## ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4635

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances):

October 14, 1994.

4. Members of alliance (use COW country codes):

325 Italy

365 Russia.

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Public.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. The treaty is concluded for a period of 20 years (Art.27).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. No.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. The treaty shall be automatically renewed for further 5-year periods unless one of the parties submits a written notice of its intention to terminate the treaty at least one year in advance of the expiration of the current period (Art.27).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Still in effect (provided by Italian MFA)

Version 4.0: In effect as of November 15, 2017 according to Ministry of Foreign Affairs, Russia (<a href="http://www.mid.ru/ru/foreign policy/international contracts">http://www.mid.ru/ru/foreign policy/international contracts</a>)

15. Describe what caused the alliance to terminate.

N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact

Consultation Pact.

- 17. Type of alliance as coded in COW data (if applicable): N/A.
- 18. Describe the obligations of the alliance partners.

Convinced that war, both nuclear and conventional, as also threats or the use of force, must be excluded, in conformity with the statute of the UN, except as established by its article 51, concerning instruments with which to resolve international conflict, the parties will work at resolving their eventual conflicts exclusively with peaceful means (Art.2).

If ever susceptible situations are established which, according to either party, risk threatening international peace or security, the parties will inform each other and will keep in touch and stay in contact in order to lighten tensions and surpass such situations. If one of the parties feels a situation is emerging that could jeopardize the interests of its security, that party can ask the other party to enact immediate bilateral consultations (Art.6).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

## SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. No.
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. No.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

The parties will develop their contacts in the military field. In this frame they will develop programs for the enactment of visits also at high levels and will promote the development of periodical exchanges of visits and of information on their respective military doctrines (Art.8).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. Meetings at the highest level will be organized at least once a year, as well as any other times deemed necessary. The Foreign Ministers will meet at least twice a year. Regular consultations will take place between the Ministers of Foreign Affairs of the two nations. The Ministers of Defense will meet periodically. The other members of government will have consultations on issues of common interests whenever they deem it necessary. If ever the need surfaces, working groups will be established as hoc for the examination of international problems or questions related to bilateral relations (Art.7). The parties will institute an Italian-Russian Council for economic, industrial and financial cooperation that will reunite at least once a year (Art.13). They will develop the activities of the Mixed Commission for scientific and technological cooperation (Art.14).
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

## SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

Yes. The day the present agreement enters into effect, the validity of the treaty of friendship and cooperation between Italy and Russia of November 18, 1990 (Art.26).

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are included and describe the treaty reference.

Nο

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Preamble: UN Charter, CSCE, EU. Use of force only conformity with UN Charter and both parties will make every effort to reinforce the role of the UN as guarantor of peace (Art.2). The parties intend to enrich the process of the CSCE and underline the role of the EU, NATO, the UEO and the Council of Europe in European security and will collaborate for development of the relations between Russia and those organizations (Art.3). They will support the consolidation of democracy taking advantage of the mechanisms discussed in the UN Charter (Art.4). They underline the fundamental role of the EU in creation of a single economic space in Europe (Art.11) and Italy will favor the development of the relations between Russia and the EU (Art.11). The parties will collaborate against organized crime, drug traffic through appropriate international organizations (Art.19).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. CSCE. See 47.

- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements. No.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Disarmament (Art.5), inter-parliamentary relations (Art.9), contact at various levels (Art.10), economic, industrial, financial, scientific and technological cooperation (Art.11), economic and industrial cooperation (Art.12, 13), scientific and technological cooperation (Art.14), transportation and telecommunication (Art.15), environment (Art.16), visas (Art.17), humanitarian cooperation (Art.18), organized crime, drug traffic and international terrorism (Art.19), judicial assistance (Art.20), cultural collaboration (Art.21), cultural and artistic heritage (Art.22), literary productions, publications, radio and television programs (Art.23).

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.\
  No.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

The parties will draw up individual agreements whenever necessary with the goal of actualizing the stipulations of the present agreement (Art.24).

- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. No.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Italy will favor the development of the relations between Russia and the financial entities and international economic organs with the intent of promoting the integration of the Russian Federation in the European and global economy (Art.11). The parties grant each other MFN status (Art.12).

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

## SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
  - Treaty coded based on translation from Italian by Alessandra Carreon.
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
- 59. Treaty citation: provided by MFA of Italy.

60. Date of last revision of this coding sheet: 7/29/04

61. Coder: Michaela Mattes and Ashley Leeds.

Version 4.0: Q14 revised by Nicholas Coulombe on November 15, 2017