# Genomic selection for any dairy breeding program via optimised investment in phenotyping and genotyping

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# Abstract

**Background**: This paper evaluates the potential of maximizing genetic gain in dairy cattle breeding programmes by optimizing investment into phenotyping and genotyping. Conventional dairy breeding programmes focus on phenotyping selection candidates or their close relatives to increase selection accuracy, since this is the main driver of genetic gain and quality assurance for producers. Genomic selection decoupled phenotyping and selection and through this enabled increased genetic gain per year compared to the conventional selection. However, genomic selection requires a large initial investment, which limits the adoption of genomic selection for some breeding programmes. The aim of this study was to evaluate the potential of maximizing genetic gain by optimizing investment into phenotyping and genotyping in in a case-study and to provide suggestions for other dairy breeding programmes.

**Methods**: We simulated a case-study of a small dairy population with a number of scenarios under equal available resources. The conventional progeny testing scenario had 11 phenotype records per lactation. In genomic scenarios, we reduced phenotyping to collect between 10 and 1 record per lactation and invested the saved resources into genotyping. We tested these scenarios in settings with or without initial training population for genomic selection.

**Results:** Reallocating a part of phenotyping resources to genotyping increased genetic gain compared to the conventional scenario regardless of the amount and relative cost of phenotyping, and the availability of initial training population. We further increased the genetic gain by increasing investment in genotyping, despite reduced phenotyping, with high‑genotyping scenarios not even using the total available resources. Compared to the conventional scenario, genomic scenarios also increased accuracy for young non‑phenotyped male and female candidates, and cows.

**Conclusions**:This study shows that breeding programmes should optimise investment into phenotyping and genotyping to maximise return on investment. We argue that phenotyped animals should be extensively genotyped to increase the impact of phenotyping investments. These conclusions suggest that any dairy breeding programme can implement genomic selection without increasing the level of investment.

# Background

This paper evaluates the potential of maximizing genetic gain in dairy cattle breeding programmes by optimizing investment into phenotyping and genotyping. All breeding programmes strive to maximize genetic gain, which is a function of selection intensity, accuracy of selection, genetic variation, and generation interval. The conventional dairy breeding programme uses a long and expensive progeny testing, which limits selection intensity. This programme allocates the majority of resources into phenotyping to increase the accuracy of sire selection, since this is the main driver of genetic gain. Genomic selection [1, 2] (Meuwissen et al., 2001; Schaeffer, 2006), on the other hand, achieves genetic gain mainly through substantially reduced generation interval, increased selection intensity on the male side, and increased accuracy of selection for young animals [2, 3](Schaeffer, 2006; Obšteter et al., 2019). Despite lower accuracy of sire selection compared to the conventional progeny testing, genomic selection doubles the rate of genetic gain per year in dairy cattle [4](Wiggans et al., 2017).

All breeding programmes operate with a certain amount of resources allocated between breeding activities with the aim to maximise return on investment. Genomic selection is now a de-facto standard in well-resourced breeding programmes, but is still challenging to implement for some breeding programmes. The major hurdle is the large initial investment in genotyping to establish a training population, though updating this population can also be challenging. These breeding programs need to evaluate priorities and could optimise phenotyping and genotyping to maximise return on investment.

The accuracy of conventional pedigree‑based estimates of breeding values increases with increasing heritability and increasing number of phenotype records per animal or its closest relatives (e.g., [5]Mrode, 2005). To illustrate, assume a female-expressed trait with the heritability of 0.25 and progeny testing in a population with 100 sires each tested on 100 daughters (10,000 cows in total). Collecting 10 phenotype records per daughter gives the accuracy of 0.98 for progeny tested sires, 0.86 for cows, and 0.66 for non‑phenotyped progeny. If we decrease the number of phenotype records per daughter to five, two, or one, the accuracy respectively decreases to 0.97, 0.96, or 0.93 for sires; to 0.81, 0. 70, or 0.62 for cows; and to 0.64, 0.59, or 0.56 for non‑phenotyped progeny. This example shows diminishing returns with repeated phenotype records and a scope for optimizing return on investment. Namely, at the extreme we reduced phenotyping 10x, which reduced accuracy only for 0.04 in sires and 0.10 in non-phenotyped progeny.

We could invest the resources saved from reducing the number of phenotype records per daughter into phenotyping more daughters. Assuming resources for 100,000 phenotypes and decreasing the number of phenotype records per daughter to five, two, or one respectively enables phenotyping 200, 500, or 1,000 daughters per sire (100 sires). This change increases accuracy for sires to 0.99 in all cases, barely increases accuracy for cows, and respectively increases accuracy for non‑phenotyped progeny to 0.64, 0.61, or 0.59.

The accuracy of genome-based estimates of breeding values also increases with increasing heritability and increasing number of phenotype records per genotyped animal, but also with increasing training population of phenotyped and genotyped animals, decreasing genetic distance between training and prediction individuals, and decreasing number of effective genome segments [6–10](Daetwyler et al. 2008; Goddard, 2009; Habier et al., 2010; Clark et al, 2011; Goddard et al., 2011). The latter dictates linkage-disequilibrium between markers and causal loci, which drives accuracy of genomic evaluation and prediction. Recombination, mutation, migration, drift, and selection change linkage-disequilibrium and decrease the accuracy of genomic prediction across generations, particularly when the training population is not continually updated [1, 8, 11, 12] (Meuwissen et al., 2001; Calus, 2010; Habier et al., 2010; Wolc et al., 2011).

Following the previous example, assume 10,000 effective genome segments, trait heritability of 0.25, and a training population of 10,000 cows. Recording 10 phenotype values per cow gives the heritabilityof phenotype for training population of 0.78 and genomic prediction accuracy of 0.68 for non-phenotyped progeny [6](Daetwyler et al, 2008). Reducing the number of phenotype records per cow to five, two, or one respectively reduces the heritabilityof phenotype for training population to 0.66, 0.50, or 0.40, and genomic prediction accuracy to 0.64, 0.58, or 0.53. This example again shows diminishing returns with replicated phenotyping and a scope for optimizing return on investment also with genomic breeding programmes. Namely, at the extreme we reduced phenotyping 10x, which reduced genomic prediction accuracy only for 0.11. Previous studies also explored the value of adding a record to the training population when a number of records is already available [13, 14] (Bijma, Recio). They concluded, that accuracy has a diminishing return relationship with increasing the number of records in the training population, hence additional phenotype is most valuable when the training population is small.

We could invest the resources saved from reducing the number of phenotype records per daughter into genotyping. If we could increase the number of genotyped and phenotyped cows from 10,000 to 20,000, 50,000, or 100,000, each respectively phenotyped with five, two, or one record, we would respectively increase the genomic prediction accuracy to 0.77, 0.86, or 0.91. While these genomic prediction accuracies are lower than with progeny testing, shorter generation interval enables larger genetic gain per unit of time [2](Schaeffer, 2006).

However, the above calculations assume we have resources to genotype and phenotype large numbers of cows. In reality, breeding programmes consist of individuals with only phenotype, genotype, or both types of information. To handle this, we can use single-step genomic prediction that combines all phenotypic, pedigree, and genomic information and in turn increases prediction accuracy even further [15–17](Gao et al., 2012, Gray et al., 2012; Lourenco et al., 2015).

The above examples indicate that repeated phenotyping could be an internal financial reserve that enables any dairy breeding programme to implement genomic selection. In dairy breeding the most repeatedly and extensively recorded phenotypes are milk production traits. There are different milk recording methods that differ in the recording responsibility, sampling scheme, recording and sampling frequency, and the number of milkings per day [18](ICAR, 2017). The recording interval ranges from daily recording to recording every nine weeks, which translates to between 310 and 5 records per lactation. The different recording methods have different costs, which also vary considerably between recoding systems, countries, and even their regions. For example, some organizations require payment of a participation fee plus the cost per sample, while others include the fee in the sample cost, or cover the costs in other ways.

The aim of this study was to evaluate the potential of maximizing genetic gain by optimizing investment into phenotyping and genotyping in dairy breeding programmes. Since milk recording is an example of a repeated phenotype with diminishing returns, we aimed to optimize investment into milk recording and genotyping. To this end we have compared a dairy breeding programme with conventional progeny testing and genomic testing under equal available resources. To implement genomic selection we reduced the number of milk records per cow per lactation and invested the saved resources into genotyping. We compared these strategies in case-study with a small cattle breeding programme where implementing genomic selection is challenging. The results show that reallocating a part of phenotyping resources to genotyping increases genetic gain regardless of the cost and amount of genotyping, and the availability of initial training population. The genetic gain also increases with increasing investment into genotyping, despite reduced phenotyping.

# Methods

The study aimed to evaluate the effect of different investment into phenotyping and genotyping with a simulation of a case-study of a small dairy breeding programme. The simulation mimicked a real dairy cattle population of ~30,000 animals analysed in our previous study [3]Obšteter et al. (2019). We evaluated 36 genomic scenarios against the conventional scenario, all with equal amount of available resources, but varying extent of phenotyping and genotyping. The conventional scenario implemented progeny testing and collected 11 phenotype records per lactation, while genomic scenarios reduced phenotyping and invested saved resources to genotyping. The genomic scenarios differed in i) the number of phenotype records per cow per lactation; ii) the relative cost of phenotyping and genotyping; and iii) the availability of an initial training population. All tested scenarios were compared based on their genetic gain and accuracy of selection.

## Simulation of the base population, phenotype and historical breeding

The simulation mimicked a small dairy cattle breeding programme of ~30,000 animals with ~10,500 cows, where introduction of effective genomic selection is challenging. We use this population as a case-study to optimize investment into phenotyping and genotyping. The breeding programme aimed to improve dairy performance, which we simulated as a single polygenic trait. For this we used a coalescent process to simulate whole-genome comprised of 10 cattle-like chromosomes, each with 108base pairs, 1,000 randomly chosen causal loci, and 2,000 randomly chosen marker loci. We sampled the effects of causal loci from a normal distribution and calculated animal’s breeding value (*ai*) for dairy performance (*yijkl*). We assigned permanent environment (*pi*), herd (*hj*), herd-year (*hyjk*), herd-test-day (*htdjkl*), and residual environment (*eijkl*) effects to the trait:

yijkl = ai + pi + hj + hyjk + htdjkl + eijkl.

We sampled the permanent environment effects from a normal distribution with zero mean and variance equal to the additive genetic variance (*σ2A*). We sampled herd, herd-year, and herd-test-day effects each from a normal distribution with zero mean and variance of 1/3*σ2A*. Finally, we sampled residual environment effects from a normal distribution with zero mean and variance of *σ2A*. This sampling scheme gave a trait with heritability 0.25 and repeatability of 0.50. With the simulated genome and phenotype architecture we have initiated the dairy cattle breeding programme and ran it for 20 years of conventional selection with progeny-testing based on 11 cow phenotype records per lactation. The detailed parameters of the simulation are described in [3]Obšteter et al. (2019). In summary, in the breeding programme we selected 3,849 out of 4,320 new-born females as cows and 139 as bull dams over their second, third, and fourth lactation. We generated 45 male calves from elite matings and out of these chose 8 for progeny testing of which 4 were eventually selected as elite sires. We made all selection decisions based on pedigree-based estimates of breeding values. The 20 years represented historical breeding and provided a starting point for evaluating future breeding scenarios, which we ran for additional 20 years.

## Scenarios

We evaluated 36 genomic scenarios with varying the extent of phenotyping and genotyping against the conventional scenario. All scenarios had equal amount of available resources. The conventional scenario continued the breeding scheme from historical breeding. It used progeny testing and 11 phenotype records per lactation (named C11), corresponding to the standard ICAR recording interval of 4 weeks [18](ICAR, 2017). We assumed that this scenario represented the total amount of resources available for obtaining the data. We then created genomic scenarios that distributed the total resources between phenotyping and genotyping - we reduced phenotyping and invested the saved resources into genotyping. In the genomic scenarios we selected females as in the conventional scenario and males based on genomic prediction. We varied the number of genomically tested male candidates depending on the resources and always selected the best 5 as elite sires solely on genomic prediction. We evaluated the genomic scenarios under a range of factors: number of phenotype records per lactation, cost of genotyping, and the availability of an initial training population.

Genomic scenarios reduced phenotyping of the conventional scenario and varied the number of phenotype records per lactation between 10 and 1. The scenarios followed ICAR standards of 9, 8, and 5 records per lactation, corresponding to recording intervals of 5, 6, and 9 weeks. Additionally, we created three non‑standard recording systems collecting 10, 2, and 1 records per lactation. We named the scenarios as “GX” with X being the number of records per lactation. The reduction in phenotyping and the relative cost of phenotyping to genotyping dictated the amount of saved resources and therefore the number of genotyped animals (Table 1). We invested the saved resources into genotyping females and males in ratio 7:1 based on our previous work [3]Obšteter et al. (2019). We genotyped first parity cows. This maximized the accuracy of genomic prediction, since it reduced the genetic distance between training and prediction population, prevented the loss of information due to culled heifers, and minimized the time to obtain a phenotype. If the available resources for genotyping females were larger than the cost of genotyping all first parity cows, we did not reallocate the excess of resources to male genotyping. To maximise the genetic gain, we genotyped male calves from elite matings and other high parent average matings.

Genomic scenarios next varied the relative cost of phenotyping ($P) to genotyping ($G). We compared the cost of one genotype to the cost of 11 phenotype records per lactation. Based on a survey of several breeding programmes, milk recording organizations, and genotyping providers we have considered three cost ratios of $P:$G: 2:1, 1:1, and 1:2. Following the survey, we also decreased the price of every additional milk recording, hence the first recording was the most expensive and the cost of each subsequent control was 95% of the preceding control.

Lastly, we created scenarios with and without an initial training population for genomic prediction. When we assumed an initial training population was available, we genotyped all active cows (10,653) and progeny tested sires (100) before the first genomic evaluation. When initial training population was not available, we yearly genotyped a designated number of first parity cows until the training population reached 2,000 cows. Once we reached this goal, we started to genotype both females and males as specified in Table 1. At that point we started genomic selection of males.

## Estimation of breeding values

We selected the animals based on their estimated breeding values that we estimated with a pedigree or single-step genomic (Legarra et al., 2009) repeatability model with breeding value, permanent environment, and herd-year as random effects. We did not fit the herd-test-day effect as data structure of this small population did not enable its accurate estimation. We estimated breeding values once a year with blupf90 [19](Misztal et al, 2002) with default settings. In the estimation we included all available phenotype and pedigree records for all active, phenotyped, or genotyped animals and additional three generations of their ancestors. However, we used at most 25,000 genotyped animals due to a maximum number of animals allowed in the non-commercial software version. When we accumulated more than 25,000 genotyped animals, we removed the oldest animals in favour of the latest genotyped cows and male selection candidates.

**Table 1. Number of genotyped animals per year by scenario and relative cost of phenotyping to genotyping.**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Relative cost | Scenario | | | | | |
| G10 | G9 | G8 | G5 | G2 | G1 |
| $P:$G = 1:2 | 160 F  22 M | 350 F  50 M | 590 F  85 M | 1610 F  235 M | 3230 F  465 M | 3850 F  565 M |
| $P:$G = 1:1 | 310 F  45 M | 700 F  100 M | 1180 F  165 M | 3230 F  465 M | 3850 F  925 M | 3850 F  1125 M |
| $P:$G = 2:1 | 620 F  90 M | 1400 F  295 M | 2360 F  335 M | 3850 F  925 M | 3850 F  1845 M | 3850 F  2245 M |

## Scenarios are named “G” for genomic, followed by the number of phenotype records per lactation. The number of phenotype records and the relative cost of phenotyping to genotyping ($P:$G) dictated the number of genotyped animals. We genotyped females (F) and males (M) in 7:1 ratio.

## Analysis of scenarios

All scenarios had equal amount of available resources. We compared the scenarios based on their final genetic gain, which indicated return on investment, and accuracy of selection. We measured the genetic gain as an average true breeding value by year of birth and standardized it to have zero mean and unit standard genetic deviation in the first year of comparison. We measured the accuracy of breeding values as the mean correlation between true and estimated breeding values of the evaluation years. We measured the accuracy separately for four groups of animals: i) male candidates (genotyped and non‑phenotyped); ii) sires (currently used in artificial insemination); iii) females candidates (non‑genotyped non‑phenotyped); and iv) cows (all active phenotyped cows and bull dams). We repeated simulation of the base population and each scenario 10 times and summarised them with mean and standard deviation across the replicates. We used Tukey’s multiple comparison test to test the significance of the difference between means.

# Results

Genomic scenarios increased the genetic gain compared to the conventional scenario regardless of the number of phenotype records per lactation, relative cost of phenotyping to genotyping, and the availability of an initial training population. Genomic scenarios with an existing initial training population increased the genetic gain of the conventional scenario by up to 143%, despite reduced phenotyping. The genetic gain further increased with increasing investment into genotyping, hence more animals genotyped. Compared to the conventional scenario, implementing genomic selection also increased the accuracy for non‑phenotyped male and female candidates, and cows. Scenarios without an initial training population showed the same trends for genetic gain and accuracy. Although these scenarios had a slightly smaller genetic gain due to delayed implementation of genomic selection, they still increased the genetic gain of the conventional scenario by up to 134%.

**Genetic gain with an initial training population**

**Table S1. Genetic gain by scenario, relative cost of phenotyping to genotyping, and availability of initial training population.**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Scenario\* | Relative cost of phenotyping ($P) to genotyping ($G) | | |
| $P:$G = 1:2 | $P:$G = 1:1 | $P:$G = 2:1 |
|  | C11 | 3.010.22a,A | 3.010.22a,A | 3.010.22a,A |
| With initial TP | G10 | 5.430.20b, A | 5.410.29b, A | 6.500.20b, B |
|  | G9 | 5.580.26b, A | 6.300.17c, B | 7.020.24c, C |
|  | G8 | 6.350.25c, A | 6.620.25d, B | 7.020.17c, C |
|  | G5 | 6.780.21d, A | 7.070.20e, B | **7.260.19c, B** |
|  | G2 | 7.130.29e, A | **7.330.26e, A** | **7.280.17c, A** |
|  | G1 | **7.110.16e,A** | **7.270.28e, A** | **7.240.22c,A** |
| Without initial TP | G10 | 3.930.22b, A | 4.540.14b, B | 5.610.25b, C |
|  | G9 | 4.640.18c, A | 5.750.28c, B | 6.520.17c, C |
|  | G8 | 5.610.28d, A | 6.240.19d B | 6.700.25cd, C |
|  | G5 | 6.430.21e, A | 6.900.22e, B | **7.050.27de, B** |
|  | G2 | 6.810.28f, A | **6.960.17e, A** | **7.000.30de, A** |
|  | G1 | **6.780.29f,A** | **6.920.26e, A** | **7.010.23e,A** |

\*The table presents the means and standard deviations (subscript) across 10 replicates for the conventional (C) and genomic (G) scenarios, with numbers indicating the number of phenotype records per lactation. The scenarios in bold cells did not spend all the available resources. The table presents the results within three relative costs of phenotyping to genotyping ($P:$G). The genomic scenarios differ in the availability of the initial training population (TP). Lower-case letters denote statistically significant differences between scenarios within the same $P:$G and upper-case letters between different $P:$G within the same scenario.

**Table S2. Intensity of sire selection by scenario and relative cost of phenotyping to genotyping.**

|  |  |  |  |
| --- | --- | --- | --- |
| Scenario | Relative cost of phenotyping ($P) to genotyping ($G) | | |
| $P:$G = 1:2 | $P:$G = 1:1 | $P:$G = 2:1 |
| C11 | 0.80 | 0.80 | 0.80 |
| G10 | 1.32 | 1.71 | 2.02 |
| G9 | 1.76 | 2.06 | 2.48 |
| G8 | 1.99 | 2.27 | 2.52 |
| G5 | 2.40 | 2.63 | 2.85 |
| G2 | 2.63 | 2.86 | 3.11 |
| G1 | 2.70 | 2.93 | 3.14 |

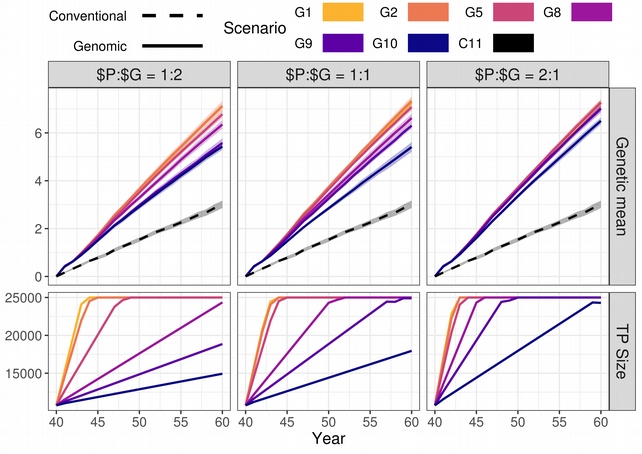
The scenarios are named C/G for conventional/genomic with numbers indicating the number of phenotype records per lactation.

With the same amount of available resources, genomic scenarios with an initial training population increased the genetic gain of the conventional scenario between 79% and 143%. The genetic gain increased with the increasing investment in genotyping, despite reduced phenotyping. We show this in Figure 1 and Table S1 with genetic gain by scenario and by relative cost of phenotyping to genotyping with an initial training population. We show the intensities of sire selection in Table S2. When the cost of phenotyping was the same as the cost of genotyping ($P:$G = 1:1), the genomic scenarios increased the genetic gain of the conventional scenario between 79% and 143%. By reducing the number of phenotype records from 11 (C11) to 10 per lactation (G10), we saved resources for genotyping 355 animals per year (310 cows and 45 male candidates). This small change increased the male selection intensity from 0.80 to 1.71 and increased the genetic gain by 79% (from 3.01 to 5.41). By reducing the phenotype records to nine or eight per lactation (G9 or G8), we respectively saved resources to genotype 800 or 1,345 animals per year, of which 100 or 165 were male candidates. This respectively increased the males selection intensity to 2.06 or 2.27, and genetic gain by 109% or 120% (from 3.01 to 6.30 or 6.62). We achieved the highest genetic gain, between 135% and 143% of the conventional scenario (between 7.07 and 7.33), when we collected five, two, or one phenotype records per lactation. In these three scenarios we saved resources for genotyping between 3,230 and 3,850 (all) cows and between 465 and 1,125 male candidates per year, and achieved the males selection intensity between 2.63 and 2.93.

We observed a similar trend for genetic gain when the cost of phenotyping was half or twice the cost of genotyping. Changing the relative cost of phenotyping to genotyping had the largest effect in the scenario with the smallest amount of genotyping (G10). In this scenario, when phenotyping was twice or half the cost of genotyping, we respectively saved resources for genotyping 182 or 710 animals, of which 22 or 90 were males, and increased the genetic gain for 80% (from 3.01 to 5.43) or 116% (from 3.01 to 6.50). When we maximized the investment into genotyping (G1), we genotyped all females at all three price ratios and between 565 and 2,245 male candidates. Correspondingly, we achieved a comparable genetic gain, between 136% and 143% of the conventional scenario, regardless of the relative cost of phenotyping to genotyping and different male selection intensities.

The high‑genotyping scenarios achieved the observed genetic gain without using all the available resources (marked bold in Table S1). In these scenarios the resources designated to genotyping females exceeded the cost of genotyping all females. This made additional savings of between 85 (42) and 11,900 (23,800) genotypes (phenotypes).

In Figure 1 we also show the growth of the training population for genomic prediction. The training population started with a ~10,000 individuals and grew until reaching 25,000 individuals. However, the increase was not linear throughout generations, since the procedure for choosing the training animal changed when the size was to exceed 25,000 (only latest females and male candidates included).



**Figure 1 Genetic gain and training population size by scenario and relative cost of genotyping with initial training population (TP).** The figure presents the means (lines) and 95% confidence intervals (polygons) across 10 replicates for the conventional (C) and genomic (G) scenarios, with numbers indicating the number of phenotype records per lactation. The figure presents the results within three relative costs of phenotyping to genotyping ($P:$G).

## Accuracy with an initial training population

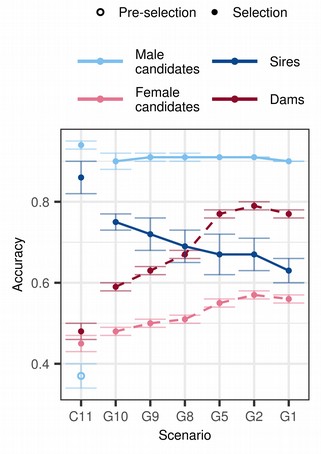
**Table S3 Selection accuracy by scenario, relative cost of genotyping, and the availability of initial training population (TP).**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | With initial training population | | | | Without initial training population | | |
|  | Relative cost of phenotyping ($P) to genotyping ($G) | | | | | | |
| Scenario | $P:$G=1:2 | $P:$G=1:1 | $P:$G=2:1 | $P:$G=1:2 | | $P:$G=1:1 | $P:$G=2:1 |
|  |  |  | Male candidates | | |  |  |
| C11, S1 | 0.370.04a ,A | 0.370.04a,A | 0.370.04a,A | 0.370.04a,A | | 0.370.04a,A | 0.370.04a,A |
| C11, S2 | 0.940.01b,A | 0.940.01b,A | 0.940.01b,A | 0.940.01b,A | | 0.940.01b,A | 0.940.01b,A |
| G10 | 0.890.03c,A | 0.900.02bc,AB | 0.910.01bc,B | 0.810.03b,A \* | | 0.840.01b,B \* | 0.870.01b,C \* |
| G9 | 0.900.03bc,A | 0.910.02bc,A | 0.910.01bc,A | 0.850.02c,A \* | | 0.870.01bc,B \* | 0.900.01bc,C \* |
| G8 | 0.910.01bc,A | 0.910.01bc,A | 0.910.01bc,A | 0.860.01cd,A \* | | 0.890.01c,B \* | 0.900.01bc,B |
| G5 | 0.910.01bc,A | 0.910.00bc,A | 0.910.01bc,A | 0.900.01d,A | | 0.910.01c,A | 0.910.01c,A |
| G2 | 0.910.01bc,A | 0.910.00bc,A | 0.900.01bc,A | 0.900.01d,A | | 0.900.01c,A | 0.900.01bc,A |
| G1 | 0.890.01c,A | 0.900.01c,A | 0.890.01c,A | 0.890.01cd,A | | 0.890.01c,A | 0.890.01bc,A |
|  |  |  | Sires | | |  |  |
| C11 | 0.860.05a,A | 0.860.05a,A | 0.860.05a,A | 0.860.05a,A | | 0.860.05a,A | 0.860.05a,A |
| G10 | 0.750.04b,A | 0.750.03b,A | 0.730.05b,A | 0.670.08bc,A \* | | 0.680.05cde,A \* | 0.670.06b,A \* |
| G9 | 0.760.04b,A | 0.720.06bc,AB | 0.690.05c,A | 0.700.05b,A \* | | 0.720.05bc,A | 0.710.05b,A |
| G8 | 0.760.03b,A | 0.690.05cd,B | 0.680.06c,B | 0.710.05b,A \* | | 0.740.05b,A \* | 0.700.07b,A |
| G5 | 0.680.07c,A | 0.670.08de,A | 0.690.04c,A | 0.680.05bc,A | | 0.690.05cd,A | 0.690.03b,A |
| G2 | 0.670.05c,A | 0.670.05de,A | 0.670.04c,A | 0.650.06c,A | | 0.640.07e,A | 0.690.05b,A |
| G1 | 0.660.06c,A | 0.630.05e,A | 0.670.04c,A | 0.670.04bc,A | | 0.670.03de,A | 0.690.05b,A |
|  |  |  | Female candidates | | |  |  |
| C11 | 0.450.02a,A | 0.450.02a,A | 0.450.02a,A | 0.450.02a,A | | 0.450.02a,A | 0.450.02a,A |
| G10 | 0.480.01ab,A | 0.480.01ab,A | 0.510.01b,B | 0.460.02ab,A \* | | 0.470.02ab,AB | 0.490.01b,B \* |
| G9 | 0.490.02b,A | 0.500.01b,B | 0.520.01b,C | 0.470.02ab,A \* | | 0.490.02bc,B | 0.520.01bc,C |
| G8 | 0.510.01b,A | 0.510.01b,A | 0.540.01bc,B | 0.490.02bc,A \* | | 0.520.01cd,B | 0.530.01cd,C |
| G5 | 0.510.01bc,A | 0.550.01c,B | 0.570.01c,C | 0.520.01cd,A | | 0.550.01de,B | 0.570.01d,C |
| G2 | 0.550.01cd,A | 0.570.01c,B | 0.570.01c,B | 0.550.01d,A | | 0.560.02e,AB | 0.570.01d,B |
| G1 | 0.560.01d,A | 0.560.01c,A | 0.560.01c,A | 0.550.01d,A | | 0.560.01e,A | 0.560.01d,A |
|  |  |  | Cows | | |  |  |
| C11 | 0.480.03a,A | 0.480.03a,A | 0.480.03a,A | 0.480.03a,A | | 0.480.03a,A | 0.480.03a,A |
| G10 | 0.560.02b,A | 0.590.02b,B | 0.630.01b,C | 0.530.01b,A \* | | 0.560.01b,B \* | 0.610.01b,C \* |
| G9 | 0.590.03bc,A | 0.630.02c,B | 0.700.01c,C | 0.570.02bc,A \* | | 0.620.02c,B | 0.680.02c,C \* |
| G8 | 0.620.02c,A | 0.670.02c,B | 0.740.02d,C | 0.600.02c,A \* | | 0.660.01d,B | 0.730.02d,C |
| G5 | 0.700.02d,A | 0.770.01d,B | 0.790.02e,C | 0.690.02d,A | | 0.760.01e,B | 0.780.02e,B |
| G2 | 0.760.02e,A | 0.790.02d,B | 0.780.01e,AB | 0.760.01e,A | | 0.770.02e,A \* | 0.770.01de,A |
| G1 | 0.770.02e,A | 0.770.02d,A | 0.770.01de,A | 0.760.01e,A | | 0.760.02e,A | 0.760.02de,A |

\*The table presents the means and standard deviations (subscript) across 10 replicates for the conventional (C) and genomic (G) scenarios, with numbers indicating the number of phenotype records per lactation. The tables presents the results within three relative costs of phenotyping to genotyping ($P:$G). Conventional selection implemented two-stage selection for males, hence we present the accuracy of pre-selection of male candidates for progeny testing (S1) and the accuracy of selection of proven sires (S2). In genomic scenarios the male candidates were genotyped and non‑phenotyped males. We also present the accuracy for sires currently used in artificial insemination (sires), for non‑genotyped non‑phenotyped females (female candidates), and for all active phenotyped cows and bull dams (cows). Lower-case letters denote statistically significant differences between scenarios within the same $P:$G and upper-case letters between different $P:$G within the same scenario. Stars denote statistically significant difference between corresponding scenarios with and without an initial training population.

Compared to the conventional scenario, genomic scenarios increased accuracy for young non‑phenotyped male and female candidates, and cows, but decreased accuracy for sires. We show this in Figure 2 with the accuracy for male candidates, female candidates, sires, and cows with an initial training population and equal cost of phenotyping and genotyping. In Table S3 we compare accuracies at all three relative costs of phenotyping to genotyping. When the cost of phenotyping was equal to the cost of genotyping, the accuracy for young genomically tested male candidates ranged between 0.90 and 0.91 and did not depend on the amount of phenotyping and genotyping. This was 0.53-0.54 higher compared to the first stage of male selection in the conventional scenario (young un‑phenotyped male candidates for progeny testing - same age point). However, this was 0.03 - 0.04 lower compared to the second stage of male selection in the conventional scenario (proven sires - same selection point). In contrast, the accuracy for sires decreased with reallocating phenotyping resources into genotyping. We observed the lowest accuracy for sires, 0.63, when we invested the most into genotyping (G1), and the highest, 0.75, when we invested the most into phenotyping (G10). Compared to the conventional scenario, the accuracy for proven sires in the genomic scenarios was between 0.11 and 0.23 lower. The accuracy for female candidates increased with increasing genotyping, despite reduced phenotyping. We observed the highest accuracy for female candidates, between 0.55 and 0.57, when we recorded five, two, or one phenotype record per lactation and invested the rest into genotyping. Compared to the conventional scenario, the genomic scenarios increased the accuracy for female candidates between 0.03 and 0.11. The accuracy for cows followed the same trends, but with higher values. We observed the highest accuracy for cows, between 0.77 and 0.79, by collecting five, two, or one phenotype record per lactation and investing the rest in genotyping. Compared to the conventional scenario, genomic scenarios increased the accuracy for cows between 0.11 and 0.29.

Changing the relative cost of phenotyping to genotyping affected primarily the accuracy for female candidates and cows. We observed that in the majority of scenarios the accuracy increased with decreasing the relative cost of genotyping, which enabled genotyping more animals. We observed the largest difference of 0.06 for female candidates and 0.12 for cows when we changed the relative cost of phenotyping from half to twice the cost of genotyping. Changing the relative costs, however, did not change the trends.



**Figure 2 Accuracy by scenario with initial training population and equal cost of phenotyping and genotyping.** The figure presents the means (lines) and 95% confidence intervals (error bars) across 10 replicates for the conventional (C) and genomic (G) scenarios with numbers indicating the number of phenotype records per lactation. Conventional selection implemented two-stage selection for males, hence we present the accuracy of pre-selection of males for progeny testing (empty point) and the accuracy of selection of proven sires (solid point).

## Genetic gain and accuracy without an initial training population

### Genetic gain

When an initial training population was not available, we increased the genetic gain of the conventional scenario between 31% and 134% by optimizing investment in phenotyping and genotyping. We show this in Figure 3 with the genetic gain, training population size, and accuracy by scenario without an initial training population and equal cost of phenotyping and genotyping. The observed trends were in line with what we observed with an initial training population, that is, increasing genotyping increased genetic gain despite reduced phenotyping. However, all corresponding scenarios achieved between 2% and 28% smaller genetic gain than when an initial training population was available. We show this in Tables S1 that compare the genetic gain of all scenarios.

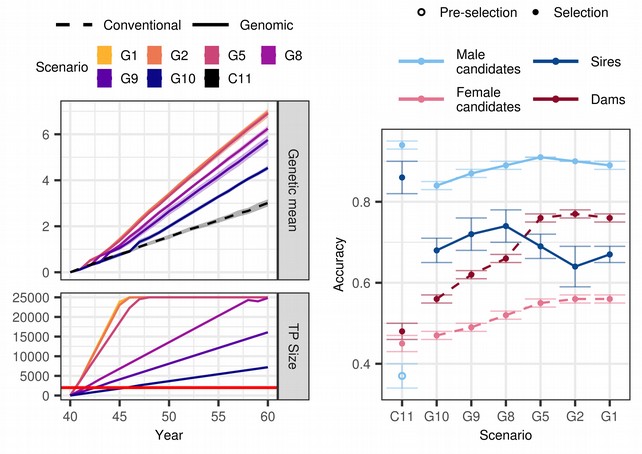
When the cost of phenotyping was equal to the cost of genotyping, genomic scenarios increased the genetic gain of the conventional scenario between 51% and 131%. Compared to when we had an initial training population, the corresponding scenarios achieved between 2% and 16% lower genetic gain. We observed the largest difference in the scenario that invested the least into genotyping (G10). In this scenario we needed six years to build an adequate training population and implement genomic selection, since we only genotyped 355 cows per year. Increasing the investment into genotyping decreased this difference. We observed the smallest difference in the scenario that collected two phenotype records per lactations (G2) and implemented genomic selection in the first evaluation year.

Changing the relative cost of phenotyping to genotyping did not change the overall trend, only the level of genetic gain in the low-genotyping scenarios. When the cost of phenotyping was half the cost of genotyping, the genomic scenarios increased genetic gain of the conventional scenario between 31% and 126%. The corresponding scenarios achieved between 4% and 28% lower genetic gain than when we had an initial training population. When the cost of phenotyping was twice the cost of genotyping, the genomic scenarios increased the genetic gain of the conventional scenario between 86% and 133%. The corresponding scenarios achieved between 3% and 14% lower genetic gain than when we had an initial training population.

### Accuracy

As when we had an initial training population, genomic scenarios without an initial training population increased the accuracy for non‑phenotyped male and female candidates, and cows. We show this in Figure 3 with the accuracy without an initial training population and equal cost of phenotyping and genotyping. In Table S3 we compare the accuracies of all scenarios. When the cost of phenotyping was the same as the cost of genotyping, the accuracy for male candidates ranged between 0.84 and 0.91. In contrast to scenarios with initial training population, the accuracy increased with increasing the investment into genotyping, hence was significantly lower in the scenario that invested the least into genotyping. The accuracy for sires ranged between 0.64 and 0.74. Contrary to when we had an initial training population, we observed no clear trend of either increasing or decreasing accuracy. For female candidates the accuracy ranged between 0.47 and 0.56, and for cows between 0.56 and 0.76. For female candidates and cows the accuracies followed the trends of when we had an initial training population, where increasing genotyping increased the accuracy.

As in the scenarios with an initial training population, changing the relative cost of phenotyping to genotyping affected the accuracy for female candidates and cows, but also male candidates. Here, decreasing the relative cost of genotyping, and genotyping more animals, increased the accuracy in the majority of the scenarios, particularly the low-genotyping ones.



**Figure 3 Genetic gain, training population size, and accuracy by scenario without initial training population (TP) and equal cost of phenotyping and genotyping.** The figure presents the means (lines or points) and 95% confidence intervals (polygons or errorbars) across 10 replicates for the conventional (C) and genomic (G) scenarios with numbers indicating the number of phenotype records per lactation. The red line marks the condition of 2,000 animal in the training population to implement genomic selection. Conventional selection implemented two-stage selection for males, hence we present the accuracy of the pre-selection stage for progeny testing (empty point) and the accuracy of selection for proven sires (solid point).

# Discussion

# **Discussion**

Our results show that any dairy breeding programme can implement genomic selection without any extra costs but only by optimizing the investment into phenotyping and genotyping, which could potentially more than double genetic gain. The estimation of breeding values requires continuous investment in data collection. While breeding programmes usually have stable funding for phenotyping, the funding for genotyping is not yet well established. We show that by reallocating a part of phenotyping resources to genotyping, conventional breeding programmes can implement genomic selection and substantially increase genetic gain regardless of the amount and cost of genotyping, and availability of initial training population. In genomic scenarios, genetic gain further increases with increasing the investment into genotyping, despite simultaneously decreasing phenotyping. Although in genomic scenarios we reduced the number of phenotype records per animal, we increased the selection accuracy for non‑phenotyped candidates. These results raise four discussion points: 1) how optimizing the investment in phenotyping and genotyping affects genetic gain with equal or different price of phenotyping and genotyping and with or without initial training population; 2) how optimizing the investment in phenotyping and genotyping affects accuracy with equal or different price of phenotyping and genotyping and with or without initial training population; 3) implications for breeding programmes; and 4) limitations of the study.

## **Genetic gain**

### ***Genomic vs conventional selection***

Implementing genomic selection by optimizing the investment in phenotyping and genotyping increased genetic gain compared to the conventional selection.With an initial training population of 10,000 cows, all genomic scenarios outperformed the conventional scenario, mainly due to r**educed generation interval in sire selection**. This is in agreement with previous modelling studies and real data. Modelling studies showed that genomic selection increases genetic gain due to reduced generation interval, despite reduced selection accuracy in comparison to progeny test (Schaeffer, 2006; Pryce et al., 2010; Obšteter et al., 2019). Analysis of real data confirmed that the main driver of genetic gain with genomic selection is the reduced generation interval in the sire of bulls and sire of dam’s paths. In the US Holstein population it decreased between 25% and 50% compared to the conventional selection (Garcia-Ruiz et al., 2016). The amount of reduction in generation interval impacts the benefit of genomic over conventional selection. Van Grevenhof et al. computed a break-even size of a training population to achieve a comparable response between genomic and conventional selection. They showed, that if the generation interval is not reduced and the number of phenotypes is limited, genomic selection cannot compete with conventional selection. But when generation interval is halved, a training population with ~2,000 or ~3,500 individuals gives comparable response of selection as test on 10 progeny per sire. While the assumption of an available (domestic) initial training population might not be realistic, it could be achieved through participating in international consortia. An example of such if InterGenomics for Brown Swiss in Central Europe (Jorjani, 2012).

Genomic scenarios were better also because the reduced number of phenotype records did not proportionally translate into reduced **accuracy**. While genomic scenarios only slightly decreased the accuracy for male candidates, they actually increased the selection accuracy for female candidates. We discuss the reasons for this in more details below.

Another major advantage of the genomic scenarios was **increased intensity** of sire selection. A costly and lengthy progeny-testing limits the number of tested sires in conventional selection. Genomic selection significantly reduces the cost of testing (Schaeffer, 2006) and thus increases the number of tested sires. In US Holstein population, genomic selection improved the selection differential for all traits, particularly for traits with low heritability, such as health and fertility (Garcia-Ruiz et al., 2016).

### ***Increasing the investment into genotyping***

Genetic gain increased by increasing the investment into genotyping. This was mainly due to **increased intensity** of sire selection. Increasing the investment into genotyping allowed us to increase the number oft tested male candidates, but select the same number. We can see this as increasing investment into genotyping did not affect the generation interval nor accuracy of sire selection (discussed in the next section). Increasing the investment into genotyping also allowed for increasing the **update and total size of the training population**, which assisted in increasing genetic gain. This is in agreement with Thomasen et al., 2020, who showed that adding more cows yearly to the training population increases genetic gain. In our simulation a larger training population in turn increased selection accuracy of female candidates. The benefit of this was however not large, since the intensity of selection in females was very low.

The increase in genetic gain had a **diminishing relationship** with increasing investment into genotyping. This has important implications for breeding programmes, since they use phenotypes also for management (discussed below). Results showed that investing resources of more than six phenotype records into genotyping did not significantly improve the genetic gain. The first reason for this is, that the accuracy of sire selection in genomic scenario was high regardless of the amount of genotyping when there were at least 10,000 animals in the training population. We discuss the reasons for this in detail below. Second, the intensity of sire selection had diminishing relationship with increasing genotyping. This agrees with Reiner-Benaim et al., 2017, showing that genetic gain increases with the number of tested male candidates, but with a diminishing return. While with four sires selected, they achieved the maximum profit with 1721 tested candidates, they achieved 99% or 90% of the maximum profit with 740 or 119 tested candidates. Third, increasing investment into genotyping did not proportionally increase the size of the training population due to limited number of animals in the population and limited size of the training population. Once the investment sufficed to genotype all the females or when the size of the training population hit 25,000, investing more into genotyping did not increase the size of the training populationg. Due to the same three reasons **we also achieved a comparable maximum genetic gain regardless the relative price of phenotyping to genotyping**. In general, selecting less than 2% of the tested males and updating the training population with more than 35% of first parity cows resulted in the maximum genetic gain.al, selecting less than 2% of the tested males and updating the training population with more than 35% of first parity cows resulted in the maximum genetic gain.

Our results agree with previous studies showing that adding females to the training population has diminishing return relationship with accuracy and genetic gain (Van Grevenhof et al., 2012; Gonzalez-Recio et al., 2014). Consequently, when the number of females in a training population is large, an additional record has a smaller additional value than when a training population is small. Since our scenarios with initial training population started ~10,000 genotyped and phenotyped cows, enlarging the training population had small effect. Increasing the training population beyond that decreased the value of additional record even further .

While genetic gain increases with the number of females in training population, adding repeated records does not have the same effect. As we increased the number of females in the training population, the number of repeated records decreased (Figure S1). The scenarios with largest genetic gain therefore had a training population with many cows and few repeated records. However, since we ran single-step genomic prediction, the phenotypes of the non-genotyped animals contributed to the estimation as well. Effectively, all scenarios thus operated with the same number of phenotyped animals.

We should emphasize, that some of the high-genotyping scenarios achieved the observed genetic gain **at a lower total cost,** since they could not use all the available resources for genotyping females. The saved resources could be invested back into phenotyping females for milk production or novelty traits, genotyping more male candidates, or some other breeding action. Buch et al., 2011, showed that for new functional traits, it is possible to achieve adequate accuracy of genomic prediction within three years of recording a new trait.

### ***Scenarios without an initial training population***

We also considered that some small populations do not have access to a training population and have to initialize one themselves. These genomic scenarios still increased genetic gain compared to the conventional scenario, but achieved lower genetic gain than corresponding scenarios with an initial training population available. This was mainly due to **delay in implementing genomic selection** and **smaller training population**. Consequently, increasing the investment into genotyping compensated for starting without a training population in two ways. Firstly, it shortened the time to obtain the 2000 genotypes required to implement genomic selection down to one year in high genotyping scenarios. Secondly, it shortened the time to build a training population in which an additional record had negligible effect on accuracy (“maximum” accuracy / accuracy comparable to when we had an initial TP). Gonzales-Recio et al. showed, that for most traits the additional gain from increasing the number of females above 10,000 is negligible. We ran single‑step genomic prediction, hence historical data and data from non‑phenotyped animals contributed as well.

We should note, that when implementing genomic selection with a delay, we did not observe any decrease compared to the conventional scenario prior to the implementation, despite reduced phenotyping. This suggests that breeding programmes could run a conventional breeding programme with reduced phenotyping until they accumulate genotypes to initiate a training population, without harming the genetic gain in the accumulation or transition period.



**Figure S1:**The number of animals and phenotypes in the training population.

## **Accuracy**

Despite reduced phenotyping, genomic scenarios increased the accuracy for young non‑phenotyped calves and cows.In general, genomic prediction increases the accuracy of the Mendelian sampling term. This is the main reason for increase in accuracy with genomic prediction when the accuracy of parent average is high. But when the accuracy of parent average is low, such as for non‑phenotyped parents or parents with little own or progeny information, genomic information increases accuracy both for the parent average and the Mendelian sampling term (Daetwyler, 2007; Wolc, 2011).

### ***Accuracy for males with initial training population***

For male candidates, genomic prediction more than doubled the accuracy compared to the parent average in conventional scenario. This is partly in agreement with Wolc et al., 2011, and Schaeffer, 2006 who showed that genomic prediction can increase the accuracy of early selection up to two‑fold. However, in our study, this increase was even higher, since genomic prediction also increased the accuracy of parent average.

Within the genomic scenarios, the accuracy for male candidates was high regardless of the amount of genotyping and phenotyping for two reasons. Firstly, due tothehigh accuracy of their parent average, since we tested the offspring of elite matings. Secondly, starting with a 10K training population gave an adequate starting point for accurate prediction. The accuracy was additionally boosted by using all available information jointly through single-step genomic prediction.

In contrast, reducing phenotyping decreased the accuracy for sires, despite increased genotyping. This was due to two reasons. First, since we used truncation selection to select the sires, their breeding values lie in the tail of distribution. Each additional phenotypic record increased the precision of individuals breeding values, although only marginally, and helped to distinguish the sires. Second, as we invested more into genotyping, the training population reached the limit of 25,000 and the sires genotypes were removed. However, since this is the accuracy after the selection has been made, it is not of great interest for breeding.

Although sires already had phenotyped progeny, their accuracy was lower than for male candidates and had a larger standard deviation. First, this was due to a small number of sires, since each year we selected only five. Second, both male candidates and sires came from a truncated distribution with reduced variance, but the variance for the sires was even smaller This in turn reduced the empirical accuracy computed as the Pearson’s correlation coefficient between the true and estimated values.

***Accuracy for females with initial training population***

Genomic scenarios increased the accuracy for cows compared to the conventional scenario. Besides increasing the accuracy of Mendelian sampling term, using genomic information increases genetic connectedness between individuals from different management units (Yu et al., 2017, Powell et al., 2019). This in turn increases the accuracy of prediction regardless of the heritability, number of QTLs, and number of markers (Yu et al., 2018).

The accuracy for dams further increased with increasing investment into genotyping,despite reduced phenotyping. Increasing investment into genotyping translated into growing training population and a larger training population update. As shown by previous studies (Bijma YYYY, Gonzales-Recio YYYY), the accuracy of genomic prediction increases with increasing size of a female training population, even up to 100,000 females. Same studies also shown that the accuracy of 0.70 is achieved with ~20,000 animals, which agrees with our results. However, these studies did not account for varying degree of genetic distance between the training and the evaluation population. As shown by previous studies, we can increase the accuracy in the evaluation population with a higher relationship to the training population (Pszczola et al., 2012, Habier et al., 2010; Clark et al, 2011). Increasing the investment into genotyping allowed us to genotype more females and include more females from the most recent cow generation in the training set. This decreased the genetic distance between training and evaluation population and in turn increased the accuracy. Genotyping more females had two additional benefits. Firstly, more cows had both genomic and phenotypic information available, which increased the accuracy of their breeding values. Secondly, as shown by Yu et al., 2018, increasing the number of genotyped animals increases genetic connectedness. As with genetic gain, increasing the size of the training population had a diminishing return relationship with accuracy(Bijma, Gonzales-Recio)**.** Correspondingly, investing resources of more than six phenotype records into genotyping plateaued.

Accuracy for female candidates followed the accuracy trend for the dams, but at lower values. Female candidates were not genotyped nor phenotyped, hence their accuracy mainly reflected parent average accuracy. Increasing genotyping increased the accuracy for dams and in turn increased the accuracy of the parent average for female candidates.

### ***Accuracy without an initial training population***

Accuracy in scenarios without an initial training population closely followed the trends of the corresponding scenarios with an initial training population available. Buch et al, 2011, showed that for new traits and with large scale recording, we can achieve 75% of the maximum genomic accuracy within first two to three years of recording. In our study we shortened this period even more by including the historical data through single-step genomic prediction. We observed minor differences in the low genotyping scenarios with reduced accuracy for male candidates and sires. We attribute this to a smaller training population.

## **Implications**

We show that any dairy breeding programme can implement genomic selection without increase in costs but only by optimizing the investment into breeding actions. Here we propose funding the genotyping with a part of resources for milk recording, since it can manipulate with number of repeated records. Breeding programmes could reduce phenotyping for a different trait that they record repeatedly and is perhaps less crucial for management. They could also reallocate the funds from another breeding action, if it does not result in cancelling a crucial activity.

When breeding programmes have limiting resources, they could optimize which individuals to genotype and phenotype, which we did not consider in this study. We expect this would further increase the genetic gain for the same level of investment or require less investment for the same genetic gain. Selective phenotyping can increase the accuracy of genomic selection up to 20% with a larger increase observed with small sample sizes (Heslot et al.., 2017; Akdemir and Isidro-Sanchez, 2019). Researchers also suggested the use of phenotyping farms, which could be contracted and paid to provide records (ICAR 2011 Coffey presentation, no abstract). Similarly, Jenko et al., 2017, showed, that selective genotyping of cows from the distribution tails increases the accuracy of genomic prediction by 15% compared to random selection.

When breeding programmes do not have access to high performance computers necessary for genomic evaluation of big genotyped populations, they could optimize the computational cost. As shown in our study, we can achieve large genetic gain with a relatively small training population of recent genotypes. This implies that breeding programmes do not have to use all available genotypes for prediction. The problem of a large number of genotypes can be alternatively solved by using methods with reduced computational costs, such as algorithm for proven and young (Misztal et al., 2014) or singular value decomposition of the genotype matrix (Ødegård et al., 2018).

### ***Target population***

The economic efficiency of the programmes strongly depends on who pays for which action. The scenarios presented in this paper are of little value for programmes, where phenotyping and genotyping funding is disconnected. But different programmes have different investment schemes, often intricate, which could benefit from suggested solutions. Similarly, optimizing the investment into phenotyping is not of interest for breeding programmes with abundant use of automated milking systems. With automated systems the cost of phenotyping does not depend on the number of records. But in populations with small herds the use of automated system is still limited, since its benefits do not make up for the high initial cost. Further on, the genomic selection could be more beneficial for some settings than the others. Powell et al., 2019, showed, that genomic information is especially important for generating genetic connectedness in systems with small herd sizes, geographically dispersed farms, and limited use of artificial insemination, often found in low to mid income countries. Kasap et al., 2018, showed the same benefit for sheep breeding, where herds do not actively exchange of sires between herds. In such settings, we can additionally increase the prediction accuracy with spatial modelling of the data. This establishes environmental connectedness, which helps to separate the genetic and environmental effects (Selle et al., 2020).

### ***The use of genotypes***

In our study we used genotypes only for the prediction of genomic breeding values and achieving genetic gain. In breeding programmes, the genomic information has additional value for the breeders. Firstly, animals genomic information could be used for parentage verification or parentage discovery (ICAR Guidelines for Parentage Verification and Parentage Discovery Based on SNP Genotypes). This eliminates the cost of an alternative method, such as obtaining animal’s microsatellite information. Secondly, genotypes provide information on causative loci for some monogenic diseases and traits (included for free or for a small royalty). This information can prevent large economic loss caused by spreading the lethal alleles. It can also create economic gain by adding value to the product, such as branding A2 β-casein milk or producing B κ-casein milk with better coagulation properties. Thirdly, the genomic information could be used for a better monitoring and control of inbreeding (Woolliams et al., 2012), and optimization of matings (Obšteter et al., 2019). These additional uses of genotypes increase the return on investment of genomic selection, also in long-term. Further on, maintaining the system is more economically efficient in genomic than in conventional selection (König et al., 2009) for at least three reasons. Firstly, genomic selection removes the need for costly and lengthy progeny testing. Secondly, to maintain high accuracy of prediction across the generations, genomic selection requires only a minor update of the training population, while conventional selection requires another round of progeny-testing (Gonzales-Recio). And thirdly, genomic selection increases the value of the phenotype, since it prolongs its usefulness to many generations (compared to few in conventional selection). In order for this to hold, breeders and breeding organizations should genotype the phenotyped animals (Bijma reference).

## **Limitations of the study**

### ***Reduced number of phenotype records***

In this study, we optimized the number of repeated test-day records with the aim to estimate individual’s breeding value and achieve genetic gain. In reality, breeding programmes have to balance the number of records for achieving genetic gain and managing the herd, which we did not consider in this study. Farmers use phenotype records to manage animals’ health and feed composition, which affect milk yield and composition. Besides managing production, milk recording is also important from an environmental perspective. By managing the milk urea concentration, herds can decrease the nitrogen footprint per kg of milk (Verbič et al., 2019). Breeding programmes do also not use records directly for predicting the breeding values. Instead, they use test day records to estimate the 305-day milk yield according to standard lactation curves using various regression methods (reviewed in ICAR Guidelines: Computing of Accumulated Lactation Yield, 2020; Jeretina et al., 2013). This additional prediction step decreases the accuracies below the ones observed in our study. While previous studies quantified the accuracy of this prediction, determining the value of phenotype for management is more complex.

The shortest ICAR standard recording interval that we tested in a genomic setting was five weeks, corresponding to nine records per lactation. In some settings, this was sufficient to achieve the maximum genetic gain while in others we achieved only 68% of the maximum genetic gain. (With this, we achieved between 68% and 96% of the maximum genetic gain at a particular price ratio and availability of initial training population). Studies suggest, that using nine instead of eleven records would not greatly affect the accuracy of predicting the 305-day milk yield. They observed a high correlation (between 0.96 and 0.98) of prediction based on 5‑weekly and weekly records (Pool and Meuwissen, 1999). Gartner et al., 2008, similarly observed high correlation of 0.96 between predicting the 305-day milk yield from 11 (ICAR A4 standard) or eight (ICAR A6 standard) test day records. They however showed that using eight records yields a high bias and significantly underestimates the 305-day milk yield by 500-1000 kg.

The longest sampling interval tested in our study and still approved by ICAR was nine weeks, which yielded five records per lactation and invested the resources of six records into genotyping. In most settings this sufficed to achieve the maximum genetic gain (achieved between 94% and 101% of the maximum gain in a particular setting). Previous studies also showed a good predictive ability of such scheme for estimating the 305-day milk yield. Pool and Meuwissen, 1999, showed that the correlation of prediction based on weekly and 9‑weekly records was between 0.92 and 0.96. Berry et al., 2005, showed that the mean error of 305-day yield estimated from five test day records was 6.8kg with 0.99 correlation with 305-day yield estimated from 11 records. Studies also showed that choice of the model affects the prediction outcome, hence the prediction could be optimized (Pool and Meuwissen, 1999; Lidauer et al., 2003).

Investing more than the resources of six records into genotyping did not prove as necessary in our study, since it did not increase the genetic gain, accuracy for selection candidates, nor used all the available resources. Also, collecting only one record does not allow to estimate animal’s permanent environment effect and in turn decreases the accuracy of breeding values. However, breeding programmes could want to invest more than the resources of six records into genotyping when initializing genomic selection and aiming to quickly build a training population. Kong et al., 2017, explored using three records to predict the 305‑day milk yield. They achieved the accuracy between 0.67 and 0.99 in the first lactation, between 0.92 and 1.00 in the second, and between 0.91 and 1.00 in the third lactation, depending on the statistical model.

The effect of reduced records on herd management is much more intricate and less measurable. The number of records required for efficient herd management highly depends on management practices. Studies confirm this by showing that herd-test day variance, which reflects the variance due to management, can greatly exceed the genetic variance for milk yield (Caccamo et al., 2008) or be less than it (e.g. Špehar et al., EAAP 2008 poster).

### ***Limited size of the training population***

In our simulation the upper limit for a training population was 25K. Although we achieved high accuracies, increasing the size of the training population could increase them even further. However, as already mentioned, the value of additional female decreases with the size of the training population. Studies also showed that increasing the training population reduced the economic efficiency of genomic selection (Azizian et al., 2016). Since we included the most recent animals in the 25K set, increasing the size would also result in adding older animals to the training population. These animals are genetically more distant from the evaluation population and of lesser value.

### ***Single additive trait***

We simulated milk yield as a single polygenic trait with additive genetic as well as permanent, common and random environmental effect. In reality, non‑additive genetic effects also affect the trait. According to previous studies, the dominance effect can amount to between 12% and 45% of the additive effect for milk yield (Fuerst and Sölkner, 1994; Ertl et al., 2014; Aliloo et al., 2016; Jiang et al., 2017). In our simulation, we did not directly simulate nor account for them, but individual’s permanent effect includes non-additive genetic effects or individual specific environmental effects. Studies showed, that around 25% of the permanent environment variance is due to dominance effects (Aliloo et al., 2016). We also simulated milk yield in different lactations as a single trait, whereas genetic correlation between different lactations is not unity. Studies observed correlation between 0.82 and 0.97 for milk yield in different lactations (Meyer, 1984; Dong and Van Vleck, 1989; Swalve and Van Vleck; 1987).

### ***Genomic selection of females***

In this study we did not implement genomic selection in the female path nor did we assume the use of female reproductive technologies, such as embryo transfer or sexing semen. This would further decrease the generation interval and increase selection intensity on female side, which would increase genetic gain of genomic scenarios even more (Pryce et al., 2010; Garcia-Ruiz et al., 2016). Implementing genomic selection of females would require only a minor modification, that is, genotyping heifers instead of first-parity cows. Implementing female reproductive technologies would require a larger modification and larger investment. However, some of the tested scenarios saved some of the available resource and could invest in embryo transfer or some other technology.

# **Conclusion**

This study shows, that by optimizing the investment into milk phenotyping any dairy breeding programme can implement genomic selection and maximize the return on investment with no extra costs. We showed, that genomic scenarios increased both genetic gain and selection accuracy for non-phenotyped candidates, despite reduced phenotyping. The increase was observed regardless the amount and cost of genotyping, and availability of initial training population, which indicates the advantage of proposed solutions for a range of breeding programmes. However, increasing investment in genotyping had diminishing returns, which suggests that breeding programmes should balance the investment into phenotyping and genotyping to maximise return on investment.

# Conclusions

**Declarations**

**Ethics approval and consent to participate**

Not applicable

**Consent for publication**

Not applicable

**Availability of data and materials**

**Competing interests**

Not applicable

**Funding**

The authors acknowledge support from the BBSRC to The Roslin Institute (BBS/E/D/30002275) and The University of Edinburgh’s Data-Driven Innovation Chancellor’s fellowship.

**Authors’ contributions**

JO designed the testing scenarios, ran the simulation, analyzed the data, wrote the papers and interpreted the results. JJ particited in designing the scenarios, troubleshooting the simulation problems, interpreting the results, and has substantially revised the manuscript. JMH participated in the design of the work, interpretation of the results, and has substantially revised the manuscript. GG has participated in designing the work, troubleshooting the problems, analysis of the data, interpretations of the results, and has substantially revised the manuscript.

**Acknowledgements**

The authors would like to thank Ivan Pocrnić from Roslin Institute, University of Edinburgh, for his help in interpreting the results and revising the manuscipt.

**Author’s information** (optional)

Not applicable.

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# Figures

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| **Conventional selection,** BLUP simulation, 100 sires | | | | | | |
| Number of records | Number of daughters / sire | Accuracy for sires | Accuracy for cows | Accuracy for non-phenotyped animals | Total number of phenotyped cows | Total number of phenotypes |
| Variable resources for phenotyping | | | | | | |
| 1 | 100 | 0.93 | 0.62 | 0.56 | 10,000 | 10,000 |
| 2 | 100 | 0.96 | 0.70 | 0.59 | 10,000 | 20,000 |
| 5 | 100 | 0.97 | 0.81 | 0.64 | 10,000 | 50,000 |
| 10 | 100 | 0.98 | 0.89 | 0.66 | 10,000 | 100,000 |
| Fixed resources for phenotyping | | | | | | |
| 1 | 1000 | 0.99 | 0.63 | 0.59 | 100,000 | 100,000 |
| 2 | 500 | 0.99 | 0.71 | 0.61 | 50,000 | 100,000 |
| 5 | 200 | 0.99 | 0.82 | 0.64 | 20,000 | 100,000 |
| 10 | 100 | 0.98 | 0.89 | 0.66 | 10,000 | 100,000 |
| **Genomic selection** | | | | | | |
| Variable resources for phenotyping | | | | | | |
| 1 | - | - | 0.62 | 0.53 | 10,000 | 10,000 |
| 2 | - | - | 0.70 | 0.58 | 10,000 | 20,000 |
| 5 | - | - | 0.81 | 0.64 | 10,000 | 50,000 |
| 10 | - | - | 0.89 | 0.68 | 10,000 | 100,000 |
| Fixed resources for phenotyping | | | | | | |
| 1 | - | - | 0.63 | 0.91 | 100,000 | 100,000 |
| 2 | - | - | 0.71 | 0.86 | 50,000 | 100,000 |
| 5 | - | - | 0.82 | 0.77 | 20,000 | 100,000 |
| 10 | - | - | 0.89 | 0.68 | 10,000 | 100,000 |