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## 1. Homework 1 (Due: Sep 21)

**Problem 1.1.** Let  $\mathcal{L}$  be a positive-definite linear functional with monic OPS  $\{P_n(x)\}_{n\geq 0}$ . Prove the following extremal property: for any monic real polynomial  $\pi(x) \neq P_n(x)$  of degree n,

$$\mathcal{L}(P_n(x)^2) < \mathcal{L}(\pi(x)^2).$$

**Problem 1.2.** Let  $\mathcal{L}$  be a linear functional such that  $\Delta_n \neq 0$  for all  $n \geq 0$ . Prove that if  $\pi(x)$  is a polynomial such that  $\mathcal{L}(x^k\pi(x)) = 0$  for all  $k \geq 0$ , then  $\pi(x) = 0$ .

**Problem 1.3.** The Tchebyshev polynomials of the second kind  $U_n(x)$  are defined by

$$U_n(x) = \frac{\sin(n+1)\theta}{\sin \theta}, \quad x = \cos \theta, \quad n \ge 0.$$

- (1) Prove that  $U_n(x)$  is a polynomial of degree n.
- (2) Prove that

$$U_{n+1}(x) = 2xU_n(x) - U_{n-1}(x), \qquad n \ge 0,$$

where  $U_{-1}(x) = 0$  and  $U_0(x) = 1$ .

(3) Prove that

$$\int_{-1}^{1} U_m(x)U_n(x)(1-x^2)^{1/2}dx = \frac{\pi}{2}\delta_{m,n}.$$

(4) Find the 3-term recurrence for the normalized Tchebyshev polynomials of the second kind. More precisely, find the numbers  $b_n$  and  $\lambda_n$  such that

$$\hat{U}_{n+1}(x) = (x - b_n)\hat{U}_n(x) - \lambda_n \hat{U}_{n-1}(x), \qquad n \ge 0,$$

where  $\hat{U}_n(x)$  is the monic polynomial that is a scalar multiple of  $U_n(x)$ .

**Problem 1.4.** Let  $\{P_n(x)\}_{n\geq 0}$  be the monic OPS for a linear functional  $\mathcal{L}$  with three-term recurrence

$$P_{n+1}(x) = (x - b_n)P_n(x) - \lambda_n P_{n-1}(x), \qquad n \ge 0.$$

(1) Prove that

$$P_n(x) = \begin{vmatrix} x - b_0 & 1 & & 0 \\ \lambda_1 & x - b_1 & \ddots & & \\ & \ddots & \ddots & 1 \\ 0 & & \lambda_{n-1} & x - b_{n-1} \end{vmatrix}.$$

(2) Prove that

$$P_n(x) = \begin{vmatrix} x - b_0 & \sqrt{\lambda_1} & & 0 \\ \sqrt{\lambda_1} & x - b_1 & \ddots & \\ & \ddots & \ddots & \sqrt{\lambda_{n-1}} \\ 0 & & \sqrt{\lambda_{n-1}} & x - b_{n-1} \end{vmatrix}.$$

(3) Using (2) prove that if  $b_n \in \mathbb{R}$  and  $\lambda_n > 0$  for all, then  $P_n(x)$  has real roots only.

## 2. Homework 2 (Due: Oct 5)

**Problem 2.1.** Let *id* be the identity permutation.

- (1) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^2 = id$ .
- (2) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^3 = id$ .
- (3) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^4 = id$ .
- (4) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^5 = id$ .
- (5) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^6 = id$ .

**Problem 2.2.** Let  $c_1, \ldots, c_n$  be a sequence of nonnegative integers such that  $\sum_{i=1}^n ic_i = n$ . Show that the number of permutations  $\pi \in \mathfrak{S}_n$  with  $c_i$  cycles of length i for all  $i = 1, \ldots, n$  is

$$\frac{n!}{\prod_{i=1}^n i^{c_i} c_i!}.$$

**Problem 2.3.** For  $\pi \in \mathfrak{S}_n$ , let  $\ell(\pi)$  be the smallest number of simple transpositions whose product is  $\pi$ . Prove that  $\ell(\pi) = \operatorname{inv}(\pi)$ .

**Problem 2.4.** Prove that

$$\sum_{\pi \in \mathfrak{S}_n} q^{\text{inv}(\pi)} = (1+q)(1+q+q^2)\cdots(1+q+\cdots+q^{n-1}).$$

**Problem 3.1.** Suppose that  $\{P_n(x)\}_{n\geq 0}$  is a monic OPS for a linear functional  $\mathcal{L}$  with  $\mathcal{L}(1)=1$  given by  $P_{-1}(x)=0$ ,  $P_0(x)=1$ , and for  $n\geq 0$ ,

$$P_{n+1}(x) = (x-n)P_n(x) - nP_{n-1}(x).$$

Compute the following.

- (1)  $\mathcal{L}(x^3)$
- (2)  $\mathcal{L}(P_{10}(x)P_{10}(x))$
- (3)  $\mathcal{L}(x^3 P_{10}(x) P_{12}(x))$

**Problem 3.2.** A left-to-right minimum of a permutation  $\pi = \pi_1 \cdots \pi_n$  is a number  $\pi_i$  such that  $\pi_i = \min\{\pi_1, \dots, \pi_i\}$ . Let LRmin $(\pi)$  denote the number of left-to-right minima in  $\pi$ . For example, if  $\pi = 6741352$ , then the left-to-right minima are 6, 4, 1, hence LRmin $(\pi) = 3$ . Prove that

$$\sum_{\pi \in \mathfrak{S}_n} \alpha^{\operatorname{cycle}(\pi)} = \sum_{\pi \in \mathfrak{S}_n} \alpha^{\operatorname{LRmin}(\pi)}.$$

**Problem 3.3.** Suppose that  $\{P_n(x)\}_{n\geq 0}$  is a monic OPS given by  $P_{-1}(x)=0$ ,  $P_0(x)=1$ , and for  $n\geq 0$ ,

$$P_{n+1}(x) = (x-1)P_n(x) - nP_{n-1}(x).$$

Prove that  $\mu_n$  is equal to the number of involutions in  $\mathfrak{S}_n$ . (An involution is a permutation  $\pi$  such that  $\pi^2$  is the identity map.)

**Problem 3.4.** Suppose that  $\{P_n(x)\}_{n\geq 0}$  is a monic OPS given by  $P_{-1}(x)=0$ ,  $P_0(x)=1$ , and for  $n\geq 0$ ,

$$P_{n+1}(x) = (x - b_n)P_n(x) - \lambda_n P_{n-1}(x),$$

where  $\lambda_n \neq 0$  for all  $n \geq 1$ .

Using the fact  $\mu_n = \sum_{\pi \in \text{Motz}_n} \text{wt}(\pi)$ , prove that  $\mu_{2n+1} = 0$  for all  $n \ge 0$  if and only if  $b_n = 0$  for all n > 0.

**Problem 4.1.** Let G be the directed graph whose vertex set V and (directed) edge set E are given by

$$V = \{(i, j) : 0 \le i, j \le 5\},$$

$$E = \{(i, j) \to (i + 1, j) : 0 \le i \le 4, 0 \le j \le 5\} \cup \{(i, j) \to (i, j + 1) : 0 \le i \le 5, 0 \le j \le 4\}.$$

- (1) Find the number of paths from (0,0) to (5,5).
- (2) Find the number of paths from (0,0) to (5,5) that do not visit (3,3).
- (3) Find the number of paths from (0,0) to (5,5) that do not visit any of (1,3),(3,3),(4,3). (Write you answer as a single determinant.)
- (4) Let  $\mathbf{A} = (A_1, A_2, A_3)$  and  $\mathbf{B} = (B_1, B_2, B_3)$ , where  $A_1 = (0, 0)$ ,  $A_2 = (1, 0)$ ,  $A_3 = (2, 0)$ ,  $B_1=(5,5),\ B_2=(5,4),\ {\rm and}\ B_3=(5,3).$  Find the cardinality of the set  ${\rm NI}({\bf A}\to{\bf B}).$ (Write you answer as a single determinant.)

**Problem 4.2.** Evaluate the determinants. Here,  $C_n$  is the *n*th Catalan number.

(1) 
$$\det (C_{i+j})_{i,j=0}^{2023}$$
  
(2)  $\det \left( {2i+2j \choose i+j} \right)_{i,j=0}^{2023}$ 

**Problem 4.3.** Let  $\{P_n(x)\}_{n\geq 0}$  be a monic OPS satisfying

$$P_{n+1}(x) = (x - b_n)P_n(x) - \lambda_n P_{n-1}(x),$$

and let  $\mu_n$  be the nth moment. Suppose that  $\lambda_n > 0$  for all  $n \ge 1$  and  $b_n \ge 0$  for all  $n \ge 0$ . Prove or disprove each statement.

(1) For all  $n \geq 0$ ,

$$\det(\mu_{i+j})_{i,j=0}^n > 0.$$

(2) For all  $n \geq 0$ ,

$$\det(\mu_{2i+2j})_{i,j=0}^n > 0.$$

(3) If  $b_k = 0$  for all  $k \ge 0$ , then for all  $n \ge 0$ ,

$$\det(\mu_{2i+2j})_{i,j=0}^n > 0.$$

(4) Let  $\{r_n\}_{n\geq 0}$  and  $\{s_n\}_{n\geq 0}$  be strictly increasing sequences of nonnegative even integers. If  $b_k = 0$  for all  $k \ge 0$ , then for all  $n \ge 0$ ,

$$\det(\mu_{r_i+s_i})_{i,j=0}^n > 0.$$

**Problem 4.4.** Prove the following two q-binomial theorems:

$$(1+x)(1+qx)\cdots(1+q^{n-1}x) = \sum_{k=0}^{n} q^{\binom{n-k}{2}} {n \brack k}_q x^k,$$
$$\frac{1}{(1-x)(1-qx)\cdots(1-q^{n-1}x)} = \sum_{k=0}^{\infty} {n \brack k}_q x^k.$$