ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4185

2. COW Alliance # (if applicable):

N/A

3. Signature date(s) (may vary for members in multilateral alliances):

February 27, 1992

4. Members of alliance (use COW country codes):

255 Germany

315 Czechoslovakia

Czechoslovakia succeeded by Czech Republic (316) and Slovak Republic (317), 1 January, 1993

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. 120 months
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. Treaty will be renewed for successive five-year terms unless a member denounces with one year's notice in writing.
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): Successor alliances are in effect according to German MFA (website visited 4/04).
 Czechoslovakia succeeded by Czech Republic (316) and Slovak Republic (317), 1 January, 1993
 Version 4.0: In effect as of October 30, 2017 according to Ministry of Foreign Affairs, Germany

(http://www.auswaertiges-amt.de/DE/Aussenpolitik/Laender/Laenderinfos/Slowakei/)

15. Describe what caused the alliance to terminate.

N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation Pact, Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable):

N/A

18. Describe the obligations of the alliance partners.

Article IV: (1) "The (parties) affirm that they will refrain from the threat or use of force directed against the territorial integrity or political independence of the other (party)..."

(2) "They shall settle all their disputes exclusively by peaceful means and shall never employ any of their weapons except for individual or collective self-defence. They shall never under any circumstances be the first to deploy armed forces against each other."

Consultation:

Article VII: "Should a situation arise which, in the view of one Contracting Party, represents a threat to peace or a breach of peace or could give rise to dangerous international complications, the two (parties) shall cooperate within the framework of CSCE procedures and those of the United Nations. They shall immediately establish contact with each other and shall endeavour to harmonize their positions and achieve agreement on appropriate measures for improving or coping with the situation."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

None

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. Article 34: "In the event that differences should arise concerning the interpretation and application of this Treaty, the Contracting Parties, notwithstanding the provisions of article 11 hereof, shall have recourse to the proceeding described in the report of the CSCE Meeting of Experts on Peaceful Settlement of Disputes adopted in Valletta on 8 February 1991."

- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. Yes. Article 34: "In the event that differences should arise concerning the interpretation and application of this Treaty, the Contracting Parties, notwithstanding the provisions of article 11 hereof, shall have recourse to the proceeding described in the report of the CSCE Meeting of Experts on Peaceful Settlement of Disputes adopted in Valletta on 8 February 1991."
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. Yes. Article 11: "The foreign ministers...shall meet at least once a year for consultations... Other ministers, including the defence ministers, shall consult regularly."
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

Nο

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. CSCE-parties will work within this organization to coordinate their efforts.

United Nations-parties shall follow principles of UN charter.

International Monetary Fund, World Bank, European Bank for Reconstruction and Development-economic cooperation within these institutions.

Article 10 (1+2): "...lay the groundwork for the political and economic integration of the Czech and Slovak Federative Republic into the European Communities." Germany will support this process.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes – CSCE –see # 47

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Multiple references to cooperation in fields of economics, culture, social organizations, tourism, science, education, environment, agriculture, transportation, media, and judicial relations.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. Article 3 (3): "They shall conclude a treaty concerning the determination, adjustment, surveying, marking and maintenance of their common border on the basis of a joint cartographic documentation exercise, and concerning the creation of a permanent joint border commission."

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Article 2: "...(the parties) shall be guided by the Final Act of the Helsinki Conference on Security and Cooperation in Europe (CSCE) of 1 August 1975 and subsequent CSCE documents, in particular the Charter of Paris for a New Europe of 21 November 1990.

They shall mutually respect their sovereign equality, their territorial integrity, the inviolability of their borders and their political independence, as well as the principle of non-intervention in internal matters and the principle prohibiting the threat or use of force."

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS no. 32374

60. Date of last revision of this coding sheet: July 27, 2004

61. Coder: Andrew Long, Ashley Leeds

Version 4.0: Q14 revised by Nicholas Coulombe on October 30, 2017