

Building a Convolutional Neural Network Model to Identify the American Sign Language (ASL)

Final Project in Deep Learning and Artificial Intelligence Methods

By Pinyapat Manasboonpermpool, Stella Säfström and Vendela Meyerhöffer

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1 Introduction

According to **Human Rights Watch**, more than 70 million deaf people use sign language in order to communicate. Many of them use the American Sign Language alphabet in their communication. In this report, we have chosen to work with the Sign Language MNIST data set, which consists of a set of pictures depicting hand gestures that represent 24 letters of the American Sign Language alphabet. All but two letters of the alphabet are present in the data set. The letters (J=9) and (Z=25) are missing, due to that these letters require hand motions and thus cannot be captured in a single image.

In this report, we aim at correctly classifying the 24 labels (hand gestures/ letters) by building a suitable convolutional neural network. Throughout the project we are continuously tweaking and changing the model to improve the test accuracy. This is an interesting problem, seeing as it could improve the communication between deaf and hard-of-hearing through computer vision applications.

The data consists of 27,455 training cases and 7,172 test cases. The data set is similar to MNIST with a header row for the label and all pixel values ranging from pixel1 to pixel784. These values represent a single 28x28 pixel image in grayscale (thus with values between 0 - 255).



1.1 Method

Convolutional neural networks (CNN) are a special type of neural networks that work especially well in image recognition. In very simple terms, convolutional neural networks are able to identify local features in images, which are combined to output a classification. The first layers of a convolutional neural network are often able to identify low-level features such as edges, circles and shapes. In the next layers more complex features such as eyes, fur and teeth are identified.

The model is able to classify images by using convolutional layers and pooling layers. Convolutional layers search for features and patterns in the images. The layer consists of many convolutional filters (also known as feature detectors) which determine if there is a certain feature in an image. This is done by convolutions. We will not go into the mathematical details of how this works.

The pooling layer condenses large images into smaller versions that summarize its information. The max pooling layer (which we choose to use in this project) does this by taking the maximum valued pixel in each non-overlapping 2x2 block in the image.

We can choose how many convolutional and pooling layers we see fit for our model. There are also several tuning parameters to take into account. We can for instance use dropout learning and regularization methods. In this project we will attempt to tweak and tune a basic CNN model in order to reduce the test loss as much as possible.

(Source: An Introduction to Statistical Learning, 2021)

1.2 Importing the libraries

We begin this project by loading all the necessary libraries for the analysis.

```
[]: from __future__ import absolute_import, division, print_function,__
      →unicode_literals
     import pathlib
     import matplotlib.pyplot as plt
     import pandas as pd
     import seaborn as sns
     import numpy as np
     import keras
     from keras.models import Sequential
     from keras.layers import Dense, Conv2D , MaxPool2D , Flatten , Dropout , u
      →BatchNormalization
     from keras.preprocessing.image import ImageDataGenerator
     from sklearn.model_selection import train_test_split
     from sklearn.metrics import classification_report,confusion_matrix,,,
      →accuracy_score
     from keras.callbacks import ReduceLROnPlateau
```

```
from keras.utils.np_utils import to_categorical
import math

try:
    # %tensorflow_version only exists in Colab.
    %tensorflow_version 2.x
except Exception:
    pass
import tensorflow as tf

from tensorflow import keras
from tensorflow.keras import layers

import logging
logger = tf.get_logger()
logger.setLevel(logging.ERROR)
print(tf.__version__)
```

2.8.0

1.3 Exploring the data

We start the analysis by loading the Sign Language MNIST data into the working directory. The data has already been divided into training and test data sets, so there is no need for us to divide the data ourselves.

```
[]: #Load Data
sign_lang_train = pd.read_csv("sign_mnist_train.csv")
sign_lang_test = pd.read_csv("sign_mnist_test.csv")
```

Next, we take a look at the data types in our training data. We can see that we have one label and 784 pixel values. The data consists of integers of bit size 64.

```
[]: #Explore the type of the data sign_lang_train.dtypes
```

```
[]: label
                  int64
                  int64
     pixel1
     pixel2
                  int64
     pixel3
                  int64
     pixel4
                  int64
                  . . .
                  int64
     pixel780
     pixel781
                  int64
     pixel782
                  int64
```

```
pixe1783 int64
pixe1784 int64
Length: 785, dtype: object

[]: #Observe the training data (27455 , 785)
print(sign_lang_train.head(-5))
sign_lang_train.shape

[27450 rows x 785 columns]

[]: (27455, 785)

[]: #Observe the test data (7172 , 785)
print(sign_lang_test.head(-5))
sign_lang_test.shape
```

[]: (7172, 785)

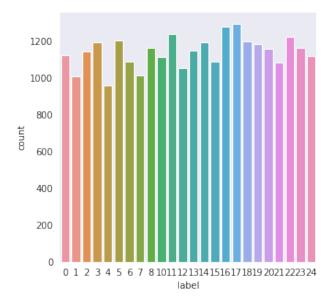
In order to get a better grasp of the data, we plot a histogram to see the overall distribution from both train and test labels.

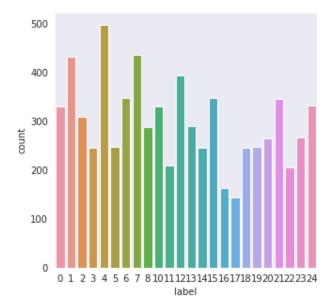
As one can see, each training label is considered to be well-balanced which indicates that there are adequate training examples to build a model. Apart from this, the test label appears to be perfect for further evaluation on a model due to its unbalanced distribution.

```
[]: plt.figure(figsize = (5,5)) # Label Count for Train, quiet well distributed
    sns.set_style("dark")
    sns.countplot(sign_lang_train['label'])

plt.figure(figsize = (5,5)) # Label for Test, quiet unbalanced
    sns.set_style("dark")
    sns.countplot(sign_lang_test['label'])
```

[]: <matplotlib.axes._subplots.AxesSubplot at 0x7f3f531a8550>





```
[]: #Unique to find out the total numbers of layers
labels = ["A", "B", "C", "D", "E", "F", "G", "H", "I", "K", "L", "M", "N", "O",

→"P", "Q", "R", "S", "T", "U", "V", "W", "X", "Y"]
unique_val = sign_lang_train['label']
np.unique(unique_val)
```

[]: array([0, 1, 2, 3, 4, 5, 6, 7, 8, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24])

1.4 Preprocessing the data

In order to perform CNN, we need to normalize, reshape and encode the labels to so called one hot vectors. We also shuffle the training data so that the model cannot learn anything from the order of the data.

1.4.1 Shuffle the training data

We then shuffle the training data to reduce any variances and that they can represent the overall distribution of the data before building the CNN model.

```
[]: sign_lang_train = sign_lang_train.sample(frac = 1)
     sign_lang_train.head()
[]:
                     pixel1
                                       pixel3
                                                pixel4
                                                         pixel5
                                                                  pixel6
                                                                           pixel7
                                                                                     pixel8
             label
                              pixel2
     13049
                 18
                         191
                                  193
                                           195
                                                    198
                                                             200
                                                                      201
                                                                               204
                                                                                        205
     25786
                  4
                         194
                                  194
                                           196
                                                    197
                                                             198
                                                                      198
                                                                               199
                                                                                        199
                 12
     2372
                         175
                                  176
                                           176
                                                    176
                                                             175
                                                                      176
                                                                               177
                                                                                        174
                  2
                                  174
                                                                               174
     13225
                         173
                                           173
                                                    173
                                                             174
                                                                      174
                                                                                        173
                 22
     3578
                         101
                                  117
                                           131
                                                    139
                                                             142
                                                                      147
                                                                               155
                                                                                        159
             pixel9
                            pixel775
                                       pixel776
                                                  pixel777
                                                              pixel778
                                                                        pixel779
     13049
                 206
                                  206
                                             246
                                                                    241
                      . . .
                                                        239
                                                                               241
     25786
                 198
                                   18
                                               3
                                                        195
                                                                    252
                                                                               237
                      . . .
     2372
                 173
                                  205
                                             202
                                                        202
                                                                    201
                                                                               201
     13225
                 173
                                  217
                                             216
                                                        216
                                                                    215
                                                                               215
                      . . .
     3578
                                                        107
                 165
                                  110
                                             106
                                                                     81
                                                                                46
             pixel780
                        pixel781
                                   pixel782
                                              pixel783
                                                          pixel784
     13049
                   242
                              242
                                          242
                                                     242
                   238
                              237
                                          236
                                                     236
                                                                236
     25786
     2372
                   199
                              197
                                          196
                                                     194
                                                                193
     13225
                              212
                                                     196
                                                                166
                   214
                                         211
     3578
                    43
                                           27
                                                      31
                                                                 29
                               30
```

[5 rows x 785 columns]

1.4.2 One-Hot Encoding

We begin by converting the labels into one-hot vectors. If we fail to do this, the model can incorrectly assume that there is an ordinal relationship between the labels. This can result in poor performance of our model according to **machinelearningmastery.com**. Therefore, we convert the labels into one hot vectors as seen below using the label binarizer function.

```
[]: #Extracting y, i.e. the label values
y_train = sign_lang_train['label'].values
y_test = sign_lang_test['label'].values
print(y_train)
```

```
[18 4 12 ... 19 21 4]
```

```
[]: #Binary Classifer / One hot encoding
     from sklearn.preprocessing import LabelBinarizer
     label_binarizer = LabelBinarizer()
     y_train = label_binarizer.fit_transform(y_train)
     y_test = label_binarizer.fit_transform(y_test)
     print(y_train)
     print(y_test)
    [[0 0 0 ... 0 0 0]]
     [0 0 0 ... 0 0 0]
     [0 0 0 ... 0 0 0]
     [0 0 0 ... 0 0 0]
     [0 0 0 ... 0 0 0]
     [0 0 0 ... 0 0 0]]
    [[0 \ 0 \ 0 \ \dots \ 0 \ 0]]
     [0 0 0 ... 0 0 0]
     [0 0 0 ... 0 0 0]
     . . .
     [0 0 1 ... 0 0 0]
     [0 0 0 ... 0 0 0]
     [0 0 1 ... 0 0 0]]
[]: #Number of labels
     num_labels = y_train.shape[1]
     print(f"There are {num_labels} labels in the dataset")
```

There are 24 labels in the dataset

1.4.3 Normalization and reshaping

Next, we normalize the training and test data. We do this in order to make the algorithm converge faster.

Simultaneously we reshape the data into 28x28x1 matrices.

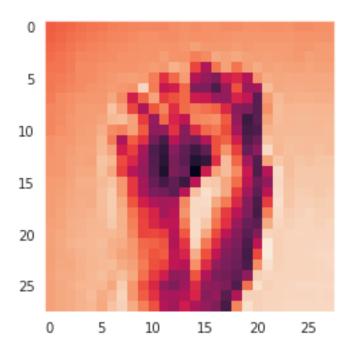
```
[]: (27455, 28, 28, 1)
```

1.5 Examples of pictures in the data

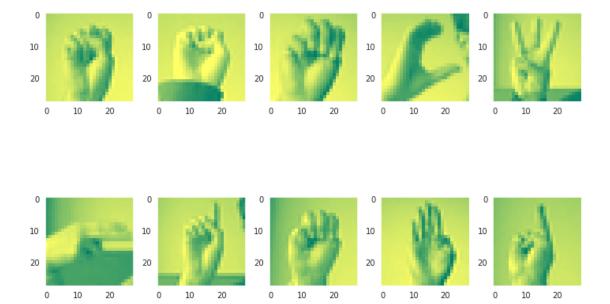
We can also take a look at some examples of pictures in the data.

```
[]: plt.imshow(x_train[0].reshape(28,28))
```

[]: <matplotlib.image.AxesImage at 0x7f3f52ba56d0>



```
[]: #Overview of images
    f, ax = plt.subplots(2,5)
    f.set_size_inches(10, 10)
    z = 0
    for i in range(2):
        for j in range(5):
            ax[i,j].imshow(x_train[z].reshape(28, 28) , cmap = "summer")
            z += 1
        plt.tight_layout()
```



2 Designing model 1

After exploring and pre-processing the data, we are now ready to start designing our Convolutional Neural Network. Below we are building seven models with different tweaks and changes, aiming to find a model that performs the best in terms of validation accuracy (computed on the test data).

We are starting with a rather basic CNN model with two convolutional layers (tf.keras.layers.Conv2D) and 128 neurons in the output layer (tf.keras.layers.Dense). The nodes in the output layer is equal to the number of labels (y) and hence the number of signs/letters.

Apart from this we apply the keras layer tf.keras.layers.MaxPooling2D to the network. Max pooling reduces dimensionality in our data, which also helps to control overfitting. It also makes feature detection scale and orientation invariant. (See Deep Learning Book, chapter 9.3)

We use ReLU as activation function in the hidden layers and softmax in the ouput layer, due to that we want to perform a multiclass classification.

Below the code block, there is a printed summary of the model to show a better overview of the structure of the model in terms of number of parameters and in which layer these are found.

```
tf.keras.layers.Conv2D(64, (3,3), padding = 'same', activation = 'relu'),
    tf.keras.layers.MaxPooling2D((2,2), strides=2),
    tf.keras.layers.Flatten(),
    # Output layer
    tf.keras.layers.Dense(128, activation = 'relu'),
    tf.keras.layers.Dense(num_labels, activation = 'softmax')
])
model.summary()
```

Model: "sequential"

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 28, 28, 32)	320
<pre>max_pooling2d (MaxPooling2D)</pre>	(None, 14, 14, 32)	0
conv2d_1 (Conv2D)	(None, 14, 14, 64)	18496
<pre>max_pooling2d_1 (MaxPooling 2D)</pre>	(None, 7, 7, 64)	0
flatten (Flatten)	(None, 3136)	0
dense (Dense)	(None, 128)	401536
dense_1 (Dense)	(None, 24)	3096
Total params: 423,448 Trainable params: 423,448 Non-trainable params: 0		======

2.1 Compiling the model

Before training the model it needs to be compiled. Here we are setting the optimizer to 'adam', the loss funciton to 'categorical_crossentropy' (which is used for multiclass classification) and the metrics to 'accuracy'. For the latter we use 'accuracy' in order to monitor the extent of which the model predicts images correctly, measured in percentage.

```
[]: model.compile(optimizer = 'adam', loss = 'categorical_crossentropy', metrics = 

→['accuracy'])
```

2.2 Training the model

In the next step, we train the model by calling .fit() which iterate over the entire set of data for a given number of epochs. We have chosen 10 epochs to start off with, however this is a hyperparameter of gradient descent so it might need to be tuned. In general, using more than ten epochs will improve the accuracy since the model then has more iterations to adjust the parameters, and hence, to learn from the data. However, adding epochs is computationally costly so there is always a question of balance between performance and cost.

The full test data is used as validation data, in order to monitor validation loss at the end of each epoch.

accuracy: 1.0000 - val_loss: 0.4256 - val_accuracy: 0.9271

2.3 Evaluating the model

By then calling .evaluate() we can see how well the model performed on the test data in terms of loss and accuracy. As stated below, the validation accuracy is 92.71 %.

2.4 Plotting accuracy and loss

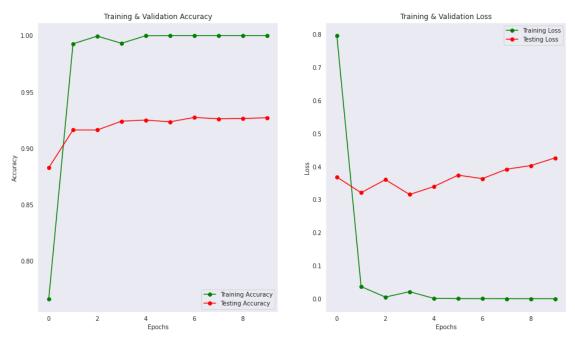
We can now plot the training and validation accuracy (left) as well as the training and validation loss (right) to create a visual presentation of how it evolves over the number of epochs.

```
[]: epochs = [i for i in range(10)]
  fig , ax = plt.subplots(1,2)
  train_acc = history.history['accuracy']
  train_loss = history.history['loss']
  val_acc = history.history['val_accuracy']
  val_loss = history.history['val_loss']
  fig.set_size_inches(16,9)

ax[0].plot(epochs , train_acc , 'go-' , label = 'Training Accuracy')
  ax[0].plot(epochs , val_acc , 'ro-' , label = 'Testing Accuracy')
  ax[0].set_title('Training & Validation Accuracy')
```

```
ax[0].legend()
ax[0].set_xlabel("Epochs")
ax[0].set_ylabel("Accuracy")

ax[1].plot(epochs , train_loss , 'g-o' , label = 'Training Loss')
ax[1].plot(epochs , val_loss , 'r-o' , label = 'Testing Loss')
ax[1].set_title('Training & Validation Loss')
ax[1].legend()
ax[1].set_xlabel("Epochs")
ax[1].set_ylabel("Loss")
plt.show()
```



2.5 Overfitting

Looking at the graphs above, we can see that the model is clearly overfitting. The testing accuracy is well below the training accuracy. When looking at the loss, we can see a huge difference. The loss in the test data is over 35 % while the training loss is close to 0. We need to makes some changes in our model in order to combat this problem.

3 Improving the model

We have now seen that we have an issue regarding overfitting in our model. We thus need to make some changes to our model to combat this issue and also to improve the validation accuracy. In this section we will try to improve the model by adding a batch size, increasing the number of neurons, adding a batch normalization layer, adjusting the learning rate, using the reg-

ularization technique "dropout", data augmentation, increasing the number of epochs and finally by redesigning the model.

3.1 Batch size

In order to try to prevent overfitting and hence improve the validation accuracy, we specify a batch_size inside the .fit() call. This is a hyperparameter of gradient descent, splitting the data into a set of mini-batches and regulates the number of training samples to iterate over before updating the model parameters. This is not to confuse with epochs, which controls the number of **complete** passes through the training dataset.

Popular batch sizes include samples of 32, 64 and 128. To begin with, we are trying with a batch size of 32:

```
[]: model.fit(x_train, y_train, epochs = 10, batch_size = 32, validation_data = __
    \hookrightarrow (x_test, y_test))
   Epoch 10/10
   accuracy: 0.9936 - val_loss: 0.3726 - val_accuracy: 0.9202
[]: <keras.callbacks.History at 0x7f3f4bdc00d0>
[]: print("The accuracy of the model is - ", model.evaluate(x_test,y_test)[1]*100, __
    →"%")
   accuracy: 0.9202
   The accuracy of the model is - 92.02454090118408 \%
   Since specifying a batch size of 32 slightly improves the accuracy from 92.71% to 92.02%, there
   was no significant difference. Thus, we are increasing it to the size of 64 to see the performance:
[]: model.fit(x_train, y_train, epochs = 10, batch_size = 64, validation_data = __
    \hookrightarrow (x_test, y_test))
   Epoch 10/10
   accuracy: 1.0000 - val_loss: 0.3873 - val_accuracy: 0.9340
[]: <keras.callbacks.History at 0x7f3f4bd27750>
[]: print("The accuracy of the model is - ", model.evaluate(x_test,y_test)[1]*100, __
    →"%")
   accuracy: 0.9340
   The accuracy of the model is - 93.40490698814392 %
```

This gave some improvement! The validation accuracy increased from 92.02 % to 93.40 %. Let's try with a batch size of 128:

3.1.1 Conclusion: Batch size

When trying with three different batch sizes (32, 64 and 128), a batch size of 128 generated the best validation accuracy of 93.42 %. We will hence use this setting when moving forward with building the model.

3.2 Increasing the number of neurons

We will now build a similar CNN model, however with an increased number of neurons in the output layer. We are now setting them to the maximum value of 512 in order to increase the depth of the neural network. We are then repeating the same steps as for the previous model in order to obtain the validation accuracy.

Model: "sequential_1"

```
Layer (type) Output Shape Param #

conv2d_2 (Conv2D) (None, 28, 28, 32) 320

max_pooling2d_2 (MaxPooling (None, 14, 14, 32) 0

2D)

conv2d_3 (Conv2D) (None, 14, 14, 64) 18496
```

```
max_pooling2d_3 (MaxPooling (None, 7, 7, 64)
                                            0
    2D)
   flatten_1 (Flatten)
                        (None, 3136)
                                            0
    dense_2 (Dense)
                        (None, 512)
                                            1606144
    dense_3 (Dense)
                        (None, 24)
                                            12312
   _____
   Total params: 1,637,272
   Trainable params: 1,637,272
   Non-trainable params: 0
[]: history1 = model1.fit(x_train, y_train, epochs = 10, batch_size = 128,__
    →validation_data = (x_test, y_test))
   Epoch 10/10
   accuracy: 1.0000 - val_loss: 0.5015 - val_accuracy: 0.9017
[]: print("Accuracy of the model 1 is - ", model1.evaluate(x_test,y_test)[1]*100 ,__
    "%")
   accuracy: 0.9017
   Accuracy of the model 1 is - 90.1701033115387 %
```

3.2.1 Conclusion: Increasing neurons

Increasing the neurons from 128 to 512 does not result in a higher validation accuracy. However, it might increase only the depth of the neural network. We noticed that the result remains high although it appears to be overfitting. We will keep this setting when proceeding with the next model when applying regularization methods to prevent overfitting.

3.3 Adding Batch Normalization layer and controlling learning rate

In the following model, we are using the keras layer tf.keras.layers.BatchNormalization() to our existing convolutional layers. This normalizes the inputs by applying a transformation that will keep the mean output close to zero and the output standard deviation close to 1. Batch normalization can aid the optimization process and increase prediction performance according to Kaggle.com.

Additionally, before training the model, we are specifying a reduced learning rate in order to keep the model from learning patterns in the data too quickly, and hence, to prevent overfitting. The reduced learning rate is added into the .fit() call using the callbacks input.

Again, we are repeating the steps of compiling, training and evaluating the model in order to obtain the validation accuracy.

```
[]: model2 = tf.keras.models.Sequential([
         # Layer 1
         tf.keras.layers.Conv2D(32, (3,3), padding = 'same', input_shape = x_train[0].
      ⇔shape, activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         # Layer 2
         tf.keras.layers.Conv2D(64, (3,3), padding = 'same', activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         tf.keras.layers.Flatten(),
         # Output layer
         tf.keras.layers.Dense(512, activation = 'relu'),
         tf.keras.layers.Dense(num_labels, activation = 'softmax')
    ])
    model2.compile(optimizer = 'adam', loss = 'categorical_crossentropy', metrics = __
     →['accuracy'])
     model2.summary()
```

Model: "sequential_2"

Layer (type)	Output Shape	
conv2d_4 (Conv2D)		
<pre>batch_normalization (BatchN ormalization)</pre>	(None, 28, 28, 32)	128
<pre>max_pooling2d_4 (MaxPooling 2D)</pre>	(None, 14, 14, 32)	0
conv2d_5 (Conv2D)	(None, 14, 14, 64)	18496
<pre>batch_normalization_1 (Batc hNormalization)</pre>	(None, 14, 14, 64)	256
<pre>max_pooling2d_5 (MaxPooling 2D)</pre>	(None, 7, 7, 64)	0
flatten_2 (Flatten)	(None, 3136)	0
dense_4 (Dense)	(None, 512)	1606144
dense_5 (Dense)	(None, 24)	12312

Total params: 1,637,656 Trainable params: 1,637,464 Non-trainable params: 192

Before applying the learning rate, we observed the performance without the learning but applied only the batch normalization in the network in order to first see what this does to the performance.

```
[]: history2_nolr = model2.fit(x_train, y_train, epochs = 10, batch_size = 128,__

validation_data = (x_test, y_test)) #93.43
```

The result appears that there was some improvement which next we will apply the learning rate to see whether the accuracy can be enhanced.

Reduce learning rate is applied to assist a metric has stopped improving. This part we experiment by monitoring the validation accuracy.

3.3.1 Conclusion: Learning rate

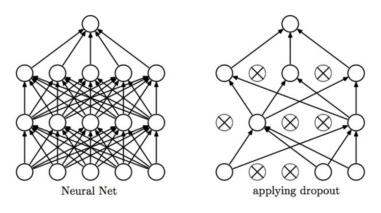
It turns out that the decreased learning rate is improving the performance to the model providing the accuracy at 94.03%.

3.4 Dropout (Regurarization)

Overfitting is an unneglectable problem in deep CNNs, which can be effectively reduced by regularization. In this section, we introduce an effective regularization technique: Dropout.

For our next model, we will try to improve the validation accuracy further by adding the keras layer tf.keras.layers.Dropout(). Dropout is a regularization technique to handle overfitting,

where random neurons are "ignored" during the training process. As a result, the other neurons have to make up for the (temporarily) missing neurons, which makes the network become less sensitive to the specific weights of neurons. In this case, dropout is implemented by randomly selecting nodes to be dropped-out with a given probability of 20% each weight update cycle.



```
[]: model3 = tf.keras.models.Sequential([
         # Layer 1
         tf.keras.layers.Conv2D(32, (3,3), padding = 'same', input_shape = x_train[0].
      ⇔shape, activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         # Layer 2
         tf.keras.layers.Conv2D(64, (3,3), padding = 'same', activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Flatten(),
         # Output layer
         tf.keras.layers.Dense(512, activation = 'relu'),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Dense(num_labels, activation = 'softmax')
     model3.compile(optimizer = 'adam', loss = 'categorical_crossentropy', metrics = __
      →['accuracy'])
     model3.summary()
```

Model: "sequential_5"

Layer (type)	Output Shape	Param #
conv2d_10 (Conv2D)	(None, 28, 28, 32)	320
<pre>batch_normalization_6 (Batching hyperbolic particle)</pre>	(None, 28, 28, 32)	128

```
max_pooling2d_10 (MaxPoolin (None, 14, 14, 32)
                                                0
    g2D)
    conv2d_11 (Conv2D)
                           (None, 14, 14, 64)
                                                18496
    batch_normalization_7 (Batc (None, 14, 14, 64)
                                                256
    hNormalization)
    max_pooling2d_11 (MaxPoolin (None, 7, 7, 64)
                                                0
    dropout_2 (Dropout)
                           (None, 7, 7, 64)
                                                0
    flatten_5 (Flatten)
                           (None, 3136)
    dense_10 (Dense)
                           (None, 512)
                                                1606144
    dropout_3 (Dropout)
                           (None, 512)
    dense_11 (Dense)
                           (None, 24)
                                                12312
   Total params: 1,637,656
   Trainable params: 1,637,464
   Non-trainable params: 192
    ._____
[]: history3 = model3.fit(x_train, y_train, epochs = 10, batch_size = 128,__
    -validation_data = (x_test, y_test), callbacks = [learning_rate_reduction])
   Epoch 10/10
   accuracy: 1.0000 - val_loss: 0.3328 - val_accuracy: 0.9374 - lr: 5.0000e-04
[]: print("Accuracy of the model 3 is - ", model3.evaluate(x_test,y_test)[1]*100, __
    "%")
   accuracy: 0.9374
   Accuracy of the model 3 is - 93.7395453453064 %
```

3.4.1 Conclusion: Drop out

We can see that using the dropout technique on the output layer is not improving the validation accuracy. However, we will keep this regularization in our model and apply the optimization method data augmentation to see if we can arrive at any improvement.

3.5 Data Augmentation (Optimization)

])

Data augmentation consists in transforming the available data into new data without altering their nature. Data augmentation is particularly effective for object recognition.

As sometimes, the Neural Network model may memorize the images in the training set and may not be able to recognize the input of the test set. Data augmentation is one of the methods to prevent the overfitting as it can transform by many features such as sampling, mirroring, flipping, translation, rotation, changing brightness, adding noises etc. to make the images more generalized as some new images. As a result, our model can learn to understand the images from the training set and apply to any new sets rather than memorizing.

```
[]: datagen = tf.keras.preprocessing.image.ImageDataGenerator(
                                                            featurewise_center=False, # set input mean to 0
                featurewise_center=False,
                                                       over the dataset
                samplewise_center=False,
                                                            samplewise_center=False, # set each sample
                featurewise_std_normalization=False, mean to 0
                samplewise_std_normalization=False,
                                                            featurewise_std_normalization=False, # divide
                zca_whitening=False,
                                                       inputs by std of the dataset
                                                            samplewise std normalization=False, # divide
                rotation_range= 10,
                                                       each input by its std
                width_shift_range=0.0,
                                                            zca_whitening=False, # apply ZCA whitening
               height_shift_range=0.0,
                                                            rotation_range=10, # randomly rotate images in
                brightness_range=None,
                                                       the range (degrees, 0 to 180)
                shear_range=0.0,
                                                            zoom_range = 0.1, # Randomly zoom image
                                                            width_shift_range=0.1, # randomly shift images
                zoom_range=0.1,
                                                       horizontally (fraction of total width)
                fill_mode='nearest',
               horizontal_flip=False, vertical_flip=Falseeight_shift_range=0.1, # randomly shift images
                                                       vertically (fraction of total height)
     )
                                                            horizontal_flip=False, # randomly flip images
                                                            vertical_flip=False) # randomly flip images
     datagen.fit(x_train)
[]: model4 = tf.keras.models.Sequential([
         # Layer 1
         tf.keras.layers.Conv2D(32, (3,3), padding = 'same', input_shape = x_train[0].
      ⇒shape, activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         # Layer 2
         tf.keras.layers.Conv2D(64, (3,3), padding = 'same', activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Flatten(),
         # Output layer
         tf.keras.layers.Dense(512, activation = 'relu'),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Dense(num_labels, activation = 'softmax')
```

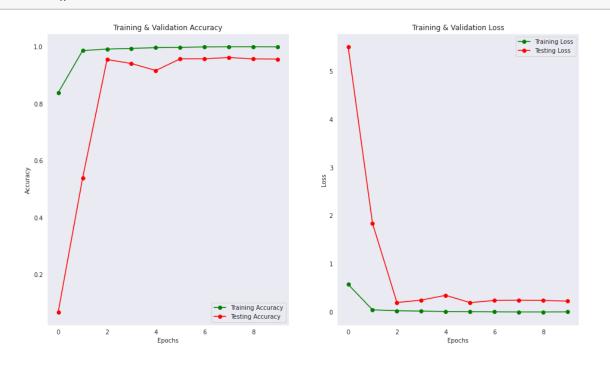
Model: "sequential_7"

Layer (type)	1 1	Param #
conv2d_14 (Conv2D)		320
<pre>batch_normalization_10 (Bat chNormalization)</pre>	(None, 28, 28, 32)	128
<pre>max_pooling2d_14 (MaxPoolin g2D)</pre>	(None, 14, 14, 32)	0
conv2d_15 (Conv2D)	(None, 14, 14, 64)	18496
<pre>batch_normalization_11 (Bat chNormalization)</pre>	(None, 14, 14, 64)	256
<pre>max_pooling2d_15 (MaxPoolin g2D)</pre>	(None, 7, 7, 64)	0
dropout_5 (Dropout)	(None, 7, 7, 64)	0
flatten_7 (Flatten)	(None, 3136)	0
dense_14 (Dense)	(None, 512)	1606144
dropout_6 (Dropout)	(None, 512)	0
dense_15 (Dense)	(None, 24)	12312

Total params: 1,637,656 Trainable params: 1,637,464 Non-trainable params: 192

```
[]: history4 = model4.fit(datagen.flow(x_train,y_train, batch_size = 128), epochs = 10, validation_data = (x_test, y_test), callbacks = [learning_rate_reduction])
```

```
[]: print("Accuracy of the model 4 is - ", model4.evaluate(x_test,y_test)[1]*100 ,__
      "%")
    225/225 [======
                          ============ ] - 5s 22ms/step - loss: 0.2261 -
    accuracy: 0.9564
    Accuracy of the model 4 is - 95.63580751419067 %
[]: epochs = [i for i in range(10)]
     fig , ax = plt.subplots(1,2)
     train_acc = history4.history['accuracy']
     train_loss = history4.history['loss']
     val_acc = history4.history['val_accuracy']
     val_loss = history4.history['val_loss']
     fig.set_size_inches(16,9)
     ax[0].plot(epochs , train_acc , 'go-' , label = 'Training Accuracy')
     ax[0].plot(epochs , val_acc , 'ro-' , label = 'Testing Accuracy')
     ax[0].set_title('Training & Validation Accuracy')
     ax[0].legend()
     ax[0].set_xlabel("Epochs")
     ax[0].set_ylabel("Accuracy")
     ax[1].plot(epochs , train_loss , 'g-o' , label = 'Training Loss')
     ax[1].plot(epochs , val_loss , 'r-o' , label = 'Testing Loss')
     ax[1].set_title('Training & Validation Loss')
     ax[1].legend()
     ax[1].set_xlabel("Epochs")
     ax[1].set_ylabel("Loss")
     plt.show()
```



3.5.1 Conclusion: Data augmentation

As a result of applying data augmentation, the accuracy is further improved. Hence, we will keep this setting when building the next model.

3.6 Adjusting the Learning Rate

Next, we aim to monitor the loss rather than the accuracy and set the mode as min so that the learning rate is reduced when the quantity of loss monitored has stopped, min_delta for the threshold for measuring the new optimum and focus on purely significant changes, verbose to 1 to update the messages, factor by which the learning rate will be reduced by and the min_lr to set the lower bound on the learning rate.

With these changes, we aim to see the increase of performance accuracy.

```
[]: #Change the learning rate to monitor loss
learning_rate_reduction_new = ReduceLROnPlateau(monitor='loss', mode = min, 
→min_delta=0.01, patience = 2, verbose=1, factor=0.5, min_lr=0.00001)
```

```
[]: | #Test with new adjustment of learning rate (new) and add drop out
     model5 = tf.keras.models.Sequential([
         # Layer 1
         tf.keras.layers.Conv2D(32, (3,3), padding = 'same', input_shape = x_train[0].
      ⇒shape, activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         tf.keras.layers.Dropout(0.2),
         # Layer 2
         tf.keras.layers.Conv2D(64, (3,3), padding = 'same', activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Flatten(),
         # Output layer
         tf.keras.layers.Dense(512, activation = 'relu'),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Dense(num_labels, activation = 'softmax')
     ])
     model5.compile(optimizer = 'adam', loss = 'categorical_crossentropy', metrics = __
      →['accuracy'])
     model5.summary()
```

```
Model: "sequential_8"
```

```
conv2d_16 (Conv2D)
                            (None, 28, 28, 32)
                                                   320
    batch_normalization_12 (Bat (None, 28, 28, 32)
                                                   128
    chNormalization)
    max_pooling2d_16 (MaxPoolin (None, 14, 14, 32)
    dropout_7 (Dropout)
                            (None, 14, 14, 32)
    conv2d_17 (Conv2D)
                      (None, 14, 14, 64)
                                                   18496
    batch_normalization_13 (Bat (None, 14, 14, 64)
                                                   256
    chNormalization)
    max_pooling2d_17 (MaxPoolin (None, 7, 7, 64)
    g2D)
    dropout_8 (Dropout)
                            (None, 7, 7, 64)
                                                   0
    flatten_8 (Flatten)
                            (None, 3136)
    dense_16 (Dense)
                            (None, 512)
                                                  1606144
    dropout_9 (Dropout)
                            (None, 512)
    dense_17 (Dense)
                            (None, 24)
                                                   12312
   ______
   Total params: 1,637,656
   Trainable params: 1,637,464
   Non-trainable params: 192
                       _____
[]: history5 = model5.fit(datagen.flow(x_train,y_train, batch_size = 128), epochs = ___
     →10, validation_data = (x_test, y_test), callbacks =_
     →[learning_rate_reduction_new])
   Epoch 10/10
   215/215 [============= ] - 62s 288ms/step - loss: 0.0043 -
   accuracy: 0.9987 - val_loss: 0.1436 - val_accuracy: 0.9644 - lr: 5.0000e-04
[]: print("Accuracy of the model 5 is - ", model5.evaluate(x_test,y_test)[1]*100, __
    →"%")
   accuracy: 0.9644
   Accuracy of the model 5 is - 96.44450545310974 %
```

3.6.1 Conclusion: New Reduced Learning Rate

As a result of applying the new reduced learning rate, the accuracy is further improved. Hence, we will keep this setting when building the next model.

3.7 Increasing the number of Epochs

After having tried different methods and managed to increase the validation accuracy, we will now increase the number of epochs to see if this can improve the accuracy further. With more epochs, the model has more iterations to adjust the parameters and learn from the data. Since adding more epochs is costly, we have chosen to use a lower number of epochs up until now which has given us time to focus on adjusting the model.

Note that too many epochs could cause overfitting, thus we should not increase the number too much.

```
[]: | # Adding epochs to 20 to see the performance of accuracy
     model6 = tf.keras.models.Sequential([
         # Layer 1
         tf.keras.layers.Conv2D(32, (3,3), padding = 'same', input_shape = x_train[0].
      ⇒shape, activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         tf.keras.layers.Dropout(0.2),
         # Layer 2
         tf.keras.layers.Conv2D(64, (3,3), padding = 'same', activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Flatten(),
         # Output layer
         tf.keras.layers.Dense(512, activation = 'relu'),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Dense(num_labels, activation = 'softmax')
     model6.compile(optimizer = 'adam', loss = 'categorical_crossentropy', metrics = __
      →['accuracy'])
     model6.summary()
```

Model: "sequential_9"

Layer (type)	Output Shape	Param #
conv2d_18 (Conv2D)	(None, 28, 28, 32)	320
<pre>batch_normalization_14 (Bat chNormalization)</pre>	(None, 28, 28, 32)	128
max_pooling2d_18 (MaxPoolin	(None, 14, 14, 32)	0

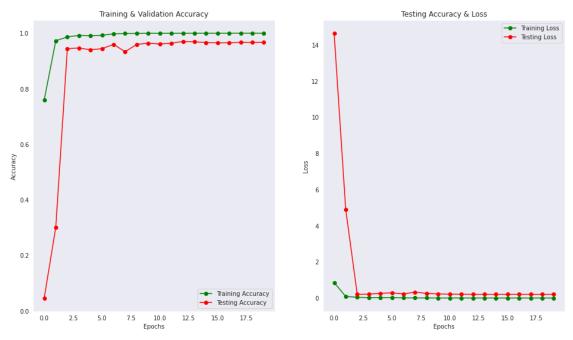
```
dropout_10 (Dropout)
                           (None, 14, 14, 32)
                            (None, 14, 14, 64)
    conv2d_19 (Conv2D)
                                                  18496
    batch_normalization_15 (Bat (None, 14, 14, 64)
                                                  256
    chNormalization)
    max_pooling2d_19 (MaxPoolin (None, 7, 7, 64)
                                                  0
    g2D)
                            (None, 7, 7, 64)
    dropout_11 (Dropout)
                            (None, 3136)
    flatten_9 (Flatten)
    dense_18 (Dense)
                            (None, 512)
                                                 1606144
    dropout_12 (Dropout)
                            (None, 512)
    dense_19 (Dense)
                            (None, 24)
                                                  12312
   Total params: 1,637,656
   Trainable params: 1,637,464
   Non-trainable params: 192
[]: history6 = model6.fit(datagen.flow(x_train,y_train, batch_size = 128), epochs = ___
     →20, validation_data = (x_test, y_test), callbacks =
     → [learning_rate_reduction_new])
   Epoch 20/20
   accuracy: 0.9996 - val_loss: 0.2035 - val_accuracy: 0.9668 - lr: 1.0000e-05
[]: print("Accuracy of the model 6 is - ", model6.evaluate(x_test,y_test)[1]*100, __
    "%")
   accuracy: 0.9668
   Accuracy of the model 6 is - 96.68154120445251 %
[]: epochs = [i for i in range(20)]
    fig , ax = plt.subplots(1,2)
    train_acc = history6.history['accuracy']
    train_loss = history6.history['loss']
    val_acc = history6.history['val_accuracy']
```

g2D)

```
val_loss = history6.history['val_loss']
fig.set_size_inches(16,9)

ax[0].plot(epochs , train_acc , 'go-' , label = 'Training Accuracy')
ax[0].plot(epochs , val_acc , 'ro-' , label = 'Testing Accuracy')
ax[0].set_title('Training & Validation Accuracy')
ax[0].legend()
ax[0].set_xlabel("Epochs")
ax[0].set_ylabel("Accuracy")

ax[1].plot(epochs , train_loss , 'g-o' , label = 'Training Loss')
ax[1].plot(epochs , val_loss , 'r-o' , label = 'Testing Loss')
ax[1].set_title('Testing Accuracy & Loss')
ax[1].set_title('Testing Accuracy & Loss')
ax[1].set_xlabel("Epochs")
ax[1].set_ylabel("Loss")
plt.show()
```



3.7.1 Conclusion: Number of Epochs

As expected, increasing the number of epochs resulted in an improved accuracy (quite significantly even).

3.8 Redesigning the model

In our next model, we are redesigning the CNN model by adding another convolutional layer in order to, again, try to improve the accuracy. As with epochs, adding another layer is costly, and thus, we have chosen to work with a simpler model up until now in order to save time.

We have experimented with different amounts of layers and filters in the model, and found that the following model gives the best result.

```
[]: # Redesign to see the performance of accuracy
     model7 = tf.keras.models.Sequential([
         # Layer 1
         tf.keras.layers.Conv2D(75, (3,3), padding = 'same', input_shape = x_train[0].
      ⇒shape, activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         # Layer 2
         tf.keras.layers.Conv2D(50 , (3,3), padding = 'same', activation = 'relu'),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.MaxPooling2D((2,2), strides=2), #added
         # Layer 3
         tf.keras.layers.Conv2D(25, (3,3), padding = 'same', activation = 'relu'),
         tf.keras.layers.BatchNormalization(),
         tf.keras.layers.MaxPooling2D((2,2), strides=2),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Flatten(),
         # Output layer
         tf.keras.layers.Dense(512, activation = 'relu'),
         tf.keras.layers.Dropout(0.2),
         tf.keras.layers.Dense(num_labels, activation = 'softmax')
     model7.compile(optimizer = 'adam', loss = 'categorical_crossentropy', metrics = __
      →['accuracy'])
     model7.summary()
```

Model: "sequential_10"

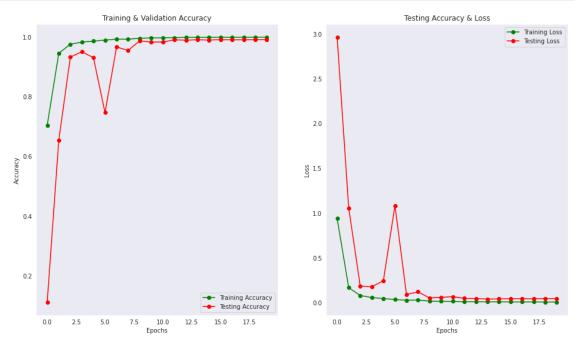
Layer (type)	Output Shape	Param #
conv2d_20 (Conv2D)	(None, 28, 28, 75)	750
<pre>batch_normalization_16 (Bat chNormalization)</pre>	(None, 28, 28, 75)	300
<pre>max_pooling2d_20 (MaxPoolin g2D)</pre>	(None, 14, 14, 75)	0
conv2d_21 (Conv2D)	(None, 14, 14, 50)	33800

```
dropout_13 (Dropout) (None, 14, 14, 50)
    max_pooling2d_21 (MaxPoolin (None, 7, 7, 50)
                                                   0
    g2D)
    conv2d_22 (Conv2D)
                            (None, 7, 7, 25)
                                                   11275
    batch_normalization_17 (Bat (None, 7, 7, 25)
                                                   100
    chNormalization)
    max_pooling2d_22 (MaxPoolin (None, 3, 3, 25)
                                                   0
    g2D)
    dropout_14 (Dropout)
                            (None, 3, 3, 25)
                                                   0
    flatten_10 (Flatten)
                            (None, 225)
    dense_20 (Dense)
                            (None, 512)
                                                  115712
    dropout_15 (Dropout)
                            (None, 512)
    dense_21 (Dense)
                            (None, 24)
                                                   12312
   Total params: 174,249
   Trainable params: 174,049
   Non-trainable params: 200
                  []: history7 = model7.fit(datagen.flow(x_train,y_train, batch_size = 128), epochs = __
     \rightarrow20, validation_data = (x_test, y_test), callbacks =
     →[learning_rate_reduction_new])
   Epoch 20/20
   accuracy: 0.9993 - val_loss: 0.0402 - val_accuracy: 0.9914 - lr: 1.5625e-05
[]: print("Accuracy of the model 7 is - ", model7.evaluate(x_test,y_test)[1]*100 ,__

√ " % " )

   accuracy: 0.9914
   Accuracy of the model 7 is - 99.1355299949646 %
[]: epochs = [i for i in range(20)]
    fig , ax = plt.subplots(1,2)
    train_acc = history7.history['accuracy']
    train_loss = history7.history['loss']
```

```
val_acc = history7.history['val_accuracy']
val_loss = history7.history['val_loss']
fig.set_size_inches(16,9)
ax[0].plot(epochs , train_acc , 'go-' , label = 'Training Accuracy')
ax[0].plot(epochs , val_acc , 'ro-' , label = 'Testing Accuracy')
ax[0].set_title('Training & Validation Accuracy')
ax[0].legend()
ax[0].set_xlabel("Epochs")
ax[0].set_ylabel("Accuracy")
ax[1].plot(epochs , train_loss , 'g-o' , label = 'Training Loss')
ax[1].plot(epochs , val_loss , 'r-o' , label = 'Testing Loss')
ax[1].set_title('Testing Accuracy & Loss')
ax[1].legend()
ax[1].set_xlabel("Epochs")
ax[1].set_ylabel("Loss")
plt.show()
```



3.8.1 Conclusion: Redesigning the model

By adding another layer, the performance in terms of validation accuracy was improved. Since the model now consists of a higher number of parameters (see model summary below) due to the added layer, this was expected.

4 Final Model Evaluation

4.1 Confusion Matrix

In order to evaluate how well our model performs, we construct a confusion matrix. Confusion matrices are used to obtain a summary of prediction results on classification problems. One can observe the number of correct and incorrect predictions with count values and each label is broken down by each label class.

First, we create a new variable called predictions using the np.argmax function to return the indices of the maximum values and obtain an array of new predicted labels based on our selected best model 7.

```
[]: predictions = np.argmax(model7.predict(x_test),axis = 1)

for i in range(len(predictions)):
    if predictions[i] >= 9:
        predictions[i] += 1

predictions[:5]
```

```
[]: array([6, 5, 10, 0, 3])
```

Next, we apply the function of label_binarizer.inverse_transform() to inverse back the labels in y test for inputting into a confusion matrix.

```
[]: y_test_inv_label = label_binarizer.inverse_transform(y_test) #Inverse back y_test_inv_label
```

```
[]: array([6, 5, 10, ..., 2, 4, 2])
```

```
[]: confusion_matrix(predictions, y_test_inv_label)
```

```
[]: array([[331,
                         0,
                                0,
                                      0,
                                             0,
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                                      0,
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```
[]: print("The accuracy from the confusion matrix is - ",⊔

→accuracy_score(y_test_inv_label, predictions)*100, "%")
```

The accuracy from the confusion matrix is - 99.13552704963749 %. Next, we can see_ \rightarrow how well the model redicts the different labels/letters in the data. Here we_ \rightarrow can see that the model has a harder time correctly predicting some of the \rightarrow letters.

```
[]: #Showing how well the model predicts the different labels/letters

print(classification_report(y_test_inv_label, predictions, target_names=labels))
```

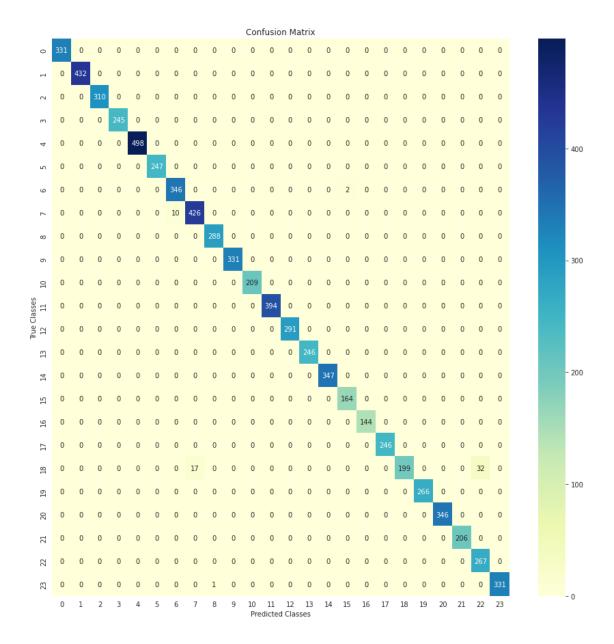
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precision recall f1-score support

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	В	1.00	1.00	1.00	432
	C	1.00	1.00	1.00	310
	D	1.00	1.00	1.00	245
	E	1.00	1.00	1.00	498
	F	1.00	1.00	1.00	247
	G	0.97	0.99	0.98	348
	H	0.96	0.98	0.97	436
	I	1.00	1.00	1.00	288
	K	1.00	1.00	1.00	331
	L	1.00	1.00	1.00	209
	M	1.00	1.00	1.00	394
	N	1.00	1.00	1.00	291
	0	1.00	1.00	1.00	246
	P	1.00	1.00	1.00	347
	Q	0.99	1.00	0.99	164
	R	1.00	1.00	1.00	144
	S	1.00	1.00	1.00	246
	T	1.00	0.80	0.89	248
	U	1.00	1.00	1.00	266
	V	1.00	1.00	1.00	346
	W	1.00	1.00	1.00	206
	X	0.89	1.00	0.94	267
	Y	1.00	1.00	1.00	332
accura	су			0.99	7172
macro a	vg	0.99	0.99	0.99	7172
weighted a	vg	0.99	0.99	0.99	7172

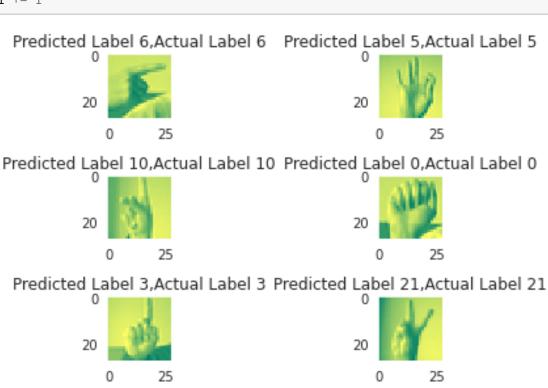
We can also visualize the confusion matrix.

```
[]: #Visualizing the confusion matrix
from sklearn.metrics import confusion_matrix
CM = confusion_matrix(y_test_inv_label, predictions)
plt.figure(figsize = (15,15))
sns.heatmap(CM, annot=True, cmap="YlGnBu", fmt = 'g')
plt.xlabel("Predicted Classes")
plt.ylabel("True Classes")
plt.title("Confusion Matrix")
plt.show()
```



In the confusion matrix above, we can see that each row of the confusion matrix represents the instances of an actual class and each column represents the instances of a predicted class that mostly correct for each label.

4.2 Checking the results



5 Conclusion

In this report we have built a convolutional neural network in order to classify letters of the American Sign Language alphabet. In the original model that we created we spotted an issue regarding overfitting. The model had a high accuracy when using the training data, but a low accuracy when applying it to the test data. Therefore we chose to try to tweak the model in order to handle the overfitting issue. We have learned that optimization such as Data Augmentation is an important method to increase the accuracy of model performance, as well as applying some callback of ReduceLROnPlateau during the stage of model training.

5.0.1 Further research

There are a myriad of ways to construct and change convolutional neural networks to create a better test accuracy. In this report, we have only focused on a few.

In future research, it would be interesting to see how changing the number of filters and hidden layers affect the model. It would also be interesting to use different regularization methods not used here to avoid overfitting. For example, in this case we did not implement the method of early stopping. During the stage of model fitting, one can explore further for the callback which can apply EarlyStopping, LambdaCallback, LearningRateScheduler to see whether these can help to improve the model performance or not. Similarly, other optimization methods can be explored further such as using Weight Initialization or Shortcut Connections.

Furthermore, in terms of the number of epochs this is a hyperparameter that one can tune by tuning the model up until a set amount of epochs can be selected and then plot the training as well as the validation loss against the number of epochs. Additionally, one can further analyse this by using early stopping as call back to monitor the number of epochs.