ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4405

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances): January 25, 1993.

4. Members of alliance (use COW country codes):

290 Poland

360 Romania

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Public.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? No.
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

 The treaty is concluded for a period of ten years. It will be automatically extended for further five-year periods unless any of the parties denounces by means of a written notification at least one year in advance of the expiration of the following term (Art. 25).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. See 10.
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): Still in effect according to Romanian MFA (website visited 4/29/04).

Version 4.0: In effect as of July 19, 2018 according to Ministry of Foreign Affairs, Poland (https://www.msz.gov.pl/en/)

15. Describe what caused the alliance to terminate.

N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any

member of the alliance.)

Nonaggression Pact.

Consultation Pact.

- 17. Type of alliance as coded in COW data (if applicable): N/A.
- 18. Describe the obligations of the alliance partners.

"Meeting their international obligations in good faith, the Contracting Parties shall be guided in their mutual relations by the principles of sovereign equality, the renunciation of the use of force and the threat of its use, the inviolability of frontiers, the territorial integrity of states, the peaceful settlement of disputes, non-interference in internal affairs..." (Art. 1(2))[Nonaggression]

"If one of the Contracting Parties finds that a given international situation threatens its vital security interests, it may approach the other Contracting Party to hold consultations on the matter immediately.

In the event of armed aggression against one of the Contracting Parties, the Contracting Parties shall, irrespective of the consultations cited in paragraph 1, reach agreement with regard to the possibility of offering assistance to the attacked Party in accordance with Article 51 of the Charter of the United Nations and shall make every effort to solve the conflict in accordance with the Charter of the United Nations and the documents of the Conference on Security and Cooperation in Europe. Situations cited in paragraph 1[Art. 3(1)] shall include, in particular, armed intervention and the threat or use of force, including an act of aggression or another threat to or disturbance of the peace." (Art. 3) [Consultation]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes - attack.

20. Describe the nature of the limits to obligations listed in #19.

Consultation required in case of attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes.

"Meeting their international obligations in good faith, the Contracting Parties shall be guided in their mutual relations by the principles of...the peaceful settlement of disputes ..." (Art. 1(2))

- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. No.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Nο

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No, but see Art. 4 for general support of arms reduction.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

The cooperation between the parties will include exchange of information and experience, regular contacts between representatives of the various organs and other measures in the sphere of defense and military cooperation. To this end the parties will, when necessary, conclude appropriate agreements (Art.2).

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are nullified and describe the treaty reference.

The treaty between Poland and Romania on friendship cooperation and mutual assistance signed on 12 November 1970 will terminate (Art.24).

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Preamble: reaffirm principles of UN Charter, Final Act of the CSCE, Charter of Paris and other CSCE documents.

The parties agree on the possibility to support the party attacked in accordance with the provisions of article 51 of the UN Charter and instruments of the CSCE (Art.1).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. CSCE. See 47.

- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

The parties will work together to maintain and strengthen security and development of cooperation in Europe and will promote arms reduction. The parties promote personal contacts between their citizens and between parliament, parties etc. They promote economic cooperation, cooperation on power, fuels and raw material, the environment, culture, science, technology and education, human rights and minorities, media, healthcare, hygiene, social welfare, sport and tourism and cooperation between organs of the administration of justice, police, frontier guards and customs.

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. Agreements on economic, financial, trade and technical cooperation (Art. 9)
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

The parties observe in their mutual relations the principles of (...) non-interference in internal affairs (Art.1).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Version 4.0: UNTS English translation

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty on friendly relations and cooperation between Romania and Poland

59. Treaty citation: www.zbiordokumentow.pl/1994/1/14.html

Version 4.0: UNTS Reg. No.: 45223

60. Date of last revision of this coding sheet: August 4, 2017

61. Coder: Michaela Mattes, Jaewook Chung and Ashley Leeds.

V4.0: Q14 updated by Nicholas Coulombe on July 19, 2018