# ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4815

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances):

May 14, 1996

4. Members of alliance (use COW country codes):

371 Armenia

369 Ukraine

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? No.
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

  The treaty is concluded for 5 years. It will be renewed for another 5 years unless written notification of intention to terminate the treaty is submitted by either party at least 6 months before the current treaty expires.
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. See 10.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. See 10
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Still in effect

Version 4.0: In effect as of December 28, 2017 according to the MFA of Ukraine website (http://mfa.gov.ua/en/about-ukraine/bilateral-cooperation/european-countries)

15. Describe what caused the alliance to terminate.

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact

Neutrality Pact.

- 17. Type of alliance as coded in COW data (if applicable): N/A.
- 18. Describe the obligations of the alliance partners.

Parties base their relations on principles of state sovereignty, equality, non-interference and nonaggression. They will refrain from the use of force including economic or any other. They promise to resolve all conflicts peacefully, respect human rights and liberty (Art.1).

Each party will refrain from assisting a 3<sup>rd</sup> party in actions against the sovereignty of the other party (Art.3). They do not allow their territory to be used in any way that might harm the interests of other party (Art.3).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

- 20. Describe the nature of the limits to obligations listed in #19.
- 21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

They do not allow their territory to be used in any way that might harm the interests of other party (Art.3).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. The parties will resolve all disagreements peacefully (Art.1).
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. The treaty establishes that the parties will meet at least once a year to facilitate the implementation of the treaty (Art.18).
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Preamble: they affirm their commitment to the U.N. human rights principles (also Art.6) and the Helsinki Final Act, and other documents of the OSCE that promote cooperation in Europe. Reinforce the role of UN in international peace and security (Art. 2).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the

reference.

Yes. OSCE. See 47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

It includes statements on cooperation on civil rights, minority rights, nondiscrimination based of race, ethnicity, religion, nationality or language, friendship, legal cooperation and cooperation, economics and trade, transportation, currency and finance, tourism, education, information, health and sport, scientific research, environment, organized crime and terrorism.

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. No.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. If needed, separate agreements will be signed on specific issues (Art.16).
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. No.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

  No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Art.1.

#### SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The treaty was coded based Russian translation by Mariam Stepanyan.

- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
- 59. Treaty citation:

Collection of Treaties Ministry of Foreign Affairs, Armenia 1996

- 60. Date of last revision of this coding sheet: August 19, 2004
- 61. Coder: Michaela Mattes and Ashley Leeds.

Version 4.0: Q14 revised by Nicholas Coulombe, December 28, 2017