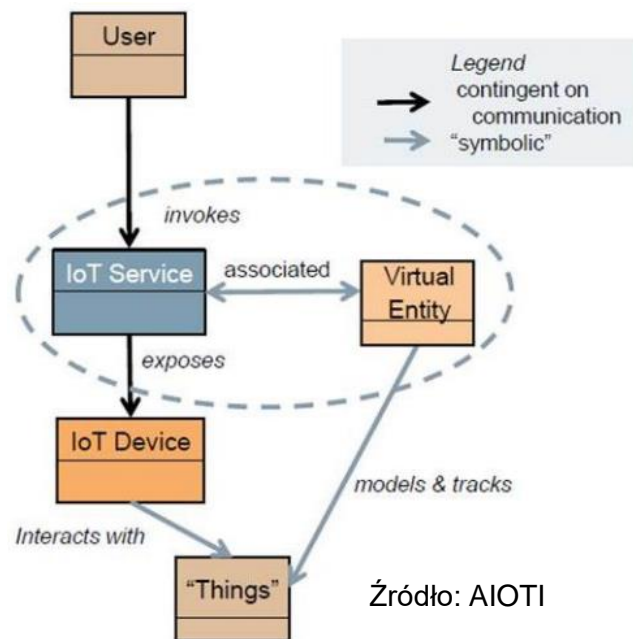


PBL5

MQTT Sparkplug

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Sparkplug™ Specification



Sparkplug™

MQTT Topic &
Payload Definition

Version 2.2



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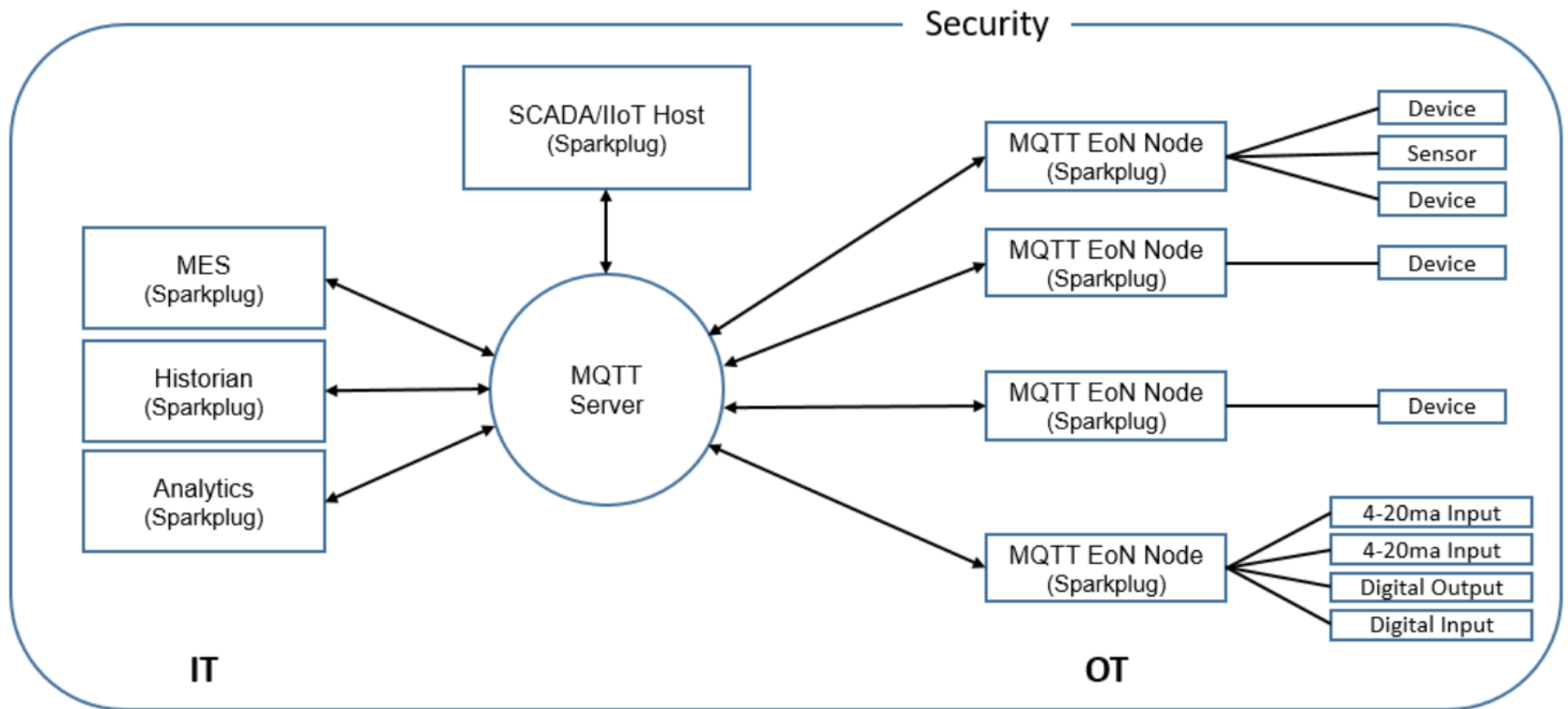
SPARKPLUG OBJECTIVES

SCADA/IIOT

- Define an MQTT topic namespace
 - MQTT does not define any
 - the intent of the Sparkplug™ specification is to identify and document a topic namespace that is well thought out and optimized for the SCADA/IIoT solution sector
- Define MQTT State Management
 - the way state information is implemented and managed within the MQTT infrastructure is not defined.
 - The intent of the Sparkplug™ specification is to take full advantage of MQTT's native Continuous Session Awareness capability as it applies to real time SCADA/IIoT solutions
- Define the MQTT Payload
 - MQTT specification does not dictate any particular payload data encoding
 - Sparkplug A and Sparkplug B

Overall MQTT Sparkplug architecture

INFRASTRUCTURE COMPONENTS



INFRASTRUCTURE COMPONENTS (1/5)

- MQTT server (broker)
 - MQTT V3.1.1 compliant
 - sized to properly manage all MQTT message traffic

INFRASTRUCTURE COMPONENTS (2/5)

- Edge of Network Node (EoN)
 - MQTT 3.1.1 and Sparkplug compliant (an MQTT client speaking Sparkplug)
- EoN as a gateway
 - responsible for any local protocol interface to existing legacy devices (PLCs, RTUs, Flow Computers, Sensors, etc.) and/or any local discrete I/O, and/or any logical internal process variables(PVs)
- EoN as a device
 - any device, sensor, or hardware that natively implements MQTT/Sparkplug

INFRASTRUCTURE COMPONENTS (3/5)

- Device/sensor
 - represents any physical or logical "legacy" device providing any data, process variables or metric
 - does not implement MQTT/Sparkplug
 - connected to an EoN node that plays the role of a gateway

INFRASTRUCTURE COMPONENTS (4/5)

- SCADA/IIoT/host Node
 - any MQTT and Sparplug compliant client application
 - only one primary SCADA/IIoT/host application
 - any number of non-primary SCADA/IIoT application

INFRASTRUCTURE COMPONENTS (5/5)

- primary SCADA/IIoT/host application (= Primary Application)
 - responsible for the monitoring and control of a given group of MQTT EoN nodes
 - control: only the Primary Application(s) should have the permission to issue commands
 - all EoN nodes need to make sure they are talking to the same MQTT server as the Primary Application does
 - maintains a Primary Application metric structure
 - metrics are fully determined by NBIRTH messages (EoN and device produced)
- non-primary SCADA/IIoT application
 - works in pure monitoring mode, or in the role of a hot standby should the Primary MQTT SCADA/IIoT Host go offline
 - does not issue Birth/Death Certificates

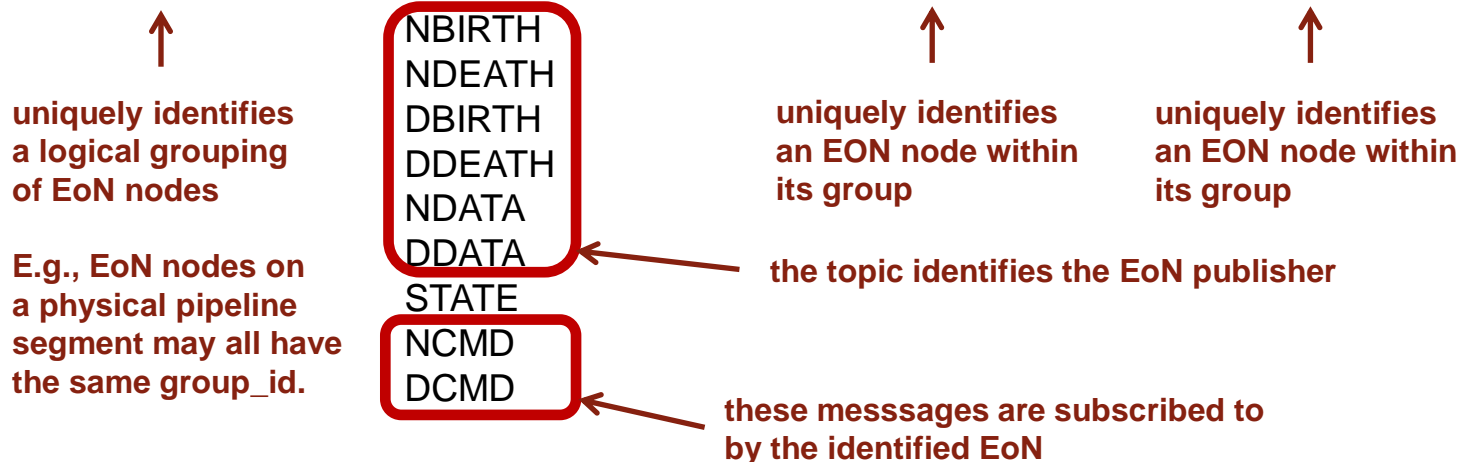
Sparkplug topics

SPARKPLUG TOPICS

- In MQTT, almost anything goes as to topics.
 - recall: levels, level separators ("/"), wildcards ("+", "#")
 - but publishing and subscribing clients need to agree on the topics used
- Spakplug topic structure

namespace/group_id/message_type/edge_node_id/[device_id]

spBv1.0
(Sparkplug B)



group_id/edge_node_id must be unique in the entire infrastructure.

Session state management:

NBIRTH, NDEATH

DBIRTH, DDEATH

STATE

SESSION STATE MANAGEMENT

- The primary Application should be able to monitor the current state of any MQTT device in the infrastructure.
 - is the device online or offline
 - actually, it is about the state of the connection of the device
- Why is this monitoring needed?
 - recall Report by Exception (RBE)
 - for RBE to work properly in real-time SCADA, the “state” of the end device needs to be always known
 - SCADA/IIoT host could only rely on RBE data arriving reliably if it could be assured of the state of the MQTT session.
- What’s included in MQTT?
 - Last Will and Testament (LWT)
- Sparkplug adds birth messages.

SESSION STATE MANAGEMENT IN MQTT: LWT

Last Will and Testament (LWT)

Control Packet	Payload	
CONNECT	Required	<u>ClientID</u> , Will Topic, Will Message, User Name, Password
CONNACK	None	
PUBLISH	Optional	Application Message (note: MQTT is agnostic as to the format)
PUBACK	None	
PUBREC	None	
PUBREL	None	
PUBCOMP	None	
SUBSCRIBE	Required	(<u>Topic_Filter_1</u> ,QoS_1), (<u>Topic_Filter_2</u> ,QoS_2),...// requested QoS
SUBACK	Required	<u>QoS_1</u> , QoS_2, ... // granted QoS
UNSUBSCRIBE	Required	<u>Topic_Filter_1</u> , Topic_Filter_2, ...
UNSUBACK	None	
PINGREQ	None	
PINGRESP	None	
DISCONNECT	None	

underlined payload elements are mandatory

SESSION STATE MANAGEMENT IN MQTT: LWT

Figure 3.4 - Connect Flag bits

Source: MQTT Version 3.1.1
OASIS Standard, October 2014

Bit	7	6	5	4	3	2	1	0
	User Name Flag	Password Flag	Will Retain	Will QoS	Will Flag	Clean Session	Reserved	
byte 8	X	X	X	X	X	X	X	0

- recall CONNECT
 - variable header: Protocol Name and Level, Keep Alive time, **Connect Flags**
 - payload: ClientID **Will Topic, Will Message**, User Name, Password
- graceful (clean) disconnection
 - via DISCONNECT
 - upon a graceful disconnection, the broker discards the stored LWT message
 - the LWT message not sent
- ungraceful disconnection
 - the broker has not heard from the client for $1.5 * \text{keepalive_time}$
 - the client closes the network connection without DISCONNECT
 - the broker closes the network connection because of a protocol error
 - the broker detects a network error
 - upon an ungraceful disconnection, the broker sends the LWT Message to all clients that subscribed to the Will Topic

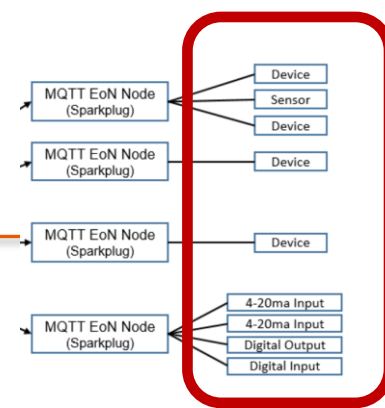
EoN DEATH CERTIFICATE (NDEATH)

- EoN Death Certificate: Will Topic + Will Message
 - a part of MQTT (LWT)
 - included in CONNECT
 - delivered by the broker upon ungraceful disconnection
- Topic: namespace/group_id/NDEATH/edge_node_id
- Upon reception of an EoN Death Certificate, any MQTT client subscribed to this EoN node should
 - set the data quality of all metrics to STALE and
 - note the time stamp when the NDEATH message was received
- Payload
 - a single metric: Birth/Death sequence number bdSeq (see below)
 - not much diagnostic information as to why EoN "died" (why???)

EoN BIRTH CERTIFICATE (NBIRTH)

- EoN Birth Certificate
 - added by Sparkplug (not MQTT)
 - the first MQTT message that an EoN node MUST publish upon the successful establishment of an MQTT Session is an EoN BIRTH Certificate
- Topic: namespace/group_id/NBIRTH/edge_node_id
- Upon reception, a client should
 - set the ONLINE state of this EoN node to TRUE along with the associated ONLINE Date Time parameter
- Payload
 - metrics that will be published by this EoN node
 - commands that will be accepted by this EoN node (formally, these are also metrics)
 - properties of this EoN node (formally, these are also metrics)

DEVICE BIRTH CERTIFICATE (DBIRTH)



- Device Birth Certificate
 - Added by Sparkplug (not MQTT)
- Topic: namespace/group_id/DBIRTH/edge_node_id/device_id
- Sent by EoN node on behalf of "its" device.
- Upon reception, a client should
 - set the ONLINE state of this device to TRUE along with the associated ONLINE date time this message was received
- Payload:
 - contains everything required to build out a data structure for all metrics for this device.

DEVICE DEATH CERTIFICATE (DDEATH)

- Device Death Certificate
 - added by Sparkplug (not MQTT)
 - note: the EoN Death Certificate uses an MQTT mechanism (LWT)
- Topic: namespace/group_id/DDEATH/edge_node_id/device_id
- Sent by EoN on behalf of its device.
- Upon reception, a client should
 - set the data quality of all metrics to "STALE" and should note the time stamp when the DDEATH message was received

SCADA/IIoT HOST BIRTH AND DEATH (STATE)

- Birth Certificate:
 - added by Sparkplug (not MQTT)
 - the first MQTT message that a SCADA/IIoT node must publish upon the successful establishment of an MQTT Session is a SCADA/IIoT host BIRTH Certificate
- Death Certificate:
 - a part of MQTT (LWT)
 - included in CONNECT
 - delivered by the broker upon ungraceful disconnection
- Topic: STATE/scada_host_id (both birth and death)
- In both certificates, the retained flag is set.
- Birth payload: "ONLINE"
- Death payload: "OFFLINE"

Reporting metrics:

NDA

DDATA

EoN NODE DATA (NDATA)

- After NBIRTH, an EoN node reports
 - Report by Exception (RBE)
 - note: Continuous Session Awareness makes it possible to use RBE (no need to worry, even if nothing changes for a long time)
- Topic: namespace/group_id/NDATA/edge_node_id
- Payload
 - RBE metrics

DEVICE DATA

- Topic: namespace/group_id/DDATA/edge_node_id/device_id
- Payload:
 - the payload of DDATA messages can contain one or more metric values

Issuing commands:

NCMD

DCMD

EoN NODE COMMAND (NCMD)

- Used to send commands to any connected EoN node.
- Topic: namespace/group_id/NCMD/edge_node_id
- Payload: updated metric.

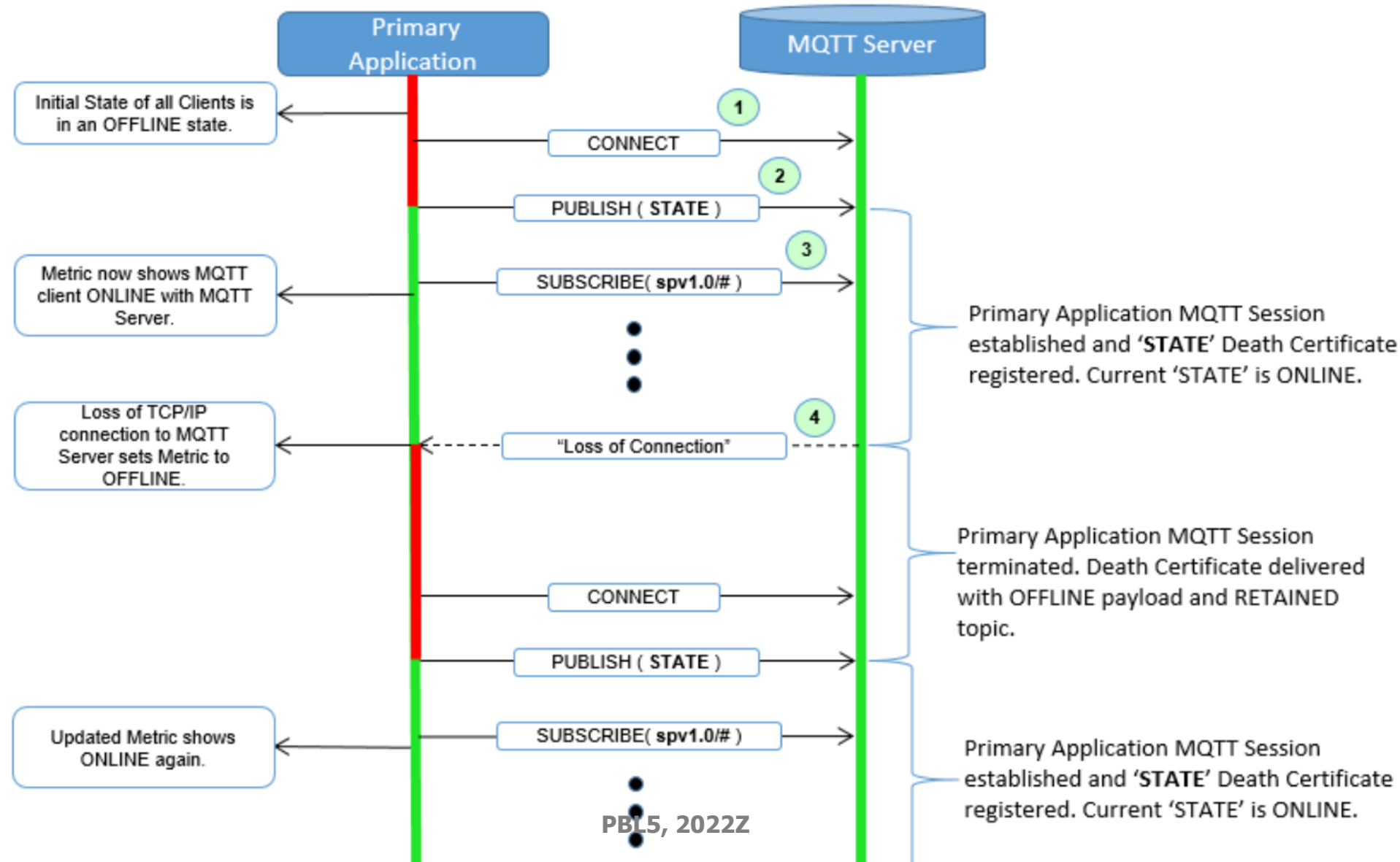
DEVICE COMMAND (DCMD)

- Used to send commands to any connected device.
- Topic: namespace/group_id/DCMD/edge_node_id/device_id
- Payload: updated metric.

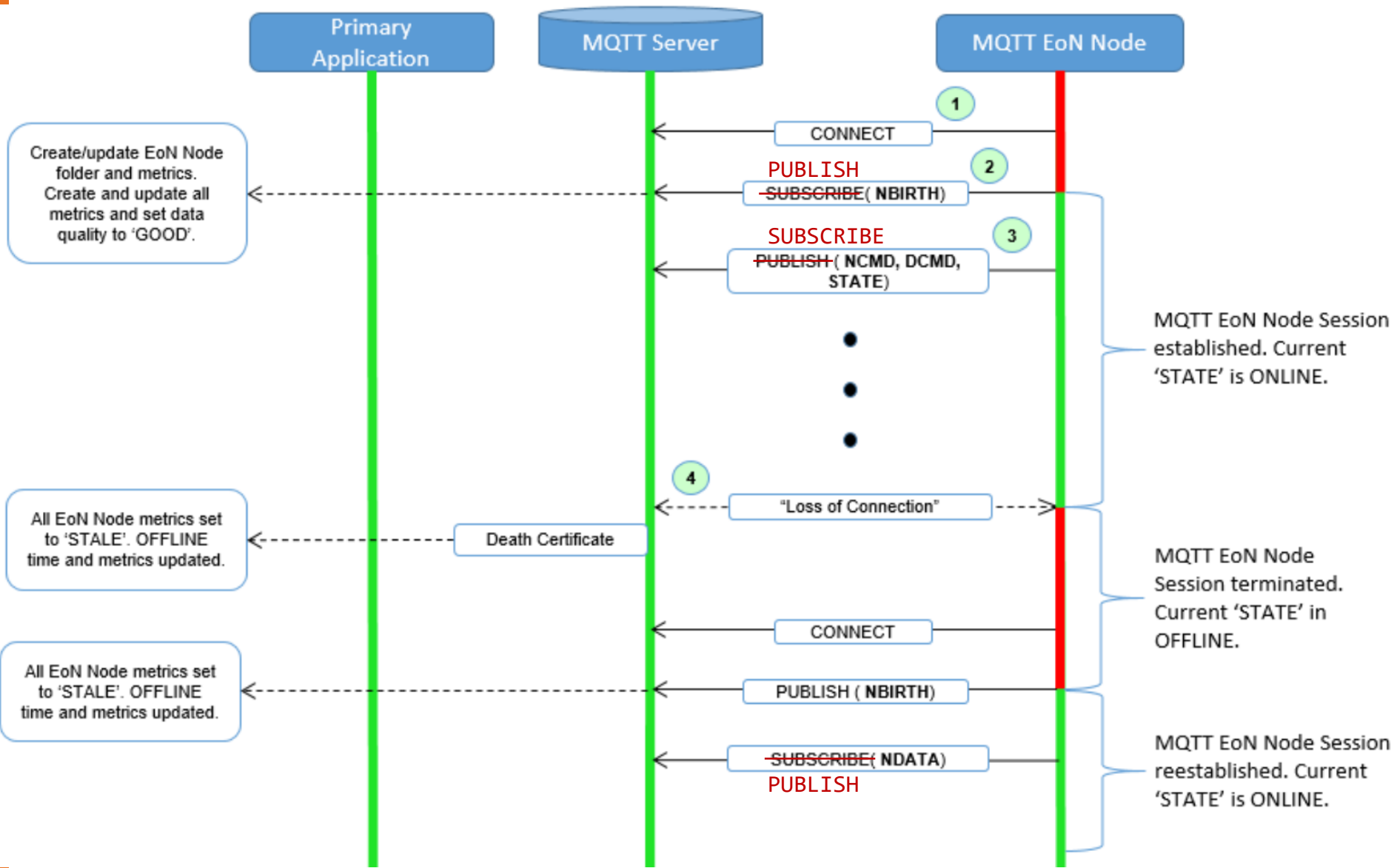
Typical message sequences

- A typical sequence for the Primary Application:
 - connect to the broker (CONNECT with LWT: STATE with "OFFLINE")
 - publish STATE (with "ONLINE"), topic STATE/<scada_host_id>
 - subscribe to
 - spBv1.0/#
- A typical sequence for an EoN node:
 - connect to the MQTT broker (CONNECT with LWT: NDEATH)
 - publish a birth message NBIRTH, topic spBv1.0/group/NBIRTH/<edge_node_id>
 - subscribe to
 - STATE/<scada_host_id>
 - spBv1.0/group/NCMD/<edge_node_id>
 - spBv1.0/group/DCMD/<edge_node_id>/#
 - if you represent a device, publish a birth message DBIRTH, topic spBv1.0/group/DBIRTH/edge_node_id>/<device_id>

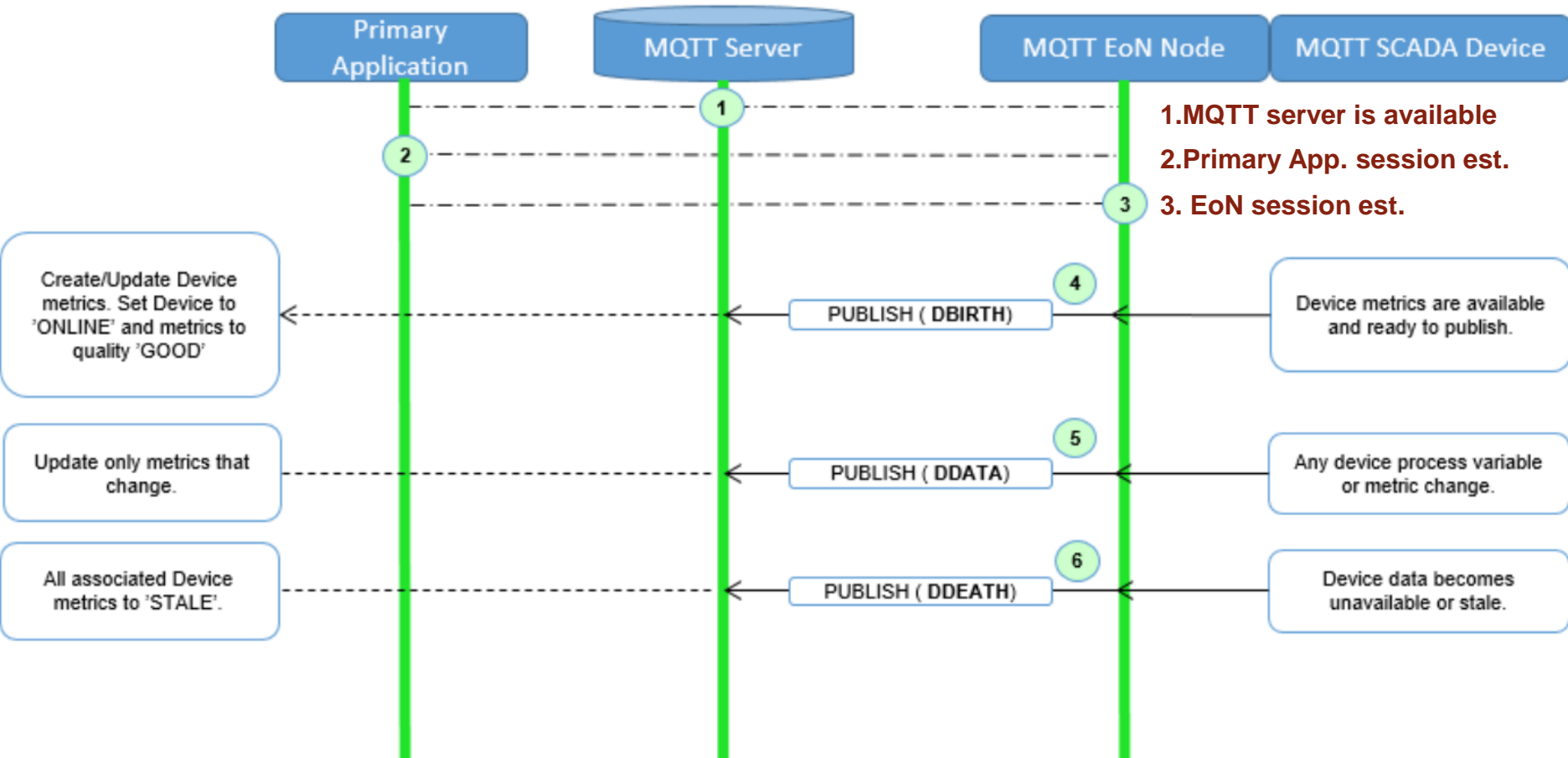
EXAMPLE: PRIMARY APP. SESSION ESTABLISHMENT



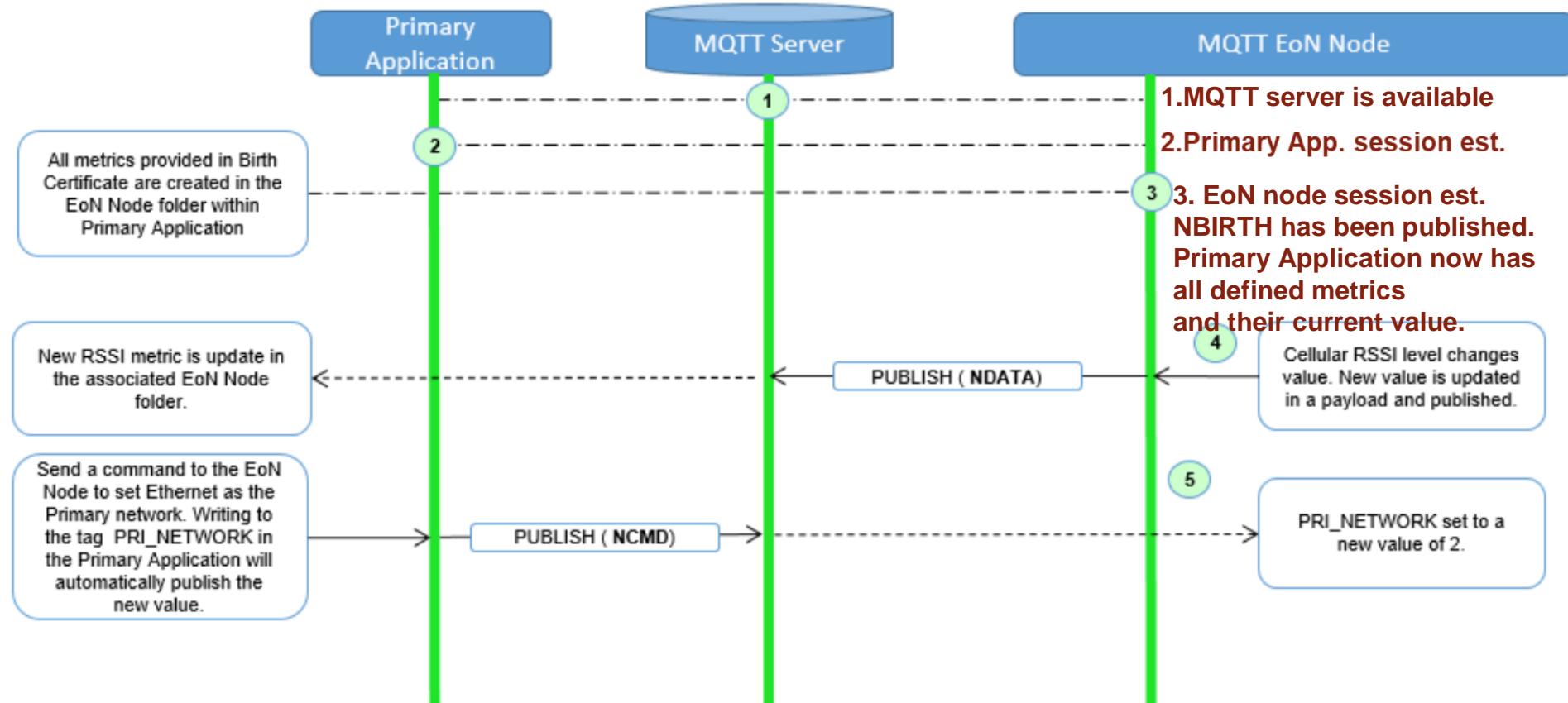
EXAMPLE: EoN NODE SESSION ESTABLISHMENT



EXAMPLE: DEVICE SESSION ESTABLISHMENT



EXAMPLE: NDATA AND NCMD MESSAGES



Sparkplug payload

SPARKPLUG_B.PROTO

- Look at `sparkplug_b.proto`
 - Sparkplug™ B Google Protocol Buffer Schema
- Quite a few nested message types there.
- Let's skip complex (and probably not so frequently occurring) payload structures.

PAYLOAD

- Essentially, a payload consists of metrics (sensor readings).

```
1. message Payload {
2.     ...
3.     optional uint64    timestamp    = 1; // message sending (publishing) time,
                                           // ms since epoch
4.     repeated Metric    metrics      = 2;
5.     optional uint64    seq          = 3; // sequence number of this message
6.     optional string    uuid         = 4; // the id may, e.g., help decode bytes(below)
7.     optional bytes     body         = 5; // custom binary data
8.     extensions 6 to max;           // for third party extensions
9. }
```

sparkplug_b.proto

METRIC

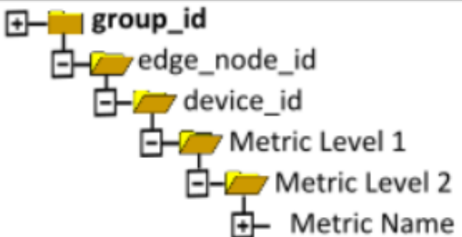
- Metric is a nested message type (inside Payload).
- A metric is essentially a key/value/datatype tuple along with metadata

```
1. message Metric { sparkplug_b.proto
2.   optional string   name           = 1; // should only be included on birth
3.   optional uint64   alias         key = 2; // tied on birth, used in DATA messages
4.   optional uint64   timestamp      = 3; // the capturing time of the mertic value
5.   optional uint32   datatype      = 4; // DataType of the value
6.   optional bool     is_historical  = 5; // if historical, not a current value
7.   optional bool     is_transient  = 6; // not to be stored by a historian
8.   optional bool     is_null       = 7; // there is no value
9.   optional MetaData metadata      = 8; // metadata
10.  optional PropertySet properties = 9;
11.  oneof value { value
12.    uint32   int_value      = 10; // one of protobufs data types
13.    uint64   long_value     = 11;
14.    float    float_value    = 12;
15.    double   double_value   = 13;
16.    bool     boolean_value  = 14;
17.    string   string_value   = 15;
18.    bytes    bytes_value    = 16;
19.    ...
20.  }
21. }
```

NAMING METRICS

- Name

- friendly (human-readable)
- a slash delimited UTF-8 string
- the hierarchical name represents folders in a hierarchical metric data structure in metric consuming applications
- example:
 - Metric Level 1/Metric Level 2/Metric Name

Metric	Value	Data Type
	value	type

- Alias

- optional
- unique within the metric's EoN node
- if defined in the NBIRTH or DBIRTH, it may be used in subsequent messages to reduce message size

EXAMPLE: SIMPLE PAYLOAD

- Presented as JSON for readability.  recall: actual protobufs encoding is binary

```
1. {
2.   "timestamp": <timestamp>,
3.   "metrics": [{ // just one metric
4.     „name": <metric_name>,
5.     "alias": <alias>,
6.     "timestamp": <timestamp>,
7.     "dataType": <datatype>,
8.     "value": <value>
9.   }],
10.  "seq": <sequence_number>
11. }
```

```
1. {
2.   "timestamp": 1486144502122,
3.   "metrics": [{
4.     "name": "My Metric",
5.     "alias": 1,
6.     "timestamp": 1479123452194,
7.     "dataType": "String",
8.     "value": "Test"
9.   }],
10.  "seq": 2
11. }
```

PROPERTYSET, PROPERTYVALUE

- An array of custom properties for each metric, such as engineering units or scaling limits.

```
1. message PropertySet {                                     sparkplug_b.proto
2.     repeated string keys = 1;                             // Names of the properties
3.     repeated PropertyValue values = 2;
4.     extensions 3 to max;
5. }
```

```
1. message PropertyValue {                                   sparkplug_b.proto
2.     optional uint32 type = 1; // the type of the value
3.     optional bool is_null = 2;
4.     oneof value {
5.         uint32 int_value = 3;
6.         uint64 long_value = 4;
7.         float float_value = 5;
8.         double double_value = 6;
9.         bool boolean_value = 7;
10.        string string_value = 8;
11.    }
12. }
```

Payloads by message types

NBIRTH PAYLOAD

- NBIRTH payload must include ...
 - a sequence number, seq (=0, this is the first message in the sequence by this EoN node)
 - a timestamp of the time this message was sent by this EoN node
 - every metric the EoN node will ever report on, at least ...
 - the metric name
 - the metric datatype
 - the current value
 - bdSeq (a metric)
 - this should match the bdSeq number provided in the MQTT CONNECT packet's LW&T payload.
- NBIRTH payload may include optional 'Node Control' metrics.
 - used by the Primary Application to control the EoN node
 - examples:
 - Node Control/Reboot
 - Node Control/Rebirth
 - Node Control/Next Server (go to the next MQTT Server in a multi-MQTT Server environment)
 - Node Control/Scan rate
- NBIRTH payload may include optional 'Properties' metrics.
 - used to provide properties of this EoN node
 - examples:
 - Properties/Hardware Model, Properties/OS

NBIRTH PAYLOAD: EXAMPLE (1/2)

```

1.  {
2.    "timestamp": 1486144502122,
3.    "metrics": [{
4.      "name": "bdSeq",
5.      "timestamp": 1486144502122,
6.      "dataType": "UInt64",
7.      "value": 0
8.    }, {
9.      "name": "Node Control/Reboot",
10.     "timestamp": 1486144502122,
11.     "dataType": "Boolean",
12.     "value": false
13.   }, {
14.     "name": "Node Control/Rebirth",
15.     "timestamp": 1486144502122,
16.     "dataType": "Boolean",
17.     "value": false
18.   }, {
19.     "name": "Node Control/Next Server",
20.     "timestamp": 1486144502122,
21.     "dataType": "Boolean",
22.     "value": false
23.   }, {
24.     "name": "Node Control/Scan Rate",
25.     "timestamp": 1486144502122,
26.     "dataType": "Int64",
27.     "value": 3000
28.   }, {
29.     "name": "Properties/Hardware Make",
30.     "timestamp": 1486144502122,
31.     "dataType": "String",
32.     "value": "Raspberry Pi"
33.   }, {
34.     "name": "Properties/Hardware Model",
35.     "timestamp": 1486144502122,
36.     "dataType": "String",
37.     "value": "Pi 3 Model B"
38.   }, {
39.     "name": "Properties/OS",
40.     "timestamp": 1486144502122,
41.     "dataType": "String",
42.     "value": "Raspbian"
43.   }, {
44.     "name": "Properties/OS Version",
45.     "timestamp": 1486144502122,
46.     "dataType": "String",
47.     "value": "Jessie with PIXEL/11.01.2017"
48.   }, {
49.     "name": "Supply Voltage (V)",
50.     "timestamp": 1486144502122,
51.     "dataType": "Float",
52.     "value": 12.1
53.   }
54. }, {
55.   "seq": 0
56. }

```

NBIRTH PAYLOAD: EXAMPLE (2/2)

- A representation at the Primary Application:


Metric	Value	Data Type
[-] Sparkplug B Devices		
[-] Raspberry Pi		
[-] Node Control		
[-] Reboot	FALSE	Boolean
[-] Rebirth	FALSE	Boolean
[-] Next Server	FALSE	Boolean
[-] Scan Rate	3000	Int64
[-] Properties		
[-] Hardware Make	Raspberry Pi	String
[-] Hardware Model	Pi 3 Model B	String
[-] OS Version	Raspbian	String
[-] OS Version	Jessie with PIXEL/11.01.2017	String
[-] Supply Voltage (V)	12.1	Float

NDEATH PAYLOAD

recall: NDEATH is an MQTT-level LWT message

- NDEATH payload must include ...
 - a single metric, the bdSeq number
- This way the NDEATH event can be associated with the corresponding NBIRTH.

DBIRTH PAYLOAD

- DBIRTH payload must include ...
 - a sequence number in the sequence by this EoN 
 - a timestamp of the time this message was sent by this EoN
 - every metric the device will ever report on, at least ...
 - the metric name
 - the metric datatype
 - the current value
- DBIRTH payload may include optional 'Device Control' metrics.
 - used by the Primary Application to control the device
 - examples:
 - Device Control/Reboot
 - Device Control/Rebirth
 - Device Control/Scan rate
- DBIRTH payload may include optional 'Properties' metrics.
 - use to provide properties of the device
 - examples:
 - Properties/Hardware Make
 - Properties/Hardware Model

recall: DBIRTH is not sent by a device;
it is sent by the device's EoN node

DBIRTH PAYLOAD: EXAMPLE (1/2)

```

1.  {
2.    "timestamp": 1486144502122,
3.    "metrics": [{
4.      "name": "bdSeq",
5.      "timestamp": 1486144502122,
6.      "dataType": "UInt64",
7.      "value": 0
8.    }, {
9.      "name": "Node Control/Reboot",
10.     "timestamp": 1486144502122,
11.     "dataType": "Boolean",
12.     "value": false
13.   }, {
14.     "name": "Node Control/Rebirth",
15.     "timestamp": 1486144502122,
16.     "dataType": "Boolean",
17.     "value": false
18.   }, {
19.     "name": "Node Control/Next Server",
20.     "timestamp": 1486144502122,
21.     "dataType": "Boolean",
22.     "value": false
23.   }, {
24.     "name": "Node Control/Scan Rate",
25.     "timestamp": 1486144502122,
26.     "dataType": "Int64",
27.     "value": 3000
28.   }, {
29.     "name": "Properties/Hardware Make",
30.     "timestamp": 1486144502122,
31.     "dataType": "String",
32.     "value": "Raspberry Pi"
33.   }, {
34.     "name": "Properties/Hardware Model",
35.     "timestamp": 1486144502122,
36.     "dataType": "String",
37.     "value": "Pi 3 Model B"
38.   }, {
39.     "name": "Properties/OS",
40.     "timestamp": 1486144502122,
41.     "dataType": "String",
42.     "value": "Raspbian"
43.   }, {
44.     "name": "Properties/OS Version",
45.     "timestamp": 1486144502122,
46.     "dataType": "String",
47.     "value": "Jessie with PIXEL/11.01.2017"
48.   }, {
49.     "name": "Supply Voltage (V)",
50.     "timestamp": 1486144502122,
51.     "dataType": "Float",
52.     "value": 12.1
53.   }
54. }, {
55.   "seq": 0
56. }

```

DBIRTH PAYLOAD: EXAMPLE (2/2)

- A representation at the Primary Application:

Metric	Value	Data Type
Sparkplug B Devices		
Raspberry Pi		
Pibrella		
Inputs		
A	FALSE	Boolean
B	FALSE	Boolean
C	FALSE	Boolean
D	FALSE	Boolean
Outputs		
LEDs		
Green	FALSE	Boolean
Red	FALSE	Boolean
Yellow	FALSE	Boolean
E	FALSE	Boolean
F	FALSE	Boolean
G	FALSE	Boolean
H	FALSE	Boolean
Buzzer	FALSE	Boolean
Properties		
Hardware Make	Pibrella	String

DDEATH PAYLOAD


- DDEATH payload must include ...
 - a sequence number, seq, in the sequence by this EoN

STATE PAYLOAD

- STATE payload must include ...
 - a UTF-8 string "OFFLINE" or ...
 - a UTF-8 string "ONLINE" or ...

recall: STATE with OFFLINE is an MQTT-level LWT message
- Sparkplug B is not used to allow the Primary Application to work with different clients (possibly not talking Sparkplug B).

NDATA PAYLOAD

- NDATA payload must include ...
 - a sequence number, seq, in the sequence by this EoN
 - a timestamp of the time this message was sent by this EoN node
 - the EoN node's metrics that have changed since the last NBIRTH or NDATA message
- Report by Exception (RBE)
- 

DDATA PAYLOAD

- DDATA payload must include ...
 - a sequence number, seq, in the sequence by this EoN
 - a timestamp of the time this message was sent by this EoN node
 - the device's metrics that have changed since the last DBIRTH or DDATA message

 Report by Exception (RBE)

NCMD PAYLOAD

- NCMD payload must include ...
 - a timestamp denoting the DateTime the message was sent by the Primary Application
 - the metrics that need to be written to on the EoN node

DCMD PAYLOAD

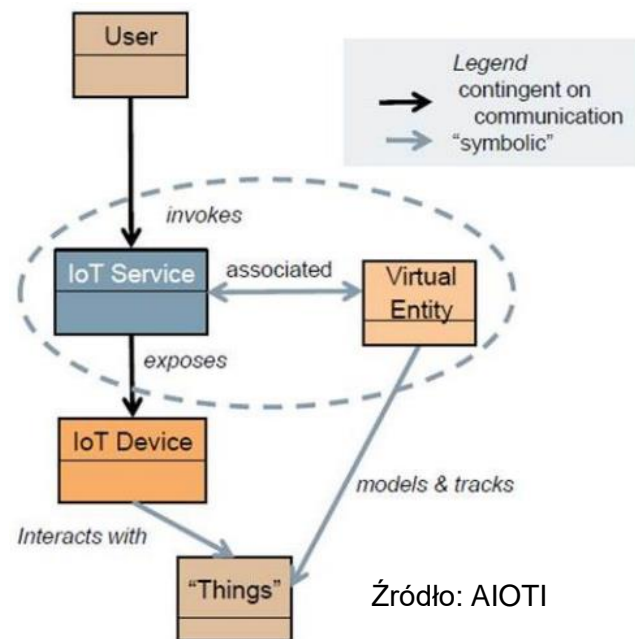
- NCMD payload must include ...
 - a timestamp denoting the DateTime the message was sent by the Primary Application
 - the metrics that need to be written to on the device

PBL5

Google Protocol Buffers (Protobuf)

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Protobuf language

MESSAGE TYPES

- Example: the definition of a simple *message type*

```
1. syntax = "proto2";  
  
2. message SearchRequest {  
3.     required string query = 1;   field = (rule, type, name, number (tag))  
4.     optional int32 page_number = 2;  
5.     optional int32 result_per_page = 3;  
6. }
```

- note: a field's number is NOT the field's value
- Message types (possibly multiple ones) are included in a *.proto file.

FIELD TYPES

- Scalar types
- Composite types
 - enumerations
 - message types
 - a message type can be the type of a field

SCALAR TYPES (1/)

- double
- float
- int32, int64
 - variable-length encoding
 - inefficient for encoding negative numbers
- uint32, uint64
 - variable-length encoding.
- sint32, sint64
 - variable-length encoding.
 - these more efficiently encode negative numbers than the int32/int64 types
- fixed32, fixed64
 - always four bytes.
 - more efficient than uint32/uint64 if values are often greater than $2^{28}/2^{56}$
- sfixed32, sfixed64
 - always four/eight bytes.

SCALAR TYPES (1/)

- `bool`
 - `boolean`
- `string`
 - must always contain UTF-8 encoded text
- `bytes`
 - may contain any arbitrary sequence of bytes

COMPOSITE TYPES: ENUMERATIONS (ENUM)

- A composite data type.

```
1. enum Corpus {
2.     CORPUS_UNSPECIFIED = 0;
3.     CORPUS_UNIVERSAL = 1;
4.     CORPUS_WEB = 2;
5.     CORPUS_IMAGES = 3;
6.     CORPUS_LOCAL = 4;
7.     CORPUS_NEWS = 5;
8.     CORPUS_PRODUCTS = 6;
9.     CORPUS_VIDEO = 7;
10. }

11. message SearchRequest {
12.     required string query = 1;
13.     optional int32 page_number = 2;
14.     optional int32 result_per_page = 3 [default = 10];
15.     optional Corpus corpus = 4 [default = CORPUS_UNIVERSAL];
16. }
```

COMPOSITE TYPES: MESSAGE TYPES

- You can use other message types as field types.
 - also note nested types (below)

```
1. message SearchResponse {  
2.     repeated Result result = 1;  
3. }  
  
4. message Result {  
5.     required string url = 1;  
6.     optional string title = 2;  
7.     repeated string snippets = 3;  
8. }
```

NESTED TYPES (1/)

- One can define and use message types inside other message types.

```
1. message SearchResponse {  
2.   message Result {  
3.     required string url = 1;  
4.     optional string title = 2;  
5.     repeated string snippets = 3;  
6.   }  
7.   repeated Result result = 1;  
8. }
```

NESTED TYPES (2/)

- You can reuse a nested message type outside its parent message type.
 - you refer to it as `_Parent_.Type_`

```
1. message SearchResponse {
2.   message Result {
3.     required string url = 1;
4.     optional string title = 2;
5.     repeated string snippets = 3;
6.   }
7.   repeated Result result = 1;
8. }

9. message SomeOtherMessage {
10.  optional SearchResponse.Result result = 1;
11. }
```


NESTED TYPES (3/)

- You can nest messages as deeply as you like.

```
1. message Outer {           // Level 0
2.     message MiddleAA {    // Level 1
3.         message Inner {   // Level 2
4.             optional int64 ival = 1;
5.             optional bool   booly = 2;
6.         }
7.     }
8.     message MiddleBB {    // Level 1
9.         message Inner {   // Level 2
10.             optional string name = 1;
11.             optional bool   flag = 2;
12.         }
13.     }
14. }
```

**the two nested types named Inner are entirely independent
(they are defined within different messages)**

FIELD NUMBERS

- Field numbers are used to identify your fields in the message binary format.
- They should not be changed once your message type is in use.
- Encoding:
 - field numbers in the range 1 through 15 take one byte to encode, including the field number and the field's type
 - field numbers in the range 16 through 2047 take two bytes

EXTENSIONS AND EXTEND

- Extensions let you declare that a range of field numbers in a message are available for third-party extensions.

```
1. message Foo {  
2.     // ...  
3.     extensions 100 to 199;    ← these field numbers are reserved for extensions  
4. }
```

```
1. message Foo {  
2.     extensions 1000 to max;    ← max – the maximum possible field number  
3. }
```

- Other users can now add new fields in their own .proto files that import your .proto.

```
1. extend Foo {  
2.     optional int32 bar = 126;  
3. }
```

FIELD RULES: REQUIRED

- required
 - in proto2
 - a well-formed message must have exactly one of this field

FIELD RULES: OPTIONAL

- optional

- a well-formed message may or may not contain an optional element
- when a message is parsed, if it does not contain an optional element, accessing the corresponding field in the parsed object returns the default value for that field

```
1. optional int32 result_per_page = 3 [default = 10];
```

field number

field default value

- if the default value is not specified for an optional element, a type-specific default value is used instead
 - for strings, the default value is the empty string
 - for bytes, the default value is the empty byte string
 - for bools, the default value is false
 - for numeric types, the default value is zero
 - for enums, the default value is the first value listed in the enum's type definition.

FIELD RULES: REPEATED

- repeated
 - this field can be repeated any number of times (including zero) in a well-formed message.
 - the order of the repeated values will be preserved
- If you used the packed option, repeated items are encoded more efficiently.

```
1. message Test {  
2.     repeated int32 f = 6 [packed=true];  
3. }
```

- only repeated fields of primitive numeric types can be declared "packed"

ONEOF

- Case: many optional fields and where at most one field will be set at one time.
- Solution: `oneof`

```
1. message SampleMessage {  
2.   oneof test_oneof {  
3.     string name = 4;  
4.     SubMessage sub_message = 9;  
5.   }  
6. }
```

- You cannot use `required`, `optional`, and `repeated` with `oneof` fields.
- You can check which value in a `oneof` is set (if any).

WORKING WITH MULTIPLE PROTO FILES

```
1. import "myproject/other_protos.proto";
```

Protobuf wire format

Recall: variable-length encoding in data compression (e.g., Huffman code),
There, codeword lengths depend on a letter's probability.
More probable letters get shorter codewords.

VARINTS

- Variable length encoding for integers.
- For 64-bit integers: one to ten bytes.
- Small numbers are encoded with fewer bytes.
 - in your software, you are probably more likely to use the number 2 than, say, 3452813
- Each byte: continuation bit (MSB)+7-bit payload.
- Examples:

continuation bit highlighted

- 1 is encoded as 00000001
- 150 is encoded as 10010110 00000001

```
1. 10010110 00000001 // Original inputs.
2. 0010110 0000001 // Drop continuation bits.
3. 0000001 0010110 // Put into little-endian order.
4. 10010110 // Concatenate.
5. 128 + 16 + 4 + 2 = 150 // Interpret as integer.
```

- Why up to ten bytes are needed for a 64-bit integer?

TWO'S COMPLEMENT (REMINDER)

- What happens for negative integers?
- As a rule, in computing we use two's complement representation.
- Example
 - one byte two's complement representation

1.	0	00000000
2.	1	00000001
3.	127	01111111
4.	-128	10000000
5.	-127 = -128+1	10000001
6.	-1 = -128+127	11111111

The weight of the most significant bit is -128.
The weights of other bits are "as usual" (positive).
For negative numbers, the most significant bit is always set.
All ones always represent -1.

VARINTS AND TWO'S COMPLEMENT

- `int32` and `int64`:
 - they use two's complement representation
 - negative numbers have the most significant bit set
 - a varint representing a negative `int32` or `int64` always uses the maximum number of bytes
- Example.
 - The varint for -2 (assuming it's an `int64`) is as follows:

```
1. 11111110 11111111 11111111 11111111 11111111
2. 11111111 11111111 11111111 11111111 00000001
```

- explain why this is so

ZIGZAG ENCODING

- `sint32` and `sint64` use ZigZag encoding.

Signed Original n	Encoded As $2*n$ if $n \geq 0$ and as $2*(-n)-1$ if $n < 0$
0	0
-1	1
1	2
-2	3
...	...
0x7fffffff	0xffffffffe
-0x80000000	0xfffffffff

- The values of `sint32` and `sint64` are first ZigZag encoded, and then represented as a varint.

RECORDS

- A protocol buffer message is a series of key-value pairs.
- Each key-value pair is turned into a record.
 - encoded using a kind of the TLV scheme (tag-length-value)
- A record contains:
 - a field number
 - a wire type (allows one to determine the size of the payload)
 - a payload (the value for that field)

WIRE TYPES

ID	Name	Used For
0	VARINT	int32, int64, uint32, uint64, sint32, sint64, bool, enum
1	I64	fixed64, sfixed64, double
2	LEN	string, bytes, embedded messages, packed repeated fields
5	I32	fixed32, sfixed32, float

TAG: ENCODING FIELD NUMBER AND WIRE TYPE

- Tag
 - form $(\text{field_number} \ll 3) | \text{wire_type}$
 - then encode this as a varint.
- BTW, why is wire type needed?
 - recall: wire type allows one to determine the size of the payload
 - with wire type old parsers can skip over new fields they don't understand (fields with unknown field numbers)
 - examples:
 - if wire type == 0 (VARINT), skip a varint
 - If wire type == 1 (I64), skip 8 bytes
 - ...

WIRE TYPE LEN (ID==2)

- Consider strings, bytes, ...
- The wire type alone is not enough to determine the size of the payload.
- A varint for the length of the payload is placed immediately after the tag.
- The payload length varint is followed by the payload as usual.
- Example:

```
1. message Test2 {  
2.   optional string b = 2;  
3. }
```

4. Let the string b be "testing"

5. Encoding: 12 07 [74 65 73 74 69 6e 67]



tag (a varint): 12 = 00010 010
010=2, wire type is LEN

the tag is followed by the length of the payload (a varint), 7

00010 = 2, the number of the field

SUMMARY

A kind of BNF notation.
Are you familiar with BNF?

1. message := (tag value)*
* - zero or more occurrences, (and) belong to BNF
zero occurrences of (tag, value)? all fields may be optional
2. tag := (field << 3) bit-or wire_type;
3. encoded as varint
4. value := varint for wire_type == VARINT,
5. i32 for wire_type == I32,
6. i64 for wire_type == I64,
7. len-prefix for wire_type == LEN,
8. varint := int32 | int64 | uint32 | uint64 | bool | enum | sint32 | sint64;
9. encoded as varints (sintN are ZigZag-encoded first)
10. i32 := sfixed32 | fixed32 | float;
11. encoded as 4-byte little-endian;
12. i64 := sfixed64 | fixed64 | double;
13. encoded as 8-byte little-endian;
14. len-prefix := size (message | string | bytes | packed);
size encoded as varint
15. recall: a message type can be the type of a field (note the recursion)
16. string := valid UTF-8 string (e.g. ASCII);
max 2GB of bytes
17. recall repeated "packed" fields:
only one tag per a sequence of packed values
18. bytes := any sequence of 8-bit bytes;
max 2GB of bytes
19. packed := varint* | i32* | i64*;
20. consecutive values of the type specified in `.proto`
- 21.

EXAMPLE (1/5)

- The *.proto file:

```
1. message EncodingTest {  
2.     required int32 value1 = 1;  
3.     required int32 value2 = 31;  
4.     required int64 value3 = 5;  
5.     required sint64 value4 = 6;  
6.     required string value5 = 7;  
7. }
```

- The message:

```
1. {  
2.     "value1": 5,  
3.     "value2": 3,  
4.     "value3": -7,  
5.     "value4": -7,  
6.     "value5": "abcd"  
7. }
```

EXAMPLE (2/5)

- Encoding/decoding the message according to the *.proto file:

The screenshot shows the Node-RED web interface in a browser. The flow is titled "Local protobuf enc/dec". It starts with two inject nodes: "SearchRequest" and "EncodingTest". "SearchRequest" is connected to "debug 4". "EncodingTest" is connected to a "my_encode" node. The "my_encode" node is marked as "Processed" and is connected to "debug 3". The "my_encode" node is also connected to a "my_decode" node, which is also marked as "Processed" and connected to "debug 2". A red arrow points from the URL text below to the "my_encode" node. The "debug" sidebar on the right shows the payload of the message from "debug 2":

```
msg.payload : buffer[24]
buffer[24] raw
[0 ... 9]
0: 0x8
1: 0x5
2: 0x28
3: 0xf9
4: 0xff
5: 0xff
6: 0xff
7: 0xff
8: 0xff
9: 0xff
[10 ... 19]
[20 ... 23]
```

<https://flows.nodered.org/node/node-red-contrib-protobuf>
Node-RED protobuf encoder and decoder.

EXAMPLE (3/5)

- Wire representation (24 bytes):

1.	0x08	// tag 00001 000, field number==1, wire type==0 (VARINT)
2.	0x05	// value <u>00000101</u> ==5
3.	0x28	// tag 00101 000, field number==5, wire type==0 (VARINT)
4.	0xf9 0xff 0xff 0xff 0xff 0xff 0xff 0xff 0x01	//value
5.	0x30	// tag 00110 000, field number==6, wire type==0 (VARINT)
6.	0x0d	// value <u>00001101</u> ==13 (==2*(-(-7))-1, ZigZag encoded -7)
7.	0x3a	// tag 00111 010, field number==3, wire type==2 (LEN)
8.	0x04	// size==4
9.	0x61 0x62 0x63 0x64	// value "abcd"
10.	0xf8 0x01	// tag
11.	0x03	// value


```
1. message EncodingTest {
2.     required int32 value1 = 1;
3.     required int32 value2 = 31;
4.     required int64 value3 = 5;
5.     required sint64 value4 = 6;
6.     required string value5 = 7;
7. }
```

```
1. {
2.     "value1": 5,
3.     "value2": 3,
4.     "value3": -7,    10 byte value
5.     "value4": -7,    1 byte value
6.     "value5": "abcd"
7. }
```

EXAMPLE (4/5)

- Wire representation (24 bytes):

1.	
2.	
3.	
4.	0xf9 0xff 0xff 0xff 0xff 0xff 0xff 0xff 0xff 0x01 //value (VARINT)
5.	
6.	
7.	
8.	
9.	
10.	
11.	



1. 0xf9 0xff 0xff 0xff 0xff 0xff 0xff 0xff 0xff 0x01

VARINT: discard continuation bits, arrange in the little endian order, concatenate 64=9*7+1 bits highlighted (the leading zeros do not play any role)

most significant bit of 64-bit two's complement representation

2. 0000001 1111111 1111111 1111111 1111111
3. 1111111 1111111 1111111 1111111 1111001 // -1-2-4=-7


With all ones, we would have -1.

The two zeros make it -1-2-4 = -7

EXAMPLE (5/5)

- Wire representation (24 bytes):

1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10.	0xf8 0x01 // tag (VARINT)
11.	



1. 0xf8 0x01

VARINT: discard continuation bits, arrange in the little endian order, concatenate

1. 0000001 1111000 = 11111 000

field number == 31

**Your task:
experiment with Protobuf
in Node-RED**

PROTOBUF IN NODE-RED (1/2)

- Start Node-RED.
 - done on your VM
- Connect to Node-RED with your browser.
 - 127.0.0.1:1880
- Install node-red-contrib-protobuf nodes in your Node-RED.
 - done on your machine
- Check if Protobuf encode and decode nodes are available in the Node-RED palette.
- Create a Node-RED Protobuf encoding/decoding testbed flow.
 - an informal notation for the flow may look as follows

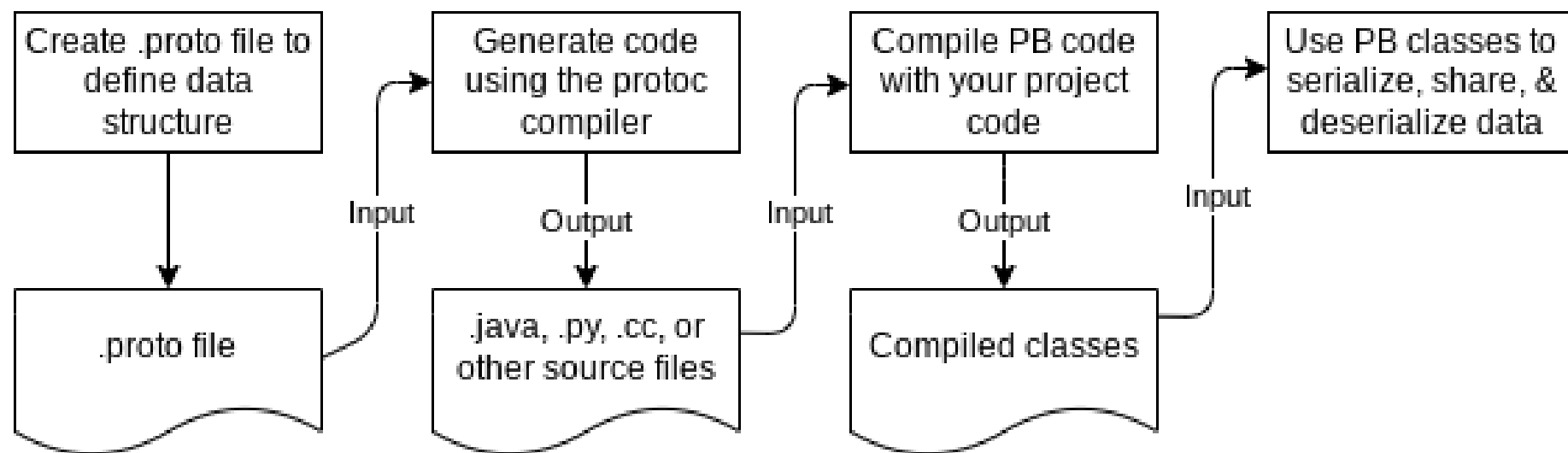
```
1. inject
2.       -> debug
3.       -> encode
4.               -> debug
5.               -> decode
6.                       -> debug
```

PROTOBUF IN NODE-RED (2/2)

- Create a *.proto file with your own, "unique" message type.
 - the file should be on your VM (where Node-RED runs)
- Configure the protobuf encode and decode nodes by providing these properties:
 - a proto File (the path to your *.proto file)
 - a protobuf message type (a message type in your *.proto file)
- Configure the Inject node with a message compliant with one of the types defined in the *.proto file.
- Inject a message to be encoded and decoded.
- Using the Debug sidebar
 - check if the decoded message is the same as the original one
 - write down the sequence of bytes produced by the encoder
- Manually decode (parse) the sequence of bytes.
 - check if you've got the right message

Programming with Protobuf

PROTOCOL BUFFERS PROGRAMMING WORKFLOW



PROTOBUF IN PYTHON (1/5)

- Create a *.proto file to define a data structure.
- Example:
 - my_message.proto

```
1. syntax="proto2";    version 2 of the Protocol Buffers language (there is also version 3)  
  
2. message SearchRequest {  
3.     required string query = 1;  
4.     required int32 page_number = 2;  
5.     required int32 counter = 3;  
6. }
```

PROTOBUF IN PYTHON (2/5)

- Generate code using the protoc compiler.
- Example:
 - compiling a *.proto file

```
1. protoc -I=$SRC_DIR --python_out=$DST_DIR $SRC_DIR/my_message.proto
```

← could select another language

PROTOBUF IN PYTHON (3/5)

- Example:

- for my_message.proto, I got the file my_message_pb2.py (some whitespace deleted):

```
1. # -*- coding: utf-8 -*-
2. # Generated by the protocol buffer compiler.  DO NOT EDIT!
3. # source: my_message.proto
4. """Generated protocol buffer code."""
5. from google.protobuf.internal import builder as _builder
6. from google.protobuf import descriptor as _descriptor
7. from google.protobuf import descriptor_pool as _descriptor_pool
8. from google.protobuf import symbol_database as _symbol_database
9. # @@protoc_insertion_point(imports)
10. _sym_db = _symbol_database.Default()
11. DESCRIPTOR =
    _descriptor_pool.Default().AddSerializedFile(b'\n\x10my_message.proto"D\n\rSearch
    Request\x12\r\n\x05query\x18\x01 \x02(\t\x12\x13\n\x0bpage_number\x18\x02
    \x02(\x05\x12\x0f\n\x07\x63ounter\x18\x03 \x02(\x05')
12. _builder.BuildMessageAndEnumDescriptors(DESCRIPTOR, globals())
13. _builder.BuildTopDescriptorsAndMessages(DESCRIPTOR, 'my_message_pb2', globals())
14. if _descriptor._USE_C_DESCRIPTORS == False:
15.     DESCRIPTOR._options = None
16.     _SEARCHREQUEST._serialized_start=20
17.     _SEARCHREQUEST._serialized_end=88#
18. @@protoc_insertion_point(module_scope)my_message_pb2.py
```

PROTOBUF IN PYTHON (4/5)

- Invoke the package manager to install a Python protobuf package.
- Example:

```
1. pip install protobuf
```


PROTOBUF IN PYTHON (5/5)

- Combine protobuf code with your project code.

- Example:

```
1. import array
2. import my_message_pb2
3. # create a message
4. request = my_message_pb2.SearchRequest()
5. request.query = "laptops"
6. request.page_number = 23
7. request.counter = 10
8. print("I created a request.")

9. # encode to protobuf
10. binary_request=request.SerializeToString()
11. print("I encoded the request.")
12. print(array.array('b', binary_request))

13. # decode the message
14. decoded_request = my_message_pb2.SearchRequest()
15. decoded_request.ParseFromString(binary_request)
16. print("I decoded the request.")
17. print(decoded_request.query, decoded_request.page_number, decoded_request.counter)
```

a message type defined in my_message.proto



output:

```
1. I created a request.
2. I encoded the request.
3. array('b', [10, 7,
               108, 97, 112, 116,
               111, 112, 115, 16, 23,
               24, 10])
4. I decoded the request.
5. laptops 23 10
```

**Your task:
experiment with Protobuf
in Python**

PROTOBUF IN PYTHON

- Create a *.proto file with your own, "unique" message type.
- Compile your message type with the protoc compiler.
- Write a simple Python script to
 - create an instance of your message type
 - initialize your message
 - encode (serialize) your message
 - decode (parse) your message
 - check if the decoded instance is the same as the original one

Your task:

use MQTT

**to send Protobuf-encoded messages with Python
and receive them with Node-RED**

PUBLISHING PROTOBUF MESSAGES (PYTHON) 1/2

- Continue with the Python script developed in the previous task.
- Add code to publish your Protobuf-serialized message.
 - use the Eclipse Paho MQTT Python client library
 - pick any topic
 - assume there is a local Mosquitto MQTT broker (127.0.0.1:1883)
- You may check with `mosquitto_sub` if the message gets delivered.

PUBLISHING PROTOBUF MESSAGES (PYTHON) 2/2

- The Paho code might look as follows.

```
1. ...
2. # publish via MQTT (Paho)
3. broker="127.0.0.1"
4. port=1883
5. topic="products"
6. payload=binary_request

7. def on_connect(client, userdata, flags, rc):
8.     print("Connected with result code "+str(rc))
9.     client.publish(topic,payload)      # publish after the connection established
10. def on_message(client, userdata, msg):
11.     print(msg.topic+" "+str(msg.payload))
12. def on_publish(client, userdata, mid):
13.     print("Published the message with mid", mid)
14.     client.disconnect()
15.     quit()
16.
17. client= paho.Client("control1")        # create a client object
18. client.on_connect = on_connect
19. client.on_message = on_message
20. client.on_publish = on_publish
21. client.connect(broker,port)            # establish a connection
22. client.loop_forever()
```

RECEIVING PROTOBUF MESSAGES (NODE-RED)

- Create a Node-RED flow to subscribe to and decode Protobuf messages.

- an informal notation for the flow may look as follows

```
1. mqtt_in
2.      -> decode
3.      -> debug
```

- Configure the subscribing client (mqtt_in) by providing these properties:
 - the MQTT server (localhost:1883)
 - the topic
- Configure the protobuf decode node by providing these properties:
 - a proto file (the path to your *.proto file used by the Python script)
 - a protobuf message type (the message type you used in your Python script)

SENDING & RECEIVING PROTOBUF MESSAGES

- Now, run the Python script and check if the message encoded and published by the script is the same as the message received and decoded in Node-RED.

Dziękujemy za uwagę!

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