Verifying concurrent indexes

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Introduction

What is an index?

An index stores values, where each value has a distinct name. Many data sets fit this description. For example, a contact list stores *phone numbers*, where each number is accessed with (indexed by) a person's *name*. The Web can be modeled as a store of *pages*, where each page is indexed by a URL. More abstractly, an index defines a function from some *range* to some *domain*.

The index API

As a fundamental data type, almost every programming language has an index implementation. With it, the programmer can memoize the results of a function, model the houses on her street, or describe a directed graph. Here is pseudocode for a web cache:

This small example illustrates the entire API. Notice that the Index is an 'abstract', or 'container' data type: we must supply the types of the keys and the values (in the above, the keys are Urls and the values are Pages). The resulting 'concrete' data type can then be instantiated. The instantiated index cache has three operations that can be performed on it: search, insert and remove. For an index i that maps keys of type K onto values of type V, these operations do the following:

value = i.search(key) If there is a value associated with key in i, value will be that value. Otherwise, value
will be null.

```
i.insert(key, value) Subsequent calls to i.search(key) will return value, until a subsequent call to i.insert(k, -) or i.remove(k), where k == key.
```

```
i.remove(key) Subsequent calls to i.search(key) will return null, until subsequent calls to i.insert(k,
-) or i.remove(k), where k == key.
```

Verifying linked lists

The LL data structure

The linked list (LL) is the simplest set data structure that will submit to fairly efficient insertion, deletion and search of elements from a general ordered universe. It is a good place to start to set out the principles of verification that we can then apply to more complex algorithms.

A LL representing set S consists of a set of |S| records, called *nodes*, in memory. There is a one-to-one correspondence between elements of S and the set of Nodes in the LL representing S. Each node contains two fields, value and tail. This can be written in a few lines:

```
module ll.node;

struct Node {
  int value;  // The lowest value in the set
  Node* tail;  // list containing all values greater than 'value'

  this(int value) {
    this.value = value;  // The tail pointer is initialized to null
  }

  this(int value, Node* tail) {
    this.value = value;
    this.tail = tail;
  }
}
```

The fields value and tail have fixed length, respectively v and t. An instance of the Node record thus has a fixed length in memory l = v + t. Therefore any node beginning at some address a spans the contiguous addresses $a \dots a + l$. The addresses occupied by the Nodes are *disjoint*; *i.e.*, for any Node, its occupied addresses are occupied no other Node. We say that a record beginning at address a is 'at a'.

As there is a one-to-one correspondence between elements of **S** and Nodes, each Node contains as its value field one element of **S**, and for each element of **S**, there exists a Node containing it. The tail field of a record holds the address of another record in the data structure. Specifically, for a record r representing element s, if there are elements in **S** larger than s, the tail field of r is set to the address of the Node representing the next-largest element; otherwise, the tail field is set to null.

The final necessary piece of information is the address of the record representing the smallest element in **S**, which we call head. This address and the set of memory locations occupied by the Nodes comprise the LL data structure.

We can visualize the address space of memory as a line of cells, from address 0 on the left-hand-side to address_max on the right. Here is an example LL representing the set $S = \{2, 29, 30, 77\}$ from the domain of natural numbers < 256. There are 216 memory locations, spanning addresses 0 to 65,535, so a memory address is two bytes long. Memory locations are one byte long. The domain of the set is representable by one byte, so the value field is one byte long; and the tail field, being an address, is two bytes long; an single record is thus three bytes in total. In the following diagram, arrows are used to highlight fields that reference other memory locations.

```
image/svg+xml 2 2,034 36,945 36,946 36,947 29 34,562 2,034 2,035 2,036 30 54,396 34,562 34,563 34,564 77 NULL 54,396 54,397 54,398 [0...2,033] 0 [2,037...34,561] [34,565...36,944] [36,948...54,395] [54,399...65,535] 36,945
```

The Nodes are at arbitrary memory locations; the program does not have the ability to decide on the locations given to it. The addresses cannot really contribute to the data held by the data structure, and so the above diagram therefore contains a lot of visual 'noise'. We can abstract the diagram to remove these addresses and untangle the

arrows.

image/svg+xml 2 29 30 77 NULL head

It is now visually obvious why this is called a list: the structure can be seen as a connected, directed, acyclic graph, with a single path between the node distinguished as the first and that distinguished as the last, on which all nodes lie. The second thing this diagram makes visually obvious is that the linked list 'contains' smaller linked lists within it. For example, the tail field of the Node representing the element 2 is effectively the head address for a linked list containing the right-most three nodes. We can outline all the linked lists in the above diagram:

```
image/svg+xml 2 29 30 77 NULL head Ø {77 } {30,77 } {29,30,77 } {2,29,30,77 }
```

It is now obvious that the LL is a *recursive data structure*: it contains smaller versions of the same structure. Abstracting from our example, we can show this recursion visually:

```
image/svg+xml value head T {value} U T
```

Notice in our example that though |S| = 4, there are actually *five* linked lists: the final null pointer conceptually points to a linked list representing \emptyset , which uses no memory locations. This does not fit in the scheme of the above diagram, which really only applies to non-empty sets. The linked list, then, actually uses two distinct representations of sets: one for non-empty sets as above, and another for the empty set, signaled by the use of a null pointer.

Let us refer to these representations as NonEmptyList and EmptyList respectively, which are both subtypes of the abstract type List. These are all *predicates*; meaning they wrap up a description of (part of) the state of the program, including the structure of memory. Each of the above predicates takes two parameters corresponding to the two necessary 'parts' of a LL: the head pointer into the structure, and the set that the structure represents. That is, List(head, S) describes a set of memory locations forming a linked list that represents set S, with head being the address of the first Node in the list (or null if $S = \emptyset$).

```
image/svg+xml v head tail List(tail, T) NonEmptyList(head, S),where S = \{v\} \cup T, and \forall t \in T. v < t. head EmptyList(head, S),where S = \{v\} \cup T, and \forall t \in T. v < t. head EmptyList(head, S),where S = \{v\} \cup T, and \forall t \in T. v < t. head EmptyList(head, S)
```

We now have a strong visual intuition for how to describe the LL formally. The above diagram translates cleanly into the notation of separation logic. Let's approach this top-down, and begin with the List predicate. The LL defines two representations of sets, and the general List predicate simply means that one of the two representations is being used to represent the set. We can therefore define List just as a disjunction:

```
List(head, \S) \stackrel{\text{def}}{=} EmptyList(head, \S) V NonEmptyList(head, \S).
```

The empty set is represented by a null pointer and no allocated heap memory. Translating this to separation logic is also straightforward:

```
EmptyList(head, S) \stackrel{\text{def}}{=} head = null \Lambda
S is the empty set and emp. S is the empty set and
```

The NonEmptyList is only a little more complex. First, we must express the relationship between the set S represented by the list pointed to by head, the *value* in the Node, and the set T represented by the *tail* pointer. First, S is the element v plus the elements in T; we write $S = \{value\} \cup T$. But *value* is not just an arbitrarily chosen value from S, it is the smallest element. Another way to say this is that all the elements in T are all greater than v; we write V $t \in T$. Value < t. We can express this relationship with a helper predicate, Compose, to be used by NonEmptyList.

```
Compose(value, \mathbf{T}, \mathbf{S}) \stackrel{\text{def}}{=} {value} \cup \mathbf{T} = \mathbf{S} \land \land \forall t \in \mathbf{T}. value < t.
```

The predicate NonEmptyList(head, S) must first assert that S is non-empty. One way of saying this is to assert that the exists some element—let's call it *value*—in the set. We can then also assert that there exists some other set, T, which together with {*value*} forms S. While we're at it, we can choose *value* to be the minimal element, as we will shortly be concerned with this value when describing the first Node in the list. Now we can use our Compose predicate to describe *value* and T in relation to S; we write $\exists value$, T. Compose(*value*, T, S).

We must now describe the memory layout for a non-empty list. Looking at our visual definition, notice that the memory locations can be divided into two disjoint sets: one set for the first Node, consisting of contiguous memory locations beginning at head, and another set of locations for the rest of the Nodes, which can be described by the List predicate. We can decompose the memory description into a description of the first Node and a description of the rest of the list, specifying that these sets are disjoint. This is exactly what the separating conjunction, *, does.

The first Node record begins at address head and consists of two fields, the first containing *value*, and the second containing some (unknown) address *tail*. We write this as head \mapsto *value*, *tail*. Notice that the lengths of the fields is left unspecified and is in fact unimportant.

The rest of the list represents **T** and its head is at address *tail*. We already have a predicate to describe this: List(*tail*, **S**). Notice that List and NonEmptyList are mutually recursive. We are now in a position to define NonEmptyList:

```
NonEmptyList(head, S) \stackrel{\text{def}}{=} \exists value, tail, T.

Compose(value, T, S) \land

head \mapsto value, tail * List(tail, T).
```

Lemmata used in LL algorithms

EmptyList(head, S) \Rightarrow List(head, S)

Simple proof used to obtain the postcondition of functions that require List rather than NonEmptyList.

```
    EmptyList(head, S) given
    EmptyList(head, S) V NonEmptyList(head, S) given, VI
    List(head, S) orNonEmpty, close predicate
```

$\underline{NonEmptyList(head, S)} \Rightarrow \underline{List(head, S)}$

(Serves the same use as for EmptyList(head, \S) \Rightarrow List(head, \S)).

- 1. NonEmptyList(head, S) given
- 2. EmptyList(head, S) V NonEmptyList(head, S) given, VI
- 3. List(head, §) or Empty, close predicate

EmptyList $(, \S) \Rightarrow \text{value} \notin \S$

If we have an empty list, then for any given value, it is not in the set.

- 1. EmptyList(head, §) given
- 2. head = null Λ $S = \emptyset$ Λ emp given, open predicate
- 3. $S = \emptyset$ openEmptyList, ΛE
- 4. value $\notin \emptyset$ Nothing in empty set
- 5. value **₹** SIsEmpty, valueNotInEmptySet, equality

List(head, \S) Λ head = null ⇒ EmptyList(head, \S)

At the start of all LL algorithms, we test whether the head pointer is null. If it is, we can deduce that the list is empty.

1.	List(head, §) Λ head = null	given
2.	List(head, §)	given, AE
3.	head = null	given, AE
4.	${\sf EmptyList(head, \textcolor{red}{S}) \ V \ NonEmptyList(head, \textcolor{red}{S})}$	list, open predicate
5.	NonEmptyList(head, §)	assume
6.	$\exists v, t, \mathbf{T}$. Compose $(v, \mathbf{T}, \mathbf{S}) \land$ head $\mapsto v, t + \text{List}(t, \mathbf{T})$	assumeNonEmpty, open predicate
7.	$\exists v, t$. head $\mapsto v, t$	openNonEmpty, Λ E, frame off List(t , \mathbf{T})
8.	head ≠ null	headPointsTo, pointer non-null
9.	\neg NonEmptyList(head, \S)	assume Non Empty, head Null, head Not Null, RAA
10.	$EmptyList(head, {\color{red} \mathbf{S}})$	openList, notNotEmpty, VE

<u>List(head, S)</u> Λ head ≠ null \Rightarrow NonEmptyList(head, S)

(Symmetrical to the converse lemma.)

$\underline{\mathsf{Compose}(\nu,\mathbf{T},\mathbf{S})} \Rightarrow \nu \in \mathbf{S}$

Used to show that if we found the desired element at the head of the list then it is in the list.

1. Compose(v, T, S) given

```
    {v} ∪ T = S ∧ ∀ t ∈ T. value < t given, open predicate</li>
    {v} ∪ T = S openCompose, ∧E
    {v} ⊆ S ??
    v ∈ {v} Element in singleton set
    v ∈ S valueSubsetS, valueInValue, member of subset is member of set
```

$\underline{\mathsf{Compose}(\nu, \mathbf{T}, \mathbf{S})} \land \nu \neq \mathsf{value} \Rightarrow \mathsf{value} \in \mathbf{T} \leftrightarrow \mathsf{value} \in \mathbf{S}$

If the value we are searching for is not at the head of the list, then it is in the set if and only if it is in the tail.

1.	Compose(ν , T , S) $\Lambda \nu \neq \text{value}$	given
2.	Compose(ν , \mathbf{T} , \mathbf{S})	given, AE
3.	v≠value	given, AE
4.	{value} $\cup \mathbf{T} = \mathbf{S} \wedge \forall t \in \mathbf{T}$. value $< t$	Compose, open predicate
5.	$\{\text{value}\}\ U\ T\ = S$	openCompose, AE
6.	$\forall t \in \mathbf{T}$. value < t	openCompose, AE
7.	$T \subseteq S$	valueUTIsS
8.	value \in $\frac{\mathbf{T}}{}$	assume
9.	value ∈ §	TsubsetS, assumeValueInT, member of subset is member of set
10.	$value \in \mathbf{T} \rightarrow value \in \mathbf{S}$	$assume Value In T, them Value In S, \rightarrow I$
11.	value $ otin \{v\}$	noteq
12.	value $∈ §$	assume
13.	value $\in \{v\}$ V value \in T	assumeValueInS, valueUTIsS, ??
14.	value \in \mathbf{T}	inSetOfValueOrT, valueNotInv,
15.	$value \in S \rightarrow value \in T$	$assume Value In S, value In T, \rightarrow I$
16.	value $∈$ $\mathbf{T} \leftrightarrow$ value $∈$ \mathbf{S}	$implies Forwards, implies Backwards, \leftrightarrow I$

$Compose(v, T, S) \land value < v \Rightarrow value \notin S$

If the head of the list is greater than the value we're looking for, then the value is not in the tail either.

```
1.
      Compose(\nu, T, S) \Lambda value < \nu given
2.
      Compose(v, T, S) \land value \neq v given, a < b \Rightarrow a \neq b
     value \in \mathbf{T} ↔ value \in \mathbf{S}
                                              noteq, application of previous lemma
3.
    value \in T \rightarrow value \in S
                                              applyLemma, ↔E
4.
    Compose(\nu, T, S)
                                              given, \Lambda E
5.
6.
    value < v
                                              given, \Lambda E
    \{v\} \cup \mathbf{T} = \mathbf{S} \land \forall t \in \mathbf{T} . v < t Compose, open predicate
7.
      \forall t \in \mathbf{T} . v < t
                                              openCompose, AE
```

yalue ∈ S assume
 ν < value allInTGreaterThanV
 value ∉ S assumeValueInS, lt, thenVLessThanValue, RAA

$\underline{\mathsf{Compose}(\nu, \mathbf{T}, \mathbf{S})} \land \nu < \mathtt{value} \Rightarrow \underline{\mathsf{Compose}(\nu, \mathbf{T} \cup \{\mathtt{value}\}, \mathbf{S} \cup \{\mathtt{value}\})}$

Inserting a value greater than the head of the list into the tail gives us a new list with valid order.

1.	Compose(ν , \mathbf{T} , \mathbf{S}) $\Lambda \nu < \text{value}$	given
2.	Compose(ν , T , S)	given, AE
3.	$\nu < \text{value}$	given, AE
4.	$\{v\} \cup \mathbf{T} = \mathbf{S} \wedge \forall t \in \mathbf{T} . v < t$	givenCompose, open predicate
5.	$\{v\} \cup \mathbf{T} = \mathbf{S}$	openCompose, AE
6.	$\forall t \in \mathbf{T} . v < t$	openCompose, AE
7.	$\{v\} \cup T \cup \{value\} = S \cup \{value\}$	vUTIsS, $a = b \Rightarrow f(a) = f(b)$
8.	$\forall t \in \{\text{value}\}. \ v < t$	givenlt, ??
9.	$\forall t \in \mathbf{T} \cup \{\text{value}\}. v < t$	$all In TG reater Thanv, all Invalue Greater Thanv, \ref{thm:property}$
10.	Compose(ν , T U {value}, S U {value})	$v UTU value Is SU value, all In TU value Greater Than v, close \\predicate$

$\underline{\mathsf{Compose}(v, \mathbf{T}, \mathbf{S})} \land w < v \Rightarrow \underline{\mathsf{Compose}(w, \mathbf{S}, \mathbf{S} \cup \{w\})}$

Prepending a smaller value than that at the head of the list yields the desired new list in valid order.

1.	Compose(v , T , S) $\land w < v$	given
2.	$Compose(\nu, \mathbf{T}, \mathbf{S})$	given, AE
3.	w < v	given, AE
4.	$\{v\} \cup \mathbf{T} = \mathbf{S} \wedge \forall t \in \mathbf{T} . v < t$	givenCompose, open predicate
5.	$\{v\} \cup \mathbf{T} = \mathbf{S}$	openCompose, AE
6.	$\forall t \in \mathbf{T} . v < t$	openCompose, AE
7.	$\forall t \in \mathbf{T} . w < t$	$all In TG reater Than v, given lt, transitivity \ of < relation$
8.	$\{w\} \cup S = S \cup \{w\}$	Commutativity of set union
9.	$\forall t \in \{v\} . \ w < t$	givenlt, ??
10.	$\forall t \in (\{v\} \cup \mathbf{T}) . w < t$	$all In TG reater Than value, all Inv Greater Than value, \ref{thm:prop} \\$
11.	$\forall t \in \S$. $w < t$	all InvUTG reater Than value, vUTIsS, substitution
12.	Compose(w , S , S U { w })	$value USIs SUvalue, all In SG reater Than value, close \ predicate$

$\underline{\mathsf{Compose}(\nu,\mathbf{T},\mathbf{S})}\Rightarrow\mathbf{T}=\underline{\mathbf{S}}\setminus\{\nu\}$

This lemma is useful in the remove algorithm in order to demonstrate that we can return the tail of the list if we found the element to remove at the head.

```
1. Compose(\nu, T, S)
                                                 given
2. \{v\} \cup \mathbf{T} = \mathbf{S} \land \forall t \in \mathbf{T} . v < t given, open predicate
3. \{v\} \cup T = S
                                                 openCompose, AE
4. \forall t \in \mathbf{T}. v < t
                                                 openCompose, AE
            \nu \in \mathbf{T}
5.
                                                 assume
6.
            v < v
                                                 allInTGreaterThanv, assumevInT
7. v \notin \mathbf{T}
                                                 assumevInT, vltv, RAA
8. \{v\} \cap \mathbf{T} = \emptyset
                                                 vNotInT
9. \mathbf{T} = \mathbf{S} \setminus \{v\}
                                                 vUTIsS, vAndTDisjoint
```

$\underline{\mathsf{Compose}(v, \mathbf{T}, \mathbf{S})} \land v \neq w \Rightarrow \underline{\mathsf{Compose}(v, \mathbf{T} \setminus \{w\}, \mathbf{S} \setminus \{w\})}$

Used to show that recursive application of remove on the tail of the list yields a new list with the element removed.

1.	Compose(v , T , S) $\Lambda v \neq w$	given
2.	Compose(ν , T , S)	given, AE
3.	$v \neq w$	given, AE
4.	$\{v\} \cup \mathbf{T} = \mathbf{S} \wedge \forall t \in \mathbf{T} . v < t$	givenCompose, open predicate
5.	$\{v\} \cup \mathbf{T} = \mathbf{S}$	openCompose, AE
6.	$\forall t \in \mathbf{T} . \ v < t$	openCompose, AE
7.	$(\{v\} \cup \mathbf{T}) \setminus \{w\} = \mathbf{S} \setminus \{w\}$	vUTIsS, equal operations
8.	$(\{v\} \setminus \{w\}) \cup (\mathbf{T} \setminus \{w\}) = \mathbf{S} \setminus \{w\}$	$(vUT) \\ minus \\ wIs \\ Sminus \\ w, \\ distributivity \\ of set \\ minus \\ over \\ set \\ union$
9.	$\{\nu\}\setminus\{w\}=\{\nu\}$	vNotw, ??
10.	$\{v\} \cup (\mathbf{T} \setminus \{w\}) = (\mathbf{S} \setminus \{w\})$	vminuswUTminuswIsSminusw, vminuswIsv, substitution
11.	$\forall t \in (\mathbf{T} \setminus \{w\}) \ . \ v < t$	allInTGreaterThanv, for all in set then for all in subset
12.	$ \{v\} \cup (\mathbf{T} \setminus \{w\}) = (\mathbf{S} \setminus \{w\}) \land \forall $ $ t \in (\mathbf{T} \setminus \{w\}) . \ v < t $	$vUTminuswIsSminusw, AllInTminuswGreaterThanv, \\ {\bf \Lambda I}$
13.	$Compose(v, \mathbf{T} \setminus \{w\}, \mathbf{S} \setminus \{w\})$	composeParts, close predicate

Recursive LL algorithms

A recursive LL search algorithm

The purpose of a search algorithm is to determine whether a given element from the domain is a member of the set represented by a particular LL. Our first task is to formally encode this purpose in a *specification*. Our basic tool here is the concept of pre- and post-conditions: given that A (some description of the program state) is true before the search, B will be true afterwards. Our task then is to establish first what is necessary before execution of search in order for that execution to be meaningful, and secondly what (given that this pre-condition is satisfied) the search function guarantees will be true afterwards.

The search function takes two parameters: head, a pointer into a LL, and value, the element we are searching for. The value of the value parameter is arbitrary, and so we need not concern ourselves with it in the precondition: all values are valid. The head variable, on the other hand, is not arbitrary: it must point to a valid LL. We can express that: List(head, S).

The execution of search will return a boolean value, so the function will be called like so: o = search(head, value). The value o will express whether $\text{value} \in S$; i.e., $o \leftrightarrow \text{value} \in S$. There is an additional post-condition: search leaves the LL intact, and so List(head, S) continues to be true after execution. (Notice this specification in fact allows the algorithm to alter memory as long as it leaves it in a state that represents the abstract set S. For our purposes this makes the specification simpler.)

Our specification for search is:

```
\{List(tail, S)\}\ {o = search(tail, value)} { List(tail, S) \land o \leftrightarrow value \in S }
```

The recursive method to search a list closely follows the recursive data structure that we have defined. Given a List(head, S), either it is empty or it is not. If it is empty (as indicated by head = null), then it does not contain value, so we can return false. Otherwise, we look at the first value in the list, v, and compare it to value. Three relations are possible: value < v, or value = v, or v < value. Most trivially, if value = v then value is in S, so we can return true. Next, if value < v, then value is not in S, because the list is in ascending order. Finally, if v < value, then value could be in S if and only if it is in T, so we call search(tail, value), and return that value. Diagrammatically, our recursive search algorithm works as follows:

```
image/svg+xml NonEmptyList(head, S) The list is non-empty. The values v and tail areunknown. S = \{v\} \cup T and \forall t \in T. v < t. v head tail List(tail, T) Precondition: head points to a list representingset S. We are searching for value. head List(head, S) The list is empty, and represents the set \emptyset. value \notin \emptyset. Return false. head EmptyList(head, S) Is the pointer head null? head is not null head is null compare(value, v) value v value head tail List(tail, T) NonEmptyList(head, S) value v value v value v value v value v value head tail List(tail, T) NonEmptyList(head, S) value v value v value head tail List(tail, T) NonEmptyList(head, S) value v value v value head tail List(tail, T) NonEmptyList(head, S) value v value head tail List(tail, T) NonEmptyList(head, S) value v value
```

A full annotation of our recursive search algorithm follows.

```
module 11.search.recursive;
import 11.node;
bool search(Node* head, int value) {
    List(head, S)
    bool o;
    if (head == null) {
```

```
Assert if-condition.
     List(head, S) \Lambda head = null
     Lemma: List(head, S) \Lambda head = null \Rightarrow EmptyList(head, S).
     EmptyList(head, $)
     Lemma: EmptyList(head, S) \Rightarrow value \notin S.
     EmptyList(head, §) ∧ value ∉ §
     Weakening lemma: EmptyList(head, \S) \Rightarrow List(head, \S).
     List(head, S) ∧ value ∉ S
   o = false;
     Assignment.
     List(head, \S) \Lambda value \notin \S \Lambda o = false
     false ↔ false.
    List(head, S) \Lambda o \leftrightarrow value \subseteq S
}
else {
     Deny if-condition.
     List(head, \S) \Lambda head \neq null
     Lemma: List(head, \S) \land head \neq null \Rightarrow NonEmptyList(head, \S).
     NonEmptyList(head, §)
     Open NonEmptyList(head, S).
     \exists v, tail, T.
           Compose(\nu, T, S) \Lambda
           head \mapsto v, tail * List(tail, T)
   if (head.value == value) {
        Assert if-condition: substitute value for v.
        ∃tail, T.
              Compose(value, T, S) \Lambda
              head \mapsto value, tail * List(tail, T)
        Compose(value, T, S) \Rightarrow value \in S.
        \exists tail, T.
              Compose(value, T, S) \Lambda
              head \mapsto value, tail * List(tail, T) \land
              value \in S
        Close NonEmptyList(head, S).
        NonEmptyList(head, \S) \Lambda value \subseteq \S
        Weakening lemma: NonEmptyList(head, S) \Rightarrow List(head, S).
       List(head, \S) \Lambda value \subseteq \S
     o = true;
       Assignment.
```

```
List(head, \S) \Lambda value \subseteq \S \Lambda o = true
      true ↔ true.
      List(head, S) \Lambda o \leftrightarrow value \subseteq S
else {
      Deny if-condition.
      \exists v, tail, T.
             Compose(\nu, T, S) \Lambda
             head \mapsto v, tail * List(tail, T) \land
             v \neq \text{value}
   if (head.value < value) {</pre>
          Assert if-condition.
          \exists v, tail, T.
                 Compose(\nu, T, S) \Lambda
                 head \mapsto v, tail * List(tail, T) \land
                 v < value
          Lemma: Compose(\nu, \mathbf{T}, \mathbf{S}) \land \nu < \text{value} \Rightarrow \text{value} \in \mathbf{T} \leftrightarrow \text{value} \in \mathbf{S}.
          \exists v, tail, T.
                 Compose(\nu, T, S) \Lambda
                 head \mapsto v, tail * List(tail, T) \land
                 \nu < \text{value } \Lambda
                 value \in T \leftrightarrow \text{value} \in S
       o = search(head.tail, value);
          Inductive use of specification. Note |\mathbf{T}| < |\mathbf{S}|.
          \exists v, tail, \mathbf{T}.
                 Compose(\nu, T, S) \Lambda
                 head \mapsto v, tail * List(tail, T) \land
                 \nu < \text{value } \Lambda
                 value \in T \leftrightarrow \text{value} \in S \land
                 o \leftrightarrow value \in T
          Transitivity of double implication. Discard unrequired assertions.
          \exists v, tail, T.
                 Compose(\nu, T, \mathbb{S}) \Lambda
                 head \mapsto v, tail * List(tail, T) \land
                 o ↔ value ∈ §
          Close NonEmptyList(head, S).
          NonEmptyList(head, \S) \Lambda o \leftrightarrow value \in \S
          Weakening: NonEmptyList(head, \S) \Rightarrow List(head, \S).
          List(head, \S) \Lambda o \leftrightarrow value \in \S
   }
   else {
          Deny if-condition. Use \neg(v < \text{value}) \Rightarrow \text{value} \leq v.
          \exists v, tail, T.
```

```
Compose (v, T, S) \Lambda
                     head \mapsto v, tail \star List(tail, \mathbf{T}) \Lambda
                     value \neq v \land value \leq v
              (a \neq b \land a \leq b) \Rightarrow b < a.
              \exists v, tail, T.
                     Compose(\nu, T, S) \Lambda
                     head \mapsto v, tail * List(tail, T) \land
              Lemma: (Compose(\nu, T, S) \wedge value \langle \nu \rangle \Rightarrow value \notin S.
              \exists v, tail, T.
                     Compose(\nu, T, S) \Lambda
                     head \mapsto v, tail * List(tail, T) \land
                     value ∉ §
              Close List(head, §).
             List(head, S) ∧ value ∉ S
           o = false;
              Assignment.
              List(head, \S) \Lambda value \not\in \S \Lambda o = false
              false \leftrightarrow false.
             List(head, S) \Lambda o \leftrightarrow value \subseteq S
       }
          If-rule.
          List(head, \S) \Lambda o \leftrightarrow value \subseteq \S
   }
      If-rule.
      List(head, \S) \Lambda o \leftrightarrow value \subseteq \S
  If-rule.
  List(head, \S) \Lambda o \leftrightarrow value \in \S
return o;
```

A recursive LL insert algorithm

}

}

The purpose of an insert algorithm is to mutate the heap representing some set S such that it represents the set **S** U {value} for some given parameter value. A function implementing insert returns a pointer into the new heap. A specification for our LL data structure falls naturally out of this description:

```
{List(head, S)} {nhead = insert(head, value);} {NonEmptyList(nhead, S U {value})}
```

The recursive insert algorithm works as follows. We first determine whether the list is empty by testing for value = null. If the list is empty, it represents \emptyset , and we wish to mutate the state to represent \emptyset U {value}, which is simply {value}. The representation of this is a one-node list with its tail field set to null; we create this and return it. If the list is not empty, we compare v, the first value in the list, with value. If v = value, then value $\in S$,

so \emptyset U {value} = **S**. The head variable already points into a set representing **S**, so we just return head. If v > value, then value \notin **S**, and we can construct a valid LL representing **S** U {value} by appending a node containing value at the start. Finally, if v < value, we call insert(tail, value) to obtain a pointer to a list representing **T** U {value}; by replacing the *tail* field of the first node with this new pointer, we obtain a list representing {v} U **T** U {value}, which is equal to **S** U {value}. We then return the original head pointer.

image/svg+xml NonEmptyList(head, S) The list is non-empty. The values v and tail areunknown. $S = \{v\} \cup T$ and $\forall t \in T$. v < t. v head tail List(tail, T) Precondition: head points to a list representingset S. We are inserting value. head List(head, S) The list is empty, and represents the set \emptyset . {value} $\cup \emptyset = \{value\}$. Return one-node list. head EmptyList(head, S) Is the pointer head null? head is not null head is null NonEmptyList(head, S) $\forall s \in S$. value < s, so we can insert value at thehead of the list. v > value head tail List(tail, T) NonEmptyList(head, {value} ∪ S) value ∈ S, so {value} ∪ S = S. Return head, the same linked list. value head tail List(tail, T) NonEmptyList(head, S) The head of the list is consistent with $value \in S$. We must ensure value is in the tail. v < value head tail List(tail, T) $\{value\} \cup \emptyset = \{value\}.$ We are done. Return nhead. NonEmptyList(nhead, $\{value\}$) value nhead null EmptyList(null, \emptyset) Construct onenode list containing value, pointed at by new variable nhead. compare(value, v) value v val {value} U S) NonEmptyList(head, S) NonEmptyList(nhead, {value} U S), so nhead satisfies the postcondition. Return nhead. v> value tail List(tail, T) value nhead head Construct a new node containing value and head, pointed at by new variable nhead. NonEmptyList(head, {value} ∪ S) head now points to a list representing {v} ∪ {value} ∪ T, which by commutativity and substitution is {value} ∪ S. Return head. v< value head ntail List(tail, T) insert , value NonEmptyList(ntail, {value} ∪ T) Recursively apply insert to tail, yielding newpointer ntail. Set tail field of first node to ntail.

```
The annotated code for recursive insert follows.

module ll.insert.recursive;

import ll.node;

Node* insert(Node* head, int value) {
   Node* o;

   Precondition.
```

```
List(head, §)
if (head == null) {
     Assert if-condition.
     List(head, \S) \Lambda head = null
     Lemma: List(head, S) \Lambda head = null \Rightarrow EmptyList(head, S)
     EmptyList(head, §)
     Open EmptyList(head, S). Discard head = null.
     S = \emptyset \land emp
   o = new Node(value);
     Specification for new Node(value).
    S = \emptyset \land emp * NonEmptyList(o, {value})
     emp * X = X.
    S = \emptyset \land NonEmptyList(o, \{value\})
     \mathbf{X} = \emptyset \cup \mathbf{X}.
     S = \emptyset \land NonEmptyList(o, \emptyset \cup \{value\})
     Substitution. Discard S = \emptyset.
    NonEmptyList(o, S U {value})
}
else {
     Deny if-condition.
     List(head, \S) \Lambda head \neq null
     Lemma: List(head, S) \Lambda head \neq null \Rightarrow NonEmptyList(head, S).
     NonEmptyList(head, S)
     Open NonEmptyList(head, §).
     \exists v, tail, T.
          Compose(\nu, T, S) \Lambda
          head \mapsto v, tail * List(tail, T)
   if (head.value == value) {
        Assert if-condition: substitute value for v.
        ∃tail, T.
              Compose(value, T, S) \Lambda
              head \mapsto value, tail * List(tail, T)
        Compose(value, T, S) \Rightarrow value \in S.
        ∃tail, T.
              Compose(value, T, S) \Lambda
              head \mapsto value, tail * List(tail, T) \land
              value ∈ S
        Close NonEmptyList(head, §).
        NonEmptyList(head, \S) \Lambda value \subseteq \S
```

```
a \in \mathbf{B} \Rightarrow \{a\} \subseteq \mathbf{B}
      NonEmptyList(head, \S) \Lambda {value} \subseteq \S
      \mathbf{A} \subseteq \mathbf{B} \Rightarrow \mathbf{B} \cup \mathbf{A} = \mathbf{B}
      NonEmptyList(head, S) \Lambda {value} U S = S
      Substitution. Discard {value} \cup S = S.
     NonEmptyList(head, {value} U S)
   o = head;
      Assignment.
     NonEmptyList(o, {value} U S)
}
else {
      Deny if-condition.
      \exists v, tail, T.
            Compose(\nu, T, S) \Lambda
            head \mapsto v, tail * List(tail, T) \land
            \nu \neq \text{value}
   if (head.value < value) {</pre>
         Assert if-condition.
         \exists v, tail, T.
                Compose(v, T, S) \Lambda
                head \mapsto v, tail * List(tail, T) \land
                \nu < value
       Node* ntail = insert(head.tail, value);
         Use specification for insert.
         \exists v, tail, T.
                Compose(\nu, T, \mathbb{S}) \Lambda
                head \mapsto v, tail * List(ntail, T \cup \{value\}) \Lambda
                \nu < value
       head.tail = ntail;
         Assignment. Reintroduce existential quantification.
         \exists v, tail, \mathbf{T}.
                Compose(v, T, S) \Lambda
                head \mapsto v, tail * List(tail, T \cup \{value\}) \land
                v < value
         Lemma: Compose(\nu, \mathbf{T}, \mathbf{S}) \land \nu < \text{value} \Rightarrow \text{Compose}(\nu, \mathbf{T} \cup \{\text{value}\}, \mathbf{S} \cup \{\text{value}\})
         \exists v, tail, T.
                Compose(v, T U {value}, S U {value}) \Lambda
                head \mapsto v, tail * List(tail, T \cup \{value\})
         Introduce existential quantification on T U {value}.
         \exists v, tail, T.
                Compose(\nu, T, S U {value}) \Lambda
                head \mapsto v, tail * List(tail, T)
```

```
Close NonEmptyList( head, S U {value} ).
    NonEmptyList(head, SU {value})
   o = head;
     Assignment.
    NonEmptyList(o, SU {value})
}
else {
     Deny if-condition. Use \neg(v < value) \Rightarrow value \le v.
     \exists v, tail, T.
          Compose(\nu, T, S) \Lambda
          head \mapsto v, tail * List(tail, T) \land
          value \neq v \land value \leq v
     (a \neq b \land a \leq b) \Rightarrow b < a.
     \exists v, tail, T.
          Compose(\nu, T, S) \Lambda
          head \mapsto v, tail * List(tail, T) \land
          value < v
   nhead = new Node(value, head);
    Specification for new Node(value, head).
     \exists v, tail, T.
          Compose(\nu, T, S) \Lambda
          nhead \mapsto value, head * head \mapsto v, tail * List(tail, T) \land
          value < \nu
     Close NonEmptyList(head, §).
     Compose(\nu, T, S) \Lambda value < \nu \Lambda
     nhead → value, head * NonEmptyList(head, $)
     Lemma: Compose(\nu, T, S) \Lambda value \langle \nu \Rightarrow Compose(value, S, S U {value})
     Compose(value, S, S U {value}) A
    nhead → value, head * NonEmptyList(head, $)
    Weakening lemma: NonEmptyList(head, S) \Rightarrow List(head, S).
    Compose(value, S, S U {value}) A
    nhead → value, head * List(head, $)
     \existsI on S as T, head as tail, and value as \nu.
     \exists v, tail, T.
          Compose(\nu, T, S U {value}) \Lambda
          nhead \mapsto v, tail * List(tail, T)
     Close NonEmptyList(nhead, S U {value}).
    NonEmptyList(nhead, SU {value})
   o = nhead;
     Assignment.
    NonEmptyList(o, SU {value})
}
```

```
If-rule.
NonEmptyList( o, S U {value} )
}

If-rule.
NonEmptyList( o, S U {value} )
}

If-rule.
NonEmptyList( o, S U {value} )
return o;
}
```

LL remove algorithms

A recursive LL remove algorithm

The purpose of an insert algorithm is to mutate the heap representing some set S such that it represents the set S\{value} for some given parameter value. A function implementing remove returns a pointer into the new heap. A specification for our LL data structure falls naturally out of this description:

```
\{ List(head, S) \} \{ nhead = insert(head, value); \} \{ List(nhead, S \setminus \{value\}) \}
```

The recursive remove algorithm follows a similar pattern to the search and insert algorithms we have seen. We have two cases: the list is empty or it is not. If it is empty, it represents \emptyset ; we must then return a list representing $\emptyset \setminus \{value\}$, which is \emptyset ; we can therefore simply return head. If it is not empty, then as before, we compare value and the value v at the head of the list. If they are equal, then $\{value\} = T$ represented by the *tail* pointer; we delete the head node and return *tail*. If value $\{value\} = T$ cannot contain value, so $\{value\} = T$, and again we can return the head pointer. Otherwise, value $\{value\} = T$ represented by the *tail* pointer.

```
image/svg+xml NonEmptyList(head, S) The list is non-empty. The values v and tail areunknown. S = \{v\} \cup T and \forall t \in T. v < t. v head tail List(tail, T) Precondition: head points to a list representingset S. We are removing value. head List(head, S) The list is empty, and represents the set \emptyset . \emptyset \setminus \{value\} = \emptyset. Return head. head EmptyList(head, S) Is the pointer head null? head is not null head is null NonEmptyList(head, S) value \notin V. All in T are v, so value v T. Sovalue v S, so v S \ value = S. Return head. v value head tail List(tail, T) NonEmptyList(head, v value v Value) = T. But we already have a listrepresenting T ... value head tail List(tail, T) NonEmptyList(head, S) The head of the list is consistent with value v S. We must remove value from the tail. v value head tail List(tail, T) compare(value, v) value v value
```

 $\{value\}$) Recursively apply remove to tail, yielding newpointer ntail. Set tail field of first node to ntail. We have List(tail, T), but $S \setminus S$

{value} = T, so List(tail, S \ {value}). Return tail. head tail List(tail, T) Read the value tail into local variable. Delete head node.

```
The annotated code for recursive remove follows.
module 11.remove.recursive;
import ll.node;
Node* remove(Node* head, int value) {
   Node* o;
     Precondition.
     List(head, S)
   if (head == null) {
        Assert if-condition.
        List(head, §) \Lambda head = null
        Lemma: List(head, S) \Lambda head = null \Rightarrow EmptyList(head, S)
        EmptyList(head, $)
        \mathsf{EmptyList}(\mathsf{head}, \mathbf{S}) \Rightarrow \mathbf{S} = \emptyset
        EmptyList(head, S) \Lambda S = \emptyset
        \emptyset \setminus \mathbf{X} = \emptyset, \mathbf{S} = \emptyset, substitution.
        EmptyList( head, $\{value} )
        Weakening lemma: EmptyList(head, S) \Rightarrow List(head, S).
        List(head, S\{value})
      o = head;
        Assignment.
        List(o, S\{value})
   }
   else {
        Deny if-condition.
        List(head, \S) \Lambda head \neq null
        Lemma: List(head, S) \Lambda head \neq null \Rightarrow NonEmptyList(head, S).
        NonEmptyList(head, §)
        Open NonEmptyList(head, §).
        \exists v, tail, T.
              Compose(\nu, T, S) \Lambda
```

```
head \mapsto v, tail * List(tail, T)
if (head.value == value) {
     Assert if-condition: substitute value for v.
     ∃tail, T.
           Compose(value, T, S) \Lambda
           head \mapsto value, tail * List(tail, T)
     Lemma: Compose(value, T, S) \Rightarrow T = S \setminus \{\text{value}\}. Discard Compose(value, T, S).
     ∃tail, T.
           head \mapsto value, tail * List(tail, T) \land
           T = S \setminus \{value\}
     Substitution. Discard T = S \setminus \{value\}.
     \exists tail.
           head \mapsto value, tail * List(tail, \$ \setminus \{value\})
   o = head.tail;
     Assignment.
     head \mapsto value, o \star List(o, \S \setminus \{value\})
   delete head;
     Release heap chunk.
     List(o, $\{value})
}
else {
     Deny if-condition.
     \exists v, tail, T.
           Compose(\nu, T, S) \Lambda
           head \mapsto v, tail * List(tail, T) \land
           ν≠value
   if (head.value > value) {
        Assert if-condition.
        \exists v, tail, T.
              Compose(\nu, T, S) \Lambda
              head \mapsto v, tail * List(tail, T) \land
              \nu < value
      Node* ntail = remove(head.tail, value);
        Use specification for remove.
        \exists v, tail, T.
              Compose(\nu, T, S) \Lambda
              head \mapsto v, tail * List(ntail, T \setminus \{value\}) \Lambda
              \nu < \text{value}
      head.tail = ntail;
        Assignment.
        \exists \nu, \mathbf{T}.
              Compose(v, T, S) \Lambda
              head \mapsto \nu, ntail ★ List(ntail, T \setminus \{value\}) \Lambda
              \nu < \text{value}
```

```
∃I on ntail as tail.
      \exists v, tail, \mathbf{T}.
              Compose(\nu, T, S) \Lambda
              head \mapsto v, tail * List(tail, T \setminus \{value\}) \land
              v < value
      Lemma: Compose(v, T, S) \land v \neq value \Rightarrow Compose(<math>v, T \setminus \{value\}, S \setminus \{value\}). Discard v < value.
              Compose(\nu, T \setminus \{value\}, S \setminus \{value\}) \Lambda
              head \mapsto v, tail * List(tail, T \setminus \{value\})
      \existsI on \mathbf{T} \setminus \{\text{value}\}\ as \mathbf{T}.
      \exists v, tail, \mathbf{T}.
              Compose(\nu, T, S \setminus \{value\}) \Lambda
              head \mapsto v, tail * List(tail, T)
      Close NonEmptyList(head, \S \setminus \{value\}).
      NonEmptyList(head, $\{value})
      Weakening lemma: NonEmptyList(head, \S) \Rightarrow List(head, \S).
      List(head, S\{value})
    o = head;
      Assignment.
      List(o, \(\struct\)\(\tag{value}\)
else {
      Deny if-condition. Use \neg(v < \text{value}) \Rightarrow \text{value} \leq v.
      \exists v, tail, \mathbf{T}.
              Compose(\nu, T, S) \Lambda
              head \mapsto v, tail * List(tail, T) \land
              value \neq v \land value \leq v
      (a \neq b \land a \leq b) \Rightarrow b < a.
      \exists v, tail, \mathbf{T}.
              Compose(v, T, S) \Lambda
              head \mapsto v, tail * List(tail, T) \land
              value < \nu
      Lemma: Compose(\nu, \mathbf{T}, \mathbf{S}) \wedge value < \nu \Rightarrow value \notin \mathbf{S}. Discard value < \nu.
      \exists v, tail, T.
              Compose(\nu, T, S) \Lambda
              head \mapsto v, tail * List(tail, T) \land
              value ∉ S
      Close NonEmptyList(head, §).
      NonEmptyList(head, §) ∧ value ∉ §
      a \notin \mathbf{X} \Rightarrow \mathbf{X} \setminus \{a\} = \mathbf{X}. Discard value \notin \mathbf{S}.
      NonEmptyList(head, S) \Lambda S \setminus \{value\} = S
```

```
Substitution. Discard S \setminus \{value\} = S.
         NonEmptyList(head, \{value\})
         Weakening lemma: NonEmptyList(head, \S) \Rightarrow List(head, \S).
         List(head, \{s\})
        o = head;
         Assignment.
         List(o, S\{value})
     }
       If-rule.
       List(o, S\{value})
  }
    If-rule.
    List( o, \S \setminus \{value\} )
}
 If-rule.
 List(o, S\{value})
return o;
```

Verifying the BST

}

The second data structure I address in this project is the BST. As before, I begin with a description of the data structure, then verify recursive algorithms for search, insert, and remove.

The BST data structure

At each Node of an LL, we had a link to a smaller LL: the data structure contained a single smaller version of itself. The sole difference that the binary tree introduces is that it contains *two* smaller binary trees. In the LL, the set was split into the element v at the head node and the set T held in the tail list. In the binary tree, the same set is split into an element v at the root node (analogous to the head node in the LL), and *two* sets L and R, held respectively in what are called the left and right subtrees.

In our LL, we chose to order the elements of the set **S** in strictly ascending order; that is, the element *v* was chosen as the minimal element of **S**. Such an ordered linked list might be termed a *Linked Search List*. Similarly, we can order the elements of the binary tree. Here, the choice of *v* from **S** is arbitrary. The set **L** is then chosen to hold all elements of **S** that are strictly less than *v*, and the set **R** holds all elements strictly greater than *v*. This particular type of binary tree with its elements ordered is called a *Binary Search Tree*, which I will hereon abbreviate as BST.

As with the LL, a pointer into the root node is required to complete the representation of the set. Also as with the LL, \emptyset has a distinct representation: a pointer to null and no allocated heap chunks.

We described a general pointer head into a list representing the set S with the predicate List(head, S). Similarly, we will now describe a general pointer root into a BST representing S with a predicate Tree(root, S).

Where the two representations defined by the LL were termed EmptyList and NonEmptyList, we will analogously define EmptyTree and NonEmptyTree. As with our visualization of the LL, we can view the BST predicates graphically:

image/svg+xml root image/s

root L Tree(left, L) R

 $\mathsf{Tree}(\mathit{right}, \mathbf{R})$

The Tree predicate is defined in terms of the two concrete representations:

```
\mathsf{Tree}(\mathsf{root}, \textcolor{red}{\mathbf{S}}) \stackrel{\text{def}}{=} \mathsf{EmptyTree}(\mathsf{root}, \textcolor{red}{\mathbf{S}}) \ \mathsf{V} \ \mathsf{NonEmptyTree}(\mathsf{root}, \textcolor{red}{\mathbf{S}}).
```

The EmptyTree predicate is in fact just EmptyList under a new name:

```
EmptyTree(n, S) \stackrel{\text{def}}{=} n = \text{null } \Lambda An empty tree is indicated by a null pointer, emp \Lambda uses no space on the heap, and S = \emptyset. represents the empty set.
```

To describe the NonEmptyTree, we first define the ordering of its elements. We need a new BST Compose predicate on the total set S, the root element v, and the subsets L and R:

```
\mathsf{Compose}(L, \nu, R, S) \stackrel{\text{\tiny def}}{=} \quad L \ \cup \ \{\nu\} \ \cup \ R \ = S \ \Lambda \quad L, \nu, \text{ and } R \text{ make up the set } S,
```

```
\forall l \in \mathbf{L} . l < v \land all values in \mathbf{L} are less than v, and \forall r \in \mathbf{R} . v < r. all values in \mathbf{R} are greater than v.
```

Using our Compose predicate, we can describe a tree at address root that stores the value v at the root and represents the set S. We define an intermediary predicate, TopOfTree:

```
TopOfTree(root, v, S) \stackrel{\text{def}}{=} \exists l,r,L,R.

root \mapsto v,l,r root points to a Node with value v and pointers l and r

* Tree(l, L) where l points into a BST representing L,

* Tree(r, R) r points into a BST representing R, and

$\Lambda$ Compose(L, v, R, S). the values in the tree are totally ordered.
```

The TopOfTree predicate allows us to gradually expand our knowledge of the tree as an algorithm proceeds. Before we know the value at the root, though, we just know it's non-empty:

```
NonEmptyTree(root, S) \stackrel{\text{def}}{=} \exists v. \text{TopOfTree}(\text{root}, v, S)
```

Here is the module defining the structure of a node in the tree:

```
module bst.node;

struct Node {
  int value;  // One value in the set held in this subtree
  Node*[2] c;  // Pointers to the two subtrees (0 is left, 1 is right)

  this(int value) {
    this.value = value;  // The subtree pointers are initialized to null.
  }
}
```

Notice the use of a 'link array': rather than separate named left and right pointers, these two pointers are held in a two-element array, addressed respectively as c[0] and c[1]. This enables us to parameterize procedures by the index where the symmetry of the tree would otherwise demand two symmetrical blocks of code.

Lemmata used in BST algorithms

```
Tree(root, S) \land root = null \Rightarrow EmptyTree(root, S)

EmptyTree(root, S) \Rightarrow S = \emptyset

EmptyTree(root, S) \Rightarrow Tree(root, S)

Tree(root, S) \land root \neq null \Rightarrow NonEmptyTree(root, S)

TopOfTree(root, value, S) \Rightarrow value \in S

Compose(L, \nu, R, S) \land value < \nu \Rightarrow value \in L \leftrightarrow value \in S

Compose(L, \nu, R, S) \land value < \nu \Rightarrow Compose(L \lor Value), \lor Value)

Symmetrical: Compose(L, \lor, R, S) \land \lor V < value \Rightarrow Compose(L, \lor, R, U {value}, S \lor Value})

Compose(L, \lor, \circlearrowleft, S) \Rightarrow Max(\lor, S)
```

```
\begin{split} & \mathsf{Compose}(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \; \land \; \mathsf{Max}(r, \mathbf{R}) \Rightarrow \mathsf{Compose}(\mathbf{L}, \nu, \mathbf{R} \setminus \{r\}, \mathbf{S} \setminus \{r\}) \; \land \; \mathsf{Max}(r, \mathbf{S}) \\ & \mathsf{Compose}(\emptyset, \nu, \mathbf{R}, \mathbf{S}) \Rightarrow \mathbf{R} = \mathbf{S} \setminus \{\nu\} \\ & \mathsf{Compose}(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \; \land \; \mathsf{Max}(\mathsf{nv}, \mathbf{L}) \Rightarrow \mathsf{Compose}(\; \mathbf{L} \setminus \{\mathsf{nv}\}, \mathsf{nv}, \mathbf{R}, \mathbf{S} \setminus \{\nu\}\;) \\ & \mathsf{Compose}(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \; \land \; \mathsf{value} < \nu \Rightarrow \mathsf{Compose}(\; \mathbf{L} \setminus \{\mathsf{value}\}, \nu, \mathbf{R}, \mathbf{S} \setminus \{\mathsf{value}\}\;) \end{split}
```

Recursive BST algorithms

Recursive search

```
image/svg+xml head points to a non-empty tree with v at the root and two, possibly empty, subtrees. NonEmptyTree(head, S = L \cup \{v\}
       UR) left v right head L Tree(left, L) R Tree(right, R) Precondition: head is a tree representing someset S. We are searching for value. S
      head Tree(head, S) The tree at head is empty, and represents theset \emptyset. Return false. S = \emptyset head = null EmptyTree(head, S) Is
       head null? head = null head ≠ null value ∈ \{v\}, so value ∈ L \cup \{v\} \cup R, so value ∈ S. Return true. NonEmptyTree(head, S = L \cup \{value\} \cup R) to S = L \cup \{value\} \cup R.
       R) left value right head L Tree(left, L) R Tree(right, R) value \notin \{v\}, and value \notin R : \forall r \in R. v < r, so(value \in S) \leftrightarrow (value \in L). Search left.
      NonEmptyTree(head, S = L \cup \{v\} \cup R) left v > value right head L Tree(left, L) R Tree(right, R) compare(value, v) value < v value = v value
       > v value \notin \{v\}, and value \notin L : \forall l \in L. v > l, so (value \in S) \leftrightarrow (value \in R). Search right. NonEmptyTree(head, S = L \cup \{v\} \cup R) left v < v alue
       right head L Tree(left, L) R Tree(right, R)
module bst.search.recursive;
import bst.node;
import bst.descend;
bool search(Node* root, in int value) {
   bool o;
     Function precondition.
     Tree(root, S)
   if (root == null) {
         Assert if-condition.
         Tree(root, \S) \Lambda root = null
         Lemma: Tree(root, S) \Lambda root = null \Rightarrow EmptyTree(root, S)
         EmptyTree(root, $)
         \mathsf{EmptyTree}(\mathtt{root}, \textcolor{red}{\mathbf{S}}) \Rightarrow \textcolor{red}{\mathbf{S}} = \varnothing
```

```
EmptyTree(root, S) \Lambda S = \emptyset
      \mathbf{S} = \emptyset \Rightarrow \text{value } \notin \mathbf{S}
     EmptyTree(root, S) ∧ value ∉ S
   o = false;
      Assignment.
     EmptyTree(root, \S) \Lambda value \notin \S \Lambda o = false
      EmptyTree(root, \S) \Lambda o \leftrightarrow (value \in \S)
      Weakening lemma: EmptyTree(root, S) \Rightarrow Tree(root, S)
     Tree(root, \S) \Lambda o \leftrightarrow (value \subseteq \S)
}
else {
      Deny if-condition.
     Tree(root, \S) \Lambda root \neq null
      Lemma: Tree(root, S) \Lambda root \neq null \Rightarrow NonEmptyTree(root, S)
     NonEmptyTree(root, §)
   bool eq = rootEq(root, value);
      Specification for rootEq.
      \exists v. \text{TopOfTree}(\text{root}, v, \S) \land \text{eq} \leftrightarrow v = \text{value}
   if (eq) {
         Assert if-test.
         \exists v. \text{TopOfTree}(\text{root}, v, \S) \land \text{eq} \leftrightarrow v = \text{value} \land \text{eq}
         Use eq \leftrightarrow \nu = value. Discard eq.
         \exists v. \text{TopOfTree}(\text{root}, v, \S) \land v = \text{value}
         Substitution.
         TopOfTree(root, value, $)
         Lemma: TopOfTree(root, value, S) \Rightarrow value \subseteq S
         TopOfTree(root, value, \S) \Lambda value \subseteq \S
         Reintroduce \existsI on value as \nu.
         \exists v. \text{TopOfTree}(\text{root}, v, \S) \land \text{value} \subseteq \S
         Close Tree(root, S).
         Tree(root, \S) \Lambda value \subseteq \S
      o = true;
         Assignment.
         Tree(root, \S) \Lambda value \subseteq \S \Lambda o = true
         Tree(root, \S) \Lambda o \leftrightarrow value \subseteq \S
```

```
}
else {
       Deny if-condition.
       \exists v. \text{TopOfTree}(\text{root}, v, \S) \land \text{eq} \leftrightarrow v = \text{value} \land \neg \text{eq}
       Use eq \Rightarrow (\nu = value). Discard \negeq.
       \exists v. \text{TopOfTree}(\text{root}, v, \S) \land v \neq \text{value}
       Open TopOfTree(root, v, \S).
       \exists v, l, r, \mathbf{L}, \mathbf{R}.
               root \mapsto v, l, r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \Lambda
               Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \Lambda
               \nu \neq \text{value}
    if (value < root.value) {</pre>
           Assert if-condition.
           \exists v, l, r, \mathbf{L}, \mathbf{R}.
                   root \mapsto v, l, r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \Lambda
                   Compose(L, v, R, S) \Lambda
                   value < \nu
           Lemma: Compose(L, \nu, R, S) \wedge value < \nu \Rightarrow value \in L \leftrightarrow value \in S. Discard value < \nu.
           \exists v, l, r, \mathbf{L}, \mathbf{R}.
                   root \mapsto v,l,r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \Lambda
                   Compose(L, v, R, S) \Lambda
                   value \in L \leftrightarrow  value \in S
        o = search(root.left, value);
           Use specification for search.
           \exists v, l, r, \mathbf{L}, \mathbf{R}.
                   root \mapsto v,l,r * Tree(l, \mathbf{L}) * Tree(r, \mathbf{R}) \Lambda
                   Compose(L, v, R, S) \Lambda
                   value \in L \leftrightarrow \text{value} \in S \land
                   o \leftrightarrow (value \in L)
           Transitivity of double implication.
           \exists v, l, r, \mathbf{L}, \mathbf{R}.
                   root \mapsto v,l,r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \Lambda
                   Compose(L, v, R, S) \Lambda
                   o \leftrightarrow (value \in S)
           Close TopOfTree(root, v, S).
           ∃v.
                   TopOfTree(root, v, S) \Lambda o \leftrightarrow (value \subseteq S)
           Close Tree(root, S).
          Tree(root, \S) \Lambda o \leftrightarrow (value \subseteq \S)
    }
    else {
          (Symmetrical case...)
        o = search(root.right, value);
```

```
Tree(root, S) \Lambda o \leftrightarrow (value \in S)

}

If-rule.

Tree(root, S) \Lambda o \leftrightarrow (value \in S)

}

If-rule.

Tree(root, S) \Lambda o \leftrightarrow (value \in S)

}

If-rule. Function postcondition.

Tree(root, S) \Lambda o \leftrightarrow (value \in S)

return o;

}
```

Recursive insert

image/svg+xml head points to a non-empty tree with some vat the root and two, possibly empty, subtrees. NonEmptyTree(head, S = L $\cup \{v\} \cup R$) left v right head L Tree(left, L) R Tree(right, R) Precondition: head is a tree representing someset S. We are inserting value. S head Tree(head, S) The tree at head is empty, and represents theset \emptyset . We can return a one-node tree. $S = \emptyset$ head = null $Empty Tree(head, S) \text{ Is head null? } head \neq \text{null } head = \text{null } value \in \{v\}, \text{ so } value \in L \cup \{v\} \cup R, \text{ so } value \in S, \text{ so } S \cup \{value\} = S. \text{ Return } head.$ NonEmptyTree(head, $S = L \cup \{value\} \cup R\}$) left value right head L Tree(left, L) R Tree(right, R) We cannot insert into the right tree, because that would break $\forall r \in \mathbb{R}$. v < r. NonEmptyTree(head, $S = L \cup \{v\} \cup \mathbb{R}$) left v > v alue right head L Tree(left, L) \mathbb{R} Tree(right, \mathbb{R}) **compare**(*value*, *v*) *value* < *v value* = *v value* > *v* We cannot insert into the *left* tree, because that would break $\forall l \in L$. v > l. NonEmptyTree(head, $S = L \cup \{v\} \cup R$) left $v < value \ right \ head \ L \ Tree(left, L) \ R \ Tree(right, R)$ We require $\emptyset \cup \{value\} = \{value\}$; new tree $is \emptyset \cup \{value\} \cup \emptyset = \{value\}. \ Return \ nhead. \ NonEmptyTree(head, \emptyset \cup \{value\} \cup \emptyset) \ null \ value \ null \ EmptyTree(null, \emptyset) \ nhead \ \emptyset \ S = \emptyset \ head \ null \ nul$ = null Construct one-node tree containing value, pointed at by new variable nhead. Tree represents L \cup {value} \cup {v} \cup R, which=S \cup $\{value\}$. Return head. NonEmptyTree(head, L \cup $\{value\}$ \cup $\{v\}$ \cup R) nleft v>value right head R Tree(right, R) L insert , NonEmptyTree(nleft,L U {value}) value Recursively call insert(left, value), yieldingnleft; set left field to nleft. Tree represents L U {v} U

```
NonEmptyTree(\textit{nright}, R \cup \{\textit{value}\}) \quad \textit{value} \quad R \quad L \; Tree(\textit{left}, L) \; \textit{Recursively call insert}(\textit{right}, \textit{value}), \\ \textit{yielding} \textit{nright}; \textit{set } \textit{right} \; \textit{field to} \; \textit{to} \; \textit{
```

nright.

```
module bst.insert.recursive;
import bst.node;
Node* insert(Node* root, int value) {
   Node* o;
     Function precondition.
     Tree(root, §)
   if (root == null) {
        Assert if-condition.
        Tree(root, S) \Lambda root = null
        Lemma: Tree(root, S) \Lambda root = null \Rightarrow EmptyTree(root, S)
        EmptyTree(root, $)
        Lemma: EmptyTree(root, S) \Rightarrow S = \emptyset
        EmptyTree(root, S) \Lambda S = \emptyset
      o = new Node(value);
        NonEmptyTree(root, S U {value})
   }
   else {
        Deny if-condition.
        Tree(root, \S) \Lambda root \neq null
        Lemma: Tree(root, S) \Lambda root \neq null \Rightarrow NonEmptyTree(root, S)
        NonEmptyTree(root, §)
      bool eq = rootEq(root, value);
        Specification for rootEq.
        \exists v. \text{TopOfTree}(\text{root}, v, \S) \land \text{eq} \leftrightarrow v = \text{value}
      if (eq) {
           Assert if-test.
           \exists v. \text{TopOfTree}(\text{root}, v, \S) \land \text{eq} \leftrightarrow v = \text{value} \land \text{eq}
           Use eq \leftrightarrow v = \text{value}. Discard eq.
           \exists v. \text{TopOfTree}(\text{root}, v, \S) \land v = \text{value}
           Substitution.
```

```
TopOfTree(root, value, $)
   o = root;
      NonEmptyTree(root, S U {value})
}
else {
      Deny if-condition.
       \exists v. \text{TopOfTree}(\text{root}, v, \S) \land \text{eq} \leftrightarrow v = \text{value} \land \neg \text{eq}
       Use eq \Rightarrow (\nu = value). Discard \negeq.
       \exists v. \text{TopOfTree}(\text{root}, v, \S) \land v \neq \text{value}
       Open TopOfTree(root, v, \S).
       \exists v, l, r, \mathbf{L}, \mathbf{R}.
              root \mapsto v, l, r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \Lambda
              Compose(L, \nu, R, S) \Lambda
              v \neq value
   if (value < root.value) {</pre>
          Assert if-condition.
          \exists v, l, r, \mathbf{L}, \mathbf{R}.
                  root \mapsto v, l, r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \Lambda
                  Compose(L, v, R, S) \Lambda
                  value < v
       Node* left = root.c[0];
          \exists E \text{ of } l \text{ as left.}
          \exists v, r, \mathbf{L}, \mathbf{R}.
                  root \mapsto v, left, r * Tree(left, L) * Tree(r, R) \Lambda
                  Compose(L, v, R, S) \Lambda
                  value < v
       Node* nleft = insert(left, value);
          Specification for insert.
          \exists v, r, \mathbf{L}, \mathbf{R}.
                  root \mapsto v, left, r * \text{Tree}(\text{nleft}, L \cup \{\text{value}\}) * \text{Tree}(r, R) \land
                  Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \Lambda
                  value < v
        root.c[0] = nleft;
          Assignment.
          \exists v, r, \mathbf{L}, \mathbf{R}.
                  root \mapsto v, nleft, r * Tree(nleft, L U {value}) * Tree(<math>r, R) \Lambda
                  Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \Lambda
                  value < v
          \existsI on nleft as l.
          \exists v, l, r, \mathbf{L}, \mathbf{R}.
                  root \mapsto v, l, r * Tree(l, L \cup \{value\}) * Tree(r, R) \land
                  Compose(L, v, R, S) \Lambda
                  value < \nu
```

```
Lemma: Compose(\mathbf{L}, v, \mathbf{R}, \mathbf{S}) \land value < v \Rightarrow Compose(\mathbf{L} \cup \{value\}, v, \mathbf{R}, \mathbf{S} \cup \{value\}\}).
            Discard Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) and value < \nu.
            \exists v, l, r, \mathbf{L}, \mathbf{R}.
                   root \mapsto v, l, r * Tree(l, L \cup \{value\}) * Tree(r, R) \land
                   Compose( L U {value}, v, R, S U {value} )
            \exists I \text{ on } \mathbf{L} \cup \{\text{value}\} \text{ as } \mathbf{L}.
            \exists v, l, r, L, R.
                   root \mapsto v, l, r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \wedge
                   Compose(L, v, R, S U {value})
            Close NonEmptyTree(root, S U {value}).
            NonEmptyTree(root, § U {value})
      }
      else {
            (Symmetrical case...)
          root.c[1] = insert(root.c[1], value);
            NonEmptyTree(root, S U {value})
      }
         If-rule.
        NonEmptyTree(root, S U {value})
      o = root;
         Assignment.
         NonEmptyTree(o, S U {value})
   }
     If-rule.
     NonEmptyTree(o, S U {value})
  If-rule.
  NonEmptyTree(o, S U {value})
return o;
```

Recursive remove

}

}

 $\cup \{v\} \cup R\}$ left v right head L Tree(left, L) R Tree(right, R) Precondition: head is a tree representing someset S. We are removing value. S $head \operatorname{Tree}(head, S)$ The tree at head is empty, and represents the set \emptyset . $\emptyset \setminus \{value\} = \emptyset$. Return head. $S = \emptyset$ $head = \operatorname{null} \operatorname{EmptyTree}(head, S)$

S) Is *head* null? *head* = null *head* = null We need to remove the value at the root. We have a helper function for that: removeRoot.

```
NonEmptyTree(head, S = L U {value} U R) left value right head L Tree(left, L) R Tree(right, R) We cannot insert into the right tree,
                    because that would break \forall r \in \mathbb{R}. v < r. NonEmptyTree(head, S = L \cup \{v\} \cup \mathbb{R}) left v > v alue right head L Tree(left, L) \mathbb{R} Tree(right, \mathbb{R})
                     \textbf{compare}(\textit{value}, \textit{v}) \ \textit{value} = \textit{v} \ \textit{value} < \textit{v} \ \textit{value} < \textit{v} \ \textit{(symmetrical)} \ \text{Tree represents} \ (\textbf{L} \setminus \{\textit{value}\}) \ \cup \ \{\textit{v}\} \ \cup \ \textbf{R}, \ \text{which} = \textbf{S} \ \setminus \{\textit{value}\}, \ \because \textit{value} \notin \{\textit{v}\} \ \cup \ \textbf{R}, \ \textit{value}\} 
                    \textbf{R}. \ \text{Return} \ \textit{head}. \ \textbf{NonEmptyTree}(\textit{head}, (\textbf{L} \setminus \textit{value})) \cup \{\textit{v}\} \cup \textbf{R}) \ \textit{nleft} \ \textit{v>value} \ \textit{right} \ \textit{head} \ \textbf{R} \ \texttt{Tree}(\textit{right}, \textbf{R}) \ \textbf{L} \ \textit{remove} \quad , \quad \texttt{Tree}(\textit{nleft}, \textbf{L} \setminus \textit{value})) \quad \textit{value} \ \textit{value
                    Recursively call remove(left, value), yieldingnleft; set left field to nleft. removeRoot returns tree representing L ∪ R, which is S \ {value}.
                    Pass up return value. NonEmptyTree(head, S = L ∪ {value} ∪ R) left value right head L Tree(left, L) R Tree(right, R) removeRoot
                    Tree(nhead, L \cup R) nhead = removeRoot(head). Return nhead.
Max(m, S) \stackrel{\text{def}}{=} m \in S \land \forall s \in S. s \leq m
Here's removeMax:
module bst.remove.removeMax.recursive;
import bst.node;
import bst.remove.removeMax.RemoveMaxRet;
import std.stdio;
RemoveMaxRet removeMax(Node* root) {
          assert(root != null);
          int max;
          Node* newRoot;
                 Function precondition.
                 NonEmptyTree(root, §)
                 Open NonEmptyTree(root, S).
                 \exists v. \text{TopOfTree}(\text{root}, v, \S)
                 Open TopOfTree(root, v, S).
                 \exists v, l, r, L, R.
                                     root \mapsto v, l, r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \Lambda
                                     Compose(L, v, R, S)
           auto r = root.c[1];
                 \exists E \text{ on } r \text{ as } r.
```

 $\exists v, l, \mathbf{L}, \mathbf{R}$.

```
root \mapsto v_i l_i r * Tree(l, \mathbf{L}) * Tree(r, \mathbf{R}) \Lambda
           Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
if (r == null) {
       Assert if-condition. Substitution.
       \exists v, l, L, R.
               root \mapsto v,l,\text{null} * \text{Tree}(l, \mathbf{L}) * \text{Tree}(\text{null}, \mathbf{R}) \land
               Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
       Lemma: Tree(null, \mathbf{R}) \Rightarrow EmptyTree(null, \mathbf{R})
       \exists v, l, L, R.
               root \mapsto v,l,null * Tree(l, L) * EmptyTree(null, R) \Lambda
               Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
       Lemma: EmptyTree(r, \mathbf{R}) \Rightarrow \mathbf{R} = \emptyset. Substitution. Discard \mathbf{R} = \emptyset.
       \exists v, l, L.
               root \mapsto v,l,null * Tree(l, L) * EmptyTree(null, \emptyset) \Lambda
               Compose(\mathbf{L}, \nu, \emptyset, \mathbf{S})
       Open EmptyTree(null, \emptyset). Discard null = null and \emptyset = \emptyset.
       \exists v, l, L.
               root \mapsto v,l,\text{null} * \text{Tree}(l, \mathbf{L}) * \text{emp } \Lambda
               Compose(\mathbf{L}, \nu, \emptyset, \mathbf{S})
       X * emp = X.
       \exists v, l, L.
               root \mapsto v,l,null * Tree(l, L) Λ
               Compose(\mathbf{L}, \nu, \emptyset, \mathbf{S})
       Lemma: Compose(\mathbf{L}, \nu, \emptyset, \mathbf{S}) \Rightarrow Max(\nu, \mathbf{S}) \land \mathbf{L} = \mathbf{S} \setminus \{\nu\}.
       Discard Compose(\mathbf{L}, \nu, \emptyset, \mathbf{S}).
       \exists v, l.
               root \mapsto v,l,\text{null} * \text{Tree}(l, \mathbf{L}) \land
               Max(v, S) \wedge L = S \setminus \{v\}
       Substitution. Discard \mathbf{L} = \mathbf{S} \setminus \{v\}.
       \exists v, l.
               root \mapsto v,l,\text{null} * \text{Tree}(l, \S \setminus \{v\}) \land \text{Max}(v, \S)
    max = root.value;
    newRoot = root.c[0];
       Assignment (twice).
      root → max,newRoot,null * Tree(newRoot, $\{\text{max}\}) \( \) Max(\text{max}, $\$\)
    delete root;
       Free heap chunk.
      Tree(newRoot, \{ \{ \} \} \} \land Max(max, \{ \} \}
}
else {
       Deny if-condition.
       \exists v, l, \mathbf{L}, \mathbf{R}.
               root \mapsto v,l,r * Tree(l, \mathbf{L}) * Tree(r, \mathbf{R}) \Lambda
```

```
Compose(L, v, R, S) \Lambda
          r \neq null
  Lemma: Tree(r, \mathbb{R}) \land r \neq null \Rightarrow NonEmptyTree(r, \mathbb{R}). Discard r \neq null.
  \exists v, l, L, R.
          root \mapsto v,l,r * \text{Tree}(l, \mathbf{L}) * \text{NonEmptyTree}(r, \mathbf{R}) \Lambda
          Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
auto d = removeMax(r); auto rightMax = d.max; auto rightRoot = d.root;
  Specification for removeMax.
  \exists v, l, L, R.
          root \mapsto v,l,r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(\text{rightRoot}, \mathbf{R} \setminus \{\text{rightMax}\}) \Lambda
          Compose(L, v, R, S) \Lambda Max(rightMax, R)
root.c[1] = rightRoot;
  Assignment.
   \exists v, l, L, R.
          root \mapsto v,l,rightRoot * Tree(l, L) * Tree(rightRoot, R \setminus \{rightMax\}) \Lambda
          Compose(L, v, R, S) \Lambda Max(rightMax, R)
   \existsI on rightRoot as r.
   \exists v, l, r, L, R.
          root \mapsto v,l,r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R} \setminus \{\text{rightMax}\}) \Lambda
          Compose(L, v, R, S) \Lambda Max(rightMax, R)
   Lemma: Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \wedge Max(r, \mathbf{R}) \Rightarrow Compose(\mathbf{L}, \nu, \mathbf{R} \setminus \{r\}, \mathbf{S} \setminus \{r\}) \wedge Max(r, \mathbf{S}).
   Discard Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) and Max(rightMax, \mathbf{R}).
   \exists v, l, r, \mathbf{L}, \mathbf{R}.
          root \mapsto v,l,r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R} \setminus \{\text{rightMax}\}) \Lambda
          Compose(L, v, \mathbb{R} \setminus \{\text{rightMax}\}, \mathbb{S} \setminus \{\text{rightMax}\}\}) \Lambda Max(rightMax, \mathbb{S})
   \existsI on \mathbb{R} \setminus \{\text{rightMax}\} \text{ as } \mathbb{R}.
   \exists v, l, r, \mathbf{L}, \mathbf{R}.
          root \mapsto v,l,r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \wedge
          Compose(L, v, R, S \setminus \{rightMax\}\}) \Lambda Max(rightMax, S)
  Close TopOfTree(root, \nu, S \setminus \{rightMax\}\}).
   \exists v. \text{TopOfTree}(\text{root}, v, S \setminus \{\text{rightMax}\}) \land \text{Max}(\text{rightMax}, S)
  Close NonEmptyTree(root, S \setminus \{rightMax\}\}).
  NonEmptyTree(root, S \{rightMax}) ∧ Max(rightMax, S)
  Weaken
  Tree(root, S\{rightMax}) ∧ Max(rightMax, S)
max = rightMax;
newRoot = root;
  Assignment.
  Tree(newRoot, \{ \{ \} \} \} \land Max(max, \{ \} \}
```

If-rule.

```
Tree(newRoot, \{ \{ \} \} \} \land Max(max, \{ \} \}
   RemoveMaxRet o = {max: max, root: newRoot};
   return o;
}
Here's removeRoot:
module bst.removeRoot;
import bst.node;
import bst.remove.removeMax.removeMax;
import bst.remove.removeMax.RemoveMaxRet;
Node* removeRoot(Node* root) {
   Node* o;
      Function precondition.
      TopOfTree(root, v, S)
      Open TopOfTree(root, v, S).
      \exists v, l, r, \mathbf{L}, \mathbf{R}.
             root \mapsto v, l, r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \Lambda
             Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
    if (root.c[0] == null) {
         Assert if-condition. Substitution.
         \exists v, r, L, R.
                root \mapsto v,null,r * Tree(null, L) * Tree(<math>r, R) \Lambda
                Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
         Lemma: Tree(null, S) \Rightarrow EmptyTree(null, S).
         \exists v, r, L, R.
                root \mapsto v,null,r * \text{EmptyTree}(\text{null}, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \land
                Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
         Open EmptyTree(null, L).
         \exists v, l, r, L, R.
                 root \mapsto v,null,r * emp * Tree(r, \mathbb{R}) \land
                Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \wedge \mathbf{L} = \emptyset
         Use X * emp = X.
         \exists v, r, L, R.
                 root \mapsto v,null,r * Tree(r, \mathbb{R}) \land
                Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \wedge \mathbf{L} = \emptyset
         Substitution.
         \exists v, r, \mathbf{R}.
                root \mapsto v,null,r * Tree(r, \mathbb{R}) \land
                Compose(\emptyset, \nu, \mathbb{R}, \mathbb{S})
       o = root.c[1];
         Assignment.
          \exists v, \mathbf{R}.
```

```
root \mapsto v,null,o \star Tree(o, \mathbb{R}) \Lambda
                                       Compose(\emptyset, \nu, \mathbb{R}, \mathbb{S})
          delete root;
                  Free heap chunk.
                  \exists \mathbf{R}. \text{ Tree}(\mathbf{0}, \mathbf{R}) \land \text{Compose}(\emptyset, v, \mathbf{R}, \mathbf{S})
                  Lemma: Compose(\emptyset, \nu, \mathbb{R}, \mathbb{S}) \Rightarrow \mathbb{R} = \mathbb{S} \setminus \{\nu\}
                  \exists \mathbf{R}. Tree(o, \mathbf{R}) \land Compose(\emptyset, \nu, \mathbf{R}, \mathbf{S}) \land \mathbf{R} = \mathbf{S} \setminus \{\nu\}
                  Substitution. Discard Compose(\emptyset, \nu, \mathbb{R}, \mathbb{S}) and \mathbb{R} = \mathbb{S} \setminus \{\nu\}.
                 Tree(o, \S \setminus \{v\})
}
else {
                  Deny if-condition.
                   \exists v, l, r, L, R.
                                        root \mapsto v,l,r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \wedge
                                       Compose(\mathbf{L}, v, \mathbf{R}, \mathbf{S}) \wedge l \neq \text{null}
                  Lemma:.
                  \exists v, l, r, L, R.
                                        root \mapsto v, l, r * NonEmptyTree(l, L) * Tree(r, R) \Lambda
                                       Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
          if (root.c[1] == null) {
                            This branch mostly symmetrical to the previous...
                     o = root.c[0];
                     delete root;
                            Tree(o, \S \setminus \{v\})
          else {
                             Deny if-condition. Use lemma: .
                             \exists v, l, r, L, R.
                                                   root \mapsto v, l, r * \text{NonEmptyTree}(l, \mathbf{L}) * \text{NonEmptyTree}(r, \mathbf{R}) \land
                                                  Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
                     RemoveMaxRet r = removeMax(root.c[0]); auto nv = r.max; auto newLeft = r.root;
                             Specification of removeMax.
                             \exists v, l, r, L, R.
                                                   root \mapsto v,l,r * Tree(newLeft, L \setminus \{nv\}) * NonEmptyTree(r, R) \land
                                                  Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \Lambda Max(n\nu, \mathbf{L})
                     root.value = max; root.c[0] = newLeft;
                             Assignment.
                             \exists v, r, L, R.
                                                  \texttt{root} \mapsto \texttt{nv}, \texttt{newLeft}, r * \texttt{Tree}(\texttt{newLeft}, \textcolor{red}{\textbf{L}} \setminus \{\texttt{nv}\}) * \texttt{NonEmptyTree}(r, \textcolor{red}{\textbf{R}}) \land \texttt{Non
                                                  Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \Lambda Max(n\nu, \mathbf{L})
                             \existsI on newLeft as l.
                             \exists v, l, r, L, R.
                                                   root \mapsto nv, l, r * Tree(l, L \setminus \{nv\}) * NonEmptyTree(r, R) \land
```

```
Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \Lambda Max(nv, \mathbf{L})
                Weakening lemma:.
                \exists v, l, r, L, R.
                        root \mapsto nv,l,r * Tree(l, L \setminus \{nv\}) * Tree(r, R) \land
                        Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \Lambda Max(nv, \mathbf{L})
                Lemma: Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \wedge Max(n\nu, \mathbf{L}) \Rightarrow Compose(\mathbf{L} \setminus \{n\nu\}, n\nu, \mathbf{R}, \mathbf{S} \setminus \{\nu\}).
                Discard Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) and Max(n\nu, \mathbf{L}).
                \exists l, r, L, R.
                        root \mapsto nv,l,r * Tree(l, L \setminus \{nv\}) * Tree(r, R) \land
                       Compose(L \setminus \{nv\}, nv, R, S \setminus \{v\})
                \exists I \text{ on } \mathbf{L} \setminus \{nv\} \text{ as } \mathbf{L}.
                \exists l, r, \mathbf{L}, \mathbf{R}.
                        root \mapsto nv,l,r * Tree(l, \mathbf{L}) * Tree(r, \mathbf{R}) \Lambda
                        Compose(\mathbf{L}, \mathsf{nv}, \mathbf{R}, \mathbf{S} \setminus \{v\})
                Close TopOfTree(root, nv, S \setminus \{v\}).
               TopOfTree(root, nv, S \setminus \{v\})
                \existsI on nv as \nu.
                \exists v. \text{TopOfTree}(\text{root}, v, \S \setminus \{v\})
                Close NonEmptyTree(root, S \setminus \{v\}).
                NonEmptyTree(root, \S \setminus \{v\})
               Weakening
               Tree(root, \S \setminus \{v\})
            o = root;
                Assignment.
               Tree(o, \S \setminus \{v\})
       If-rule.
      Tree(o, \S \setminus \{v\})
       If-rule. Postcondition.
      Tree(o, \S \setminus \{v\})
    return root;
Here's remove:
module bst.remove.recursive;
import bst.node;
import bst.remove.removeRoot;
```

}

```
Node* remove(Node* root, int value) {
   Node* o;
      Function precondition.
     Tree(root, S)
   if (root == null) {
         Assert if-condition. Lemma: .
         EmptyTree(root, S)
      o = root;
        Tree(o, S\{value})
   }
   else {
         Deny if-condition. Lemma: .
         NonEmptyTree(root, §)
         Open NonEmptyTree(root, S).
         \exists v. \text{TopOfTree}(\text{root}, v, \S)
         Open TopOfTree(root, v, S).
         \exists v, l, r, \mathbf{L}, \mathbf{R}.
               root \mapsto v, l, r * Tree(l, \mathbf{L}) * Tree(r, \mathbf{R}) \Lambda
               Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S})
      if (value == root.value) {
            Assert if-condition. Substitution.
            \exists l, r, L, R.
                  root \mapsto value,l,r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \land
                  Compose(L, value, R, S)
            Close TopOfTree(root, value, S).
            TopOfTree(root, value, $).
         o = removeRoot(root);
            Specification for removeRoot.
            Tree(o, §\{value})
      }
      else {
            Deny if-condition.
            \exists v, l, r, L, R.
                  root \mapsto v,l,r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \Lambda
                  Compose(L, v, R, S) \Lambda v \neq value
         if (value < root.value) {</pre>
               Assert if-condition.
               \exists v, l, r, \mathbf{L}, \mathbf{R}.
                     root \mapsto v, l, r * \text{Tree}(l, \mathbf{L}) * \text{Tree}(r, \mathbf{R}) \wedge
                     Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) \Lambda value < \nu
             o.c[0] = remove(o.c[0], value);
             Specification for remove. Assignment. ∃I.
```

```
\exists v, l, r, \mathbf{L}, \mathbf{R}.
                    root \mapsto v,l,r * Tree(l, L \setminus \{value\}) * Tree(r, R) \land
                    Compose(\mathbf{L}, v, \mathbf{R}, \mathbf{S}) \Lambda value < v
             Lemma: Compose(L, \nu, R, S) \land value < \nu \Rightarrow Compose(L \setminus \{value\}, \nu, R, S \setminus \{value\}).
            Discard Compose(\mathbf{L}, \nu, \mathbf{R}, \mathbf{S}) and value < \nu.
             \exists v, l, r, L, R.
                    root \mapsto v,l,r * Tree(l, L \setminus \{value\}) * Tree(r, R) \land
                    Compose(L \setminus \{value\}, v, R, S \setminus \{value\})
             \exists I \text{ on } L \setminus \{\text{value}\} \text{ as } L.
             \exists v, l, r, \mathbf{L}, \mathbf{R}.
                    root \mapsto v, l, r * Tree(l, \mathbf{L}) * Tree(r, \mathbf{R}) \Lambda
                    Compose(L, v, R, S \setminus \{value\})
            Close TopOfTree(root, v, S \setminus \{value\}).
            \exists v. \text{TopOfTree}(\text{root}, v, \S \setminus \{\text{value}\})
            Close NonEmptyTree(root, S\{value}).
            NonEmptyTree(root, \{value\})
            Weakening
            Tree(root, $\{value})
      else {
            Symmetrical...
          o.c[1] = remove(o.c[1], value);
            Tree(root, $\{value})
         If-rule.
         Tree(root, $\{value})
      o = root;
         Assignment.
         Tree(o, $\{value})
      If-rule.
     Tree(o, $\{value})
  If-rule. Function postcondition.
  Tree(o, S\{value})
return o;
```

}

}

}

}