

**Ph.D. Qualifying Exam, Real Analysis**

**Fall 2018, part I**

Do all five problems. Write your solution for each problem in a separate blue book.

**1** Two short problems.

**a.** Define the function  $\nu$  from subsets of  $\mathbb{R}$  to  $[0, \infty]$  as follows. If  $A \subset \mathbb{R}$ , let  $\nu(A) = \infty$  if 0 is in the closure of  $A$ , and let  $\nu(A) = 0$  otherwise. Show that  $\nu$  is finitely additive but it is not countably additive.

**b.** Let  $m$  be the Lebesgue measure on  $\mathbb{R}$ . Suppose that  $f : \mathbb{R} \rightarrow \mathbb{R}$  is measurable and let  $S = \{x \in \mathbb{R} : m(f^{-1}(\{x\})) > 0\}$ . Show that  $S$  is countable, and give an example to show that  $S$  can be dense in  $\mathbb{R}$ .

**2** Given a Schwartz function  $f \in \mathcal{S}(\mathbb{R})$ , define the  $H^s(\mathbb{R})$  norm by  $\|f\|_{H^s(\mathbb{R})} := \|(1 + |\xi|^2)^{\frac{s}{2}} \hat{f}(\xi)\|_{L^2(\mathbb{R})}$ , where  $\hat{f}$  denotes the Fourier transform of  $f$  and  $s \in \mathbb{R}$ .

**a.** Prove that for every  $s > \frac{1}{2}$ , there exists a constant  $C > 0$  (depending only on  $s$ ) such that for every  $f \in \mathcal{S}$ ,

$$\|f\|_{L^\infty(\mathbb{R})} \leq C \|f\|_{H^s(\mathbb{R})}.$$

**b.** Let  $K = \{f \in \mathcal{S}(\mathbb{R}) : \exists d > 0 \text{ such that } \hat{f}(\xi) \neq 0 \Rightarrow d \leq |\xi| \leq 2d\}$ . Prove that there exists a constant  $C > 0$  (independent of  $d$ ) such that for every  $f \in K$ ,

$$\|f\|_{L^\infty(\mathbb{R})} \leq C \|f\|_{H^{\frac{1}{2}}(\mathbb{R})}.$$

**3** Suppose  $X$  is a vector space over  $\mathbb{C}$  and  $\mathcal{F}$  is a collection of linear maps  $X \rightarrow \mathbb{C}$ . Equip  $X$  with the  $\mathcal{F}$ -weak topology, i.e. the weakest topology in which all elements of  $\mathcal{F}$  are continuous.

**a.** Show that the vector space operations  $+$  :  $X \times X \rightarrow X$  and  $\cdot$  :  $\mathbb{C} \times X \rightarrow X$  are continuous in this topology (where  $X \times X$  and  $\mathbb{C} \times X$  are equipped with the product topology).

**b.** Suppose that  $\rho : X \rightarrow [0, \infty)$  is continuous and is a seminorm. Show that there exist  $k \in \mathbb{N}$ ,  $\ell_1, \dots, \ell_k \in \mathcal{F}$  and  $C > 0$  such that  $\rho(x) \leq C \sum_{j=1}^k |\ell_j(x)|$  for all  $x \in X$ .

**4** Suppose that  $X, Y$  are Hilbert spaces,  $P \in \mathcal{L}(X, Y)$ , the set of bounded linear operators  $X \rightarrow Y$ . Suppose also that there is  $C \geq 0$  such that  $\|x\|_X \leq C \|Px\|_Y$  for all  $x \in X$ .

**a.** Show that  $P$  is surjective if and only if  $P^*$  is injective.

**b.** Show that if  $P$  is surjective then  $\|v\|_{Y^*} \leq C \|P^*v\|_{X^*}$  for all  $v \in Y^*$ , where  $C$  is as above.

**5** Let  $\chi_{[\alpha, \beta]}$  denote the characteristic (indicator) function of the interval  $[\alpha, \beta]$ , as well as the corresponding multiplication operator. Below let  $\mathcal{F}$  be the Fourier transform on  $L^2(\mathbb{R})$ .

**a.** Show that for  $a, b > 0$ ,

$$\mathcal{F}^{-1} \chi_{[-b, b]} \mathcal{F} \chi_{[-a, a]} \in \mathcal{L}(L^2(\mathbb{R}))$$

is compact.

**b.** Show that for  $a, b > 0$ ,

$$\mathcal{F}^{-1} (1 - \chi_{[-b, b]}) \mathcal{F} \chi_{[-a, a]} \in \mathcal{L}(L^2(\mathbb{R}))$$

is *not* compact.

**Ph.D. Qualifying Exam, Real Analysis**

**Fall 2018, part II**

Do all five problems. Write your solution for each problem in a separate blue book.

- 1 Two short problems.
  - a. Show that a Hilbert space is separable as a metric space (i.e. has a countable dense subset) if and only if it has a countable complete orthonormal set (basis).
  - b. Show that in a separable Hilbert space the closed unit ball is weakly sequentially compact, i.e. any sequence  $\{x_n\}_{n=1}^\infty$  in it has a weakly convergent subsequence.
- 2 Suppose  $X, Y$  and Banach spaces,  $A_j, A \in \mathcal{L}(X, Y)$ ,  $j \in \mathbb{N}$ , and  $A$  is invertible. (Here  $\mathcal{L}(X, Y)$  is the set of bounded linear operators  $X \rightarrow Y$ .)
  - a. Suppose that  $A_j \rightarrow A$  in norm in  $\mathcal{L}(X, Y)$ . Show that there exists  $N$  such that for  $j \geq N$ ,  $A_j$  is also invertible.
  - b. Suppose now that  $A_j \rightarrow A$  in the strong operator topology on  $\mathcal{L}(X, Y)$ . Does it follow that  $A_j$  is invertible for sufficiently large  $j$ ? Prove it, or give a counterexample.
- 3 Suppose that  $K \in L^p([0, 1] \times [0, 1])$ ,  $1 < p < \infty$ . Let  $q$  be the dual exponent,  $p^{-1} + q^{-1} = 1$ .
  - a. For  $f \in L^q([0, 1])$ , let  $(Af)(x) = \int K(x, y)f(y)dy$ . Show that  $(Af)(x)$  indeed exists for almost every  $x$  and  $A \in \mathcal{L}(L^q([0, 1]), L^p([0, 1]))$ .
  - b. Suppose that for every  $f \in L^q([0, 1])$ ,  $(Af)(x) = 0$  for almost every  $x$ . Show that  $K = 0$  a.e.
- 4 Suppose  $T : X \rightarrow Y$  is a Fredholm map between two complex Banach spaces, i.e. has closed range, and  $\text{Ker}T, Y/\text{Ran}T$  are finite dimensional.
  - a. Show that there exist  $m, n \geq 0$  integers such that  $X \oplus \mathbb{C}^m$  is isomorphic to  $Y \oplus \mathbb{C}^n$  as Banach spaces (there is an invertible continuous linear map between them).
  - b. Prove that  $X$  is separable if and only if  $Y$  is separable.
- 5 Let  $\mathbb{T} = \mathbb{R}/(2\pi\mathbb{Z})$  be the unit circle, and consider the integral  $I(r) = \int_{\mathbb{T}} e^{ir \cos \theta} \varphi(\theta) d\theta$ ,  $\varphi \in C^\infty(\mathbb{T})$ , where  $d\theta$  is the Lebesgue measure on  $\mathbb{T}$ . Show that there exists  $C > 0$  such that  $|I(r)| \leq Cr^{-1/2}$ ,  $r \geq 1$ .  
*Hint:* Show that if  $\varphi$  is supported away from  $[0], [\pi] \in \mathbb{R}/(2\pi\mathbb{Z})$ , then  $I(r)$  is rapidly decreasing as  $r \rightarrow \infty$ ; then assume  $\varphi$  is supported near  $[0]$  or  $[\pi]$ , and change variables to obtain an integral of the form  $\int e^{\pm i r s^2} \tilde{\varphi}(s) ds$  (times a prefactor). (Note:  $I(r)$  is essentially the Fourier transform, evaluated at  $(r, 0)$ , of a delta distribution on the unit circle in  $\mathbb{R}^2$ .)