ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892 Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 3675

2. COW Alliance # (if applicable):

3568

3. Signature date(s) (may vary for members in multilateral alliances):

August 9, 1971

4. Members of alliance (use COW country codes):

365 USSR

750 India

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. 240 months. (Article 11)
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.

 Automatic extensions for successive five-year terms unless either party denounces one year before expiration of current term. (Article 11)
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

 Lanuary 28, 1993

Extended August 9, 1991 for 20 years by joint declaration (Keesings Record of World Events, August 1991)

15. Describe what caused the alliance to terminate.

Replaced by new alliance (ATOP ID# 4415) - Russian-European Documents Annual. 1993. v. II. p.310

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Neutrality Pact, Nonaggression Pact, Consultation Pact

17. Type of alliance as coded in COW data (if applicable):

Neutrality/Nonaggression Pact

18. Describe the obligations of the alliance partners.

Nonaggression:

Article 8: "...Each (party) undertakes to abstain from any aggression against other Party ..."

Neutrality:

Article 9: "Each (party) undertakes to abstain from providing any assistance to any third party that engages in armed conflict with other Party..."

Consultation:

Article 9: "...In the event of either Party being subjected to an attack or a threat thereof, the (parties) shall immediately enter into mutual consultations in order to remove such a threat and to take appropriate effective measures to ensure peace and security of their countries."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Location

20. Describe the nature of the limits to obligations listed in #19.

See #18. Consultation is contingent upon attack.- threat in location of home territory

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Article 8: "...(Each party agrees) to prevent the use of its territory for the commission of any act which might inflict military damage on the other (party)."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Article 8: "..., each of the (parties) solemnly declares that it shall not enter into or participate in any military alliance directed against the other Party."

28. Additional coder comments regarding treaty obligations:

Article 10: "Each (party) solemnly declares that it shall not enter into any obligation secret or public with one or more States which is incompatible with this treaty. Each (party) further declares that no obligation exists nor shall any obligation be entered into between itself and any other State or States which might cause military damage to the other Party."

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

 Yes. Article 12: "Any difference of interpretation of any article or Articles of this Treaty, which may arise between the (parties), will be settled bilaterally by peaceful means in a spirit of mutual respect and understanding."
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No, but Article 2: "...the (parties) declare their determination to continue their efforts ... to halt the arms race and to achieve a general and complete disarmament, including both nuclear and conventional..."

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No

43. Additional coder comments regarding references to alliance institutionalization:

No

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Article 6 references December 26, 1970 trade agreement

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Article 6 declares states will expand trade, transport, and communications between them on the basis of most-favoured nation treatment. Article 7 says parties shall promote further development and ties in science, art, literature, education, public health, media, tourism, and sports.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. Article 6: "Attaching great importance to economic, scientific and technological cooperation between them, the (parties) will continue to consolidate and expand mutually advantageous and comprehensive cooperation in these fields as well as expand trade, transport and communications between them on the basis of the principles of equality, mutual benefit and most-favored nation treatment subject to the existing agreements and special arrangements with contiguous countries as specified in the Indo-Soviet Trade Agreement of December 26, 1970."

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Article 1: "...Each party shall respect the independence, sovereignty, and territorial integrity of the other party and refrain from interfering in the other's internal affairs..."

SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty
- 59. Treaty citation: ILM 10:904
- 60. Date of last revision of this coding sheet: July 23, 2004
- 61. Coder: Andrew Long, Ashley Leeds
- V4.0 Q15 updated to reference ATOP ID# by Nicholas Coulombe on June 7, 2018