## $\phi^4$ Theory with Path-Integral MC on the Lattice

Projects for Computational Physics

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March 2021

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# $\phi^4$ Theory

- real, scalar fields
- simplest interacting quantum field theory
- toy model for studying the principles of QFT
- describes self-interacting part the Higgs field

$$\mathcal{L} = \frac{1}{2} \left( \partial_{\mu} \phi \right) \left( \partial^{\mu} \phi \right) \underbrace{-\frac{1}{2} \mu^{2} \phi^{2} - \frac{\lambda}{4!} \phi^{4}}_{-V(x)} \quad [7]$$

Theory

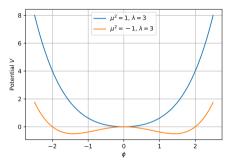
### Spontaneous Symmetry Breaking

$$V(\phi) = \frac{1}{2}\mu^2\phi^2 + \frac{\lambda}{4!}\phi^4$$

 $\lambda < 0$ : potential unbounded from below  $\rightarrow$  unphysical

$$\lambda>0, \mu^2>0$$
:  $\mathcal{Z}_2$  symmetry preserved, vacuum expectation value  $\langle\phi\rangle=0$ 

 $\lambda > 0, \mu^2 < 0$ :  $\mathcal{Z}_2$  symmetry spontaneously broken, field develops vev  $\langle \phi \rangle \neq 0$ 



Example potentials

### Correlation Functions in Minkowski Space-Time

$$C_{n} := \langle \Omega | T (\phi(x_{1}) \dots \phi(x_{n})) | \Omega \rangle = \lim_{t \to \infty (1 - i\epsilon)} \frac{\int \mathcal{D}[\phi] \phi(x_{1}) \dots \phi(x_{n}) \exp \left( i \int_{-t}^{+t} d^{4}x \mathcal{L} \right)}{\int \mathcal{D}[\phi] \exp \left( i \int_{-t}^{+t} d^{4}x \mathcal{L} \right)}$$
[3]

ullet  $|\Omega
angle$  vacuum of the interacting theory

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- the functional integral  $\int \mathcal{D}[\phi]$  runs over all possible fields  $\phi(x)$
- ullet complex shift in time integration o exponential damping o vacuum at infinite |t|

#### Wick Rotations

Rotate time to imaginary axis

$$t = -i\tau$$

$$(\partial_{\mu}\phi)(\partial^{\mu}\phi) = (\partial_{t}\phi)^{2} - (\nabla\phi)^{2} = -\left((\partial_{\tau}\phi)^{2} + (\nabla\phi)^{2}\right) =: -\left(\partial^{E}\phi\right)^{2}$$

Reminder:

Minkowski metric 
$$s^2 = t^2 - x^2 - y^2 - z^2$$
  
Euklidean metric  $(s^E)^2 = \tau^2 + x^2 + y^2 + z^2$ 

## Correlation Functions in Euklidean Space-Time

Define Euklidean action:

$$S^{E}[\phi] := -iS[\phi] = -i \int d^{4}x \mathcal{L} = \int d^{4}x^{E} \left(\frac{1}{2} \left(\partial^{E} \phi\right)^{2} + \frac{1}{2} \mu^{2} \phi^{2} + \frac{\lambda}{4!} \phi^{4}\right)$$
[3]

Correlation function in Euklidean Space-Time:

$$C_n := \langle \Omega | T \left( \phi(x_1^E) \dots \phi(x_n^E) \right) | \Omega \rangle = \frac{\int \mathcal{D}[\phi] \phi(x_1^E) \dots \phi(x_n^E) \exp\left( -S^E[\phi] \right)}{\int \mathcal{D}[\phi] \exp\left( -S^E[\phi] \right)} \quad [3]$$

Partition function:

$$Z := \int \mathcal{D}[\phi] \, \mathrm{e}^{-S^E[\phi]}$$

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#### Space-time lattice:

- periodic boundary conditions
- d dimensions, directions  $\hat{e}_k$  with  $k = 1 \dots d$
- $N = \prod_{k=1}^{d} n_k$  lattice sites  $x_i$  with  $i = 1 \dots N$
- lattice spacings  $a_k$ , volume element  $v = \prod_{k=1}^d a_k$

#### Derivative:

$$\partial_k \phi(x_i) = \frac{\phi(x_i + a_k \hat{e}_k) - \phi(x_i)}{a_k}$$

Action:

$$S[\phi] = v \cdot \sum_{i=1}^{N} \left( \frac{(\phi(x_i + a_k \hat{e}_k) - \phi(x_i))^2}{2a_k^2} + \frac{1}{2}\mu^2 \phi(x_i)^2 + \frac{\lambda}{4!}\phi(x_i)^2 \right)$$

#### Alternative Parametrization

Working on uniform, 4-dim. lattice  $(a_k = a \forall k, d = 4)$ Introduce dimensionless field  $\varphi$  and dimensionless lattice parameters  $\kappa, \alpha$ :

$$\varphi = \frac{\sqrt{2}\kappa}{a}\phi$$

$$a^{2}\mu^{2} = \frac{1-2\alpha}{\kappa} - 8$$

$$\lambda = \frac{6\alpha}{\kappa}$$

Action:

$$S[\varphi] = \sum_{i=1}^{N} \left( -2\kappa \sum_{k=1}^{4} \left[ \varphi(x_i) \varphi(x_i + a\hat{e}_k) \right] + \varphi(x_i)^2 + \alpha \left[ \varphi(x_i)^2 - 1 \right]^2 \right) \quad [5]$$

#### Discretization

Integration:

$$\int \mathcal{D}[\phi] \to \text{finite sum over field configurations}$$

Exponential varies rapidly  $\rightarrow$  importance sampling from Boltzmann distribution:

$$P(\phi_
u) = rac{1}{Z} e^{-S[\phi_
u]} = rac{\exp\left(-S[\phi_
u]
ight)}{\int \mathcal{D}[\phi] \, \exp\left(-S[\phi]
ight)}$$

Estimate for n-point correlation function:

$$\bar{C}_n = \frac{1}{M} \sum_{\nu=1}^M \left[ \phi_{\nu}(x_1) \dots \phi_{\nu}(x_n) \right]$$

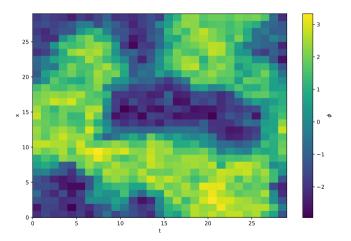
### Metropolis-Hastings Algorithm

- **1** Initialize lattice with constant initial field strength  $\phi_{\rm ini}$  at all sites
- **②** Sweep over the lattice by performing the following steps at every lattice site  $x_j$ 
  - **3** Sample from a uniform distribution  $\Delta \phi \in [-\delta_{\max}, \delta_{\max}]$
  - **2** Propose variation of the field  $\phi(x_j) \to \phi'(x_j) = \phi(x_j) + \Delta \phi$ .
  - **3** Calculate change in the action  $\Delta S$
  - **1** Accept with probability  $\rho = \min(1, e^{-\Delta S})$ , otherwise reject
- **3** Calculate an interesting quantity (e.g. product of fields  $[\phi_{\nu}(x_1) \dots \phi_{\nu}(x_n)]$ )
- Repeat the steps 2 and 3 several times
- Average over stored values = estimate for the physical quantity

### Setup

- Markov chain Monte Carlo simulation using Metropolis-Hastings algorithm
- Change of field per sweep limited to  $\Delta\phi\in[-\delta_{\rm max},\delta_{\rm max}] \to {\sf acceptance} \sim 70\,\%$
- Lattice size  $n = 10 \rightarrow$  relative uncertainty of 0.7 %

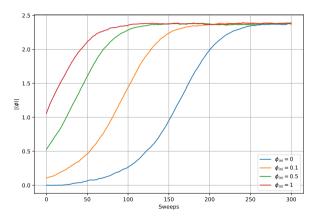
### A Colourful Image



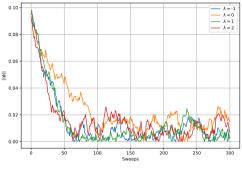
Plot of  $\phi$  in dependence of t and x on a 2 dimensional lattice with  $\mu^2=-1$  and  $\lambda=1$ 

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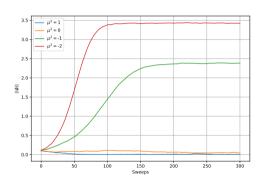
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Plot of  $|\langle \phi \rangle|$  in dependence of the amount of sweeps and varying  $\phi_{\rm ini}$  with  $\mu^2 = -1$  and  $\lambda = 1$ 

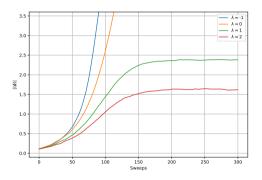


Varying  $\lambda$  with  $\mu^2=1$  and  $\phi_{\mathrm{ini}}=0.1$ 

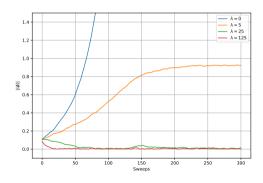


Varying  $\mu^2$  with  $\lambda=1$  and  $\phi_{\rm ini}=0.1$ 

Plots of  $|\langle \phi \rangle|$  in dependence of the amount of sweeps and varying parameters



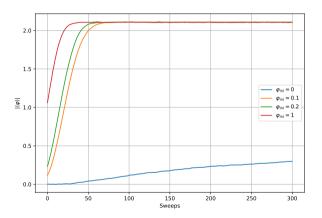
Varying  $\lambda$  with  $\mu^2=-1$  and  $\phi_{\rm ini}=0.1$ 



Varying 
$$\lambda$$
 with  $\mu^2 = -1$  and  $\phi_{\rm ini} = 0.1$ 

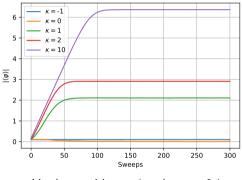
Plots of  $|\langle \phi \rangle|$  in dependence of the amount of sweeps and varying  $\lambda$ 

#### Alternative Parametrization

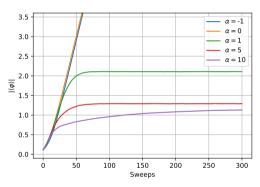


Plot of  $|\langle \varphi \rangle|$  in dependence of the amount of sweeps and varying  $\phi_{\rm ini}$  with  $\kappa=\alpha=1$ 

#### Alternative Parametrization



Varying  $\kappa$  with  $\alpha=1$  and  $arphi_{
m ini}=0.1$ 



Varying lpha with  $\kappa=1$  and  $arphi_{
m ini}=0.1$ 

Plots of  $|\langle \varphi \rangle|$  in dependence of the amount of sweeps and varying parameters

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### 2-point Correlators and Effective Mass

Sum over correlation functions of 2 points, separated by time t

$$ilde{C}_2(t) = \sum_{i=1}^N ra{\Omega} \phi(t, ec{x_i}) \phi(0, ec{x_i}) \ket{\Omega}$$

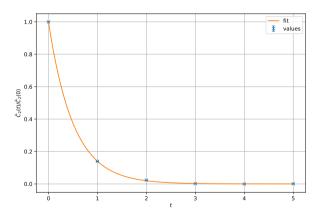
Relation to effective (renormalized) mass of the field:

$$\tilde{C}_2(t) = A \cdot e^{-m_{\text{eff}}|t|} + \dots$$
 [6]

For large  $t \gg 1$ :

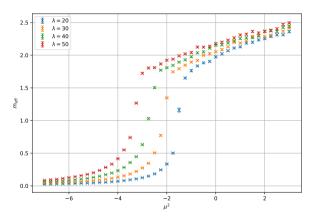
$$m_{ ext{eff}} pprox \log \left(rac{ ilde{C}_2(t)}{ ilde{C}_2(t+1)}
ight)$$

### 2-point Correlators



Normalized 2-point correlators for the classic parametrization,  $\mu^2=\lambda=1$ 

#### **Effective Mass**



Plot of  $m_{\rm eff}$  in dependence of  $\mu^2$  for varying  $\lambda$ 

#### Effective Potential

Through renormalization effective potential becomes:

$$V_{\text{eff}}(\phi) = \frac{1}{2} m_{\text{eff}}^2 Z_{\phi}^{-1} \phi^2 + \frac{\lambda_{\text{r}}}{4!} Z_{\phi}^{-2} \phi^4$$
 [2]

Trick: add external source J

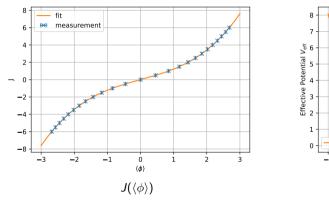
$$U(\phi) := V_{\text{eff}}(\phi) - J\phi$$
  
 $\langle \phi \rangle = \langle \phi \rangle (J) \to J = J(\langle \phi \rangle)$ 

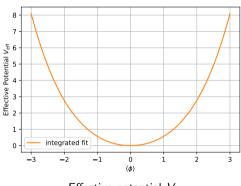
vev found at minimum of potential

$$rac{\partial U}{\partial \phi}\left(\langle \phi 
angle
ight) = 0 = rac{\partial V_{ ext{eff}}}{\partial \phi}\left(\langle \phi 
angle
ight) - J\left(\langle \phi 
angle
ight)$$

$$V_{\text{eff}} = \int_0^\phi \mathrm{d}\langle \phi \rangle J(\langle \phi \rangle)$$

### **Unbroken Symmetry**

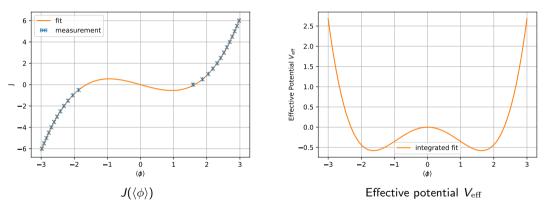




Effective potential  $V_{
m eff}$ 

Measurements for the effective potential with  $\mu^2=\lambda=1$ 

### **Broken Symmetry**



Measurements for the effective potential with  $\mu^2=-1$  and  $\lambda=2$ 

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Includes exercises.