

The following slides have been adapted from the material of
the course

Principles for Software Composition

<http://didawiki.di.unipi.it/doku.php/magistraleinformatica/psc/start>

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w.f. induction principle

a w.f. relation $\prec \subseteq A \times A$

$$\frac{\forall a \in A. (\ (\forall b \prec a. P(b)) \Rightarrow P(a))}{\forall a \in A. P(a)}$$

Advantage: when proving $P(a)$ for a generic a ,
we can exploit the assumption $\forall b \prec a. P(b)!$

Weak mathematical induction principle

$$\frac{P(0) \quad \forall n \in \mathbb{N}. (\ P(n) \Rightarrow P(n + 1) \)}{\forall n \in \mathbb{N}. P(n)}$$

Weak: we can exploit $P(n)$,
for proving $P(n + 1)$!

Strong mathematical induction

$$\frac{P(0) \quad \forall n \in \mathbb{N}. \left((P(0) \wedge \dots \wedge P(n)) \Rightarrow P(n+1) \right)}{\forall n \in \mathbb{N}. P(n)}$$

Strong: we can exploit more hypotheses than $P(n)$,
for proving $P(n + 1)$!

Structural induction principle

$$\frac{\forall n \in \mathbb{N}. \forall f \in \Sigma_n. \forall t_1, \dots, t_n \in T_\Sigma. (P(t_1) \wedge \dots \wedge P(t_n)) \Rightarrow P(f(t_1, \dots, t_n))}{\forall t \in T_\Sigma. P(t)}$$

Arithmetic expressions

[IMP]

$$a ::= x \mid n \mid a \text{ op } a$$

$$x \in \text{Ide} \quad \text{op} \in \{+, \times, -\}$$

$$n \in \mathbb{Z} \quad \mathbb{M} \stackrel{\Delta}{=} \{\sigma \mid \sigma : \text{Ide} \rightarrow \mathbb{Z}\}$$

$$\frac{}{\langle x, \sigma \rangle \longrightarrow \sigma(x)}$$

$$\frac{}{\langle n, \sigma \rangle \longrightarrow n}$$

$$\frac{\langle a_0, \sigma \rangle \longrightarrow \textcolor{blue}{n}_0 \quad \langle a_1, \sigma \rangle \longrightarrow \textcolor{blue}{n}_1}{\langle a_0 \text{ op } a_1, \sigma \rangle \longrightarrow \textcolor{blue}{n}_0 \text{ op } \textcolor{blue}{n}_1}$$

Arithmetic expressions

[IMP]

$$a ::= x \mid n \mid a \text{ op } a$$

$$x \in \text{Ide} \quad \text{op} \in \{+, \times, -\}$$

$$n \in \mathbb{Z} \quad \mathbb{M} \triangleq \{\sigma \mid \sigma : \text{Ide} \rightarrow \mathbb{Z}\}$$

$$\frac{}{\langle x, \sigma \rangle \longrightarrow \sigma(x)} \quad \frac{}{\langle n, \sigma \rangle \longrightarrow n} \quad \frac{\langle a_0, \sigma \rangle \longrightarrow n_0 \quad \langle a_1, \sigma \rangle \longrightarrow n_1}{\langle a_0 \text{ op } a_1, \sigma \rangle \longrightarrow n_0 \text{ op } n_1}$$

Termination: $P(a) \triangleq \forall \sigma \in \mathbb{M}. \exists m \in \mathbb{Z}. \langle a, \sigma \rangle \longrightarrow m$

$$\forall a. P(a) ?$$

Structural induction principle

$$\frac{\forall x \in \text{Ide. } P(x) \quad \forall n \in \mathbb{Z}. \ P(n) \\ \forall a_0, a_1. \ P(a_0) \wedge P(a_1) \Rightarrow P(a_0 \text{ op } a_1)}{\forall a. \ P(a)}$$

Termination: $P(a) \triangleq \forall \sigma \in \mathbb{M}. \ \exists \textcolor{blue}{m} \in \mathbb{Z}. \ \langle a, \sigma \rangle \longrightarrow \textcolor{blue}{m}$

$$\forall a. \ P(a)$$

Determinacy by structural induction

Arithmetic expressions

[IMP]

$$a ::= x \mid n \mid a \text{ op } a$$

$$x \in \text{Ide} \quad \text{op} \in \{+, \times, -\}$$

$$n \in \mathbb{Z} \quad \mathbb{M} \triangleq \{\sigma \mid \sigma : \text{Ide} \rightarrow \mathbb{Z}\}$$

$$\frac{}{\langle x, \sigma \rangle \longrightarrow \sigma(x)} \quad \frac{}{\langle n, \sigma \rangle \longrightarrow n}$$

$$\frac{\langle a_0, \sigma \rangle \longrightarrow \textcolor{blue}{n}_0 \quad \langle a_1, \sigma \rangle \longrightarrow \textcolor{blue}{n}_1}{\langle a_0 \text{ op } a_1, \sigma \rangle \longrightarrow \textcolor{blue}{n}_0 \text{ op } \textcolor{blue}{n}_1}$$

Determinacy:

$$P(a) \triangleq \forall \sigma \in \mathbb{M}. \forall \textcolor{blue}{m}, m' \in \mathbb{Z}. \langle a, \sigma \rangle \longrightarrow \textcolor{blue}{m} \wedge \langle a, \sigma \rangle \longrightarrow m' \Rightarrow m = m'$$

$$\forall a. P(a) ?$$

Structural induction principle

$$\frac{\forall x \in \text{Ide. } P(x) \quad \forall n \in \mathbb{Z}. P(n) \quad \forall a_0, a_1. P(a_0) \wedge P(a_1) \Rightarrow P(a_0 \text{ op } a_1)}{\forall a. P(a)}$$

Determinacy:

$$P(a) \triangleq \forall \sigma \in \mathbb{M}. \forall m, m' \in \mathbb{Z}. \langle a, \sigma \rangle \longrightarrow m \wedge \langle a, \sigma \rangle \longrightarrow m' \Rightarrow m = m'$$

$$\forall a. P(a) ?$$

Inductive case

$$\forall a_0, a_1. P(a_0) \wedge P(a_1) \Rightarrow P(a_0 \text{ op } a_1)$$

Take generic a_0, a_1

We assume

(inductive hypotheses)

$$P(a_i) \triangleq \forall \sigma, m_i, m'_i. \langle a_i, \sigma \rangle \rightarrow m_i \wedge \langle a_i, \sigma \rangle \rightarrow m'_i \Rightarrow m_i = m'_i$$

We want to prove

$$P(a_0 \text{ op } a_1) \triangleq \forall \sigma, m, m'. \langle a_0 \text{ op } a_1, \sigma \rangle \rightarrow m \wedge \langle a_0 \text{ op } a_1, \sigma \rangle \rightarrow m' \Rightarrow m = m'$$

Take generic σ, m, m' such that $\langle a_0 \text{ op } a_1, \sigma \rangle \rightarrow m$ and $\langle a_0 \text{ op } a_1, \sigma \rangle \rightarrow m'$

We want to prove $m = m'$

Inductive case (ctd)

Consider the goal $\langle a_0 \text{ op } a_1, \sigma \rangle \longrightarrow m$

Only the rule
$$\frac{\langle a_0, \sigma \rangle \longrightarrow n_0 \quad \langle a_1, \sigma \rangle \longrightarrow n_1}{\langle a_0 \text{ op } a_1, \sigma \rangle \longrightarrow n_0 \text{ op } n_1}$$
 is applicable

hence $m = n_0 \text{ op } n_1$ with $\langle a_0, \sigma \rangle \longrightarrow n_0$ and $\langle a_1, \sigma \rangle \longrightarrow n_1$

Similarly, since $\langle a_0 \text{ op } a_1, \sigma \rangle \longrightarrow m'$

it must be $m' = n'_0 \text{ op } n'_1$ with $\langle a_0, \sigma \rangle \longrightarrow n'_0$ and $\langle a_1, \sigma \rangle \longrightarrow n'_1$

By inductive hypotheses, $n_0 = n'_0$ and $n_1 = n'_1$

and thus we conclude $m = n_0 \text{ op } n_1 = n'_0 \text{ op } n'_1 = m'$

The full language IMP

Boolean expressions

$$a ::= x \mid n \mid a \text{ op } a$$

$$b ::= v \mid a \text{ cmp } a \mid \neg b \mid b \text{ bop } b$$

$$\frac{}{\langle x, \sigma \rangle \longrightarrow \sigma(x)} \quad \frac{}{\langle n, \sigma \rangle \longrightarrow n}$$

$$\frac{\langle a_0, \sigma \rangle \longrightarrow n_0 \quad \langle a_1, \sigma \rangle \longrightarrow n_1}{\langle a_0 \text{ op } a_1, \sigma \rangle \longrightarrow n_0 \text{ op } n_1}$$

$$\frac{}{\langle v, \sigma \rangle \longrightarrow v} \quad \frac{\langle b, \sigma \rangle \longrightarrow v}{\langle \neg b, \sigma \rangle \longrightarrow \neg v}$$

$$\frac{\langle a_0, \sigma \rangle \longrightarrow n_0 \quad \langle a_1, \sigma \rangle \longrightarrow n_1}{\langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow n_0 \text{ cmp } n_1}$$

$$\frac{\langle b_0, \sigma \rangle \longrightarrow v_0 \quad \langle b_1, \sigma \rangle \longrightarrow v_1}{\langle b_0 \text{ bop } b_1, \sigma \rangle \longrightarrow v_0 \text{ bop } v_1}$$

$$P(b) \triangleq \forall \sigma \in \mathbb{M}. \exists v \in \mathbb{B}. \langle b, \sigma \rangle \longrightarrow v$$

A surprising base case

$\forall a_0, a_1. P(a_0 \text{ cmp } a_1)$

Take generic a_0, a_1

We want to prove $P(a_0 \text{ cmp } a_1) \triangleq \forall \sigma. \exists v. \langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow v$

Consider the goal $\langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow v$

By rule $\frac{\langle a_0, \sigma \rangle \longrightarrow n_0 \quad \langle a_1, \sigma \rangle \longrightarrow n_1}{\langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow n_0 \text{ cmp } n_1}$ we have

$\langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow v \xleftarrow[v=n_0 \text{ cmp } n_1]{} \langle a_0, \sigma \rangle \longrightarrow n_0, \langle a_1, \sigma \rangle \longrightarrow n_1$

By **termination of arithmetic expressions**, such n_0, n_1 exist

And we are done (taking $v = n_0 \text{ cmp } n_1$)

The Language IMP: Commands

Commands of IMP

$$a ::= x \mid n \mid a + a \mid \dots$$

$$b ::= v \mid a \leq a \mid \dots$$

$$c ::= \text{skip} \mid x := a \mid c; c \mid \text{if } b \text{ then } c \text{ else } c \mid \text{while } b \text{ do } c$$

$$S \triangleq \{\text{Aexp}, \text{Bexp}, \text{Com}\}$$

$$\Sigma_{\epsilon, \text{Aexp}} \triangleq \text{Ide} \cup \mathbb{Z}$$

$$\Sigma_{\epsilon, \text{Bexp}} \triangleq \mathbb{B}$$

$$\Sigma_{\text{Bexp}, \text{Bexp}} \triangleq \{\neg\}$$

$$\Sigma_{\epsilon, \text{Com}} \triangleq \{\text{skip}\}$$

$$\Sigma_{\text{ComCom}, \text{Com}} \triangleq \{ ; \}$$

$$\Sigma_{\text{AexpAexp}, \text{Aexp}} \triangleq \{+, \times, -\}$$

$$\Sigma_{\text{AexpAexp}, \text{Bexp}} \triangleq \{<, \leq, >, \geq, =, \neq\}$$

$$\Sigma_{\text{BexpBexp}, \text{Bexp}} \triangleq \{\wedge, \vee\}$$

$$\Sigma_{\text{Aexp}, \text{Com}} \triangleq \{x := \mid x \in \text{Ide}\}$$

$$\Sigma_{\text{BexpComCom}, \text{Com}} \triangleq \{ \text{if } \}$$

$$\Sigma_{\text{BexpCom}, \text{Com}} \triangleq \{ \text{while } \}$$

Memories

$$\mathbb{M} \triangleq \{ \sigma : \text{Ide} \rightarrow \mathbb{Z} \mid \sigma \text{ has finite support} \}$$

$\{x \in \text{Ide} \mid \sigma(x) \neq 0\}$ is finite

$$(n_1/x_1, \dots, n_k/x_k) : \text{Ide} \rightarrow \mathbb{Z}$$

all different

$$(n_1/x_1, \dots, n_k/x_k)(x) \triangleq \begin{cases} n_i & \text{if } x = x_i \\ 0 & \text{otherwise} \end{cases}$$

$\sigma_0 \triangleq ()$ is the typical initial memory

Memory updates

$$\sigma[n/y](x) \triangleq \begin{cases} n & \text{if } x = y \\ \sigma(x) & \text{otherwise} \end{cases}$$

$$\forall \sigma, m, n, y. \ \sigma[m/y][n/y] = \sigma[n/y]$$

$$\sigma[m/y][n/y](x) \triangleq \begin{cases} n & \text{if } x = y \\ \sigma[m/y](x) = \sigma(x) & \text{otherwise} \end{cases}$$

$$\forall \sigma, m, n, y, z. \ y \neq z \Rightarrow \sigma[n/y][m/z] = \sigma[m/z][n/y]$$

we write $\sigma[n/y, m/z]$ in such cases

$$(n_1/x_1, \dots, n_k/x_k) = \sigma_0[n_1/x_1, \dots, n_k/x_k]$$

Semantics of commands

$c ::= \text{skip} \mid x := a \mid c; c \mid \text{if } b \text{ then } c \text{ else } c \mid \text{while } b \text{ do } c$

$$\frac{}{\langle \text{skip}, \sigma \rangle \longrightarrow \sigma} \quad \frac{\langle a, \sigma \rangle \longrightarrow \text{ff}}{\langle x := a, \sigma \rangle \longrightarrow \sigma[\text{ff}/x]} \quad \frac{\langle c_0, \sigma \rangle \longrightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \longrightarrow \sigma'}{\langle c_0 ; c_1, \sigma \rangle \longrightarrow \sigma'}$$

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff} \quad \langle c_1, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'} \quad \frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c_0, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'}$$

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma} \quad \frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

Does termination hold for IMP?
What about determinancy?

Recursive definition!



one of the premises is as complex as the conclusion

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

Semantics of commands

$$c ::= \text{skip} \mid x := a \mid c; c \mid \text{if } b \text{ then } c \text{ else } c \mid \text{while } b \text{ do } c$$

$$\frac{}{\langle \text{skip}, \sigma \rangle \longrightarrow \sigma} \quad \frac{\langle a, \sigma \rangle \longrightarrow \textcolor{blue}{n}}{\langle x := a, \sigma \rangle \longrightarrow \sigma[\textcolor{blue}{n}/x]} \quad \frac{\langle c_0, \sigma \rangle \longrightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \longrightarrow \sigma'}{\langle c_0 ; c_1, \sigma \rangle \longrightarrow \sigma'}$$

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff} \quad \langle c_1, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'} \quad \frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c_0, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'}$$

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma} \quad \frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

Determinacy:

$$P(c) \triangleq \forall \sigma, \sigma_1, \sigma_2. \langle c, \sigma \rangle \longrightarrow \sigma_1 \wedge \langle c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2 \quad \forall c. P(c) ?$$

Structural induction principle

$$\frac{\begin{array}{c} \forall x, a. P(x := a) \qquad \qquad P(\text{skip}) \\ \forall c_0, c_1. P(c_0) \wedge P(c_1) \Rightarrow P(c_0 ; c_1) \\ \forall b, c_0, c_1. P(c_0) \wedge P(c_1) \Rightarrow P(\text{if } b \text{ then } c_0 \text{ else } c_1) \\ \forall b, c. P(c) \Rightarrow P(\text{while } b \text{ do } c) \end{array}}{\forall c \in \text{Com}. P(c)}$$

Base case

$\forall x, a. P(x := a)$

Take generic $x \in \text{Ide}, a \in \text{Aexp}$

We want to prove

$$P(x := a) \triangleq \forall \sigma, \sigma_1, \sigma_2. \langle x := a, \sigma \rangle \rightarrow \sigma_1 \wedge \langle x := a, \sigma \rangle \rightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2$$

Take generic $\sigma, \sigma_1, \sigma_2$ s.t. $\langle x := a, \sigma \rangle \rightarrow \sigma_1$ and $\langle x := a, \sigma \rangle \rightarrow \sigma_2$

We want to prove $\sigma_1 = \sigma_2$

Consider the goal $\langle x := a, \sigma \rangle \rightarrow \sigma_1$

Only the rule $\frac{\langle a, \sigma \rangle \rightarrow n}{\langle x := a, \sigma \rangle \rightarrow \sigma[n/x]}$ is applicable, hence $\sigma_1 = \sigma[n/x]$
with $\langle a, \sigma \rangle \rightarrow n$

Similarly, since $\langle x := a, \sigma \rangle \rightarrow \sigma_2$ it must be $\sigma_2 = \sigma[m/x]$
with $\langle a, \sigma \rangle \rightarrow m$

by determinacy of Aexp we have $n = m$ and thus $\sigma_1 = \sigma_2$

Inductive case

$$\forall c_0, c_1. \ P(c_0) \wedge P(c_1) \Rightarrow P(c_0 ; c_1)$$

Take generic c_0, c_1

We assume

(inductive hypotheses)

$$P(c_i) \triangleq \forall \sigma, \sigma_1, \sigma_2. \langle c_i, \sigma \rangle \rightarrow \sigma_1 \wedge \langle c_i, \sigma \rangle \rightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2$$

We want to prove

$$P(c_0 ; c_1) \triangleq \forall \sigma, \sigma_1, \sigma_2. \langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma_1 \wedge \langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2$$

Take generic $\sigma, \sigma_1, \sigma_2$ such that $\langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma_1$ and $\langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma_2$

We want to prove $\sigma_1 = \sigma_2$

Inductive case (ctd)

Consider the goal $\langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma_1$

Only the rule
$$\frac{\langle c_0, \sigma \rangle \rightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \rightarrow \sigma'}{\langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma'} \text{ is applicable}$$

hence $\sigma_1 = \sigma'_1$ with $\langle c_0, \sigma \rangle \rightarrow \sigma''_1$ and $\langle c_1, \sigma''_1 \rangle \rightarrow \sigma'_1$

Similarly, since $\langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma_2$

it must be $\sigma_2 = \sigma'_2$ with $\langle c_0, \sigma \rangle \rightarrow \sigma''_2$ and $\langle c_1, \sigma''_2 \rangle \rightarrow \sigma'_2$

By inductive hypothesis $P(c_0)$, we have $\sigma''_1 = \sigma''_2$

and thus $\langle c_1, \sigma''_2 \rangle \rightarrow \sigma'_1$ and $\langle c_1, \sigma''_2 \rangle \rightarrow \sigma'_2$

By inductive hypothesis $P(c_1)$, we then have $\sigma'_1 = \sigma'_2$

and thus we conclude $\sigma_1 = \sigma'_1 = \sigma'_2 = \sigma_2$

Inductive case

$\forall b, c. P(c) \Rightarrow P(\text{while } b \text{ do } c)$

Take generic b, c

We assume

(inductive hypothesis)

$$P(c) \triangleq \forall \sigma, \sigma_1, \sigma_2. \langle c, \sigma \rangle \longrightarrow \sigma_1 \wedge \langle c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2$$

We want to prove

$$P(\text{while } b \text{ do } c) \triangleq \forall \sigma, \sigma_1, \sigma_2. \langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_1 \wedge \langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2$$

Take $\sigma, \sigma_1, \sigma_2$ such that $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_1$ and $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2$

We want to prove $\sigma_1 = \sigma_2$

By determinacy of boolean expressions, there are two cases

$$\langle b, \sigma \rangle \longrightarrow \text{ff}$$

$$\langle b, \sigma \rangle \longrightarrow \text{tt}$$

Inductive case (ctd)

if $\langle b, \sigma \rangle \rightarrow \text{ff}$

Consider the goal $\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma_1$

Only the rule $\frac{\langle b, \sigma \rangle \rightarrow \text{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma}$ is applicable hence $\sigma_1 = \sigma$

Similarly, since $\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma_2$ it must be $\sigma_2 = \sigma$
and thus we conclude $\sigma_1 = \sigma = \sigma_2$

Inductive case (ctd)

if $\langle b, \sigma \rangle \rightarrow \text{tt}$

Consider the goal $\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma_1$

Only the rule $\frac{\langle b, \sigma \rangle \rightarrow \text{tt} \quad \langle c, \sigma \rangle \rightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \rightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma'}$ is applicable

hence $\sigma_1 = \sigma'_1$ with $\langle c, \sigma \rangle \rightarrow \sigma''_1$ and $\langle \text{while } b \text{ do } c, \sigma''_1 \rangle \rightarrow \sigma'_1$

Similarly, since $\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma_2$

it must be $\sigma_2 = \sigma'_2$ with $\langle c, \sigma \rangle \rightarrow \sigma''_2$ and $\langle \text{while } b \text{ do } c, \sigma''_2 \rangle \rightarrow \sigma'_2$

By inductive hypothesis $P(c)$, we have $\sigma''_1 = \sigma''_2$

thus $\langle \text{while } b \text{ do } c, \sigma''_2 \rangle \rightarrow \sigma'_1$ and $\langle \text{while } b \text{ do } c, \sigma''_2 \rangle \rightarrow \sigma'_2$

but there is no inductive hypothesis $P(\text{while } b \text{ do } c)$!

Recursive definition!



one of the premises is as complex as the conclusion

$$\frac{\langle b, \sigma \rangle \rightarrow \text{tt} \quad \langle c, \sigma \rangle \rightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \rightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma'}$$

to close the proof of determinacy
we need a more convenient induction principle:

Rule induction

Induction on derivations

Derivations

Given a logical system R , a **derivation in R** , is written

$$d \Vdash_R y$$

where

- either $d = (\frac{}{y}) \in R$ is an axiom of R ;
- or $d = \left(\frac{d_1, \dots, d_n}{y}\right)$ for some derivations $d_1 \Vdash_R x_1, \dots, d_n \Vdash_R x_n$ such that $(\frac{x_1, \dots, x_n}{y}) \in R$ is an inference rule of R .

$$D_R \stackrel{\Delta}{=} \{d \mid d \Vdash_R y\}$$

Immediate subderivation

Take $A = D_R$

$$\prec = \left\{ \left(d_i, \frac{d_1, \dots, d_n}{y} \right) \mid d_1 \Vdash_R x_1, \dots, d_n \Vdash_R x_n, \left(\frac{x_1, \dots, x_n}{y} \right) \in R \right\}$$

(immediate subderivation relation)

Example

$$R = \left\{ \frac{\text{N} \rightarrow n}{}, \frac{\text{E}_0 \rightarrow n_0 \quad \text{E}_1 \rightarrow n_1}{\text{E}_0 \oplus \text{E}_1 \rightarrow n_0 + n_1}, \frac{\text{E}_0 \rightarrow n_0 \quad \text{E}_1 \rightarrow n_1}{\text{E}_0 \otimes \text{E}_1 \rightarrow n_0 \cdot n_1} \right\}$$

$$\frac{\overline{2 \rightarrow 2}}{} \prec \frac{\overline{1 \rightarrow 1} \quad \overline{2 \rightarrow 2}}{(1 \oplus 2) \rightarrow 3} \prec \frac{\overline{1 \rightarrow 1} \quad \overline{2 \rightarrow 2} \quad \overline{3 \rightarrow 3} \quad \overline{4 \rightarrow 4}}{(1 \oplus 2) \rightarrow 3 \quad (3 \oplus 4) \rightarrow 7} \\ \frac{}{(1 \oplus 2) \otimes (3 \oplus 4) \rightarrow 21}$$

Lemma

D_R, \prec is w.f.

Let $\text{height} : D_R \rightarrow \mathbb{N}$ defined as:

$$\text{height}\left(\frac{\cdot}{y}\right) \triangleq 1 \quad \text{if } \left(\frac{\cdot}{y}\right) \in R$$

$$\text{height}\left(\frac{d_1, \dots, d_n}{y}\right) \triangleq 1 + \max_{i \in [1, n]} \text{height}(d_i) \quad \text{if } d_1 \Vdash_R x_1, \dots, d_n \Vdash_R x_n, \left(\frac{x_1, \dots, x_n}{y}\right) \in R$$

By definition, if $d \prec d'$ then $\text{height}(d) < \text{height}(d')$

Any descending chain in \prec induces a descending chain in $<$

Since $<$ is w.f., so is \prec

Induction on derivation principle

$$\frac{\forall \frac{x_1, \dots, x_n}{y} \in R. \forall d_1 \Vdash_R x_1, \dots, d_1 \Vdash_R x_n. (P(d_1) \wedge \dots \wedge P(d_n)) \Rightarrow P(\frac{d_1, \dots, d_n}{y})}{\forall d. P(d)}$$

Corollary

D_R , \prec^+ is w.f.

Because \prec^+ is the transitive closure of a w.f. relation

Example

$$R = \left\{ \frac{\mathsf{N} \longrightarrow n}{\mathsf{E}_0 \oplus \mathsf{E}_1 \longrightarrow n_0 + n_1}, \frac{\mathsf{E}_0 \longrightarrow n_0 \quad \mathsf{E}_1 \longrightarrow n_1}{\mathsf{E}_0 \otimes \mathsf{E}_1 \longrightarrow n_0 \cdot n_1} \right\}$$

$$\frac{\overline{2 \rightarrow 2} \quad \curlywedge^+ \quad \begin{array}{cccc} \overline{1 \rightarrow 1} & \overline{2 \rightarrow 2} & \overline{3 \rightarrow 3} & \overline{4 \rightarrow 4} \\ \hline & (1 \oplus 2) \rightarrow 3 & & (3 \oplus 4) \rightarrow 7 \end{array}}{(1 \oplus 2) \otimes (3 \oplus 4) \rightarrow 21}$$

Rule induction

Rule induction principle

we assume derivations exist
and that we can build a larger one
but don't need to mention this fact

$$\frac{\forall \frac{x_1, \dots, x_n}{y} \in R. (\{x_1, \dots, x_n\} \subseteq I_R \wedge P(x_1) \wedge \dots \wedge P(x_n)) \Rightarrow P(y)}{\forall x \in I_R. P(x)}$$

$$I_R \stackrel{\Delta}{=} \{y \mid \vdash_R y\}$$

Rule induction simplified

assuming that premises are theorems
may be not even necessary

$$\frac{\forall \frac{x_1, \dots, x_n}{y} \in R. (P(x_1) \wedge \dots \wedge P(x_n)) \Rightarrow P(y)}{\forall x \in I_R. P(x)}$$

Induction schemes

properties of numbers $P(n)$ mathematical induction

two proof obligations: $P(0)$ and $P(n) \Rightarrow P(n + 1)$

properties of terms $P(t)$ structural induction

one proof obligation for each function symbol

properties of formulas $P(F)$ rule induction

one proof obligation for each inference rule

Determinacy: two views

properties of terms

$P(t)$

structural induction

$$P(c) \triangleq \forall \sigma, \sigma_1, \sigma_2. \langle c, \sigma \rangle \longrightarrow \sigma_1 \wedge \langle c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2$$

properties of formulas

$P(F)$

rule induction

$$P(\langle c, \sigma \rangle \longrightarrow \sigma_1) \triangleq \forall \sigma_2. \langle c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2$$

Determinacy of commands

$c ::= \text{skip} \mid x := a \mid c; c \mid \text{if } b \text{ then } c \text{ else } c \mid \text{while } b \text{ do } c$

$$\frac{}{\langle \text{skip}, \sigma \rangle \longrightarrow \sigma} \quad \frac{\langle a, \sigma \rangle \longrightarrow \textcolor{blue}{n}}{\langle x := a, \sigma \rangle \longrightarrow \sigma[\textcolor{blue}{n}/x]} \quad \frac{\langle c_0, \sigma \rangle \longrightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \longrightarrow \sigma'}{\langle c_0 ; c_1, \sigma \rangle \longrightarrow \sigma'}$$

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff} \quad \langle c_1, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'} \quad \frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c_0, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'}$$

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma} \quad \frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

$$P(\langle c, \sigma \rangle \longrightarrow \sigma_1) \stackrel{\Delta}{=} \forall \sigma_2. \langle c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2 \quad \forall c, \sigma, \sigma_1. P(\langle c, \sigma \rangle \longrightarrow \sigma_1) ?$$

Base case

$$\overline{\langle \text{skip}, \sigma \rangle \longrightarrow \sigma}$$

We want to prove

$$P(\langle \text{skip}, \sigma \rangle \longrightarrow \sigma) \stackrel{\Delta}{=} \forall \sigma_2. \langle \text{skip}, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma = \sigma_2$$

Take σ_2 s.t. $\langle \text{skip}, \sigma \rangle \longrightarrow \sigma_2$

We want to prove $\sigma = \sigma_2$

Consider the goal $\langle \text{skip}, \sigma \rangle \longrightarrow \sigma_2$

Only the rule $\overline{\langle \text{skip}, \sigma \rangle \longrightarrow \sigma}$ is applicable, hence $\sigma_2 = \sigma$

Base case

$$\frac{\langle a, \sigma \rangle \longrightarrow n}{\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]}$$

We assume $\langle a, \sigma \rangle \longrightarrow n$

We want to prove

$$P(\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]) \triangleq \forall \sigma_2. \langle x := a, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma[n/x] = \sigma_2$$

Take σ_2 s.t. $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$

We want to prove $\sigma[n/x] = \sigma_2$

Consider the goal $\langle x := a, \sigma \rangle \longrightarrow \sigma_2$

Only the rule $\frac{\langle a, \sigma \rangle \longrightarrow n}{\langle x := a, \sigma \rangle \longrightarrow \sigma[n/x]}$ is applicable, hence $\sigma_2 = \sigma[m/x]$
with $\langle a, \sigma \rangle \longrightarrow m$

since we assumed $\langle a, \sigma \rangle \longrightarrow n$

by determinacy of Aexp we have $n = m$ and thus $\sigma_2 = \sigma[m/x] = \sigma[n/x]$

Inductive case

$$\frac{\langle c_0, \sigma \rangle \longrightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \longrightarrow \sigma'}{\langle c_0 ; c_1, \sigma \rangle \longrightarrow \sigma'}$$

We assume (inductive hypotheses)

$$P(\langle c_0, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma''_2. \langle c_0, \sigma \rangle \longrightarrow \sigma''_2 \Rightarrow \sigma'' = \sigma''_2$$

$$P(\langle c_1, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma'_2. \langle c_1, \sigma'' \rangle \longrightarrow \sigma'_2 \Rightarrow \sigma' = \sigma'_2$$

We want to prove

$$P(\langle c_0 ; c_1, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle c_0 ; c_1, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

Take σ_2 such that $\langle c_0 ; c_1, \sigma \rangle \longrightarrow \sigma_2$

We want to prove $\sigma' = \sigma_2$

Inductive case (ctd)

$$P(\langle c_0, \sigma \rangle \rightarrow \sigma'') \triangleq \forall \sigma''_2. \langle c_0, \sigma \rangle \rightarrow \sigma''_2 \Rightarrow \sigma'' = \sigma''_2$$

$$P(\langle c_1, \sigma'' \rangle \rightarrow \sigma') \triangleq \forall \sigma'_2. \langle c_1, \sigma'' \rangle \rightarrow \sigma'_2 \Rightarrow \sigma' = \sigma'_2$$

Consider the goal $\langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma_2$

Only the rule
$$\frac{\langle c_0, \sigma \rangle \rightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \rightarrow \sigma'}{\langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma'}$$
 is applicable

hence $\sigma_2 = \sigma'_2$ with $\langle c_0, \sigma \rangle \rightarrow \sigma''_2$ and $\langle c_1, \sigma''_2 \rangle \rightarrow \sigma'_2$

By inductive hypothesis $P(\langle c_0, \sigma \rangle \rightarrow \sigma'')$, we have $\sigma'' = \sigma''_2$

and thus $\langle c_1, \sigma'' \rangle \rightarrow \sigma'_2$

By inductive hypothesis $P(\langle c_1, \sigma' \rangle \rightarrow \sigma')$, we then have $\sigma' = \sigma'_2$

Inductive case

$$\frac{\langle b, \sigma \rangle \rightarrow \mathbf{ff} \quad \langle c_1, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow \sigma'}$$

We assume

$$\langle b, \sigma \rangle \rightarrow \mathbf{ff} \quad (\text{inductive hypothesis})$$

$$P(\langle c_1, \sigma \rangle \rightarrow \sigma') \triangleq \forall \sigma_2. \langle c_1, \sigma \rangle \rightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

We want to prove

$$P(\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow \sigma') \triangleq \forall \sigma_2. \langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

Take σ_2 such that $\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow \sigma_2$

We want to prove $\sigma' = \sigma_2$

Inductive case (ctd)

$$\langle b, \sigma \rangle \longrightarrow \mathbf{ff}$$

$$P(\langle c_1, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle c_1, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

Consider the goal $\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma_2$

By determinacy of Bexp

only the rule $\frac{\langle b, \sigma \rangle \longrightarrow \mathbf{ff} \quad \langle c_1, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'}$ is applicable

hence $\sigma_2 = \sigma'_2$ with $\langle c_1, \sigma \rangle \longrightarrow \sigma'_2$

By inductive hypothesis $P(\langle c_1, \sigma \rangle \longrightarrow \sigma')$, we then have $\sigma' = \sigma'_2 = \sigma_2$

Inductive case

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c_0, \sigma \rangle \longrightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \longrightarrow \sigma'}$$

Analogous to the previous case and thus omitted

Base case

$$\frac{\langle b, \sigma \rangle \rightarrow \mathbf{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma}$$

We assume

$$\langle b, \sigma \rangle \rightarrow \mathbf{ff}$$

We want to prove

$$P(\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma) \triangleq \forall \sigma_2. \langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma_2 \Rightarrow \sigma = \sigma_2$$

Take σ_2 such that $\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma_2$

We want to prove $\sigma = \sigma_2$

Inductive case (ctd)

$\langle b, \sigma \rangle \longrightarrow \text{ff}$

Consider the goal $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2$

By determinacy of Bexp

Only the rule
$$\frac{\langle b, \sigma \rangle \longrightarrow \text{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma}$$
 is applicable hence $\sigma_2 = \sigma$

Inductive case

$$\frac{\langle b, \sigma \rangle \longrightarrow \text{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

We assume

$$\langle b, \sigma \rangle \longrightarrow \text{tt} \quad (\text{inductive hypotheses})$$

$$P(\langle c, \sigma \rangle \longrightarrow \sigma'') \triangleq \forall \sigma''_2. \langle c, \sigma \rangle \longrightarrow \sigma''_2 \Rightarrow \sigma'' = \sigma''_2$$

$$P(\langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma') \triangleq \forall \sigma'_2. \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'_2 \Rightarrow \sigma' = \sigma'_2$$

We want to prove

$$P(\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma') \triangleq \forall \sigma_2. \langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma' = \sigma_2$$

Take σ_2 such that $\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma_2$

We want to prove $\sigma' = \sigma_2$

Inductive case (ctd)

$\langle b, \sigma \rangle \rightarrow \text{tt}$

$P(\langle c, \sigma \rangle \rightarrow \sigma'') \triangleq \forall \sigma''_2. \langle c, \sigma \rangle \rightarrow \sigma''_2 \Rightarrow \sigma'' = \sigma''_2$

$P(\langle \text{while } b \text{ do } c, \sigma'' \rangle \rightarrow \sigma') \triangleq \forall \sigma'_2. \langle \text{while } b \text{ do } c, \sigma'' \rangle \rightarrow \sigma'_2 \Rightarrow \sigma' = \sigma'_2$

Consider the goal $\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma_2$

By determinacy of Bexp

only the rule $\frac{\langle b, \sigma \rangle \rightarrow \text{tt} \quad \langle c, \sigma \rangle \rightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \rightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma'}$ is applicable

hence $\sigma_2 = \sigma'_2$ with $\langle c, \sigma \rangle \rightarrow \sigma''_2$ and $\langle \text{while } b \text{ do } c, \sigma''_2 \rangle \rightarrow \sigma'_2$

By inductive hypothesis $P(\langle c, \sigma \rangle \rightarrow \sigma'')$, we have $\sigma'' = \sigma''_2$

thus $\langle \text{while } b \text{ do } c, \sigma'' \rangle \rightarrow \sigma'_2$

By inductive hypothesis $P(\langle \text{while } b \text{ do } c, \sigma'' \rangle \rightarrow \sigma')$

we conclude $\sigma' = \sigma'_2 = \sigma_2$

Determinacy of commands

$$\forall c, \sigma, \sigma_1. \ P(\langle c, \sigma \rangle \longrightarrow \sigma_1)$$

$$P(\langle c, \sigma \rangle \longrightarrow \sigma_1) \stackrel{\Delta}{=} \forall \sigma_2. \ \langle c, \sigma \rangle \longrightarrow \sigma_2 \Rightarrow \sigma_1 = \sigma_2$$

Thank you for your attention!
Questions?