ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2160.

2. COW Alliance # (if applicable): # 3043.

3. Signature date(s) (may vary for members in multilateral alliances):

June 10, 1926.

4. Members of alliance (use COW country codes):

220 France

360 Romania.

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. The treaty shall remain in force for 10 years (Art.9).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. No.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. At the expiration of 10 years, the treaty may be renewed, if due notice is given to this effect at the end of the ninth year (Art.9).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

November 6, 1936 – renewed for 10 years (LNTS, v.177, p.392)

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): June 22, 1940

15. Describe what caused the alliance to terminate.

France surrenders to Germany, signs armistice

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact Consultation Pact.

17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.

18. Describe the obligations of the alliance partners.

France and Romania undertake that they will in no case attack or invade each other or resort to war against each other. This stipulation shall not, however, apply in case of: 1) the exercise of the right of legitimate defense, that is to say, resistance to a violation of the undertaking contained in the first paragraph of the present article; 2) action in pursuance of Article 16 of the Covenant of the League of Nations; action as the result of a decision taken by the Assembly or Council of the League of Nations or in pursuance of Article 15, paragraph 7, of the Covenant of the League of Nations, provided that in this last event the action is directed against a state which was the first to attack (Art.1).

The French and Romanian governments undertake, subject to any resolutions that may be passed by the Council or Assembly of the League of Nations, to consult each other in all matters which may threaten the external security of France or Romania or which may tend to subvert the situation created by the Treaties of Peace of which both parties are signatories (Art.3). If, notwithstanding the sincerely peaceful intentions of the French and Romanian governments, France and Romania should be attacked without giving provocation, the two governments will immediately consult one another as to the action taken by each party within the framework of the Covenant of the League of Nations, with a view to safeguarding their legitimate national interests and maintaining the order established by the treaties of which both parties are signatories (Art.4). The parties agree to concert their policies in the event of any modification or attempted modification of the political status of the countries of Europe and subject to such resolutions as may be passed in the matter by the Council or the Assembly of the League of Nations, to confer with one another concerning the attitude to be observed in such an event by each party (Art.5). The parties undertake to consult one another on all questions of European policy with a view to coordinated action in the cause of peace, and for this purpose to inform one another in future of any treaties or agreements on this same subject which they may conclude with third powers and they further undertake that such treaties or agreements shall always be consistent with the maintenance of peace (Art.6).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe. No.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

In a protocol Romania declares that it is prohibited from making an attack on Russia with her regular forces and similarly from allowing irregular troops to be trained on her territory for the purposes of an attack on Russia. France takes note of the declaration.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. Yes. France and Romania undertake to settle by peaceful means and in the manner laid down herein all questions of every kind which may arise between them and which it may not be possible to settle by the normal methods of diplomacy (Art.2).
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. Any questions with regard to which the parties are in conflict as to their respective rights shall be submitted to judicial decision, and the parties undertake to comply with the decision. All other questions shall be submitted to a conciliation commission. If the proposals of this commission are not accepted by the two parties, the question shall be brought before the Council of the League of Nations which will deal with it in accordance with Article 15 of the Covenant of the League (Art.2). All disputes of every kind with regard to which the parties are in conflict as to their respective rights, and which it may not be possible to settle amicably by the normal methods of diplomacy, shall be submitted for decision either to an arbitral court or to the Permanent Court of International Justice (Convention for peaceful settlement, Art.1).
- 31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

 No.
- 32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. Yes. A conciliation commission is established in a special convention for the pacific settlement of disputes from June 10, 1926.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

- 40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. Preamble: reaffirm League of Nations. Peaceful settlement of disputes in front of League (Art.2). Nonaggression is limited to the extent that the League of Nation Articles 15 and 16 become active (Art.1). Consultation within the League of Nations on measures to be taken if one part is attacked (Art.4). Treaty shall not be interpreted in manner contrary to the Covenant of the League of Nations (Art.7).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. The detailed arrangements for the peaceful resolution of settlements are the subject of a special convention signed on the same day (Art.2).

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No,

 $52. \ Does\ the\ treaty\ propose/enforce\ settlement\ of\ a\ conflict\ not\ involving\ parties\ to\ the\ alliance?\ (Yes,\ No)\ \ If\ Yes,\ describe.$

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Nο

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

Nο

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: LNTS, vol.58, p.227.

60. Date of last revision of this coding sheet: 02/17/05.

61. Coder: Michaela Mattes, Ashley Leeds