

### §3.4. Permutations

Def) A permutation on  $[n] = \{1, \dots, n\}$   
is a bijection  $\pi: [n] \rightarrow [n]$ .

The symmetric group  $S_n$  is the group  
of permutations on  $[n]$

with multiplication  $\pi\sigma := \pi \circ \sigma$

composition  
of fns.

$$(\pi\sigma)(i) = \pi(\sigma(i))$$

We will write

$$\pi = \underbrace{\pi_1 \pi_2 \dots \pi_n}_{\text{one-line notation}}, \quad \pi_i = \pi(i)$$

one-line notation

two-line notation

$$\pi = \begin{pmatrix} 1 & 2 & \dots & n \\ \pi_1 & \pi_2 & \dots & \pi_n \end{pmatrix}$$

ex).  $\pi \in S_3$ ,  $\pi(1) = 2$

$$\pi(2) = 3$$

$$\pi(3) = 1$$

$$\pi = \pi_1 \pi_2 \pi_3 = 231$$

$$= \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}$$

$$\pi\pi = \pi^2 = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} = 312$$

A cycle of  $\pi$  is a sequence  $(a_1, \dots, a_k)$   
of distinct elts such that

$$\pi(a_1) = a_2,$$

$$\pi(a_2) = a_3$$

⋮

$$\pi(a_k) = a_1$$

We consider  $(a_1, \dots, a_k)$

$$= (a_j, \dots, a_k, a_1, \dots, a_{j-1})$$

length  $k$ .

A cycle  $\pi = (a_1 \dots a_k)$  is also considered as a perm in  $S_n$  s.t.

$$\pi(a_i) = a_{\sigma(i)} \quad (a_{k+1} = a_1).$$

$$\pi(r) = r \quad (\text{if } r \neq a_1, \dots, a_k)$$

Def) A transposition is a cycle of length 2.  
 $\leftrightarrow (i, j)$

A simple transposition is a cycle of this form  $(i, i+1)$ .

Let  $\pi = \pi_1 \dots \pi_n \in S_n$ ,  $\tau = (i, j)$ .

$$\pi \tau = \pi_1 \dots \pi_{i-1} \pi_j \pi_{i+1} \dots \pi_j \pi_i \pi_{j+1} \dots \pi_n$$

$\pi_j$  and  $\pi_i$  are swapped.

$\tau \pi = \pi$  with  $i$  &  $j$  interchanged.  
 $(\because \text{If } \pi = \dots i \dots j \dots \rightarrow \tau \pi = \dots j \dots i \dots)$

Prop Let  $\pi \in S_n = S_n$ .

$\Rightarrow \pi = \rho_1 \dots \rho_k$  for some disjoint cycles  
 $\rho_1, \dots, \rho_k \in S_n$ .

Moreover,  $\pi = \tau_1 \dots \tau_r$ ,  $\tau_i$ : simple trans.  
 Pf) Take  $m = 1$ .

$$m, \pi(m), \pi^2(m), \pi^3(m), \dots \in [n]$$

$$\Rightarrow \pi^i(m) = \pi^j(m) \text{ for some } i < j$$

$$\Rightarrow \pi^0(m) = m = \pi^{j-i}(m).$$

$$\Rightarrow \exists \text{ smallest } r \geq 1, \pi^r(m) = m.$$

$$\Rightarrow (m, \pi(m), \dots, \pi^{r-1}(m)) : \text{cycle of } \pi.$$

$\overset{r}{\overbrace{\rho_1}}$

Repeat with  $m = \min$  of  $[n] \setminus \rho_1$ .

$$\Rightarrow \pi = \rho_1 \dots \rho_k.$$

$$\pi(i, i+1) = \dots \pi_{i+1} \pi_i \dots$$

So we can sort

$$\pi \rightarrow 1 2 \dots n$$

$$\pi = \tau_1 \dots \tau_r, \quad \tau_i \text{ is sim. tr.}$$

$\sqcup$

In cycle notation,

$$\pi = \rho_1 \dots \rho_k, \quad \rho_i \text{'s: disjoint cycles.}$$

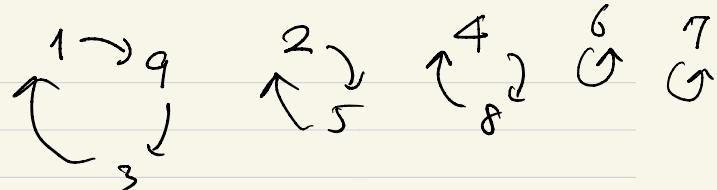
Def)  $\text{cycle}(\pi) = \# \text{ cycles in } \pi.$

$$\text{Ex. } \pi = 9 5 1 8 2 6 7 4 3 \in S_9,$$

$$= \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \\ 9 & 5 & 1 & 8 & 2 & 6 & 7 & 4 & 3 \end{pmatrix}$$

$$= (1, 9, 3)(2, 5)(4, 8)(6)(7) \leftarrow \text{cycle notation}$$

$$= (1, 9, 3)(2, 5)(4, 8)$$



$$\text{Cycle}(\pi) = 5.$$

Def) A permutation  $\pi \in S_n$  is an Involution if  $\pi^2 = \text{id}$ .

Every Involution has cycles of len 1 or 2.



bijection between involutions on  $[n]$

& matchings on  $[n]$ .

Def)  $\pi \in S_n$ .

An Inversion of  $\pi$  is a pair  $(i, j)$  such that  $i < j$ ,  $\pi_i > \pi_j$ .

$\text{inv}(\pi) = \# \text{ Inversions in } \pi$ .

Ex)  $\pi = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 3 & 1 & 4 & 2 & 5 \end{pmatrix}$

Inversions:  $(1, 2), (1, 4)$   
 $(3, 4)$ ,

$\text{inv}(\pi) = 3$ .

Def) The sign of  $\pi \in S_n$  is

$$\text{sgn}(\pi) = (-1)^{\text{inv}(\pi)}$$

$$\text{sgn}(31425) = (-1)^3 = -1,$$

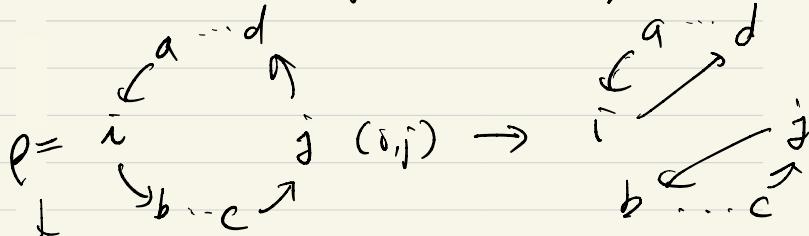
$$\text{sgn}(\text{id}) = 1.$$

Lem  $\pi \in S_n$ ,  $\tau = (i, j) \in S_n$ .

$$\text{cycle}(\pi\tau) = \text{cycle}(\tau\pi)$$

$$= \begin{cases} \text{cycle}(\pi) - 1 & \text{if } i, j \text{ in diff cycles} \\ \text{cycle}(\pi) + 1 & \text{if } , \text{ same } \end{cases}$$

Pf) Suppose,  $i, j$  in same cycle.



a cycle of  $\pi$ .

$\pi\tau$

Lem  $\pi \in S_n$ ,  $\tau = (i, i+1) \in S_n$ .

$$\text{sgn}(\pi\tau) = -\text{sgn}(\pi).$$

Pf)

$$\pi\tau = \begin{pmatrix} \dots & i & i+1 & \dots \\ \dots & \pi(i) & \pi(i+1) & \dots \end{pmatrix}$$

$$\text{Inv}(\pi\tau) = \text{Inv}(\pi) \pm 1$$

Lem  $\pi = \tau_1 \dots \tau_k$ ,  $\tau_i$ : sym trans

$$\Rightarrow \text{sgn}(\pi) = (-1)^k.$$

Pf)

$$\text{sgn}(\pi) = \text{sgn}(\text{id} \circ \tau_1 \dots \tau_k)$$

$$= -\text{sgn}(\text{id} \circ \tau_1 \dots \tau_{k-1})$$

⋮

$$= (-1)^k \text{sgn}(\text{id}) = (-1)^k \square.$$

cor)  $\text{sgn}(\pi\sigma) = \text{sgn}(\pi) \text{sgn}(\sigma)$ .

Pf) Let  $\pi = \underbrace{\tau_1 \dots \tau_k}_{\text{simple}}$ ,  $\sigma = \underbrace{s_1 \dots s_r}_r$

$$\Rightarrow \text{sgn}(\pi) = (-1)^k, \text{sgn}(\sigma) = (-1)^r.$$

$$\text{sgn}(\pi\sigma) = (-1)^{k+r}$$

□.

Prop  $\pi \in S_n$ .

$$\text{sgn}(\pi) = (-1)^{\text{inv}(\pi)} = (-1)^{\text{n-cycle}(\pi)}$$

$$= (-1)^{\# \text{ even cycles in } \pi}$$

In particular, if  $\pi = t_1 \dots t_k$ ,

$t_i$ : transposition

then  $\text{sgn}(\pi) = (-1)^k$ .

Pf) Let  $\pi = t_1 \dots t_k$ ,  $t_i$ : simple trans.

$$\text{sgn}(\pi) = (-1)^k$$

Since  $\pi = t_1 \dots t_k \text{id}$

$$(-1)^{\text{cycle}(\pi)} = (-1)^{\text{cycle(id)} + k}$$

$$= (-1)^{n+k}$$

$$\Rightarrow (-1)^k = (-1)^{\text{n-cycle}(\pi)}$$

Let  $c_i$  be # cycles of len  $i$ .

$$n = 1 \cdot c_1 + 2c_2 + \dots + nc_n$$

$$c_1 + \dots + c_n = \text{cycle}(\pi).$$

$$(-1)^{\text{n-cycle}(\pi)} = (-1)^{(1c_1 + 2c_2 + \dots + nc_n) - (c_1 + \dots + c_n)}$$

$$= (-1)^{0 \cdot c_1 + 1 \cdot c_2 + \dots + (n-1)c_n}$$

$$= (-1)^{c_2 + c_4 + \dots} = (-1)^{\# \text{ even cycles}}$$

□

$$\rightarrow \text{sgn}(\pi) = \text{sgn}(t_1) \dots \text{sgn}(t_k)$$

$$= (-1) \dots (-1) = (-1)^k$$

Def) The signless Stirling number of the 1st kind  $c(n, k)$  is # permutations on  $[n]$  with  $k$  cycles.

The Stirling number of the 1st kind

$$\text{is } s(n, k) = \underbrace{(-1)^{n-k}}_{\hookrightarrow \text{ sign of any } \pi \in S_n} c(n, k).$$

$\hookrightarrow$  sign of any  $\pi \in S_n$  with  $k$  cycles.

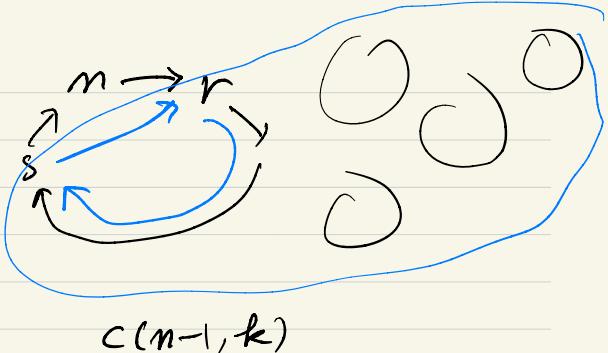
Prop For  $n, k \geq 1$ ,

$$c(n, k) = c(n-1, k-1) + (n-1)c(n-1, k).$$

Pf. let  $\pi \in S_n$ .  $\text{cycle}(\pi) = k$ .

case I  $n^Q$    $\rightarrow c(n-1, k-1)$

case II



$$\Rightarrow \underbrace{(n-1)}_{\hookrightarrow \text{ ways to insert } n.} c(n-1, k)$$

$\hookrightarrow$  ways to insert  $n$ .

Prop  $\sum_{k=0}^n c(n, k) 2^k = x(x+1) \cdots (x+n-1)$

Pf) Induction using recursion.

$$\text{Prop } \sum_{\pi \in S_n} x^{\text{cycle}(\pi)} = x(x+1) \cdots (x+n-1) = x(x+1)(x+1+1) \cdots (x+1+\underbrace{\dots + 1}_{n-1})$$

bijective pt

We have an algorithm to construct  
 $\pi \in S_n$ .

$$(x+1+\dots+1)$$

$\underbrace{\phantom{+1+\dots+1}}_{k-1}$

□.



perm in  $S_k$

add  $k$  ↗ create new cycle

$k$

insert  $k$  before  $i$

$1 \leq i \leq k-1$



$$\text{e.g. } \sigma((x+1)(5+1+1))$$

$$= x - x \cdot x + \underbrace{x \cdot x \cdot 1_a}_{+ \dots} + \underbrace{x \cdot x \cdot 1_b}_{+ \dots}$$

$$\text{Cor} \quad \sum_{k=0}^n S(n, k) x^k = (x)_n.$$

$$\text{Pf}) \quad \sum_{k=0}^n C(n, k) x^k = x(x+1) \cdots (x+n-1)$$

$$x \mapsto -x$$

multiply  $(-)^n$

Recall

$$\sum_{k=0}^n S(n, k) (-x)_k = x^n$$

Matrix equation

$$\left( \begin{matrix} S(n, k) \\ \end{matrix} \right)_{n, k \geq 0} \left( \begin{matrix} x^n \\ \end{matrix} \right)_{n \geq 0} = \left( \begin{matrix} (x)_n \\ \end{matrix} \right)_{n \geq 0}$$

$$\left( \begin{matrix} S(n, k) \\ \end{matrix} \right)_{n, k \geq 0} \left( \begin{matrix} (x)_n \\ \end{matrix} \right)_{n \geq 0} = \left( \begin{matrix} x^n \\ \end{matrix} \right)_{n \geq 0}.$$

Prop

$$\left( \begin{matrix} S(n, k) \\ \end{matrix} \right) \left( \begin{matrix} S(n, k) \\ \end{matrix} \right) = \left( \begin{matrix} S(n, k) \\ \end{matrix} \right) \left( \begin{matrix} S(n, k) \\ \end{matrix} \right) = I$$

$$\sum_{k \geq 0} S(n, k) S(k, m) = \delta_{m, n}$$

$$\sum_{k \geq 0} S(n, k) S(k, m) = \delta_{m, n}.$$