

BACHELOR THESIS

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Dedication.

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Contents

Introduction	6
1 Kempe chains and Routing graphs 1.1 Kempe chains and rooted K7-minors	7 9
Conclusion	13
Bibliography	14
Bibliography	15
List of Figures	16
List of Tables	17
List of Abbreviations	18
A Attachments A 1 First Attachment	19

Introduction

1 Kempe chains and Routing graphs

The following proofs are reformulations of those presented in [1].

Definition 1 (H-certificate). Graph H is a minor of G if there exists $c := (V_t)_{t \in V(H)}$ of pairwise disjoint V(G), called bags, such that $\forall t \in V(H)$ $V_t \neq \emptyset$ and $G[V_t]$ is connected, and $\forall (u,v) \in E(H)$, \exists edge connecting V_u and V_v , any such c is called H-certificate

Definition 2 (rooted H-certificate). *H*-certificate is a rooted if $V(H) \subset V(G)$ and $t \in V_t \ \forall t \in V(H)$. If there is a rooted H-certificate in graph G, then H is a rooted minor of G

Definition 3 (Routing Graph). Let C be a coloring of graph G, let T be the transversal of coloring C, then routing graph H(G,C,T) is defined as the graph on vertices of T, such that $\forall (i,j) \in V(H) (i \neq j), (i,j) \in E(H)$ if and only if \exists Kempe chain between vertices i and j in graph G

Definition 4 (Property (*)). All graphs H which are routing graph of some G with some coloring C and transversal T such that G has a rooted H-certificate, are said to have property (*)

Theorem 1. K has property (*) if and only if every component of K has it.

Proof. (\Rightarrow) If K has property (*) then all components of K have property (*)

Let K be a graph with property (*) and K' is a component of K, let's do case analysis, we have 2 cases:

Case 1: |V(K)| = |V(K')|Case 2: |V(K)| > |V(K')|

Case 1: The component K' of K is a spanning subgraph of K, which is same as |V(K)| = |V(K')|.

Let K have the property (*), take spanning subgraph K' of K. Now take graph G' with coloring \mathcal{C} such that $|\mathcal{C}| = |V(K')|$ and a transversal T such that K' is isomorphic to the spanning subgraph of routing graph $H(G', \mathcal{C}, T)$. Now, $\forall (u, v) \in E(K) \setminus E(K')$ add (u, v) edge to graph G', we can do so without breaking the coloring because the edge taken from $E(K) \setminus E(K')$ is only between vertices which have different colors, now we obtain graph G, then K is isomorphic to spanning subgraph of $H(G, \mathcal{C}, T)$, since K has property (*) then there is a rooted H-certificate c in G, and c is also and H'-certificate for G'.

Case 2: |V(K)| > |V(K')|

Take graph G' with coloring C' such that |C'| = |V(K')| and a transversal T' such that K' is isomorphic to the spanning subgraph of routing graph H(G', C', T'), take set $S := V(K) \setminus V(K')$ and construct graph G as disjoint union of G and K_S (Here K_S is complete graph on vertex set S), let coloring

for G be $\mathcal{C} := \mathcal{C}' \cup \{\{s\} | s \in S\}$ and $T := T' \cup S$, now by construction K is isomorphic to the spanning subgraph H of routing graph $H(G, \mathcal{C}, T)$, and since K has property (*) it also has rooted H-certificate in G let's denote it as c and by definition of rooted H-certificate it's defined as $c := (V_t)_{t \in V(K)}$, then let $c' := (V_t)_{t \in V(K')}$ is a rooted H-certificate in G'.

(\Leftarrow) If every component of K has property (*), then K also has property (*). Take graph G with coloring C such that |C| = |V(K)| and a transversal T such that K is isomorphic to the spanning subgraph of routing graph H(G, C, T), then for every component K_i of K there is G_i a subgraph of $G(\text{all } G_i$'s are disjoint), coloring C_i and T_i such that K_i is a spanning subgraph of $H(G_i, C_i, T_i)$. Since every K_i has property (*), then there is c_i -certificate in G_i , hence by the union of all those $(c_1, c_2, \ldots c_n)$ certificates, we get a rooted K-certificate in G, hence K has property (*)

Theorem 2. If K has property (*), then all subgraphs of K have property (*)

Proof. Let K have the property (*), and K' be subgraph of K, let L be the edgeless graph on vertices $V(K) \setminus V(K')$, $L \cup K'$ is a spanning subgraph of K, hence it has property (*) (Shown in forward direction of the proof of Theorem 1), since K' is a component of $K' \cup L$, by Theorem 1 it also has property (*)

Lemma 3. Let K be a graph, if $\exists q \in V(K)$ such that $\deg(q) = 1$ and K - q has property (*), then K has property (*)

Proof. Let K be a graph such that $\exists q \in V(K)$ such that $\deg(q) = 1$ and K - q has property (*), but let's assume for contradiction that K doesn't have property (*). This means there exists graph G (with minimal V(G) + E(G)) with coloring \mathcal{C} such that $|\mathcal{C}| = |V(K)|$ and a transversal T such that K is isomorphic to the spanning subgraph of routing graph $H(G, \mathcal{C}, T)$, but there is no rooted H-certificate in G. Since G is minimal it means $\forall A, B \in \mathcal{C}(A \neq B)$ $G[A \cup B]$ has at most one component which is not a single vertex, which means if $\exists (u, v) \in E(H)$ and $u \in A \cap T$, $v \in B \cap T$ then there is a 2-colored path from u to v in $G[A \cup B]$, on the other hand if there is no edge (u, v) in E(H) which such property then $G[A \cup B] = \emptyset$, this induced that $H = H(G, \mathcal{C}, T)$. Let r be the incident vertex of q, let $Q, R \in \mathcal{C}$ be the respective color classes of r and q, so $r \in R$, $q \in Q$, $R \neq Q$. Here we have 2 cases:

Case 1: $Q = \{q\}$

Since K-q has property (*), it means K-q it means G-q has rooted $H(G-q,\mathcal{C}\setminus Q,T-q)$ -certificate, hence by adding $Q=\{q\}$ bag to it, we would get rooted H-certificate for $G(\operatorname{Contradiction})$

Case 2: $\exists x \in Q \setminus \{q\}$

Then because of minimality of G and the construction of it having 2 colored paths it has degree of 2, and it's in the 2-colored path between r and q, hence it has 2 neighbors which are from R color class, let's denote them y and z, let's contract yxz to w and give color R to w, and we would obtain

graph G' with following coloring and transversal defined as follows: For $A \in C$, define A' as follows:

$$A' := \begin{cases} (A \setminus \{y, z\}) \cup \{w\} & \text{if } A = R, \\ A \setminus \{x\} & \text{if } A = Q, \\ A & \text{otherwise.} \end{cases}$$

For $z \in T$, define z' as follows:

$$z' := \begin{cases} w & \text{if } z \in \{y, z\}, \\ z & \text{otherwise.} \end{cases}$$

For T' we don't consider cases concerning x because it already had representative from color class Q in it (q), so removal of x doesn't affect T'.

Then $C' := \{A' : A \in C\}$ is a coloring of G' and $T' := \{t' : t \in T\}$ is a transversal of C'.

Now, we show that $H = H(G, \mathcal{C}, T)$ is isomorphic to $H(G', \mathcal{C}', T')$. Let's consider all $(s, t) \in E(H)$:

- $-\{s,t\} \neq \{q,r\}$ Then yxz don't lay on any path from s to t, hence any s,t-path from G is a s',t'-path in G'
- $-s \in T \setminus \{q,r\}$ and t = r and $r \in \{y,z\}$: If $\{y,z\} \not\subseteq V(P_{s,r})$, then the s,r-path is s',r'-path, otherwise if $\{y,z\} \subseteq V(P_{s,r})$, we can obtain new s',r'-path from s,r-path by replacing the subpath between y and z by w.
- $-s \in T \setminus \{q,r\}$ and t = r and $r \notin \{y,z\}$: If $\{y,z\} \not\subseteq V(P_{s,r})$, then s,r-path is s',r'-path in G', otherwise we replace the subpath between y and z with w, and obtain new s',r'-path in G'

And yxz lies on $P_{q,r}$, then replacing yxz by w results a new q', r'-path. By considering all cases, we showed that H is isomorphic to H(G', C', T'). Since by choice of G and G', G' has rooted H(G', C', T') certificate, if w is in some bag B, by replacing B with $B\{w\} \cup \{x, y, z\}$, we would obtain rooted H-certificate for G (Contradiction).

Since for both cases we got contradiction, this implies that K indeed has (*) property as well.

1.1 Kempe chains and rooted K7-minors

To find the graphs which don't have property (*), we need to construct a graph G such that it has all necessary paths between transversal vertices, to construct a routing graph, but not enough edges incident to transversal vertices so that it's impossible to have rooted minor as the routing graph.

Definition 5 (Z(G)). For a graph G Z(G) is defined as follows:

- 1. $V(Z(G)) := V(G) \times \{1, 2\}$ For example if $V(G) := \{a, b\}$, then $V(Z(G)) := \{\{a, 1\}, \{b, 1\}, \{a, 2\}, \{b, 2\}\}\}$ in other words we are duplicating the vertices of G into Z(G)
- 2. $E(Z(G)) := \{(x,i)(y,j) : xy \in E(G) \land (i \neq 1 \lor j \neq 1)\}$ Here we keep all the edges from original graph G in the component of Z(G)which have label 2, We remove all the edges between the vertices which have label 1, which induces an anticlique between those vertices. Note: $\forall xy \in E(G)$, we have the following edges in Z(E(G)): $\{\{(x,2),(y,2)\},\{(x,1),(y,2)\},\{(x,2),(y,1)\}\}$

Let coloring for G be $\mathcal{C} := \{(x,1)(x,2) \in V(Z(G))\}$ is a coloring of Z(G) and $T := V(G) \times \{1\}$ Is transversal of the coloring.

Example. An example of Z(G) given G is cycle of 7

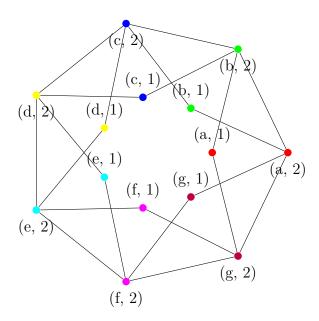


Figure 1.1 Example of Z(G) given G is C_7

We can observe that routing graph $H := (Z(G), \mathcal{C}, T)$ is isomorphic to G via $((x,1) \to x)$, and we also have copy of G in induced subgraph of $Z[V(G) \times \{2\}]$. Now we study if there exists rooted H-certificate in Z(G) for different graphs of G.

Claim 4. The bags of any H-certificate $c = (V_t)_{t \in T}$ in Z(G) have average order at most 2.

Proof. |T| = |V(H)| = |V(G)| and |V(Z(G))| = 2|V(G)|, all V_t 's are pairwise disjoint, hence the average size of any bag is:

$$\frac{1}{|T|} \sum_{t \in T} |V_t| \le \frac{|V(Z(G))|}{|T|} = \frac{2|V(G)|}{|V(G)|} = 2 \tag{1.1}$$

This means if we have a bag with an order 3, then there is also a bag with order 1. And locally the inverse implications sounds almost the same.

Claim 5. If $st \in E(H)$ is not on any triangle of H, then $|V_s| = 1 \implies |V_t| \ge 3$

Proof. Let $st \in E(H)$, and suppose $V_s = \{s\}$, hence $|V_s| = 1$, $|V_t| \ge 2$ because s,t are not adjacent in Z(G). If $|V_t| = 2$, then for $u \in V(Z(G))$, $V_t = \{t,u\}$, this means there is an edge $su \in E(Z(G))$ as well, at the same time the corresponding u' of u in V(H) should be adjacent with t, but since s,t are not in a triangle of H, there is no edge between s and u', which means there is no su edge as well, which is a contradiction. Hence $|V_t| \ge 3$

If all the bags of the certificate have order 2, then we can look at a function $f:V(G)\to V(G)$, which for a bag $V_{(x,1)}=\{(x,1),(y,2)\}$ is defined as f(x):=y. Since the bags are disjoint, f is an injection and therefore a permutation of V(G). Since all the elements of each bag are connected we can observe that $xf(x)\in E(G)$, and we can represent f as a partial orientation of G, where xy is oriented from x to y if and only if y=f(x). For a rooted H-certificate c in Z(G) any $xy\in E(G)$ implies that $V_{(x,1)}$ and $V_{(y,1)}$ are adjacent, which is equivalent to say f(y) is adjacent to f(x) or x, or f(x) is adjacent to f(y) or y. Conversely, if f is a permutation of V(G) with the following properties:

- 1. $(\forall x \in V(G))(xf(x) \in E(G))$
- **2.** $xy \in G$ implies that f(x) is adjacent to either y or f(y), or f(y) is adjacent to either x or f(x)

Then $V_{(x,1)} = \{(x,1), (f(x),2)\}$ defines an H-vertificate in Z(G). Let's call such permutation as a 'good permutation' throughout this chapter

Claim 6. If G has a good permutation, then every vertex of degree at least 3 in G is on a cycle of length at most 4 in G

Proof. Let f be good permutation and w be a vertex of degree 3, let x, y, z be w's neighbors, WLOG f(w) = x and $f(y) \neq w$. Let $u := f(y) \neq w$, if u is adjacent to w, then wyu form a triangle, and we are done.

Otherwise, let's assume u, w are not adjacent, by (2) condition of a 'good' permutation, f(w) = x is adjacent to either y or u = f(y), or f(y) = u is adjacent to f(w) = x or w, in any case w will be either on 4-cycle or 3-cycle.

Theorem 7. K_7 doesn't have property (*)

Proof. Let graph G be a graph on 7 vertices, which is obtained by adding vertex x to C_6 and adding 2 edges to x such that the endpoints of the edges are at distance 3 from each other in C_6 .

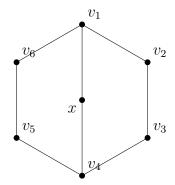


Figure 1.2 Graph G obtained from C_6

For contradiction assume that Z(G) has an H-certificate $(V_t)_{t\in T}$ with $T=V(G)\times\{1\}$. Let A be the set of vertices $t\in T$ such that $|V_t|=1$. Observe that there are 2 vertices of degree 3 in G, v_1 and v_4 , and both of them are in cycle of 5, and hence by **Claim 6** G doesn't have a good permutation, which means $|A|\geq 1$. Since $|V_t|=1$ for every element of A, it means A is an anticlique in H, hence $|A|\leq 3$. By **Claim 5**, $|V_s|\geq 3$ for every s in $N_H(A)$. For each case of |A|=1, |A|=2, |A|=3, it can be seen that $N_H(A)\geq |A|+1$.

- 3(|A|+1) is the lower bound of the number of vertices in the bags of the neighborhood of A, because each bag has size ≥ 3 and there are at least |A|+1 neighbors for A
- 1|A| is the number of vertices of the bags of A, because by definition each of A has size 1
- 2(7-(|A|+1)-|A|) is the number of vertices in the rest of the bags. Which all have $|V_t|=2$

Let's denote q the number of vertices in the bags of Z(G) which form H. And let's count it.

$$q = \sum_{t \in T} |V_t| \ge 3(|A|+1) + 2(7 - (|A|+1) - |A|) + 1|A| = 15$$
 (1.2)

At the same time, $q \leq |V(Z(G))| = 14$, causing a contradiction. Hence, the graph G doesn't have property (*), and since all the subgraphs of a graph with property (*) have the proprety as well, this implies that K_7 doesn't have property (*). \square

Conclusion

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[1] Matthias Kriesell, Samuel Mohr. Kempe Chains and Rooted Minors. 2022. Available at: https://arxiv.org/pdf/1911.09998.

List of Figures

1.1	Example of $Z(G)$ given G is C_7										10
1.2	Graph G obtained from C_6										11

List of Tables

List of Abbreviations

A Attachments

A.1 First Attachment