



Navigating Privacy and Utility with Multiple Imputation, Satellite Imaging and Deep Learning

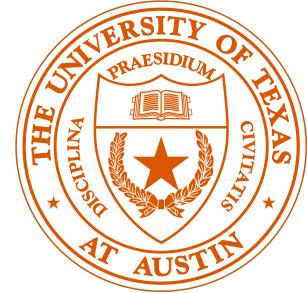
Mohammad Kakooei¹, James Bailie²,
Xiao-Li Meng², Adel Daoud^{1,3}

¹ Chalmers University of Technology, Sweden.

² Harvard University, USA.

³ Linköping University, Sweden

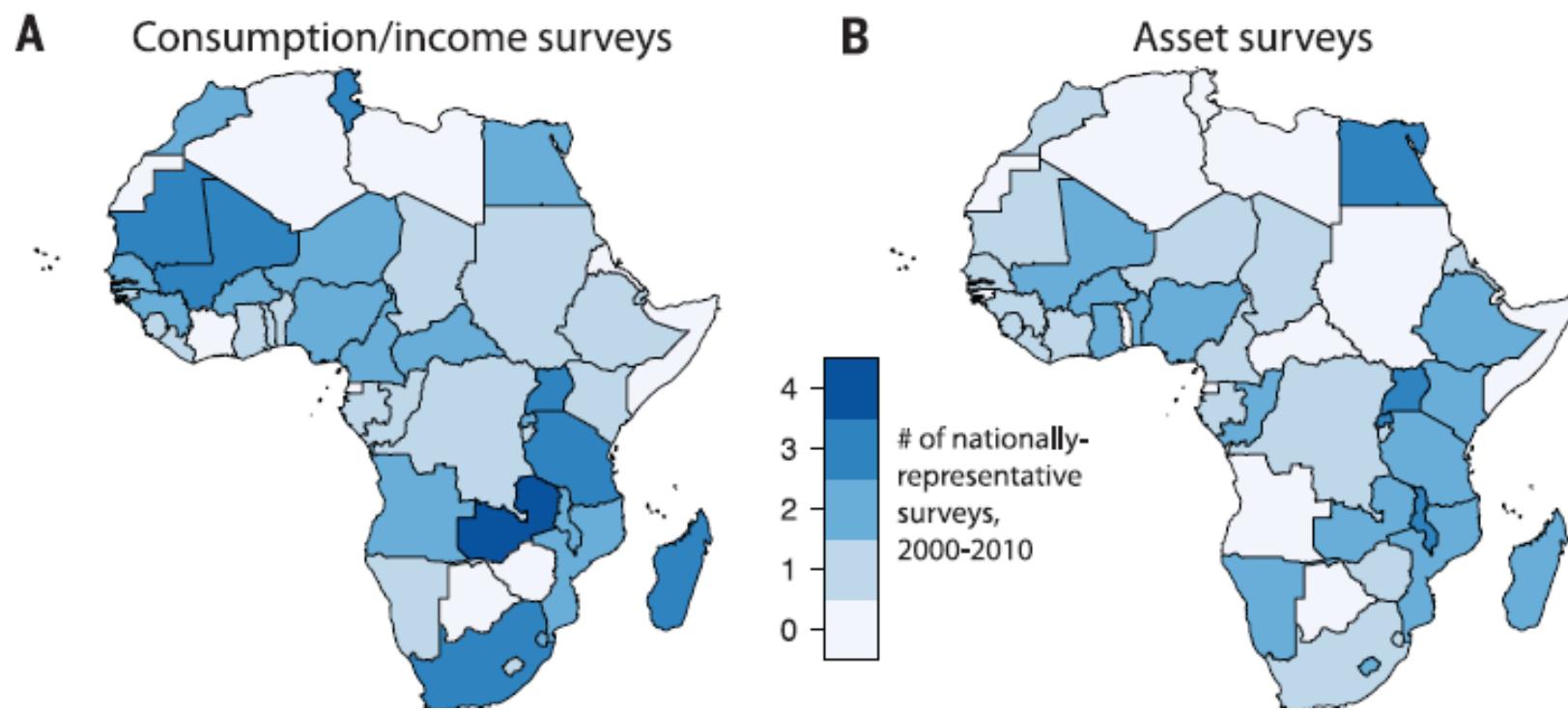
JSM 2024 — Portland, Oregon



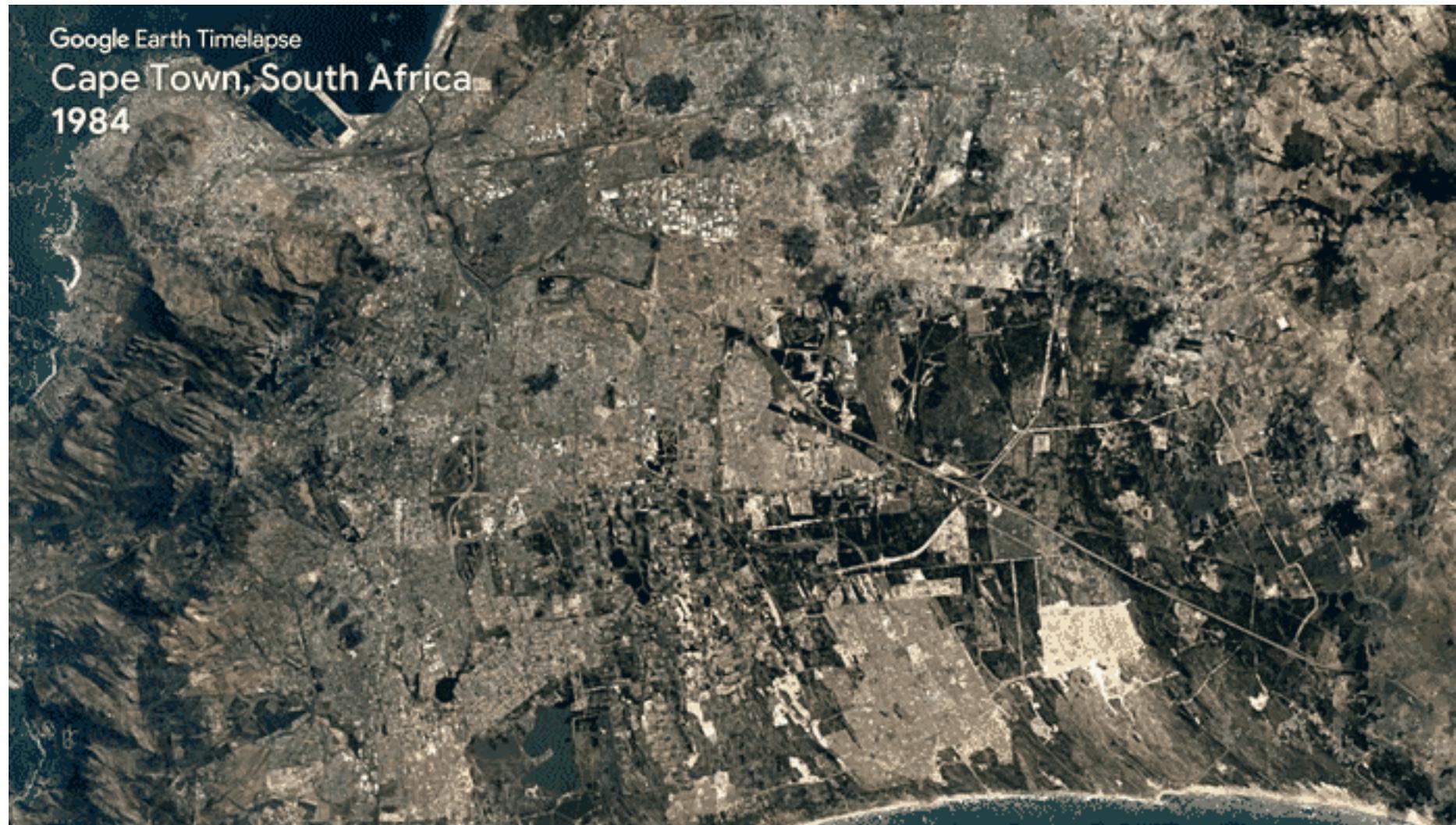
The AI and Global Development Lab

Funded mainly by the Swedish Research Council (SRC)

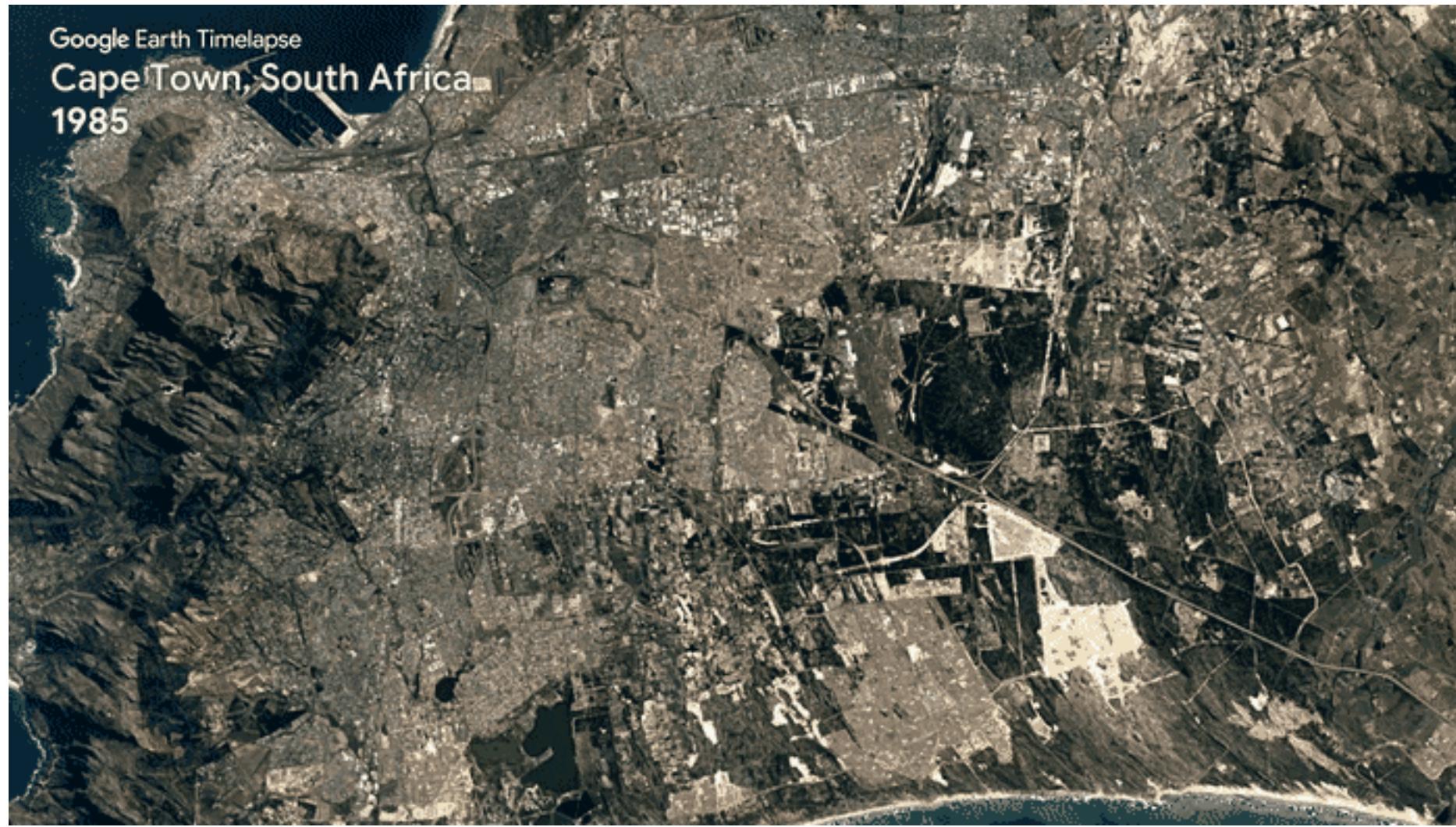
Because of a lack of high-frequency human-development data across time and space, scholarship on poverty is limited.



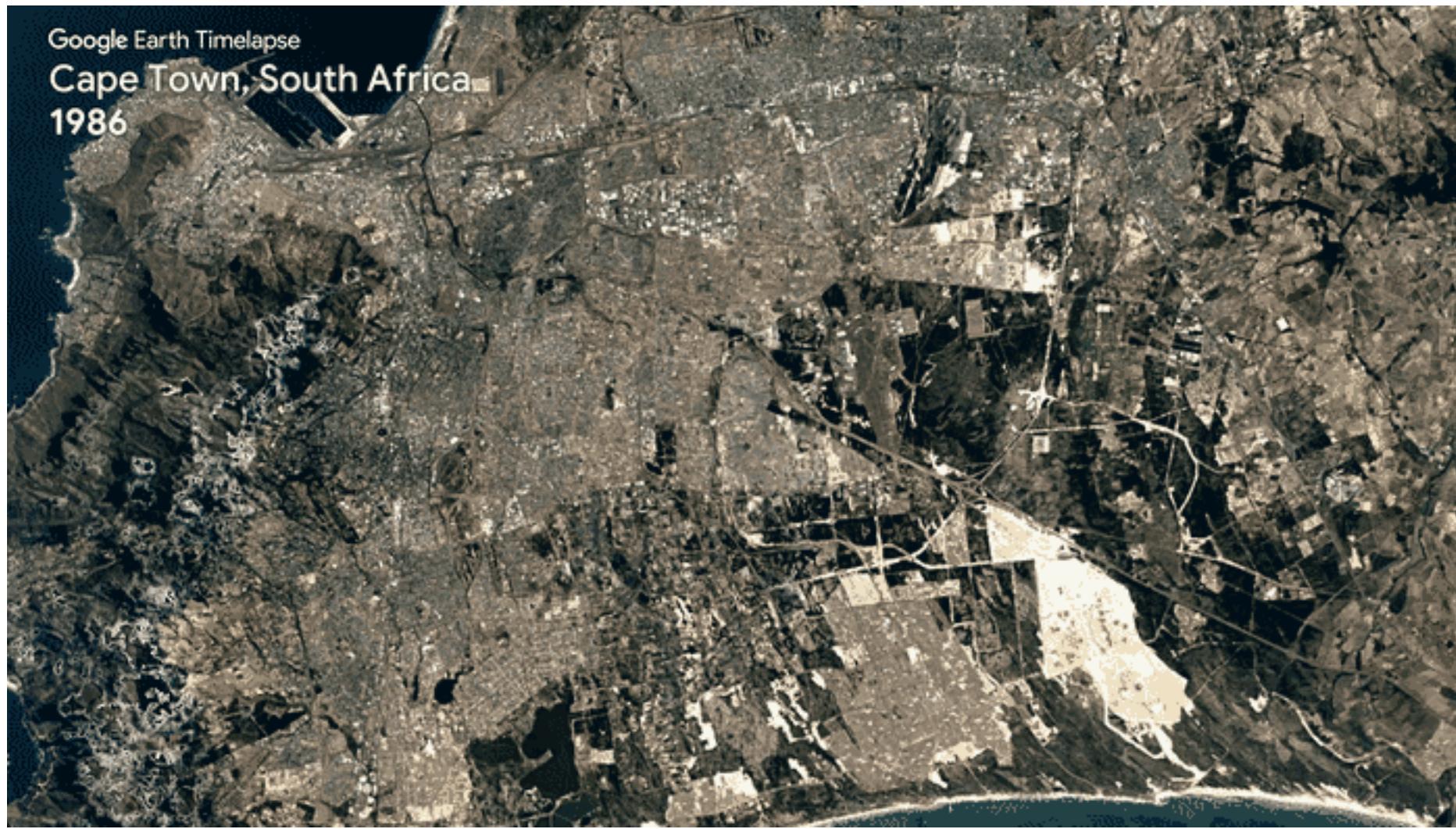
Source: Jean et al 2016



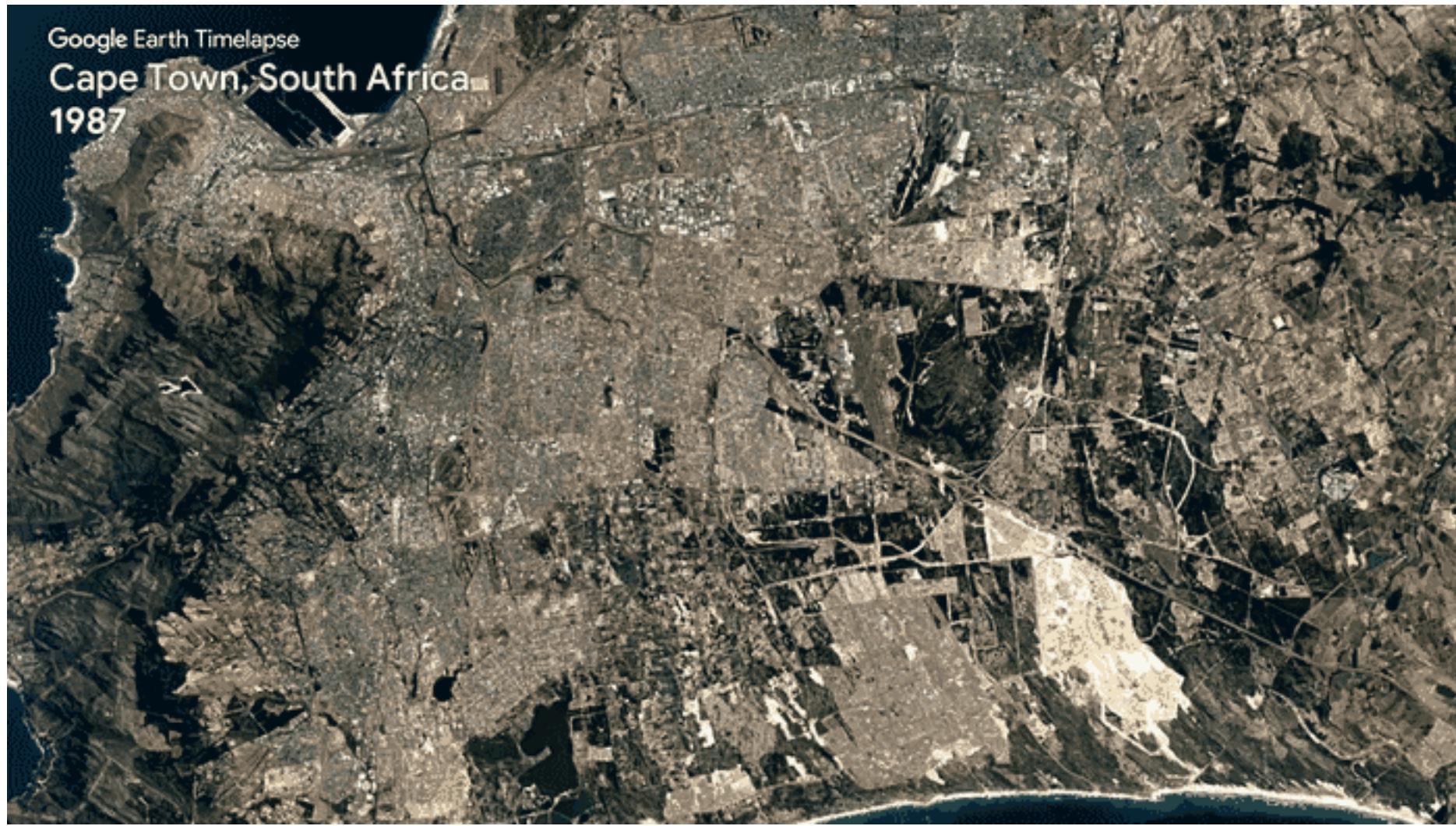
Source: Google Earth Timelapse (Google, Landsat, Copernicus)



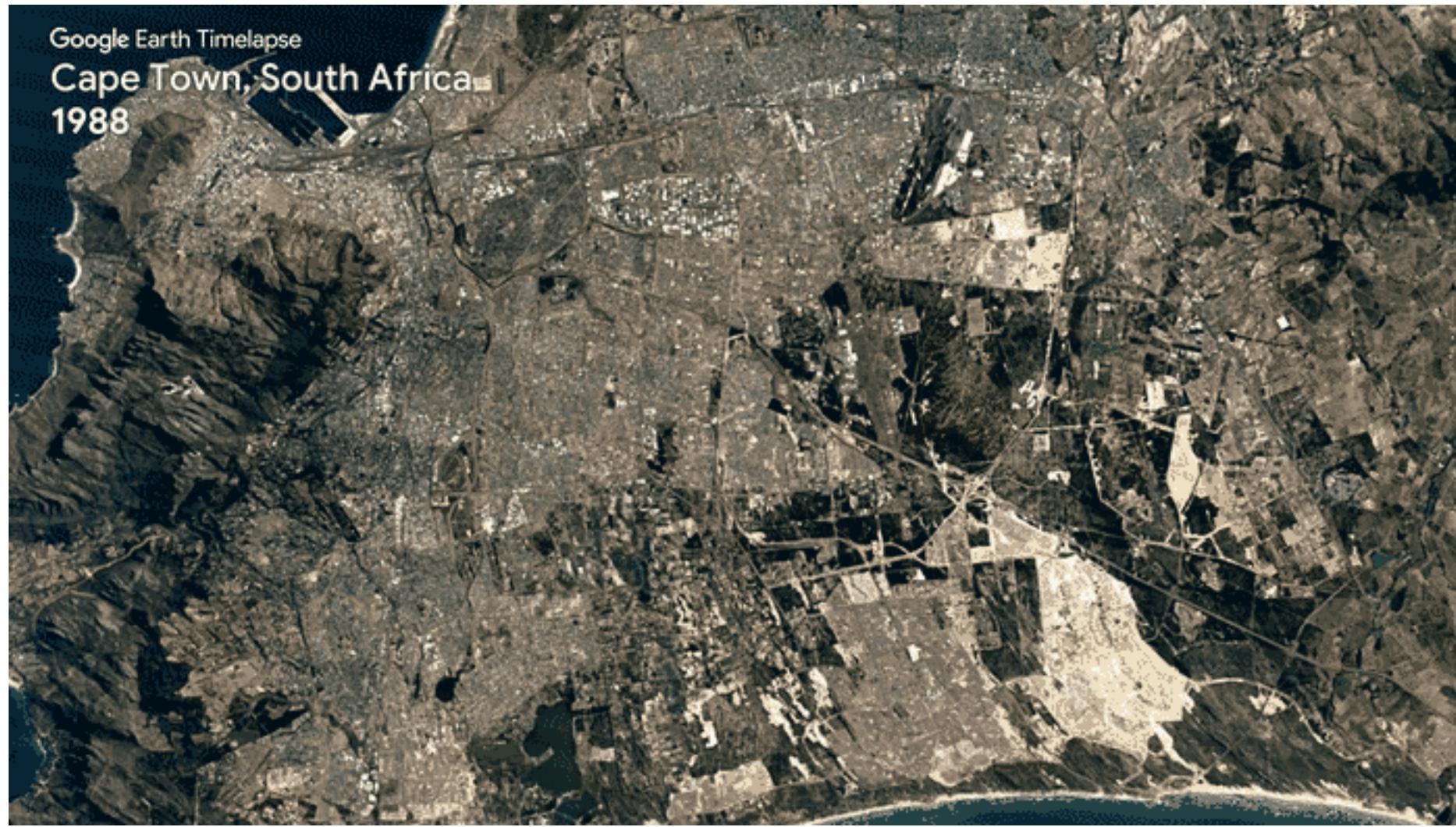
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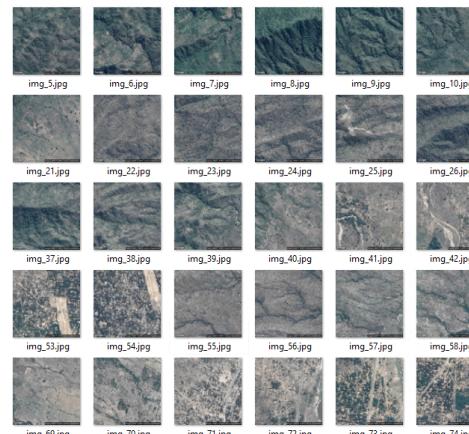
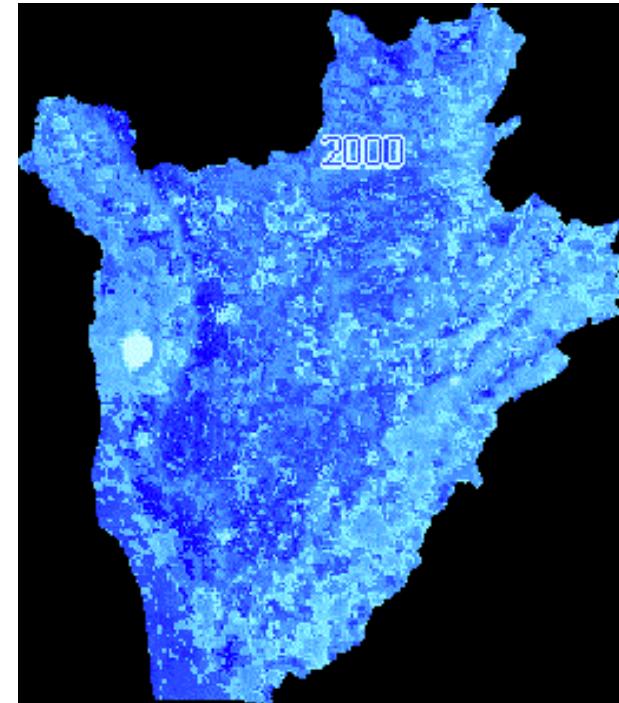


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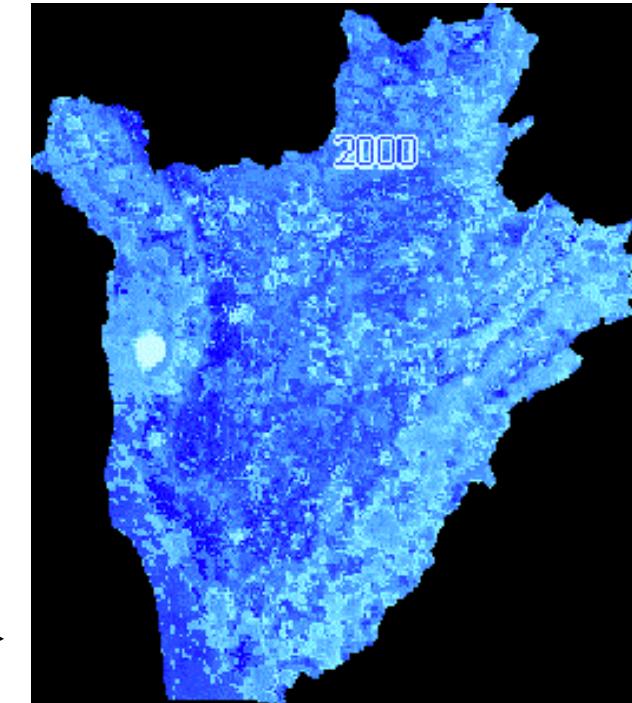
Constructing an Algorithm for Poverty Measurement

 $f($  $)$ 

Constructing an Algorithm for Poverty Measurement

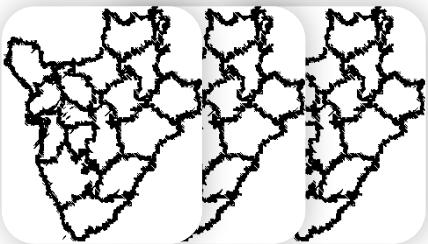
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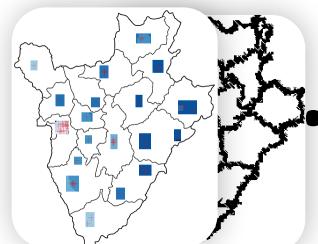


Our Data Product

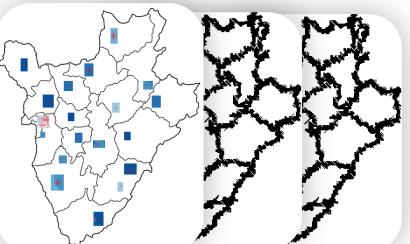
Without our data



...

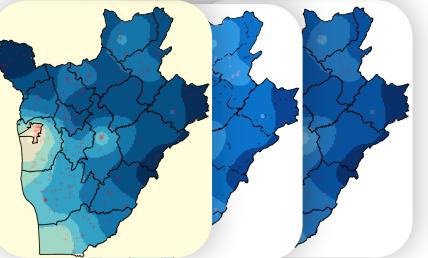


...



With our data

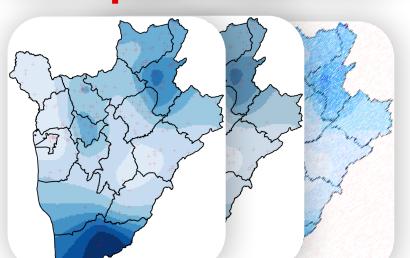
$f(\text{grid}) \rightarrow$



...



...



1984

2000

2010
First DHS survey

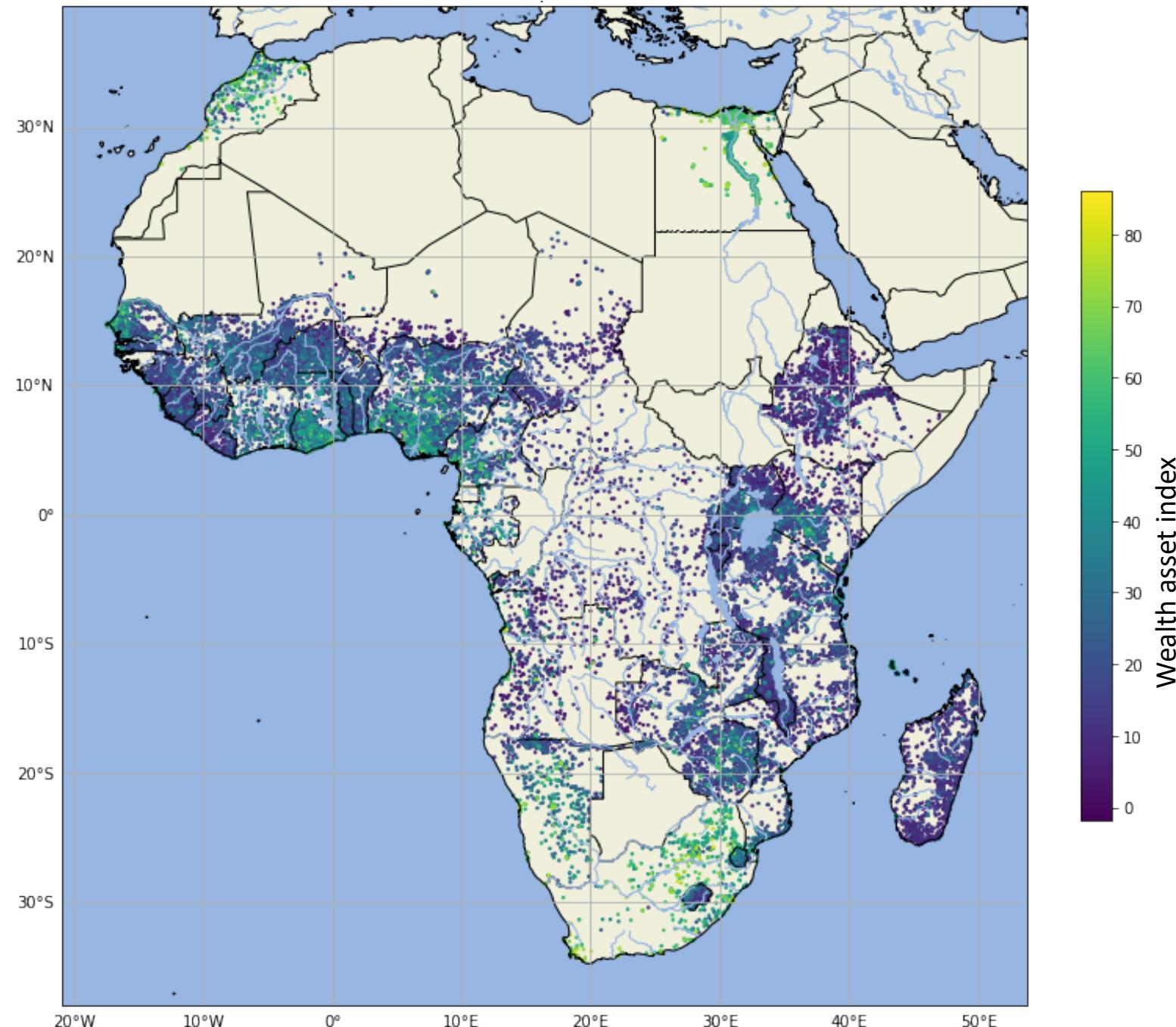
2017
Second DHS survey

2021

Ground "truth"

- International wealth index (material assets)
- $\approx 57\,000$ DHS survey units ("clusters")
- From 36 countries
- 1984 – 2019
- Unit of analysis: clusters consisting of about 20-30 households

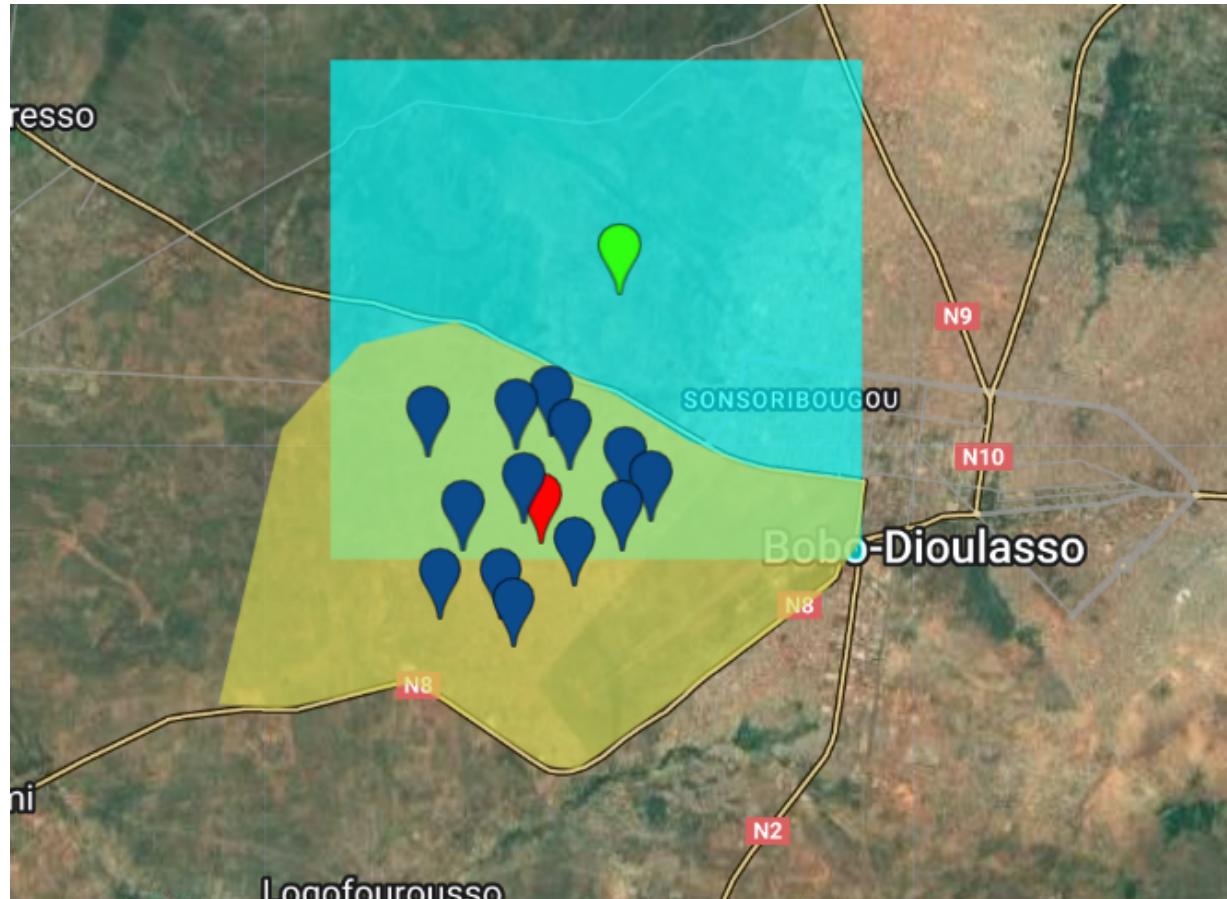
DHS surveys



But...

But... Noise Is Added For Privacy

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- 📍 Households
- 📍 Cluster center
- 📍 Displaced location (released coordinates)

Correcting For Privacy Using Multiple Imputation?

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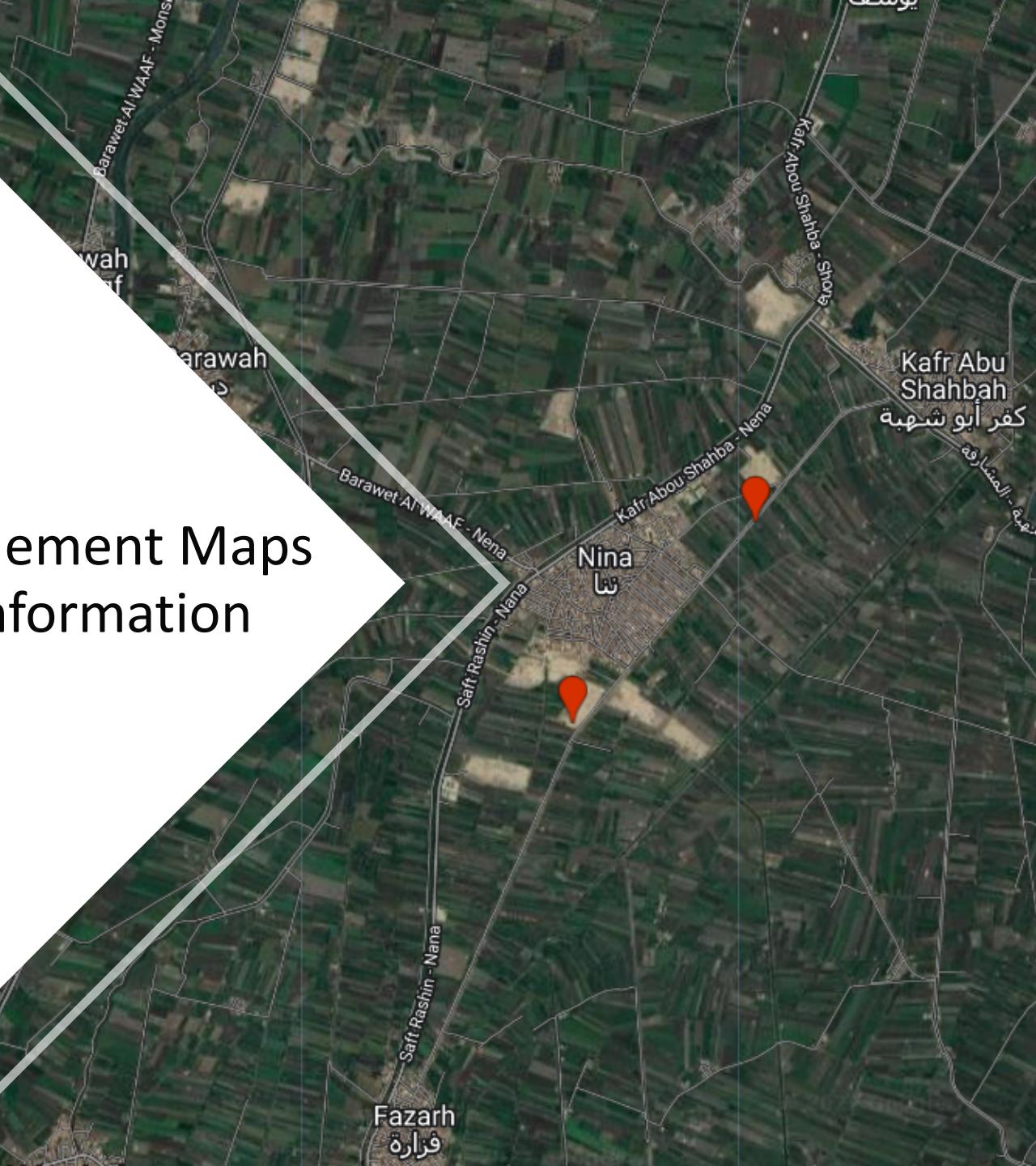
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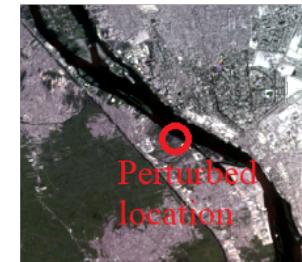
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- Train and test model using the satellite images at the imputed locations \hat{L}_i .



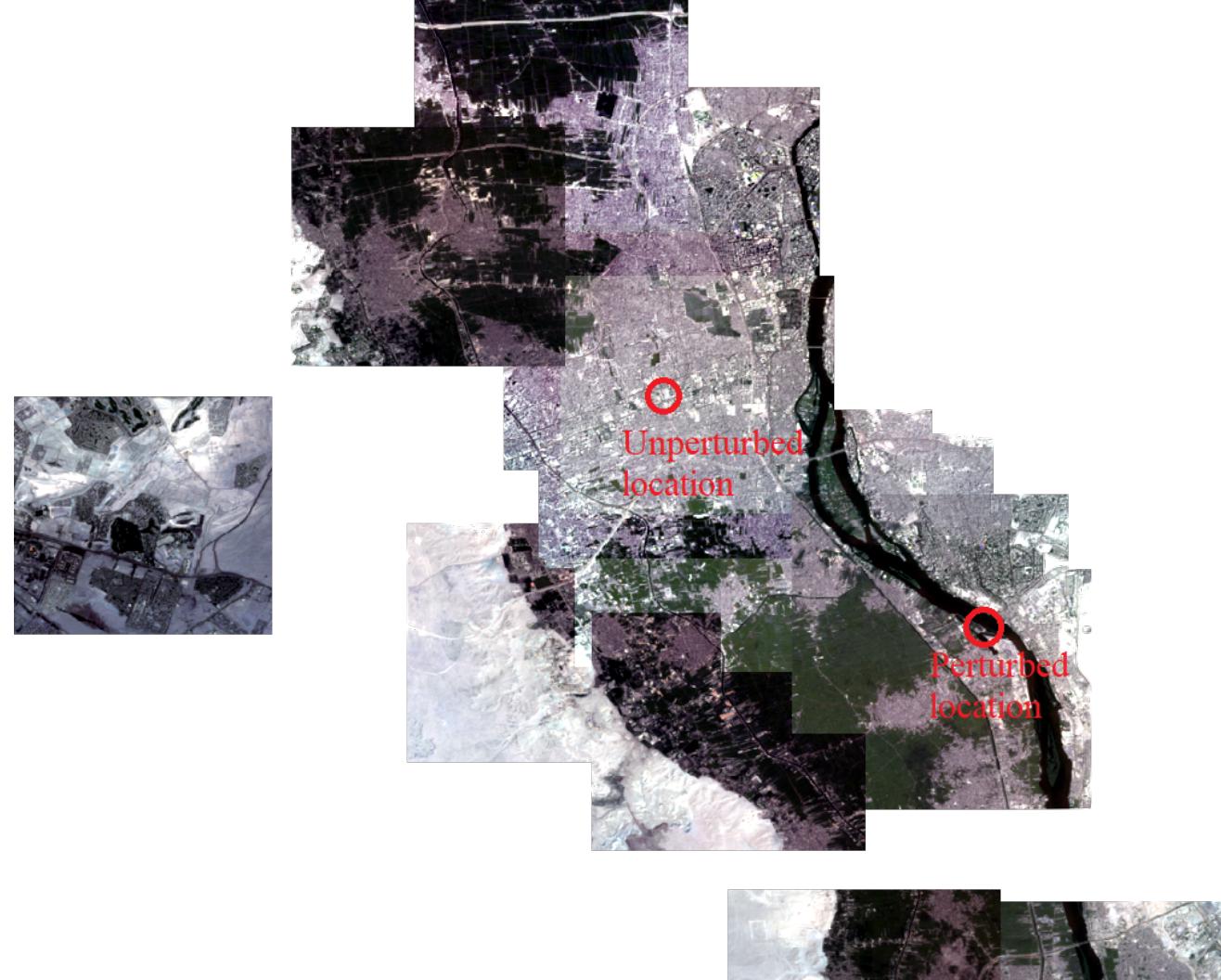
Human Settlement Maps as Prior Information



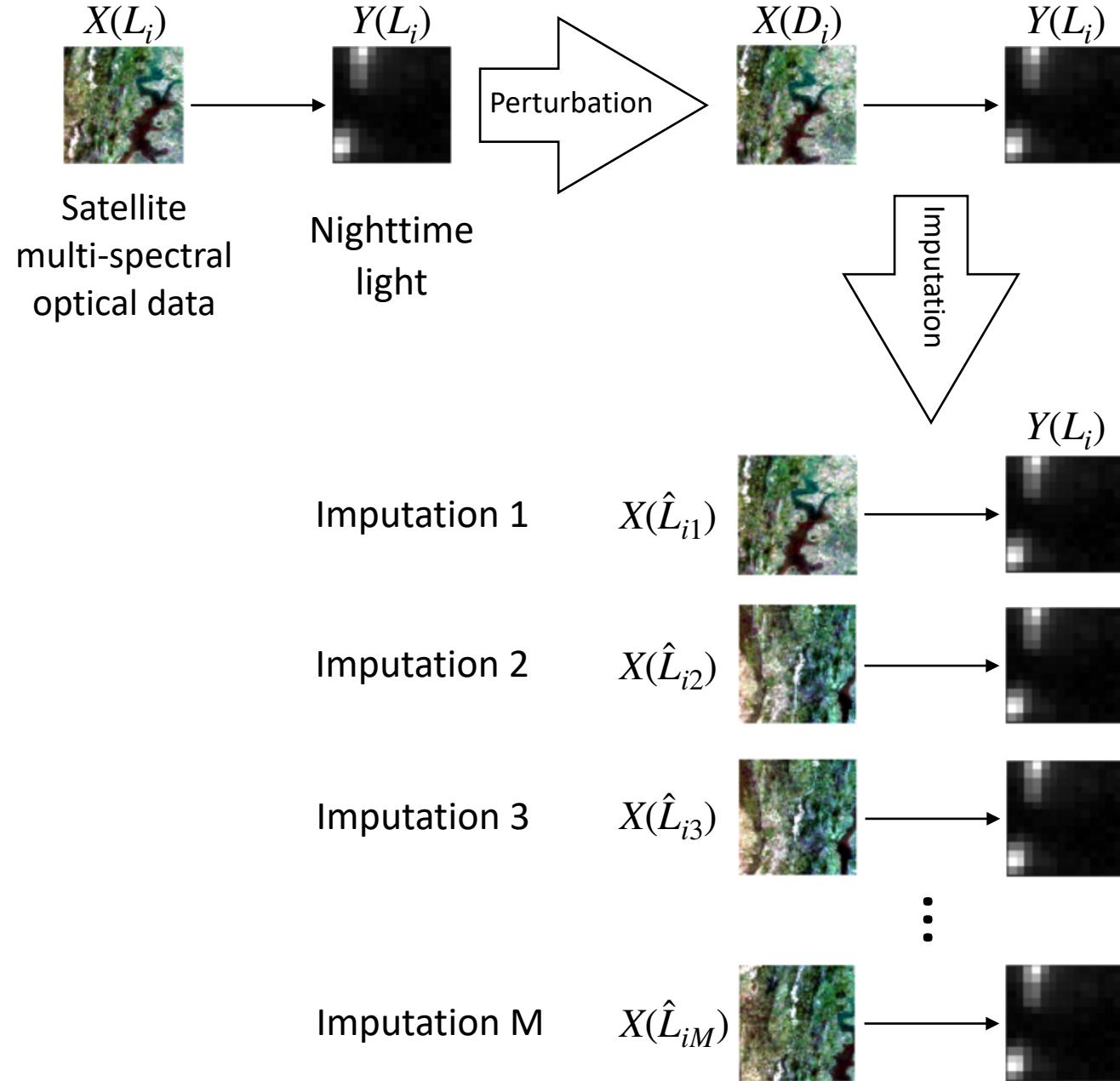
A simulation study predicting nighttime light intensity



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Can We Trust the Imputed Data?

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- **Pragmatic (B)**: Evaluate \mathcal{A} on a ‘synthetic’ dataset \mathcal{D}_{Syn} .

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- Ideal (A): Evaluate a fitted model \mathcal{A} on the confidential dataset \mathcal{D} .
- Pragmatic (B): Evaluate \mathcal{A} on a ‘synthetic’ dataset \mathcal{D}_{Syn} .
- What can (B) tell us about (A), specifically with respect to R-squared:
 $R^2 = 1 - RSS/TSS$

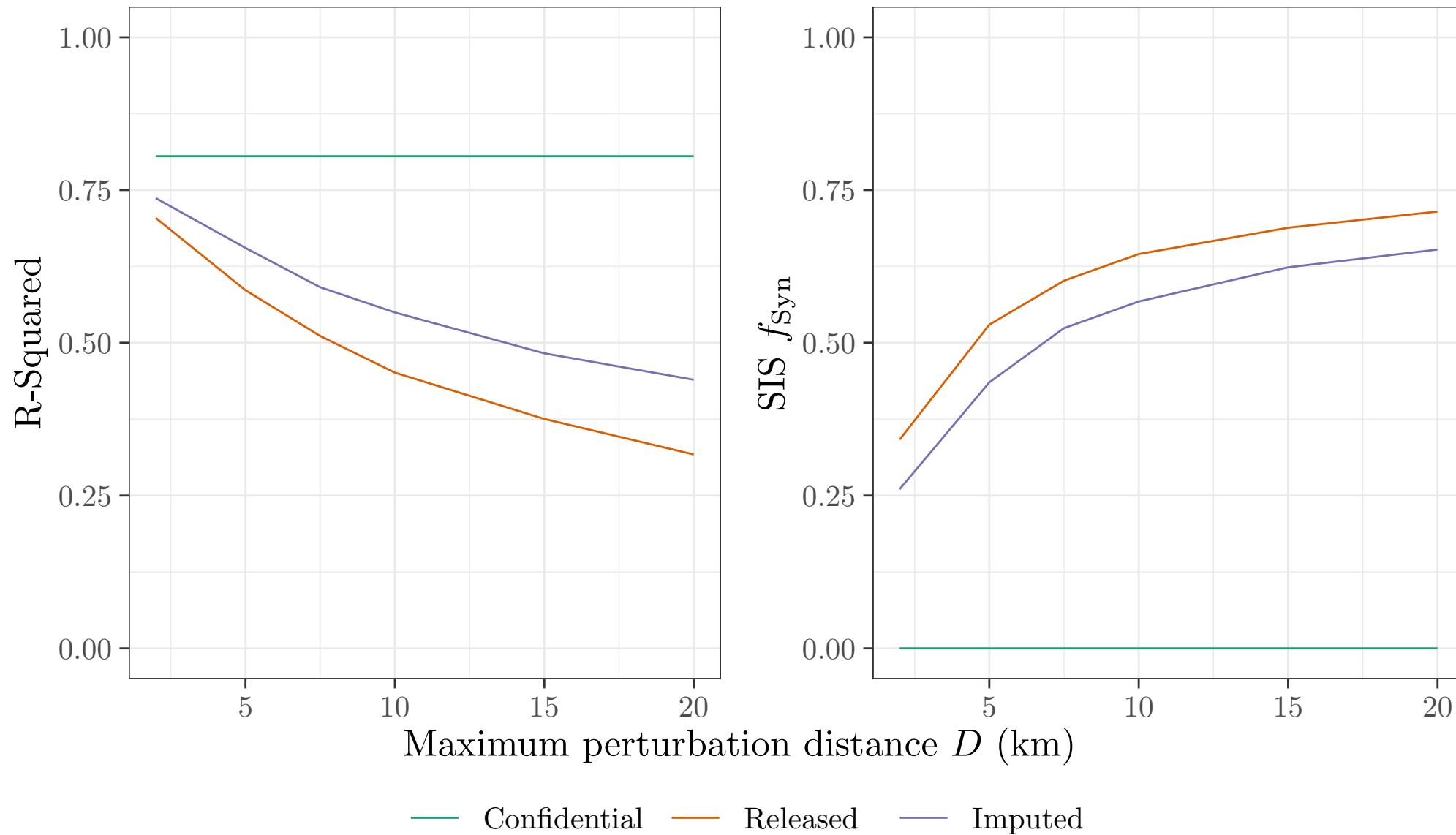
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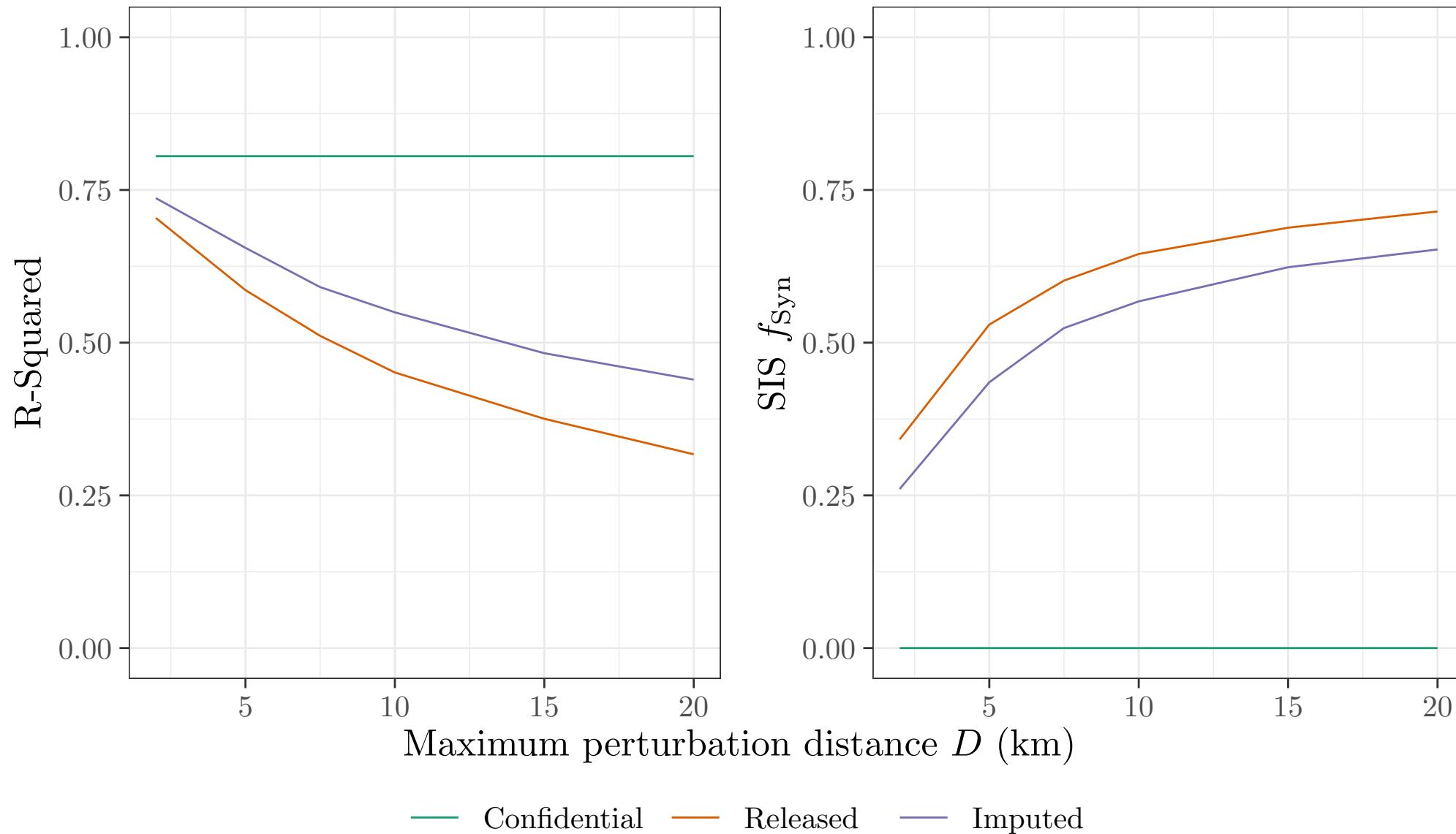
- With some simple algebra, $R^2 = R_{\text{Syn}}^2 + (1 - R_{\text{Syn}}^2)f_{\text{Syn}}$, where

$$f_{\text{Syn}} = \frac{RSS_{\text{Syn}}/RSS - TSS_{\text{Syn}}/TSS}{RSS_{\text{Syn}}/RSS}$$

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where $\hat{\beta}_{r,\delta}$ is the regression coefficient when regressing the benchmark residuals r_i on the difference of residuals $\delta_i = r_i - r_i^{\text{Syn}}$.

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- Then $R^2 \geq R_{\text{Syn}}^2$ if and only if $\hat{\beta}_{r,\delta} \leq 0.5$ (assuming $TSS = TSS_{\text{Syn}}$).

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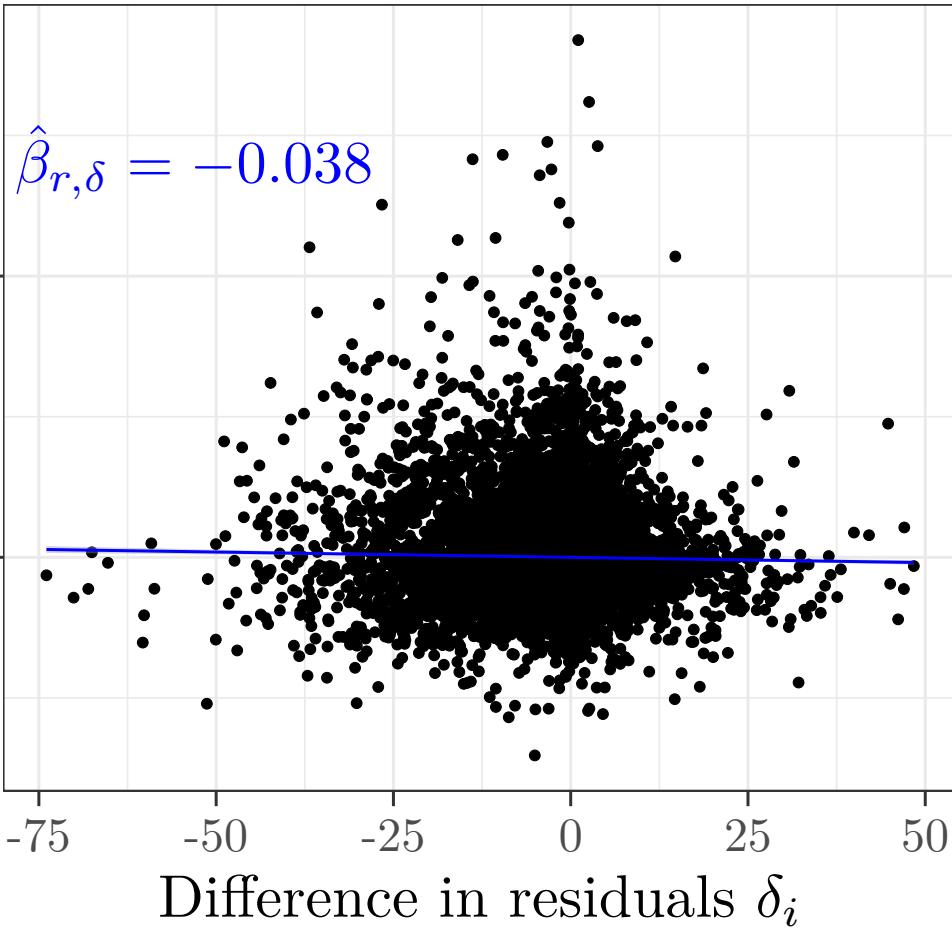
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- Then $R^2 \geq R_{\text{Syn}}^2$ if and only if $\hat{\beta}_{r,\delta} \leq 0.5$ (assuming $TSS = TSS_{\text{Syn}}$).
- I.e. R_{Syn}^2 is a lower bound as long as δ_i is not informative of r_i .

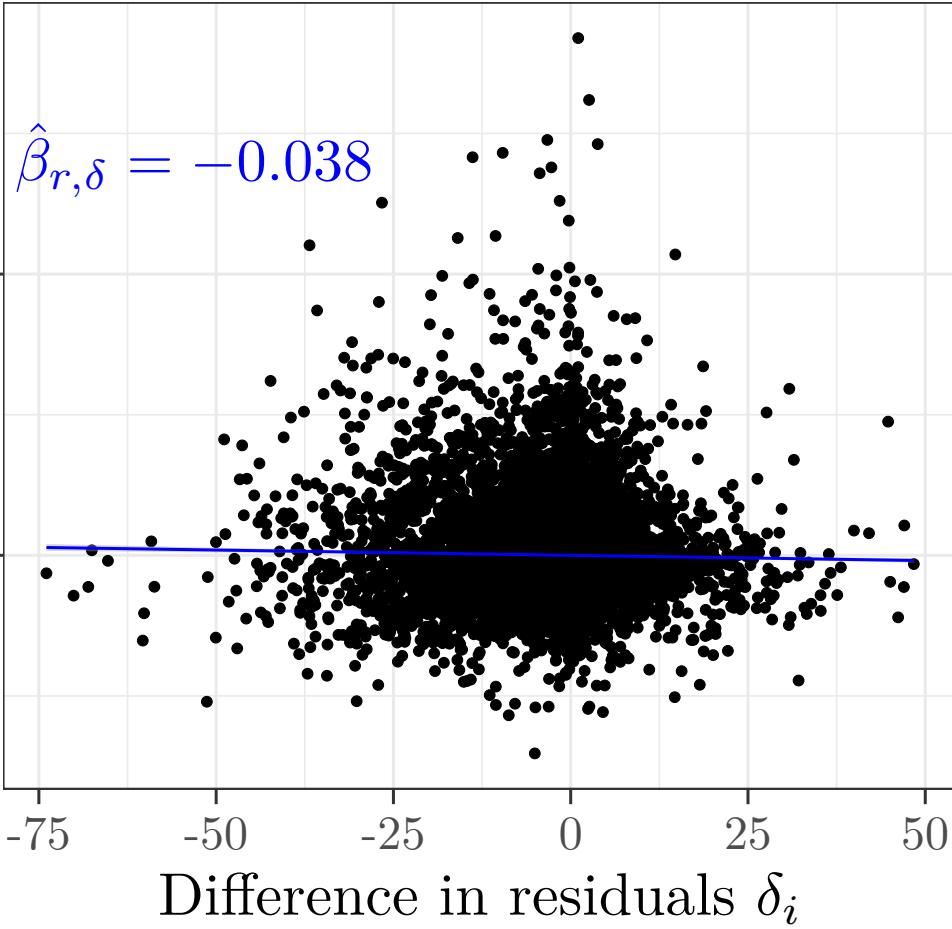
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Benchmark residual r_i

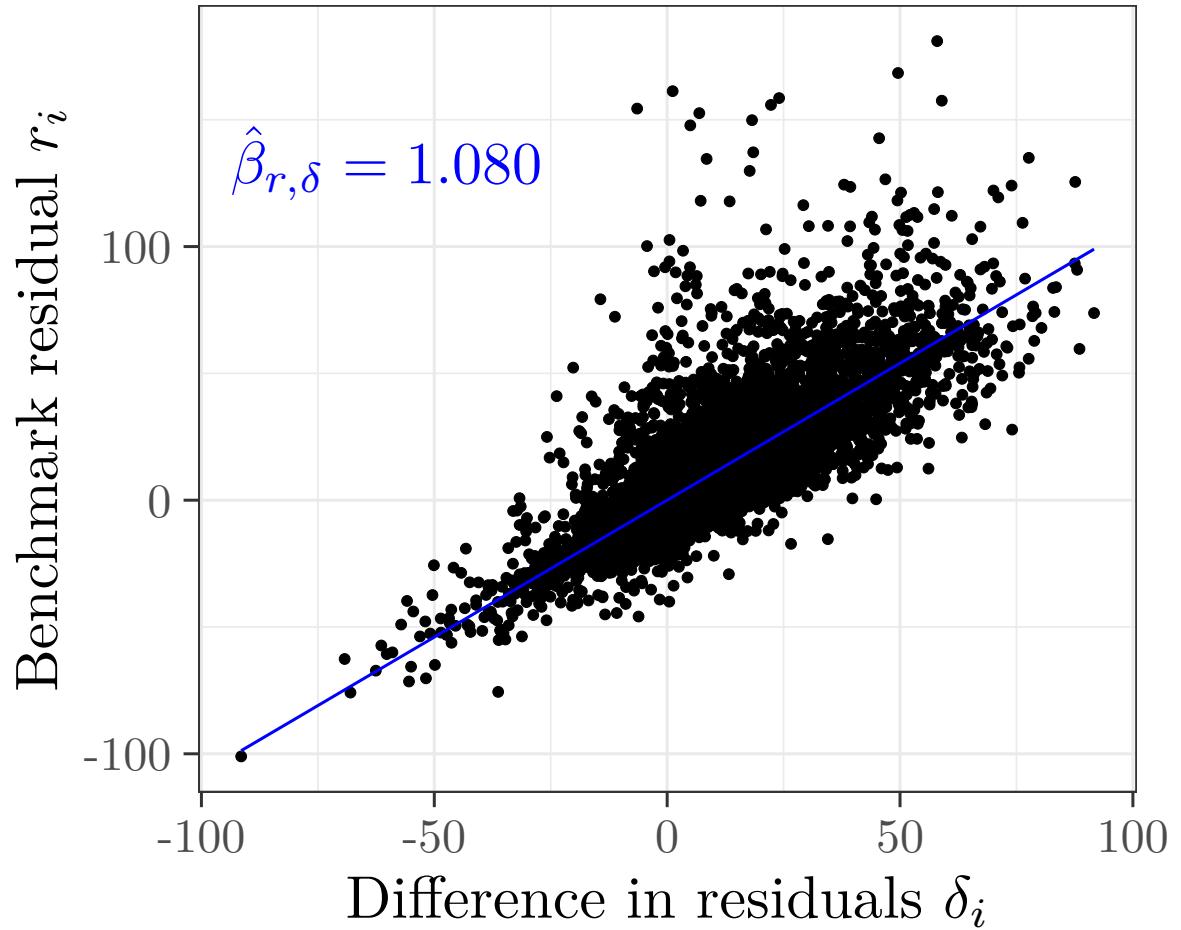
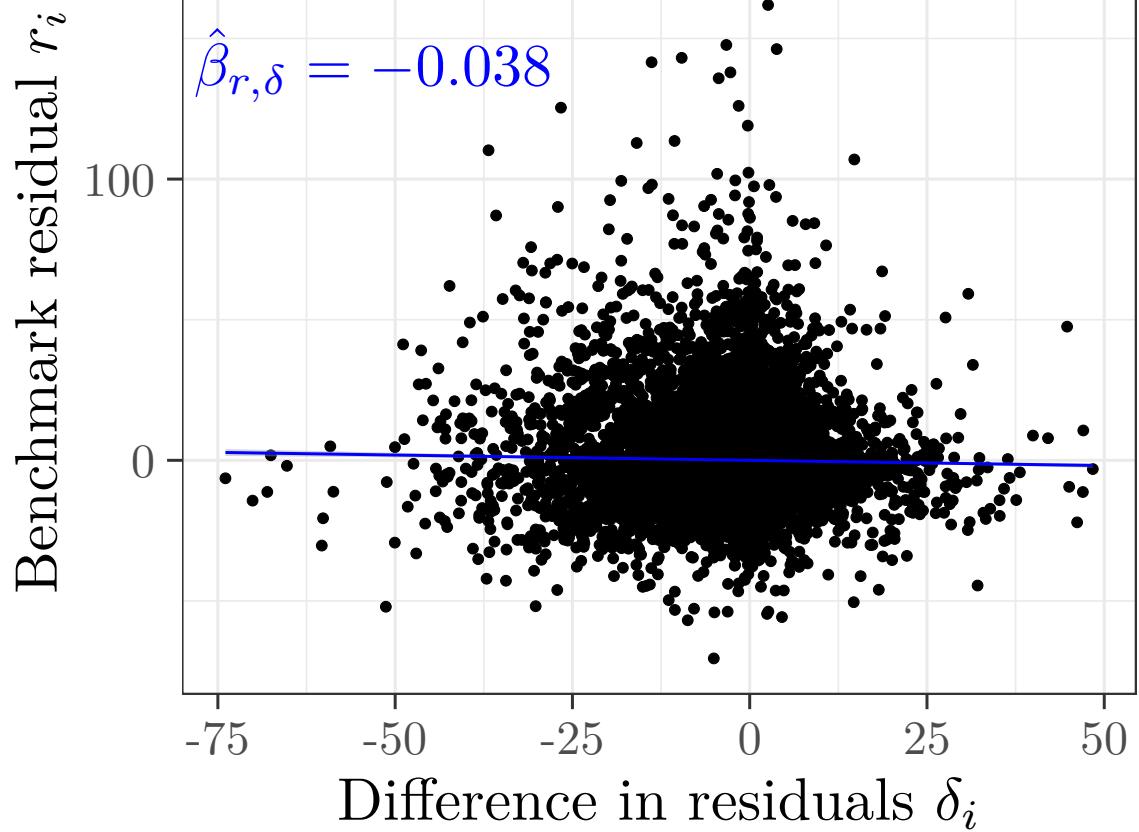


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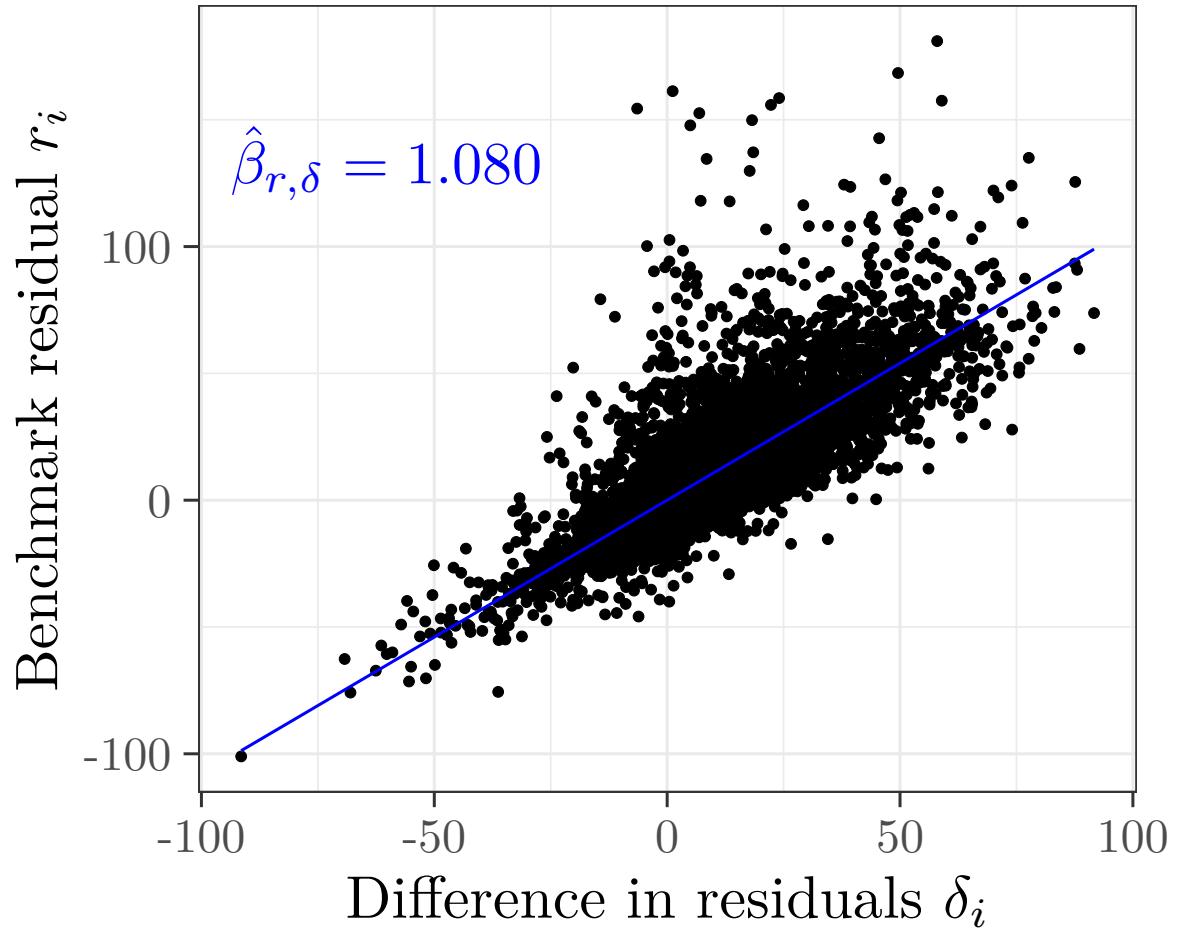
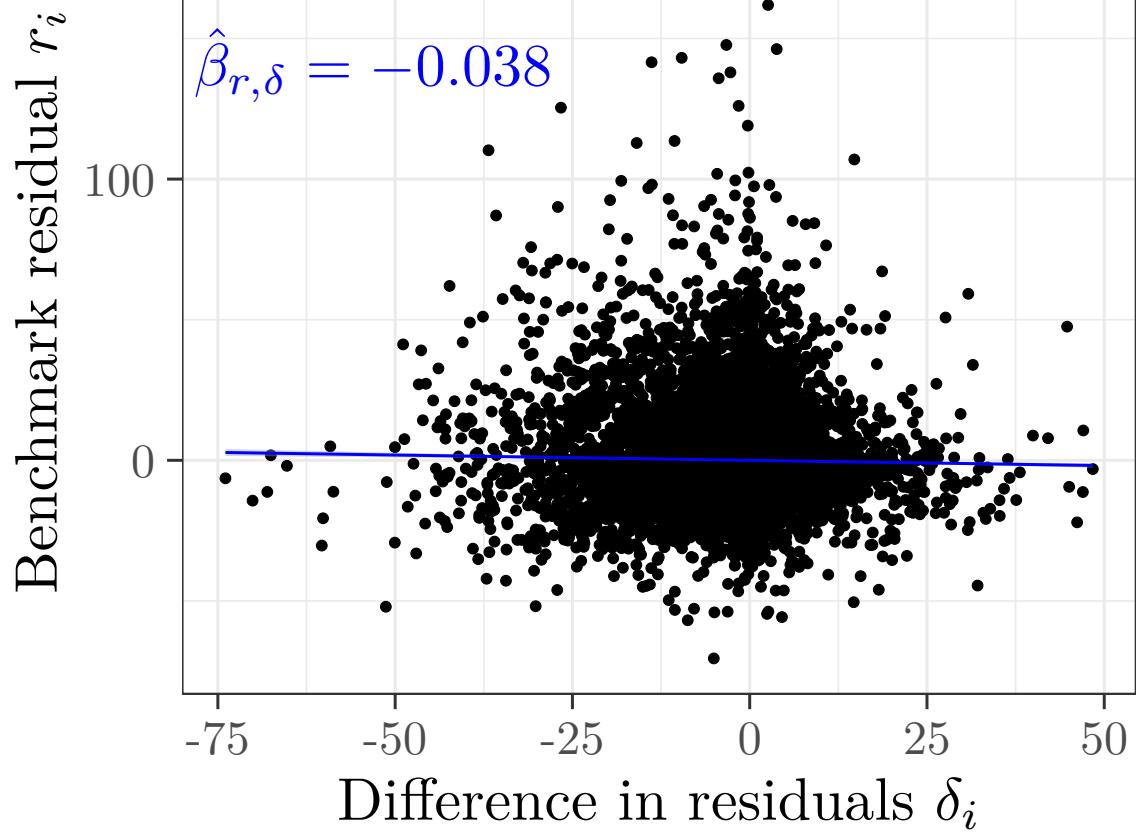
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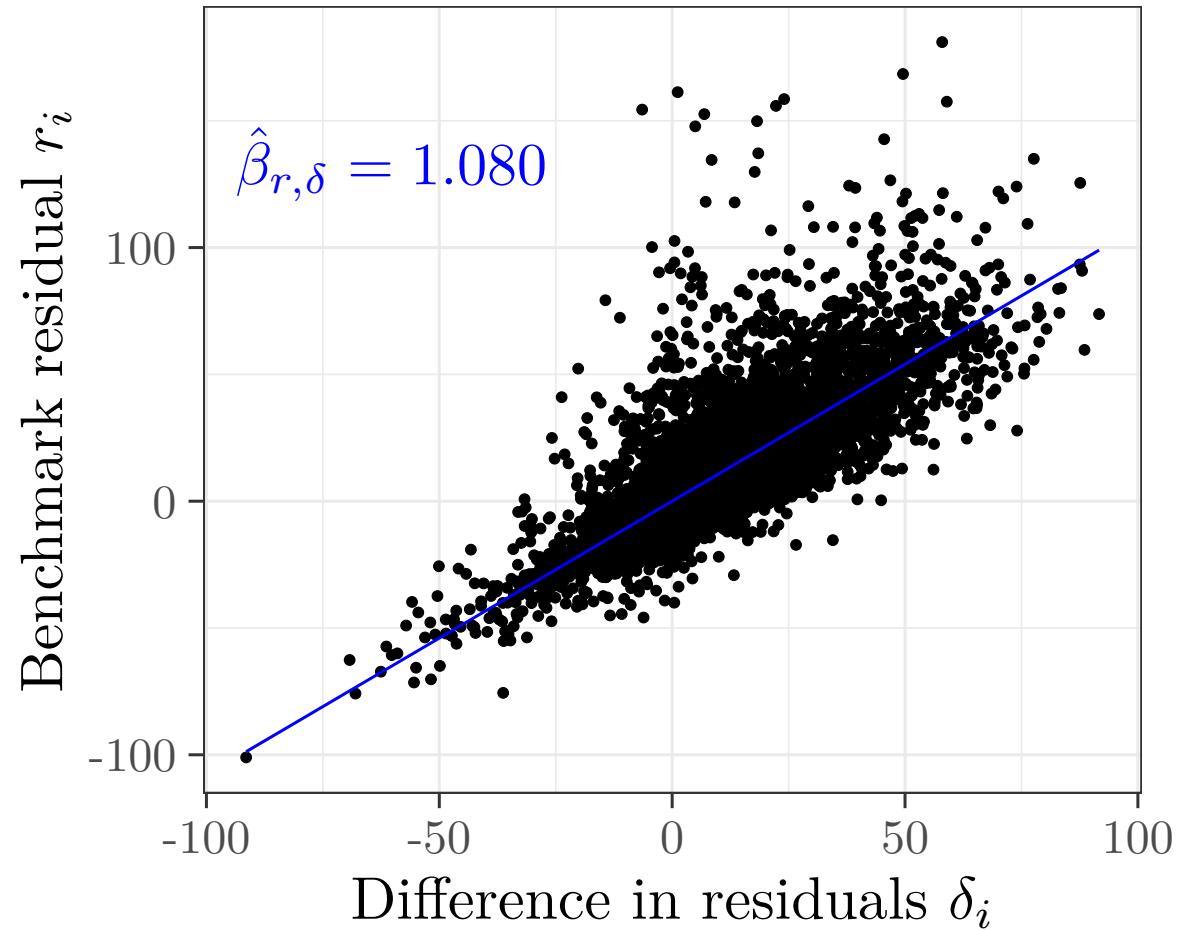
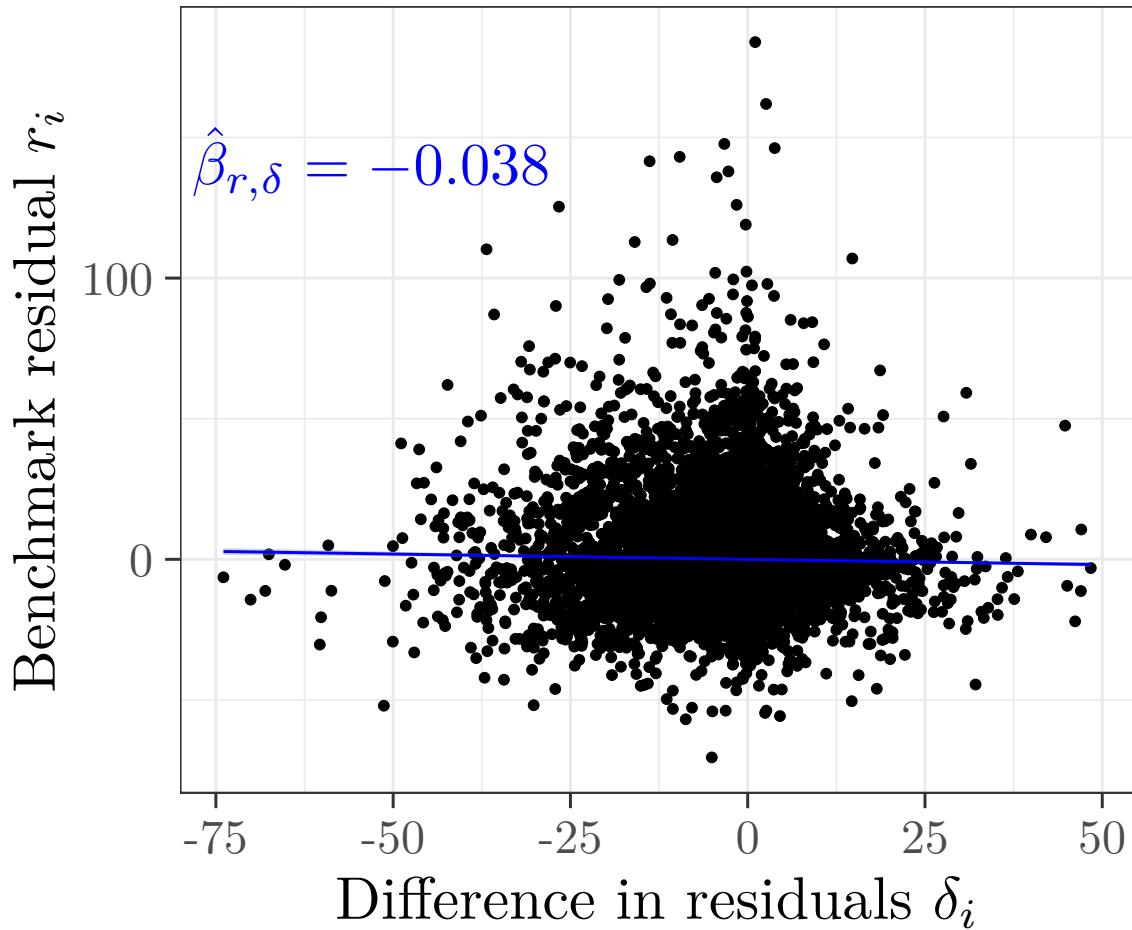


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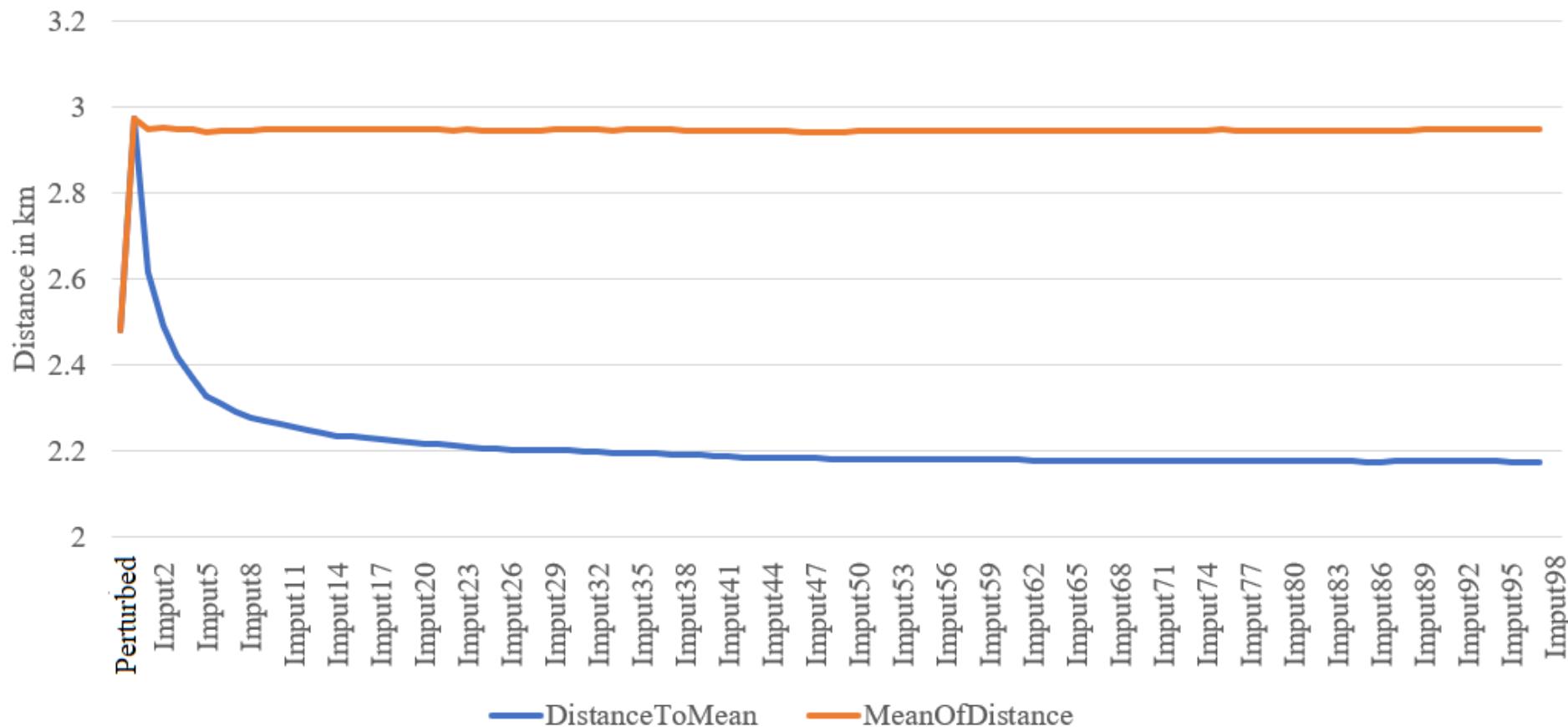


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Compare MI average distance with distance to average of MI



Comparing 5 DL models

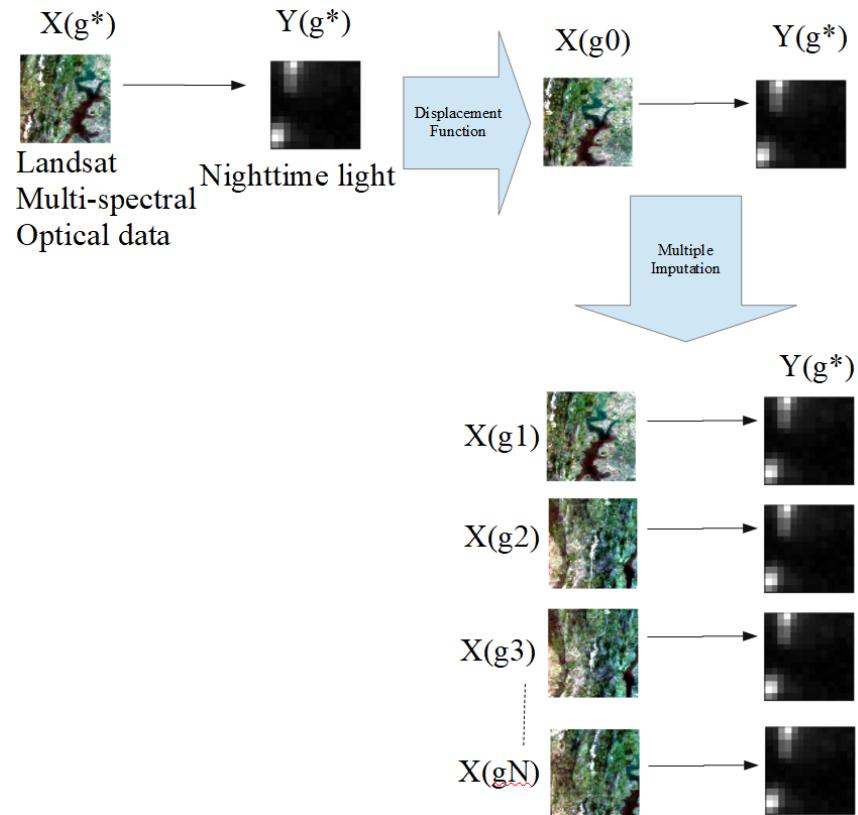
(1) DL trained on **confidential** data

(2) DL on **released** data

(3.a) DL on **each imputation** and than taking average

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(3.c) DL on **all imputed data collectively**



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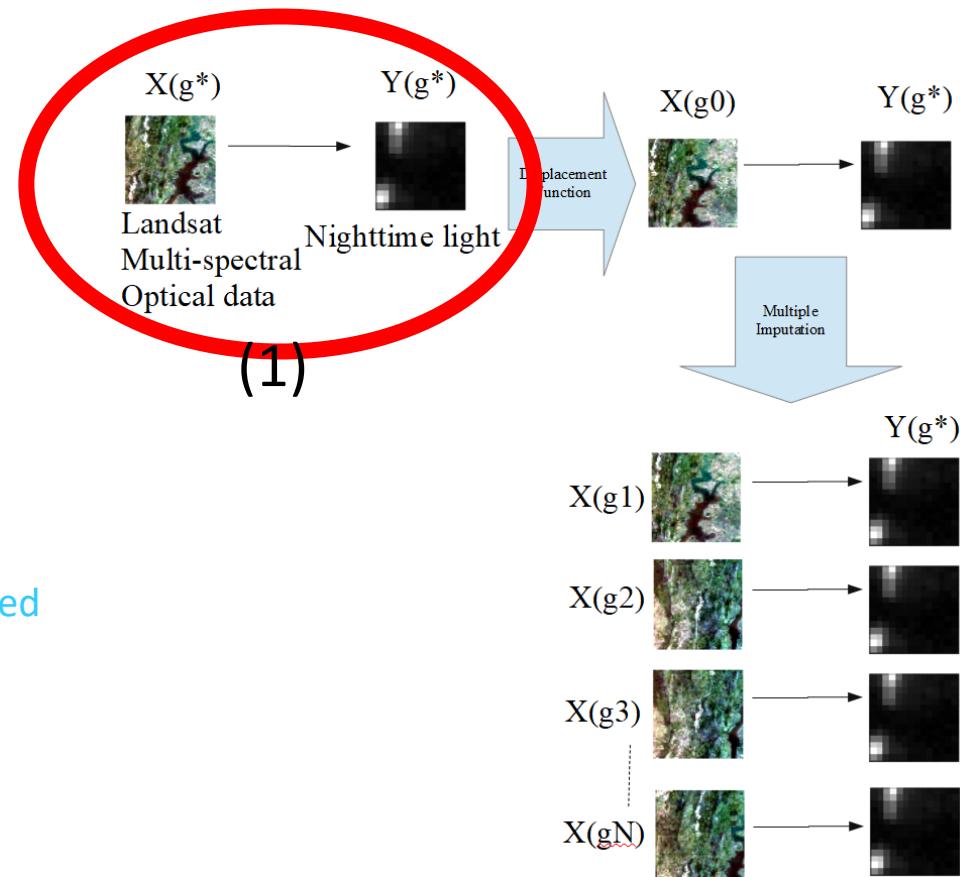
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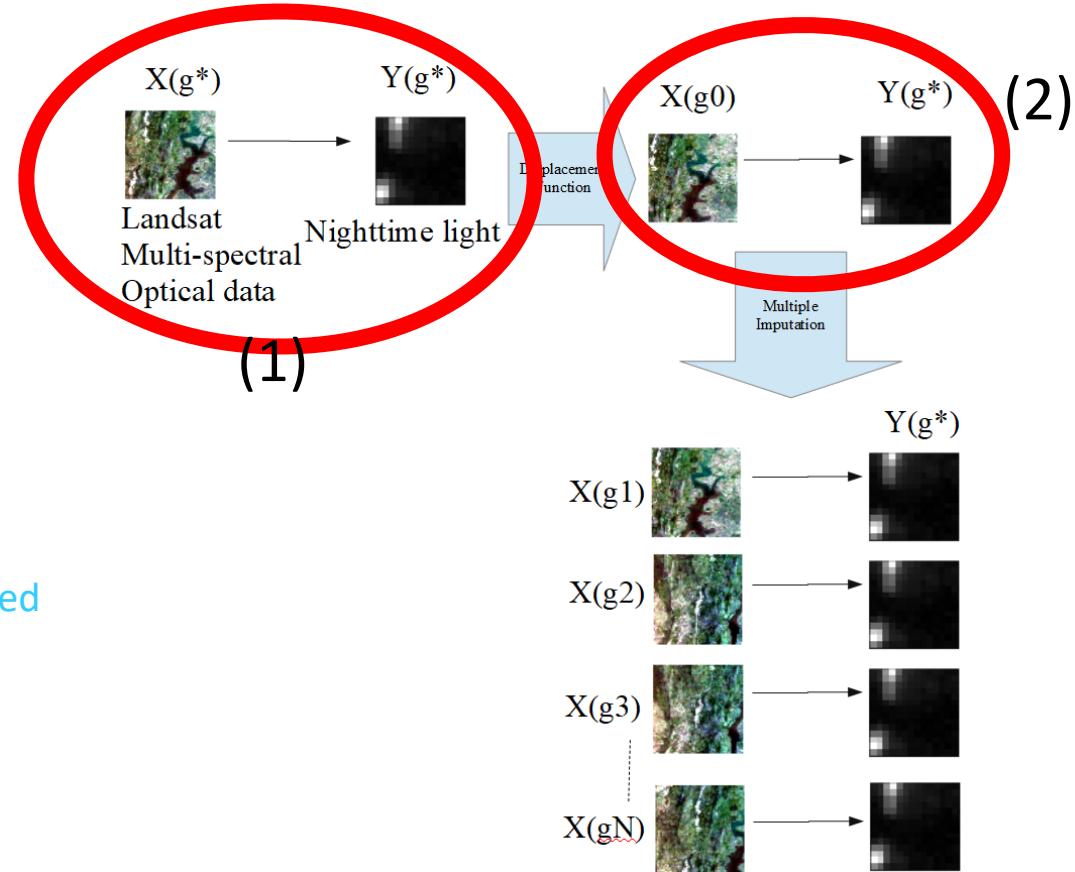
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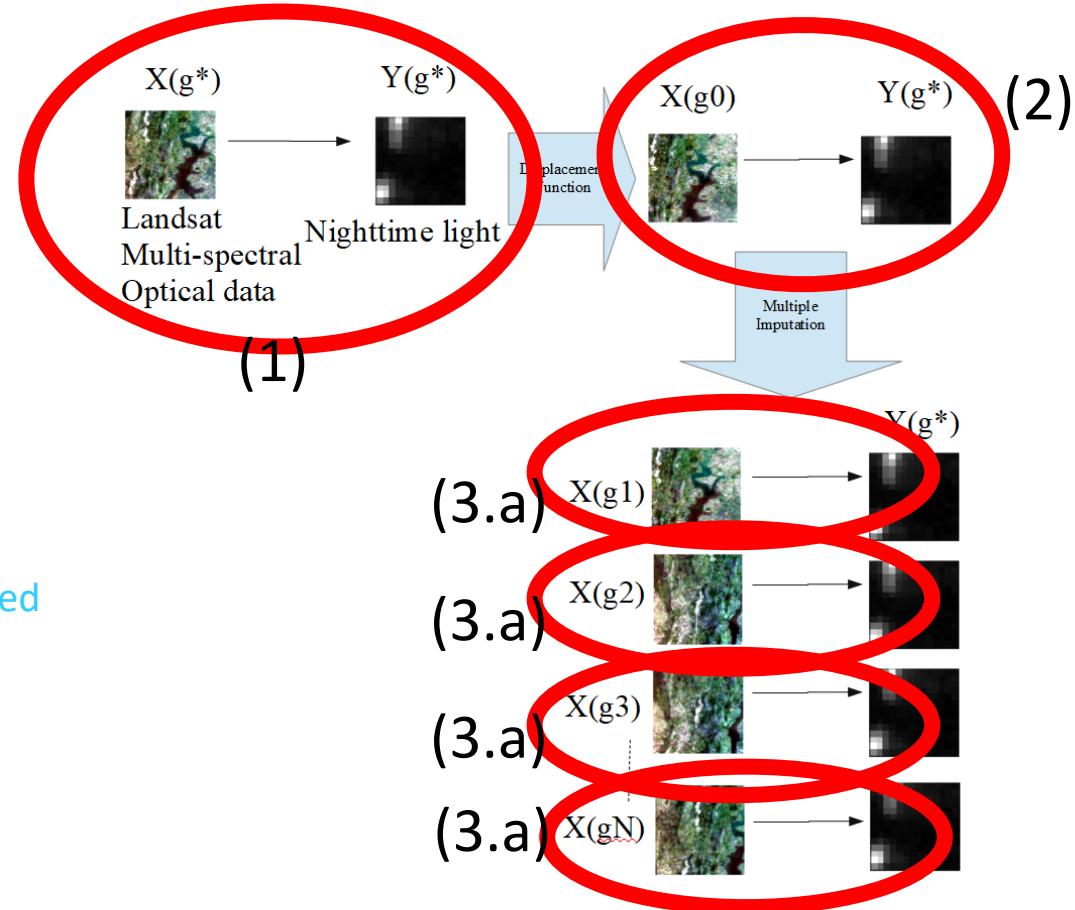
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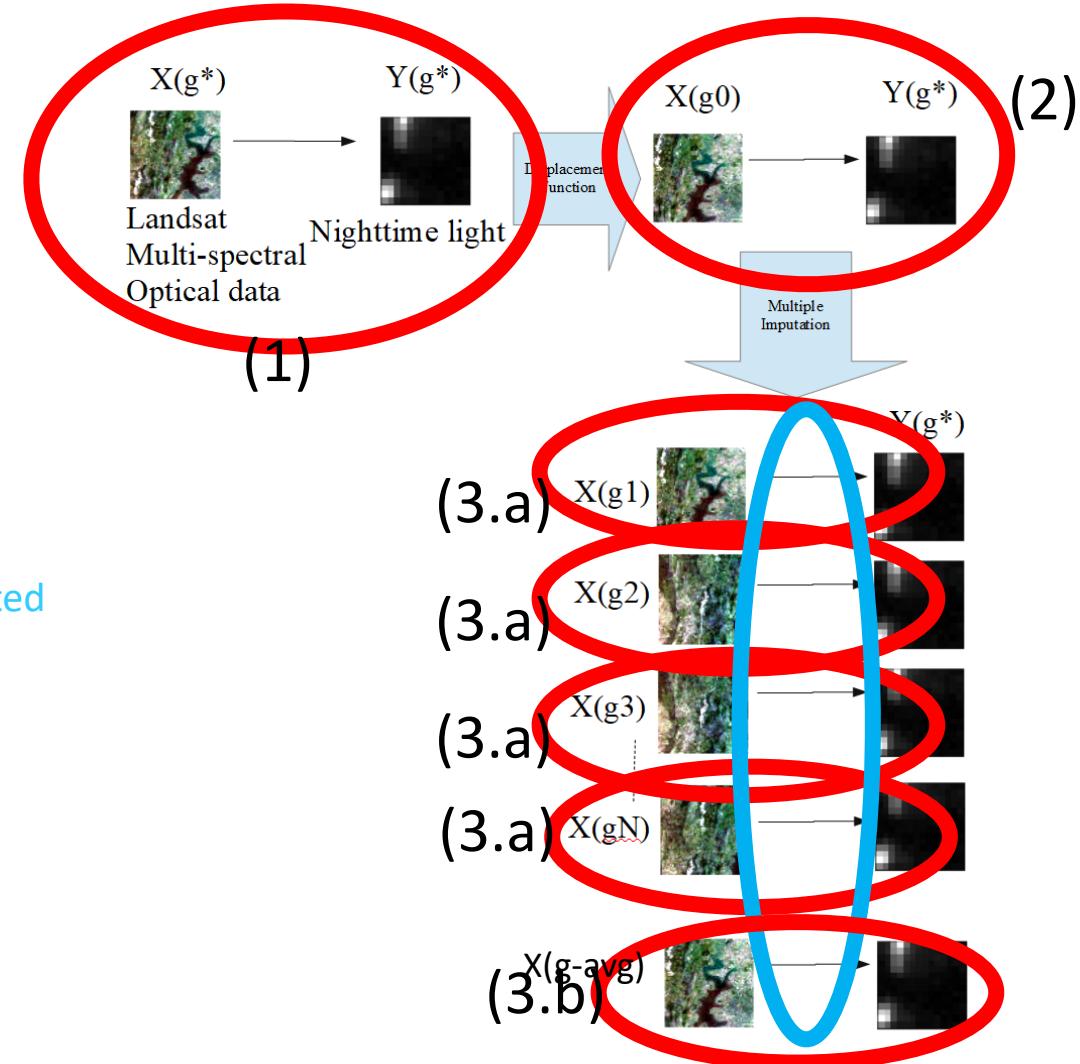
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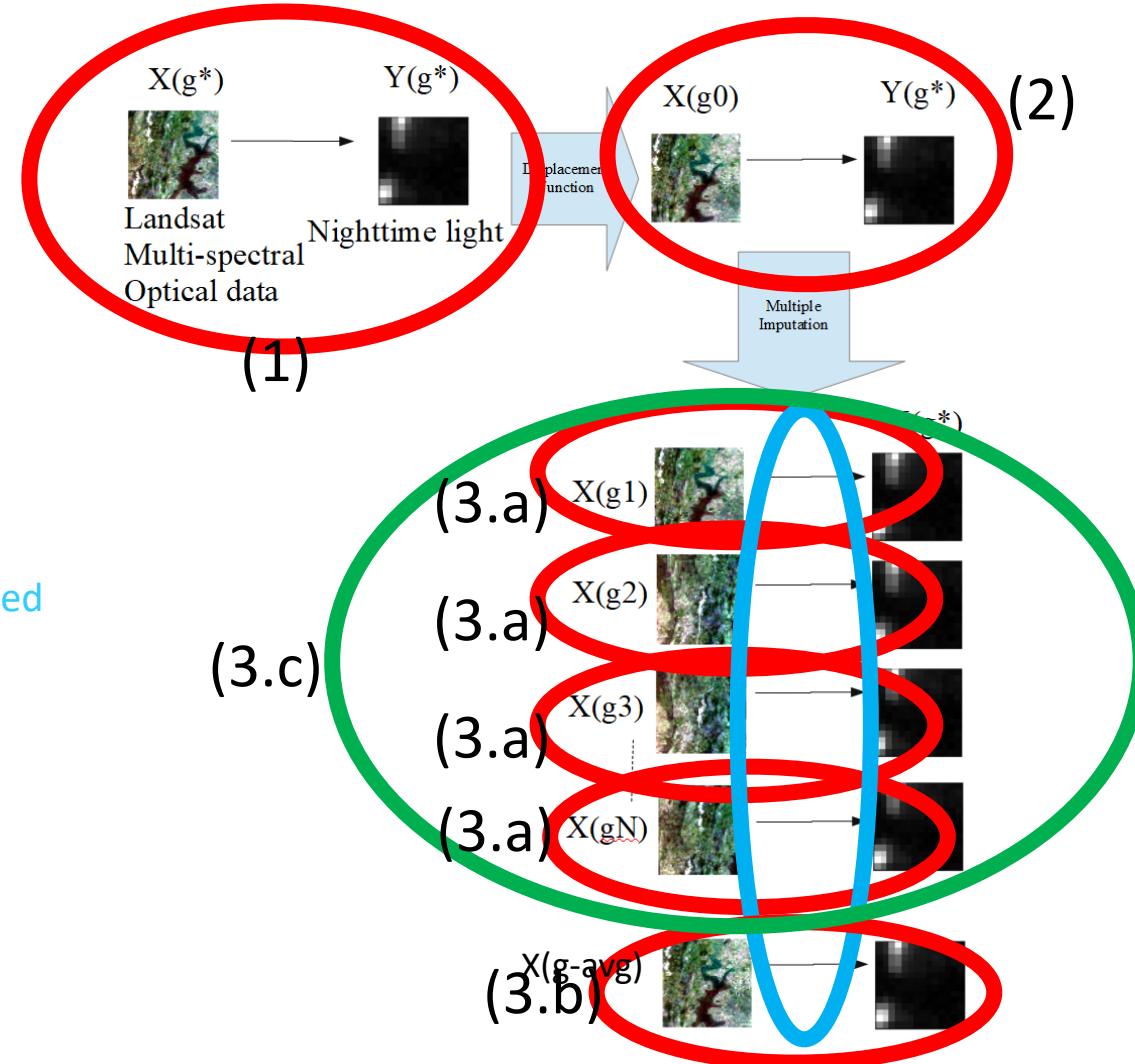
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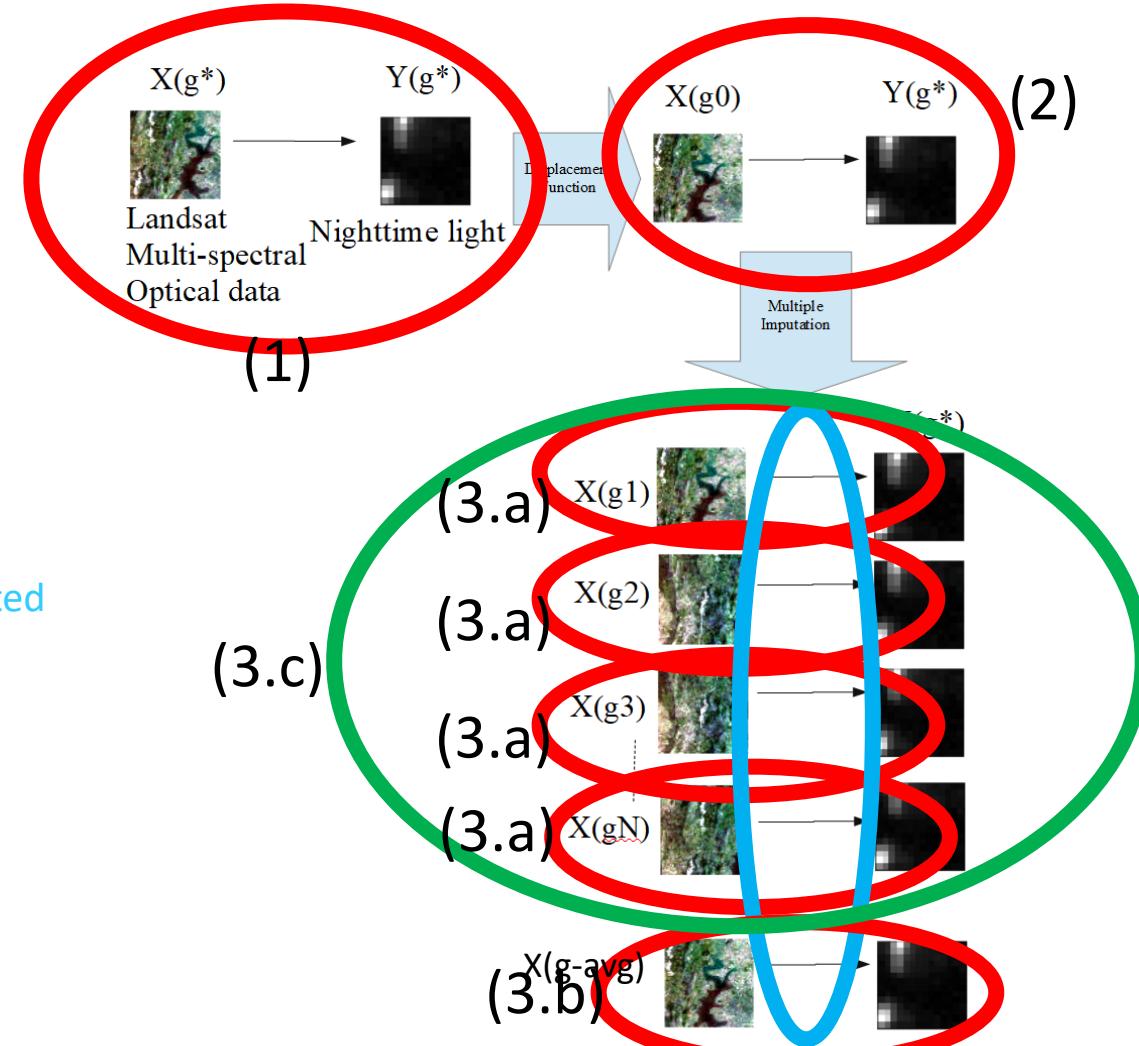
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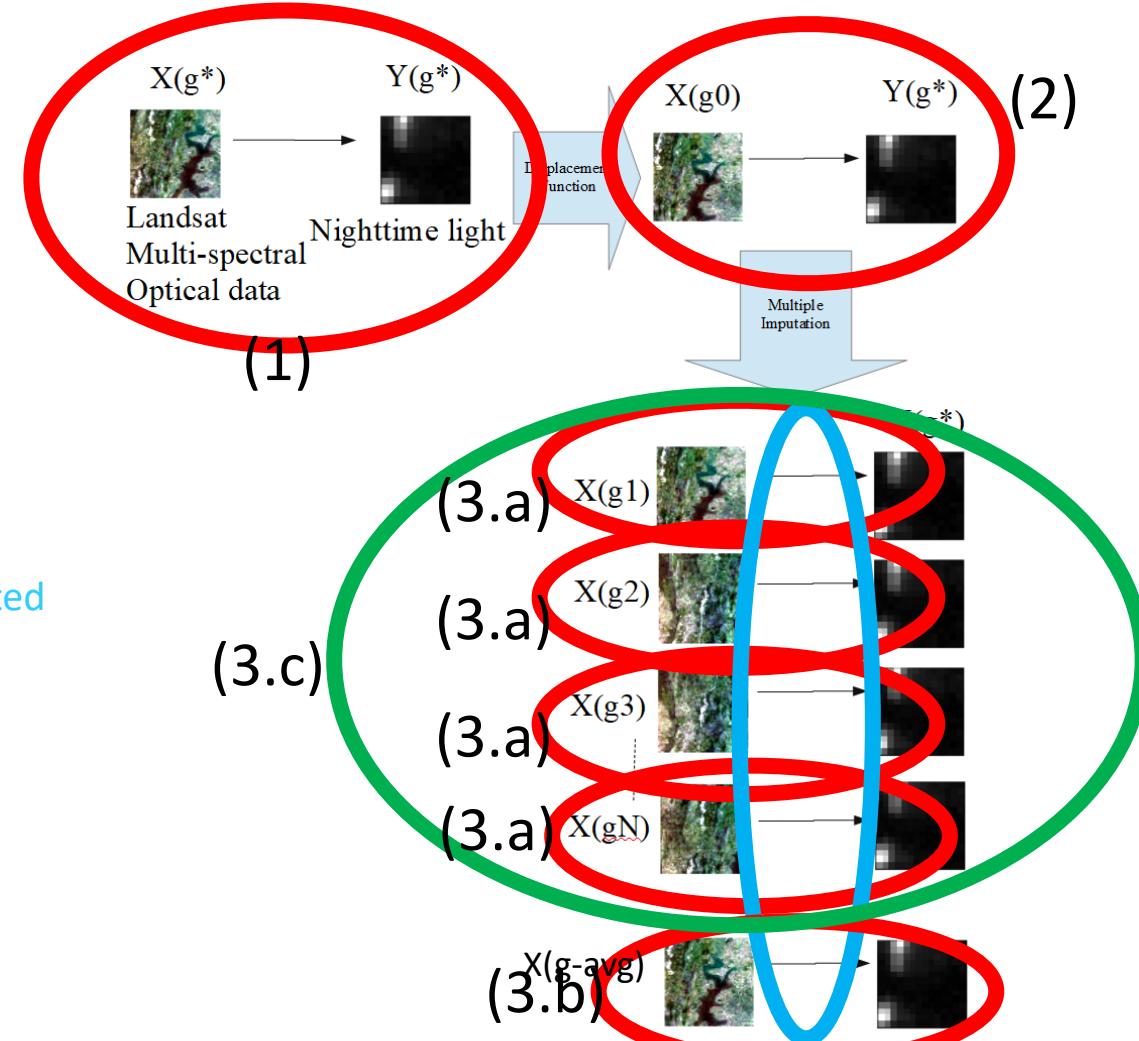
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*Which one predicts most accurately, and which one least?
When measuring accuracy against what benchmark?*

Evaluating the 5 DL models on five different test datasets

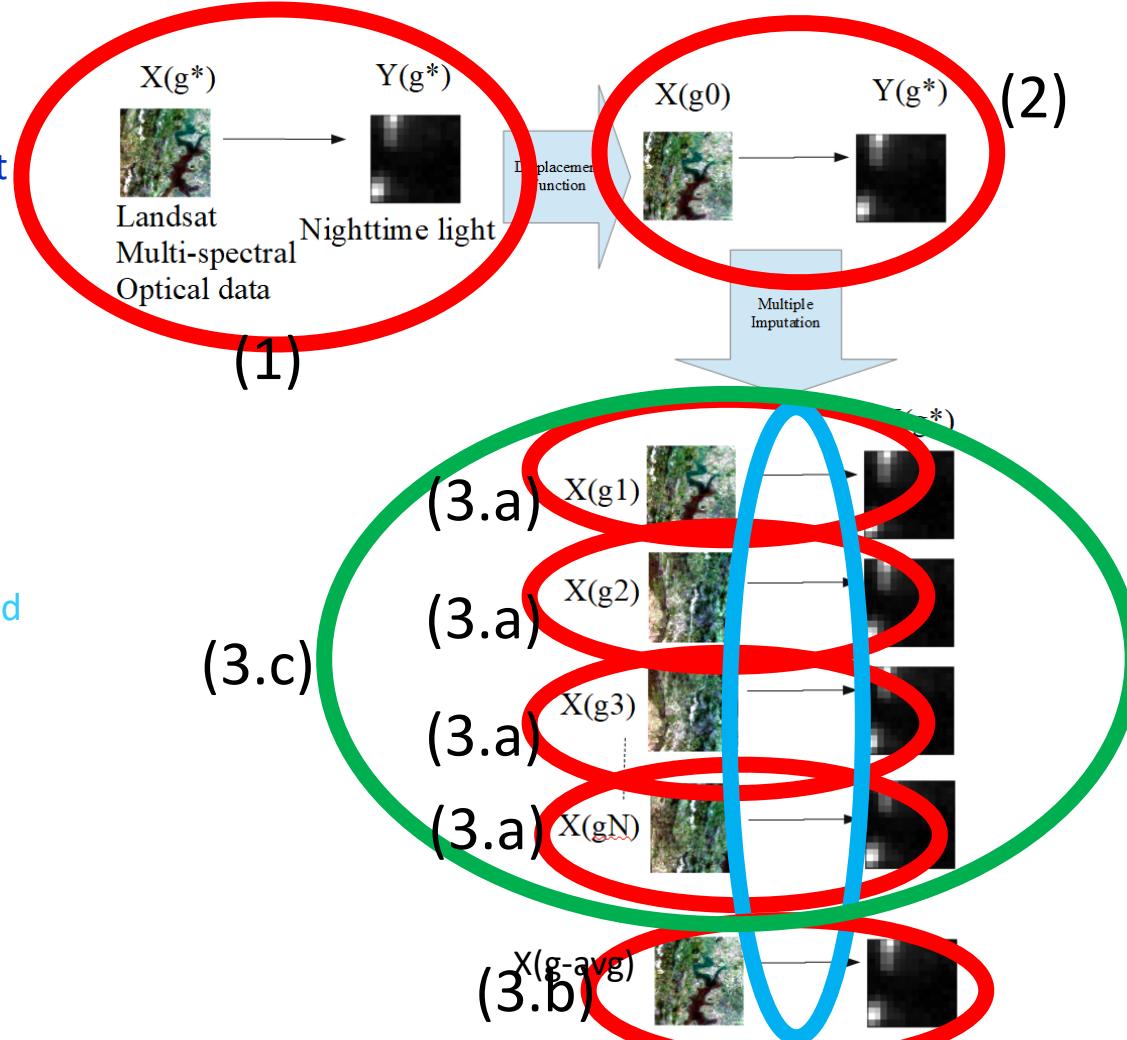
(1) Test on **confidential** data

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Evaluating the 5 DL models on five different test datasets

- (1) Test on confidential data
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- (3.a) Test on each imputation and than taking average
- (3.b) Test on the average location of the input data
- (3.c) Test on all imputed data collectively
- (4) Test on a single imputed data

		Test dataset(s) \mathcal{D}^{Te}						
		Single			Multiple			
		(1)	(2)	(4)	(3b)	(3c)	(3a)	
Training dataset(s) \mathcal{D}^{Tr}	Single	(1)	0.77	0.56	0.58	0.62	0.58	0.69
	Single	(2)	0.69	0.64	0.62	0.64	0.62	0.66
	Single	(4)	0.70	0.64	0.64	0.66	0.63	0.68
	Single	(3b)	0.72	0.63	0.62	0.67	0.63	0.68
	Single	(3c)						
w/ diff. seeds	Multiple	(3a)	0.73	0.67	0.69	0.69	0.63	0.69
	Multiple	(1)	0.81	0.59	0.61	0.66	0.57	0.70
	Multiple	(2)	0.70	0.65	0.63	0.66	0.59	0.66
	Multiple	(4)	0.72	0.66	0.66	0.68	0.62	0.68
	Multiple	(3b)	0.74	0.65	0.65	0.69	0.62	0.69
	Multiple	(3c)						

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International Wealth Index (IWI)

Does the household own or have a:

TV: Yes No Unknown

Refrigerator: Yes No Unknown

Phone: Yes No Unknown

Bike: Yes No Unknown

Car: Yes No Unknown

Cheap utensils (<\$50): Yes No Unknown

Expensive utensil (>\$300): Yes No Unknown

Electricity: Yes No Unknown

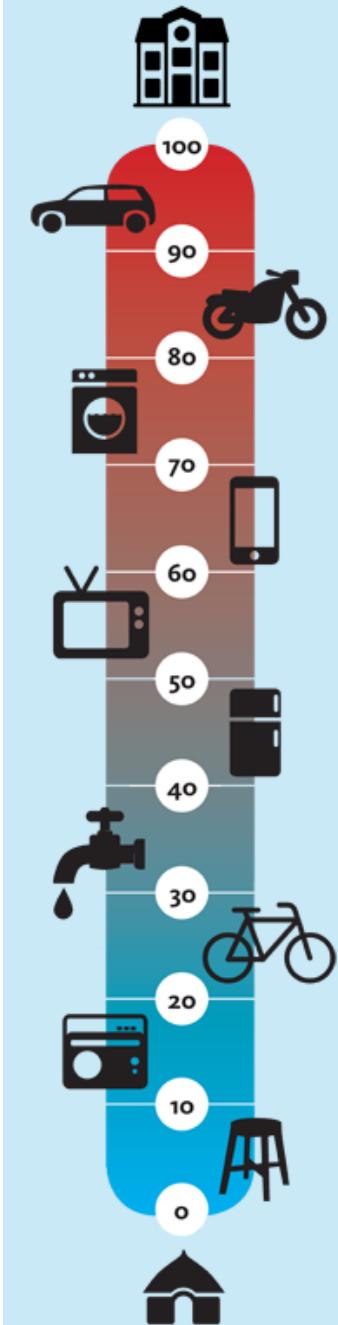
What is the quality of the...

Main source drinking water?: Low Middle High Unknown

Toilet facility usually used?: Low Middle High Unknown

Main floor material?: Low Middle High Unknown

Nr. of rooms used for sleeping: One Two Three+ Unknown



International Wealth Index (IWI)

With TV = 12.73

Without TV = 4.12

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