ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892 Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2205.

2. COW Alliance # (if applicable): # 3052.

3. Signature date(s) (may vary for members in multilateral alliances):

November 22, 1927.

4. Members of alliance (use COW country codes):

339 Albania

325 Italy.

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. The treaty shall last for twenty years (Art.2).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

Yes. The treaty may be denounced in the 18th or 19th year (Art.2).

- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. Should no such denunciation take place the alliance shall be understood to be renewed by tacit consent for a like period (20 years) (Art.2).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): April 7, 1939
- 15. Describe what caused the alliance to terminate.

Italy invaded Albania

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact.

- 17. Type of alliance as coded in COW data (if applicable): Defense Pact.
- 18. Describe the obligations of the alliance partners.

The parties shall employ all their diligence and all the means at their disposal to ensure the security of their respective countries, and to defend and safeguard each other against any attack from without (Art.2).

The two parties shall act in concert to maintain peace and tranquility, and should one of them be threatened with war which it has not provoked, the other party shall employ the most effectual means at its command, not only to prevent hostilities but also to secure just satisfaction for the threatened party (Art.3).

Should each means of conciliation have been exhausted without avail, each of the parties undertakes to throw in its lot with the other, and to place at the disposal of its ally all military, financial and other resources which may be of assistance in terminating that conflict, should assistance be called for by the threatened party (Art.4).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- nonprovocation of enemy.

20. Describe the nature of the limits to obligations listed in #19.

The defense clause becomes valid if one of the allied becomes the object of an unprovoked attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Should each means of conciliation have been exhausted without avail, each of the parties undertakes to throw in its lot with the other, and to place at the disposal of its ally all military, financial and other resources which may be of assistance in terminating that conflict, should assistance be called for by the threatened party (Art.4).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. Yes. In any case covered by Article 4, the two parties undertake not to conclude or enter into negotiations for peace, armistice, or truce except by common consent (Art.5).
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. No.
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. No.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

 No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Yes. If, after the failure of all attempts to ward off by conciliatory methods a threat from any third state against one of the two allied states, the latter should find itself the victim of an unprovoked attack and should be compelled to call for military assistance from its ally in defense of the territory attacked, the supreme command of the allied forces will be entrusted, in Albania, to the Commander-in-Chief of the Albanian forces, and in Italy, to the Command-in-Chief of the Italian forces (Annex).

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

Yes. Upon signing of peace, the allied forces that have come to the assistance of the other state will return to their own country by using their own resources within the period allowed them by the Commander-in-Chief under whom they have served in the allied country (Annex).

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. All previous treaties concluded between the two parties since the admission of Albania to the League of Nations shall be

exactly and faithfully observed within the limits laid down in the text of the same (Art.1).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. See 46.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. No.
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
- 59. Treaty citation: LNTS, vol.69, p.349.
- 60. Date of last revision of this coding sheet: 02/16/05.
- 61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds