ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892 Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 5015

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances):

June 24, 2002

4. Members of alliance (use COW country codes):

710 China

703 Kyrgyzstan

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Public.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? No.
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. The treaty will continue for an indefinite period (Art. 14).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

Yes. Either party may terminate the agreement with 6 months notice (Art. 14).

- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. N/A
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

N/A

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Still in effect

Version 4.0: In effect as of June 14, 2018 according to Ministry of Foreign Affairs, China (http://www.fmprc.gov.cn/web/gjhdq 676201/gj 676203/yz 676205/1206 676548/1207 676560/)

15. Describe what caused the alliance to terminate.

N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression pact

- 17. Type of alliance as coded in COW data (if applicable): N/A.
- 18. Describe the obligations of the alliance partners.

"[The] Contracting Parties on a long-term basis comprehensively develop relations of good-neighborliness, friendship and mutually beneficial cooperation in accordance with universally recognized principles and norms [of] international law, the principles of mutual respect for sovereignty and territorial integrity, mutual non-aggression..." (Art. 1) [Nonaggression]

"The Contracting Parties do not participate in any alliances or do not take any action, including treaties with third states that damage the sovereignty, security and territorial integrity of the other Contracting Parties." (Art. 5) [Nonaggression]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

- 20. Describe the nature of the limits to obligations listed in #19.
- 21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. "None of the Contracting Parties shall allow the use of its territory by third states to the detriment of the state sovereignty, security and territorial integrity of the Contracting Party. None of the Contracting Parties allows the creation and activities on its territory of organizations and groups that cause damage [to the] sovereignty, security and territorial integrity of another Contracting Party." (Art. 5)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. "The Contracting Parties do not participate in any alliances or do not take any action, including treaties with third states that damage the sovereignty, security and territorial integrity of the other Contracting Parties."

28. Additional coder comments regarding treaty obligations:

"The Kyrgyz side confirms the invariability of its principled position on the Taiwan issue...The Kyrgyz side recognizes that in the world there is only One China, the Government of the People's Republic of China is the only legitimate government representing the whole of China...confirms that it will not establish with Taiwan official relations and maintain official contacts with him in any form." (Art. 3)

"The People's Republic of China confirms that it will...support the efforts of the Kyrgyz Republic aimed at protection of national independence, sovereignty and territorial integrity, development and strengthening of the national economy and maintaining stability in their country." (Art. 3)

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

 No, but the treaty mentions the previous "full settlement of border issues between the two countries" and commits the parties to "implement confidence-building measures in [the] military sphere" (Art. 4).
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. No.
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

110.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

 $37.\ Does\ the\ treaty\ specify\ contribution\ levels\ (funds,\ troops,\ etc.)?\ \ (Yes,\ No)\ \ If\ Yes,\ describe.$

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No, but Art. 4 states that "The Contracting Parties shall implement confidence-building measures in [the] military sphere and mutual reduction of the Armed Forces in the region border on the basis of existing agreements...."

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. No.
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are modified and describe the treaty reference.

Nο

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. The treaty refers to the United Nations (Preamble, Art. 10) and the Shanghai Cooperation Organization (Art. 10) as frameworks for peace and cooperation.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. SCO (see Q47).

- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. The treaty refers to cooperation in the economic sphere, science and technology, energy, the environment, information technologies, trade (Art. 6), communications, transit, construction (Art. 7), education and culture (Art. 8), finance (Art. 6, 11), and fighting terrorism and organized crime (Art. 12).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No, but the treaty notes "the full settlement of border issues between the two countries" and mentions that "The Contracting Parties strictly observe provisions of relevant border agreements signed between the two countries." (Art. 4).

- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. No.
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. "[The] Contracting Parties on a long-term basis comprehensively develop relations of good-neighborliness, friendship and mutually beneficial cooperation in accordance with universally recognized principles and norms [of] international law, the principles of mutual respect for sovereignty and territorial integrity, mutual non-aggression, non-interference in each other's internal affairs ..." (Art. 1)

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Version 3.0: The obligations summarized above are based on contents of the Sino-Kyrgyz Joint News Release on 12/12/2002 and on reports from other news sources. It is probable that they are not inclusive and not accurate enough. Coded from Chinese by Bing Yuan.

Version 4.0: The text is translated using the Google Chrome browser.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Version 3.0: Secondary sources only: the joint news release and other secondary sources.

Version 4.0: Treaty: Contract about Good-Neighborliness, Friendship and Cooperation between The Kyrgyz Republic and the People's Republic of China

59. Treaty citation:

Version 3.0: Full text of the joint news release from http://www.chinacourt.org, but not the treaty itself.

Version 4.0: Kyrgyz Ministry of Justice (http://cbd.minjust.gov.kg/act/view/ru-ru/17226, Accessed June 14, 2018)

60. Date of last revision of this coding sheet: June 20, 2018

61. Coder: Bing Yuan, Ashley Leeds, Jessica Edry