

# **Genomic selection for any dairy breeding program via optimised investment in phenotyping and genotyping**

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## 22 Abstract

23 **Background:** This paper evaluates the potential of maximizing genetic gain in dairy cattle breeding  
24 programmes by optimizing investment into phenotyping and genotyping. Conventional dairy  
25 breeding programmes focus on phenotyping selection candidates or their close relatives to increase  
26 selection accuracy, since this is the main driver of genetic gain and quality assurance for producers.  
27 Genomic selection decoupled phenotyping and selection and through this enabled increased genetic  
28 gain per year compared to the conventional selection. However, genomic selection requires a large  
29 initial investment, which limits the adoption of genomic selection for some breeding programmes.  
30 The aim of this study was to evaluate the potential of maximizing genetic gain by optimizing  
31 investment into phenotyping and genotyping in in a case-study and to provide suggestions for other  
32 dairy breeding programmes.

33 **Methods:** We simulated a case-study of a small dairy population with a number of scenarios under  
34 equal available resources. The conventional progeny testing scenario had 11 phenotype records per  
35 lactation. In genomic scenarios, we reduced phenotyping to collect between 10 and 1 record per  
36 lactation and invested the saved resources into genotyping. We tested these scenarios in settings  
37 with or without initial training population for genomic selection.

38 **Results:** Reallocating a part of phenotyping resources to genotyping increased genetic gain  
39 compared to the conventional scenario regardless of the amount and relative cost of phenotyping,  
40 and the availability of initial training population. We further increased the genetic gain by  
41 increasing investment in genotyping, despite reduced phenotyping, with high-genotyping scenarios  
42 not even using the total available resources. Compared to the conventional scenario, genomic  
43 scenarios also increased accuracy for young non-phenotyped male and female candidates, and cows.

44 **Conclusions:** This study shows that breeding programmes should optimise investment into  
45 phenotyping and genotyping to maximise return on investment. We argue that phenotyped animals

should be extensively genotyped to increase the impact of phenotyping investments. These conclusions suggest that any dairy breeding programme can implement genomic selection without increasing the level of investment.

49

## 50 **Background**

51 This paper evaluates the potential of maximizing genetic gain in dairy cattle breeding programmes  
52 by optimizing investment into phenotyping and genotyping. All breeding programmes strive to  
53 maximize genetic gain, which is a function of selection intensity, accuracy of selection, genetic  
54 variation, and generation interval. The conventional dairy breeding programme uses a long and  
55 expensive progeny testing, which limits selection intensity. This programme allocates the majority  
56 of resources into phenotyping to increase the accuracy of sire selection, since this is the main driver  
57 of genetic gain. Genomic selection [1, 2] (Meuwissen et al., 2001; Schaeffer, 2006), on the other  
58 hand, achieves genetic gain mainly through substantially reduced generation interval, increased  
59 selection intensity on the male side, and increased accuracy of selection for young animals [2, 3]  
60 (Schaeffer, 2006; Obšteter et al., 2019). Despite lower accuracy of sire selection compared to the  
61 conventional progeny testing, genomic selection doubles the rate of genetic gain per year in dairy  
62 cattle [4] (Wiggans et al., 2017).

63 All breeding programmes operate with a certain amount of resources allocated between breeding  
64 activities with the aim to maximise return on investment. Genomic selection is now a de-facto  
65 standard in well-resourced breeding programmes, but is still challenging to implement for some  
66 breeding programmes. The major hurdle is the large initial investment in genotyping to establish a  
67 training population, though updating this population can also be challenging. These breeding  
68 programs need to evaluate priorities and could optimise phenotyping and genotyping to maximise  
69 return on investment.

70 The accuracy of conventional pedigree-based estimates of breeding values increases with increasing  
71 heritability and increasing number of phenotype records per animal or its closest relatives (e.g.,  
72 [5]Mrode, 2005). To illustrate, assume a female-expressed trait with the heritability of 0.25 and  
73 progeny testing in a population with 100 sires each tested on 100 daughters (10,000 cows in total).  
74 Collecting 10 phenotype records per daughter gives the accuracy of 0.98 for progeny tested sires,  
75 0.86 for cows, and 0.66 for non-phenotyped progeny. If we decrease the number of phenotype  
76 records per daughter to five, two, or one, the accuracy respectively decreases to 0.97, 0.96, or 0.93  
77 for sires; to 0.81, 0.70, or 0.62 for cows; and to 0.64, 0.59, or 0.56 for non-phenotyped progeny.  
78 This example shows diminishing returns with repeated phenotype records and a scope for  
79 optimizing return on investment. Namely, at the extreme we reduced phenotyping 10x, which  
80 reduced accuracy only for 0.04 in sires and 0.10 in non-phenotyped progeny.

81 We could invest the resources saved from reducing the number of phenotype records per daughter  
82 into phenotyping more daughters. Assuming resources for 100,000 phenotypes and decreasing the  
83 number of phenotype records per daughter to five, two, or one respectively enables phenotyping  
84 200, 500, or 1,000 daughters per sire (100 sires). This change increases accuracy for sires to 0.99 in  
85 all cases, barely increases accuracy for cows, and respectively increases accuracy for  
86 non-phenotyped progeny to 0.64, 0.61, or 0.59.

87 The accuracy of genome-based estimates of breeding values also increases with increasing  
88 heritability and increasing number of phenotype records per genotyped animal, but also with  
89 increasing training population of phenotyped and genotyped animals, decreasing genetic distance  
90 between training and prediction individuals, and decreasing number of effective genome segments  
91 [6–10](Daetwyler et al. 2008; Goddard, 2009; Habier et al., 2010; Clark et al, 2011; Goddard et al.,  
92 2011). The latter dictates linkage-disequilibrium between markers and causal loci, which drives  
93 accuracy of genomic evaluation and prediction. Recombination, mutation, migration, drift, and  
94 selection change linkage-disequilibrium and decrease the accuracy of genomic prediction across

95 generations, particularly when the training population is not continually updated [1, 8, 11, 12]  
96 (Meuwissen et al., 2001; Calus, 2010; Habier et al., 2010; Wolc et al., 2011).

97 Following the previous example, assume 10,000 effective genome segments, trait heritability of  
98 0.25, and a training population of 10,000 cows. Recording 10 phenotype values per cow gives the  
99 heritability of phenotype for training population of 0.78 and genomic prediction accuracy of 0.68  
100 for non-phenotyped progeny [6](Daetwyler et al, 2008). Reducing the number of phenotype records  
101 per cow to five, two, or one respectively reduces the heritability of phenotype for training  
102 population to 0.66, 0.50, or 0.40, and genomic prediction accuracy to 0.64, 0.58, or 0.53. This  
103 example again shows diminishing returns with replicated phenotyping and a scope for optimizing  
104 return on investment also with genomic breeding programmes. Namely, at the extreme we reduced  
105 phenotyping 10x, which reduced genomic prediction accuracy only for 0.11. Previous studies also  
106 explored the value of adding a record to the training population when a number of records is already  
107 available [13, 14] (Bijma, Recio). They concluded, that accuracy has a diminishing return  
108 relationship with increasing the number of records in the training population, hence additional  
109 phenotype is most valuable when the training population is small.

110 We could invest the resources saved from reducing the number of phenotype records per daughter  
111 into genotyping. If we could increase the number of genotyped and phenotyped cows from 10,000  
112 to 20,000, 50,000, or 100,000, each respectively phenotyped with five, two, or one record, we  
113 would respectively increase the genomic prediction accuracy to 0.77, 0.86, or 0.91. While these  
114 genomic prediction accuracies are lower than with progeny testing, shorter generation interval  
115 enables larger genetic gain per unit of time [2](Schaeffer, 2006).

116 However, the above calculations assume we have resources to genotype and phenotype large  
117 numbers of cows. In reality, breeding programmes consist of individuals with only phenotype,  
118 genotype, or both types of information. To handle this, we can use single-step genomic prediction

119 that combines all phenotypic, pedigree, and genomic information and in turn increases prediction  
120 accuracy even further [15–17](Gao et al., 2012, Gray et al., 2012; Lourenco et al., 2015).

121 The above examples indicate that repeated phenotyping could be an internal financial reserve that  
122 enables any dairy breeding programme to implement genomic selection. In dairy breeding the most  
123 repeatedly and extensively recorded phenotypes are milk production traits. There are different milk  
124 recording methods that differ in the recording responsibility, sampling scheme, recording and  
125 sampling frequency, and the number of milkings per day [18](ICAR, 2017). The recording interval  
126 ranges from daily recording to recording every nine weeks, which translates to between 310 and 5  
127 records per lactation. The different recording methods have different costs, which also vary  
128 considerably between recording systems, countries, and even their regions. For example, some  
129 organizations require payment of a participation fee plus the cost per sample, while others include  
130 the fee in the sample cost, or cover the costs in other ways.

131 The aim of this study was to evaluate the potential of maximizing genetic gain by optimizing  
132 investment into phenotyping and genotyping in dairy breeding programmes. Since milk recording is  
133 an example of a repeated phenotype with diminishing returns, we aimed to optimize investment into  
134 milk recording and genotyping. To this end we have compared a dairy breeding programme with  
135 conventional progeny testing and genomic testing under equal available resources. To implement  
136 genomic selection we reduced the number of milk records per cow per lactation and invested the  
137 saved resources into genotyping. We compared these strategies in case-study with a small cattle  
138 breeding programme where implementing genomic selection is challenging. The results show that  
139 reallocating a part of phenotyping resources to genotyping increases genetic gain regardless of the  
140 cost and amount of genotyping, and the availability of initial training population. The genetic gain  
141 also increases with increasing investment into genotyping, despite reduced phenotyping.

## 143 **Methods**

144 The study aimed to evaluate the effect of different investment into phenotyping and genotyping  
 145 with a simulation of a case-study of a small dairy breeding programme. The simulation mimicked a  
 146 real dairy cattle population of ~30,000 animals analysed in our previous study [3] Obšteter et al.  
 147 (2019). We evaluated 36 genomic scenarios against the conventional scenario, all with equal  
 148 amount of available resources, but varying extent of phenotyping and genotyping. The conventional  
 149 scenario implemented progeny testing and collected 11 phenotype records per lactation, while  
 150 genomic scenarios reduced phenotyping and invested saved resources to genotyping. The genomic  
 151 scenarios differed in i) the number of phenotype records per cow per lactation; ii) the relative cost  
 152 of phenotyping and genotyping; and iii) the availability of an initial training population. All tested  
 153 scenarios were compared based on their genetic gain and accuracy of selection.

### 154 **Simulation of the base population, phenotype and historical breeding**

155 The simulation mimicked a small dairy cattle breeding programme of ~30,000 animals with  
 156 ~10,500 cows, where introduction of effective genomic selection is challenging. We use this  
 157 population as a case-study to optimize investment into phenotyping and genotyping. The breeding  
 158 programme aimed to improve dairy performance, which we simulated as a single polygenic trait.  
 159 For this we used a coalescent process to simulate whole-genome comprised of 10 cattle-like  
 160 chromosomes, each with  $10^8$  base pairs, 1,000 randomly chosen causal loci, and 2,000 randomly  
 161 chosen marker loci. We sampled the effects of causal loci from a normal distribution and calculated  
 162 animal's breeding value ( $a_i$ ) for dairy performance ( $y_{ijkl}$ ). We assigned permanent environment ( $p_i$ ),  
 163 herd ( $h_j$ ), herd-year ( $hy_{jk}$ ), herd-test-day ( $htd_{jkl}$ ), and residual environment ( $e_{ijkl}$ ) effects to the trait:

$$164 \quad y_{ijkl} = a_i + p_i + h_j + hy_{jk} + htd_{jkl} + e_{ijkl}.$$

165 We sampled the permanent environment effects from a normal distribution with zero mean and  
 166 variance equal to the additive genetic variance ( $\sigma^2_A$ ). We sampled herd, herd-year, and herd-test-day

167 effects each from a normal distribution with zero mean and variance of  $1/3 \sigma_A^2$ . Finally, we sampled  
 168 residual environment effects from a normal distribution with zero mean and variance of  $\sigma_A^2$ . This  
 169 sampling scheme gave a trait with heritability 0.25 and repeatability of 0.50. With the simulated  
 170 genome and phenotype architecture we have initiated the dairy cattle breeding programme and ran it  
 171 for 20 years of conventional selection with progeny-testing based on 11 cow phenotype records per  
 172 lactation. The detailed parameters of the simulation are described in [3] **Obšteter et al. (2019)**. In  
 173 summary, in the breeding programme we selected 3,849 out of 4,320 new-born females as cows and  
 174 139 as bull dams over their second, third, and fourth lactation. We generated 45 male calves from  
 175 elite matings and out of these chose 8 for progeny testing of which 4 were eventually selected as  
 176 elite sires. We made all selection decisions based on pedigree-based estimates of breeding values.  
 177 The 20 years represented historical breeding and provided a starting point for evaluating future  
 178 breeding scenarios, which we ran for additional 20 years.

## 179 **Scenarios**

180 We evaluated 36 genomic scenarios with varying the extent of phenotyping and genotyping against  
 181 the conventional scenario. All scenarios had equal amount of available resources. The conventional  
 182 scenario continued the breeding scheme from historical breeding. It used progeny testing and 11  
 183 phenotype records per lactation (named C11), corresponding to the standard ICAR recording  
 184 interval of 4 weeks [18] **(ICAR, 2017)**. We assumed that this scenario represented the total amount  
 185 of resources available for obtaining the data. We then created genomic scenarios that distributed the  
 186 total resources between phenotyping and genotyping - we reduced phenotyping and invested the  
 187 saved resources into genotyping. In the genomic scenarios we selected females as in the  
 188 conventional scenario and males based on genomic prediction. We varied the number of  
 189 genomically tested male candidates depending on the resources and always selected the best 5 as  
 190 elite sires solely on genomic prediction. We evaluated the genomic scenarios under a range of  
 191 factors: number of phenotype records per lactation, cost of genotyping, and the availability of an  
 192 initial training population.



193 Genomic scenarios reduced phenotyping of the conventional scenario and varied the number of  
194 phenotype records per lactation between 10 and 1. The scenarios followed ICAR standards of 9, 8,  
195 and 5 records per lactation, corresponding to recording intervals of 5, 6, and 9 weeks. Additionally,  
196 we created three non-standard recording systems collecting 10, 2, and 1 records per lactation. We  
197 named the scenarios as “GX” with X being the number of records per lactation. The reduction in  
198 phenotyping and the relative cost of phenotyping to genotyping dictated the amount of saved  
199 resources and therefore the number of genotyped animals (Table 1). We invested the saved  
200 resources into genotyping females and males in ratio 7:1 based on our previous work [3] Obšteter et  
201 al. (2019). We genotyped first parity cows. This maximized the accuracy of genomic prediction,  
202 since it reduced the genetic distance between training and prediction population, prevented the loss  
203 of information due to culled heifers, and minimized the time to obtain a phenotype. If the available  
204 resources for genotyping females were larger than the cost of genotyping all first parity cows, we  
205 did not reallocate the excess of resources to male genotyping. To maximise the genetic gain, we  
206 genotyped male calves from elite matings and other high parent average matings.

207 Genomic scenarios next varied the relative cost of phenotyping (\$P) to genotyping (\$G). We  
208 compared the cost of one genotype to the cost of 11 phenotype records per lactation. Based on a  
209 survey of several breeding programmes, milk recording organizations, and genotyping providers we  
210 have considered three cost ratios of \$P:\$G: 2:1, 1:1, and 1:2. Following the survey, we also  
211 decreased the price of every additional milk recording, hence the first recording was the most  
212 expensive and the cost of each subsequent control was 95% of the preceding control.

213 Lastly, we created scenarios with and without an initial training population for genomic prediction.  
214 When we assumed an initial training population was available, we genotyped all active cows  
215 (10,653) and progeny tested sires (100) before the first genomic evaluation. When initial training  
216 population was not available, we yearly genotyped a designated number of first parity cows until  
217 the training population reached 2,000 cows. Once we reached this goal, we started to genotype both  
218 females and males as specified in Table 1. At that point we started genomic selection of males.

## 219 Estimation of breeding values

220 We selected the animals based on their estimated breeding values that we estimated with a pedigree  
 221 or single-step genomic (Legarra et al., 2009) repeatability model with breeding value, permanent  
 222 environment, and herd-year as random effects. We did not fit the herd-test-day effect as data  
 223 structure of this small population did not enable its accurate estimation. We estimated breeding  
 224 values once a year with blupf90 [19](Misztal et al, 2002) with default settings. In the estimation we  
 225 included all available phenotype and pedigree records for all active, phenotyped, or genotyped  
 226 animals and additional three generations of their ancestors. However, we used at most 25,000  
 227 genotyped animals due to a maximum number of animals allowed in the non-commercial software  
 228 version. When we accumulated more than 25,000 genotyped animals, we removed the oldest  
 229 animals in favour of the latest genotyped cows and male selection candidates.

230 **Table 1. Number of genotyped animals per year by scenario and relative cost of phenotyping**  
 231 **to genotyping.**

Relative cost	Scenario					
	G10	G9	G8	G5	G2	G1
\$P:\$G = 1:2	160 F	350 F	590 F	1610 F	3230 F	3850 F
	22 M	50 M	85 M	235 M	465 M	565 M
\$P:\$G = 1:1	310 F	700 F	1180 F	3230 F	3850 F	3850 F
	45 M	100 M	165 M	465 M	925 M	1125 M
\$P:\$G = 2:1	620 F	1400 F	2360 F	3850 F	3850 F	3850 F
	90 M	295 M	335 M	925 M	1845 M	2245 M

232 Scenarios are named “G” for genomic, followed by the number of phenotype records per lactation.  
 233 The number of phenotype records and the relative cost of phenotyping to genotyping (\$P:\$G)  
 234 dictated the number of genotyped animals. We genotyped females (F) and males (M) in 7:1 ratio.

## 235 Analysis of scenarios

236 All scenarios had equal amount of available resources. We compared the scenarios based on their  
 237 final genetic gain, which indicated return on investment, and accuracy of selection. We measured

the genetic gain as an average true breeding value by year of birth and standardized it to have zero mean and unit standard genetic deviation in the first year of comparison. We measured the accuracy of breeding values as the mean correlation between true and estimated breeding values of the evaluation years. We measured the accuracy separately for four groups of animals: i) male candidates (genotyped and non-phenotyped); ii) sires (currently used in artificial insemination); iii) females candidates (non-genotyped non-phenotyped); and iv) cows (all active phenotyped cows and bull dams). We repeated simulation of the base population and each scenario 10 times and summarised them with mean and standard deviation across the replicates. We used Tukey's multiple comparison test to test the significance of the difference between means.

## Results

Genomic scenarios increased the genetic gain compared to the conventional scenario regardless of the number of phenotype records per lactation, relative cost of phenotyping to genotyping, and the availability of an initial training population. Genomic scenarios with an existing initial training population increased the genetic gain of the conventional scenario by up to 143%, despite reduced phenotyping. The genetic gain further increased with increasing investment into genotyping, hence more animals genotyped. Compared to the conventional scenario, implementing genomic selection also increased the accuracy for non-phenotyped male and female candidates, and cows. Scenarios without an initial training population showed the same trends for genetic gain and accuracy. Although these scenarios had a slightly smaller genetic gain due to delayed implementation of genomic selection, they still increased the genetic gain of the conventional scenario by up to 134%.

### Genetic gain with an initial training population

**Table S1. Genetic gain by scenario, relative cost of phenotyping to genotyping, and availability of initial training population.**

	Scenario	\$P:\$G = 1:2	\$P:\$G = 1:1	\$P:\$G = 2:1
	C11	3.01 <sub>0.22</sub> <sup>a,A</sup>	3.01 <sub>0.22</sub> <sup>a,A</sup>	3.01 <sub>0.22</sub> <sup>a,A</sup>
With initial TP	G10	5.43 <sub>0.20</sub> <sup>b,A</sup>	5.41 <sub>0.29</sub> <sup>b,A</sup>	6.50 <sub>0.20</sub> <sup>b,B</sup>
	G9	5.58 <sub>0.26</sub> <sup>b,A</sup>	6.30 <sub>0.17</sub> <sup>c,B</sup>	7.02 <sub>0.24</sub> <sup>c,C</sup>
	G8	6.35 <sub>0.25</sub> <sup>c,A</sup>	6.62 <sub>0.25</sub> <sup>d,B</sup>	7.02 <sub>0.17</sub> <sup>c,C</sup>
	G5	6.78 <sub>0.21</sub> <sup>d,A</sup>	7.07 <sub>0.20</sub> <sup>e,B</sup>	<b>7.26</b> <sub>0.19</sub> <sup>c,B</sup>
	G2	7.13 <sub>0.29</sub> <sup>e,A</sup>	<b>7.33</b> <sub>0.26</sub> <sup>e,A</sup>	<b>7.28</b> <sub>0.17</sub> <sup>c,A</sup>
	G1	<b>7.11</b> <sub>0.16</sub> <sup>e,A</sup>	<b>7.27</b> <sub>0.28</sub> <sup>e,A</sup>	<b>7.24</b> <sub>0.22</sub> <sup>c,A</sup>
Without initial TP	G10	3.93 <sub>0.22</sub> <sup>b,A</sup>	4.54 <sub>0.14</sub> <sup>b,B</sup>	5.61 <sub>0.25</sub> <sup>b,C</sup>
	G9	4.64 <sub>0.18</sub> <sup>c,A</sup>	5.75 <sub>0.28</sub> <sup>c,B</sup>	6.52 <sub>0.17</sub> <sup>c,C</sup>
	G8	5.61 <sub>0.28</sub> <sup>d,A</sup>	6.24 <sub>0.19</sub> <sup>d,B</sup>	6.70 <sub>0.25</sub> <sup>cd,C</sup>
	G5	6.43 <sub>0.21</sub> <sup>e,A</sup>	6.90 <sub>0.22</sub> <sup>e,B</sup>	<b>7.05</b> <sub>0.27</sub> <sup>de,B</sup>
	G2	6.81 <sub>0.28</sub> <sup>f,A</sup>	<b>6.96</b> <sub>0.17</sub> <sup>e,A</sup>	<b>7.00</b> <sub>0.30</sub> <sup>de,A</sup>
	G1	<b>6.78</b> <sub>0.29</sub> <sup>f,A</sup>	<b>6.92</b> <sub>0.26</sub> <sup>e,A</sup>	<b>7.01</b> <sub>0.23</sub> <sup>e,A</sup>

\*The table presents the means and standard deviations (subscript) across 10 replicates for the conventional (C) and genomic (G) scenarios, with numbers indicating the number of phenotype records per lactation. The scenarios in bold cells did not spend all the available resources. The table presents the results within three relative costs of phenotyping to genotyping (\$P:\$G). The genomic scenarios differ in the availability of the initial training population (TP). Lower-case letters denote statistically significant differences between scenarios within the same \$P:\$G and upper-case letters between different \$P:\$G within the same scenario.

**Table S2. Intensity of sire selection by scenario and relative cost of phenotyping to genotyping.**

Scenario	\$P:\$G = 1:2	\$P:\$G = 1:1	\$P:\$G = 2:1
C11	0.80	0.80	0.80
G10	1.32	1.71	2.02
G9	1.76	2.06	2.48
G8	1.99	2.27	2.52
G5	2.40	2.63	2.85
G2	2.63	2.86	3.11
G1	2.70	2.93	3.14

\$P:\$G = relative cost of phenotyping (\$P) to genotyping (\$G). The scenarios are named C/G for conventional/genomic with numbers indicating the number of phenotype records per lactation.

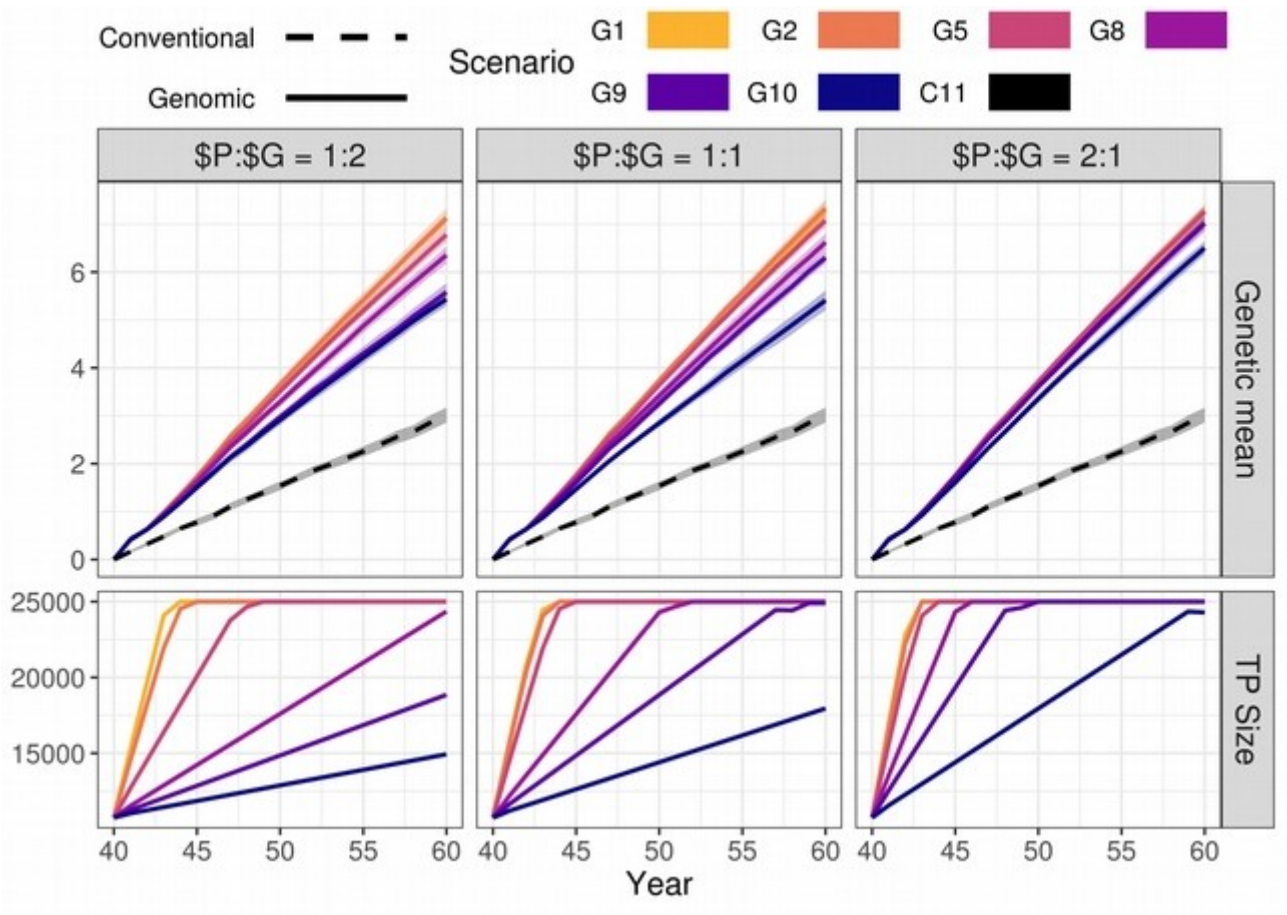
272 With the same amount of available resources, genomic scenarios with an initial training population  
273 increased the genetic gain of the conventional scenario between 79% and 143%. The genetic gain  
274 increased with the increasing investment in genotyping, despite reduced phenotyping. We show this  
275 in Figure 1 and Table S1 with genetic gain by scenario and by relative cost of phenotyping to  
276 genotyping with an initial training population. We show the intensities of sire selection in Table S2.  
277 When the cost of phenotyping was the same as the cost of genotyping ( $\$P:\$G = 1:1$ ), the genomic  
278 scenarios increased the genetic gain of the conventional scenario between 79% and 143%. By  
279 reducing the number of phenotype records from 11 (C11) to 10 per lactation (G10), we saved  
280 resources for genotyping 355 animals per year (310 cows and 45 male candidates). This small  
281 change increased the male selection intensity from 0.80 to 1.71 and increased the genetic gain by  
282 79% (from 3.01 to 5.41). By reducing the phenotype records to nine or eight per lactation (G9 or  
283 G8), we respectively saved resources to genotype 800 or 1,345 animals per year, of which 100 or  
284 165 were male candidates. This respectively increased the males selection intensity to 2.06 or 2.27,  
285 and genetic gain by 109% or 120% (from 3.01 to 6.30 or 6.62). We achieved the highest genetic  
286 gain, between 135% and 143% of the conventional scenario (between 7.07 and 7.33), when we  
287 collected five, two, or one phenotype records per lactation. In these three scenarios we saved  
288 resources for genotyping between 3,230 and 3,850 (all) cows and between 465 and 1,125 male  
289 candidates per year, and achieved the males selection intensity between 2.63 and 2.93.

290 We observed a similar trend for genetic gain when the cost of phenotyping was half or twice the  
291 cost of genotyping. Changing the relative cost of phenotyping to genotyping had the largest effect in  
292 the scenario with the smallest amount of genotyping (G10). In this scenario, when phenotyping was  
293 twice or half the cost of genotyping, we respectively saved resources for genotyping 182 or 710  
294 animals, of which 22 or 90 were males, and increased the genetic gain for 80% (from 3.01 to 5.43)  
295 or 116% (from 3.01 to 6.50). When we maximized the investment into genotyping (G1), we  
296 genotyped all females at all three price ratios and between 565 and 2,245 male candidates.  
297 Correspondingly, we achieved a comparable genetic gain, between 136% and 143% of the

conventional scenario, regardless of the relative cost of phenotyping to genotyping and different male selection intensities.

The high-genotyping scenarios achieved the observed genetic gain without using all the available resources (marked bold in Table S1). In these scenarios the resources designated to genotyping females exceeded the cost of genotyping all females. This made additional savings of between 85 (42) and 11,900 (23,800) genotypes (phenotypes).

In Figure 1 we also show the growth of the training population for genomic prediction. The training population started with a ~10,000 individuals and grew until reaching 25,000 individuals. However, the increase was not linear throughout generations, since the procedure for choosing the training animal changed when the size was to exceed 25,000 (only latest females and male candidates included).



308 **Figure 1 Genetic gain and training population size by scenario and relative cost of genotyping**  
 309 **with initial training population (TP).** The figure presents the means (lines) and 95% confidence  
 310 intervals (polygons) across 10 replicates for the conventional (C) and genomic (G) scenarios, with  
 311 numbers indicating the number of phenotype records per lactation. The figure presents the results  
 312 within three relative costs of phenotyping to genotyping (\$P:\$G).

313 **Accuracy with an initial training population**

314 **Table S3 Selection accuracy by scenario, relative cost of genotyping, and the availability of**  
 315 **initial training population (TP).**

Scenario	With initial training population			Without initial training population		
	\$P:\$G=1:2	\$P:\$G=1:1	\$P:\$G=2:1	\$P:\$G=1:2	\$P:\$G=1:1	\$P:\$G=2:1
Male candidates						
C11, S1	0.37 <sub>0.04</sub> <sup>a,A</sup>	0.37 <sub>0.04</sub> <sup>a,A</sup>	0.37 <sub>0.04</sub> <sup>a,A</sup>	0.37 <sub>0.04</sub> <sup>a,A</sup>	0.37 <sub>0.04</sub> <sup>a,A</sup>	0.37 <sub>0.04</sub> <sup>a,A</sup>
C11, S2	0.94 <sub>0.01</sub> <sup>b,A</sup>	0.94 <sub>0.01</sub> <sup>b,A</sup>	0.94 <sub>0.01</sub> <sup>b,A</sup>	0.94 <sub>0.01</sub> <sup>b,A</sup>	0.94 <sub>0.01</sub> <sup>b,A</sup>	0.94 <sub>0.01</sub> <sup>b,A</sup>
G10	0.89 <sub>0.03</sub> <sup>c,A</sup>	0.90 <sub>0.02</sub> <sup>bc,AB</sup>	0.91 <sub>0.01</sub> <sup>bc,B</sup>	0.81 <sub>0.03</sub> <sup>b,A *</sup>	0.84 <sub>0.01</sub> <sup>b,B *</sup>	0.87 <sub>0.01</sub> <sup>b,C *</sup>
G9	0.90 <sub>0.03</sub> <sup>bc,A</sup>	0.91 <sub>0.02</sub> <sup>bc,A</sup>	0.91 <sub>0.01</sub> <sup>bc,A</sup>	0.85 <sub>0.02</sub> <sup>c,A *</sup>	0.87 <sub>0.01</sub> <sup>bc,B *</sup>	0.90 <sub>0.01</sub> <sup>bc,C *</sup>
G8	0.91 <sub>0.01</sub> <sup>bc,A</sup>	0.91 <sub>0.01</sub> <sup>bc,A</sup>	0.91 <sub>0.01</sub> <sup>bc,A</sup>	0.86 <sub>0.01</sub> <sup>cd,A *</sup>	0.89 <sub>0.01</sub> <sup>c,B *</sup>	0.90 <sub>0.01</sub> <sup>bc,B</sup>
G5	0.91 <sub>0.01</sub> <sup>bc,A</sup>	0.91 <sub>0.00</sub> <sup>bc,A</sup>	0.91 <sub>0.01</sub> <sup>bc,A</sup>	0.90 <sub>0.01</sub> <sup>d,A</sup>	0.91 <sub>0.01</sub> <sup>c,A</sup>	0.91 <sub>0.01</sub> <sup>c,A</sup>
G2	0.91 <sub>0.01</sub> <sup>bc,A</sup>	0.91 <sub>0.00</sub> <sup>bc,A</sup>	0.90 <sub>0.01</sub> <sup>bc,A</sup>	0.90 <sub>0.01</sub> <sup>d,A</sup>	0.90 <sub>0.01</sub> <sup>c,A</sup>	0.90 <sub>0.01</sub> <sup>bc,A</sup>
G1	0.89 <sub>0.01</sub> <sup>c,A</sup>	0.90 <sub>0.01</sub> <sup>c,A</sup>	0.89 <sub>0.01</sub> <sup>c,A</sup>	0.89 <sub>0.01</sub> <sup>cd,A</sup>	0.89 <sub>0.01</sub> <sup>c,A</sup>	0.89 <sub>0.01</sub> <sup>bc,A</sup>
Sires						
C11	0.86 <sub>0.05</sub> <sup>a,A</sup>	0.86 <sub>0.05</sub> <sup>a,A</sup>	0.86 <sub>0.05</sub> <sup>a,A</sup>	0.86 <sub>0.05</sub> <sup>a,A</sup>	0.86 <sub>0.05</sub> <sup>a,A</sup>	0.86 <sub>0.05</sub> <sup>a,A</sup>
G10	0.75 <sub>0.04</sub> <sup>b,A</sup>	0.75 <sub>0.03</sub> <sup>b,A</sup>	0.73 <sub>0.05</sub> <sup>b,A</sup>	0.67 <sub>0.08</sub> <sup>bc,A *</sup>	0.68 <sub>0.05</sub> <sup>cde,A *</sup>	0.67 <sub>0.06</sub> <sup>b,A *</sup>
G9	0.76 <sub>0.04</sub> <sup>b,A</sup>	0.72 <sub>0.06</sub> <sup>bc,AB</sup>	0.69 <sub>0.05</sub> <sup>c,A</sup>	0.70 <sub>0.05</sub> <sup>b,A *</sup>	0.72 <sub>0.05</sub> <sup>bc,A</sup>	0.71 <sub>0.05</sub> <sup>b,A</sup>
G8	0.76 <sub>0.03</sub> <sup>b,A</sup>	0.69 <sub>0.05</sub> <sup>cd,B</sup>	0.68 <sub>0.06</sub> <sup>c,B</sup>	0.71 <sub>0.05</sub> <sup>b,A *</sup>	0.74 <sub>0.05</sub> <sup>b,A *</sup>	0.70 <sub>0.07</sub> <sup>b,A</sup>
G5	0.68 <sub>0.07</sub> <sup>c,A</sup>	0.67 <sub>0.08</sub> <sup>de,A</sup>	0.69 <sub>0.04</sub> <sup>c,A</sup>	0.68 <sub>0.05</sub> <sup>bc,A</sup>	0.69 <sub>0.05</sub> <sup>cd,A</sup>	0.69 <sub>0.03</sub> <sup>b,A</sup>
G2	0.67 <sub>0.05</sub> <sup>c,A</sup>	0.67 <sub>0.05</sub> <sup>de,A</sup>	0.67 <sub>0.04</sub> <sup>c,A</sup>	0.65 <sub>0.06</sub> <sup>c,A</sup>	0.64 <sub>0.07</sub> <sup>e,A</sup>	0.69 <sub>0.05</sub> <sup>b,A</sup>
G1	0.66 <sub>0.06</sub> <sup>c,A</sup>	0.63 <sub>0.05</sub> <sup>e,A</sup>	0.67 <sub>0.04</sub> <sup>c,A</sup>	0.67 <sub>0.04</sub> <sup>bc,A</sup>	0.67 <sub>0.03</sub> <sup>de,A</sup>	0.69 <sub>0.05</sub> <sup>b,A</sup>
Female candidates						
C11	0.45 <sub>0.02</sub> <sup>a,A</sup>	0.45 <sub>0.02</sub> <sup>a,A</sup>	0.45 <sub>0.02</sub> <sup>a,A</sup>	0.45 <sub>0.02</sub> <sup>a,A</sup>	0.45 <sub>0.02</sub> <sup>a,A</sup>	0.45 <sub>0.02</sub> <sup>a,A</sup>
G10	0.48 <sub>0.01</sub> <sup>ab,A</sup>	0.48 <sub>0.01</sub> <sup>ab,A</sup>	0.51 <sub>0.01</sub> <sup>b,B</sup>	0.46 <sub>0.02</sub> <sup>ab,A *</sup>	0.47 <sub>0.02</sub> <sup>ab,AB</sup>	0.49 <sub>0.01</sub> <sup>b,B *</sup>

G9	0.49 <sub>0.02</sub> <sup>b,A</sup>	0.50 <sub>0.01</sub> <sup>b,B</sup>	0.52 <sub>0.01</sub> <sup>b,C</sup>	0.47 <sub>0.02</sub> <sup>ab,A *</sup>	0.49 <sub>0.02</sub> <sup>bc,B</sup>	0.52 <sub>0.01</sub> <sup>bc,C</sup>
G8	0.51 <sub>0.01</sub> <sup>b,A</sup>	0.51 <sub>0.01</sub> <sup>b,A</sup>	0.54 <sub>0.01</sub> <sup>bc,B</sup>	0.49 <sub>0.02</sub> <sup>bc,A *</sup>	0.52 <sub>0.01</sub> <sup>cd,B</sup>	0.53 <sub>0.01</sub> <sup>cd,C</sup>
G5	0.51 <sub>0.01</sub> <sup>bc,A</sup>	0.55 <sub>0.01</sub> <sup>c,B</sup>	0.57 <sub>0.01</sub> <sup>c,C</sup>	0.52 <sub>0.01</sub> <sup>cd,A</sup>	0.55 <sub>0.01</sub> <sup>de,B</sup>	0.57 <sub>0.01</sub> <sup>d,C</sup>
G2	0.55 <sub>0.01</sub> <sup>cd,A</sup>	0.57 <sub>0.01</sub> <sup>c,B</sup>	0.57 <sub>0.01</sub> <sup>c,B</sup>	0.55 <sub>0.01</sub> <sup>d,A</sup>	0.56 <sub>0.02</sub> <sup>e,AB</sup>	0.57 <sub>0.01</sub> <sup>d,B</sup>
G1	0.56 <sub>0.01</sub> <sup>d,A</sup>	0.56 <sub>0.01</sub> <sup>c,A</sup>	0.56 <sub>0.01</sub> <sup>c,A</sup>	0.55 <sub>0.01</sub> <sup>d,A</sup>	0.56 <sub>0.01</sub> <sup>e,A</sup>	0.56 <sub>0.01</sub> <sup>d,A</sup>

## Cows

C11	0.48 <sub>0.03</sub> <sup>a,A</sup>	0.48 <sub>0.03</sub> <sup>a,A</sup>	0.48 <sub>0.03</sub> <sup>a,A</sup>	0.48 <sub>0.03</sub> <sup>a,A</sup>	0.48 <sub>0.03</sub> <sup>a,A</sup>	0.48 <sub>0.03</sub> <sup>a,A</sup>
G10	0.56 <sub>0.02</sub> <sup>b,A</sup>	0.59 <sub>0.02</sub> <sup>b,B</sup>	0.63 <sub>0.01</sub> <sup>b,C</sup>	0.53 <sub>0.01</sub> <sup>b,A *</sup>	0.56 <sub>0.01</sub> <sup>b,B *</sup>	0.61 <sub>0.01</sub> <sup>b,C *</sup>
G9	0.59 <sub>0.03</sub> <sup>bc,A</sup>	0.63 <sub>0.02</sub> <sup>c,B</sup>	0.70 <sub>0.01</sub> <sup>c,C</sup>	0.57 <sub>0.02</sub> <sup>bc,A *</sup>	0.62 <sub>0.02</sub> <sup>c,B</sup>	0.68 <sub>0.02</sub> <sup>c,C *</sup>
G8	0.62 <sub>0.02</sub> <sup>c,A</sup>	0.67 <sub>0.02</sub> <sup>c,B</sup>	0.74 <sub>0.02</sub> <sup>d,C</sup>	0.60 <sub>0.02</sub> <sup>c,A *</sup>	0.66 <sub>0.01</sub> <sup>d,B</sup>	0.73 <sub>0.02</sub> <sup>d,C</sup>
G5	0.70 <sub>0.02</sub> <sup>d,A</sup>	0.77 <sub>0.01</sub> <sup>d,B</sup>	0.79 <sub>0.02</sub> <sup>e,C</sup>	0.69 <sub>0.02</sub> <sup>d,A</sup>	0.76 <sub>0.01</sub> <sup>e,B</sup>	0.78 <sub>0.02</sub> <sup>e,B</sup>
G2	0.76 <sub>0.02</sub> <sup>e,A</sup>	0.79 <sub>0.02</sub> <sup>d,B</sup>	0.78 <sub>0.01</sub> <sup>e,AB</sup>	0.76 <sub>0.01</sub> <sup>e,A</sup>	0.77 <sub>0.02</sub> <sup>e,A *</sup>	0.77 <sub>0.01</sub> <sup>de,A</sup>
G1	0.77 <sub>0.02</sub> <sup>e,A</sup>	0.77 <sub>0.02</sub> <sup>d,A</sup>	0.77 <sub>0.01</sub> <sup>de,A</sup>	0.76 <sub>0.01</sub> <sup>e,A</sup>	0.76 <sub>0.02</sub> <sup>e,A</sup>	0.76 <sub>0.02</sub> <sup>de,A</sup>

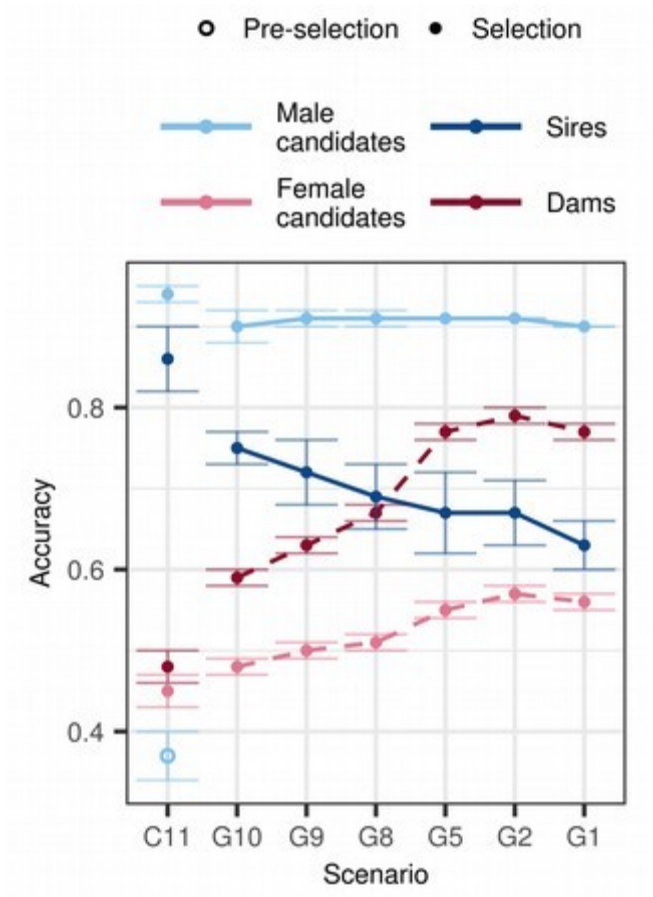
\*The table presents the means and standard deviations (subscript) across 10 replicates for the conventional (C) and genomic (G) scenarios, with numbers indicating the number of phenotype records per lactation. The tables presents the results within three relative costs of phenotyping to genotyping (\$P:\$G). Conventional selection implemented two-stage selection for males, hence we present the accuracy of pre-selection of male candidates for progeny testing (S1) and the accuracy of selection of proven sires (S2). In genomic scenarios the male candidates were genotyped and non-phenotyped males. We also present the accuracy for sires currently used in artificial insemination (sires), for non-genotyped non-phenotyped females (female candidates), and for all active phenotyped cows and bull dams (cows). Lower-case letters denote statistically significant differences between scenarios within the same \$P:\$G and upper-case letters between different \$P:\$G within the same scenario. Stars denote statistically significant difference between corresponding scenarios with and without an initial training population.

Compared to the conventional scenario, genomic scenarios increased accuracy for young non-phenotyped male and female candidates, and cows, but decreased accuracy for sires. We show this in Figure 2 with the accuracy for male candidates, female candidates, sires, and cows with an initial training population and equal cost of phenotyping and genotyping. In Table S3 we compare



333 accuracies at all three relative costs of phenotyping to genotyping. When the cost of phenotyping  
334 was equal to the cost of genotyping, the accuracy for young genomically tested male candidates  
335 ranged between 0.90 and 0.91 and did not depend on the amount of phenotyping and genotyping.  
336 This was 0.53-0.54 higher compared to the first stage of male selection in the conventional scenario  
337 (young un-phenotyped male candidates for progeny testing - same age point). However, this was  
338 0.03 - 0.04 lower compared to the second stage of male selection in the conventional scenario  
339 (proven sires - same selection point). In contrast, the accuracy for sires decreased with reallocating  
340 phenotyping resources into genotyping. We observed the lowest accuracy for sires, 0.63, when we  
341 invested the most into genotyping (G1), and the highest, 0.75, when we invested the most into  
342 phenotyping (G10). Compared to the conventional scenario, the accuracy for proven sires in the  
343 genomic scenarios was between 0.11 and 0.23 lower. The accuracy for female candidates increased  
344 with increasing genotyping, despite reduced phenotyping. We observed the highest accuracy for  
345 female candidates, between 0.55 and 0.57, when we recorded five, two, or one phenotype record per  
346 lactation and invested the rest into genotyping. Compared to the conventional scenario, the genomic  
347 scenarios increased the accuracy for female candidates between 0.03 and 0.11. The accuracy for  
348 cows followed the same trends, but with higher values. We observed the highest accuracy for cows,  
349 between 0.77 and 0.79, by collecting five, two, or one phenotype record per lactation and investing  
350 the rest in genotyping. Compared to the conventional scenario, genomic scenarios increased the  
351 accuracy for cows between 0.11 and 0.29.

352 Changing the relative cost of phenotyping to genotyping affected primarily the accuracy for female  
353 candidates and cows. We observed that in the majority of scenarios the accuracy increased with  
354 decreasing the relative cost of genotyping, which enabled genotyping more animals. We observed  
355 the largest difference of 0.06 for female candidates and 0.12 for cows when we changed the relative  
356 cost of phenotyping from half to twice the cost of genotyping. Changing the relative costs, however,  
357 did not change the trends.



**Figure 2 Accuracy by scenario with initial training population and equal cost of phenotyping and genotyping.** The figure presents the means (lines) and 95% confidence intervals (error bars) across 10 replicates for the conventional (C) and genomic (G) scenarios with numbers indicating the number of phenotype records per lactation. Conventional selection implemented two-stage selection for males, hence we present the accuracy of pre-selection of males for progeny testing (empty point) and the accuracy of selection of proven sires (solid point).

**Genetic gain and accuracy without an initial training population**

***Genetic gain***

When an initial training population was not available, we increased the genetic gain of the conventional scenario between 31% and 134% by optimizing investment in phenotyping and genotyping. We show this in Figure 3 with the genetic gain, training population size, and accuracy by scenario without an initial training population and equal cost of phenotyping and genotyping.

371 The observed trends were in line with what we observed with an initial training population, that is,  
372 increasing genotyping increased genetic gain despite reduced phenotyping. However, all  
373 corresponding scenarios achieved between 2% and 28% smaller genetic gain than when an initial  
374 training population was available. We show this in Tables S1 that compare the genetic gain of all  
375 scenarios.

376 When the cost of phenotyping was equal to the cost of genotyping, genomic scenarios increased the  
377 genetic gain of the conventional scenario between 51% and 131%. Compared to when we had an  
378 initial training population, the corresponding scenarios achieved between 2% and 16% lower  
379 genetic gain. We observed the largest difference in the scenario that invested the least into  
380 genotyping (G10). In this scenario we needed six years to build an adequate training population and  
381 implement genomic selection, since we only genotyped 355 cows per year. Increasing the  
382 investment into genotyping decreased this difference. We observed the smallest difference in the  
383 scenario that collected two phenotype records per lactations (G2) and implemented genomic  
384 selection in the first evaluation year.

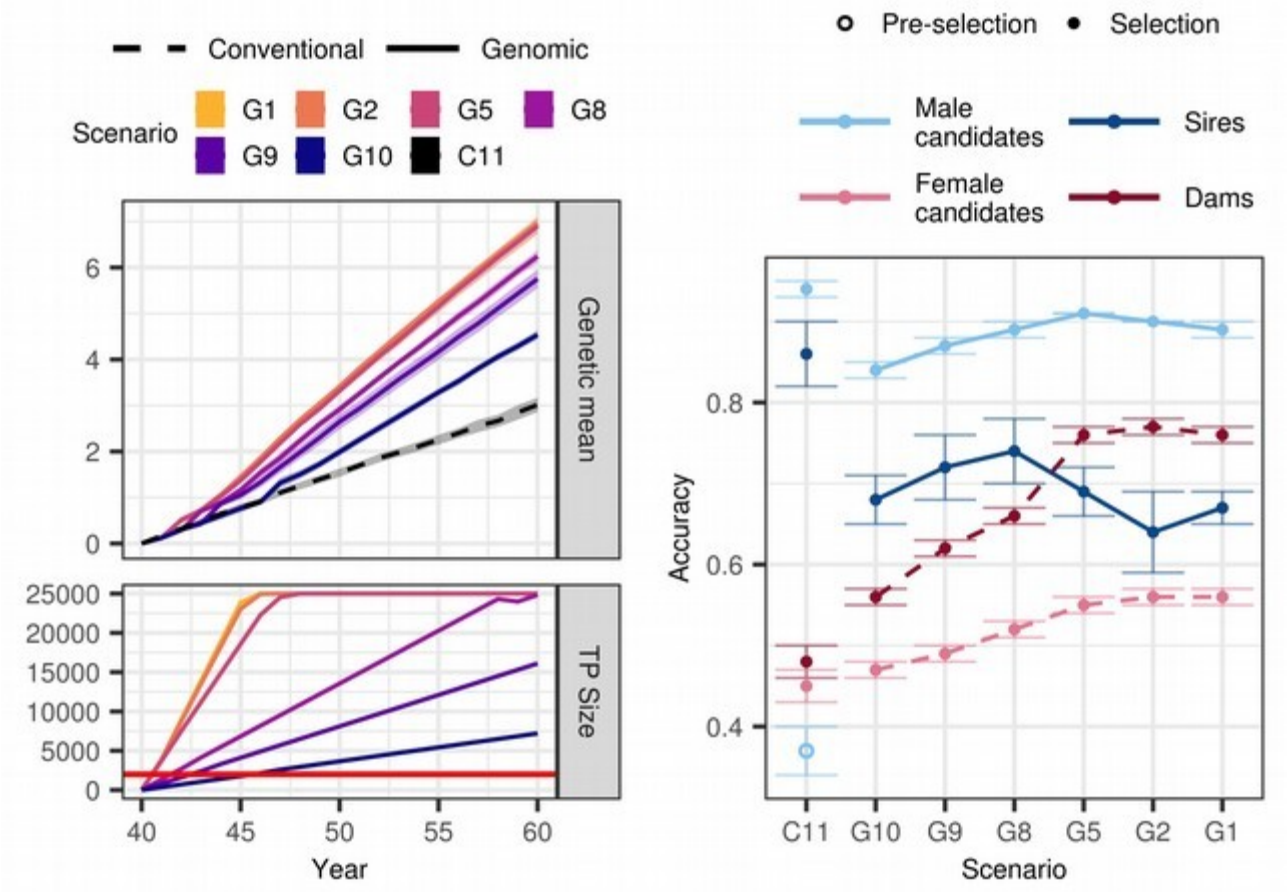
385 Changing the relative cost of phenotyping to genotyping did not change the overall trend, only the  
386 level of genetic gain in the low-genotyping scenarios. When the cost of phenotyping was half the  
387 cost of genotyping, the genomic scenarios increased genetic gain of the conventional scenario  
388 between 31% and 126%. The corresponding scenarios achieved between 4% and 28% lower genetic  
389 gain than when we had an initial training population. When the cost of phenotyping was twice the  
390 cost of genotyping, the genomic scenarios increased the genetic gain of the conventional scenario  
391 between 86% and 133%. The corresponding scenarios achieved between 3% and 14% lower genetic  
392 gain than when we had an initial training population.

### 393 *Accuracy*

394 As when we had an initial training population, genomic scenarios without an initial training  
395 population increased the accuracy for non-phenotyped male and female candidates, and cows. We

396 show this in Figure 3 with the accuracy without an initial training population and equal cost of  
397 phenotyping and genotyping. In Table S3 we compare the accuracies of all scenarios. When the cost  
398 of phenotyping was the same as the cost of genotyping, the accuracy for male candidates ranged  
399 between 0.84 and 0.91. In contrast to scenarios with initial training population, the accuracy  
400 increased with increasing the investment into genotyping, hence was significantly lower in the  
401 scenario that invested the least into genotyping. The accuracy for sires ranged between 0.64 and  
402 0.74. Contrary to when we had an initial training population, we observed no clear trend of either  
403 increasing or decreasing accuracy. For female candidates the accuracy ranged between 0.47 and  
404 0.56, and for cows between 0.56 and 0.76. For female candidates and cows the accuracies followed  
405 the trends of when we had an initial training population, where increasing genotyping increased the  
406 accuracy.

407 As in the scenarios with an initial training population, changing the relative cost of phenotyping to  
408 genotyping affected the accuracy for female candidates and cows, but also male candidates. Here,  
409 decreasing the relative cost of genotyping, and genotyping more animals, increased the accuracy in  
410 the majority of the scenarios, particularly the low-genotyping ones.



**Figure 3 Genetic gain, training population size, and accuracy by scenario without initial training population (TP) and equal cost of phenotyping and genotyping.** The figure presents the means (lines or points) and 95% confidence intervals (polygons or errorbars) across 10 replicates for the conventional (C) and genomic (G) scenarios with numbers indicating the number of phenotype records per lactation. The red line marks the condition of 2,000 animal in the training population to implement genomic selection. Conventional selection implemented two-stage selection for males, hence we present the accuracy of the pre-selection stage for progeny testing (empty point) and the accuracy of selection for proven sires (solid point).

## Discussion

## Discussion

Our results show that any dairy breeding programme can implement genomic selection without any extra costs but only by optimizing the investment into phenotyping and genotyping, which could potentially more than double genetic gain. The estimation of breeding values requires continuous investment in data collection. While breeding programmes usually have stable funding for phenotyping, the funding for genotyping is not yet well established. We show that by reallocating a part of phenotyping resources to genotyping, conventional breeding programmes can implement genomic selection and substantially increase genetic gain regardless of the amount and cost of genotyping, and availability of initial training population. In genomic scenarios, genetic gain further increases with increasing the investment into genotyping, despite simultaneously decreasing phenotyping. Although in genomic scenarios we reduced the number of phenotype records per animal, we increased the selection accuracy for non-phenotyped candidates. These results raise four discussion points: 1) how optimizing the investment in phenotyping and genotyping affects genetic gain with equal or different price of phenotyping and genotyping and with or without initial training population; 2) how optimizing the investment in phenotyping and genotyping affects accuracy with equal or different price of phenotyping and genotyping and with or without initial training population; 3) implications for breeding programmes; and 4) limitations of the study.

## Genetic gain

### *Genomic vs conventional selection*

Implementing genomic selection by optimizing the investment in phenotyping and genotyping increased genetic gain compared to the conventional selection. With an initial training population of 10,000 cows, all genomic scenarios outperformed the conventional scenario, mainly due to **reduced generation interval in sire selection**. This is in agreement with previous modelling studies and real data. Modelling studies showed that genomic selection increases genetic gain due to reduced generation interval, despite reduced selection accuracy in comparison to progeny test (Schaeffer,

2006; Pryce et al., 2010; Obšteter et al., 2019). Analysis of real data confirmed that the main driver of genetic gain with genomic selection is the reduced generation interval in the sire of bulls and sire of dam's paths. In the US Holstein population it decreased between 25% and 50% compared to the conventional selection (Garcia-Ruiz et al., 2016). The amount of reduction in generation interval impacts the benefit of genomic over conventional selection. Van Grevenhof et al. computed a break-even size of a training population to achieve a comparable response between genomic and conventional selection. They showed, that if the generation interval is not reduced and the number of phenotypes is limited, genomic selection cannot compete with conventional selection. But when generation interval is halved, a training population with ~2,000 or ~3,500 individuals gives comparable response of selection as test on 10 progeny per sire. While the assumption of an available (domestic) initial training population might not be realistic, it could be achieved through participating in international consortia. An example of such is InterGenomics for Brown Swiss in Central Europe (Jorjani, 2012).

Genomic scenarios were better also because the reduced number of phenotype records did not proportionally translate into reduced **accuracy**. While genomic scenarios only slightly decreased the accuracy for male candidates, they actually increased the selection accuracy for female candidates. We discuss the reasons for this in more details below.

Another major advantage of the genomic scenarios was **increased intensity** of sire selection. A costly and lengthy progeny-testing limits the number of tested sires in conventional selection. Genomic selection significantly reduces the cost of testing (Schaeffer, 2006) and thus increases the number of tested sires. In US Holstein population, genomic selection improved the selection differential for all traits, particularly for traits with low heritability, such as health and fertility (Garcia-Ruiz et al., 2016).

### 471 *Increasing the investment into genotyping*

472 Genetic gain increased by increasing the investment into genotyping. This was mainly due to  
473 **increased intensity** of sire selection. Increasing the investment into genotyping allowed us to  
474 increase the number of tested male candidates, but select the same number. We can see this as  
475 increasing investment into genotyping did not affect the generation interval nor accuracy of sire  
476 selection (discussed in the next section). Increasing the investment into genotyping also allowed for  
477 increasing the **update and total size of the training population**, which assisted in increasing  
478 genetic gain. This is in agreement with **Thomassen et al., 2020**, who showed that adding more cows  
479 yearly to the training population increases genetic gain. In our simulation a larger training  
480 population in turn increased selection accuracy of female candidates. The benefit of this was  
481 however not large, since the intensity of selection in females was very low.

482 The increase in genetic gain had a **diminishing relationship** with increasing investment into  
483 genotyping. This has important implications for breeding programmes, since they use phenotypes  
484 also for management (discussed below). Results showed that investing resources of more than six  
485 phenotype records into genotyping did not significantly improve the genetic gain. The first reason  
486 for this is, that the accuracy of sire selection in genomic scenario was high regardless of the amount  
487 of genotyping when there were at least 10,000 animals in the training population. We discuss the  
488 reasons for this in detail below. Second, the intensity of sire selection had diminishing relationship  
489 with increasing genotyping. This agrees with Reiner-Benaim et al., 2017, showing that genetic gain  
490 increases with the number of tested male candidates, but with a diminishing return. While with four  
491 sires selected, they achieved the maximum profit with 1721 tested candidates, they achieved 99% or  
492 90% of the maximum profit with 740 or 119 tested candidates. Third, increasing investment into  
493 genotyping did not proportionally increase the size of the training population due to limited number  
494 of animals in the population and limited size of the training population. Once the investment  
495 sufficed to genotype all the females or when the size of the training population hit 25,000, investing  
496 more into genotyping did not increase the size of the training population. Due to the same three



reasons **we also achieved a comparable maximum genetic gain regardless the relative price of phenotyping to genotyping**. In general, selecting less than 2% of the tested males and updating the training population with more than 35% of first parity cows resulted in the maximum genetic gain.al, selecting less than 2% of the tested males and updating the training population with more than 35% of first parity cows resulted in the maximum genetic gain.

Our results agree with previous studies showing that adding females to the training population has diminishing return relationship with accuracy and genetic gain (Van Grevenhof et al., 2012; Gonzalez-Recio et al., 2014). Consequently, when the number of females in a training population is large, an additional record has a smaller additional value than when a training population is small. Since our scenarios with initial training population started ~10,000 genotyped and phenotyped cows, enlarging the training population had small effect. Increasing the training population beyond that decreased the value of additional record even further .

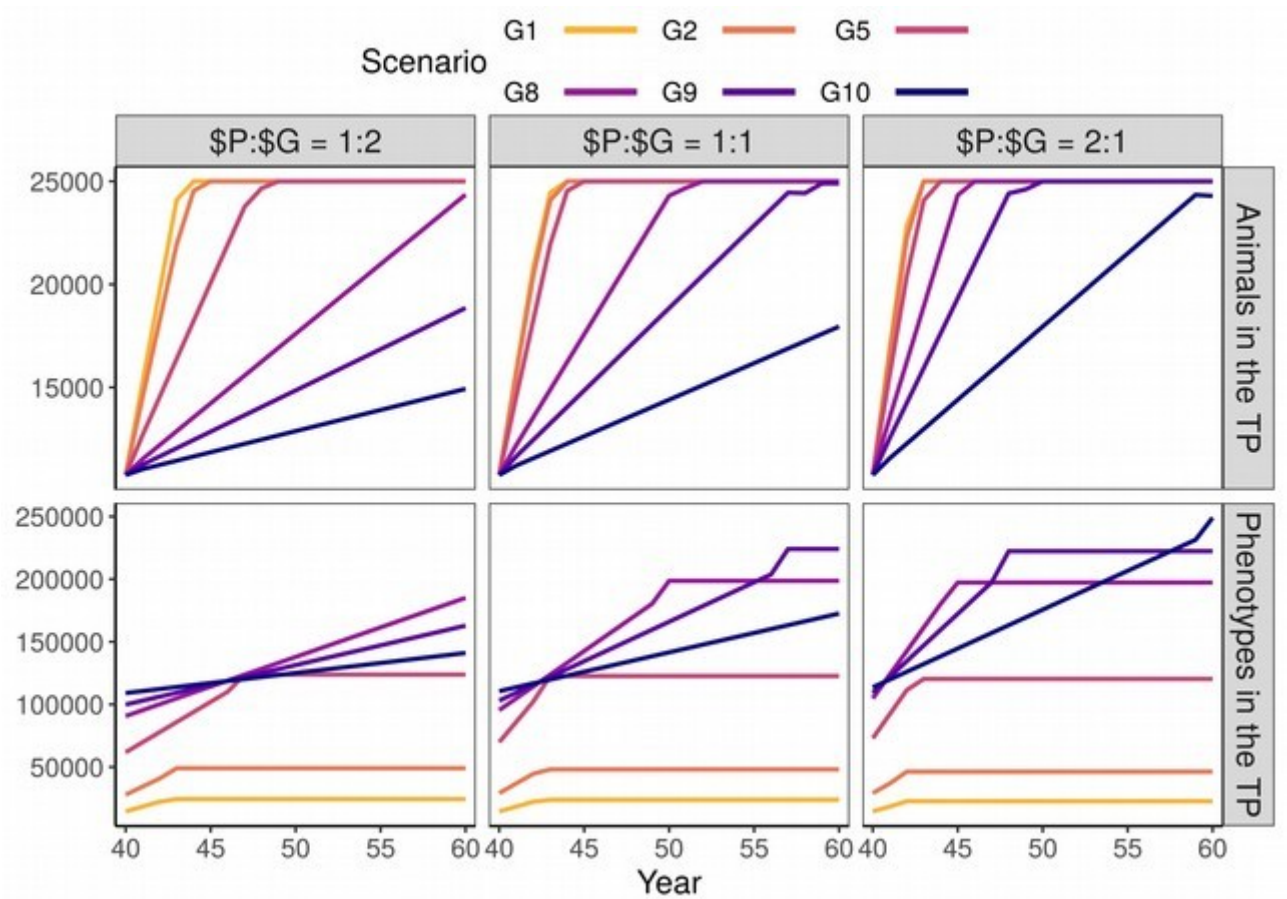
While genetic gain increases with the number of females in training population, adding repeated records does not have the same effect. As we increased the number of females in the training population, the number of repeated records decreased (Figure S1). The scenarios with largest genetic gain therefore had a training population with many cows and few repeated records. However, since we ran single-step genomic prediction, the phenotypes of the non-genotyped animals contributed to the estimation as well. Effectively, all scenarios thus operated with the same number of phenotyped animals.

We should emphasize, that some of the high-genotyping scenarios achieved the observed genetic gain **at a lower total cost**, since they could not use all the available resources for genotyping females. The saved resources could be invested back into phenotyping females for milk production or novelty traits, genotyping more male candidates, or some other breeding action. Buch et al., 2011, showed that for new functional traits, it is possible to achieve adequate accuracy of genomic prediction within three years of recording a new trait.

## 522 *Scenarios without an initial training population*

523 We also considered that some small populations do not have access to a training population and  
524 have to initialize one themselves. These genomic scenarios still increased genetic gain compared to  
525 the conventional scenario, but achieved lower genetic gain than corresponding scenarios with an  
526 initial training population available. This was mainly due to **delay in implementing genomic**  
527 **selection** and **smaller training population**. Consequently, increasing the investment into  
528 genotyping compensated for starting without a training population in two ways. Firstly, it shortened  
529 the time to obtain the 2000 genotypes required to implement genomic selection down to one year in  
530 high genotyping scenarios. Secondly, it shortened the time to build a training population in which  
531 an additional record had negligible effect on accuracy (“maximum” accuracy / accuracy comparable  
532 to when we had an initial TP). Gonzales-Recio et al. showed, that for most traits the additional gain  
533 from increasing the number of females above 10,000 is negligible. We ran single-step genomic  
534 prediction, hence historical data and data from non-phenotyped animals contributed as well.

535 We should note, that when implementing genomic selection with a delay, we did not observe any  
536 decrease compared to the conventional scenario prior to the implementation, despite reduced  
537 phenotyping. This suggests that breeding programmes could run a conventional breeding  
538 programme with reduced phenotyping until they accumulate genotypes to initiate a training  
539 population, without harming the genetic gain in the accumulation or transition period.



**Figure S1:**The number of animals and phenotypes in the training population.

### Accuracy

Despite reduced phenotyping, genomic scenarios increased the accuracy for young non-phenotyped calves and cows. In general, genomic prediction increases the accuracy of the Mendelian sampling term. This is the main reason for increase in accuracy with genomic prediction when the accuracy of parent average is high. But when the accuracy of parent average is low, such as for non-phenotyped parents or parents with little own or progeny information, genomic information increases accuracy both for the parent average and the Mendelian sampling term (Daetwyler, 2007; Wolc, 2011).

### 551 *Accuracy for males with initial training population*

552 For male candidates, genomic prediction more than doubled the accuracy compared to the parent  
553 average in conventional scenario. This is partly in agreement with Wolc et al., 2011, and Schaeffer,  
554 2006 who showed that genomic prediction can increase the accuracy of early selection up to  
555 two-fold. However, in our study, this increase was even higher, since genomic prediction also  
556 increased the accuracy of parent average.

557 Within the genomic scenarios, the accuracy for male candidates was high regardless of the amount  
558 of genotyping and phenotyping for two reasons. Firstly, due to the high accuracy of their parent  
559 average, since we tested the offspring of elite matings. Secondly, starting with a 10K training  
560 population gave an adequate starting point for accurate prediction. The accuracy was additionally  
561 boosted by using all available information jointly through single-step genomic prediction.

562 In contrast, reducing phenotyping decreased the accuracy for sires, despite increased genotyping.  
563 This was due to two reasons. First, since we used truncation selection to select the sires, their  
564 breeding values lie in the tail of distribution. Each additional phenotypic record increased the  
565 precision of individuals breeding values, although only marginally, and helped to distinguish the  
566 sires. Second, as we invested more into genotyping, the training population reached the limit of  
567 25,000 and the sires genotypes were removed. However, since this is the accuracy after the  
568 selection has been made, it is not of great interest for breeding.

569 Although sires already had phenotyped progeny, their accuracy was lower than for male candidates  
570 and had a larger standard deviation. First, this was due to a small number of sires, since each year  
571 we selected only five. Second, both male candidates and sires came from a truncated distribution  
572 with reduced variance, but the variance for the sires was even smaller. This in turn reduced the  
573 empirical accuracy computed as the Pearson's correlation coefficient between the true and estimated  
574 values.

575

## 576 *Accuracy for females with initial training population*

577 Genomic scenarios increased the accuracy for cows compared to the conventional scenario. Besides  
 578 increasing the accuracy of Mendelian sampling term, using genomic information increases genetic  
 579 connectedness between individuals from different management units (Yu et al., 2017, Powell et al.,  
 580 2019). This in turn increases the accuracy of prediction regardless of the heritability, number of  
 581 QTLs, and number of markers (Yu et al., 2018).

582 The accuracy for dams further increased with increasing investment into genotyping, despite  
 583 reduced phenotyping. Increasing investment into genotyping translated into growing training  
 584 population and a larger training population update. As shown by previous studies (Bijma YYYY,  
 585 Gonzales-Recio YYYY), the accuracy of genomic prediction increases with increasing size of a  
 586 female training population, even up to 100,000 females. Same studies also shown that the accuracy  
 587 of 0.70 is achieved with ~20,000 animals, which agrees with our results. However, these studies did  
 588 not account for varying degree of genetic distance between the training and the evaluation  
 589 population. As shown by previous studies, we can increase the accuracy in the evaluation  
 590 population with a higher relationship to the training population (Pszczola et al., 2012, Habier et al.,  
 591 2010; Clark et al, 2011). Increasing the investment into genotyping allowed us to genotype more  
 592 females and include more females from the most recent cow generation in the training set. This  
 593 decreased the genetic distance between training and evaluation population and in turn increased the  
 594 accuracy. Genotyping more females had two additional benefits. Firstly, more cows had both  
 595 genomic and phenotypic information available, which increased the accuracy of their breeding  
 596 values. Secondly, as shown by Yu et al., 2018, increasing the number of genotyped animals  
 597 increases genetic connectedness. As with genetic gain, increasing the size of the training population  
 598 had a diminishing return relationship with accuracy (Bijma, Gonzales-Recio). Correspondingly,  
 599 investing resources of more than six phenotype records into genotyping plateaued.

600 Accuracy for female candidates followed the accuracy trend for the dams, but at lower values.  
 601 Female candidates were not genotyped nor phenotyped, hence their accuracy mainly reflected

parent average accuracy. Increasing genotyping increased the accuracy for dams and in turn increased the accuracy of the parent average for female candidates.

#### *Accuracy without an initial training population*

Accuracy in scenarios without an initial training population closely followed the trends of the corresponding scenarios with an initial training population available. Buch et al, 2011, showed that for new traits and with large scale recording, we can achieve 75% of the maximum genomic accuracy within first two to three years of recording. In our study we shortened this period even more by including the historical data through single-step genomic prediction. We observed minor differences in the low genotyping scenarios with reduced accuracy for male candidates and sires. We attribute this to a smaller training population.

#### **Implications**

We show that any dairy breeding programme can implement genomic selection without increase in costs but only by optimizing the investment into breeding actions. Here we propose funding the genotyping with a part of resources for milk recording, since it can manipulate with number of repeated records. Breeding programmes could reduce phenotyping for a different trait that they record repeatedly and is perhaps less crucial for management. They could also reallocate the funds from another breeding action, if it does not result in cancelling a crucial activity.

When breeding programmes have limiting resources, they could optimize which individuals to genotype and phenotype, which we did not consider in this study. We expect this would further increase the genetic gain for the same level of investment or require less investment for the same genetic gain. Selective phenotyping can increase the accuracy of genomic selection up to 20% with a larger increase observed with small sample sizes (Heslot et al., 2017; Akdemir and Isidro-Sanchez, 2019). Researchers also suggested the use of phenotyping farms, which could be contracted and paid to provide records (ICAR 2011 Coffey presentation, no abstract). Similarly,

626 Jenko et al., 2017, showed, that selective genotyping of cows from the distribution tails increases  
627 the accuracy of genomic prediction by 15% compared to random selection.

628 When breeding programmes do not have access to high performance computers necessary for  
629 genomic evaluation of big genotyped populations, they could optimize the computational cost. As  
630 shown in our study, we can achieve large genetic gain with a relatively small training population of  
631 recent genotypes. This implies that breeding programmes do not have to use all available genotypes  
632 for prediction. The problem of a large number of genotypes can be alternatively solved by using  
633 methods with reduced computational costs, such as algorithm for proven and young (Miszta et al.,  
634 2014) or singular value decomposition of the genotype matrix (Ødegård et al., 2018).

### 635 *Target population*

636 The economic efficiency of the programmes strongly depends on who pays for which action. The  
637 scenarios presented in this paper are of little value for programmes, where phenotyping and  
638 genotyping funding is disconnected. But different programmes have different investment schemes,  
639 often intricate, which could benefit from suggested solutions. Similarly, optimizing the investment  
640 into phenotyping is not of interest for breeding programmes with abundant use of automated  
641 milking systems. With automated systems the cost of phenotyping does not depend on the number  
642 of records. But in populations with small herds the use of automated system is still limited, since its  
643 benefits do not make up for the high initial cost. Further on, the genomic selection could be more  
644 beneficial for some settings than the others. Powell et al., 2019, showed, that genomic information  
645 is especially important for generating genetic connectedness in systems with small herd sizes,  
646 geographically dispersed farms, and limited use of artificial insemination, often found in low to mid  
647 income countries. Kasap et al., 2018, showed the same benefit for sheep breeding, where herds do  
648 not actively exchange of sires between herds. In such settings, we can additionally increase the  
649 prediction accuracy with spatial modelling of the data. This establishes environmental  
650 connectedness, which helps to separate the genetic and environmental effects (Selle et al., 2020).

## 651 ***The use of genotypes***

652 In our study we used genotypes only for the prediction of genomic breeding values and achieving  
653 genetic gain. In breeding programmes, the genomic information has additional value for the  
654 breeders. Firstly, animals genomic information could be used for parentage verification or parentage  
655 discovery (ICAR Guidelines for Parentage Verification and Parentage Discovery Based on SNP  
656 Genotypes). This eliminates the cost of an alternative method, such as obtaining animal's  
657 microsatellite information. Secondly, genotypes provide information on causative loci for some  
658 monogenic diseases and traits (included for free or for a small royalty). This information can  
659 prevent large economic loss caused by spreading the lethal alleles. It can also create economic gain  
660 by adding value to the product, such as branding A2  $\beta$ -casein milk or producing B  $\kappa$ -casein milk  
661 with better coagulation properties. Thirdly, the genomic information could be used for a better  
662 monitoring and control of inbreeding (Woolliams et al., 2012), and optimization of matings  
663 (Obšteter et al., 2019). These additional uses of genotypes increase the return on investment of  
664 genomic selection, also in long-term. Further on, maintaining the system is more economically  
665 efficient in genomic than in conventional selection (König et al., 2009) for at least three reasons.  
666 Firstly, genomic selection removes the need for costly and lengthy progeny testing. Secondly, to  
667 maintain high accuracy of prediction across the generations, genomic selection requires only a  
668 minor update of the training population, while conventional selection requires another round of  
669 progeny-testing (Gonzales-Recio). And thirdly, genomic selection increases the value of the  
670 phenotype, since it prolongs its usefulness to many generations (compared to few in conventional  
671 selection). In order for this to hold, breeders and breeding organizations should genotype the  
672 phenotyped animals (Bijma reference).

## 673 **Limitations of the study**



### 674 *Reduced number of phenotype records*

675 In this study, we optimized the number of repeated test-day records with the aim to estimate  
 676 individual's breeding value and achieve genetic gain. In reality, breeding programmes have to  
 677 balance the number of records for achieving genetic gain and managing the herd, which we did not  
 678 consider in this study. Farmers use phenotype records to manage animals' health and feed  
 679 composition, which affect milk yield and composition. Besides managing production, milk  
 680 recording is also important from an environmental perspective. By managing the milk urea  
 681 concentration, herds can decrease the nitrogen footprint per kg of milk (Verbič et al., 2019).  
 682 Breeding programmes do also not use records directly for predicting the breeding values. Instead,  
 683 they use test day records to estimate the 305-day milk yield according to standard lactation curves  
 684 using various regression methods (reviewed in ICAR Guidelines: Computing of Accumulated  
 685 Lactation Yield, 2020; Jeretina et al., 2013). This additional prediction step decreases the accuracies  
 686 below the ones observed in our study. While previous studies quantified the accuracy of this  
 687 prediction, determining the value of phenotype for management is more complex.

688 The shortest ICAR standard recording interval that we tested in a genomic setting was five weeks,  
 689 corresponding to nine records per lactation. In some settings, this was sufficient to achieve the  
 690 maximum genetic gain while in others we achieved only 68% of the maximum genetic gain. (With  
 691 this, we achieved between 68% and 96% of the maximum genetic gain at a particular price ratio and  
 692 availability of initial training population). Studies suggest, that using nine instead of eleven records  
 693 would not greatly affect the accuracy of predicting the 305-day milk yield. They observed a high  
 694 correlation (between 0.96 and 0.98) of prediction based on 5-weekly and weekly records (Pool and  
 695 Meuwissen, 1999). Gartner et al., 2008, similarly observed high correlation of 0.96 between  
 696 predicting the 305-day milk yield from 11 (ICAR A4 standard) or eight (ICAR A6 standard) test  
 697 day records. They however showed that using eight records yields a high bias and significantly  
 698 underestimates the 305-day milk yield by 500-1000 kg.

699 The longest sampling interval tested in our study and still approved by ICAR was nine weeks,  
700 which yielded five records per lactation and invested the resources of six records into genotyping.  
701 In most settings this sufficed to achieve the maximum genetic gain (achieved between 94% and  
702 101% of the maximum gain in a particular setting). Previous studies also showed a good predictive  
703 ability of such scheme for estimating the 305-day milk yield. Pool and Meuwissen, 1999, showed  
704 that the correlation of prediction based on weekly and 9-weekly records was between 0.92 and 0.96.  
705 Berry et al., 2005, showed that the mean error of 305-day yield estimated from five test day records  
706 was 6.8kg with 0.99 correlation with 305-day yield estimated from 11 records. Studies also showed  
707 that choice of the model affects the prediction outcome, hence the prediction could be optimized  
708 (Pool and Meuwissen, 1999; Lidauer et al., 2003).

709 Investing more than the resources of six records into genotyping did not prove as necessary in our  
710 study, since it did not increase the genetic gain, accuracy for selection candidates, nor used all the  
711 available resources. Also, collecting only one record does not allow to estimate animal's permanent  
712 environment effect and in turn decreases the accuracy of breeding values. However, breeding  
713 programmes could want to invest more than the resources of six records into genotyping when  
714 initializing genomic selection and aiming to quickly build a training population. Kong et al., 2017,  
715 explored using three records to predict the 305-day milk yield. They achieved the accuracy between  
716 0.67 and 0.99 in the first lactation, between 0.92 and 1.00 in the second, and between 0.91 and 1.00  
717 in the third lactation, depending on the statistical model.

718 The effect of reduced records on herd management is much more intricate and less measurable. The  
719 number of records required for efficient herd management highly depends on management  
720 practices. Studies confirm this by showing that herd-test day variance, which reflects the variance  
721 due to management, can greatly exceed the genetic variance for milk yield (Caccamo et al., 2008)  
722 or be less than it (e.g. Špehar et al., EAAP 2008 poster).

### 723 ***Limited size of the training population***

724 In our simulation the upper limit for a training population was 25K. Although we achieved high  
 725 accuracies, increasing the size of the training population could increase them even further.  
 726 However, as already mentioned, the value of additional female decreases with the size of the  
 727 training population. Studies also showed that increasing the training population reduced the  
 728 economic efficiency of genomic selection (Azizian et al., 2016). Since we included the most recent  
 729 animals in the 25K set, increasing the size would also result in adding older animals to the training  
 730 population. These animals are genetically more distant from the evaluation population and of lesser  
 731 value.

### 732 ***Single additive trait***

733 We simulated milk yield as a single polygenic trait with additive genetic as well as permanent,  
 734 common and random environmental effect. In reality, non-additive genetic effects also affect the  
 735 trait. According to previous studies, the dominance effect can amount to between 12% and 45% of  
 736 the additive effect for milk yield (Fuerst and Sölkner, 1994; Ertl et al., 2014; Aliloo et al., 2016;  
 737 Jiang et al., 2017). In our simulation, we did not directly simulate nor account for them, but  
 738 individual's permanent effect includes non-additive genetic effects or individual specific  
 739 environmental effects. Studies showed, that around 25% of the permanent environment variance is  
 740 due to dominance effects (Aliloo et al., 2016). We also simulated milk yield in different lactations as  
 741 a single trait, whereas genetic correlation between different lactations is not unity. Studies observed  
 742 correlation between 0.82 and 0.97 for milk yield in different lactations (Meyer, 1984; Dong and Van  
 743 Vleck, 1989; Swalve and Van Vleck; 1987).

### 744 ***Genomic selection of females***

745 In this study we did not implement genomic selection in the female path nor did we assume the use  
 746 of female reproductive technologies, such as embryo transfer or sexing semen. This would further  
 747 decrease the generation interval and increase selection intensity on female side, which would

748 increase genetic gain of genomic scenarios even more (Pryce et al., 2010; Garcia-Ruiz et al., 2016).  
749 Implementing genomic selection of females would require only a minor modification, that is,  
750 genotyping heifers instead of first-parity cows. Implementing female reproductive technologies  
751 would require a larger modification and larger investment. However, some of the tested scenarios  
752 saved some of the available resource and could invest in embryo transfer or some other technology.

## 753 **Conclusion**

754 This study shows, that by optimizing the investment into milk phenotyping any dairy breeding  
755 programme can implement genomic selection and maximize the return on investment with no extra  
756 costs. We showed, that genomic scenarios increased both genetic gain and selection accuracy for  
757 non-phenotyped candidates, despite reduced phenotyping. The increase was observed regardless the  
758 amount and cost of genotyping, and availability of initial training population, which indicates the  
759 advantage of proposed solutions for a range of breeding programmes. However, increasing  
760 investment in genotyping had diminishing returns, which suggests that breeding programmes should  
761 balance the investment into phenotyping and genotyping to maximise return on investment.

## 762 **Conclusions**

### 763 **Declarations**

#### 764 **Ethics approval and consent to participate**

765 Not applicable

#### 766 **Consent for publication**

767 Not applicable

#### 768 **Availability of data and materials**

#### 769 **Competing interests**

770 Not applicable

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## 774 **Authors' contributions**

775 JO designed the testing scenarios, ran the simulation, analyzed the data, wrote the papers and  
776 interpreted the results. JJ participated in designing the scenarios, troubleshooting the simulation  
777 problems, interpreting the results, and has substantially revised the manuscript. JMH participated in  
778 the design of the work, interpretation of the results, and has substantially revised the manuscript.  
779 GG has participated in designing the work, troubleshooting the problems, analysis of the data,  
780 interpretations of the results, and has substantially revised the manuscript.

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## 784 **Author's information** (optional)

785 Not applicable.

786

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792 Figure 1 Title.

793 Legend

794 Figure 2 Title.

795 Legend

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816 Table 1 Title

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825 Additional file 1 Table S1

826 Format:

827 Title:

828 Description:

829 Additional file 2 Figure S1

830 Format:

831 Title:

832 Description:

Conventional selection, BLUP simulation, 100 sires						
Number of records	Number of daughters / sire	Accuracy for sires	Accuracy for cows	Accuracy for non-phenotyped animals	Total number of phenotyp ed cows	Total number of phenotypes
Variable resources for phenotyping						
1	100	0.93	0.62	0.56	10,000	10,000
2	100	0.96	0.70	0.59	10,000	20,000
5	100	0.97	0.81	0.64	10,000	50,000
10	100	0.98	0.89	0.66	10,000	100,000
Fixed resources for phenotyping						
1	1000	0.99	0.63	0.59	100,000	100,000
2	500	0.99	0.71	0.61	50,000	100,000
5	200	0.99	0.82	0.64	20,000	100,000
10	100	0.98	0.89	0.66	10,000	100,000
Genomic selection						
Variable resources for phenotyping						
1	-	-	0.62	0.53	10,000	10,000
2	-	-	0.70	0.58	10,000	20,000
5	-	-	0.81	0.64	10,000	50,000
10	-	-	0.89	0.68	10,000	100,000
Fixed resources for phenotyping						

1	-	-	0.63	0.91	100,000	100,000
2	-	-	0.71	0.86	50,000	100,000
5	-	-	0.82	0.77	20,000	100,000
10	-	-	0.89	0.68	10,000	100,000