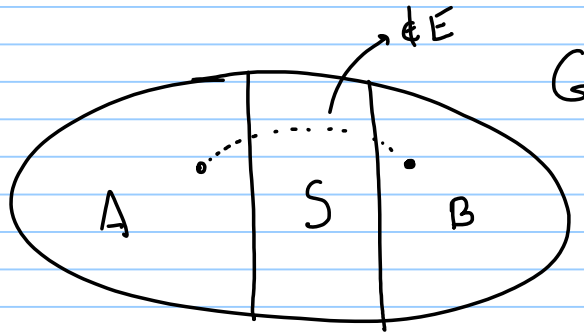
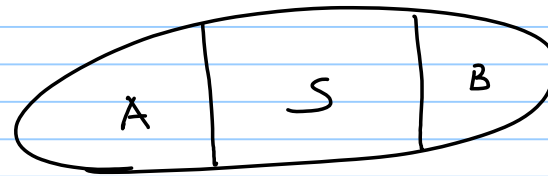
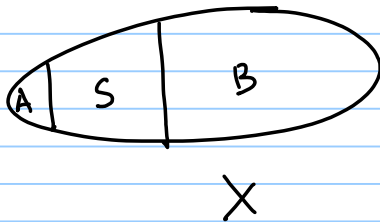


## Local Search.

Separator: Given a graph  $G=(V,E)$ , a separator is a set  $S \subset V$ , such that  $V \setminus S$ ;  $G$  can be split into 2 groups  $A$  &  $B$  such that there is no edge between vertices in  $A$  & vertices in  $B$ .



Balanced Separator: A separator is balanced if  $\exists 0 < c < 1$ .  
 $|A|, |B| \leq c \cdot |V|$ .



By separator, we mean a balanced separator.  
in this lecture.

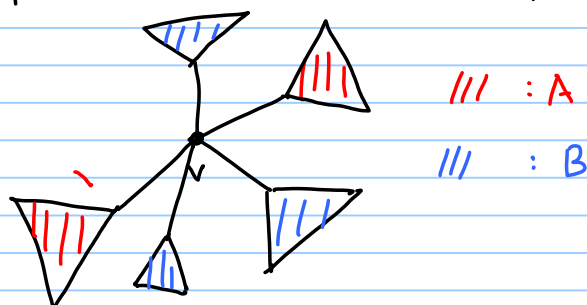
- We are interested in hereditary classes of graphs that admit sub-linear size separators.

A graph class  $\mathcal{C}$  is hereditary if every subgraph of any graph in  $\mathcal{C}$  is also in  $\mathcal{C}$ .

Eg: • Acyclic graphs

$$\exists \delta > 0 : |S| \leq |V|^{1-\delta}$$

Example. For any tree  $T=(V,E)$ , there is a vertex whose removal separates  $T$  into 2 components  $A, B$ :  $|A|, |B| \leq \frac{2}{3}|V|$ .



$$|T_1| \geq |T_2| \geq \dots \geq |T_{\deg(v)}|$$

Suppose:  $|T_i| \leq \frac{1}{3}|V|$

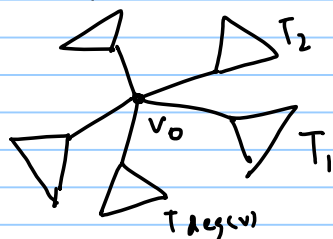
$T_1 \cup T_2 \dots \cup T_l$ , where  $l$  is the smallest index at which

$$\bigcup_{i=1}^l T_i > \frac{2}{3}|V|$$

$$A = \bigcup_{i=1}^{l-1} T_i, \quad B = \bigcup_{i=l}^{\deg(v)} T_i$$

$$\underline{|A| \leq \frac{2}{3}|V|}, \quad \underline{|B| \leq \frac{2}{3}|V|}. \quad (\because |T_i| < \frac{1}{3}|V|)$$

Proof: Start with an arbitrary vertex  $v_0 \in V$

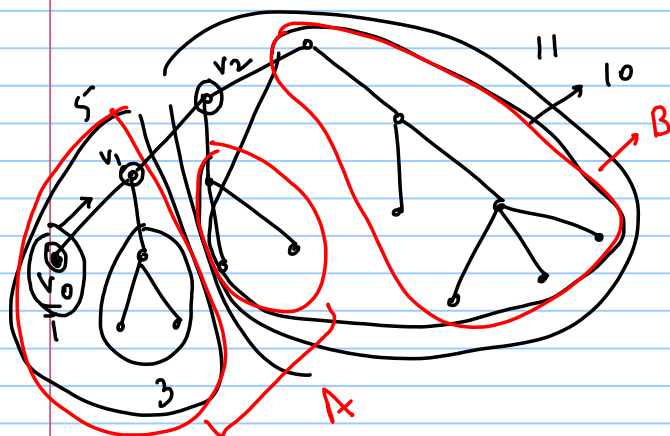


$T \setminus v$ : breaks into  $\leq \deg(v_0)$  sub-trees.

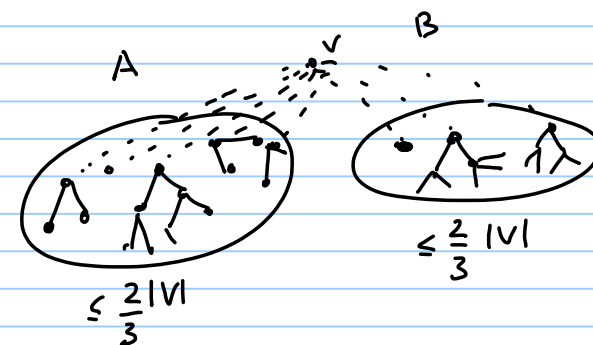
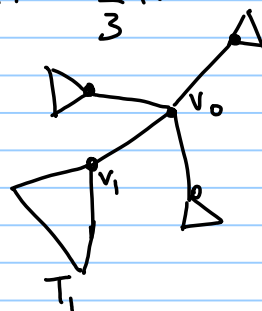
• Suppose  $\frac{|V|}{3} < |T_1| \leq \frac{2|V|}{3}$

$$|T_1| \geq |T_2| \geq \dots \geq |T_{\deg(v)}|$$

$$A = T_1, \quad B = \bigcup_{i=2}^{\deg(v)} T_i$$

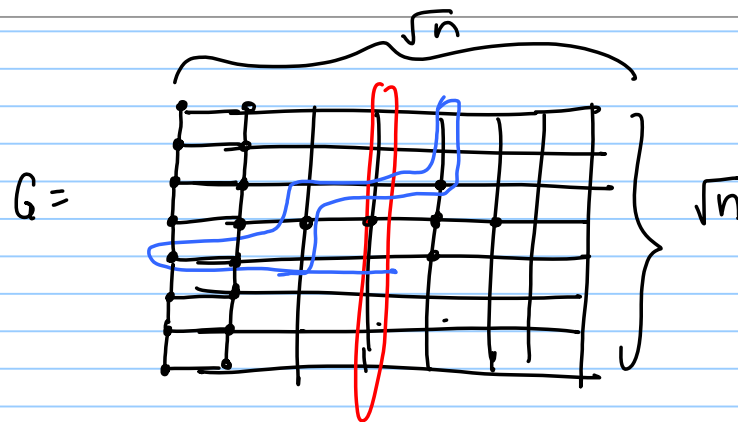


• Suppose  $|T_1| > \frac{2}{3}|V|$ .



- Set  $v_1$  to be the new Candidate Separator.
  - The size of the largest component is monotonically decreasing.
- $\Rightarrow$  We will eventually find a separator vertex.

Example 2:



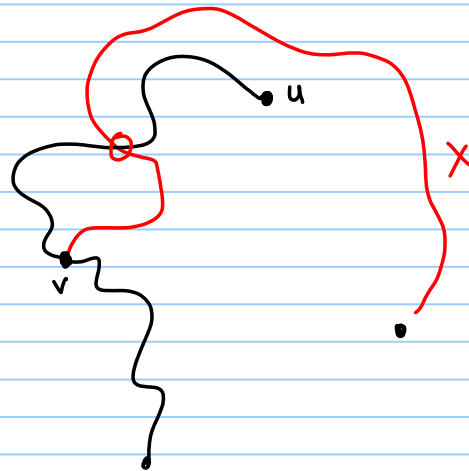
$G$  has  $n$  vertices.

Theorem: There exists a separator of size  $\leq \sqrt{n}$ .

- Does there exist a sep. of size  $o(\sqrt{n})$ ?
- Any separator has size  $\Omega(\sqrt{n})$  for a  $\sqrt{n} \times \sqrt{n}$  grid graph.

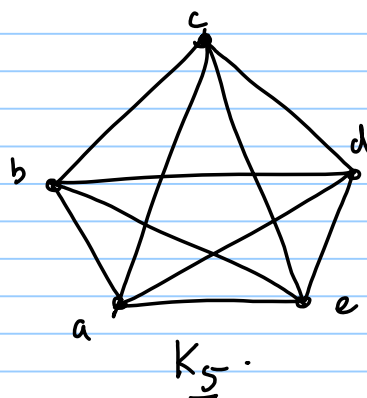
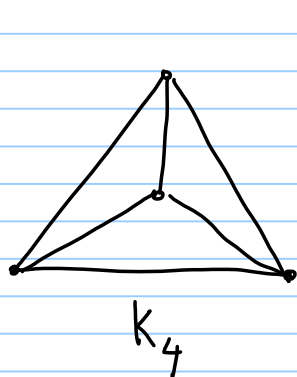
## Planar Graphs:

A graph is planar if it can be drawn in the plane:

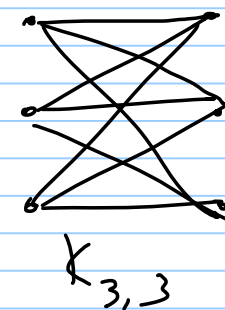
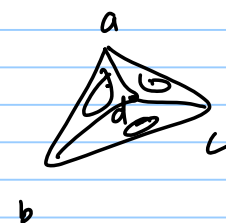


s.t. the vertices are points in  $\mathbb{R}^2$ .

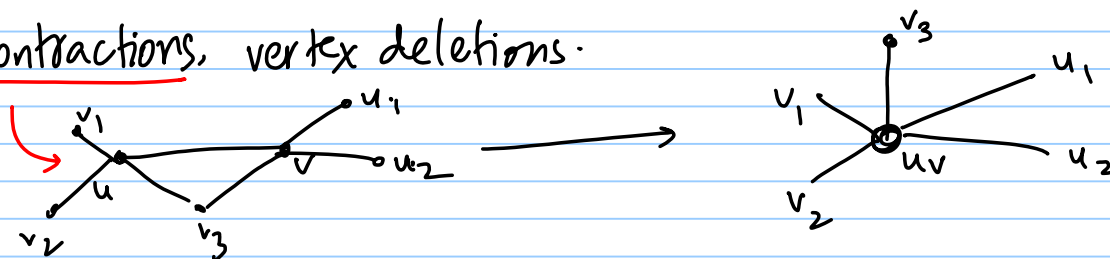
- The edges are continuous curves between the vertices.
- No two edges share a point in their interior.



. Jordan Curve Theorem.



Kuratowski's Theorem: A graph is planar  $\Leftrightarrow$  you cannot obtain  $K_5$ , or  $K_{3,3}$  via a seq. of edge contractions, vertex deletions.

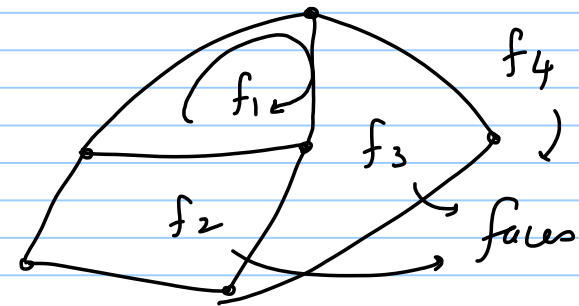




Four Color Theorem [Appel, Haken '71]. <sup>For</sup> every planar graph, we can color the vertices with  $\leq 4$  colors such that for every edge, the colors of its end-points are different

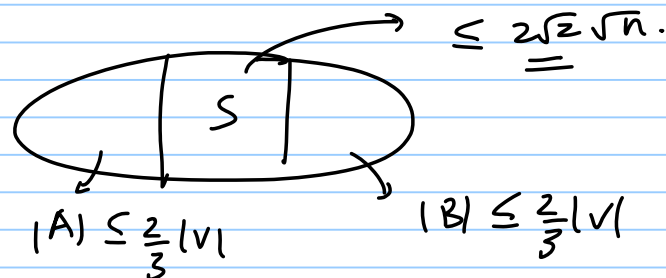
- 1800's . . . . Computer assisted proof.

Euler's Theorem:  $|V| - |E| + |F| = 2$



Planar Separator Theorem: [Lipton, Tarjan '79] Every planar graph admits a separator of size  $O(\sqrt{n})$ .

$$\leq \frac{2\sqrt{2}\sqrt{n}}{\sqrt{6}\sqrt{n}}$$

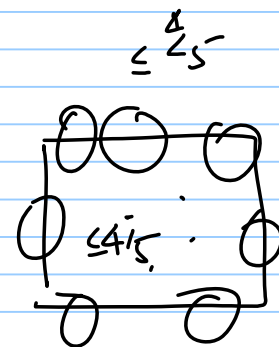


A separator theorem for disjoint disks in  $\mathbb{R}^2$ .

For a set  $\mathcal{D}$  of  $n$  disjoint disks in  $\mathbb{R}^2$ ,  $\exists$  a square  $S$  s.t.

$\text{int}(S)$ ,  $\text{ext}(S) \leq \frac{4}{5}n$  centers of the disks

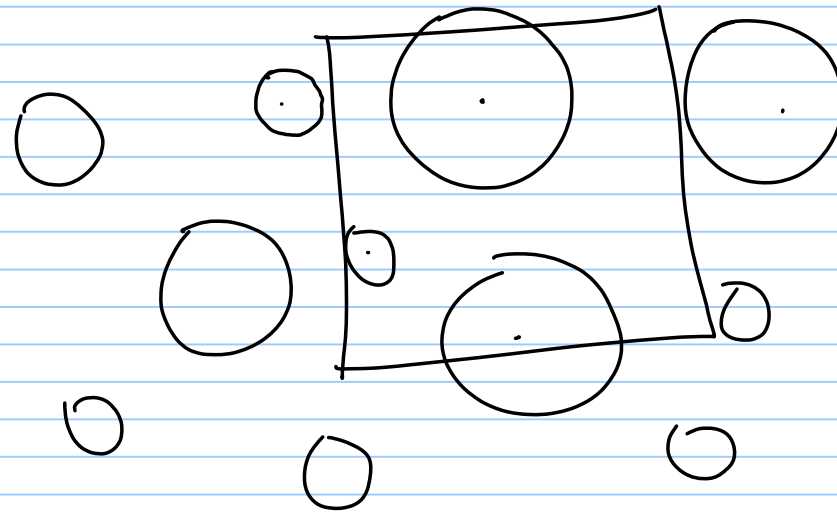
&  $S$  intersects  $O(\sqrt{n})$  disks on its boundary



Theorem [Chan'03, Smith-Wormald'98] Let  $\mathcal{D}$  be a set of  $n$  disjoint disks in  $\mathbb{R}^2$ .

$\exists$  a square  $S$  such that there are at most  $\frac{4n}{5}$  disk centers inside  $S$ .  
 " " " " outside  $S$ .

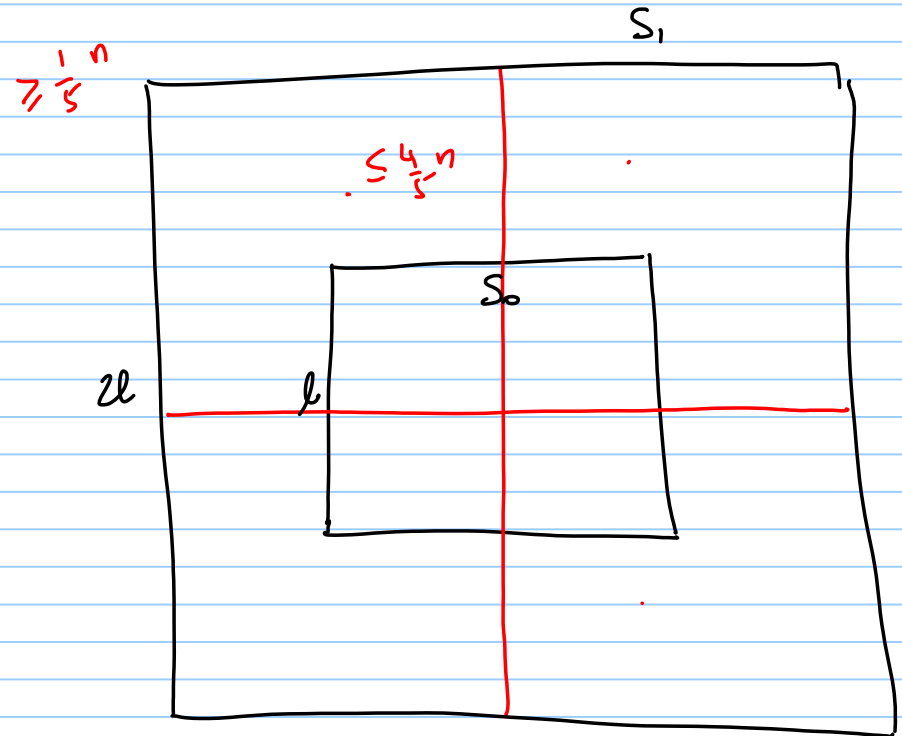
The boundary of  $S$  intersects  $O(\sqrt{n})$  disks.  $\bigcirc$



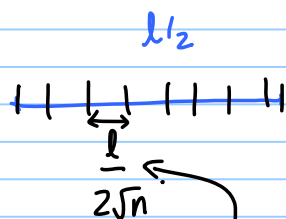
Proof: Let  $S_0$  be the smallest square containing  $\geq \frac{1}{5}$  of the disk centers.

Let  $S_1$  be the square with the same center as  $S_0$  & twice the size.

Obs 1:  $S_1$  contains  $\leq \frac{4}{5}$  of the disk centers.



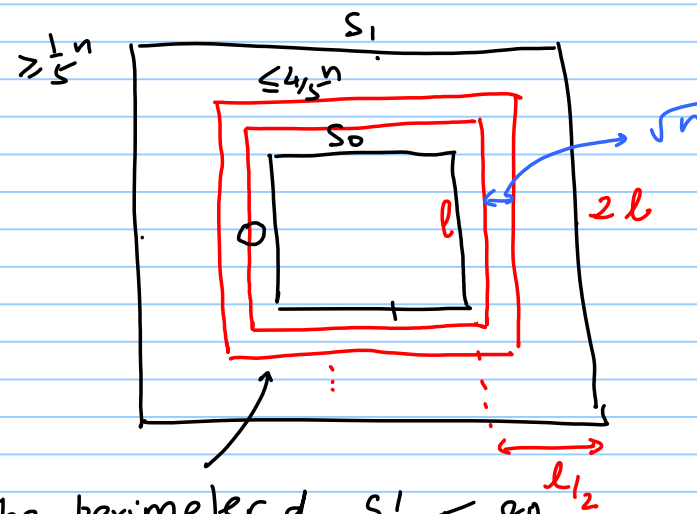
- Add  $\sqrt{n}$  concentric squares between  $S_0$  &  $S_1$ .



D

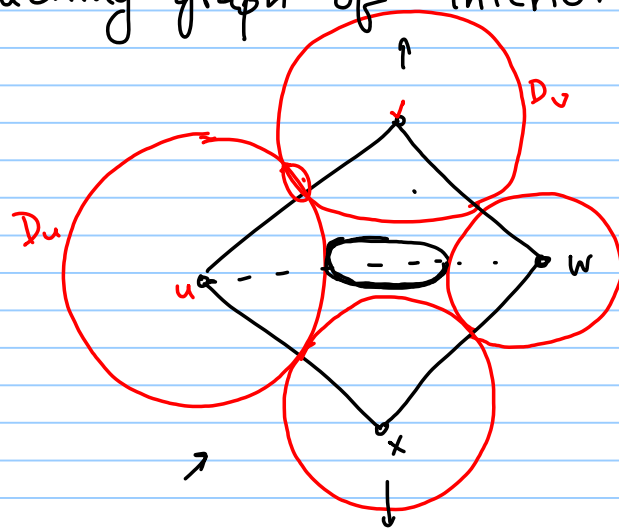
- A disk  $D$  is large if  $\text{diam}(D) \geq \left(\frac{l}{2\sqrt{n}}\right)$
- Otherwise a disk is small.

Claim 1: For any square  $S'$  between  $S_0 \dots S_1$ ,  
# large disks intersecting the boundary of  $S'$  is  $O(\sqrt{n})$ .

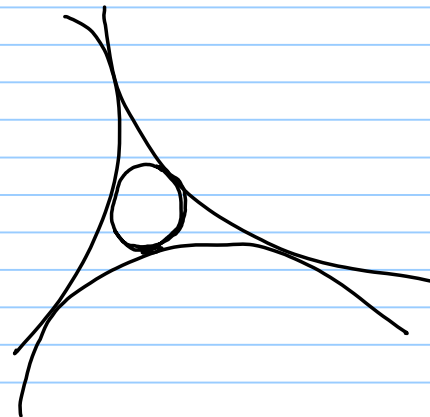


- The perimeter of  $S' \leq 8l$ .
  - A large disk has radius  $\geq \frac{l}{2\sqrt{n}}$ .
- Claim 2: Any small disk intersects the boundary of at most one concentric square.

Theorem: [Koebe-Andr  e-Thurston] Any planar graph can be represented as the touching graph of interior disjoint disks in  $\mathbb{R}^2$ .



→ For each vertex,  $v \in V$ ,  $\exists$  a disk  $D_v$   
s.t.  $D_u$  &  $D_v$  touch iff  $\{u, v\} \in E$ .



Theorem: Every planar graph has a separator of size  $O(\sqrt{n})$  s.t.  
 $|A|, |B| \leq \frac{4}{5} |V|$ .

Pf: Use K.A.T thm  $\rightarrow$  shrink disks slightly to obtain disjoint disks

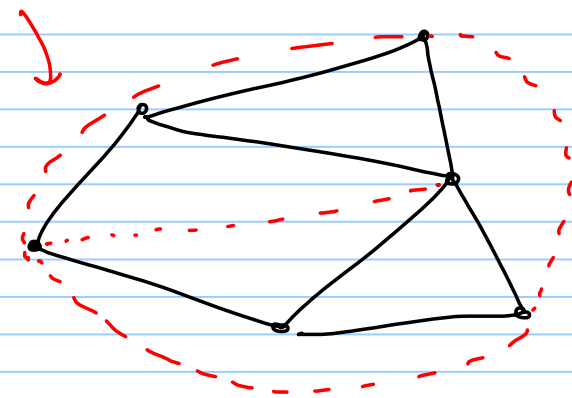
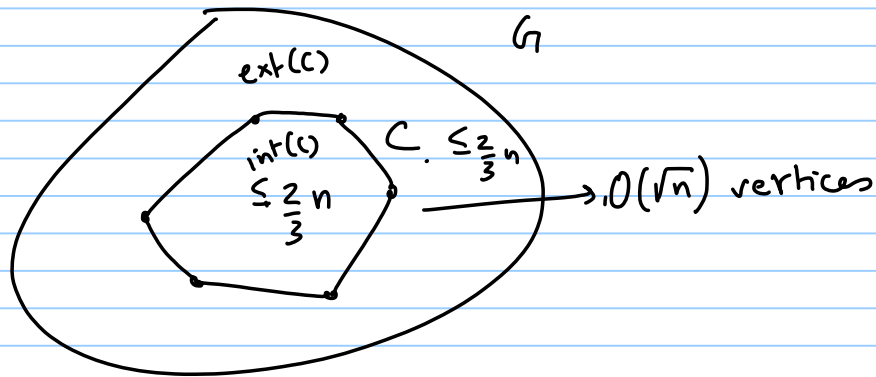
$\downarrow$   
Use the Sep. thm  
for disks.

- Only need to show that the sep. for the disks  
is indeed a sep. for the graph.

\*

[Miller's o's]

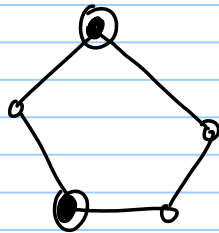
Theorem: Let  $G=(V,E)$  be a triangulated planar graph, then  $\exists$  a sep. that is a simple cycle in  $G$ .





## Independent Sets in planar graphs.

- For a graph  $G=(V,E)$ , a set  $K \subseteq V$  is an independent set- if  $\forall u,v \in K$ ,  $\{u,v\} \notin E$ .



- Maximum Independent set: Find  $K$  of largest size. (MIS)
  - NP-hard even for planar graphs.
  - For general graphs, we cannot obtain a better than  $n^{1/2}$ -approx unless  $P=NP$ .

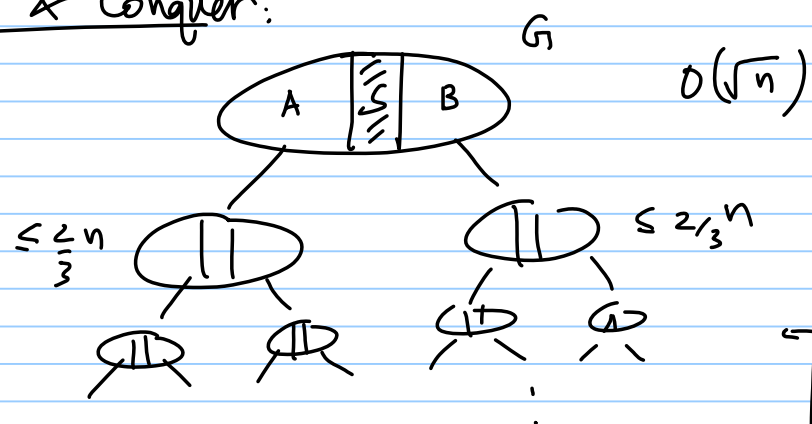
Theorem: There is a PTAS for MIS problem for planar graphs.

↪ Polynomial time approximation scheme.

An alg. is a PTAS if  $\forall \epsilon > 0$ , the alg. returns  
a soln. of value  $\geq (1-\epsilon)OPT$  (max. problem)  
 $\leq (1+\epsilon)OPT$  (min. problem).

The running time:  $O(n^{\text{poly}(1/\epsilon)})$

## Divide & Conquer:

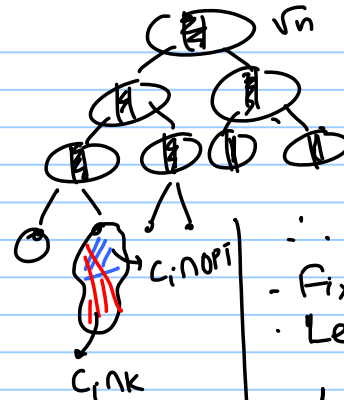


$\frac{1}{\epsilon^2} \leq$  ○ ○ ○ ○ ○ ○  
brute-force

Algorithm: ① while  $\exists$  a <sup>conn.</sup> component <sub>C</sub> of size  $> \frac{1}{\epsilon^2}$ , use the planar sep. thm on C.

- ② For each component, compute MIS by brute force in  $O(2^{1/\epsilon^2})$  time.
- ③ Return the union of the vertices of the independent sets in each component.

Obs 1: The solution returned is an IS.  
(By separator property)



Assume that the total # of vertices  
in the separators over all levels  $\leq \epsilon n$ .

Theorem: The alg. is a  $(1-\epsilon)$ -approx for MIS.

Pf: (1) By the 4-Color thm,  $G$  can be  
colored with  $\leq 4$  colors.

- Each color class is an independent set.

$\therefore$  The largest color class has size  $\geq \frac{n}{4}$ .

~~MIS~~  $\geq \frac{n}{4}$

$\therefore OPT \geq n/4$ . — (1)

- Fix an optimal soln.  $OPT$ .

- Let  $C_1 \dots C_\ell$  be the components  
of size  $\leq \frac{1}{\epsilon^2}$  we obtain.

Let  $OPT_i = C_i \cap OPT$ .

- Let  $K$  be the soln. returned by our alg.

Let  $K_i = C_i \cap K$

Claim:  $|K_i| \geq |OPT_i|$ .

$$\sum_{i=1}^{\ell} |K_i| \geq \sum_{i=1}^{\ell} |OPT_i|$$

$$|OPT| = \sum_{i=1}^l |OPT_i| + |OPT \cap S|$$

the separators at all levels.

$$\leq \sum_{i=1}^l |K_i| + |OPT \cap S|$$

$$\leq \sum_{i=1}^l |K_i| + |S|$$

(We assumed  $|S| \leq \varepsilon n$ )

$$|OPT| \leq |K| + \varepsilon n \quad \left( \text{we know } OPT \geq \frac{n}{4} \Rightarrow 4|OPT| \geq n \right)$$

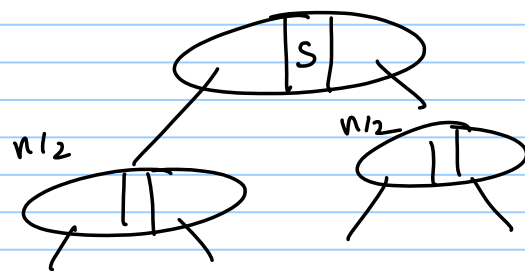
$$\leq |K| + 4\varepsilon |OPT|$$

$$\underline{|OPT| (1 - 4\varepsilon)} \leq |K|.$$

□

$$|S| \leq \varepsilon n.$$

Lemma:  $|S| \leq \varepsilon n$ .



$\sqrt{n}$

$$2 \cdot \sqrt{\frac{n}{2}} = \sqrt{2} n$$

$$2^2 \sqrt{\frac{n}{2^2}} = 2 \sqrt{n}$$

$\vdots$

$$|S| = \sqrt{n} + \sqrt{2} \sqrt{n} + 2\sqrt{n} + \dots$$

$$= \sum_{i=0}^{\log(n\varepsilon^2)} \sqrt{2}^i \sqrt{n}$$

$$\leq \varepsilon n$$

B

We stop when  $\frac{n}{2^i} = \frac{1}{\varepsilon^2} \Rightarrow i = \log(n\varepsilon^2)$