CS2040S Data Structures and Algorithms

(e-learning edition)

Disjoint Sets and Union-Find

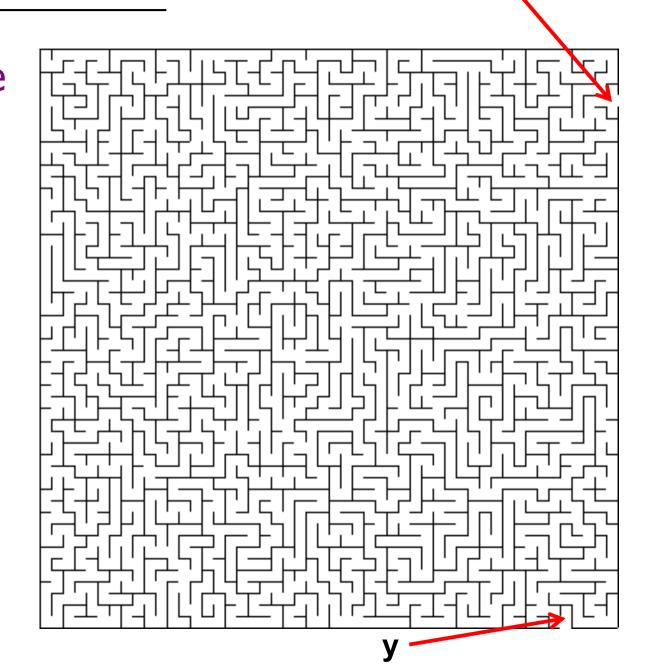
Roadmap

Disjoint Set Data Structure

- Problem: Dynamic Connectivity
- Algorithm: Union-Find
- Applications

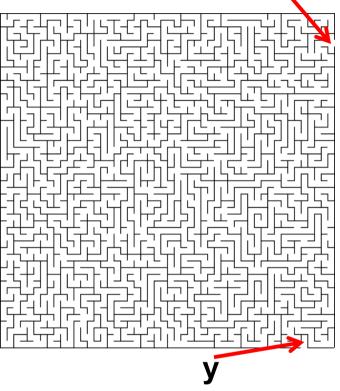
Z

Is there any route from y to z?



Best way to find if there is a route from Y to Z?

- 1. Breadth-first search
- 2. Depth-first search
- 3. Either

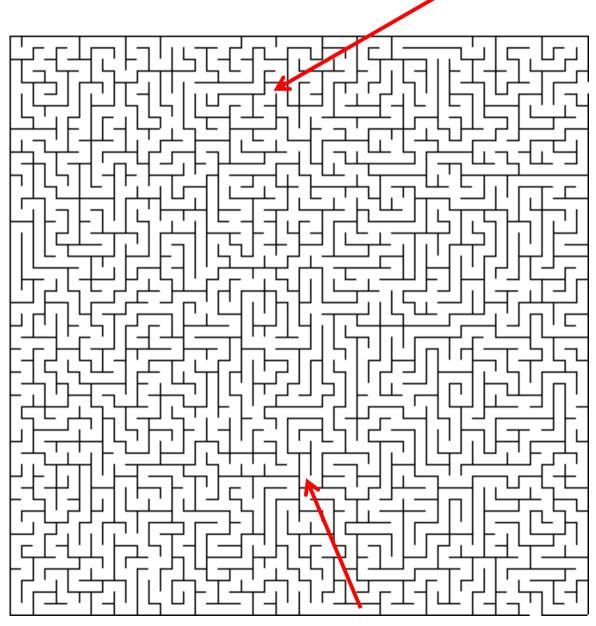


Two steps:

- 1. Pre-process maze
- 2. Answer queries

isConnected(y,z) :

Returns true if there is a path from A to B, and false otherwise.

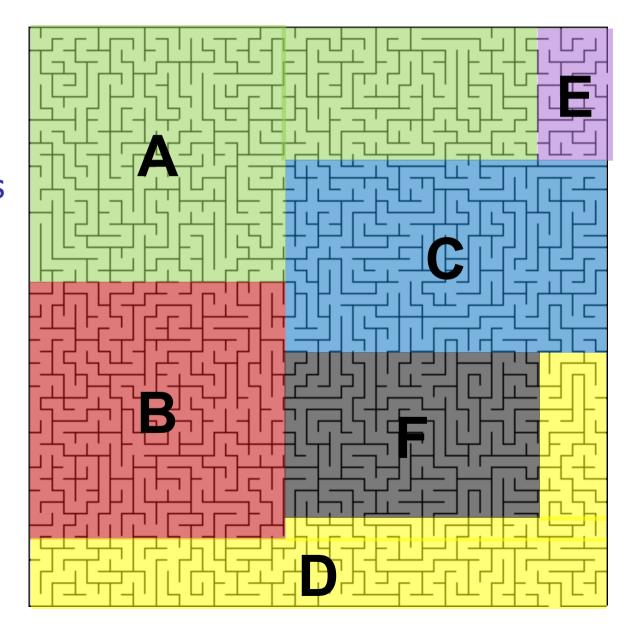


Preprocess:

Identify connected components. Label each location with its component number.

isConnected(y,z) :

Returns true if A and B are in the same connected component.



Preprocess:

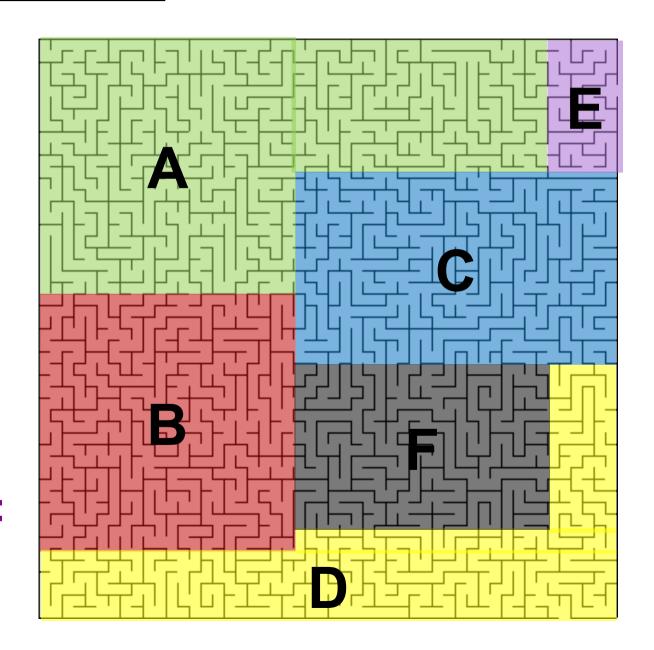
Prepare to answer queries.

destroyWall(x):

Remove walls from the maze using your superpowers.

isConnected(y, z):

Answer connectivity queries.



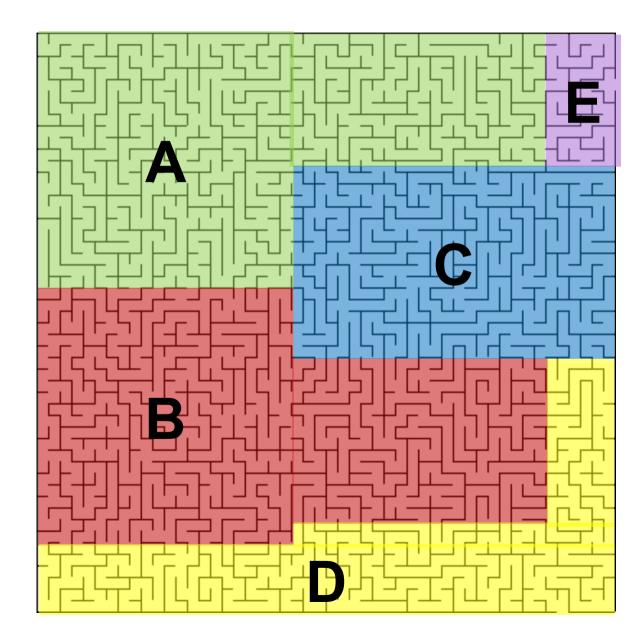
Preprocess:

Prepare to answer queries.

destroyWall(x):

Remove walls from the maze using your superpowers.

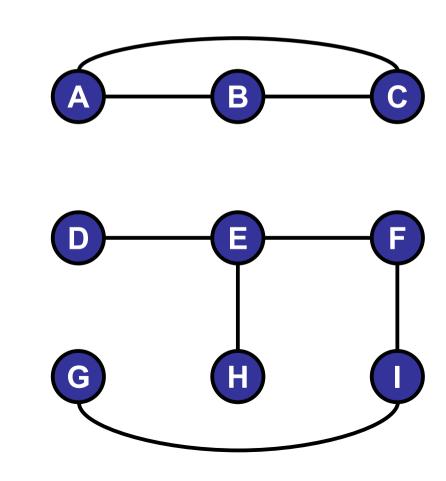
isConnected(y, z):
Answer connectivity
queries.



Given a set of objects:

- Union: connect two objects
- Find: is there a path connecting the two objects?

```
union(E, F)
union(I, G)
union(D, E)
union(B, A)
find(G, D) = false
find(D, F) = true
union(B, C)
union(H, E)
union(A, C)
union(F, I)
find(G, D) = true
```



Given a set of objects:

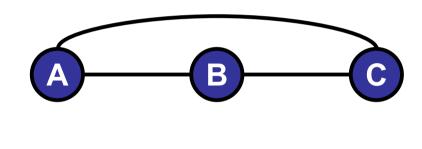
- Union: connect two objects
- Find: is there a path connecting the two objects?

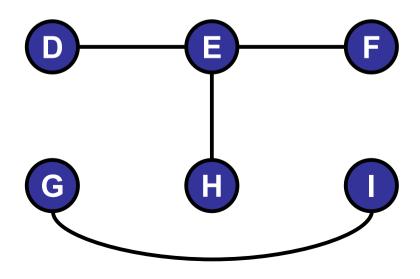
Transitivity

If p is connected to q and if q is connected to r, then p is connected to r.

Connected components:

Maximal set of mutually connected objects.

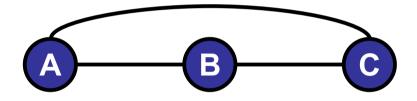


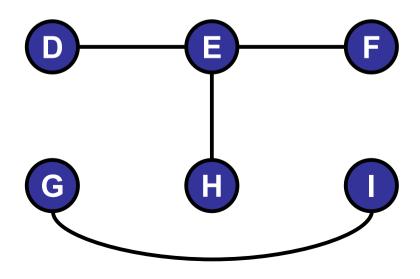


Given a set of objects:

- Union: connect two objects
- Find: is there a path connecting the two objects?

Maintain sets of nodes:



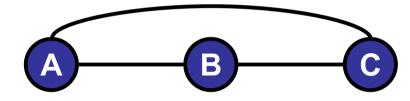


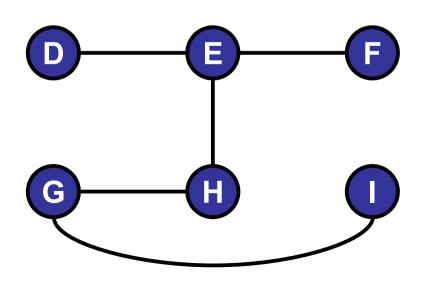
Given a set of objects:

- Union: connect two objects
- Find: is there a path connecting the two objects?

Maintain sets of nodes:

{D, E, F, H, G, I}





Abstract Data Type

Disjoint Set (Union-Find)

public interface	DisjointSet <key></key>	
	DisjointSet(int N)	constructor: N objects
boolean	find(Key p, Key q)	are p and q in the same set?
void	union(Key p, Key q)	replace sets containing p and q with their union

Roadmap

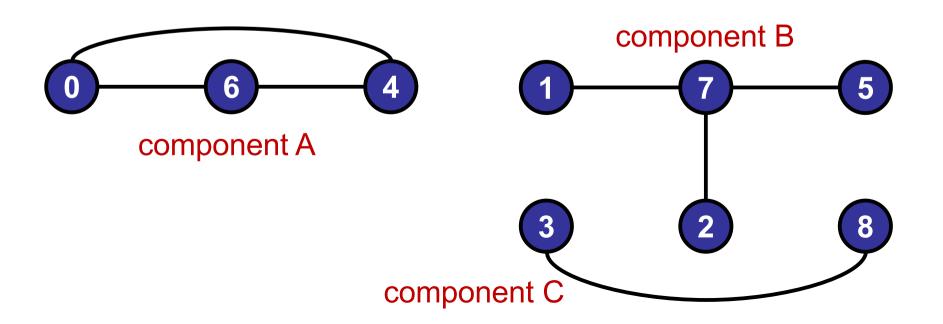
Part II: Disjoint Set

- Problem: Dynamic Connectivity
- Algorithm: Quick-Find
- Algorithm: Quick-Union
- Optimizations
- Applications

Data structure:

- Array: componentId
- Two objects are connected if they have the same component identifier.

object	0	1	2	3	4	5	6	7	8
component identifier	A	В	В	С	A	В	A	В	С

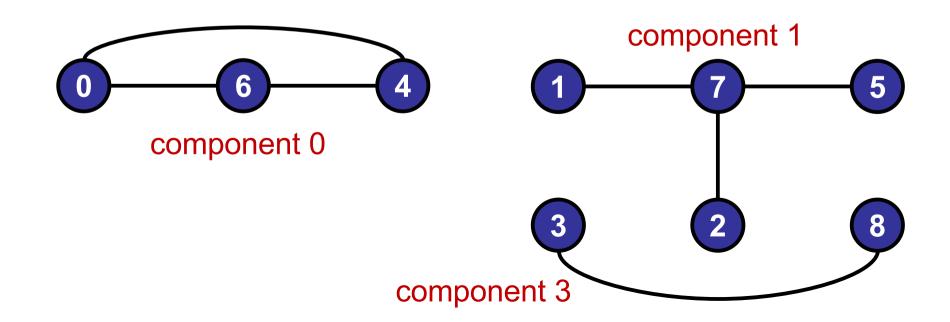


Data structure:

Assume objects are integers

- Integer array: int[] componentId
- Two objects are connected if they have the same component identifier.

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	1	3	0	1	0	1	3



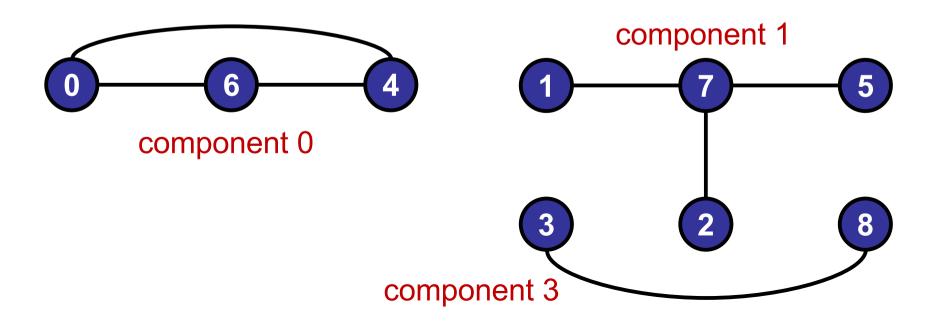
If objects are **not** integers, how could we convert them to integers?

- 1. Binary search tree
- 2. Hash function
- 3. Hash table + chaining
- 4. Hash table + open addressing
 - 5. Bloom filter
 - 6. Priority queue

Data structure:

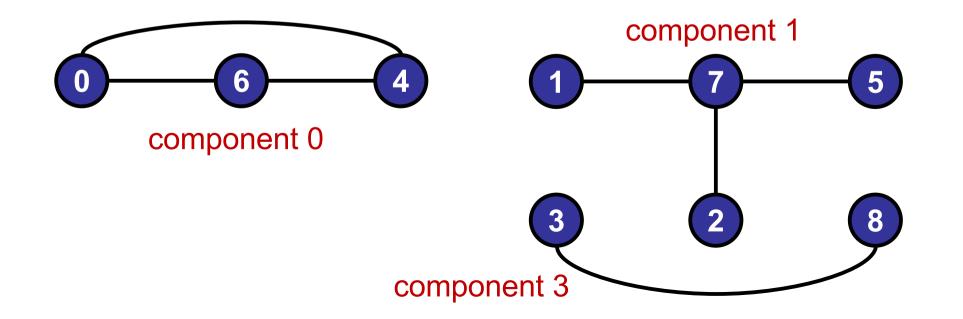
- Integer array: int[] componentId
- Two objects are connected if they have the same component identifier.

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	1	3	0	1	0	1	3



```
find(int p, int q)
return(componentId[p] == componentId[q]);
```

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	1	3	0	1	0	1	3



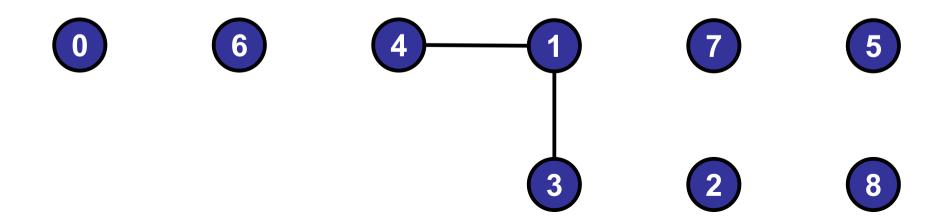
Initial state of data structure:

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	2	3	4	5	6	7	8

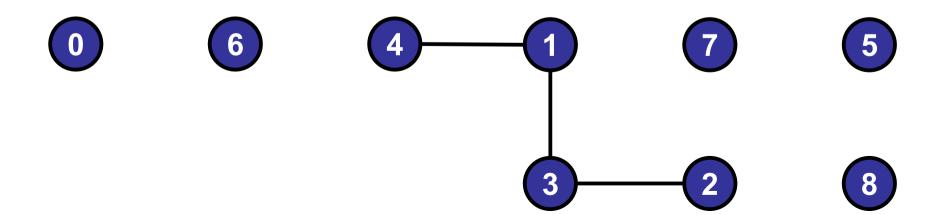
object	0	1	2	3	4	5	6	7	8
component identifier	0	1	2	3	1	5	6	7	8

4 1

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	2	1	1	5	6	7	8

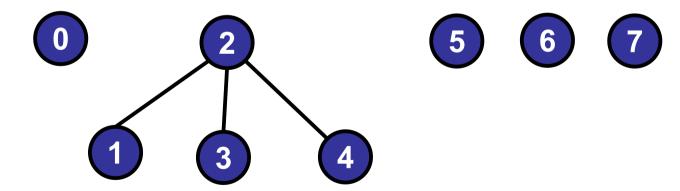


object	0	1	2	3	4	5	6	7	8
component identifier	0	2	2	2	2	5	6	7	8



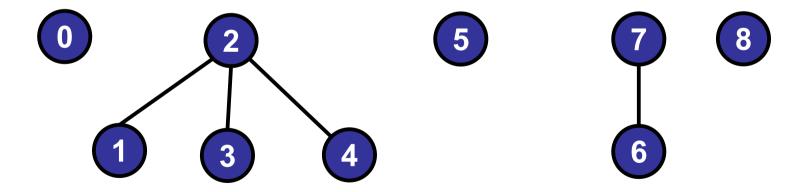
Flat trees:

object	0	1	2	3	4	5	6	7	8
component identifier	0	2	2	2	2	5	6	7	8



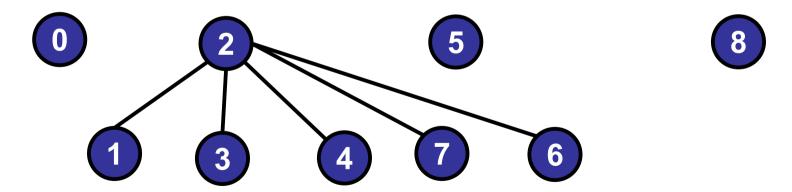
Flat trees:

object	0	1	2	3	4	5	6	7	8
component identifier	0	2	2	2	2	5	7	7	8



Flat trees:

object	0	1	2	3	4	5	6	7	8
component identifier	0	2	2	2	2	5	2	2	8



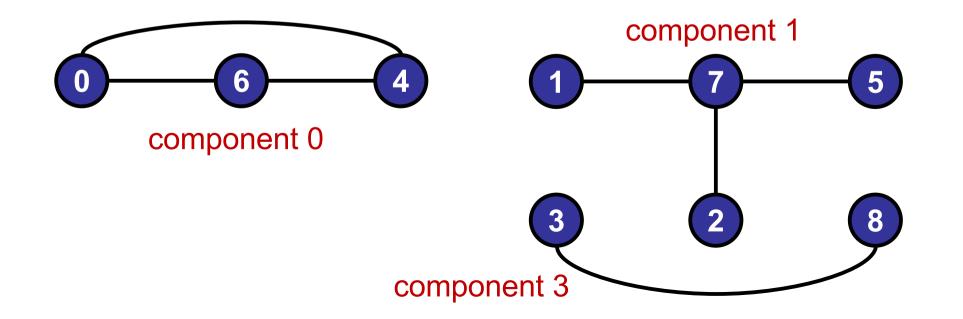
Running time of (Find, Union):

```
1. O(1), O(1)
```

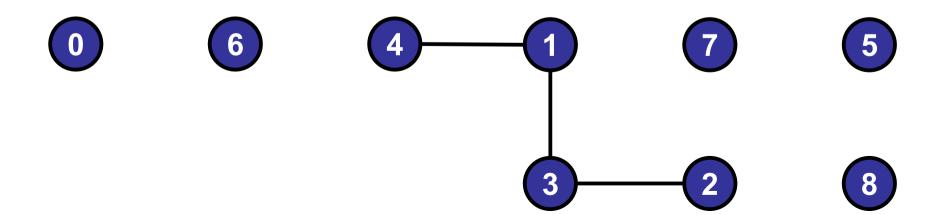
- **✓**2. O(1), O(n)
 - 3. O(n), O(1)
 - 4. O(n), O(n)
 - 5. O(log n), O(log n)
 - 6. None of the above.

```
find(int p, int q)
return(componentId[p] == componentId[q]);
```

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	1	3	0	1	0	1	3



object	0	1	2	3	4	5	6	7	8
component identifier	0	2	2	2	2	5	6	7	8



Roadmap

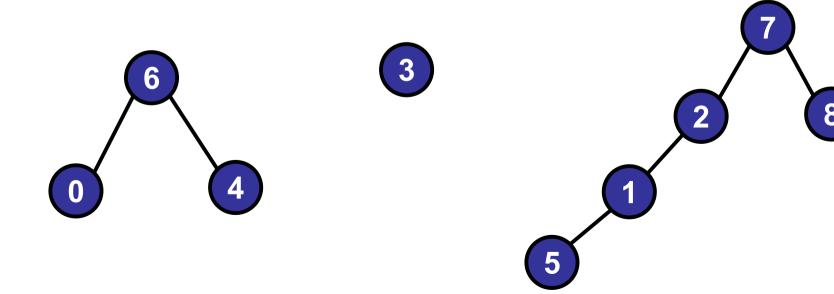
Disjoint Set

- Problem: Dynamic Connectivity
- Algorithm: Quick-Find
- Algorithm: Quick-Union
- Optimizations
- Applications

Data structure:

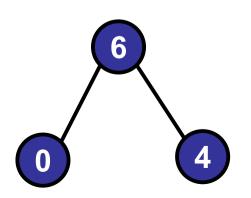
- Integer array: int[] parent
- Two objects are connected if they are part of the same tree.

object	0	1	2	3	4	5	6	7	8
parent	6	2	7	3	6	1	6	7	7

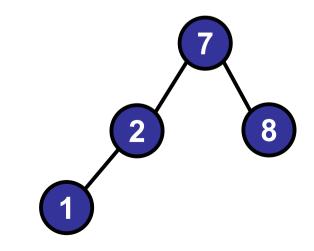


```
find(int p, int q)
  while (parent[p] != p) p = parent[p];
  while (parent[q] != q) q = parent[q];
  return (p == q);
```

object	0	1	2	3	4	5	6	7	8
parent	6	2	7	3	6	1	6	7	7



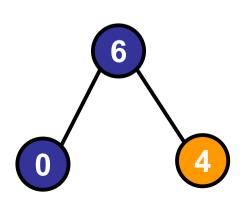
3



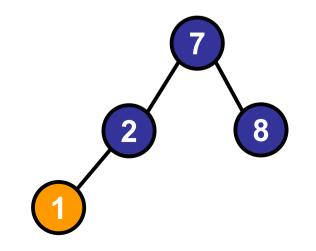
```
Example: find(4, 1)
4 \rightarrow 6 \rightarrow 6;
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```



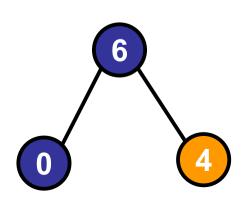
3



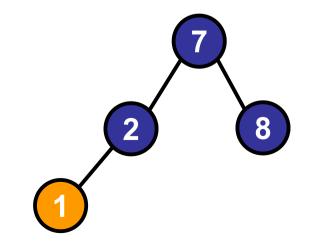
```
Example: find(4, 1)
4 \rightarrow 6 \rightarrow 6
1 \rightarrow 2 \rightarrow 7 \rightarrow 7
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```



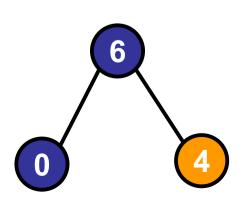




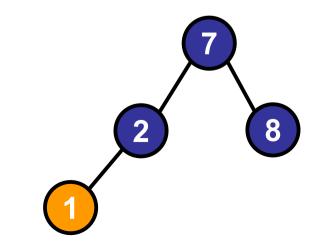
```
Example: find(4, 1)
4 \rightarrow 6 \rightarrow 6
1 \rightarrow 2 \rightarrow 7 \rightarrow 7
return (6 == 7) \rightarrow false
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```



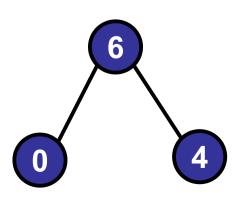
3



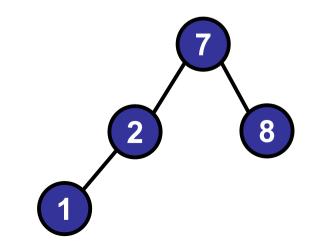
```
find(int p, int q)
  while (parent[p] != p) p = parent[p];
  while (parent[q] != q) q =parent[q];
  return (p == q);
```

 object
 0
 1
 2
 3
 4
 5
 6
 7
 8

 parent
 6
 2
 7
 3
 6
 1
 6
 7
 7

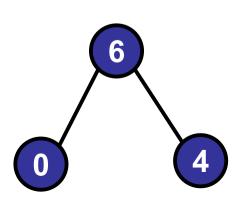


3

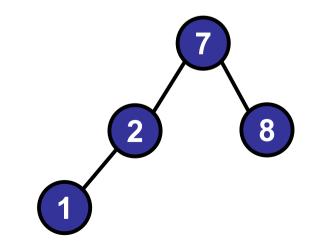


```
union(int p, int q)
while (parent[p] != p) p = parent[p];
while (parent[q] != q) q= parent[q];
parent[p] = q;
```

object	0	1	2	3	4	5	6	7	8
parent	6	2	7	3	6	1	6	7	7

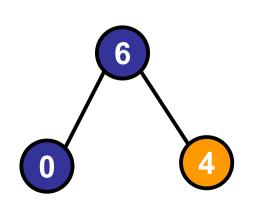


3

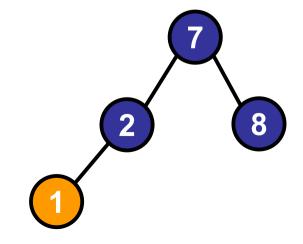


Example: union(1, 4)





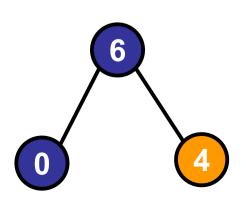
3



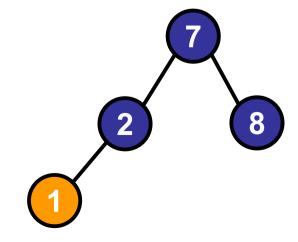
```
Example: union(1, 4)
4 \rightarrow 6 \rightarrow 6
1 \rightarrow 2 \rightarrow 7 \rightarrow 7
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```



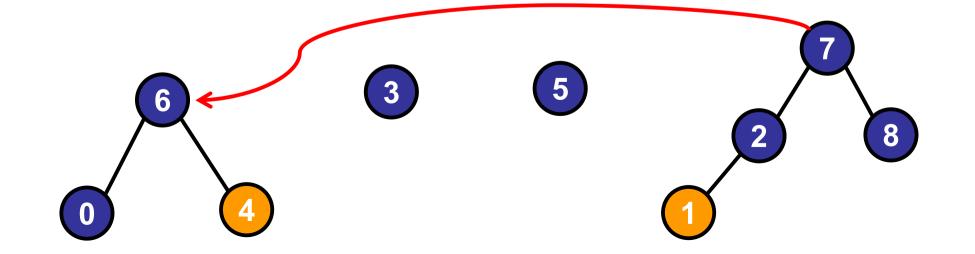
3



```
Example: union(1, 4)
4 \rightarrow 6 \rightarrow 6
1 \rightarrow 2 \rightarrow 7 \rightarrow 7
parent[7] = 6;
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

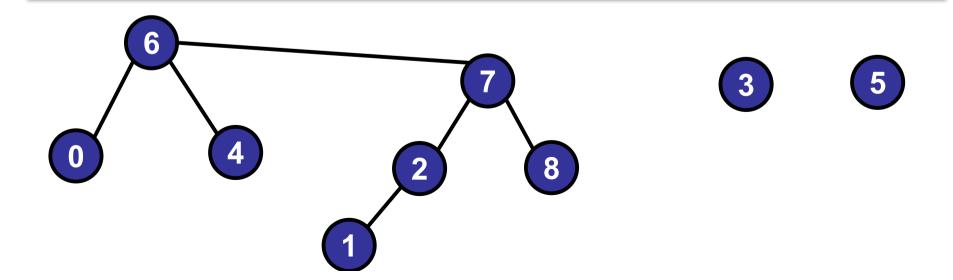
      parent
      6
      2
      7
      3
      6
      1
      6
      6
      7
```

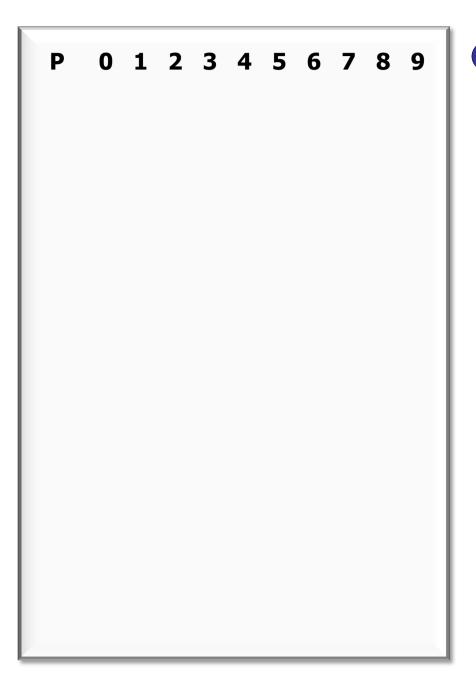


```
Example: union(1, 4)
4 \rightarrow 6 \rightarrow 6
1 \rightarrow 2 \rightarrow 7 \rightarrow 7
parent[7] = 6;
```

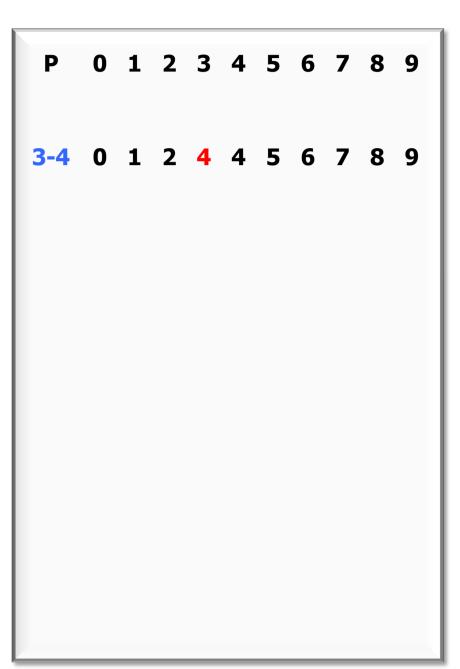
```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      6
      7
```

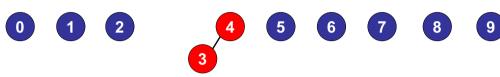


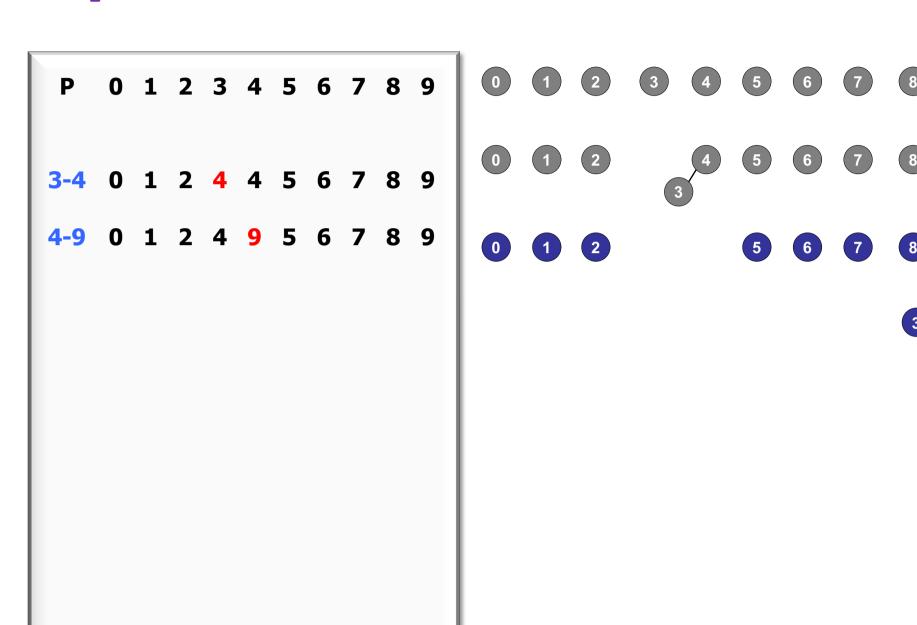


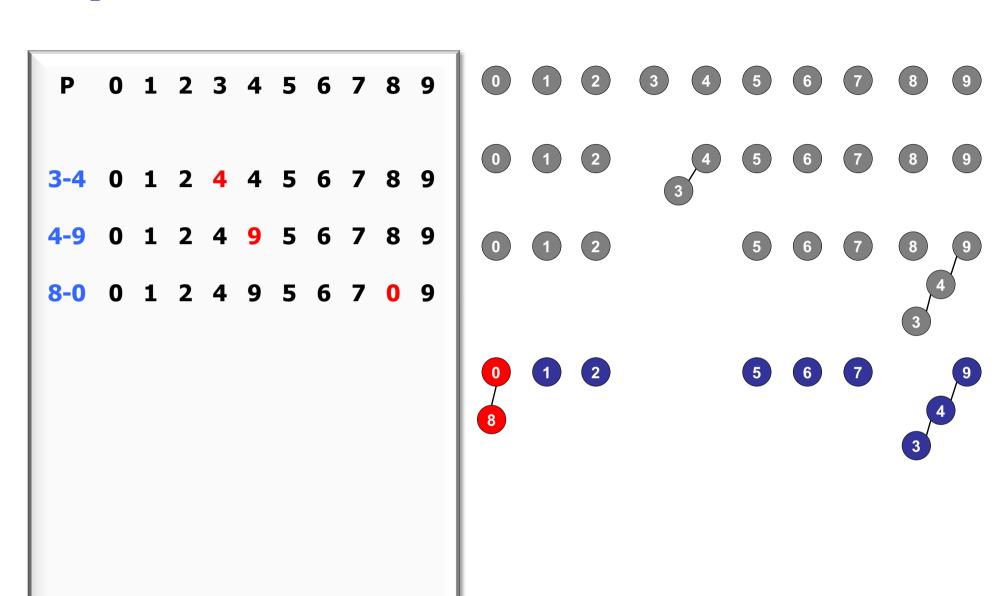


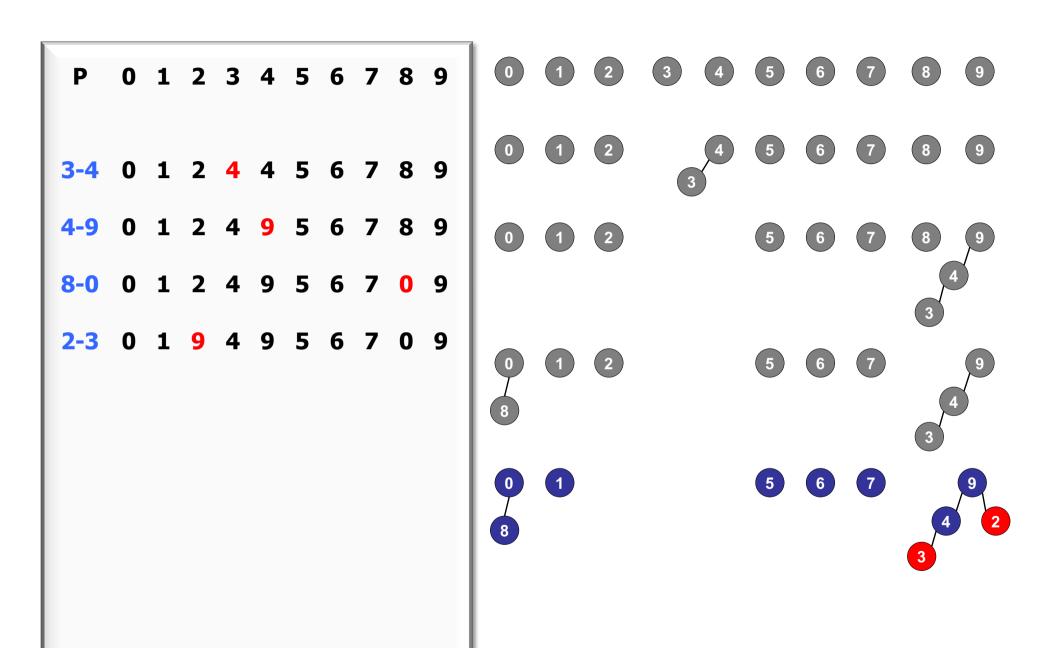


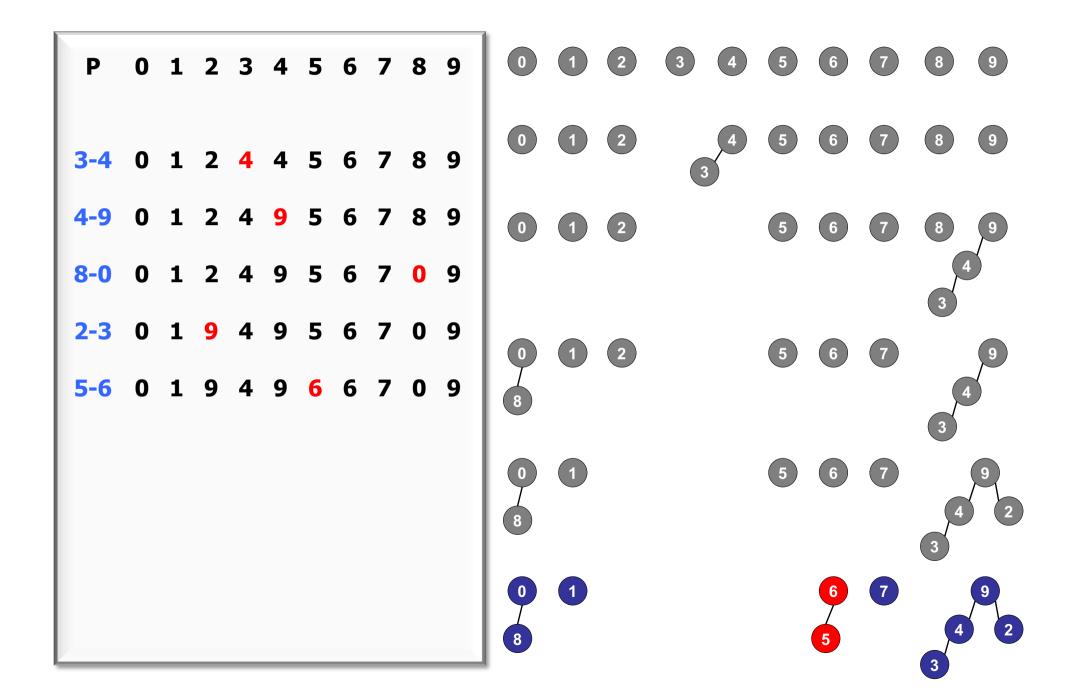






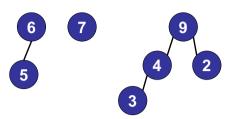




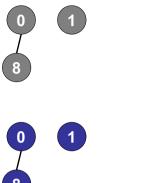


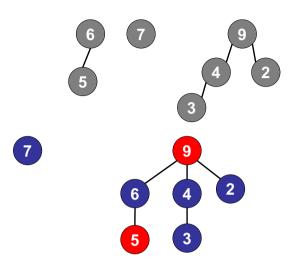




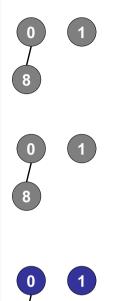


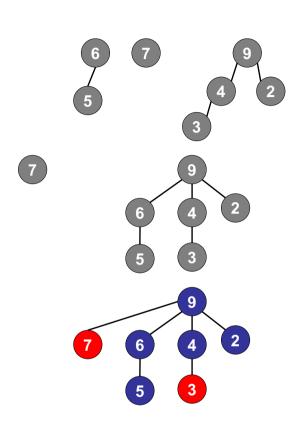


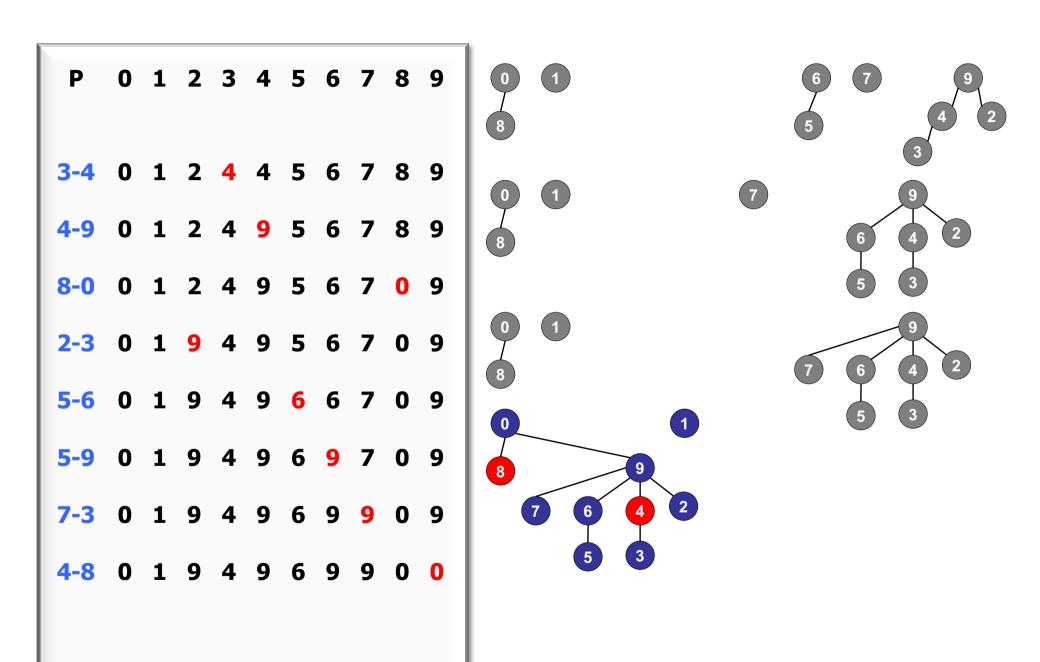


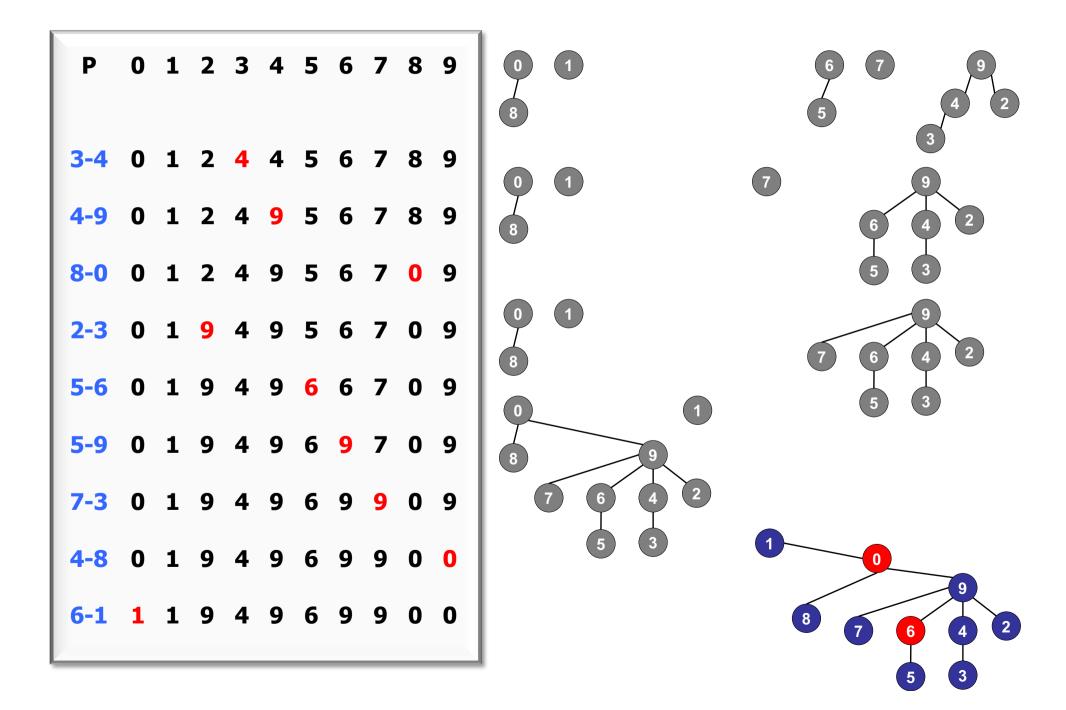






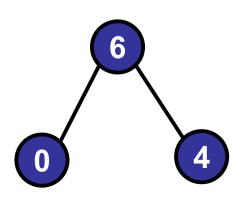




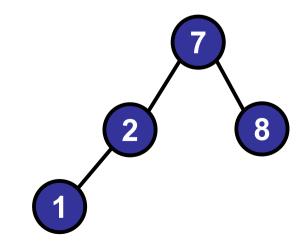


```
union(int p, int q)
while (parent[p] != p) p = parent[p];
while (parent[q] != q) q = parent[q];
parent[p] = q;
```

object	0	1	2	3	4	5	6	7	8
parent	6	2	7	3	6	1	6	7	7



3

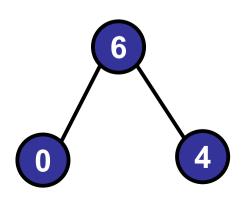


Running time of (Find, Union):

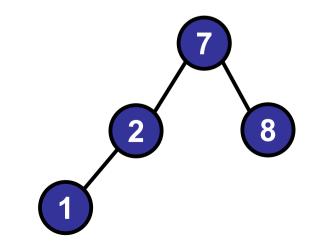
- 1. O(1), O(1)
- 2. O(1), O(n)
- 3. O(n), O(1)
- **✓**4. O(n), O(n)
 - 5. O(log n), O(log n)
 - 6. None of the above.

```
find(int p, int q)
  while (parent[p] != p) p = parent[p];
  while (parent[q] != q) q = parent[q];
  return (p == q);
```

object	0	1	2	3	4	5	6	7	8
parent	6	2	7	3	6	1	6	7	7

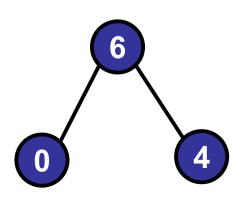


3

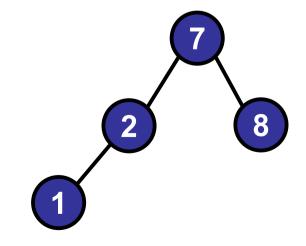


```
union(int p, int q)
while (parent[p] != p) p = parent[p];
while (parent[q] != q) q = parent[q];
parent[p] = q;
```

object	0	1	2	3	4	5	6	7	8
parent	6	2	7	3	6	1	6	7	7



3



Union-Find Summary

Quick-find is slow:

- Union is expensive
- Tree is flat

Quick-union is slow:

- Trees too tall (i.e., unbalanced)
- Union and find are expensive.

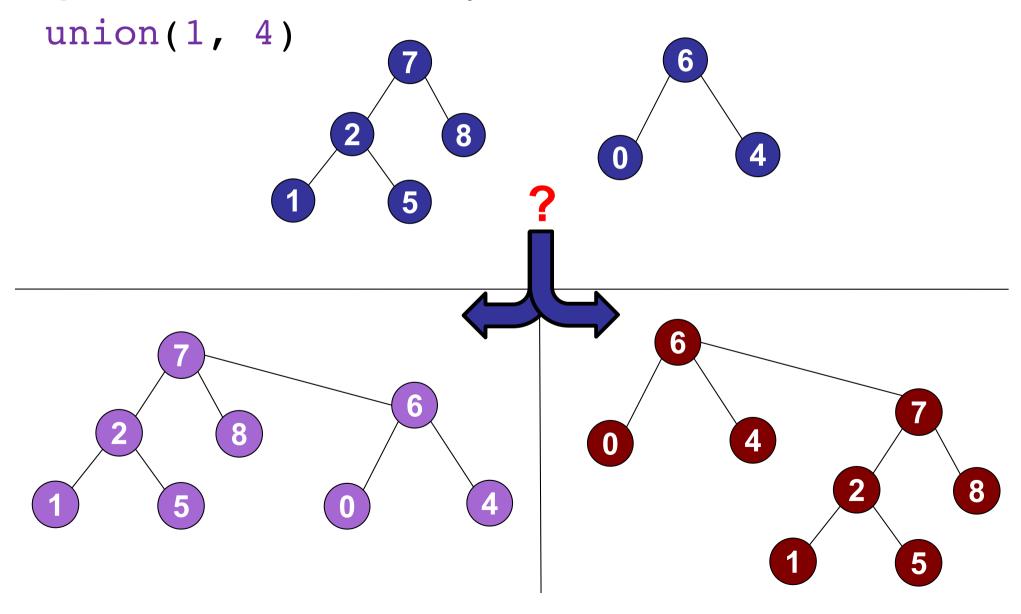
	find	union
quick-find	O(1)	O(n)
quick-union	O(n)	O(n)

Roadmap

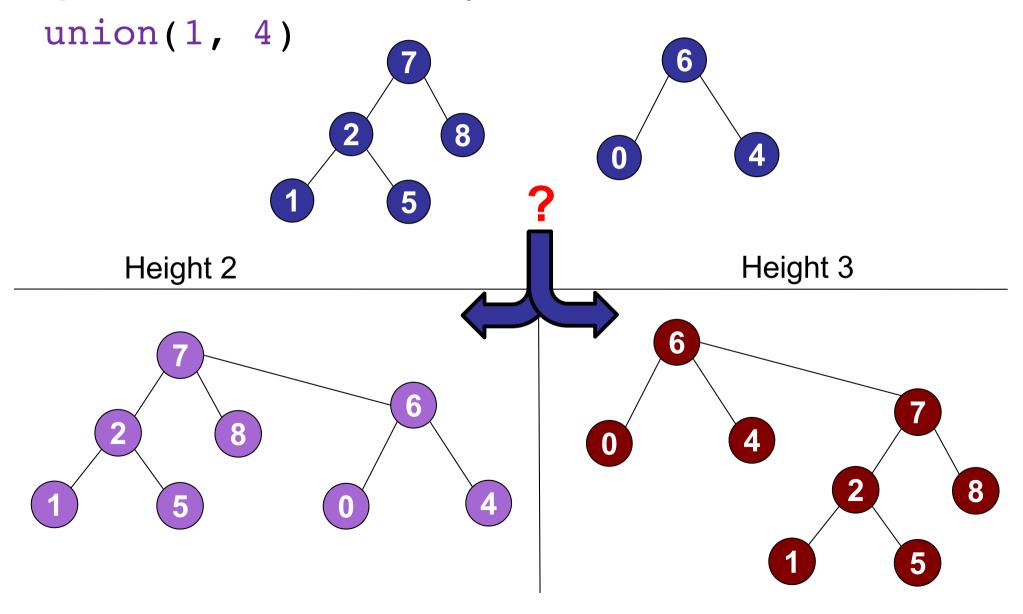
Part II: Disjoint Set

- Problem: Dynamic Connectivity
- Algorithm: Quick-Find
- Algorithm: Quick-Union
- Optimizations
- Applications

Question: which tree should you make the root?



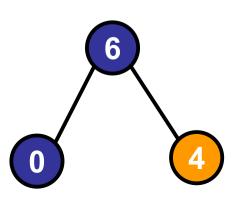
Question: which tree should you make the root?



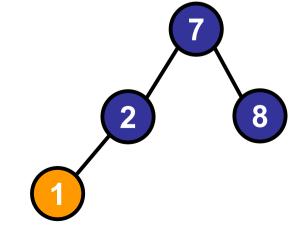
```
union(int p, int q)
 while (parent[p] !=p) p = parent[p];
 while (parent[q] !=q) q = parent[q];
  if (size[p] > size[q] {
         parent[q] = p; // Link q to p
          size[p] = size[p] + size[q];
  else {
         parent[p] = q; // Link p to q
          size[q] = size[p] + size[q];
```

union(1, 4)

object	0	1	2	3	4	5	6	7	8
size	1	1	2	1	1	1	3	4	1
parent	6	2	7	3	6	1	6	7	7

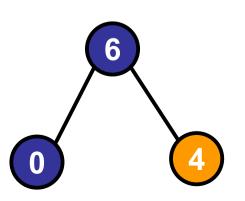


3

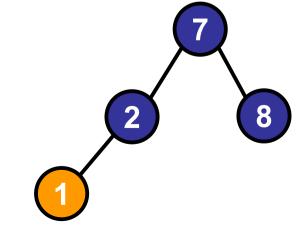


union(1, 4)

object	0	1	2	3	4	5	6	7	8
size	1	1	2	1	1	1	3	4	1
parent	6	2	7	3	6	1	6	7	7

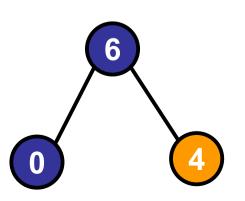


3

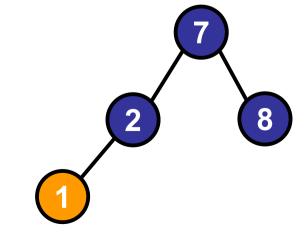


union(1, 4)

object	0	1	2	3	4	5	6	7	8
size	1	1	2	1	1	1	3	4	1
parent	6	2	7	3	6	1	6	7	7

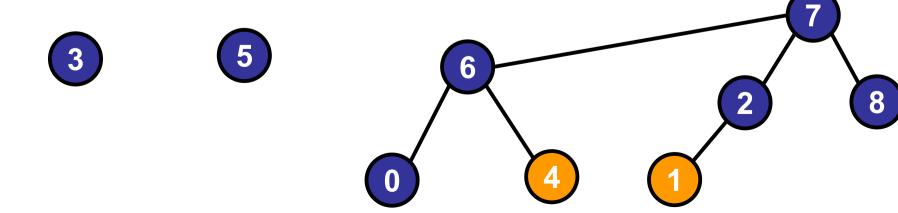


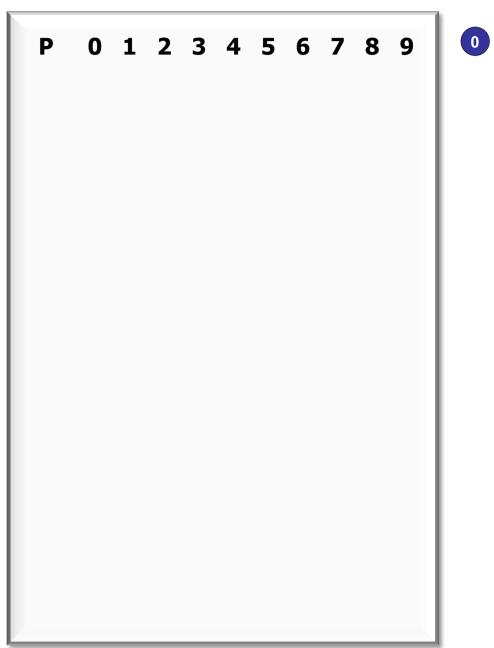
3



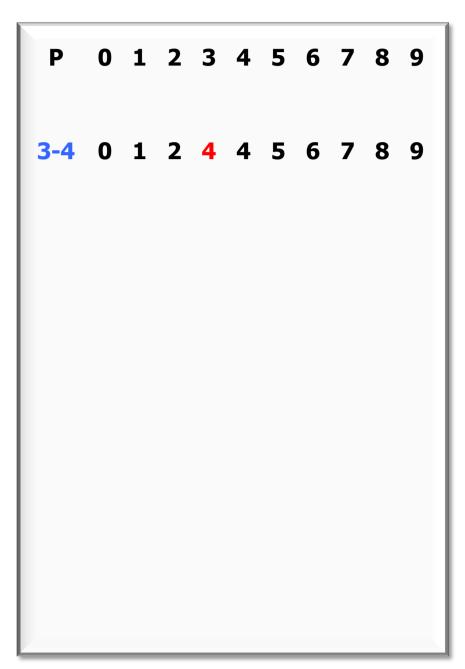
```
union(1, 4)
```

object	0	1	2	3	4	5	6	7	8
size	1	1	2	1	1	1	3	7	1
parent	6	2	7	3	6	1	6	7	7

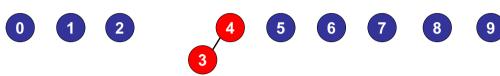


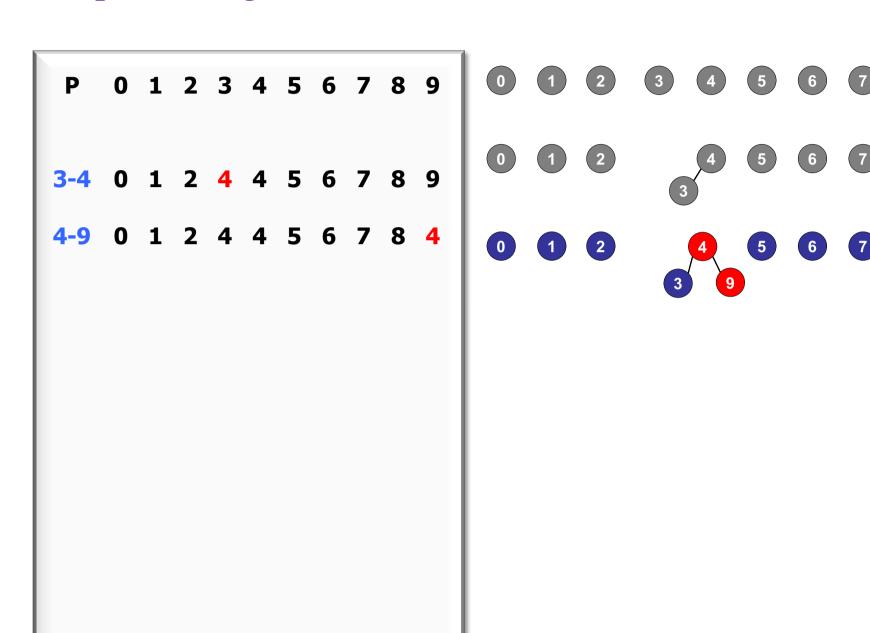


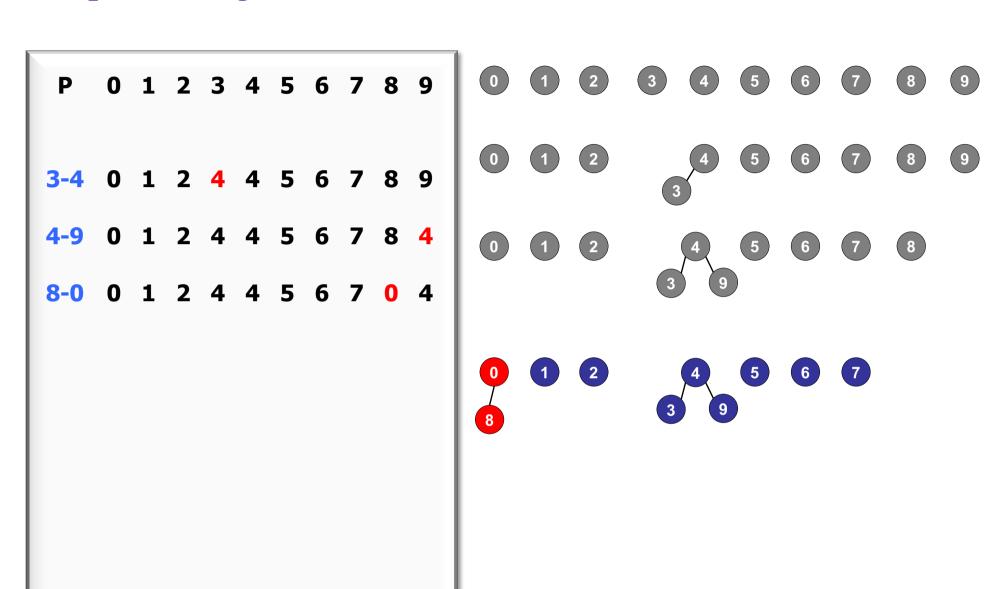


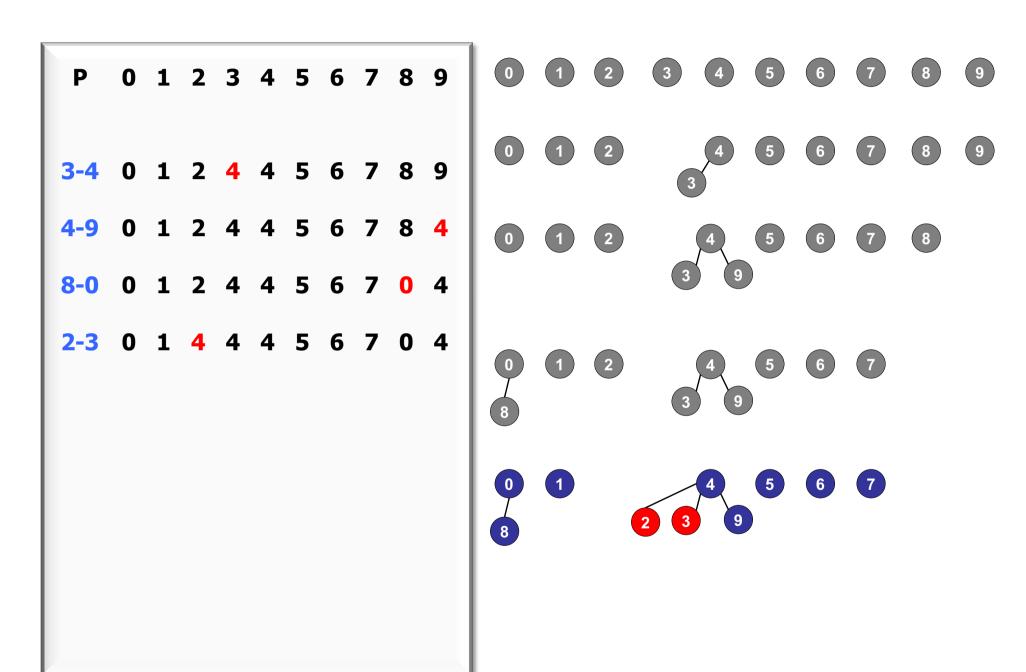


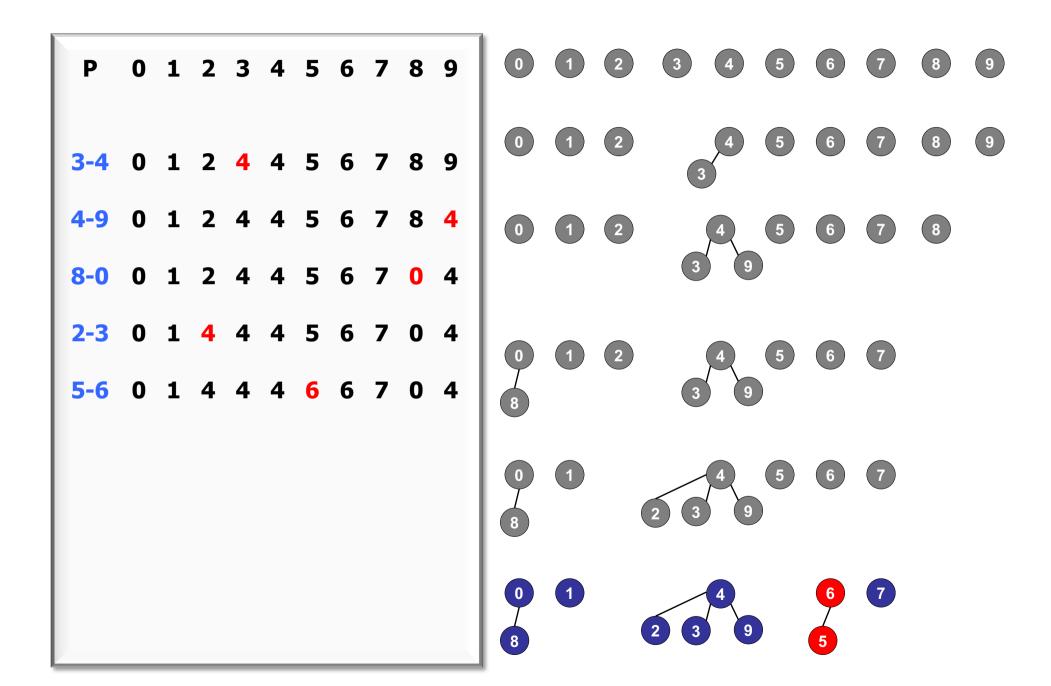




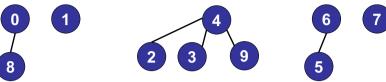




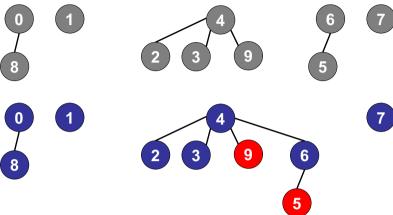


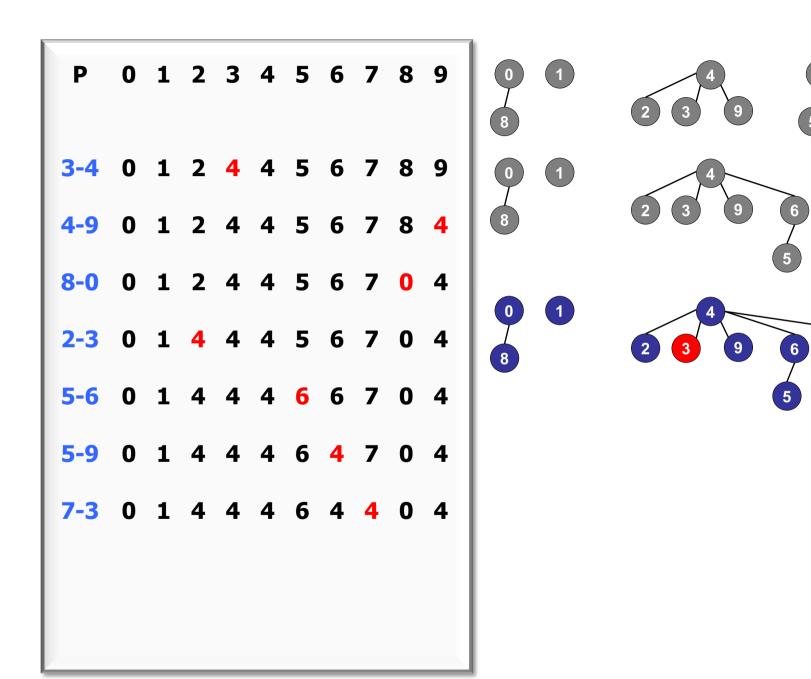


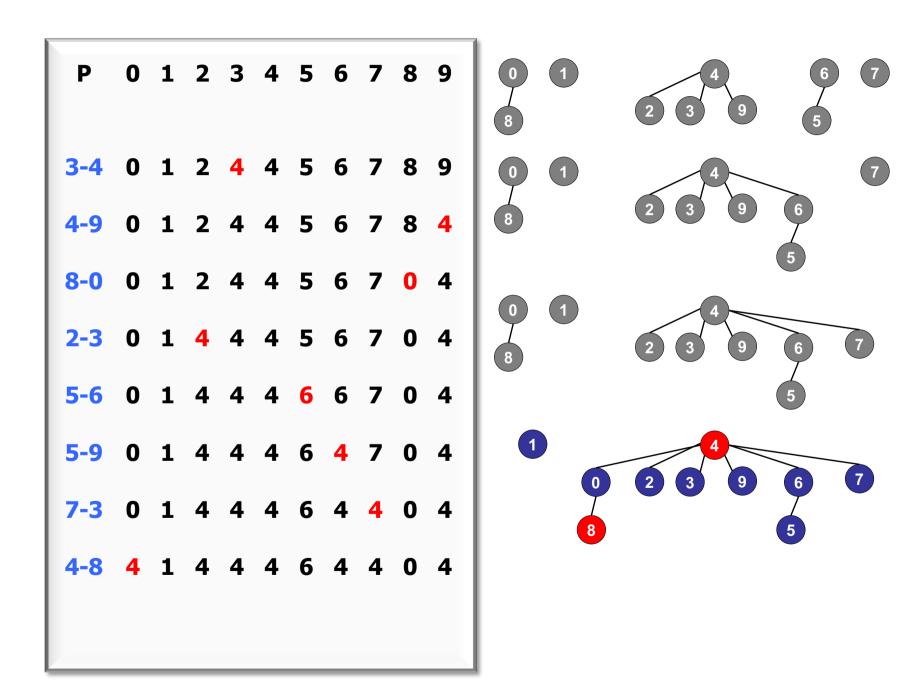


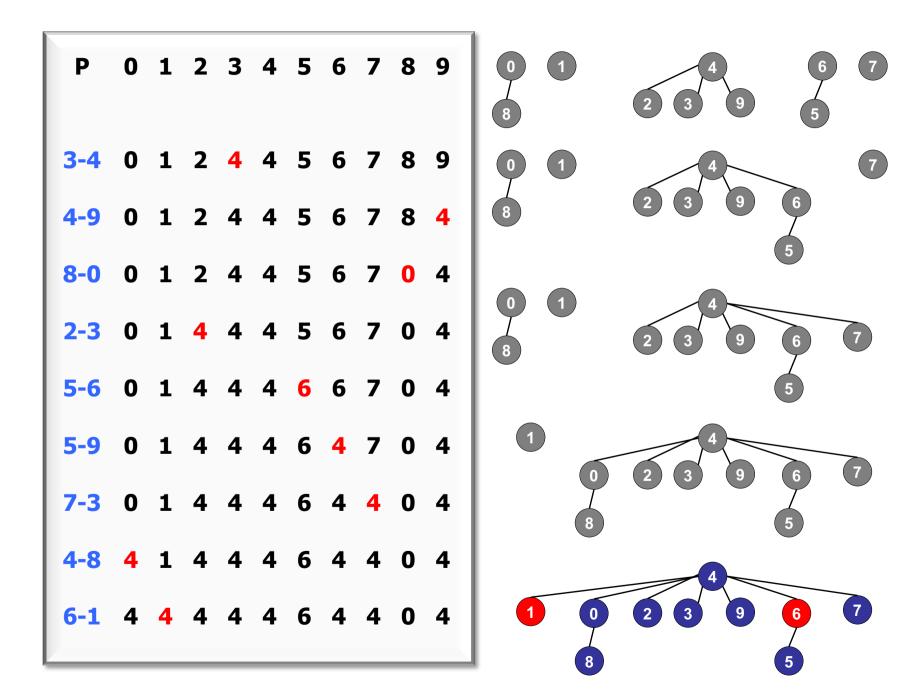




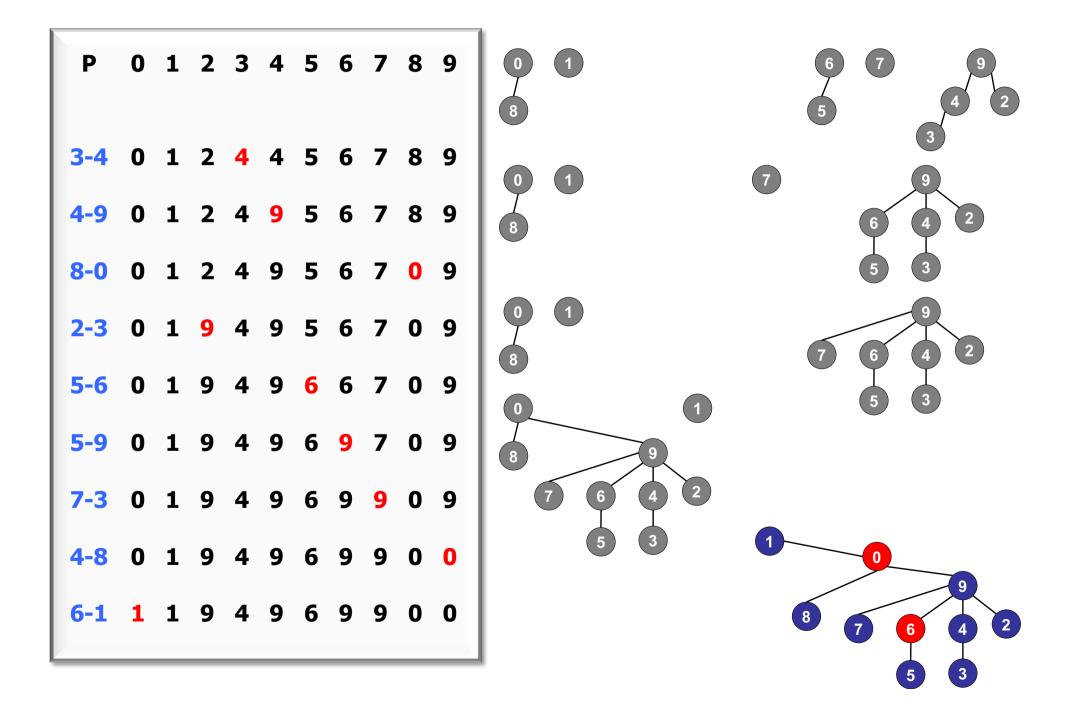


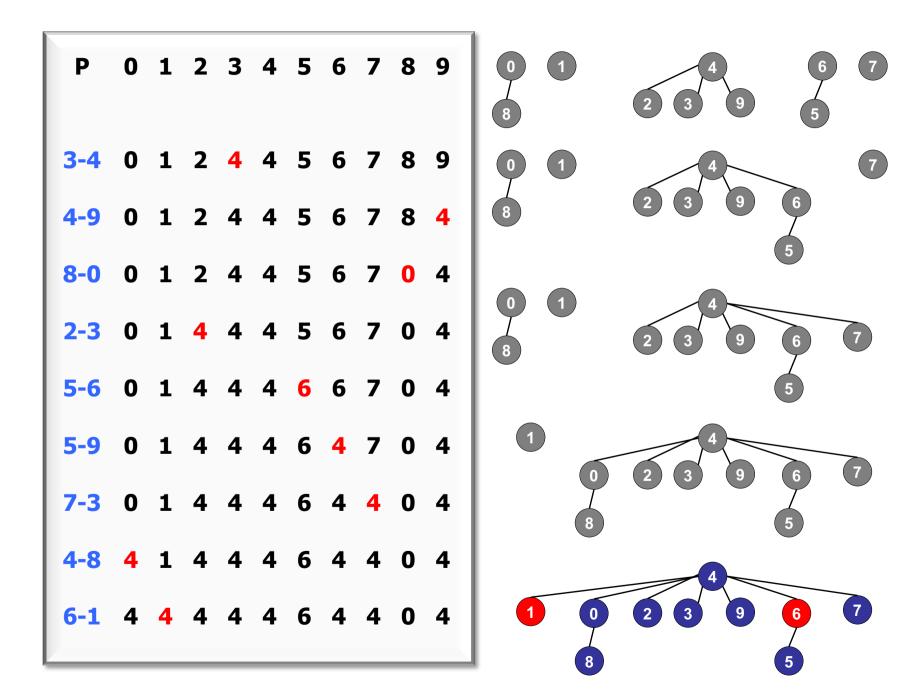






Example: (Unweighted) Quick Union





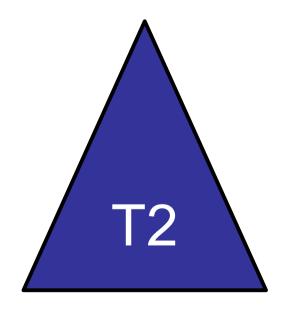
Maximum depth of tree?

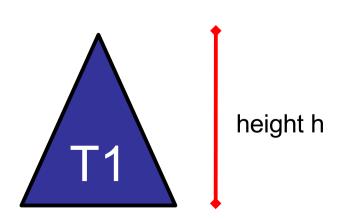
- 1. O(1)
- **✓** 2. O(log n)
 - 3. O(n)
 - 4. O(n log n)
 - 5. $O(n^2)$
 - 6. None of the above.

Analysis:

- Tree T1 is merged with Tree T2.
- When does the depth of a node in T1 increase?

Only if: $size(T2) >= size(T1) \rightarrow T1$ is one level deeper

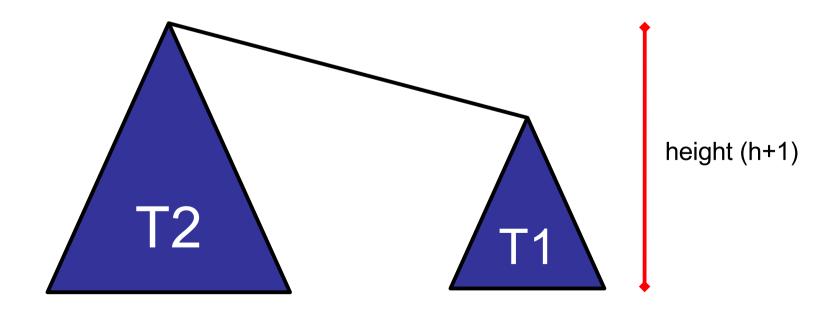




Analysis:

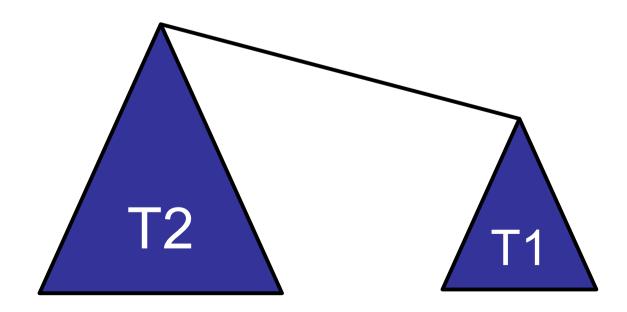
- Tree T1 is merged with Tree T2.
- When does the depth of a node in T1 increase?

Only if: $size(T2) >= size(T1) \rightarrow T1$ is one level deeper



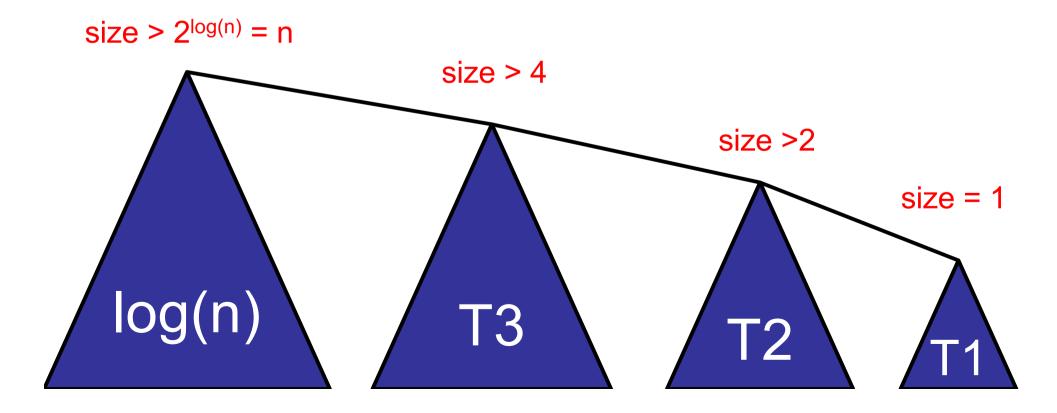
- Tree T1 is merged with Tree T2.
- When does the depth increase?

$$size(T1 + T2) > 2size(T1)$$
:



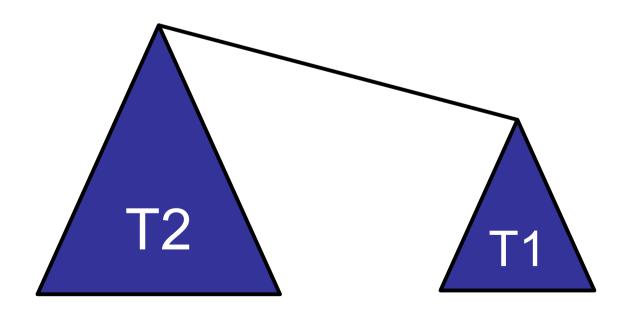
Assume T1 is merged with a tree of height log(n).

$$size(Tj + Tk) > 2size(Tk)$$
:

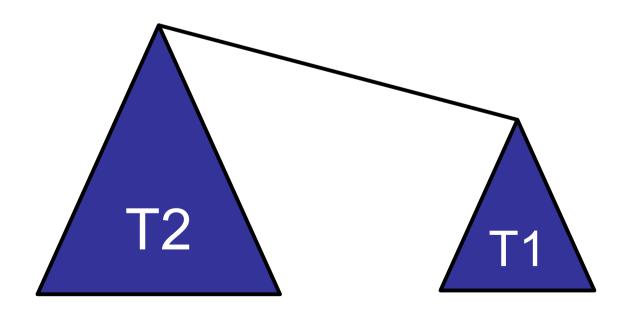


Analysis:

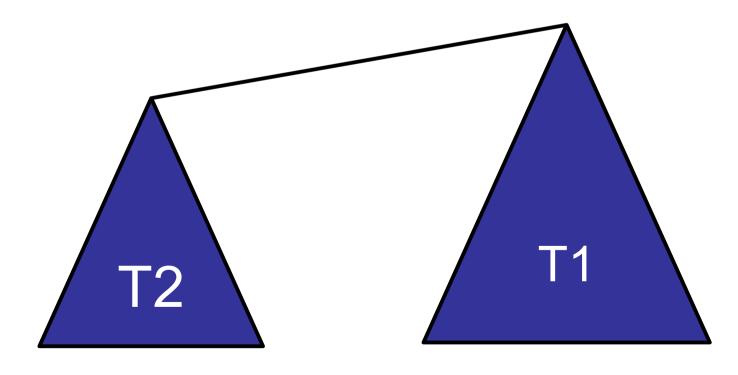
Base case: tree of height 0 contains 1 object.



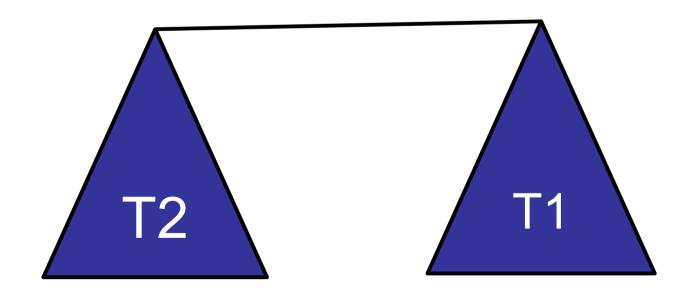
- Base case: tree of height 0 contains 1 object.
- Induction:
 - Tree of height k is built from two trees of height k-1.



- Base case: tree of height 0 contains 1 object.
- Induction:
 - Tree of height k is built from two trees of height k-1.



- Base case: tree of height 0 contains 1 object.
- Induction:
 - Tree of height k is built from two trees of height k-1.



- Base case: tree of height 0 contains 1 object.
- Induction:
 - Tree of height k is built from two trees of height k-1.
 - Induction: a tree of height k-1 contains at least 2^(k-1) objects.

- Base case: tree of height 0 contains 1 object.
- Induction:
 - Tree of height k is built from two trees of height k-1.
 - Induction: a tree of height k-1 contains at least 2^(k-1) objects.
 - Conclusion: a tree of height k contains 2^k objects.

Analysis:

- Base case: tree of height 0 contains 1 object.
- Induction:
 - Tree of height k is built from two trees of height k-1.
 - Induction: a tree of height k-1 contains at least 2^(k-1) objects.
 - Conclusion: a tree of height k contains 2^k objects.

– Conclusion:

Each tree is of height O(log n)

Running time of (Find, Union):

- 1. O(1), O(1)
- 2. O(1), O(n)
- 3. O(n), O(1)
- 4. O(n), O(n)
- **✓**5. O(log n), O(log n)
 - 6. None of the above.

```
union(int p, int q) {
 while (parent[p] !=p) p = parent[p];
 while (parent[q] !=q) q = parent[q];
  if (size[p] > size[q] {
         parent[q] = p; // Link q to p
          size[p] = size[p] + size[q];
  else {
         parent[p] = q; // Link p to q
          size[q] = size[p] + size[q];
```

Union-Find Summary

Quick-find and Quick-union are slow:

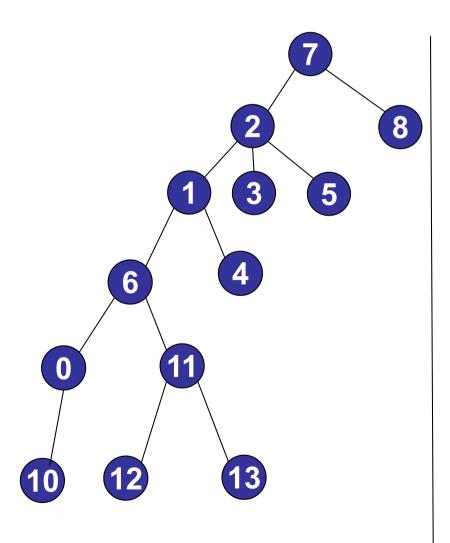
- Union and/or find is expensive
- Quick-union: tree is too deep

Weighted-union is faster:

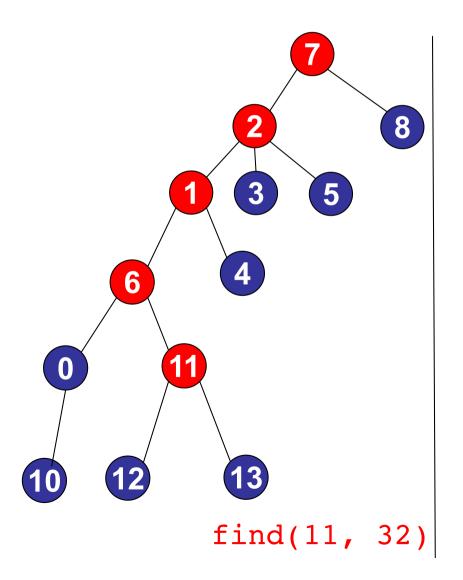
- Trees too balanced: O(log n)
- Union and find are O(log n)

	find	union
quick-find	O(1)	O(n)
quick-union	O(n)	O(n)
weighted-union	O(log n)	O(log n)

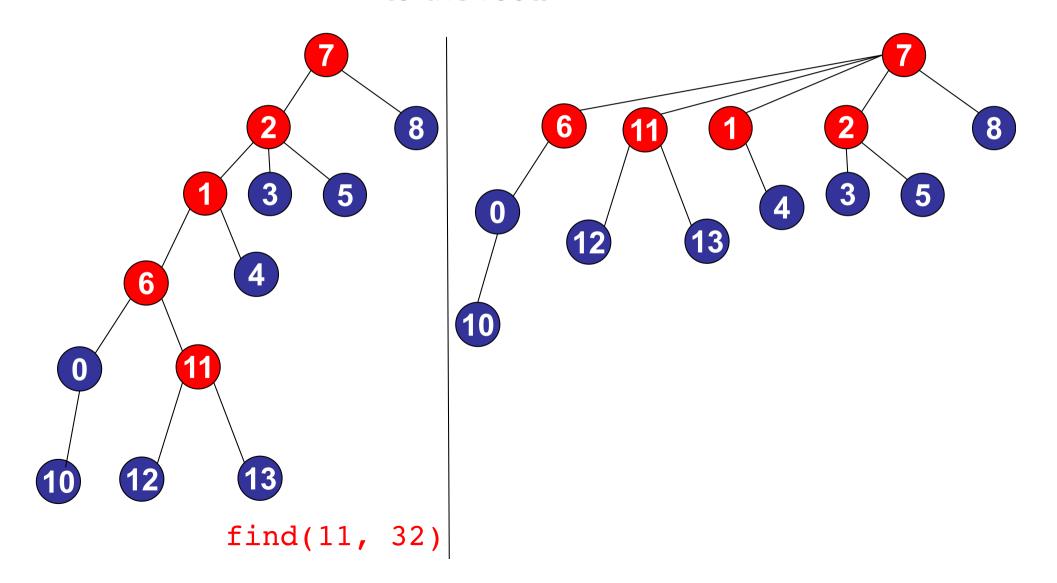
After finding the root: set the parent of each traversed node to the root.



After finding the root: set the parent of each traversed node to the root.



After finding the root: set the parent of each traversed node to the root.



```
findRoot(int p) {
  root = p;
  while (parent[root] != root) root = parent[root];
  return root;
}
```

```
findRoot(int p) {
  root = p;
 while (parent[root] != root) root = parent[root];
 while (parent[p] != p) {
          temp = parent[p];
          parent[p] = root;
          p = temp;
  return root;
```

Alternative Path Compression

```
findRoot(int p) {
  root = p;
 while (parent[root] != root) {
          parent[root] = parent[parent[root]];
          root = parent[root];
  return root;
```

Make every other node in the path point to its grandparent!

- Simple
- Works as well!

Theorem:

[Tarjan 1975]

Starting from empty, any sequence of m union/find operations on n objects takes: $O(n + m\alpha(m, n))$ time.

Theorem:

[Tarjan 1975]

Starting from empty, any sequence of m union/find operations on n objects takes: $O(n + m\alpha(m, n)$ time.

Inverse Ackermann function: always ≤ 5 in this universe.

n	a(n, n)
4	0
8	1
32	2
8,192	3
2 ⁶⁵⁵³³	4

Theorem:

[Tarjan 1975]

Starting from empty, any sequence of m union/find operations on n objects takes: $O(n + m\alpha(m, n)$ time.

Proof:

Theorem:

[Tarjan 1975]

Starting from empty, any sequence of m union/find operations on n objects takes: $O(n + m\alpha(m, n))$ time.

Proof:

- Very difficult.
- Algorithm: very simple to implement.

Theorem:

[Tarjan 1975]

Starting from empty, any sequence of m union/find operations on n objects takes: $O(n + m\alpha(m, n))$ time.

Proof:

- Very difficult.
- Algorithm: very simple to implement.

Can we do better? No!

Proof: impossible to achieve linear time.

Union-Find Summary

Weighted-union is faster:

- Trees are flat: O(log n)
- Union and find are O(log n)

Weighted Union + Path Compression is very fast:

- Trees very flat.
- On average, almost linear performance per operation.

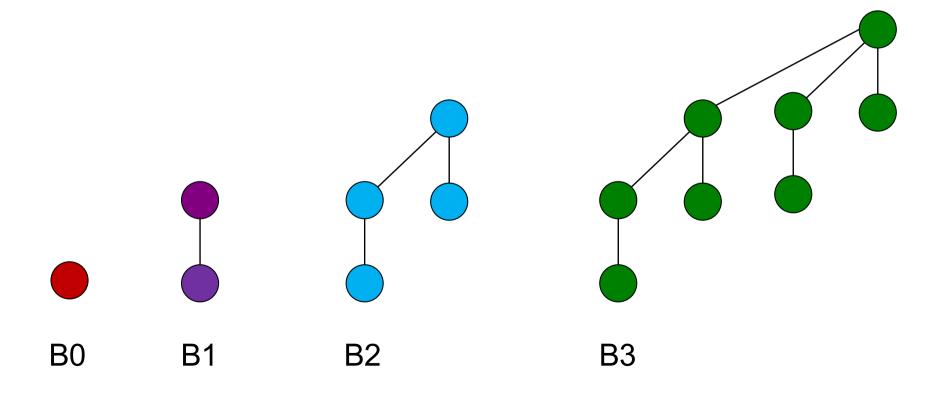
	find	union
quick-find	O(1)	O(n)
quick-union	O(n)	O(n)
weighted-union	O(log n)	O(log n)
weighted-union with path-compression	a(m, n)	a(m, n)

Union-Find Summary

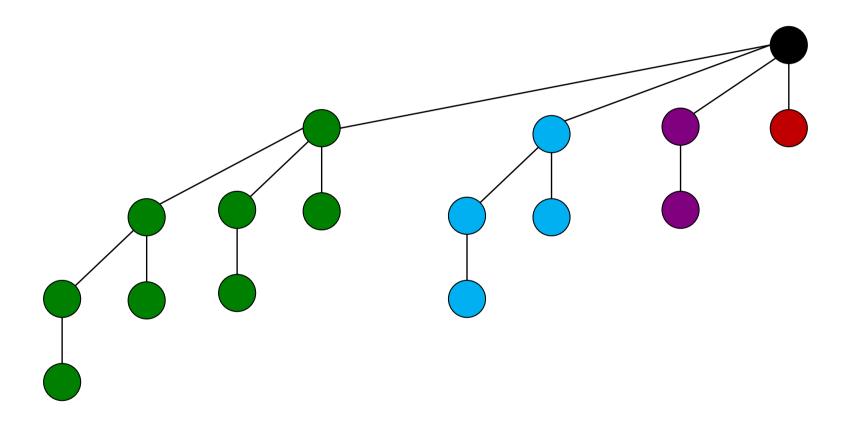
Path Compression without weighted union?

	find	union
quick-find	O(1)	O(n)
quick-union	O(n)	O(n)
weighted-union	O(log n)	O(log n)
path compression	O(log n)	O(log n)
weighted-union with path-compression	a(m, n)	a(m, n)

Binomial Trees:

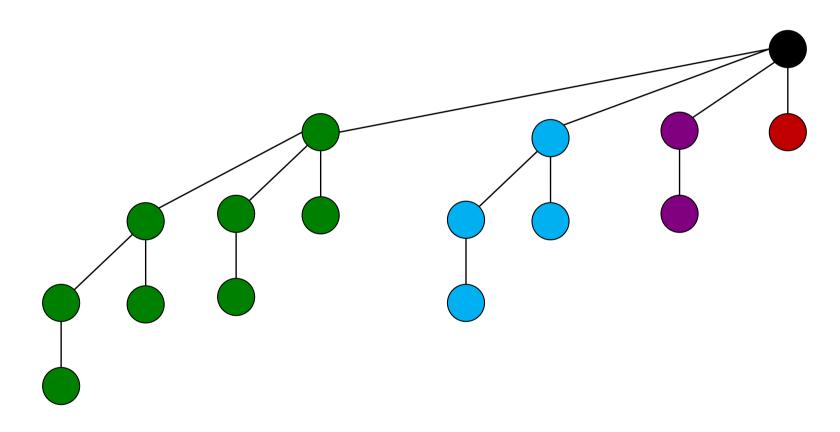


Binomial Trees:



$$B4 = (root + B0 + B1 + B2 + B3) = (B3 + B3)$$

Binomial Trees:

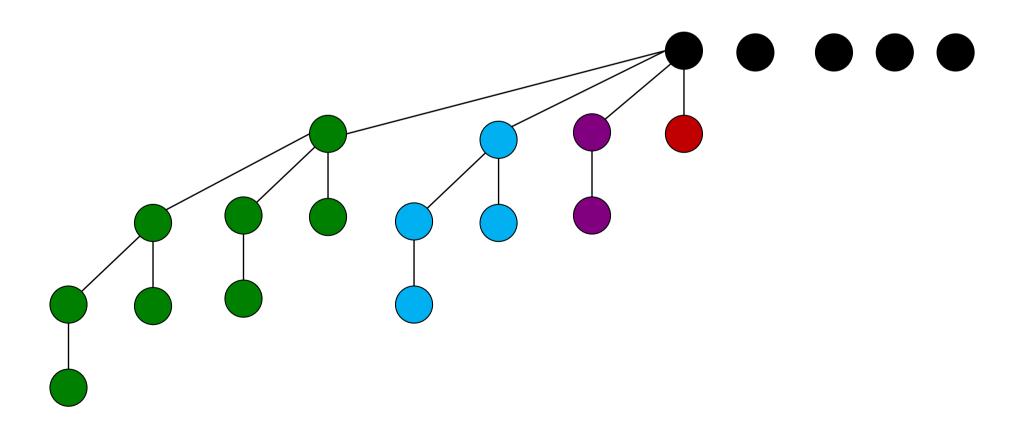


 $size(Bk) = \Theta(2^k)$

height(Bk) = k-1

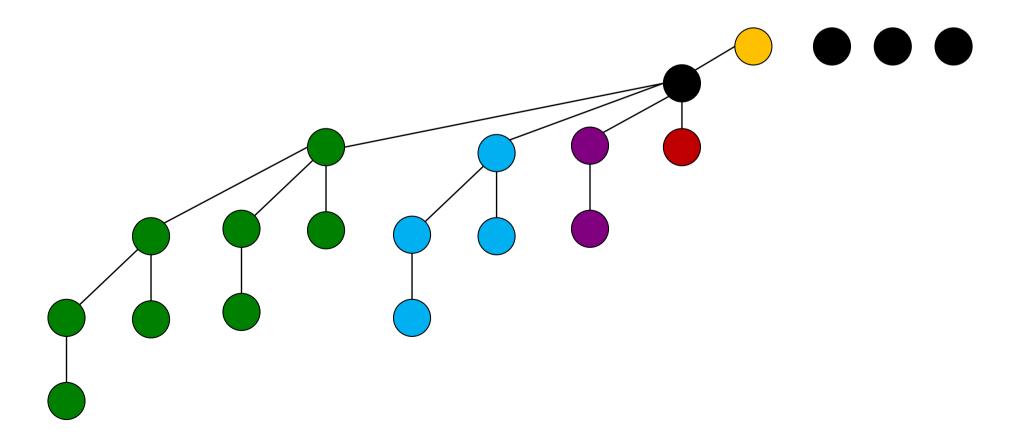
Step 1: Build Binomial tree using union operations.

• Leave some extra objects free.



Step 1: Build Binomial tree using union operations.

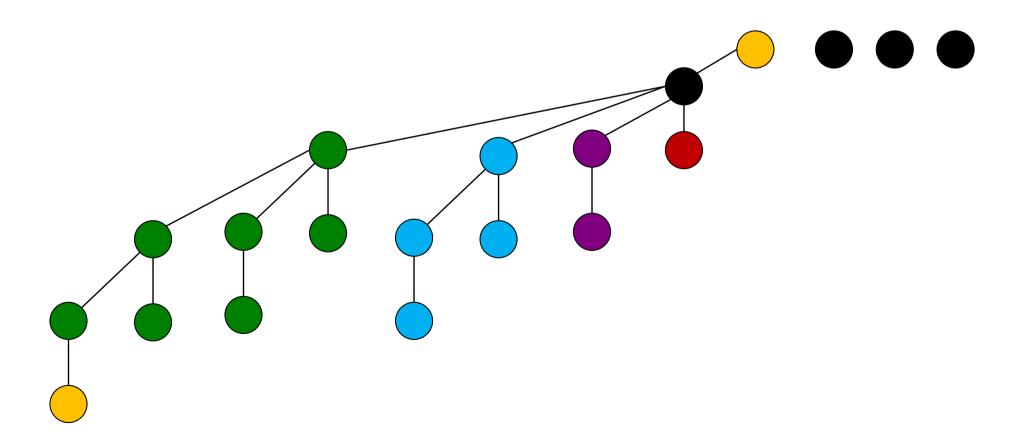
Step 2: Union: create new root [O(1)]



Step 1: Build Binomial tree using union operations.

Step 2: Union: create new root [O(1)]

Step 3: Find deepest leaf [O(log n)]

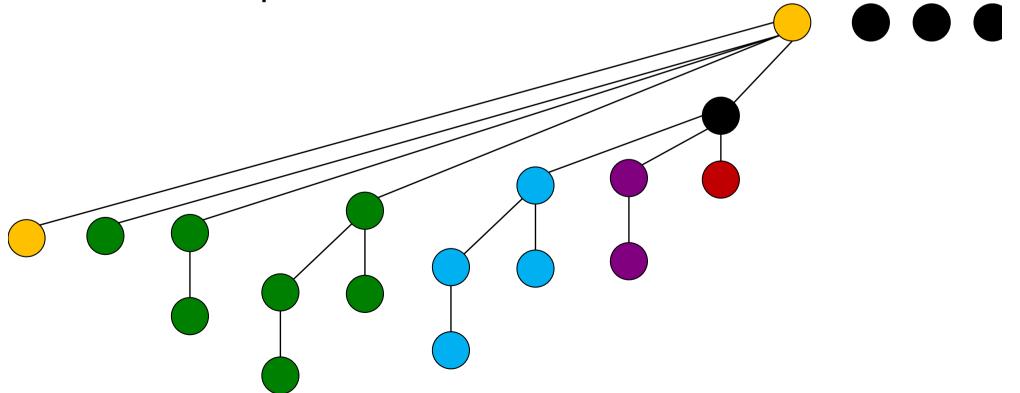


Step 1: Build Binomial tree using union operations.

Step 2: Union: create new root [O(1)]

Step 3: Find deepest leaf [O(log n)]

• Path compression...

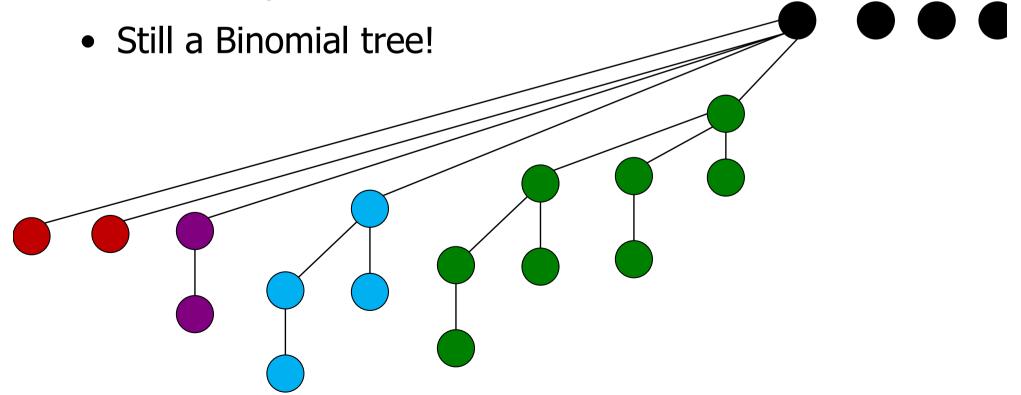


Step 1: Build Binomial tree using union operations.

Step 2: Union: create new root [O(1)]

Step 3: Find deepest leaf [O(log n)]

• Path compression...

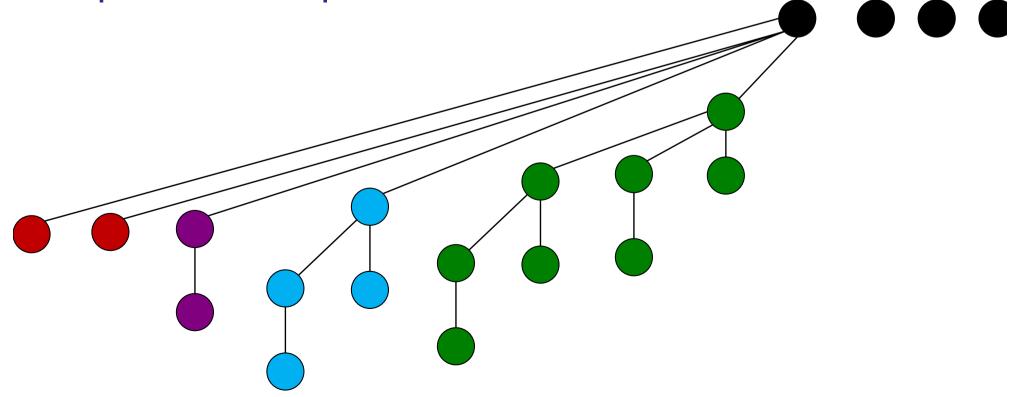


Step 1: Build Binomial tree using union operations.

Step 2: Union: create new root [O(1)]

Step 3: Find deepest leaf [O(log n)]

Step 4: Goto step 2.



Union-Find Summary

Path Compression without weighted union?

	find	union
quick-find	O(1)	O(n)
quick-union	O(n)	O(n)
weighted-union	O(log n)	O(log n)
path compression	O(log n)	O(log n)
weighted-union with path-compression	a(m, n)	a(m, n)

Union-Find Summary

What about Union-Split-Find?

- Insert and delete edges.
- New result: 2013

Dynamic graph connectivity in polylogarithmic worst case time

Bruce M. Kapron *

Valerie King *

Ben Mountjoy *

Abstract

The dynamic graph connectivity problem is the following: given a graph on a fixed set of n nodes which is undergoing a sequence of edge insertions and deletions, answer queries of the form q(a,b): "Is there a path between nodes a and b?" While data structures for this problem with polylogarithmic amortized time per operation have been known since the mid-1990's, these data structures have $\Theta(n)$ worst case time. In fact, no previously known solution has worst case time per operation which is $o(\sqrt{n})$.

We present a solution with worst case times $O(\log^4 n)$ per edge insertion, $O(\log^5 n)$ per edge deletion, and $O(\log n/\log\log n)$ per query. The answer to each query is correct if the answer is "yes" and is correct with high probability if the answer is "no". The data structure is based on a simple novel idea which can be used to quickly identify an edge in a cutset.

Our technique can be used to simplify and significantly

Though the problem of improving the worst case update time from $O(\sqrt{n})$ has been posed in the literature many times, there has been no improvement since 1985. In the words of Pătrașcu and Thorup, it is "perhaps the most fundamental challenge in dynamic graph algorithms today" [11].

Nearly every dynamic connectivity data structure maintains a spanning forest F. Dealing with edge insertions is relatively easy. The challenge is to find a replacement edge when a tree edge is deleted, splitting a tree into two subtrees. A replacement edge is an edge reconnecting the two subtrees, or, in other words, in the cutset of the cut $(T, V \setminus T)$ where T is one of the subtrees. An edge with both endpoints in the same subtree we call internal to the tree.

Roadmap

Disjoint Set

- Problem: Dynamic Connectivity
- Algorithm: Union-Find