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# Generative Neural Networks for Kerr Combs

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## Abstract

Light in integrated micro-resonators can lead to highly nonlinear processes and complex optical spectra including frequency combs, instabilities, solitons, and more. Due to the inherent nonlinearity, bistability, and hysteresis of the system, the mapping of desired optical spectral properties to input physical parameters can be very difficult. Existing approaches for retrieving this mapping use traditional optimization or non-generative neural networks, which struggle with multi-solution landscapes. To solve these issues, we frame this inverse design problem as a generative distribution-learning task for the first time. Using conditional variational autoencoders and flow matching models, we generate input parameters and their spectra for high-bandwidth steady-state solitons trained on Lugiato-Lefever equation simulations. Our approach can be applied to experimental data with little modification. Actual experimental conditions for Kerr combs often deviate from theoretical models, making data-driven machine learning approaches particularly promising for applications in spectroscopy, optical communications, and nonlinear optics research.

## 1 Introduction

Kerr micro-resonators can exhibit nonlinear optical phenomena ranging from frequency combs, instabilities, dissipative solitons and more [1–8]. These states are used in spectroscopy, optical frequency metrology, and telecommunications, and are also fundamentally interesting for their novel properties such as soliton crystals [9, 10], quantum dynamics [11, 12] and squeezed light [11, 13–15]. However, the bistability and path-dependent dynamics of Kerr combs can create multi-valued inverse problems that challenge theoretical and numerical studies as well as traditional inverse design methods [16–18]. We demonstrate that generative neural networks can offer a solution to the Kerr comb inverse design problem by learning a probabilistic distribution over input parameters.

We train a conditional variational autoencoder and a conditional flow matching model to generate input parameters (single pump power, dispersion, and laser detuning) and the corresponding optical spectra for high-bandwidth steady-state solitons. Broadband solitons are desirable because many applications rely on access to a wide range of modes [19]. Although trained on simulated data, our method is designed to be adaptable to experimental datasets that can deviate from the known theory. While for simplicity we train a model for the single-pump case [20–25], our method is translatable to multi-pump cases [26–29] which have more realistically tunable experimental parameters and richer optical spectral regimes. This generative approach offers promising applications in spectroscopy, communications, and nonlinear optics research [1, 6, 19].

### 1.1 Kerr combs and Lugiato-Lefever Equation

We use Lugiato–Lefever equation [1–3] (LLE) simulations which can model Kerr combs to create a training dataset. Examples of optical states in Kerr combs modeled by the LLE can be seen in Fig. 1(b), which represents the field intensity in a microring resonator for various optical states. In

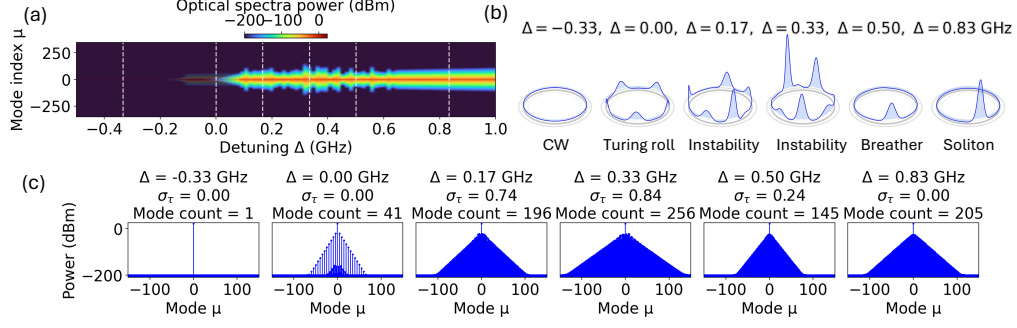


Figure 1: (a) Example of a detuning sweep of an input CW pump (b) The field amplitude in the microring at the detuning values marked by dashed lines in (a). (c) Optical spectra at the same detuning values marked in (a) with corresponding temporal stability measure  $\sigma_\tau$  and mode count (a bandwidth measure).

Fig. 1(b), we depict the continuous-wave (CW) states, Turing roll frequency combs, instabilities, breathers and solitons. These optical states are categorized by their characteristic field profiles (Fig. 1(b)) and by their transient properties, such as whether they are steady states.

The LLE is a driven-dissipative nonlinear partial differential equation given by [1–3]:

$$\frac{\partial A}{\partial \tau} + \sum_n (-i)^n \frac{D_n}{n!} \frac{\partial^n A}{\partial \phi^n} - ig|A|^2 A + \left(\frac{\kappa}{2} + i\Delta\right) A = \sqrt{\eta\kappa}s. \quad (1)$$

Here  $A(\phi, \tau)$  is the complex electric field inside the resonator,  $\phi \in [0, 2\pi)$  is the angular coordinate on the ring, and  $\tau = \frac{\kappa}{2}t$  is the normalized time ( $t$  is the physical time) where  $\kappa$  is a loss term. The terms  $D_n$  describe dispersion coefficients which represent frequency-dependent light propagation in the resonator and  $g$  is the nonlinear Kerr coefficient,  $s$  is the input field amplitude with  $|s|^2 = P/(\hbar\omega_0)$  where  $P$  is the pump power,  $\omega_0$  is the pump frequency and  $\hbar$  is the reduced Planck constant.  $\Delta$  is the detuning which is the frequency mismatch of the laser pump with the natural frequency of the resonator.

In Fig. 1(a), we show the optical spectra as a function of pump detuning. The optical spectra is calculated with the LLE. The detuning  $\Delta$  is swept from blue to red. The order of transitions as the detuning is swept, is: CW  $\rightarrow$  Turing rolls  $\rightarrow$  instabilities  $\rightarrow$  solitons  $\rightarrow$  CW. In Fig. 1(c), we also show the optical spectra of these characteristic phases.

## 1.2 Inverse design using generative modeling

**Inverse design approaches in photonics:** Traditional inverse design or optimization approaches include gradient-based optimization or heuristic algorithms [30–32]. Neural network-assisted methods [32–35] include optimizing on surrogate forward model outputs [36, 37], optimizing on latent spaces of unconditional generative models [38], conditional generative models [39, 40] and more [32–35]. We use conditional generative models as they learn the inverse mapping more directly [41] without requiring an extra optimization step.

**Kerr comb inverse design:** Previous works on Kerr comb inverse design have used genetic algorithms [16–18] and non-generative neural networks for predicting soliton regimes [42], dispersion engineering [16, 43] or as a surrogate forward model [44, 45]. These methods can struggle with the one-to-many inverse mapping inherent in Kerr comb dynamics, where multiple parameter configurations can produce similar states. Generative models offer a promising alternative as they can naturally represent the multi-valued inverse mapping.

**Generative model architectures for inverse design:** Variational autoencoders (VAEs) [46] have been a popular choice for inverse design [38, 39, 47–49] but can produce blurry interpolations [50]. Diffusion models [51–53] have been shown to outperform VAEs [54–56] and can surpass training distribution performance [57, 58]. Meanwhile, flow matching models [59–61] have recently gained popularity in various scientific applications [62–64] as they can have more efficient sampling while

achieving comparable or better performance to diffusion models. In this work, we focus on VAEs for their computational efficiency and flow matching models for their potential generation quality.

**Variational autoencoders:** VAEs [46] are generative models that learn probabilistic latent representations by combining an encoder network  $q(\mathbf{z}|\mathbf{x})$  that approximates the posterior distribution of latent variables  $\mathbf{z}$  given data  $\mathbf{x}$ , and a decoder network  $p(\mathbf{x}|\mathbf{z})$  that reconstructs the data from the latent representation. Both  $q$  and  $p$  can be conditioned on additional information  $y$ , enabling controlled generation. VAEs are trained by maximizing the evidence lower bound, which balances reconstruction accuracy with regularization of the latent space:

$$\mathcal{L}_{\text{VAE}} = \mathbb{E}_{\mathbf{z} \sim q(\mathbf{z}|\mathbf{x}, y)} [-\log p(\mathbf{x}|\mathbf{z}, y) + D_{\text{KL}}(q(\mathbf{z}|\mathbf{x}, y) \| p(\mathbf{z}|y))], \quad (2)$$

where  $D_{\text{KL}}$  denotes the Kullback-Leibler (KL) divergence between distributions.

**Flow matching models:** Flow matching models [59, 65] are generative models that learn to transport samples from a prior distribution to a data distribution via a time-dependent vector field  $v_\theta(\mathbf{x}, t)$  parameterized by a neural network with parameters  $\theta$ . The model defines continuous normalizing flows through ordinary differential equations and is trained in a simulation-free manner by regressing  $v_\theta$  to a target velocity field  $u$ :

$$\mathcal{L}_{\text{FM}} = \mathbb{E}_{t \sim \mathcal{U}[0,1], \mathbf{x}_1 \sim p_{\text{data}}, \mathbf{x}_t \sim p_t(\mathbf{x}_t|\mathbf{x}_1), y} \|v_\theta(\mathbf{x}_t, t, y) - u(\mathbf{x}_t|\mathbf{x}_1, y)\|^2, \quad (3)$$

where  $t \in [0, 1]$  is the flow time,  $\mathbf{x}_1$  denotes data samples,  $\mathbf{x}_t$  represents intermediate states along the flow path, and  $y$  is the conditioning information. In this work, we use a Gaussian prior and the conditional optimal transport path  $p_t(\mathbf{x}_t|\mathbf{x}_1) = \mathcal{N}(t\mathbf{x}_1, (1-t)^2\mathbf{I})$  [59], where  $\mathbf{I}$  is the identity matrix. We condition the flow model on  $y$  to sample from conditional distributions with particular desirable properties.

## 2 Dataset and Methods

**Dataset construction:** We generate a dataset using the open-source LLE solver PyCOrE [66]. Other alternatives include PyLLE [67] and PyGLLE [68]. We create detuning sweeps from  $-500$  MHz to  $1$  GHz in 150 steps, with randomized pump powers between  $0.1$  and  $0.2$  W. We vary the dispersion coefficients within the ranges:  $D_2 \in [20, 40]$  MHz,  $D_3 \in [-20, 20]$  kHz,  $D_4 \in [-2, 2]$  kHz, and  $D_5 \in [-500, 500]$  Hz. We calculate a temporal stability measure  $\sigma_\tau$  at each detuning point, from the normalized temporal variance of the transient dynamics found in the LLE (see Appendix for more details), where  $\sigma_\tau \in [0, 1]$  with  $\sigma_\tau \approx 0$  indicating steady states and larger values indicating increasing instability. A similar measure could be found experimentally from the RF spectra. We calculate bandwidth via mode count, defined as the number of modes in the optical spectra exceeding the noise floor (10th percentile) by 10 dB. We vary the dispersion which influences the nonlinear states and can be readily engineered [20–25]. We include dispersion terms up to quintic order, which is a realistic cutoff with respect to current scientific studies [25].

**Objective:** Our objective is to find the input parameters  $P, D_2, D_3, D_4, D_5, \Delta$  that maximize the steady-state soliton bandwidth. High bandwidth is often desirable in applications such as spectroscopy, communication, and ultrafast light generation [69]. We look for regimes with both low  $\sigma_\tau$  (steady-states) and high mode count (broad bandwidth). Both conditions are necessary for broadband solitons as high mode count alone would include modulation instabilities (which have high  $\sigma_\tau$ ) while low  $\sigma_\tau$  alone would include Turing rolls (which have lower mode count than solitons). For example, in Fig. 1(c), the optical spectra in the 3rd and 4th subpanels from the left show instabilities, and the 6th subpanel shows a soliton. While the instabilities and the soliton spectra are similarly broadband, they differ in their temporal stability measure  $\sigma_\tau$ .

**Conditional generative modeling task:** Our inverse design objective of steady-state soliton bandwidths can be posed as a conditional generative modeling problem:

$$(\sigma_\tau, \text{mode count}) \rightarrow (P, D_2, D_3, D_4, D_5, \Delta, \text{optical spectra}) \quad (4)$$

Here,  $\sigma_\tau$  and mode count (the desired spectral properties) are the conditioning variables. The model then generates both the physical system parameters  $P, D_2, D_3, D_4, D_5, \Delta$  and the optical spectra at those values (which has shape  $700 \times 1$ ). We pick the ranges  $\sigma_\tau < 0.1$  (at or close to steady-state) and mode count  $\in [300, 400]$  (upper range of soliton bandwidths in our dataset). These ranges were selected based on the distribution in our dataset to target high-performing regimes without extrapolating too far beyond training data distribution which may yield unphysical results.

**Model training:** Our models are trained on a 9:1 train-test split of 100 detuning sweeps (150 detuning steps each), yielding 15,000 optical spectra with 700 modes each. For training, physical parameters ( $P, D_2, D_3, D_4, D_5, \Delta$ ) and conditioning variables ( $\sigma_\tau$ , mode count) are globally standardized to zero mean and unit variance, while optical spectra are normalized per detuning sweep. The latter is chosen because we are more interested in the optical phase transitions within a detuning sweep as opposed to how the absolute intensity varies across the dataset. For the VAE, we use a 4-layer encoder-decoder architecture. The encoder takes 700-mode spectra concatenated with 6 parameters ( $P, D_2, D_3, D_4, D_5, \Delta$ ) and maps to a latent space of dimension 32, while the decoder reconstructs both spectrum and parameters. The VAE loss combines spectrum reconstruction (MSE, weight 1), parameter reconstruction (MSE, weight 0.1), and KL divergence (weight  $\beta$ ) where MSE is mean squared error. We give results on  $\beta$  values: 0.5, 1.0, 2.0, and 5.0. Both encoder and decoder are conditioned on mode count and temporal stability  $\sigma_\tau$ . For flow matching, we use a 2D U-Net architecture, which empirically outperformed 1D architectures. The 700 spectral modes are zero-padded and concatenated with 6 parameters (768 total), then reshaped into  $24 \times 32$  tensors for U-Net processing. We condition on mode count and  $\sigma_\tau$  by concatenating them with the time embeddings. We tested standard MSE loss and a peak-preserving L1 loss that applies  $30\times$  weight to spectral peaks ( $> 10\%$  of maximum intensity). We compare three variants: Flow-Small (standard MSE loss), Flow-MSE (standard MSE loss), and Flow-PL (peak loss).

**Generation evaluation methods:** To our knowledge, no prior work addresses generative models for Kerr combs and previous studies on inverse design in Kerr combs focus on slightly different problem formulations [16–18]. We propose several evaluation metrics focusing on distribution matching, conditioning quality, and LLE consistency. We evaluate on a fixed test set subsample of 1000 steady-state spectra detuning slices ( $\sigma_\tau < 0.1$ ) rather than the entire test set as steady-state regimes have less variability and are more relevant for applications. For each of the test subsample entries, we generate one sample conditioned on its mode count and  $\sigma_\tau$  value. We use Wasserstein distance [55, 70] to quantify distributional differences between generated and test sets, computed separately on normalized spectra (flattened across the 1000 test set subsample and 700 modes) and normalized parameters (flattened across the 1000 test set subsample and the 6 parameters:  $P, D_2, D_3, D_4, D_5, \Delta$ ). The Wasserstein distance is the ‘cost’ to transform one distribution into another. For conditioning quality, we calculate the actual mode count of (unnormalized) generated spectra and compare it to the (unnormalized) conditioned target mode count. For LLE consistency, we would ideally reverify the spectra using the LLE of the generated parameters and compare the error. However, this is computationally costly and we instead employ a nearest-neighbor approach. For each generated parameter set, we find its nearest neighbor (by L2 distance in normalized parameter space) from the full test set and compare their spectra using MSE, Pearson correlation, and structural similarity index (SSIM).

### 3 Results

Model	W. Spectra	W. Params	Mode Count Error	LLE MSE	LLE Pearson	LLE SSIM
VAE- $\beta$ 0.5	<b>0.0190</b>	0.245	<b>6.85</b>	0.189	0.909	0.777
VAE- $\beta$ 1.0	0.0275	0.458	7.31	0.169	0.909	0.814
VAE- $\beta$ 2.0	0.0389	0.608	8.12	0.090	0.955	0.834
VAE- $\beta$ 5.0	0.0420	0.626	9.58	0.108	<b>0.959</b>	<b>0.866</b>
Flow-Small	0.0253	0.049	16.2	<b>0.065</b>	0.946	0.229
Flow-PL	0.0380	<b>0.046</b>	15.6	0.116	0.914	0.206
Flow-MSE	0.0398	0.120	15.3	0.126	0.905	0.147

Table 1: VAE and Flow model generation evaluation metrics for various models. ‘W. Spectra’ and ‘W. Params’ are the Wasserstein metric for the spectra and parameters respectively ( $\downarrow$  is better). ‘Mode Count Error’ ( $\downarrow$  is better) is the difference in the unnormalized conditioned mode code and actual mode count of generated spectra. LLE MSE, Pearson and SSIM ( $\downarrow, \uparrow, \uparrow$  is better) are nearest-neighbour LLE metrics.

Evaluation metrics for various conditional VAE and conditional flow matching models are given in Table 1. Both approaches generated physically reasonable spectra, as illustrated in Fig. 2(a-b). For the VAEs, the small  $\beta = 0.5$  model learned the spectral distribution well but showed higher nearest-neighbour LLE errors compared to larger  $\beta$  values, whereas for higher  $\beta$  the converse was

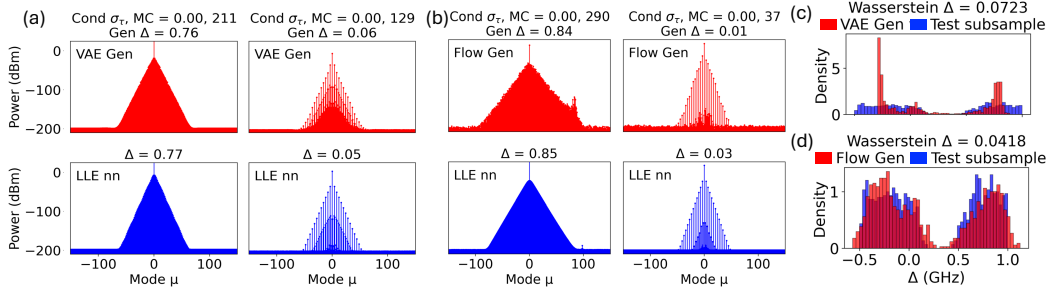


Figure 2: (a-b) Generated examples for VAE and flow models (red) with LLE nearest-neighbour comparison (blue). (c-d) Detuning distribution for VAE and flow generations (red) compared to test subsample of steady-states (blue). ‘Cond  $\sigma_\tau$ , MC’ are the conditional variables  $\sigma_\tau$  and mode count, ‘Gen  $\Delta$ ’ is the unnormalized generated detuning value. The models are VAE- $\beta$ 1.0 and Flow-PL.

true. The flow architectures had comparable results with mixed trade-offs. We note that spectra generated by flow models appeared slightly noisier than VAEs and the small noise did not disappear despite hyperparameter tuning. We hypothesize this may be because the effective data set size may be smaller than the actual data set size, as we noticed that training with a dataset of 1000 detuning sweeps yielded similar performance to 100 sweeps for both the VAE and the flow models. Flow models, being more data-intensive, may be harder to train in this setting. It is possible that using the entire detuning sweep as opposed to detuning slices for model input or incorporating more of the transient information may have led to different performance characteristics between VAE and flow models. The slight noise in the generated spectra of flow models and the tendency for VAE’s to produce smoothed spectra can impact the evaluation metrics differently. For example, SSIM and mode count error penalizes noisy spectra, and the flow models perform noticeably worse in these metrics. However, there are also signs that the flow models may have some better distribution learning properties. While the Wasserstein metric for the spectra is similar across the models, the flow models have better Wasserstein metrics for the parameter distributions. In Fig 2(c-d), we show parameter density histograms for detuning for generated evaluation spectra and the test set subsample. For steady-states, the detuning distribution should be bimodal (for CW, Turing rolls and solitons), and both VAE and flow model show this but the flow model generations match the test set distribution more closely. The VAE models are much more computationally efficient than flow models for both training and sampling.

## 4 Conclusion

In summary, we demonstrate that generative neural networks can effectively be used for multi-objective Kerr comb inverse design. While we use simulation data as a proof of concept, our approach is designed for direct application to experimental datasets and can extend beyond the single-pump regime to multi-pump parameter optimization [26–29]. Application to experimental data is particularly compelling because the LLE often deviates from fabricated devices. Furthermore, experimental data acquisition occurs on faster timescales than LLE simulations [3, 7, 8], potentially alleviating data scarcity concerns that often limit machine learning approaches. Future extensions may include training on full detuning sweeps rather than individual slices (which may incorporate more information on path history [71]), incorporating transient information such as RF spectra [72], and more systematic comparisons with traditional optimization methods or sensitivity analysis. The interpretable latent space of some generative models [73, 74] and simulation-based inference or active learning approaches [75] may also be of interest. Our work establishes both a methodological framework and evaluation metrics for this new generative modeling task. Given recent advances in generative models for scientific applications [41, 57, 58, 62, 63], we believe that generative neural networks are an exciting area of potential for Kerr comb inverse design and nonlinear photonics research and applications.

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## Appendix

### 4.1 Lugiato-Lefever equation details

**Initialize:**

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 $A^{\text{seed}} := \text{seed}(P, \Delta_0) + \text{noise}$ 
for  $i = 0$  to  $N_d - 1$  do
     $\Delta_i := \text{detuning}[i]$ 
     $A^{(i)}(0) := \begin{cases} A^{\text{seed}}, & i = 0 \\ A^{(i-1)}(N_\tau), & i > 0 \end{cases}$ 
    for  $k = 0$  to  $N_\tau - 1$  do
         $A^{(i)}(k+1) := \text{split\_step\_Fourier}(A^{(i)}(k), \Delta_i, D_2, D_3, D_4, D_5, g, \kappa)$ 
         $\text{time\_evolution\_step}[i, k, :] := A^{(i)}(k+1)$ 
    end
     $\text{detuning\_snapshot}[i, :] := A^{(i)}(N_\tau)$ 
end

```

**Algorithm 1:** Lugiato-Lefever equation (LLE) detuning ( $\Delta$ ) sweep pseudocode.

We show the LLE pseudocode in Algorithm 1. Here,  $\text{detuning}$  (shape  $N_d$ ) is the list of detuning values to sweep through,  $A^{(i)}(k)$  (shape  $N_\mu$ ) is the electric field at time step  $k$  and detuning step  $i$ ,  $\text{time\_evolution\_step}$  (shape  $N_d, N_\tau, N_\mu$ ) stores the field evolution across all detuning steps and time, and  $\text{detuning\_snapshot}$  (shape  $N_d, N_\mu$ ) saves only the state at time step  $N_\tau$  for each detuning step. The detuning sweep in Fig. 1(a) shows an example  $\text{detuning\_snapshot}$  array. The initial seed is the steady-state CW solution at  $\Delta_0$  with added noise representing quantum vacuum fluctuations which seeds modulation instability and enables soliton formation. The algorithm evolves the optical field through time steps at each detuning using the split-step Fourier method and uses the final state for some specified time span as the initial condition for the next detuning value. The time evolution at selected detuning values is shown in Fig. 3, which illustrates the temporal characteristics of the Turing rolls, instabilities, breather, and soliton states seen in Fig. 1(b, c). In Fig. 3,  $\sigma_\tau$  is the normalized variance across time steps averaged over all spatial positions. The time steps are down-sampled for plotting but must be sufficiently fine in simulations to capture accurate physics, which is a computational bottleneck. Each detuning sweep in our dataset took 4-5 minutes to generate.

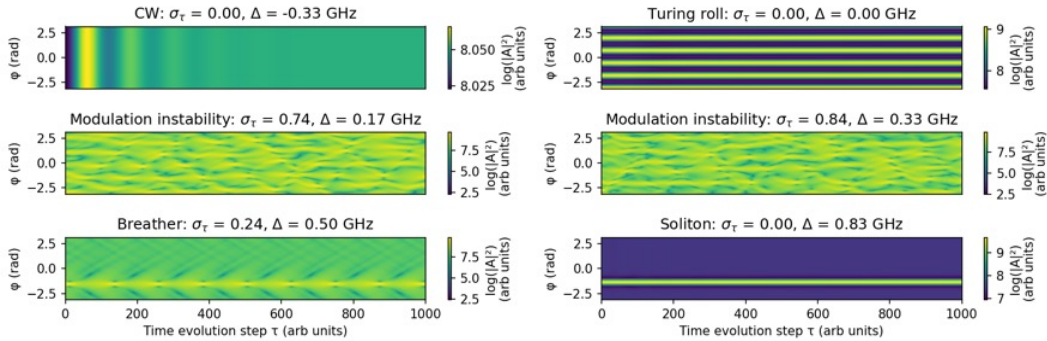


Figure 3: Time-evolution of the detuning snapshots in Fig. 1, showing how  $\sigma_\tau$  (the normalized temporal variance, averaged across spatial domain  $\phi$ ) can capture the instabilities of the time-evolution.

**Other simulation parameters:** For the resonator, we used intrinsic loss rate  $\kappa_0/2\pi = 70\text{MHz}$ , external coupling rate  $\kappa_{\text{ex}}/2\pi = 70\text{MHz}$  (critically coupled), free spectral range  $\text{FSR} = 200\text{GHz}$ ,

pump frequency  $\omega_0/2\pi = 192$  THz, linear refractive index  $n_0 = 1.9$ , Kerr nonlinearity coefficient  $n_2 = 2.0 \times 10^{-19}$  m<sup>2</sup>/W, waveguide cross-section of 1.5  $\mu\text{m}$  width and 0.85  $\mu\text{m}$  height. In Eq. (1),  $\kappa = \kappa_0 + \kappa_{\text{ex}}$  and  $\eta = \kappa_{\text{ex}}/\kappa$ . In the data set, we used a scan time of 1  $\mu\text{s}$  across the whole detuning sweep with a normalized time step  $d\tau = 0.00015$  for the split-step Fourier method.

## 4.2 Model hyperparameters

**VAE models:** All VAE variants use a 4-layer encoder/decoder with hidden dimensions [1024, 512, 256, 128], 0.1 dropout. The VAE is trained with Adam optimizer (learning rate =  $10^{-3}$ ) using full-batch updates.

**Flow models:** Flow-Small and Flow-PL use batch size 32 and Flow-MSE uses batch size 128. All use AdamW optimizer (learning rate =  $10^{-4}$ , weight decay =  $10^{-4}$ ). Our implementation is based on Ref. [65].

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