



中國信託金融控股(股)公司 參與經貿組織管理政策 (Ver 1.0)

版權聲明

本文件所載之各項著作內容皆屬中國信託金融控股(股)公司所有，皆受到中華民國著作權法的保護，未經書面同意不得以任何形式修改、複製及轉載。



文件制/修訂履歷

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中國信託金融控股股份有限公司 參與經貿組織管理政策

第一條 目的

中國信託金融控股(股)公司(以下稱「中信金控」或「本公司」)為呼應聯合國永續發展目標(SDGs),並響應「巴黎協定(Paris Agreement)」、「全球盟約(Global Compact)」、「責任銀行原則(Principles for Responsible Banking)」、「責任投資原則(Principles for Responsible Investment)」、「永續保險原則(Principles for Sustainable Insurance)」、「科學基礎減量目標倡議 (Science Based Targets initiative, SBTi)」等國際準則、協定與倡議及主管機關相關政策,參與經貿組織公共事務,與國內外經貿團體合作推動 2050 淨零碳排氣候目標,訂定中信金控參與經貿組織管理政策(以下稱「本政策」)。

本政策參酌中信金控永續金融聲明、中信金控永續管理政策,為本公司參與經貿組織公共事務落實永續策略與發展目標,提供參與及評估準據。

第二條 名詞定義

本政策所稱「經貿組織」是指國內外經貿相關營利及非營利組織或團體。

第三條 適用範圍

本政策之適用範圍,包括本公司及各子公司。子公司得依其需要訂定相關規範。

第四條 權責單位

本政策權責單位為組織關係部,負責政策之制修訂作業,及統籌參與經貿組織與公共事務相關事宜之管理及執行。

第五條 實施原則

- 一、相關經貿組織之參與,應視其組織之性質及業務需求辦理之。
- 二、經貿組織之會費、會議費,或捐贈及贊助,依內部規章辦理。



三、出席經貿組織之會議、活動，應視其重要性、業務相關性辦理之。

第六條 參與原則

- 一、國內外經貿組織之交流合作，應符合公開、透明、對等原則，整合工商界力量，接軌國內外經貿政策趨勢，建構多邊經貿合作，拓展經貿關係，落實永續成長。
- 二、經貿組織本於追求企業永續經營環境，其對於環境永續的目標，應與巴黎協定一致，並同意協助企業會員共同推動 2050 年淨零排放。
- 三、經貿組織應針對環境、社會及經濟等企業經營環境相關正負面影響之公共政策，如符合巴黎協定之環境政策等，與經貿組織夥伴、政府及企業會員建立議合、溝通及倡議管道，並進行相關風險與機會之評估，協助建立企業永續經營環境。
- 四、遴派參與經貿組織之代表人，應參考其內部規章及業務相關性、組織關係維護重要性及代表性辦理之；遴派之代表人得參與理監事之選任；亦得以捐贈或贊助形式支持，以推動本政策目標，善盡企業社會責任。

第七條 檢核與評估

為確保參與經貿組織符合本政策第一條之目的，母公司及子公司應於每年執行檢核與評估，相關評估報告呈報本公司董事長核決。

前項評估報告得包括符合巴黎協定氣候目標之議合溝通及是否持續參與之評估。

第八條 資訊揭露

本公司參與經貿組織公共事務，納入年度編製之中英文企業永續報告書、並揭露於本公司網頁/企業永續專區，以及主管機關指定位置。

第九條 實施與修正

本政策經董事會決議通過後實施，修訂時亦同。



CTBC Financial Holding Co., Ltd. Economic and Trade Organization Participation Management Policy Version 1.0

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Version Chronology

Version	Effective Date	Revision Rationale	Responsible Unit	Remark
1.0	Api. 26, 2024	First version	Corporate Relations Dept.	



CTBC Financial Holding Co., Ltd. Economic and Trade Organization Participation Management Policy

Article 1 Objective

CTBC Financial Holding Co., Ltd. (hereinafter, “CTBC Holding” or “the Company”), to support the U.N. Sustainable Development Goals, Paris Agreement, Global Compact, Principles for Responsible Banking, Principles for Responsible Investment, Principles for Sustainable Insurance, Science Based Targets initiative, and other international standards, agreements, initiatives, and relevant policies of the competent authorities by participating in the public affairs of economic and trade organizations and by cooperating with domestic and foreign economic and trade groups to promote the 2050 net-zero carbon emissions climate goal, hereby formulates the CTBC Holding Economic and Trade Organization Participation Management Policy (hereinafter, “this Policy”).

This Policy refers to “CTBC Holding’s Sustainable Finance Statement” and “Sustainable Management Policy.” It provides the basis for the Company to participate in the public affairs of economic and trade organizations and to evaluate such participation in order to implement sustainable strategies and development goals.

Article 2 Definition

The economic and trade organizations referred to in this Policy include domestic and foreign economic- and trade-related profit and nonprofit organizations and groups.

Article 3 Scope

This scope of this Policy includes the Company and its subsidiaries. Subsidiaries may formulate their own regulations as needed.

Article 4 Responsible unit

The unit responsible for this Policy is the Corporate Relations Department, which is responsible for the formulation and revision of policies as well as for coordinating the management and implementation of matters related to economic and trade organizations and public affairs.

Article 5 Implementation principles

1. Whether to participate in an economic and trade organization shall be determined according to



the nature of the organization and the business needs of the Company.

2. Membership dues, conference fees, and donations and sponsorships of economic and trade organizations shall be handled according to internal regulations.
3. Attendance at economic and trade organization meetings and activities shall be determined based on their importance and relevance to Company business.

Article 6 Participation principles

1. Exchanges and cooperation with domestic and foreign economic and trade organizations shall comply with the principles of openness, transparency and reciprocity; integrate the strengths of the business community; be in line with domestic and foreign economic and trade policy trends; build multilateral economic and trade cooperation; expand economic and trade relations; and facilitate sustainable growth.
2. Economic and trade organizations, in pursuit of a sustainable business environment for enterprises, shall have environmental sustainability goals aligned with the Paris Agreement and shall agree to assist corporate members in jointly promoting the goal of net-zero emissions by 2050.
3. Economic and trade organizations shall develop public policies addressing both positive and negative impacts on business operations related to the environment, society, and economy. For example, such as policies must support the environmental goals of the Paris Agreement. Organizations shall also establish channels for consultation, communication, and advocacy with economic and trade organization partners, governments, and corporate members. Furthermore, they shall conduct assessments of related risks and opportunities to assist in establishing a sustainable business environment for enterprises.
4. When selecting representatives to participate in economic and trade organizations, consideration shall be given to organizations' internal regulations and relevance to Company business, the importance of maintaining organizational relationships, and representativeness. The selected representatives may participate in the election of organization directors and supervisors and may support organizations through donations or sponsorships in order to promote the goals of this Policy and fulfill the Company's corporate social responsibility.

Article 7 Inspections and evaluations

To ensure that participation in economic and trade organizations complies with the purpose stated in Article 1 of this Policy, the parent company and its subsidiaries shall conduct annual inspections and



evaluations, and submit the relevant evaluation reports to the Chairman of the Company for approval.

The evaluation reports referred to in the preceding paragraph may include negotiation and communication details regarding the Paris Agreement climate goals and an assessment of whether to continue participation in the organization.

Article 8 Regular disclosures

The Company's participation in the public affairs of economic and trade organization is disclosed in both the Chinese and English versions of its annual Sustainability Report, on the corporate sustainability section of its website, and where also designated by the competent authority.

Article 9 Implementation and amendments

This Policy, and any amendments hereto, shall take effect upon approval by the Board of Directors.