# Intro to deep learning

Dr. Janoś Gabler, University of Bonn

Lecture 11: Transformers



#### Motivation

Today you finally learn how transformers work and how they revolutionized NLP

## Topics

- Problems before transformers
- The essence of transformers
- Smart engineering tricks
- Model architectures
- How should you use transformers

# Before transfomers

# Problems of RNNs: Short memory

- lacktriangle Hidden state  $h_t$  is the only thing that has memory
- $lacksquare h_t = tanh(W_{hh} \cdot h_{t-1} + W_{xh} \cdot x_t)$
- Intuition: Each time step:
  - Adds new information
  - Destroys some old information
- Final state has only vague memory of words that were incorporated a long time ago

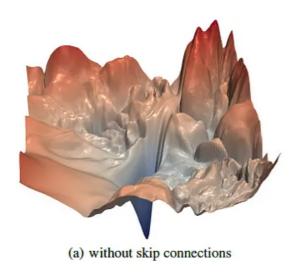
# Why do we need long memory?

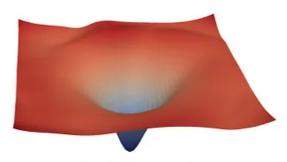
- Example: "The animal did not cross the street because it was too tired"
- "it" refers to "The animal" but there are 6 words in between
- Similar connections can span multiple sentences!
- Important for machine translation or language modelling!

# Problems of RNNs: Vanishing or exploding gradients

- Gradients of RNNs can easily
  - Explode, i.e. become infinity/undefined
  - Vanish, i.e. become zero
- Boths cases are problematic during training
- LSTM formulation improves this but does not completely avoid it

#### How bad is it?





(b) with skip connections

# Problems of RNNs: No parallelism

- Fundamental RNN equations:
  - $lacksquare h_t = tanh(W_{hh} \cdot h_{t-1} + W_{xh} \cdot x_t)$
  - $\bullet$   $y_t = W_{hy} \cdot h_t$
- By construction, RNNs are hard to parallelize
  - No parallelism between time-steps
  - lacksquare Product with  $W_{hh}$  and  $W_{xh}$  could be done in parallel

#### How bad is it?

- Training at the scale of GPT would have been completely impossible
- Even GPUS back then could not be used efficiently
- Latest GPUs only can do their magic on parallelizable workloads!

# Language Modelling before transformers

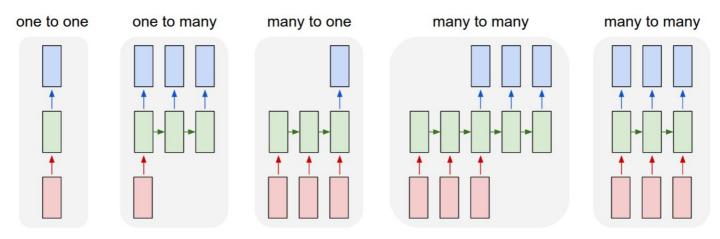
- Only used for text generation
- Trained from scratch for specific topics
- Use LSTM-RNNs
- Most people did not see the enormous potential!
- RNNs were good enough to be state of the art
- Transformers completely replaced them after 2017

#### Machine translation before transformers

- Hybrid of rule-based and statistical approaches
- Hand-crafted components
  - Alignment models
  - Translation models
  - **.**..
- RNNs were used somewhat successfully since 2015
- Google translate uses them since 2016
- Attention is all you need introduces transformers in 2017

# From RNNs to transformers

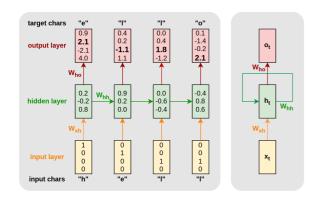
#### Model interfaces



- 1. Image classification
- 2. Image captioning
- 3. Text classification

- 4. Machine translation
- 5. Language modelling

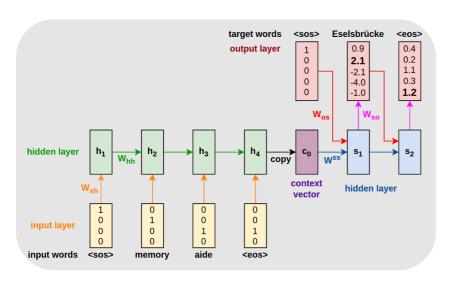
## What do we need for language models



Source: Tobias Stenzel's Blog

- Ultimately need a model that produces a list of hidden states
- One hidden state per output word
- Cannot peak into the future!
- Let's keep embedding and linear output layer + softmax unchanged

#### What do we need for translation



- Need an encoder hidden state, that encodes the entire sentence
- Decoder needs are the same as language modeling needs

Source: Tobias Stenzel's Blog

## The famous transformer graph

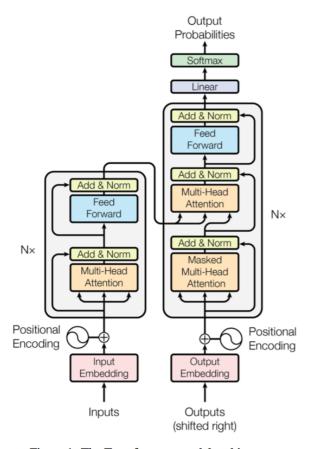


Figure 1: The Transformer - model architecture.

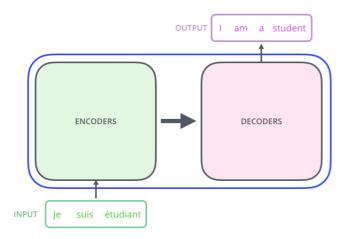
- Left: Encoder
- Right: Decoder
- Main questions:
  - What is (Multi-Head) Attention?
  - What is masked Attention?
  - Wat is the role of the Feed-Forward network?
  - How does this relate to RNNs?

# The essence of transformers

#### The illustrated transformer

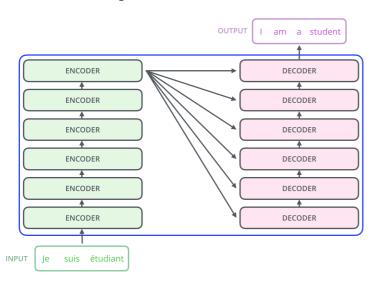
- We do not have the basics to understand the chart from the transformer paper
- Most of the following is from the amazing illustrated transformer blogpost!
- For now, we stick to the absolute essence of transformers
- For even more details, look at the blogpost

# High level interface



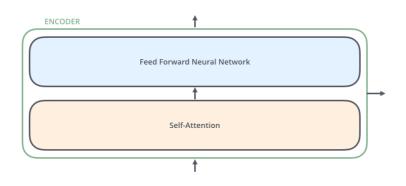
- Transformers can be
  - Encoder-only (BERT-style)
  - Encoder-decoder (Original, Machine translation)
  - Decoder only (GPT-style)
- We will first focus on the encoder

#### A deep encoder-decoder stack



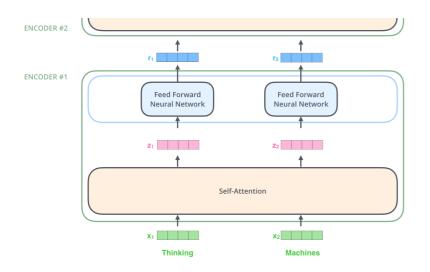
- So far, this could be a deep RNN
- There are multiple stacked encoder cells and decoder cells
- All decoder cells have access to the last encoder hidden state

# The steps of a transformer encoder cell



- Remember: Encoders look at the entire sentence!
- Attention averages vectors
  corresponding to different words
- Feed-Forward Network transforms
  vectors and plays the role of a
  nonlinearity

#### The interface of an RNN cell

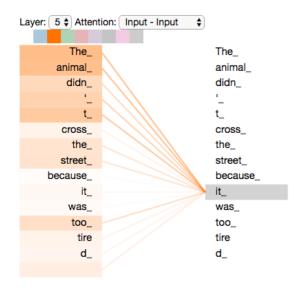


- Takes: One vector per input word
- Returns: One vector per input word (the list of hidden states)
- Typically: All vectors have same length (`n\_hidden`)

## Summary

- The encoder cell does two things:
  - Calculate different weighted averages of input vectors via attention
  - Transform those averages via a neural network
- All the magic will come from trainable parameters!

## How can Attention be so powerful?

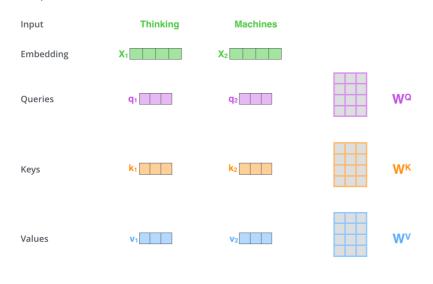


- The hidden representation of "it" will encode that "it" refers to "The animal"
- No problem that there were several words in between
- In fact, word order is irrelevant for attention and we will have to use a trick to encode position information!

#### Roadmap

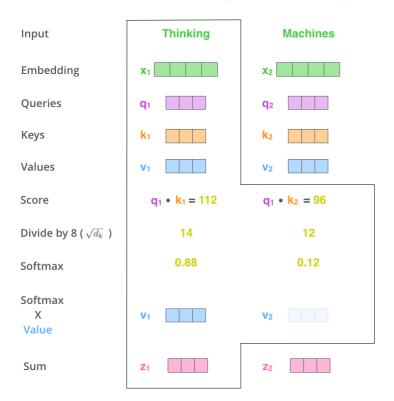
- Goal: Take optimally weighted averages of vectors
- Steps:
  - Calculate query, key and value vectors
  - Use query and key vectors + softmax to calculate weights
  - Take weighted sums of value vectors
- Optimality comes from training the weight matrices

## Query, key and value vectors



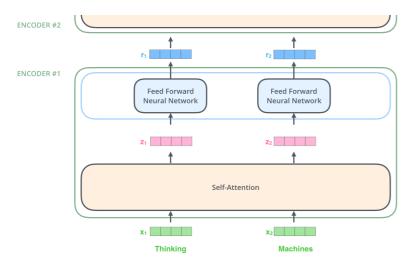
- lacktriangle The matrices  $W^Q$ ,  $W_K$  and  $W^V$  are trainable parameters
- lacktriangle the vectors q, k and v are calculated by multiplying the input vectors x by weight matrices
- lacktriangle Example:  $q_1 = W^Q imes x_1$
- ullet  $W^V$  is a bit similar to  $W_{xh}$  in our RNN

# Calculating weights



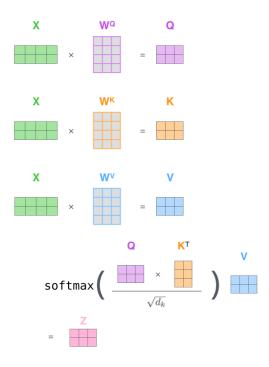
- Calculate attention scorces for output vector 1
  - Use query vector 1
  - Multiply with all key vectors
- Division is a training trick!
- Softmax produces weights that sum to 1
- $z_1$  will be mostly  $x_1$  but have some  $x_2$  mixed in

#### Summary



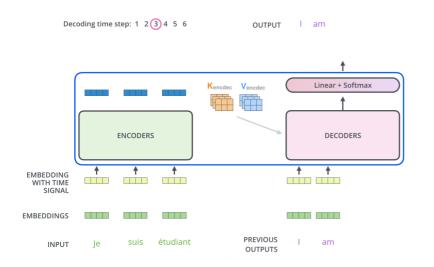
- We now understand how one encoder cell works
  - ullet  $W^V$  is used to transform the inputs
  - Attention weights (from queries and keys) are used to average the the transformed inputs
- Feed Forward network plays the role of nonlinearities

## Efficient implementation



- Concise way of expressing same calculations as before
- Matrix multiplications are implemented very efficiently on GPUs

#### What about the decoder



- The output vectors of the last encoder cell are passed to all decoders
- Decoder uses two types of attention
  - Cross attention to encoder states
  - Masked self-attention to already decoded outputs
- Without masking, decoding would be trivial

#### Transformer vs. RNN

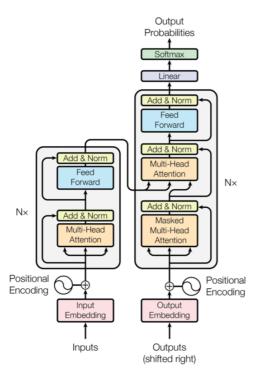
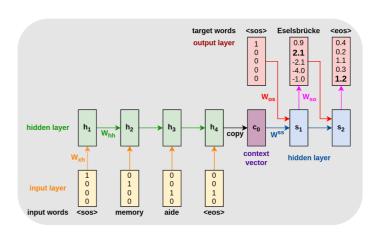


Figure 1: The Transformer - model architecture.



# Computational advantages

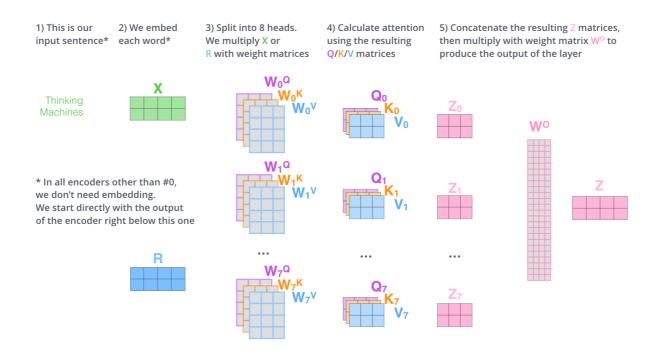
- There are not time-steps anymore
- All words can be processed independently (in parallel)
- Even decoding can be done in parallel during training!
- lacktriangle No repeated multiplication with  $W_h h$  -> better gradients

# What we skipped

#### Multi-Headed attention

- In practice, all transformer models use multi-headed attention
- Repeat attention calculation k times with different sets of weight matrices
- Produces "too many output vectors"
- They are concatenated and projected down to desired shape

#### Multi-Headed attention

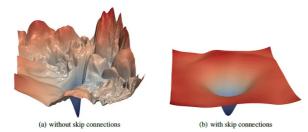


# Positional encoding

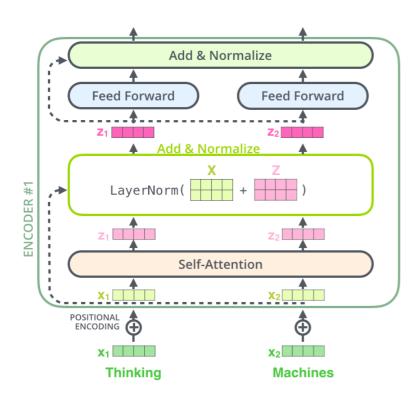
- Transfomers ignore order of inputs
- However, order is relevant for meaning of language
- Need separate positional encoding to make transformers aware of order
- Solution: Add position embedding to word embedding!
- Different methods to produce position embeddings, see blogpost

# Gradient problems

- Transformers can still have vanishing or exploding gradients
- To avoid this, they use residual connections and layer norm



## Residual connections and layer norm



- Remember, a transformer cell takes
   $x_i$  and produces  $z_i$
- lacktriangleright Transformer cells with residual connections instead return  $ilde{z}_i = x_i + z_i$

### Recap: The full transformer

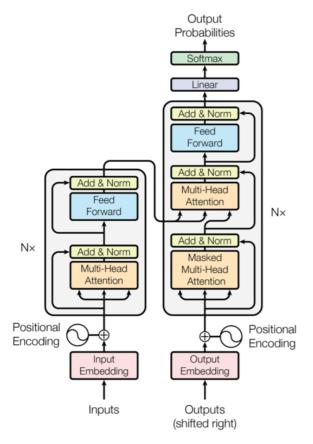
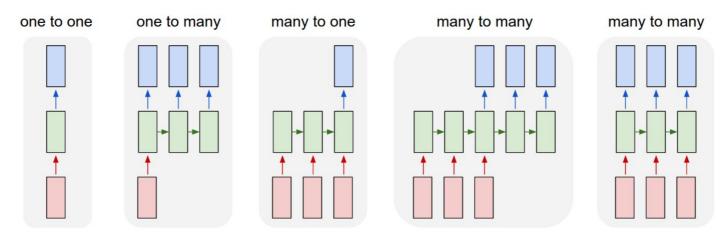


Figure 1: The Transformer - model architecture.

- We now know all components of the transformer
- You have intuition how and why attention works
- You also know many of the engineering tricks
- Ultimately, the transformer is used because it is successful in practice and the training tricks are as important as the basic architecture

# Model architectures

#### Tasks and architectures



- 3. Text classification: Encoder only
- 4. Machine translation: Encoder-decoder
- 5. Language modelling: Decoder only

Encoder: BERT

<del>-----</del>

Encoder-Decoder: T5

Decoder: GPT2

# Wrapping up

### How to use transformers

- Giant Models (would not run on your computer):
  - APIs like OpenAi
- Smaller models
  - Find pre-trained model on huggingface
  - Fine-tune it on a GPU if necessary
  - Run the fine-tuned model on your computer
- Do not attempt to pre-train a large language model from scratch!

### Outlook

- Guest lecture on webscraping/crawling
- Deadline for final project topics