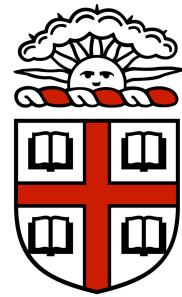


# Shared Counters and Parallelism



BROWN

Maurice Herlihy

CS176

Fall 2005

# A Shared Pool

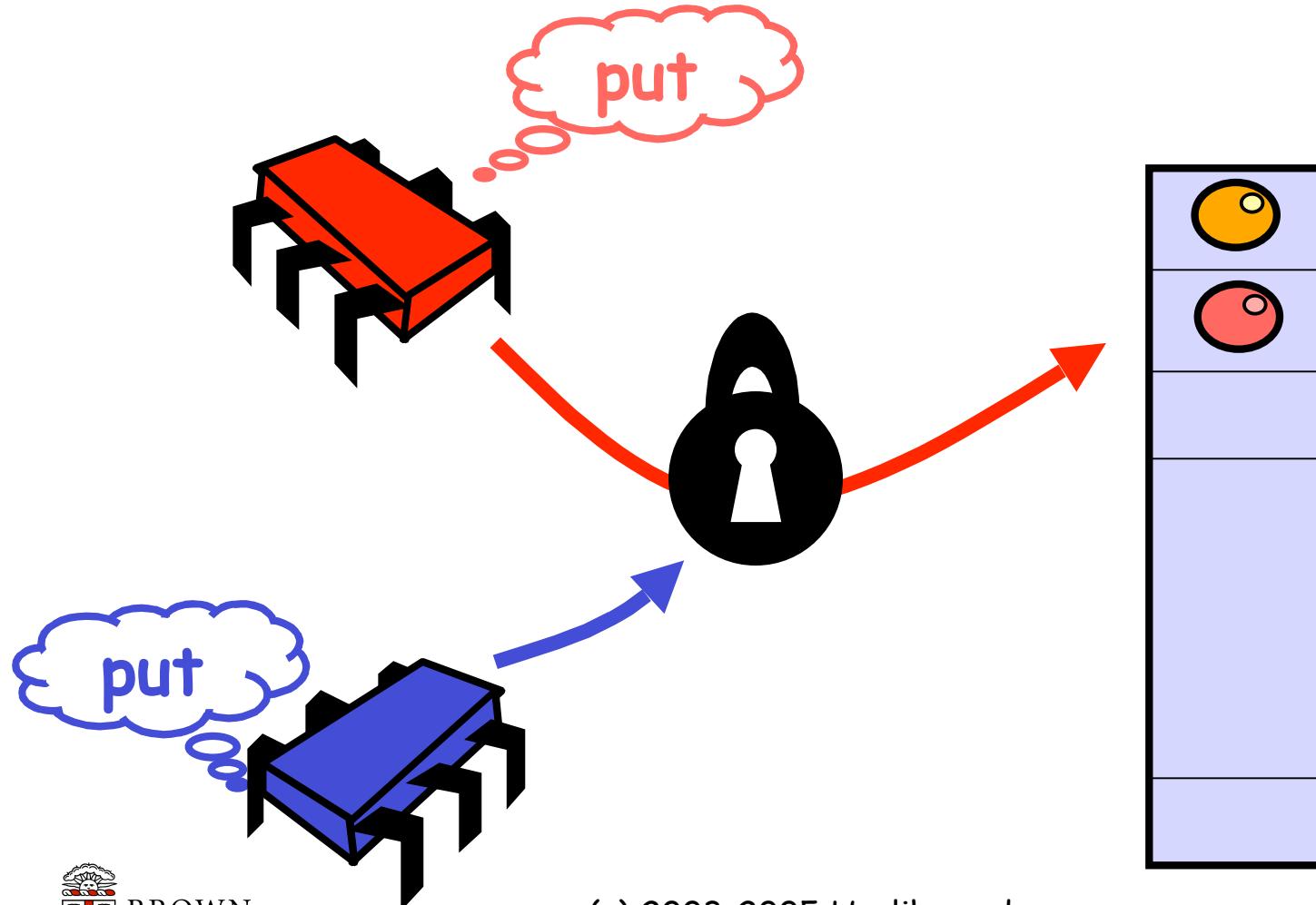
```
public interface Pool {  
    public void put(Object x);  
    public Object remove();  
}
```

## Unordered set of objects

- Put
  - Inserts object
  - blocks if full
- Remove
  - Removes & returns an object
  - blocks if empty



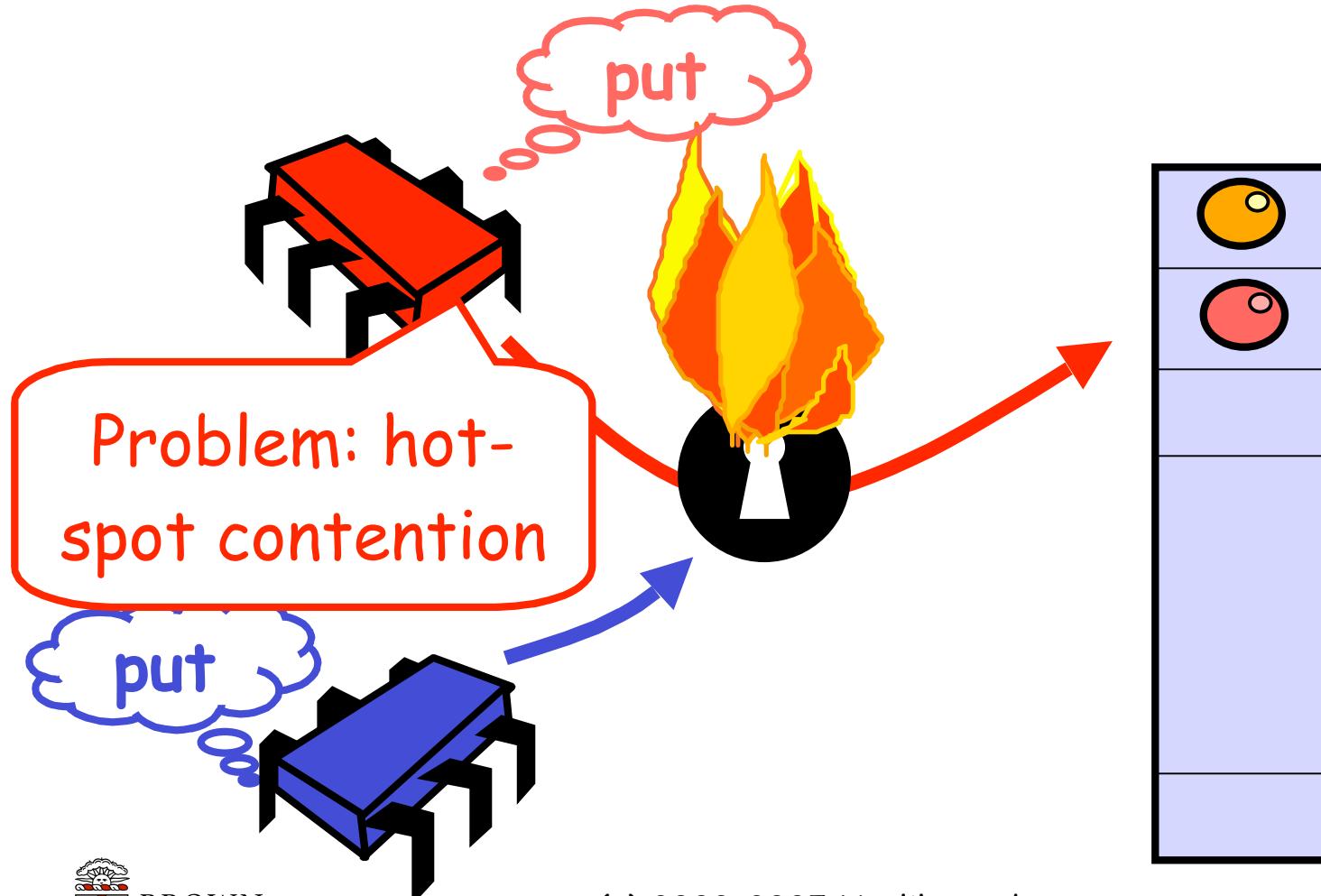
# Simple Locking Implementation



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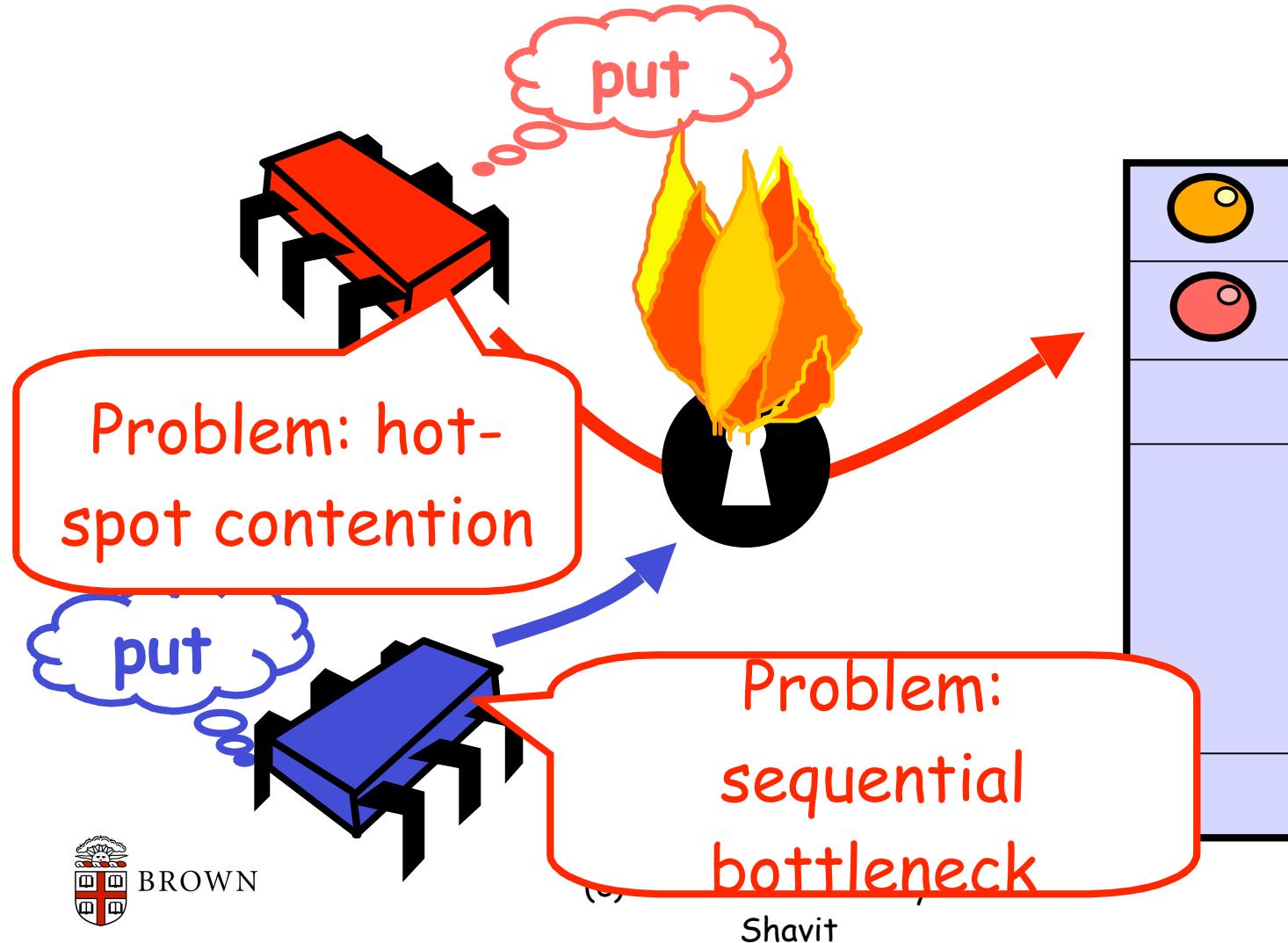
# Simple Locking Implementation



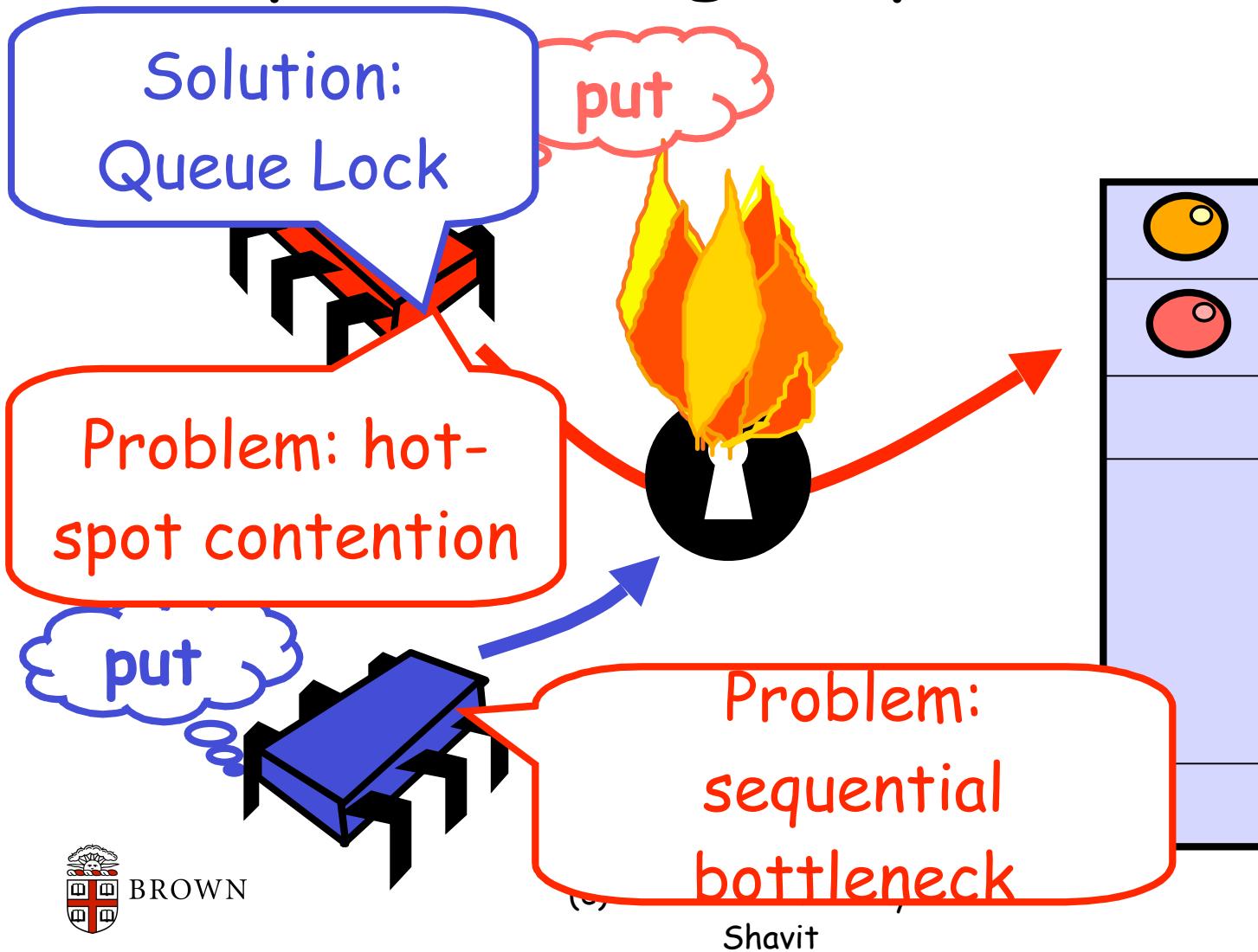
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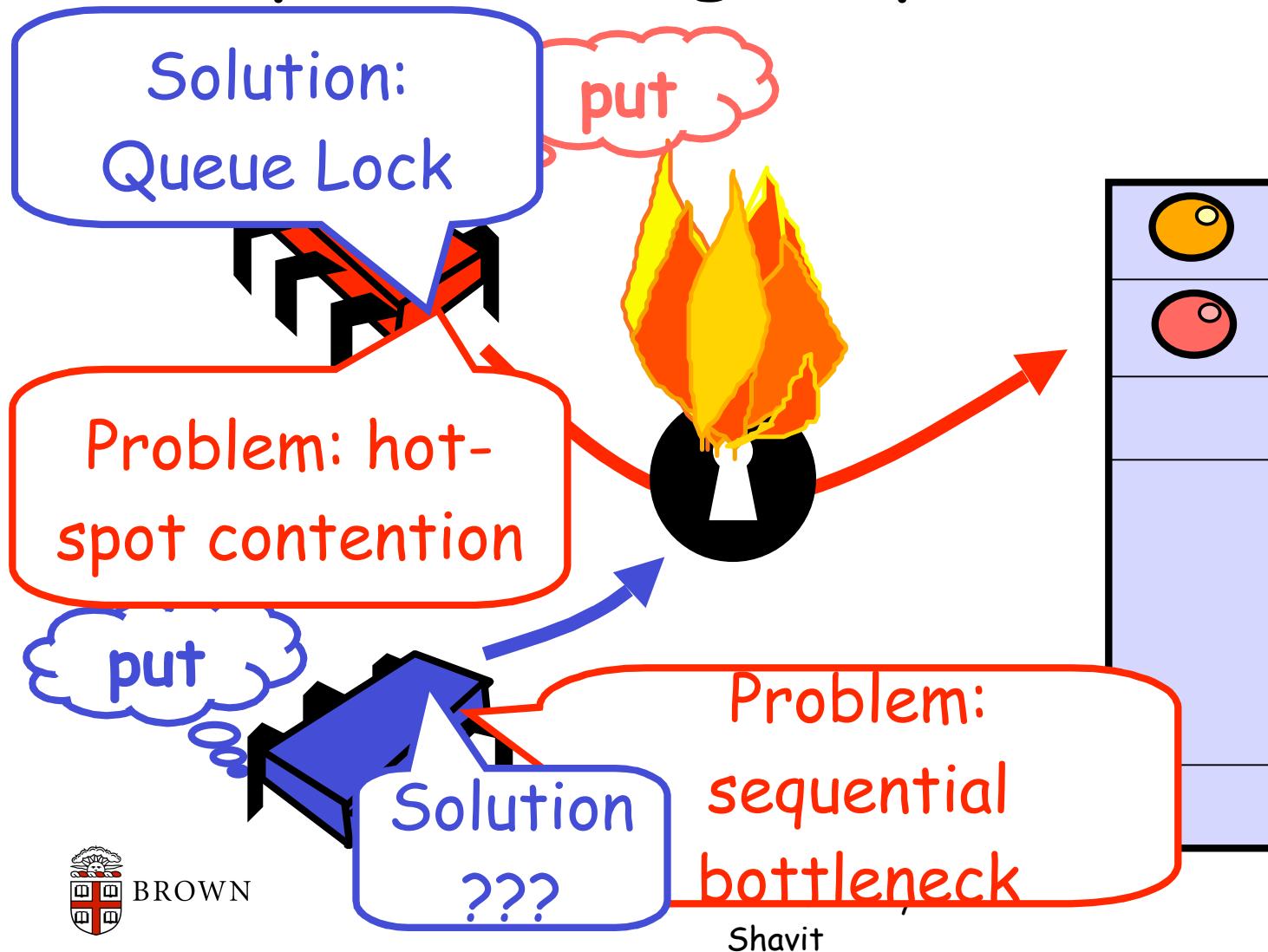
# Simple Locking Implementation



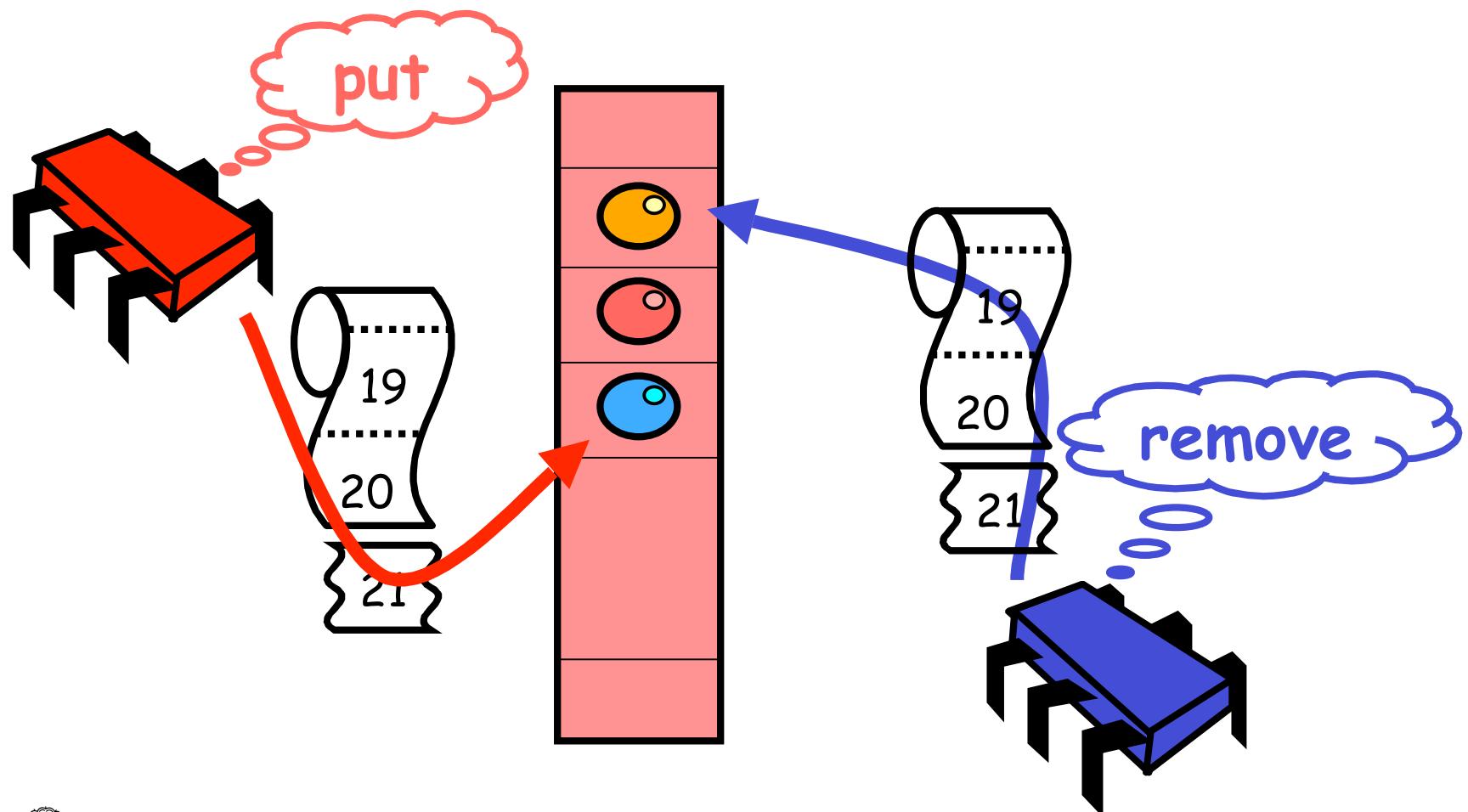
# Simple Locking Implementation



# Simple Locking Implementation



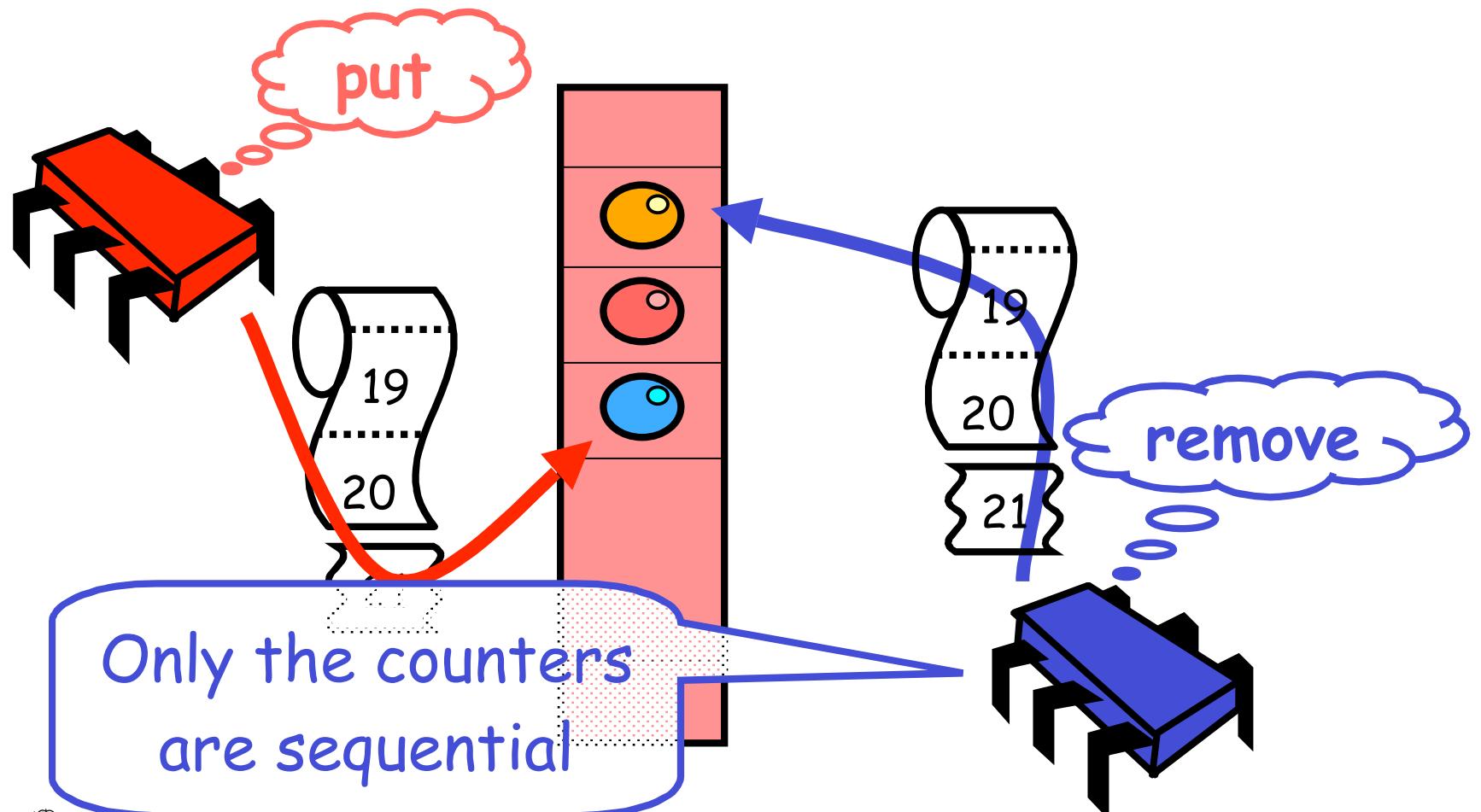
# Counting Implementation



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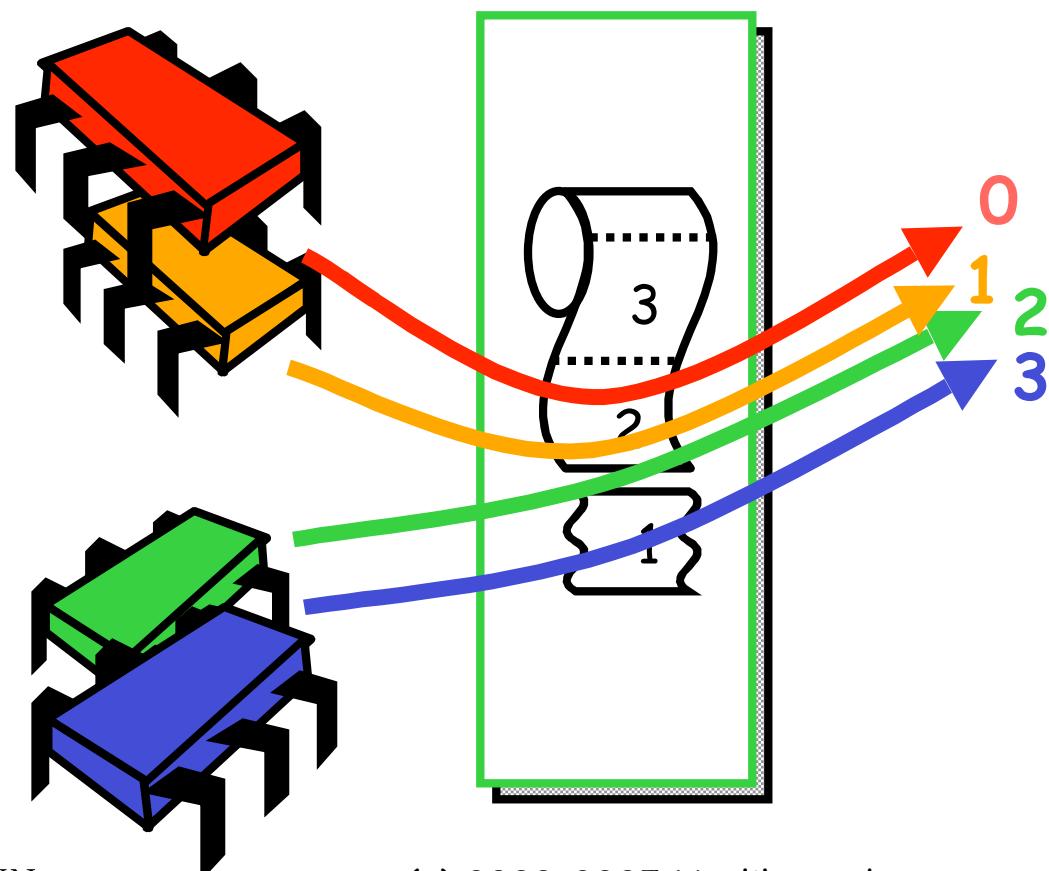
# Counting Implementation



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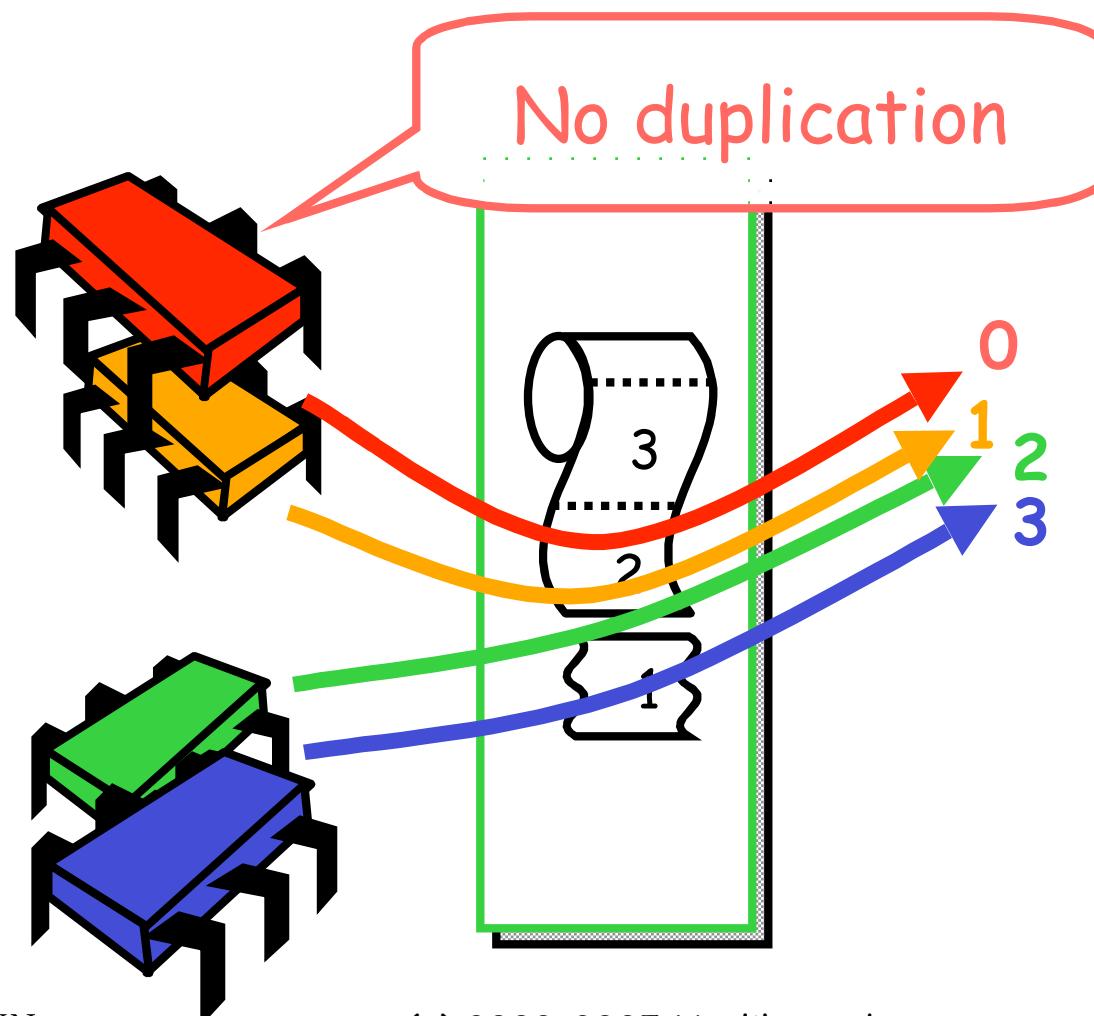
# Shared Counter



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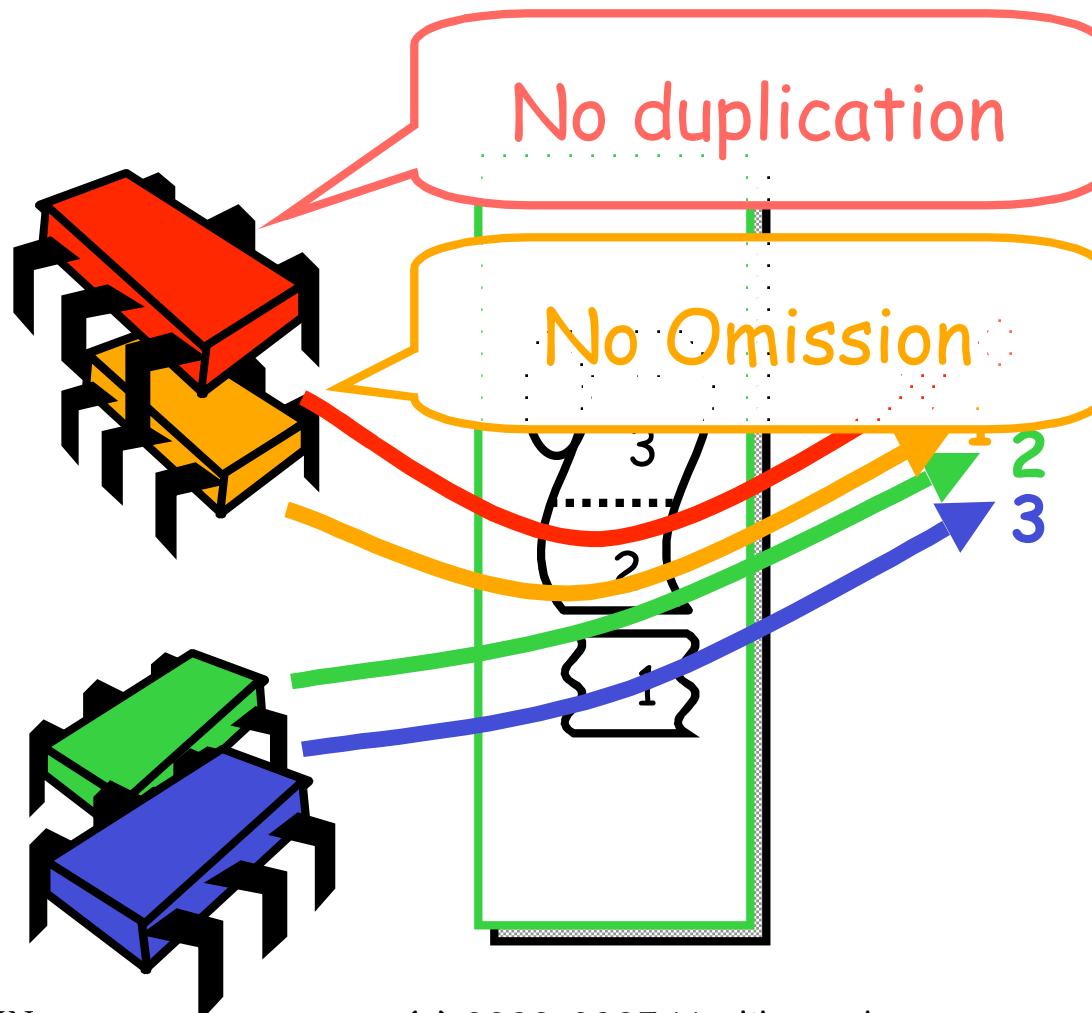
# Shared Counter



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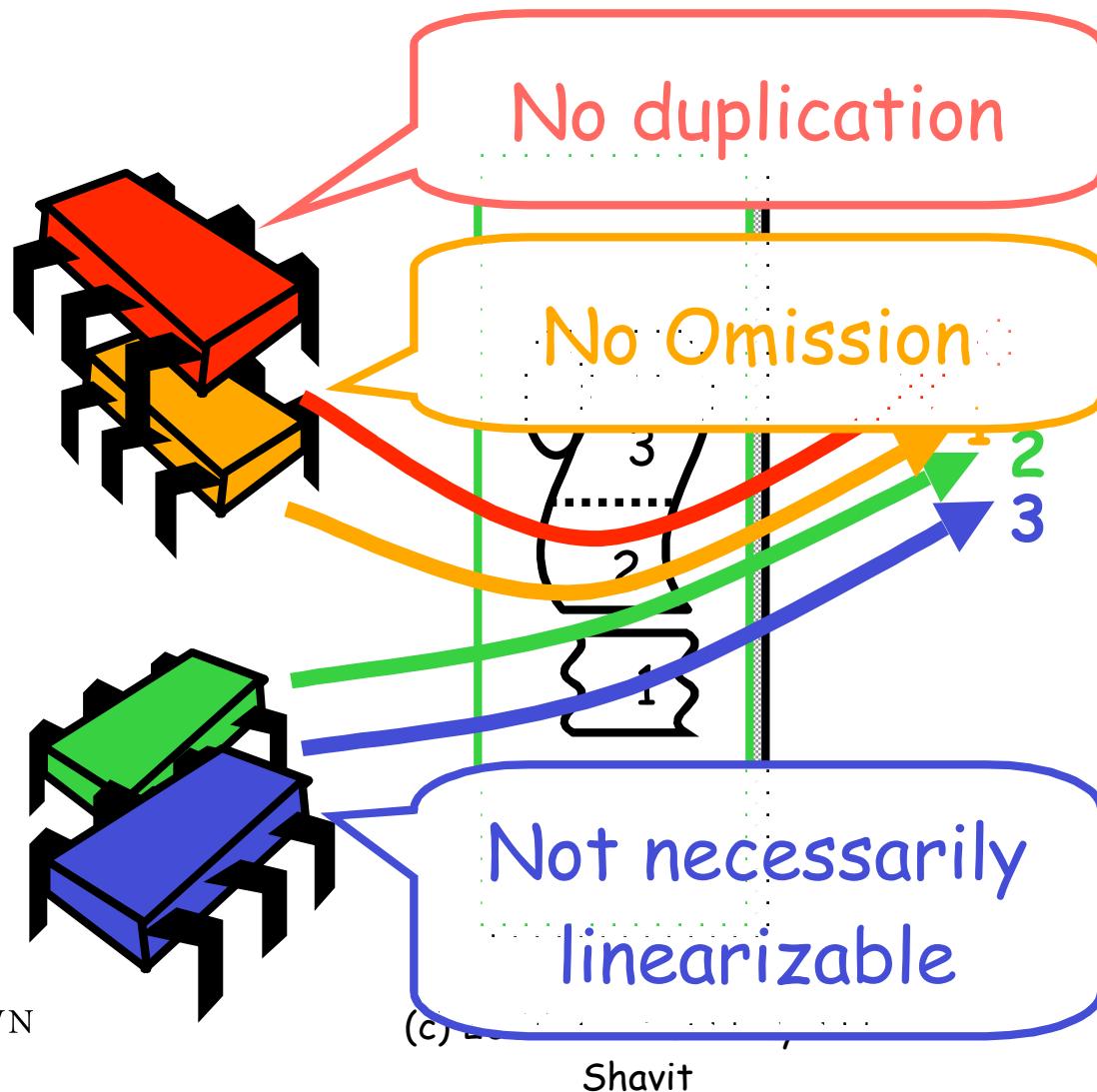
# Shared Counter



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# Shared Counter



# Shared Counters

- Can we build a shared counter with
  - Low memory contention, and
  - Real parallelism?
- Locking
  - Can use queue locks to reduce contention
  - No help with parallelism issue ...

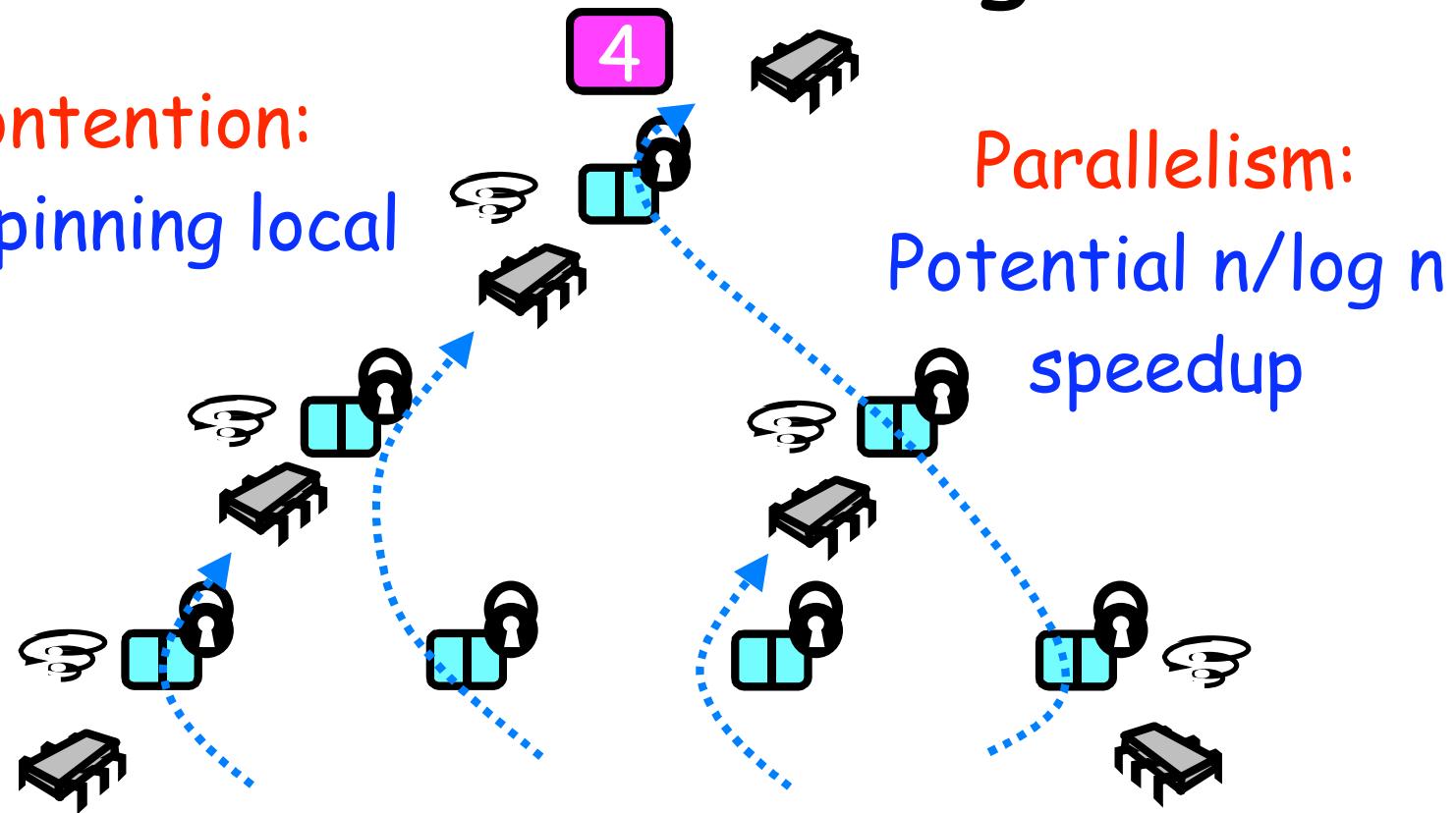


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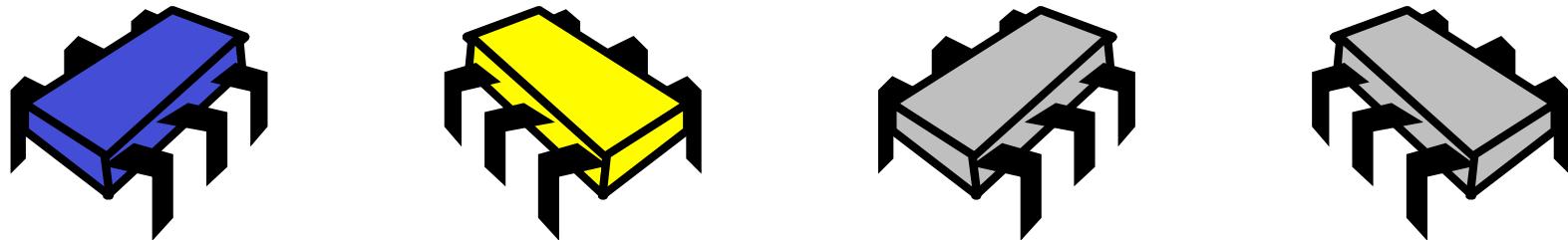
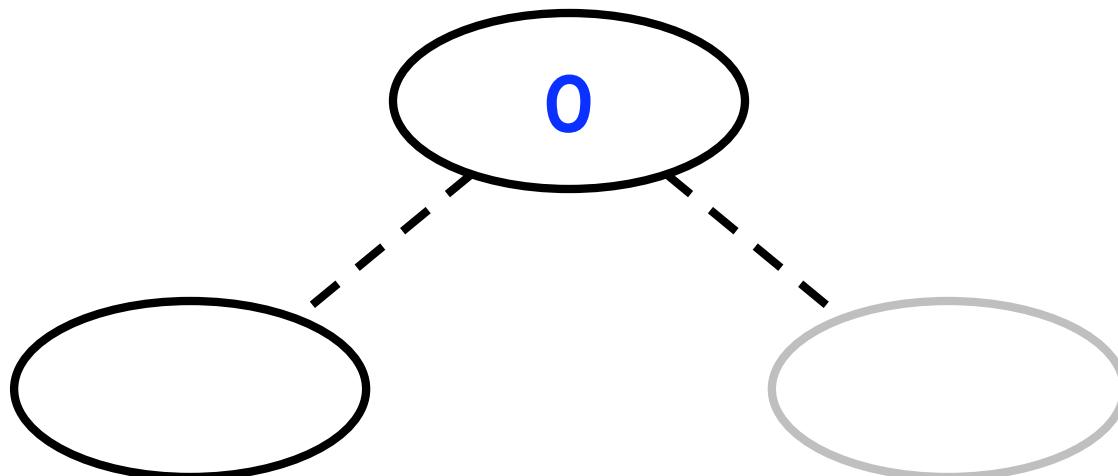
# Software Combining Tree

Contention:  
All spinning local

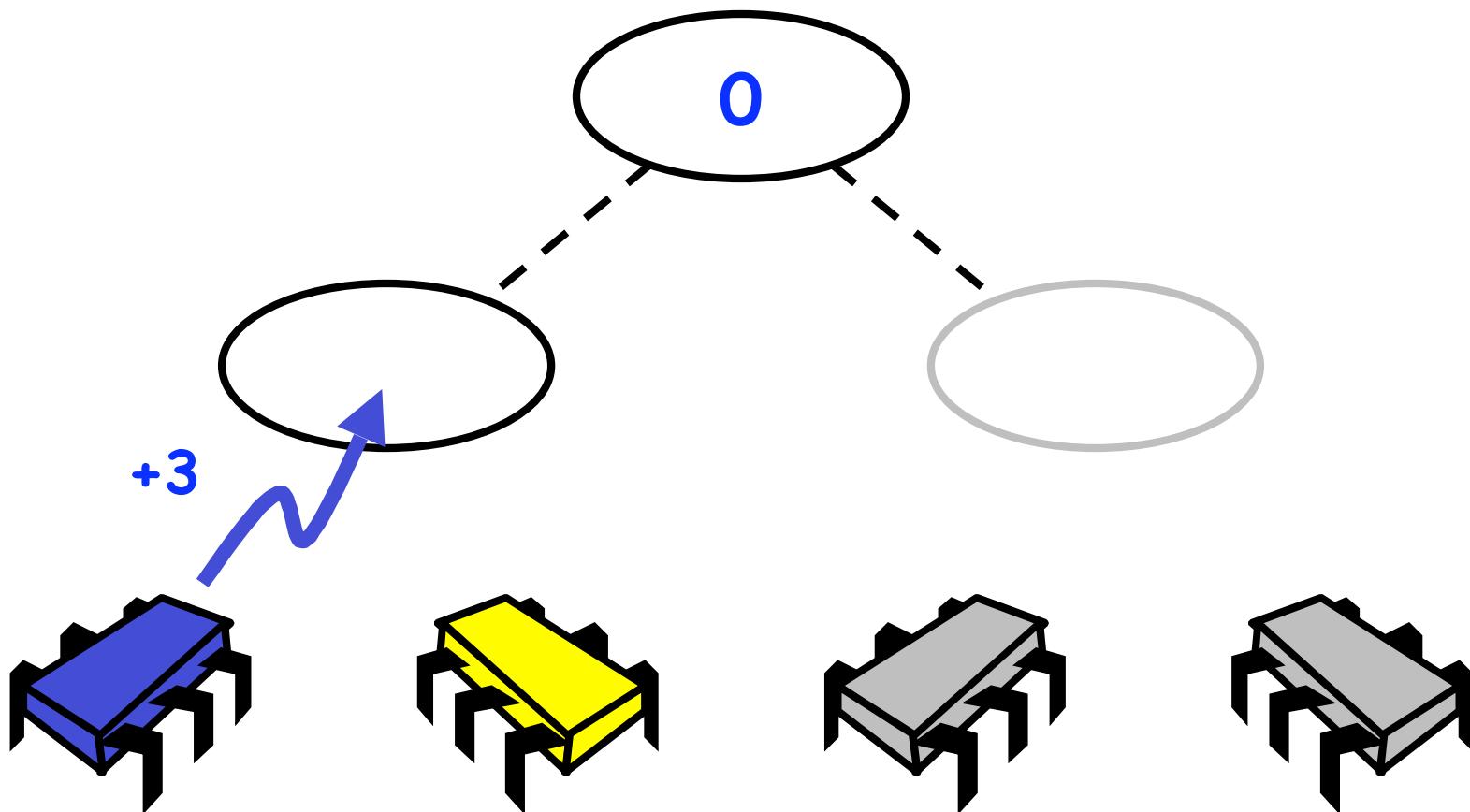


Parallelism:  
Potential  $n/\log n$   
speedup

# Combining Trees



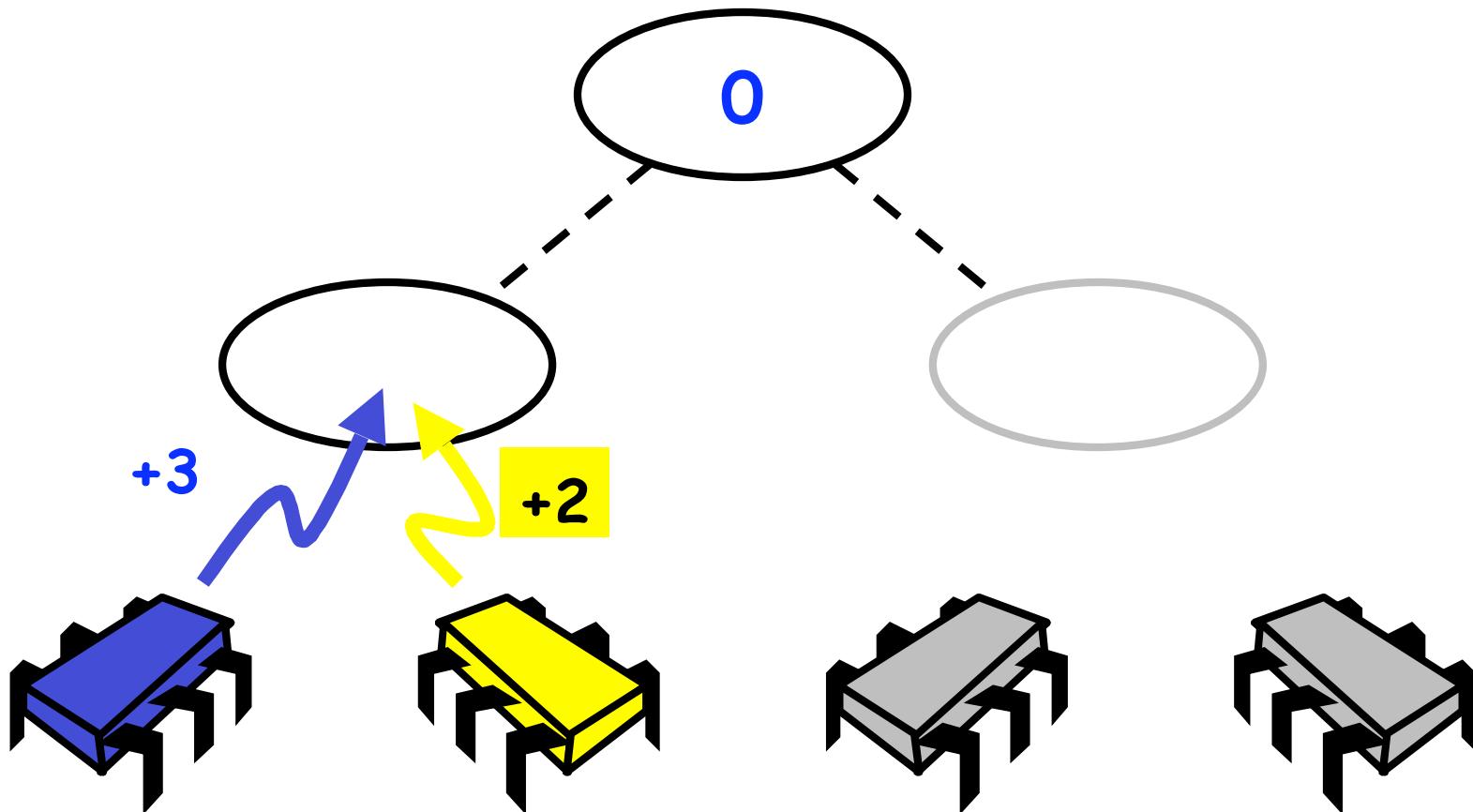
# Combining Trees



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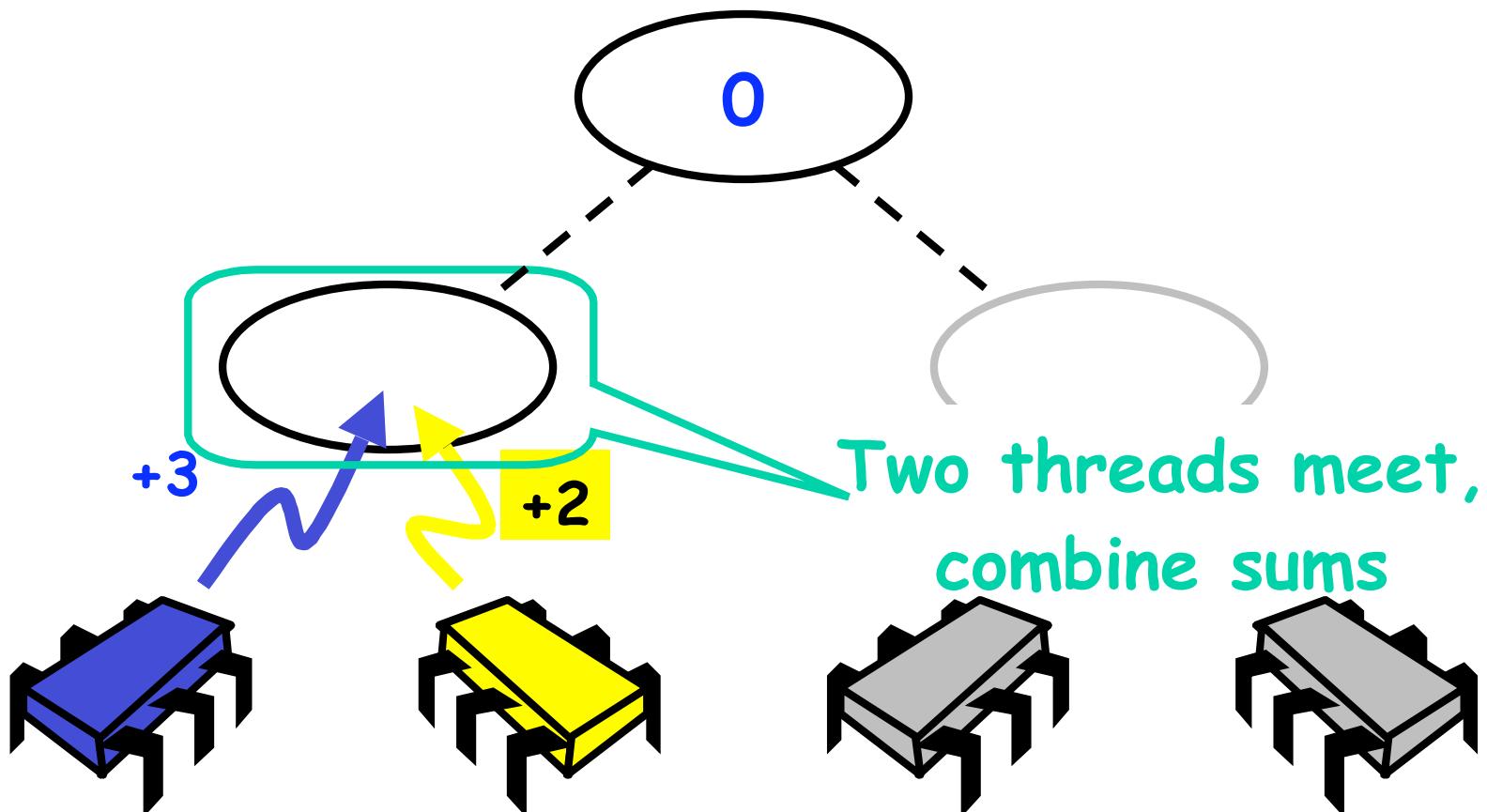
# Combining Trees



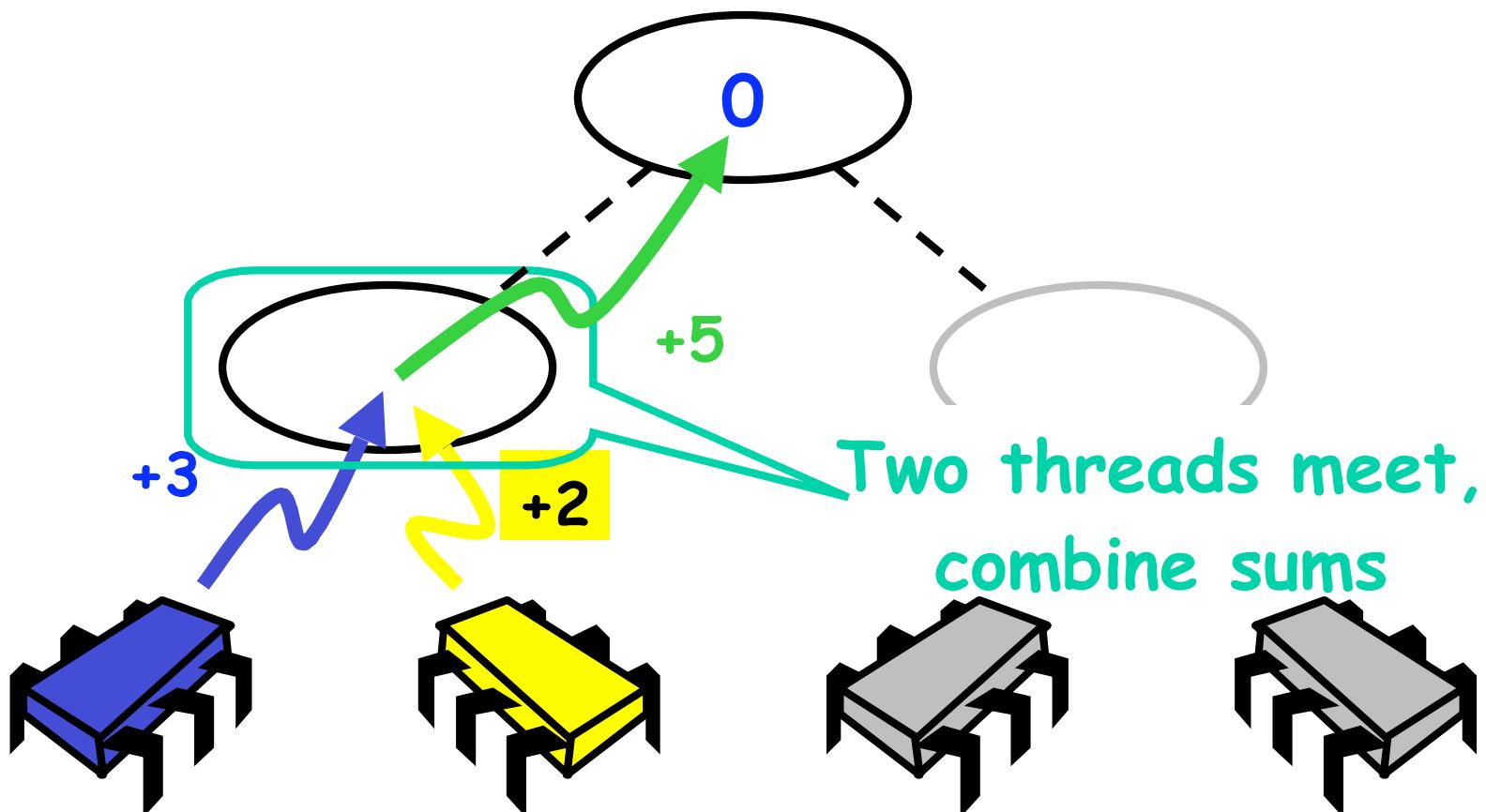
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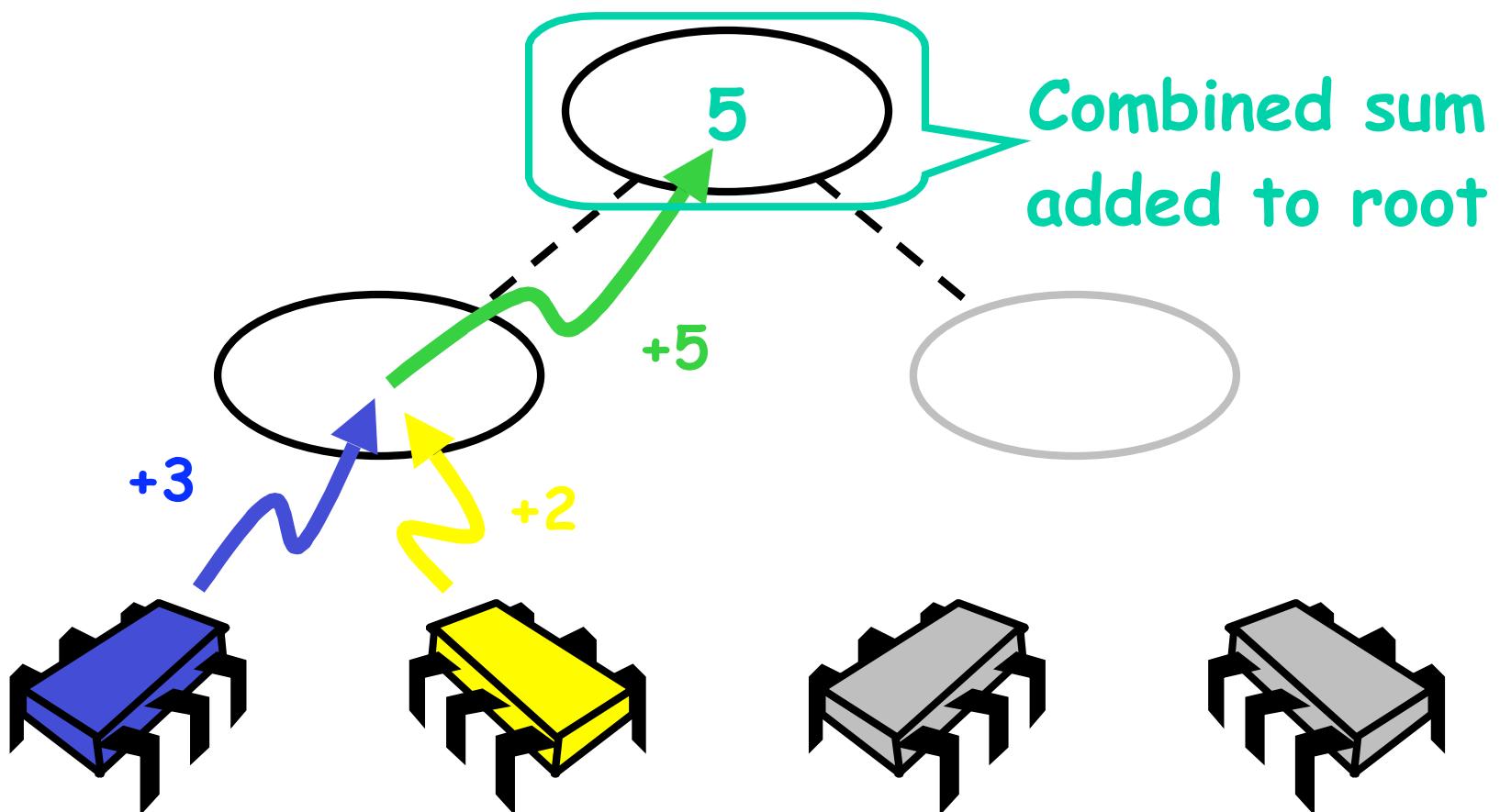
# Combining Trees



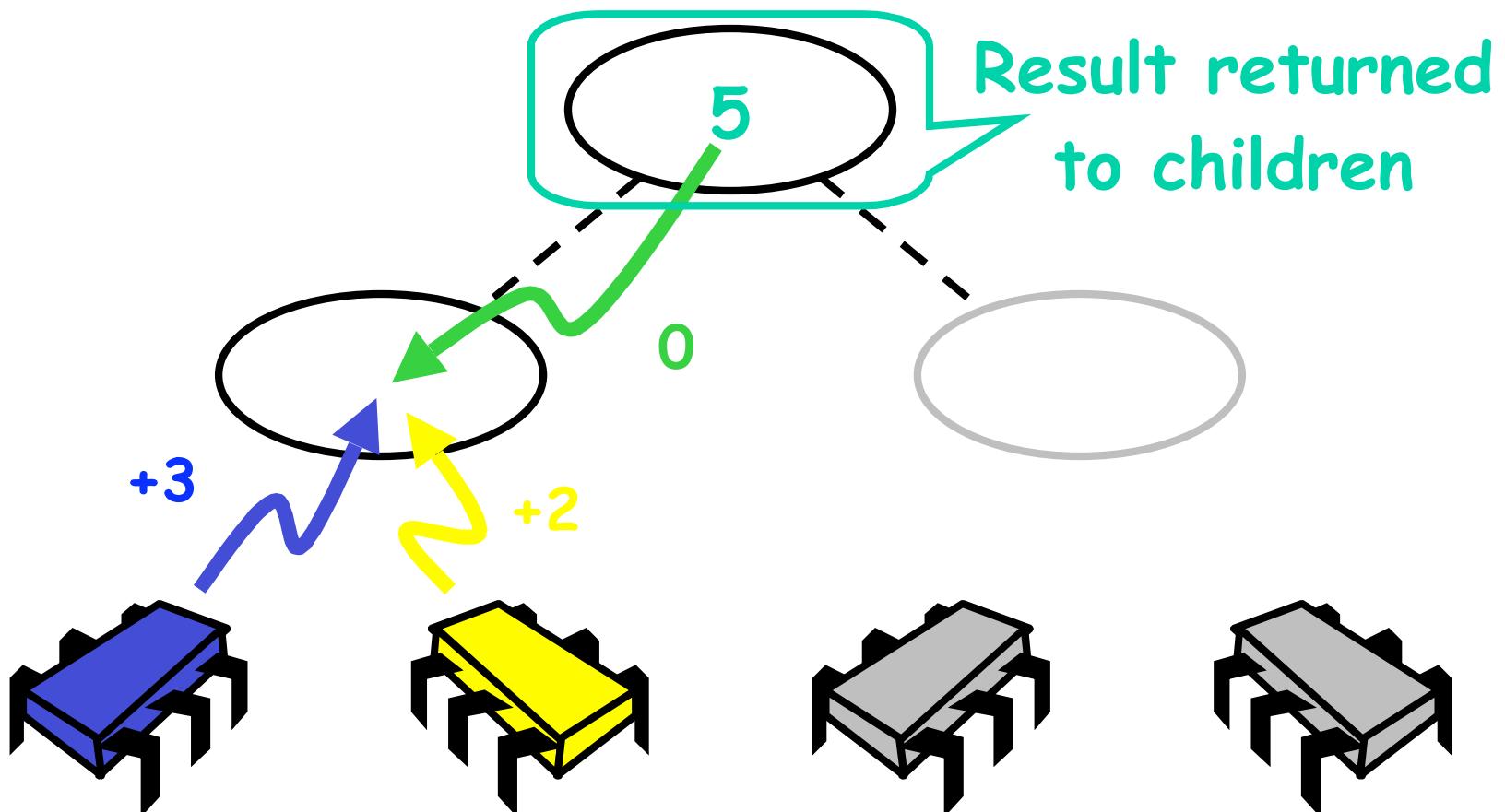
# Combining Trees



# Combining Trees



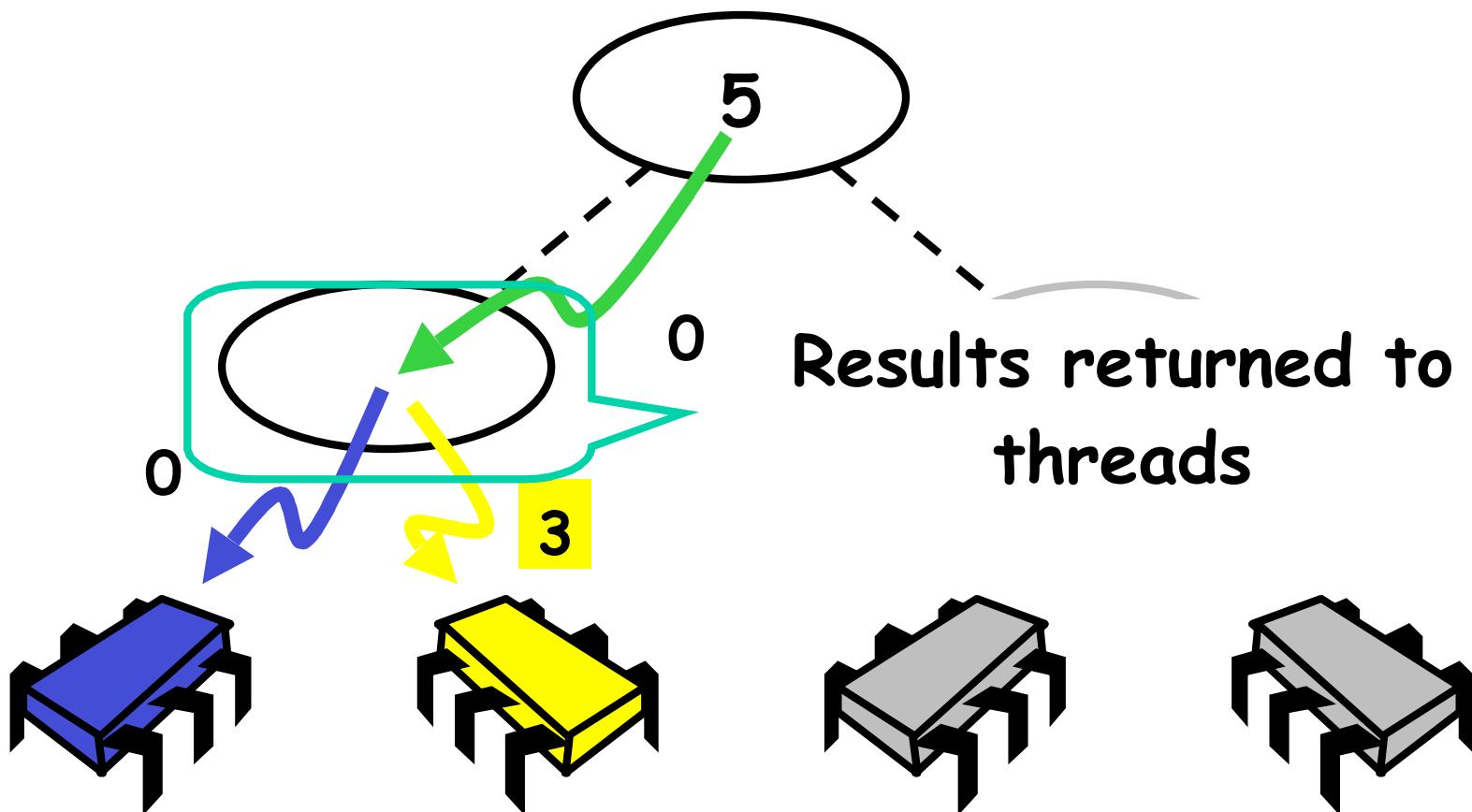
# Combining Trees



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# Combining Trees



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# Devil in the Details

- What if
  - threads don't arrive at the same time?
- Wait for a partner to show up?
  - How long to wait?
  - Waiting times add up ...
- Instead
  - Use multi-phase algorithm
  - Try to wait in parallel ...



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# Combining Status

```
enum CStatus{  
    IDLE, FIRST, SECOND, DONE, ROOT};
```



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# Combining Status

```
enum CStatus{  
    IDLE, FIRST, SECOND, DONE, ROOT};
```

Nothing going on



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# Combining Status

```
enum CStatus{  
    IDLE, FIRST, SECOND, DONE, ROOT};
```

1<sup>st</sup> thread ISO partner for  
combining, will return soon to  
check for 2<sup>nd</sup> thread

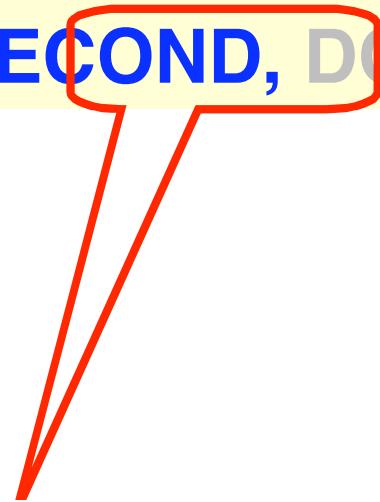
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# Combining Status

```
enum CStatus{  
    IDLE, FIRST, SECOND, DONE, ROOT};
```



2<sup>nd</sup> thread arrived with  
value for combining

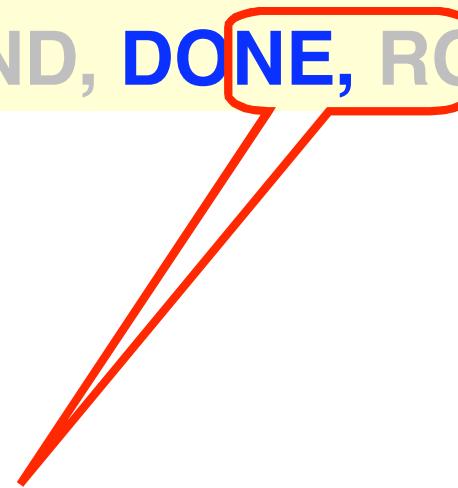


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# Combining Status

```
enum CStatus{  
    IDLE, FIRST, SECOND, DONE, ROOT};
```



1<sup>st</sup> thread has completed  
operation & deposited result  
for 2<sup>nd</sup> thread

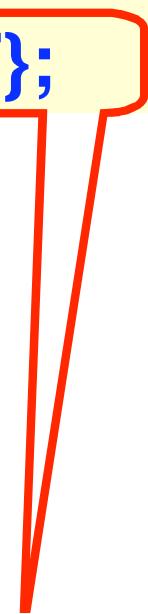
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# Combining Status

```
enum CStatus{  
    IDLE, FIRST, SECOND, DONE, ROOT};
```



**Special case: root node**



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30

# Node Synchronization

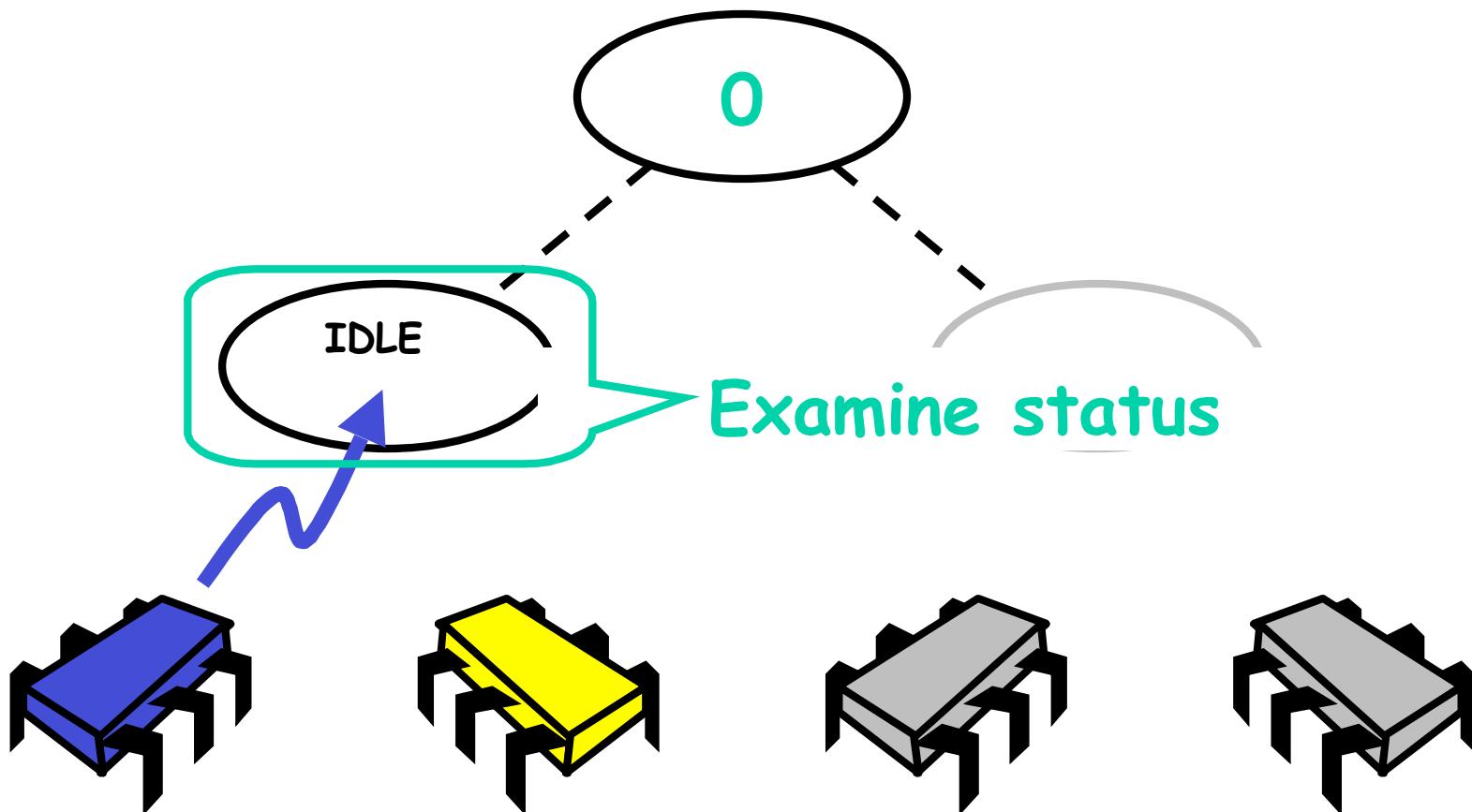
- Short-term
  - Synchronized methods
  - Consistency during method call
- Long-term
  - Boolean locked field
  - Consistency across calls



# Phases

- Precombining
    - Set up combining rendez-vous
  - Combining
    - Collect and combine operations
  - Operation
    - Hand off to higher thread
  - Distribution
    - Distribute results to waiting threads
-  BROWN (6.8013-2005 Herlihy and Shavit) 32

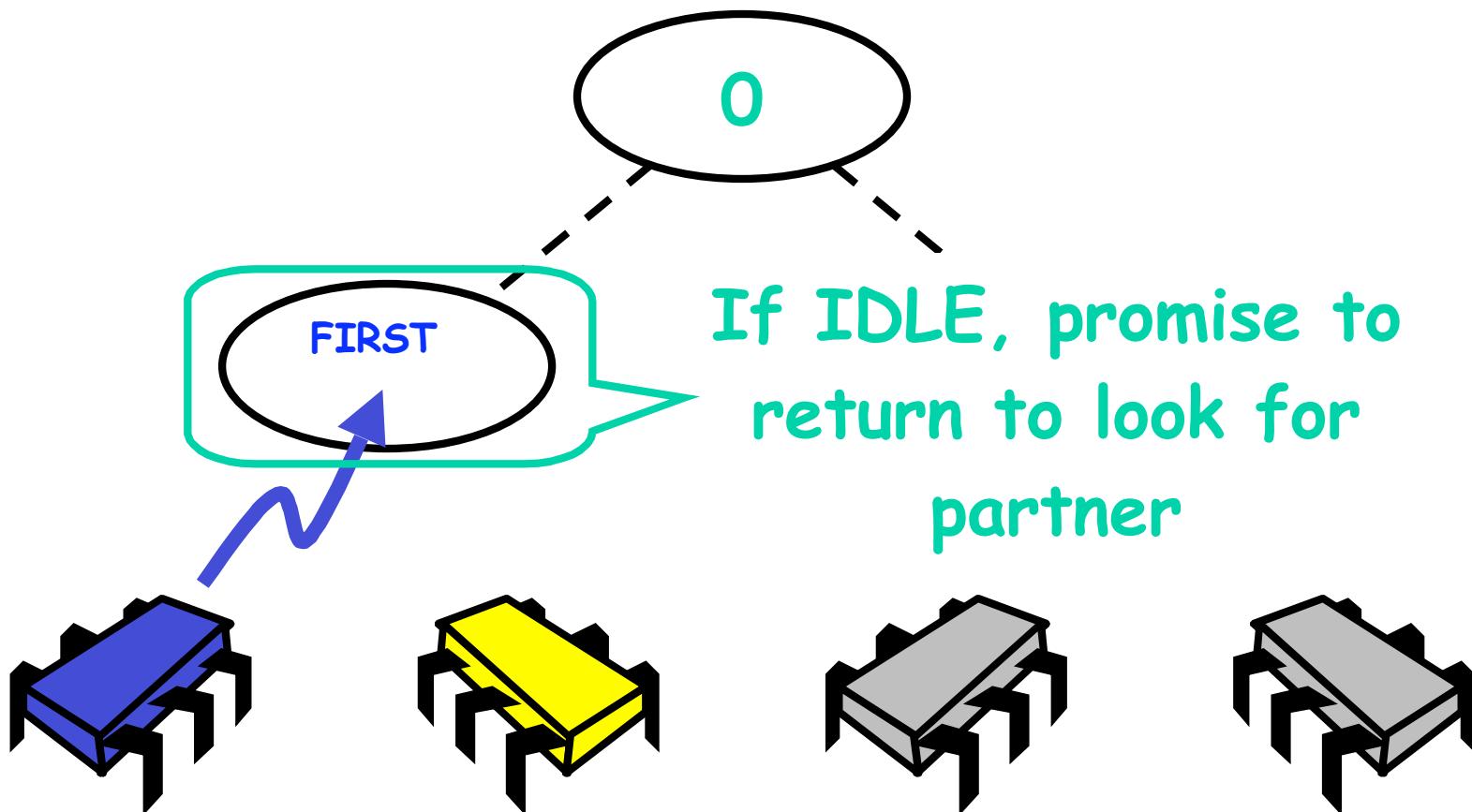
# Precombining Phase



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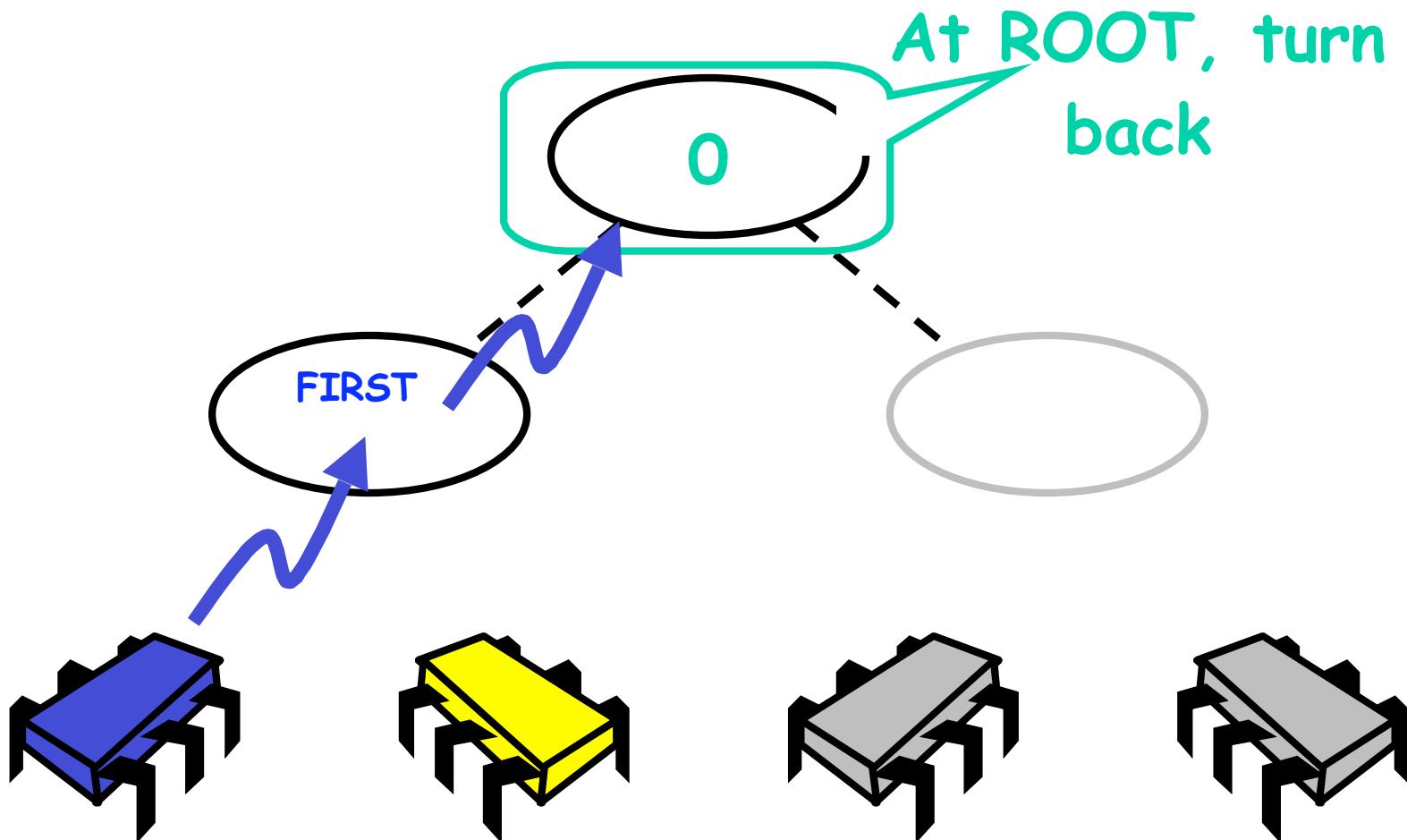
# Precombining Phase



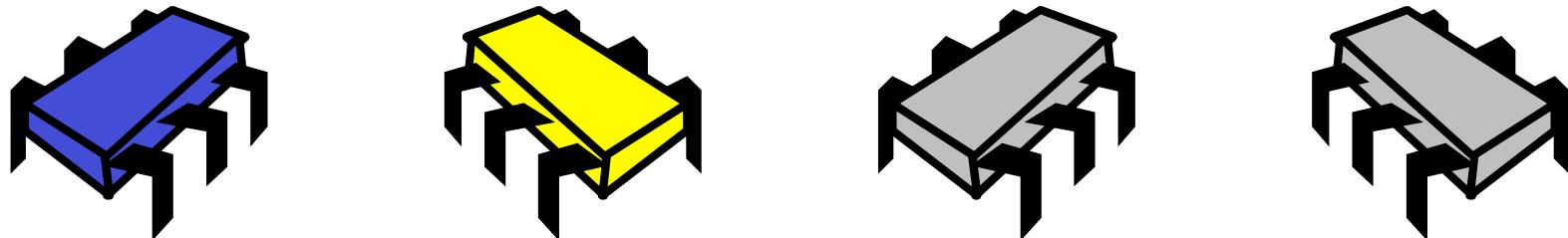
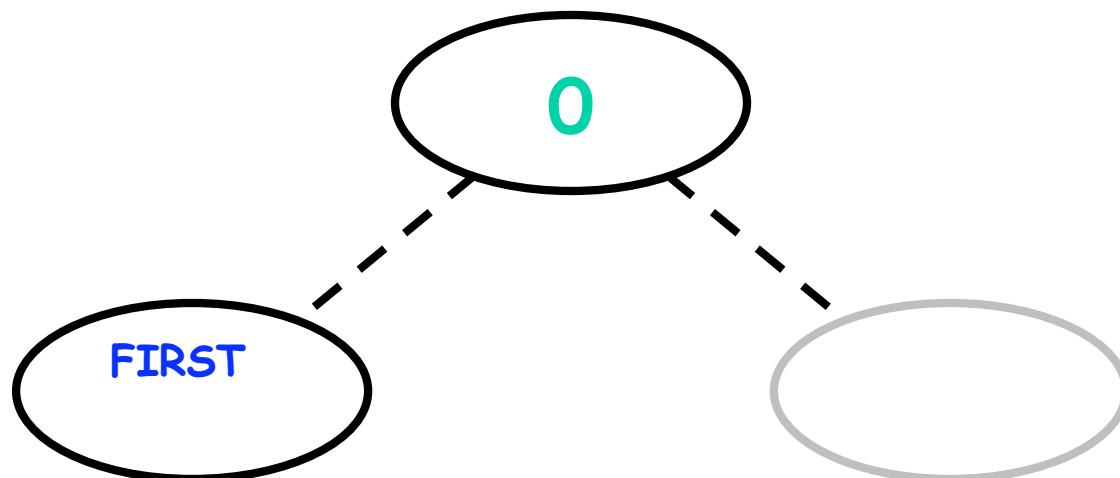
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# Precombining Phase



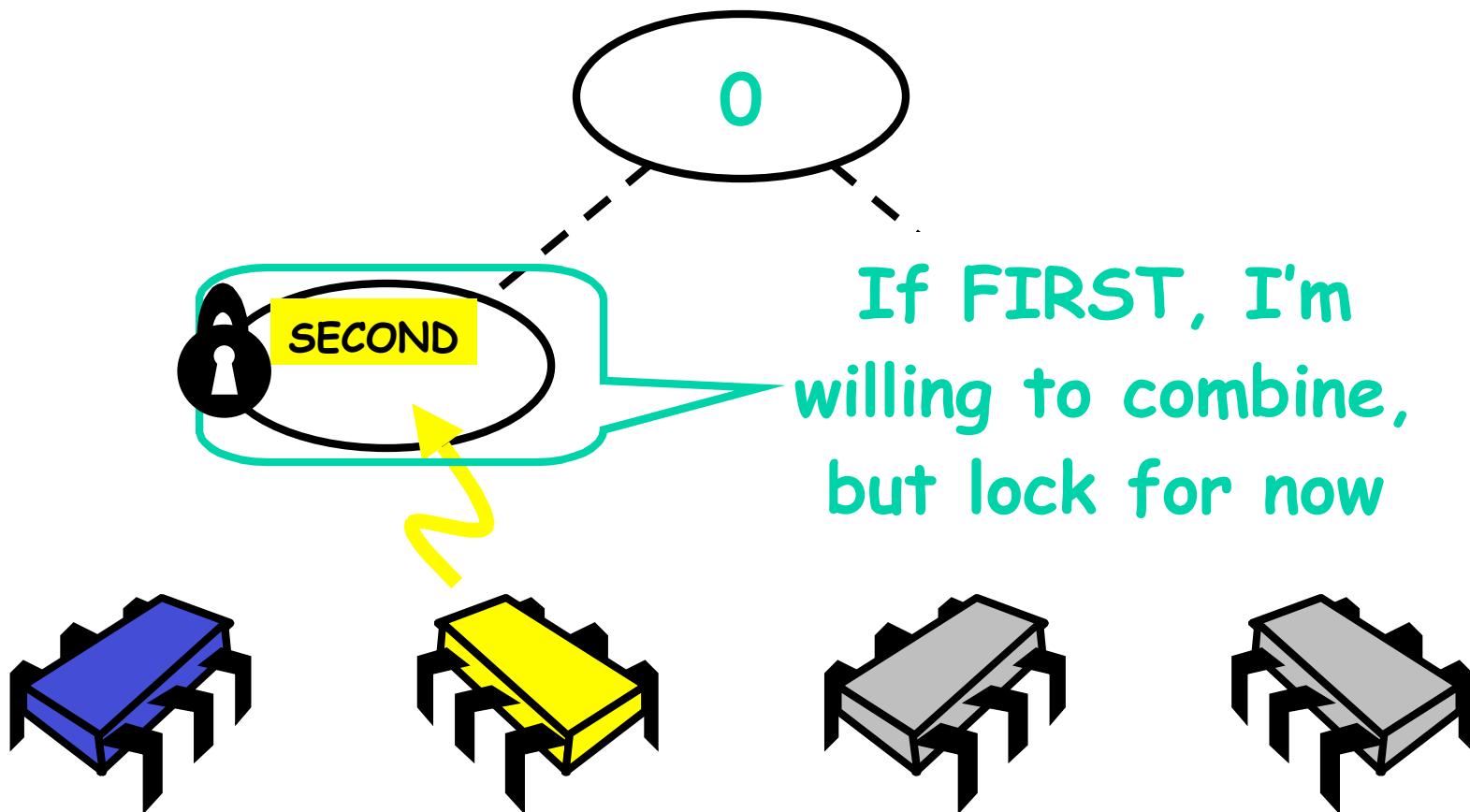
# Precombining Phase



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# Precombining Phase



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# Code

- Tree class
  - In charge of navigation
- Node class
  - Combining state
  - Synchronization state
  - Bookkeeping



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# Precombining Navigation

```
Node node = myLeaf;  
while (node.precombine()) {  
    node = node.parent;  
}  
Node stop = node;
```



# Precombining Navigation

```
Node node = myLeaf;  
while (node.precombine()) {  
    node = node.parent;  
}  
Node stop = node;
```

Start at leaf



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# Precombining Navigation

```
Node node = myLeaf;  
while (node.precombine()) {  
    node = node.parent;  
}  
Node stop = node;
```

Move up while  
instructed to do so

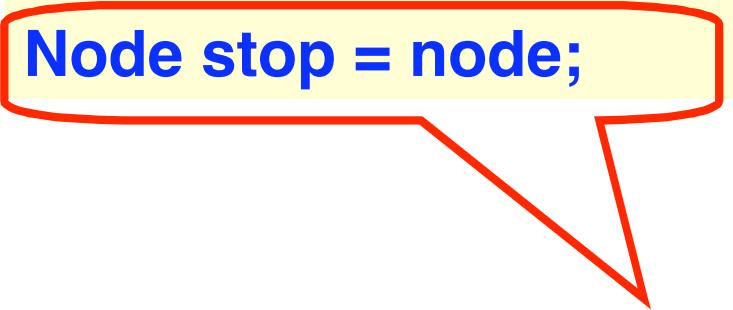


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# Precombining Navigation

```
Node node = myLeaf;  
while (node.precombine()) {  
    node = node.parent;  
}  
Node stop = node;
```



Remember where we  
stopped



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# Precombining Node

```
synchronized boolean precombine() {  
    while (locked) wait();  
    switch (cStatus) {  
        case IDLE: cStatus = CStatus.FIRST;  
            return true;  
        case FIRST: locked = true;  
            cStatus = CStatus.SECOND;  
            return false;  
        case ROOT: return false;  
        default: throw new PanicException()  
    }  
}
```



# Precombining Node

```
synchronized boolean precombine() {  
    while (locked) wait();  
    switch (cStatus) {  
        case IDLE: cStatus = CStatus.FIRST;  
            return true;  
        case FIRST: locked = true;  
            cStatus = CStatus.SECOND;  
            return false;  
        case ROOT: return false;  
        default: throw new Par...  
    }  
}
```

Short-term  
synchronization



# Synchronization

```
synchronized boolean precombine() {  
    while (locked) wait();  
    switch (cStatus) {  
        case IDLE: cStatus = CStatus.FIRST;  
            return true;  
        case FIRST: locked = true;  
            cStatus = CStatus.SECOND;  
            return false;  
        case ROOT: return false;  
        default: throw new Par...  
    }  
}
```

Wait while node is  
locked



# Precombining Node

```
synchronized boolean precombine() {  
    while (locked) wait();  
    switch (cStatus) {  
        case IDLE: cStatus = CStatus.FIRST;  
            return true;  
        case FIRST: locked = true;  
            cStatus = CStatus.SECOND;  
            return false;  
        case ROOT: return false;  
        default: throw new PanicException()  
    }  
}
```

**Check combining status**



# Node was IDLE

```
synchronized boolean precombine() {  
    while (locked) {wait();}  
    switch (cStatus) {  
        case IDLE: cStatus = CStatus.FIRST;  
            return true;  
        case FIRST: locked = true;  
            cStatus = CStatus.SECOND;  
            return false;  
        case ROOT: return false;  
        default: throw new RuntimeException();  
    }  
}
```

I will return to look for  
combining value



# Precombining Node

```
synchronized boolean precombine() {  
    while (locked) {wait();}  
    switch (cStatus) {  
        case IDLE: cStatus = CStatus.FIRST;  
            return true;  
        case FIRST: locked = true;  
            cStatus = CStatus.SECOND;  
            return false;  
        case ROOT: return false;  
        default: throw new PanicException()  
    }  
}
```

*Continue up the tree*



# I'm the 2<sup>nd</sup> Thread

```
synchronized boolean precombine() {  
    while (locked) {wait();}  
    switch (cStatus) {  
        case IDLE: cStatus = CStatus.FIRST;  
            return true;  
        case FIRST: locked = true;  
            cStatus = CStatus.SECOND;  
            return false;  
        case ROOT: return false;  
        default: throw new RuntimeException();  
    }  
}
```

If 1<sup>st</sup> thread has promised to return,  
lock node so it won't leave without me



# Precombining Node

```
synchronized boolean precombine() {  
    while (locked) {wait();}  
    switch (cStatus) {  
        case IDLE: cStatus = CStatus.FIRST;  
            return true;  
        case FIRST: locked = true;  
            cStatus = CStatus.SECOND;  
            return false;  
        case ROOT: return false;  
        default: throw new Panic("Unknown status");  
    }  
}
```

Prepare to deposit 2<sup>nd</sup> value



# Precombining Node

End of phase 1, don't  
continue up tree

```
ait();}

switch(cStatus)
{
    case IDLE: cStatus = CStatus.FIRST;
        return true;
    case FIRST: locked = true;
        cStatus = CStatus.SECOND;
        return false;
    case ROOT: return false;
    default: throw new PanicException()
}
```



# Node is the Root

If root, phase 1 ends,  
don't continue up tree

```
switch(cStatus) {  
    case IDLE: cStatus = CStatus.FIRST;  
        return true;  
    case FIRST: locked = true;  
        cStatus = CStatus.SECOND;  
        return false;  
    case ROOT: return false;  
    default: throw new PanicException()  
}  
}
```



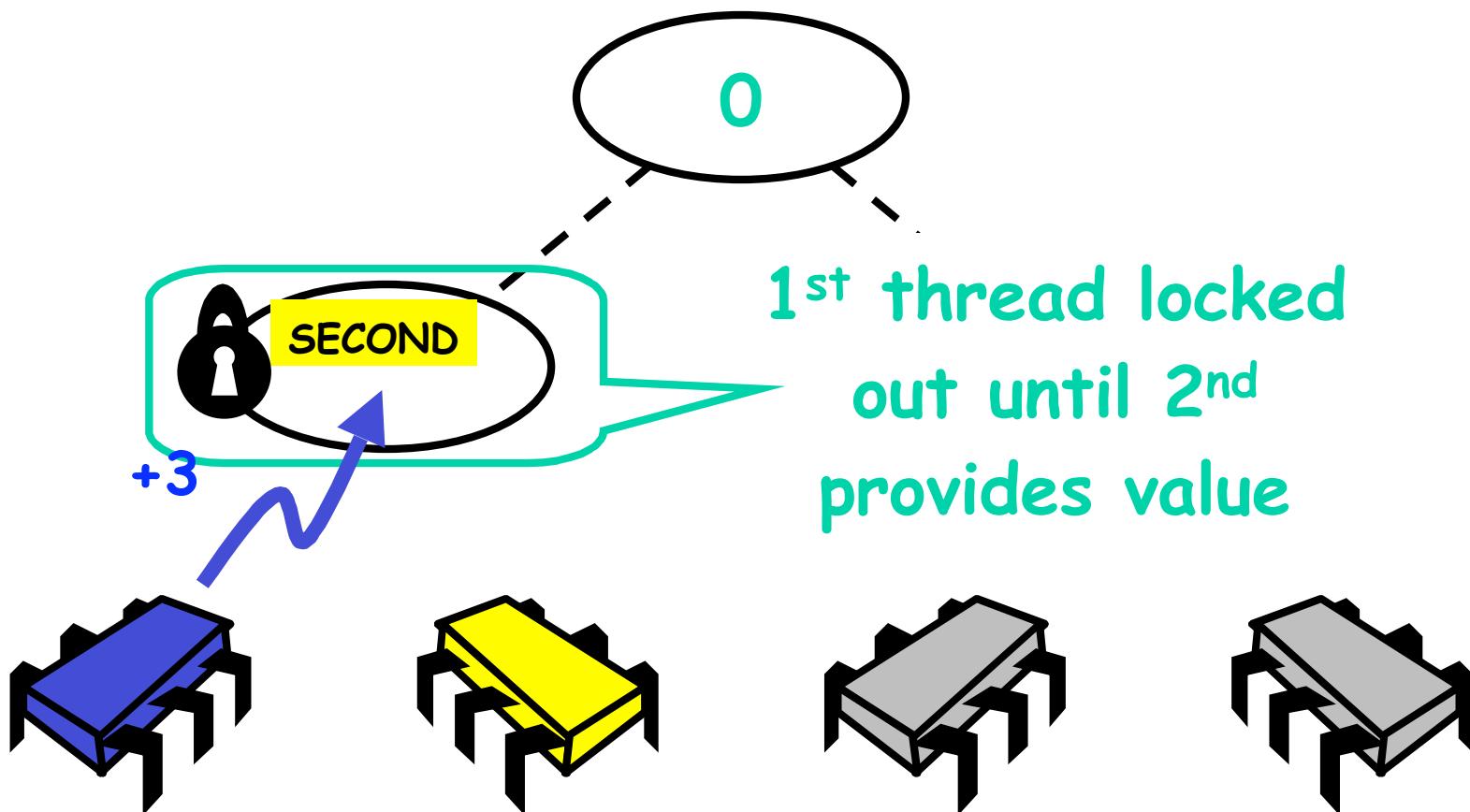
# Precombining Node

```
synchronized boolean phase1() {  
    while (locked) {wait();}  
    switch (cStatus) {  
        case IDLE: cStatus = CStatus.FIRST;  
            return true;  
        case FIRST: locked = true;  
            cStatus = CStatus.SECOND;  
            return false;  
        case ROOT: return false;  
        default: throw new PanicException()  
    }  
}
```

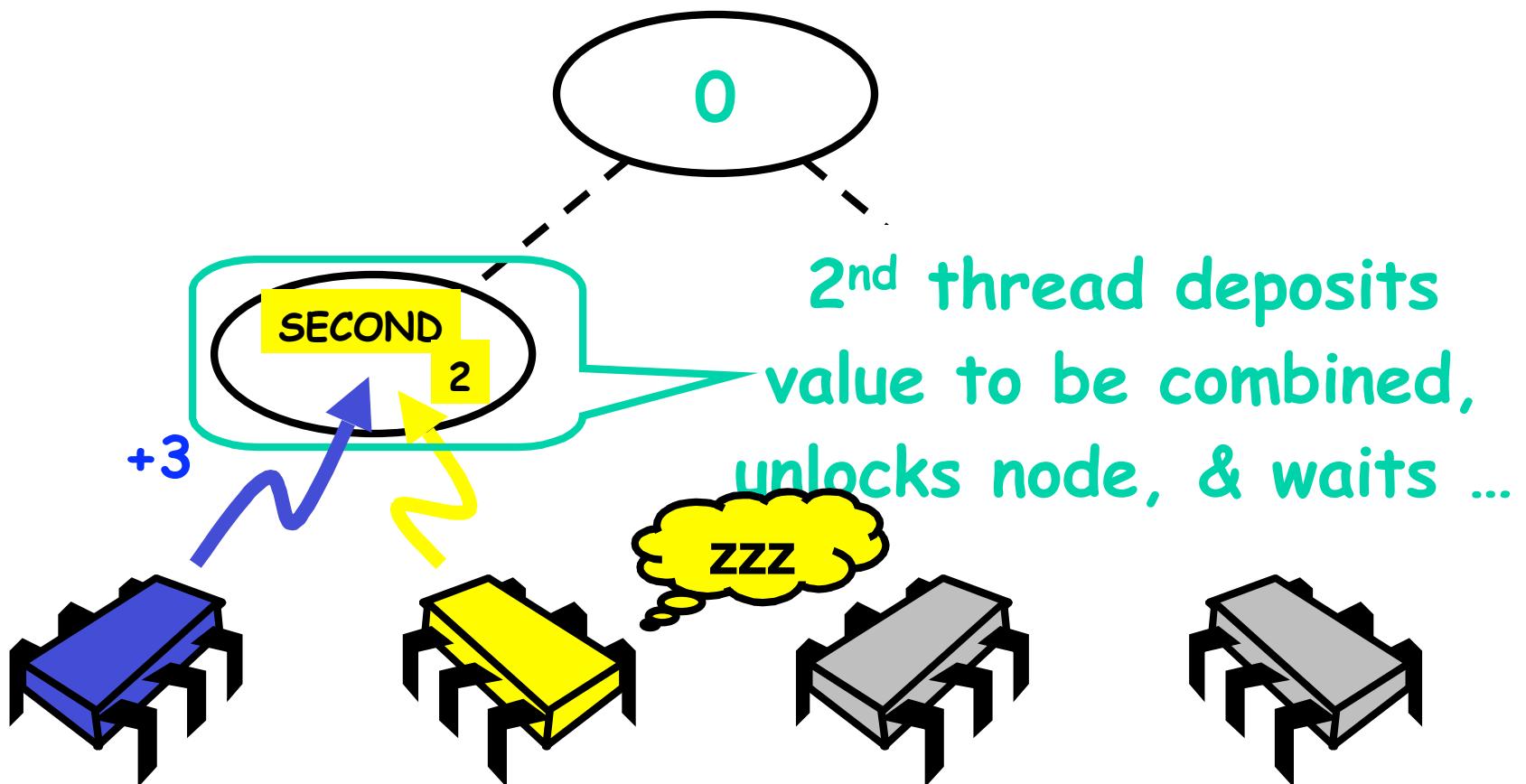
**Always check for unexpected values!**



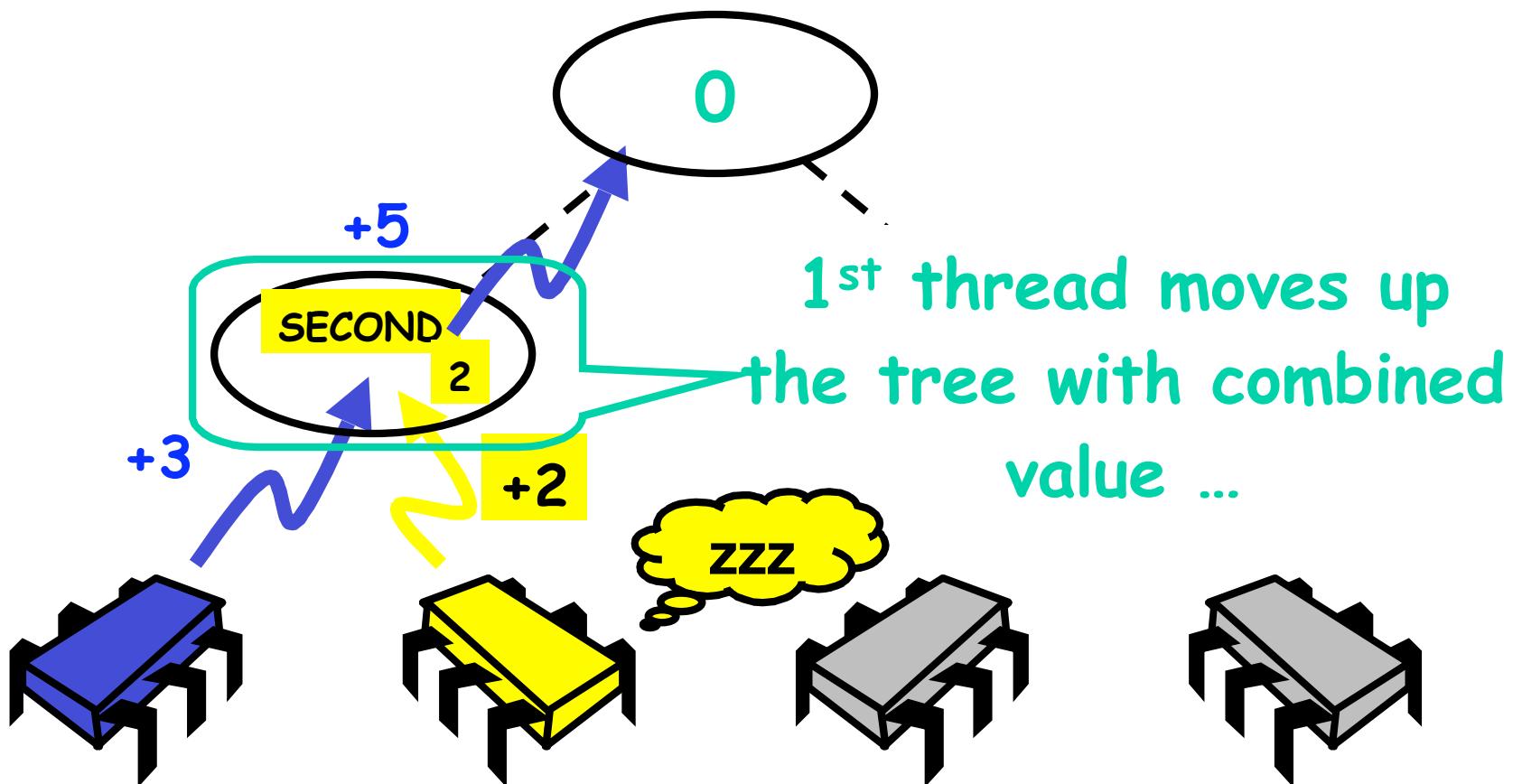
# Combining Phase



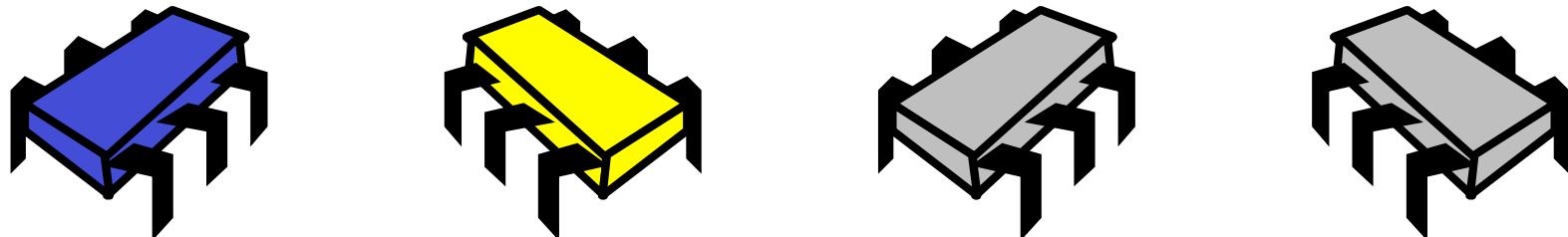
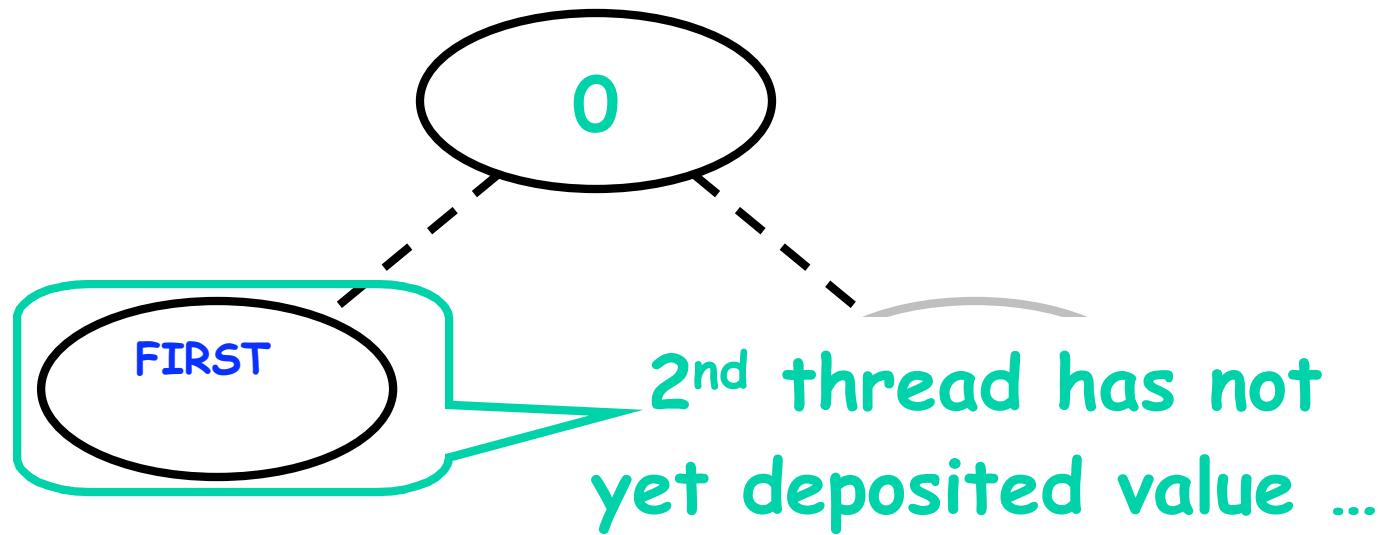
# Combining Phase



# Combining Phase



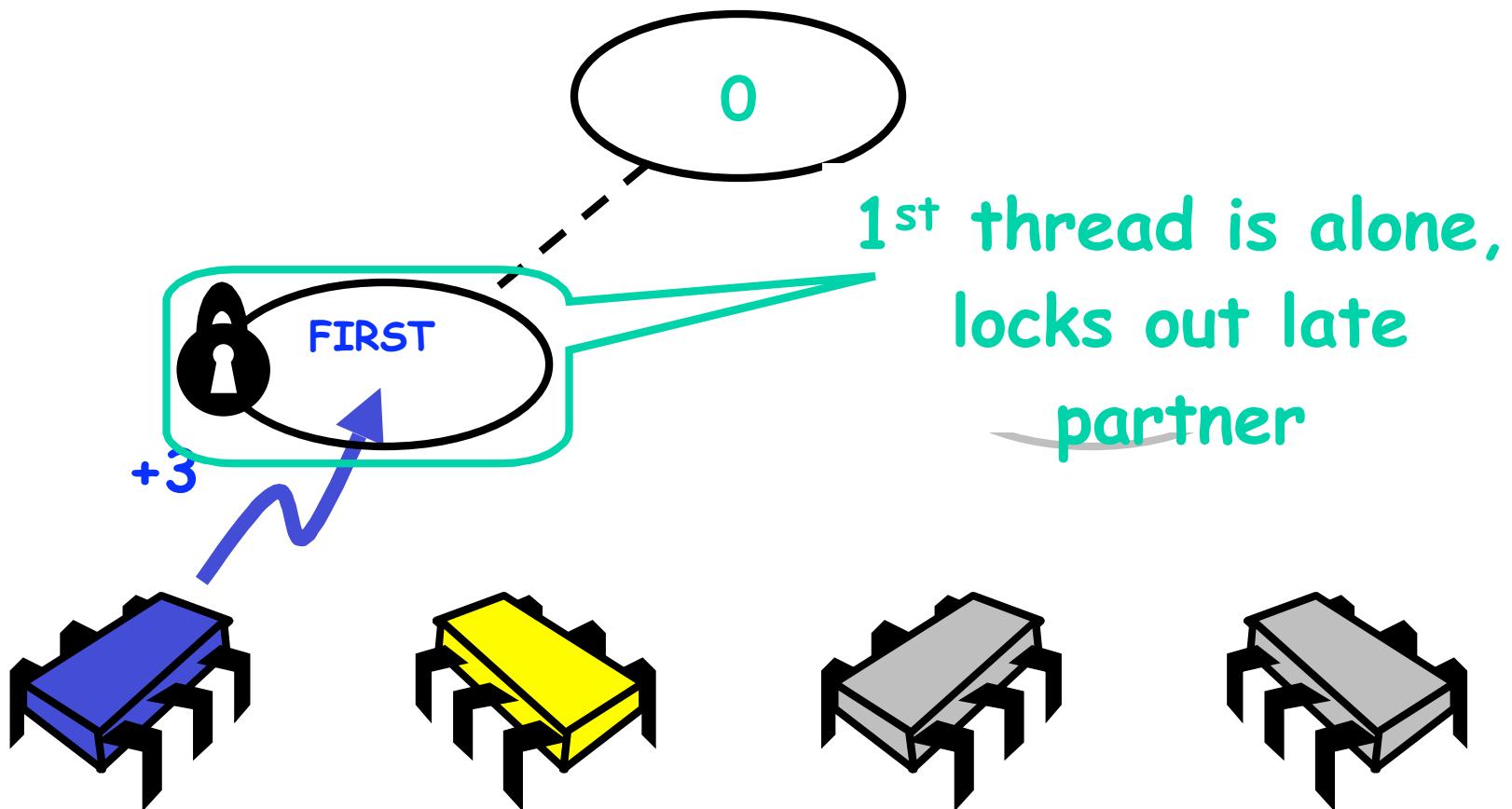
# Combining (reloaded)



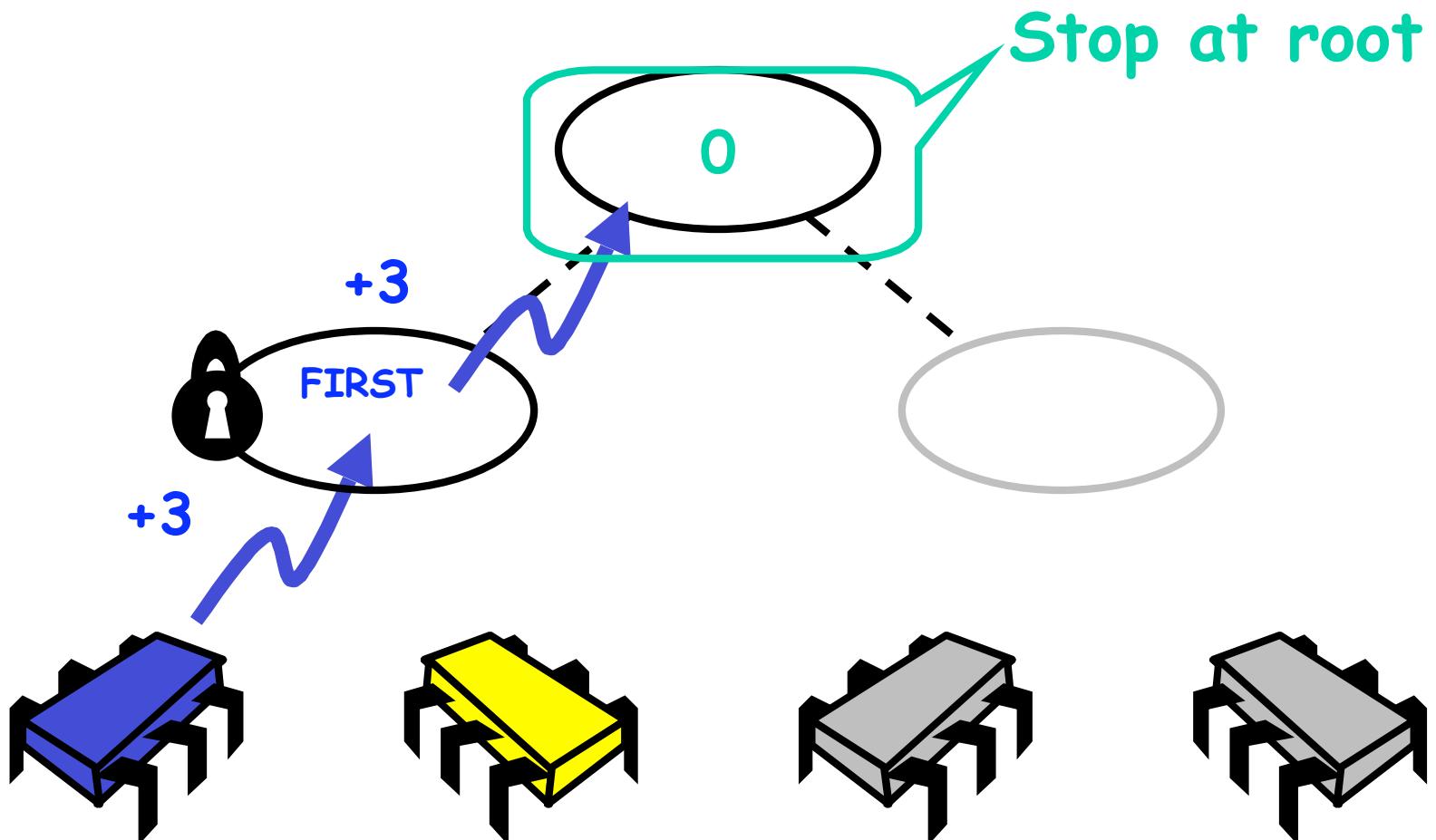
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# Combining (reloaded)



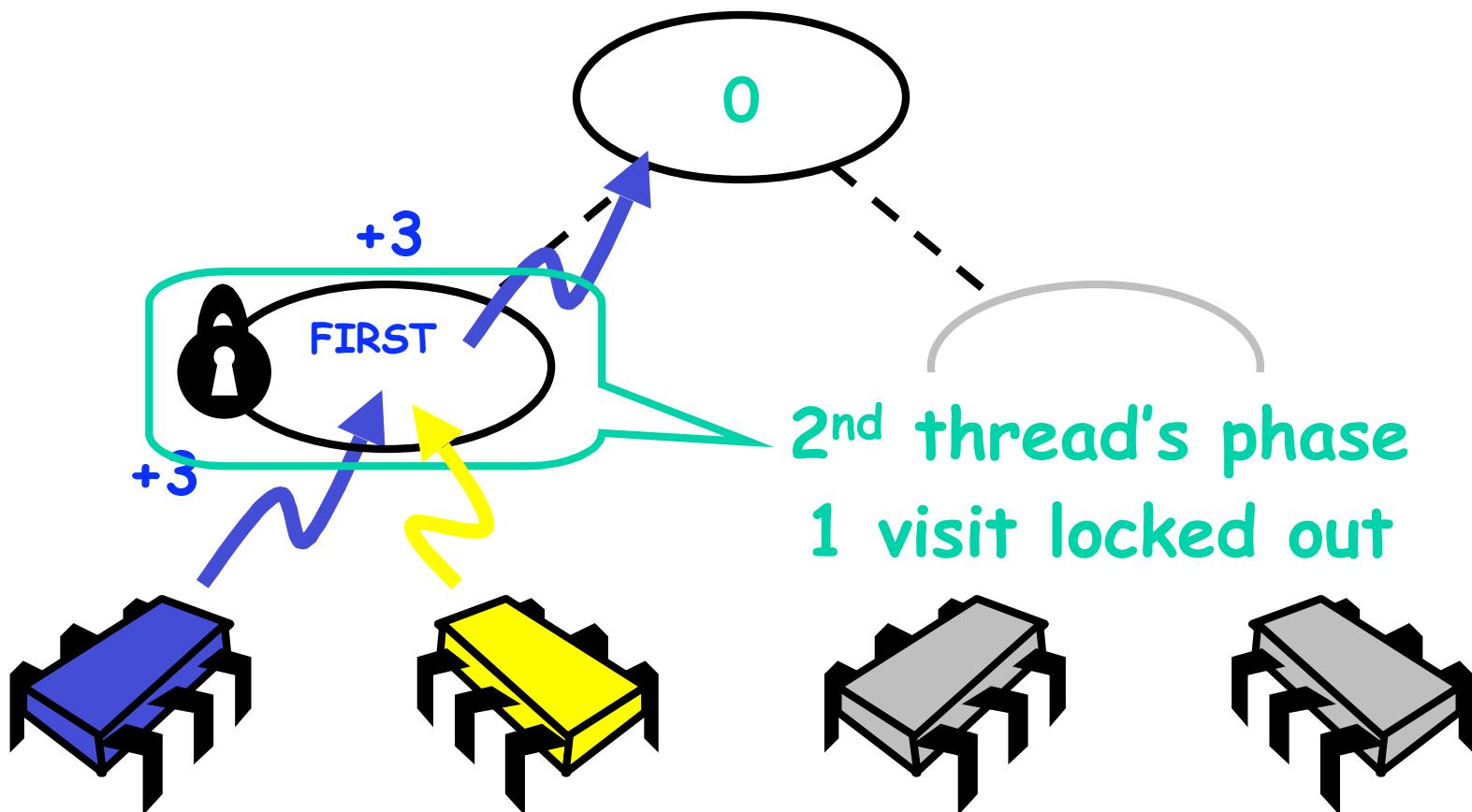
# Combining (reloaded)



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# Combining (reloaded)



# Combining Navigation

```
node = myLeaf;  
int combined = 1;  
while (node != stop) {  
    combined = node.combine(combined);  
    stack.push(node);  
    node = node.parent;  
}
```



# Combining Navigation

```
node = myLeaf;
```

```
int combined = 1;
```

```
while (node != stop) {
```

```
    combined = node.combine(combined);
```

```
    stack.push(node);
```

```
    node = node.parent;
```

```
}
```

Start at leaf



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# Combining Navigation

```
node = myLeaf;  
int combined = 1;  
while (node != stop) {  
    combined = node.combine(combined);  
    stack.push(node);  
    node = node.parent;  
}
```

Add 1



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# Combining Navigation

```
node = myLeaf;  
int combined = 1;  
while (node != stop) {  
    combined = node.combine(combined);  
    stack.push(node);  
    node = node.parent;  
}
```

Revisit nodes  
visited in phase 1



# Combining Navigation

```
node = myLeaf;  
int combined = 1;  
while (node != stop) {  
    combined = node.combine(combined);  
    stack.push(node);  
    node = node.parent;  
}
```



Accumulate combined  
values, if any



# Combining Navigation

```
node = myLeaf;  
int combined = 1;  
while (node != stop) {  
    combined = node.combine(combined);  
    stack.push(node);  
    node = node.parent;  
}
```

We will retraverse path in  
reverse order ...



# Combining Navigation

```
node = myLeaf;  
int combined = 1;  
while (node != stop) {  
    combined = node.combine(combined);  
    stack.push(node);  
    node = node.parent;  
}
```

Move up the tree



# Combining Phase Node

```
synchronized int combine(int combined) {  
    while (locked) wait();  
    locked = true;  
    firstValue = combined;  
    switch (cStatus) {  
        case FIRST:  
            return firstValue;  
        case SECOND:  
            return firstValue + secondValue;  
        default: ...  
    }  
}
```



# Combining Phase Node

```
synchronized int combine(int combined) {  
    while (locked) wait();  
    locked = true;  
    firstValue = combined;  
    switch (cStatus) {  
        case FIRST:  
            return firstValue;  
        case SECOND:  
            return firstValue + secondValue;  
        default: ...  
    }  
}
```

**Wait until node is unlocked**



# Combining Phase Node

```
synchronized int combine(int combined) {  
    while (locked) wait();  
    locked = true;  
    firstValue = combined;  
    switch (cStatus) {  
        case FIRST:  
            return firstValue;  
        case SECOND:  
            return firstValue + secondValue;  
        default: ...  
    }  
}
```

Lock out late  
attempts to combine



# Combining Phase Node

```
synchronized int combine(int combined) {  
    while (locked) wait();  
    locked = true;  
    firstValue = combined;  
    switch (cStatus) {  
        case FIRST:  
            return firstValue;  
        case SECOND:  
            return firstValue + secondValue,  
        default: ...  
    }  
}
```

**Remember our contribution**



# Combining Phase Node

```
synchronized int combine(int combined) {  
    while (locked) wait();  
    locked = true;  
    firstValue = combined;  
    switch (cStatus) {  
        case FIRST:  
            return firstValue;  
        case SECOND:  
            return firstValue + secondValue;  
        default: ...  
    }  
}
```

Check status



# Combining Phase Node

```
synchronized int combine(int combined) {  
    while (locked) wait();  
    locked = true;  
    firstValue = combined;  
    switch (cStatus) {  
        case FIRST:  
            return firstValue;  
        case SECOND:  
            return firstValue + secondValue;  
        default: ...  
    }  
}
```

**1<sup>st</sup> thread is alone**



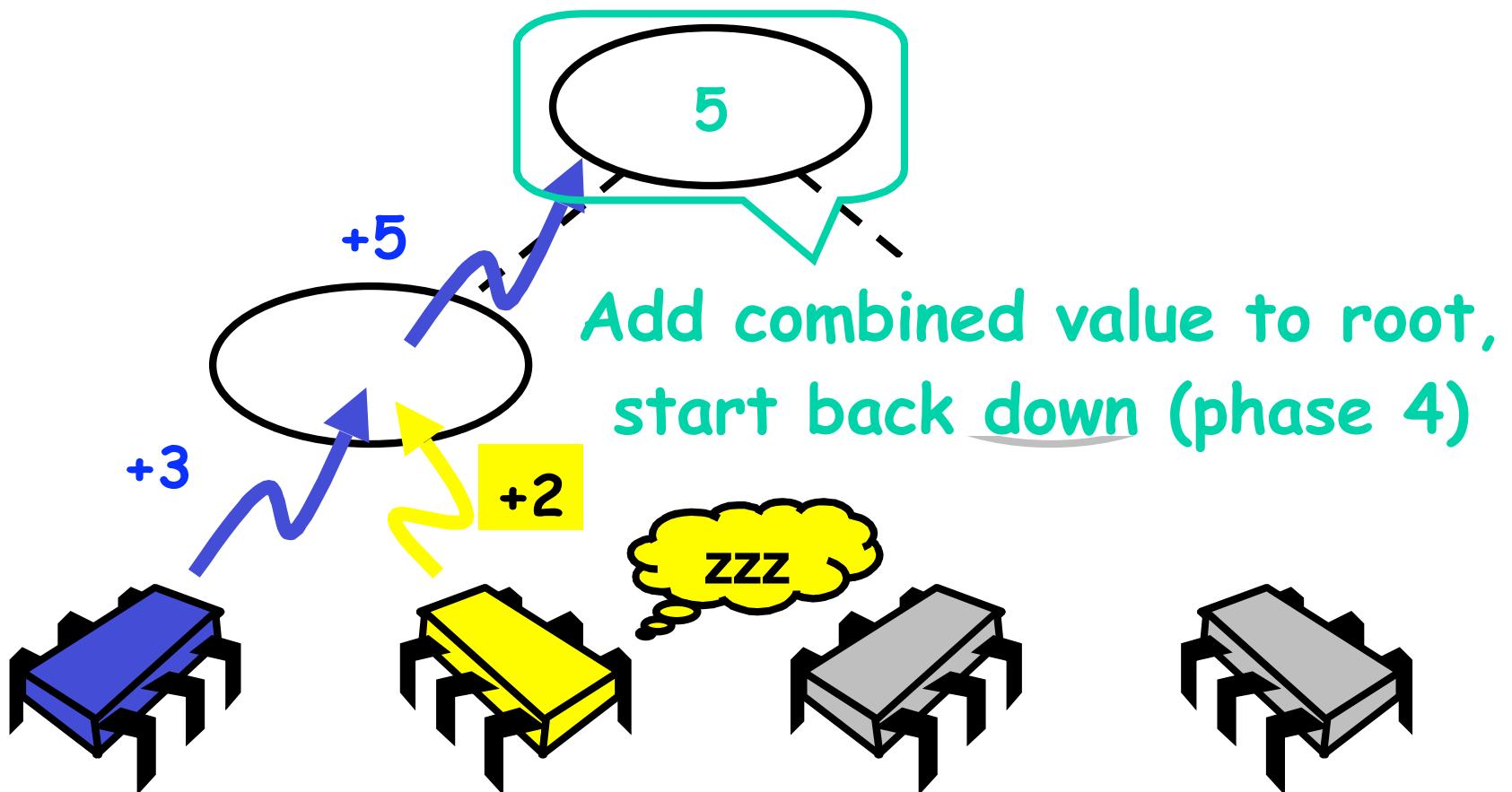
# Combining Node

```
synchronized int combine(int combined) {  
    while (locked) wait();  
    locked = true;  
    firstValue = combined;  
    switch (cStatus) {  
        case FIRST:  
            return firstValue;  
        case SECOND:  
            return firstValue + secondValue;  
        default: ...  
    }  
}
```

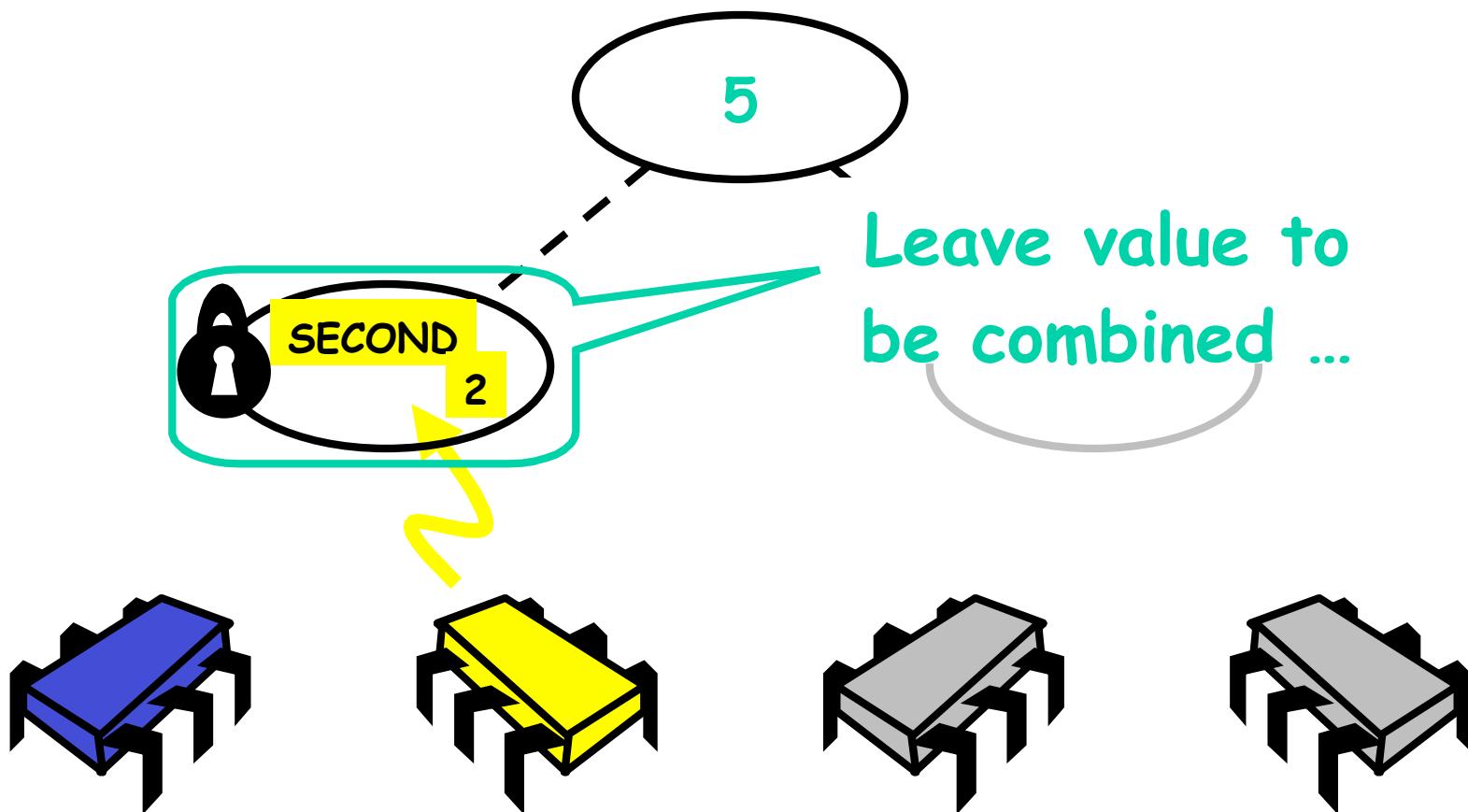
Combine with  
2nd thread



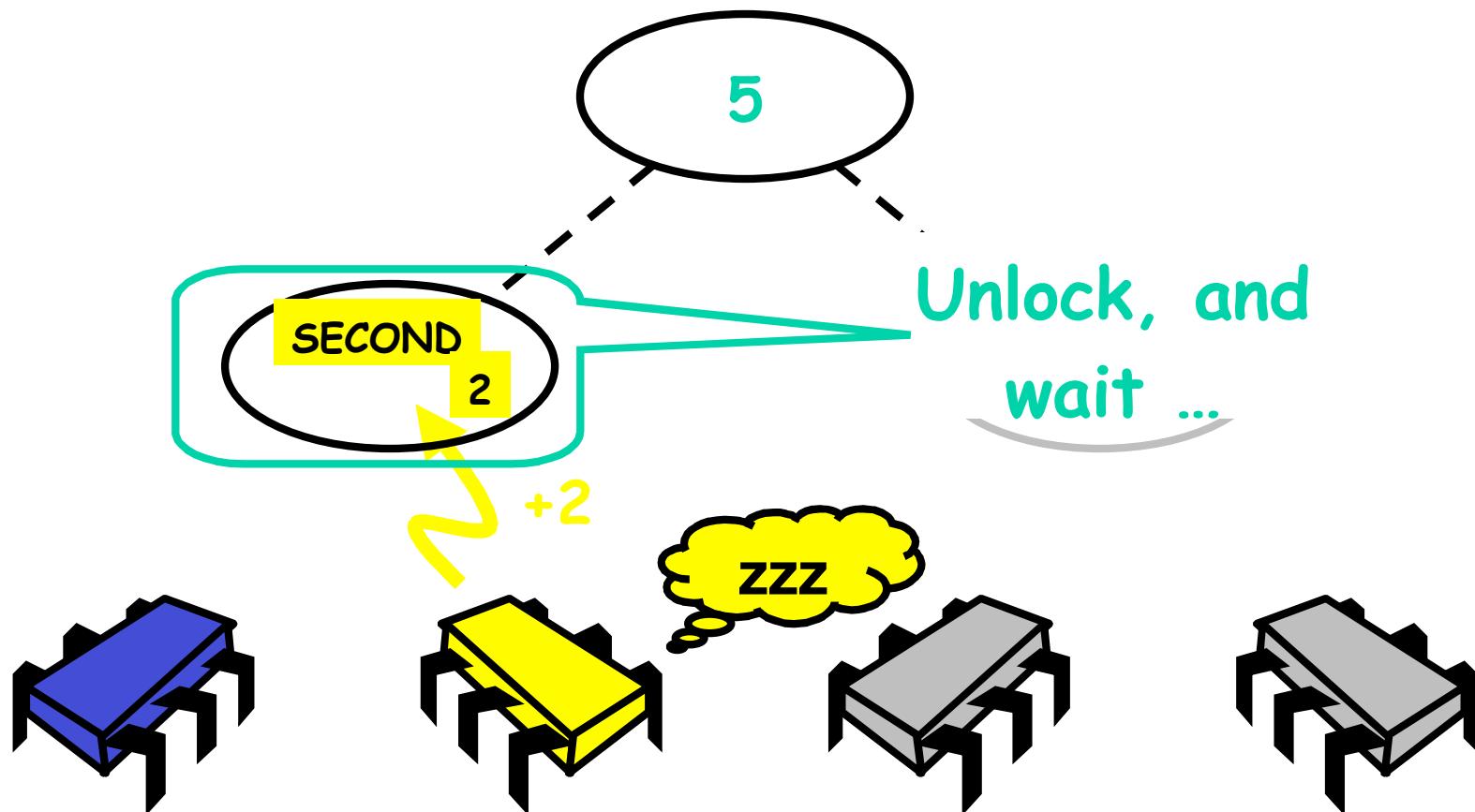
# Operation Phase



# Operation Phase (reloaded)



# Operation Phase (reloaded)



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# Operation Phase Navigation

**prior = stop.op(combined);**



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# Operation Phase Navigation

**prior = stop.op(combined);**

Get result of  
combining



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# Operation Phase Node

```
synchronized int op(int combined) {  
    switch (cStatus) {  
        case ROOT: int oldValue = result;  
            result += combined;  
            return oldValue;  
        case SECOND: secondValue = combined;  
            locked = false; notifyAll();  
            while (cStatus != CStatus.DONE) wait();  
            locked = false; notifyAll();  
            cStatus = CStatus.IDLE;  
            return result;  
        default: ...  
    }  
}
```



# At Root

```
synchronized int op(int combined) {  
    switch (cStatus) {  
        case ROOT: int oldValue = result;  
            result += combined;  
            return oldValue;  
        case SECOND: secondValue = combined;  
            locked = false; notifyAll();  
            while (cStatus != CStatus.DONE) wait();  
            locked = false; notifyAll();  
            cStatus = CStatus.IDLE;  
            return result;  
        default: ...  
    }  
}
```

Add sum to root,  
return prior value



# Intermediate Node

```
synchronized int op(int combined) {  
    switch (cStatus) {  
        case ROOT: int oldValue = result;  
            result += combined;  
            return oldValue;  
        case SECOND: secondValue = combined;  
            locked = false; notifyAll();  
            while (cStatus != CStatus.DONE) wait();  
            locked = false; notifyAll();  
            cStatus = CStatus.IDLE;  
            return result;  
        default: ...  
    }  
}
```

**Deposit value for  
later combining ...**



# Intermediate Node

```
synchronized int op(int combined) {  
    switch (cStatus) {  
        case ROOT: int oldValue = result;  
            result += combined;  
            return oldValue;  
        case SECOND: secondValue = combined;  
            locked = false; notifyAll();  
            while (cStatus != CStatus.DONE) wait();  
            locked = false; notifyAll();  
            cStatus = CStatus.IDLE;  
            return result;  
        default: ...  
    }  
}
```

**Unlock node, notify  
1st thread**



# Intermediate Node

```
synchronized int op(int combined) {  
    switch (cStatus) {  
        case ROOT: int oldValue = result;  
            result += combined;  
            return oldValue;  
        case SECOND: secondValue = combined;  
            locked = false; notifyAll();  
            while (cStatus != CStatus.DONE) wait();  
            locked = false; notifyAll();  
            cStatus = CStatus.IDLE;  
            return result;  
        default: ...  
    }  
}
```

**Wait for 1<sup>st</sup> thread to deliver results**



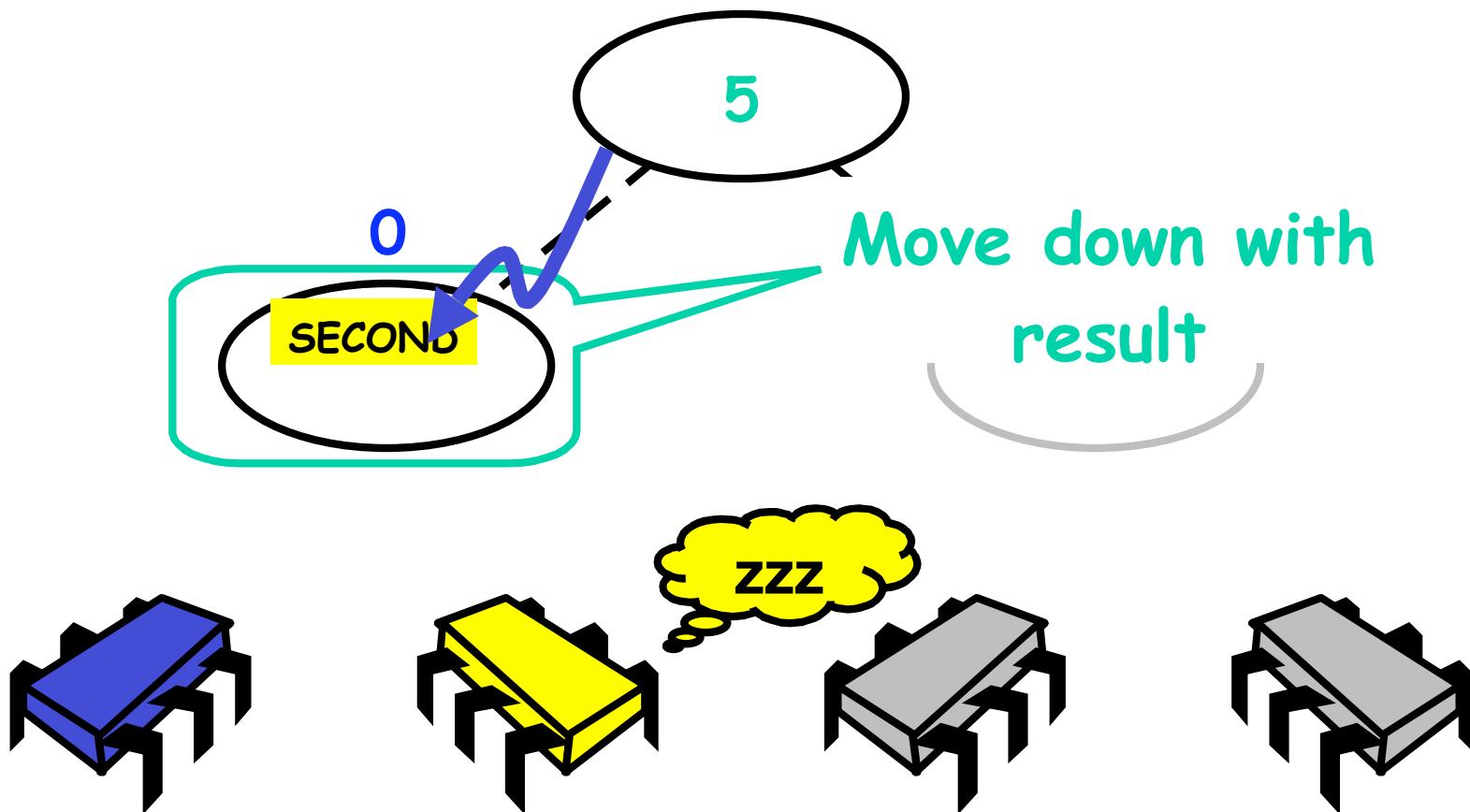
# Intermediate Node

```
synchronized int op(int combined) {  
    switch (cStatus) {  
        case ROOT: int oldValue = result;  
            result += combined;  
            return oldValue;  
        case SECOND: secondValue = combined;  
            locked = false; notifyAll();  
            while (cStatus != CStatus.DONE) wait();  
            locked = false; notifyAll();  
            cStatus = CStatus.IDLE;  
            return result;  
        default: ...  
    }  
}
```

**Unlock node &  
return**



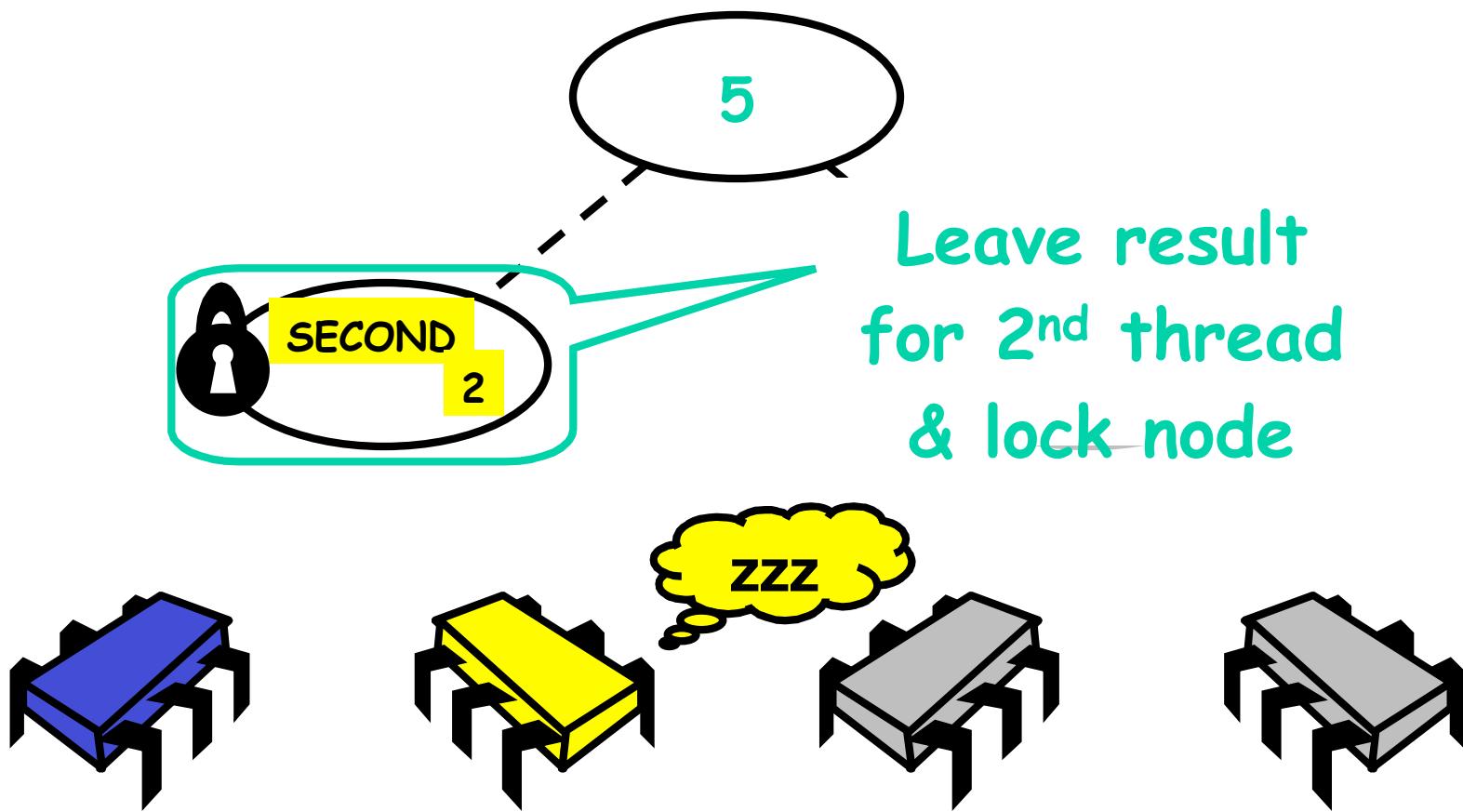
# Distribution Phase



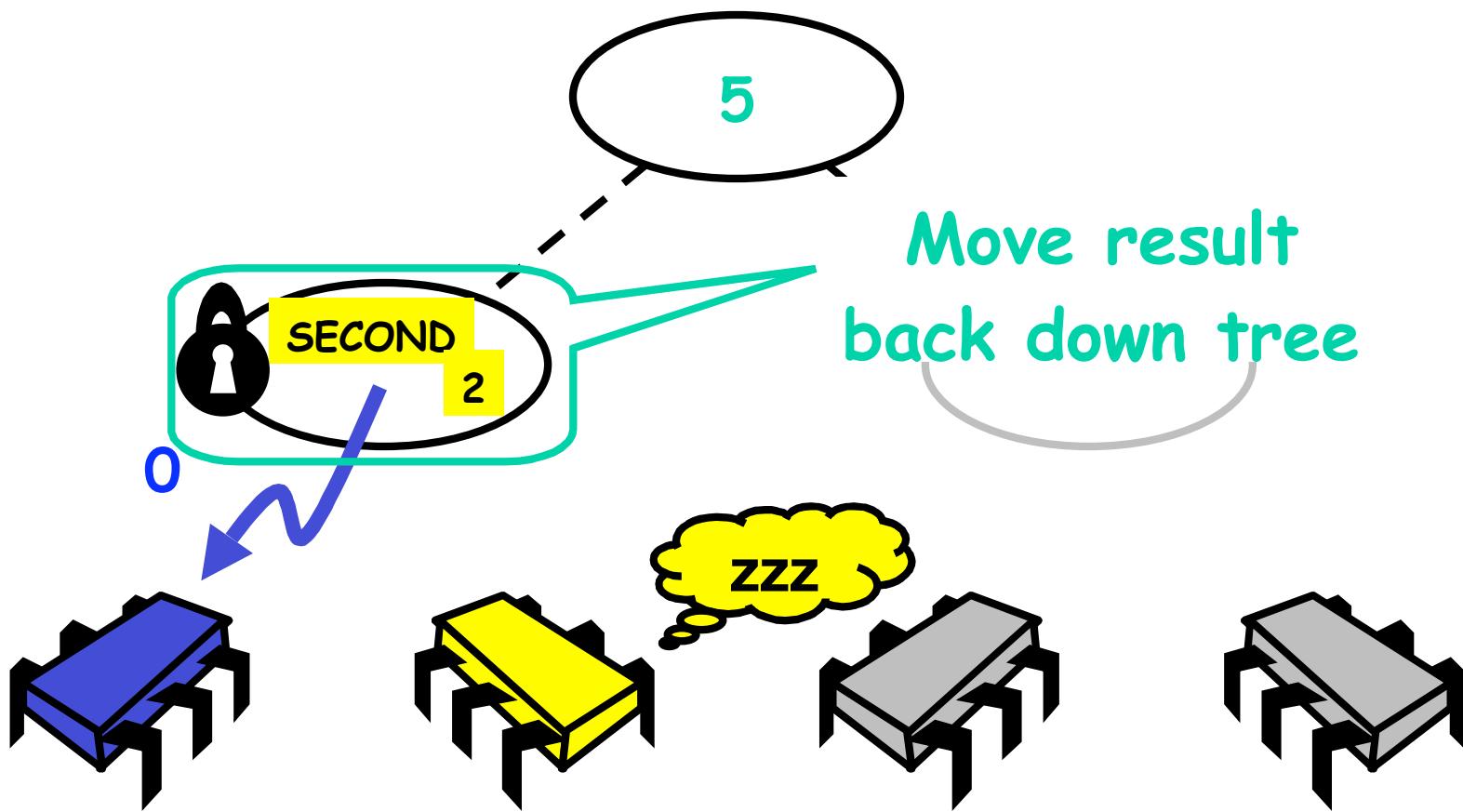
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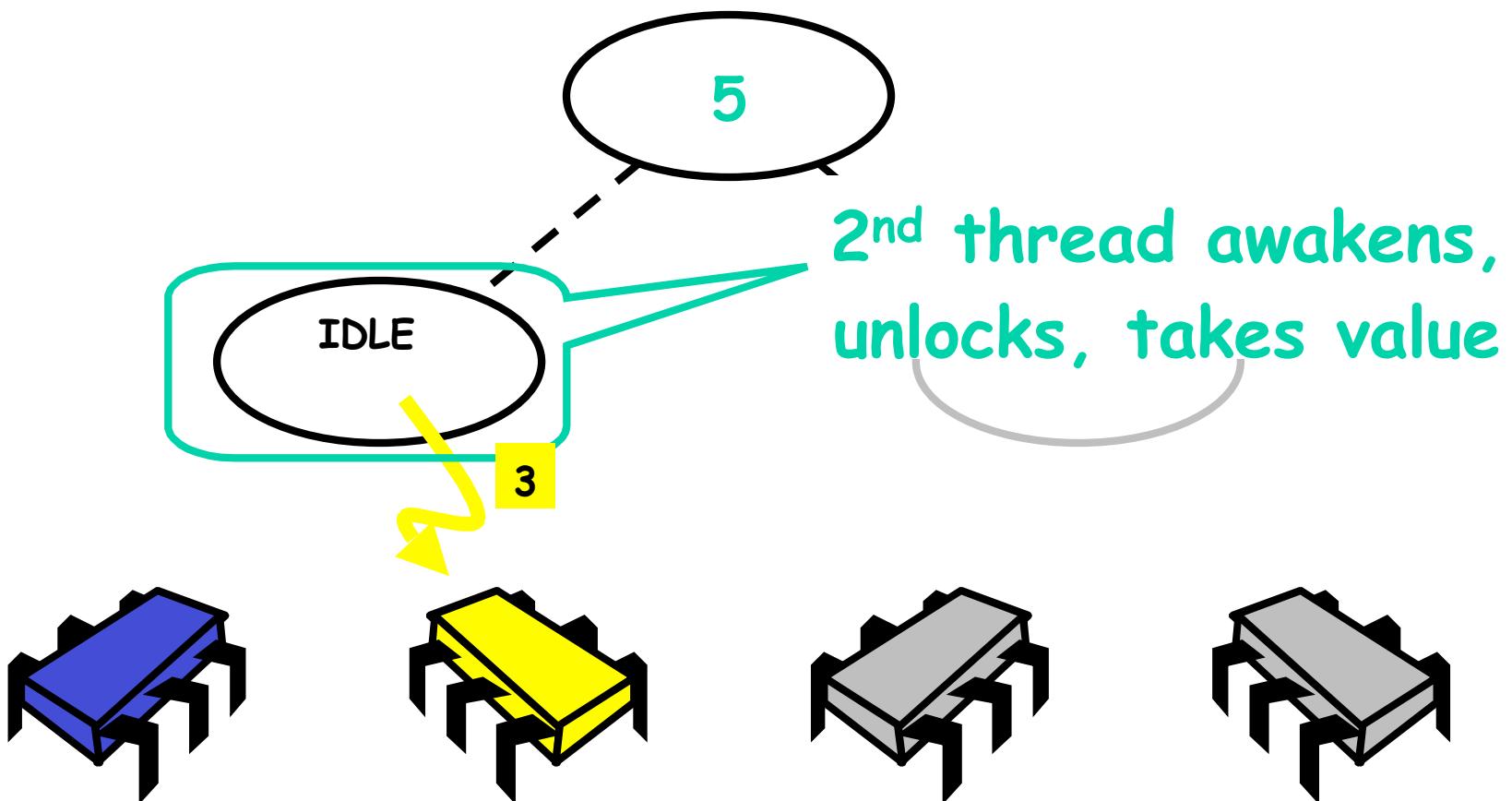
# Distribution Phase



# Distribution Phase



# Distribution Phase



# Distribution Phase Navigation

```
while (!stack.empty()) {  
    node = stack.pop();  
    node.distribute(prior);  
}  
return prior;
```



# Distribution Phase Navigation

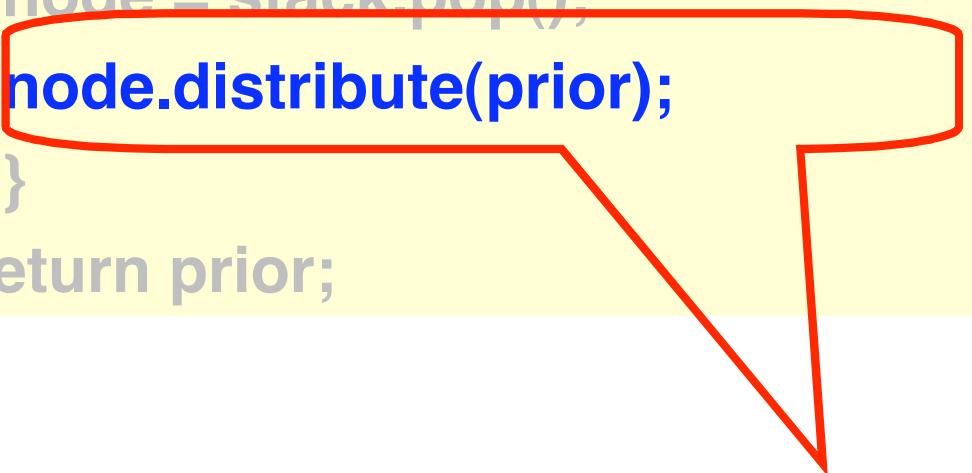
```
while (!stack.empty()) {  
    node = stack.pop();  
    node.distribute(prior);  
}  
return prior;
```

Traverse path in  
reverse order



# Distribution Phase Navigation

```
while (!stack.empty()) {  
    node = stack.pop();  
    node.distribute(prior);  
}  
return prior;
```



Distribute results to  
waiting 2<sup>nd</sup> threads



# Distribution Phase Navigation

```
while (!stack.empty()) {  
    node = stack.pop();  
    node.distribute(prior);  
}  
return prior;
```

Return result  
to caller



# Distribution Phase

```
synchronized void distribute(int prior) {  
    switch (cStatus) {  
        case FIRST:  
            cStatus = CStatus.IDLE;  
            locked = false; notifyAll();  
            return;  
        case SECOND:  
            result = prior + firstValue;  
            cStatus = CStatus.DONE; notifyAll();  
            return;  
        default: ...  
    }  
}
```



# Distribution Phase

```
synchronized void distribute(int prior) {  
    switch (cStatus) {  
        case FIRST:  
            cStatus = CStatus.IDLE;  
            locked = false; notifyAll();  
            return;  
        case SECOND:  
            result = prior + firstValue;  
            cStatus = CStatus.DONE; notifyAll();  
            return;  
        default: ...  
    }  
}
```

No combining, unlock  
node & reset



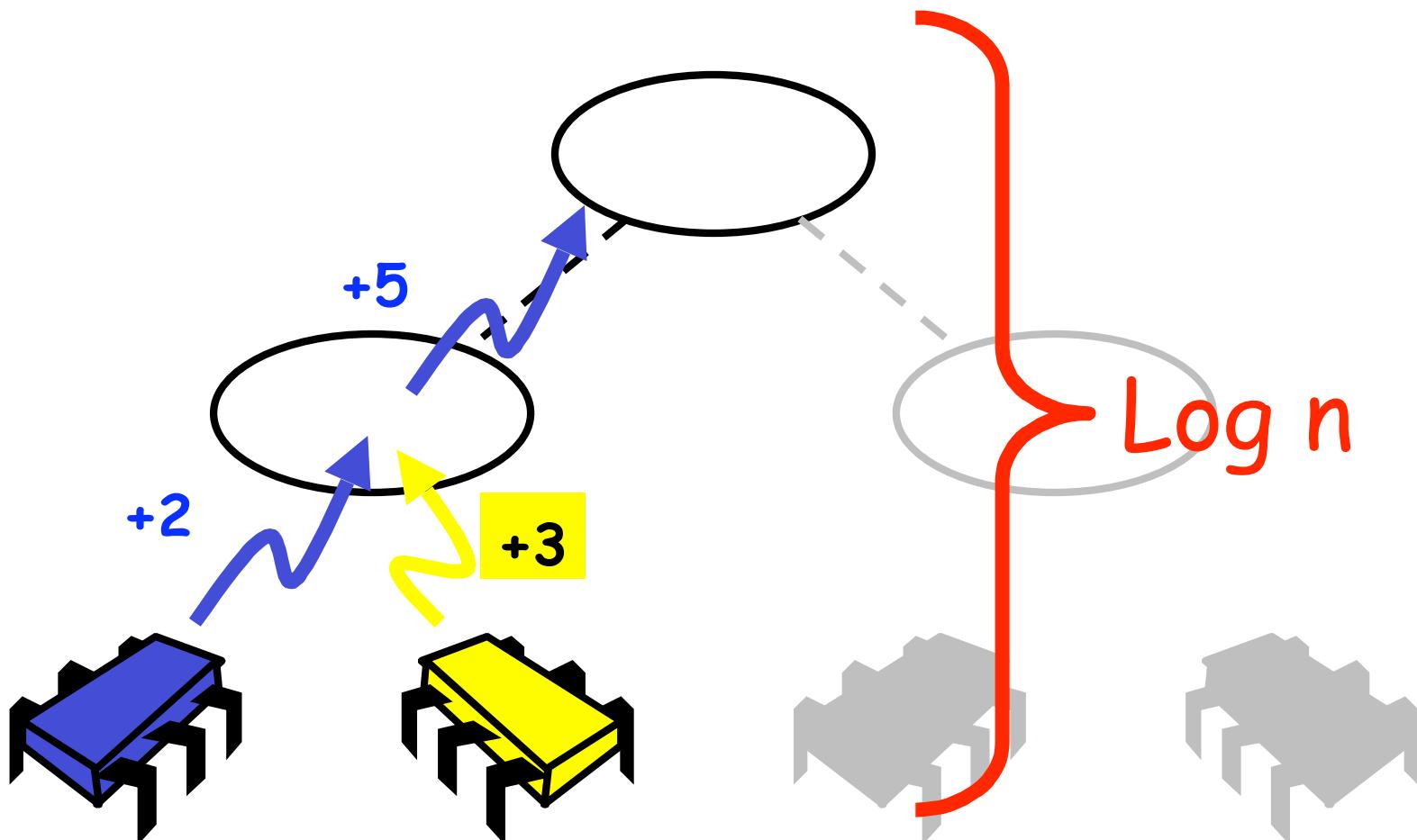
# Distribution Phase

```
synchronized void distribute(int prior) {  
    switch (cStatus) {  
        case FIRST:  
            cStatus = CStatus.IDLE;  
            locked = false; notifyAll(),  
            return;  
        case SECOND:  
            result = prior + firstValue;  
            cStatus = CStatus.DONE; notifyAll();  
            return;  
        default: ...  
    }  
}
```

Notify 2<sup>nd</sup> thread  
that result is  
available



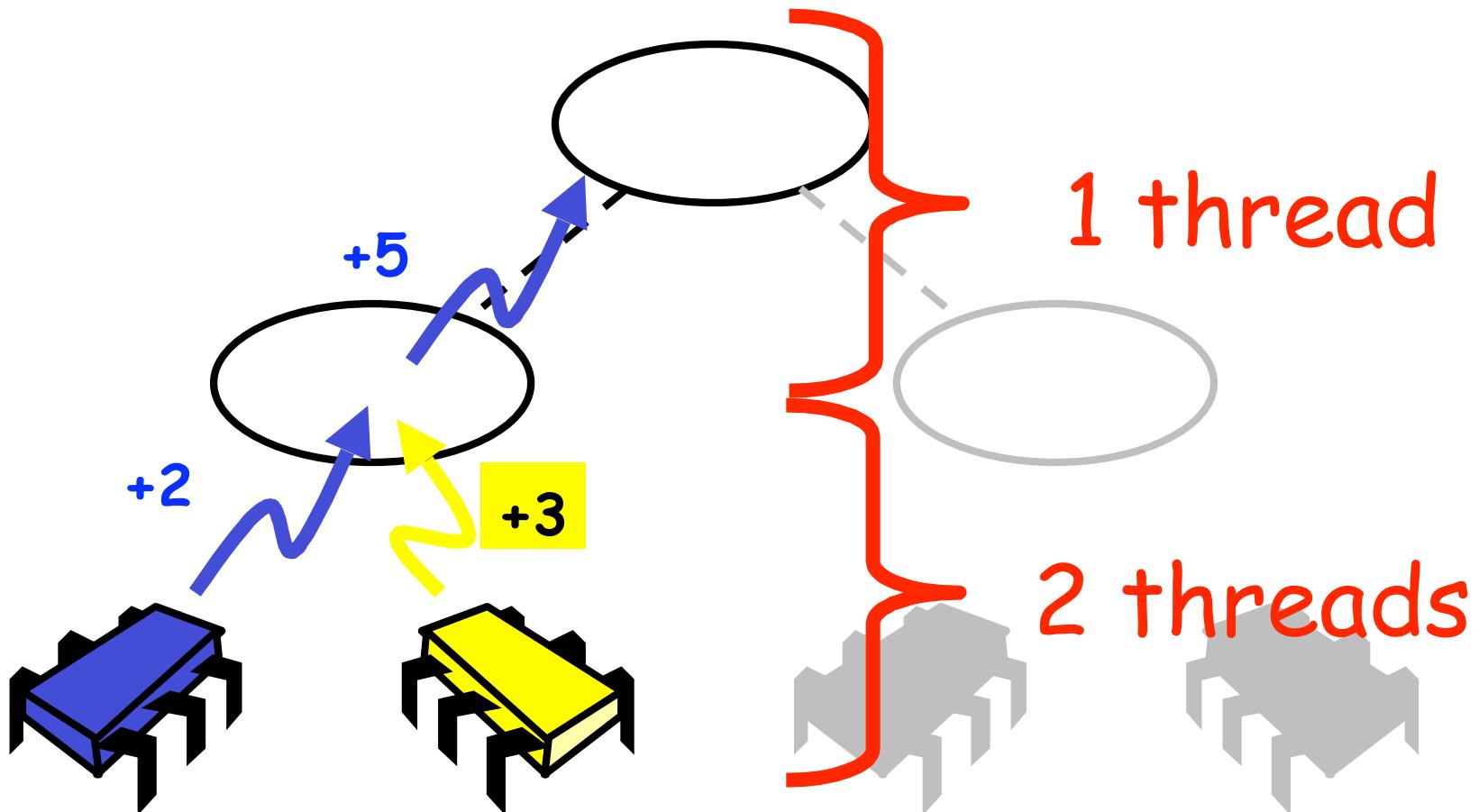
# Bad News: High Latency



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# Good News: Real Parallelism



# Throughput Puzzles

- Ideal circumstances
  - All  $n$  threads move together, combine
  - $n$  increments in  $O(\log n)$  time
- Worst circumstances
  - All  $n$  threads slightly skewed, locked out
  - $n$  increments in  $O(n \cdot \log n)$  time



# Index Distribution Benchmark

```
void indexBench(int iters, int work) {  
    while (int i < iters) {  
        i = r.getAndIncrement();  
        Thread.sleep(random() % work);  
    }  
}
```



# Index Distribution Benchmark

```
void indexBench(int iters, int work) {  
    while (int i < iters) {  
        i = r.getAndIncrement();  
        Thread.sleep(random() % work);  
    }  
}
```

iters

i < iters

i = r.getAndIncrement();

Thread.sleep(random() % work);

}

random() % work;

How many iterations



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# Index Distribution Benchmark

```
void indexBench(int iters, int work) {  
    while (int i < iters) {  
        i = r.getAndIncrement();  
        Thread.sleep(random() % work);  
    }  
}
```

Expected time between  
incrementing counter



# Index Distribution Benchmark

```
void indexBench(int iters, int work) {  
    while (int i < iters) {  
        i = r.getAndIncrement();  
        Thread.sleep(random() % work);  
    }  
}
```

**i = r.getAndIncrement();**

**Take a number**



# Index Distribution Benchmark

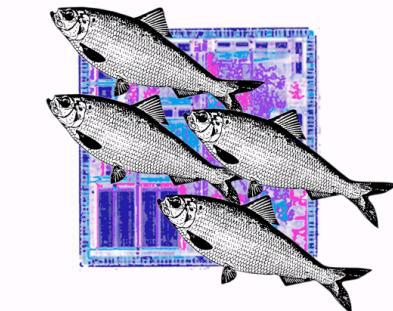
```
void indexBench(int iters, int work) {  
    while (int i < iters) {  
        i = r.getAndIncrement();  
        Thread.sleep(random() % work);  
    }  
}
```

Pretend to work  
(more work, less concurrency)



# Performance Benchmarks

- Alewife
  - NUMA architecture
  - Simulated
- Throughput:
  - average number of inc operations in 1 million cycle period.
- Latency:
  - average number of simulator cycles per inc operation.



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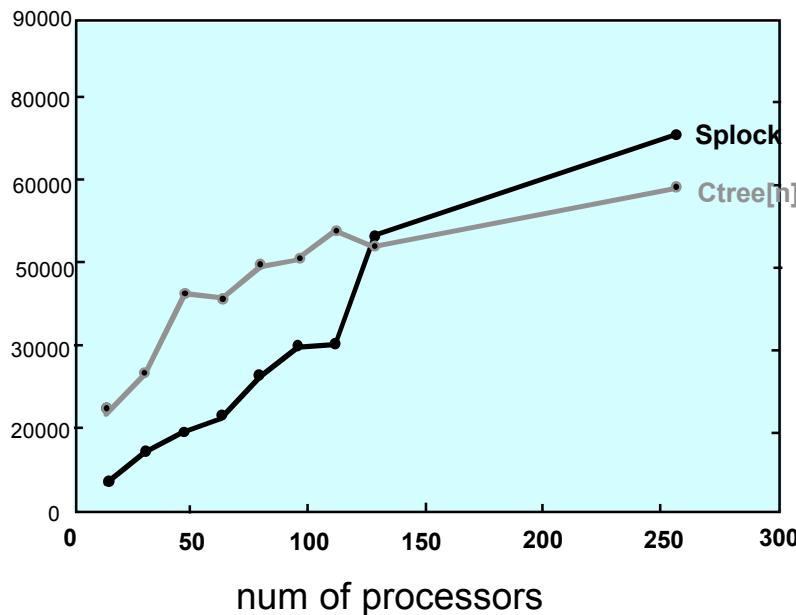
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# Performance

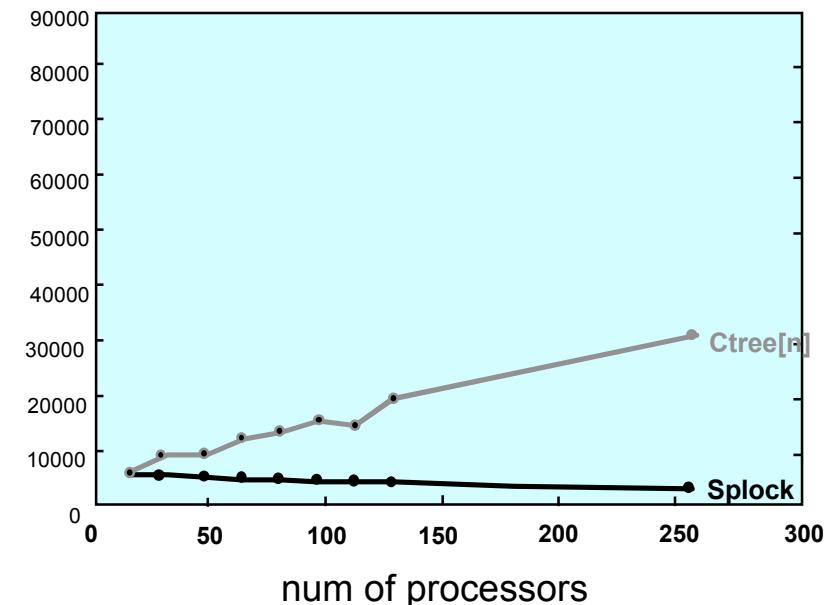
cycles  
per  
operation

Latency:



operations  
per million  
cycles

Throughput:



**work = 0**



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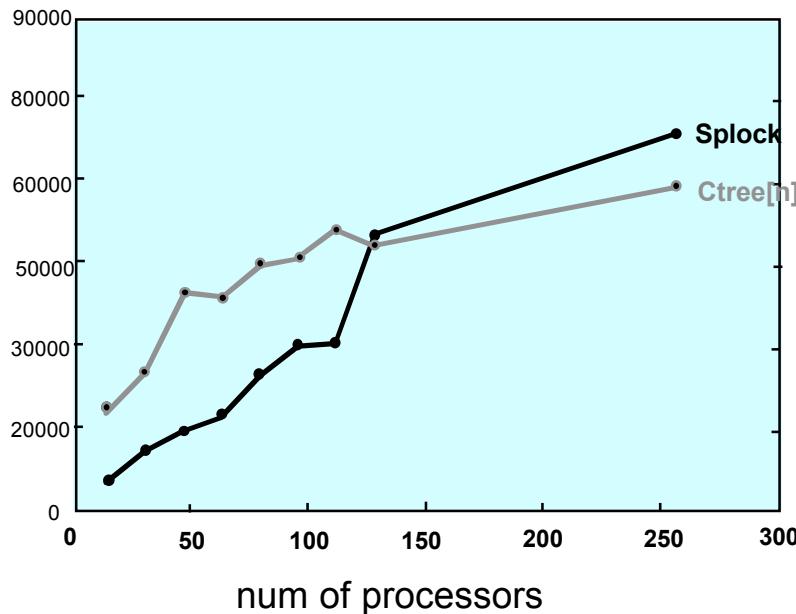
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# Performance

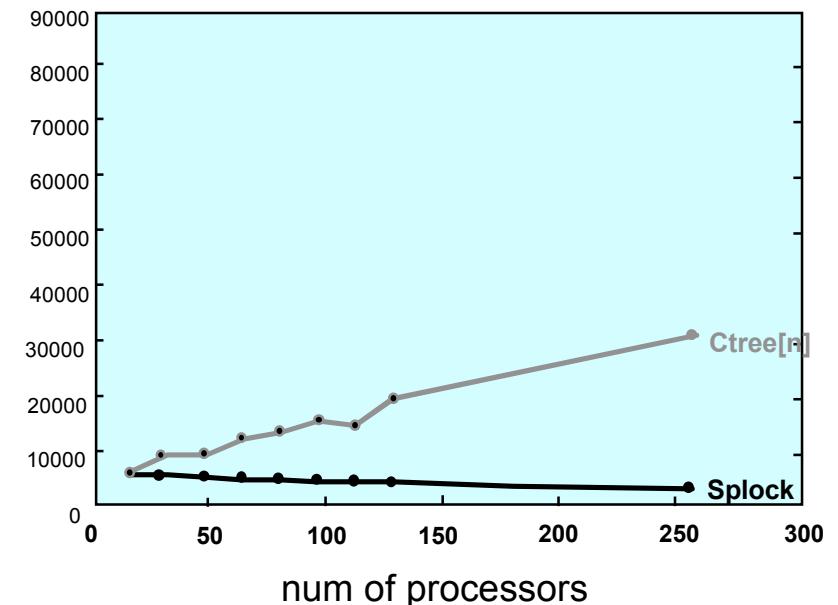
cycles  
per  
operation

Latency:



operations  
per million  
cycles

Throughput:



**work = 0**



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# The Combining Paradigm

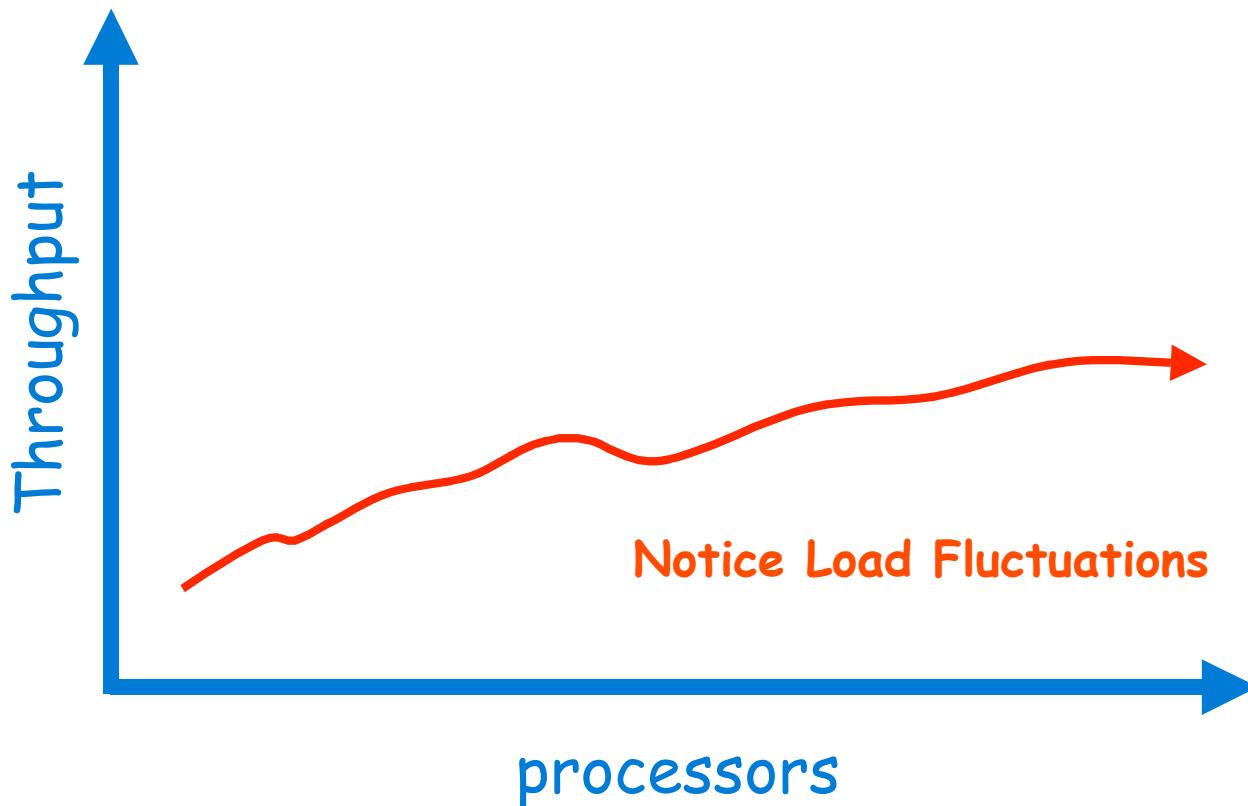
- Implements any RMW operation
- When tree is loaded
  - Takes  $2 \log n$  steps
  - for  $n$  requests
- Very sensitive to load fluctuations:
  - if the arrival rates drop
  - the combining rates drop
  - overall performance deteriorates!



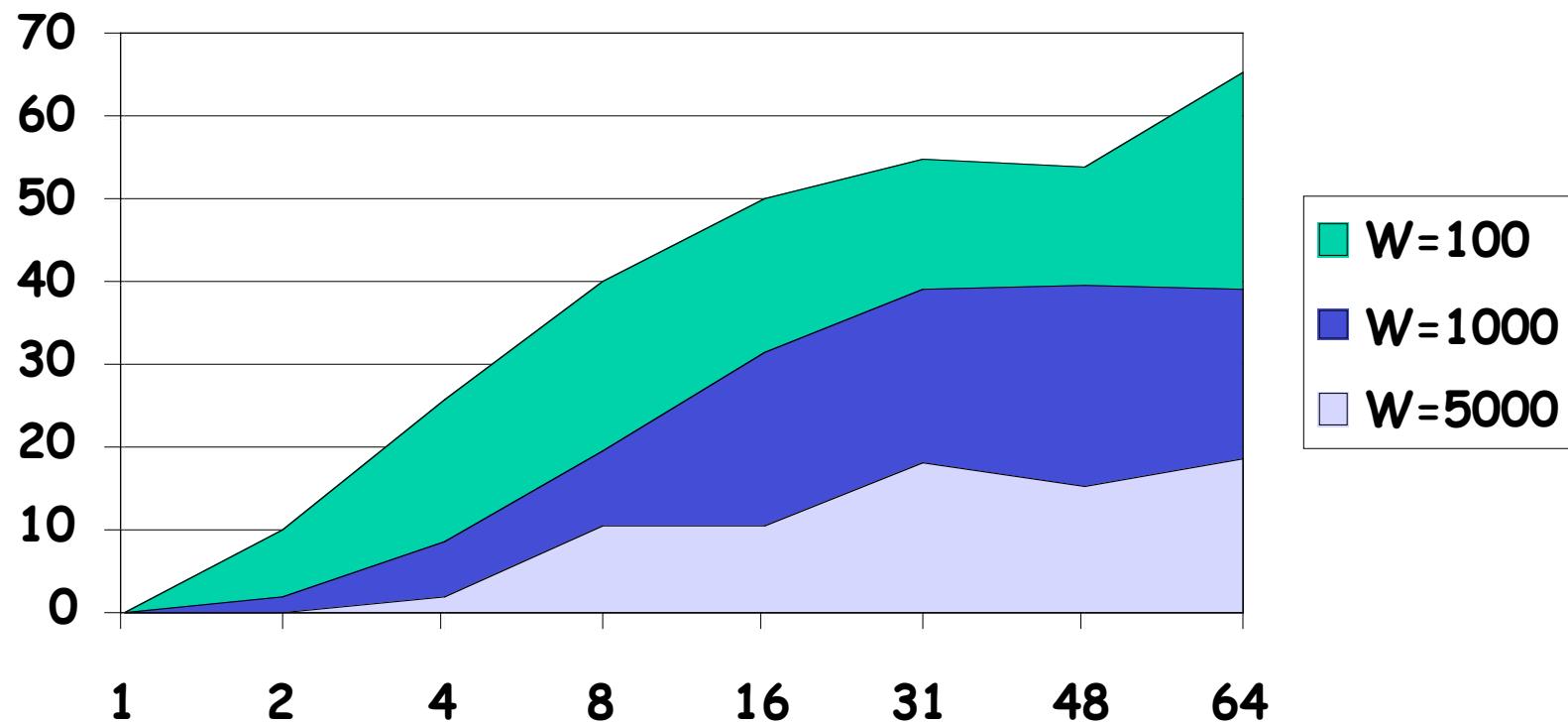
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# Combining Load Sensitivity



# Combining Rate vs Work

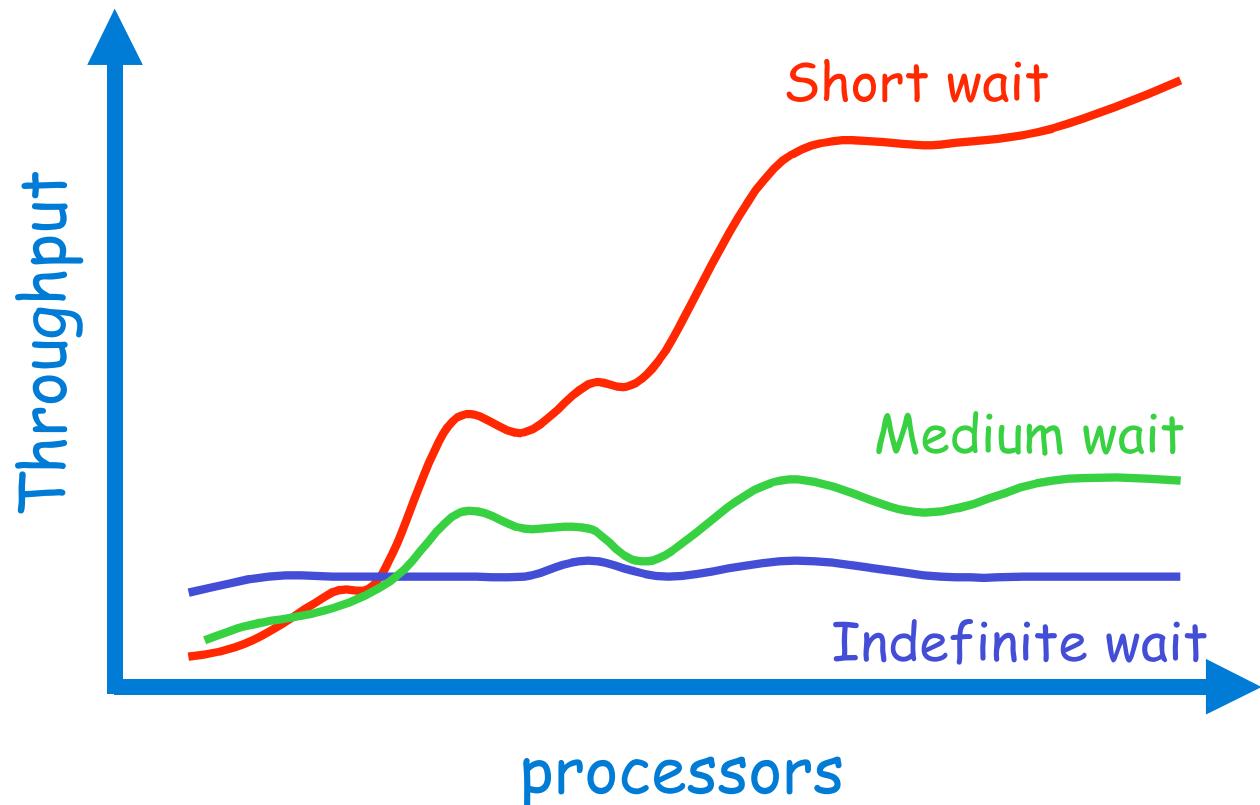


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# Better to Wait Longer

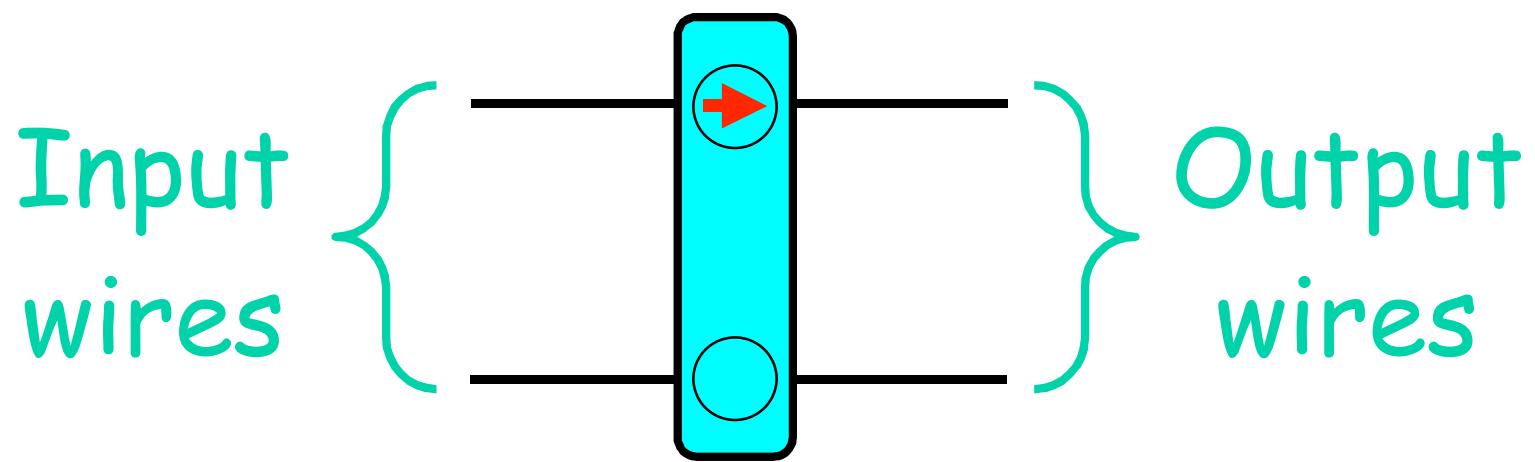


# Conclusions

- Combining Trees
  - Work well under high contention
  - Sensitive to load fluctuations
  - Can be used for getAndMumble() ops
- Next
  - Counting networks
  - A different approach ...



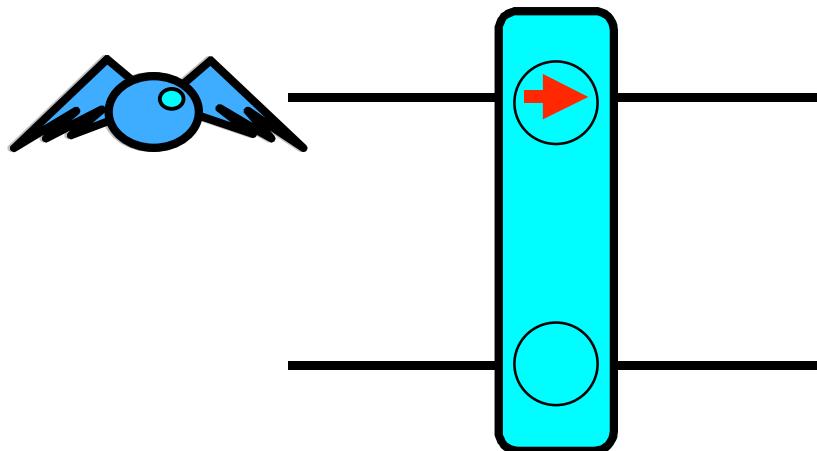
# A Balancer



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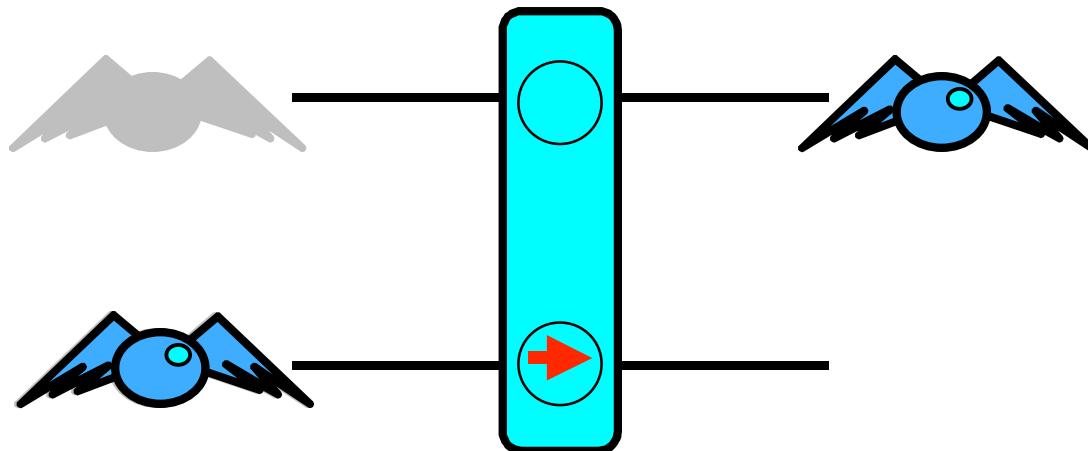
# Tokens Traverse Balancers



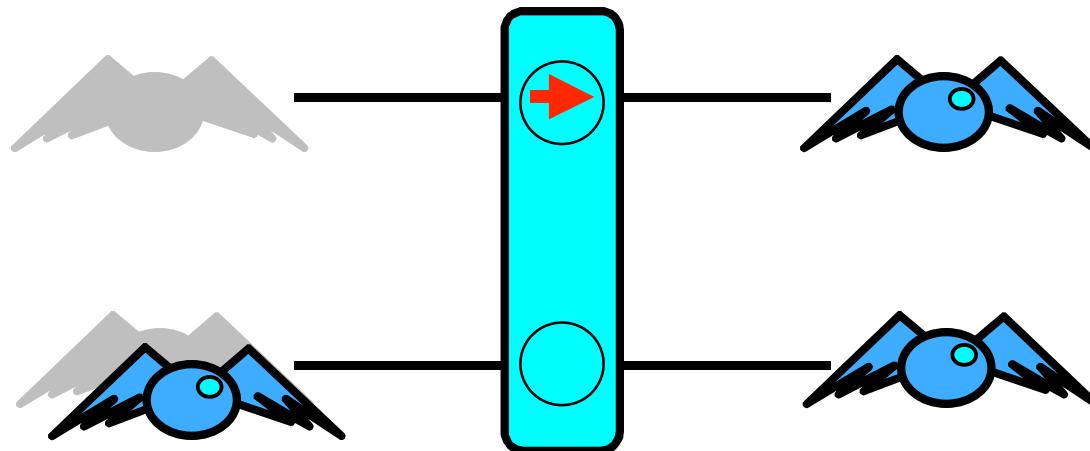
- Token  $i$  enters on any wire
- leaves on wire  $i \bmod (\text{fan-out})$



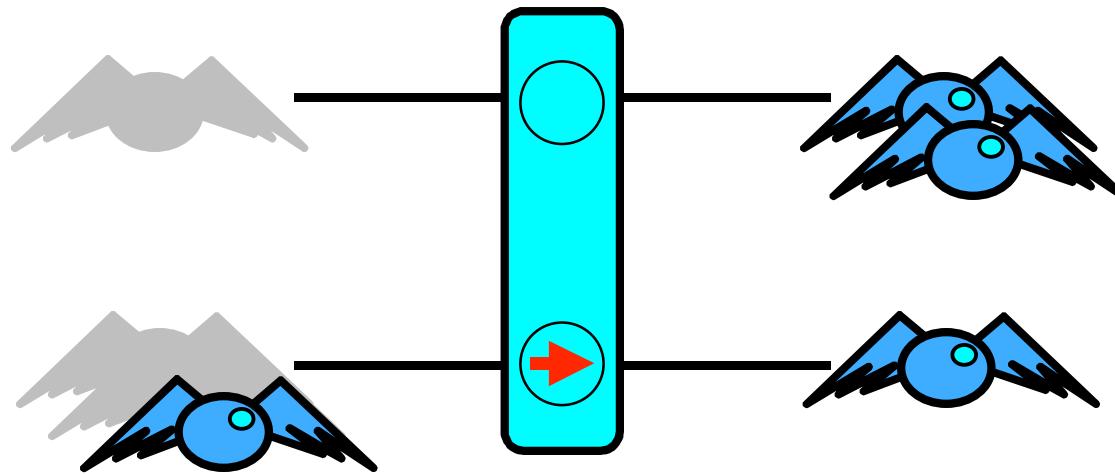
# Tokens Traverse Balancers



# Tokens Traverse Balancers



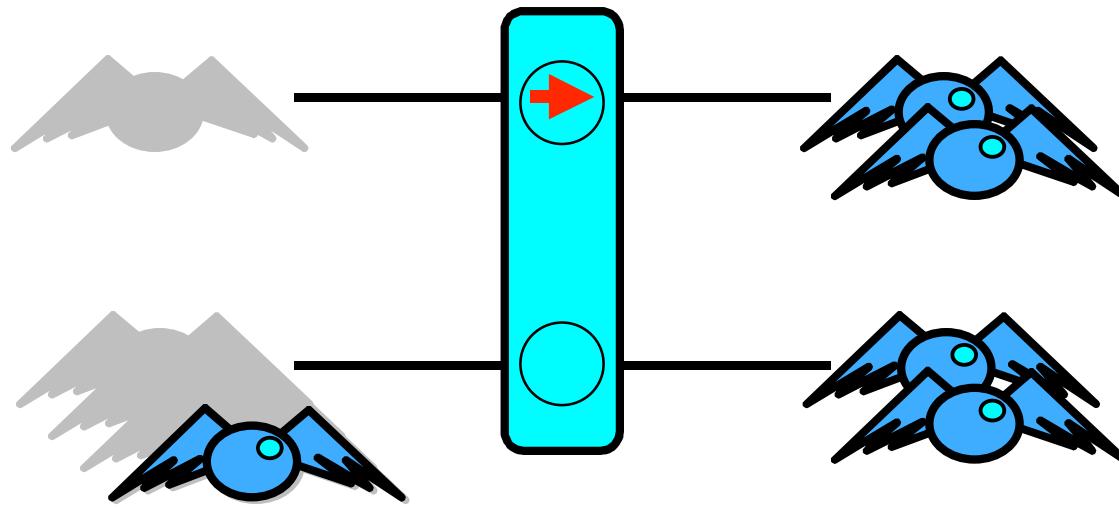
# Tokens Traverse Balancers



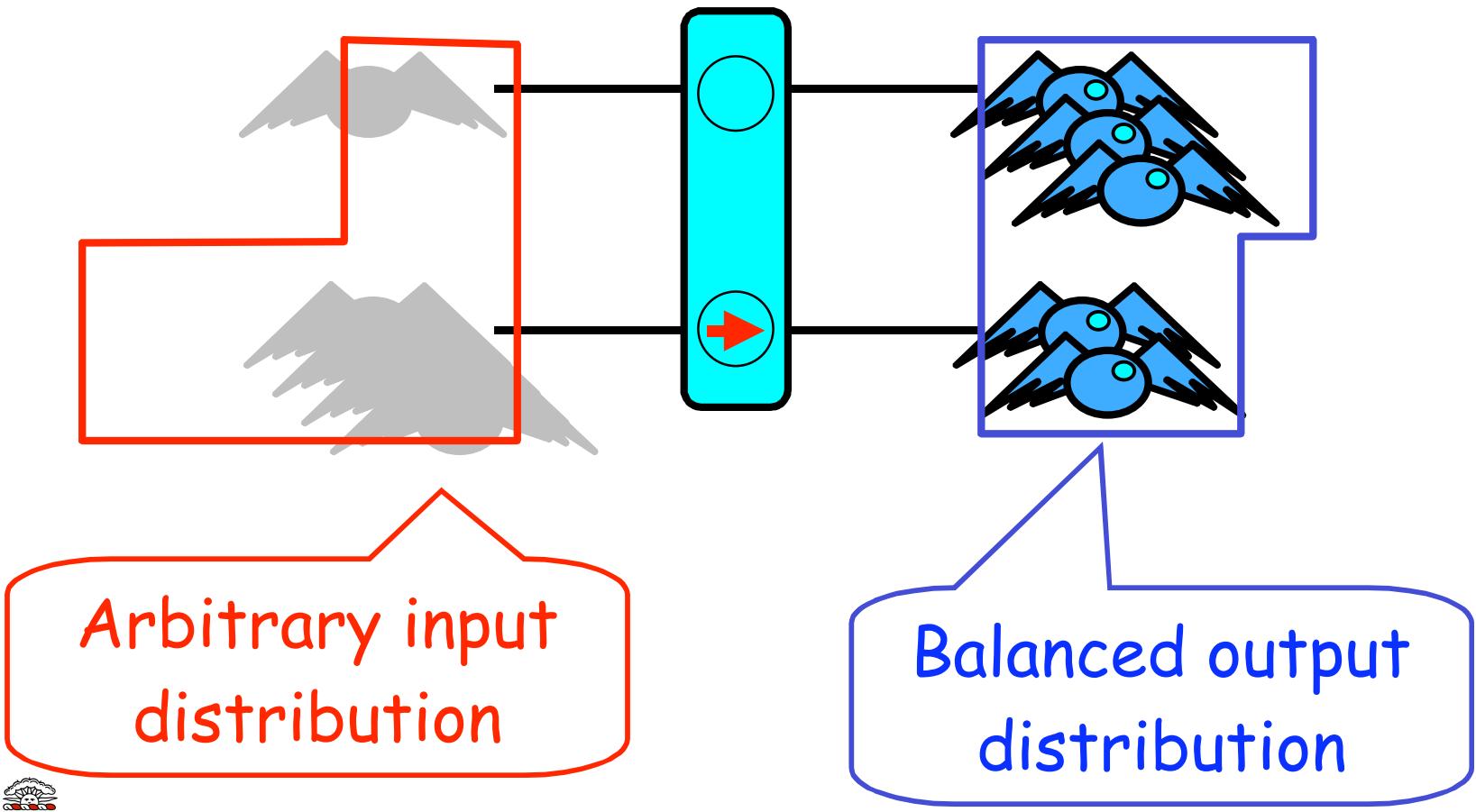
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# Tokens Traverse Balancers



# Tokens Traverse Balancers

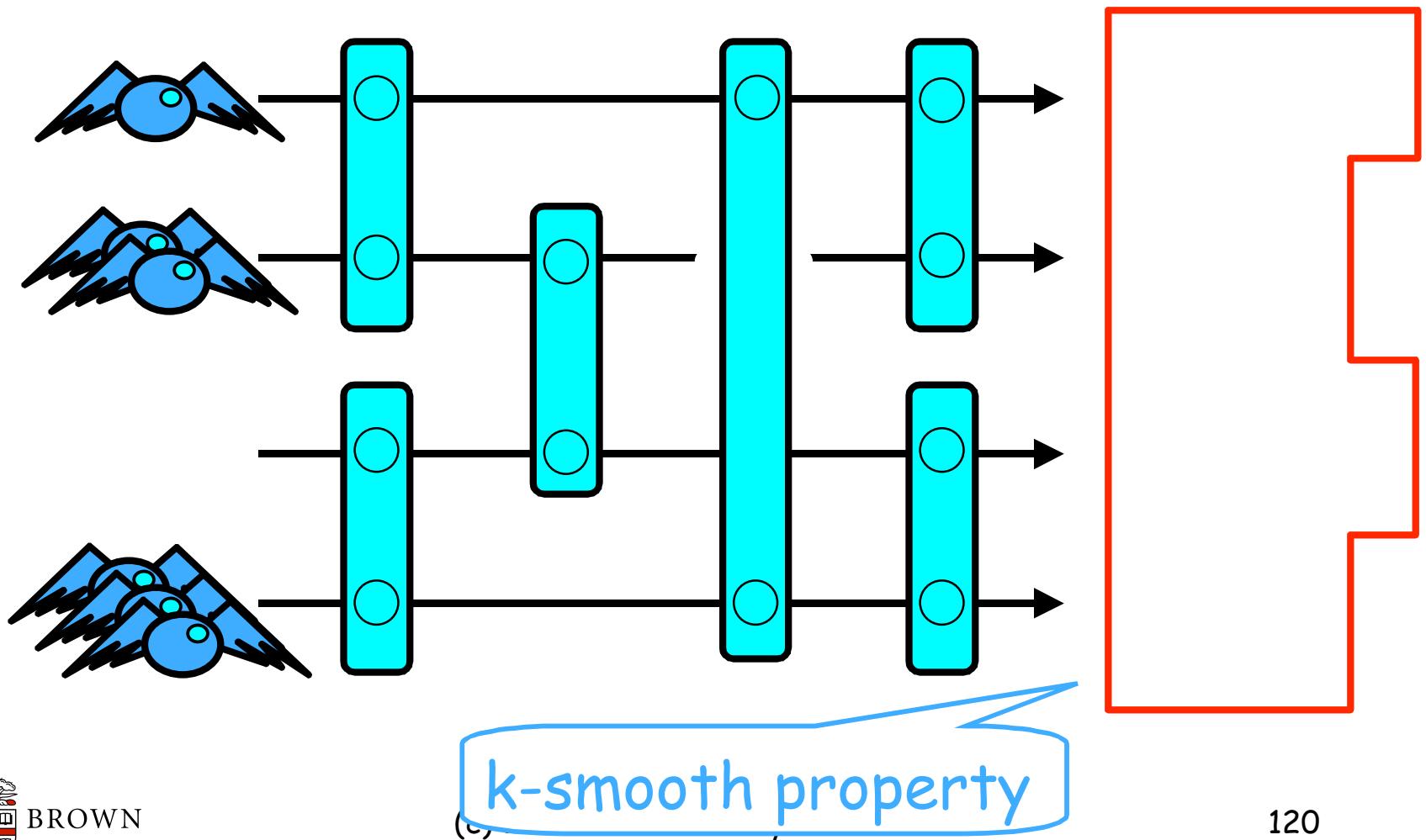


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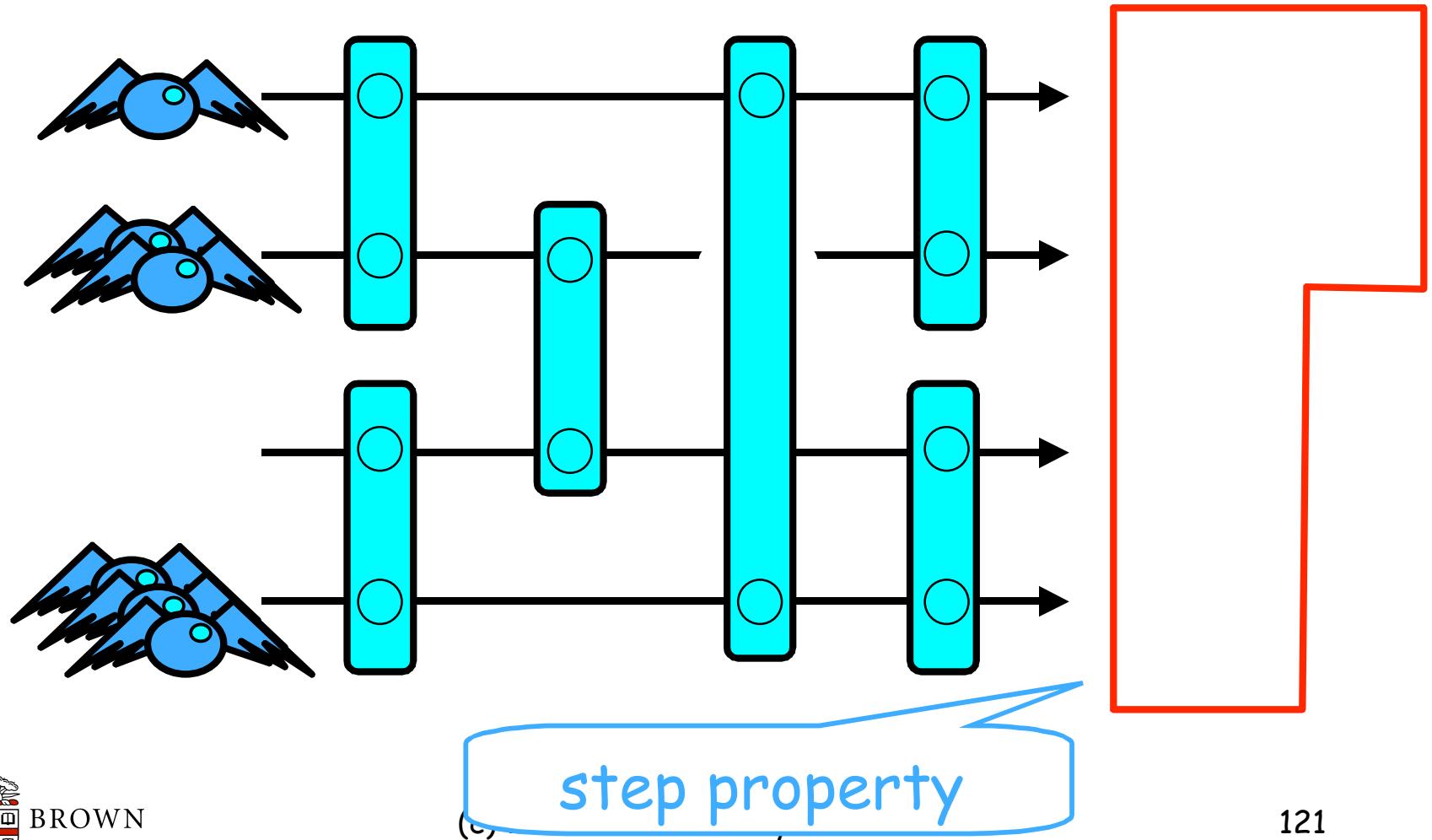
# Smoothing Network



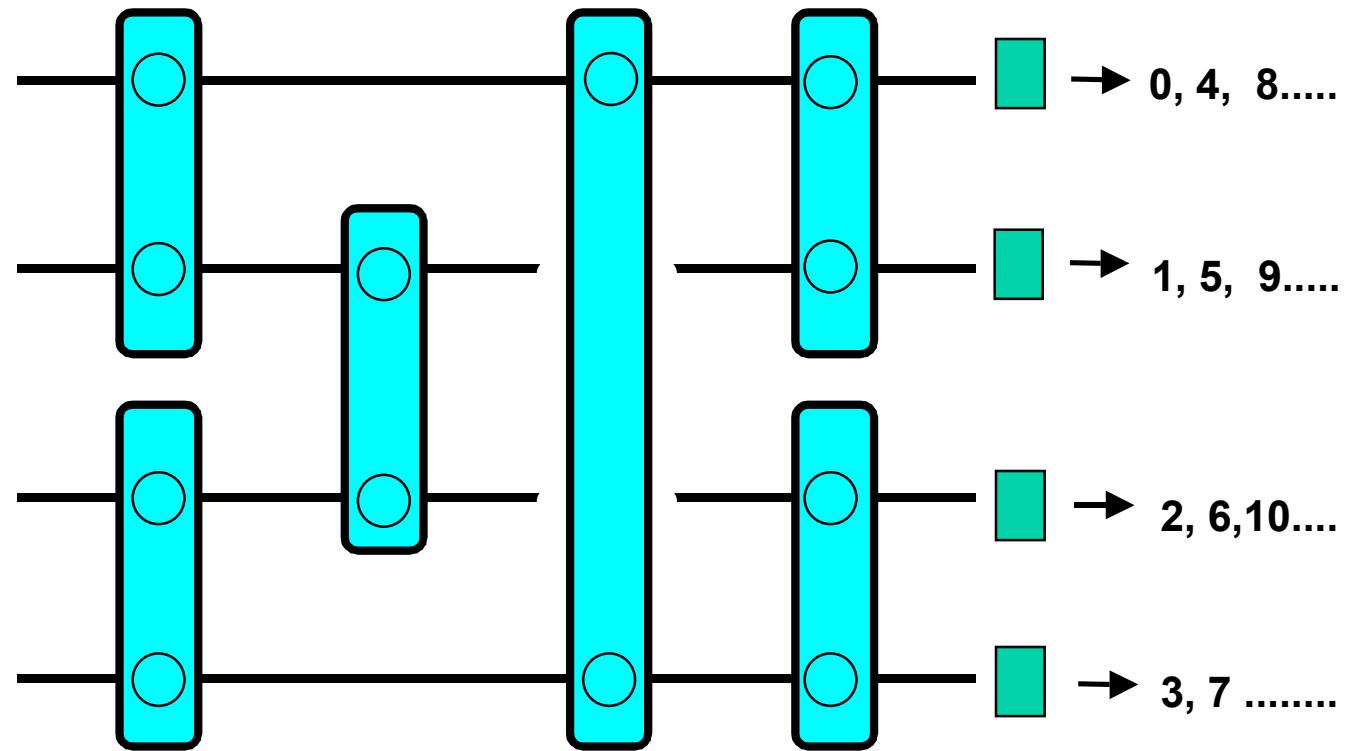
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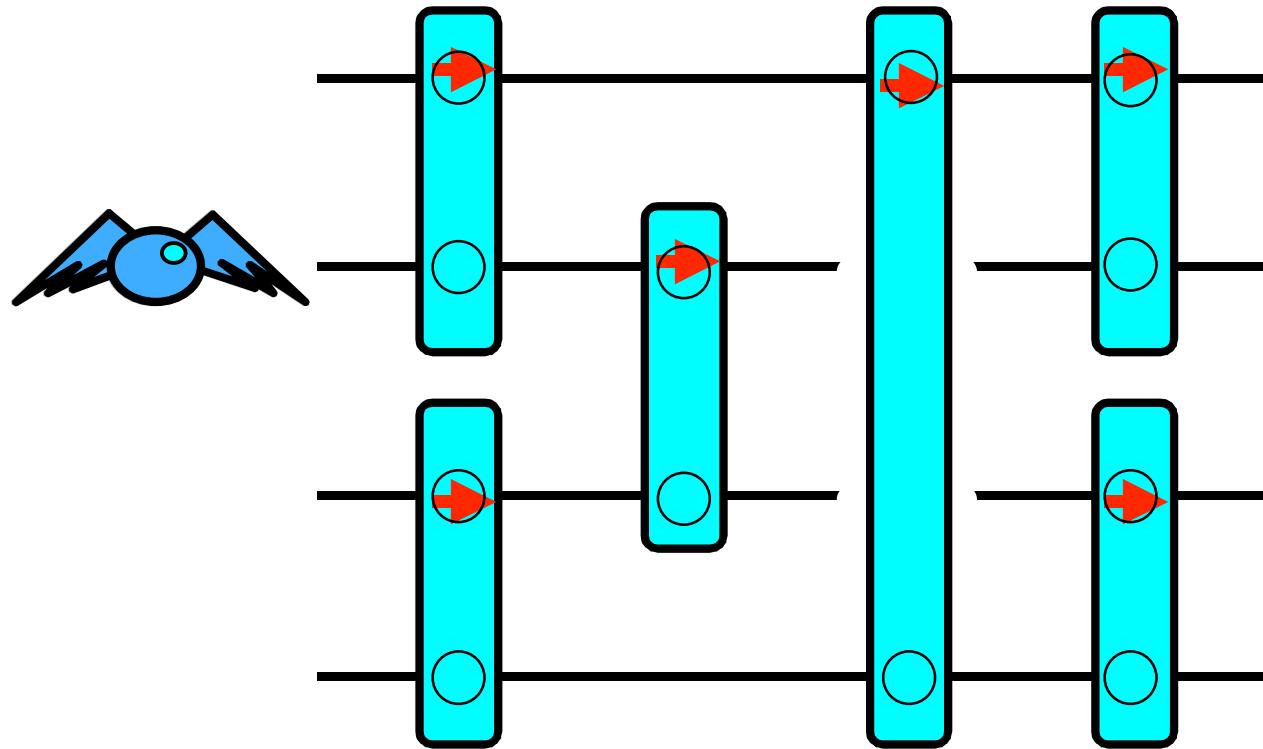
# Counting Network



# Counting Networks Count!



# Bitonic[4]

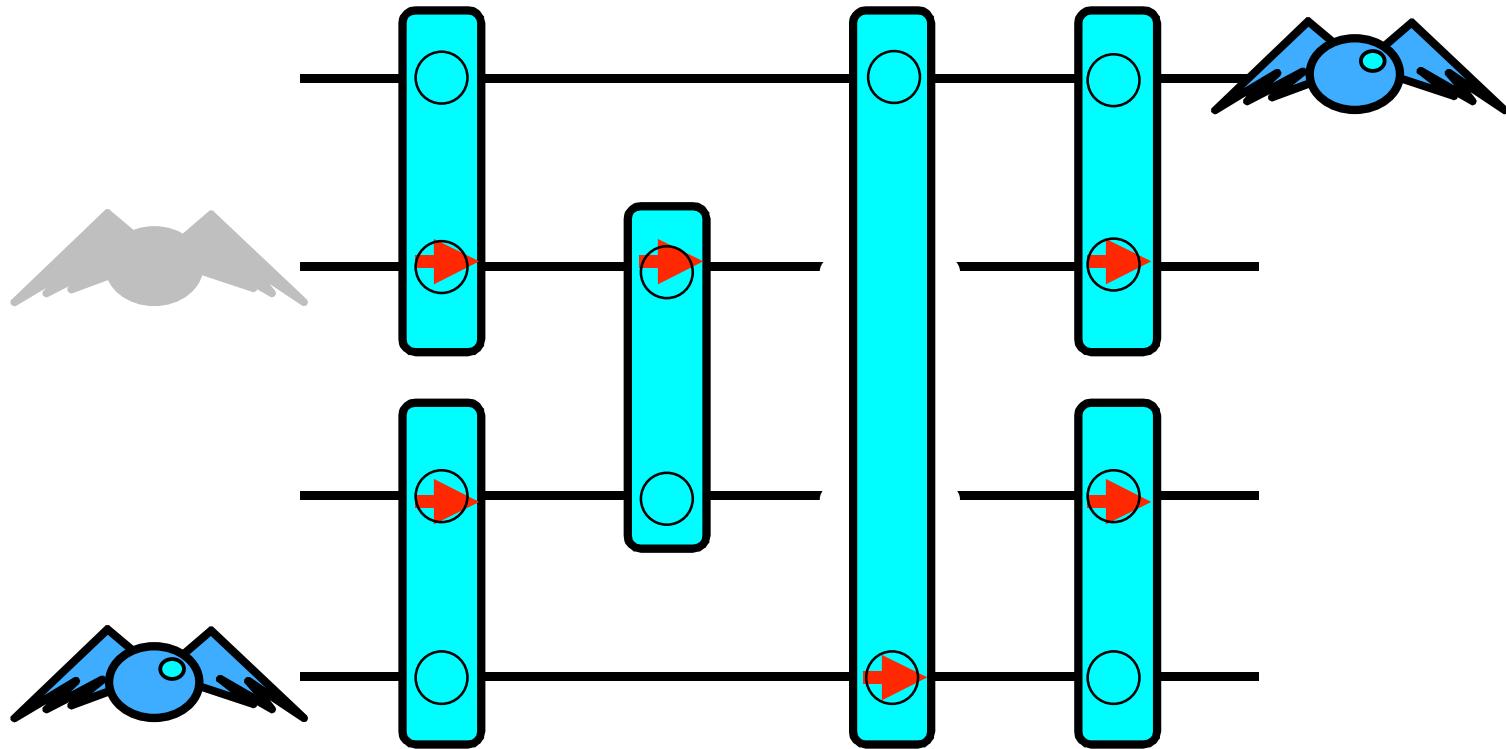


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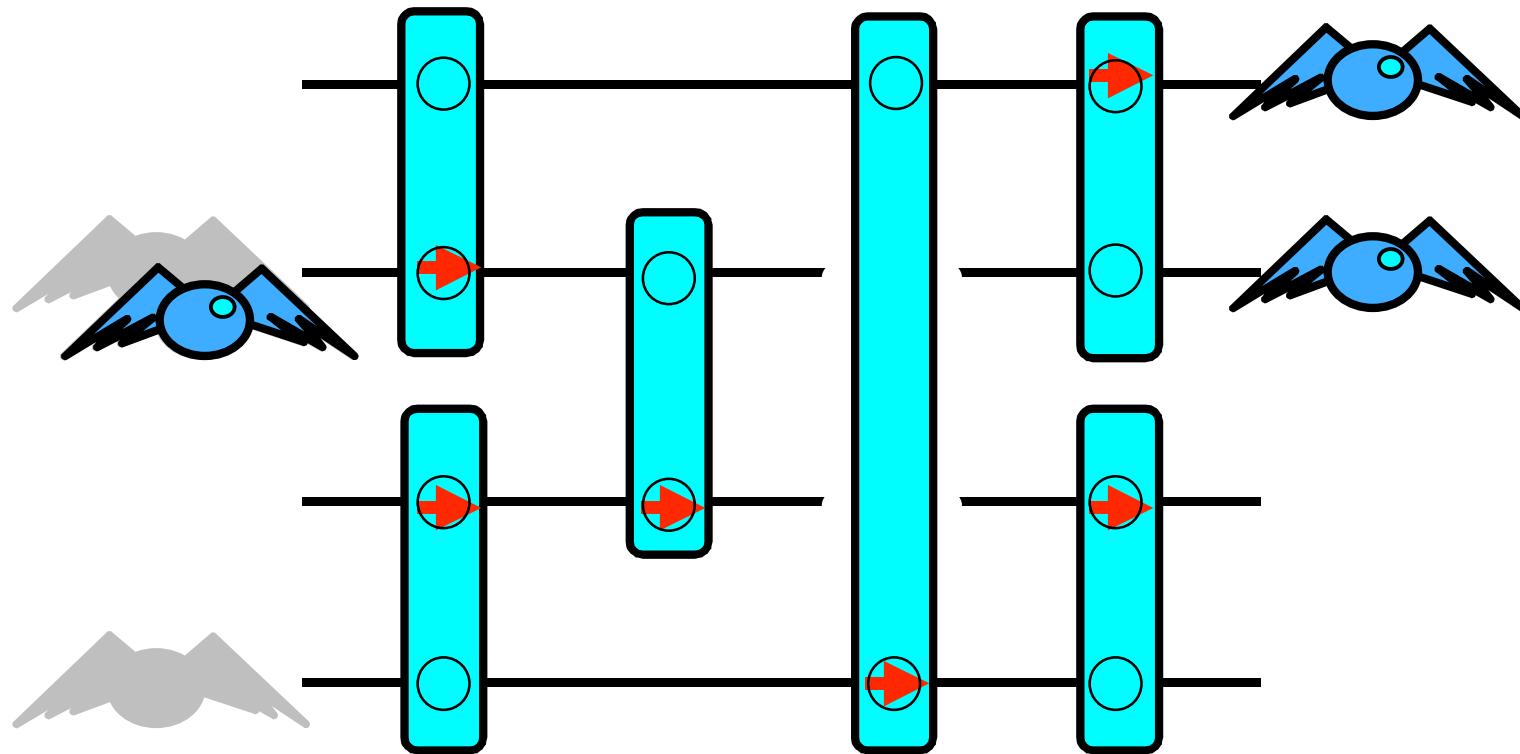
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# Bitonic[4]



# Bitonic[4]

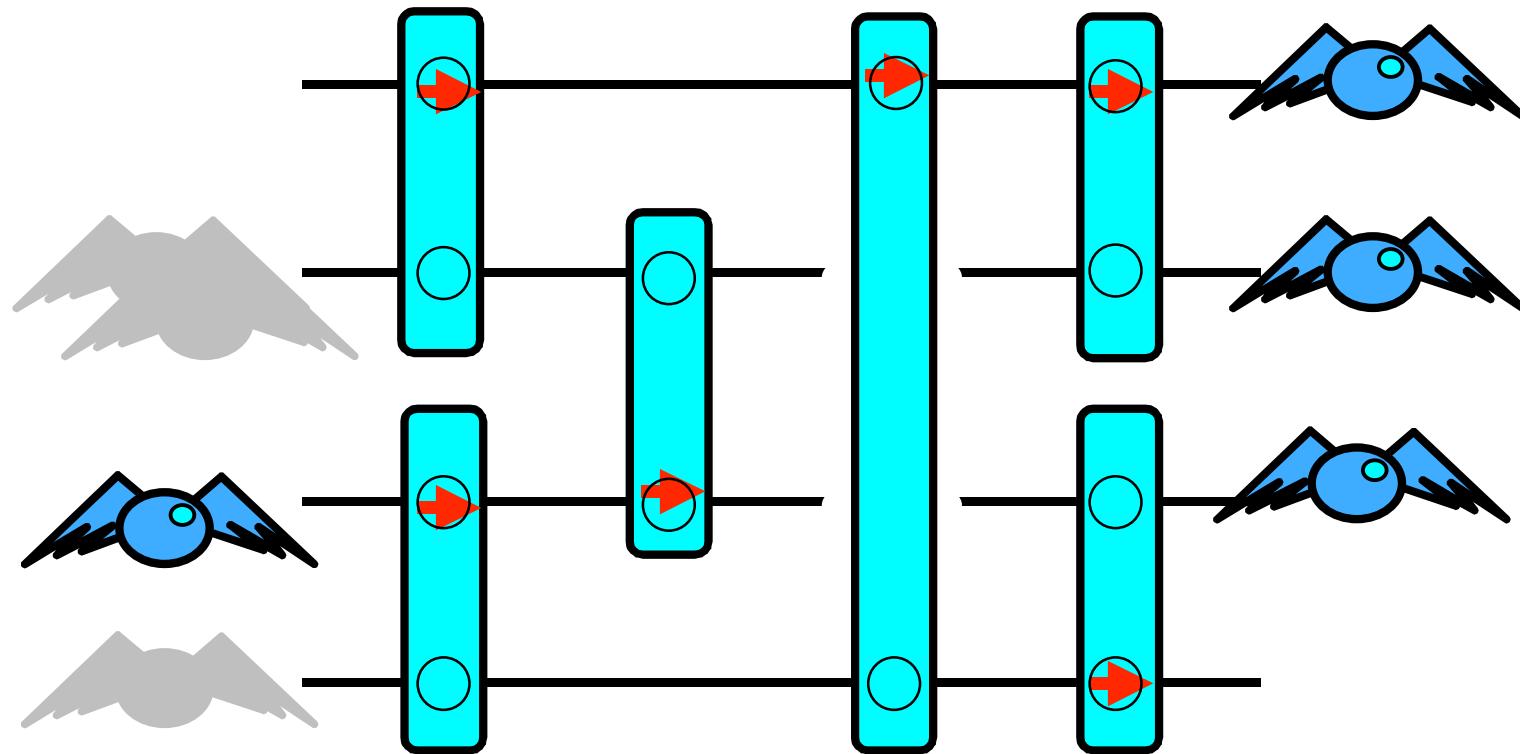


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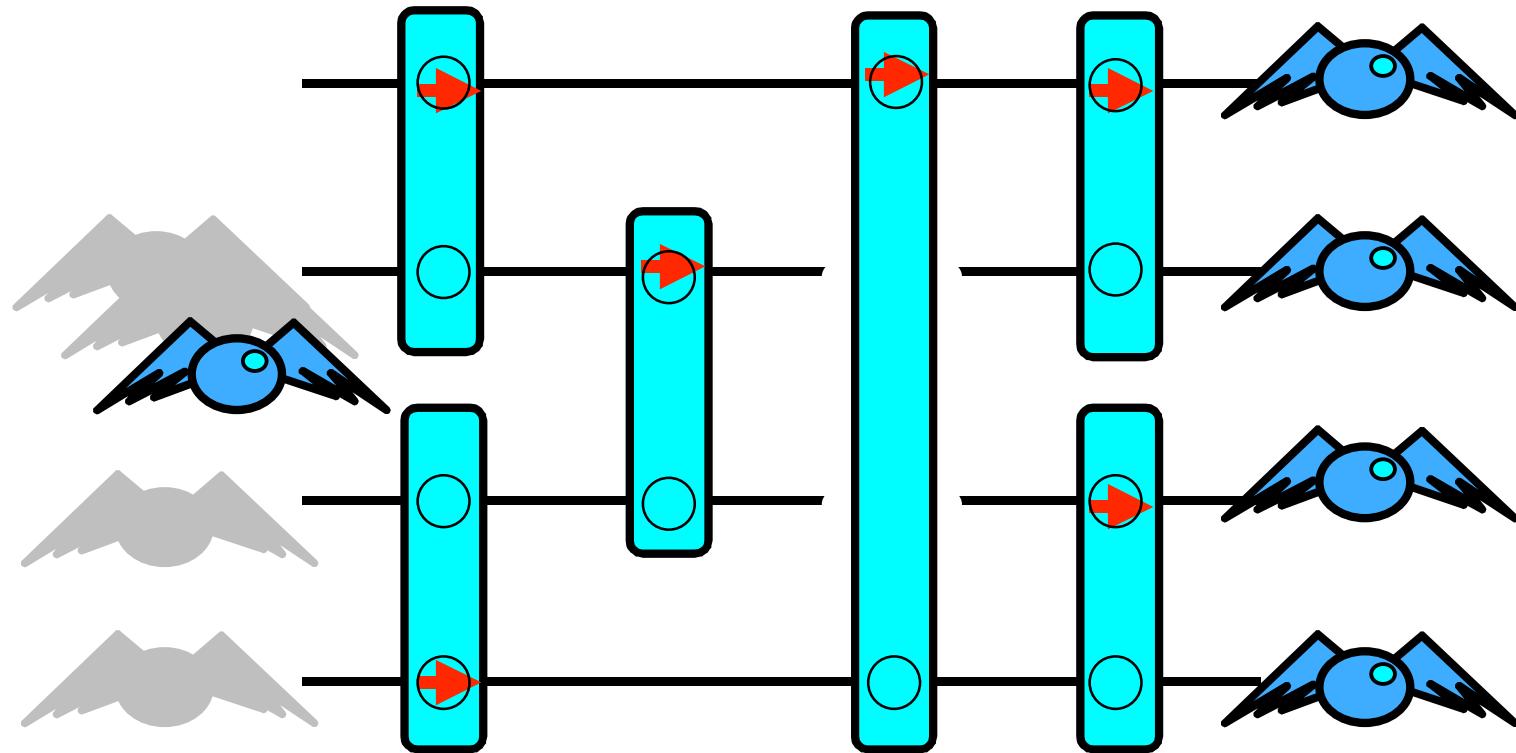
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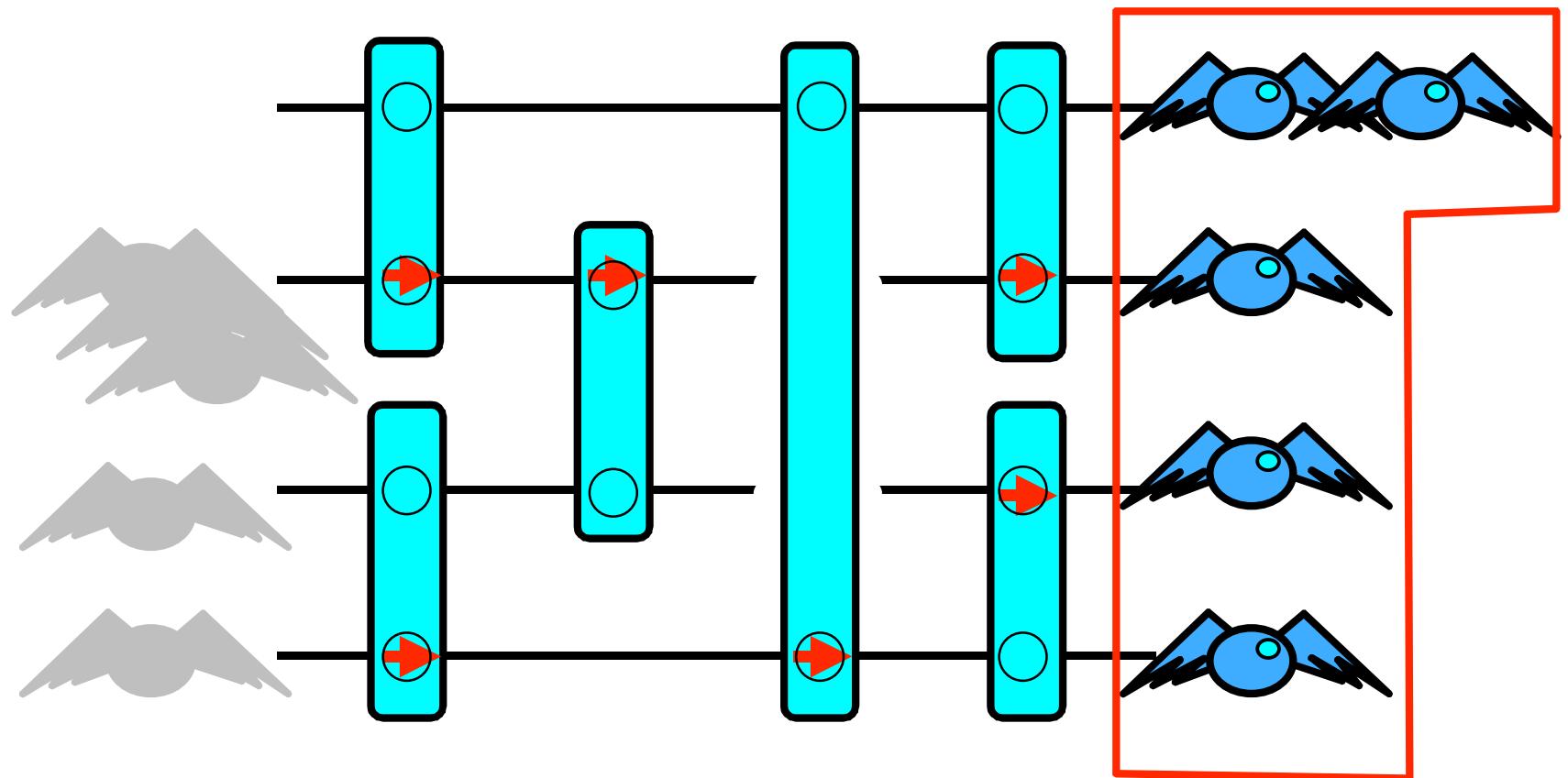
# Bitonic[4]



# Bitonic[4]



# Bitonic[4]



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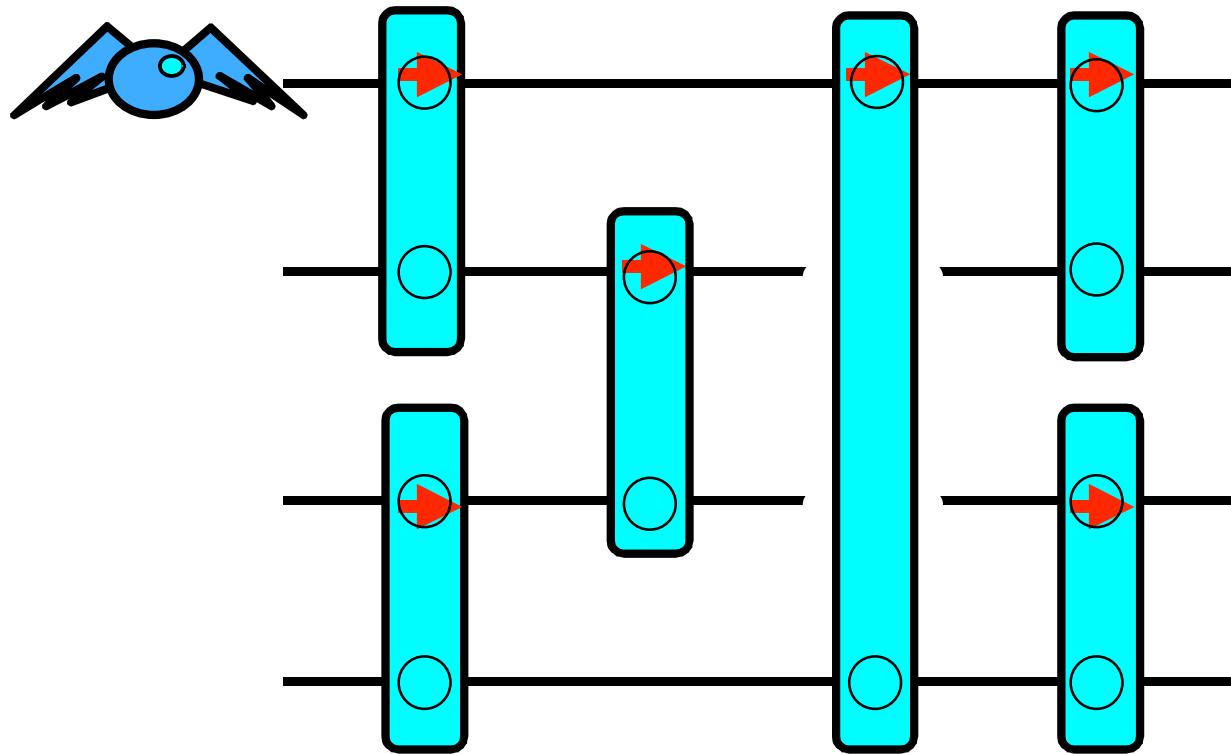
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# Counting Networks

- Good for counting number of tokens
- low contention
- no sequential bottleneck
- high throughput
- practical networks depth  $\log^2 n$



# Bitonic[k] is not Linearizable

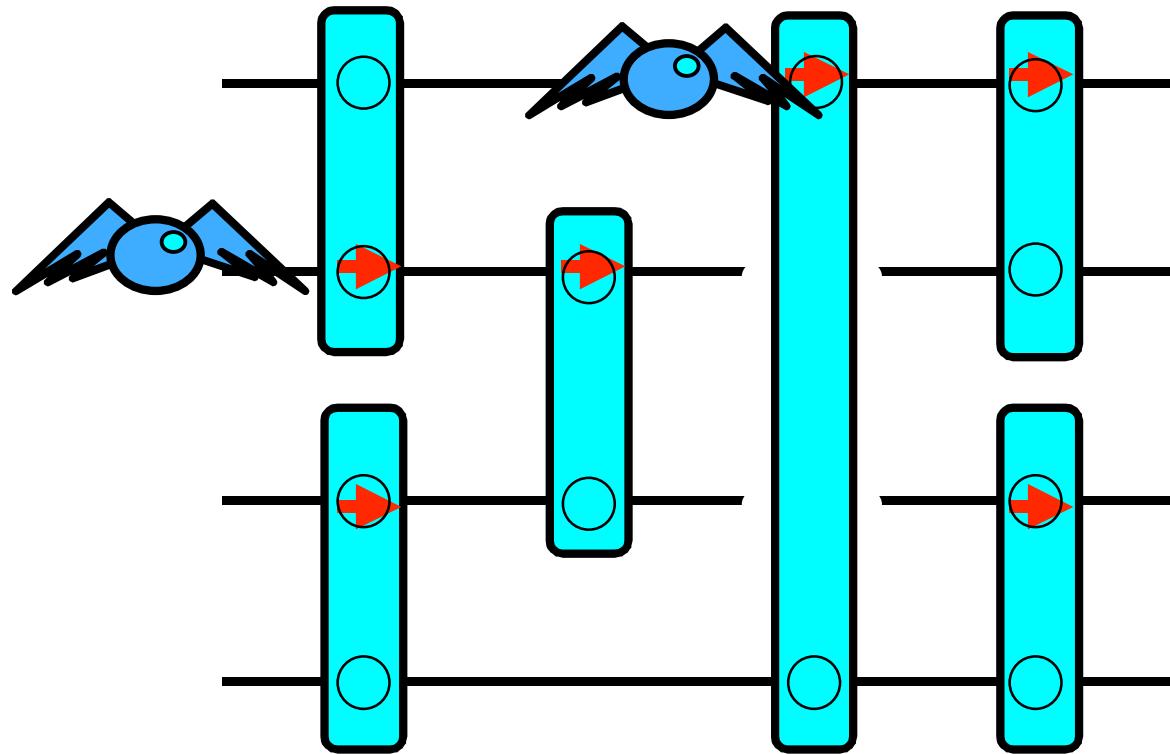


BROWN

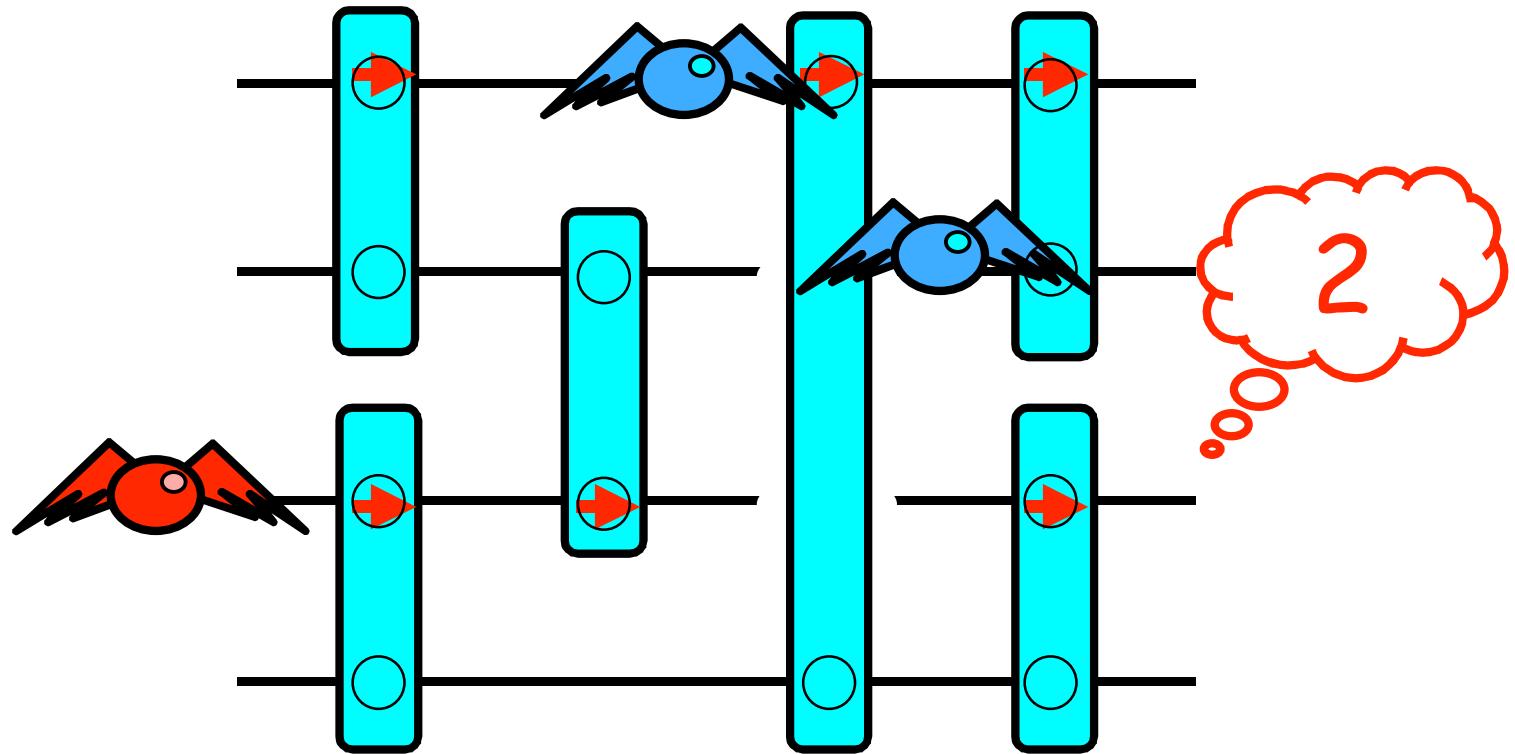
(c) 2003-2005 Herlihy and  
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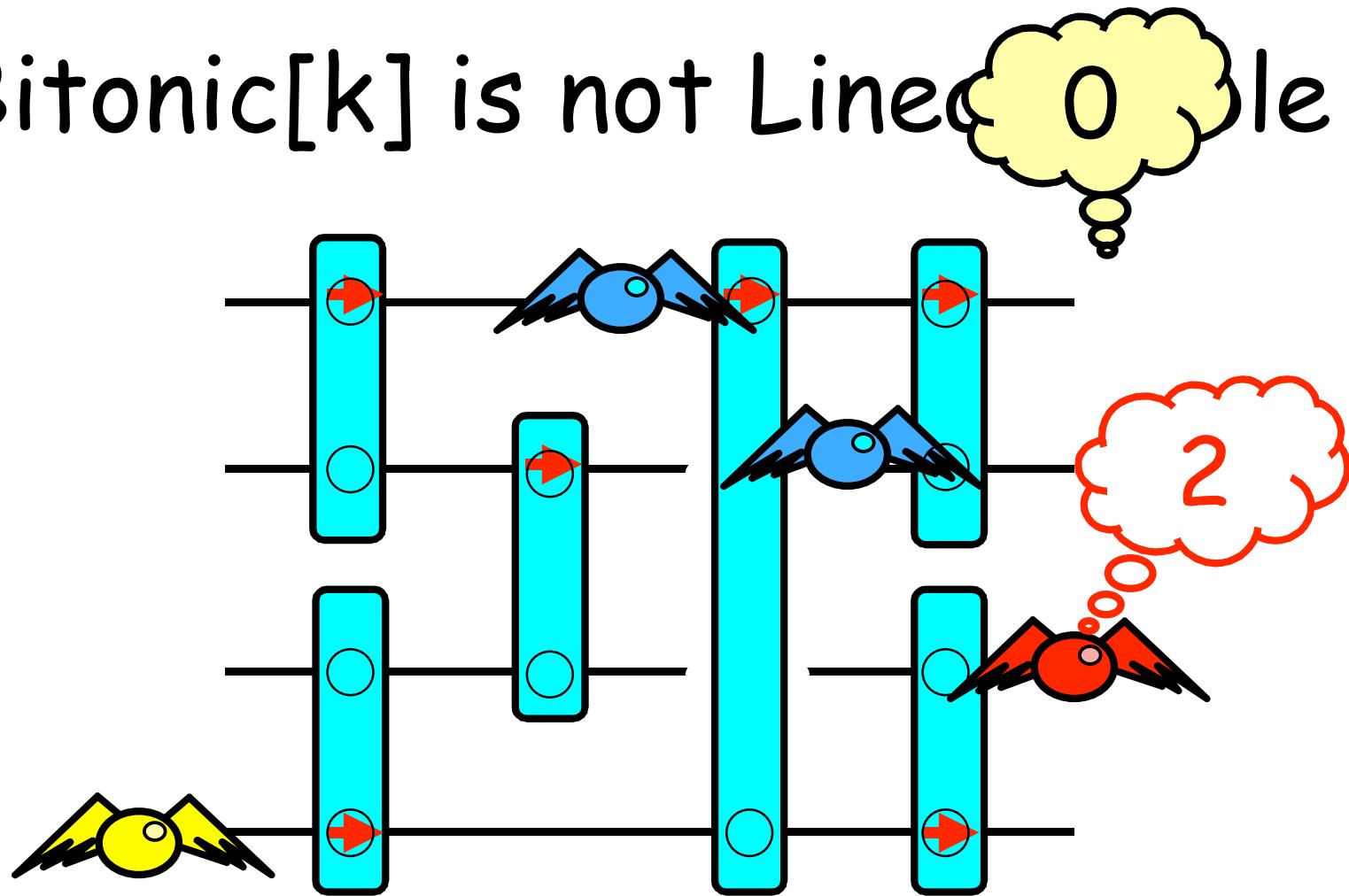
# Bitonic[k] is not Linearizable



# Bitonic[k] is not Linearizable



# Bitonic[k] is not Lineal

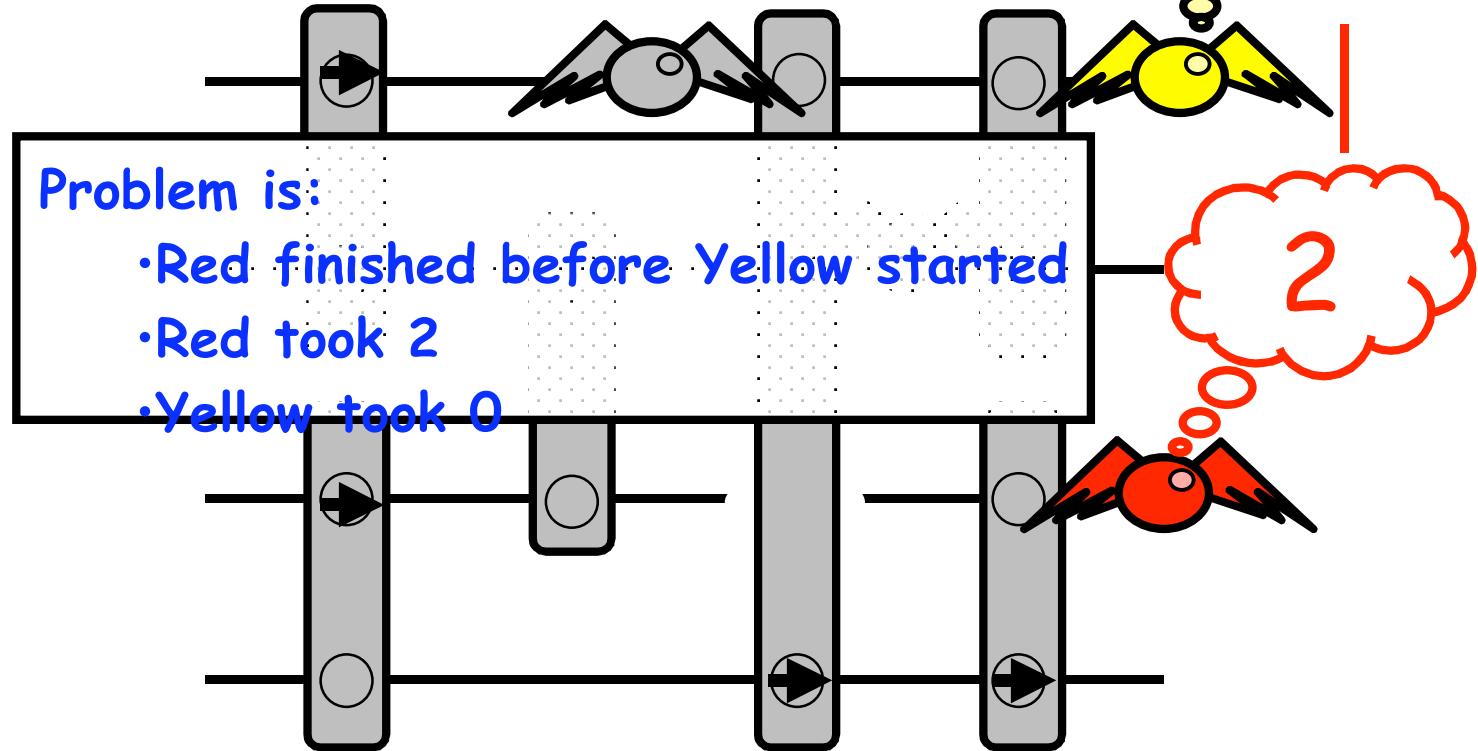


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# Bitonic[k] is not Lineal



# Shared Memory Implementation

```
class balancer {  
    boolean toggle;  
    balancer[] next;  
  
    synchronized boolean flip() {  
        boolean oldValue = this.toggle;  
        this.toggle = !this.toggle;  
        return oldValue;  
    }  
}
```



# Shared Memory Implementation

```
class balancer {  
    boolean toggle;  
    balancer[] next;  
  
    synchronized boolean flip() {  
        boolean oldValue = this.toggle;  
        this.toggle = !this.toggle;  
        return oldValue;  
    }  
}
```

state



# Shared Memory Implementation

```
class balancer {  
    boolean toggle;  
    balancer[] next;  
  
    synchronized boolean flip() {  
        boolean oldValue = this.toggle;  
        this.toggle = !this.toggle;  
        return oldValue;  
    }  
}
```

**Output connections  
to balancers**



# Shared Memory Implementation

```
class balancer {  
    boolean toggle;  
    balancer[] next;  
  
    synchronized boolean flip() {  
        boolean oldValue = this.toggle;  
        this.toggle = !this.toggle;  
        return oldValue;  
    }  
}
```

**Get-and-complement**



# Shared Memory Implementation

```
Balancer traverse (Balancer b) {
    while(!b.isLeaf()) {
        boolean toggle = b.flip();
        if (toggle)
            b = b.next[0]
        else
            b = b.next[1]
        return b;
    }
```



# Shared Memory Implementation

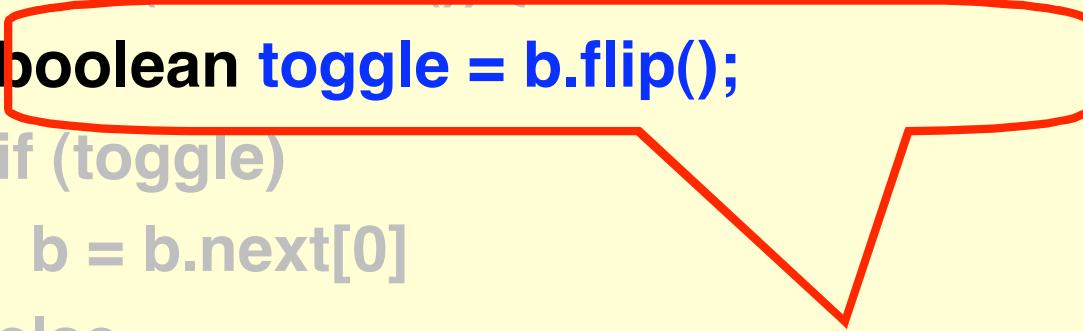
```
Balancer traverse (Balancer b) {  
    while(!b.isLeaf()) {  
        boolean toggle = b.flip();  
        if (toggle)  
            b = b.next[0]  
        else  
            b = b.next[1]  
        return b;  
    }  
}
```

Stop when we  
get to the  
end



# Shared Memory Implementation

```
Balancer traverse (Balancer b) {  
    while(!b.isLeaf()) {  
        boolean toggle = b.flip();  
        if (toggle)  
            b = b.next[0]  
        else  
            b = b.next[1]  
        return b;  
    }  
}
```



Flip state



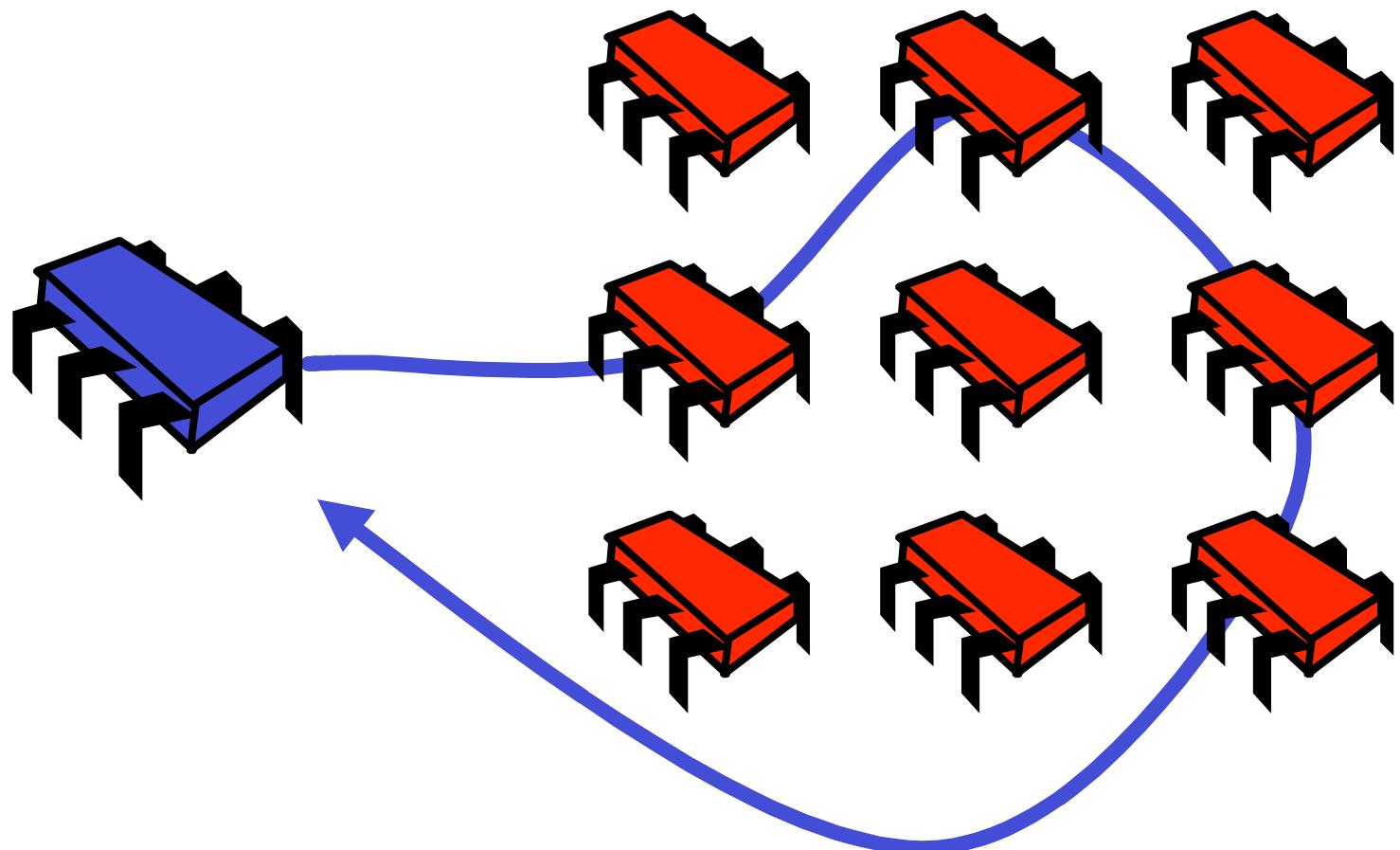
# Shared Memory Implementation

```
Balancer traverse (Balancer b) {  
    while(!b.isLeaf()) {  
        boolean toggle = b.flip();  
        if (toggle)  
            b = b.next[0];  
        else  
            b = b.next[1];  
        return b;  
    }  
}
```

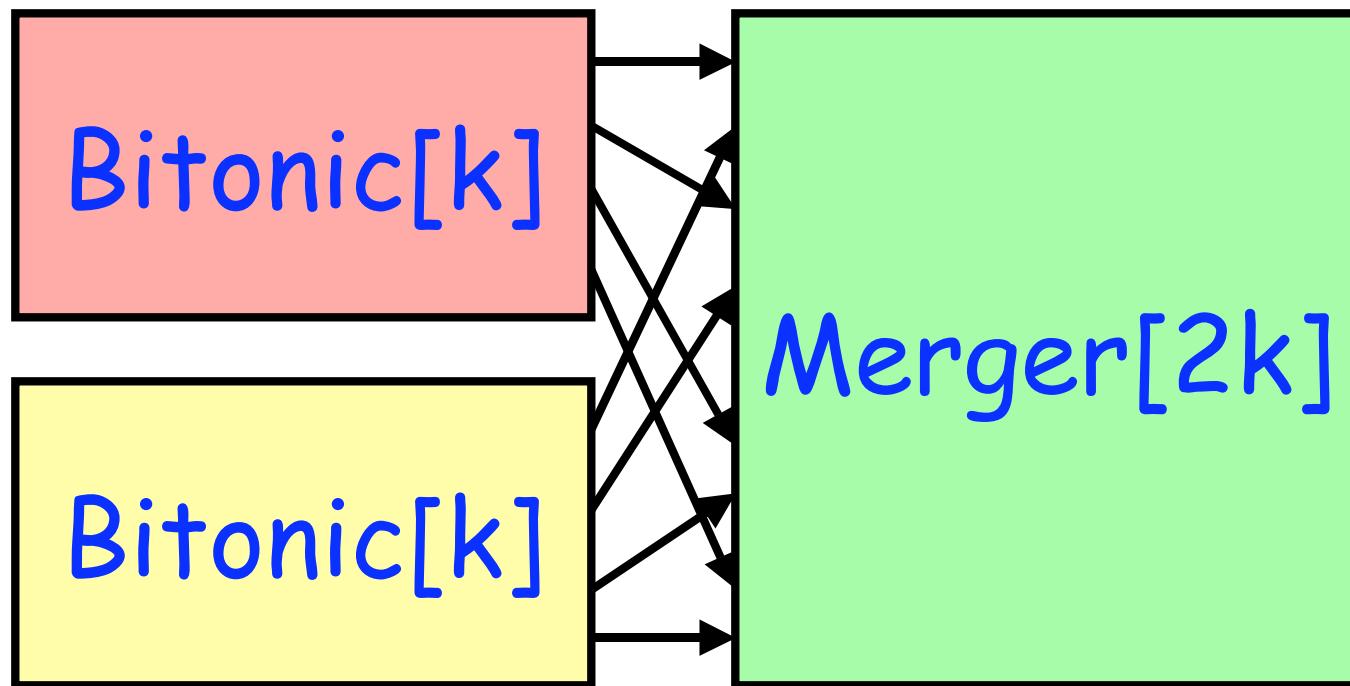
Exit on wire



# Alternative Implementation: Message-Passing



# Bitonic[2k] Schematic

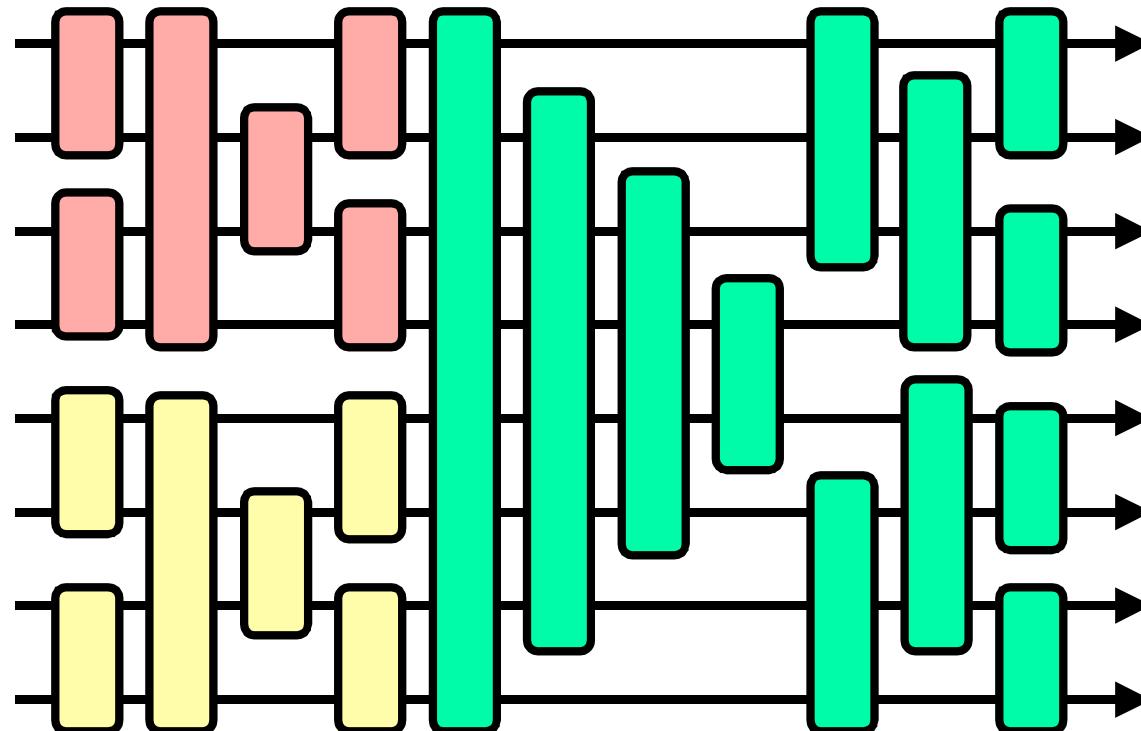


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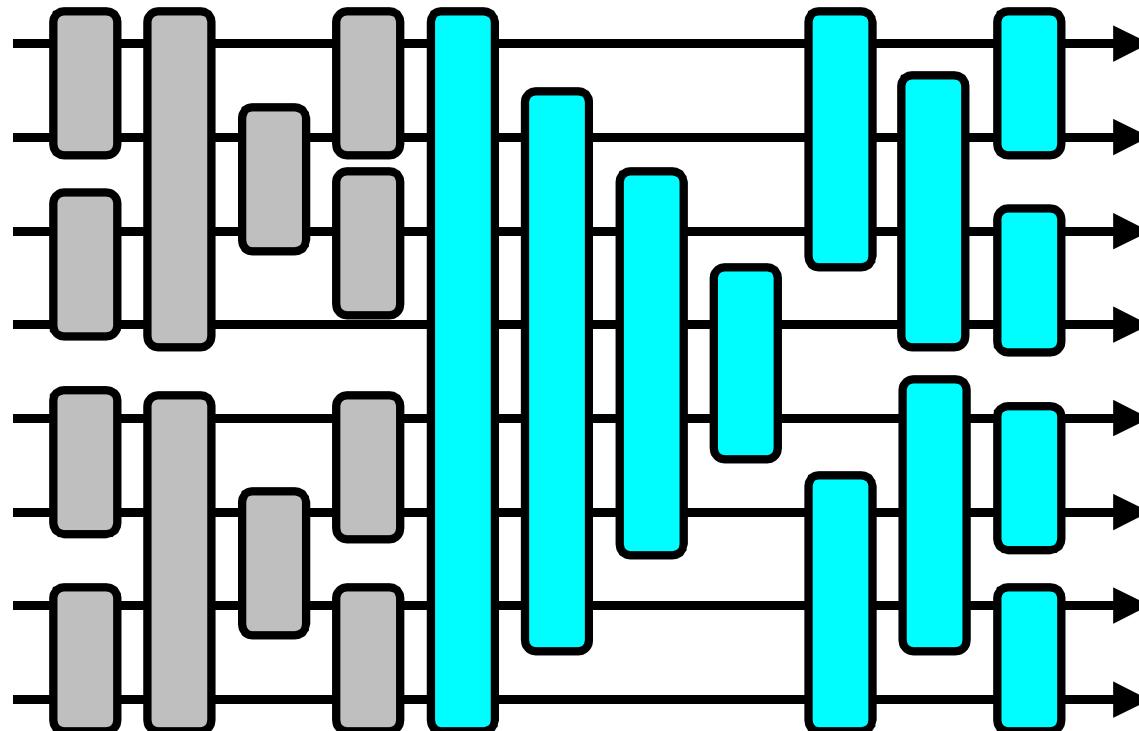
# Bitonic[2k] Layout



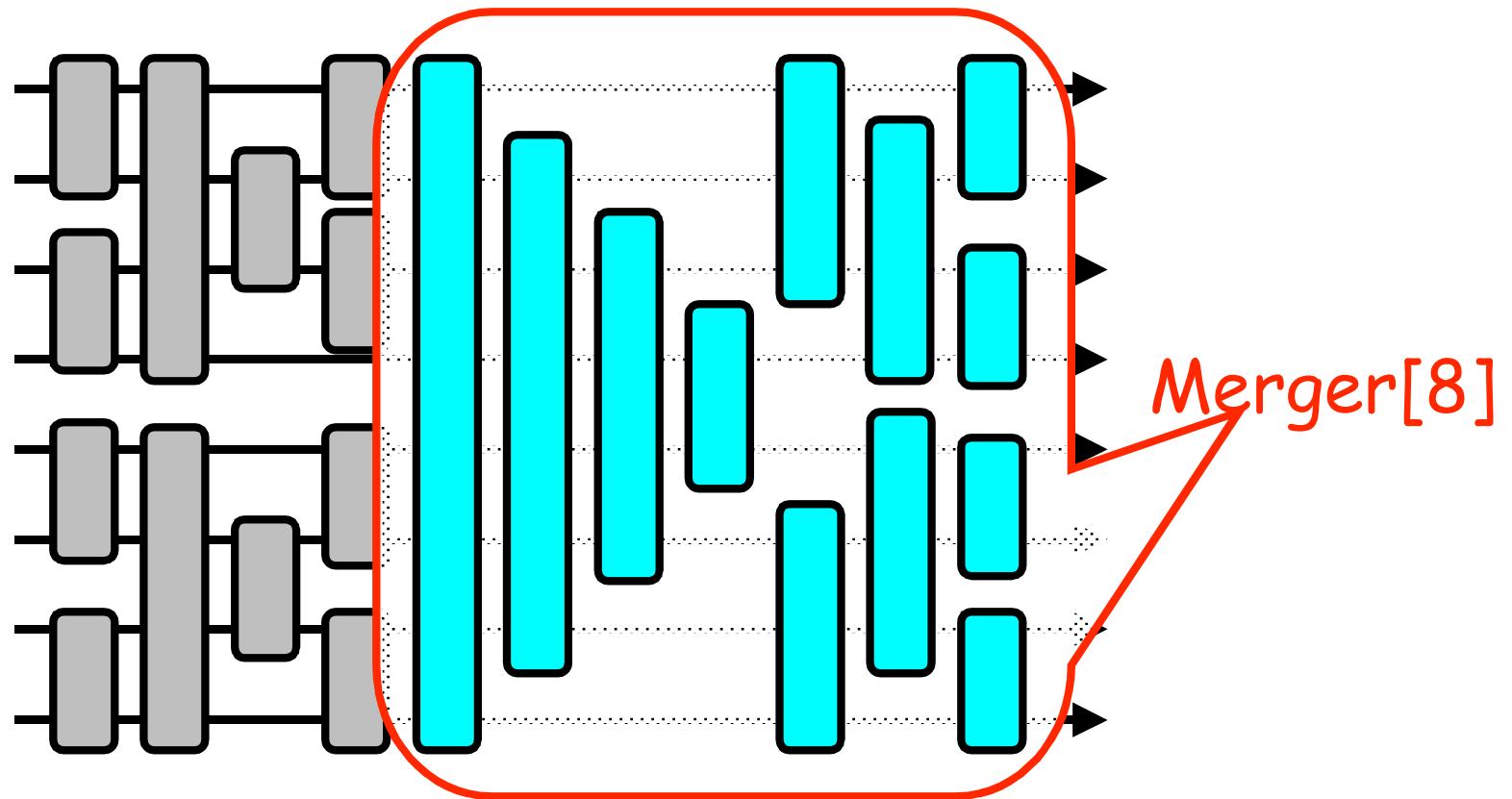
BROWN

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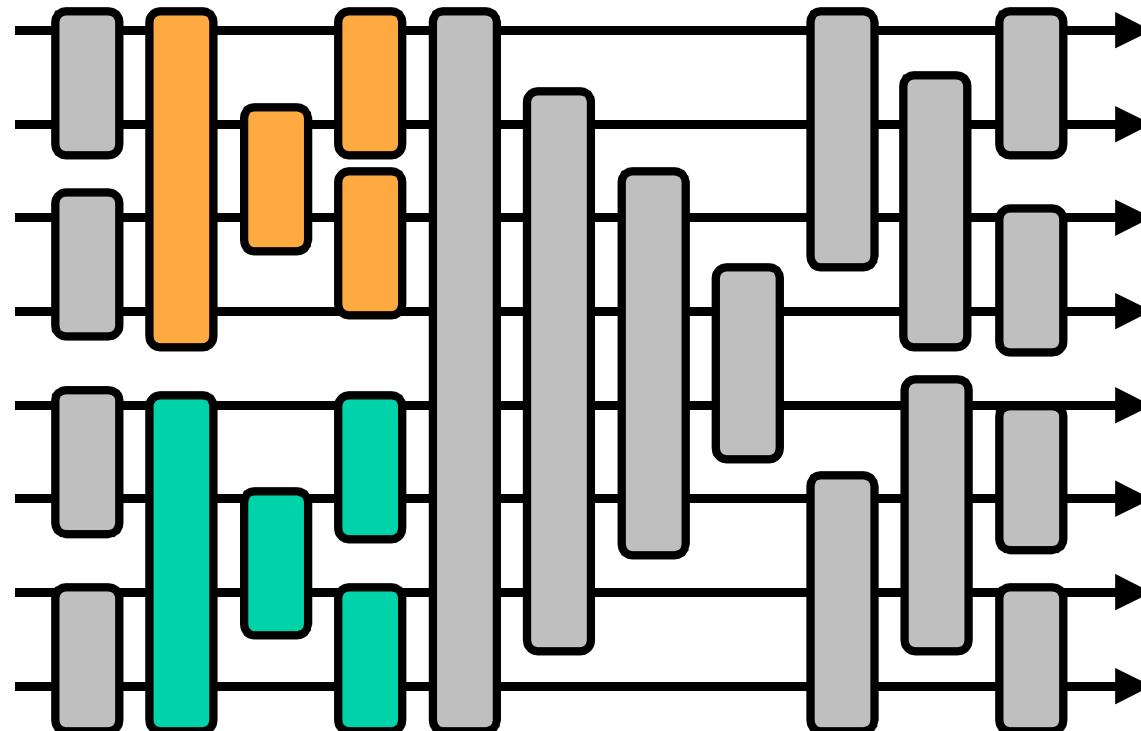
# Unfolded Bitonic Network



# Unfolded Bitonic Network



# Unfolded Bitonic Network

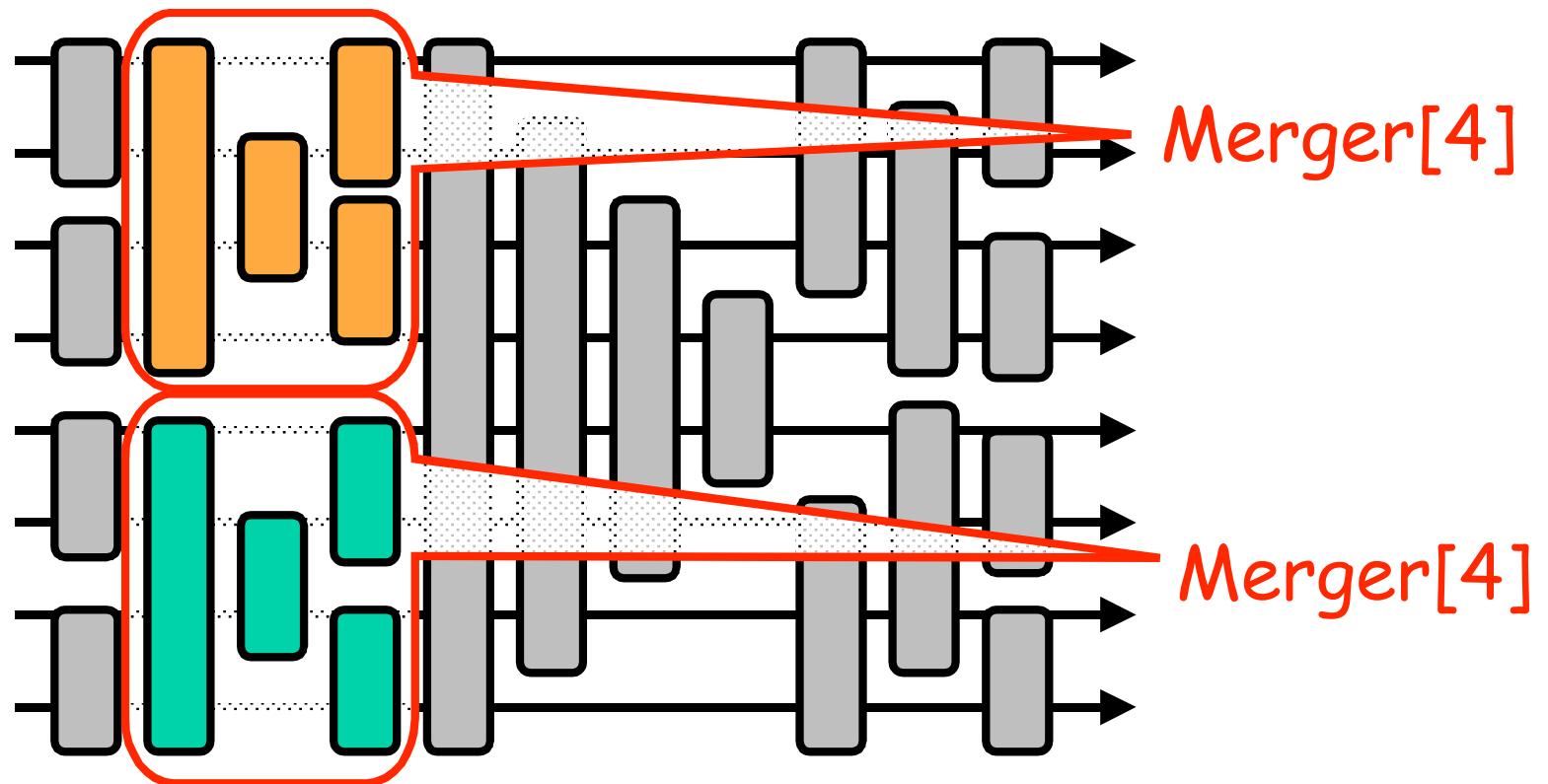


BROWN

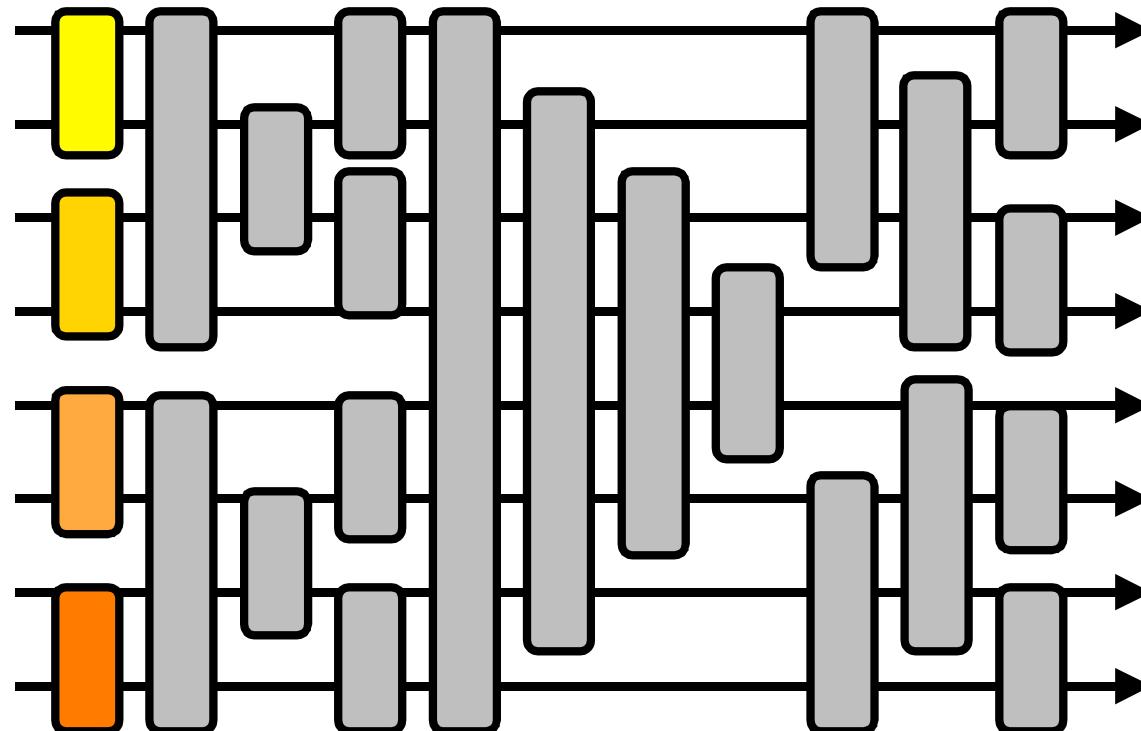
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# Unfolded Bitonic Network



# Unfolded Bitonic Network

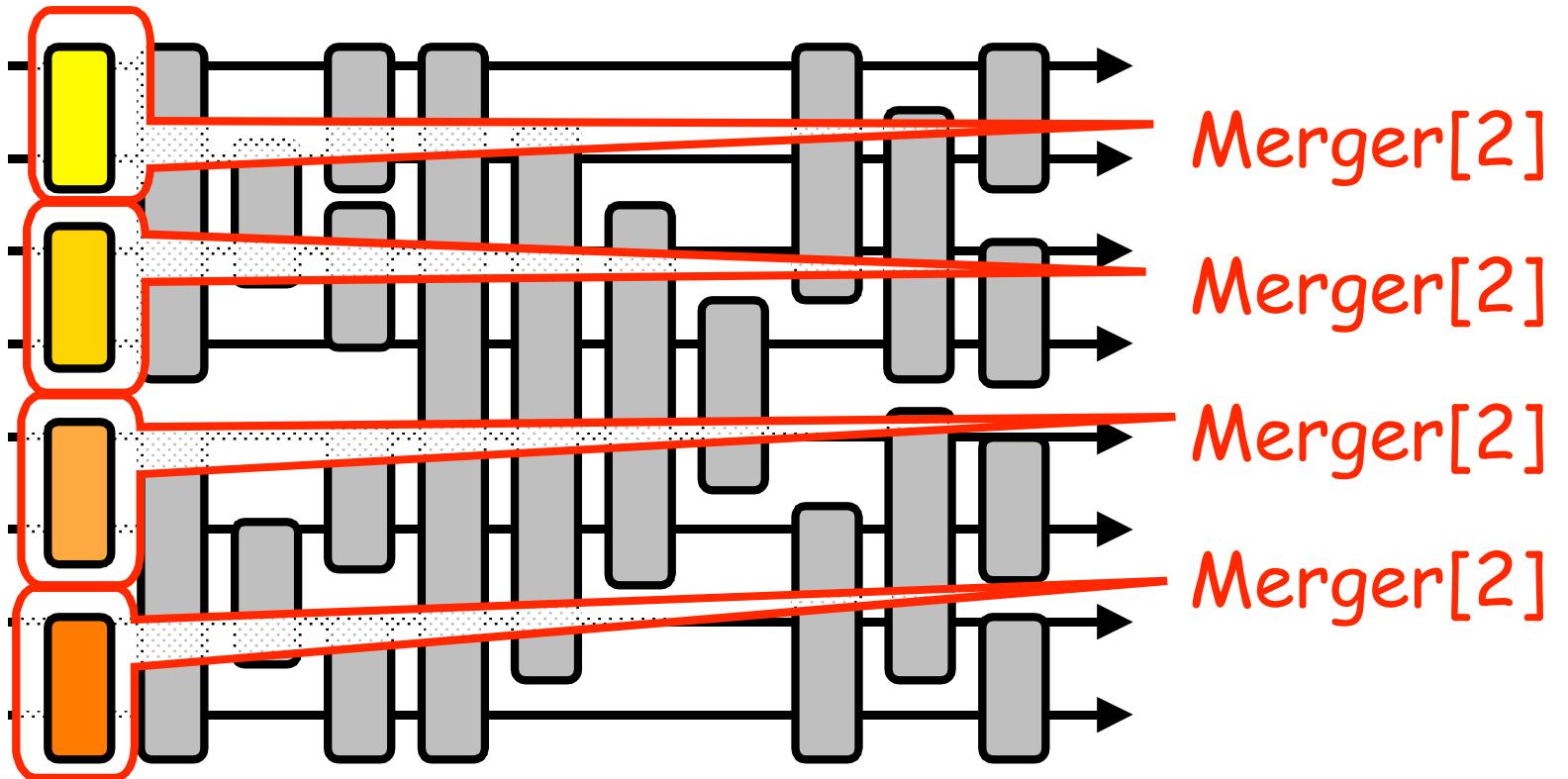


BROWN

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# Unfolded Bitonic Network



# Bitonic[k] Depth

- Width k
- Depth is  $(\log_2 k)(\log_2 k + 1)/2$

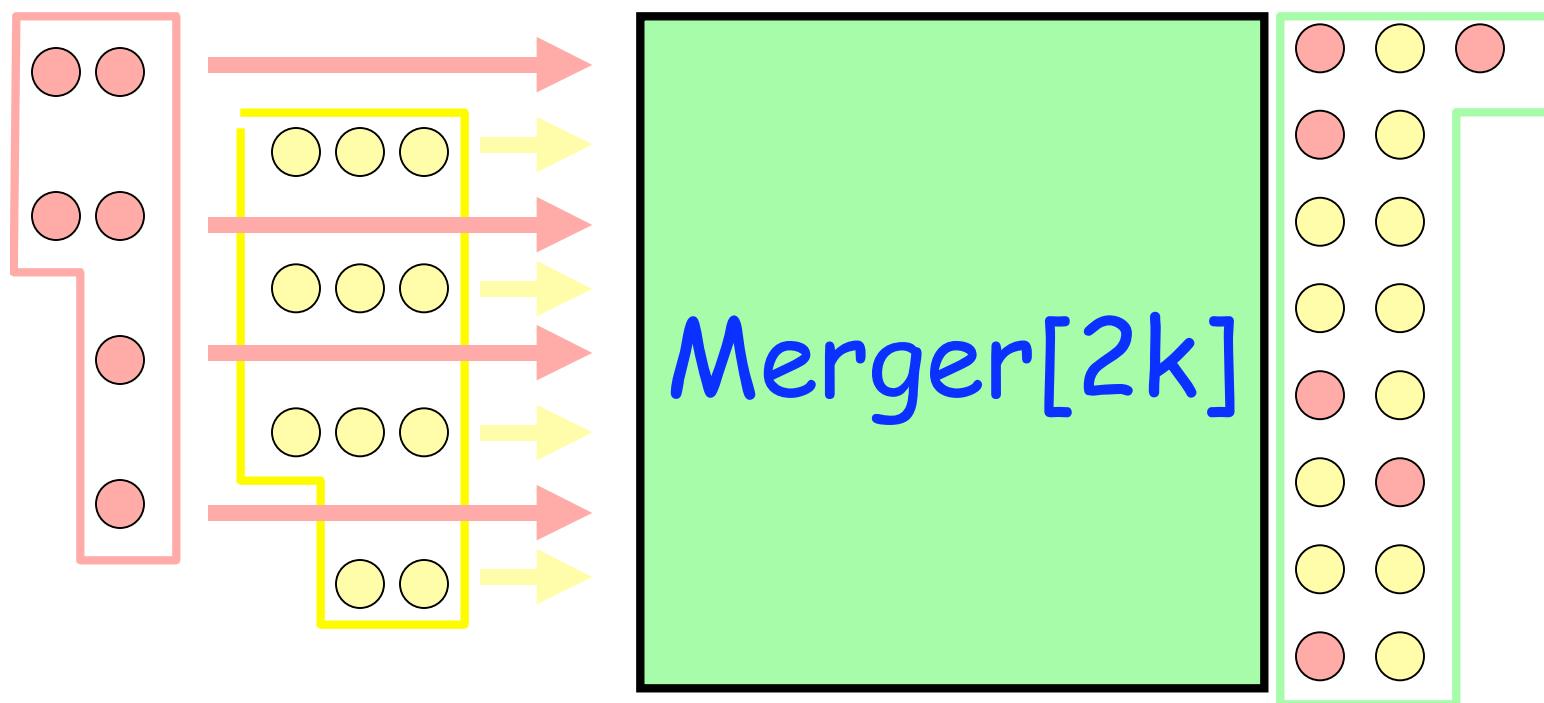


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# Merger[2k]

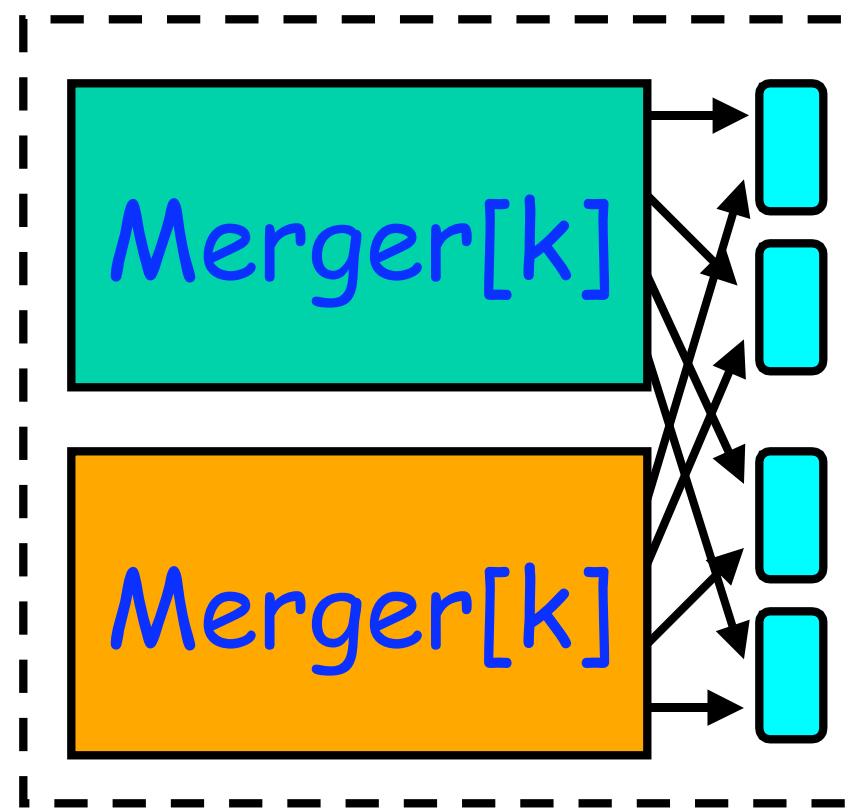


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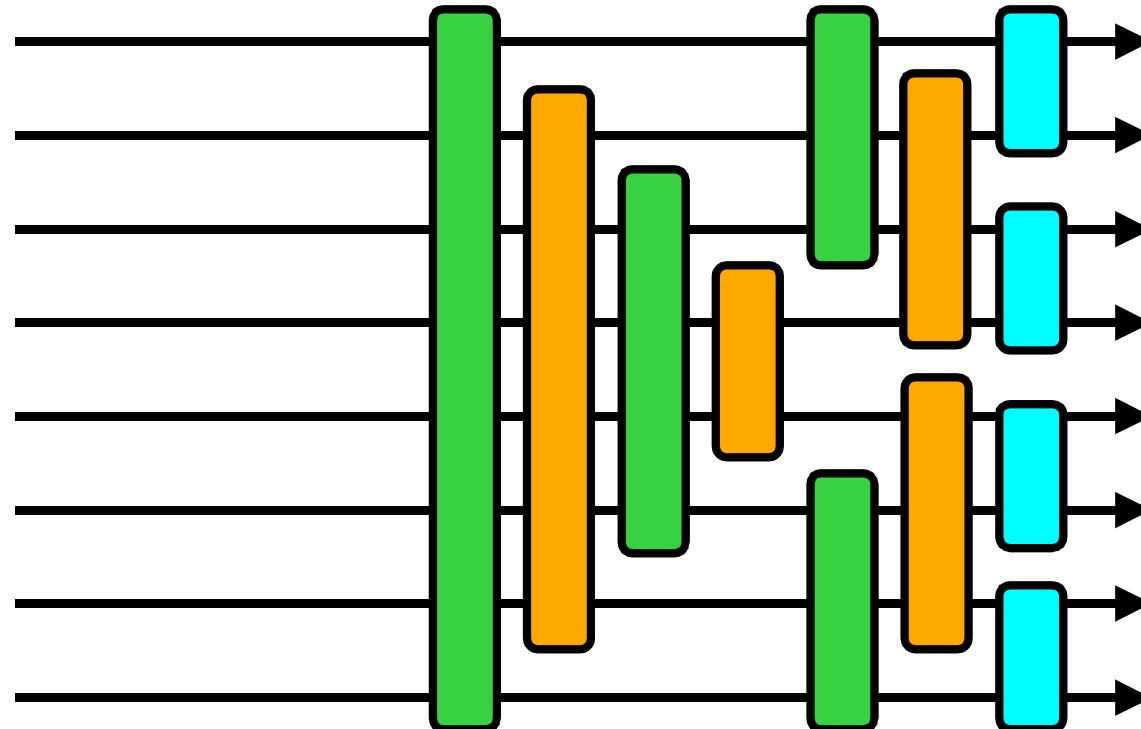
(c) 2003-2005 Herlihy and  
Shavit

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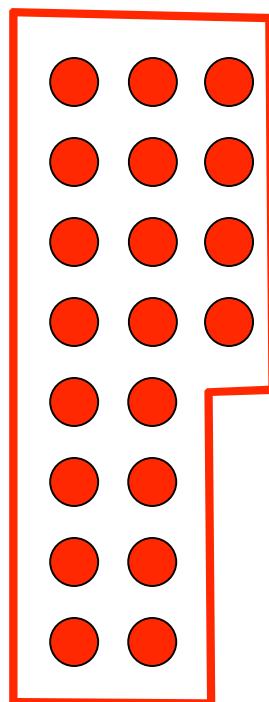
# Merger[2k] Schematic



# Merger[2k] Layout



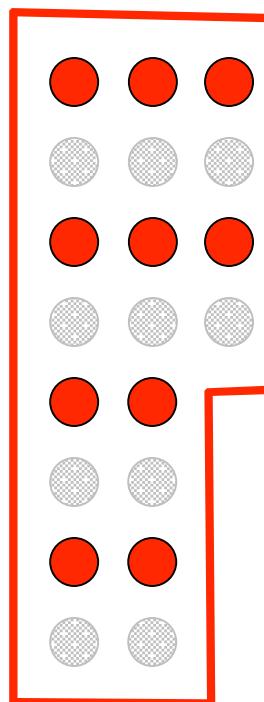
# Lemma



If a sequence has the  
step property ...



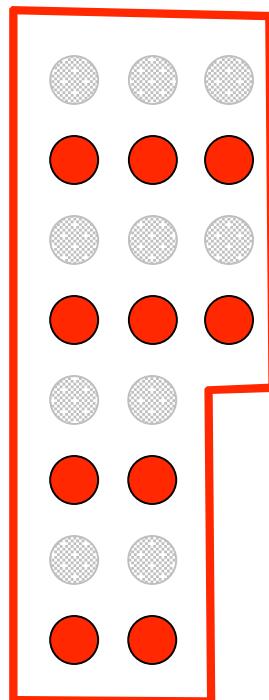
# Lemma



So does its even  
subsequence



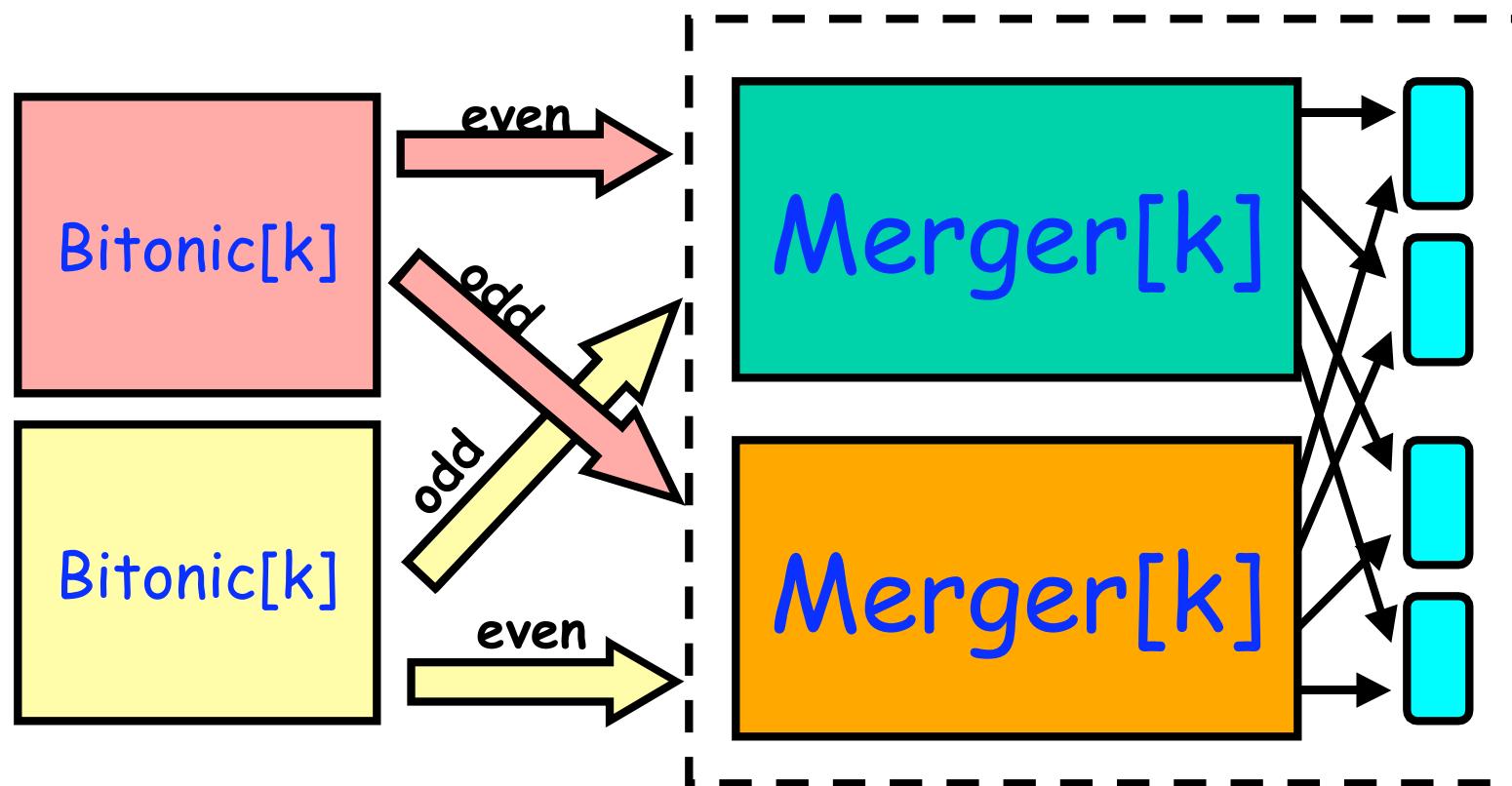
# Lemma



And its odd  
subsequence



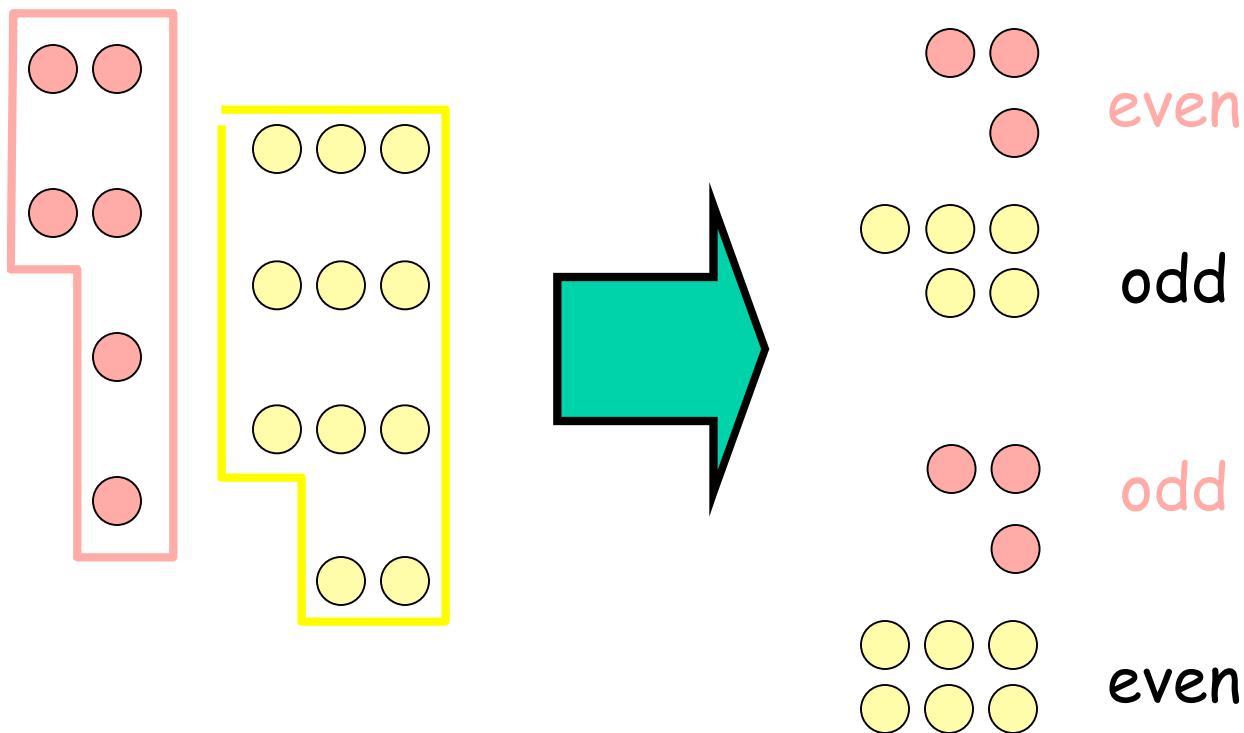
# Merger[2k] Schematic



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Shavit

# Proof Outline



Outputs from Bitonic[k]



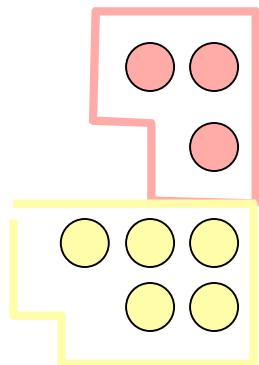
BROWN

Inputs to Merger[k]

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Shavit

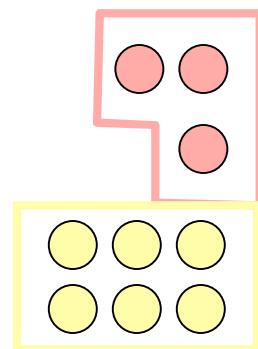
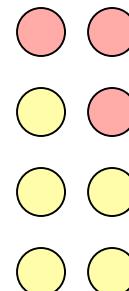
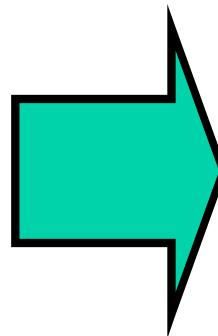
160

# Proof Outline



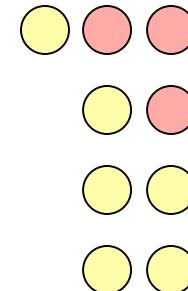
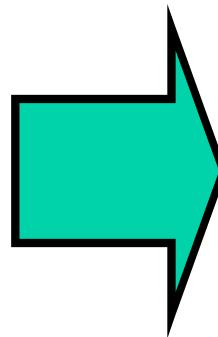
even

odd



odd

even



Inputs to Merger[ $k$ ]

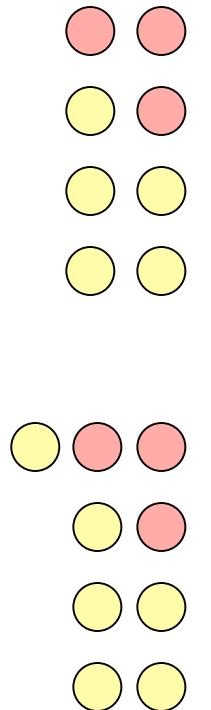
Outputs of Merger[ $k$ ]



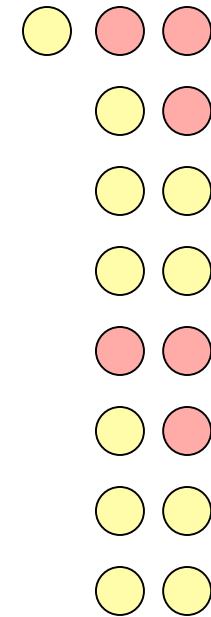
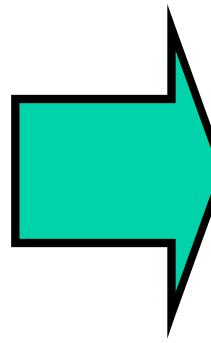
BROWN

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# Proof Outline

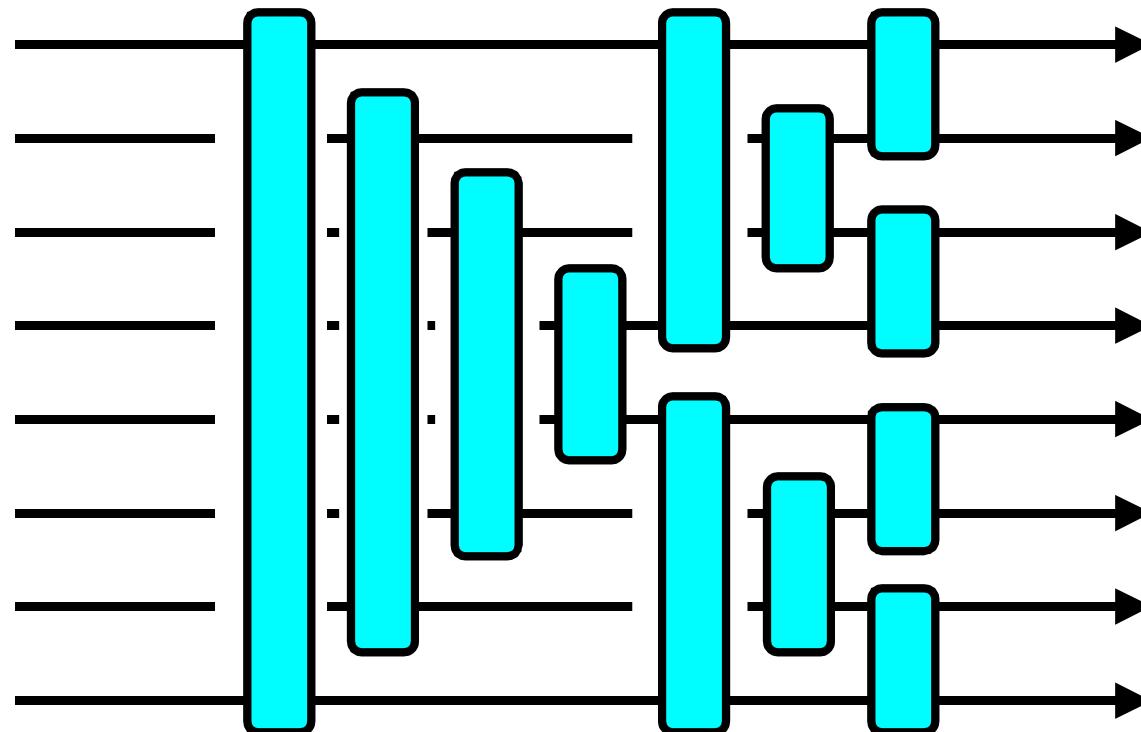


Outputs of Merger[k]

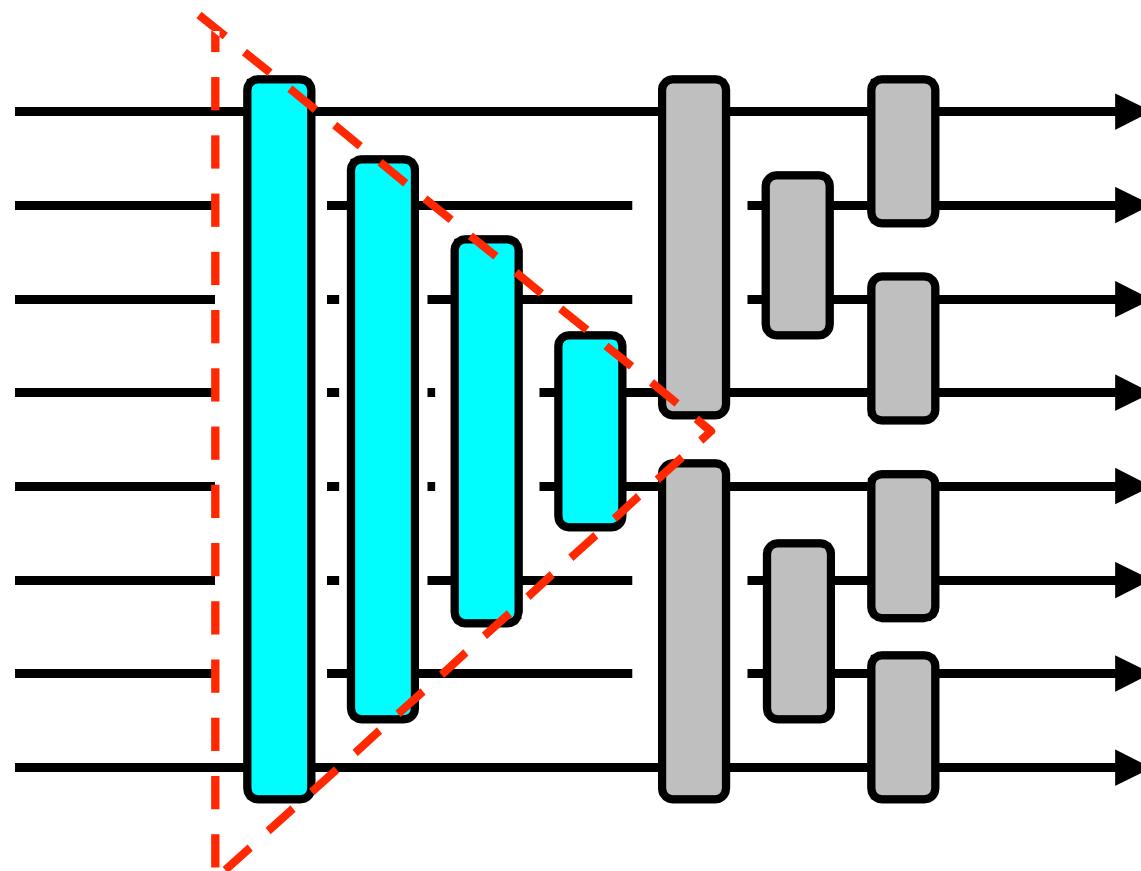


Outputs of last layer

# Periodic Network Block



# Periodic Network Block

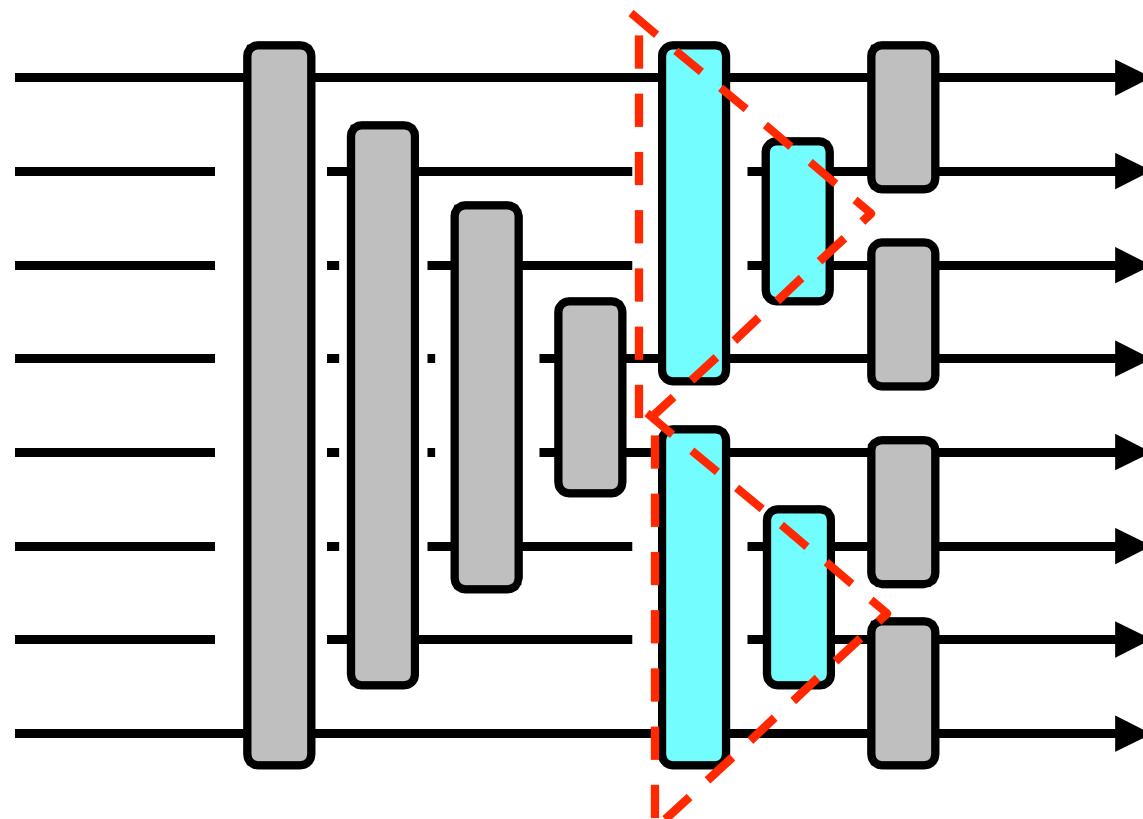


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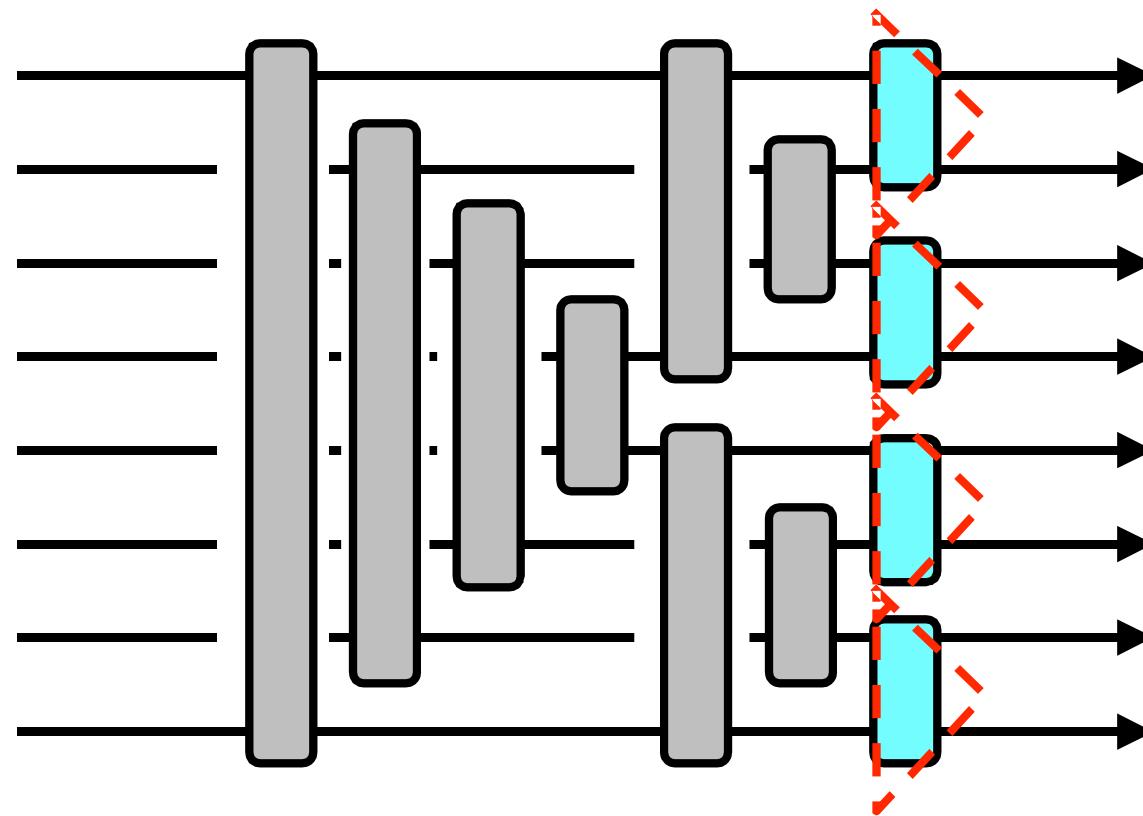
# Periodic Network Block



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# Periodic Network Block

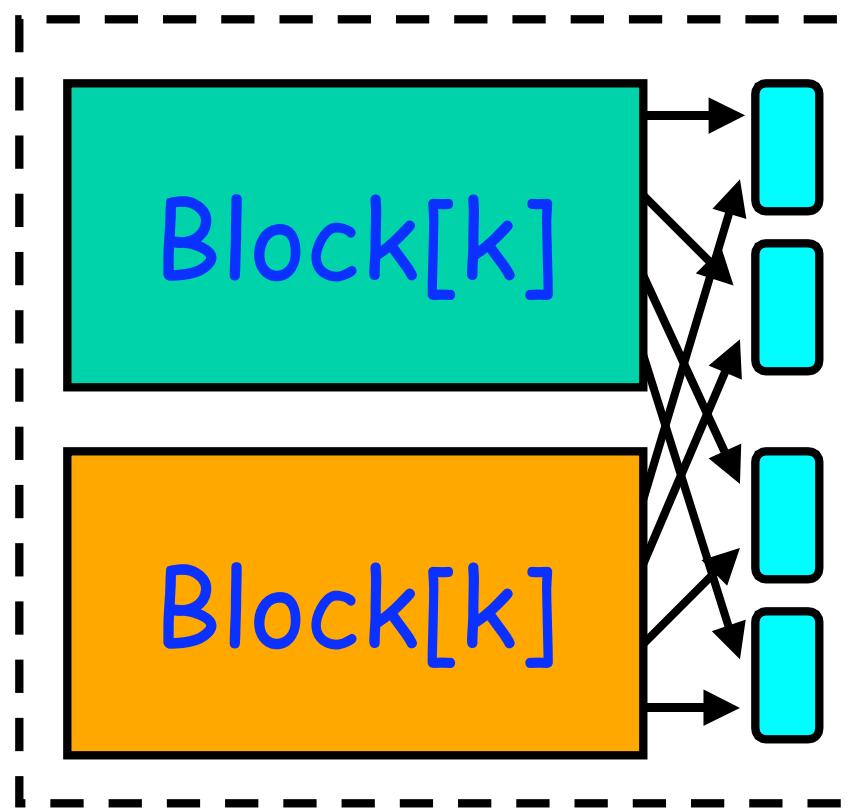


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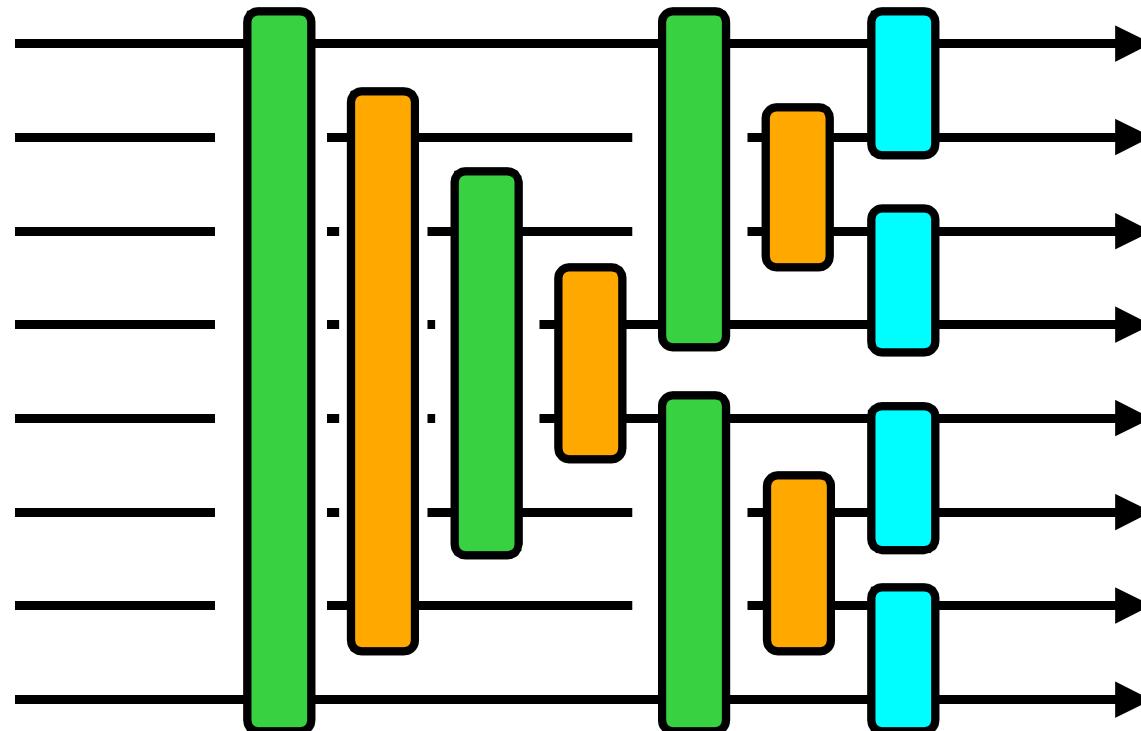
# Block[2k] Schematic



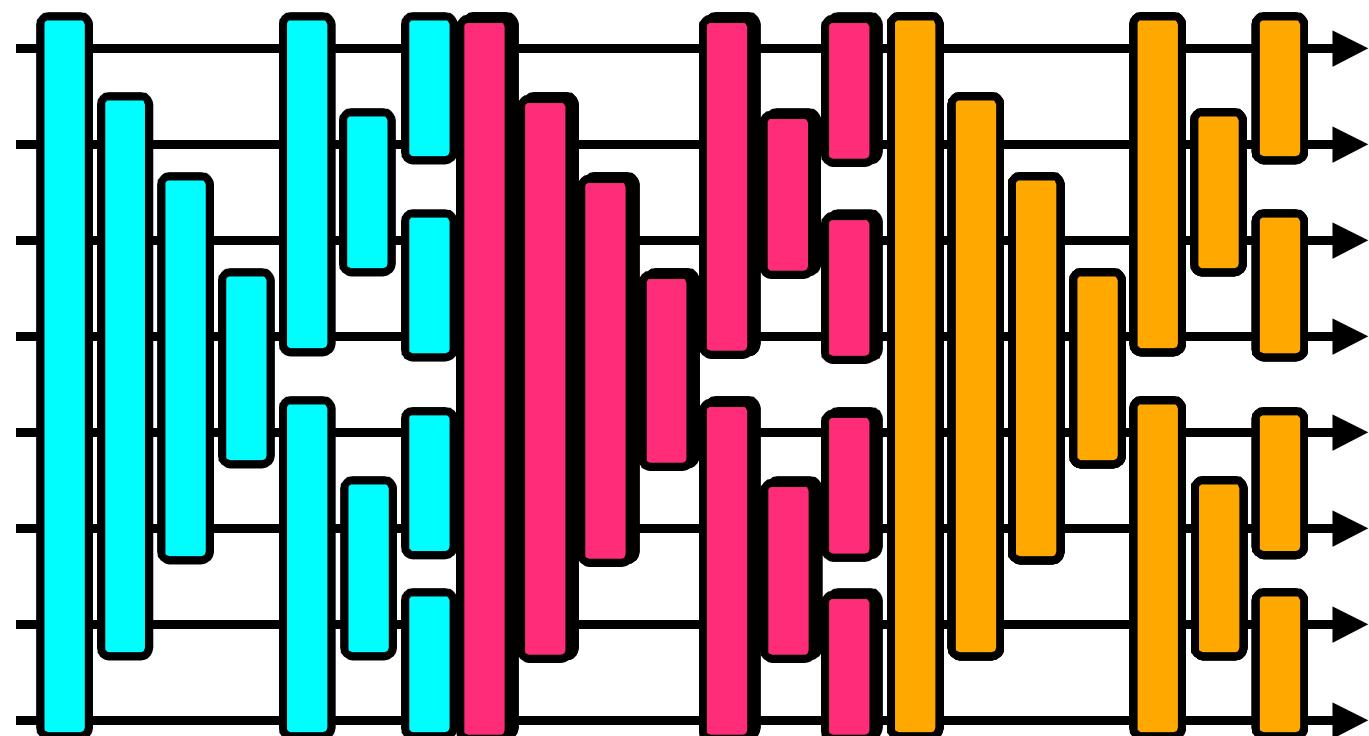
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Shavit

# Block[2k] Layout



# Periodic[8]



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Shavit

# Network Depth

- Each  $\text{block}[k]$  has depth  $\log_2 k$
- Need  $\log_2 k$  blocks
- Grand total of  $(\log_2 k)^2$



# Lower Bound on Depth

Theorem: The depth of any width  $w$  counting network is at least  $\lceil \log w \rceil$ .

Theorem: there exists a counting network of  $\lceil \log w \rceil$  depth.

Unfortunately, proof is non-constructive and constants in the 1000s.

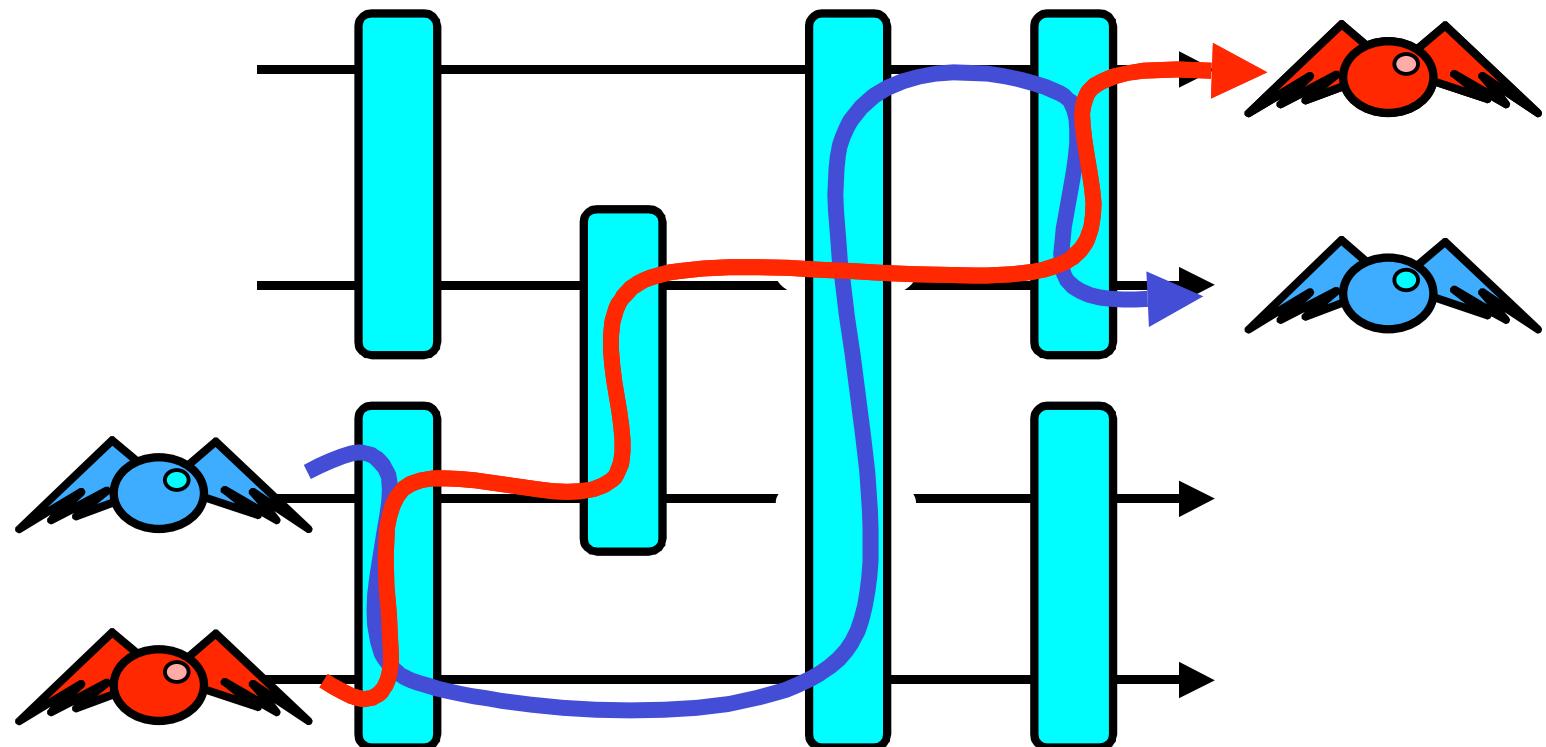


# Sequential Theorem

- If a balancing network counts
  - Sequentially, meaning that
  - Tokens traverse one at a time
- Then it counts
  - Even if tokens traverse concurrently



# Red First, Blue Second

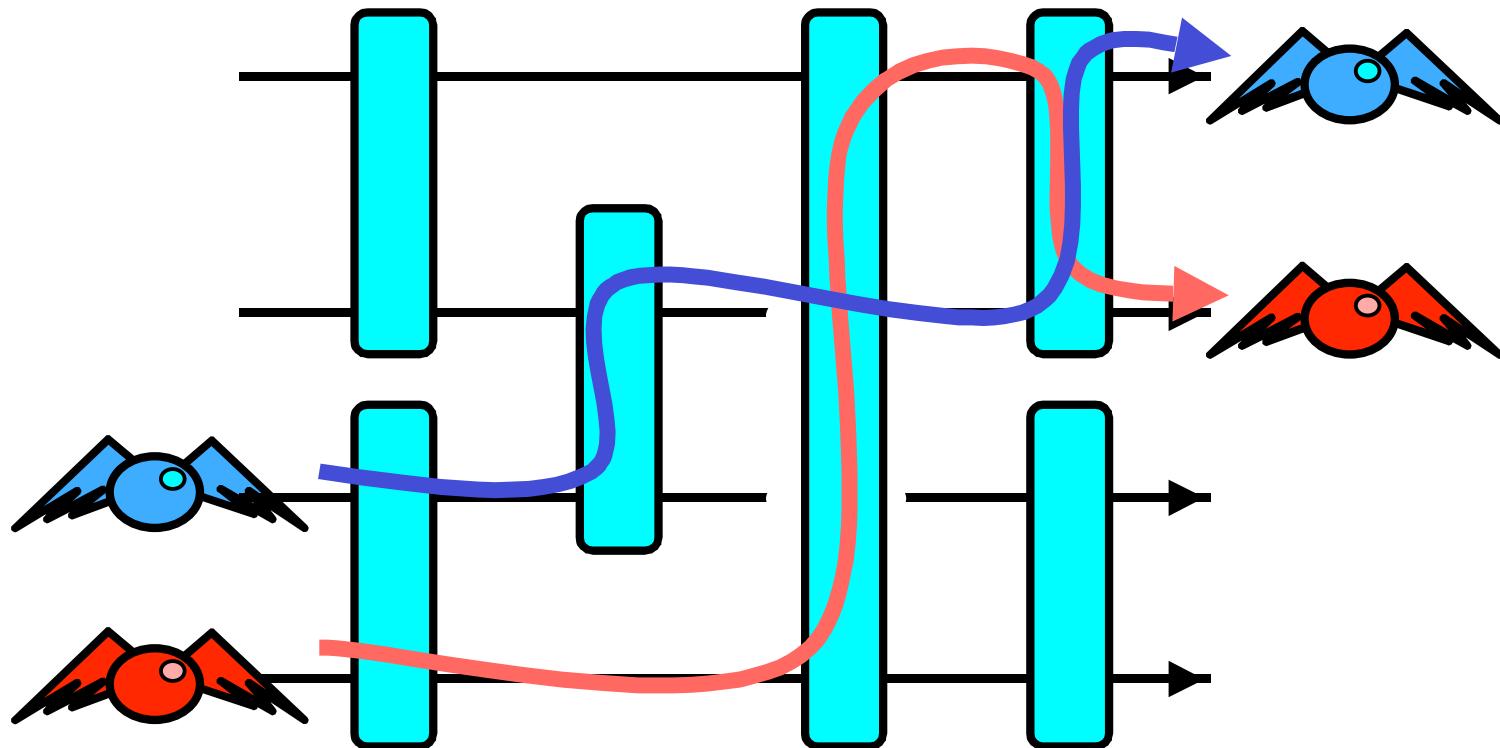


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173 (2)

# Blue First, Red Second

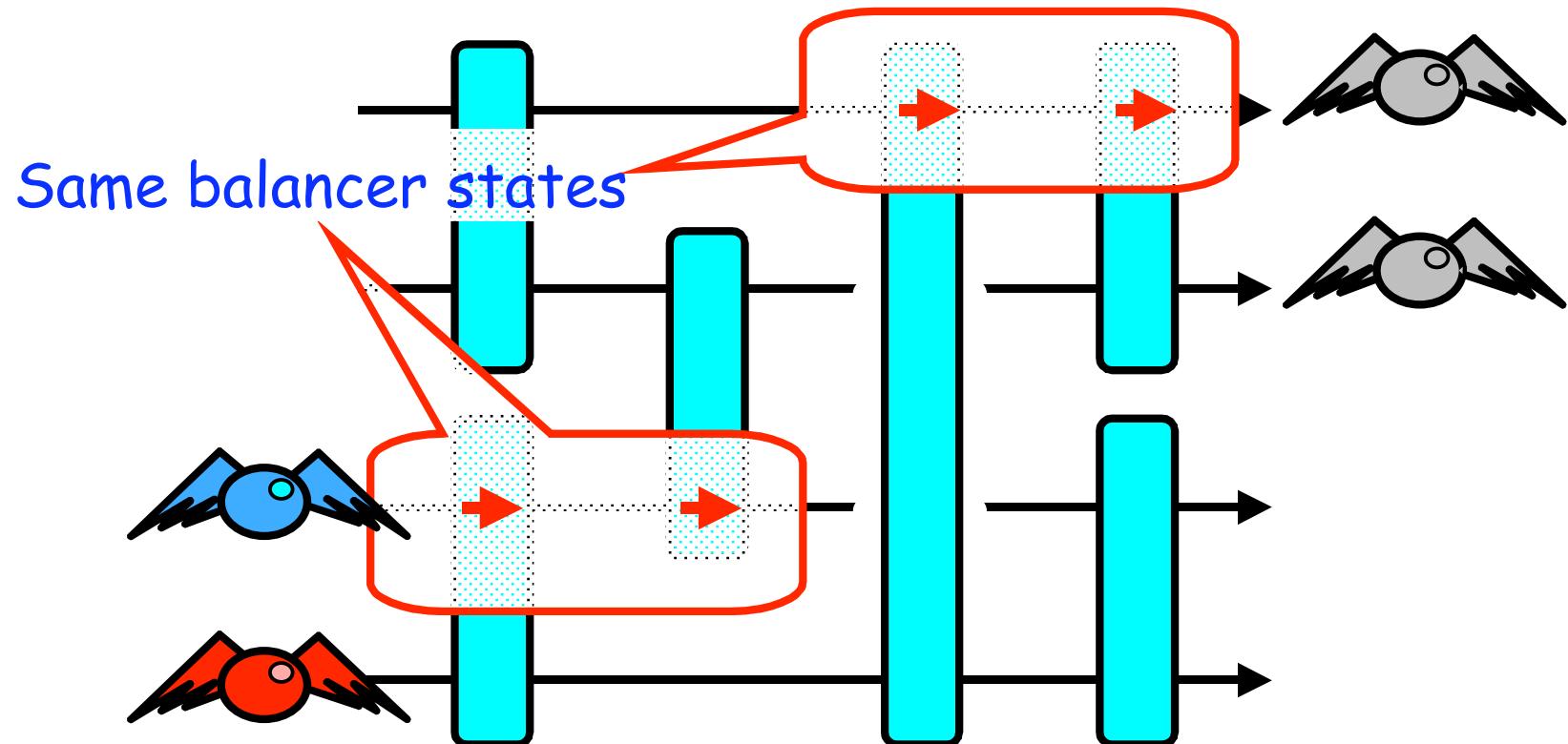


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Shavit

174 (2)

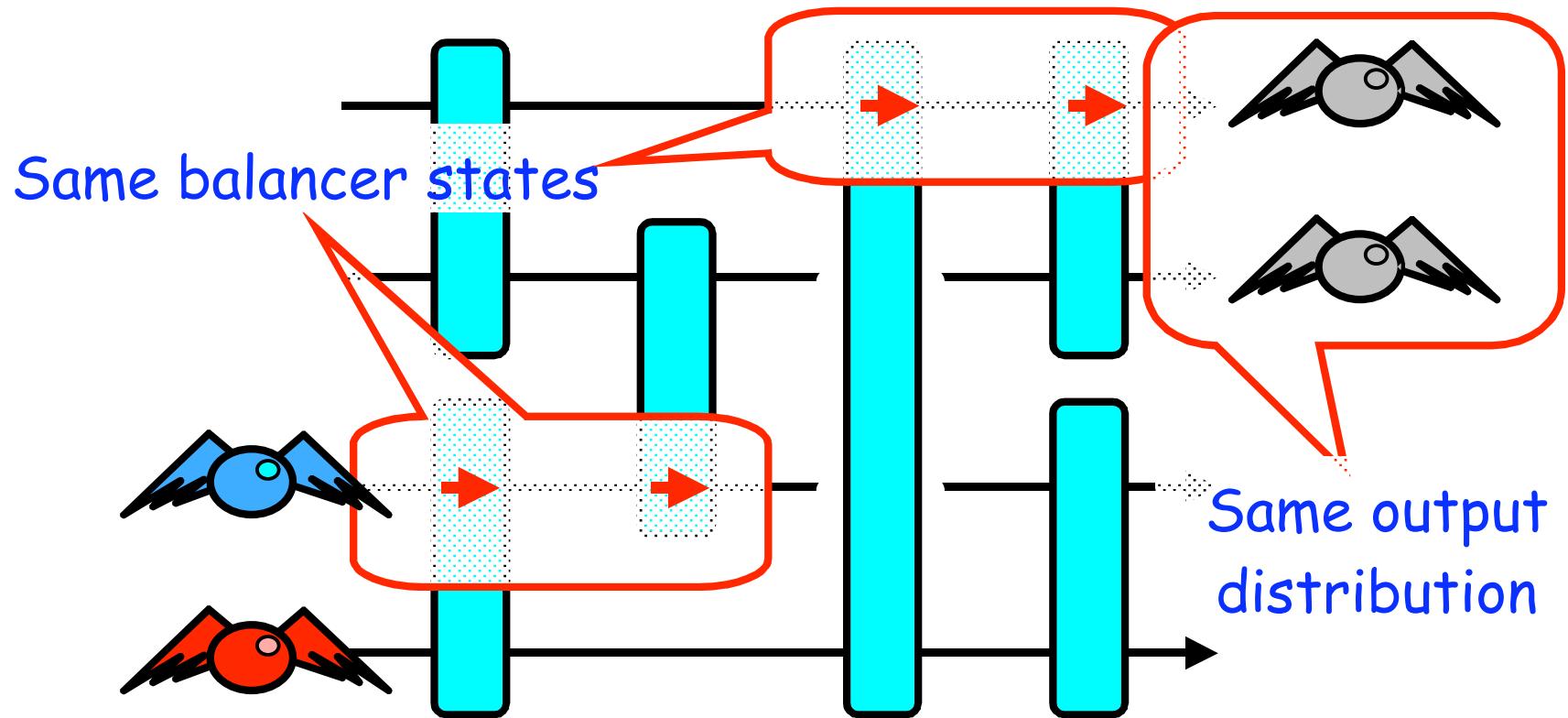
# Either Way



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# Order Doesn't Matter

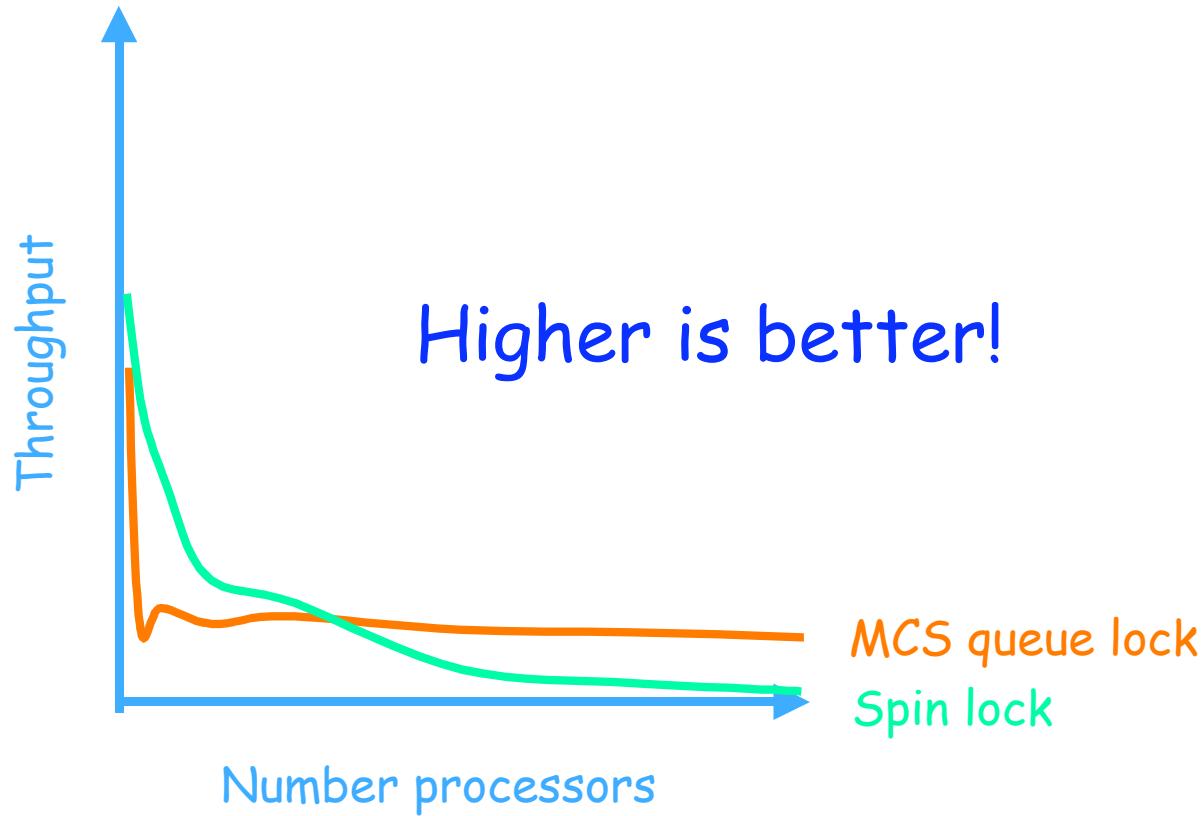


# Index Distribution Benchmark

```
void indexBench(int iters, int work) {  
    while (int i = 0 < iters) {  
        i = fetch&inc();  
        Thread.sleep(random() % work);  
    }  
}
```



# Performance (Simulated)



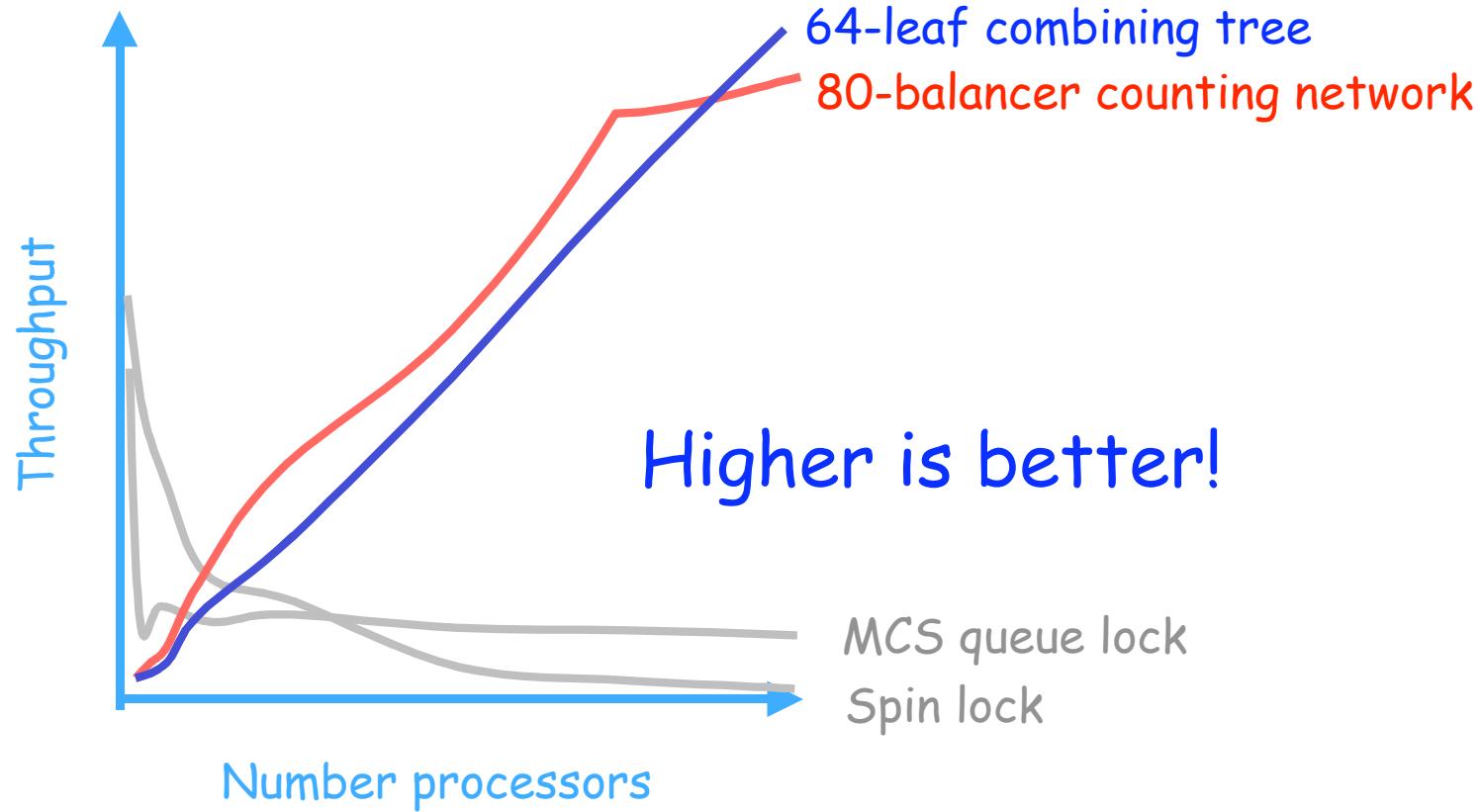
\* All graphs taken from Herlihy,Lim,Shavit, copyright ACM.



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Shavit

# Performance (Simulated)



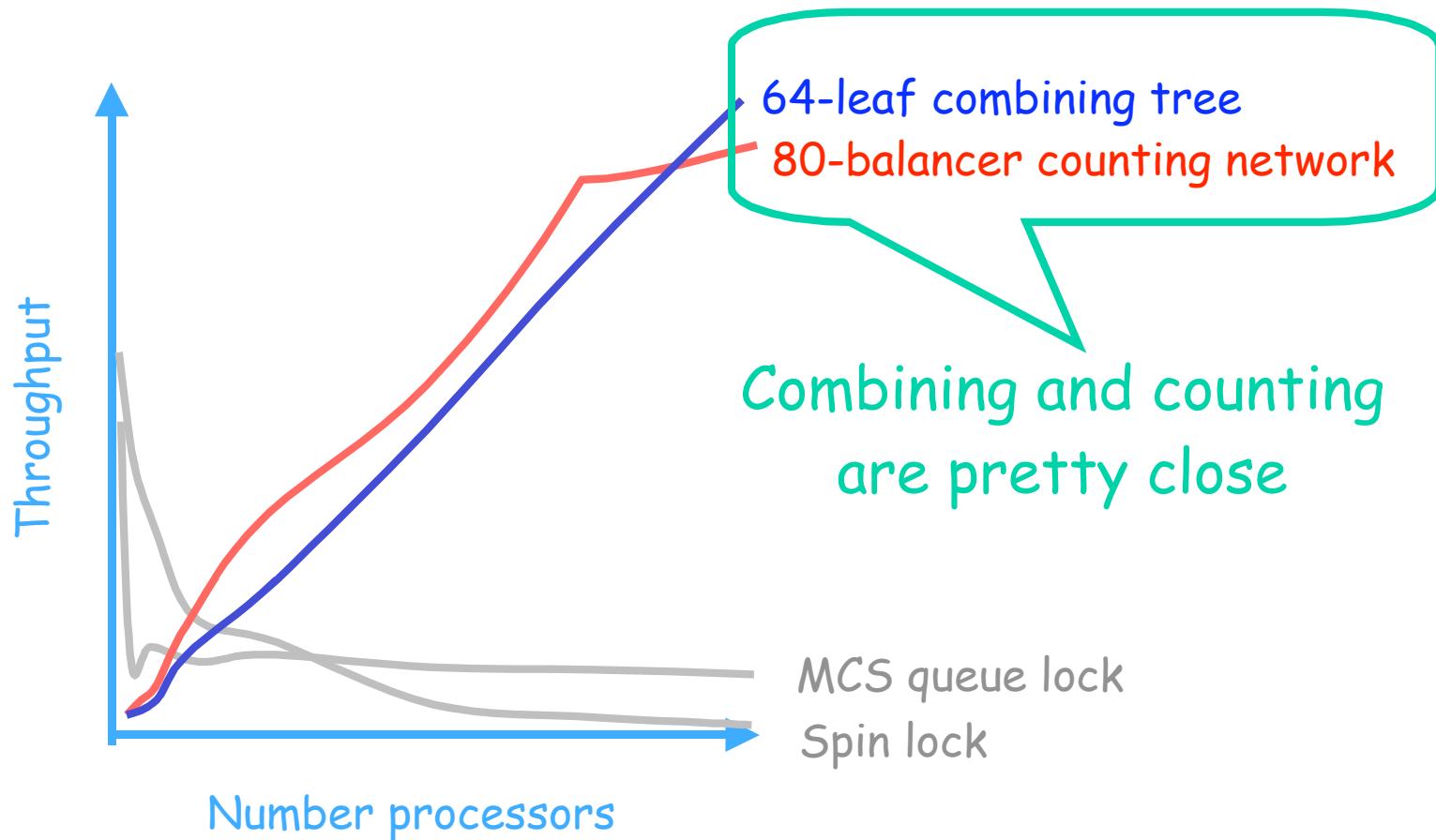
\* All graphs taken from Herlihy,Lim,Shavit, copyright ACM.



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Shavit

# Performance (Simulated)



\* All graphs taken from Herlihy,Lim,Shavit, copyright ACM.

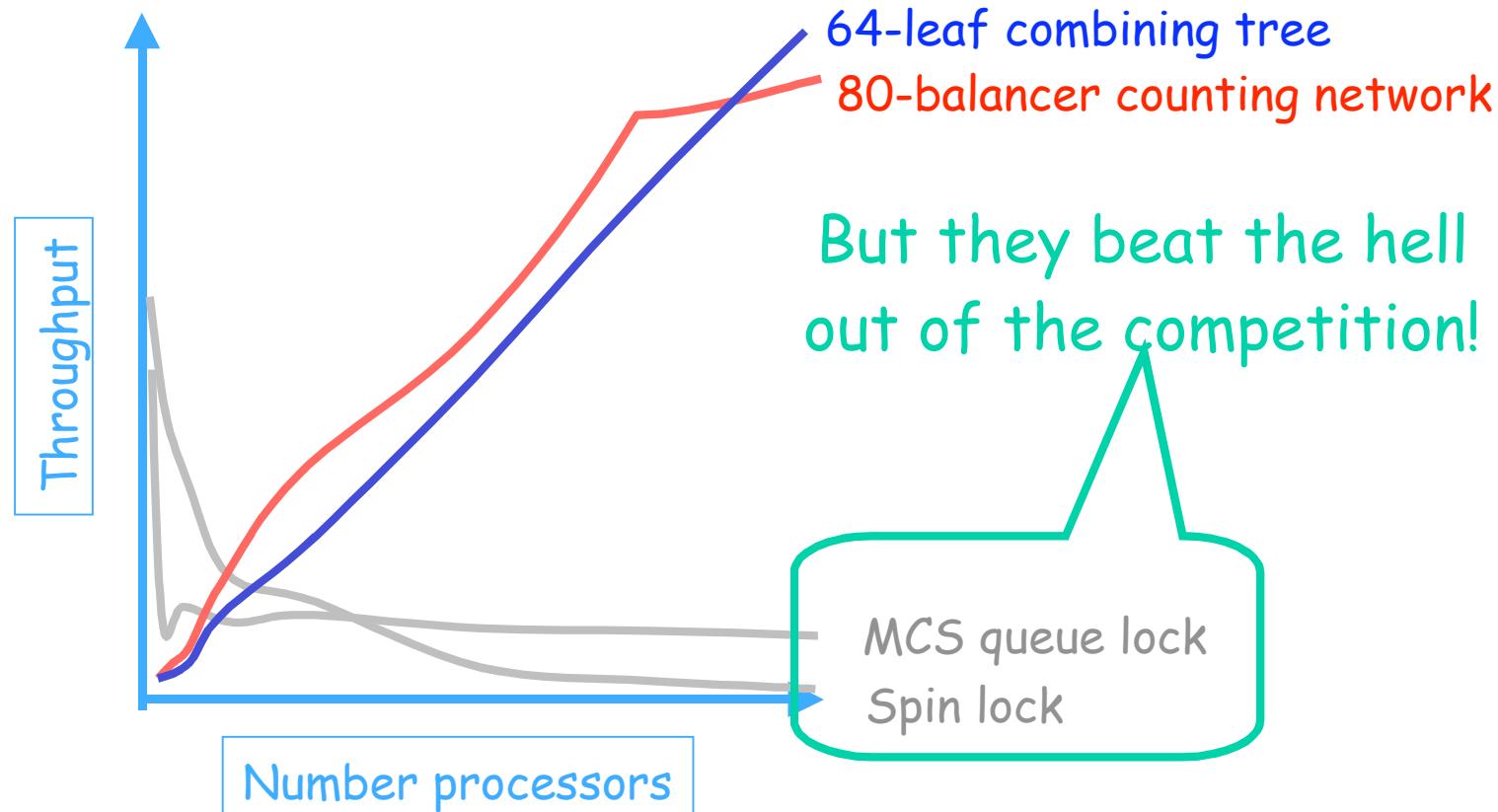


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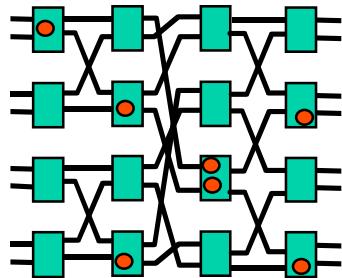
# Performance (Simulated)



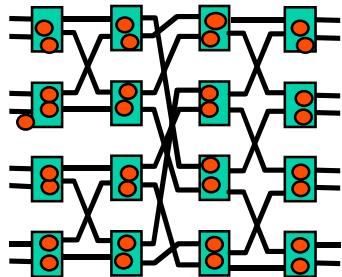
\* All graphs taken from Herlihy,Lim,Shavit, copyright ACM.



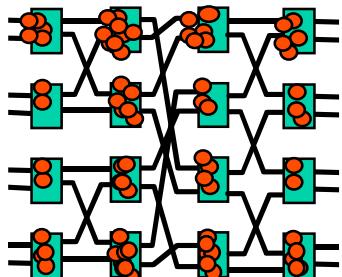
# Saturation and Performance



Undersaturated  $P < w \log w$



Optimal performance  
Saturated  $P = w \log w$



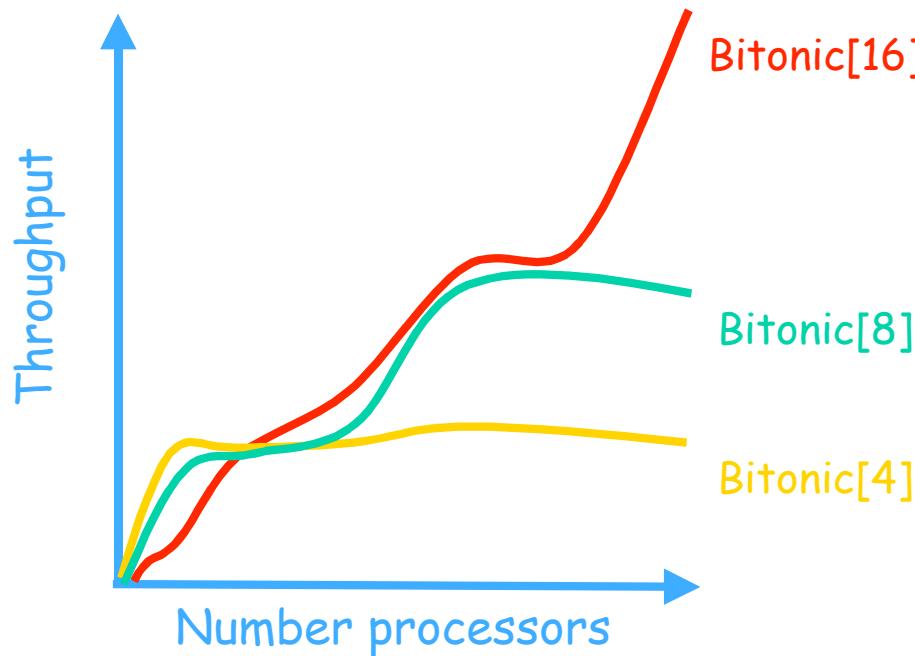
Oversaturated  $P > w \log w$



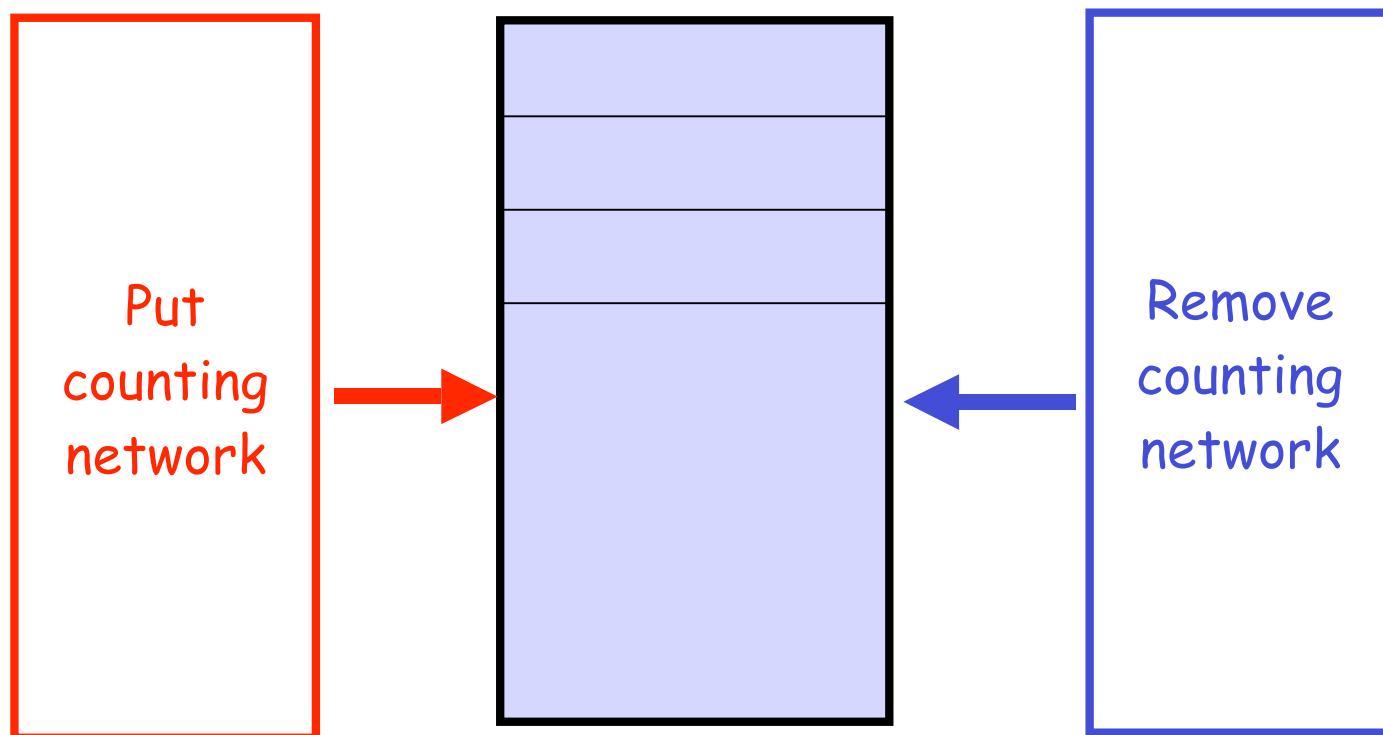
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# Throughput vs. Size



# Shared Pool



# Put/Remove Network

- Guarantees never:
  - Put waiting for item, while
  - Get has deposited item
- Otherwise OK to wait
  - Put delayed while pool slot is full
  - Get delayed while pool slot is empty



# What About

- Decremens
- Adding arbitrary values
- Other operations
  - Multiplication
  - Vector addition
  - Horoscope casting ...



# First Step

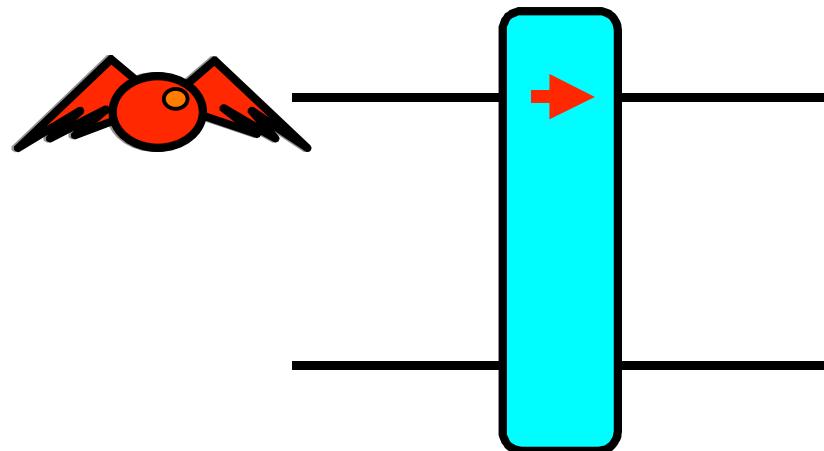
- Can we decrement as well as increment?
- What goes up, must come down ...



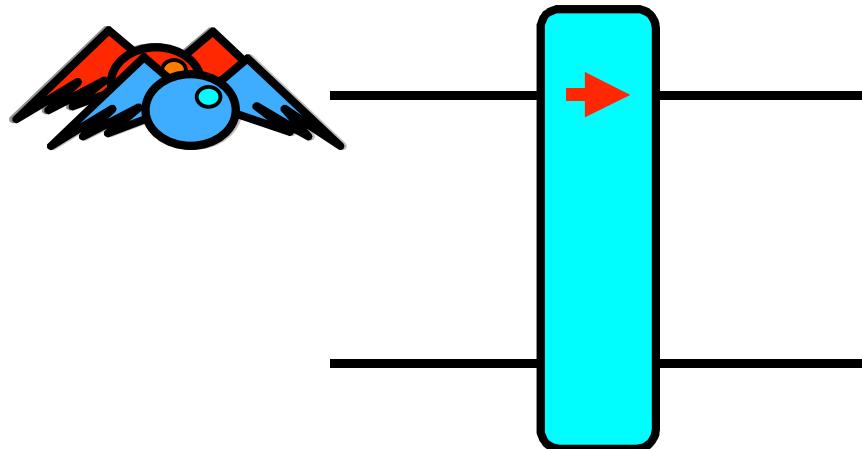
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# Anti-Tokens



# Tokens & Anti-Tokens Cancel

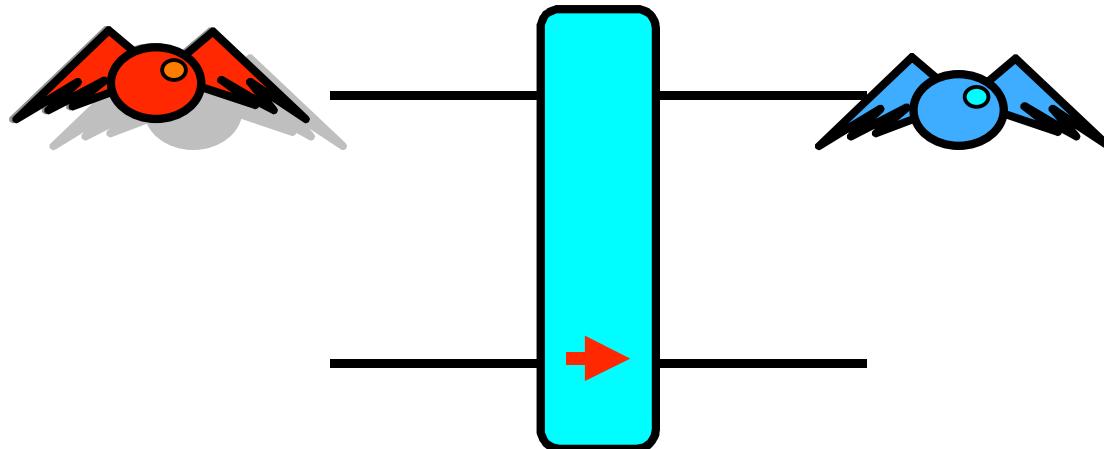


BROWN

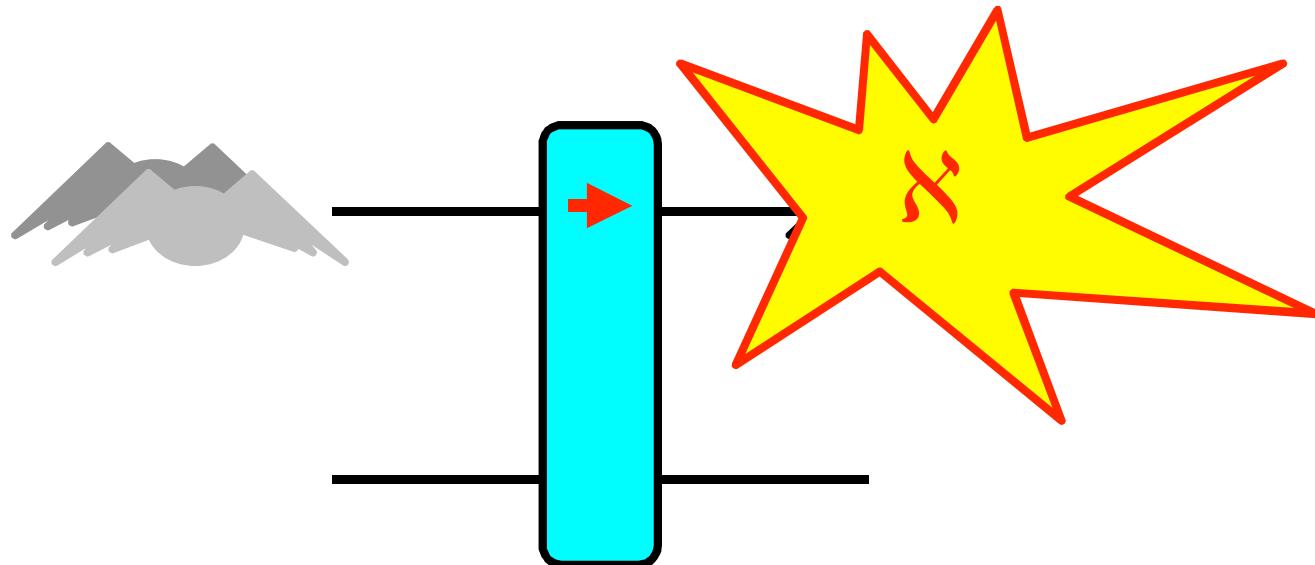
(c) 2003-2005 Herlihy and  
Shavit

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# Tokens & Anti-Tokens Cancel



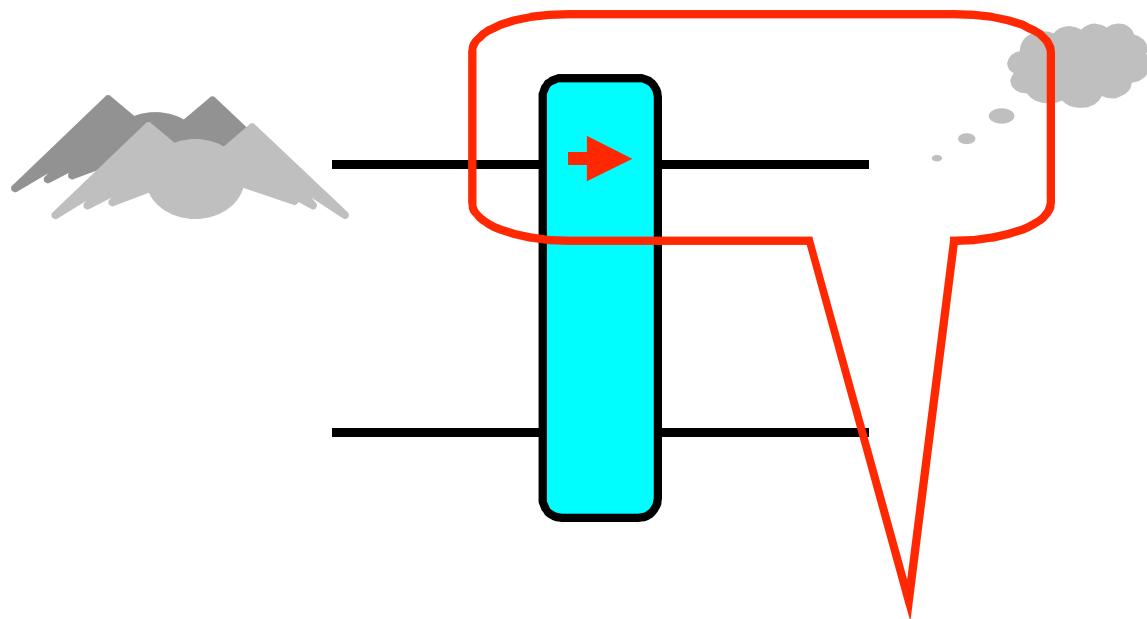
# Tokens & Anti-Tokens Cancel



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# Tokens & Anti-Tokens Cancel



As if nothing happened

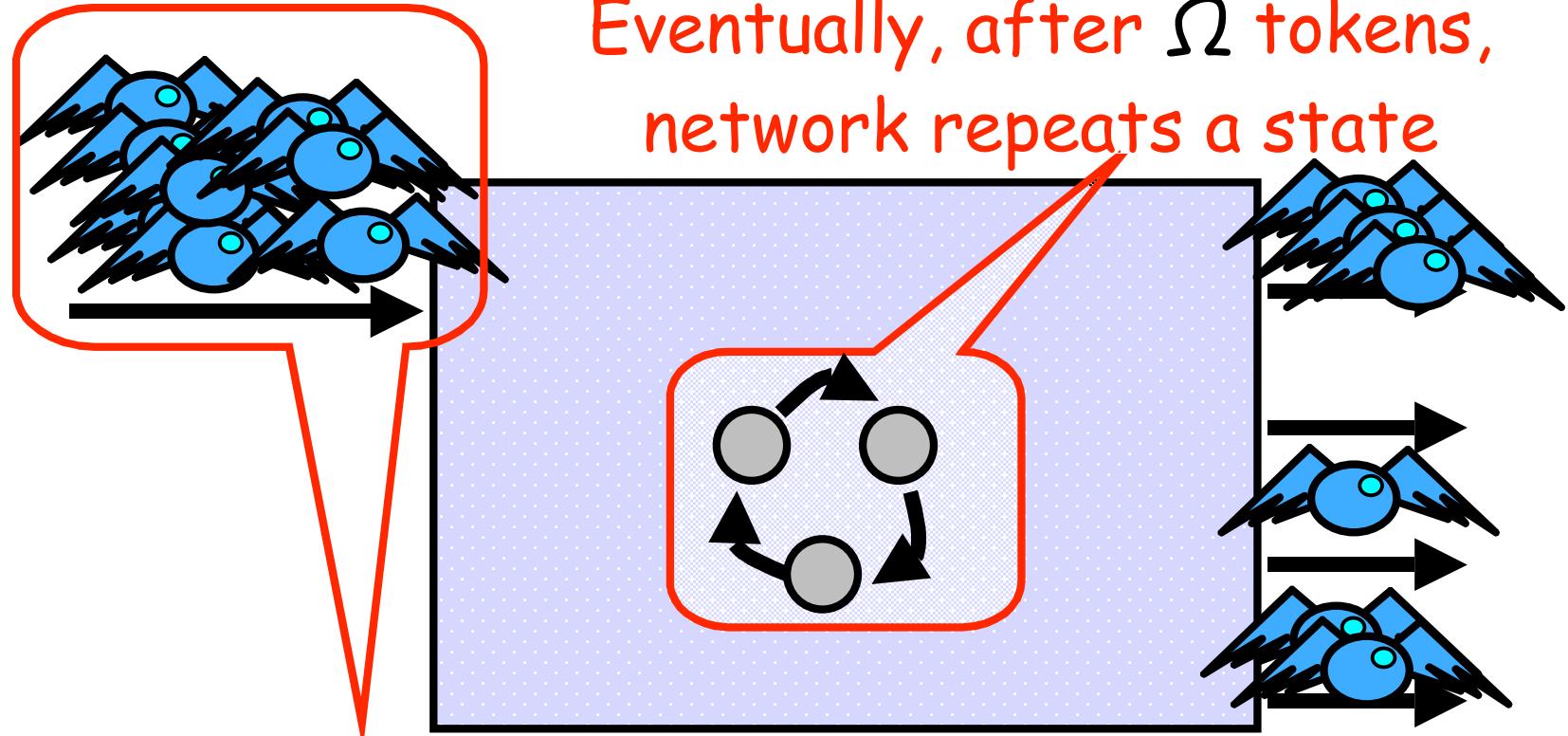
# Tokens vs Antitokens

- Tokens
  - read balancer
  - flip
  - proceed
- Antitokens
  - flip balancer
  - read
  - proceed



# Pumping Lemma

Eventually, after  $\Omega$  tokens,  
network repeats a state



Keep pumping tokens through one wire

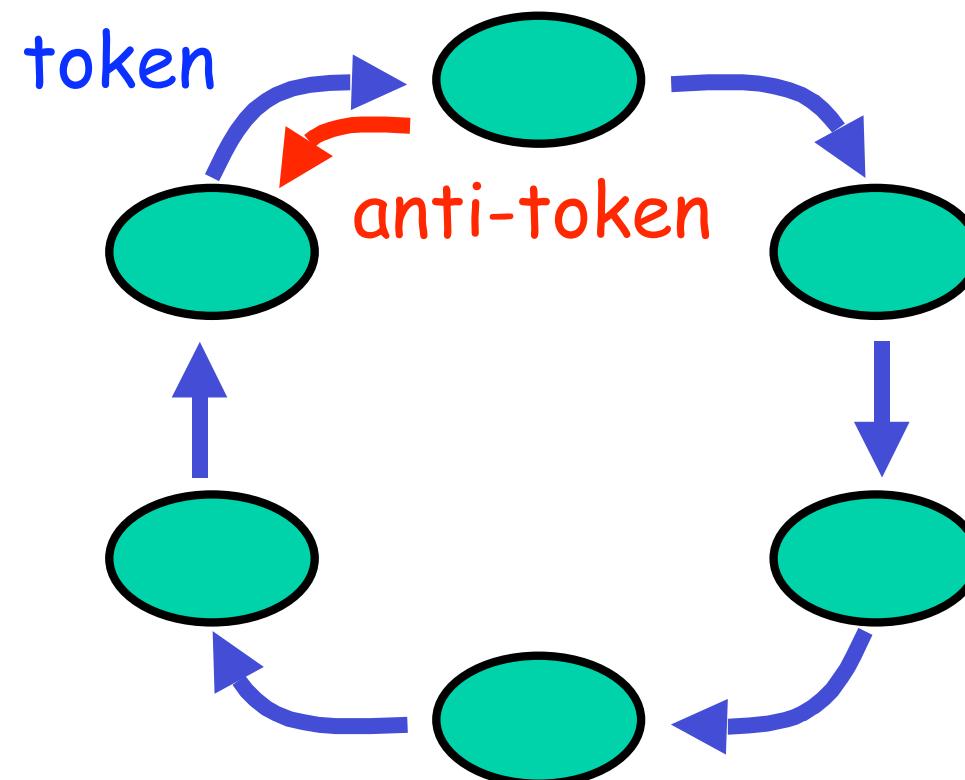


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# Anti-Token Effect

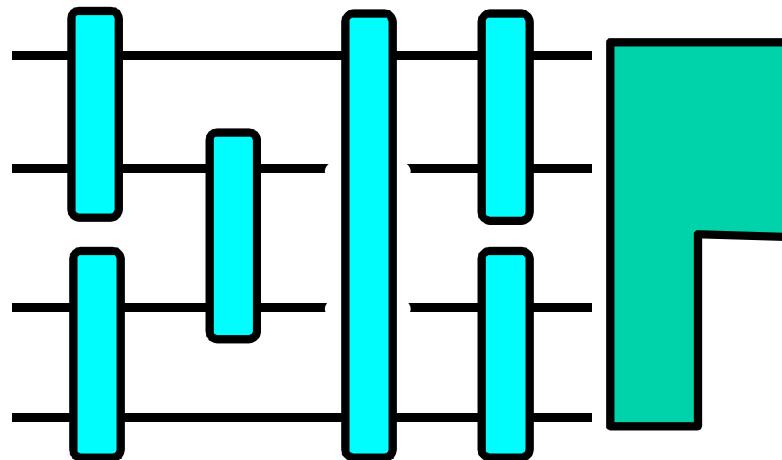


# Observation

- Each anti-token on wire  $i$ 
  - Has same effect as  $\underline{-1}$  tokens on wire  $i$
  - So network still in legal state
- Moreover, network width  $w$  divides  $\underline{\_}$ 
  - So  $\underline{\_-1}$  tokens



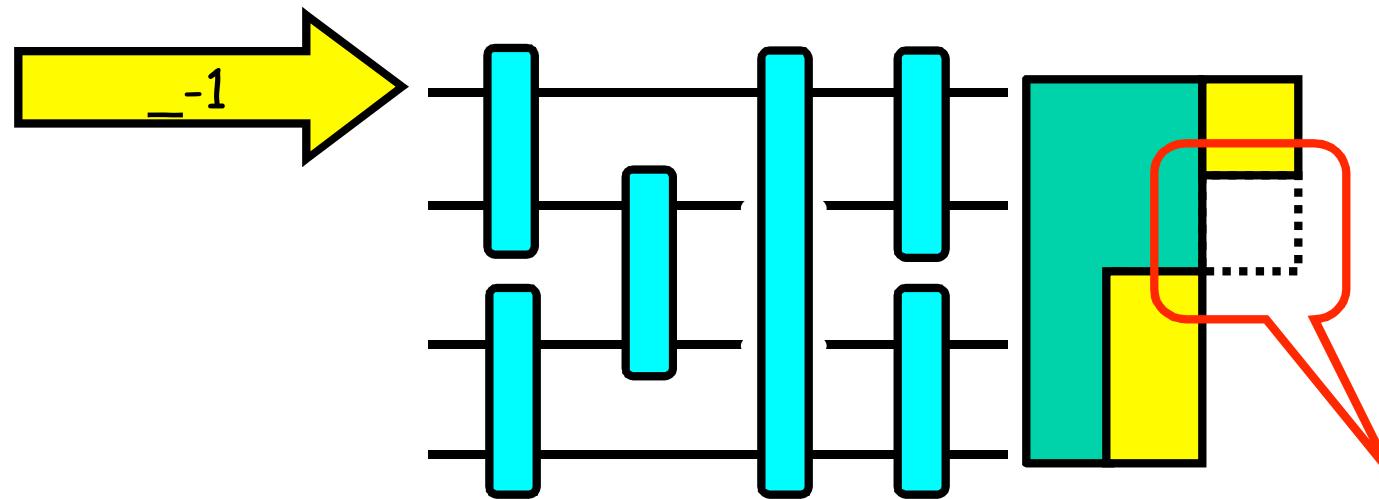
# Before Antitoken



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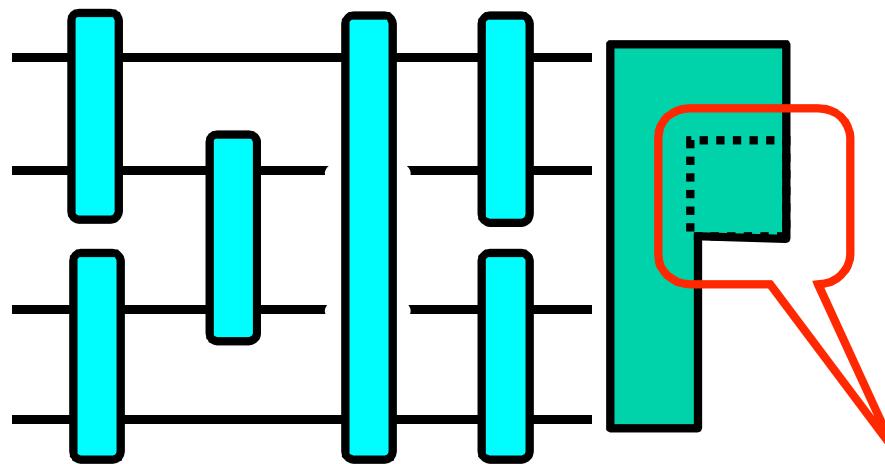
# Balancer states as if ...



$_1$  is one  
brick shy of a  
load



# Post Antitoken



Next token  
shows up here



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# Implication

- Counting networks with
  - Tokens (+1)
  - Anti-tokens (-1)
- Give
  - Highly concurrent
  - Low contention
- `getAndIncrement` +  
**`getAndDecrement` methods**

QED



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# Adding Networks

- Combining trees implement
  - Fetch&add
  - Add any number, not just 1
- What about counting networks?



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# Fetch-and-add

- Beyond `getAndIncrement` + `getAndDecrement`
- What about `getAndAdd(x)`?
  - Atomically returns prior value
  - And adds  $x$  to value?
- Not to mention
  - `getAndMultiply`
  - `getAndFourierTransform?`



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# Bad News

- If an adding network
  - Supports  $n$  concurrent tokens
- Then every token must traverse
  - At least  $n-1$  balancers
  - In sequential executions

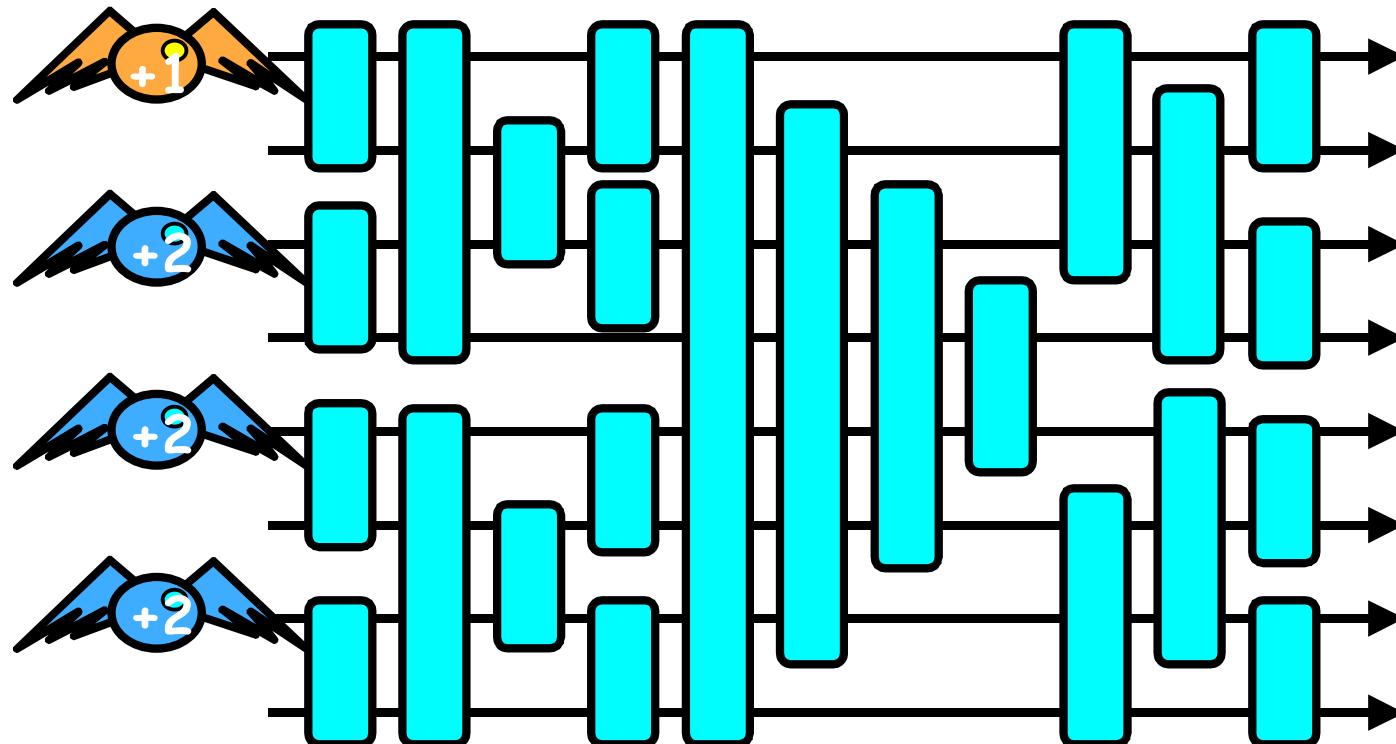


# Uh-Oh

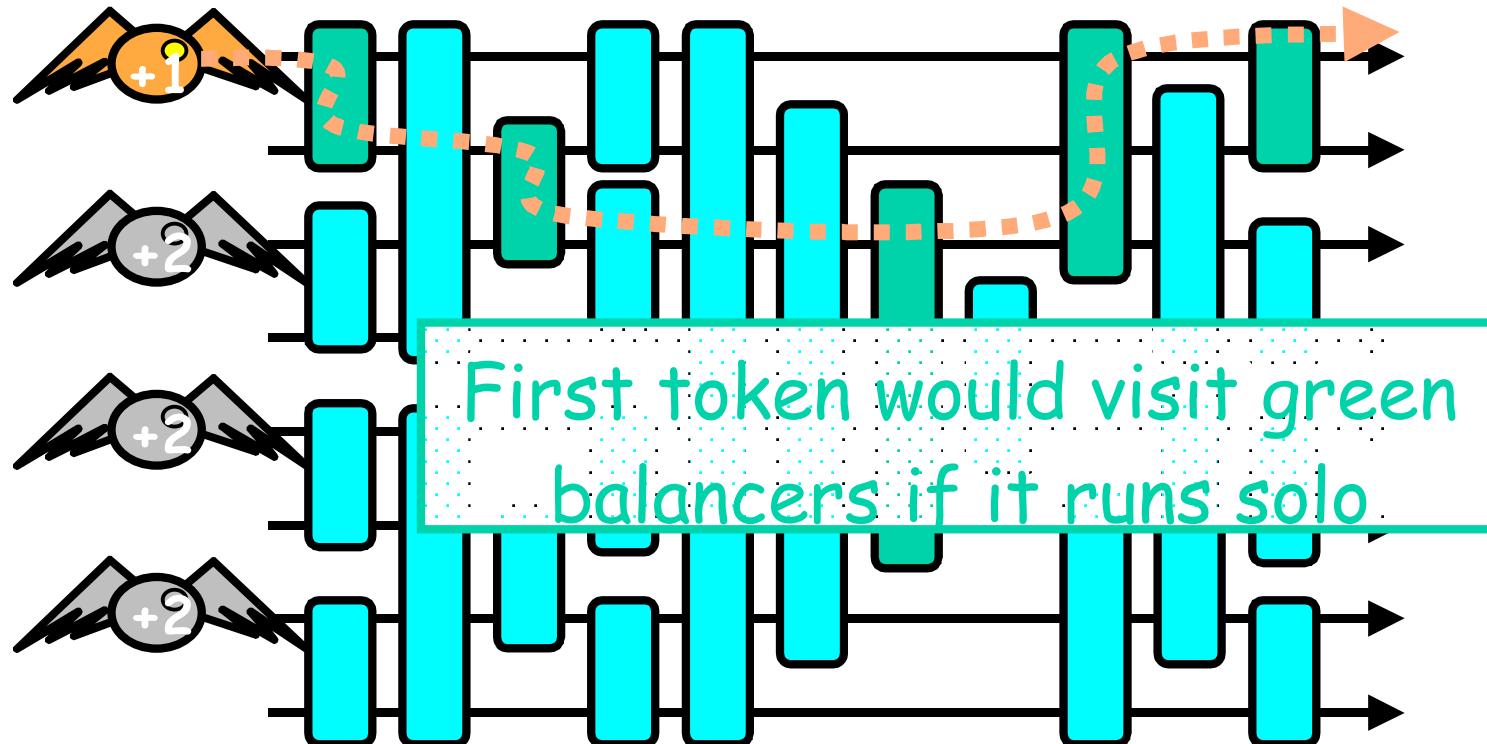
- Adding network size depends on  $n$ 
  - Like combining trees
  - Unlike counting networks
- High latency
  - Depth linear in  $n$
  - Not logarithmic in  $w$



# Generic Counting Network



# First Token

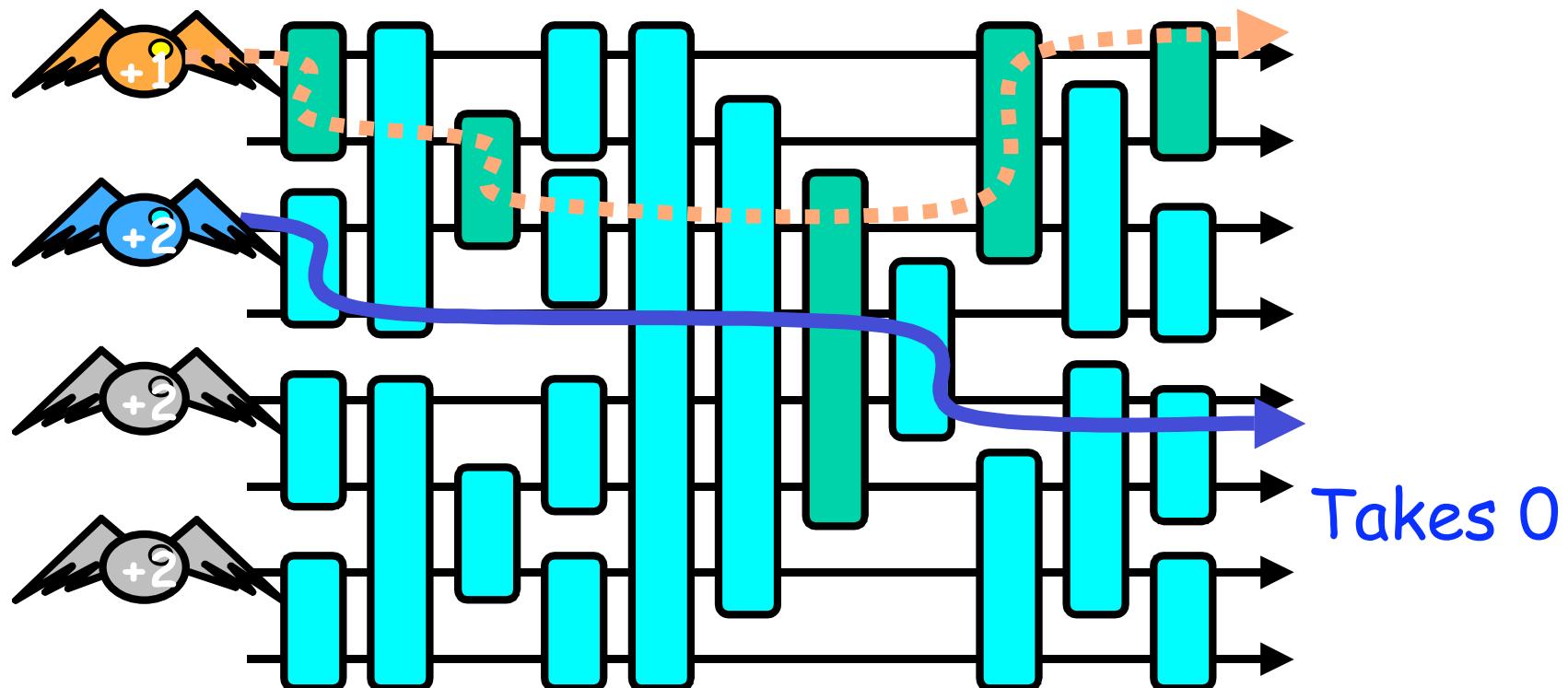


# Claim

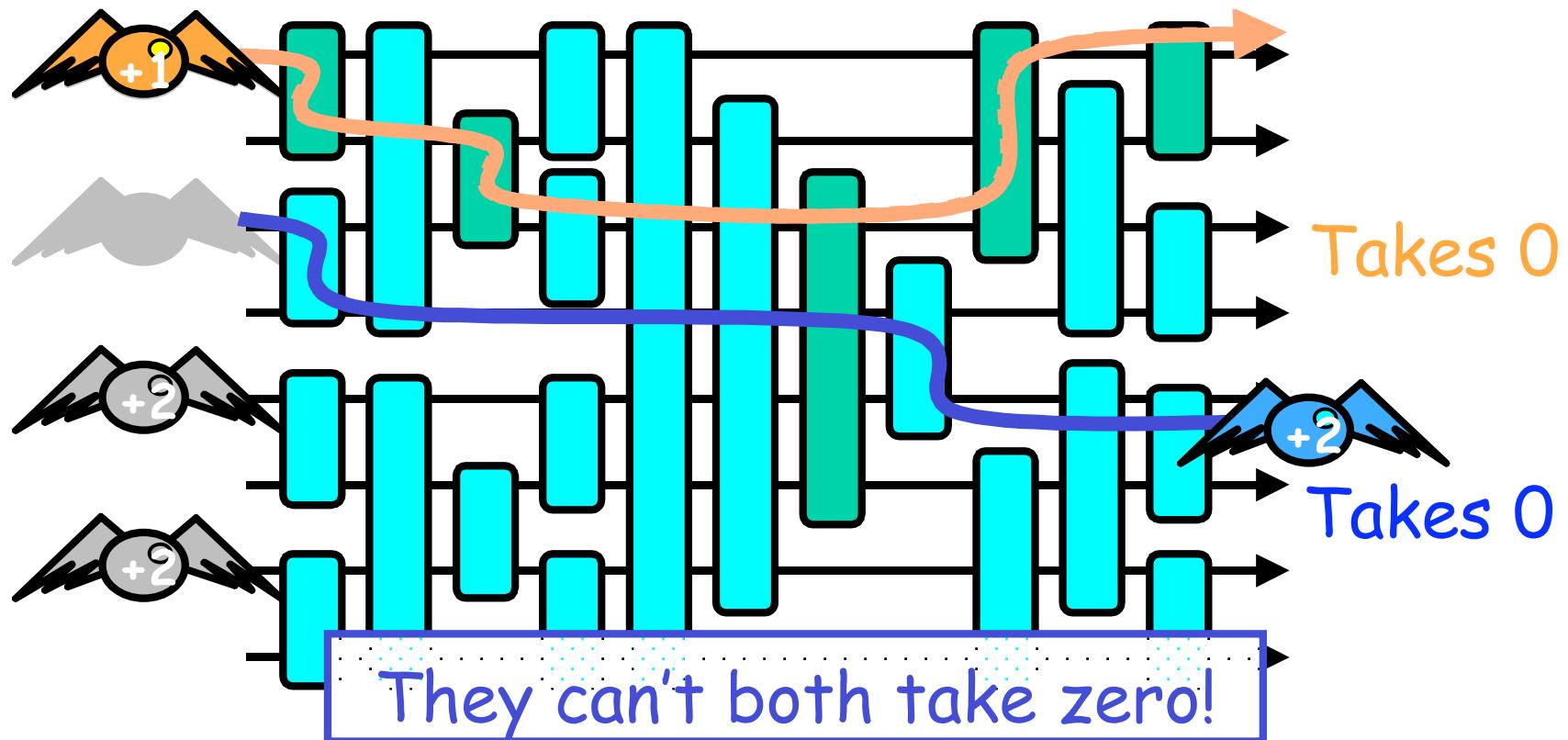
- Look at path of +1 token
- All other +2 tokens must visit some balancer on +1 token's path



# Second Token



# Second Token



# If Second avoids First's Path

- Second token
  - Doesn't observe first
  - First hasn't run
  - Chooses 0
- First token
  - Doesn't observe second
  - Disjoint paths
  - Chooses 0



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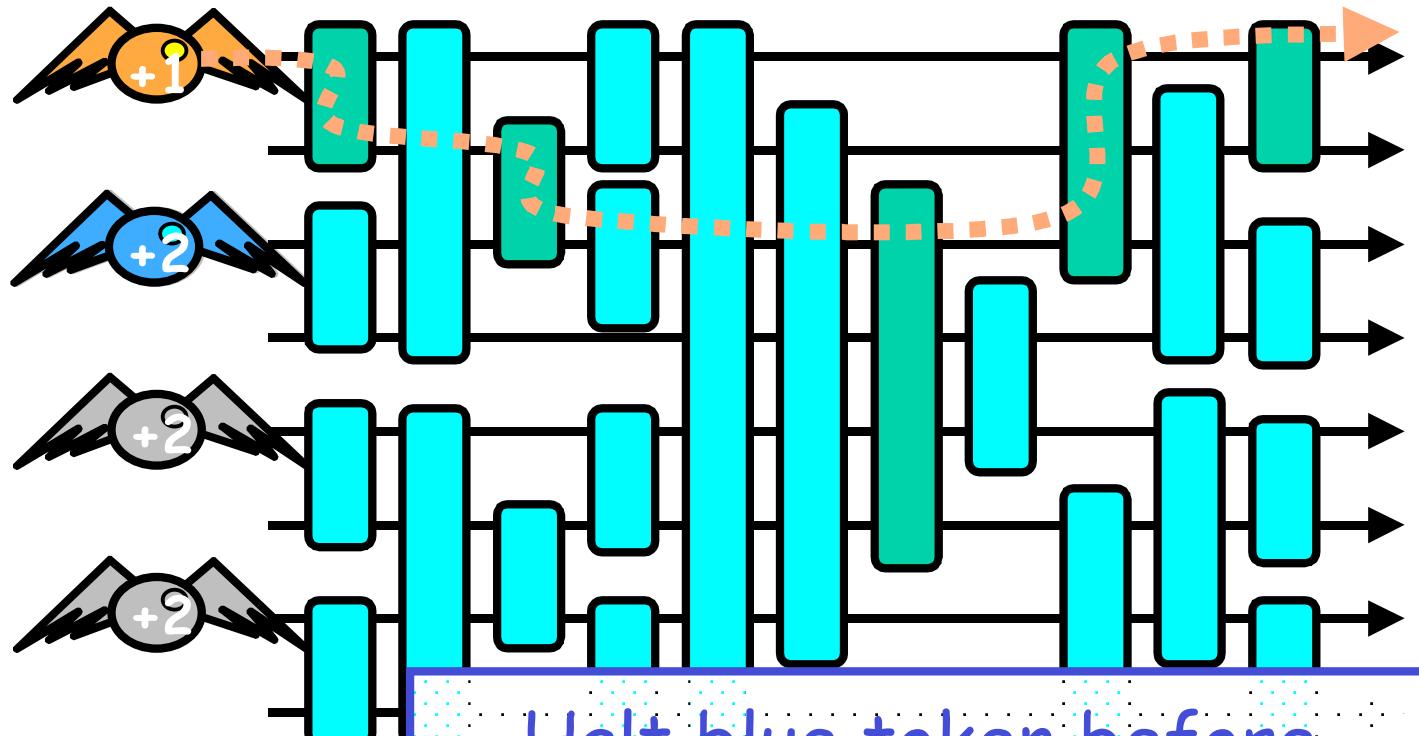
(c) 2003-2005 Herlihy and  
Shavit

# If Second avoids First's Path

- Because +1 token chooses 0
  - It must be ordered first
  - So +2 token ordered second
  - So +2 token should return 1
- Something's wrong!



# Second Token



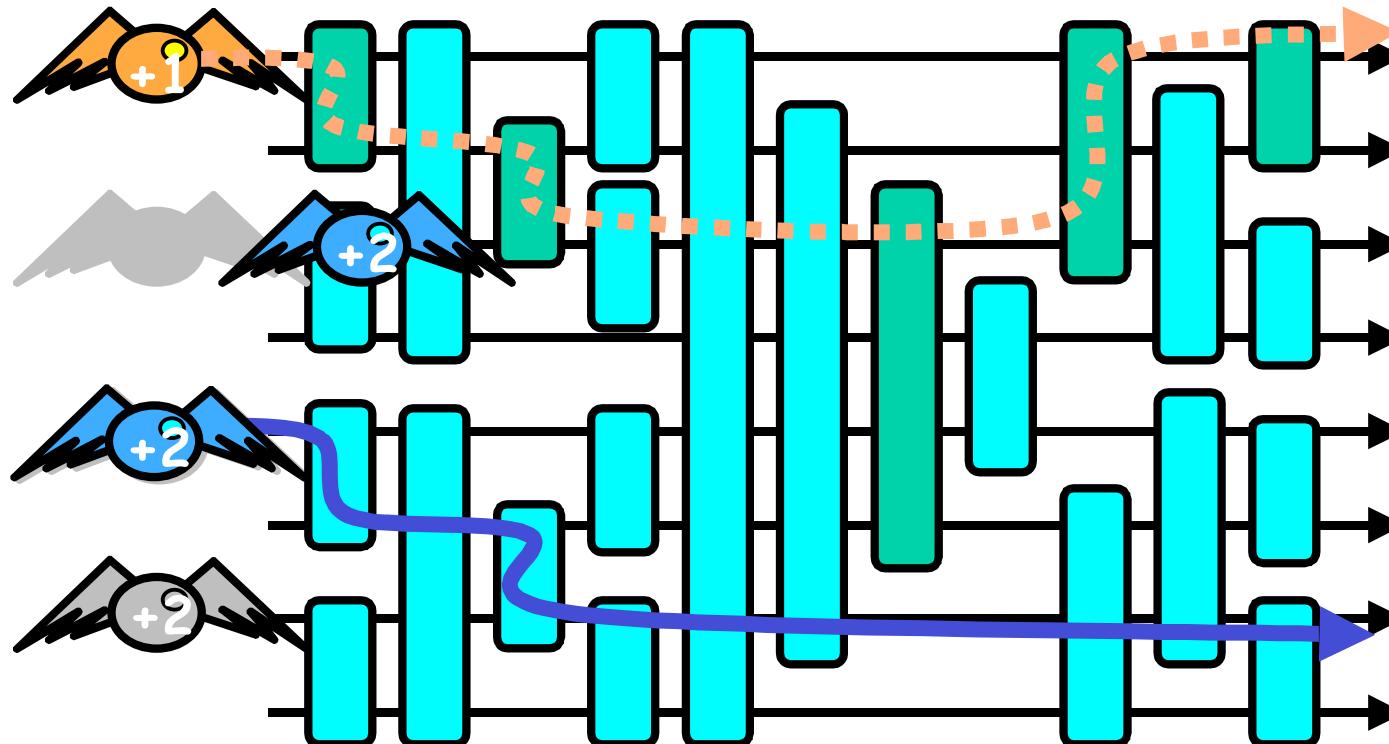
Halt blue token before  
first green balancer



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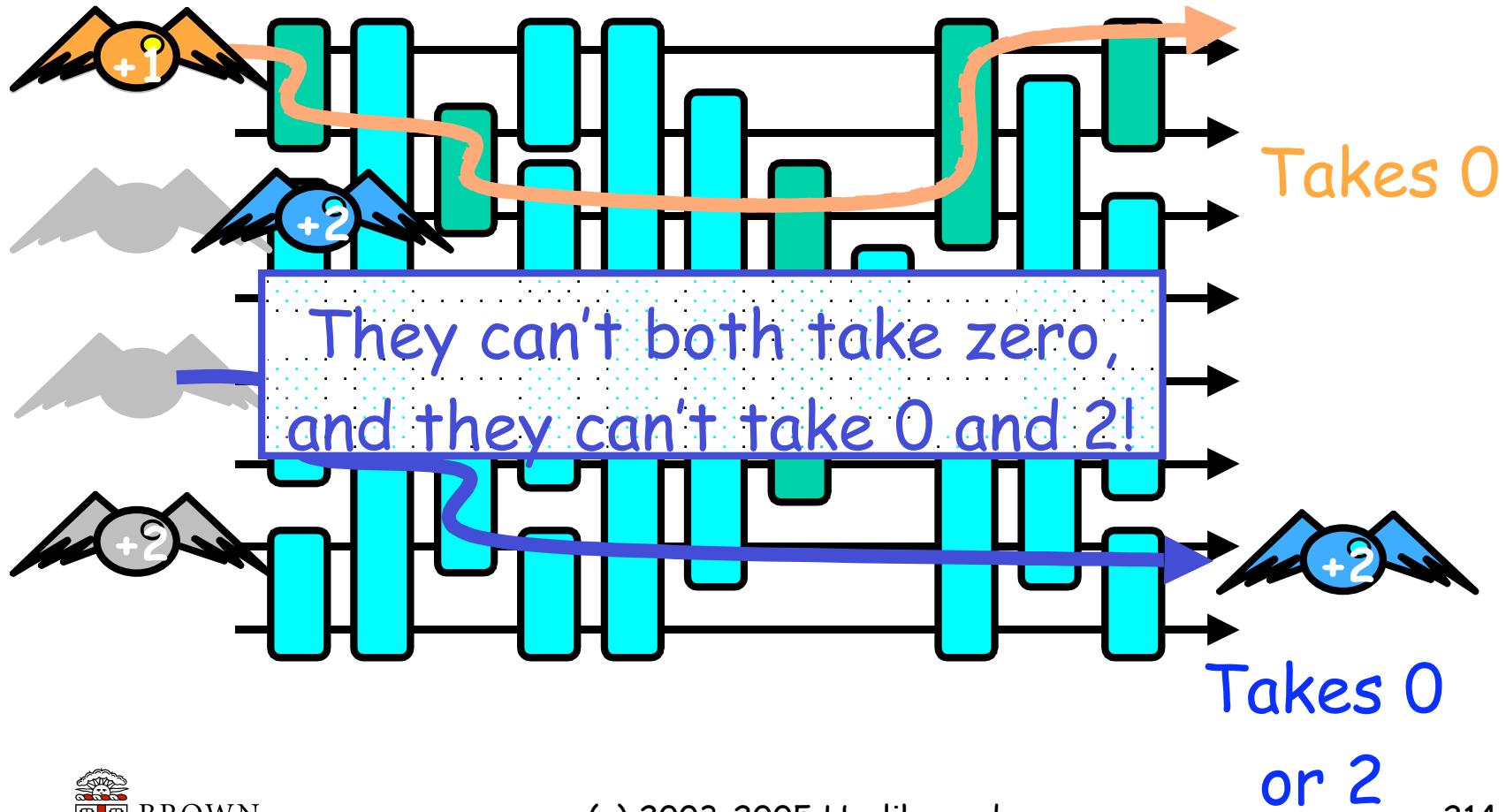
(c) 2003-2005 Herlihy and  
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# Third Token



Takes 0  
or 2

# Third Token



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# First, Second, & Third Tokens must be Ordered

- Third (+2) token
  - Did not observe +1 token
  - May have observed earlier +2 token
  - Takes an even number

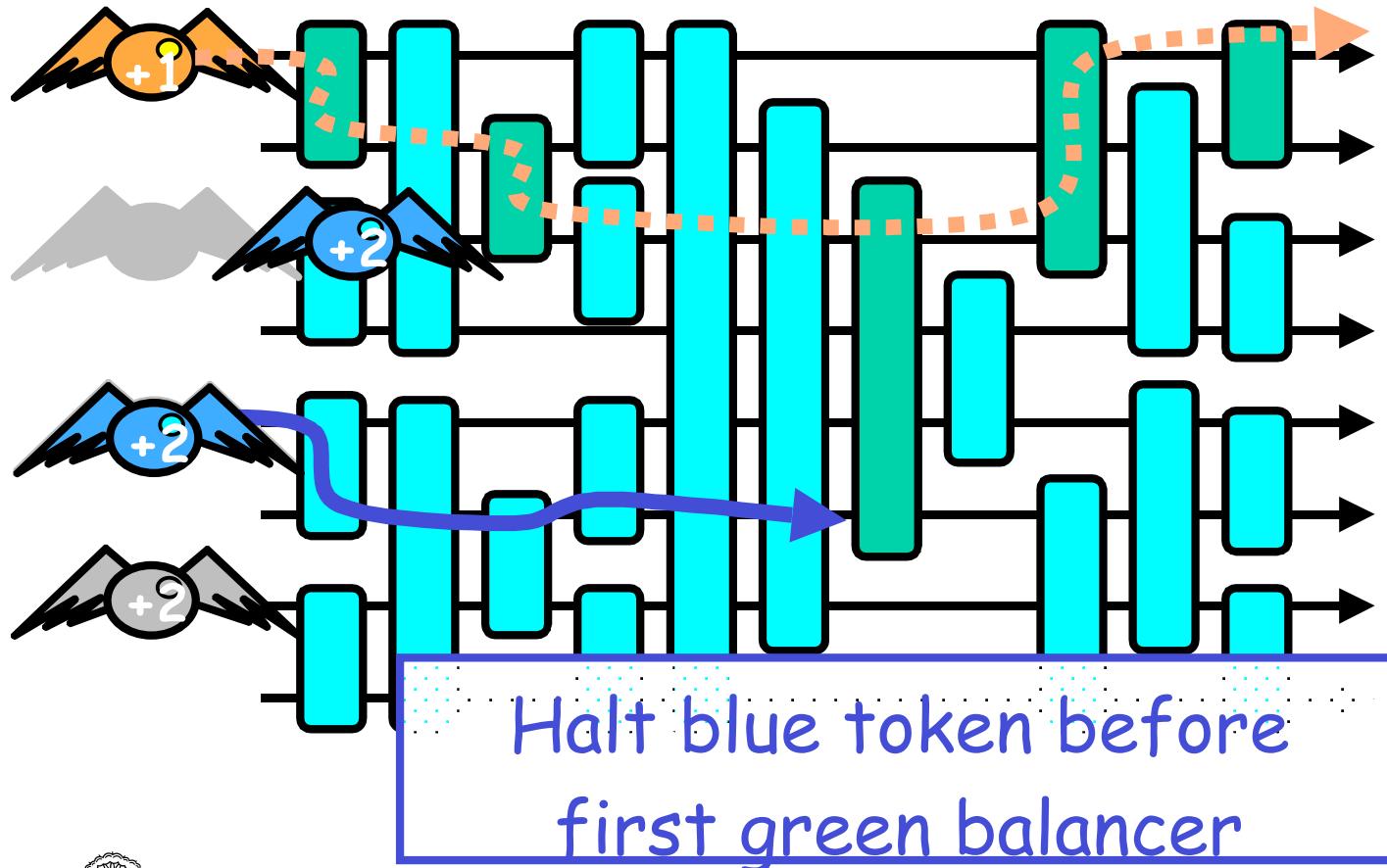


# First, Second, & Third Tokens must be Ordered

- Because +1 token's path is disjoint
  - It chooses 0
  - Ordered first
  - Rest take odd numbers
- But last token takes an even number
- Something's wrong!



# Third Token



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# Continuing in this way

- We can “park” a token
  - In front of a balancer
  - That token #1 will visit
- There are  $n-1$  other tokens
  - Two wires per balancer
  - Path includes  $n-1$  balancers!

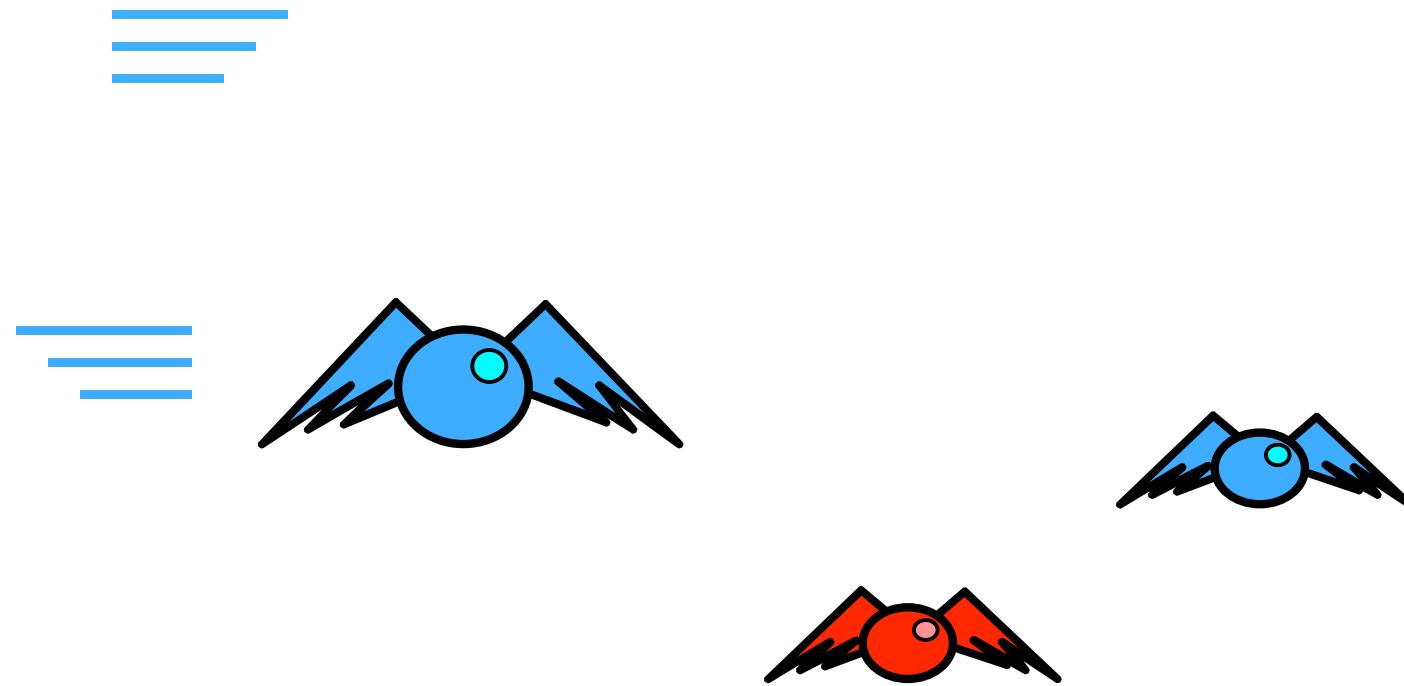


# Theorem

- In any adding network
  - In sequential executions
  - Tokens traverse at least  $n-1$  balancers
- Same arguments apply to
  - Linearizable counting networks
  - Multiplying networks
  - And others



# Clip Art



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