



## Solve multiband ELIASHBERG equations

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### — Outline —

This software provides three programs:

1. ebmb itself solves the multiband ELIASHBERG equations (Eqs. 1 or 4) on a cut-off imaginary axis and optionally continues the results to the real axis via PADÉ approximants. The normal-state equations (Eq. 7) can also be solved on the real axis.  
A material is defined by nothing but an ELIASHBERG spectral function or, as fallback, an EINSTEIN phonon frequency and intra- and interband electron-phonon couplings, COULOMB pseudo-potentials and, if desired, the band densities of BLOCH states, otherwise assumed to be constant.
2. critical finds the critical point via the bisection method varying a parameter of choice. Superconductivity is defined by the kernel of the linearized gap equation (Eq. 5 or 6) having an eigenvalue greater than or equal to unity.
3. tc finds the critical temperature for each band separately via the bisection method. Superconductivity is defined by the order parameter exceeding a certain threshold. Usually, it is preferable to use critical.

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### — Installation —

The makefile is designed for the *GNU* or *Intel* Fortran compiler:

```
$ make FC=gfortran FFLAGS='-O3 -fopenmp'  
$ python3 -m pip install -e .
```

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### — Reference —

ebmb is stored on *Zenodo*: <https://doi.org/10.5281/zenodo.13341224>.

The theory is described here: <https://scipost.org/theses/132/>.

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## — ELIASHBERG theory —

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Let  $\hbar = k_B = 1$ . Fermionic and bosonic MATSUBARA frequencies are defined as  $\omega_n = (2n+1)\pi T$  and  $v_n = 2n\pi T$ , respectively. The quantity of interest is the NAMBU self-energy matrix<sup>1</sup>

$$\boldsymbol{\Sigma}_i(n) = \underbrace{i\omega_n[1 - Z_i(n)]}_{(\delta\omega_n)_i} \mathbf{1} + \underbrace{Z_i(n)\Delta_i(n)}_{\phi_i(n)} \boldsymbol{\sigma}_1 + \chi_i(n) \boldsymbol{\sigma}_3,$$

where the PAULI matrices are defined as usual and  $i$  is a band index. Renormalization  $Z_i(n)$ , order parameter  $\phi_i(n)$  and energy shift  $\chi_i(n)$  are determined by the ELIASHBERG equations<sup>2</sup>

$$\begin{aligned} Z_i(n) &= 1 + \frac{T}{\omega_n} \sum_j \sum_{m=0}^{N-1} \int_{-\infty}^{\infty} d\varepsilon \frac{n_j(\varepsilon)}{n_j(\mu_0)} \frac{\omega_m Z_j(m)}{\Theta_j(\varepsilon, m)} \Lambda_{ij}^-(n, m), \\ \phi_i(n) &= T \sum_j \sum_{m=0}^{N-1} \int_{-\infty}^{\infty} d\varepsilon \frac{n_j(\varepsilon)}{n_j(\mu_0)} \frac{\phi_j(m)}{\Theta_j(\varepsilon, m)} [\Lambda_{ij}^+(n, m) - U_{ij}^*(m)], \\ \chi_i(n) &= \chi_{C_i} - T \sum_j \sum_{m=0}^{N-1} \int_{-\infty}^{\infty} d\varepsilon \frac{n_j(\varepsilon)}{n_j(\mu_0)} \frac{\varepsilon - \mu + \chi_j(m)}{\Theta_j(\varepsilon, m)} \Lambda_{ij}^+(n, m), \\ \Theta_i(\varepsilon, n) &= [\omega_n Z_i(n)]^2 + \phi_i^2(n) + [\varepsilon - \mu + \chi_i(n)]^2, \end{aligned} \quad (1)$$

and may then be analytically continued to the real-axis ( $i\omega_n \rightarrow \omega + i\eta$ ) by means of PADÉ approximants.<sup>3</sup> The electron-phonon coupling matrices and the rescaled COULOMB pseudo-potential are connected to the corresponding input parameters via

$$\begin{aligned} \Lambda_{ij}^\pm(n, m) &= \lambda_{ij}(n - m) \pm \lambda_{ij}(n + m + 1), & \lambda_{ij}(n) &= \int_0^\infty d\omega \frac{2\omega \alpha^2 F_{ij}(\omega)}{\omega^2 + v_n^2} \stackrel{\substack{\uparrow \\ \text{Einstein}}}{=} \frac{\lambda_{ij}}{1 + \left[\frac{v_n}{\omega_E}\right]^2}, \\ U_{ij}^*(m) &= \begin{cases} 2\mu_{ij}^*(\omega_{N_C}) & \text{for } m < N_C, \\ 0 & \text{otherwise,} \end{cases} & \frac{1}{\mu_{ij}^*(\omega_{N_C})} &= \frac{1}{\mu_{ij}^*} + \ln \frac{\omega_E}{\omega_{N_C}} \end{aligned} \quad (2)$$

with the ELIASHBERG spectral function  $\alpha^2 F_{ij}(\omega)$  and  $\mu_{ij}^* = \mu_{ij}^*(\omega_E)$  per definition. Alternatively, if the density of states  $n_i(\varepsilon)$  per spin as a function of energy  $\varepsilon$  is given,

$$\frac{1}{\mu_{ij}^*(\omega_{N_C})} = \frac{1}{\mu_{ij}} + \frac{1}{\pi} \int_{-\infty}^{\infty} d\varepsilon \frac{n_j(\varepsilon)}{n_j(\mu_0)} \begin{cases} \frac{1}{\varepsilon - \mu_0} \arctan \frac{\varepsilon - \mu_0}{\omega_{N_C}} & \text{for } \varepsilon \neq \mu_0, \\ \frac{1}{\omega_{N_C}} & \text{otherwise,} \end{cases} \quad (3)$$

where  $D$  is the electronic bandwidth.  $\mu_0$  and  $\mu$  are the chemical potentials for free and interacting particles, whose number  $n_0, n$  (including a factor of 2 for the spin) is usually conserved:

$$\sum_i \int_{-\infty}^{\infty} d\varepsilon \frac{2n_i(\varepsilon)}{e^{(\varepsilon - \mu_0)/T} + 1} = n_0 \stackrel{!}{=} n = \sum_i \int_{-\infty}^{\infty} d\varepsilon n_i(\varepsilon) \left[ 1 - 4T \sum_{n=0}^{N-1} \frac{\varepsilon - \mu + \chi_i(n)}{\Theta_i(\varepsilon, n)} - \frac{2}{\pi} \arctan \frac{\varepsilon - \mu + \chi_{C_i}}{\omega_N} \right].$$

It is unusual but possible to also consider the COULOMB contribution to the energy shift:

$$\chi_{C_i} = \sum_j \int_{-\infty}^{\infty} d\varepsilon \frac{n_j(\varepsilon)}{n_j(\mu_0)} \left[ 2T \sum_{m=0}^{N-1} \frac{\varepsilon - \mu + \chi_j(m)}{\Theta_j(\varepsilon, m)} + \frac{1}{\pi} \arctan \frac{\varepsilon - \mu + \chi_{C_j}}{\omega_N} \right] \mu_{ij}.$$

<sup>1</sup>Y. NAMBU, Phys. Rev. **117**, 648 (1960)

<sup>2</sup>G. M. ELIASHBERG, Soviet Phys. JETP **11**, 696 (1960).

A comprehensive review is given by P. B. ALLEN and B. MITROVIĆ in Solid state physics **37** (1982)

<sup>3</sup>H. J. VIDBERG and J. W. SERENE, J. Low Temp. Phys. **29**, 179 (1977)

For a given scalar  $\alpha^2 F(\omega)$ , an effective phonon frequency can be calculated in different ways. We follow ALLEN and DYNES,<sup>4</sup> who define the logarithmic and the second-moment average frequency and use the latter as  $\omega_E$  in Eqs. 2 and 3 for rescaling  $\mu^*$ :

$$\omega_{\log} = \exp \left[ \frac{2}{\lambda} \int_0^\infty \frac{d\omega}{\omega} \alpha^2 F(\omega) \ln(\omega) \right], \quad \overline{\omega}_2 = \sqrt{\frac{2}{\lambda} \int_0^\infty d\omega \alpha^2 F(\omega) \omega}.$$

Approximating  $n_i(\varepsilon) \approx n_i(\mu_0)$  yields  $\chi_i(n) = 0$  and the constant-DOS ELIASHBERG equations

$$\begin{aligned} Z_i(n) &= 1 + \frac{\pi T}{\omega_n} \sum_j \sum_{m=0}^{N-1} \frac{\omega_m}{\sqrt{\omega_m^2 + \Delta_j^2(m)}} \Lambda_{ij}^-(n, m), \\ \Delta_i(n) &= \frac{\pi T}{Z(n)} \sum_j \sum_{m=0}^{N-1} \frac{\Delta_j(m)}{\sqrt{\omega_m^2 + \Delta_j^2(m)}} [\Lambda_{ij}^+(n, m) - U_{ij}^*(m)]. \end{aligned} \quad (4)$$

At the critical temperature,  $\phi_j(m)$  is infinitesimal and negligible relative to  $\omega_m$ . This yields

$$\begin{aligned} \phi_i(n) &= \sum_j \sum_{m=0}^{N-1} K_{ij}(n, m) \phi_j(m), \\ K_{ij}(n, m) &= T \int_{-\infty}^{\infty} d\varepsilon \frac{n_j(\varepsilon)}{n_j(\mu_0)} \frac{\Lambda_{ij}^+(n, m) - U_{ij}^*(m)}{\Theta_j(\varepsilon, m)}, \end{aligned} \quad (5)$$

where  $\Theta_j(\varepsilon, m)$  is obtained from Eqs. 1 for  $\phi_j(m) = 0$ . Similarly, in the constant-DOS case,

$$\begin{aligned} \Delta_i(n) &= \sum_j \sum_{m=0}^{N-1} K_{ij}(n, m) \Delta_j(m), \\ K_{ij}(n, m) &= \frac{1}{2m+1} [\Lambda_{ij}^+(n, m) - \delta_{ij} \delta_{nm} D_i^N(n) - U_{ij}^*(m)], \\ D_i^N(n) &= \sum_j \sum_{m=0}^{N-1} \Lambda_{ij}^-(n, m) \stackrel{N=\infty}{=} \sum_j \left[ \lambda_{ij} + 2 \sum_{m=1}^n \lambda_{ij}(m) \right]. \end{aligned} \quad (6)$$

$Z_i(n)$  is not biased by the cutoff if  $D_i^\infty(n)$  is used in place of  $D_i^N(n)$  in the kernel  $K_{ij}(n, m)$ .

The ELIASHBERG equations can also be solved on the real axis,<sup>5</sup> which allows for exact analytic continuation without Padé approximants. They are implemented for the normal state:

$$\Sigma_{11i}(\omega) = \underbrace{\sum_j \int_{-\infty}^{\infty} d\varepsilon \frac{A_j(\varepsilon)}{n_j(\mu_0)} \left[ \mu_{ij} \left( \frac{1}{2} - f(\varepsilon) \right) + \int_0^\infty d\omega' \alpha^2 F_{ij}(\omega') \sum_{\pm} \pm \frac{f(\varepsilon) + n(\pm\omega')}{\omega - \varepsilon \pm \omega'} \right]}_{\chi_{C_i}} \quad (7)$$

with the Fermi function  $f(\varepsilon) = 1/(e^{\varepsilon/T} + 1)$  and the Bose function  $n(\omega) = 1/(e^{\omega/T} - 1)$ . The quasiparticle density of states  $A_i(\omega) = -\frac{1}{\pi} \text{Im } G_i(\omega + i\eta)$  follows from the Green function

$$G_i(\omega) = - \int_{-\infty}^{\infty} d\varepsilon n_i(\varepsilon) \frac{\omega Z_i(\omega) + \varepsilon - \mu + \chi_i(\omega)}{\Theta_i(\varepsilon, \omega)} \stackrel{\phi=0}{=} \int_{-\infty}^{\infty} d\varepsilon \frac{n_i(\varepsilon)}{\omega - \varepsilon + \mu - \Sigma_{11i}(\omega)}.$$

We can obtain  $\text{Re } \Sigma_{11i}(\omega + i\eta)$  via the KRAMERS-KRONIG relation from  $\lim_{\eta \rightarrow 0^+} \text{Im } \Sigma_{11i}(\omega + i\eta)$ .

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<sup>4</sup>P. B. ALLEN and R. C. DYNES, Phys. Rev. B **12**, 905 (1975)

<sup>5</sup>D. J. SCALAPINO, J. R. SCHRIEFFER and J. W. WILKINS, Phys. Rev. **148**, 263 (1966).

See also L. X. BENEDICT, C. D. SPATARU and S. G. LOUIE, Phys. Rev. B **66**, 085116 (2002)

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## — I/O —

- Parameters are defined on the command line:

```
$ <program> <key 1>=<value 1> <key 2>=<value 2> ...
```

The available keys and default values are listed in Table 1.

- The columns ebmb, tc and critical show which keys are used by these programs.
- The rightmost column indicates which parameters may be chosen as variable for critical. The variable is marked with a negative sign; its absolute value is used as initial guess. If no parameter is negative, the critical temperature is searched for.
- lambda, muStar, and muC expect flattened square matrices of equal size the elements of which are separated by commas. It is impossible to vary more than one element at once. If diag, the off-diagonal elements shall be omitted.
- dos has lines  $\epsilon/\text{eV}$   $n_1/\text{eV}^{-1}$   $n_2/\text{eV}^{-1}$  ... with  $\epsilon$  increasing.
- a2F has lines  $\omega/\text{eV}$   $\alpha^2 F_{1,1}$   $\alpha^2 F_{2,1}$  ... with  $\omega$  increasing. If diag, the off-diagonal elements shall be omitted.
- The relative change in the sample spacing of the real-axis frequencies between  $\omega = 0$  and  $\omega = x$  is  $\text{logscale} \cdot |x|$ . Thus,  $\text{logscale} = 0$  corresponds to equidistant sampling.
- Unless tell=false, the results are printed to standard output.
- Unless file=none, a binary output file is created. For critical and tc it simply contains one or more double precision floating point numbers, for ebmb the format defined in Tables 2 and 3 is used.
- The provided *Python* wrapper functions load the results into *NumPy* arrays:

```
import ebmb
results = ebmb.get(<program>, <file>, <replace>,
                   <key 1>=<value 1>, <key 2>=<value 2>, ...)
```

<replace> decides whether an existing <file> is used or overwritten. For all keys, a value of None corresponds to the default.

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## — Acknowledgment —

Parts of the program are inspired by the EPW code<sup>6</sup> and work of Malte Rösner.

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## — Contact —

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<sup>6</sup>See F. GIUSTINO, M. L. COHEN and S. G. LOUIE, Phys. Rev. B **76**, 165108 (2007) for a methodology review.  
Results related to ELIASHBERG theory are given by E. R. MARGINE and F. GIUSTINO, Phys. Rev. B **87**, 024505 (2013)

key	default	unit	symbol	description	ebmb	$\tau_c$	critical variable
file	none	–	–	output file	+	+	+
form	F16.12	–	–	number edit descriptor	+	+	–
tell	true	–	–	use standard output?	+	+	–
T	10	K	$T$	temperature	+	+	+
omegaE	0.02	eV	$\omega_E$	EINSTEIN frequency	+	+	+
cutoff	15	$\omega_E$	$\omega_N$	overall cutoff frequency	+	+	–
cutoffC	$\omega_N$	$\omega_E$	$\omega_{N_C}$	COULOMB cutoff frequency	+	+	–
lambda, lamda	1	1	$\lambda_{ij}$	electron-phonon coupling	+	+	+
muStar, mu*	0	1	$\mu_{ij}^*$	rescaled COULOMB potential	+	+	+
muC	0	1	$\mu_{ij}$	unscaled COULOMB parameter	+	+	+
bands	1	1	–	number of bands	+	+	–
diag	false	–	–	only diagonal coupling given?	+	+	–
dos, DOS	none	–	–	file with density of states	+	+	–
a2f, a2F	none	–	–	file with ELIASHBERG function	+	+	–
n	–	1	$n_0$	initial occupancy number	+	+	–
mu	0	eV	$\mu_0$	initial chemical potential	+	+	–
conserve	true	–	–	conserve particle number?	+	+	–
readjust	false	–	–	readjust chemical potential?	+	–	–
chi	true	–	–	consider energy shift $\chi_i(n)$ ?	+	+	–
chiC	false	–	–	consider COULOMB part $\chi_{C_i}$ ?	+	+	–
steps	250000	1	–	maximum number of iterations	+	+	–
epsilon	$10^{-13}$	a.u.	–	negligible float difference	+	+	–
toln	$10^{-10}$	1	–	tolerance for occupancy number	+	+	–
error	$10^{-5}$	a.u.	–	bisection error	–	+	–
zero	$10^{-10}$	eV	–	negligible gap at $T_c$ (threshold)	–	+	–
rate	$10^{-1}$	1	–	growth rate for bound search	–	+	–
lower	0	eV	–	minimum real-axis frequency	+	–	–
upper	$\omega_N$	eV	–	maximum real-axis frequency	+	–	–
points	0	1	–	number of real-axis frequencies	+	–	–
logscale	1	1/eV	–	scaling of logarithmic sampling	+	–	–
eta, 0+	$10^{-3}$	eV	$\eta$	broadening of retarded objects	+	–	–
measurable	false	–	–	find measurable gap?	+	–	–
unscale	true	–	–	estimate missing muC from mu*?	+	+	–
rescale	true	–	–	use $\mu_{ij}^*$ rescaled for cutoff?	+	+	–
imitate	false	–	–	use $Z_i(n)$ biased by cutoff?	–	–	–
divdos	true	–	–	divide by $n_j(\mu_0)$ in Eqs. 1, 3?	+	+	–
stable	false	–	–	calculate $A_i(\omega)$ differently?	+	–	–
normal	false	–	–	enforce normal state?	+	–	–
realgw	false	–	–	do real-axis GW <sub>0</sub> calculation?	+	–	–
krakro	true	–	–	send $\eta \rightarrow 0^+$ in $\text{Im } \Sigma_{11i}(\omega + i\eta)$ ?	+	–	–
power	true	–	–	power method for single band?	–	–	–

Table 1: Input parameters.

$\langle \text{CHARACTERS key} \rangle : \langle n_1 \times \dots \times n_r \text{ NUMBERS value} \rangle$   
 associate key with value

DIM: $\langle \text{INTEGER } r \rangle \langle r \text{ INTEGERS } n_1 \dots n_r \rangle$   
 define shape (column-major)

INT: take NUMBERS as INTEGERS

REAL: take NUMBERS as DOUBLES

**Table 2:** Statements allowed in binary output.  
 The data types CHARACTER, INTEGER and DOUBLE  
 take 1, 4 and 8 bytes of storage, respectively.

imaginary-axis results		
iomega	MATSUBARA frequency (without i)	$\omega_n$
domega	frequency shift	$(\delta\omega_n)_i$
Z	renormalization	$Z_i(n)$
Delta	gap	$\Delta_i(n)$
chi	energy shift (*)	$\chi_i(n)$
phiC	COULOMB part of order parameter	$\phi_{Ci}$
chic	COULOMB part of energy shift (*)	$\chi_{Ci}$
status	status (steps until convergence or -1)	-
occupancy results		
states	integral of density of states	$\sum_i \int d\epsilon n_i(\epsilon)$
inspect	integral of spectral function (**)	$\sum_i \int d\omega A_i(\omega)$
n0	initial }	$n_0$
n	final }	$n$
mu0	initial }	$\mu_0$
mu	final }	$\mu$
effective parameters		
lambda	electron-phonon coupling	$\lambda_{ij}$
omegaE	EINSTEIN frequency	$\omega_E$
omegaLog	logarithmic average frequency	$\omega_{\log}$
omega2nd	second-moment average frequency	$\bar{\omega}_2$
real-axis results		
		(**) points > 0
omega	frequency	$\omega$
Re[Z]	real }	
Im[Z]	imaginary }	renormalization
Re[Delta]	real }	
Im[Delta]	imaginary }	gap
Re[chi]	real }	
Im[chi]	imaginary }	energy shift (*)
Re[Sigma]	real }	
Im[Sigma]	imaginary }	self-energy
DOS	quasiparticle density of states (*)	$\Sigma_{11i}(\omega)$
measurable results		
		measurable=true
Delta0	measurable gap	$\Delta_{0i} = \text{Re}[\Delta_i(\Delta_{0i})]$
status0	status of measurable gap	-

**Table 3:** Keys used in binary output.