# ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 5035

2. COW Alliance # (if applicable): N/A

 $3. \ Signature \ date(s) \ (may \ vary \ for \ members \ in \ multilateral \ alliances):$ 

July 4, 2003.

4. Members of alliance (use COW country codes):

360 Romania

365 Russia

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data) No.
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? No.
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. The treaty is signed for 10 years.
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions. No.
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.

  The treaty shall be renewed for subsequent durations of five years each unless one of the signatories communicates in writing at least one year before the expiration period its intention to terminate the treaty.
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): still in effect according to Romanian MFA website (visited 5/7/04).

Version 4.0: In effect as of August 23, 2017 according to Ministry of Foreign Affairs, Romania (http://moscova.mae.ro/node/170)

15. Describe what caused the alliance to terminate.

N/A.

#### SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact

Consultation Pact.

- 17. Type of alliance as coded in COW data (if applicable):
- 18. Describe the obligations of the alliance partners.

The signatories reaffirm the inadmissibility of the use of force and the threat of force against the territorial integrity or political independence of any state, or any other way that is incompatible with the aims and principles of the UN (...) Should differences or situations that threaten peace of international security of one, or both of the signatories arise, they proceed to exchanges views in quest to find adequate means of peaceful solution in conformity with the UN Charter and the CSCE. Should one of the signatories be the object of armed aggression or shall be in danger of aggression by third states, then the signatory can request the other signatory for consultations on the issue for the solution of the conflict according to the UN Charter and OSCE documents (Art.2). Military coopration and cooperation in the field of military technology between the signatories shall develop in accordance with international coomitments on the basis of the inadmissibility of the threat or use of force (Art.3).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

- 20. Describe the nature of the limits to obligations listed in #19.
- 21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

Yes- consultation obligation is conditional upon request

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No. (Explicitly states that each state has the freedom of choice and change in its security arrangements, including alliance treaties, according to their evolution (Art.3).

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

# SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe. Yes. The Signatories resolve all problems in their bilateral relations by negotiations, according to the norms and principles of international law (Art.1).
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe. No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. No.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

## SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe

the nature of the reference.

Preamble: reaffirms principles of UN Charter, the CSCE, European Council. (also Art.1). Threats to peace should be resolved in accordance with the UN Charter and the OSCE (Art.2). Support of progress on basis of OSCE documents (Art.3). The parties shall work together in IOs to which they are members or shall be members in the future and cooperate for the fulfillment of commitments taken up in these organizations (Art.16).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. OSCE (see no.47).

- 49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.' No.
- 50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Cooperation on development of European structures and security, disarmament, economic and scientific matters, energy, transport, agriculture, environment, science culture and information, monuments, terrorism.

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. No.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. For the implementation of the present treaty, the signatories shall convene on necessary agreements (Art.17).
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. No.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

The parties reaffirm the inalienable right of nations to decide, freely and without outside interference, their political status, to choose the means for attaining their own security and to assure their economic, social and cultural development (Art.1).

### SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty: Translation from Romanian by Viktor Sata.
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
- 59. Treaty citation: Parliament of Romania. Official Monitor No.194, 4 March 2004
- 60. Date of last revision of this coding sheet: August 23, 2017
- 61. Coder: Michaela Mattes and Ashley Leeds

Version 4.0: Q14 revised by Nicholas Coulombe August 23, 2017