

# Hoare logic: proof-tree style

## 1. Example proof of:

```
{ }  
y := 0;  
z := 1;  
while y  $\neq$  x do  
    y := y + 1;  
    z := z * y  
{ z = x! }
```

## 2. The proof rules.

## Abbreviations:

$W : \text{ while } y \neq x \text{ do } y := y + 1; z := z * y$

$P_{\text{fact}} : y := 0; z := 1; W$

---

$\{ z * (y + 1) = (y + 1)!, y + 1 \geq 0 \} y := y + 1 \{ z * y = y!, y \geq 0 \}$

---

$\{ z = y!, y \geq 0, y \neq x \} y := y + 1 \{ z * y = y!, y \geq 0 \} \quad \{ z * y = y!, y \geq 0 \} z := z * y \{ z = y!, y \geq 0 \}$

---

$\{ z = y!, y \geq 0, y \neq x \} y := y + 1; z := z * y \{ z = y!, y \geq 0 \}$

---

$\{ z = y!, y \geq 0 \} W \{ z = y!, y \geq 0, y = x \}$

---

$\{ z = y!, y \geq 0 \} W \{ z = x! \}$

---

$\{ \} y := 0 \{ y! = 1, y \geq 0 \} \quad \{ y! = 1, y \geq 0 \} z := 1 \{ z = y!, y \geq 0 \}$

---

$\{ \} y := 0; z := 1 \{ z = y!, y \geq 0 \}$

(proof above)  
 $\vdots$   
 $\vdots$   
 $\{ z = y!, y \geq 0 \} W \{ z = x! \}$

---

$\{ \} P_{\text{fact}} \{ z = x! \}$

# Proof rules

$$\frac{\{\eta, B\} C \{\eta\}}{\{\eta\} \text{while } B \text{ do } C \{\eta, \neg B\}} \text{ (partial while)}$$

$$\frac{\{\phi\} C_1 \{\eta\} \quad \{\eta\} C_2 \{\psi\}}{\{\phi\} C_1 ; C_2 \{\psi\}} \text{ (composition)}$$

$$\frac{}{\{\phi[E/x]\} x := E \{\phi\}} \text{ (assignment)}$$

$$\frac{}{\{\phi\} \text{skip} \{\phi\}} \text{ (skip)}$$

$$\frac{\{\eta, B\} C_1 \{\psi\} \quad \{\eta, \neg B\} C_2 \{\psi\}}{\{\eta\} \text{ if } B \text{ then } C_1 \text{ else ' } C_2 \{\psi\}} \text{ (conditional)}$$

$$\frac{\{\phi'\} C \{\psi'\}}{\{\phi\} C \{\psi\}} \text{ (consequence)}^*$$

\* The side-condition for the consequence rule is that the implications  $\phi \rightarrow \phi'$  and  $\psi' \rightarrow \psi$  both express true properties of the integers; i.e.,

$$\mathbb{Z} \models \phi \rightarrow \phi' \quad \text{and} \quad \mathbb{Z} \models \psi' \rightarrow \psi$$

# Tableaux rules

$\{ \psi[E/x] \}$   
 $x := E$   
 $\{ \psi \}$       assignment

$\{ \psi \}$   
**skip**  
 $\{ \psi \}$       skip

$\{ \eta \}$   
**while**  $B$  **do**  
     $\{ \eta, B \}$       do precondition  
     $C$   
     $\{ \eta \}$   
 $\{ \eta, \neg B \}$       partial while

$\{ \phi \}$   
 $\{ \psi \}$       implied  
(if  $\mathbb{Z} \models \phi \rightarrow \psi$ )

```

{  $\phi$  }
if  $B$  then
    {  $\phi, B$  }    then precondition
     $C_1$ 
    {  $\psi$  }
else
    {  $\phi, \neg B$  }  else precondition
     $C_2$ 
    {  $\psi$  }
{  $\psi$  }          if statement

```

# Example tableaux-style proof

```
while  $x \neq y$  do  
  if  $x < y$  then  
     $y := y - x$   
  else  
     $x := x - y$ 
```

# Example tableaux-style proof

$\{x, y > 0, x = x_0, y = y_0\}$

precondition

while  $x \neq y$  do

    if  $x < y$  then

$y := y - x$

    else

$x := x - y$

$\{x = \text{gcd}(x_0, y_0)\}$



# Example tableaux-style proof

$\{ x, y > 0, x = x_0, y = y_0 \}$

precondition

$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$

while  $x \neq y$  do

$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y \}$

do precondition

if  $x < y$  then

$y := y - x$

else

$x := x - y$

$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$

$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$

partial while

$\{ x = \gcd(x_0, y_0) \}$

implied

# Example tableaux-style proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y \}$	then precondition
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y \}$	else precondition
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	partial while
$\{ x = \gcd(x_0, y_0) \}$	implied

# Example tableaux-style proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y \}$	then precondition
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y \}$	else precondition
$\{ x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0) \}$	
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	assignment
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	partial while
$\{ x = \gcd(x_0, y_0) \}$	implied

# Example tableaux-style proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y \}$	then precondition
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y \}$	else precondition
$\{ x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0) \}$	implied
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	assignment
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	partial while
$\{ x = \gcd(x_0, y_0) \}$	implied

# Example tableaux-style proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y \}$	then precondition
$\{ y > x > 0, \gcd(x, y - x) = \gcd(x_0, y_0) \}$	
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	assignment
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y \}$	else precondition
$\{ x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0) \}$	implied
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	assignment
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	partial while
$\{ x = \gcd(x_0, y_0) \}$	implied

# Example tableaux-style proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y \}$	then precondition
$\{ y > x > 0, \gcd(x, y - x) = \gcd(x_0, y_0) \}$	implied
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	assignment
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y \}$	else precondition
$\{ x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0) \}$	implied
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	assignment
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	partial while
$\{ x = \gcd(x_0, y_0) \}$	implied

# Example tableaux-style proof

$\{x, y > 0, x = x_0, y = y_0\}$	precondition
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0)\}$	implied
while $x \neq y$ do	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y\}$	do precondition
if $x < y$ then	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y\}$	then precondition
$\{y > x > 0, \gcd(x, y - x) = \gcd(x_0, y_0)\}$	implied
$y := y - x$	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0)\}$	assignment
else	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y\}$	else precondition
$\{x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0)\}$	implied
$x := x - y$	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0)\}$	assignment
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0)\}$	if statement
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y\}$	partial while
$\{x = \gcd(x_0, y_0)\}$	implied

The four implications needed in the previous proof are:

$$\begin{aligned} & \{ x, y > 0, x = x_0, y = y_0 \} \\ & \Rightarrow \{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0) \} \end{aligned}$$

$$\begin{aligned} & \{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y \} \\ & \Rightarrow \{ y > x > 0, \gcd(x, y - x) = \gcd(x_0, y_0) \} \end{aligned}$$

$$\begin{aligned} & \{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y \} \\ & \Rightarrow \{ x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0) \} \end{aligned}$$

$$\begin{aligned} & \{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \} \\ & \Rightarrow \{ x = \gcd(x_0, y_0) \} \end{aligned}$$

The first and last are trivial.

The second and third are simple propositions in number theory.



# Soundness for partial correctness

$\models_{\text{par}} \{\phi\} C \{\psi\} \Leftrightarrow$  for every state  $s$  satisfying  $\phi$ ,  
if the execution of  $C$  from  $s$  terminates  
then it terminates in a state satisfying  $\psi$

$\vdash_{\text{par}} \{\phi\} C \{\psi\} \Leftrightarrow$  there exists a proof of  $\{\phi\} C \{\psi\}$  using  
the proof rules for partial correctness

**Theorem (Soundness).** If  $\vdash_{\text{par}} \{\phi\} C \{\psi\}$  then  $\models_{\text{par}} \{\phi\} C \{\psi\}$ .  
(Every provable formula is true)

Soundness is proved by showing that each inference rule preserves partial correctness.

The lemma on the next slide establishes this preservation property for the partial-while rule, which is the most interesting case.

**Lemma.** If  $\models_{\text{par}} \{\eta, B\} C \{\eta\}$  then  
 $\models_{\text{par}} \{\eta\} \text{ while } B \text{ do } C \{\eta, \neg B\}$ .

**Proof.** We prove by induction on  $n$  that, for every  $s$  satisfying  $\eta$ , if the execution of `while  $B$  do  $C$`  from  $s$  terminates after  $n$  iterations of the  $C$  loop then it terminates in a state satisfying  $\eta \wedge \neg B$ .

In the case that  $B$  is false in state  $s$ , the execution of the while loop aborts immediately, terminating in state  $s$  itself. By assumption,  $s$  indeed satisfies  $\eta \wedge \neg B$ . This establishes the case  $n = 0$ .

*... continued on next slide*

In the case that  $B$  is true in state  $s$ , the execution of the while loop proceeds as follows. First the command  $C$  is executed. If the execution of  $C$  terminates in some state  $s'$ , then the main while loop is executed again from state  $s'$ .

Suppose the execution of `while  $B$  do  $C$`  from  $s$  terminates after  $n$  iterations of the  $C$  loop. Then  $n > 0$ , the execution of  $C$  from  $s$  terminates in some state  $s'$ , and the execution of `while  $B$  do  $C$`  from  $s'$  terminates after  $n - 1$  further iterations of the  $C$  loop.

By assumption,  $s$  satisfies  $\eta \wedge B$ . Because  $\models_{\text{par}} \{\eta, B\} C \{\eta\}$ , the state  $s'$  satisfies  $\eta$ . By induction hypothesis, the state  $s''$  resulting from the execution of `while  $B$  do  $C$`  from  $s'$  satisfies  $\eta \wedge \neg B$ .

But  $s''$  is the state resulting from the execution of `while  $B$  do  $C$`  from  $s$ . This state indeed satisfies  $\eta \wedge \neg B$  as required.  $\square$

# Hoare logic: total correctness

Proof rule:

$$\frac{\{\eta, B, 0 \leq E = z_0\} C \{\eta, 0 \leq E < z_0\}}{\{\eta, 0 \leq E\} \text{while } B \text{ do } C \{\eta, \neg B\}} \text{ (total while)}$$

$z_0$  is required to be a **fresh** variable.

- ▶ Property  $\eta$  is called the **invariant** for the while loop.
- ▶ Expression  $E$  is called the **variant** for the while loop.

# Hoare logic: total correctness

Tableaux rule:

$$\begin{array}{l} \{ \eta, 0 \leq E \} \\ \text{while } B \text{ do} \\ \quad \{ \eta, B, 0 \leq E = z_0 \} \quad \text{do precondition} \\ \quad C \\ \quad \{ \eta, 0 \leq E < z_0 \} \\ \{ \eta, \neg B \} \quad \text{total while} \end{array}$$

# Soundness for total correctness

$\models_{\text{tot}} \{\phi\} C \{\psi\} \Leftrightarrow$  the execution of  $C$  from any state satisfying  $\phi$  terminates in a state satisfying  $\psi$

$\vdash_{\text{tot}} \{\phi\} C \{\psi\} \Leftrightarrow$  there exists a proof of  $\{\phi\} C \{\psi\}$  using the proof rules for total correctness

**Theorem (Soundness).** If  $\vdash_{\text{tot}} \{\phi\} C \{\psi\}$  then  $\models_{\text{tot}} \{\phi\} C \{\psi\}$ .

Soundness is proved by showing that each inference rule preserves total correctness.

The lemma on the next slide establishes this preservation property for the total-while rule, which is the most interesting case.

**Lemma.** If  $\models_{\text{tot}} \{ \eta, B, 0 \leq E = z_0 \} C \{ \eta, 0 \leq E < z_0 \}$  then  $\models_{\text{tot}} \{ \eta, 0 \leq E \} \text{while } B \text{ do } C \{ \eta, \neg B \}$ .

**Proof.** We prove by induction on  $n$  that, if `while B do C` is executed from any state  $s$  satisfying  $\eta \wedge 0 \leq E$ , with  $E^s = n$ , then execution terminates in a state satisfying  $\eta \wedge \neg B$ . As induction hypothesis, we can assume this is true for every  $n' < n$ .

In the case that  $B$  is false in state  $s$ , the execution of the while loop aborts immediately, terminating in state  $s$  itself. By assumption,  $s$  indeed satisfies  $\eta \wedge \neg B$ .

*... continued on next slide*

In the case that  $B$  is true in state  $s$ , the execution of the while loop proceeds as follows. First the command  $C$  is executed. If the execution of  $C$  terminates in some state  $s'$ , then the main while loop is executed again from state  $s'$ .

As  $z_0$  is fresh, the state  $s[z_0 \mapsto n]$  satisfies  $\eta \wedge B \wedge 0 \leq E = z_0$ . Because  $\models_{\text{tot}} \{ \eta, B, 0 \leq E = z_0 \} C \{ \eta, 0 \leq E < z_0 \}$ , the execution of  $C$  from state  $s[z_0 \mapsto n]$  terminates in some state  $s''$  satisfying  $\eta \wedge 0 \leq E < z_0$ . So  $E^{s''} = n'$  for some  $n' < n$ .

Since  $C$  does not contain  $z_0$ , the execution of  $C$  from  $s$  is the same as its execution from  $s[z_0 \mapsto n]$ , and thus terminates in a state  $s'$  such that  $s'[z_0 \mapsto n] = s''$ . Since  $E$  does not contain  $z_0$ , we have  $E^{s'} = E^{s''} = n' < n$ .

Having executed  $C$  to reach state  $s'$ , the command `while  $B$  do  $C$`  is executed from state  $s'$ . Since  $s'$  satisfies  $\eta \wedge 0 \leq E$ , where  $E^{s'} = n' < n$ , the induction hypothesis yields that execution indeed terminates in a state satisfying  $\eta \wedge \neg B$ , as required.  $\square$



# Example total correctness proof

```
while  $x \neq y$  do  
  if  $x < y$  then  
     $y := y - x$   
  else  
     $x := x - y$ 
```

# Example total correctness proof

$\{ x, y > 0, x = x_0, y = y_0 \}$

precondition

while  $x \neq y$  do

    if  $x < y$  then

$y := y - x$

    else

$x := x - y$

$\{ x = \text{gcd}(x_0, y_0) \}$

# Example total correctness proof

$\{ x, y > 0, x = x_0, y = y_0 \}$

precondition

$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y \}$

while  $x \neq y$  do

$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y, 0 \leq x + y = z_0 \}$

do precondition

if  $x < y$  then

$y := y - x$

else

$x := x - y$

$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$

$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$

$\{ x = \gcd(x_0, y_0) \}$

total while  
implied

# Example total correctness proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y, 0 \leq x + y = z_0 \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y, 0 \leq x + y = z_0 \}$	then precondition
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y, 0 \leq x + y = z_0 \}$	else precondition
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	total while
$\{ x = \gcd(x_0, y_0) \}$	implied

# Example total correctness proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y, 0 \leq x + y = z_0 \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y, 0 \leq x + y = z_0 \}$	then precondition
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y, 0 \leq x + y = z_0 \}$	else precondition
$\{ x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0), 0 \leq x < z_0 \}$	
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	assignment
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	total while
$\{ x = \gcd(x_0, y_0) \}$	implied

# Example total correctness proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y, 0 \leq x + y = z_0 \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y, 0 \leq x + y = z_0 \}$	then precondition
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y, 0 \leq x + y = z_0 \}$	else precondition
$\{ x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0), 0 \leq x < z_0 \}$	implied
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	assignment
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	total while
$\{ x = \gcd(x_0, y_0) \}$	implied

# Example total correctness proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y, 0 \leq x + y = z_0 \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y, 0 \leq x + y = z_0 \}$	then precondition
$\{ y > x > 0, \gcd(x, y - x) = \gcd(x_0, y_0), 0 \leq y < z_0 \}$	
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	assignment
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y, 0 \leq x + y = z_0 \}$	else precondition
$\{ x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0), 0 \leq x < z_0 \}$	implied
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	assignment
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	total while
$\{ x = \gcd(x_0, y_0) \}$	implied

# Example total correctness proof

$\{ x, y > 0, x = x_0, y = y_0 \}$	precondition
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y \}$	
while $x \neq y$ do	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y, 0 \leq x + y = z_0 \}$	do precondition
if $x < y$ then	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y, 0 \leq x + y = z_0 \}$	then precondition
$\{ y > x > 0, \gcd(x, y - x) = \gcd(x_0, y_0), 0 \leq y < z_0 \}$	implied
$y := y - x$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	assignment
else	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y, 0 \leq x + y = z_0 \}$	else precondition
$\{ x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0), 0 \leq x < z_0 \}$	implied
$x := x - y$	
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	assignment
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0 \}$	if statement
$\{ x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y \}$	total while
$\{ x = \gcd(x_0, y_0) \}$	implied



# Example total correctness proof

$\{x, y > 0, x = x_0, y = y_0\}$	precondition
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y\}$	implied
while $x \neq y$ do	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x \neq y, 0 \leq x + y = z_0\}$	do precondition
if $x < y$ then	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x < y, 0 \leq x + y = z_0\}$	then precondition
$\{y > x > 0, \gcd(x, y - x) = \gcd(x_0, y_0), 0 \leq y < z_0\}$	implied
$y := y - x$	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0\}$	assignment
else	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x > y, 0 \leq x + y = z_0\}$	else precondition
$\{x > y > 0, \gcd(x - y, y) = \gcd(x_0, y_0), 0 \leq x < z_0\}$	implied
$x := x - y$	
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0\}$	assignment
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), 0 \leq x + y < z_0\}$	if statement
$\{x, y > 0, \gcd(x, y) = \gcd(x_0, y_0), x = y\}$	total while
$\{x = \gcd(x_0, y_0)\}$	implied

# Completeness for partial correctness

**Theorem (Completeness).** If  $\models_{\text{par}} \{\phi\}C\{\psi\}$  then  $\vdash_{\text{par}} \{\phi\}C\{\psi\}$ .

This theorem is due to Stephen A. Cook.

It is often called a **relative completeness** result because it is relative to an assumed external proof system for establishing the side-conditions of the consequence (implication) rule. Since the side conditions have the form  $\mathbb{Z} \models \phi \rightarrow \psi$ , they are ordinary mathematical statements.

By Gödel's celebrated **incompleteness theorem** for arithmetic, in reality any such external proof system is necessarily incomplete.

We outline the proof of the completeness theorem.

For every command  $C$  and assertion  $\psi$ , define  $\text{wp}(C, \psi)$  by:

$s$  satisfies  $\text{wp}(C, \psi) \Leftrightarrow$  if the execution of  $C$  from  $s$  terminates  
then the resulting state satisfies  $\psi$

**Lemma (expressive completeness).** The property  $\text{wp}(C, \psi)$  can be expressed by a formula in our assertion logic.

**Lemma (weakest precondition).**

1.  $\models_{\text{par}} \{ \text{wp}(C, \psi) \} C \{ \psi \}.$
2. If  $\models_{\text{par}} \{ \phi \} C \{ \psi \}$  then  $\mathbb{Z} \models \phi \rightarrow \text{wp}(C, \psi).$

**Lemma (sequencing).**

1.  $\mathbb{Z} \models \text{wp}(C_1; C_2, \psi) \leftrightarrow \text{wp}(C_1, \text{wp}(C_2, \psi)).$
2. If  $\models_{\text{par}} \{ \phi \} C_1; C_2 \{ \psi \}$  then  $\models_{\text{par}} \{ \phi \} C_1 \{ \text{wp}(C_2, \psi) \}.$

**Proof of completeness.** We prove, by induction on the structure of commands  $C$ , that, for all assertions  $\phi, \psi$ , it holds that  $\models_{\text{par}} \{\phi\} C \{\psi\}$  implies  $\vdash_{\text{par}} \{\phi\} C \{\psi\}$ .

As one illustrative case from the proof, we show that:

$$\models_{\text{par}} \{\phi\} \text{while } B \text{ do } C \{\psi\} \text{ implies } \vdash_{\text{par}} \{\phi\} \text{while } B \text{ do } C \{\psi\},$$

As the induction hypothesis for this case, we have that, for all assertions  $\phi', \psi'$ ,

$$\models_{\text{par}} \{\phi'\} C \{\psi'\} \text{ implies } \vdash_{\text{par}} \{\phi'\} C \{\psi'\}.$$

Suppose then that  $\models_{\text{par}} \{\phi\} W \{\psi\}$ , where  $W$  abbreviates  $\text{while } B \text{ do } C$ .

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We shall show that

1.  $\models_{\text{par}} \{ \text{wp}(W, \psi), B \} C \{ \text{wp}(W, \psi) \}.$
2.  $\mathbb{Z} \models \phi \rightarrow \text{wp}(W, \psi).$
3.  $\mathbb{Z} \models \text{wp}(W, \psi) \wedge \neg B \rightarrow \psi.$

It then follows that we have the proof tree below:

$$\begin{array}{c}
 \text{(from 1, by induction hypothesis)} \\
 \vdots \\
 \frac{\{ \text{wp}(W, \psi), B \} C \{ \text{wp}(W, \psi) \}}{\{ \text{wp}(W, \psi) \} W \{ \text{wp}(W, \psi), \neg B \}} \text{(partial while)} \\
 \frac{\{ \text{wp}(W, \psi) \} W \{ \text{wp}(W, \psi), \neg B \}}{\{ \phi \} W \{ \psi \}} \text{(by 2 and 3)}
 \end{array}$$

It remains to establish 1–3.

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For 1, by the weakest precondition lemma (1), we have

$$\models_{\text{par}} \{ \text{wp}(W, \psi) \} W \{ \psi \} .$$

Whence

$$\models_{\text{par}} \{ \text{wp}(W, \psi), B \} W \{ \psi \} ,$$

which, because  $W$  is the same as  $C; W$  when  $B$  is true, is equivalent to

$$\models_{\text{par}} \{ \text{wp}(W, \psi), B \} C; W \{ \psi \} .$$

Whence, by the sequencing lemma (2), indeed:

$$\models_{\text{par}} \{ \text{wp}(W, \psi), B \} C \{ \text{wp}(W, \psi) \} .$$

*...continued on next slide*

For 2, we have assumed that  $\models_{\text{par}} \{\phi\} W \{\psi\}$ . So, by the weakest precondition lemma (2),  $\mathbb{Z} \models \phi \rightarrow \text{wp}(W, \psi)$ , as required.

For 3, by the weakest precondition lemma (1), we have

$$\models_{\text{par}} \{\text{wp}(W, \psi)\} W \{\psi\} .$$

Whence

$$\models_{\text{par}} \{\text{wp}(W, \psi), \neg B\} W \{\psi\} ,$$

which, because  $W$  is the same as `skip` when  $B$  is false, is equivalent to

$$\models_{\text{par}} \{\text{wp}(W, \psi), \neg B\} \text{skip} \{\psi\} .$$

In other words, indeed,  $\mathbb{Z} \models \text{wp}(W, \psi) \wedge \neg B \rightarrow \psi$ .

□