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# Basic Approaches to the Semantics of Computation (BaSC)

## Lecture 5: Rule Induction

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# Well-Founded Induction



Let  $\prec \subseteq A \times A$  be a well-founded relation.

$$\frac{\forall a \in A. s((\forall b \prec a. P(b)) \Rightarrow P(a))}{\forall a \in A. P(a)}$$

- ▶ A general **proof principle**, aka Noetherian induction.
- ▶ Derived from Theorem 4.5, direction **(2)**  $\Rightarrow$  **(1)**.



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- ▶ When proving  $P(a)$  for some  $a$ , we can exploit the assumption  $\forall b \prec a. P(b)$ .
- ▶ A **base case** is any element of  $A$  such that the set  $\{b \in A \mid b \prec a\}$  is empty.



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We can **instantiate the principle**, by choosing specific  $A$  and  $\prec$ .

# An Instance: Structural Induction



- Set:  $A = T_\Sigma$  (closed terms)
- Well-founded relation: **immediate subterm relation**  
 $\prec = \{(t_i, f(t_1, \dots, t_n)) \mid f \in \Sigma_n, i \in [1..n]\}$

$$\frac{\forall a \in A. ((\forall b \prec a. P(b)) \Rightarrow P(a))}{\forall a \in A. P(a)}$$

$\rightsquigarrow$

$$\frac{\forall n \in \mathbb{N}. \forall f \in \Sigma_n. \forall t_1, \dots, t_n. (P(t_1) \wedge \dots \wedge P(t_n)) \Rightarrow P(f(t_1, \dots, t_n))}{\forall t \in T_\Sigma. P(t)}$$

# Structural Induction for Commands



Given the syntax of commands:

$$c \in Com ::= \text{skip} \mid x := a \mid c; c \mid \text{if } b \text{ then } c \text{ else } c \mid \text{while } b \text{ do } c$$

We have that structural induction is as follows:

$$\frac{\begin{array}{l} P(\text{skip}) \quad \forall x, a. P(x := a) \\ \forall c_0, c_1. P(c_0) \wedge P(c_1) \Rightarrow P(c_0; c_1) \\ \forall b, c_0, c_1. P(c_0) \wedge P(c_1) \Rightarrow P(\text{if } b \text{ then } c_0 \text{ else } c_1) \\ \forall b, c. P(c) \Rightarrow P(\text{while } b \text{ do } c) \end{array}}{\forall c \in Com. P(c)}$$

# Determinacy by Structural Induction



## Base Cases

$P(\text{skip})$

$\forall x, a. P(x := a)$

## Inductive Cases

$\forall c_0, c_1. P(c_0) \wedge P(c_1) \Rightarrow P(c_0; c_1)$

$\forall b, c_0, c_1. P(c_0) \wedge P(c_1) \Rightarrow P(\text{if } b \text{ then } c_0 \text{ else } c_1)$

$\forall b, c. P(c) \Rightarrow P(\text{while } b \text{ do } c)$

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$\forall b, c_0, c_1. P(c_0) \wedge P(c_1) \Rightarrow P(\text{if } b \text{ then } c_0 \text{ else } c_1)$

$\forall b, c. P(c) \Rightarrow P(\text{while } b \text{ do } c)$

The case for **while**  $b$  **do**  $c$  fails, due to the recursive definition of its semantics:

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

one of the premises is as complex as the conclusion!



# Where is the Problem?



$$\forall b, c. P(c) \Rightarrow P(\text{while } b \text{ do } c)$$

- Consider arbitrary  $b$  and  $c$ . Our inductive hypothesis:  
 $P(c) \triangleq \forall \sigma, \sigma_1, \sigma_2 \in \mathbb{M}. (\langle c, \sigma \rangle \longrightarrow \sigma_1 \wedge \langle c, \sigma \rangle \longrightarrow \sigma_2) \Rightarrow \sigma_1 = \sigma_2$

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- We want to prove

$$P(\text{while } b \text{ do } c) \triangleq \forall \sigma, \sigma_1, \sigma_2 \in \mathbb{M}.$$

$$(\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_1 \wedge \langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2) \Rightarrow \sigma_1 = \sigma_2$$

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- ▶ Take  $\sigma, \sigma_1, \sigma_2$  such that  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_1$  and  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2$ .  
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- ▶ By **determinacy of boolean expressions**, there are two cases:  $\langle b, \sigma \rangle \longrightarrow \text{tt}$   
and  $\langle b, \sigma \rangle \longrightarrow \text{ff}$ . The issue is when  $\langle b, \sigma \rangle \longrightarrow \text{tt}$ .

# Where is the Problem? (cont.)



- Consider the goal  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_1$ , assuming  $\langle b, \sigma \rangle \longrightarrow \text{tt}$ .

# Where is the Problem? (cont.)



- ▶ Consider the goal  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_1$ , assuming  $\langle b, \sigma \rangle \longrightarrow \text{tt}$ .
- ▶ The only applicable rule is

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

hence  $\sigma_1 = \sigma'_1$  with  $\langle c, \sigma \rangle \longrightarrow \sigma''_1$  and  $\langle \text{while } b \text{ do } c, \sigma''_1 \rangle \longrightarrow \sigma'_1$ .

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- ▶ Similarly, since  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2$ ,  
it must be  $\sigma_2 = \sigma'_2$  with  $\langle c, \sigma \rangle \longrightarrow \sigma''_2$  and  $\langle \text{while } b \text{ do } c, \sigma''_2 \rangle \longrightarrow \sigma'_2$ .

# Where is the Problem? (cont.)



► Consider the goal  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_1$ , assuming  $\langle b, \sigma \rangle \longrightarrow \text{tt}$ .

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hence  $\sigma_1 = \sigma'_1$  with  $\langle c, \sigma \rangle \longrightarrow \sigma''_1$  and  $\langle \text{while } b \text{ do } c, \sigma''_1 \rangle \longrightarrow \sigma'_1$ .

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it must be  $\sigma_2 = \sigma'_2$  with  $\langle c, \sigma \rangle \longrightarrow \sigma''_2$  and  $\langle \text{while } b \text{ do } c, \sigma''_2 \rangle \longrightarrow \sigma'_2$ .

► By the inductive hypothesis  $P(c)$ , we have  $\sigma''_1 = \sigma''_2$ .



# Where is the Problem? (cont.)



- ▶ Consider the goal  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_1$ , assuming  $\langle b, \sigma \rangle \longrightarrow \text{tt}$ .
- ▶ The only applicable rule is

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

hence  $\sigma_1 = \sigma'_1$  with  $\langle c, \sigma \rangle \longrightarrow \sigma''_1$  and  $\langle \text{while } b \text{ do } c, \sigma''_1 \rangle \longrightarrow \sigma'_1$ .

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- ▶ By the inductive hypothesis  $P(c)$ , we have  $\sigma''_1 = \sigma''_2$ .
- ▶ Thus,  $\langle \text{while } b \text{ do } c, \sigma''_2 \rangle \longrightarrow \sigma'_1$  and  $\langle \text{while } b \text{ do } c, \sigma''_2 \rangle \longrightarrow \sigma'_2$ , but  
there is no inductive hypothesis  $P(\text{while } b \text{ do } c)$ !

# A Recursive Definition!



this premise is as complex as the conclusion!

$$\frac{\langle b, \sigma \rangle \longrightarrow \texttt{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \texttt{while } b \texttt{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \texttt{while } b \texttt{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

To prove determinacy we need another induction principle: **rule induction**.

# Derivations

A **logical system** is a set of axioms and inference rules:

$$R = \left\{ \frac{\quad}{z}, \frac{x_1 \quad \cdots \quad x_n}{y}, \dots \right\}$$

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- ▶ either  $d = \left( \frac{}{y} \right)$  is an axiom of  $R$ ;
- ▶ or  $d = \left( \frac{d_1 \cdots d_n}{y} \right)$  for some derivations  $d_1 \Vdash_R x_1, \dots, d_n \Vdash_R x_n$   
such that  $\left( \frac{x_1 \cdots x_n}{y} \right)$  is an inference rule of  $R$ .

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such that  $\left( \frac{x_1 \cdots x_n}{y} \right)$  is an inference rule of  $R$ .

We define  $D_R \triangleq \{d \mid d \Vdash_R y\}$ .

# Immediate Subderivation Relation



$$A = D_R$$

$$\prec = \left\{ \left( d_i, \frac{d_1 \cdots d_n}{y} \right) \mid d_1 \Vdash_R x_1, \dots, d_n \Vdash_R x_n, \left( \frac{x_1 \cdots x_n}{y} \right) \in R \right\}$$

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## Example

$$R = \left\{ \frac{}{N \rightarrow n}, \frac{E_0 \rightarrow n_0 \quad E_1 \rightarrow n_1}{E_0 \oplus E_1 \rightarrow n_0 + n_1}, \frac{E_0 \rightarrow n_0 \quad E_1 \rightarrow n_1}{E_0 \otimes E_1 \rightarrow n_0 \cdot n_1} \right\}$$

$$\frac{}{2 \rightarrow 2} \prec \frac{\frac{}{1 \rightarrow 1} \quad \frac{}{2 \rightarrow 2}}{(1 \oplus 2) \rightarrow 3} \prec \frac{\frac{\frac{}{1 \rightarrow 1} \quad \frac{}{2 \rightarrow 2}}{(1 \oplus 2) \rightarrow 3} \quad \frac{\frac{}{3 \rightarrow 3} \quad \frac{}{4 \rightarrow 4}}{(3 \oplus 4) \rightarrow 7}}{(1 \oplus 2) \otimes (3 \oplus 4) \rightarrow 21}$$

# Measuring Derivations



Let  $\text{height} : D_R \rightarrow \mathbb{N}$  be defined as:

$$\text{height}\left(\frac{\quad}{y}\right) \triangleq 1 \quad \text{if } \left(\frac{\quad}{y}\right) \in R$$

$$\text{height}\left(\frac{d_1, \dots, d_n}{y}\right) \triangleq 1 + \max_{i \in [1, n]} \text{height}(d_i) \quad \text{if } d_1 \Vdash_R x_1, \dots, d_n \Vdash_R x_n, \left(\frac{x_1 \cdots x_n}{y}\right) \in R$$

## Example

$$\text{height}\left(\frac{\quad}{2 \longrightarrow 2}\right) = 1 \quad \text{height}\left(\frac{\frac{1 \longrightarrow 1 \quad 2 \longrightarrow 2}{(1 \oplus 2) \longrightarrow 3}}{\quad}\right) = 2$$



## $\prec$ on Derivations is Well-Founded



- ▶ The measure `height` is useful: to connect  $\prec$  with well-founded relations for  $\mathbb{N}$
- ▶ By definition, if  $d \prec d'$  then `height`( $d$ )  $<$  `height`( $d'$ ).
- ▶ Any descending chain in  $\prec$  induces a descending chain in  $<$
- ▶ Since  $<$  is well-founded so is  $\prec$ .

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Consider  $\prec^+$ , the transitive closure of  $\prec$ . We have, e.g.,

$$\overline{2 \longrightarrow 2} \prec^+ \frac{\overline{1 \longrightarrow 1} \quad \overline{2 \longrightarrow 2} \quad \overline{3 \longrightarrow 3} \quad \overline{4 \longrightarrow 4}}{\overline{(1 \oplus 2) \longrightarrow 3} \quad \overline{(3 \oplus 4) \longrightarrow 7}} \overline{(1 \oplus 2) \otimes (3 \oplus 4) \longrightarrow 21}$$

- ▶ **Corollary:**  $\prec^+$  is well-founded.



Because  $\prec$  is well-founded, we can now instantiate the induction principle!

$$\frac{\forall \left( \frac{x_1 \cdots x_n}{y} \right) \in R. \forall d_i \Vdash_R x_i. (P(d_1) \wedge \cdots \wedge P(d_n)) \Rightarrow P \left( \frac{d_1 \cdots d_n}{y} \right)}{\forall d. P(d)}$$

# A Variant: Rule Induction



Recall:  $I_R \triangleq \{y \mid \Vdash_R y\}$  is the set of all theorems of  $R$ .

$$\frac{\forall \left( \frac{x_1 \cdots x_n}{y} \right) \in R. (\{x_1, \dots, x_n\} \subseteq I_R \wedge P(x_1) \wedge \cdots \wedge P(x_n)) \Rightarrow P(y)}{\forall x \in I_R. P(x)}$$

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$$\frac{\forall \left( \frac{x_1 \cdots x_n}{y} \right) \in R. (\{x_1, \dots, x_n\} \subseteq I_R \wedge P(x_1) \wedge \cdots \wedge P(x_n)) \Rightarrow P(y)}{\forall x \in I_R. P(x)}$$

Having  $\{x_1, \dots, x_n\} \subseteq I_R$  means assuming a derivation  $d_i$  for each theorem  $x_i$ .  
Without this assumption, we have a simplified variant:

$$\frac{\forall \left( \frac{x_1 \cdots x_n}{y} \right) \in R. (P(x_1) \wedge \cdots \wedge P(x_n)) \Rightarrow P(y)}{\forall x \in I_R. P(x)}$$



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Two proof obligations:  $P(0)$  and  $P(n) \Rightarrow P(n + 1)$



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**Properties of formulas**  $P(F) \rightsquigarrow$  **Rule induction**

One proof obligation for each inference rule



# Two Views of Determinacy



Properties of terms  $P(t) \rightsquigarrow$  **Structural induction**

$$P(c) \triangleq \forall \sigma, \sigma_1, \sigma_2 \in \mathbb{M}. (\langle c, \sigma \rangle \longrightarrow \sigma_1 \wedge \langle c, \sigma \rangle \longrightarrow \sigma_2) \Rightarrow \sigma_1 = \sigma_2$$

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# IMP Semantics (Commands)



$$\begin{array}{c} \frac{}{\langle \text{skip}, \sigma \rangle \longrightarrow \sigma} \quad \frac{\langle a, \sigma \rangle \longrightarrow n}{\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]} \quad \frac{\langle c_0, \sigma \rangle \longrightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \longrightarrow \sigma'}{\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma'} \\[1em] \frac{\langle b, \sigma \rangle \longrightarrow \text{ff} \quad \langle c_1, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'} \quad \frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c_0, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'} \\[1em] \frac{\langle b, \sigma \rangle \longrightarrow \text{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma} \quad \frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'} \end{array}$$

- $P(\langle c, \sigma \rangle \longrightarrow \sigma_1) \triangleq \forall \sigma_2 \in \mathbb{M}. \langle c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2$
- $\forall c, \sigma, \sigma_1. P(\langle c, \sigma \rangle \longrightarrow \sigma_1)?$

# Determinacy: Base Case #1



$$\overline{\langle \text{skip}, \sigma \rangle \longrightarrow \sigma}$$

We want to prove

$$P(\langle \text{skip}, \sigma \rangle \longrightarrow \sigma) \triangleq \forall \sigma_2. \langle \text{skip}, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma = \sigma_2$$

Take  $\sigma_2$  such that  $\langle \text{skip}, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma = \sigma_2$ .

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Take  $\sigma_2$  such that  $\langle \text{skip}, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma = \sigma_2$ .

- ▶ Consider the goal  $\langle \text{skip}, \sigma \rangle \longrightarrow \sigma_2$ .
- ▶ Only the rule  $\overline{\langle \text{skip}, \sigma \rangle \longrightarrow \sigma}$  is applicable, hence  $\sigma = \sigma_2$ .

## Determinacy: Base Case #2



$$\frac{\langle a, \sigma \rangle \longrightarrow n}{\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]}$$

We assume  $\langle a, \sigma \rangle \longrightarrow n$ . We want to prove

$$P(\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]) \triangleq \forall \sigma_2. \langle x := a, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma[n/x] = \sigma_2$$

Take  $\sigma_2$  such that  $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma[n/x] = \sigma_2$ .

## Determinacy: Base Case #2

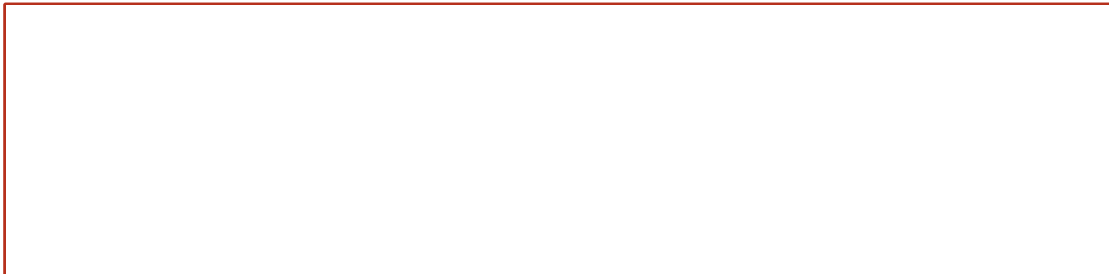


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We assume  $\langle a, \sigma \rangle \longrightarrow n$ . We want to prove

$$P(\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]) \triangleq \forall \sigma_2. \langle x := a, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma[n/x] = \sigma_2$$

Take  $\sigma_2$  such that  $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma[n/x] = \sigma_2$ .



## Determinacy: Base Case #2



$$\frac{\langle a, \sigma \rangle \longrightarrow n}{\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]}$$

We assume  $\langle a, \sigma \rangle \longrightarrow n$ . We want to prove

$$P(\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]) \triangleq \forall \sigma_2. \langle x := a, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma[n/x] = \sigma_2$$

Take  $\sigma_2$  such that  $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma[n/x] = \sigma_2$ .

► Consider the goal  $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$ .



## Determinacy: Base Case #2



$$\frac{\langle a, \sigma \rangle \longrightarrow n}{\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]}$$

We assume  $\langle a, \sigma \rangle \longrightarrow n$ . We want to prove

$$P(\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]) \triangleq \forall \sigma_2. \langle x := a, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma[n/x] = \sigma_2$$

Take  $\sigma_2$  such that  $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma[n/x] = \sigma_2$ .

- ▶ Consider the goal  $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$ .
- ▶ Only the rule  $\frac{\langle a, \sigma \rangle \longrightarrow n}{\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]}$  is applicable, hence  $\sigma_2 = \sigma[n/x]$ , with  $\langle a, \sigma \rangle \longrightarrow n$ .

## Determinacy: Base Case #2



$$\frac{\langle a, \sigma \rangle \longrightarrow n}{\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]}$$

We assume  $\langle a, \sigma \rangle \longrightarrow n$ . We want to prove

$$P(\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]) \triangleq \forall \sigma_2. \langle x := a, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma[n/x] = \sigma_2$$

Take  $\sigma_2$  such that  $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma[n/x] = \sigma_2$ .

- ▶ Consider the goal  $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$ .
- ▶ Only the rule  $\frac{\langle a, \sigma \rangle \longrightarrow n}{\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]}$  is applicable, hence  $\sigma_2 = \sigma[m/x]$ , with  $\langle a, \sigma \rangle \longrightarrow m$ .
- ▶ Since we assumed  $\langle a, \sigma \rangle \longrightarrow n$ , by **determinacy of arithmetic expressions** we have  $n = m$ , and thus  $\sigma_2 = \sigma[m/x] = \sigma[n/x]$ .

# Determinacy: Inductive Case #1



$$\frac{\langle c_0, \sigma \rangle \longrightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \longrightarrow \sigma'}{\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma'}$$

We assume (inductive hypotheses):

$$P(\langle c_0, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma_2''. \langle c_0, \sigma \rangle \longrightarrow \sigma_2'' \Rightarrow \sigma'' = \sigma_2''$$

$$P(\langle c_1, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2'. \langle c_1, \sigma'' \rangle \longrightarrow \sigma_2' \Rightarrow \sigma' = \sigma_2'$$

We want to prove  $P(\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle c_0; c_1, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$ .

Take  $\sigma_2$  such that  $\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma' = \sigma_2$ .

# Determinacy: Inductive Case #1 (cont.)



We assume (inductive hypotheses):

$$P(\langle c_0, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma_2''. \langle c_0, \sigma \rangle \longrightarrow \sigma_2'' \Rightarrow \sigma'' = \sigma_2''$$

$$P(\langle c_1, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2'. \langle c_1, \sigma'' \rangle \longrightarrow \sigma_2' \Rightarrow \sigma' = \sigma_2'$$

# Determinacy: Inductive Case #1 (cont.)



We assume (inductive hypotheses):

$$P(\langle c_0, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma_2''. \langle c_0, \sigma \rangle \longrightarrow \sigma_2'' \Rightarrow \sigma'' = \sigma_2''$$

$$P(\langle c_1, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2'. \langle c_1, \sigma'' \rangle \longrightarrow \sigma_2' \Rightarrow \sigma' = \sigma_2'$$

► Consider the goal  $\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma_2$ .

# Determinacy: Inductive Case #1 (cont.)



We assume (inductive hypotheses):

$$P(\langle c_0, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma_2''. \langle c_0, \sigma \rangle \longrightarrow \sigma_2'' \Rightarrow \sigma'' = \sigma_2''$$

$$P(\langle c_1, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2'. \langle c_1, \sigma'' \rangle \longrightarrow \sigma_2' \Rightarrow \sigma' = \sigma_2'$$

- ▶ Consider the goal  $\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma_2$ .
- ▶ Only the rule 
$$\frac{\langle c_0, \sigma \rangle \longrightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \longrightarrow \sigma'}{\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma'}$$
 is applicable, hence  $\sigma_2 = \sigma_2'$ , with  $\langle c_0, \sigma \rangle \longrightarrow \sigma_2''$  and  $\langle c_1, \sigma_2'' \rangle \longrightarrow \sigma_2'$ .

# Determinacy: Inductive Case #1 (cont.)



We assume (inductive hypotheses):

$$P(\langle c_0, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma_2''. \langle c_0, \sigma \rangle \longrightarrow \sigma_2'' \Rightarrow \sigma'' = \sigma_2''$$

$$P(\langle c_1, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2'. \langle c_1, \sigma'' \rangle \longrightarrow \sigma_2' \Rightarrow \sigma' = \sigma_2'$$

► Consider the goal  $\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma_2$ .

► Only the rule 
$$\frac{\langle c_0, \sigma \rangle \longrightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \longrightarrow \sigma'}{\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma'}$$
 is applicable, hence

$\sigma_2 = \sigma_2'$ , with  $\langle c_0, \sigma \rangle \longrightarrow \sigma_2''$  and  $\langle c_1, \sigma_2'' \rangle \longrightarrow \sigma_2'$ .

► By IH  $P(\langle c_0, \sigma \rangle \longrightarrow \sigma'')$ , we have  $\sigma'' = \sigma_2''$  and thus  $\langle c_1, \sigma'' \rangle \longrightarrow \sigma_2'$ .

# Determinacy: Inductive Case #1 (cont.)



We assume (inductive hypotheses):

$$P(\langle c_0, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma_2''. \langle c_0, \sigma \rangle \longrightarrow \sigma_2'' \Rightarrow \sigma'' = \sigma_2''$$

$$P(\langle c_1, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2'. \langle c_1, \sigma'' \rangle \longrightarrow \sigma_2' \Rightarrow \sigma' = \sigma_2'$$

- ▶ Consider the goal  $\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma_2$ .
- ▶ Only the rule 
$$\frac{\langle c_0, \sigma \rangle \longrightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \longrightarrow \sigma'}{\langle c_0; c_1, \sigma \rangle \longrightarrow \sigma'}$$
 is applicable, hence  $\sigma_2 = \sigma_2'$ , with  $\langle c_0, \sigma \rangle \longrightarrow \sigma_2''$  and  $\langle c_1, \sigma_2'' \rangle \longrightarrow \sigma_2'$ .
- ▶ By IH  $P(\langle c_0, \sigma \rangle \longrightarrow \sigma'')$ , we have  $\sigma'' = \sigma_2''$  and thus  $\langle c_1, \sigma'' \rangle \longrightarrow \sigma_2'$ .
- ▶ By IH  $P(\langle c_1, \sigma'' \rangle \longrightarrow \sigma')$ , we have  $\sigma' = \sigma_2'$  and we conclude:  $\sigma' = \sigma_2$ .



## Determinacy: Inductive Case #2



$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff} \quad \langle c_1, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'}$$

We assume  $\langle b, \sigma \rangle \longrightarrow \text{ff}$  and the inductive hypothesis:

$$P(\langle c_1, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle c_1, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

We want to prove

$$P(\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2.$$

Take  $\sigma_2$  such that  $\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that

$$\sigma' = \sigma_2.$$

# Determinacy: Inductive Case #2 (cont.)



We assume:

$$\langle b, \sigma \rangle \longrightarrow \text{ff}$$

$$P(\langle c_1, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle c_1, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

# Determinacy: Inductive Case #2 (cont.)



We assume:

$$\langle b, \sigma \rangle \longrightarrow \text{ff}$$

$$P(\langle c_1, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle c_1, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

► Consider the goal  $\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma_2$ .

## Determinacy: Inductive Case #2 (cont.)



We assume:

$$\langle b, \sigma \rangle \longrightarrow \text{ff}$$

$$P(\langle c_1, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle c_1, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

- ▶ Consider the goal  $\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma_2$ .
- ▶ By determinacy of boolean expressions, only the rule 
$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff} \quad \langle c_1, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'}$$
 applies, hence  $\sigma_2 = \sigma'_2$ , with  $\langle c_1, \sigma \rangle \longrightarrow \sigma'_2$ .

## Determinacy: Inductive Case #2 (cont.)



We assume:

$$\langle b, \sigma \rangle \longrightarrow \text{ff}$$

$$P(\langle c_1, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle c_1, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

- ▶ Consider the goal  $\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma_2$ .
- ▶ By determinacy of boolean expressions, only the rule 
$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff} \quad \langle c_1, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'}$$
 applies, hence  $\sigma_2 = \sigma'_2$ , with  $\langle c_1, \sigma \rangle \longrightarrow \sigma'_2$ .
- ▶ By IH  $P(\langle c_1, \sigma \rangle \longrightarrow \sigma')$ , we then have  $\sigma' = \sigma'_2 = \sigma_2$ , and we are done.

## Determinacy: Inductive Case #3



$$\frac{\langle b, \sigma \rangle \longrightarrow tt \quad \langle c_0, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'}$$

This case is analogous to the previous one.

## Determinacy: Base Case #3



$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma}$$

We assume  $\langle b, \sigma \rangle \longrightarrow \text{ff}$ . We want to prove

$$P(\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma) \triangleq \forall \sigma_2. \langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma = \sigma_2$$

Take  $\sigma_2$  such that  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma = \sigma_2$ .

# Determinacy: Base Case #3



$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma}$$

We assume  $\langle b, \sigma \rangle \longrightarrow \text{ff}$ . We want to prove

$$P(\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma) \triangleq \forall \sigma_2. \langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma = \sigma_2$$

Take  $\sigma_2$  such that  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma = \sigma_2$ .

- ▶ Consider the goal  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2$ .
- ▶ By **determinacy of boolean expressions**, only the rule

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma} \text{ is applicable, hence } \sigma_2 = \sigma.$$



# Determinacy: Inductive Case #4



$$\frac{\langle b, \sigma \rangle \longrightarrow \texttt{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \texttt{while } b \texttt{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \texttt{while } b \texttt{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

We assume  $\langle b, \sigma \rangle \longrightarrow \texttt{tt}$  and the inductive hypotheses:

$$P(\langle c, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma_2''. \langle c, \sigma \rangle \longrightarrow \sigma_2'' \Rightarrow \sigma'' = \sigma_2''$$

$$P(\langle \texttt{while } b \texttt{ do } c, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2'. \langle \texttt{while } b \texttt{ do } c, \sigma'' \rangle \longrightarrow \sigma_2' \Rightarrow \sigma' = \sigma_2'$$

We want to prove

$$P(\langle \texttt{while } b \texttt{ do } c, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle \texttt{while } b \texttt{ do } c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2.$$

Take  $\sigma_2$  such that  $\langle \texttt{while } b \texttt{ do } c, \sigma \rangle \longrightarrow \sigma_2$ . We want to prove that  $\sigma' = \sigma_2$ .

# Determinacy: Inductive Case #4 (cont.)



We assume  $\langle b, \sigma \rangle \longrightarrow \text{tt}$  and the inductive hypotheses:

$$P(\langle c, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma_2'' . \langle c, \sigma \rangle \longrightarrow \sigma_2'' \quad \Rightarrow \quad \sigma'' = \sigma_2''$$

$$P(\langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2' . \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma_2' \quad \Rightarrow \quad \sigma' = \sigma_2'$$

# Determinacy: Inductive Case #4 (cont.)



We assume  $\langle b, \sigma \rangle \longrightarrow \text{tt}$  and the inductive hypotheses:

$$P(\langle c, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma_2'' . \langle c, \sigma \rangle \longrightarrow \sigma_2'' \quad \Rightarrow \quad \sigma'' = \sigma_2''$$

$$P(\langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2' . \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma_2' \quad \Rightarrow \quad \sigma' = \sigma_2'$$

- ▶ Consider the goal  $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2$ .
- ▶ By determinacy of boolean expressions, only the rule
$$\frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$
is applicable, hence  $\sigma_2 = \sigma_2'$ , with  $\langle c, \sigma \rangle \longrightarrow \sigma_2''$  and  $\langle \text{while } b \text{ do } c, \sigma_2'' \rangle \longrightarrow \sigma_2'$ .
- ▶ By IH  $P(\langle c, \sigma \rangle \longrightarrow \sigma'')$ ,  $\sigma'' = \sigma_2''$  thus  $\langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma_2'$ .
- ▶ By IH  $P(\langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma')$ ,  $\sigma' = \sigma_2'$  and we conclude  $\sigma' = \sigma_2$ .
- ▶ This concludes the case (and the proof of determinacy).



The End