# ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4585

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances): April 29, 1994

4. Members of alliance (use COW country codes):

710 China

712 Mongolia

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data) No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Public
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions. No.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? No.
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. Article VI states that the treaty will remain effective on a long-term basis and that it can be amended or revised with agreement of both sides.
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

Yes. Article VI states that either side must notify the other side in writing one year in advance if it intends to discontinue the treaty.

- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): Still in effect.

Version 4.0: In effect as of May 22, 2018 according to Ministry of Foreign Affairs, China (http://www.fmprc.gov.cn/web/gjhdq 676201/gj 676203/yz 676205/1206 676740/sbgx 676744/)

15. Describe what caused the alliance to terminate.

N/A.

#### SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression pact.

- 17. Type of alliance as coded in COW data (if applicable): N/A.
- 18. Describe the obligations of the alliance partners.

Art I: The two sides should develop good-neighborly and friendly relations of cooperation on the basis of the principles of mutual respect for each other's independence, sovereignty and territorial integrity, non aggression, non-interference in each other's internal affairs, equality and mutual benefit, and peaceful coexistence.

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Art IV: The two sides will not enter into any military or political alliance directed against the other side; nor will they sign any treaty with a third country that will hurt the sovereignty or security of the other side. Neither side may allow any third country to use its territory to harm the sovereignty and security of the other.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Nο

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Art IV stipulates that the two sides will not enter into any military or political alliance directed against the other side. They will not sign any treaty with a third country that will hurt the sovereignty or security of the other side. Neither side may allow any third country to use its territory to harm the sovereignty and security of the other.

- 28. Additional coder comments regarding treaty obligations:
  - Art II: (1) The two sides will have regular consultations on the growth of bilateral relations so as to promote the expansion of ties between the parliaments, government organs, social groups and localities of both countries.
  - (2) The two sides will develop long-term and stable cooperation of equality and mutual benefit in the fields of politics, economy, trade, culture, education, science and technology, environmental protection, communications and telecommunications.
  - Art III: (1) The two sides will conduct consultations on issues of the Asia-Pacific region and other international issues of common interest when necessary.
  - (2) The two sides will cooperation in the scope of the United Nations and other international organizations so as to promote cooperation among all nations and to push for the resolution of regional and international issues that ask for an urgent settlement.

## SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

 $40. \ Does \ the \ treaty \ include \ discussion \ of \ the \ division \ of \ gains \ from \ any \ future \ conflict? \ (Yes, No) \ \ If \ Yes, \ describe.$ 

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

## SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

Yes. It modifies the Sino-Mongolian Treaty on Friendship and Mutual Help signed in 1960. The treaty confirms that the previous treaty played an important role in developing bilateral relations. The treaty also states that the two sides are willing to

consolidate the time-honored friendship between the Chinese and Mongolian peoples. They believe that further strengthening of Sino-Mongolian friendly relations of cooperation conforms to the fundamental interests of the two peoples, and benefit peace, stability and development in the Asia-Pacific region. They are to abide by the goal and principles of the UN Charter.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. It repeatedly makes reference to the United Nations. The two sides pledge to abide by the goal and principles of the UN Charter and to cooperate within the scope of the United Nations.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Article II (2) states that the two sides will develop long-term and stable cooperation of equality and mutual benefit in the fields of politics, economy, trade, culture, education, science and technology, environmental protection, communications and telecommunications.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Art I: The two sides should develop good-neighborly and friendly relations of cooperation on the basis of the principles of mutual respect for each other's independence, sovereignty and territorial integrity, non aggression, non-interference in each other's internal affairs, equality and mutual benefit, and peaceful coexistence.

#### SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Coded from Chinese by Bing Yuan.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty and secondary sources.

59. Treaty citation:

http://www.chinacourt.org

60. Date of last revision of this coding sheet: 7/29/04

61. Coder: Bing Yuan and Ashley Leeds.

Version 4.0: Q14 revised by Nicholas Coulombe on May 22, 2018