## Convolutional Neural Networks for Multi-Label Image Classification

by

Jan André Marais



Thesis presented in partial fulfilment of the requirements for the degree of Master of Commerce (Mathematical Statistics) in the Faculty of Economic and Management Sciences at Stellenbosch University

Supervisor: Dr. S. Bierman

December 2017

The financial assistance of the National Research Foundation (NRF) towards this research is hereby acknowledged. Opinions expressed and conclusions arrived at, are those of the author and are not necessarily to be attributed to the NRF.

## **Declaration**

By submitting this thesis electronically, I declare that the entirety of the work contained therein is my own, original work, that I am the sole author thereof (save to the extent explicitly otherwise stated), that reproduction and publication thereof by Stellenbosch University will not infringe any third party rights and that I have not previously in its entirety or in part submitted it for obtaining any qualification.

Date:	 				 							

Copyright © 2017 Stellenbosch University All rights reserved.

## Abstract

## Convolutional Neural Networks for Multi-Label Image Classification

J. A. Marais

Thesis: MCom (Mathematical Statistics)

December 2017

English abstract.

## Uittreksel

## Konvolusionele Neurale Netwerke vir Multi-Etikel Beeldklassifikasie

("Convolutional Neural Networks for Multi-Label Image Classification")

J. A. Marais

Tesis: MCom (Wiskundige Statistiek)

Desember 2017

Afrikaans abstract

# Acknowledgements

I would like to express my sincere gratitude to the following people and organisations  $\dots$ 

## Contents

D	eclar	ation		i
$\mathbf{A}$	bstra	$\operatorname{ct}$		ii
Ui	ittrel	ksel		iii
A	cknov	wledge	ements	iv
C	onter	$_{ m nts}$		$\mathbf{v}$
Li	st of	Figure	es	vi
Li	st of	Tables	s	vii
Li	$\operatorname{st}$ of	Abbre	eviations and/or Acronyms	viii
N	omer	ıclatur	re	x
1	Exp	erime	nts and Results	1
	1.1	Introd	$egin{array}{cccccccccccccccccccccccccccccccccccc$	. 1
	1.2	Evalua	ation of Approaches?	. 1
		1.2.1	Evaluatution Metrics	. 1
		1.2.2	Validation Approach	
	1.3	Traini	ng Procedure	. 2
		1.3.1	How will the policy parameters be chosen?	
		1.3.2	Fine-Tuning or Global Tuning	
	1.4	Model	Architecture	. 3
	1.5	Base I	Experiments	. 3
		1.5.1	Validation Split Experiment	
		1.5.2	Architecture Experiment	

CONTE	NTS		vi
	1.5.3	Transfer Learning Experiment	3
1.6	Multi-	Label Experiments	3
	1.6.1	Loss Function Experiment	3
	1.6.2	Classification Head Experiment	4
1.7	Summa	ary	4
Appen	dices		5

# List of Figures

## List of Tables

# List of Abbreviations and/or Acronyms

**AA** Algorithm Adaptation

**ANN** Artificial Neural Network

**BR** Binary Relevance

**CAD** Computer Aided Diagnosis

**CC** Classifier Chains

**CNN** Convolutional Neural Network

CV Computer Vision

**ECC** Ensemble Classifier Chains

**kNN** k-Nearest Neighbour

**LP** Label Powerset

mAP Mean Average Precision

ML-kNN Multi-Label k-Nearest Neighbour

MLC Multi-Label Classification

MLIC Multi-Label Image Classification

**PT** Problem Transformation

**RAkEL** Random k-Labelsets

SGD Stochastic Gradient Descent

SotA State-of-the-Art

## Nomenclature

N	number of observations in a dataset
p	input dimension or the number of features for an observation
K	number of labels in a dataset
$oldsymbol{x}$	$p$ -dimensional input vector $(x_1, x_2, \dots, x_p)^{\intercal}$
$\lambda$	label
$\mathcal L$	complete set of labels in a dataset $\mathcal{L} = \{\lambda_1, \lambda_2, \dots, \lambda_K\}$
Y	labelset associated with $\boldsymbol{x},Y\subseteq\mathcal{L}$
$\hat{Y}$	predicted labelset associated with $\boldsymbol{x},\hat{Y}\subseteq\mathcal{L},$ produced by $h(\cdot)$
y	$K$ -dimensional label indicator vector, $(y_1, y_2, \dots, y_K)^\intercal$ , associated with observation $\boldsymbol{x}$
$(\boldsymbol{x}_i, Y_i)_{i=1}^N$	multi-label dataset with $N$ observations
$(\boldsymbol{x}_i, Y_i)_{i=1}^N$ $D$	multi-label dataset with $N$ observations dataset
D	dataset $\text{multi-label classifier } h: \mathbb{R}^p \to 2^{\mathcal{L}}, \text{ where } h(\boldsymbol{x}) \text{ returns the set of }$
$D$ $h(\cdot)$	dataset $\text{multi-label classifier }h:\mathbb{R}^p\to 2^{\mathcal{L}}\text{, where }h(\boldsymbol{x})\text{ returns the set of labels for }\boldsymbol{x}$
$D$ $h(\cdot)$	dataset $ \text{multi-label classifier } h: \mathbb{R}^p \to 2^{\mathcal{L}}, \text{ where } h(\boldsymbol{x}) \text{ returns the set of labels for } \boldsymbol{x} $ set of parameters for $h(\cdot)$
$D$ $h(\cdot)$	dataset $ \text{multi-label classifier } h: \mathbb{R}^p \to 2^{\mathcal{L}}, \text{ where } h(\boldsymbol{x}) \text{ returns the set of labels for } \boldsymbol{x} $ set of parameters for $h(\cdot)$ set of parameters for $h(\cdot)$ that optimise the loss function
$D$ $h(\cdot)$	dataset $ \text{multi-label classifier } h: \mathbb{R}^p \to 2^{\mathcal{L}}, \text{ where } h(\boldsymbol{x}) \text{ returns the set of labels for } \boldsymbol{x} $ set of parameters for $h(\cdot)$ set of parameters for $h(\cdot)$ that optimise the loss function loss function between predicted and true labels

## Chapter 1

## **Experiments and Results**

"For us, the most important part of rigor is better empiricism, not more mathematical theories."

— Ali Rahimi and Ben Recht, NIPS 2017

#### 1.1 Introduction

Write introduction here.

### 1.2 Evaluation of Approaches?

#### 1.2.1 Evaluation Metrics

We chose the following metrics to measure the performance of the model on the data:

- Label-based macro  $F_1$ -score ( $F_1^{\text{macro}}$ ),
- Label-based micro  $F_1$ -score  $(F_1^{\text{micro}})$ ,
- example-based average precision (AP), and
- Label-based macro ROC-AUC

By using these four metrics we will get an all-round estimate of the performance the models. This is a diverse set of metrics. Includes label-based and example-based metrics, F-score, AP and ROC-AUC metrics and micro- and macro-average metrics. The F-score metric variants are popular choices for evaluating MLC models. The AP metric is common in the Computer Vison domain. The ROC-AUC is chosen mainly to be able to compare the models to other work

reported on this dataset. ROC-AUC is also a convenient option since it is independent of the classification threshold chosen. When applicable, we will inspect the performance of the models on a per label basis.

When possible, the chosen set of metrics will be reported after each epoch in the form of line graphs. We do it this way because the point of convergence for the loss function being trained on might not be the same as the metric reported. Thus, if we only report the performance of the final (converged) model, we might not see the best possible performance for each of the metrics. The performance of the best (and/or final - I must still choose) models for each training phase will be reported in tabular form.

The final model evaluations will be reported on both the validation and testing sets. No model selection will be done on the test set evaluations.

Where possible we will include the time taken to train until convergence. Also time taken to make a prediciton for a single image.

#### 1.2.2 Validation Approach

The data is split into a training, validation and test set. Since our dataset is large and our computing resources limited, we are comfortable not to use cross-validation. We will use the exact same split as in (paper) for fairer comparisons. The split was made randomly by patient in the following ratios: 70% training, 10% validation, 20% testing. There is no overlap between the patients in different splits to ensure uniqueness of the validation and testing examples.

### 1.3 Training Procedure

1Cycle policy with learning rate finder.

### 1.3.1 How will the policy parameters be chosen?

See paper. Parameters:

- ratio between minimum and maximum learning rate,
- decay rate
- momentum decay

• weight decay?

#### 1.3.2 Fine-Tuning or Global Tuning

Prefer fine-tuning where possible to save time. Will not be as accurate as global tuning. Can precompute the activations before the classification head to be tuned, which saves a lot of repetitive computing. Will do complete global tuning for specific models where appropriate. Will use appropriate data augmentation techniques when precomputed activations are not used.

#### 1.4 Model Architecture

Will have an initial experiment to compare different architectures. The majority of the experiments we will run using the smallest version of the chosen architecture type to reduce computational demand. We assume the conclusions are applicable to the larger models unless specified otherwise.

### 1.5 Base Experiments

#### 1.5.1 Validation Split Experiment

Will it bias results to split data randomly?

### 1.5.2 Architecture Experiment

Which of the following architectures perform the best on our data ResNets, DenseNets, SEResNet, DarkNet?

### 1.5.3 Transfer Learning Experiment

Does it help to do transfer learning vs training from scratch?

### 1.6 Multi-Label Experiments

### 1.6.1 Loss Function Experiment

By training on which loss function will result in the best metrics?

## 1.6.2 Classification Head Experiment

Which classification head architecture obtains the best results? Does it learn label dependence?

## 1.7 Summary

Write summary here.

# Appendices