# ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892 Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

- 1. ATOP ID: 6035
- 2. COW Alliance # (if applicable):
- 3. Signature date(s) (may vary for members in multilateral alliances): August 16, 2010
- 4. Members of alliance (use COW country codes): Turkey (640)
  Azerbaijan (373)
- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data) NO
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.) Treaty
- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Public
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.NO
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? NO
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. YES. Article 23 states that the treaty will be in force for 10 years and will be automatically renewed in 10 years increment unless one of the parties terminate the treaty in writing through diplomatic channels at least 6 months prior to the termination date.
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. NO
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. Article 23 states that the treaty will be automatically renewed in 10 years increment unless one of the parties terminate the treaty in writing through diplomatic channels at least 6 months prior to the termination date.
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals): 0
- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

  Still in effect as of July 20, 2018 according to the MFA website of Turkey

  (<a href="http://www.mfa.gov.tr/turkiye-azerbaycan-siyasi-iliskileri.tr.mfa">http://www.mfa.gov.tr/turkiye-azerbaycan-siyasi-iliskileri.tr.mfa</a>)
- 15. Describe what caused the alliance to terminate.

NA

## SECTION II: OBLIGATIONS

- 16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.) Defense Pact, Consultation Pact, Nonaggression Pact
- 17. Type of alliance as coded in COW data (if applicable):
- 18. Describe the obligations of the alliance partners.

#### Defense:

Article 2: "When one of the Parties is confronted with an armed attack or a military infringement by a third country or a group of countries, the Parties agree to mutually assist each other in order to ensure that all necessary measures are taken within their capabilities, including the use of military means and capabilities in order to implement the inherent right of individual or collective self-defense defined by Article 51 of the UN Charter. The means and extent of this assistance shall be swiftly determined by the Parties."

#### Consultation:

Article 1: "The Parties, as neighbors and brotherly States, shall be in close cooperation with each other on ensuring and protecting each other's independence, sovereignty, territorial integrity and the inviolability of their borders. Und er the circumstances whereby either of the Parties considers that his territorial integrity, sovereignty or the inviolability of his borders is threatened or is in danger, the Parties shall hold urgent consultations on possible measures to be taken in order to eliminate these threats and dangers."

Article 4: "The Parties shall engage in consultations on all international security issues pertaining to their interests and for a successful realization of the purposes of this Agreement, they shall be in continuous and productive assistance and cooperation with each other with a view to improve and enhance the possibilities of taking individual and joint measures on the basis of mutual consultations for the assurance of their vital interests, in particular, national security and the elimination of any threat or danger against their national security."

## Nonaggression:

- Article 5: "The Parties shall not take part in alliances and actions targeting the other Party's independence, sovereignty and territorial integrity and ban the establishment and activities of organizations and groups on their territories which pose a threat to the other Party's independence, sovereignty and territorial integrity."
- 19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply) No
- 20. Describe the nature of the limits to obligations listed in #19. N/A
- 21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.
- Article 5: "The Parties shall not take part in alliances and actions targeting the other Party's independence, sovereignty and territorial integrity and ban the establishment and activities of organizations and groups on their territories which pose a threat to the other Party's independence, sovereignty and territorial integrity. The Parties undertake not to allow their territories to be used for the purposes of actions of aggression and other acts of violence aiming at the other Party."
- 22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe. NO
- 23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty. NO
- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. YES
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. NO
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions. NO
- 27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.
- Article 5 stipulates that the parties will not participate in any alliance that poses danger to other member's independence, sovereignty and territorial integrity.
- 28. Additional coder comments regarding treaty obligations:

## SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. Yes – Article 22 "Any dispute stemming from the interpretation or application of the provisions of this Agreement shall be settled through negotiations and in an amicable manner between the Parties without resorting to arbitration or any national or international court or other third party resolution procedures."

- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. Yes but the treaty in Article 22 explicitly states that mediation or arbitration will not be used: "Any dispute stemming from the interpretation or application of the provisions of this Agreement shall be settled through negotiations and in an amicable manner between the Parties without resorting to arbitration or any national or international court or other third party resolution procedures."
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.) Yes.
- Article 3: "In the light of Article 2 of this Agreement and with the purpose of consolidating efforts to ensure mutual assistance and the implementation of agreed activities, the Parties shall be in close cooperation with each other on pursuing defense and military-technical policies and strengthening relations between their armed forces."
- Article 7: "With the purpose of implementing the defense cooperation and mutual assistance foreseen in this Agreement, the Parties, on the basis of their national legislation and international obligations and taking into consideration the necessity to meet their legitimate defense requirements, shall execute necessary planning for the coordination of force and command control structures of their armed forces."
- 32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions. YES

Article 9 – "The measures foreseen within the framework of the defense cooperation between the Parties shall be implemented as follows:

Providing defense products and material-technical means for the defense and security needs of the Parties,

Designing and producing defense-related products,

Providing defense-related services,

Conducting joint military exercises and activities regarding defense-related preparations,

Training specialists for armed forces,

Providing logistical support to the armed forces,

Military medicine and health,

Other areas and issues agreed upon."

- 33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe. NO
- 34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe. NO
- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.NO
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe. NO
- 37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe. NO
- 38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No, but Article 8 states: "With the purpose of conducting joint military operations within the framework of implementing the defense cooperation and mutual assistance defined in Article 2 of this Agreement, the Parties shall take all necessary national measures in order to develop military infrastructure, prepare their armed forces in all aspects and equip them with the necessary weapons and military means."

- 39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference. NO
- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe. NO
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. NO
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

  No

43. Additional coder comments regarding references to alliance institutionalization:

## SECTION IV: TREATY CONTEXT

- 44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference. NO
- 45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference. NO
- 46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference. NO
- 47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference. Yes.

Article 2 stipulates that the parties will defend each other in case of an attack in accordance with the Article 51 of the UN Charter.

- 48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference. NO
- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.NO
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe. YES

Article 6 stipulates that the parties agree to cooperate in fight against terrorism, dissemination of weapons of mass destruction, organized crime, money laundering, drug trafficking, human trafficking and illegal immigration.

Article 10 states that the parties will deepen their economic and trade relationship to further integrate their economies.

Article 11 states that the parties will cooperate in increasing mutual investments, trade, communication, transportation, tourism, and the use of alternative energy sources. The parties agree to increase their cooperation in exporting goods, use of new technology and mutual investments.

Article 12 states the parties will cooperate in the energy sector and transportation and the sale of hydrocarbon resources in their territory through establishment of a Joint Energy Sector Commission.

Article 12 states that the parties will cooperate in travel of each other's nationals across their borders, airports and ports.

Article 14 calls for further cooperation among the national parliaments of two states.

Article 15 calls for further cooperation in social, security, health, education, cultural areas, fine arts, youth activities and sports.

Article 16 calls for further cooperation in intellectual, scientific and technical areas and support of joint scientific projects.

Article 17 calls for further cooperation in communication, scientific technology and mass transportation.

Article 18 states that the parties will take measures to smoothen travel of their nationals between two countries and assist each other's nationals with residence, purchase of immobile property, work and social security issues.

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. NO
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. NO
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. NO
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. NO
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions. NO
- 56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe. NO

#### SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS no. 51060

60. Date of last revision of this coding sheet: July 20, 2018

61. Coder: Burcu Savun, Jaewook Chung, and Ashley Leeds