## PMATH467 — Algebraic Geometry

Classnotes for Winter 2019

bv

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## Preface

The basic goal of the course is to be able to find **algebraic invariants**, which we shall use to classify topological spaces up to homeomorphism.

Other questions that we shall also look into include a uniqueness problem about manifolds; in particular, how many manifolds exist for a given invariant up to homeomorphism? We shall see that for a **2-manifold**, the only such manifold is the **2-dimensional sphere**  $S^2$ . For a 4-manifold, it is the 4-dimensional sphere  $S^4$ . In fact, for any other n-manifold for n > 4, the unique manifold is the respective n-sphere. The problem is trickier with the 3-manifold, and it is known as the Poincaré Conjecture, solved in 2003 by Russian Mathematician Grigori Perelman. Indeed, the said manifold is homeomorphic to the 3-sphere.

For this course, you are expected to be familiar with notions from real analysis, such as topology, and concepts from group theory.

The following topics shall be covered:

- 1. Point-Set Topology
- 2. Introduction to Topological Manifolds
- 3. Simplicial complexes & Introduction to Homology
- 4. Fundamental Groups & Covering Spaces
- 5. Classification of Surfaces

#### Basic Logistics for the Course

I shall leave this here for my own notes, in case something happens to my hard copy.

#### 6 ■ LIST OF THEOREMS - ■ LIST OF THEOREMS

• OH: (Tue) 1630 - 1800, (Fri) 1245 - 1320

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# Part I Point-Set Topology

## 1 Lecture 1 Jan 07th

#### 1.1 Euclidean Space

For any  $(x_1,...,x_m) \in \mathbb{R}^m$ , we can measure its distance from the origin 0 using either

- $||x||_{\infty} = \max\{|x_i|\}$  (the supremum-norm);
- $||x||_2 = \sqrt{\sum (x_j)^2}$  (the 2-norm); or
- $||x||_p = \left(\sum |x_j|^p\right)^{\frac{1}{p}}$  (the *p*-norm),

where we may define a "distance" by

$$d_p(x,y) = \|x - y\|_p.$$

#### Definition 1 (Metric)

Let X be an arbitrary space. A function  $d: X \times X \to \mathbb{R}$  is called a **metric** if it satisfies

- 1. (symmetry) d(x,y) = d(y,x) for any  $x,y \in X$ ;
- 2. (positive definiteness)  $d(x,y) \ge 0$  for any  $x,y \in X$ , and  $d(x,y) = 0 \iff x = y$ ; and
- 3. (triangle inequality)  $\forall x, y, z \in X$

$$d(x,y) \le d(x,z) + d(y,z).$$

#### Definition 2 (Open and Closed Sets)

Given a space X with a metric d, and r > 0, the set

$$B(x,r) := \{ w \in X \mid d(x,w) < r \}$$

is called the **open ball** of radius r centered at x. An **open set** A is such that  $\forall a \in A, \exists r > 0$  such that

$$B(a,r) \subseteq A$$
.

We say that a set is **closed** if its complement is open.

#### Definition 3 (Continuous Map)

A function

$$f:(X,d_1)\to (Y,d_2)$$

is said to be continuous if the preimage of an open set in Y is open in X.

See notes on Real Analysis for why we defined a continuous map in such a way.

#### ₩ Warning

This definition does not imply that a continuous map f maps open sets to open sets.

#### Exercise 1.1.1

Contruct a function on [0,1] which assumes all values between its maximum and minimum, but is not continuous.

#### Solution

Consider the piecewise function

$$f(x) = \begin{cases} x & 0 \le x < \frac{1}{2} \\ x - \frac{1}{2} & x \ge \frac{1}{2}. \end{cases}$$

It is clear that the maximum and minimum are  $\frac{1}{2}$  and 0 respectively, and f assumes all values between 0 and  $\frac{1}{2}$ . However, a piecewise function is not continuous.

#### **■** Definition 4 (Homeomorphism)

A function f is a homeomorphism if it is a bijection and both f and  $f^{-1}$ are continuous.

#### Example 1.1.1

The function

$$g:[0,2\pi)\to\mathbb{R}^2$$
 given by  $\theta\mapsto(\cos\theta,\sin\theta)$ 

is not homeomorphic, since if we consider an alternating series that converges to 0 on the unit circle on  $\mathbb{R}^2$ , we have that the preimage of the series does not converge and  $f^{-1}$  is in fact discontinuous.

Now, we want to talk about topologies without referring to a metric.

#### Definition 5 (Topology)

Let X be a space. We say that the set  $\mathcal{T} \subseteq \mathcal{P}(X)$  is a **topology** if

- 1.  $X,\emptyset \in \mathcal{T}$ ;
- 2. if  $\{x_{\alpha}\}_{\alpha\in A}\subseteq \mathcal{T}$  for an arbitrary index set A, then

$$\bigcup_{\alpha\in A}x_{\alpha}\in\mathcal{T};\ and$$

3. If  $\{x_{\beta}\}_{\beta \in B} \subset \mathcal{T}$  for some finite index set B, then

$$\bigcap_{\beta\in\mathcal{B}}x_{\beta}\in\mathcal{T}.$$

## 2 Lecture 2 Jan 09th

#### 2.1 Euclidean Space (Continued)

In the last lecture, from metric topology, we generalized the notion to a more abstract one that is based solely on open sets.

#### Example 2.1.1

Let *X* be a set. The following two are uninteresting examples of topologies:

- 1. The trivial topology  $\mathcal{T} = \{\emptyset, X\}$ .
- 2. The discrete topology  $\mathcal{T} = \mathcal{P}(X)$ .

WE SHALL NOW continue with looking at more concepts that we shall need down the road.

#### Definition 6 (Closure of a Set)

Let A be a set. Its **closure**, denoted as  $\overline{A}$ , is defined as

$$\overline{A} = \bigcap_{C \supset A}^{C: closed} C.$$

*It is the smallest closed set that contains A.* 

#### 66 Note

In metric topology, one typically defines the closure of a set by taking the union of A and its limit points.

#### Definition 7 (Interior of a Set)

Let A be a set. Its **interior**, denoted either as Int (A),  $A^{\circ}$  or  $\overset{\circ}{A}$ , is defined as

$$\overset{\circ}{A}=\overset{G:\ open}{\displaystyle\bigcup_{G\subseteq A}}G.$$

#### Definition 8 (Boundary of a Set)

Let A be a set. Its **boundary**, denoted as  $\partial A$ , is defined as

$$\partial A = \overline{A} \setminus \overset{\circ}{A}.$$

#### Exercise 2.1.1

Let A be a set. Prove that  $\partial A$  is closed.

#### Proof

Notice that

$$(\partial A)^C = (\overline{A} \setminus \overset{\circ}{A})^C = X \setminus \overline{A} \cup \overset{\circ}{A} = X \cap \overline{A}^C \cup \overset{\circ}{A}$$

which is open.

#### Exercise 2.1.2

Let A be a set. Show that

$$\partial(\partial A) = \partial A$$
.

#### Proof

First, notice that  $\overset{\circ}{\partial A} = \emptyset$ . Since  $\partial A$  is closed,  $\overline{\partial A} = \partial A$ . Then

$$\partial(\partial A) = \overline{\partial A} \setminus \overset{\circ}{\partial A} = \partial A \setminus \varnothing = \partial A$$

#### Example 2.1.2

We know that  $\mathbb{Q} \subseteq \mathbb{R}$ , and  $\overline{\mathbb{Q}} = \mathbb{R}$ . We say that  $\mathbb{Q}$  is dense in  $\mathbb{R}$ .

#### Definition 9 (Dense)

We say that a subset A of a set X is dense if

$$\overline{A} = X$$
.

#### Example 2.1.3

From the last example, we have that  $\overset{\circ}{\mathbf{Q}} = \varnothing$ .

#### Definition 10 (Limit Point)

We say that  $p \in X \supseteq A$  is a limit point of A if any neighbourhood of p has a nontrivial intersection with A.

#### Example 2.1.4 (A Topologist's Circle)

Consider the function

$$f(x) = \begin{cases} \sin\frac{1}{x} & x \neq 0\\ 0 & x = 0 \end{cases}$$

on the interval  $\left[-\frac{1}{2\pi}, \frac{1}{2\pi}\right]$ . Extend the function on both ends such that we obtain Figure 2.1 (See also: Desmos).

The limit points of the graph includes all the points on the straight line from (0, -1) to (0, 1), including the endpoints. This is the case because for any of the points on this line, for any neighbourhood around the point, the neighbourhood intersects the graph f infinitely many times.

Going Back to Continuity, given a function f, how do we know if  $f^{-1}$  maps an open set to an open set?

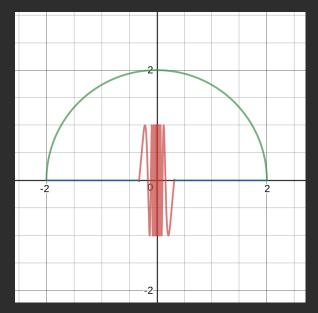


Figure 2.1: A Topologist's Circle

We can actually reduce the problem to only looking at open balls. But why are we allowed to do that?

#### Definition 11 (Basis of a Topology)

Given a topology  $\mathcal{T}$ , we say that  $\mathcal{B} = \{B_{\alpha}\}_{{\alpha} \in I}$  is a **basis** if  $\forall T \in \mathcal{T}$ , there exists  $J \subset I$  such that

$$T=\bigcup_{\alpha\in I}B_{\alpha}.$$

Note that while the definition is similar to that of a cover, we are now "covering" over sets and not points.

#### Example 2.1.5

Let  $\mathcal{T}$  be the Euclidean topology on  $\mathbb{R}$ . Then we can take

$$\mathcal{B} = \{(a,b) \mid a,b \in \mathbb{R}, a \leq b\}.$$

Note that  $\mathcal{B}$  is **uncountable**. We can, in fact, have <sup>1</sup>

$$\mathcal{B}_1 = \{(a,b) \mid a,b \in \mathbb{Q}, a \leq b\},\,$$

which is countable, as a basis for  $\mathbb{R}$ . Furthermore, we can consider the set

$$\mathcal{B}_2 = \left\{ (a,b) \mid a \leq b, a = \frac{m}{2^p}, b = \frac{n}{2^q}, m, n, p, q \in \mathbb{Z} \right\},$$

 $^{1}$  Recall from PMATH 351 that we can write  $\mathbb{R}$  as a disjoint union of open intervals with rational endpoints.

which is also a countable basis for R. Notice that

$$\mathcal{B}_2 \subseteq \mathcal{B}_1 \subseteq \mathcal{B}$$
.

#### Example 2.1.6

In  $\mathbb{R}^2$ , we can do a similar construction of  $\mathcal{B}$ ,  $\mathcal{B}_1$ , and  $\mathcal{B}_2$  as in the last example and use them as a basis for  $\mathbb{R}^2$ . In particular, we would have

$$\mathcal{B} = \{(a_1, b_1) \times (a_2, b_2) \mid a_1, a_2, b_1, b_2 \in \mathbb{R}\}.$$

This is called a **dyadic partitioning** of  $\mathbb{R}^2$ .

#### Example 2.1.7

Let  $(X_1, \mathcal{T}_1)$  and  $(X_2, \mathcal{T}_2)$  be two topological spaces. Then the Cartesian product  $X_1 \times X_2$  has topology induced from  $\mathcal{T}_1$  and  $\mathcal{T}_2$  by taking the set

$$\mathcal{B} = \{ eta_1 imes eta_2 \mid eta_1 \in \mathcal{T}_1, \, eta_2 \in \mathcal{T}_2 \}$$

as the basis.

#### Exercise 2.1.3

Prove that

- 1.  $\beta_1$  and  $\beta_2$  can be taken to be elements of bases  $\mathcal{B}_1 \subset \mathcal{T}_1$  and  $\mathcal{B}_2 \subset \mathcal{T}_2$ , respectively.
- 2. the product topology on  $\mathbb{R}^2$  is the same as the Euclidean topology.

## 3 Lecture 3 Jan 11th

#### 3.1 Euclidean Space (Continued 2)

Let  $\tilde{X}$  be a metric space, and  $p,q\in \tilde{X}$  with  $p\neq q$ . Then we have that d(p,q)=r>0.

Then we must have that

$$B\left(p,\frac{r}{3}\right)\cap B\left(q,\frac{r}{3}\right)=\emptyset.$$

#### Exercise 3.1.1

Prove that the above claim is true. (Use the triangle inequality)

The student is recommended to do a quick review for the first 3 chapters of the recommended text.



Figure 3.1: Idea of separation

#### Proof

Suppose  $\exists x \in B\left(p, \frac{r}{3}\right) \cap B\left(q, \frac{r}{3}\right)$ . Then

$$d(p,x) + d(q,x) < \frac{2r}{3} < r = d(p,q),$$

which violates the triangle inequality.

We observe here that the two open sets (or balls) "separate"  $\boldsymbol{p}$  and .

#### Definition 12 (Hausdorff / T<sub>2</sub>)

Let X be a topological space. X is said to be **Hausdorff** or  $T_2$  iff any 2 distinct points can be separated by disjoint open sets.

#### 66 Note

- 1. The Hausdorff space (or  $T_2$  space) is an important space; we can only define a metric on spaces that are  $T_2$ .
- 2. A space is called  $T_1$  is for any  $p, q \in X$  with  $p \neq q$ ,  $\exists U \ni p$  open such that  $q \notin U$  and  $\exists V \ni q$  open such that  $p \notin v$ . It is worth noting that a  $T_2$  space is also  $T_1$ .

#### Example 3.1.1 (The Discrete Topology)

Suppose X is a metric space. For any  $x \in X$ , we have that  $\{x\}$  is open. Thus for any  $x_1, x_2 \in X$ , if  $x_1 \neq x_2$ , then the open sets  $\{x_1\}$  and  $\{x_2\}$  separates  $x_1$  and  $x_2$ .

This is true as we can define the following metric on the space: let  $d: X \times X \to \mathbb{R}$  such that

$$d(x_1, x_2) = \begin{cases} 0 & x_1 = x_2 \\ 1 & x_1 \neq x_2 \end{cases}$$

This topology is called a **discrete topology**, and it is a metric space.

Let *X* be a metric space and  $A \subseteq X$ . Then there is a metric induced by *X* on *A*, and this in turn induces a topology on *A*.

More generally, if  $A \subset X$  where X is some arbitrary topological space, then a set  $U \subseteq A$  is open iff  $U = A \cap V$  for some  $V \subseteq X$  that is open. In other words, a subset U of A is said to be open iff we can find an open set V in X such that the intersection of A and V gives us U

#### Exercise 3.1.2

Prove that the construction above gives us a topology.

#### Proof

Let  $A \subseteq X$ . We shall show that  $\tau_A$  is a topological space induced by the topological space  $\tau$  of X. It is clear that  $\emptyset \in \tau_A$ , since it is open in X, and so  $A \cap \emptyset = \emptyset$ . Since X is open, we have  $A \cap X = A$ , and so  $A \in \tau_A$ .

Now if  $\{U_{\alpha}\}_{\alpha \in I} \subseteq \tau_A$ , then  $\exists V_{\alpha} \subseteq X$  such that  $U_{\alpha} = A \cap V_{\alpha}$ .

Then

$$\bigcup_{\alpha\in I}U_{\alpha}=\bigcup_{\alpha\in I}A\cap V_{\alpha}=A\cap\bigcup_{\alpha\in I}V_{\alpha},$$

and  $\bigcup_{\alpha \in I} V_{\alpha}$  is open in X by the properties of open sets. Thus  $\bigcup_{\alpha\in I}\overline{U_{\alpha}}\in \overline{\tau_{A}}.$ 

If  $\{U_i\}_{i=1}^n \subset \tau_A$ , then again, by the properties of open sets, finite intersection of open sets is open, and so  $\bigcap_{i=1}^{n} U_i \in \tau_A$ .

#### 66 Note

We can say the same can be said about closed sets of A.

#### Example 3.1.2

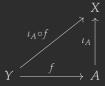
Let  $A \subseteq X$  and consider the function

$$\iota_A: A \to X$$
 given by  $x \mapsto x$ ,

which is the inclusion map.

Then  $\iota_A$  is continuous when the topology on A is chosen to be the induced subspace topology. This is rather clear; notice that the inverse of the inclusion map brings open sets to open sets.

Let *Y* be an arbitrary topological space. Then let



where *f* is continuous. Then  $\iota_A \circ f$  is continuous.

The converse is also true: if  $\iota_A \circ f$  is continuous, then f is continuous. However, we will not prove this. This property is known as the characteristic property of the subspace topology.

#### Figure 3.2: Composition of a function and the inclusion map

#### Lemma 1 (Restriction of a Continuous Map is Continuous)

Let  $X \xrightarrow{f} Y$  be continuous, and  $A \subseteq X^1$ . Then

<sup>1</sup> Here, *A* is equipped with the subspace topology

$$f \upharpoonright_A : A \to Y$$

is also continuous.

#### 3.2 Connected Spaces

Consider the real line  $\mathbb{R}$ , and consider two disjoint intervals on  $\mathbb{R}$ .

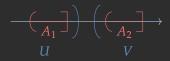


Figure 3.3: Motivation for Connectedness

Observe that we may find two open subsets U and V of  $\mathbb{R}$  such that  $A_1 \subseteq U$  and  $A_2 \subseteq V$ , which effectively separates the two intervals on the space  $\mathbb{R}$ .

#### Definition 13 (Disconnectedness)

A space X is said to be **disconnected** iff X can be written as a disjoint union

$$X = A_1 \coprod A_2$$

where  $A_1, A_2 \subseteq X$ ,  $A_1 = A_2^C$ , that they are both non-empty and open  $^2$ .

<sup>2</sup> It goes without saying that the two sets are also simultaneously closed.

#### Definition 14 (Connctedness)

A space X is said to be **connected** if it is not disconnected.

#### 66 Note

By the above definitions, we have that X is connected iff for any partition  $X = A \coprod A^{\mathbb{C}}$  with A being open, either A is  $\emptyset$  or A is X.

#### Example 3.2.1

The space  $\mathbb{R} \setminus \{0\}$  is disconnected; our disjoint sets are  $(-\infty,0)$  and  $(0,\infty)$ .

However,  $\mathbb{R}^2 \setminus \{0\}$  is connected, but it is not easy to describe why.

#### Definition 15 (Path)

Require clarification

**♣** Lemma 2 (Path Connectedness implies Connectedness)

*If a space X is path connected, then it is connected.* 

**■** Theorem 3 (From Connected Space to Connected Space)

If  $X \xrightarrow{f} Y$  is continuous and X is connected, then (f) is connected.

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