ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4315

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances):

August 8, 1992.

4. Members of alliance (use COW country codes):

310 Hungary

366 Estonia.

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? No.
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. This Agreement is to last 10 years (Art. 20).
- Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
 No.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.

 After 10 years the treaty is automatically renewed for subsequent durations of five years each, unless one of the Signatories communicates in writing, at least one year before the expiration of a period, the intention to renounce the Agreement (Art. 20).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

In effect according to Hungarian Parliament 5/04.

Version 4.0: In effect as of December 29, 2017 according to Ministry of Foreign Affairs, Estonia (http://vm.ee/en/countries/hungary?display=relations)

15. Describe what caused the alliance to terminate.

N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact

- 17. Type of alliance as coded in COW data (if applicable): N/A.
- 18. Describe the obligations of the alliance partners.

"The Contracting Parties shall refrain from the threat or use of force in their international relations. They shall settle exclusively by peaceful means any disputes that may arise." (Art. 2).

"Where either Contracting Party is the victim of an armed attack, the other Party shall not support the aggressor and shall consistently take, in accordance with the Charter of the United Nations, with the documents of the Conference on Security and Cooperation in Europe and with its other international commitments, such steps as are necessary for the settlement of the conflict." (Art. 4).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. "Each Contracting Party undertakes not to use, nor to allow others to use, its territory for armed aggression against the other Contracting Party." (Art. 4)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

"The Contracting Parties shall, as needed, hold consultations on questions of security and defence. Bilateral military relations shall be regulated by a separate agreement." (Art. 4).

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. "The Contracting Parties shall...settle exclusively by peaceful means any disputes that may arise." (Art. 2) "The

Contracting Parties shall settle any disputes arising between them in connection with the interpretation or implementation of

this Treaty primarily through consultations, direct negotiations or fact-finding, arbitration and conciliation procedures. Where a dispute cannot be settled in such a way to the satisfaction of both Parties within a reasonable period of time, the Parties undertake to have recourse, on the basis of agreement between them, solely to such other accepted procedure for the settlement of disputes as is in conformity with international law, the Charter of the United Nations and the documents of the Conference on Security and Cooperation in Europe." (Art. 19).

- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. Yes. See quote from Art. 19 in Q29.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

 No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes. "The Ministers for Foreign Affairs shall, at least once a year, hold consultations during which they shall exchange views on the implementation of this Treaty as well." (Art. 7).

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Preamble: reaffirm principles of UN Charter, CSCE (Art.3). Reaffirm that their security is inseparably connected to that of all states participating in CSCE (Art.3), peaceful conflict resolution according to UN Charter and CSCE (Art.4,19), cooperate effectively in international organizations (Art.5), protection of minority rights according to CSCE (Art.15).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. CSCE. See 47.

- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements. No.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Contacts between citizens and different organs (Art.8,17), economic cooperation (Art.9,10), transport, infrastructure and consumer goods (Art.11), cooperation in science and technology (Art.12), environment (Art.13), culture (Art.14), national minorities (Art.15), legal and consular fields and organized crime, terrorism and drug trafficking (Art.16).

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. No.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. "Bilateral military relations shall be regulated by a separate agreement." (Art. 4).

- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. No.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

The relations between the Hungarian Republic and the Estonian Republic are founded on the generally accepted norms of international law, especially the principles of sovereignty and territorial integrity, of equality, of non-interference in each other's internal affairs, mutual confidence and mutually advantageous cooperation (Art.1).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Version 3.0: Treaty translated from Hungarian by Viktor Sata.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty on the Foundations of Friendly Relations and Cooperation between the Republic of Hungary and the Republic of Estonia

59. Treaty citation: Version 3.0: obtained through Hungarian Parliament.

Version 4.0: UNTS No. 38574

60. Date of last revision of this coding sheet: June 16, 2018

61. Coder: Michaela Mattes, Ashley Leeds, Jessica Edry

Version 4.0: Q14 revised by Nicholas Coulombe, December 29,2017