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3      The historical demography of Müllerian mimicry in the Neotropical *Heliconius* butterflies  
4

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30

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**1 Abstract**

2 We compare the historical demographies of two Müllerian co-mimetic butterfly species,  
3 *Heliconius erato* and *H. melpomene*. These species show an extensive parallel geographic  
4 divergence in their aposematic wing phenotypes. Recent studies suggest that this coincident  
5 mosaic results from simultaneous demographic processes shaped by extrinsic forces over the  
6 Pleistocene climate fluctuations. However, DNA sequence variation at two rapidly evolving  
7 unlinked nuclear loci, *Mannose phosphate isomerase (Mpi)* and *Triose phosphate isomerase (Tpi)*  
8 show the co-mimetic species to have quite different Quaternary demographies. In *H. erato*,  
9 despite ongoing lineage sorting across the Andes, nuclear genealogical estimates show little  
10 geographical structure, suggesting high historical gene flow. Coalescent-based demographic  
11 analysis reveals population growth since the Pliocene. Although these patterns suggest vicariant  
12 population subdivision associated with the Andean orogeny, they are not consistent with  
13 hypotheses of Pleistocene population fragmentation facilitating allopatric wing phenotype  
14 radiation in *H. erato*. In contrast, nuclear genetic diversity,  $N_e$ , in *H. melpomene* was reduced  
15 relative to its co-mimic and revealed three phylogeographical clades. The pattern of coalescent  
16 events within regional clades is most consistent with population growth in relatively isolated  
17 populations, following a recent period of restricted population size. These different demographic  
18 histories suggest that the wing pattern radiations were not coincident in the two species. Instead,  
19 larger  $N_e$  in *H. erato*, together with profound population change in *H. melpomene* supports an  
20 earlier hypothesis that the former diversified first as the model species of this remarkable mimetic  
21 association.

1   **Introduction**

2   The neo-tropical butterflies *Heliconius erato* and *H. melpomene* are an exceptional example of  
 3   Müllerian mimicry. These distantly related (1, 2), unpalatable species have both radiated into  
 4   almost 30 wing phenotypic races. However, in any one location across their sympatric ranges,  
 5   they exhibit near perfect convergence in their warningly-colored wing patterns. This parallel  
 6   diversification argues forcefully for the adaptive nature of the mimicry between the two species,  
 7   and strong stabilizing selection on local patterns has been demonstrated (3, 4).

8  
 9   Nonetheless, the origins of this coincident mosaic of divergence and convergence remain highly  
 10   controversial (5, 6). Under Müllerian mimicry theory strong frequency-dependent selection  
 11   should promote convergence to a single common wing pattern at both intra- and inter-specific  
 12   levels. One explanation is closely allied with the 'Pleistocene refugia model' for the evolution of  
 13   diversity in Amazonia (7). The so-called 'Brown-Sheppard-Turner' model (8, 9) proposes that the  
 14   two species underwent simultaneous population constrictions during the Pleistocene climatic  
 15   perturbations, with each mimetic racial pair originating in response to stochastic changes in the  
 16   biotic environment in isolated populations. Based on cladograms of the two radiations constructed  
 17   from allelic changes in major wing patterning loci, Sheppard and co-workers (8) further suggested  
 18   that similar wing pattern races within a species were most closely related, despite often being  
 19   geographically separated by other, phenotypically-distinct races. Matching pattern gene  
 20   cladograms may, however, simply reflect similar underlying developmental pathways, rather than  
 21   a co-evolutionary process (10). A recent study using mtDNA sequences (11, 12) challenged some  
 22   of the earlier conclusions drawn from parsimony analysis of pattern loci. Sequence variation in  
 23   both species was structured into major geographical regions. Thus, adjacent, often distinct wing  
 24   pattern races within both species were more closely related than allopatric, but convergent races,  
 25   indicating that similar patterns within each species had originated independently. Furthermore, the  
 26   expected phylogenetic signature of co-evolution— that of matching phylogenies between the two  
 27   species— was not seen. *H. erato* showed a single divide across the Andes, while *H. melpomene*  
 28   was subdivided into four major biogeographic regions: west of the Andes, the Amazon basin,  
 29   south-east Brazil, and the Guianan Shield. Given these phylogenetic patterns, Brower (12)  
 30   concluded that the contemporary wing pattern mosaic arose from several independent mimetic  
 31   origins. Additionally, based on similar and low levels of mtDNA divergence within the co-  
 32   mimics, Brower argued that the timeframe of the phenotypic diversification in both species was  
 33   consistent with of the Pleistocene refuge theory.

34  
 35   Recently there has been renewed interest in the dynamics of Müllerian mimicry and, in particular,  
 36   the conditions under which co-evolution is expected. Müller's (13) original mathematical  
 37   formulation clearly demonstrated that, given unequal abundances of two similarly distasteful co-  
 38   mimics, the rarer species gains a much larger benefit from mimicry than the more abundant  
 39   species. Thus, in contrast to the mutual convergence implicit in strict co-evolution, the rarer  
 40   species may evolve, or 'adverge' [sensu (14)], towards the more common species. In order to  
 41   truly understand the relative roles of each species in the evolution of this parallel diversification  
 42   we first need to have a clear picture of the historical demographies of the co-mimics. Here we  
 43   present a comparative historical demographic analysis of *H. erato* and *H. melpomene* using  
 44   sequence data from two highly variable, unlinked nuclear loci, the autosomal *Mannose phosphate*  
 45   *isomerase (Mpi)* and the sex-linked *Triose phosphate isomerase (Tpi)* loci. These data  
 46   complement the previous mtDNA study of the two species (11, 12), and permit more detailed

- 1 insights into the population history of this mimetic association. Genealogical examination across
- 2 multiple loci is essential in order to distinguish population processes, such as growth or
- 3 subdivision, from the evolutionary and stochastic forces specific to a single locus.

1   **Methods**

2   We sampled the intron regions of *Mpi* and *Tpi* from ten wing pattern races of *H. erato* and nine of  
 3   *H. melpomene*, encompassing three major biogeographical regions: W. Andes; E. Andes; and the  
 4   Guianan Shield (see Supporting Table 1). To place the history of racial diversification within a  
 5   broader evolutionary context we also sampled the sister species of both radiations. *Heliconius*  
 6   *himera* is a geographic replacement of *H. erato* in the dry, elevated forest regions of Southern  
 7   Ecuador and Northern Peru. *Heliconius cydno* occurs sympatrically with its sister species, *H.*  
 8   *melpomene* to the west of the Andes. Although these sister species pairs are known to hybridize in  
 9   the wild at low (*H. cydno/H. melpomene*) to moderate (*H. himera/H. erato*) levels, significant  
 10   behavioral and ecological differentiation warrant species level designations (15, 16). Nonetheless,  
 11   the exact phylogenetic relationships remain problematic (1). We also sampled outgroup species,  
 12   *H. clysonimus* and *H. telesiphe* and *H. hecale* (see Supp. Table 1).

13  
 14   DNA sequence data were obtained from *Mpi* and *Tpi* using the methods detailed by Beltrán *et al.*  
 15   (1). For each individual between three to ten different clones were sequenced in order to identify  
 16   distinct alleles. Sequences chosen for inclusion were generally represented by at least two  
 17   identical clones. High substitution rates restricted sequence comparison to closely related species  
 18   [see (1)], so data were compiled into four distinct alignment files, one for each locus within each  
 19   radiation (see Supp. Figure 1). Length variation within the numerous small microsatellite repeats  
 20   were excluded from analyses. Indices of genetic diversity,  $\pi$ , and recombination,  $r$ , were co-  
 21   estimated using the genealogical, maximum-likelihood approach in the program RECOMBINE  
 22   v0.4 (17). We used a UPGMA tree generated with PAUP\* version 4.0b8 (18) for the initial tree.  
 23   RECOMBINE was then implemented using 10 short chain runs with a sampling every 40 steps  
 24   for 2000 steps, followed by a single long chain run, with sampling every 20 steps over a total of  
 25   40,000 steps.

26  
 27   Phylogenetic relationships were estimated using Maximum Likelihood (ML) under the heuristic  
 28   search process in PAUP\* version 4.0b8 (18). The best models of DNA substitution were identified  
 29   using ModelTest 3.06 (19). To identify optimal topologies, an initial ML tree was estimated using  
 30   tree-bisection-reconnection (TBR) branch swapping routine on the neighbor-joining tree. This tree  
 31   seeded a second search using nearest-neighbor-interchange (NNI) branch swapping, while re-  
 32   estimating the most likely substitution parameters. ML topologies were compared to maximum  
 33   parsimony (MP). The MP was generated using the heuristic search option and TBR reconstruction.  
 34   The tree used to seed this run was chosen from the the shortest length trees generated during 100  
 35   replicates (each running for 2 minutes) of a TBR branch swapping routine run on a tree obtained by  
 36   random step-wise sequence addition. Confidence within each node was assessed by 500 bootstrap  
 37   replicates using the heuristic search setting with TBR branch swapping. In an attempt to include the  
 38   information encoded in insertions and deletions events, each length polymorphism was coded as a  
 39   single binary character added to the end of the sequence. To test specific phylogenetic relationships  
 40   between the sister species, the shortest trees representing alternative *a priori* scenarios were  
 41   generated using MacClade v3.07 (20), starting with the ML trees presented in Figure 1. These  
 42   topologies were then compared with the ML tree using the method of Shimodaira and Hasegawa  
 43   (21), implemented in PAUP\*.

1 We explored the partitioning of genetic variation across major geographic boundaries (East Andes,  
2 West Andes, Guiana) using two approaches. Firstly, the hierarchical components of sequence  
3 variation were estimated at the racial, regional and species level using an analysis of molecular  
4 variance (AMOVA), implemented in Arlequin, ver. 2001 (22). Distance matrices were calculated  
5 using the best model of DNA substitution. Probabilities were calculated using 20,000 permutations  
6 of the data matrix. Secondly, we compared the most parsimonious number of changes needed to  
7 explain the geographic location of each allele to the number expected under the null hypothesis of  
8 panmixis using the program MacClade v3.07 (20). The expected distribution for the null model was  
9 calculated by randomizing geographic location 1000 times.

10  
11 Finally, we investigated the historical demography of each species using a graphical non-  
12 parametric estimate of effective population size, called the generalized skyline plot (23) and  
13 evaluated alternative parametric demographic models using GENIE v3.0 (24). Input consisted of  
14 ML genealogies first subjected to a non-parametric rate smoothing method (25), with rate  
15 differences weighted by the mean at all nodes, as implemented in the program TreeEdit v1.0 10  
16 (<http://evolve.zoo.ox.ac.uk>).

1 **Results**

2 We sampled 58 and 64 alleles from *Tpi* and *Mpi* respectively in *H. erato*, and 42 and 51 in *H.*  
 3 *melpomene*. The two loci showed high intraspecific sequence variation and patterns of variation  
 4 were consistent with a previous inter-specific study (1). Although recombination may be expected  
 5 at nuclear loci, levels of *r*, the ratio between per-site recombination rate and per-site mutation rate  
 6 (17), at *Mpi* and *Tpi* were low in both species, and comparable to those in mtDNA datasets (Table  
 7 1). This suggests that the effect of recombination is unlikely to strongly affect phylogenetic or  
 8 demographic analysis. Consistent with this conclusion was the observation that levels of  
 9 homoplasy were similar within *Mpi*, *Tpi*, and mtDNA genealogies when the number of taxa were  
 10 kept constant [data not shown, see also (1)].

11  
 12 At both nuclear loci, *H. erato* possessed much higher levels of variation than *H. melpomene*  
 13 (Table 1). This difference was unexpected as levels of mtDNA variation in the two species had  
 14 previously been reported to be roughly equivalent (11, 12). Although our estimate of nucleotide  
 15 diversity,  $\pi$ , at mtDNA showed that *H. erato* had higher (but not significantly) levels of diversity  
 16 than *H. melpomene*, there was some evidence for a reduction in mtDNA diversity within *H. erato*.  
 17 Assuming that *Mpi* and *Tpi* evolve at roughly the same rate as mtDNA (1) and loci are not under  
 18 selection, then differences in levels of variation should reflect differences in allelic copy number.  
 19 Thus, the haploid mtDNA should harbor roughly a third of the variation of the sex-linked *Tpi*  
 20 locus and a fourth of the variation of the autosomal *Mpi* locus. In *H. melpomene*, this expectation  
 21 was upheld. However, in *H. erato*, levels of mtDNA variation were 70-80% lower than expected  
 22 given the observed variation at both nuclear loci.

23  
 24 The differing levels of genetic variation were also partitioned in fundamentally different ways.  
 25 Within *H. erato*, the vast majority of genetic diversity at both loci was found within the races  
 26 sampled, and only a very small amount due to regional differences (respectively: 92.85 % versus  
 27 2.35% for *Tpi*; 94.56% versus 3.18% for *Mpi*). In contrast within *H. melpomene*, among regional  
 28 differences explained approximately half of the total variance (51.34% for *Tpi*; 44.18% for *Mpi*).  
 29 The remaining variance within *H. melpomene* was found within racial populations.

30  
 31 The differences in the levels and distribution of *Tpi* and *Mpi* diversity were clearly evident in the  
 32 allelic genealogies of the two species. Within *H. erato*, at both loci very similar alleles were  
 33 distributed broadly across major phenotypic and biogeographic boundaries (Figure 1). At the sex-  
 34 linked *Tpi* locus, alleles sampled from the sister species, *H. himera*, and those from the  
 35 geographic race, *H. e. chestertonii*, found in the isolated Cauca Valley of Colombia formed two  
 36 separate, nested monophyletic lineages. Paraphyly of *H. erato* with respect to *H. himera* was a  
 37 significantly more likely than reciprocal monophyly of each sister species (-2 lnL= 28.1; df= 14;  
 38 p= 0.033). At *Mpi*, *H. erato* and *H. himera* were clearly polyphyletic, with *H. himera* falling into  
 39 two distinctive lineages within the larger *H. erato* genealogy. Despite the lack of a clear  
 40 phylogeographic signal within *H. erato*, genetic variation at both loci was not distributed  
 41 randomly and there were differences in the distribution of alleles across the Andes (*Mpi*: p=0.003;  
 42 *Tpi*: p=0.007, based on randomisation tests): this population structure remains significant with *H.*  
*e. chestertonii* alleles excluded.

43  
 44  
 45 In contrast, in *H. melpomene* variation in both nuclear loci coalesced broadly into the three major  
 46 biogeographical regions previously delimited by the mtDNA data (12): West of the Andes;

1 Amazonia; and the Guianan Shield (Figure 1). At the *Tpi* locus, *H. melpomene* was monophyletic,  
2 with *H. cydno* alleles basal and paraphyletic. Although paraphyly of *H. cydno* relative to *H.*  
3 *melpomene* was the most likely model, neither reciprocal monophyly or polyphyly could be  
4 rejected [data not presented; see (1)]. At *Mpi*, the genealogical pattern was more complex, with *H.*  
5 *melpomene* and *H. cydno* sharing very similar alleles. Nonetheless, three major regional clades in  
6 *H. melpomene* were clearly identifiable (Figure 1B). Within both nuclear genealogies there were  
7 several exceptions to this geographic structure. For example, at the *Mpi* locus, alleles sampled  
8 from the Guianan shield fell into two clades. One consisted of only alleles found on the Guiana  
9 Shield, and the other formed a single derived lineage within a clade of alleles sampled from the  
10 Amazonian region. These inconsistencies, however, may be explained by a small number of  
11 migration events.  
12

13 The pattern of coalescent events in the *Tpi* and *Mpi* genealogies further highlighted differences in  
14 the evolutionary history of the two co-mimics. In both species, genealogies were most consistent  
15 with a history of population growth rather than constant effective population size (Table 2; Figure  
16 2). However, the processes shaping the demographic histories operated over very different time  
17 scales. In *H. erato*, assuming approximately clock-like evolution and a evolutionary rate  
18 comparable to the mitochondrial COI/COII region [1% sequence divergence per lineage per Myrs  
19 (11)] (1), the time to most recent common ancestor (TMRCA) at both loci occurred within the  
20 Pliocene (Figure 2). Since that time, the genealogies of both nuclear loci was most consistent with  
21 population growth, both across the species and within major biogeographic regions (Table 2). In  
22 contrast, for *H. melpomene*, assuming the same substitution rate the TMRCA was much more  
23 recent at both *Tpi* and *Mpi*., occurring near the Pliocene/Pleistocene boundary. Nonetheless, there  
24 was similar evidence for population growth (Table 2). In this case, regional population growth  
25 was likely to have occurred within the latter half of the Pleistocene: average pairwise differences  
26 within regional clades ranged from 0.52-0.55% (uncorrected) at *Mpi* and 0.53-1.1% (uncorrected)  
27 at *Tpi*, suggesting these independent population expansions began roughly 250,000-500,000 years  
28 ago.

1    **Discussion**

2    Our understanding of the evolutionary history of the parallel mimetic radiations within *H. erato*  
 3    and *H. melpomene* is significantly enhanced by the addition of high-resolution genealogical  
 4    information for two unlinked nuclear loci. Consistent with the previous mtDNA study (11, 12),  
 5    the phylogeographic patterns at the nuclear loci were not concordant between the co-mimics.  
 6    However, in marked contrast to the mtDNA data, genealogical and coalescent-based analyses of  
 7    nuclear loci clearly showed that the two co-mimics have had very different demographic histories  
 8    over the period in which racial diversification is speculated to have evolved. In *H. erato*,  
 9    considerable variation at *Tpi* and *Mpi* revealed high levels of historical gene flow across the  
 10   species' range and suggests that population expansion occurred throughout the Pleistocene.  
 11   Levels of variation at both nuclear loci were much lower in *H. melpomene* and were partitioned  
 12   into three major geographical regions. Distribution of coalescent events indicated population  
 13   expansion occurred independently within each region and over a much more recent time period  
 14   than that of *H. erato*. These contrasting evolutionary and demographic patterns suggest that racial  
 15   diversification did not occur simultaneously, and challenge the Pleistocene refuge theory for the  
 16   origins of this extraordinary mimetic radiation (8, 12).

17   ***Evolutionary and demographic history of H. erato.***

18   The nuclear data argue for a significantly different population history in *H. erato* relative to that  
 19   previously envisioned from mtDNA, highlighting the importance of using multi-locus  
 20   comparisons to infer the population and demographic history of a species (26). Mitochondrial  
 21   DNA variation in *H. erato* was partitioned into two regional clades separated by the Andes (11).  
 22   Very low levels of intra-clade mtDNA variation suggested that racial diversification had evolved  
 23   recently (within the last 200,000 years), broadly consistent with the Pleistocene refuge theory for  
 24   wing pattern radiation (11, 12).

25   The nuclear sequence data, in contrast, did not show a marked genetic discontinuity across the  
 26   Andes, nor any evidence for historic population constrictions, as predicted by the refuge  
 27   hypothesis. Both nuclear genealogies indicated historically high levels of gene flow across the  
 28   entire range of *H. erato* and population expansion throughout the Pleistocene. There was evidence  
 29   for genetic differentiation between *H. erato* populations separated by the Andes, which today  
 30   pose a formidable barrier to dispersal for these low-mid elevation tropical butterflies. However,  
 31   these patterns are more consistent with the gradual accumulation of genetic differences between  
 32   recently isolated populations, than with the population constrictions predicted by the refuge  
 33   hypothesis. The close association of nuclear alleles sampled from either side of the Andes likely  
 34   reflects the shared history prior to the establishment of the Andes as a significant barrier to gene  
 35   flow.

36   The phylogenetic discrepancies between the nuclear and mtDNA data may reflect differences in  
 37   expected coalescence times for the different loci. However, levels of mtDNA diversity within *H.*  
 38   *erato* were much lower than expected based on extant levels of variation at both *Tpi* and *Mpi*  
 39   (Table 1). It is unclear whether low mtDNA diversity is a sampling artifact, reflects stochastic  
 40   lineage extinction, or is the result of purifying selection on mtDNA. Nonetheless, the agreement  
 41   between the two unlinked nuclear loci suggests that genealogical patterns at these loci better  
 42   reflect historical demographics within *H. erato*. Furthermore, lack of evidence for Pleistocene  
 43   population constrictions in *H. erato* is concordant with accumulating palynological data. Contrary  
 44   to the refuge hypothesis, the lack of evidence for population constrictions in *H. erato* is consistent  
 45   with the gradual accumulation of genetic differences between recently isolated populations.  
 46

1 to the hypothesis of allopatric forest refugia, recent paleoecological studies indicate that  
 2 continuous tropical forest likely persisted in the Amazon region across the Pleistocene climatic  
 3 fluctuations (27).

4  
 5 This scenario of a broad panmictic population predating the rise of the Andes also conflicts with  
 6 previous ideas regarding the origin of *H. erato*. Brower (11, 12) hypothesized that *H. himera*,  
 7 with its trans-Andean distribution, had provided the source for separate *H. erato* wing pattern  
 8 radiations East and West of the Andes. Given average pairwise mtDNA divergences between *H.*  
 9 *erato* and *H. himera* of around 3% (1, 11), this would place the origin of *H. erato* in the early  
 10 Pleistocene. However, paraphyly of *H. erato* relative to *H. himera* now indicates that the  
 11 divergence between these supposed sister species is not an appropriate node on which to date the  
 12 origin of *H. erato*. In contrast, average uncorrected pairwise divergences between *H. erato* and the  
 13 two most closely related species sampled, *H. clysonimus* and *H. telesiphe*, are approximately 5%  
 14 at the mtDNA and *Tpi* loci respectively (1, 11), taking the origin of *H. erato* back into the  
 15 Pliocene. Coalescent-based analyses support this earlier origin, placing the TMRCA for the high  
 16 levels of extant variation at both nuclear loci in the mid-late Pliocene (Figure 2). Additionally,  
 17 Brower's hypothesis predicts a phylogenetic split between the eastern and western ranges of *H.*  
 18 *himera* in S. Ecuador and N. Peru respectively, which we did not observe at either *Mpi* or *Tpi*. A  
 19 more likely evolutionary scenario is that *H. himera*, with a restricted, elevated distribution,  
 20 evolved from a peripherally isolated wing pattern variant within a widely-distributed *H. erato*  
 21 lineage. Our nuclear alleles from *H. himera* show surprisingly low levels of divergence, falling  
 22 within a single, well differentiated lineage at the *Tpi* loci, and two lineages (but not East and  
 23 West) at the *Mpi* locus, as predicted by a peripheral isolate or 'peripatric' speciation model  
 24 (Figure 1). The race, *H. e. chesteronii* displays a similar phylogenetic pattern. Like *H. himera*,  
 25 this race occurs in an ecologically differentiated, elevated region, lacks a *H. melpomene* co-  
 26 mimetic race, and may also represent a peripatric divergence event.  
 27

### 28 ***Evolutionary and demographic history of H. melpomene.***

29 In *H. melpomene*, the mtDNA (12), *Tpi*, and *Mpi* gene genealogies were largely concordant and  
 30 supported a very different population history for this species relative to its co-mimic. Variation at  
 31 all three loci was low (Table 1) and placed the TMRCA within the first half of the Pleistocene  
 32 (Figure 2). This approximate date was consistent with estimates of divergence time between *H.*  
 33 *melpomene* and *H. cydno* (1). Thus, unlike in *H. erato*, both extant levels of intraspecific diversity  
 34 and estimates of divergence time place the origin of the *H. melpomene* lineage within the  
 35 Pleistocene.

36 Furthermore, all three loci demonstrate clear population fragmentation over the Pleistocene.  
 37 Genetic variation was divided into major biogeographical regions, but the phylogenetic  
 38 relationships among regional clades varied depending on which locus was used. For example, the  
 39 Guiana Shield lineage was an outgroup to the rest of the *H. melpomene* radiation for mtDNA (12)  
 40 but was the most derived lineage at *Tpi* (Figure 1A). At *Mpi*, all three lineages were equally  
 41 divergent (Figure 1B). These inconsistencies likely reflect the stochastic fixation of ancestral  
 42 variation within emerging regional clades, and suggest that the clades were formed roughly  
 43 simultaneously, early in the evolution of *H. melpomene* lineage. Both of the two main color  
 44 pattern types, 'rayed' and 'banded', occur in more than one of the geographical lineages identified  
 45

1 in *H. melpomene*, supporting Brower's contention that similar color patterns have evolved  
 2 independently within each biogeographic region (12).

3  
 4 This Pleistocene subdivision was associated with changes in the population dynamics of the  
 5 emerging geographic lineages. The individual clades at both nuclear loci for *H. melpomene* were  
 6 characterized by low levels of variation relative to that of the entire species sample (Figure 1),  
 7 suggesting a loss of variation, possibly as a consequence of population constrictions in each  
 8 region. Additionally, the star-like nature of the genealogies of the *H. melpomene* clades, resulting  
 9 from a high frequency of singleton mutations between these alleles, is highly characteristic of  
 10 recent population expansion. Furthermore, despite small sample sizes and low levels of sequence  
 11 variation, models of historical population growth were supported over constant population size in  
 12 all geographical regions, at both nuclear loci (Table 2). At *Mpi*, demographic analysis was  
 13 complicated by polyphyly of *H. melpomene* and *H. cydno* (Figure 1B). A more extensive  
 14 sampling of both species in areas of sympatry suggests that introgressive hybridisation most likely  
 15 generated this phylogenetic pattern (Bull *et al.*, submitted). Removing alleles of probable hybrid  
 16 origin (\* in Figure 1B) greatly strengthens support for a recent history characterized by rapid  
 17 population growth ( $2 \ln$  for constant versus logistic = 49.8,  $p=0.0000$ ,  $df=1$ ). Interestingly, these  
 18 strong genealogical and demographic trends are coupled with the evolution of significant  
 19 postzygotic hybrid sterility between geographical regions of *H. melpomene* (15), in contrast to the  
 20 lack of postzygotic reproductive isolation among races of *H. erato* (8).

21  
**22 Advergence and the evolution of mimicry between *H. erato* and *H. melpomene*.**

23 We found no evidence that the two co-mimics shared similar demographic histories, as predicted  
 24 by the Brown-Sheppard-Turner co-evolution model. Instead, our data strongly suggest that the  
 25 phenotypic diversity in *H. erato* arose first and *H. melpomene* radiated subsequently to mimic *H.*  
 26 *erato*, a scenario first proposed by Eltringham early in the 20<sup>th</sup> Century. Eltringham (6, 28) noted  
 27 that *H. erato* was almost always much more common than *H. melpomene*, an observation borne  
 28 out by 90 years of subsequent field research (6). Based on differences in relative abundances, he  
 29 argued that *H. erato* was the model for the mimetic association, and that *H. melpomene* had  
 30 evolved unilaterally to mimic *H. erato*. As Eltringham recognized, the number-dependent  
 31 selection proposed by Müller (13) provides a potent driving force for this mimetic advergence.  
 32 The relative gain due to Müllerian mimicry between similarly unpalatable species is proportional  
 33 to the square of the ratio of their relative abundances. Thus, the rarer species gains considerably  
 34 more from mimicry than the common species. Our data support Eltringham's mimetic advergence  
 35 hypothesis in several ways. The much higher levels of variation in *H. erato* relative to *H.*  
 36 *melpomene* at both *Mpi* and *Tpi* are consistent with a larger historical effective population size in  
 37 *H. erato*. Moreover, plots of the effective population size through time indicate that this  
 38 demographic difference has persisted over both species' histories (Figure 2). Additionally, our  
 39 analyses indicate that *H. erato* is the older lineage, predating the origins of the *H. melpomene*  
 40 lineage by some 1-2 million years. Finally, the likely peripatric differentiation of both *H. himera*  
 41 and *H. e. chestertoni* suggest that the phenotypic radiation in *H. erato* may have evolved quite  
 42 early in the history of the species.

43  
 44 Although Eltringham (28) did not articulate how he envisioned the initial wing pattern radiation  
 45 in *H. erato* to have occurred, wing pattern radiation would not necessarily require allopatry (5).  
 46 Recent empirical research has shown that the selection against novel wing patterns is extremely

1 sensitive to changes in density (29). Thus, even a relatively small number of novel-patterned,  
2 distasteful butterflies can educate potential predators. If the novel phenotype reaches a critical  
3 density or occupies a critical radius, selection will cause the two warning patterns to be separated  
4 by a steep cline (5). These clines are unstable and will spread (30), but can become trapped in  
5 regions of low density, at boundaries between ecotones, or other physical barriers to gene flow (5,  
6 30). Hybrid zones between races will permit introgression at most loci not closely linked to those  
7 determining wing pattern differences; the high levels of gene flow detected across contemporary  
8 hybrid zones between parapatric races (J. Mallet; N.S. Flanagan, unpublished data) is consistent  
9 with this prediction. The establishment of novel pattern variation in *H. erato* in this manner could  
10 then easily drive mimetic advergence in *H. melpomene*. Indeed, with the five-fold difference in  
11 abundances suggested by the nuclear data, and often observed in real populations (6, 29), *H.*  
12 *melpomene* would receive 25-times more benefit from mimicry than *H. erato*. A newly formed *H.*  
13 *melpomene* pattern would quickly spread to occupy the range of its model, generating the parallel  
14 color-pattern mosaic that we observe today (30).

15  
16 The differing evolutionary and demographic histories revealed from analysis of nuclear data now  
17 indicate that a simultaneous diversification of *H. erato* and *H. melpomene* wing patterns is  
18 unlikely. Instead, the older origin, greater abundances, and earlier population expansion in *H.*  
19 *erato* suggest that the wing pattern radiation occurred first in this species and that diversification  
20 in *H. melpomene* evolved through advergence. Nonetheless, the suggestion that the wing pattern  
21 radiation in these butterflies evolved in concert cannot be unequivocally rejected. The wing  
22 patterns of *Heliconius* butterflies are remarkably labile, as evidenced by the rapid, independent  
23 diversification of wing pattern variation within regional clades of *H. melpomene*. Extremely  
24 strong selection acts on the relatively small number of loci that control wing pattern variation  
25 (10), providing the opportunity for continual mimetic evolution under some conditions. It is  
26 therefore possible that our estimation of the ‘average’ historical demography of these species does  
27 not directly reflect the specific demographic conditions under which wing patterns evolved. A  
28 definitive answer awaits comparative phylogenetic analysis of the color pattern loci themselves, a  
29 very real future possibility as genetic mapping work progresses (Tobler et al., pers. comm.) and  
30 genomic resources for *Heliconius* butterflies expand (31).

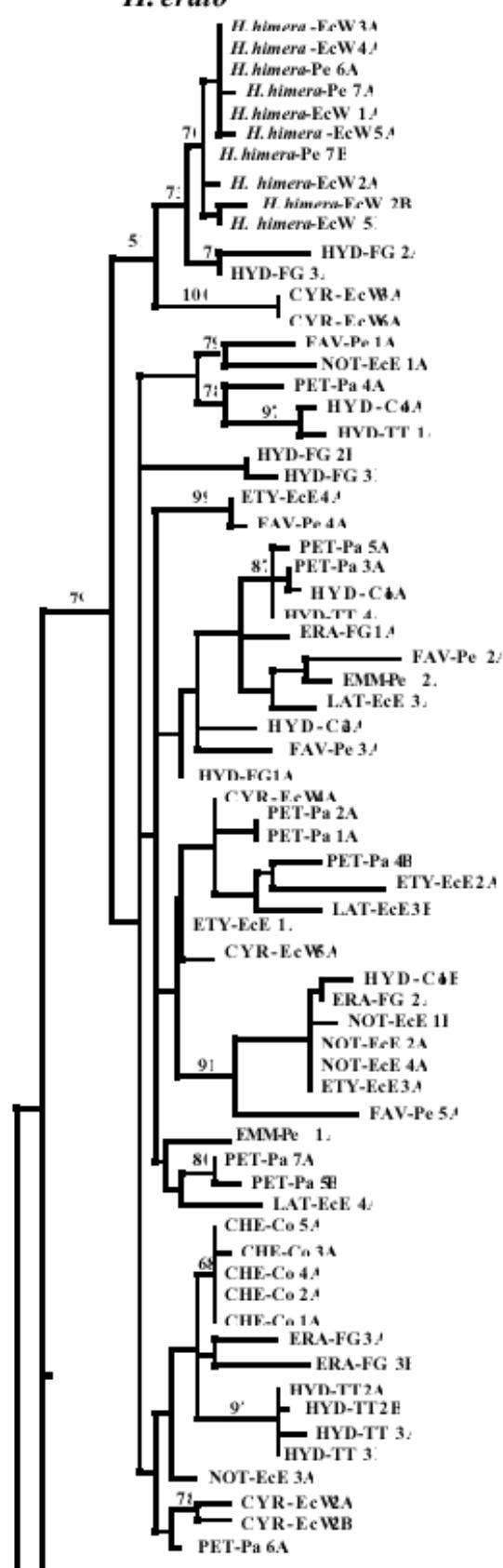
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9

1 **Figure 1.** Maximum-likelihood genealogies for *H. erato* and *H. melpomene* of *Tpi* alleles (A),  
2 and *Mpi* alleles (B). Best models of evolution were: TrN+I+G,  $P(I) = 0.3402$ ,  $a = 0.8850$  for *Tpi H. erato*;  
3 TrN+I,  $P(I) = 0.6301$  for *Tpi H. melpomene*; GTR+G,  $a = 0.6013$  for *Mpi H. erato*; and  
4 TrN+I,  $P(I) = 0.4376$  for *Mpi H. melpomene*. MP genealogies were qualitatively and  
5 quantitatively similar. Numbers on the branches are parsimony bootstrap values for the equivalent  
6 nodes on the ML tree. Sequences are labeled with a racial code, individual number, allele identity  
7 (A or B), and country code (see Supp. Table 1).  
8 Racial identity codes for *H. erato* were: CHE– *H. e. chesteronii*; CYR– *H. e. cyrbia*; EMM– *H. e.*  
9 *emma*; ERA– *H. e. erato*; ETY– *H. e. etylus*; FAV – *H. e. favorinus*; HYD – *H. e. hydara*; LAT–  
10 *H. e. latavitta*; NOT– *H. e. notabilis*; PET– *H. e. petiverana*; HIM – *H. himera*. Racial identity  
11 codes for *H. melpomene* were: AGLA– *H. m. aglaope*; MALL– *H. m. malleti*; AMAR– *H. m.*  
12 *amaryllis*; CYTH – *H. m. cythera*; ECUA– *H. m. ecuadorensis*; MELP *H. m. melpomene*;  
13 PLES– *H. m. plessini*; ROSI– *H. m. rosina*; THEL– *H. m. thelxiopeia*. Country codes are as  
14 follows: Pe– Peru; EcW– Ecuador, western slope of Andes; EcE– Ecuador, eastern slope of  
15 Andes; Pa– Panama; Co– Colombia; TT– Trinidad and Tobago; FG– French Guiana. In B, *H.*  
16 *melpomene* alleles marked with an asterisk are hypothesized to be of hybrid origin based a larger  
17 sampling of alleles (Bull *et al*, submitted).

# A. *Tpi* *H. erato*



Key to sampling locatio

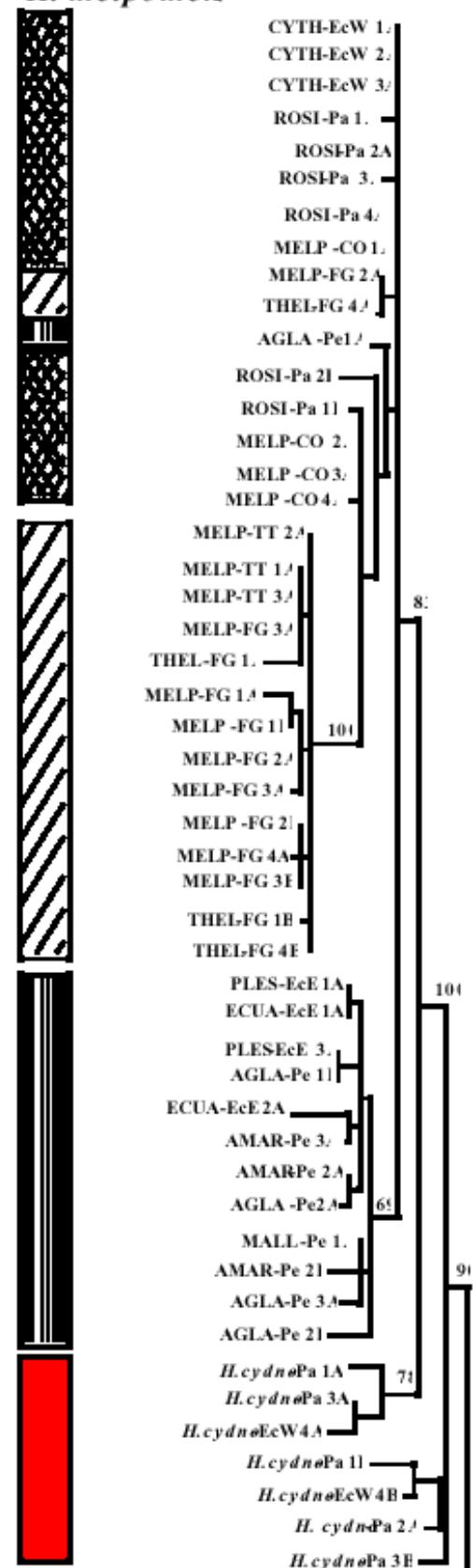


Amazonia

Guiana Shield

West of the Andes/Panama

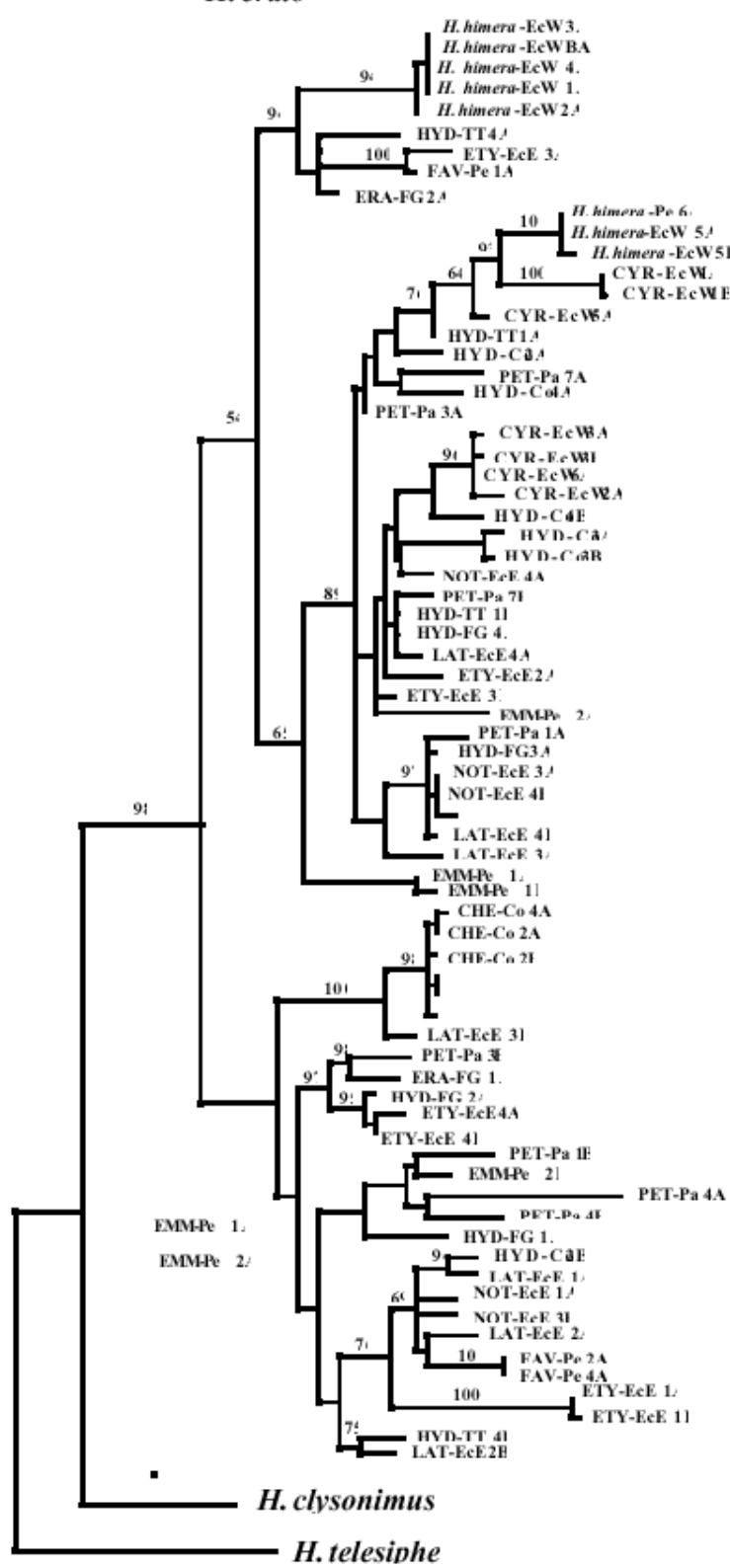
# *H. melpomen*



# *H. hecale*

## B. *Mpi*

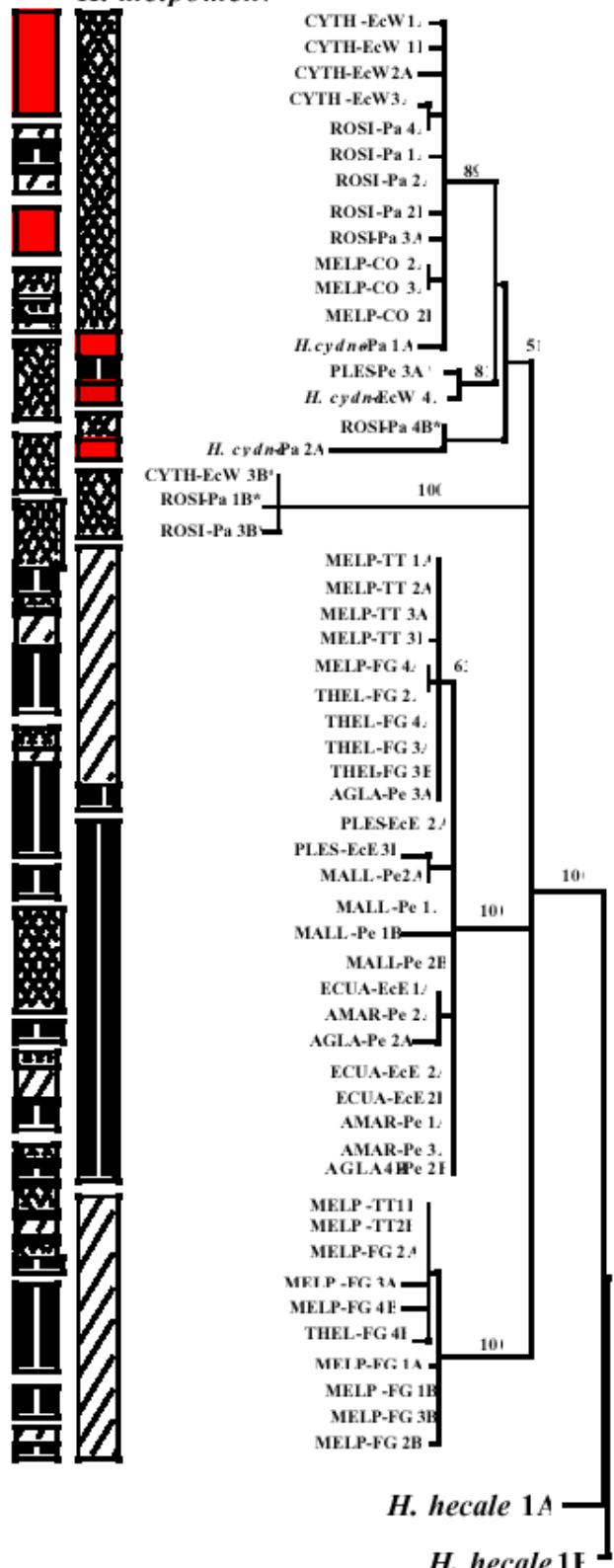
### *H. erato*



### *H. clysonimus*

### *H. telesiphe*

### *H. melpomene*

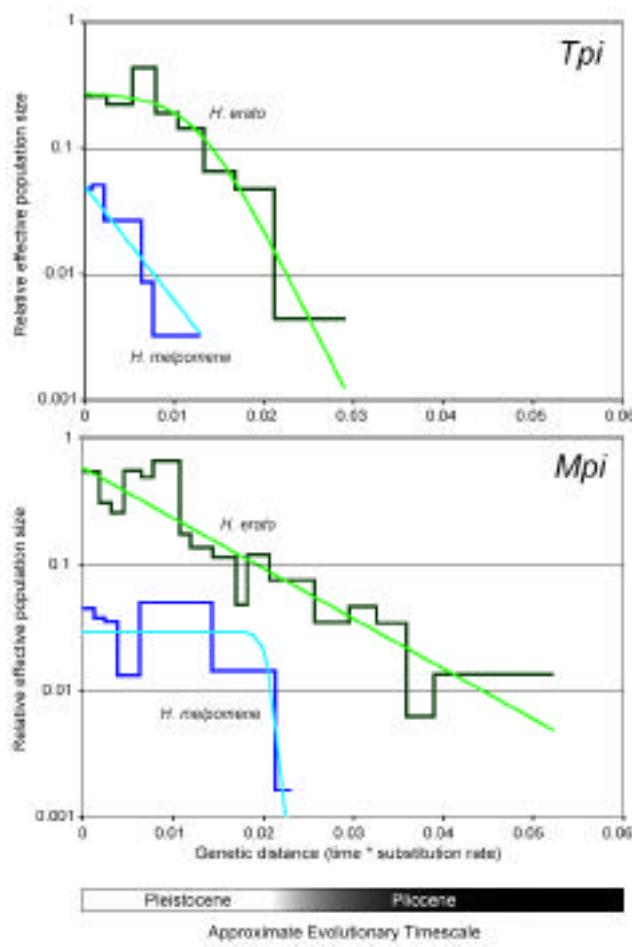


### *H. hecale 1A*

### *H. hecale 1F*

— 0.005 substitutions/site

1 **Figure 2.** Estimated demographic histories of the *H. erato* and *H. melpomene* radiations, for (A)  
2 *Tpi* and (B) *Mpi*. The thicker, piecewise plots are the generalized skyline plots, a non-parametric  
3 estimate of effective population size through time. The thinner, smooth curves represent the  
4 parametric ML demographic history (see Table 2). Plots for *H. erato* (green) and *H. melpomene*  
5 (blue) are shown on the same graph.



1

1 **Table 1.** Estimates of genetic diversity ( $\pi$ ) and recombination (r) (15) for mtDNA, *Tpi*, and *Mpi*  
 2 in *H. erato* and *H. melpomene* with approximate 95% confidence intervals (CIs). Estimates for the  
 3 mtDNA were derived using previously published sequence data (8, 9), pruned to include only the  
 4 geographic samples corresponding to those available for *Mpi* and *Tpi*.

5

Species	Locus	n	$\pi$ (95% CI)	r (95% CI)
<i>H. erato</i>	mtDNA	31	0.05 (0.03 - 0.08)	0.00 (0.00 - 0.14)
	<i>Tpi</i>	58	0.27 (0.19 - 0.38)	0.01 (0.00 - 0.04)
	<i>Mpi</i>	64	0.38 (0.28 - 0.53)	0.00 (0.00 - 0.01)
<i>H. melpomene</i>	mtDNA	22	0.02 (0.01 - 0.04)	0.00 (0.00 - 0.00)
	<i>Tpi</i>	42	0.06 (0.03 - 0.09)	0.00 (0.00 - 0.04)
	<i>Mpi</i>	51	0.08 (0.05 - 0.11)	0.00 (0.00 - 0.04)

6

1 **Table 2.** Evaluation of alternative parametric demographic models for the genealogies of *H. erato*  
 2 (excluding *H. e. chestertonii*) and *H. melpomene*, and their sub-populations, at *Mpi* and *Tpi*. The  
 3 most likely model was compared to constant and exponential models (\* = p<0.05; \*\* = p<0.01).  
 4 For *H. melpomene* results are presented with alleles of likely hybrid origin with *H. cydno* (see  
 5 figure 1) excluded from the analysis. An expansion growth model never gave a better fit, and  
 6 results are not presented.  
 7

Species	Locus	Sample	n	Highest	Reject	Reject
				Likelihood	Constant	Exponential
				Model	Model?	Model?
<i>H. erato</i>	<i>Tpi</i>	All	53	logistic	Yes**	Yes**
		West	19	logistic	Yes**	Yes**
		East	34	logistic	Yes**	Yes**
	<i>Mpi</i>	All	61	logistic	Yes**	No
		West	24	logistic	Yes**	Yes**
		East	37	logistic	Yes**	No
<i>H. melpomene</i>	<i>Tpi</i>	All	42	logistic	Yes**	No
		West	13	logistic	Yes**	Yes**
		East	13	logistic	Yes**	No
		Guiana	16	logistic	Yes*	Yes*
	<i>Mpi</i>	All	48	logistic	Yes*	Yes**
		West	13	logistic	Yes*	No
		East	16	log/expo	Yes**	No
		Guiana	19	logistic	Yes**	Yes**

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