# ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892 Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4300

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances):

July 31, 1992.

4. Members of alliance (use COW country codes):

365 Russia

701 Turkmenistan.

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Public.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? No.
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. The treaty is signed for 10 years (Art.25).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. No.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. The treaty will automatically renewed for subsequent 10-year periods unless one of the Parties submits a written notification of its wish to suspend the treaty at least six months before the current treaty expires (Art.25).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Zero.

- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): Replaced by the agreement from April 23, 2002. (ATOP ID# 5000)
- 15. Describe what caused the alliance to terminate.

See 14.

### SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact

Defense Pact

- 17. Type of alliance as coded in COW data (if applicable): N/A.
- 18. Describe the obligations of the alliance partners.

The parties will develop their relations on the basis of principles of mutual respect for state sovereignty, independence, equality and noninterference, nonaggression, territorial integrity and peaceful conflict resolution (Art.1).

The Parties will cooperate in ensuring secure defense for the Parties, and protecting international peace and security. They express their readiness to maintain a common military-strategic space under joint command, and immediately resolve all relevant practical matters. Parties will hold regular bilateral and multilateral exchange of opinions on the conceptual framework for national defense and the organization of military forces, and will cooperate in maintaining a common defense policy based on previously agreed military doctrines. For that purpose, they will maintaining a coordinated military-technological policy, and will have a single position on maintaining military forces sufficient for defense purposes (Art.3). The parties will exchange relevant information, and strive for coordinated approaches to overcoming such situations, including mutual assistance in combating aggression against one or both Parties (Art.4).

In case of a situation, which, according to one of the Parties, threatens the peace, disrupts the peace or affects its security, each Party may turn to the other Party requesting immediate consultations (Art.4).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Each Party promises to refrain from participating or supporting any actions targeted against the other Party, and will prohibit their territory to be used for preparing or implementing acts of aggression or other attacks against the other Party (Art.4).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

Yes. Consultation provision is contingent upon request.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

## SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. Disagreements about the interpretation and implementation of this treaty will be resolved through consultations and negotiations between Parties (Art.23).

- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. No.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Parties will hold regular bilateral and multilateral exchange of opinions on the conceptual framework for national defense and the organization of military forces, and will cooperate in maintaining a common defense policy based on previously agreed military doctrines. For that purpose, they will maintaining a coordinated military-technological policy, and will have a single position on maintaining military forces sufficient for defense purposes (Art.3).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

They express their readiness to maintain a common military-strategic space under joint command, and immediately resolve all relevant practical matters (Art.3)

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. Yes. Specific inter-governmental units and agreements concerning economic and trade relations will be created (Art.9). The parties will establish a joint Committee on Human Rights (Art.22).
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. Preamble: reaffirm principles of UN Charter and OSCE documents. Strengthen peace-enforcing role of the UN (Art.2). Russia will facilitate Turkmenistan's access to those IOs which it is not a member of (Art.8).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. OSCE. See 47.

- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Minority rights and prevention of persecution (Art.6), dual citizenship (Art.7), cooperation on various levels and access of Turkmenistan to IOs (Art.8), economic and trade relations (Art.9), property rights (Art.10), extraction, refining and use of natural gas (Art.11), energy resources, imfra-structure, transportation and communication (Art.12), maritime, rail and air transport (Art.13), science and technology and research (Art.14), open borders (Art.15), environment and emergency response (Art.16), social welfare and health services (Art.17), organized crime (Art.18), inter-parliamentary relations (Art.19), coordinated foreign policy and international economic activities (Art.20).

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. No.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. The Parties will sign a separate agreement with regard to the military cooperation (Art.4). Specific inter-governmental units and agreements concerning economic and trade relations will be created (Art.9).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. The parties will develop their relations on the basis of principles of mutual respect for state sovereignty, independence, equality and noninterference, nonaggression, territorial integrity and peaceful conflict resolution (Art.1).

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Treaty coded based on translation from Russian by Mariam Stepanyan.

- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
- 59. Treaty citation: provided by Russian MFA.

- 60. Date of last revision of this coding sheet: 07/28/04.
- 61. Coder: Michaela Mattes and Ashley Leeds.
- V4.0 Q15 updated to reference ATOP ID# by Nicholas Coulombe on June 10, 2018