

# GRC & IT Audit Portfolio Summary

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This document provides an executive summary of Governance, Risk, and Compliance (GR) and IT audit work completed to demonstrate entry-level audit readiness, control evaluation skills, and familiarity with industry-standard compliance frameworks.

## **Frameworks Covered**

- SOC 2 (Security Trust Services Criteria)
- ISO/IEC 27001 (Annex A Controls)
- General IT Access Control Principles

# **Audit Methodology Overview**

All audits and assessments followed a structured methodology consistent with IT audit and compliance consulting practices. The focus was on evaluating control design rather than operating effectiveness.

## **Methodology Steps**

1. Scope definition and control selection
2. Control objective identification
3. Design evaluation against framework criteria
4. Gap identification and risk articulation
5. Recommendation development and documentation

# Completed Audit Engagements

## **SOC 2 Type I Readiness Assessment**

Assessed the design of security controls for a SaaS-style environment against SOC 2 Security criteria. Identified documentation gaps, access control weaknesses, and incident response improvement areas.

## **SOC 2 Control Assessment Workpapers**

Performed control-by-control design assessments including logical access, authentication, change management, incident response, and risk assessment controls. Documented findings and recommendations in audit-style workpapers.

## **ISO/IEC 27001 Annex A Control Review**

Reviewed selected Annex A controls to evaluate implementation status, ownership, and documentation maturity. Identified gaps preventing alignment with ISO/IEC 27001 expectations.

## **Access Control Audit (IT & GRC)**

Evaluated access provisioning, authentication mechanisms, privileged access management, and access review processes. Developed recommendations to reduce unauthorized access risk and improve audit readiness.

## **Professional Value & Readiness**

This portfolio demonstrates the ability to think and operate as an entry-level IT auditor or GRC analyst. Skills demonstrated include control analysis, structured documentation, risk articulation, and alignment with multiple compliance frameworks.

### **Key Competencies Demonstrated**

- Internal control analysis
- Audit documentation and workpapers
- Risk identification and mitigation recommendations
- Framework-based compliance thinking
- Professional communication and reporting

This work is representative of responsibilities typically performed by Staff Consultants, Associate IT Auditors, and entry-level GRC analysts during client engagements.