ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892 Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 3865

2. COW Alliance # (if applicable): 3596

3. Signature date(s) (may vary for members in multilateral alliances): 15 September 1980

- 4. Members of alliance (use COW country codes): Italy(325), Malta(338)
- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data) NO.
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.) Treaty (Diplomatic Notes, but required ratification)
- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.

PUBLIC

- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- $9. \ Are \ specific \ state(s) \ mentioned \ as \ possible \ future \ members \ of \ the \ alliance? \ (Yes, No) \ \ If \ Yes, \ which \ state(s)?$

NO

- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes the protocol is applicable for 5 years from 1979 thus 4 more years
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

NO

- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

 December 14, 1984
- 15. Describe what caused the alliance to terminate.

Malta announces that it will not renew treaty (Protocol on financial, economic and technical assistance ran for 5 years). Malta is forming closer relations with Libya and Soviet bloc.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation Pact, Defense Pact, Neutrality Pact, Nonaggression pact

- 17. Type of alliance as coded in COW data (if applicable): Entente
- 18. Describe the obligations of the alliance partners.

Consultation:

Declaration by the Government of the Republic of Italy with Respect to the Neutrality of Malta: "5.The Government of the Republic of Italy undertakes to consult, at the request of the Government of the Republic of Malta or of the Government of a neighboring Mediterranean State making a like Declaration as the present one, with the Government of the Republic of Malta and of the other States aforesaid whenever one of them declares that there exists a threat of violation or violation of the sovereignty, independence, neutrality, unity and territorial integrity of the Republic of Malta;"

"6. Reserves the right, if it considers that changes have taken place which alter substantially the neutrality of the Republic of Malta as envisaged in the Declaration of the Government of the Republic of Malta reproduced above, to request that consultations take place between it and the Government of Malta and of other neighboring Mediterranean States making a like declaration as the present,..."

Defense:

Declaration by The Government of Italy: "5.2. It (Italy) further undertakes that, at the request of the Republic of Malta and after consulting the aforementioned States, it will, in any of the events and under the conditions of the preceding paragraph 4 of the Italian

Declaration, or should the need arise for the exercise of the right of self-defense in the circumstances set out in Article 51 of the Charter of the United Nations, adopt any other measure, not excluding military assistance, it will consider necessary to meet the situation"

Neutrality: Declaration by Malta: "1 The Government of Malta, solemnly declares that the Republic of Malta is a neutral state actively pursuing peace, security and social progress among all nations by adhering to a policy of nonalignment and refusing to participate in any military alliance." Neutrality of Malta is further specified in Article 2.

Nonaggression: Declaration by Italy: "2a. The Government of the Republic of Italy undertakes not to take any action whatsoever which could in any way, directly or indirectly, endanger the sovereignty, independence, neutrality, unity or territorial integrity of the Republic of Malta.

b. undertakes not to take any action whatsoever, which could in any way, directly or indirectly, endangers peace and security in the Republic of Malta."

- 19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply) Yes. Specific Location
- 20. Describe the nature of the limits to obligations listed in #19.

Consultation obligations limited to threats to Malta.

- 21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe. YES. Malta promises not to allow its territory to be used by foreign powers.
- 22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe. Yes. The treaty obligations apply(consultation) under situations where there is a threat of violation or violation of the sovereignty, independence, neutrality, unity and territorial integrity of the Republic of Malta"
- 23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty. NO.
- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

 NO. The defense obligations are not symmetric (only the Government of Italy promises to defend the Government of Malta.) Also Malta commits to neutrality and Italy commits to nonaggression.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions. NO
- 27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe. NO
- 28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.) NO
- 32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions. NO.
- 33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
- 34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If

NO Yes, describe.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. YES. Protocol Relating to Financial, Economic and Technical Assistance: Article IV- In order to facilitate the realization of the objectives of the present Protocol, there shall be set up a Mixed Commission, whose members shall be designated by the respectives

Ministers of Foreign Affairs.

- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe. NO.
- 37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe. NO.
- 38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions. NO
- 39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference. NO
- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe. NO
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. NO
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe. NO.
- 43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

- 44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference. NO
- 45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference. NO
- 46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference. NO.
- 47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference. UN- Declaration by The Government of Italy: 5.2 "It (Italy) further undertakes that, at the request of the Republic of Malta and after consulting the aforementioned States, it will, in any of the events and under the conditions of the preceding paragraph 4 of the Italian Declaration, or should the need arise for the exercise of the right of self-defense in the circumstances set out in Article 51 of the Charter of the United Nations, adopt any other measure, not excluding military assistance, it will consider necessary to meet the situation"
- 48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the
- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe. Protocol Relating to Financial, Economic, and Technical Assistance between the Republic of Italy and the Republic of Malta: The Government of Italy undertakes to make to the Government of Malta a financial contribution of 12 million dollars each year for a period of five years, a concessionary credit of 15 million dollars to promote economic and social progress in Malta.
- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. NO
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. NO
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. NO
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. NO
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions. YES. Protocol Relating to Financial, Economic, and Technical Assistance between the Republic of Italy and the Republic of Malta: The Government of Italy undertakes to make to the Government of Malta a financial contribution of

12 million dollars each year for a period of five years, a concessionary credit of 15 million dollars to promote economic and social progress in Malta

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe. NO

SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty
- 59. Treaty citation: UN, Treaty Series,19962
- 60. Date of last revision of this coding sheet: July 25, 2004
- 61. Coder: SEZI ANAC and Ashley Leeds