ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 1075

2. COW Alliance # (if applicable): 2017

3. Signature date(s) (may vary for members in multilateral alliances):

July 8, 1833.

4. Members of alliance (use COW country codes):

365 Russia

640 Turkey.

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles. Public with secret article.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. The duration of the agreement is fixed to eight years (Art.V).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. No.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. The two parties, previously to the expiration of that term, will concert together, according to the state of affairs at that time, as to the renewal of the treaty (Art.V).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Unknown.

- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): July 13, 1841.
- 15. Describe what caused the alliance to terminate.

Straits Convention among five powers supercedes this.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation Pact

Defense Pact.

- 17. Type of alliance as coded in COW data (if applicable): Defense Pact.
- 18. Describe the obligations of the alliance partners.

This alliance, having solely for its purpose the common defense of their dominions against all attack, their majesties engage to come to an unreserved understanding with each other upon all the matters which concern their respective tranquility and safety and to afford to each other mutually for this purpose substantial aid, and the most efficacious assistance (Art.I). In consequence of the principle of conservation and mutual defense, which is the basis of the present treaty of alliance, and by reason of the most sincere desire of securing the permanence, maintenance, and entire independence of the Sublime Porte [i.e. Turkey], Russia, in the event of circumstances occurring which should again determine the Sublime Porte to call for the naval and military assistance of Russia (...) engages to furnish, by land and by sea, as many troops and forces as the two parties may deem necessary. It is accordingly agreed, that in the case the land and sea forces, whose aid the Sublime Porte may call for, shall be held at its disposal (Art.III).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Russia, wishing to spare the Sublime Ottoman Porte the expense and inconvenience which might be occasioned to it by affording substantial aid, will not ask for that aid if circumstances should place the Sublime Porte under the obligation of furnishing it, the Sublime Porte, in place of the aid which it is bound to furnish in the case of need, according to the principle of reciprocity of the Patent Treaty, shall confine its actions in favor of Russia to closing the Strait of the Dardanelles, that is to say, to not allowing any foreign vessels of war to enter therein under any pretext whatsoever (Separate Article).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. No.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. No.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Yes. In conformity with what is above stated, in the event of one of the two Powers requesting the assistance of the other, the expense only of provisioning the Land and the Sea Forces which may be furnished, shall fall to the charge of the Power who shall have applied for the aid (Art.IV).

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. The Treaty of Peace concluded at Adrianople on the 2nd/14th September, 1829, as well as all the other treaties comprised therein, as also the Convention signed at St.Petersburg on the 14th/26th April, 1830, and the Arrangement relating to Greece, concluded at Constantinople on the 9th/21st July, 1832, are fully confirmed by the present treaty, in the same manner as if the said transactions had been included word for word (Art.II).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
- 59. Treaty citation: Hurst, Michael (ed.) 1972. Key Treaties for the Great Powers 1814-1914, vol. 1 (1814-1870). St. Martin's Press, p.225.
- 60. Date of last revision of this coding sheet: 2/1/05.
- 61. Coder: Jeff Ritter, Michaela Mattes, Ashley Leeds.