## ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4710

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances):

April 26, 1995.

4. Members of alliance (use COW country codes):

316 Czech Republic

369 Ukraine.

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data) No.
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. This Treaty is concluded for a term of ten years (Art.22).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. No.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. After ten years, the treaty's validity will be automatically extended for each subsequent five-year period, unless one of the contracting parties announces in writing its intention to denounce the Treaty at least one year before the end of the applicable period of validity(Art.22).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Zero

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

V4.0: Still in MFA of Ukraine website- visited on December 28, 2017

(http://mfa.gov.ua/en/about-ukraine/bilateral-cooperation/european-countries)

15. Describe what caused the alliance to terminate.

N/A.

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact

Consultation Pact.

- 17. Type of alliance as coded in COW data (if applicable): N/A.
- 18. Describe the obligations of the alliance partners.

They[The Contracting Parties] shall consistently adhere to the principles of sovereign equality, renunciation of the use of force or threat of force, the inviolability of borders, territorial integrity and political independence, the peaceful settlement of disputes, non-interference in internal affairs, respect for human rights and fundamental freedoms, the equality of peoples and their right to self-determination..." (Article 1) [Nonaggression]

"The Contracting Parties shall, in accordance with the Charter of the United Nations and the documents of the Conference on Security and Cooperation in Europe, settle any disputes that arise between them solely by peaceful means. They shall never under any circumstances be the first to use their armed forces against one another" (Article 2) [Nonaggression].

"If a situation arises which, in the opinion of either Contracting Party, constitutes a threat to peace, a breach of peace or creates international tension in Europe, the Contracting Parties shall hold consultations on possible means of resolving it." (Article 4) [Consultation].

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes. Location

20. Describe the nature of the limits to obligations listed in #19.

They focus on tension in Europe, as Article 4 indicates: "If a situation arises which, in the opinion of either Contracting Party, constitutes a threat to peace, a breach of peace or creates international tension in Europe.." N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

The Contracting Parties shall actively promote the disarmament process, the reduction and gradual elimination of all types of weapons of mass destruction, the further reduction of armed forces and weapons in Europe to a level sufficient for defence, the strengthening of confidence and security measures on a bilateral and multilateral basis, and the creation of strong, pan-European security structures. They shall develop cooperation in the field of defence (Art. 5).

## SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

The Contracting Parties shall consistently adhere to the principles of sovereign equality, renunciation of the use of force or threat of force, the inviolability of borders, territorial integrity and political independence, the peaceful settlement of disputes,..(Article 1)

The Contracting Parties shall, in accordance with the Charter of the United Nations and the documents of the Conference on Security and Cooperation in Europe, settle any disputes that arise between them solely by peaceful means. They shall never under any circumstances be the first to use their armed forces against one another (Article 2)

The Contracting Parties shall settle disputes arising from the interpretation or application of this Treaty through the means for the peaceful settlement of disputes accepted international law in accordance with the Charter of the United Nations and the documents of the Conference on Security and Cooperation in Europe (Article 20).

- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

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32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. Yes. Meetings at the highest level will, as a rule, take place once a year, with regard for necessity and expediency (Art.7)
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No, but see Art. 5 for general statement.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe. No.
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. Preamble: reaffirm principles of UN Charter, CSCE. Peaceful resolution of conflicts according to UN, CSCE (Art.2,20). Their security is inseparably linked with other CSCE member states, support for creation and operation of permanent institutions and organs of the CSCE (Art.3). Broaden cooperation in IOs, within CSCE and other European structures (Art.6).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. CSCE. See 47.

- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Disarmament (Art.5), contacts at various levels (Art.7,8), economic, financial and trade cooperation (Art.9), science, technology and research (Art.10), environmental protection and recovery after disasters (Art.11), transportation (Art.12), telecommunication and data processing (Art.13), energy (Art.14). culture and education (Art.15), health care, social security, tourism, sport and youth exchanges (Art.16), minorities (Art.17), maintenance of grave sites (Art.18), crime (Art.19).

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. No.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. The Contracting Parties shall, in accordance with their domestic legislation and on the basis of their international obligations and the purposes of this Treaty, provide legal and material conditions conducive to all forms of economic activity, including the promotion and reciprocal protection of investments, and the improvement of conditions for the movement of goods, services and capital, and shall not adopt any discriminatory measures in their mutual economic cooperation (Art. 9).

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. The Contracting Parties shall consistently adhere to the principles of (...) non-interference in internal affairs (Art.1).

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Treaty coded based on translation from Czech by Fero Kuminiak. For version 4.0, coded based on an English translation from UNTS.

- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty. Treaty on good neighborly relations and cooperation between the Czech Republic and Ukraine.
- 59. Treaty citation: Provided by Czech MFA(for version 3.0). Version 4.0: UNTS Reg. No: 36942
- 60. Date of last revision of this coding sheet: 07/25/2017.
- 61. Coder: Michaela Mattes, Ashley Leeds and Jaewook Chung
- V4.0: Q14 Updated by Nicholas Coulombe, December 28, 2017