# Effect of Learning Feedback Styles on Learning Outcomes Fall 2020 - W241 Final Report

# Dahler Battle, Guy El Khoury, Jane Hung, Julian Tsang

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d_	respondents <- fread('datatable_clean_survey_responses_v2.dta')	

# 2 Abstract

# 3 Background

Whether its the coach and player, teacher and pupil, or employer and employee, feedback likely plays an important role in delivering successful outcomes. All leaders are encouraged to give feedback while understudies are taught to receive critique openly. However, what is good feedback and how much of one's success on a given task be attributed to this feedback? Suprisingly few, well-developed experiments have been conducted to investigate this relationship. In this study, we seek to better understand if feedback truly influences successful outcomes and if different types of feedback lead to better outcomes than others.

# 4 Research Question

Our study highlights the broad field of research around the role of feedback on performance. Successful feedback is thought to lead to improved performance. However it is too broad of a question for an experiment to point to a causal claim. Exogenous factors such as the learning environment, the learner's psychological mentality, or the type of task being taught may come into play in an non-experimental analysis.

Additionally, feedback comes in various forms, both positive and negative, internal and external. Some strategies may be better than others and others may actually negatively influence performance. As such, a well-designed experiment is necessary to find a true causal effect on learning outcomes (if any).

The scope of our experiment is, as a result, intentionally narrow to measure the effect of different types of feedback on task performance. In our design, we ask survey respondents to recognize if an x-ray image shows healthy lungs or lungs with pneumonia. This study introduces a novel concept to most, if not all subjects, requires strenous mental thought, and makes several extraneous elements consistent throughout the learning process (i.e. the computer-based learning environment, the feedback types, and the question being asked are the same throughout the program).

# 4.1 Hypothesis

Our study seeks to answer the following question:

What type of feedback (positive reinforcement, negative reinforcement, self-reflective, etc.) leads to the largest improvements in individual performance within a simple, recognition-based task, if any?

We are testing the null hypothesis that the varying types of feedback do not lead to better outcomes. To generalize, we then test if the average treatment effect between those who receive any feedback and those who receive a placebo will equal 0.

A related follow-up question addresses:

Does more frequent feedback yield higher task performance?

We anticipate that more feedback touchpoints will associate with better individual performance because the receiver has more insight into how to improve and is able to calibrate to meet and surpass previous performance thresholds. However, it is unclear if the marginal gains from the second feedback loop will be as meaningful as the first.

# 5 Experimental Design

#### 5.1 Overview

This design follows a difference-in-differences design and is implemented through regression adjustment. Participants completed a three-part survey in one sitting. The random assignment occurs after the first round of questions, which allows us to pre-screen for compliance. The core analysis compares the difference in scores between the first iteration (pre-treatment) and the second iteration (after the first round of treatment) in

order to test the immediate effects of feedback on performance. We further compare the first iteration scores with those in the third iteration (after the second round of treatment) to understand the effect of repeated feedback.

In this experiment, participants will view a set of X-Ray slides. Each slide contains an X-Ray image of a patient's lungs. The participant will have to determine if the patient's lungs are healthy or have pneumonia. Responses and timings will be recorded. Three rounds will create an answer set of 30 images (3 Rounds x 10 X-Ray images in each round). Participants will be randomly assigned to the following control or treatment groups, with two one-minute breaks in between sessions. Each intervention type, while limited in scope to the X-Ray recognition task, is meant to replicate a real-life style of feedback. The interventions are as follows:

- Control Subject watches a pharmaceutical video and is asked how the video makes them feel. This replicates the experience of someone that does not receive any internal or external feedback.
- Self Reflective Treatment Subject is shown the last round's images, their answers, and the correct answers. They are then asked to reflect in two sentences about how they can improve. This reflects someone who does not receive feedback from others but thinks critically about their own performance and how to improve.
- Positive Reinforcement Treatment Subject is shown the images of the last round's healthy lungs only and is asked to study those images for 1 minute. This reflects someone who is only told the positive aspects of their performance.
- Negative Reinforcement Treatment Subject is shown the images of the last round's pneumonia-filled lungs only and is asked to study those images for 1 minute. This reflects someone who is only told the negative aspects of their performance.
- Specific Feedback Treatment Subject is shown the last round's images, their answers, and the correct answers. They are then given easy-to-digest information from a medical textbook on how to spot pneumonia. This reflects a situation where someone is given expert-driven advice on how to accomplish a task.

# 5.2 Project Timeline

The project was conducted on the following timeline:

	Trial Survey	Survey Period	$Data$ $Collection \ \mathcal{E}$ $Analysis$	Final Presentation	Final Report
Oct. 28 - Nov. 5	Nov. 6 - 8	Nov. 9 - 14	Nov. 15 - 30	Dec. 8	Dec. 15

#### 5.3 Enrollment and Recruitment Process

Subjects were recruited through Mechanical Turk (MTurk) and received \$1 upon successful completion. Multiple entries from the same respondent were not permitted. Mechanical Turk lists the survey in a pool of others and payouts were given by the research team after successful completion of the survey. We ended up receiving 447 survey submissions. Since we charged a relatively high price point per survey, we were able to receive all of these responses in a matter of 72 hours. This may have worked in our favor by mitigating time-series related effects in the resulting data, however it also included several drawbacks mentioned later in the paper.

Subjects were mostly from the United States (225) and India (115). There were more males that participated in the study (207) than females (143).

#### 5.4 Communication and Measurement Tooling

The recruited Mechanical Turk participants were then given a link to the survey on Qualtrics. They were asked to enter their MTurk Worker ID and complete demographic questions before starting the survey.

Friends and family were used to test the experiment flow, however none were known to have taken the full experiment, nor were part of our final analysis. The survey was compatible with both mobile and desktop applications. This helped reduce the barrier to entry for the survey. To help prevent non-compliance, we mandated timings on the treatment phases so that each subject fully received treatment.

#### 5.5 Randomization

Since subjects were recruited from Mechanical Turk, we the experiment had access to a global pool of candidates. Then, participants were randomly assigned to each of the 5 groups based on randomization logic pre-built on the Qualtrics system. Randomization occurred through the Qualtrics system after the first pre-treatment phase and split the remaining responses evenly between the four treatment groups and the control group. This randomization process is important so that treatment assignments are independent of subjects' potential outcomes. Furthermore, unaccounted-for covariates of the subject pool would not bias our estimate of the ATE.

The Qualtrics flow can be seen below.

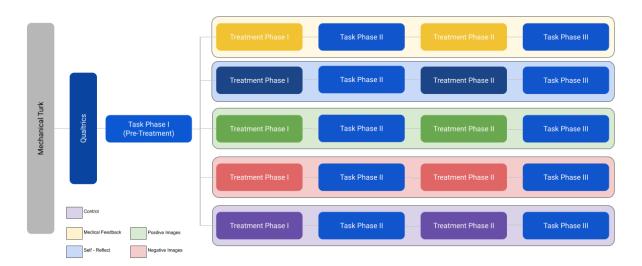


Figure 1: Qualtrics Flow

#### 5.6 Excludability and Non-Interference

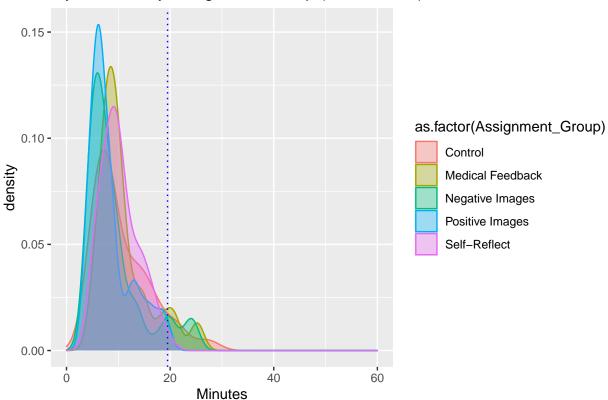
This design also meets the excludability and non-interference assumptions needed to provide an unbiased estimate of the average treatment effect. Once a subject is assigned a treatment group, he or she receives a specific treatment for two separate times since treatment phases alternate with task phases 2 and 3. We meet the excludability assumption since outcomes are measured consistently through all task phases and for all assignment groups. Every task phase is scored on a scale from 1 to 10. Thus, what one subject scored in pre-treatment can be directly compared to what he or she scored in post-treatment. Furthermore, subjects are asked to essentially make diagnoses from looking at x-ray images. We believe that this is an esoteric topic, which would make it difficult for respondents to perform third-party research while completing the survey. However, we are better able to answer this subject by looking at the completion times below.

```
#n survey responses > 30 mins., take outlier out for analysis but discuss below
outlier <- round(d_respondents[`Duration (in seconds)` > 60*30, `Duration (in seconds)` /60/60],1)
completions <- d_respondents[`Duration (in seconds)` < 60*30]

#95% of participants finished below this point in mins.
upper_cl <- completions[, round(mean((`Duration (in seconds)`)/60) + (2 *(sd(`Duration (in seconds)`)/6</pre>
```

```
#density plot of time completed by assignment group in mins.
ggplot(completions, aes(x=`Duration (in seconds)`/60, fill = as.factor(Assignment_Group), colour=as.fac
geom_density(alpha = 0.35) +
    xlim(0,60) +
    ggtitle("Survey Duration by Assignment Group (sans Outlier)") +
    labs(x = "Minutes") +
    geom_vline(xintercept = upper_cl, linetype="dotted", color = "blue", size = 0.5) +
    theme(plot.title = element_text(hjust = 0.5))
```

# Survey Duration by Assignment Group (sans Outlier)



We had one entry that took 4.9 hours to complete the survey. This could be due to research but is likely due to other factors such as just leaving the computer idle up for certain period of time. Eliminating this outlier, 95% of participants completed the survey in 19.5 minutes or less (6.5 minutes or less per task phase). As such, subject driven, third-party research did not likely play a role in outcomes. The non-interference assumption is also met in this experiment since subjects are not aware of the treatments in other groups. They also do not know each other and cannot share about their treatment status with untreated subjects or vice versa.

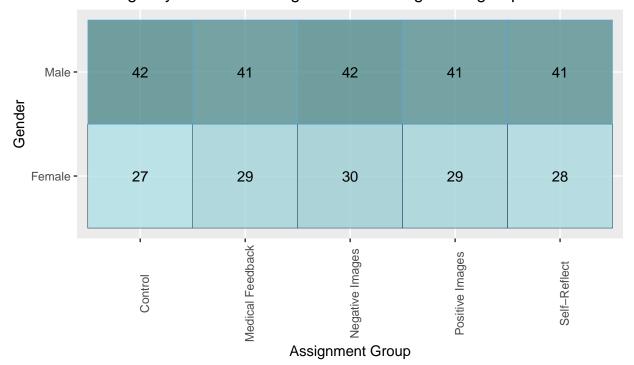
#### 5.7 Covariate Balance Checks

We examined how well our randomization worked by checking that the proportion of individuals assigned to each group was similar. Furthermore, we performed visual covariate balance checks on the survey data as it relates to gender, age range, education, and country. We additionally performed Chi Squared Tests for Independence to test for independence within each of these categories. None of the Chi-Squared tests were significant at the p=.05 level, signaling that there is no relationship between these covariates and the treatment and control assignment groups. Proportions of each covariate were consistent across assignment groups.

## Assignment\_Group N

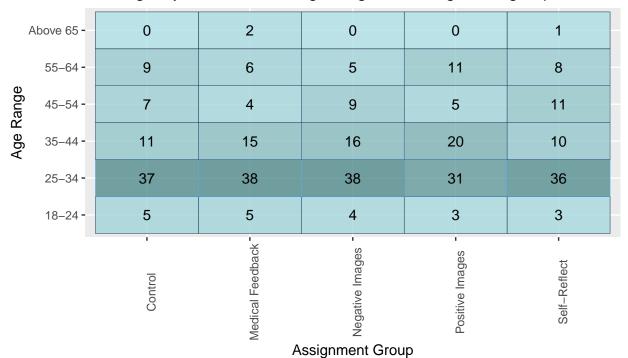
## 1: Negative Images 72
## 2: Positive Images 70
## 3: Self-Reflect 69
## 4: Control 69
## 5: Medical Feedback 70

# Contingency table between gender and assignment group



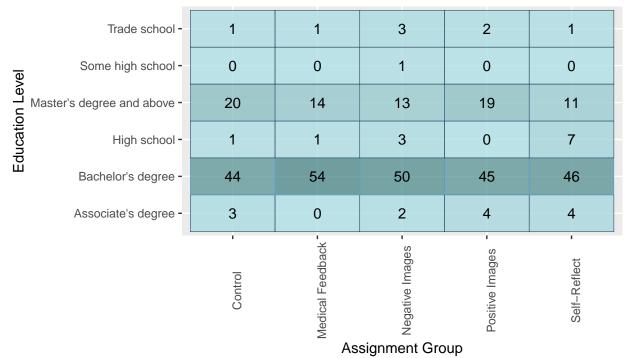
Assuming gender distributions are the same among assignment groups, a chi–squared test for independ degrees of freedom yields p=0.9981, suggesting that there is no relationship between gender and assign significance level of 0.05.

# Contingency table between age range and assignment group

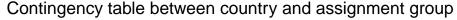


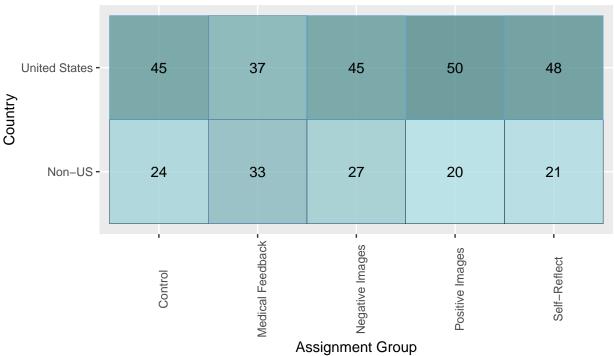
Assuming age distributions are the same among assignment groups, a chi–squared test for independe Carlo simulation yields p=0.4943, suggesting that there is no relationship between age and assignmen significance level of 0.05.

# Contingency table between education and assignment group



Assuming education distributions are the same among assignment groups, a chi–squindependence with Monte Carlo simulation yields p=0.0665, suggesting that there is between education and assignment groups at a significance level of 0.05.





Assuming country distributions are the same among assignment groups, a chi–squared test for ind 4 degrees of freedom yields p=0.1647, suggesting that there is no relationship between country an groups at a significance level of 0.05.

#### 5.8 Observation and Outcome Measurables

The data we collected was exported directly from Qualtrics into a CSV file. Data was then cleaned in R and exploratory data analysis was performed to better understand our data points. In all, we collected the following categorical data:

- Metadata Entry data such as start and end dates, IP Addresses, Locations, Duration, Survey Status (Finished, Incomplete)
- Demographic Data Age Range, Education Level, Gender
- Assignment Group Control, Positive Images, Negative Images, Self-Reflection, and Medical Feedback
- Responses Survey responses for Task Phase 1 (questions 1 10), Task Phase 2 (questions 11 20), and Task Phase 3 (questions 21 - 30)
- Scores Scores for Task Phase 1, Task Phase 2, Task Phase 3 (out of 10); treatment scores combining Task Phases 2 and 3 (out of 10); cumulative scores (out of 30)

Scoring is based on the number of questions a person answers correctly out of 10 questions per phase, which is then converted to a ratio value for ease of interpretation. In this case, a 10 percentage point increase in performance would signify getting 1 additional question right.

We will assess two main regressions with the following outcome variables: Task Phase 2 Scores and Task Phase 3 Scores. In the former regression, we assess whether feedback immediately affects performance; in the latter analysis, we asses whether there is a marginal increase in performance from repeated feedback.

Within this problem space, we will focus on two major comparisons.

- 1. Control vs. All Treatment Groups: This compares people who receive the control with people who receive any form of feedback treatment.
- 2. Individual Treatment Effects: This second comparison focuses on comparing each individual treatment group with the control and with each other.

#### 5.9 Data Completeness

The experiment started off with 381 surveys sourced through MTurk. Out of this participant pool, we threw out 97 results. These results were thrown out for the following reasons:

- 1. Clear non-compliance (n = 44): Some participants did not give honest effort on the survey and answered all "Normal", all "Pneumonia", or all alternating responses. These results were treated as instances of non-compliance and thrown out of the survey.
- pretreatment task
- time settings involved to prevent non-compliance
- placebo design
- 2. Multiple submissions and non-valid entries (n = 5): The research team's \$1.00 per survey price point was relatively high. As a result, some participants tried to send in multiple survey responses to collect multiple payments or submit an invalid MTurk code (1 instance). In these instances we only paid for (and used) the first survey.
- 3. Incomplete surveys (n = 66): Some people started surveys but never finished. This includes those who never completed the last step of the survey by closing out their answers. These responses were thrown out and dealt with as instances of attrition.

# TODO Add in comparison between treatment and control compliance rate. Chi-sq between comply vs. non-comply and assignment groups.

Attrition occurred at several steps in the survey. 14 dropped off before Task Phase 1 while collecting demographic information and while entering the MTurk code (did not receive treatment assignment). 20 dropped the survey during the 10 image set in Task Phase 1 or during the first treatment phase. 7 dropped off during Task Phase 2 or during the second treatment phase. 4 dropped out during Task Phase 3 and 21 of these participants had made 99% progress but had failed to close the survey. However, we treated all 66 of the aforementioned incomplete survey responses as part of attrition and were not part of our final analysis. A funnel diagram below shows the participant drop offs of each type and at each level of the experiment:

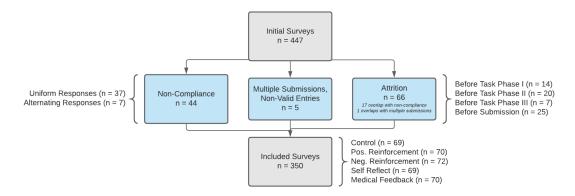


Figure 2: Survey Funnel Diagram Flow

# TODO SHOULD WE CALL OUT THAT ATTRITION AND NON-COMPLIANCE ARE NOT ISSUES BECAUSE WE COMPLETED A PLACEBO DESIGN?

#### 6 Results

Overall, we have multiple ways we could have assessed this data based on our different treatment groups. We'll primarily focus on two major comparisons.

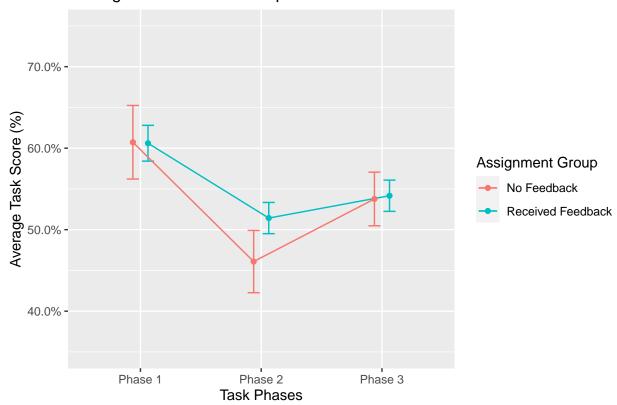
• Control vs. all treatment groups: This compares people who receive the control with people who receive any form of feedback treatment.

• Differences in individual treatment groups: The second comparison focuses on comparing each individual treatment group with the control and with each other.

### 6.1 Overview

#### 6.1.1 Control vs. All Treatment Groups

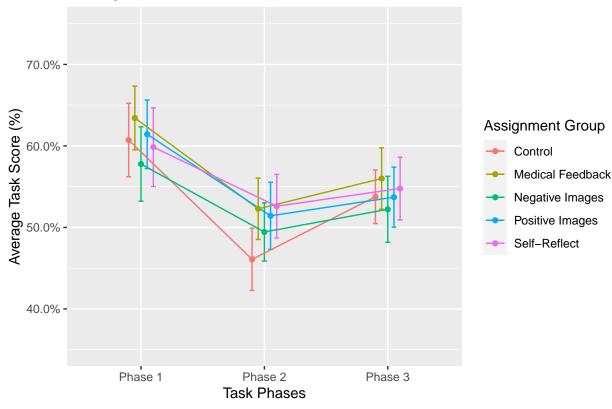
# Average score across task phases



When comparing task scores for across people who received feedback and people who received the placebo (shown above), we see that in Phase 1, average task score percentage is fairly similar between groups  $(\bar{x}_{treatment} = 0.606 \ (0.022), \ \bar{x}_{control} = 0.607 \ (0.045)).$ 

#### 6.1.2 Individual Treatment Effects

# Average score across task phases



# 6.2 Regressions

#### 6.2.1 Control vs. All Treatment

# 7 Test for immediate effects of feedback on performance

Task Phase 2 Score (1) (5) (2) (3)(4)Any Treatment 0.053\*\* 0.051\*\* -0.028 (0.022) (0.022) (0.067)Medical Feedback 0.062\*\* 0.056\* (0.027) (0.029)Negative Images 0.034 0.040 (0.027) (0.027)Positive Images 0.053\* 0.050\* (0.029) (0.028)Self-Reflect 0.065\*\* 0.059\*\*(0.028) (0.029)Task Phase 1 Score 0.241\*\*\* 0.136 0.238\*\*\* (0.047) (0.099) (0.048)Male -0.009 -0.009 -0.009(0.018) (0.018) (0.018)

Dependent variable:

US 0.007 0.007 0.008 (0.021) (0.021) (0.022) Any Treatment:Task Phase 1 Score 0.131 (0.110) Constant 0.461\*\*\* 0.277\*\*\* 0.344\*\*\* 0.461\*\*\* 0.279\*\*\* (0.019) (0.073) (0.085) (0.019) (0.074)

Education FE No Yes Yes No Yes Age FE No Yes Yes No Yes Observations 350 350 350 350 350 R2 0.017 0.118 0.121 0.021 0.119 Adjusted R2 0.014 0.081 0.082 0.010 0.074

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Note: p < 0.1; p < 0.05; p < 0.01

Table 3: Analysis of Variance Table TODO add the heterogeneous treatment effect for high performers vs. treatment.

Res.Df	RSS	Df	Sum of Sq	F	Pr(>F)
335	8.335	NA	NA	NA	NA
332	8.32	3	0.01466	0.195	0.8998

In columns 1 and 2 of Table ??, we assess the combined effect of all feedback treatment groups by creating a treatment dummy variable Any Treatment. We therefore simulate the real world phenomenon where managers have diverse ways of giving feedback, but the direct reports still receive some semblance of a performance review.

Column 2 of this table includes the effect of the covariates (pre-treatment score, gender, and FE from education, age, and country). Subjects experience a 5.083 (2.223) percentage point increase in task performance when any feedback is given to the survey respondents, which is statistically significant given an  $\alpha = 0.05$ . In a notable discovery, adding in these covariates does not lead to a marginal decrease in standard error, so the ATE is no more precise when controlling for these other variables.

To confirm pre-existing assumtpions around high-performers, we find that each 10 percentage point increase in Task Phase 1 scores is associated with a 2.406 (0.469) percentage point increase in performance in Task Phase 2; people who perform well before feedback may also perform well after feedback because high-performers do not require the additional feedback to be successful. This finding naturally motivated an analysis around heterogeneous treatment effects between feedback and high-performers, which found that a 10 percentage point increase in Task 1 Phase score yields an increase of 1.306 (1.096) percentage point increase.

Building off these results, we were further interested in exploring what type of feedback would yield the most positive impact on task performance. In this way, we were hoping to inform managers what type of feedback they should use with their direct reports. We believed that medical feedback would yield the most benefit because not only do you get information on what you got wrong but you also received expert opinion on how to properly assess the images. Abstracting this out to the real world, this would be akin to having a manager act as a mentor and using their experiences to enable your success. At a high level, we see that when people receive medical feedback, they experience a 5.5 percentage point increase in performance that is statistically significant, thereby confirming our hypothesis.

We hypothesized that the negative images feedback would fare the worst because we are only sharing their responses on the pneumonia images and whether they got them right or wrong. In this way, we wanted to simulate when a manager focuses on giving feedback only in abnormal situations. As a result, direct reports may have a poorer understanding of what "normal" or "good" looks like. Taking a look at that estimate, we

see that people in the negative image feedback group have only a 3.9 percentage point increase that is not statistically significant, indicating that negative feedback was not helpful in improving performance.

Somewhat surprisingly, we found that people who were asked to self-reflect on their responses had a statistically significant 5.8 percentage point increase in performance. We were primarily interested in pursuing this type of feedback because it is a common personal growth technique to self-reflect that is touted in articles in HBR, Forbes, etc. In this way, we were able to confirm the positive effects of self-reflection; as a manager, you might encourage this behavior through incorporating self-assessments.

Lastly, was this necessary to blow out this analysis to the multiple treatment groups? An F-test suggests that expanding on the treatment groups as shown in the table on the right does not yield a model that better represents this data.

#### 7.0.1 Individual Treatment Effects

Table 4:

	Dependent variable: Task Phase 3 Score			
	(1)	(2)	(3)	(4)
Any Treatment	0.004 $(0.019)$	0.002 $(0.019)$		
Medical Feedback	, ,	, ,	0.022 $(0.026)$	0.011 $(0.026)$
Negative Images			-0.015 $(0.027)$	-0.011 $(0.026)$
Positive Images			-0.001	0.004
Self-Reflection			(0.025) $0.010$	(0.025) $0.005$
Task Phase 1 Score		0.161***	(0.026)	(0.026) $0.157***$
Male		(0.047) $-0.004$		(0.047) $-0.004$
US		(0.017) $-0.005$		(0.017) $-0.004$
Constant	0.538*** (0.017)	(0.020) $0.518***$ $(0.065)$	0.538*** (0.017)	$(0.020)$ $0.520^{***}$ $(0.064)$
Education FE	No	Yes	No	Yes
Age FE	No	Yes	No	Yes
Observations	350	350	350	350
$\mathbb{R}^2$	0.0001	0.085	0.006	0.087
Adjusted R <sup>2</sup>	-0.003	0.046	-0.005	0.040

Note:

\*p<0.1; \*\*p<0.05; \*\*\*p<0.01

Table 5: Analysis of Variance Table

Res.Df	RSS	Df	Sum of Sq	F	Pr(>F)
335	8.116	NA	NA	NA	NA
332	8.098	3	0.01802	0.2463	0.8639

As a quick overview, we'd now like to assess Phase 3 results. As a reminder, this occurs after the subjects have received 2 rounds of treatment or placebo. We're anticipating that giving more feedback will yield even higher task performance scores compared to Phase 2, and we're hoping to understand if, as a manager, he/she should instantiate more touchbases to review performance.

What we see across the board though is that the effects of treatment are severely attenuated over time and with an additional round of feedback. For example, when assessing the effect of any feedback, there is a meager .2 percentage point increase in performance, which is not statistically significant.

This may be attributed to a number of things. For example, more frequent feedback during this short time span may be annoying to the receiver. The receiver may have then given much less attention to the feedback because they just received some critique fairly recently. On the other hand, a respondent paying close attention to this feedback may experience increased context switching, which may detract from completing the actual task.

As a conclusion, we see that feedback has immediate positive effects on performance, specifically critique that provides SME or is completed through a self-assessment. Although we did not see statistically significant effects from repeated feedback, this further may be attributed to how we conducted our study and the timespan allotted.

#### 7.1 Power

Two-sample t test power calculation

n = 162 delta = 0.05 sd = 0.16 sig.level = 0.05 power = 0.8

alternative = two.sided

NOTE: n is number in each group

Assignment_Group	N
Negative Images	72
Positive Images	70
Self-Reflect	69
Control	69
Medical Feedback	70

Power analysis shows that our groups did not have a large enough sample size required for each group. Due to the small effect size of approximately 0.05 when comparing mean Task Phase 2 scores in treatment and control groups, for such small effects to be detected with statistical power of 80%, the number of subjects required in each group would be 162. Our group sizes for the control group, as well as the medical, positive, negative, and self-reflect treatment groups were 69, 70, 70, 72, and 69 respectively. This is primarily due to the fact that we charged too high of a price point per completed survey.

#### 8 Conclusions

Our study found a statistically and practically significant impact of several styles of feedback as it relates to performance scores on the X-Ray lung health analysis. We can reject the null hypothesis that the scores in the feedback groups were the same as the scores in the placebo group. Breaking this down further, we see that GO INTO THE INDIVIDUAL TREATMENT ATES

This experiment faces potential limitations when making more generalized conclusions about the effects of feedback on performance in addition to lower power. For example, the experiment required analysis of a more simple, X-Ray analysis, which is not as complex of a task when compared to multi-step tasks such as

writing a paper or performing quantitative analysis. Furthermore, the experiment's computer-facing setting may have impacted results. Subjects may not have spent as much time on the task as in a real scenario. They certainly did not experiment the same time or social pressures or distractions usually present in most constructive feedback instances

However, the study's conclusions gives us confidence that feedback positively affects performance in a meaningful way and more specifically targeted, informative feedback drives success. The effects of feedback on performance are significant and merit additional study.

#### 9 Limitations and Future Enhancements

The research design generated an output with limited power due to several factors. First, we handicapped the total amount of participants by offering too high of a price point for the survey. Our experiment offered a \$1 price point per successful entry (limit of one entry per person), which afforded only 350 participants in our study to comply with the set \$500 budget. We should have, however, charged ~ \$0.25, which is on par with average MTurk prices per task, which would have allowed us to recruit more participants and achieve higher power. DO WE INCLUDE THIS? We also randomized our subjects equally between the four treatment groups and the one control group. In retrospect our group needed to allocate 50% of the participants to the control group and randomly assigned the rest in equal proportions to the four different treatment groups. These changes would have given the experiment an estimated 1400 participants, with XYZ in the control group and XYZ split evenly between the different treatment groups. Power for the experiment would have increased substantially and allowed for more meaningful outcomes.

Most notably, our experiment may not generalize well to the external environment because our MTurk worker population may not be a representative cohort of the real working population. In fact, our study participants may reflect more accurately the effect of feedback on people with lower income (income < \$150K) and who are younger (age < 50 years old) (Moss & Litman, ). In actuality, the MTurk population may benefit the most from feedback because younger people typically have less work experience and may need guidance to further their performance. In addition, people with lower incomes who accept requests through MTurk also demonstrate a desire to improve their financial position, so they may benefit substantially from feedback that drives performance and, subsequently, income (Buchheit et al, 2018).