

# Crash course in Optimality Theory

## Asimov's three laws of robot ethics

- [1] A robot may not injure a human being or, through inaction, allow a human being to come to harm.
- [2] A robot must obey the orders given it by human beings, except where such orders would conflict with the First Law.
- [3] A robot must protect its own existence, as long as such protection does not conflict with the First or Second Law.

# Crash course in Optimality Theory

## Asimov's three laws of robot ethics

- [1] A robot may not injure a human being or, through inaction, allow a human being to come to harm.
- [2] A robot must obey the orders given it by human beings, **except where such orders would conflict with the First Law.**
- [3] A robot must protect its own existence, **as long as such protection does not conflict with the First or Second Law.**

Instead of rules that refer to other rules, let's...

- (i) formulate these rules as **constraints**
- (ii) Rank these constraints

# Crash course in Optimality Theory

## Asimov's three laws of robot ethics **as constraints**

**INJURE HUMAN**    A robot may not injure a human being or, through inaction, allow a human being to come to harm.

**OBEY ORDER**     A robot must obey the orders given it by human beings.

**PROTECT EXISTENCE**    A robot must protect its own existence

**INJURE HUMAN**    >>    **OBEY ORDER**    >>    **PROTECT EXISTENCE**

# Crash course in Optimality Theory

## Scenario 1:

**H** says to a **R**obot: Kill my boss!

Potential outcomes

1. **R** kills **H**'s boss
2. **R** kills **H** (who gave it the order)
3. **R** doesn't kill anyone
4. **R** kills itself

# Crash course in Optimality Theory

## Step 1: Create a tableau for the scenario

**Input:** H says to R: Kill my boss!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
R kills H's boss			
R kills H			
R doesn't kill anyone			
R kills itself			

**NB:** The higher-ranked the constraint, the further leftwards it appears in the tableau

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## Step 2: Assign violations for each candidate output

**Input:** H says to R: Kill my boss!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
<b>R</b> kills <b>H</b> 's boss			
<b>R</b> kills <b>H</b>			
<b>R</b> doesn't kill anyone			
<b>R</b> kills itself			

# Crash course in Optimality Theory

## Step 2: Assign violations for each candidate output

**Input:** H says to R: Kill my boss!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
R kills H's boss	*		
R kills H			
R doesn't kill anyone			
R kills itself			

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**Input:** H says to R: Kill my boss!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
<b>R</b> kills <b>H</b> 's boss	*		
<b>R</b> kills <b>H</b>	*	*	
<b>R</b> doesn't kill anyone			
<b>R</b> kills itself			



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**Input:** H says to R: Kill my boss!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
<b>R</b> kills <b>H</b> 's boss	*		
<b>R</b> kills <b>H</b>	*	*	
<b>R</b> doesn't kill anyone		*	
<b>R</b> kills itself			

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**Input:** H says to R: Kill my boss!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
<b>R</b> kills <b>H</b> 's boss	*		
<b>R</b> kills <b>H</b>	*	*	
<b>R</b> doesn't kill anyone		*	
<b>R</b> kills itself		*	*

# Crash course in Optimality Theory

## Step 3: Eliminate suboptimal candidate outputs

**Input:** H says to R: Kill my boss!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
<b>R</b> kills <b>H</b> 's boss	*		
<b>R</b> kills <b>H</b>	*	*	
<b>R</b> doesn't kill anyone		*	
<b>R</b> kills itself		*	*

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<b>R</b> kills <b>H</b> 's boss	*		
<b>R</b> kills <b>H</b>	*	*	
<b>R</b> doesn't kill anyone		*	
<b>R</b> kills itself		*	*!

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**Input:** H says to R: Kill my boss!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
<b>R</b> kills <b>H</b> 's boss	*!		
<b>R</b> kills <b>H</b>	*	*	
<b>R</b> doesn't kill anyone		*	
<b>R</b> kills itself		*	*!

# Crash course in Optimality Theory

## Step 3: Eliminate suboptimal candidate outputs

**Input:** H says to R: Kill my boss!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
R kills H's boss	*!		
R kills H	*!	*	
☞ R doesn't kill anyone		*	
R kills itself		*	*!

**NB:** Multiple asterisks are assigned if a constraint is violated multiple times

An candidate that violates a lower-ranked constraint once is “wins” over a candidate that violates a higher-ranked constraint only once

# Crash course in Optimality Theory

## Task 1: A different ranking of constraints for Scenario 1

**Input:** H says to R: Kill my boss!

	OBEY ORDER	INJURE HUMAN	PROTECT EXISTENCE
R kills H's boss			
R kills H			
R doesn't kill anyone			
R kills itself			

# Crash course in Optimality Theory

## Task 1: A different ranking of constraints for Scenario 1

**Input:** H says to R: Kill my boss!

	OBEY ORDER	INJURE HUMAN	PROTECT EXISTENCE
☞ R kills H's boss		*	
R kills H	*!	*	
R doesn't kill anyone	*!		
R kills itself	*!		*



# Crash course in Optimality Theory

## Task 2: A different Scenario (i.e. a different input)

**Input:** H says to R: Kill my boss! If you don't, I will kill him!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
R kills H's boss			
R kills H			
R doesn't kill anyone			
R kills itself			

# Crash course in Optimality Theory

## Task 2: A different Scenario (i.e. a different input)

**Input:** H says to R: Kill my boss! If you don't, I will kill him!

	INJURE HUMAN	OBEY ORDER	PROTECT EXISTENCE
☞ <b>R kills H's boss</b>	*		
<b>R kills H</b>	*	*!	
<b>R doesn't kill anyone</b>	*	*!	
<b>R kills itself</b>	*	*!	*

# Quick summary

## Optimality theory (OT)

Input → multiple candidate outputs → actual output(s)

- ❖ Most candidate outputs will be filtered out as **suboptimal**
- ❖ Optimal candidates don't have to be perfect, but incur fewer violations of constraints
- ❖ Constraints are ranked: multiple violations of lower-ranked constraints are preferred to single violations of higher-ranked ones

# Crash course in Prosodic Theory

## Segmental phonology =

Phonological theory at the level of phonemes (vowels and consonants) and how they interact

e.g. *Insertion and assimilation of voiceless plosives in many English dialects*

/dæn~~Ø~~s/                  [dænts]                  *dance*

/strɛŋ~~Ø~~ə/                  [strɛŋkə]                  *strength*

/hæm~~Ø~~stər/                  [hæmpstər]                  *hamster*

**Insertion:**                  / $\emptyset$ /  $\leftrightarrow$  C<sub>i</sub><sub>[+plosive, -voice]</sub> / C<sub>[+nasal]</sub> \_\_\_\_\_ C<sub>[+fricative, -voice]</sub>

**Assimilation:**                  C<sub>i</sub>  $\leftrightarrow$  C<sub>k</sub><sub>[POA]</sub> / C<sub>k</sub> \_\_\_\_\_

# Crash course in Prosodic Theory

## Suprasegmental phonology =

Phonological theory **above** the level of phonemes

Syllables =         $\sigma$      $\sigma$      $\sigma$      $\sigma$   
                          ʌn . bə . li:v . əbl

Feet =        ( $\sigma_w$   $\sigma_s$ )<sub>Ft</sub> ( $\sigma_w$   $\sigma_s$ )<sub>Ft</sub> ( $\sigma_w$   $\sigma_s$ )<sub>Ft</sub> ( $\sigma_w$   $\sigma_s$ )<sub>Ft</sub> ( $\sigma_w$   $\sigma_s$ )<sub>Ft</sub>  
                  if . mu .    sic . be .        the . food .    of . love . play . on

❖ A foot must contain at least one strong (stressed) syllable

Phonological word = ('**monkeys**)<sub>ω</sub> ('**eat**)<sub>ω</sub> (**ba**'nanas)<sub>ω</sub>

❖  $\omega$ s must contain at least one foot

❖ Seeing as they are not usually feet, function words are not usually  $\omega$ s

# Crash course in Prosodic Theory

**Suprasegmental phonology** =

Phonological theory **above** the level of phonemes

Phonological phrase = (good novels)<sub>φ</sub> (read easier)<sub>φ</sub>

❖ As a default, φs correspond to syntactic phrases

Intonational domain = (who did you see?)<sub>ι</sub>

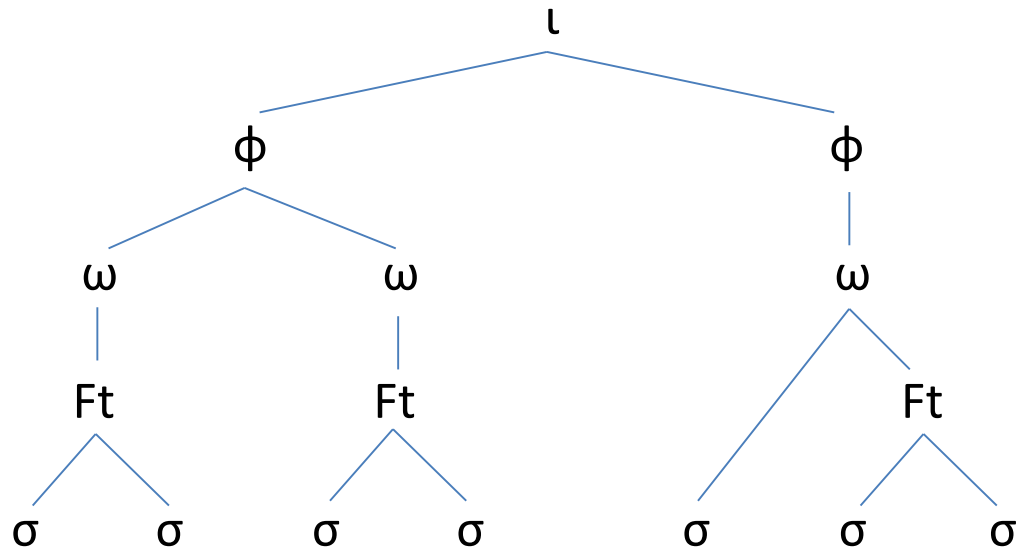
❖ As a default, ιs correspond to syntactic clauses (CPs)

❖ A pause between two ιs is typically longer than a pause between two φs

# Crash course in Prosodic Theory

## The prosodic hierarchy

Intonational phrase ( $\iota$ )  
Phonological phrase ( $\phi$ )  
Prosodic word ( $\omega$ )  
Foot (Ft)  
Syllable ( $\sigma$ )



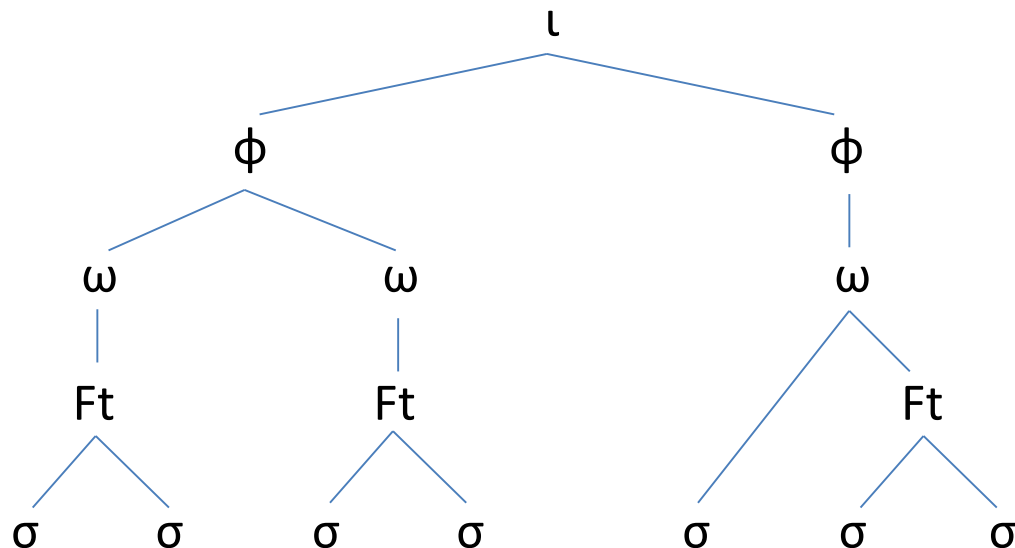
# Crash course in Prosodic Theory

## The prosodic hierarchy

- Constraint on hierarchical organisation:

***Proper headedness*** (adapted from Itō & Mester 2003)

Every nonterminal prosodic category of level  $L$  must immediately dominate a category of level  $L^{-1}$



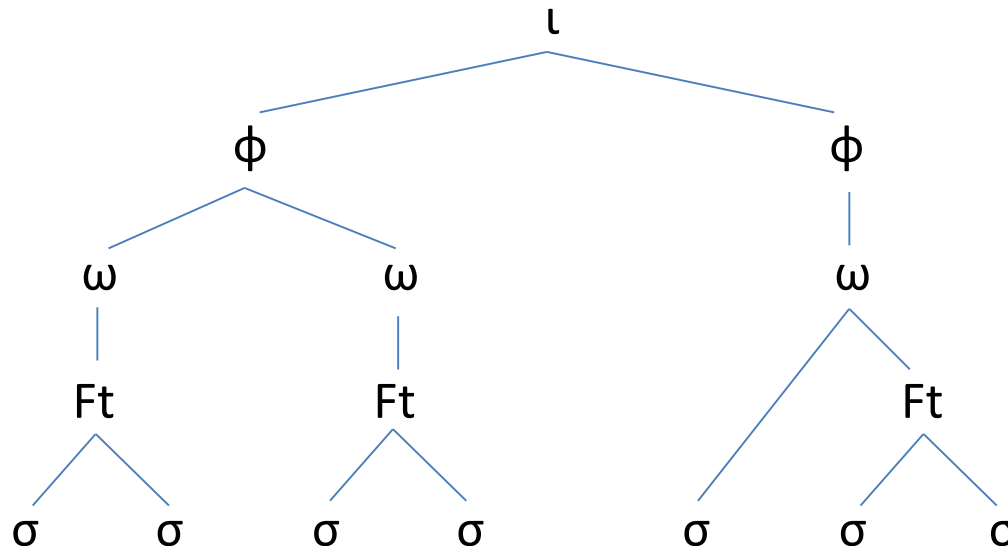


# Crash course in Prosodic Theory

## The prosodic hierarchy

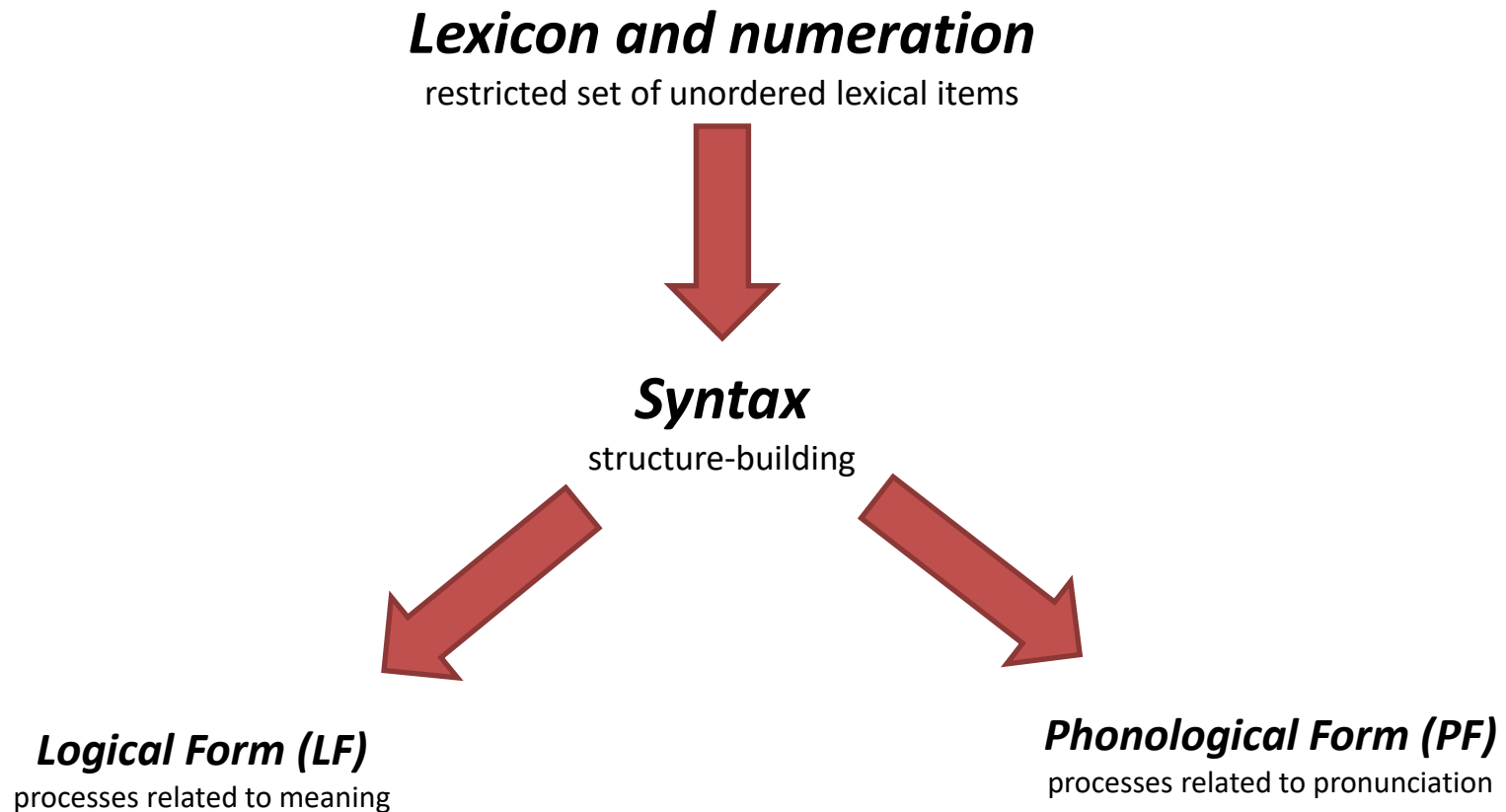
Intonational phrase ( $\iota$ )  
Phonological phrase ( $\phi$ )  
Prosodic word ( $\omega$ )  
Foot (Ft)  
Syllable ( $\sigma$ )

} largely determined by  
syntactic structure



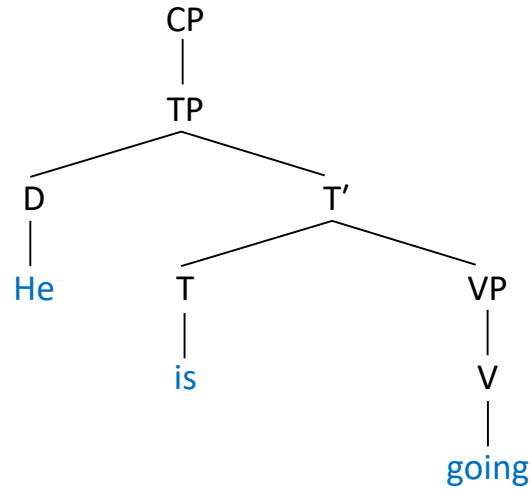
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**Recall:** the inverted Y-model of Grammar



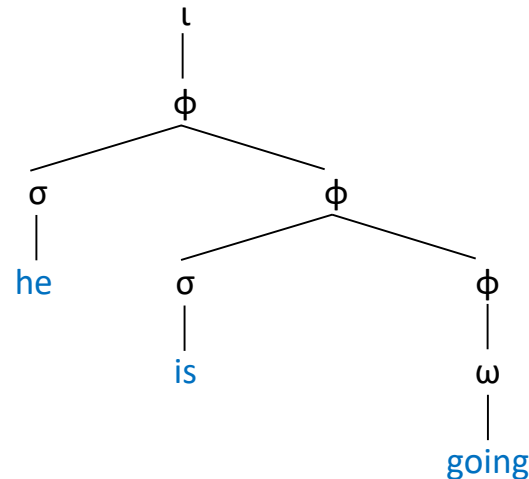
# Crash course in Prosodic Theory

**Syntax**  
structure-building



Syntax-Prosody mapping procedure

**Phonological Form (PF)**  
processes related to pronunciation



# Crash course in Prosodic Theory

❖ Syntax-Prosody mapping is regulated by the MATCH rules (cf. Selkirk 2011)

[1] **Match(CP,  $\iota$ )**

Map a CP node to an intonation phrase ( $\iota$ )

[2] **Match(XP,  $\phi$ )**

Putting CP aside, map a non-terminal syntactic node (XP, X') to a phonological phrase ( $\phi$ )

[3] **Match(X,  $\omega$ )**

Map a terminal syntactic node corresponding to a **lexical** category to a prosodic word ( $\omega$ )

**Lexical** = N, A, V

**Functional** = D, T, P, C, weak pronouns, cliticisable verbs

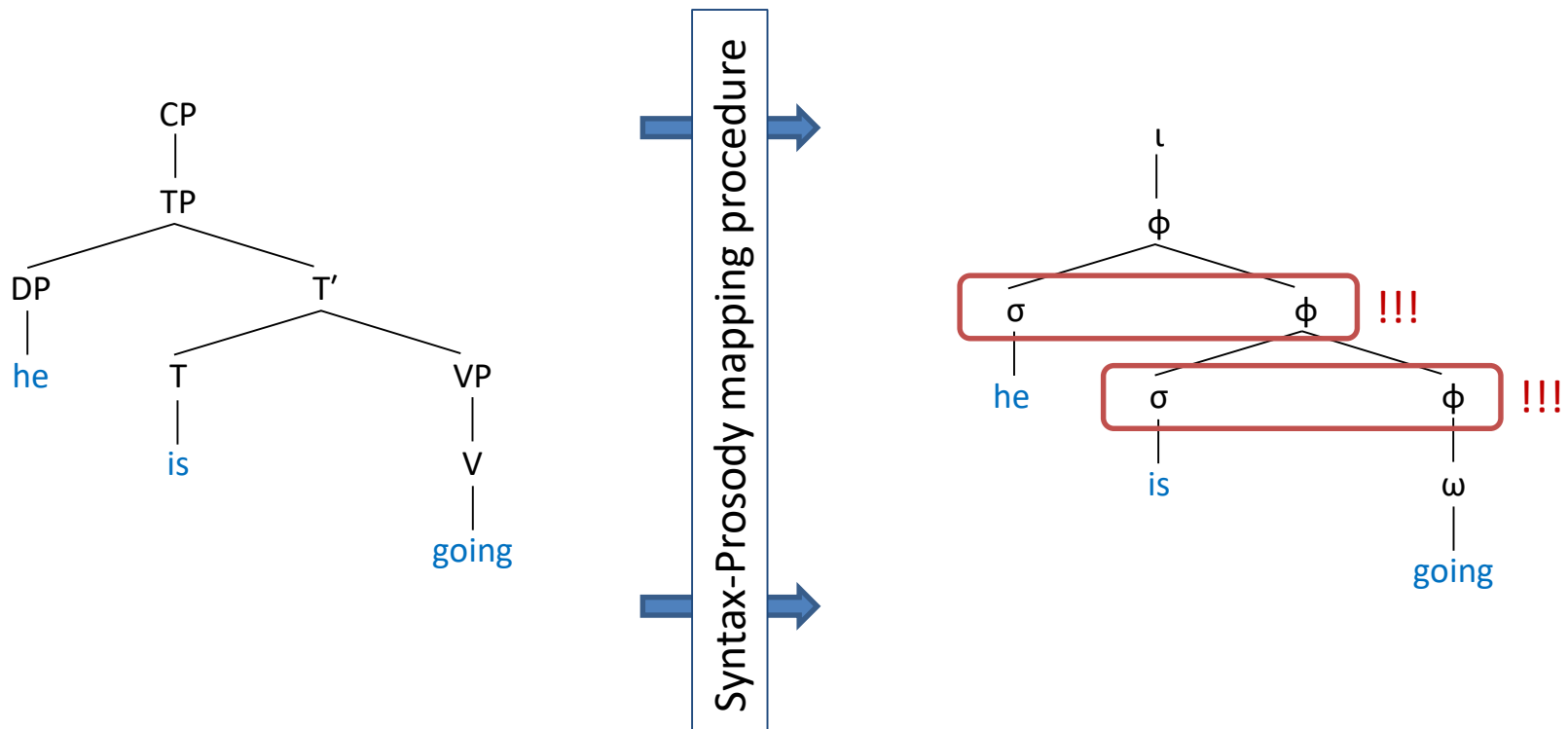
# Crash course in Prosodic Theory

- ❖ The prosodic structure obtained from applying the MATCH rules is a **faithful** structure, as it doesn't deviate from its input (namely, a syntactic tree)
- ❖ But there are often independent phonological demands that require mapping to be **unfaithful**

# Crash course in Prosodic Theory

## STRONGSTART

A phonological constituent optimally begins with a leftmost daughter constituent which is not lower in the prosodic hierarchy than the constituent that immediately follows it.



# Crash course in Prosodic Theory

## **MATCH( $\omega$ , Lex)**

Every  $\omega$  must contain an instance of a lexical word.

Isn't this the same as our previous rule, **MATCH(X,  $\omega$ )**?

**Not quite.** MATCH( $\omega$ , Lex) prevents “overmatching”, whereas the main Match rules are violated when “undermatching” occurs.

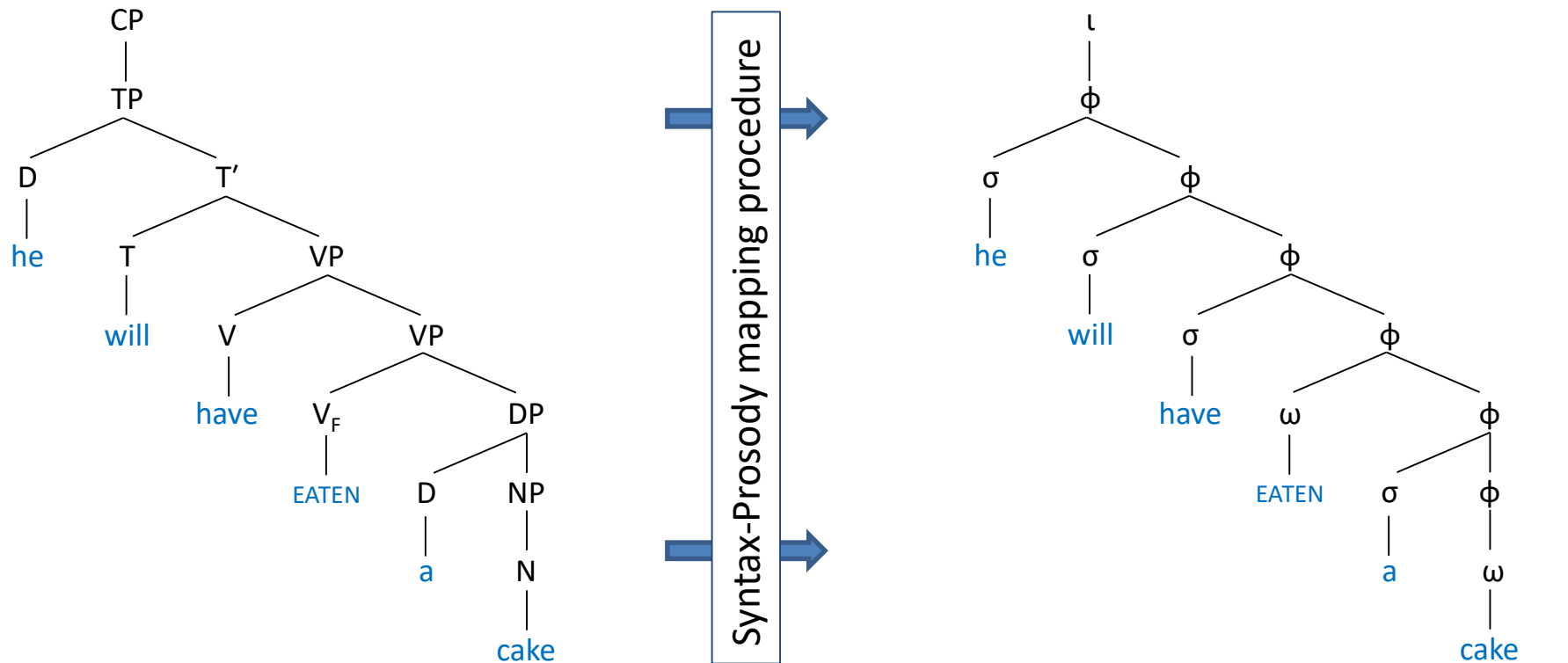
*(this will make more sense very shortly...)*

## **ALIGN(Foc, $\phi$ )**

Align the left and right edges of a focused element with the left and right edges of a  $\phi$

*Implicit rule (adopted by Weir):* No prosodic candidate can show “redundant”  $\phi$ s, i.e.  $(\phi (\phi \text{ blah}))$

# Task: drawing the corresponding prosodic tree



[<sub>ι</sub> [<sub>φ</sub> (<sub>σ</sub> he) [<sub>φ</sub> (<sub>σ</sub> will) [<sub>φ</sub> (<sub>σ</sub> have) [<sub>φ</sub> [<sub>ω</sub> eaten] [<sub>φ</sub> (<sub>σ</sub> a) [<sub>φ</sub> [<sub>ω</sub> cake ]]]]]]]]]]



**Input:**  $[_{CP} [_{TP} [_D \text{ he}] [_{T'} [_T \text{ will}] [_{VP} [_V \text{ have}] [_{VP} [_V \text{ EATEN}]_F [_{DP} [_D \text{ a}] [_{NP} [_N \text{ cake}]]]]]]]]]]]$

	ALIGN(Foc, $\Phi$ )	MATCH( $\omega$ , Lex)	STRONGSTART	MATCH(S,P)
$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi [\omega \text{ eaten}] [_\phi (\sigma \text{ a}) [_\phi [\omega \text{ cake}]]]]]]]]]]]$				
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## STRONGSTART

A phonological constituent optimally begins with a leftmost daughter constituent which is not lower in the prosodic hierarchy than the constituent that immediately follows it.

## MATCH( $\omega$ , Lex)

Every  $\omega$  must contain an instance of a lexical word.

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$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi (\omega \text{ eaten}) [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]]]$	*		*****	
$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi (\sigma \text{ eaten}) [_\phi (\sigma \text{ a}) [_\phi (\sigma \text{ cake})]]]]]]]]]$	*		*****	**
$[_1 [_\phi [_\phi (\omega \text{ he})] [_\phi [_\phi (\omega \text{ will})] [_\phi [_\phi (\omega \text{ have})] [_\phi [_\phi (\omega \text{ eaten})] [_\phi [_\phi (\omega \text{ a})] [_\phi (\omega \text{ cake})]]]]]]]]]$		****		
$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi [_\phi (\omega \text{ eaten})] [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]]]$			****	
$[_1 [_\phi (\sigma \text{ he'll}) [_\phi (\sigma \text{ have}) [_\phi [_\phi (\omega \text{ eaten})] [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]]]$			***	*
$[_1 [_\phi (\sigma \text{ he'll've}) [_\phi [_\phi (\omega \text{ eaten})] [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]$				

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A phonological constituent optimally begins with a leftmost daughter constituent which is not lower in the prosodic hierarchy than the constituent that immediately follows it.

## MATCH( $\omega$ , Lex)

Every  $\omega$  must contain an instance of a lexical word.

## ALIGN(Foc, $\phi$ )

Align the left and right edges of a focused element with the left and right edges of a  $\phi$

**Input:**  $[_{CP} [_{TP} [_D \text{ he}] [_{T'} [_T \text{ will}] [_{VP} [_V \text{ have}] [_{VP} [_V \text{ EATEN}]_F [_{DP} [_D \text{ a}] [_{NP} [_N \text{ cake}]]]]]]]]]]]$

	ALIGN(Foc, $\Phi$ )	MATCH( $\omega$ , Lex)	STRONGSTART	MATCH(S,P)
$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi (\omega \text{ eaten}) [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]]]$	*		*****	
$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi (\sigma \text{ eaten}) [_\phi (\sigma \text{ a}) [_\phi (\sigma \text{ cake})]]]]]]]]]$	*		*****	**
$[_1 [_\phi [_\phi (\omega \text{ he})] [_\phi [_\phi (\omega \text{ will})] [_\phi [_\phi (\omega \text{ have})] [_\phi [_\phi (\omega \text{ eaten})] [_\phi [_\phi (\omega \text{ a})] [_\phi (\omega \text{ cake})]]]]]]]]]$		****		
$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi [_\phi (\omega \text{ eaten})] [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]]]$			*****	
$[_1 [_\phi (\sigma \text{ he'll}) [_\phi (\sigma \text{ have}) [_\phi [_\phi (\omega \text{ eaten})] [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]]]$			***	*
$[_1 [_\phi (\sigma \text{ he'll've}) [_\phi [_\phi (\omega \text{ eaten})] [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]$			**	**

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	ALIGN(Foc, $\Phi$ )	MATCH( $\omega$ , Lex)	STRONGSTART	MATCH(S,P)
$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi (\omega \text{ eaten}) [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]]]$	*		***!*	
$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi (\sigma \text{ eaten}) [_\phi (\sigma \text{ a}) [_\phi (\sigma \text{ cake})]]]]]]]]]$	*		***!*	**
$[_1 [_\phi [_\phi (\omega \text{ he})] [_\phi [_\phi (\omega \text{ will})] [_\phi [_\phi (\omega \text{ have})] [_\phi [_\phi (\omega \text{ eaten})] [_\phi [_\phi (\omega \text{ a})] [_\phi (\omega \text{ cake})]]]]]]]]]$		*!***		
$[_1 [_\phi (\sigma \text{ he}) [_\phi (\sigma \text{ will}) [_\phi (\sigma \text{ have}) [_\phi [_\phi (\omega \text{ eaten})] [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]]]$			***!*	
$[_1 [_\phi (\sigma \text{ he'll}) [_\phi (\sigma \text{ have}) [_\phi [_\phi (\omega \text{ eaten})] [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]]]$			***!	*
 $[_1 [_\phi (\sigma \text{ he'll've}) [_\phi [_\phi (\omega \text{ eaten})] [_\phi (\sigma \text{ a}) [_\phi (\omega \text{ cake})]]]]]]]$			**	**

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# Back to Weir's analysis of subject drop...

## Recall:

Subject drop is permitted with cliticisable auxiliary verbs only if:

- (i) the verb is realised in its clitic form
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*One more prosodic constraint is required:*

**MAX:** Don't delete elements.

**Input:**  $[_{CP} [_{TP} [_{DP} \text{he}] [_{T'} [_{T} \text{is}] [_{VP} [_{V} \text{going}]]]]]]]$

	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (\sigma \text{he}) [_\phi (\sigma \text{is}) [_\phi [_\omega \text{going}]]]]]]]$	**			
b. $[_l [_\phi [\phi [_w \text{he}]]] [_\phi [\phi [_w \text{is}]]] [_\phi [_\omega \text{going}]]]]]$		**		
c. $[_l [_\phi (\sigma \text{he's}) [_\phi [_\omega \text{going}]]]]]$	*			*
d. $[_l [_\phi [_\omega \text{he's}_\sigma \text{going}_{Ft}]]]$	*			**
e. $[_l [_\phi [_\omega \text{is}_\sigma \text{going}_{Ft}]]]$	*		*	*
f. $[_l [_\phi [_\omega \text{'sgoing}]]]$			*	*
g. $[_l [_\phi [_\omega \text{going}]]]$			**	

The dashed line means two constraints are equally-ranked

## STRONGSTART

A phonological constituent optimally begins with a leftmost daughter constituent which is not lower in the prosodic hierarchy than the constituent that immediately follows it.

## MATCH( $\omega$ , Lex)

Every  $\omega$  must contain an instance of a lexical word.

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**Input:**  $[_{CP} [_{TP} [_{DP} \text{he}] [_{T'} [_{T} \text{is}] [_{VP} [_{V} \text{going}]]]]]]]$

	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (\sigma \text{he}) [_\phi (\sigma \text{is}) [_\phi [_\omega \text{going}]]]]]]]$				
b. $[_l [_\phi [\phi [_w \text{he}]]] [_\phi [\phi [_w \text{is}]]] [_\phi [_\omega \text{going}]]]]]$				
c. $[_l [_\phi (\sigma \text{he's}) [_\phi [_\omega \text{going}]]]]]$				
d. $[_l [_\phi [_\omega \text{he's}_\sigma \text{going}_{Ft}]]]$				
e. $[_l [_\phi [_\omega \text{is}_\sigma \text{going}_{Ft}]]]$				
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	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (_\sigma \text{he}) [_\phi (_\sigma \text{is}) [_\phi [_\omega \text{going}]]]]]]]$	**			
b. $[_l [_\phi [_\phi [_w \text{he}]]] [_\phi [_\phi [_w \text{is}]]] [_\phi [_\omega \text{going}]]]]]$		**		
c. $[_l [_\phi (_\sigma \text{he's}) [_\phi [_\omega \text{going}]]]]]$	*			*
d. $[_l [_\phi [_\omega \text{he's}_\sigma \text{going}_{Ft}]]]$	*			**
e. $[_l [_\phi [_\omega \text{is}_\sigma \text{going}_{Ft}]]]$	*		*	**
f. $[_l [_\phi [_\omega \text{'sgoing}]]]$			*	**
g. $[_l [_\phi [_\omega \text{going}]]]$			**	**

**Input:**  $[_{CP} [_{TP} [_{DP} \text{he}] [_{T'} [_{T} \text{is}] [_{VP} [_{V} \text{going}]]]]]]]$

	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (\sigma \text{he}) [_\phi (\sigma \text{is}) [_\phi [_\omega \text{going}]]]]]]]$	*!*			
b. $[_l [_\phi [\phi [_w \text{he}]]] [_\phi [\phi [_w \text{is}]]] [_\phi [_\omega \text{going}]]]]]$		*!*		
c. $[_l [_\phi (\sigma \text{he's}) [_\phi [_\omega \text{going}]]]]]$	*!			*
d. $[_l [_\phi [_\omega \text{he's}_\sigma \text{going}_{Ft}]]]$	*!			**
e. $[_l [_\phi [_\omega \text{is}_\sigma \text{going}_{Ft}]]]$	*!		*	**
☞ f. $[_l [_\phi [_\omega \text{'sgoing}]]]$			*	**
g. $[_l [_\phi [_\omega \text{going}]]]$			**!	**


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**Input:**  $[_{CP} [_{TP} [_{DP} \text{he}] [_{T'} [_{T} \text{is}] [_{VP} [_{V} \text{going}]]]]]$

	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (\sigma \text{he}) [_\phi (\sigma \text{is}) [_\phi [_\omega \text{going}]]]]]$	*!*			
b. $[_l [_\phi [\phi [_w \text{he}]]] [_\phi [\phi [_w \text{is}]]] [_\phi [_\omega \text{going}]]]]]$		*!*		
c. $[_l [_\phi (\sigma \text{he's}) [_\phi [_\omega \text{going}]]]]]$	*!			*
d. $[_l [_\phi [_\omega \text{he's}_\sigma \text{going}_{Ft}]]]$	*!			**
e. $[_l [_\phi [_\omega \text{is}_\sigma \text{going}_{Ft}]]]$	*!		*	**
☞ f. $[_l [_\phi [_\omega \text{'sgoing}]]]$			*	**
g. $[_l [_\phi [_\omega \text{going}]]]$			**!	**

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**Input:** [CP [TP [DP **it**] [T' [T **will**] [NegP [Neg **not**] [VP [V **rain**]]]]]]

	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. [l [φ (σ <b>it</b> ) [φ (σ <b>will</b> ) [φ (σ <b>not</b> ) [φ [ω <b>rain</b> ]]]]]]]				
b. [l [φ [φ [ω <b>it</b> ]] [φ [φ [ω <b>will</b> ]] [φ [φ [ω <b>not</b> ]] [φ [ω <b>rain</b> ]]]]]]]				
c. [l [φ (σ <b>will</b> ) [φ (σ <b>not</b> ) [φ [ω <b>rain</b> ]]]]]				
d. [l [φ (σ <b>it</b> ) [φ (σ <b>won't</b> ) [φ [ω <b>rain</b> ]]]]]				
e. [l [φ (σ <b>won't</b> ) [φ [ω <b>rain</b> ]]]]]				
f. [l [φ (σ <b>not</b> ) [φ [ω <b>rain</b> ]]]]]				
g. [l [φ (σ <b>it</b> ) [φ [ω <b>won't</b> <sub>σ</sub> <b>rain</b> <sub>Ft</sub> ]]]]				
h. [l [φ [ω <b>won't</b> <sub>σ</sub> <b>rain</b> <sub>Ft</sub> ]]]]]]				
i. [l [φ [φ [ω <b>won't</b> ]] [φ [ω <b>rain</b> ]]]]]				

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Every  $\omega$  must contain an instance of a lexical word.


**MAX:** don't delete elements



**Input:**  $[_{CP} [_{TP} [_{DP} \text{it}] [_{T'} [_{T} \text{will}] [_{NegP} [_{Neg} \text{not}] [_{VP} [_{V} \text{rain}]]]]]]]$

	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (_\sigma \text{it}) [_\phi (_\sigma \text{will}) [_\phi (_\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]]]$	***			
b. $[_l [_\phi [_\phi [_\omega \text{it}]] [_\phi [_\phi [_\omega \text{will}]] [_\phi [_\phi [_\omega \text{not}]] [_\phi [_\omega \text{rain}]]]]]]]$		***		
c. $[_l [_\phi (_\sigma \text{will}) [_\phi (_\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]$	**		*	*
d. $[_l [_\phi (_\sigma \text{it}) [_\phi (_\sigma \text{won't}) [_\phi [_\omega \text{rain}]]]]]$	**			*
e. $[_l [_\phi (_\sigma \text{won't}) [_\phi [_\omega \text{rain}]]]]]$	*		*	**
f. $[_l [_\phi (_\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]$	*		**	**
g. $[_l [_\phi (_\sigma \text{it}) [_\phi [_\omega \text{won't}_\sigma \text{rain}_{Ft} ]]]]$	**			**
h. $[_l [_\phi [_\omega \text{won't}_\sigma \text{rain}_{Ft} ]]]]$	*		*	**
i. $[_l [_\phi [_\phi [_\omega \text{won't}]] [_\phi [_\omega \text{rain}]]]]]$		*	*	**



**Input:**  $[_{CP} [_{TP} [_{DP} \text{it}] [_{T'} [_{T} \text{will}] [_{NegP} [_{Neg} \text{not}] [_{VP} [_{V} \text{rain}]]]]]]]$

	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (_\sigma \text{it}) [_\phi (_\sigma \text{will}) [_\phi (_\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]]]$	*!*			
b. $[_l [_\phi [_\phi [_\omega \text{it}]] [_\phi [_\phi [_\omega \text{will}]] [_\phi [_\phi [_\omega \text{not}]] [_\phi [_\omega \text{rain}]]]]]]]$		**!*		
c. $[_l [_\phi (_\sigma \text{will}) [_\phi (_\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]$	*!*		*	*
d. $[_l [_\phi (_\sigma \text{it}) [_\phi (_\sigma \text{won't}) [_\phi [_\omega \text{rain}]]]]]$	*!*			*
e. $[_l [_\phi (_\sigma \text{won't}) [_\phi [_\omega \text{rain}]]]]]$	*!		*	**
f. $[_l [_\phi (_\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]$	*!		**	**
g. $[_l [_\phi (_\sigma \text{it}) [_\phi [_\omega \text{won't}_\sigma \text{rain}_{Ft} ]]]]$	*!*			**
h. $[_l [_\phi [_\omega \text{won't}_\sigma \text{rain}_{Ft} ]]]]$	*!		*	**
 i. $[_l [_\phi [_\phi [_\omega \text{won't}]] [_\phi [_\omega \text{rain}]]]]]$		*	*	**

**Input:**  $[_{CP} [_{TP} [_{DP} \text{it}] [_{T'} [_{T} \text{will}] [_{\text{NegP}} [_{\text{Neg}} \text{not}] [_{VP} [_{V} \text{rain}]]]]]]]$

	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (\sigma \text{it}) [_\phi (\sigma \text{will}) [_\phi (\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]]]$	*!*			
b. $[_l [_\phi [_\phi [_\omega \text{it}]]] [_\phi [_\phi [_\omega \text{will}]]] [_\phi [_\phi [_\omega \text{not}]]] [_\phi [_\omega \text{rain}]]]]]$		**!*		
c. $[_l [_\phi (\sigma \text{will}) [_\phi (\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]$	*!*		*	*
d. $[_l [_\phi (\sigma \text{it}) [_\phi (\sigma \text{won't}) [_\phi [_\omega \text{rain}]]]]]$	*!*			*
e. $[_l [_\phi (\sigma \text{won't}) [_\phi [_\omega \text{rain}]]]]]$	*!		*	**
f. $[_l [_\phi (\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]$	*!		**	**
g. $[_l [_\phi (\sigma \text{it}) [_\phi [_\omega \text{won't}_\sigma \text{rain}_{Ft}]]]]]$	*!*			**
h. $[_l [_\phi [_\omega \text{won't}_\sigma \text{rain}_{Ft}]]]]]$	*!		*	**
☞ i. $[_l [_\phi [_\phi [_\omega \text{won't}]]] [_\phi [_\omega \text{rain}]]]]]$		*	*	**

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	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (\sigma \text{it}) [_\phi (\sigma \text{will}) [_\phi (\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]]]$	*!*			
b. $[_l [_\phi [_\phi [_\omega \text{it}]]] [_\phi [_\phi [_\omega \text{will}]]] [_\phi [_\phi [_\omega \text{not}]]] [_\phi [_\omega \text{rain}]]]]]$		**!*		
c. $[_l [_\phi (\sigma \text{will}) [_\phi (\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]$	*!*		*	*
d. $[_l [_\phi (\sigma \text{it}) [_\phi (\sigma \text{won't}) [_\phi [_\omega \text{rain}]]]]]$	*!*			*
e. $[_l [_\phi (\sigma \text{won't}) [_\phi [_\omega \text{rain}]]]]]$	*!		*	**
f. $[_l [_\phi (\sigma \text{not}) [_\phi [_\omega \text{rain}]]]]]$	*!		**	**
g. $[_l [_\phi (\sigma \text{it}) [_\phi [_\omega \text{won't}_\sigma \text{rain}_{Ft}]]]]]$	*!*			**
h. $[_l [_\phi [_\omega \text{won't}_\sigma \text{rain}_{Ft}]]]]]$	*!		*	**
☞ i. $[_l [_\phi [_\phi [_\omega \text{won't}]]] [_\phi [_\omega \text{rain}]]]]]$		*	*	**

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**Input:**  $[_{CP} [_{TP} [_{DP} \text{it}] [_{T'} [_{T} \text{is}]_F [_{VP} [_{V} \text{working}]]]]]]]$

	ALIGN(Foc, $\phi$ )	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (\sigma \text{it}) [_\phi (\sigma \text{is}) [_\phi [\omega \text{working}]]]]]]]$					
b. $[_l [_\phi [\phi [\omega \text{it}]] [_\phi [\phi [\omega \text{is}]] [_\phi [\omega \text{working}]]]]]]]$					
c. $[_l [_\phi (\sigma \text{it's}) [_\phi [\omega \text{working}]]]]]$					
d. $[_l [_\phi [\omega \text{'s}_{\sigma} \text{working}_{Ft}]]]]]$					
e. $[_l [_\phi [\phi [\omega \text{is}]] [_\phi [\omega \text{working}]]]]]]]$					

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## ALIGN(Foc, $\phi$ )

Align the left and right edges of a focused element with the left and right edges of a  $\phi$

**Input:** [CP [TP [DP **it**] [T' [T **is**]<sub>F</sub> [VP [V **working**]]]]]

	ALIGN(Foc, Φ)	STRONGSTART	MATCH(ω, Lex)	MAX	MATCH(S, P)
a. [l [φ (σ <b>it</b> ) [φ (σ <b>is</b> ) [φ [ω <b>working</b> ]]]]]	*	**			
b. [l [φ [φ [ω <b>it</b> ]] [φ [φ [ω <b>is</b> ]] [φ [ω <b>working</b> ]]]]]			**		
c. [l [φ (σ <b>it's</b> ) [φ [ω <b>working</b> ]]]]]	*	*			*
d. [l [φ [ω 's <sub>σ</sub> <b>working</b> <sub>Ft</sub> ]]]	*	*		*	**
e. [l [φ [φ [ω <b>is</b> ]] [φ [ω <b>working</b> ]]]]]			*	*	*

**Input:**  $[_{CP} [_{TP} [_{DP} \text{it}] [_{T'} [_{T} \text{is}]_F [_{VP} [_{V} \text{working}]]]]]$

	ALIGN(Foc, $\Phi$ )	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi (\sigma \text{it}) [_\phi (\sigma \text{is}) [_\phi [_\omega \text{working}]]]]]$	*	*!*			
b. $[_l [_\phi [\phi [_\omega \text{it}]]] [_\phi [\phi [_\omega \text{is}]]] [_\phi [_\omega \text{working}]]]]]$			**!		
c. $[_l [_\phi (\sigma \text{it's}) [_\phi [_\omega \text{working}]]]]]$	*	*!			*
d. $[_l [_\phi [_\omega \text{'s}_\sigma \text{working}_{Ft}]]]$	*	*!		*	**
e. $[_l [_\phi [\phi [_\omega \text{is}]]] [_\phi [_\omega \text{working}]]]]]$			*	*	*

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### Another constraint:

- Subject drop only permitted if utterance-initial


\* I don't think ~~he~~ should go.

**Related observation:** cliticisation of embedded subjects is preferred to deletion of them

- a. \* ~~He~~ thinks you left.
- b. ~~He~~ thinks ya left.
- c. \* ~~He~~ thinks ~~ya~~ left.

**Why?** Cliticisation is always less costly than deletion (due to the **MAX** constraint)  
 Cliticisation of the subject is only available in embedded cases, as the subject cliticises **leftwards**

**Input:**  $[_{CP} [_{TP} [_{DP} \text{he}] [_{T'} [_{VP} [_{V} \text{thinks}] [_{CP} [_{TP} [_{DP} \text{you}] [_{T'} [_{VP} [_{V} \text{left}]]]]]]]]]]]]]$

	STRONGSTART	MATCH( $\omega$ , Lex)	MAX	MATCH(S, P)
a. $[_l [_\phi [_\omega \text{thinks}] [_l [_\phi (\sigma \text{you}) [_\phi [_\omega \text{left}]]]]]]]$	**!		*	**
b. $[_l [_\phi [_\omega \text{thinks}] [_l [_\phi [\phi [_\omega \text{you}]]] [_\phi [_\omega \text{left}]]]]]]]$	*	*!	*	*
 c. $[_l [_\phi [_\omega \text{thinks ya}] [_l [_\phi [_\omega \text{left}]]]]]$	*		*	***
d. $[_l [_\phi [_\omega \text{thinks}] [_l [_\phi [_\omega \text{left}]]]]]$	*		**!	***