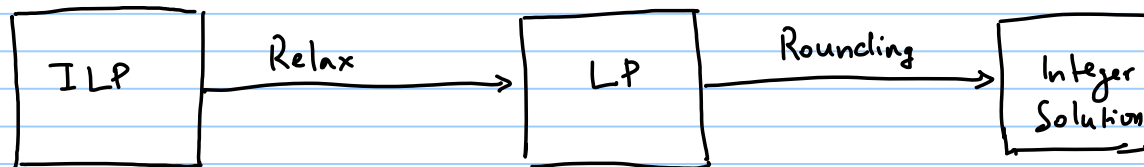
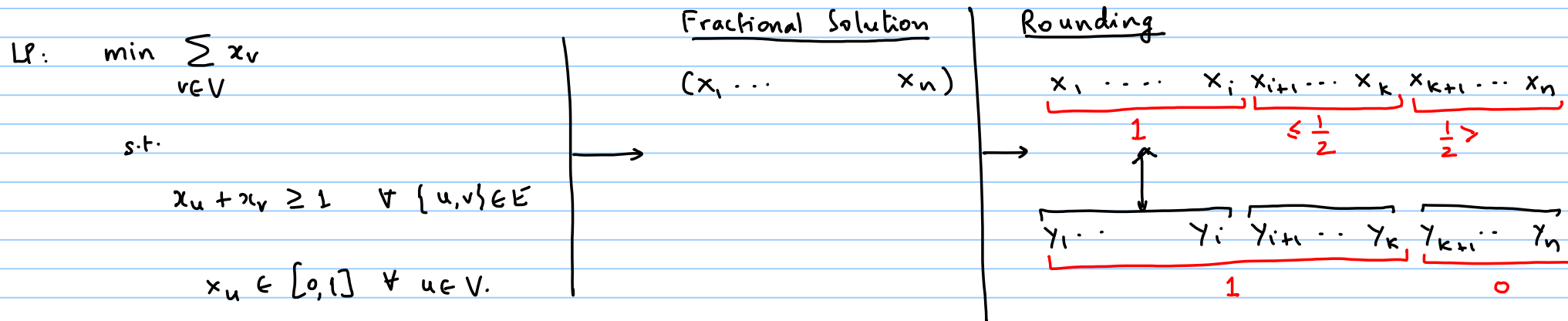


- Linear Programs : They can be solved in polynomial time.
- Many discrete optimization problems: We can formulate the problem as an ILP.
- Approximation algorithms: That run in poly. time & guarantee a quality of solution for all instances of the problem.



Vertex Cover:  $G=(V,E)$ ,  $S \subseteq V$ :  $\forall \{u,v\} \in E$ ,  
 $u \in S, v \in S$  (or both)



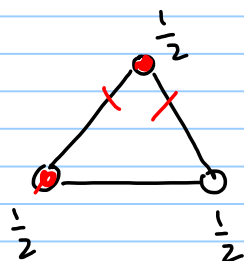
Correctness.

- Look at the constraints & observe that  $x_u + x_v \geq 1$ , either  $x_u$ , or  $x_v \geq \frac{1}{2}$ .
- Therefore, if all  $x_u \geq \frac{1}{2}$  are rounded up to 1, then  $y$  is a feasible solution

Theorem: The rounding algorithm yields a 2-approximation for VC.

Pf:  $S = \{v : y_v = 1\}$

$$\text{cost}(S) = \sum_{v \in V} y_v \leq 2 \cdot \sum_{v \in V} x_v = 2 \cdot \text{OPT}_{LP} \leq 2 \cdot \text{OPT}_n$$



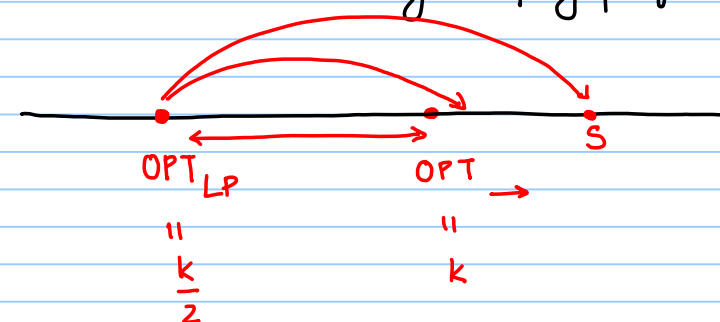
$$\text{OPT}_{LP} = 3/2$$

$$\text{OPT} = 2$$

Questions: Is the analysis tight?

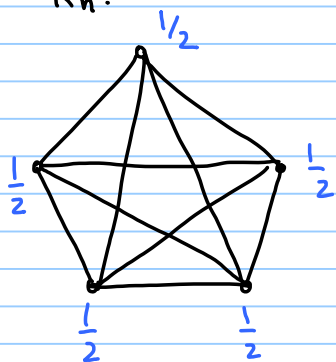
Yes.

The LP has an integrality gap of 2.



Integrality Gap Example for VC:

Graph is  $K_n$ .



$$x_u + x_v \geq 1 \quad \forall \{u, v\} \in E$$

$$\sum_{u \in V} x_u = \frac{n}{2}$$

$$\widehat{\text{OPT}}_{LP} \leq \frac{n}{2}$$

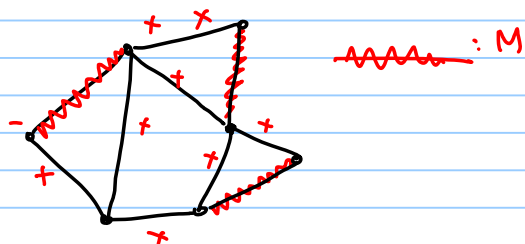
$$\text{OPT} := n-1 \quad \text{for } K_n.$$

For each pair  $\{u, v\}$ , there is an edge  $\Rightarrow$  at least one end-point must be chosen in  $S$ .

$$\frac{\text{OPT}}{\text{OPT}_{LP}} \geq \frac{2(n-1)}{n} \rightarrow 2 \quad \text{as } n \rightarrow \infty.$$

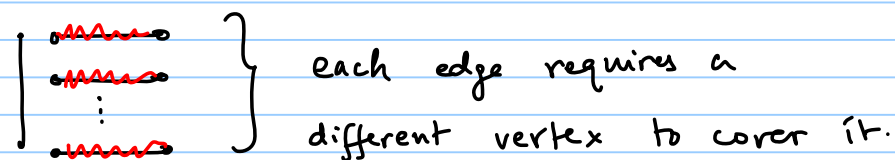
## Other Algorithms?

- A matching  $M \subseteq E$  in a graph  $G=(V,E)$  is a set of edges that do not share a vertex.



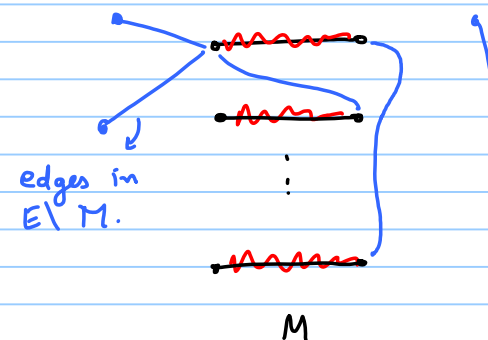
A matching is maximal if it cannot be extended.

Lemma.  $OPT \geq k$ , where  $k$  = size of a maximal matching.



- $S = \emptyset$
- Alg: 1. Pick a maxl. matching  $M$ .
2. Add both end-points of all edges in  $M$  into  $S$ .
3. Return  $S$ .

Claim.  $S$  is a vertex Cover.



Thm. Alg is a 2-approx.

$$|S| = 2|M| \leq 2 \text{ OPT.}$$

Qn: Is the analysis tight?

Qn: Better algorithms?

The algorithms presented are from the 1970's

- The best approx. alg. for VC guarantees an approximation factor of 2.

Dinur & Safra '04: Unless  $P=NP$ ,  $\nexists$  a better than  $\sim 1.36$ -approx for V.C.

Subhash Khot, Roger '08: There is no  $(2-\epsilon)$ -approx for VC unless Unique Games Conjecture is false.

Set Cover:

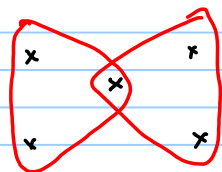
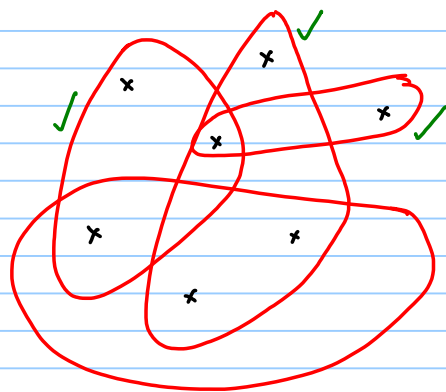
Given a set system (hypergraph, range space)

$$\mathcal{H} = (X, \mathcal{S}), \quad \mathcal{S} = \{S_1, \dots, S_m\}$$

$$|X| = n$$

$$S_i \subseteq X$$

$$\bigcup_{i=1}^m S_i = X.$$



Given  $\mathcal{H} = (X, \mathcal{S})$ , find  $\mathcal{T} \subseteq \mathcal{S}$ ;

$|\mathcal{T}|$  as small as possible such that:

$$\bigcup_{S \in \mathcal{T}} S = X.$$

Set Cover is a generalization of Vertex Cover

ILP:

$$\text{Variables: } x_S, S \in \mathcal{S} \quad x_S \begin{cases} 1, & S \in \mathcal{T} \\ 0, & S \notin \mathcal{T} \end{cases}$$

$$\text{Constraints: } \sum_{S \in \mathcal{S}: x \in S} x_S \geq 1 \quad \forall x \in X$$

$$x_S \in \{0, 1\}$$

ILP  $\rightarrow$  LP  $\rightarrow$  Rounding.

ILP formulation:

$$\min \sum_{S \in \mathcal{S}} x_S.$$

$$\sum_{S: x \in S} x_S \geq 1 \quad \forall x \in X$$

$$\cancel{x_S \in \{0,1\} \quad \forall S \in \mathcal{S}}$$

$$\underbrace{x_S \in [0,1]}_{x_S \geq 0} \quad \forall S \in \mathcal{S}.$$

$$x_S \geq 0$$

Let  $x = (x_{S_1} \dots x_{S_m})$  be an optimal LP solution.

- Rounding is randomized.
- Each  $x_S$  is in  $[0,1]$ .
- If  $x_S$  is high, then the LP suggests that  $S$  is a good set to pick into our solution.
- Interpret  $x_S$  as the probability of picking  $S$ .



Rounding: Attempt 1.

- Pick each set  $S$  with probability  $x_S$ .

- We get a collection  $T_0$  of sets.

$$\mathbb{E}[\text{cost}(T_0)] = \mathbb{E}[|T_0|] =$$

$$= \sum_{S \in \mathcal{S}} \Pr[S \text{ is picked in } T_0]$$

$$= \sum_{S \in \mathcal{S}} x_S = \text{OPT}_{LP}.$$

- The expected cost of  $T_0$  is  $\text{OPT}_{LP}$ .

- But,  $T_0$  may not be a feasible soln.

Rounding:

- For  $i = 0 \dots t$ .

- Let  $T_i$  = sets chosen w/ prob  $x_S$ .

- Return  $\bigcup_{i=1}^t T_i$ .

$$P[A \cup B] \leq P[A] + P[B]$$

• Fix an  $x \in X$ .

$$\begin{aligned} \Pr[x \text{ is not covered in } P_0] &= \prod_{s: x \in S} (1 - x_s) \\ &\leq \exp\left(-\sum_{s: x \in S} x_s\right) \quad [e^y \geq 1 + y \quad \forall y \in \mathbb{R}] \\ &\leq e^{-1} = 1/e. \end{aligned}$$

$\sum_{s: x \in S} x_s \geq 1$  ( $\because x$  is feasible for the LP)

$$\Pr[x \text{ is not covered in } P = \bigcup_{i=0}^t P_i] \leq (1/e)^t.$$

Choose  $t = 2 \ln n.$

$$\leq \left(\frac{1}{n^2}\right)$$

$$\Pr[\exists \text{ an } x \in X \text{ that is uncovered by } P = \bigcup P_i]$$

$$\leq \sum_{x \in X} \Pr[x \text{ is uncovered by } P] \quad [\text{Union bound}]$$

$$\leq n \left(\frac{1}{n^2}\right) = \left(\frac{1}{n}\right)$$

$\therefore P$  is a set cover w/ prob  $\geq 1 - 1/n$ .

$$|P| = \sum \mathbb{E}[P_i] \leq \text{OPT}_{LP} \cdot (2 \ln n) \rightarrow \text{We have a } 2 \ln n\text{-approx for set cov.}$$