary search tree

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### always-complete max binary heap

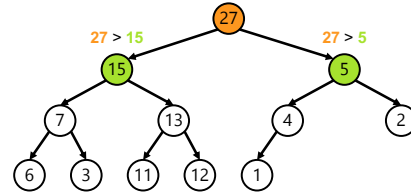
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### always-complete max binary heap

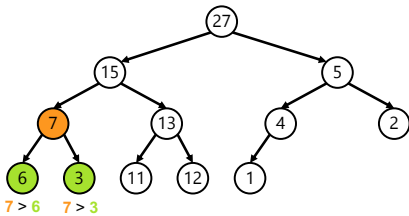
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967

### always-complete max binary heap

- in a max binary heap, every node follows: "a node's value is greater than the value of its left child and the value of its right child"



968

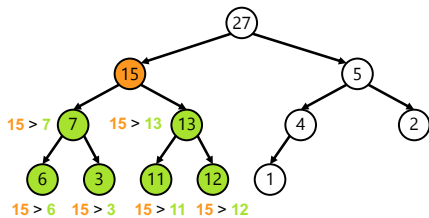
### always-complete max binary heap

- in a max binary heap, every node follows: "a node's value is greater than the value of its left child and the value of its right child"
- 🧠 is the "max heap property" above equivalent to...
  - in a max binary heap, every node follows: "a node's value is greater than the values of all its descendants"
  - yes.
    - idea: apply definition recursively
      - node's value is greater than the values of its children...
      - ...which are greater than the values of their children...
- 🧠 which node always has the max value of all nodes in the heap?
  - the root

969

### always-complete max binary heap

- in a max binary heap, every node follows: "a node's value is greater than the values of all its descendants"



970

## heap interface

add(...) & remove()



971

### heap interface

- // Add this value to the heap.  
void add(ValueType value) { ... }
- // Remove the max value from the heap, and return it.  
ValueType remove() { ... }

972

add(...)

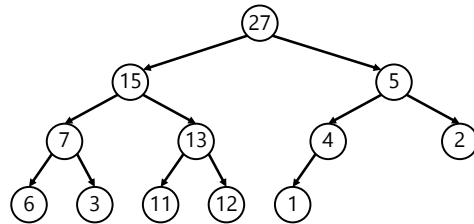
973

### void add(ValueType value);

- to **add** a new node with a given value to a max binary heap...
  - add the new node so that the heap is still complete (add into "the next empty slot")
  - while that node violates the max heap property...
    - swap it with its parent
- the node "**swims up**" 🌟
  - "sifts up"
  - "heap up"?

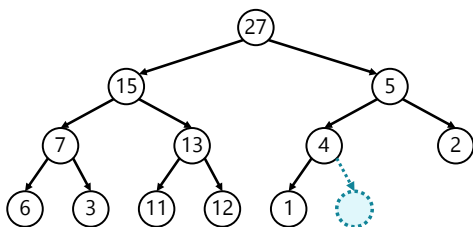
974

### example: adding 10



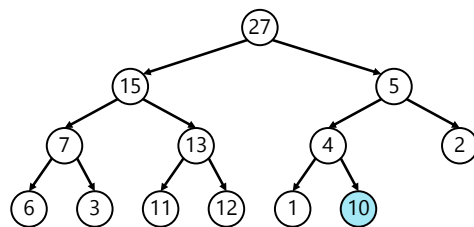
975

### example: adding 10

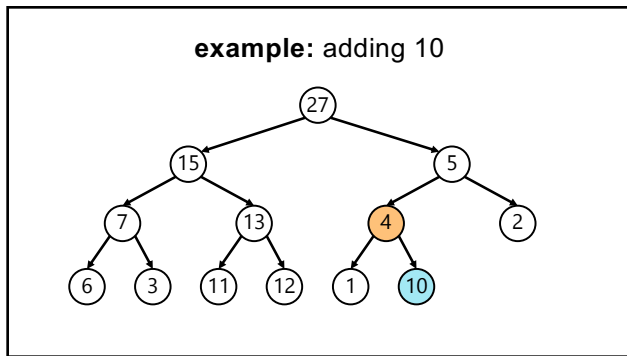


976

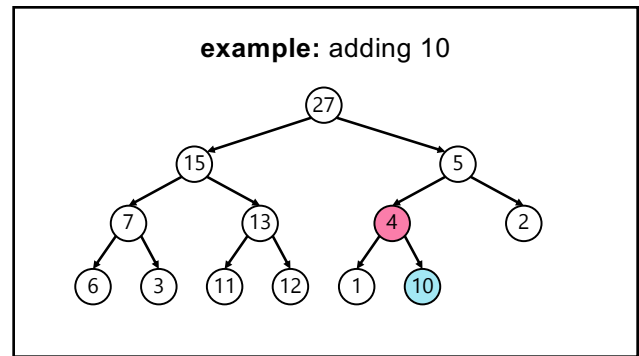
### example: adding 10



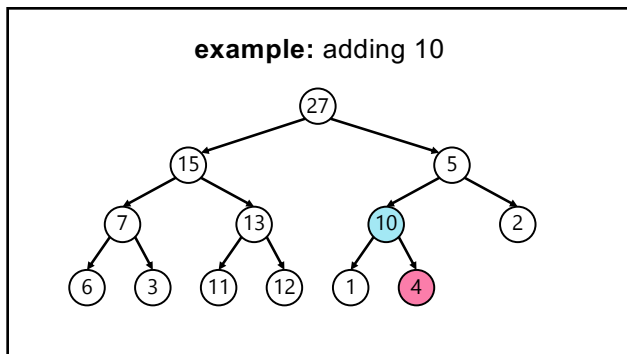
977



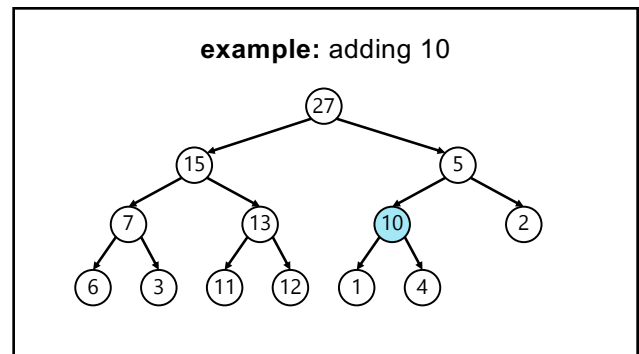
978



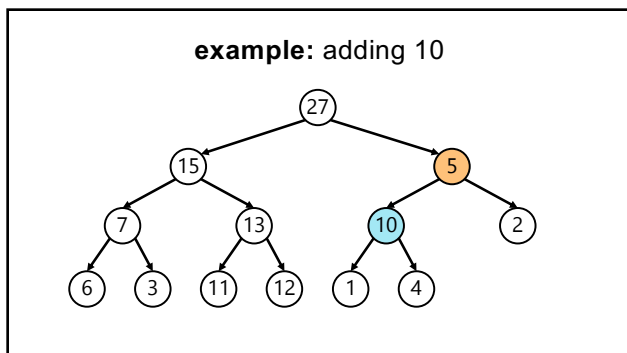
979



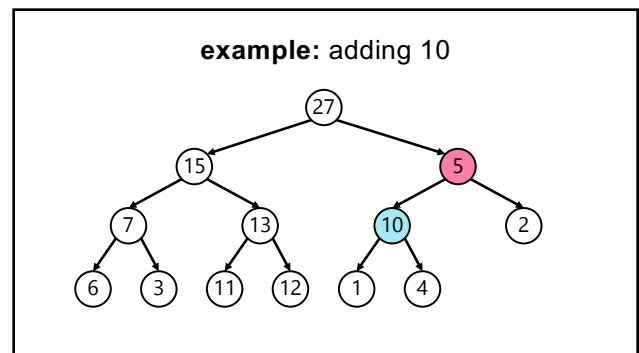
980



981

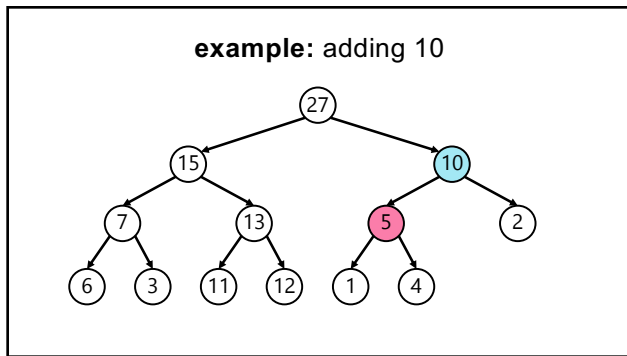


982

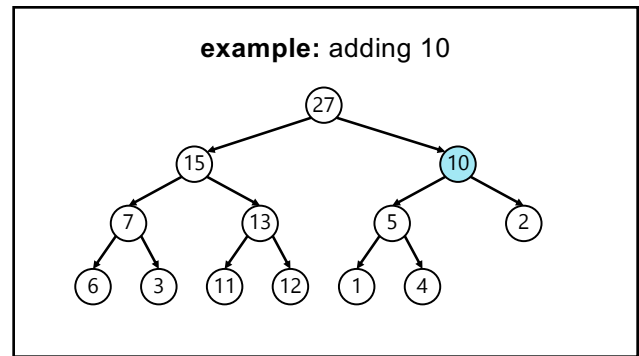


983

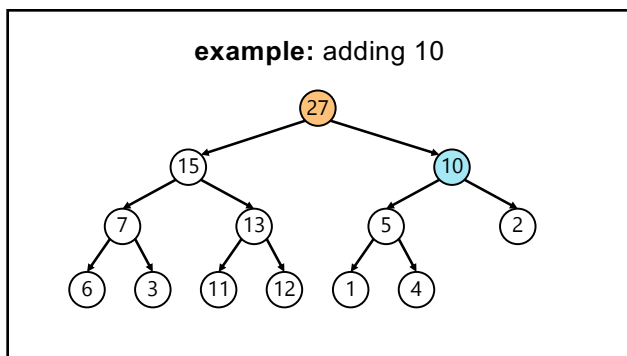




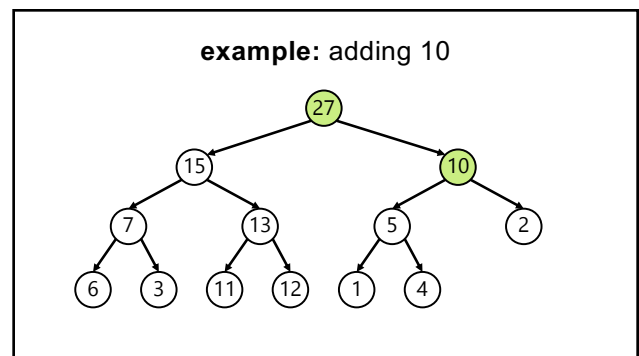
984



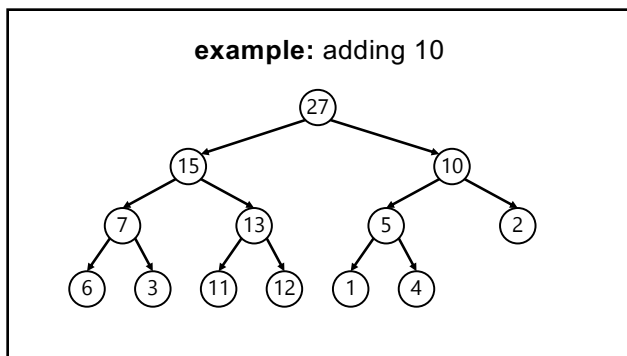
985



986



987



988

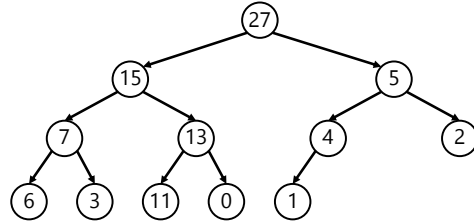


989

### ValueType remove();

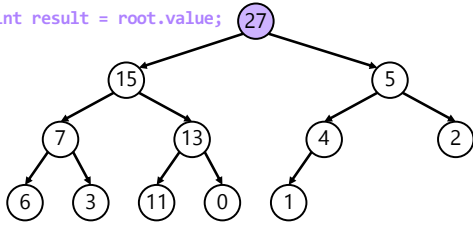
- to **remove** the node with max value (the root) from a max binary heap...
  - save the root's value in a temporary variable called `result`
  - replace the root with the **last node** (rightmost node in the bottom level) (the old root is now "dead" and ready to be garbage collected 🗑️)
  - while that node violates the max heap property...
    - swap it with its larger child
  - **return** `result`;
- the node "sinks down" ⚓
  - "sifts down"
  - "heap down"?

### example: removing max node

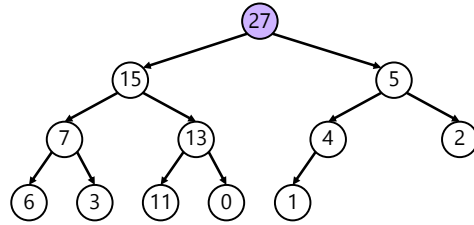


### example: removing max node

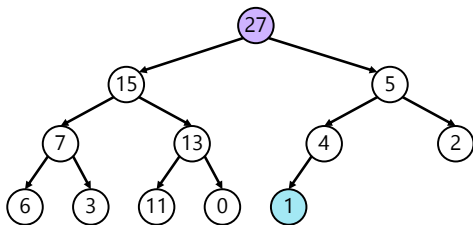
`int result = root.value;`



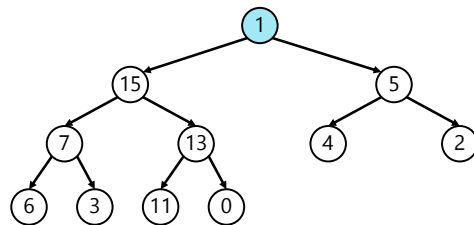
### example: removing max node



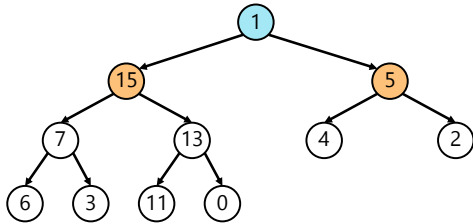
### example: removing max node



### example: removing max node

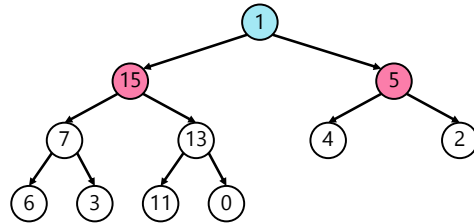


**example: removing max node**



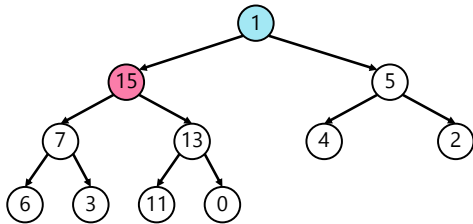
996

**example: removing max node**



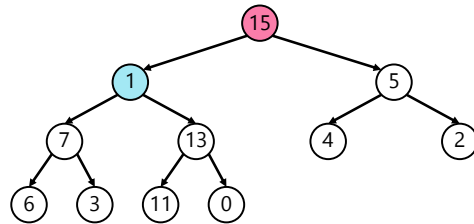
997

**example: removing max node**



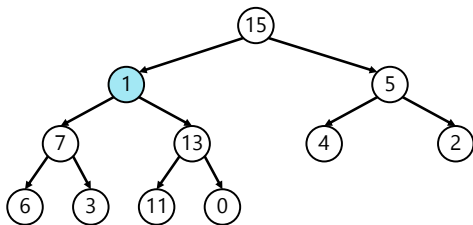
998

**example: removing max node**



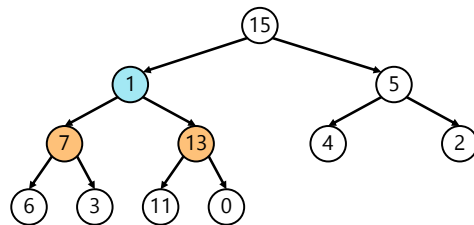
999

**example: removing max node**



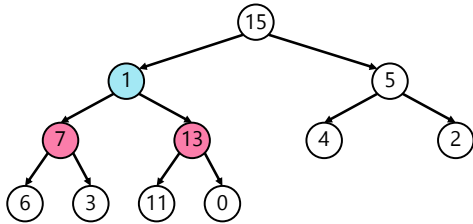
1000

**example: removing max node**



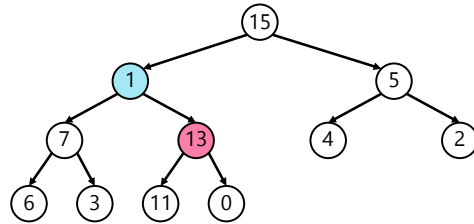
1001

**example: removing max node**



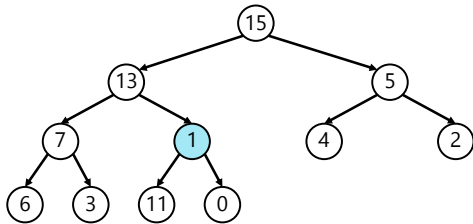
1002

**example: removing max node**



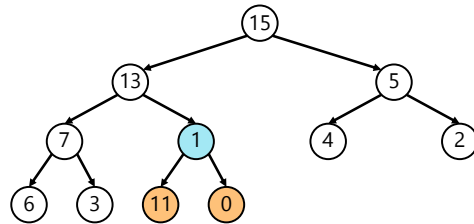
1003

**example: removing max node**



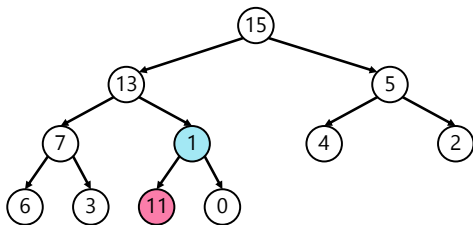
1004

**example: removing max node**



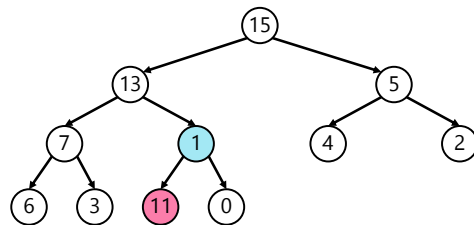
1005

**example: removing max node**



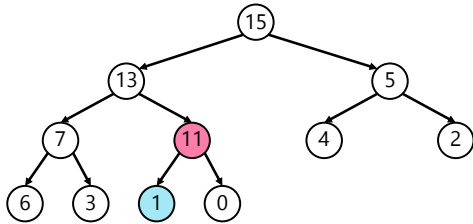
1006

**example: removing max node**



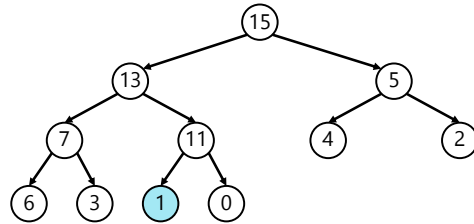
1007

**example: removing max node**



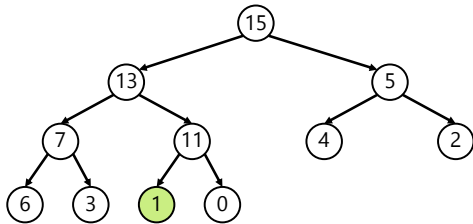
1008

**example: removing max node**



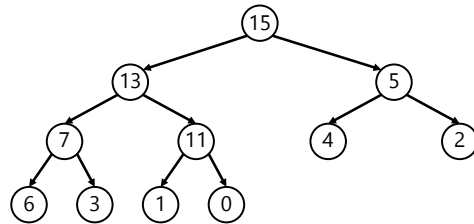
1009

**example: removing max node**



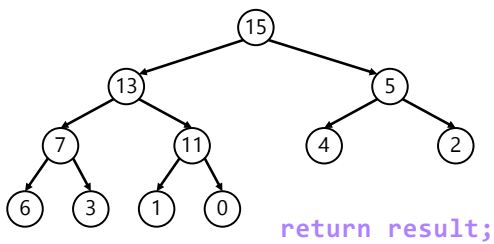
1010

**example: removing max node**



1011

**example: removing max node**



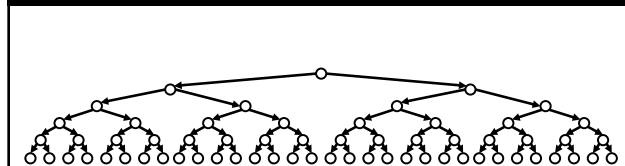
1012

**ANNOUNCEMENTS** today is Fun Friday with DJ Microsoft Excel  
also Prof. Katie Keith is Visiting Friday

**WARMUP**

How **tall** is a **perfect** (totally full) **binary tree** with  $n$  nodes?  
Give your answer in big O. Is it  $O(1)$ ,  $O(\log n)$ ,  $O(n)$ ,  $O(n^2)$ , ...?

**TODAY** binary search tree and heap wrap-up



1013

# record LEC-02

1014

1 node  $\rightarrow$  height 0



1015

3 nodes  $\rightarrow$  height 1



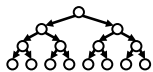
1016

7 nodes  $\rightarrow$  height 2



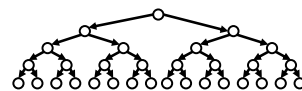
1017

15 nodes  $\rightarrow$  height 3



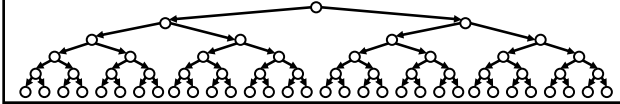
1018

31 nodes  $\rightarrow$  height 4



1019

63 nodes → height 5



1020

summary

- (1 node, height 0)
- (3 nodes, height 1)
- (7 nodes, height 2)
- (15 nodes, height 3)
- (31 nodes, height 4)
- (63 nodes, height 5)
- ...

1021

summary

(1, 0)  
(3, 1)  
(7, 2)  
(15, 3)  
(31, 4)  
(63, 5)  
...

1022

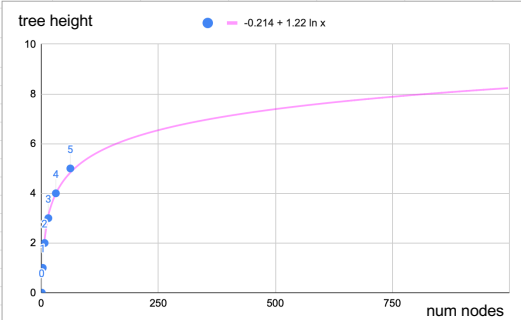
summary

|    |   |
|----|---|
| 1  | 0 |
| 3  | 1 |
| 7  | 2 |
| 15 | 3 |
| 31 | 4 |
| 63 | 5 |

1023

TODO (Jim): Let's make a plot.

1024



1025

$\log n$

1026

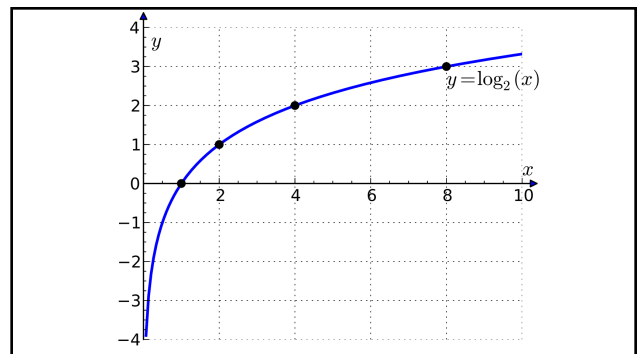
a **balanced binary tree**  
has  $O(\log n)$  height

**note:** the example we just did showed this for a "perfectly balanced" binary tree, but it is also true for just plain ol' balanced binary search trees

1027

what does log look like?

1029



1030

log is the inverse of  
exponential growth

1031

$$y = 2^x$$

🧠 solve for x.

1032

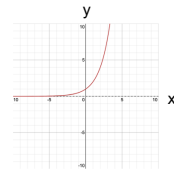


$$y = 2^x$$

$$x = \log_2 y$$

1033

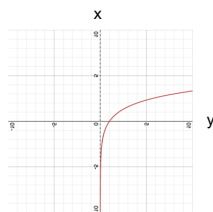
$$y = 2^x$$



$$x = \log_2 y$$

1034

$$y = 2^x$$



$$x = \log_2 y$$

1035

the change of base formula

implies that  $O(\log_2 n) = O(\log_{10} n) = \dots$

1036

$$\log_b n = \log_d n / \log_d b$$

1037

$$\log_2 n = \log_{10} n / \log_{10} 2$$

1038

$$\log_2 n = \log_{10} n / \log_{10} 2$$

this is a constant.

1039

$$\log_2 n = c \log_{10} n$$

1040

$$O(\log_2 n) = O(c \log_{10} n)$$

1041

$$O(\log_2 n) = O(\log_{10} n)$$

1042

$O(\log_2 n)$  and  $O(\log_{10} n)$   
are the exact same thing

1043

so you can just say  $O(\log n)$   
and not worry about it 😊👍

1044

# binary search tree details

1045

## self-balancing binary search trees

1046

**life lesson:** it is important that  
your binary search tree is  
✨ balanced ✨

(otherwise things starts to look a lot like linear search on a linked list 🤔)

1047



1048

self-balancing binary search trees  
are very cool but painful to implement

1049

# heap details

1050

# heap application: priority queue

1051

review: queue



1052

review: queue



1053

review: queue



1054

review: queue



1055

review: queue



1056

**review:** queue



1057

**extension:** priority queue

1058

**extension:** priority queue



1059

**extension:** priority queue



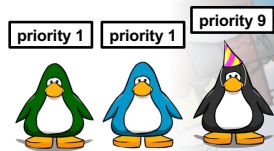
1060

**extension:** priority queue

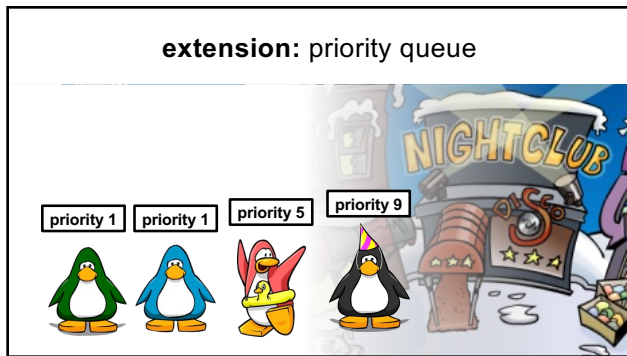


1061

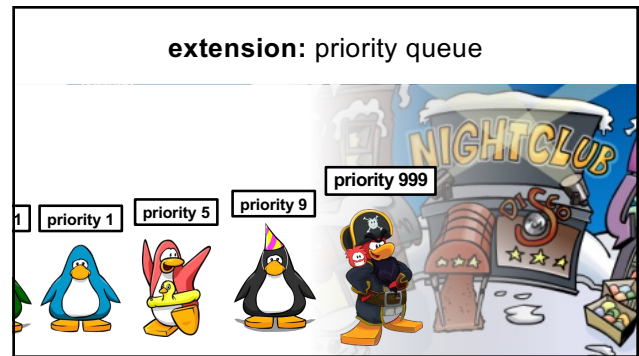
**extension:** priority queue



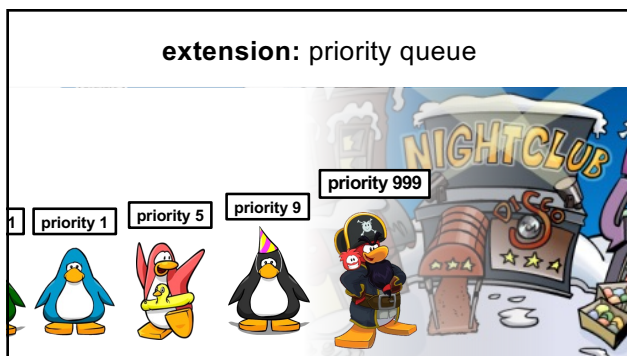
1062



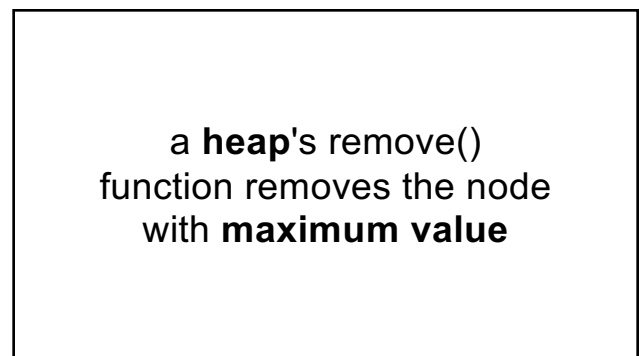
1063



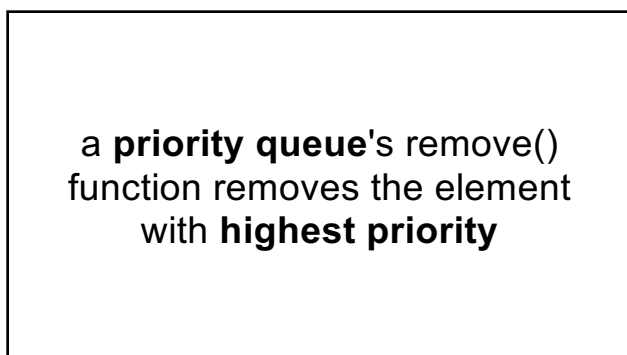
1064



1065



1066



1067



1068

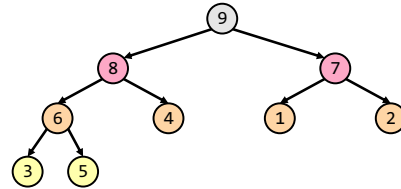
## heap application: (implicit) heapsort

1069

because a heap is an always-complete binary tree,  
**we can store a heap "implicitly" as an array**  
using a breadth-first (level-order) traversal!



[ 9 8 7 6 4 1 2 3 5 ]



1070

this lets us do **in-place heapsort!**  
(using only swaps)

1071

1. build a heap by calling `add(...)`  
over and over
2. deconstruct the heap by calling  
`remove()` over and over

1072

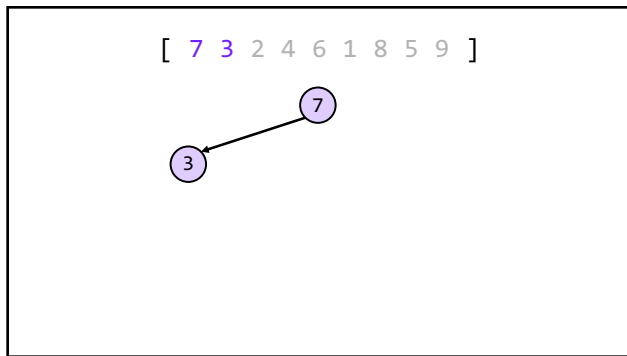
[ 7 3 2 4 6 1 8 5 9 ]

1073

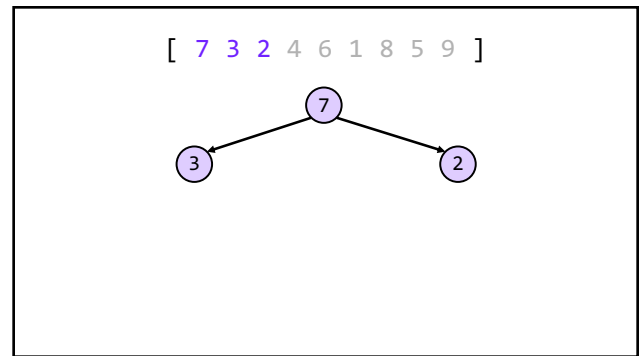
[ 7 3 2 4 6 1 8 5 9 ]

7

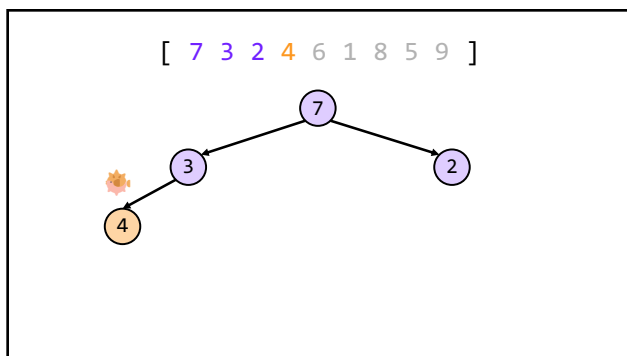
1074



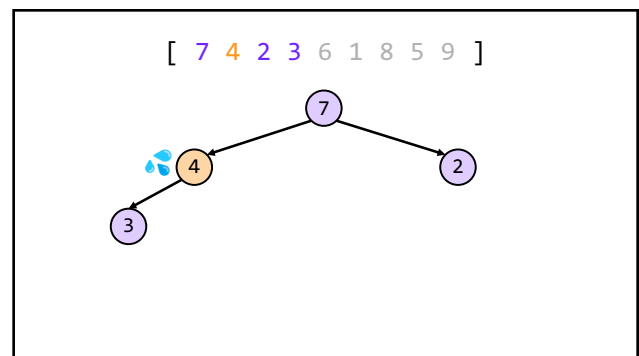
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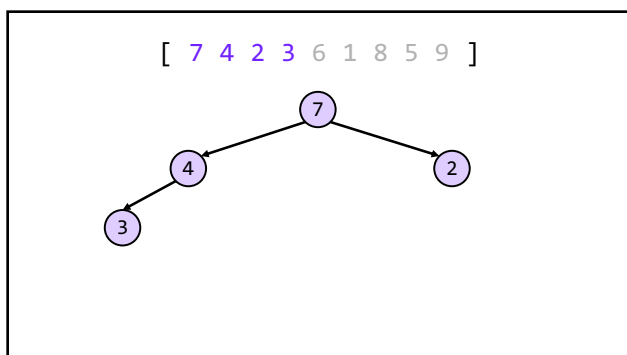
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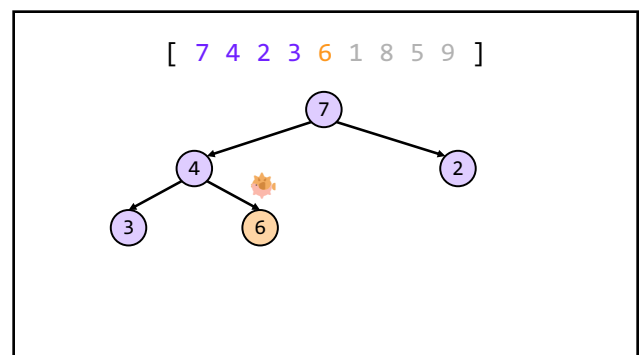
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1078

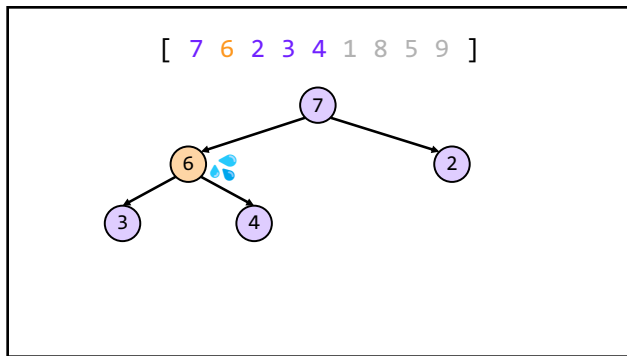


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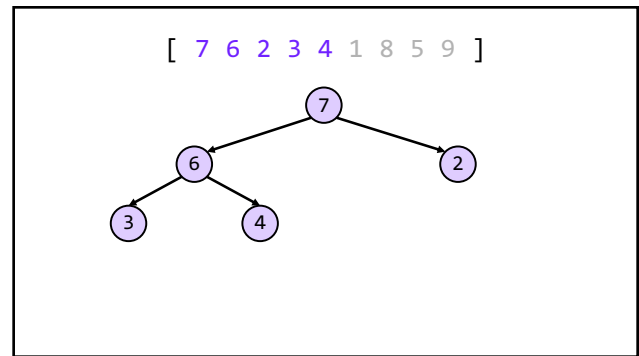


1080

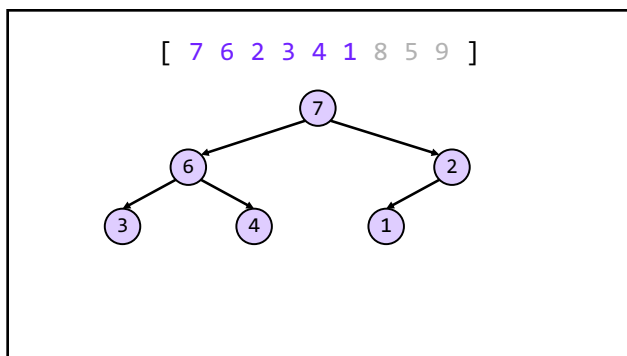




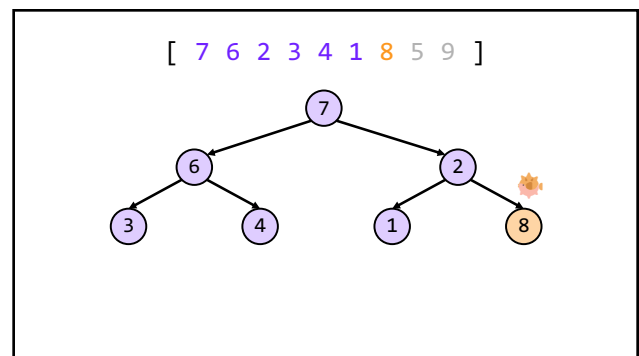
1081



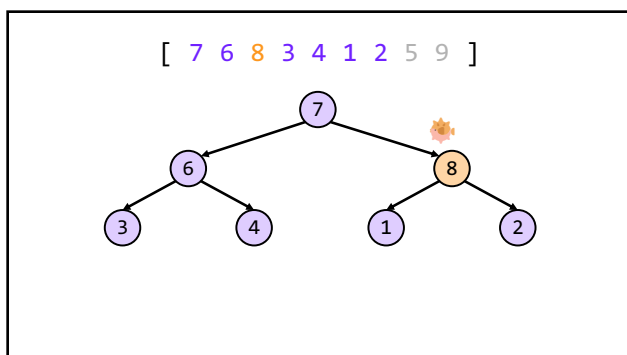
1082



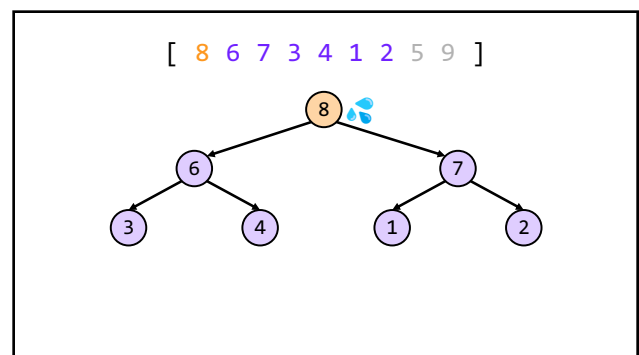
1083



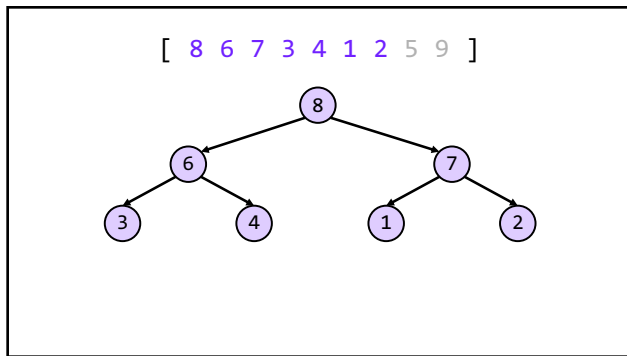
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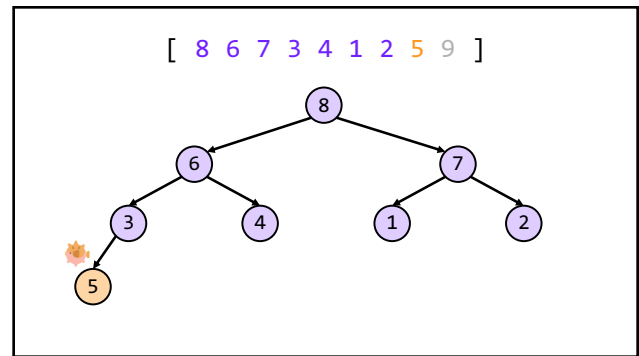
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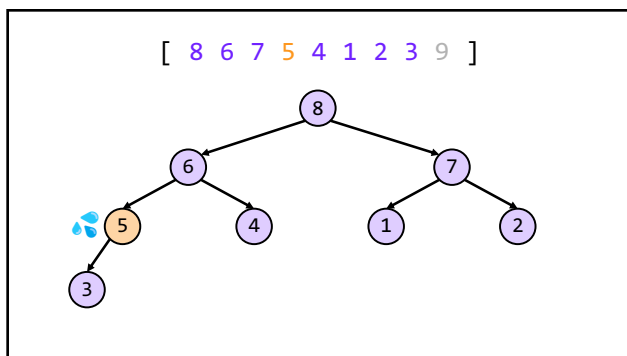
1086



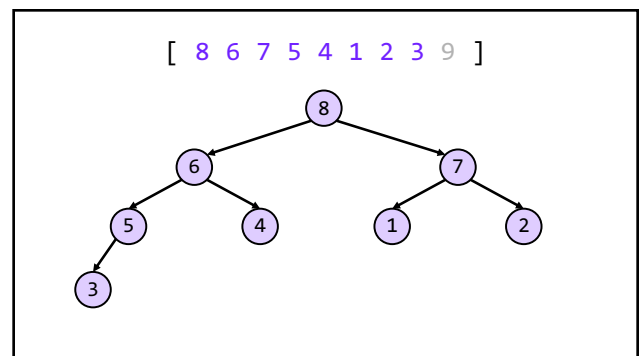
1087



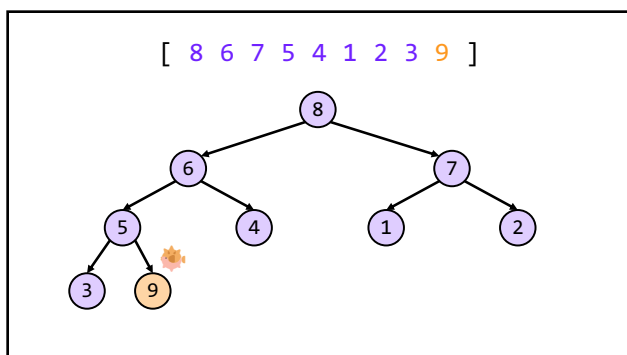
1088



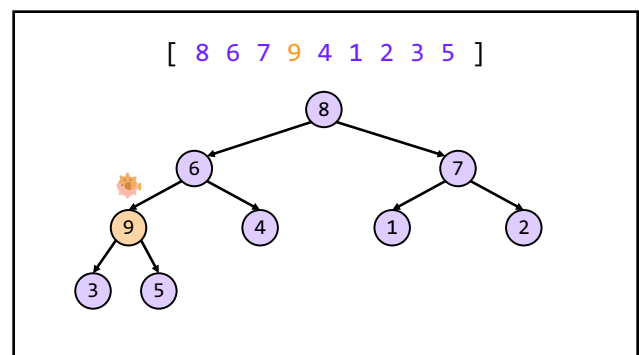
1089



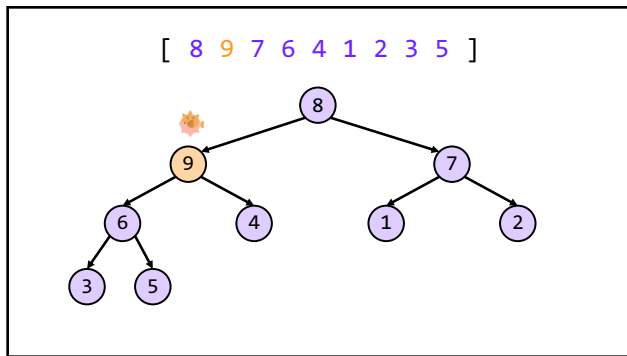
1090



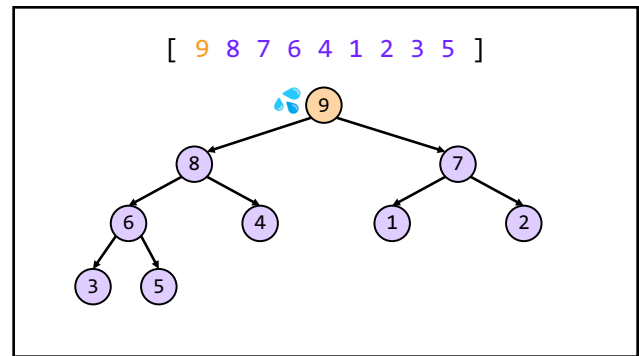
1091



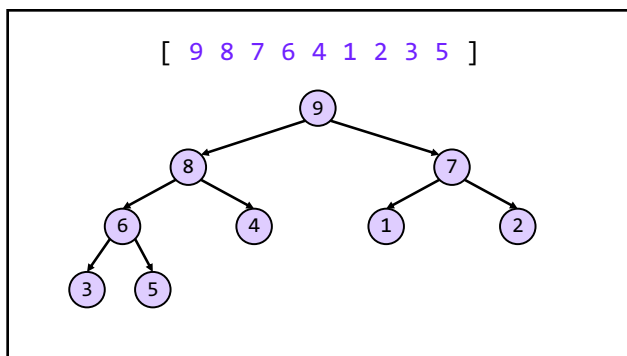
1092



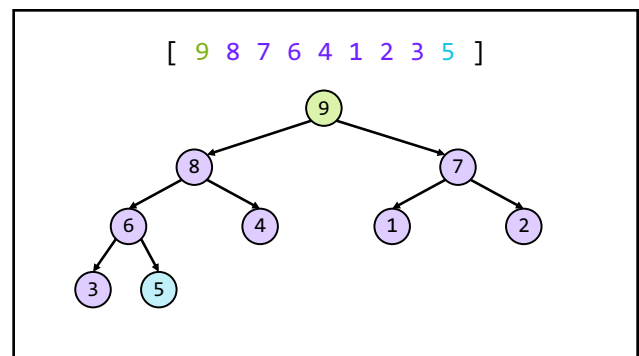
1093



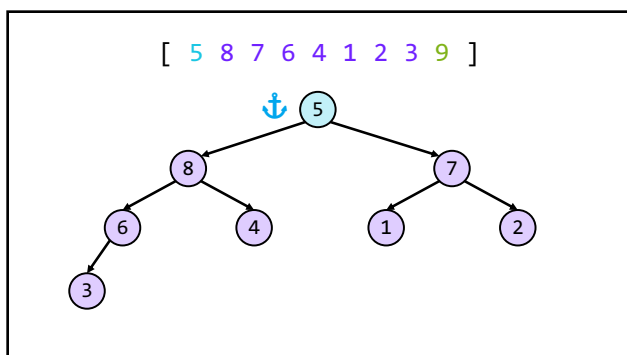
1094



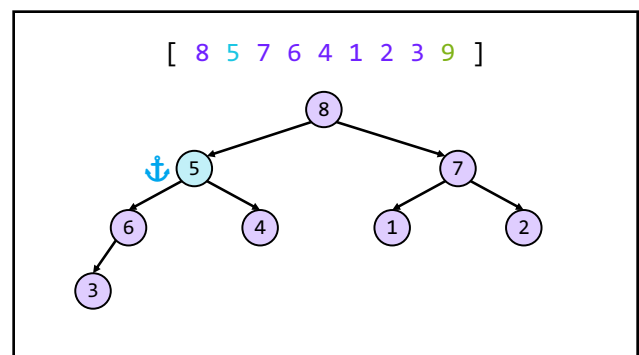
1095



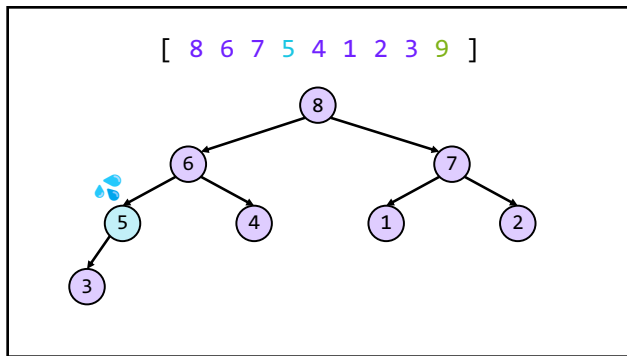
1096



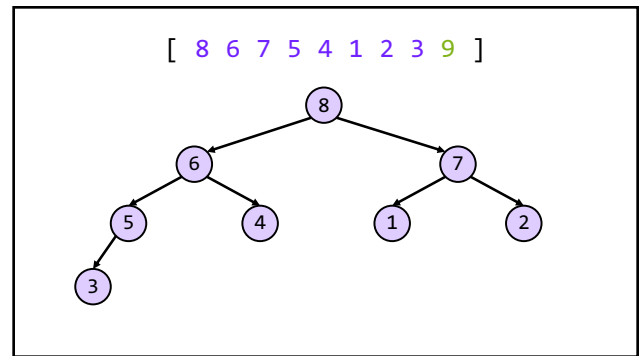
1097



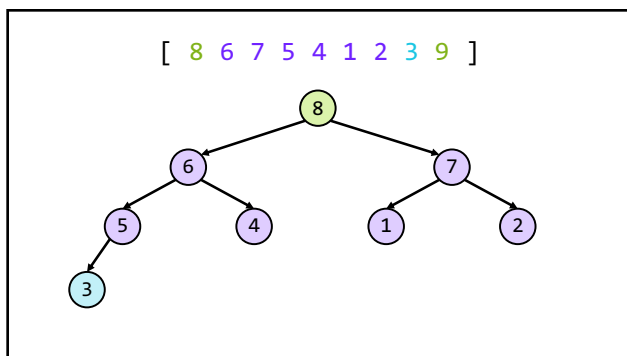
1098



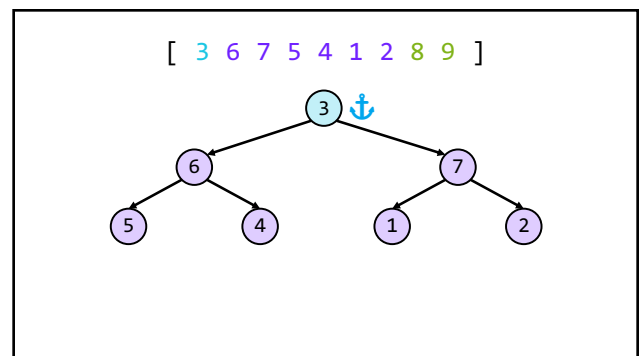
1099



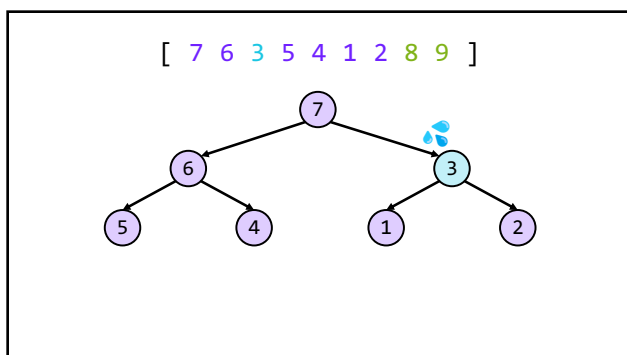
1100



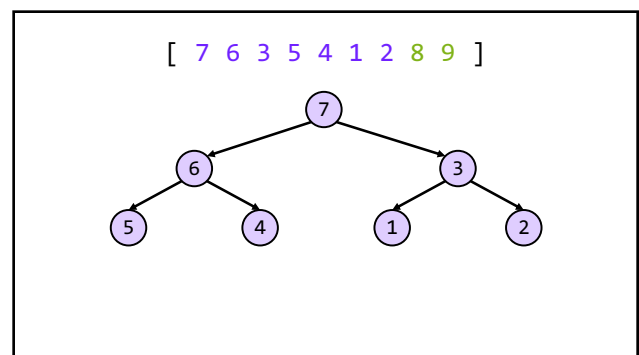
1101



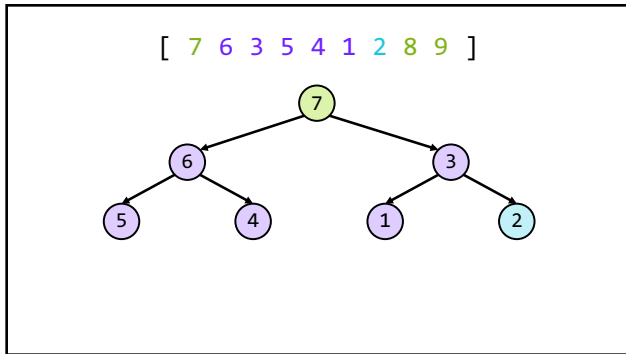
1102



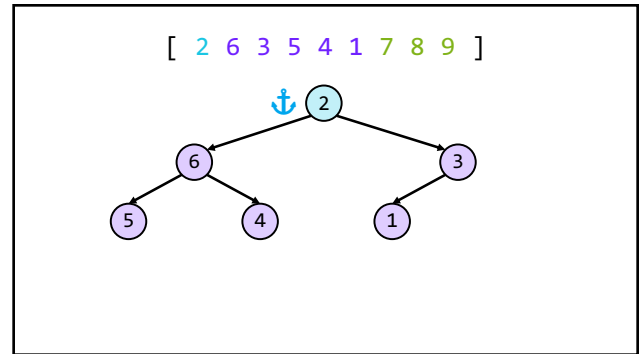
1103



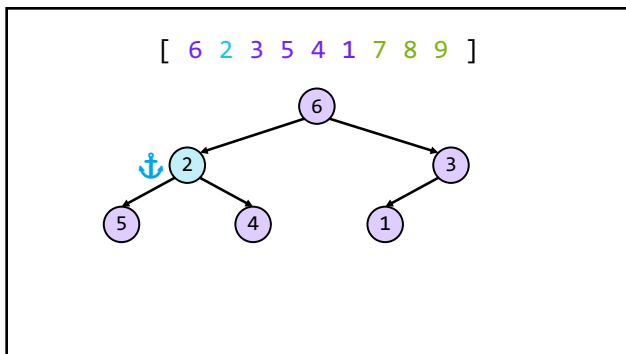
1104



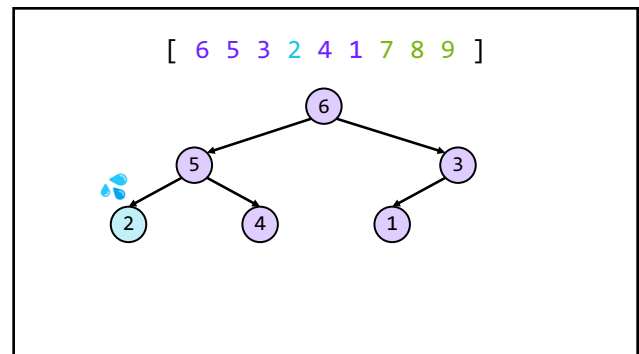
1105



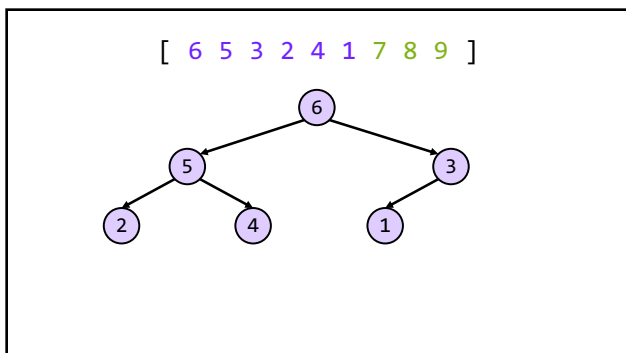
1106



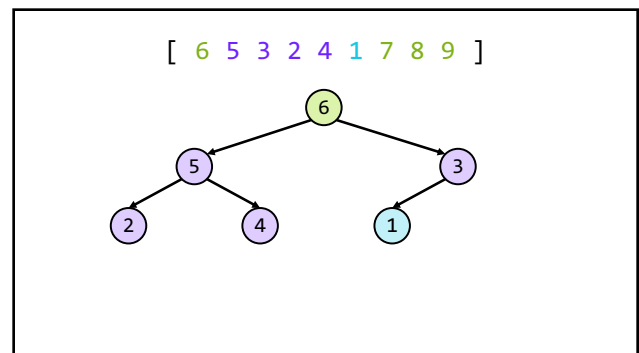
1107



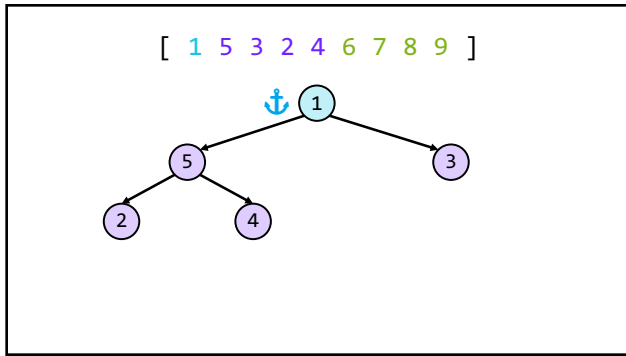
1108



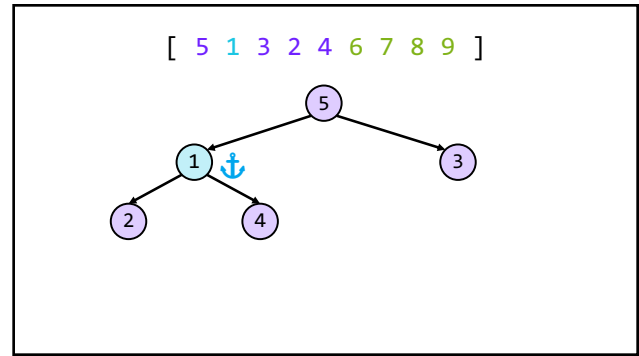
1109



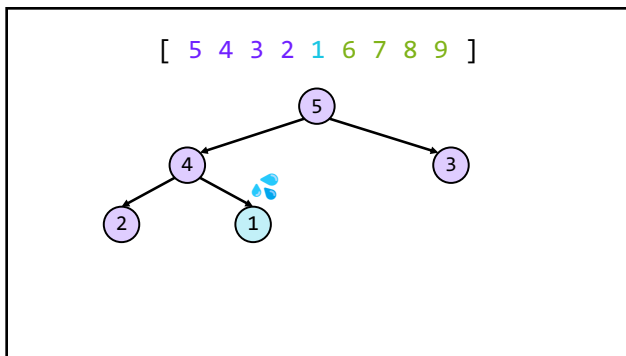
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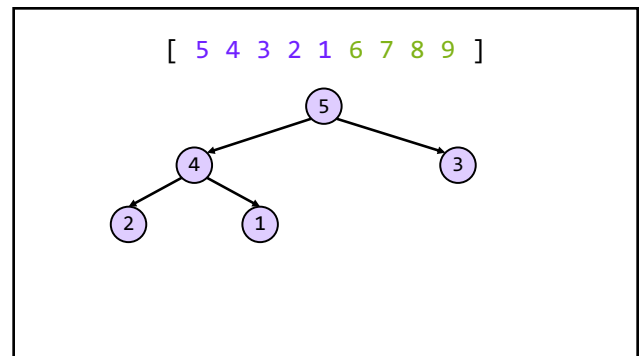
1111



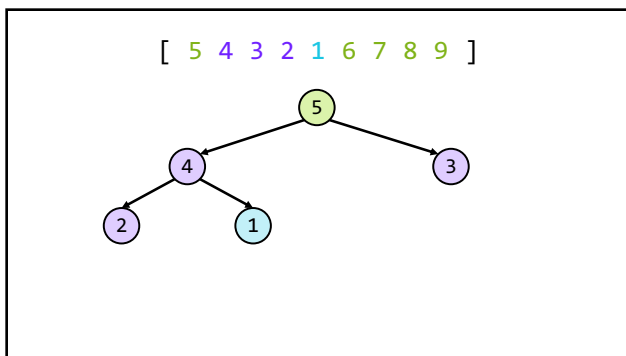
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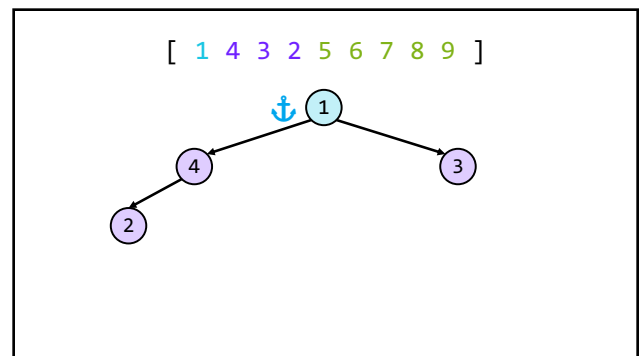
1113



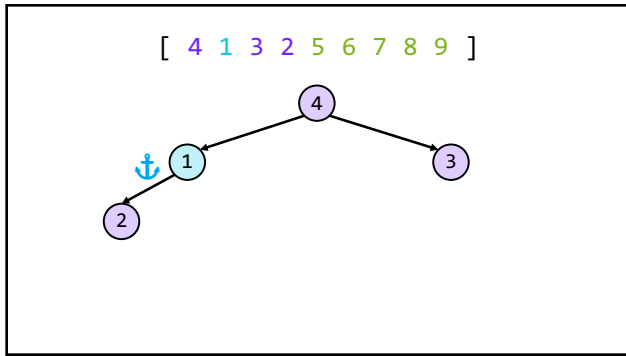
1114



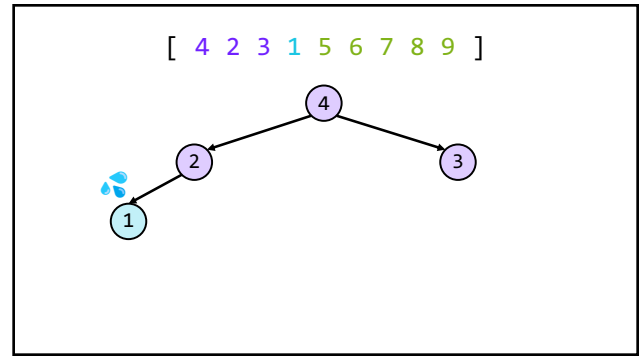
1115



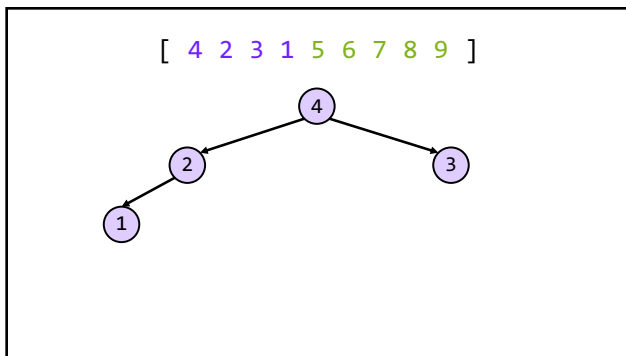
1116



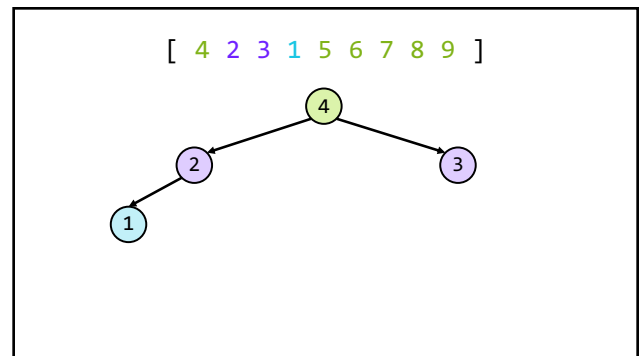
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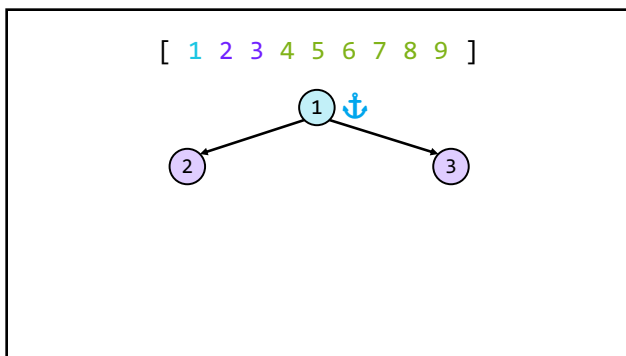
1118



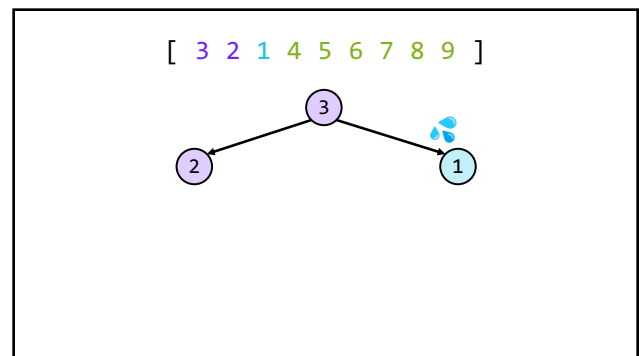
1119



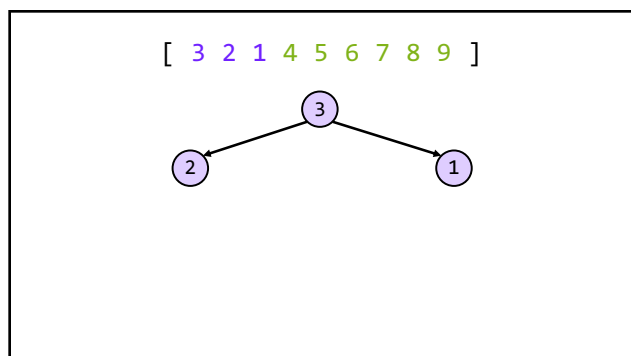
1120



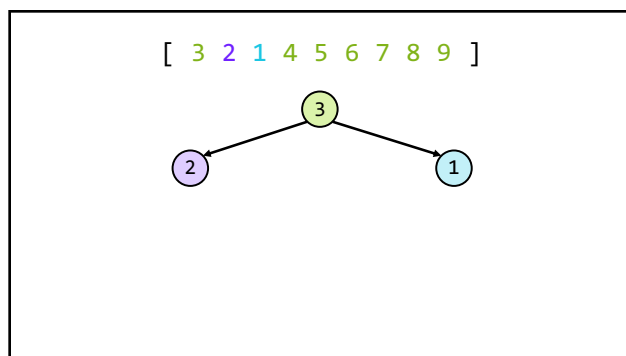
1121



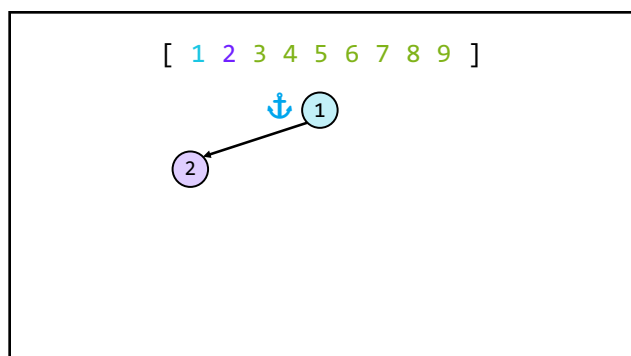
1122



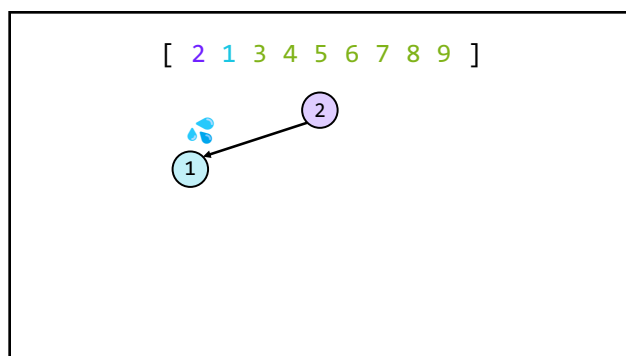
1123



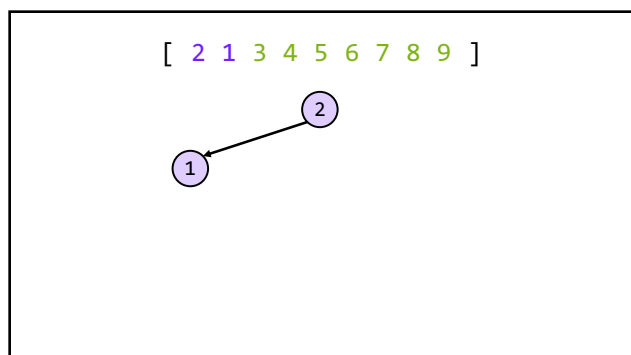
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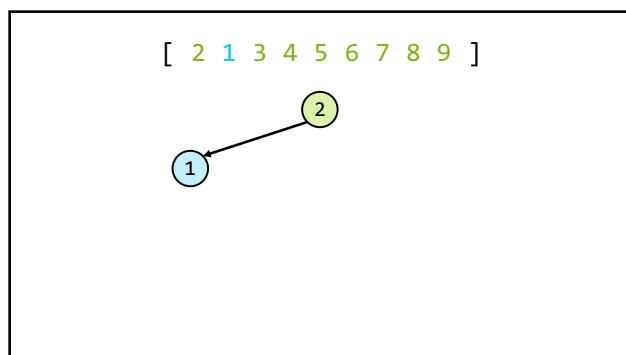
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1126

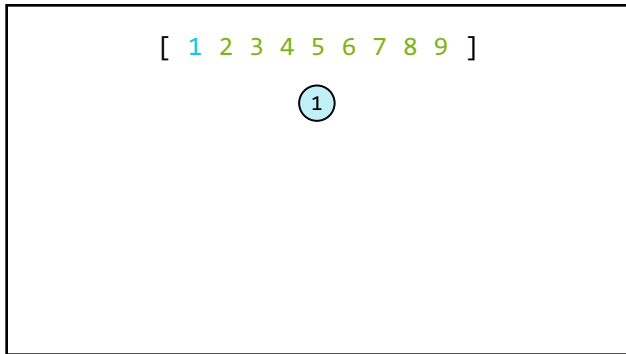


1127

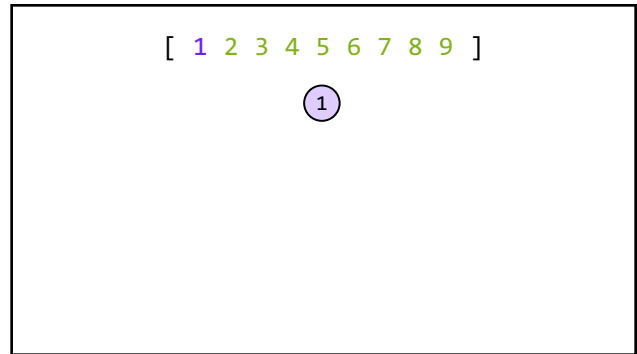


1128

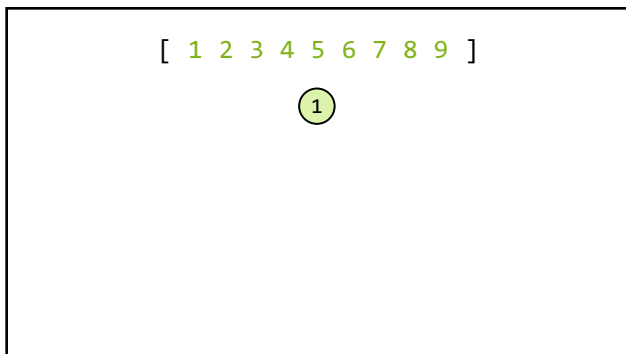




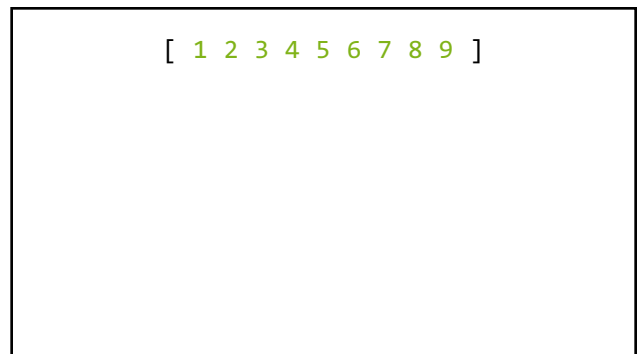
1129



1130



1131



1132

gamedev update  
(switch to other laptop)

1133

avl tree  
red black tree  
anchor

n vs. log n  
priority queue (club analogy)  
log(n) time  
implicit heap

robots/games

1134



space complexity

1135

john's robots  
tree algorithm

1136