# ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1140

2. COW Alliance # (if applicable): 2028

3. Signature date(s) (may vary for members in multilateral alliances): October 11, 1850.

4. Members of alliance (use COW country codes):

245 Bavaria

271 Wurttemberg

300 Austria

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data) No.
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Unknown.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions. Unknown.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? Unknown
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

  Unknown. (Sources imply alliance was intended for the duration of the crisis over Hesse and any war that should result.).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. Unknown.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Unknown
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

0.

- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): November 29, 1850.
- 15. Describe what caused the alliance to terminate.

At Olmutz on November 29, Prussia agreed to dissolve the Erfurt Union, to participate in a restored Confederation, and to fully demobilize. Austria and its partners were to demobilize after the Prussians, and only partially. The obligations of the partners may have remained in force through the completion of federal execution in Hesse, but this date is not recorded; the Prussian surrender effectively eliminated the casus foederis.

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Offense Pact.
Defense Pact.

17. Type of alliance as coded in COW data (if applicable):

Defense Pact.

18. Describe the obligations of the alliance partners.

"On the 11th of October the Monarchs and Prime Ministers of Austria, Bavaria, and Wurtemberg met at Bregenz, and formed an offensive and defensive alliance against Prussia, agreeing to raise an army of two hundred thousand men. At the table, warlike toasts were drunk, and the King of Wurttemberg openly declared: 'When the Emperor gives the word, we are ready to march.' 'I am proud,' replied the Emperor, 'to march against the enemy with such comrades.'" (Sybel 1891: v.1, 489)

"On October 11 Francis-Joseph signed a treaty of alliance with the kings of Bavaria and Wurttemberg. A military conflict seemed certain." (Mosse 1958: 34, fn.1)

"A war alliance was signed by Austria, Bavaria and Wurttemberg, and a powerful Austrian army, supplemented by contingents from Bavaria and Wurttemberg, marched northwards." (W. Carr 1987: 63)

"On October 12 [1850] Austria concluded military agreements with Bavaria and Wurttemberg by which those states agreed to employ military force in Hesse if the federal Diet so ordered." (Langer 1969: 509)

"Both sides made gestures of war. On 26 September 1850 Radowitz---the only man who believed wholeheartedly in the line that Prussia had followed---became Prussian foreign minister; on 12 October Schwarzenberg concluded a war-alliance with the kings of Bavaria and Wurtteberg.

"During the autumn of 1850, war between Prussia and the Austrian-led rump Confederation seemed likely. Prussia began to mobilize its troops, while [Austrian] General Radetzky, fresh from new victories in Italy, waited in Vienna to take command of the Habsburgs' army. In October, Austria, Bavaria, and Wurttemberg signed a treating [sic] supporting the Confederation's position against Prussia [really the rump Confederation, re-convened by Austria in May without the participation of Prussia, Baden, or the other members of the Erfurt Union]." (Sheehan 1890: 714)

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific location.

20. Describe the nature of the limits to obligations listed in #19.

The alliance is directed against Prussia and states that the parties will employ military force in Hesse.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Unknown.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

Unknown.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes-Prussia (255).

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. Unknown.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

  Unknown.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Unknown.

28. Additional coder comments regarding treaty obligations:

#### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. Unknown.
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. Unknown.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

  Unknown.
- 32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Unknown.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

Unknown.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Unknown.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. Unknown.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

Unknown.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

The treaty says that the allies will raise an army of 200,000 but the division of the burden is not specified by the sources.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

Unknown.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

Unknown.

- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe. Unknown.
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. Unknown.
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

Unknown.

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

Unknown.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

Unknown.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Unknown.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Unknown.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Unknown.

- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements. Unknown.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Unknown.

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. Unknown.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. Unknown.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. Unknown.
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. Unknown.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Unknown.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Unknown.

### SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Secondary Sources only
- 59. Treaty citation:

Secondary Sources:

Carr, William. 1987. A HISTORY OF GERMANY 1815-1990. 3rd ed. Edward Arnold.

Langer, William L. 1969. POLITICAL AND SOCIAL UPHEAVAL 1832--1952. Harper.

Mosse, Werner Eugen. 1958. THE EUROPEAN POWERS AND THE GERMAN QUESTION 1848--1871 WITH SPECIAL REFERENCE TO ENGLAND AND RUSSIA. Cambridge U.P.

Sheehan, James J. 1989. GERMAN HISTORY 1770--186}. Oxford University Press.

Sybel, Heinrich von. 1891 (Reprint ed., 1968). THE FOUNDING OF THE GERMAN EMPIRE BY WILLIAM I, BASED CHIEFLY UPON PRUSSIAN STATE DOCUMENTS, vol.1. Trans. by Marshall Livingston Perrin with the assistance of Gamaliel Bradford, Jr. Greenwood Press.

Taylor A.J.P. 1946. (1962 ed). THE COURSE OF GERMAN HISTORY. Capricorn Books.

Taylor, A.J.P. 1954 (1991 pbk). THE STRUGGLE FOR MASTERY IN EUROPE 1848-1918. Oxford University Press.

60. Date of last revision of this coding sheet: 02/04/05.

61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds.