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## 1. Homework 1 (Due: Sep 21)

**Problem 1.1.** Let  $\mathcal{L}$  be a positive-definite linear functional with monic OPS  $\{P_n(x)\}_{n\geq 0}$ . Prove the following extremal property: for any monic real polynomial  $\pi(x) \neq P_n(x)$  of degree n,

$$\mathcal{L}(P_n(x)^2) < \mathcal{L}(\pi(x)^2).$$

**Problem 1.2.** Let  $\mathcal{L}$  be a linear functional such that  $\Delta_n \neq 0$  for all  $n \geq 0$ . Prove that if  $\pi(x)$  is a polynomial such that  $\mathcal{L}(x^k\pi(x)) = 0$  for all  $k \geq 0$ , then  $\pi(x) = 0$ .

**Problem 1.3.** The Tchebyshev polynomials of the second kind  $U_n(x)$  are defined by

$$U_n(x) = \frac{\sin(n+1)\theta}{\sin \theta}, \quad x = \cos \theta, \quad n \ge 0.$$

- (1) Prove that  $U_n(x)$  is a polynomial of degree n.
- (2) Prove that

$$U_{n+1}(x) = 2xU_n(x) - U_{n-1}(x), \qquad n \ge 0,$$

where  $U_{-1}(x) = 0$  and  $U_0(x) = 1$ .

(3) Prove that

$$\int_{-1}^{1} U_m(x)U_n(x)(1-x^2)^{1/2}dx = \frac{\pi}{2}\delta_{m,n}.$$

(4) Find the 3-term recurrence for the normalized Tchebyshev polynomials of the second kind. More precisely, find the numbers  $b_n$  and  $\lambda_n$  such that

$$\hat{U}_{n+1}(x) = (x - b_n)\hat{U}_n(x) - \lambda_n \hat{U}_{n-1}(x), \qquad n \ge 0,$$

where  $\hat{U}_n(x)$  is the monic polynomial that is a scalar multiple of  $U_n(x)$ .

**Problem 1.4.** Let  $\{P_n(x)\}_{n\geq 0}$  be the monic OPS for a linear functional  $\mathcal{L}$  with three-term recurrence

$$P_{n+1}(x) = (x - b_n)P_n(x) - \lambda_n P_{n-1}(x), \qquad n \ge 0.$$

(1) Prove that

$$P_n(x) = \begin{vmatrix} x - b_0 & 1 & & 0 \\ \lambda_1 & x - b_1 & \ddots & & \\ & \ddots & \ddots & 1 \\ 0 & & \lambda_{n-1} & x - b_{n-1} \end{vmatrix}.$$

(2) Prove that

$$P_n(x) = \begin{vmatrix} x - b_0 & \sqrt{\lambda_1} & & 0 \\ \sqrt{\lambda_1} & x - b_1 & \ddots & \\ & \ddots & \ddots & \sqrt{\lambda_{n-1}} \\ 0 & & \sqrt{\lambda_{n-1}} & x - b_{n-1} \end{vmatrix}.$$

(3) Using (2) prove that if  $b_n \in \mathbb{R}$  and  $\lambda_n > 0$  for all, then  $P_n(x)$  has real roots only.

## 2. Homework 2 (Due: Oct 5)

**Problem 2.1.** Let *id* be the identity permutation.

- (1) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^2 = id$ .
- (2) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^3 = id$ .
- (3) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^4 = id$ .
- (4) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^5 = id$ .
- (5) Find the number of permutations  $\pi \in \mathfrak{S}_6$  such that  $\pi^6 = id$ .

**Problem 2.2.** Let  $c_1, \ldots, c_n$  be a sequence of nonnegative integers such that  $\sum_{i=1}^n ic_i = n$ . Show that the number of permutations  $\pi \in \mathfrak{S}_n$  with  $c_i$  cycles of length i for all  $i = 1, \ldots, n$  is

$$\frac{n!}{\prod_{i=1}^n i^{c_i} c_i!}.$$

**Problem 2.3.** For  $\pi \in \mathfrak{S}_n$ , let  $\ell(\pi)$  be the smallest number of simple transpositions whose product is  $\pi$ . Prove that  $\ell(\pi) = \operatorname{inv}(\pi)$ .

**Problem 2.4.** Prove that

$$\sum_{\pi \in \mathfrak{S}_n} q^{\text{inv}(\pi)} = (1+q)(1+q+q^2)\cdots(1+q+\cdots+q^{n-1}).$$

*Proof.* We proceed by induction on n. If n=1, it is true. Let  $n \geq 2$  and suppose the statement holds for n-1. Every  $\pi \in \mathfrak{S}_n$  is obtained from  $\sigma \in \mathfrak{S}_{n-1}$  by inserting n after j integers from the beginning for some  $0 \leq j \leq n-1$  [3 points]. This construction gives  $\operatorname{inv}(\pi) = \operatorname{inv}(\sigma) + j$  [3 points]. Thus

$$\sum_{\pi \in \mathfrak{S}_n} q^{\text{inv}(\pi)} = \sum_{\sigma \in \mathfrak{S}_{n-1}} \sum_{j=0}^{n-1} q^{\text{inv}(\sigma)+j} = \sum_{\sigma \in \mathfrak{S}_{n-1}} q^{\text{inv}(\sigma)} (1+q+\dots+q^{n-1}) \quad [2 \text{ points}]$$

$$= (1+q)(1+q+q^2) \dots (1+q+\dots+q^{n-1}) \quad [2 \text{ points}].$$

Thus the statement is also true for n and we are done.

**Problem 3.1.** Suppose that  $\{P_n(x)\}_{n\geq 0}$  is a monic OPS for a linear functional  $\mathcal{L}$  with  $\mathcal{L}(1)=1$  given by  $P_{-1}(x)=0$ ,  $P_0(x)=1$ , and for  $n\geq 0$ ,

$$P_{n+1}(x) = (x-n)P_n(x) - nP_{n-1}(x).$$

Compute the following.

- (1)  $\mathcal{L}(x^3)$
- (2)  $\mathcal{L}(P_{10}(x)P_{10}(x))$
- (3)  $\mathcal{L}(x^3 P_{10}(x) P_{12}(x))$

**Problem 3.2.** A left-to-right minimum of a permutation  $\pi = \pi_1 \cdots \pi_n$  is a number  $\pi_i$  such that  $\pi_i = \min\{\pi_1, \dots, \pi_i\}$ . Let  $\operatorname{LRmin}(\pi)$  denote the number of left-to-right minima in  $\pi$ . For example, if  $\pi = 6741372$ , then the left-to-right minima are 6, 4, 1, hence  $\operatorname{LRmin}(\pi) = 3$ . Prove that

$$\sum_{\pi \in \mathfrak{S}_n} \alpha^{\operatorname{cycle}(\pi)} = \sum_{\pi \in \mathfrak{S}_n} \alpha^{\operatorname{LRmin}(\pi)}.$$

**Problem 3.3.** Suppose that  $\{P_n(x)\}_{n\geq 0}$  is a monic OPS given by  $P_{-1}(x)=0$ ,  $P_0(x)=1$ , and for  $n\geq 0$ ,

$$P_{n+1}(x) = (x-1)P_n(x) - nP_{n-1}(x).$$

Prove that  $\mu_n$  is equal to the number of involutions in  $\mathfrak{S}_n$ . (An involution is a permutation  $\pi$  such that  $\pi^2$  is the identity map.)

**Problem 3.4.** Suppose that  $\{P_n(x)\}_{n\geq 0}$  is a monic OPS given by  $P_{-1}(x)=0$ ,  $P_0(x)=1$ , and for  $n\geq 0$ ,

$$P_{n+1}(x) = (x - b_n)P_n(x) - \lambda_n P_{n-1}(x),$$

where  $\lambda_n \neq 0$  for all  $n \geq 1$ .

Using the fact  $\mu_n = \sum_{\pi \in \text{Motz}_n} \text{wt}(\pi)$ , prove that  $\mu_{2n+1} = 0$  for all  $n \ge 0$  if and only if  $b_n = 0$  for all  $n \ge 0$ .