ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1335.

2. COW Alliance # (if applicable): # 2065.

3. Signature date(s) (may vary for members in multilateral alliances):

October 7, 1879.

4. Members of alliance (use COW country codes):

300 Austria-Hungary

255 Germany.

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Secret (Art.IV).
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. The treat shall last five years (Art.III).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. No.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. One year before its expiration, the parties shall consul together whether the conditions serving the basis of the treaty still prevail or if it needs to be modifies. If no invitation has been received from either side to open these negotiations, the treaty shall be considered renewed for another three years (Art.III).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Twice.

Protocol from March 22, 1883: The treaty shall be prolonged for five years (Art.1). The two parties shall enter into negotiations two years before its expiry to discuss whether it needs to be modified. If no invitation is received from either side to open these talks, the treaty is renewed for a period of three years (Art.2). (Hurst, 1972: 629)

Protocol from June 1, 1902: The duration of the treaty shall be automatically prolonged from three to three years for so long as the parties will not, within the interval agreed upon in the 1883 Protocol, enter into negotiations over the question whether the conditions serving as the basis of the treaty still prevail. (Hurst, 1972: 732)

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

November 3, 1918

15. Describe what caused the alliance to terminate.

Loss of WWI.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact

Neutrality Pact.

- 17. Type of alliance as coded in COW data (if applicable): Defense Pact.
- 18. Describe the obligations of the alliance partners.

Should (...) one of the two empires be attacked by Russia, the parties are bound to come to the assistance of one another with the whole war strength of their empires, and accordingly only to conclude peace together and upon mutual agreement (Art.I). Should one of the parties be attacked by another power, the other party binds itself hereby, not only [not ?] to support the aggressor against its high ally, but to observe at least a benevolent attitude towards its fellow party. Should, however, the attacking party in such a case be supported by Russia, either by an active cooperation or by military measures which constitute a menace to the party attacked, then the obligation stipulated in Article 1 of this treaty, for reciprocal assistance with the whole fighting force, becomes equally operative, and the conduct of war shall in this case also be in common until the conclusion of a common peace (Art.II).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, attack.

20. Describe the nature of the limits to obligations listed in #19.

The defense provision becomes active if one of the parties is attacked by Russia. If one of the parties is attacked by another party, the ally promises to maintain neutrality- unless the aggressor is supported by Russia which is when the defense provision becomes operative.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes. Russia (365).

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. Yes. Should (...) one of the two empires be attacked by Russia, the parties are bound to come to the assistance of one another with the whole war strength of their empires, and accordingly only to conclude peace together and upon mutual agreement (Art.I).
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

- 37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
 - No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. No.
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
- 59. Treaty citation: Hurst, Michael (ed.) 1972. Key Treaties for the Great Powers 1814-1914, vol.2 (1871-1914). St. Martin's Press.
- 60. Date of last revision of this coding sheet: 01/27/05.
- 61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds