ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892 Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4865

2. COW Alliance # (if applicable): NA

- 3. Signature date(s) (may vary for members in multilateral alliances): 2 April 1997
- 4. Members of alliance (use COW country codes): Belarus (370), Russian Federation(365)
- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data) NO.
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.) Treaty
- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.

PUBLIC

- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.

 YES. Article 7- This Treaty is open for accession by other States which ... share its aims ... Such accessions shall be subject to agreement by the states.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

YES. Treaty on the Union of Belarus and the Russian Federation: Article 8-" This Treaty shall be of infinite duration.."

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

YES. Article 8-"... Either Party may withdraw from it, providing that it notifies the other State party of its decision in writing 12 months prior to its withdrawal."

- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Still in effect

V4: Still in effect as of November 15, 2017

http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/

15. Describe what caused the alliance to terminate.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact, Consultation Pact

- 17. Type of alliance as coded in COW data (if applicable): NA
- 18. Describe the obligations of the alliance partners.

Charter of the Union

Article 11- "In the sphere of maintaining security, the purposes of the Union shall be as follows:

a)to take joint measures, when necessary, to counter threats to the sovereignty and independence of each State member of the Union b) To coordinate the activities of the States members of the Union in the areas of military organizational development and development of their armed forces, to make joint use of military infrastructure and to implement other measures, taking into account the interests of the States members of the Union, for the maintenance of the defence capability of both the States members and the Union as a whole..."

Article 8- "In the political sphere, the purposes of the Union shall be as follows:

- b) To formulate common positions on international issues of mutual interest.
- Article 16- "The States members of the Union shall take coordinated decision on
- d)the maintenance of collective security of the States members of the Union;
- e) cooperation in ensuring the territorial integrity of the States members of the Union and the protection of the borders of the Union"

The members also undertake to enhance cooperation and coordination in political, economic, social and legal spheres.

- 19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply) NO
- 20. Describe the nature of the limits to obligations listed in #19.
- 21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe. NO
- 22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe. NO
- 23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty. NO.
- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions. NO
- 27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe. NO
- 28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. YES. Charter of the Union: Article 34- "Any disputes arising with regard to the application or interpretation of the Treaty on the Union of Belarus and the Russian Federation of 2 April 1997 and this Charter shall be settled by the States members of the Union through mutual consultations and negotiations...
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. YES.

The Supreme Council of the Union shall be competent to undertake appropriate conciliation procedures for the settlement of disputes." 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.) YES. Charter of the Union

Article 11- "In the sphere of maintaining security, the purposes of the Union shall be as follows:

- b) To coordinate the activities of the States members of the Union in the areas of military organizational development and development of their armed forces, to make joint use of military infrastructure and to implement other measures, taking into account the interests of the States members of the Union, for the maintenance of the defence capability of both the States members and the Union as a whole..." c) To develop and put in place a joint defence procurement system for the supply and sale of arms and military equipment and to establish a unified system for the provision of equipment to the armed forces of the States members and the Union as a whole"
- 32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions. NO.
- 33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

- 34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. YES. Article 19- In order to achieve the purposes of the Union, the States members of the Union shall form, on the basis of parity, a Supreme Council of the Union, a Parliamentary Assembly of the Union, and an Executive Committee of the Union, and if necessary, other organs of the Union. Also, a Council of Ministers, a Court and a Comptroller.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
- 37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe. YES. See article 30 on Union Budget.
- 38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions. NO
- 39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference. NO
- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe. NO
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. NO
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe. NO.
- 43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

- 44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference. NO
- 45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference. NO
- 46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference. YES. The Treaty on the Union of Belarus and the Russian Federation: Article 5- "The Treaty on the Formation of an Association between the Republic of Belarus and the Russian Federation of 2 April 1996 and the normative-legal acts previously adopted by the organs of the Association shall remain in force insofar as they do not conflict with this Treaty."
- 47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

UN Charter, CIS.

- 48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference. NO.
- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe. YES.

Economic Sphere: Article 9 Social Sphere: Article 10 Legal Sphere: Article 12

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. NO
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. NO
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. YES. Constitution.
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. NO

- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions. YES. Many trade concessions including customs union.
- 56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe. NO

SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty: December 8, 1999 agreement does not change coding.
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty
- 59. Treaty citation: UN, Treaty Series, no 34041
- 60. Date of last revision of this coding sheet: 4/15/05.
- 61. Coder: SEZI ANAC and Ashley Leeds

V4: Q14 Updated by Nicholas Coulombe on November 15, 2017