

# CSE 331 Notes

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# 1 Hoare Logic

## 1.1 Hoare Triple

A Hoare triple is two assertions and one piece of code

$$\{\{P\}\} \ S \ \{\{Q\}\}$$

- $P$  is the precondition
- $S$  is the code
- $Q$  is the postcondition

## 1.2 Comparing Assertions

If  $P1 \Rightarrow P2$ , then  $P1$  is stronger than  $P2$

- Whenever  $P1$  holds,  $P2$  also holds
- $P1$  puts more constraints on program states
- $P1$  is more specific about the program state

## 1.3 Modifying Hoare Triples

Suppose that  $\{\{P\}\} \ S \ \{\{Q\}\}$  is valid

- The precondition  $P$  can be strengthened
- The postcondition  $Q$  can be weakened

The Hoare triple remains valid if any of these changes are made

## 1.4 Forward Reasoning

1. Start with the given precondition
2. Fill in the strongest postcondition for each step downwards

## 1.5 Backward Reasoning

1. Start with the required postcondition
2. Fill in the weakest precondition for each step upwards

## 1.6 Combining Forward and Backward Reasoning

1. Reason forward from precondition
2. Reason backward from postcondition
3. Meet in the middle (top assertion must be stronger than bottom one)

## 1.7 IF Statements

---

```

1  // Forward reasoning
2  {{P}}
3  if (cond)
4      {{P and cond}}
5      S1
6      {{Q1}}
7  else
8      {{P and !cond}}
9      S2
10     {{Q2}}
11     {{Q1 or Q2}}
12
13 // Backward reasoning
14 {{(P1 and cond) or (P2 and !cond)}}
15 if (cond)
16     {{P1}}
17     S1
18     {{Q}}
19 else
20     {{P2}}
21     S2
22     {{Q}}
23     {{Q}}

```

---

## 1.8 Loop Invariants

A loop invariant is an assertion that holds at the top of a loop

---

```

1  {{Inv: I}}
2  while (cond)
3      {{I and cond}}
4      S
5      {{I}}
6  {{I and !cond}}

```

---

- It holds when we first get to the loop
- It holds each time we execute `S` and come back to the top

## 2 Specifications

### 2.1 Javadoc

---

```

1  /**
2   * @param          description of parameters
3   * @return         describes return value
4   * @throws         lists possible exceptions and the conditions
5   * @spec.requires  lists all obligations on client arguments
6   * @spec.modifies  lists objects that may be affected by method
7   * @spec.effects  gives guarantees on final state of affected
8   *               objects
9   *               under which they are thrown
10  */

```

---

### 2.2 Comparing Specifications

If  $S_1$  is stronger than  $S_2$

- Precondition of  $S_1$  is weaker than that of  $S_2$
- Postcondition of  $S_1$  is stronger than that of  $S_2$

If  $S_1$  is weaker than  $S_2$

- Precondition of  $S_1$  is stronger than that of  $S_2$
- Postcondition of  $S_1$  is weaker than that of  $S_2$

### 2.3 Modifying Specifications

Strengthening specifications

- Should not break clients
- Could break implementation

Weakening specifications

- Could break clients
- Should not break implementation

## 3 Abstract Data Types

### 3.1 Abstract Data Types

An ADT abstracts from the organization to the meaning of data

- Details of data structures are hidden from the client
- Client can only see the operations that are provided

Benefits:

- Allow us to change how data is stored
- Improve performance
- Change algorithms
- Delay decisions on how ADTs are implemented (modular)

### 3.2 Components of an ADT

Immutable ADTs

- Overview
- Abstract state
- Creators
- Observers/Getters
- Producers

Mutable ADTs

- Overview
- Abstract state
- Creators
- Observers/Getters
- Mutators

### 3.3 Abstraction State

The abstract state is an abstract description of what the object represents

- Contains no implementation details
- Leaves us free to change concrete representation in the future
- Described in mathematical concepts

### 3.4 Abstraction Function

Translates the object state into the abstract state

- Says what the data structure means in terms of their abstract state
- Describes how the implementation fields are used to describe the abstract state
- Allows us to check that the abstract state satisfies the postcondition

### 3.5 Representation Invariant

Guarantees a certain relationship among implementation fields

- Must hold at all times
- No instance of the object should ever violate the representation invariant

### 3.6 Representation Exposure

Representation exposure is external access to internal fields

- Almost always bad
- Can cause bugs that will be very hard to detect
- Should be clearly documented if allowed

How to avoid representation exposure

- Copy in parameters that become part of the implementation
- Copy out results that are part of the implementation
- Alternatively make them unmodifiable

### 3.7 Options For Avoiding Representation Exposure

- If  $O(n)$  time is acceptable, return a copy of the object
  - Safest option
  - Allows changeability
- If  $O(1)$  time is required, return an unmodifiable object
  - Prevents breaking the representation invariant
- Document whether return value is a fresh mutable object (copied) or a read-only access (unmodifiable)

## 4 Testing

### 4.1 Terminology

- High code coverage
  - Ensure test suite covers all of the program
  - Assess quality of the test suite with % coverage
  - 100% coverage may not be a reasonable target

### 4.2 How to Test

Write the test

1. Choose input/configuration
2. Define the expected outcome

Run the test

1. Run with input and record the actual outcome
2. Compare actual outcome to expected outcome

### 4.3 Test Suite Subdomains

A subdomain is a subset of possible test inputs

- A subdomain is revealing for error  $E$  if either
  - Every input in that subdomain triggers error  $E$
  - No input in that subdomain triggers error  $E$
- Need to test at least one input from each revealing subdomain to check for bugs
  - If you test one input from every revealing subdomain, you are guaranteed to find the bug
- Need to guess revealing subdomains
  - Make educated guesses where the bugs might be

### 4.4 Unit Testing

Unit testing focuses on one module (i.e. class or method)

- Tests a single unit in isolation from others

### 4.5 Integration Testing

Integration testing verifies that the modules fit together properly

- Usually done after the modules are well tested
- Usually done after unit testing



#### **4.6 Boundary / Special Case Testing**

Test for cases at the edge of subdomains to check for:

- Off-by-one bugs
- Overflow errors
- Object aliasing
- Smallest/largest values
- Zero/null values

#### **4.7 Black Box / Specification Testing**

Only the specification of the procedure is known, the internals and implementation is unknown

- Avoids psychological biases
- Allows tests to be written first
- Tests do not need to be changed if procedure implementation is changed

#### **4.8 Clear Box / Implementation Testing**

Only the internals and implementation of the procedure is known, the specification is unknown

- Control-flow details
- Performance optimizations
- Alternate algorithms for different cases

#### **4.9 Regression Testing**

When a bug is found in production, add the input that elicited the bug to the test suite

- Ensures that your fix solves the problem
- Protects against future revisions that reintroduce the bug

## 5 Modular Design

### 5.1 Terminology

- Coupling
  - How much dependency there is between modules
  - Inter-module
  - Less coupling is better
    - \* Can understand each module without much understanding of others
- Cohesion
  - How well parts of a module fit and work together
  - Intra-module
  - More cohesion is better
    - \* Forms something that is self-contained, independent and with a well-defined purpose
- Completeness
  - Objects should be fully initialized at the end of constructors
- Consistency
  - Names, parameter ordering and behavior should be consistent

## 6 Equality

### 6.1 Properties of Equality

- Reflexive

`a.equals(a) == true`

- Symmetric

`a.equals(b) ⇔ b.equals(a)`

- Transitive

`a.equals(b) && b.equals(c) ⇔ a.equals(c)`

A relation that is reflexive, transitive and symmetric is called an equivalence relation

### 6.2 Reference Equality

Reference equality means an object is equal only to itself

- `a == b` if and only if `a` and `b` point to the same object
- Is the smallest/strongest equivalence relation on objects

### 6.3 equals() Specification

Implementations of the `equals()` class must be

- Reflexive
- Symmetric
- Transitive
- Consistent
  - `x.equals(y)` should consistently return true or false provided that neither is mutated
- For any `x` that is non-null, `x.equals(null)` should return false

### 6.4 hashCode() Specification

If two objects are equal, they must have the same hash code

- `a.equals(b) ⇒ a.hashCode() == b.hashCode()`
- No guarantees that `a` and `b` have different hash codes if `!a.equals(b)`

## 7 Exception Handling

### 7.1 Assertions

---

```

1  assert condition;
2  assert condition : "message";

```

---

Used for internal consistency checks that should never fail

- Throws an `AssertionError` if condition is false
- Must be enabled or disabled at runtime
  - `java -ea` runs code with assertions enabled
  - `java` runs code with assertions disabled (default)
- Usually disabled in production code

### 7.2 Preconditions

---

```

1  /**
2   * @spec.requires lists all obligations on client arguments
3   */

```

---

Used in contexts in which calls can be checked via reasoning

- Where checking for preconditions at runtime would be prohibitive
- Not enforced by code, only by specifications

### 7.3 Special Values

---

```

1  public int method() {
2      ...
3      if (specialCondition) {
4          return -1;
5      }
6      ...
7  }

```

---

Used in common cases that are illegal but likely to occur

- Where clients are likely to remember to check for special values
- Special values should not occur during normal operation

## 7.4 Exception

---

```
1      public void method() throws NameOfException {  
2          ...  
3          throw new NameOfException();  
4          ...  
5      }
```

---

Used in unpredictable contexts and rare or exceptional cases

- There are two kinds of exceptions
  - Checked exceptions (standard exceptions)
    - \* For special cases that may occur
    - \* Must be declared in method signature
    - \* Must be caught by a method in the runtime stack
  - Unchecked exceptions (runtime exceptions)
    - \* For unexpected cases that should never occur
    - \* No need to be declared
    - \* No need to be caught
- Halts program execution if not caught

## 8 Polymorphism

### 8.1 Subtype

---

```

1      // composition implementation of a subtype
2      class SubType {
3          private SuperType mySuperType;
4
5          // SubType's method accesses SuperType's public
6          // methods to 'inherit' functionality
7          public ... {
8              ...
9          }
10     }

```

---

A subtype is a strengthening of a supertype

- Every object that satisfies the rules for a subtype also satisfies the rules for the supertype
  - Subtype may have a weaker precondition
  - Subtype may have a stronger postcondition
- A subtype can substitute the supertype
- A subtype inherits the supertype's functionality and expands upon it
- The term subtype refers to its specification

For method inputs:

- A subtype argument can be replaced with a supertype argument
- Places no extra demand on clients
- Will overload the method

For method outputs:

- A supertype return value can be replaced with a subtype return value
- No new exceptions

### 8.2 Subclass

---

```

1      // inheritance implementation of a subclass
2      class SubClass extends SuperClass {
3
4          // additional functionality added
5          public ... {
6              ...
7          }
8      }

```

---

- A subclass may not satisfy the rules for the superclass
- A subclass may not be able to substitute the superclass
- A subclass inherits the superclass' functionality and expands upon it
- The term subclass refers to its implementation

## 9 Abstraction

### 9.1 Abstraction

- Hides details from the client
  - Increases readability
  - Increases changeability
- Allows meaningful naming of concepts
  - i.e. object identifiers
- Allows reuse of code in new contexts
  - i.e. classes, methods, ADTs

### 9.2 Varieties of Abstraction

- Abstraction over computation
  - Abstracts the computation from the client
  - i.e. procedures, methods
- Abstraction over data
  - Abstracts the storage of data from the client
  - i.e. ADTs, classes, interfaces
- Abstraction over types
  - Abstracts the data type from the client
  - i.e. generics

### 9.3 Generics Bounds

- `<TypeVar extends SuperType>`
  - an upper bound
  - accepts given supertype or any of its subtypes
- `<TypeVar extends Interface>`
  - an upper bound
  - accepts a data type that implements given interface
- `<TypeVar extends ClassA & InterfaceB & InterfaceC & ...>`
  - multiple upper bounds
- `<? super SubType>`
  - a lower bound
  - accepts given subtype or any of its supertypes

## 9.4 Generics Type Erasure

All generics are treated as type `Object` by the Java compiler

- Compiler cannot distinguish between generics
- Casting generics will result in a warning
- Cannot use `instanceof Class<E>`
  - Can only use `instanceof Class<?>`
  - Checks that it is an instance of `Class`, but does not guarantee it uses the same generics