

Elgammal2014

*Title

Business Process Compliance Management: An Integrated Proactive Approach (Elgammal et al. (2014))

Abstract

Today's enterprises demand a high degree of compliance of business processes to meet regulations, such as Sarbanes-Oxley and Basel I-III. To ensure continuous guaranteed compliance, compliance management should be considered during all phases of the business process life-cycle; from the analysis and design to deployment, monitoring and evaluation. This paper introduces an integrated business process compliance management framework that incorporates design-time verification and runtime monitoring approaches. The nutshell of the approach is the Compliance Request Language (CRL), which is a high-level pattern-based language for the abstract specification of compliance requirements. From CRL expressions, formal compliance rules can be automatically generated, thereby eliminating the need for business and compliance experts to learn and use complex low-level formal languages. Formalized compliance rules enable automated approaches to be used for the static verification and dynamic monitoring of business processes. An integrated prototypical tool-suite is developed as a proof-of-concept to help validating the applicability of the approaches, and validated by experiment with two real-life case studies.

Keywords

Compliance Patterns, Design-time Compliance Management, Regulatory Compliance, Runtime Compliance Monitoring

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Reference

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Elgammal, A, S Sebahi, O Turetken, MS Hacid, MP Papazoglou, and WJ van den Heuvel. 2014. "Business Process Compliance Management: An Integrated Proactive Approach." In, edited by KS Soliman, 764–81.