ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

- 1. ATOP ID: 4905
- 2. COW Alliance # (if applicable):

N/A

3. Signature date(s) (may vary for members in multilateral alliances):

24 JUNE 1998 (ARTICLE 24)

4. Members of alliance (use COW country codes):

355 BULGARIA 704 UZBEKISTAN

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)

NO

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

TREATY

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.

PUBLIC

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.

NO

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?

NO

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

YES. ARTICLE 24 – THE TREATY IS CONCLUDED FOR THE PERIOD OF 10 YEARS.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

NO

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.

YES. ARTICLE 24 – THE TREATY IS AUTOMATICALLY EXTENDED FOR FIVE-YEAR PERIODS UNLESS ONE OF THE CONTRACTING PARTIES DENOUNCES IT IN WRITING AT LEAST 6 MONTHS BEFORE THE EXPIRATION OF THE CORRESPONDENT TERM OF ACTIVITY.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

STILL IN EFFECT

15. Describe what caused the alliance to terminate.

STILL IN EFFECT

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

NONAGGRESSION PACT CONSULTATION PACT

17. Type of alliance as coded in COW data (if applicable):

N/A

18. Describe the obligations of the alliance partners.

NONAGGRESSION – IN ARTICLE 1, THE PARTIES COMMIT TO THE NON-USE OF FORCE OR THREATS TO USE FORCE AND THE PEACEFUL RESOLUTION OF DISPUTES IN THEIR BILATERAL RELATIONS

CONSULTATION – IN ARTICLE 3, THE PARTIES STATE THAT IF "A SITUATION ARISES, WHICH IN THE OPINION OF ONE OF THE CONTRACTING PARTIES CONSTITUTES A THREAT TO INTERNATIONAL PEACE AND SECURITY, ESPECIALLY WHEN SUCH A SITUATION CONCERNS ONE OF THE CONTRACTING PARTIES, THEY WILL CONDUCT THE CORRESPONDING CONSULTATIONS."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

NO

20. Describe the nature of the limits to obligations listed in #19.

NONE

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

NO

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

NO

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

NO

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

YES

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

NO

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

NO

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

NO

28. Additional coder comments regarding treaty obligations:

ARTICLE 6 – THE PARTIES WILL CONSULT AND COOPERATE WITHIN THE INTERNATIONAL ORGANIZATION IN WHICH THEY ARE OR WILL BE MEMBERS

ARTICLE 12 – THE PARTIES WILL CONSULT ON COOPERATION ISSUES IN INTERNATIONAL ECONOMIC, FINANCIAL AND OTHER ORGANIZATIONS IF NECESSARY

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

YES. THE PARTIES COMMIT TO THE PEACEFUL RESOLUTION OF THEIR DISPUTES IN ARTICLE 1.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

NO

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

NO

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

NO

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

NO

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

NO

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

NO

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

NO

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

NO

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

NO

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

NO

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

NO

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

NO

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

NO

43. Additional coder comments regarding references to alliance institutionalization:

NONE

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

NO – ARTICLE 19: "THE TREATY DOES NOT CONCERN THE RIGHTS AND OBLIGATIONS OF THE CONTRACTING PARTIES ARISING FROM THE BILATERAL AND MULTILATERAL TREATIES IN WHICH THEY PARTAKE."

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

NO

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

NO

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

YES.

UNITED NATIONS, OSCE, THE EURO-ATLANTIC PARTNERSHIP COUNCIL

IN THE PREAMBLE, THE PARTIES STATE THAT THEY ARE GOVERNED BY THE GOALS AND PRINCIPLES OF THE UN CHARTER AND THE OSCE PROVISIONS

IN ARTICLE 2, THE PARTIES STATE THAT THEY WILL ADHERE TO THE UN CHARTER AND WORK FOR BUILDING THE AUTHORITY OF THE UN

IN ARTICLE 3, THE PARTIES STATE THERE CRISIS-TIME CONSULTATION WILL BE IN ACCORD WITH THE UN CHARTER

IN ARTICLE 4, THE PARTIES STATE THAT THEY WILL COOPERATION WITHIN THE FRAMEWORK OF THE EURO-ATLANTIC PARTNERSHIP COUNCIL

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

YES, OSCE – SEE #47.

ALSO, IN ARTICLE 2 THE PARTIES ACKNOWLEDGE THE DECISIVE PEACE ROLE OF OSCE AND DECLARE THEIR SUPPORT FOR THE ORGANIZATION.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

NO

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

YES. COOPERATION AT THE REGIONAL LEVEL – ARTICLE 7
ECONOMIC COOPERATION – ARTICLE 8
AGRICULTURE AND INDUSTRIAL COOPERATION – ARTICLE 9
COOPERATION IN TRANSPORTATION – ARTICLE 10
COOPERATION IN MILITARY TECHNOLOGY – ARTICLE 11
SCIENTIFIC COOPERATION – ARTICLE 13
ENVIRONMENTAL COOPERATION – ARTICLE 14
CULTURAL COOPERATION – ARTICLE 15
COOPERATION IN SOCIAL SECURITY AND HEALTH CARE – ARTICLE 16
COOPERATION AGAINST ORGANIZED CRIME – ARTICLE 17
LEGAL AND CONSULAR COOPERATION – ARTICLE 18

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

NO

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

NO

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

YES. IN ARTICLE 8 THE PARTIES STATE THAT THEY WILL CONCLUDE A MUTUAL ENCOURAGEMENT AND PROTECTION OF INVESTMENTS TREATY, A DOUBLE TAXATION AVOIDANCE TREATY, AND AGREEMENTS FOR THE TRADE-ECONOMIC RELATIONS AND FOR COOPERATION IN TOURISM.

ARTICLE 20: "THE PARTIES WILL RESOLVE ISSUES OF INTERPRETATION AND IMPLEMENTATION OF THIS TREATY THROUGH CONSULTATIONS AND NEGOTIATIONS."

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

NO

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

NO

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

THE PARTIES COMMIT TO NON-INTERVENTION IN INTERNAL AFFAIRS IN ARTICLE 1.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

CODED FROM BULGARIAN BY VALENTIN KRUSTEV

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

TREATY

59. Treaty citation:

OFFICIAL GOVERNMENTAL BULLETIN OF THE REPUBLIV OF BULGARIA ISSUE 52/1999

60. Date of last revision of this coding sheet:

30 JULY 2004

61. Coder:

VALENTIN KRUSTEV, ASHLEY LEEDS