ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892 Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1355.

2. COW Alliance # (if applicable): # 2069.

3. Signature date(s) (may vary for members in multilateral alliances):

October 30, 1883.

Italy joins May 15, 1888.

4. Members of alliance (use COW country codes):

300 Austria-Hungary

360 Romania

255 Germany

325 Italy (May 15, 1888).

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data) No.
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Secret (Art.6).
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. The treaty shall remain in force for a period of five years (Art.5).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. No.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. If the treaty is not denounced one year before its expiration, or if its revision is not demanded by either of the parties, it shall be regarded as prolonged for a period of three years more (Art.5).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
 - July 13, 1892: A second treaty with the exact same provisions is signed. This treaty shall remain in force for a period of four years. If the treaty is not denounced one year before its expiration, or if its revision is not demanded by either of the parties, it shall be regarded as prolonged for a period of three years more (Art.5). Germany signs this treaty on November 11 and Italy on November 28, 1892.

September 30, 1896: The treaty is prolonged and shall remain in force until July 25, 1903. Germany accedes May 7, 1899 and Italy on June 5, 1899.

April 4, 1902: The alliance is prolonged and shall remain in force until July 25, 1908. Germany acceded on July 13, 1902 and Italy on December 12, 1902.

February 5, 1913: The treaty is prolonged and shall stay in force until July 8, 1920. Germany acceded on February 13 and Italy on March 5, 1913.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

May 23, 1915- Iatly August 16, 1916- Romania November 3, 1918- Austria-Hungary and Germany

15. Describe what caused the alliance to terminate.

Italy and Romania joined WW I in opposition to Austria-Hungary and Germany. Austria-Hungary and Germany fought together in WW I and lost.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact

Consultation Pact.

- 17. Type of alliance as coded in COW data (if applicable): Defense Pact.
- 18. Describe the obligations of the alliance partners.

If Romania, without any provocation on her part, should be attacked, Austria-Hungary is bound to bring her in ample time help and assistance against the aggressor. If Austria-Hungary be attacked under the same circumstances in a portion of her states bordering on Romania, the *casus foederis* will immediately arise for the latter (Art.2).

If one of the parties should find itself threatened by an aggression under the abovementioned conditions, the respective governments shall put themselves in agreement as to the measures to be then with a view to cooperation of their armies. These military questions, especially that of the unity of operations and of passage through the respective territories, shall be regulated by a military convention (Art.3).

Germany takes towards Austria-Hungary and Romania, and Romania and Austria-Hungary take towards Germany, the same engagements by which the parties have mutually bound themselves according to the stipulations of the treaty (Treaty of Accession of Germany).

If eventualities that could give rise to the *casus foederis*, as it is foreseen in Articles 2 and 3, should chance to occur, Austria-Hungary, Italy and Romania assume a mutual engagement to take counsel together in ample time as to common action, the detailed procedure of which shall be specified by a special convention (Treaty of accession of Italy).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- nonprovocation of enemy, specific location, attack.

20. Describe the nature of the limits to obligations listed in #19.

Romania, Austria-Hungary and Germany will defend each other if one is attacked without provocation. Romania will help Austria-Hungary if the latter is attacked in the territory bordering on Romania. Italy will consult with the other if the casus foederis arises i.e. one is attacked.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

 No. Austria-Hungary and Germany defend Romania generally but Romania only helps defend Austria-Hungary in the Austria-Hungarian territories that border on Romania. Italy only consults with the other in case one is attacked.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. Yes. If (...) the parties are forced into a common war under the circumstances foreseen in the previous articles, they engage neither to negotiate nor to conclude peace separately (Art.4).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. The parties promise one another peace and friendship, and will enter into no alliance or engagement directed against any one of the states (Art.1).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. No.
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. No.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes. If one of the parties should find itself threatened by an aggression under the abovementioned conditions, the respective governments shall put themselves in agreement as to the measures to be then with a view to cooperation of their armies. These military questions, especially that of the unity of operations and of passage through the respective territories, shall be regulated by a military convention (Art.3).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Nο

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

Yes. If one of the parties should find itself threatened by an aggression under the abovementioned conditions, the respective governments shall put themselves in agreement as to the measures to be then with a view to cooperation of their armies. These military questions, especially that of the unity of operations and of passage through the respective territories, shall be regulated by a military convention (Art.3).

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Nο

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. If one of the parties should find itself threatened by an aggression under the abovementioned conditions, the respective governments shall put themselves in agreement as to the measures to be then with a view to cooperation of their armies. These military questions, especially that of the unity of operations and of passage through the respective territories, shall be regulated by a military convention (Art.3).

If eventualities that could give rise to the *casus foederis*, as it is foreseen in Articles 2 and 3, should chance to occur, Austria-Hungary, Italy and Romania assume a mutual engagement to take counsel together in ample time as to common action, the detailed procedure of which shall be specified by a special convention (Treaty of accession of Italy).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
- 59. Treaty citation: Hurst, Michael (ed.) 1972. Key Treaties for the Great Powers 1814-1914, vol.2 (1871-1914). St. Martin's Press, p.630.
- 60. Date of last revision of this coding sheet: 02/01/05.
- 61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds