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# Languages and Machines

## L10: Decidability (Part I)

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## Church-Turing's Thesis

## Decision Problems

## The Halting Problem

## Problems and Languages



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- These formalisms are all equivalent — they embody the same notion of **effective computation**, from different angles (Effective as in: complete, mechanical, deterministic)
- Deterministic TMs are arguably closer to actual computers than the other formalisms

# Church-Turing's Thesis



- While formalisms such as TMs, combinatory logic,  $\lambda$ -calculus, etc, are vastly dissimilar, they have a striking commonality
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- **Programs as data:**

TMs (but also all other models) are powerful enough that programs can be written to read/manipulate other programs (suitably encoded as data)

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- TMs can interpret input strings as descriptions of other TMs (see next lecture!)
- A **universal machine**  $U$  is constructed to take an encoded description of another machine  $M$  and a string  $x$  as input.  $U$  can perform a step-by-step simulation of  $M$  on input  $x$
- This is computers as we know them today!



- A consequence of universality, and key to the discovery of uncomputable problems
- Observation: there are uncountably many **decision problems** but countably many TMs
- Extremely powerful: Gödel's incompleteness theorem, whose proof exploits self-reference  
(Idea: Construct the provable sentence "I am not provable")

## Some Terminology



Recall: A TM is **always terminating** (or **total**) if it halts on (accepts or rejects) all inputs

A language (set of strings)  $L$  is

- **recursive**  
if  $L = L(M)$  for some always terminating TM  $M$
- **recursively enumerable (r.e.)**  
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Alternatively, let  $P$  be a **property** of strings.

- $P$  is **decidable**  
if the set of all strings having  $P$  is recursive: there is a total TM that  
accepts strings that have  $P$  and rejects those that don't
- $P$  is **semi-decidable**  
if the set of strings having  $P$  is r.e.: there is a TM that  
accepts  $x$  if  $x$  has  $P$  and *rejects or loops if not*



**Recursive** and **recursively enumerable** are best applied to sets, while **decidable** and **semi-decidable** to properties

- Property  $P$  is decidable  $\Leftrightarrow$  Set  $\{x \mid P(x)\}$  is recursive
- Set  $A$  is recursive  $\Leftrightarrow$  “ $x \in A$ ” is decidable

Similarly:

- Property  $P$  is semi-decidable  $\Leftrightarrow$  Set  $\{x \mid P(x)\}$  is r.e.
- Set  $A$  is r.e.  $\Leftrightarrow$  “ $x \in A$ ” is semi-decidable

# Outline



Church-Turing's Thesis

Decision Problems

The Halting Problem

Problems and Languages

# Decision problems



A question that expects an answer 'yes' or 'no', depending on some given **instance** (positive or negative).

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Examples:

1. Given a graph, is there a path between two of its nodes?
2. Is  $n \in \mathbb{N}$  the difference between two prime numbers?
3. Given a CFG  $G$  and a string  $w$ , do we have  $w \in L(G)$ ?
4. Given a CFG  $G$ , does  $L(G)$  contain a palindrome?
5. Given a TM  $M$  and a string  $w$ , does it hold that  $w \in L(M)$ ?
6. Given a program  $P$ , does the call of  $P$  with input  $I$  terminate?



A problem is

- **decidable** if there is a procedure (a program or TM) able to answer the question correctly in all cases
- **semi-decidable** if there is a procedure that
  - for every positive instance terminates with answer 'yes'
  - for every negative instance terminates with answer 'no' or loops



1. Given a graph, is there a path between two of its nodes?
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# Turing Machines, In Plaintext



From  $M$  to  $R(M)$

- Define a **numbering function**  $n$  that maps each state  $q$  into a positive integer  $n(q)$ . Similarly for symbols in the tape alphabet and for the direction  $d \in \{L, R\}$ .
- The functions may clash:  $n(q_0) = 1$ ,  $n(0) = 1$ , and  $n(L) = 1$ .

# Turing Machines, In Plaintext



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- The functions may clash:  $n(q_0) = 1$ ,  $n(0) = 1$ , and  $n(\sqcup) = 1$ .
- Let us write  $1^k$  to denote  $\underbrace{11 \cdots 1}_{k \text{ times}}$ . A transition

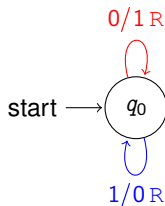
$\delta(q, X) = [r, Y, d]$  is represented as:

$$001^{n(q)}01^{n(X)}01^{n(r)}01^{n(Y)}01^{n(d)}$$

- Given  $M$ , its string representation  $R(M)$  corresponds to a sequence of encoded transitions, followed by '000'.
- Hence, assuming an input alphabet of bits, the string  $R(M)w$  corresponds to the regular expression

$$\underbrace{(0(01^+)^5)^* 000}_{R(M)} \underbrace{(0|1)^*}_{\text{input } w}$$

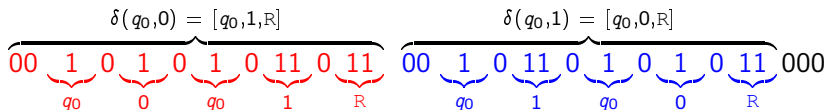
# From $M$ to $R(M)$ : Tiny Example



- Encoding states, tape alphabet, directions:

$$n(q_0) = 1 \quad n(0) = 1, \quad n(1) = 2, \quad n(B) = 3 \quad n(L) = 1, \quad n(R) = 2$$

- $R(M)$ :



# The halting problem for TMs (1/3)



## Theorem

*The halting problem for TMs is undecidable.*

## Proof by contradiction (Idea).

1. Assume there is a TM  $H$  that solves the halting problem.

A string is accepted by  $H$  if

- ▶ the input consists of two strings,  $R(M)$  and  $w$ .
- ▶ the computation of  $M$  with input  $w$  halts.

Otherwise,  $H$  rejects the input.

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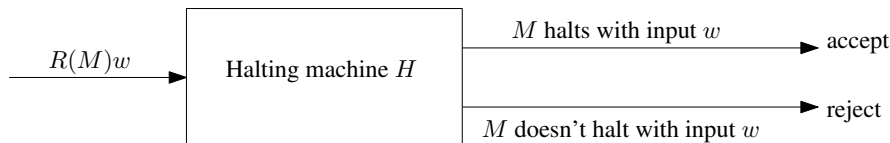
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Graphically:





## The halting problem for TMs (2/3)

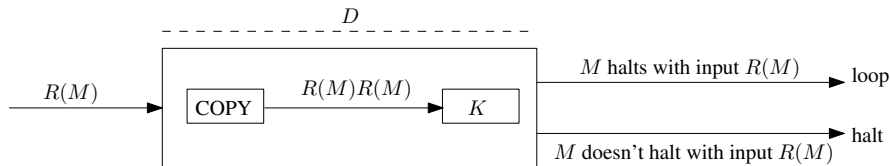


2. Modify  $H$  to build another TM, called  $K$ : the computations of  $K$  are the same as  $H$ , but  $K$  loops indefinitely whenever  $H$  terminates in an accepting state, i.e., whenever  $M$  halts on  $w$ .

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3. Combine  $K$  with a “copy machine” to build another TM, called  $D$ , with  $D(M) = K(M, M)$ , as follows:

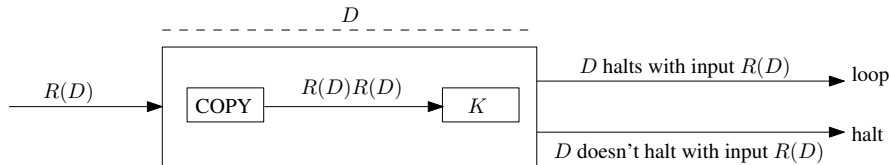


If the call  $D(M)$  terminates, then the call  $M(M)$  won't terminate

# The halting problem for TMs (3/3)



4. The input to  $D$  may be the representation of any TM, even  $D$  itself. Adapting the diagram in the previous slide:



Thus,  $D(D)$  terminates iff  $D(D)$  doesn't terminate.

A contradiction, derived from the assumption that there is a machine  $H$  that solves the halting problem.

# The halting problem, without input



A seemingly simpler problem, which is also undecidable.

Given a program  $P$  without input, is there a program  $Q$  that can decide whether or not  $P$  terminates?

1. Assume  $Q$  does indeed exist, and is an always terminating program with boolean output.
2. Hence,  $Q(P)$  terminates iff the call  $P$  terminates.
3. Define a “linker”  $L$ : a program that calls program  $P_i$  with input  $I$ . That is,  $L(P_i, I) = P_i(I)$ .
4.  $L(P_i, I)$  is a program without input, for any  $P_i$  and  $I$ .
5. Thus,  $Q(L(P_i, I))$  terminates iff the call  $P_i(I)$  terminates
6. Define a program  $Q'$  such that  $Q'(P_i, I) = Q(L(P_i, I))$
7.  $Q'$  would decide the halting problem—a contradiction

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As mentioned earlier:

- Languages can be recursive or recursively enumerable
- Decision problems can be decidable or semi-decidable

We can relate problems and languages:

- Given a decision problem  $P$ , we can define a language  $L_P$  that consists of its positive instances.
- We need a function *encode* that transforms problem instances into a suitable alphabet.
- This way, the decision problem  $P$  is reduced to the problem of constructing a TM that accepts the language  $L_P$ .



- Effective computation and Church-Turing's thesis
- Universality and self-reference
- A language (set of strings) is recursive or recursively enumerable
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- Decision problems
- Accepting a language is a decision problem; every decision problem corresponds to a language (via an encoding function)
- The halting problem is not decidable, even without input



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## Next lecture:

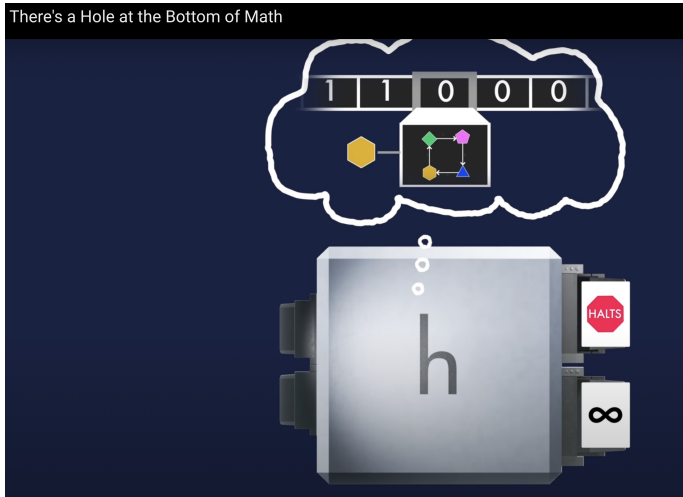
- A Universal Turing machine
- Acceptance of the empty string (the blank tape problem)
- Undecidability results



# A Suggestion



## You Can't Prove Everything That's True



<https://www.youtube.com/watch?v=HeQX2HjkcNo>