ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP) CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892 Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2410.

2. COW Alliance # (if applicable): # 3089.

3. Signature date(s) (may vary for members in multilateral alliances):

July 8, 1937.

4. Members of alliance (use COW country codes):

700 Afghanistan

645 Iraq

630 Iran

640 Turkey.

- 5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data) No.
- 6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty.

- 7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
- 8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
- 9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)? No.
- 10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe. Yes. The treaty shall last for a period of five years (Art.10).
- 11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

 Yes. Should one of the contracting parties commit an aggression against a third power, any other contracting party may denounce the present treaty, without notice, as towards the aggressor (Art.6); the contracting parties may denounce the treaty within six months before the expiration of five years (Art.10).
- 12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions. Yes. The treaty shall be deemed to be renewed for successive periods of five years, until its denunciation with six months' notice by one or more of the high contracting parties. On its denunciation as towards one of the parties, the treaty shall nevertheless remain in force as between the others (Art.10).
- 13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Zero.

- 14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): February 24, 1955.
- 15. Describe what caused the alliance to terminate.

Replaced by the Baghdad Pact ATOP ID# 3275 (except for Afghanistan).

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation Pact

Nonaggression Pact.

- 17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.
- 18. Describe the obligations of the alliance partners.

The contracting parties agree to consult together in all international disputes affecting their common interests (Art.3). Each of the contracting parties undertakes in no event to resort, whether singly or jointly with one or more third powers, to any act of aggression directed against any other of the contracting parties (Art.4). See Art.4 for a further definition of an act of aggression.

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Each contracting party undertakes to prevent, within its respective frontiers, the formation or activities of armed bands, associations or organizations to subvert the established institutions, or disturb the order or security of any part, whether situated on the frontier or elsewhere, of the territory of another party, or to change the constitutional system of such other party (Art.7).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

- 24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe. Yes.
- 25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.
- 26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

- 29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

 Yes. The contracting parties, having already recognized, in the General Treaty for the Renunciation of War of August 25th,
 1928, that the settlement or solution of all disputes or conflicts, whatever their nature and origin, which may arise among them, shall never be sought by other than by peaceful means, reaffirm the principle and undertake to rely upon such modes of procedure as have been or shall be established between the contracting parties in that respect (Art.8).
- 30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Should one of the contracting parties consider that a breach of Article 4 of the present treaty has been or is about to be committed, he shall at once bring the matter before the Council of the League of Nations (Art.5).

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

- 35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
- 36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

- 41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
- 42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. Within the framework of the League on Nations (Preamble); actions according to League of Nations Art. 16 and Art. 17.7 do not represent acts of aggression; if one of the contracting parties considers Art.4 of this treaty to have been breached it shall bring this matter in front of the League of Nations; the present treaty does not diminish obligations under the League of Nations (Art.9).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

- 51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
- 52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. No.
- 53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
- 54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
- 55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. The contracting parties undertake to pursue a policy of complete abstention from any interference in each other's internal affairs (Art.1).

SECTION V: DOCUMENTATION

- 57. Additional coder comments on this alliance treaty:
- 58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
- 59. Treaty citation: LNTS, vol.190, p.23.
- 60. Date of last revision of this coding sheet: 02/23/05.
- 61. Coder: Michaela Mattes, Ashley Leeds.
- V4: Q15 updated to reference ATOP ID# on 5/16/2018 by Nicholas Coulombe