

(5 December 2014)

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* Section 2 - Input Description *
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This section of the manual describes the input to GAMESS. The section is written in a reference, rather than tutorial fashion. However, there are frequent reminders that more information can be found on a particular input group, or type of calculation, in the 'Further Information' section of this manual. Numerous complete input files are shown in the 'Input Examples' section.

Note that this chapter of the manual can be searched online by means of the "gmshelp" command, if your computer runs Unix. A command such as

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gmshelp scf
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will display the \$SCF input group. With no arguments, the gmshelp command will show you all of the input group names. Type "<return>" to see the next screen, "b" to back up to the previous screen, and "q" to exit the pager. If gmshelp does not work, ask the person who installed GAMESS to fix the 'gmshelp' script, as it is extremely useful.

The order of this section is chosen to approximate the order in which most people prepare their input (\$CONTRL, \$BASIS/\$DATA, \$GUESS, and so on). The next few pages contain a list of all possible input groups, grouped in this way. The PDF version of this file contains an index of all group names in alphabetical order.

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name	function	module:routine
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Molecule, basis set, wavefunction specification:		
\$CONTRL	chemical control data	INPUTA:START
\$SYSTEM	computer related options	INPUTA:START
\$BASIS	basis set	INPUTB:BASISS
\$DATA	molecule, geometry, basis set	INPUTB:MOLE
\$ZMAT	internal coordinates	ZMATRX:ZMATIN
\$LIBE	linear bend coordinates	ZMATRX:LIBE
\$SCF	HF-SCF wavefunction control	SCFLIB:SCFIN
\$SCFMI	SCF-MI input control data	SCFMI :MIINP
\$DFT	density functional theory	DFT :DFTINP
\$TDDFT	time-dependent DFT	TDDFT :TDDINP
\$CIS	singly excited CI	CISGRD:CISINP
\$CISVEC	vectors for CIS	CISGRD:CISVRD
\$MP2	2nd order Moller-Plesset	MP2 :MP2INP
\$RIMP2	resolution of the identity MP2	RIMP2 :RIDRVR
\$AUXBAS	RI-MP2's basis set specification	RIMP2 :RIDRVR
\$CCINP	coupled cluster input	CCSDT :CCINP
\$EOMINP	equation of motion CC	EOMCC :EOMINP
\$MOPAC	semi-empirical specification	MPCMOL:MOLDAT
\$GUESS	initial orbital selection	GUESS :GUESMO
\$VEC	orbitals (formatted)	GUESS :READMO
\$MOFRZ	freezes MOs during SCF runs	EFPCOV:MFRZIN
\$DFTB	DFTB input	DFTBLB:INPUT
\$DFTBSK	Slater-Koster table input	DFTBSK:SKTAB

Note that MCSCF and CI input is listed below.

#### Potential energy surface options:

\$STATPT	geometry search control	STATPT:SETSIG
\$TRUDGE	nongradient optimization	TRUDGE:TRUINP
\$TRURST	restart data for TRUDGE	TRUDGE:TRUDGX
\$FORCE	hessian, normal coordinates	HESS :HESSX
\$CPHF	coupled-Hartree-Fock options	CPHF :CPINP
\$CPMCHF	coupled-MR-Hartree-Fock options	MCPCGX:MCPCGX
\$MASS	isotope selection	VIBANL:RAMS
\$HESS	force constant matrix (formatted)	HESS :FCMIN
\$GRAD	gradient vector (formatted)	HESS :EGIN
\$DIPDR	dipole deriv. matrix (formatted)	HESS :DDMIN
\$ALPDR	alpha polar. der. (formatted)	RAMAN :ADMIN
\$VIB	HESSIAN restart data (formatted)	HESS :HSSNUM
\$VIB2	num GRAD/HESS restart (formatted)	HESS :HSSFUL
\$VSCF	vibrational anharmonicity	VSCF :VSCFIN
\$VIBSCF	VSCF restart data (formatted)	VSCF :VGRID
\$GAMMA	3rd nuclear derivatives	HESS :GAMMXX
\$EQGEOM	equilibrium geometry data	HESS :FFCARX

\$HLOWT	hessian data from equilibrium	HESS :FFCARX
\$GLOWT	3rd derivatives at equilibrium	HESS :FFCARX
\$IRC	intrinsic reaction coordinate	RXNCRD:IRCX
\$DRC	dynamic reaction path	DRC :DRCDRV
\$MEX	minimum energy crossing point	MEXING:MEXINP
\$CONICL	conical intersection search	
\$MD	molecular dynamics trajectory	MDEFP :MDX
\$RDF	radial dist. functions for MD	MDEFP :RDFX
\$GLOBOP	Monte Carlo global optimization	GLOBOP:GLOPDR
\$GLBFRG	Monte Carlo atom groups	GLOBOP:GLOPDR
\$GRADEX	gradient extremal path	GRADEX:GRXSET
\$SURF	potential surface scan	SURF :SRFINP

## Interpretation, properties:

\$LOCAL	localized molecular orbitals	LOCAL :LMOINP
\$TRUNCN	localized orbital truncations	EFPCOV:TRNCIN
\$ELMOM	electrostatic moments	PRPLIB:INPELM
\$ELPOT	electrostatic potential	PRPLIB:INPELP
\$ELDENS	electron density	PRPLIB:INPELD
\$ELFLDG	electric field/gradient	PRPLIB:INPELF
\$POINTS	property calculation points	PRPLIB:INPPGS
\$GRID	property calculation mesh	PRPLIB:INPPGS
\$PDC	MEP fitting mesh	PRPLIB:INPPDC
\$MGC	mean gradient charges	
\$RADIAL	atomic orbital radial data	PRPPOP:RADWFN
\$MOLGRF	orbital plots	PARLEY:PLTMEM
\$STONE	distributed multipole analysis	PRPPOP:STNRD
\$COMP	thermochemical calculation	COMP :COMPX
\$RAMAN	Raman intensity	RAMAN :RAMANX
\$NMR	NMR shielding tensors	NMR :NMRX
\$MOROKM	Morokuma energy decomposition	MOROKM:MOROIN
\$LMOEDA	LMO-based energy decomposition	MOROKM:MMOEDIN
\$QMEFP	QM/EFP energy decomposition	EFINP :QMEFPAX
\$FFCALC	finite field polarizabilities	FFIELD:FFLDX
\$TDHF	time dependent HF of NLO props	TDHF :TDHFX
\$TDHFX	TDHF for NLO, Raman, hyperRaman	TDX:FINDTDHFX

## Solvation models:

\$EFRAG	use effective fragment potential	EFINP :EFINP
\$FRAGNAME	specifically named fragment pot.	EFINP :RDSTFR
\$FRGRPL	inter-fragment repulsion	EFINP :RDDFRL
\$EWALD	Ewald sums for EFP electrostatics	EWALD :EWALDX
\$MAKEFP	generate effective fragment pot.	EFINP :EFPX
\$PRTEFP	simplified EFP generation	EFINP :PREFIN
\$DAMP	EFP multipole screening fit	CHGPEN:CGPINP
\$DAMPGS	initial guess screening params	CHGPEN:CGPINP
\$PCM	polarizable continuum model	PCM :PCMINP

\$PCMGRD	PCM gradient control	PCMCV2:PCMGIN
\$PCMCAV	PCM cavity generation	PCM :MAKCAV
\$TESCAV	PCM cavity tessellation	PCMCV2:TESIN
\$NEWCAV	PCM escaped charge cavity	PCM :DISREP
\$IEFPCM	PCM integral equation form. data	PCM :IEFDAT
\$PCMITR	PCM iterative IEF input	PCMIEF:ITIEFIN
\$DISBS	PCM dispersion basis set	PCMDIS:ENLBS
\$DISREP	PCM dispersion/repulsion	PCMVCH:MORETS
\$SVP	Surface Volume Polarization model	SVPINP:SVPINP
\$SVPIRF	reaction field points (formatted)	SVPINP:SVPIRF
\$COSGMS	conductor-like screening model	COSMO :COSMIN
\$SCRF	self consistent reaction field	SCRF :ZRFINP

#### Integral, and integral modification options:

\$ECP	effective core potentials	ECPLIB:ECPPAR
\$MCP	model core potentials	MCPINP:MMPRED
\$RELWFN	scalar relativistic integrals	INPUTB:RWFINP
\$EFIELD	external electric field	PRPLIB:INPEF
\$INTGRL	2e- integrals	INT2A :INTIN
\$FMM	fast multipole method	QMFM :QFMMIN
\$TRANS	integral transformation	TRANS :TRFIN

#### Fragment Molecular Orbital method:

\$FMO	define FMO fragments	FMOIO :FMOMIN
\$FMOPRP	FMO properties and convergers	FMOIO :FMOPIN
\$FMOXYZ	atomic coordinates for FMO	FMOIO :FMOXYZ
\$OPTFMO	input for special FMO optimizer	FMOGRD:OPTFMO
\$FMOHYB	localized MO for FMO boundaries	FMOIO :FMOLMO
\$FMOBND	FMO bond cleavage definition	FMOIO :FMOBON
\$FMOENM	monomer energies for FMO restart	FMOIO :EMINOU
\$FMOEND	dimer energies for FMO restart	FMOIO :EDIN
\$OPTRST	OPTFMO restart data	FMOGRD:RSTOPT
\$GDDI	group DDI definition	INPUTA:GDDINP

#### Polymer model:

\$ELG	polymer elongation method	ELGLIB:ELGINP
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#### Divide and conquer model:

\$DANDC	DC SCF input	DCLIB :DCINP
\$DCCORR	DC correlation method input	DCLIB :DCCRIN
\$SUBSCF	subsystem definition for SCF	DCLIB :DFLCST
\$SUBCOR	subsystem definition for MP2/CC	DCLIB :DFLCST
\$MP2RES	restart data for DC-MP2	DCMP2 :RDMPDC
\$CCRES	restart data for DC-CC	DCCC :RDCCDC

## clusters in molecules

\$CIMINP	controls clusters in molecules	CIMINF:CIMINP
\$CIMATM	fine tune calculation level	CIMINF:CIMINP
\$CIMFRG	fine tune atomic fragmentation	CIMINF:CIMPRT

## quantum mechanics/molecular mechanics model:

\$FFDATA	QuanPol calculation for molecules	QUANPO:QUANPOL
\$FFPDB	QuanPol calculation for proteins	QUANPO:QUANPOL

## MCSCF and CI wavefunctions, and their properties:

\$CIINP	control over CI calculation	GAMESS:WFNCI
\$DET	determinant full CI for MCSCF	ALDECI:DETINP
\$CIDET	determinant full CI	ALDECI:DETINP
\$GEN	determinant general CI for MCSCF	ALGNCI:GCIINP
\$CIGEN	determinant general CI	ALGNCI:GCIINP
\$ORMAS	determinant multiple active space	ORMAS :FCINPT
\$CEEIS	CI energy extrapolation	CEEIS :CEEISIN
\$CEDATA	restart data for CEEIS	CEEIS :RDCEEIS
\$GCILST	general MCSCF/CI determinant list	ALGNCI:GCIGEN
\$GMCPT	general MCSCF/CI determinant list	GMCPT :OSRDDAT
\$PDET	parent determinant list	GMCPT :OSMKREF
\$ADDDDET	add determinants to reference	GMCPT :OSMKREF
\$REMDDET	remove determinants from ref.	GMCPT :OSMKREF
\$SODET	determinant second order CI	FSODCI:SOCINP
\$DRT	GUGA distinct row table for MCSCF	GUGDRT:ORDORB
\$CIDRT	GUGA CI (CSF) distinct row table	GUGDRT:ORDORB
\$MCSCF	control over MCSCF calculation	MCSCF :MCSCF
\$MRMP	MRPT selection	MP2 :MRMPIN
\$DETPT	det. multireference pert. theory	DEMRPT:DMRINP
\$MCQDPT	CSF multireference pert. theory	MCQDPT:MQREAD
\$EXCORR	interface to MPQC's R12 program	EXCORR:GETEXC
\$CASCi	IVO-CASCI input	IVOCAS:IVODRV
\$IVOORB	fine tuning of IVO-CASCI	IVOCAS:ORBREAD
\$CISORT	GUGA CI integral sorting	GUGSRT:GUGSRT
\$GUGEM	GUGA CI Hamiltonian matrix	GUGEM :GUGAEM
\$GUGDIA	GUGA CI diagonalization	GUGDGA:GUGADG
\$GUGDM	GUGA CI 1e- density matrix	GUGDM :GUGADM
\$GUGDM2	GUGA CI 2e- density matrix	GUGDM2:GUG2DM
\$LAGRAN	GUGA CI Lagrangian	LAGRAN:CILGRN
\$TRFDM2	GUGA CI 2e- density backtransform	TRFDM2:TRF2DM
\$DIABAT	diabatic states	DIAB:DIABINP
\$TRANST	transition moments, spin-orbit	TRNSTN:TRNSTX

\* this column is more useful to programmers than to users.

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**\$CONTRL** group (note: only one "oh"!)

This group specifies the type of wavefunction, the type of calculation, use of core potentials, spherical harmonics, coordinate choices, and similar fundamental job options.

Because this is a very long input group, here is a short list of its most important keywords:

SCFTYP, MPLEVL, CITYP, CCTYP, DFTTYP, TDDFT  
 RUNTYP, ICHARG, MULT, RELWFN/PP, NZVAR, ISPHER

SCFTYP	specifies the self-consistent field wavefunction. You may choose from
= RHF	Restricted Hartree Fock calculation (default)
= UHF	Unrestricted Hartree Fock calculation
= ROHF	Restricted open shell Hartree-Fock. (high spin, see GVB for low spin)
= GVB	Generalized valence bond wavefunction, or low spin ROHF. (needs \$SCF input)
= MCSCF	Multiconfigurational SCF wavefunction (this requires \$DET or \$DRT input)
= NONE	indicates a single point computation, rereading a converged SCF function. This option requires that you select CITYP=ALDET, ORMAS, FSOCI, GENCI, or GUGA, requesting only RUNTYP=ENERGY or TRANSITN, and using GUESS=MOREAD.

The treatment of electron correlation for the above SCF wavefunctions is controlled by the keywords DFTTYP, VBTYP, MPLEVL, CITYP, and CCTYP contained in this group. No more than one of these may be chosen in a single run (except as part of RUNTYP=SURFACE). Scalar relativistic effects may be incorporated using RELWFN for any of these wavefunction choices, correlated or not.

DFTTYP = NONE      ab initio computation (default)  
         = XXXXXX      perform density functional theory run,  
                        using the functional specified. Many  
                        choices for XXXXXX are listed in the  
                        \$DFT and \$TDDFT input groups.

TDDFT   = NONE      no excited states (default)  
         = EXCITE     generate time-dependent DFT excitation  
                        energies, using the DFTTYP= functional,  
                        for RHF or UHF references. Analytic  
                        nuclear gradients are available for RHF.  
                        See \$TDDFT.  
         = SPNFLP     spin-flip TD-DFT, for either UHF or ROHF  
                        references. Nuclear gradients and  
                        solvent effects are coded. See \$TDDFT.  
         = POL        (hyper)polarizability calculation, for  
                        RHF only. See \$TDDFT.

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VBTYPE = NONE      no valence bond calculation (default)  
         = VB2000     use the VB2000 program to generate VB  
                        wavefunctions, for SCFTYP=RHF or ROHF.  
                        Analytic nuclear gradients are not  
                        available. A \$VB2000 input group is  
                        required. See  
                        ~/gamess/vb2000/DOC/readme.GAMESS  
                        for info about \$VB2000, and see also  
                        <http://www.scinetec.com/~vb>

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MPLEVL =            chooses Moller-Plesset perturbation  
                        theory level, after the SCF. See \$MP2,  
                        or \$MRMP for MCSCF.  
         = 0           skip the MP computation (default)  
         = 2           perform second order energy correction.

MP2 (a.k.a. MBPT(2)) is implemented for RHF, UHF, ROHF, and MCSCF wavefunctions, but not GVB. Gradients are available for RHF, UHF, or ROHF based MP2, but for MCSCF, you must choose numerical derivatives to use any RUNTYP other than ENERGY, TRUDGE, SURFACE, or FFIELD.

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CITYP = chooses CI computation after the SCF, for any SCFTYP except UHF.  
= NONE skips the CI. (default)  
= CIS single excitations from a SCFTYP=RHF reference, only. This is for excited states, with analytic nuclear gradients available. See the \$CIS input group.  
= SFCIS spin-flip style CIS, see \$CIS input.  
= ALDET runs the Ames Laboratory determinant full CI package, requiring \$CIDET.  
= ORMAS runs an Occupation Restricted Multiple Active Space determinant CI. The input is \$CIDET and \$ORMAS.  
= FSO CI runs a full second order CI using determinants, see \$CIDET and \$SODET.  
= GENCI runs a determinant CI program that permits arbitrary specification of the determinants, requiring \$CIGEN.  
= GUGA runs the Unitary Group CI package, which requires \$CIDRT input. Analytic gradients are available only for RHF, so for other SCFTYPs, you may choose only RUNTYP=ENERGY, TRUDGE, SURFACE, FFIELD, TRANSITN.

PMTD1 = For CITYP=ALDET or ORMAS, or for these two CI steps in MCSCF runs, for EFP solvent calculations, this flag enables use of "polarization method 1" for the effective fragments. See also FSTATE in \$CIDET or \$DET  
= .TRUE. The EFP dipoles will not be re-polarized to the CITYP wavefunction (default)  
= .FALSE. The EFP dipoles will be re-polarized to the CITYP wavefunction

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CCTYP chooses a Coupled-Cluster (CC calculation for the ground state and, optionally, Equation of Motion Coupled-Cluster (EOMCC) computation for excited states, both performed after the SCF (RHF or ROHF). See also \$CCINP and \$EOMINP.



Only CCSD and CCSD(T) for RHF can run in parallel.  
For ROHF, you may choose only CCSD and CR-CCL.

- = NONE skips CC computation (default).
- = LCCD perform a coupled-cluster calculation using the linearized coupled-cluster method with double excitations.
- = CCD perform a CC calculation using the coupled-cluster method with doubles.
- = CCSD perform a CC calculation with both single and double excitations.
- = CCSD(T) in addition to CCSD, the non-iterative triples corrections are computed, giving standard CCSD[T] and CCSD(T) energies.
- = R-CC in addition to all CCSD(T) calculations, compute the renormalized R-CCSD[T] and R-CCSD(T) energies.
- = CR-CC in addition to all R-CC calculations, the completely renormalized CR-CCSD[T] and CR-CCSD(T) energies are computed.
- = CR-CCL in addition to a CCSD ground state, the non-iterative triples energy correction defining the rigorously size extensive completely renormalized CR-CC(2,3), also called CR-CCSD(T)\_L theory, is computed. Ground state only (zero NSTATE vector) CCTYP=CR-EOM type CR-EOMCCSD(T) energies and CCSD properties are also generated. For further information about accuracy, and A to D CR-CC(2,3) energy types, see REFS.DOC.
- = CCSD(TQ) in addition to all R-CC calculations, non-iterative triple and quadruple corrections are used, to give CCSD(TQ) and various R-CCSD(TQ) energies.
- = CR-CC(Q) in addition to all CR-CC and CCSD(TQ) calculations, the CR-CCSD(TQ) energies are obtained.

excited state options, note that EOM-CCSD is available for RHF or ROHF references, but triples corrections only for RHF cases.

- = EOM-CCSD in addition to a CCSD ground state, excited states are calculated using the equation of motion coupled-cluster

- method with singles and doubles.
- = CR-EOM in addition to the CCSD and EOM-CCSD, noniterative triples corrections to CCSD ground-state and EOM-CCSD excited-state energies are found, using completely renormalized CR-EOMCCSD(T) approaches.
- = CR-EOML in addition to printing all results that CR-EOM obtains, this solves the lambda equations, and gives triples corrections analogous to ground state CR-CCL.
- ionization processes,
- = IP-EOM2 ionized EOMCC with up to 2h1p excitations (i.e., IP-EOMCCSD)
- = IP-EOM3A ionized EOMCC with all 1h and 2h1p, and active-space 3h2p excitations (i.e., IP-EOMCCSDt)
- = EA-EOM2 electron-attached EOMCC with up to 2p1h excitations (i.e., EA-EOMCCSD)
- = EA-EOM3A electron-attached EOMCC with all 1p and 2p1h, and active-space 3p2h excitations (i.e., EA-EOMCCSDt).

Labels "p" and "h" in the description of IP and EA EOMCC methods refer to particles (unoccupied correlated orbitals) and holes (occupied correlated orbitals). EA and IP runs produce both ground and excited states of systems obtained by attaching an electron to or removing an electron from the underlying CCSD reference ground state, using the EOMCC formalism. Thus, EA and IP runs read \$CCINP as well as \$EOMINP inputs.

Any publication describing the results of CC calculations obtained using GAMESS should reference the appropriate papers, which are listed on the output of every run, and in chapter 4 of this manual.

Analytic gradients are not available, so use CCTYP only for RUNTYP=ENERGY, TRUDGE, SURFACE, or maybe FFIELD, or request numerical derivatives.

Generally speaking, the Renormalized energies are obtained at similar cost to the standard values, while Completely Renormalized energies cost twice the time. For usage tips and more information about resources on the various Coupled Cluster methods, see Section 4, 'Further Information'.

CIMTYP chooses a Cluster-In-Molecule (CIM) calculation.

- = NONE skip CIM computation, i.e., perform a canonical calculation (default).
- = SECIM perform a single-environment CIM (SECIM) computation.
- = DECIM perform a dual-environment CIM (DECIM) computation.
- = GSECIM perform a generalized SECIM (GSECIM) computation. The \$CIMFRG must be included as well.

See also \$CIMINP and, optionally, \$CIMFRG and \$CIMATM. If CIMTYP is given, SUBMTD in \$CIMINP is required. Only RUNTYP=ENERGY and SCFTYP=RHF or ROHF work when CIMTYP is given. See SUBMTD in \$CIMINP for more details.

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RELWFN = NONE (default) See also the \$RELWFN input group.

- = IOTC infinite-order two-component method of M. Barysz and A.J. Sadlej. This is the most sound scalar relativity choice, being at the infinite order instead of just third.
- = DK Douglas-Kroll transformation, available at the 1st, 2nd, or 3rd order.
- = RESC relativistic elimination of small component, the method of T. Nakajima and K. Hirao, available at 2nd order only.
- = NESC normalised elimination of small component, the method of K. Dyall, 2nd order only.

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RUNTYP specifies the type of computation, for example at a single geometry point:

- = ENERGY Molecular energy. (default)
- = GRADIENT Molecular energy plus gradient.
- = HESSIAN Molecular energy plus gradient plus second derivatives, including harmonic vibrational analysis. See the \$FORCE and \$CPHF input groups. For FMO, use HESSFMO instead of HESSIAN.
- = FMOHESS the same as HESSIAN, for FMO runs, supported only for RHF, R-DFT, UHF,

= GAMMA U-DFT, and ROHF.  
Evaluate up to 3rd nuclear derivatives,  
by finite differencing of Hessians.  
See \$GAMMA, and also NFFLVL in \$CONTRL.

multiple geometry options:

= OPTIMIZE Optimize the molecular geometry using  
analytic energy gradients. See \$STATPT.  
= TRUDGE Non-gradient total energy minimization.  
See \$TRUDGE and \$TRURST.  
= SADPOINT Locate saddle point (transition state).  
See \$STATPT.  
= MEX Locate minimum energy crossing point on  
the intersection seam of two potential  
energy surfaces. See \$MEX.  
= CONICAL Locate conical intersection point on  
the intersection seam of two potential  
energy surfaces. See \$CONICL.  
= IRC Follow intrinsic reaction coordinate.  
See \$IRC.  
= VSCF anharmonic vibrational corrections.  
See \$VSCF.  
= DRC Follow dynamic reaction coordinate.  
See \$DRC.  
= MD molecular dynamics trajectory, see \$MD.  
= GLOBOP Monte Carlo-type global optimization.  
See \$GLOBOP.  
= OPTFMO genuine FMO geometry optimization using  
nearly analytic gradient. See \$OPTFMO.  
= GRADEXTR Trace gradient extremal. See \$GRADEX.  
= SURFACE Scan linear cross sections of the  
potential energy surface. See \$SURF.

single geometry property options:

= COMP composite thermochemistry calculation,  
including G3MP2. See \$COMP input.  
= G3MP2 evaluate heat of formation using the  
G3(MP2,CCSD(T)) methodology. See test  
example exam43.inp for more information.  
= PROP Molecular properties will be calculated.  
Orbital localization can be requested as  
well. See \$ELPOT, etc.  
Converged orbitals must be input in a

\$VEC input, which suffice to reproduce the wavefunction only for simple SCF: RHF, UHF, ROHF, or DFT counterparts. GVB also works (CICOEF may be needed). All other calculations must instead use RUNTYP=ENERGY to regenerate the density matrix.

= RAMAN computes Raman intensities, see \$RAMAN.

= NACME non-adiabatic coupling matrix element between two or more state averaged MCSCF wavefunctions. The calculation has no specific input group, but must use only SCFTYP=MCSCF with CISTEP=ALDET or ORMAS.

= NMR NMR shielding tensors for closed shell molecules by the GIAO method. See \$NMR.

= EDA Perform energy decomposition analysis. Give one of \$MOROKM or \$LMOEDA inputs.

= QMEFPEA QM/EFP solvent energy analysis, see \$QMEFP.

= TRANSITN Compute radiative transition moment or spin-orbit coupling. See \$TRANST.

= FFIELD applies finite electric fields, most commonly to extract polarizabilities. See \$FFCALC.

= TDHF analytic computation of time dependent polarizabilities. See \$TDHF.

= TDHFX extended TDHF package, including nuclear polarizability derivatives, and Raman and Hyper-Raman spectra. See \$TDHFX.

= MAKEFP creates an effective fragment potential, for SCFTYP=RHF or ROHF only. See \$MAKEFP, \$DAMP, \$DAMPGS, \$STONE, ...

= FM00 performs the free state FMO calculation. See \$FMO.

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Note that RUNTYPs which require the nuclear gradient are  
GRADIENT, HESSIAN, OPTIMIZE, SADPOINT,  
GLOBOP, IRC, GRADEXTR, DRC, and RAMAN

These are efficient with analytic gradients, which are available only for certain CI or MP2 calculations, but no CC calculations, as indicated above. See NUMGRD.

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NUMGRD

Flag to allow numerical differentiation



- = ZMT            GAUSSIAN style internals will be input.
- = ZMTMPC        MOPAC style internals will be input.
- = FRAGONLY      means no part of the system is treated  
                 by ab initio means, hence \$DATA is not  
                 given. The system is defined by \$EFRAG.

Note: the choices PRINAXIS, ZMT, ZMTMPC require input of all atoms in the molecule. They also orient the molecule, and then determine which atoms are unique. The reorientation is likely to change the order of the atoms from what you input. When the point group contains a 3-fold or higher rotation axis, the degenerate moments of inertia often cause problems choosing correct symmetry unique axes, in which case you must use COORD=UNIQUE rather than Z-matrices.

Warning: The reorientation into principal axes is done only for atomic coordinates, and is not applied to the axis dependent data in the following groups: \$VEC, \$HESS, \$GRAD, \$DIPDR, \$VIB, nor Cartesian coords of effective fragments in \$EFRAG. COORD=UNIQUE avoids reorientation, and thus is the safest way to read these.

Note: the choices PRINAXIS, ZMT, ZMTMPC require the use of a group named \$BASIS to define the basis set. The first two choices might or might not use \$BASIS, as you wish.

UNITS    = distance units, any angles must be in degrees.  
          = ANGS            Angstroms (default)  
          = BOHR            Bohr atomic units

NZVAR    = 0    Use Cartesian coordinates (default).  
          = M    If COORD=ZMT or ZMTMPC, and \$ZMAT is not given:  
                 the internal coordinates will be those defining  
                 the molecule in \$DATA. In this case, \$DATA may  
                 not contain any dummy atoms. M is usually  
                 3N-6, or 3N-5 for linear.  
          = M    For other COORD choices, or if \$ZMAT is given:  
                 the internal coordinates will be those defined  
                 in \$ZMAT. This allows more sophisticated  
                 internal coordinate choices. M is ordinarily  
                 3N-6 (3N-5), unless \$ZMAT has linear bends.

NZVAR refers mainly to the coordinates used by OPTIMIZE or SADPOINT runs, but may also print the internal's

values for other run types. You can use internals to define the molecule, but Cartesians during optimizations!

\* \* \* \* \*

Pseudopotentials may be of two types: ECP (effective core potentials) which generate nodeless valence orbitals, and MCP (model core potentials) producing valence orbitals with the correct radial nodal structure. At present, ECPs have analytic nuclear gradients and Hessians, while MCPs have analytic nuclear gradients.

PP = pseudopotential selection.  
 = NONE all electron calculation (default).  
 = READ read ECP potentials in the \$ECP input.  
 = SBKJC use Stevens, Basch, Krauss, Jasien, Cundari ECP potentials for all heavy atoms (Li-Rn are available).  
 = HW use Hay, Wadt ECP potentials for heavy atoms (Na-Xe are available).  
 = MCP use Huzinaga's Model Core Potentials. The correct MCP potential will be chosen to match the requested MCP valence basis set (see \$BASIS).

\* \* \* \* \*

LOCAL = controls orbital localization.  
 = NONE Skip localization (default).  
 = BOYS Do Foster-Boys-like localization.  
 = RUEDNBRG Do Edmiston-Ruedenberg localization.  
 = POP Do Pipek-Mezey population localization.  
 = SVD Do single value decomposition, to project the molecular orbitals onto atoms. This is available only for SCFTYP=RHF, ROHF, and MCSCF (full space or ORMAS). The ORIENT keyword in \$LOCAL is pertinent.

See the related \$LOCAL input.

Localization is not available for SCFTYP=GVB.

DFTB only works with LOCAL=POP (and NONE).

\* \* \* \* \*

ISPHER = Spherical Harmonics option  
 = -1 Use Cartesian basis functions to construct



- symmetry-adapted linear combination (SALC) of basis functions. The SALC space is the linear variation space used. (default)
- = 0 Use spherical harmonic functions to create SALC functions, which are then expressed in terms of Cartesian functions. The contaminants are not dropped, hence this option has EXACTLY the same variational space as ISPHER=-1. The only benefit to obtain from this is a population analysis in terms of pure s,p,d,f,g functions.
- = +1 Same as ISPHER=0, but the function space is truncated to eliminate all contaminant Cartesian functions [3S(D), 3P(F), 4S(G), and 3D(G)] before constructing the SALC functions. The computation corresponds to the use of a spherical harmonic basis.

QMTTOL = linear dependence threshold

Any functions in the SALC variational space whose eigenvalue of the overlap matrix is below this tolerance is considered to be linearly dependent. Such functions are dropped from the variational space. What is dropped is not individual basis functions, but rather some linear combination(s) of the entire basis set that represent the linear dependent part of the function space. The default is a reasonable value for most purposes, 1.0E-6.

When many diffuse functions are used, it is common to see the program drop some combinations. On occasion, in multi-ring molecules, we have raised QMTTOL to 3.0E-6 to obtain SCF convergence, at the cost of some energy.

MAXIT = Maximum number of SCF iteration cycles. This pertains only to RHF, UHF, ROHF, or GVB runs. See also MAXIT in \$MCSCF. (default = 30)

\* \* \* interfaces to other programs \* \* \*

MOLPLT = flag that produces an input deck for a molecule drawing program distributed with GAMESS. (default is .FALSE.)

PLTORB = flag that produces an input deck for an orbital plotting program distributed with GAMESS.  
(default is .FALSE.)

AIMPAC = flag to create an input deck for Bader's Atoms In Molecules properties code. (default=.FALSE.)  
For information about this program, see the URL  
<http://www.chemistry.mcmaster.ca/aimpac>

FRIEND = string to prepare input to other quantum programs, choose from  
= HONDO for HONDO 8.2  
= MELDF for MELDF  
= GAMESSUK for GAMESS (UK Daresbury version)  
= GAUSSIAN for Gaussian 9x  
= ALL for all of the above

PLTORB, MOLPLT, and AIMPAC decks are written to file PUNCH at the end of the job. Thus all of these correspond to the final geometry encountered during jobs such as OPTIMIZE, SAPDOINT, IRC...

In contrast, selecting FRIEND turns the job into a CHECK run only, no matter how you set EXETYP. Thus the geometry is that encountered in \$DATA. The input is added to the PUNCH file, and may require some (usually minimal) massaging.

PLTORB and MOLPLT are written even for EXETYP=CHECK.  
AIMPAC requires at least RUNTYP=PROP.

\* \* \*

NFFLVL used to determine energies and gradients away from equilibrium structures, at the coordinates given in \$DATA. The method will use a Taylor expansion of the potential surface around the stationary point. See \$EQGEOM, \$HLOWT, \$GLOWT. This may be used with RUNTYP=ENERGY or GRADIENT.  
= 2 uses only Hessian information, which gives a reasonable energy, but not such a good gradient.  
= 3 uses Hessian and 3rd nuclear derivatives in the Taylor expansion, producing more accurate values for the energy and for the gradient.

\* \* \* computation control switches \* \* \*

For the most part, the default is the only sensible value, and unless you are sure of what you are doing, these probably should not be touched.

NPRINT =            Print/punch control flag  
                    See also EXETYP for debug info.  
                    (options -7 to 5 are primarily debug)  
= -7            Extra printing from Boys localization.  
= -6            debug for geometry searches  
= -5            minimal output  
= -4            print 2e-contribution to gradient.  
= -3            print 1e-contribution to gradient.  
= -2            normal printing, no punch file  
= 1            extra printing for basis,symmetry,ZMAT  
= 2            extra printing for MO guess routines  
= 3            print out property and 1e- integrals  
= 4            print out 2e- integrals  
= 5            print out SCF data for each cycle.  
                    (Fock and density matrices, current MOs  
= 6            same as 7, but wider 132 columns output.  
                    This option isn't perfect.  
= 7            normal printing and punching (default)  
= 8            more printout than 7. The extra output  
                    is (AO) Mulliken and overlap population  
                    analysis, eigenvalues, Lagrangians, ...  
= 9            everything in 8 plus Lowdin population  
                    analysis, final density matrix.

NOSYM = 0        the symmetry specified in \$DATA is used  
                    as much as possible in integrals, SCF,  
                    gradients, etc. (this is the default)  
= 1            the symmetry specified in the \$DATA input  
                    is used to build the molecule, then  
                    symmetry is not used again. Some GVB  
                    or MCSCF runs (those without a totally  
                    symmetric charge density) require you  
                    request no symmetry.

ETOLLZ = threshold to label molecular orbitals by Lz  
                    values. Small matrices of the Lz operator are  
                    diagonalized for the sets of MOs whose orbital

energies are degenerate to within ETOLLZ. This option may be used in molecules with distorted linear symmetry for approximate labelling.  
Default: 1.0d-6 for linear, 0 (disable) if not.

INTTYP selects the integral package(s) used, all of which produce equally accurate results. This is therefore used only for debugging purposes.

- = BEST use the fastest integral code available for any particular shell quartet (default):
  - s,p,L or s,p,d,L rotated axis code first.
  - ERIC s,p,d,f,g precursor transfer equation code second, up to 5 units total ang. mom.
  - Rys quadrature for general s,p,d,f,g,L, or for uncontracted quartets.
- = ROTAXIS means don't use ERIC at all, e.g. rotated axis codes, or else Rys quadrature.
- = ERIC means don't use rotated axis codes, e.g. ERIC code, or else Rys quadrature.
- = RYSQUAD means use Rys quadrature for everything.

- GRDTYP = BEST use Schlegel routines for spL gradient blocks, and Rys quadrature for all other gradient integrals. (default)
- = RYSQUAD use Rys quadrature for all gradient integrals. This option is only slightly more accurate, but is rather slower.

- NORMF = 0 normalize the basis functions (default)
- = 1 no normalization

- NORMP = 0 input contraction coefficients refer to normalized Gaussian primitives. (default)
- = 1 the opposite.

- ITOL = primitive cutoff factor (default=20)
- = n products of primitives whose exponential factor is less than  $10^{*(-n)}$  are skipped.

- ICUT = n integrals less than  $10.0^{*(-n)}$  are not saved on disk. (default = 9). Direct SCF will calculate to a cutoff 1.0d-10 or 5.0d-11 depending on FDIFF=.F. or .T.

- ISKPRP = 0 proceed as usual

- 1 skip computation of some properties which are not well parallelised. This includes bond orders and virial theorem, and can help parallel scalability if many CPUs are used. Note that NPRINT=-5 disables most property computations as well, so ISKPRP=1 has no effect in that case. (default: 0)

\* \* \* restart options \* \* \*

IREST = restart control options  
 (for OPTIMIZE run restarts, see \$STATPT)  
 Note that this option is unreliable!

= -1 reuse dictionary file from previous run,  
 useful with GEOM=DAF and/or GUESS=MOSAVED.  
 Otherwise, this option is the same as 0.

= 0 normal run (default)

= 1 2e restart (1-e integrals and MOs saved)

= 2 SCF restart (1-,2-e integrals and MOs saved)

= 3 1e gradient restart

= 4 2e gradient restart

GEOM = select where to obtain molecular geometry

= INPUT from \$DATA input (default for IREST=0)

= DAF read from DICTNRY file (default otherwise)

As noted in the first chapter, binary file restart is not a well tested option!

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**\$SYSTEM** group                      (optional)

This group provides global control information for your computer's operation. This is system related input, and will not seem particularly chemical to you!

MWORDS = the maximum replicated memory which your job can use, on every core. This is given in units of 1,000,000 words (as opposed to 1024\*1024 words), where a word is defined as 64 bits. (default=1)

In case finer control over the replicated memory is needed, this value can be given in units of words, with the old keyword MEMORY, instead of MWORDS.

MEMDDI = the grand total memory needed for the distributed data interface (DDI) storage, given in units of 1,000,000 words. See Chapter 5 of this manual for an extended explanation of running with MEMDDI.

note: the memory required on each processor core for a run using  $p$  cores is therefore  $\text{MEMDDI}/p + \text{MWORDS}$ .

The parallel runs that currently require MEMDDI are:

SCFTYP=RHF    MPLEVL=2 energy or gradient  
 SCFTYP=UHF    MPLEVL=2 energy or gradient  
 SCFTYP=ROHF   MPLEVL=2 OSPT=ZAPT energy or gradient  
 SCFTYP=MCSCF   MPLEVL=2 energy  
 SCFTYP=MCSCF using the FULLNR or JACOBI convergers  
 SCFTYP=MCSCF analytic hessian  
 SCFTYP=any    CITYP=ALDET, ORMAS, GUGA  
 SCFTYP=any    energy localization  
 SCFTYP=RHF    CCTYP=CCSD or CCSD(T)

All other parallel runs should enter MEMDDI=0, for they use only replicated memory.

Some serial runs execute the parallel code (on just 1 CPU), for there is only a parallel code. These serial runs must give MEMDDI as a result:

SCFTYP=ROHF   MPLEVL=2 OSPT=ZAPT gradient/property run  
 SCFTYP=MCSCF analytic hessian

Two kinds of runs (RI-MP2 and parallel CCSD(T)) use an additional type of memory, for which there is no input

keyword. Please read EXETYP=CHECK output carefully to learn the total memory/node requirements for these two!

TIMLIM = time limit, in minutes. Set to about 95 percent of the time limit given to the batch job (if you use a queueing system) so that GAMESS can stop itself gently. (default=525600.0 minutes)

PARALL = a flag to cause the program to execute the parallel algorithm, in cases where different serial and parallel codes exist, if you happen to be running on only one core. The default is .FALSE. if you are running on one core. The main purpose of this keyword is to allow you to do EXETYP=CHECK runs on only one core, when your intent is perform the actual calculation in parallel. PARALL is ignored for runs on more than one core, when of course parallel algorithms are executed.

KDIAG = diagonalization control switch  
= 0 use a vectorized diagonalization routine if one is available on your machine, else use EVVRSP. (default)  
= 1 use EVVRSP diagonalization. This may be more accurate than KDIAG=0.  
= 2 use GIVEIS diagonalization (not as fast or reliable as EVVRSP)  
= 3 use JACOBI diagonalization (this is the slowest method)

COREFL = a flag to indicate whether or not GAMESS should produce a "core" file for debugging when subroutine ABRT is called to kill a job. This variable pertains only to UNIX operating systems. (default=.FALSE.)

BALTYP = Parallel load balance scheme:  
= SLB uses static load balancing.  
= DLB uses dynamic load balancing (default).  
Dynamic load balancing attempts to spread out possibly unequal work assignments based on the rate at which different nodes complete tasks.  
For historical reasons, it is permissible to spell SLB as LOOP, and DLB as NXTVAL.

MXSEQ2 = 300 (default)

MXSEQ3 = 150 (default)

Matrix/vector problem size in loops requiring either  $O(N^2)$  or  $O(N^3)$  work, respectively. Problems below these sizes are run purely serial, to avoid poor communication/computation ratios.

NODEXT = array specifying node extensions in GDDI for each file. Non-zero values force no extension. E.g., NODEXT(40)=1 forces file 40 (file numbers are unit numbers used in GAMESS, see "rungms" or PROG.DOC) to have the name of \$JOB.F40 on all nodes, rather than \$JOB.F40, \$JOB.F40.001, \$JOB.F40.002 etc. This is convenient for FMO restart jobs, so that the file name need not be changed for each node, when copying the restart file. Note that on machines when several CPUs use the same directory (e.g., SMP) NODEXT should be zero. (default: all zeros)

IOSMP = Parallelise I/O on SMP machines with multiple hard disks. Two parameters are specified, whose meaning should be clear from the example.

iosmp(1)=2,6

2 refers to the number of HDDs per SMP box. 6 is the location of the character in the file names that switches HDDs, i.e. if HDDs are mounted as /work1 and /work2, then 6 refers to the position of the number 1 in /work1. The file system should permit disks attached with directory names differing by one symbol. (default: 0,0, disable the feature)

MODIO = Global I/O options (bitwise additive)  
(default: 0)

- 1 - forbid flushing files
- 2 - do not close dictionary file in GDDI  
(only record indices are reset)
- 4 - do not print timings on each rank at run end.
- 8 - forbid grid data saving in DFT  
(prevent F22 from being opened)
- 16 - reduce I/O in MD.
- 32 - do not open file F15 (in SOSCF) on slaves  
and do not close on all.



64 - use in-memory F15 (in SOSCF). This also  
parallelizes one more step in SOSCF.

128 - always run EVVRSP sequentially. This is useful  
on mixed CPU type clusters.

MODEIO=15 is recommended on computers w/o local disks.

MEM10 = words used to store dictionary file F10 in memory.  
Selecting this option will skip any I/O for F10.  
Default: 0 (disk-based F10)

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**\$BASIS** group                      (optional)

This group allows certain standard basis sets to be easily requested. Basis sets are specified by:

- a) GBASIS plus optional supplementations such as NDFUNC,
- b) BASNAM to read custom basis sets from your input,
- c) EXTFIL to read custom bases from an external file,
- d) or omit this group entirely, and give the basis set in the \$DATA input, which is completely general.

GBASIS requests various Gaussian basis sets. These include options for effective core and model core potentials.

Rather oddly, GBASIS also can select semi-empirical models, and in that case requests the Slater-type orbitals for the MOPAC-type calculation.

Note: The first two groups of GBASIS keywords below (except G3L and G3LX) define only the basic functions, without any polarization functions and/or diffuse functions. For example, main group elements have the basic functions for their s,p valence orbitals. Polarization and/or diffuse supplements are added separately to these GBASIS values, with keywords NPFUNC, NDFUNC, NFFUNC, DIFFS, DIFFSP, POLAR, SPLIT2, and SPLIT3, which are defined at the end of this input group.

GBASIS = ST0 - Pople's ST0-NG minimal basis set.  
                     Available H-Xe, for NGAUSS=2,3,4,5,6.

      = N21 - Pople's N-21G split valence basis set.  
                     Available H-Xe, for NGAUSS=3.  
                     Available H-Ar, for NGAUSS=6.

      = N31 - Pople's N-31G split valence basis set.  
                     Available H-Ne,P-Cl for NGAUSS=4.  
                     Available H-He,C-F for NGAUSS=5.  
                     Available H-Kr, for NGAUSS=6, note that the  
                             bases for K,Ca,Ga-Kr were changed 9/2006.

      = N311 - Pople's "triple split" N-311G basis set.  
                     Available H-Ne, for NGAUSS=6.  
                     Selecting N311 implies MC for Na-Ar.

      = G3L - Pople's G3MP2Large basis set, for H-Kr.

= G3LX - Pople's G3MP2LargeXP basis set, for H-Kr.

NGAUSS = the number of Gaussians (N). This parameter pertains to GBASIS=STO, N21, N31, or N311.

GBASIS = MINI - Huzinaga's 3 gaussian minimal basis set. Available H-Rn.

= MIDI - Huzinaga's 21 split valence basis set. Available H-Rn.

= DZV - "double zeta valence" basis set.  
a synonym for DH for H,Li,Be-Ne,Al-Cl.  
(14s,9p,3d)/[5s,3p,1d] for K-Ca.  
(14s,11p,5d)/[6s,4p,1d] for Ga-Kr.

= DH - Dunning/Hay "double zeta" basis set.  
(3s)/[2s] for H.  
(9s,4p)/[3s,2p] for Li.  
(9s,5p)/[3s,2p] for Be-Ne.  
(11s,7p)/[6s,4p] for Al-Cl.

= TZV - "triple zeta valence" basis set.  
(5s)/[3s] for H.  
(10s,3p)/[4s,3p] for Li.  
(10s,6p)/[5s,3p] for Be-Ne.  
a synonym for MC for Na-Ar.  
(14s,9p)/[8s,4p] for K-Ca.  
(14s,11p,6d)/[10s,8p,3d] for Sc-Zn.

= MC - McLean/Chandler "triple split" basis.  
(12s,9p)/[6s,5p] for Na-Ar.  
Selecting MC implies 6-311G for H-Ne.

\* \* systematic basis set families \* \* \*

These four families provide a hierarchy of basis sets approaching the complete basis set limits. These families include relevant polarization and diffuse augmentations, as indicated in their names.

GBASIS = CCn - Dunning-type Correlation Consistent basis sets, officially called cc-pVnZ.  
Use n = D,T,Q,5,6 to indicate the level of polarization.  
Available for H-He, Li-Ne, Na-Ar, Ca, Ga-Kr and for Sc-Zn for n=T,Q.

= ACCn - As CCn, but augmented with a set of diffuse functions, e.g. aug-cc-pVnZ.  
Availability is the same as CCn.

- = CCnC - As CCn, but augmented with tight functions for recovering core and core-valence correlation, e.g. cc-pCVnZ.  
Available H-Ar for n=D,T,Q, also n=5 for H-Ne.
- = ACCnC- As CCn, augmented with diffuse as well as CCnC's tight functions, e.g. aug-cc-pCVnZ.  
Availability is the same as CCnC.
- = CCnWC the omega form of CCnC, e.g. cc-pwCVnZ, for H-Ar, for n=T only. CCnWC's tight functions are considered superior to CCnC's for recovery of core/valence correlation.
- = ACCnWC augmented form of CCnWC: aug-cc-pwCVnZ.  
See extended notes below!
- = PCseg-n - Polarization Consistent basis sets.  
n = 0,1,2,3,4 indicates the level of polarization. (n=0 is unpolarized, n=1 is ~DZP, n=2 is ~TZ2P, etc.). These provide a hierarchy of basis sets suitable for DFT and HF calculations.  
Available for H-Kr.
- = APCseg-n - These are the PCseg-n bases, with diffuse augmentation.  
See extended notes below!

#### Sapporo valence basis sets:

- = SPK-nZP - Sapporo family of non-relativistic bases, n=D,T,Q, available H-Xe
- = SPK-AnZP - diffuse augmentation of the above.
- = SPKrnZP - Sapporo family of relativistic bases n=D,T,Q, available H-Xe. These should be used only with a relativistic transformation of the integrals, such as RELWFn=IOTC or RELWFn=DK. These sets are identical to SPK-nZP up to Ar.
- = SPKrnAnZP - diffuse augmentation of the above.  
See extended notes below!

#### Sapporo core/valence basis sets:

- = SPK-nZC - Sapporo family of non-relativistic bases, n=D,T,Q, available H-Xe
- = SPK-nZCD - diffuse augmentation of the above.
- = SPKrnZC - Sapporo family of relativistic bases n=D,T,Q, available H-Xe, and La-Lu.  
To be used only with a relativistic

transformation of the integrals, such as RELWFN=IOTC or RELWFN=DK. These sets are identical to SPK-nZC up to Ar.  
= SPKrnZCD - diffuse augmentation of the above.  
See extended notes below!

- = KTZV - Karlsruhe valence triple zeta basis, as developed by Prof.Ahlrichs, see REFS.DOC.
  - = KTZVP- Karlsruhe valence triple zeta basis with a set of single polarization (P).
  - = KTZVPP-Karlsruhe valence triple zeta basis with a set of double polarization (PP).
- The Karlsruhe sets are provided for H-Ar.

Normally these families are used as spherical harmonics, see ISPHER=1 in \$CONTRL. Failure to set ISPHER=1 will result in discrepancies in energy values compared to the literature or other programs, difficulties in converging SCF/DFT, CC, CI, and/or response equation iterations, and longer run times due to retention of unimportant MOs. The calculations will refuse to run without ISPHER being set.

Important note about the PCseg basis set family:

1. These should be used only in spherical harmonic form.
2. The PCn basis sets included in GAMESS versions prior to March 2014 were generally contracted, but were replaced by computationally more efficient segmented contractions, and renamed to PCseg-n. The segmented contractions have the same or slightly better accuracy (especially for n=0) as the original PCn bases, which are no longer available.

Important notes about the CC basis set family:

1. These should be used only in spherical harmonic form.
2. The CC5 and CC6 basis sets (and corresponding augmented versions) contain h-functions, and CC6 also contains i-functions. As of January 2013, GAMESS integral code can correctly use h & i functions, so these three call up the true basis sets. Prior to January 2013, GAMESS' integral codes was restricted to g-functions, so these three truncated away any h & i functions, to spdfg subsets, and therefore were not the true basis sets.
3. Note that the CC basis sets are generally contracted, which GAMESS can only handle by replicating the primitive

basis functions, leading to a less than optimum performance in AO integral evaluation.

4. The implementation of the cc-pVnZ and cc-pcVnZ basis sets for Na-Ar include one additional tight d-function, producing the so-called cc-pV(n+d)Z and cc-pcV(n+d)Z sets, which are known to improve results (see J.Chem.Phys. 114, 9244(2001) and Theoret.Chem.Acc. 120, 119(2008)). These tight d versions are invoked by GBASIS=CCn or CCnC (and also their augmented counterparts ACCn or ACC). This means the old (and less accurate) basis sets without the tight d's are not available for Na-Ar.

5. Alkali and alkali earth basis sets (Li,Be,Na,Mg) were changed April 2013 so that regular, diffuse, tight d (for Na/Mg), core/valence, and weighted core/valence sets agree with their official publication: Theor. Chem. Acc. 128, 69(2011).

6. In case you are interested in scalar relativistic effects, the CCT-DK and CCQ-DK sets optimized for use with Douglas/Kroll are available for Sc-Kr. These will be used if you type GBASIS=CCT or CCQ along with RELWFN=DK or IOTC, using NR sets for elements lighter than Sc. DK versions of ACCD or ACCT are available for Sc-Zn (but not Ga-Kr).

Notes about the Sapporo basis set family:

1. SPK is the international airport city code for Sapporo.
2. These should be used only in spherical harmonic form.
3. The relativistic bases were optimized at the 3rd order of the Douglas-Kroll transformation, with a Gaussian nuclei model, but can also be used with the infinite order two-component scheme IOTC (see RELWFN in \$CONTRL). It would be very illogical to use these all-electron relativistic bases without also turning on scalar relativity!
4. Because they are stored in an external file supplied with GAMESS, these can only be accessed via GBASIS in this group, not by using them in-line in \$DATA.
5. The core/valence basis sets treat (n-1)s,(n-1)p,ns for s-block elements; (n-1)s,(n-1)p,ns,np for p block elements; (n-1)s,(n-1)p,(n-1)d,ns for d block elements, and the 4s-4f,5s-5d,6s for f block (lanthanides). Usage of these suggests you should change the default number of core orbitals, such as NACORE in \$MP2 or NCORE in \$CCINP.
6. The basis sets were extracted from the data base of Segmented Gaussian Basis Sets, maintained by Takeshi Noro, Quantum Chemistry Group, Sapporo, Japan:

<http://setani.sci.hokudai.ac.jp/sapporo/Welcome.do>  
 The mapping between the data base names and the keywords used in GAMESS is (for n=D,T,Q):

data base name	keyword
Sapporo-nZP	SPK-nZP
Sapporo-nZP+diffuse	SPK-AnZP
Sapporo-DK-nZP	SPKrnZP
Sapporo-DK-nZP+diffuse	SPKrnAnZP
Sapporo-nZP-2012	SPK-nZC
Sapporo-nZP-2012+diffuse	SPK-nZCD
Sapporo-DK-nZP-2012	SPKrnZC
Sapporo-DK-nZP-2012+diffuse	SPKrnZCD

\* \* \* Effective Core Potential (ECP) bases \* \* \*

GBASIS = SBKJC- Stevens/Basch/Krauss/Jasien/Cundari  
 valence basis set, for Li-Rn. This choice  
 implies an unscaled -31G basis for H-He.  
 = HW - Hay/Wadt valence basis.  
 This is a -21 split, available Na-Xe,  
 except for the transition metals.  
 This implies a 3-21G basis for H-Ne.

\* \* \* Model Core Potential (MCP) bases \* \* \*

Notes: Select PP=MCP in \$CONTRL to automatically use the  
 model core potential matching your basis choice below.  
 References for these bases, and other information about  
 MCPs can be found in the REFS.DOC chapter. Another family  
 covering almost all elements is available in \$DATA only.

GBASIS = MCP-DZP, MCP-TZP, MCP-QZP -  
 a family of double, triple, and quadruple zeta  
 quality valence basis sets, which are akin to the  
 correlation consistent sets, in that these include  
 increasing levels of polarization (and so do not  
 require "supplements" like NDFUNC or DIFFSP) and  
 must be used as spherical harmonics (see ISPHER).  
 Availability:  
 MCP-DZP: 56 elements Z=3-88,  
 except V-Zn, Y-Cd, La, Hf-Hg  
 MCP-TZP, MCP-QZP: 85 elements Z=3-88, except La

The basis sets for hydrogen atoms will be the corresponding Dunning's cc-pVNZ (N=D,T,Q).

- = MCP-ATZP, MCP-AQZP -  
MCP-TZP and MCP-QZP core potentials whose  
basis sets were augmented with diffuse functions  
Availability: same as for MCP-TZP, MCP-QZP
- = MCPDZP, MCPCTZP, MCPCQZP -  
based on MCP-DZP, MCP-TZP, MCP-QZP,  
with core-valence functions provided for the  
alkali and alkaline earth atoms Na through Ra.
- = MCPACDZP, MCPACTZP, MCPACQZP -  
based on MCPDZP, MCPCTZP, MCPCQZP,  
with core-valence functions provided for the  
alkali and alkaline earth atoms Na through Ra, and  
augmented with diffuse functions.

The basis sets were extracted from the data base Segmented Gaussian Basis Sets, maintained by Takeshi Noro, Quantum Chemistry Group, Sapporo, Japan:

<http://setani.sci.hokudai.ac.jp/sapporo/Welcome.do>

The mapping between the data base names and the names used in GAMESS is

data base name	GAMESS keyword
MCP/NOSeC-V-DZP	MCP-DZP
MCP/NOSeC-V-TZP	MCP-TZP
MCP/NOSeC-V-QZP	MCP-QZP
MCP/NOSeC-V-TZP+diffuse	MCP-ATZP
MCP/NOSeC-V-QZP+diffuse	MCP-AQZP
MCP/NOSeC-CV-DZP	MCPDZP
MCP/NOSeC-CV-TZP	MCPCTZP
MCP/NOSeC-CV-QZP	MCPCQZP
MCP/NOSeC-CV-DZP+diffuse	MCPACDZP
MCP/NOSeC-CV-TZP+diffuse	MCPACTZP
MCP/NOSeC-CV-QZP+diffuse	MCPACQZP

GBASIS = IMCP-SR1 and IMCP-SR2 -  
valence basis sets to be used with the improved  
MCPs with scalar relativistic effects.



These are available for transition metals except La, and the main group elements B-Ne, P-Ar, Ge, Kr, Sb, Xe, Rn.

The 1 and 2 refer to addition of first and second polarization shells, so again don't use any of the "supplements" and do use spherical harmonics.

= IMCP-NR1 and IMCP-NR2 -  
closely related valence basis sets, but with  
nonrelativistic model core potentials.

GBASIS = ZFK3-DK3, ZFK4-DK3, ZFK5-DK3, or  
ZFK3LDK3, ZFK4LDK3, ZFK5LDK3

These are a family of model core potential basis sets developed by Zeng/Fedorov/Klobukowski, for the p-block elements from 2p to 6p. The potentials were parameterized taking into account both DK3 scalar relativistic and DK-SOC effects. The fundamental basis functions are from the Well-Tempered Basis Sets. The number after ZFK indicates the augmentation levels, e.g. ZFK3 means the diffuse functions from aug-cc-pVTZ are added, ZFK4 means from aug-cc-pVQZ, etc. The difference between ZFKn-DK3 and ZFKnLDK3 is that the common s and p exponents have been contracted as a single L-shell for the outermost s and p valence shells to save time in the "L" case. The s-block elements from 1s to 4s have also been put in the library. For H/He, all-electron aug-cc-pVnZ basis sets are used. For Li/Be, the relativistically contracted atomic natural orbital all-electron basis sets (ANO-RCC) are used. For Na/Mg, and K/Ca, unpublished MCP and basis sets based on ANO-RCC are available, although the potentials have not been extensively tested yet. No d-block elements can be used.

\* \* \* semiempirical basis sets \* \* \*

GBASIS = MNDO - selects MNDO model Hamiltonian  
= AM1 - selects AM1 model Hamiltonian  
= PM3 - selects PM3 model Hamiltonian  
= RM1 - selects RM1 model Hamiltonian  
= DFTB - selects tight binding Hamiltonian

Note: The elements for which these exist can be found in the 'further information' section of this manual. If you pick one of these, all other data in this group is ignored. Semi-empirical runs actually use valence-only Slater type

orbitals (STOs), not Gaussian GTOs, but the keyword remains GBASIS.

Except for NGAUSS, all other keywords such as NDFUNC, etc. will be ignored for these. If you add NGAUSS, STO-NG expansions of the valence STO functions in terms of Gaussians will be added to the log file. Plotting programs such as MacMolPlt can pick up this approximation to the STOs used up from the output, in order to draw the orbitals. The default NGAUSS=0 suppresses this output, but values up to 6 may be given to control the accuracy of the STO-NG printing.

--- supplementary functions ---

NDFUNC = number of heavy atom polarization functions to be used. These are usually d functions, except for MINI/MIDI. The term "heavy" means Na on up when GBASIS=STO, HW, or N21, and from Li on up otherwise. The value may not exceed 3. The variable POLAR selects the actual exponents to be used, see also SPLIT2 and SPLIT3. (default=0)

NFFUNC = number of heavy atom f type polarization functions to be used on Li-Cl. This may only be input as 0 or 1. (default=0)

NPFUNC = number of light atom, p type polarization functions to be used on H-He. This may not exceed 3, see also POLAR. (default=0)

DIFFSP = flag to add diffuse sp (L) shell to heavy atoms. Heavy means Li-F, Na-Cl, Ga-Br, In-I, Tl-At. The default is .FALSE.

DIFFS = flag to add diffuse s shell to hydrogens. The default is .FALSE.

Warning: if you use diffuse functions, please read QMTTOL in the \$CONTRL input group for numerical concerns.

POLAR = exponent of polarization functions  
= COMMON (default for GBASIS=STO,N21,HW,SBKJC)  
= POPN31 (default for GBASIS=N31)  
= POPN311 (default for GBASIS=N311, MC)

= DUNNING (default for GBASIS=DH, DZV)  
= HUZINAGA (default for GBASIS=MINI, MIDI)  
= HONDO7 (default for GBASIS=TZV)

SPLIT2 = an array of splitting factors used when NDFUNC  
or NPFUNC is 2. Default=2.0,0.5

SPLIT3 = an array of splitting factors used when NDFUNC  
or NPFUNC is 3. Default=4.00,1.00,0.25

The splitting factors are from the Pople school, and are probably too far apart. See for example the Binning and Curtiss paper. For example, the SPLIT2 value will usually cause an INCREASE over the 1d energy at the HF level for hydrocarbons.

The actual exponents used for polarization functions, as well as for diffuse sp or s shells, are described in the 'Further References' section of this manual. This section also describes the sp part of the basis set chosen by GBASIS fully, with all references cited.

Note that GAMESS always punches a full \$DATA input group. Thus, if \$BASIS does not quite cover the basis you want, you can obtain this full \$DATA from EXETYP=CHECK, and then change polarization exponents, add Rydbergs, etc.

\* \* \*

This may only be used with COORD=UNIQUE or HINT!

BASNAM = an array of names of customized basis set input groups. BASNAM should obey the rule of no more than six characters starting with a letter names, and must avoid using any GBASIS string. However, the individual basis inputs can use any of the GBASIS sets by its standard name. Basis supplementations such as DIFFS or NDFUNC may only be given by explicit numerical values.

This is best explained by an example where a core potential and valence-only basis set is used on a transition metal, but not its ligands:

```

$contrl scftyp=roh f icharg=+3 mult=4 runtyp=gradient
      pp=read ispher=1 $end
$system mwords=1 $end
$guess  guess=huckel $end
$basis  basnam(1)=metal,  lig0,lig0,lig0,lig0,lig0,lig0,
      ligH,ligH,ligH,ligH,ligH,ligH,
      ligH,ligH,ligH,ligH,ligH,ligH $end

$data
Cr+3(H2O)6 complex...SBKJC & 6-31G(d) geometry
Th

CHROMIUM    24.0    .0000000000    .0    .0000000000
OXYGEN       8.0    .0000000000    .0    2.0398916104
HYDROGEN     1.0    .7757887450    .0    2.6122732372
$end
!          core potential basis for Chromium
$metal
sbkjc

$end
!          normal 6-31G(d) for oxygen ligands
$lig0
n31 6
d 1 ; 1 0.8 1.0

$end
!          unpolarized basis for hydrogens
$ligH
n31 6

$end
$ecp
Cr-ecp SBKJC
O-ecp none
...snipped...   there must be 6 O's given here
O-ecp none
H-ecp none
...snipped...   there must be 12 H's given here
H-ecp none
$end

```

\* \* \*

EXTFIL = a flag to read basis sets from an external file,

defined by EXTBAS, rather than from \$DATA.  
(default=.false.)

It may be easier to use BASNAM to create custom basis sets! BASNAM has the bonus that your input file contains all information about the calculation, explicitly.

Except for MCP basis sets, no external file is provided with GAMESS, thus you must create your own. The GBASIS keyword must give an 8 or less character string, obviously not using any internally stored names. Every atom must be defined in the external file by a line giving the chemical symbol, and this chosen string. Following this header line, give the basis in free format \$DATA style, containing only S, P, D, F, G, and L shells, and terminating each atom by the usual blank line. The external file may have several families of bases in the same file, identified by different GBASIS strings.

=====

=====

**\$DATA** group (required)  
**\$DATAS** group (if NESC chosen, for small component basis)  
**\$DATAI** group (if NESC chosen, for large component basis)

This group describes the global molecular data such as point group symmetry, nuclear coordinates, and possibly the basis set. It consists of a series of free format card images. See \$RELWFN for more information on large and small component basis sets. The input structure of \$DATAS and \$DATAI is identical to the COORD=UNIQUE \$DATA input.

-----

-1- TITLE a single descriptive title card.

-----

-2- GROUP, NAXIS

GROUP is the Schoenflies symbol of the symmetry group, you may choose from

C1, Cs, Ci, Cn, S2n, Cnh, Cnv, Dn, Dnh, Dnd,  
T, Th, Td, O, Oh.

NAXIS is the order of the highest rotation axis, and must be given when the name of the group contains an N. For example, "Cnv 2" is C2v. "S2n 3" means S6. Use of NAXIS up to 8 is supported in each axial groups.

For linear molecules, choose either Cnv or Dnh, and enter NAXIS as 4. Enter atoms as Dnh with NAXIS=2. If the electronic state of either is degenerate, check the note about the effect of symmetry in the electronic state in the SCF section of REFS.DOC.

-----

In order to use GAMESS effectively, you must be able to recognize the point group name for your molecule. This presupposes a knowledge of group theory at about the level of Cotton's "Group Theory", Chapter 3.

Armed with only the name of the group, GAMESS is able to exploit the molecular symmetry throughout almost all of the program, and thus save a great deal of computer time. GAMESS does not require that you know very much else about group theory, although a deeper knowledge (character tables, irreducible representations, term symbols, and so on) is useful when dealing with the more sophisticated wavefunctions.

Cards -3- and -4- are quite complicated, and are rarely given. A \*SINGLE\* blank card may replace both cards -3- and -4-, to select the 'master frame', which is defined on the next page. If you choose to enter a blank line, skip to one of the -5- input sequences.

Note!

If the point group is C1 (no symmetry), skip over cards -3- and -4- (which means no blank card).

-----

-3- X1, Y1, Z1, X2, Y2, Z2

For C1 group, there is no card -3- or -4-.  
For CI group, give one point, the center of inversion.  
For CS group, any two points in the symmetry plane.  
For axial groups, any two points on the principal axis.  
For tetrahedral groups, any two points on a two-fold axis.  
For octahedral groups, any two points on a four-fold axis.

-----

-4- X3, Y3, Z3, DIRECT

third point, and a directional parameter.  
For CS group, one point of the symmetry plane,  
noncollinear with points 1 and 2.  
For CI group, there is no card -4-.

For other groups, a generator sigma-v plane (if any) is the (x,z) plane of the local frame (CNV point groups).

A generator sigma-h plane (if any) is the (x,y) plane of the local frame (CNH and dihedral groups).

A generator C2 axis (if any) is the x-axis of the local frame (dihedral groups).

The perpendicular to the principal axis passing through the third point defines a direction called D1. If DIRECT='PARALLEL', the x-axis of the local frame coincides with the direction D1. If DIRECT='NORMAL', the x-axis of the local frame is the common perpendicular to D1 and the principal axis, passing through the intersection point of these two lines. Thus D1 coincides in this case with the negative y axis.

-----

The 'master frame' is just a standard orientation for the molecule. By default, the 'master frame' assumes that

1. z is the principal rotation axis (if any),
2. x is a perpendicular two-fold axis (if any),
3. xz is the sigma-v plane (if any), and
4. xy is the sigma-h plane (if any).

Use the lowest number rule that applies to your molecule.

Some examples of these rules:

Ammonia (C3v): the unique H lies in the XZ plane (R1,R3).  
Ethane (D3d): the unique H lies in the YZ plane (R1,R2).  
Methane (Td): the H lies in the XYZ direction (R2). Since there is more than one 3-fold, R1 does not apply.  
HP=0 (Cs): the mirror plane is the XY plane (R4).

In general, it is a poor idea to try to reorient the molecule. Certain sections of the program, such as the orbital symmetry assignment, do not know how to deal with cases where the 'master frame' has been changed.

Linear molecules (C4v or D4h) must lie along the z axis, so do not try to reorient linear molecules.

You can use EXETYP=CHECK to quickly find what atoms are generated, and in what order. This is typically necessary in order to use the general \$ZMAT coordinates.

\* \* \* \*

Depending on your choice for COORD in \$CONTROL,



```

if COORD=UNIQUE, follow card sequence U
if COORD=HINT,   follow card sequence U
if COORD=CART,   follow card sequence C
if COORD=ZMT,    follow card sequence G
if COORD=ZMTMPC, follow card sequence M

```

Card sequence U is the only one which allows you to define a completely general basis here in \$DATA.

Recall that UNIT in \$CONTRL determines the distance units.

-----

-5U- Atom input. Only the symmetry unique atoms are input, GAMESS will generate the symmetry equivalent atoms according to the point group selected above.

```

if COORD=UNIQUE  NAME, ZNUC, X, Y, Z
*****

```

NAME = 10 character atomic name, used only for printout.  
 Thus you can enter H or Hydrogen, or whatever.  
 ZNUC = nuclear charge. It is the nuclear charge which actually defines the atom's identity.  
 X,Y,Z = Cartesian coordinates.

```

if COORD=HINT
*****

```

```

NAME,ZNUC,CONX,R,ALPHA,BETA,SIGN,POINT1,POINT2,POINT3

```

NAME = 10 character atomic name (used only for print out).  
 ZNUC = nuclear charge.  
 CONX = connection type, choose from  
     'LC' linear conn.                      'CCPA' central conn.  
     'PCC' planar central conn.            with polar atom  
     'NPCC' non-planar central conn.       'TCT' terminal conn.  
     'PTC' planar terminal conn.           with torsion  
 R = connection distance.  
 ALPHA= first connection angle  
 BETA = second connection angle  
 SIGN = connection sign, '+' or '-'  
 POINT1, POINT2, POINT3 =  
     connection points, a serial number of a previously  
     input atom, or one of 4 standard points: 0,I,J,K

(origin and unit points on axes of master frame).  
defaults: POINT1='O', POINT2='I', POINT3='J'

ref- R.L. Hilderbrandt, J.Chem.Phys. 51, 1654 (1969).  
You cannot understand HINT input without reading this.

Note that if ZNUC is negative, the internally stored basis for ABS(ZNUC) is placed on this center, but the calculation uses ZNUC=0 after this. This is useful for basis set superposition error (BSSE) calculations.

-----

\* \* \* If you gave \$BASIS, continue entering cards -5U- until all the unique atoms have been specified. When you are done, enter a " \$END " card.  
\* \* \* If you did not, enter cards -6U-, -7U-, -8U-.

-----

-6U- GBASIS, NGAUSS, (SCALF(i),i=1,4)

GBASIS can have exactly the same meaning as the keyword in \$BASIS. You may choose from STO, N21, N31, N311, ACCT, PC4, ... A few of these require NGAUSS below.

In addition, GBASIS can be S, P (or L), D, F, G, H, or I to enter an explicit basis set. L means both an S and P shell with the same exponent. See NGAUSS below.

In addition, GBASIS may be defined as MCP, to indicate that the current atom is represented by a model core potential, and valence basis set. An internally stored basis and potential will be applied (see REFS.DOC for the details). The MCP basis supplies only the occupied atomic orbitals, e.g. sp for a main group element, so please supplement with any desired polarization. In case the keyword MCP is followed by the keyword READ, everything will be taken from the input file, namely the basis functions are read using the sequence -6U-, -7U-, and -8U-, from lines following the "MCP READ" line. In addition, "MCP READ" implies that the parameters of the model core potentials, together with core basis functions are in the input stream, in a \$MCP input group. Other MCP bases are available in the \$BASIS input, but note that to locate the MCP, the atom name must be a chemical symbol, that is "P" instead of "Phosphorus".

NGAUSS is the number of Gaussians (N) in the Pople style basis, or user input general basis. It has meaning only for GBASIS=STO, N21, N31, or N311, or explicit GTO types such as S,P,D,F...

Up to 4 scale factors may be entered. If omitted, standard values are used. They are not documented as every GBASIS treats these differently. Read the source code if you need to know more. They are seldom given.

-----  
\* \* \* If GBASIS is not S,P,D,F,... either add more  
shells by repeating card -6U-, or go on to -8U-.  
\* \* \* If GBASIS=S,P,D,F,... enter NGAUSS cards -7U-.

-----  
-7U- IG, ZETA, C1, C2

IG = a counter, IG takes values 1, 2, ..., NGAUSS.  
ZETA = Gaussian exponent of the IG'th primitive.  
C1 = Contraction coefficient for S,P,D,F,G shells,  
and for the s function of L shells.  
C2 = Contraction coefficient for the p in L shells.

-----  
\* \* \* For more shells on this atom, go back to card -6U-.  
\* \* \* If there are no more shells, go on to card -8U-.

-----  
-8U- A blank card ends the basis set for this atom.  
-----

Continue entering atoms with -5U- through -8U- until all are given, then terminate the group with a " \$END " card.

--- this is the end of card sequence U ---

COORD=CART input:

-----  
-5C- Atom input.

Cartesian coordinates for all atoms must be entered. They may be arbitrarily rotated or translated, but must possess

the actual point group symmetry. GAMESS will reorient the molecule into the 'master frame', and determine which atoms are the unique ones. Thus, the final order of the atoms may be different from what you enter here.

NAME, ZNUC, X, Y, Z

NAME = 10 character atomic name, used only for printout.

Thus you can enter H or Hydrogen, or whatever.

ZNUC = nuclear charge. It is the nuclear charge which actually defines the atom's identity.

X,Y,Z = Cartesian coordinates.

-----  
Continue entering atoms with card -5C- until all are given, and then terminate the group with a " \$END " card.

--- this is the end of card sequence C ---

COORD=ZMT input: (GAUSSIAN style internals)

-----  
-5G- ATOM

Only the name of the first atom is required.

See -8G- for a description of this information.

-----  
-6G- ATOM i1 BLENGTH

Only a name and a bond distance is required for atom 2.

See -8G- for a description of this information.

-----  
-7G- ATOM i1 BLENGTH i2 ALPHA

Only a name, distance, and angle are required for atom 3.

See -8G- for a description of this information.

-----  
-8G- ATOM i1 BLENGTH i2 ALPHA i3 BETA i4

ATOM is the chemical symbol of this atom. It can be

followed by numbers, if desired, for example Si3.  
The chemical symbol implies the nuclear charge.  
i1 defines the connectivity of the following bond.  
BLENGTH is the bond length "this atom-atom i1".  
i2 defines the connectivity of the following angle.  
ALPHA is the angle "this atom-atom i1-atom i2".  
i3 defines the connectivity of the following angle.  
BETA is either the dihedral angle "this atom-atom i1-  
atom i2-atom i3", or perhaps a second bond  
angle "this atom-atom i1-atom i3".  
i4 defines the nature of BETA,  
If BETA is a dihedral angle, i4=0 (default).  
If BETA is a second bond angle, i4=+/-1.  
(sign specifies one of two possible directions).

-----

- o Repeat -8G- for atoms 4, 5, ...
  - o The use of ghost atoms is possible, by using X or BQ for the chemical symbol. Ghost atoms preclude the option of an automatic generation of \$ZMAT.
  - o The connectivity i1, i2, i3 may be given as integers, 1, 2, 3, 4, 5,... or as strings which match one of the ATOMs. In this case, numbers must be added to the ATOM strings to ensure uniqueness!
  - o In -6G- to -8G-, symbolic strings may be given in place of numeric values for BLENGTH, ALPHA, and BETA. The same string may be repeated, which is handy in enforcing symmetry. If the string is preceded by a minus sign, the numeric value which will be used is the opposite, of course. Any mixture of numeric data and symbols may be given. If any strings were given in -6G- to -8G-, you must provide cards -9G- and -10G-, otherwise you may terminate the group now with a " \$END " card.
- 

-9G- A blank line terminates the Z-matrix section.

-----

-10G- STRING VALUE

STRING is a symbolic string used in the Z-matrix.  
VALUE is the numeric value to substitute for that string.

-----

Continue entering -10G- until all STRINGS are defined. Note that any blank card encountered while reading -10G- will be ignored. GAMESS regards all STRINGS as variables (constraints are sometimes applied in \$STATPT). It is not necessary to place constraints to preserve point group symmetry, as GAMESS will never lower the symmetry from that given at -2-. When you have given all STRINGS a VALUE, terminate the group with a " \$END " card.

--- this is the end of card sequence G ---

\* \* \* \*

The documentation for sequence G above and sequence M below presumes you are reasonably familiar with the input to GAUSSIAN or MOPAC. It is probably too terse to be understood very well if you are unfamiliar with these. A good tutorial on both styles of Z-matrix input can be found in Tim Clark's book "A Handbook of Computational Chemistry", published by John Wiley & Sons, 1985.

Both Z-matrix input styles must generate a molecule which possesses the symmetry you requested at -2-. If not, your job will be terminated automatically.

COORD=ZMTMPC input: (MOPAC style internals)

-----

-5M-        ATOM

Only the name of the first atom is required.  
See -8M- for a description of this information.

-----

-6M-        ATOM BLENGTH

Only a name and a bond distance is required for atom 2.  
See -8M- for a description of this information.

-----

-7M-        ATOM BLENGTH j1 ALPHA j2

Only a bond distance from atom 2, and an angle with respect to atom 1 is required for atom 3. If you prefer to hook atom 3 to atom 1, you must give connectivity as in -8M-. See -8M- for a description of this information.

-----

-8M-        ATOM BLENGTH j1 ALPHA j2 BETA j3 i1 i2 i3

ATOM, BLENGTH, ALPHA, BETA, i1, i2 and i3 are as described at -8G-. However, BLENGTH, ALPHA, and BETA must be given as numerical values only. In addition, BETA is always a dihedral angle. i1, i2, i3 must be integers only.

The j1, j2 and j3 integers, used in MOPAC to signal optimization of parameters, must be supplied but are ignored here. You may give them as 0, for example.

-----

Continue entering atoms 3, 4, 5, ... with -8M- cards until all are given, and then terminate the group by giving a " \$END " card.

--- this is the end of card sequence M ---

=====  
This is the end of \$DATA!

If you have any doubt about what molecule and basis set you are defining, or what order the atoms will be generated in, simply execute an EXETYP=CHECK job to find out!

=====

**\$ZMAT** group      (required if NZVAR is nonzero in \$CONTRL)

This group lets you define the internal coordinates in which the gradient geometry search is carried out. These need not be the same as the internal coordinates used in \$DATA. The coordinates may be simple Z-matrix types, delocalized coordinates, or natural internal coordinates.

You must input a total of  $M=3N-6$  internal coordinates ( $M=3N-5$  for linear molecules). NZVAR in \$CONTRL can be less than M IF AND ONLY IF you are using linear bends. It is also possible to input more than M coordinates if they are used to form exactly M linear combinations for new internals. These may be symmetry coordinates or natural internal coordinates. If  $NZVAR > M$ , you must input IJS and SIJ below to form M new coordinates. See DECOMP in \$FORCE for the only circumstance in which you may enter a larger NZVAR without giving SIJ and IJS.

\*\*\*\* IZMAT defines simple internal coordinates \*\*\*\*

IZMAT is an array of integers defining each coordinate. The general form for each internal coordinate is  
code number, I, J, K, L, M, N

IZMAT =1 followed by two atom numbers. (I-J bond length)  
 =2 followed by three numbers. (I-J-K bond angle)  
 =3 followed by four numbers. (dihedral angle)  
     Torsion angle between planes I-J-K and J-K-L.  
 =4 followed by four atom numbers. (atom-plane)  
     Out-of-plane angle from bond I-J to plane J-K-L.  
 =5 followed by three numbers. (I-J-K linear bend)  
     Counts as 2 coordinates for the degenerate bend, normally J is the center atom. See \$LIBE.  
 =6 followed by five atom numbers. (dihedral angle)  
     Dihedral angle between planes I-J-K and K-L-M.  
 =7 followed by six atom numbers. (ghost torsion)  
     Let A be the midpoint between atoms I and J, and B be the midpoint between atoms M and N. This coordinate is the dihedral angle A-K-L-B. The atoms I, J and/or M, N may be the same atom number. (If  $I=J$  AND  $M=N$ , this is a conventional torsion).  
     Examples: N<sub>2</sub>H<sub>4</sub>, or, with one common pair, H<sub>2</sub>POH.



Example - a nonlinear triatomic, atom 2 in the middle:

```
$ZMAT IZMAT(1)=1,1,2, 2,1,2,3, 1,2,3 $END
```

This sets up two bonds and the angle between them.

The blanks between each coordinate definition are not necessary, but improve readability mightily.

\*\*\*\* the next define delocalized coordinates \*\*\*\*

DLC is a flag to request delocalized coordinates.  
(default is .FALSE.)

AUTO is a flag to generate all redundant coordinates, automatically. The DLC space will consist of all non-redundant combinations of these which can be found. The list of redundant coordinates will consist of bonds, angles, and torsions only.  
(default is .FALSE.)

NONVDW is an array of atom pairs which are to be joined by a bond, but might be skipped by the routine that automatically includes all distances shorter than the sum of van der Waals radii. Any angles and torsions associated with the new bond(s) are also automatically included.

Cases where the AUTO generation of DLC coordinates fails to find the full set of  $3N-6$  coordinates typically fall 6 short of  $3N-6$ . These cases are invariably due to the system being divided into pieces too far apart to have bonds detected (for example, system A might be H-bonded to system B, finding  $3N-12$  coordinates only). Adding NONVDW input for that H-bond will tie A and B together, and result in a correct AUTO generation of all  $3N-6$  coordinates. Falling short by an integer multiple of 6 indicates more than two pieces, requiring several NONVDW pairs. Falling short by 3 coordinates indicates one of the separate systems A or B is likely a single atom, which has no rotational degrees of freedom, again it should be attached by NONVDW.

DLC coordinate generation can be fine tuned by IXZMAT, IRZMAT, IFZMAT whose format is the same as IZMAT:

IXZMAT is an extra array of simple internal coordinates which you want to have added to the list generated by AUTO. Unlike NONVDW, IXZMAT will add only the coordinate(s) you specify.

IRZMAT is an array of simple internal coordinates which you would like to remove from the AUTO list of redundant coordinates. It is sometimes necessary to remove a torsion if other torsions around a bond are being frozen, to obtain a nonsingular G matrix.

IFZMAT is an array of simple internal coordinates which you would like to freeze. See also FVALUE below, which is --required-- input when IFZMAT is given. IFZMAT/FVALUE work with ordinary coordinate input using IZMAT, as well as with DLC, but in the former case be careful that IFZMAT specifies coordinates that were already given in IZMAT. In addition, IFZMAT works only for IZMAT=1,2,3 type coordinates. See IFREEZ in \$STATPT you wish to freeze regular or natural internal coordinates.

FVALUE is an array of values to which the internal coordinates should be constrained. It is not necessary to input \$DATA such that the initial values match these desired final values, but it is helpful if the initial values are not too far away.

\*\*\*\* SIJ,IJS define natural internal coordinates \*\*\*\*

SIJ is a transformation matrix of dimension NZVAR x M, used to transform the NZVAR internal coordinates in IZMAT into M new internal coordinates. SIJ is a sparse matrix, so only the non-zero elements are given, by using the IJS array described below. The columns of SIJ will be normalized by GAMESS. (Default: SIJ = I, unit matrix)

IJS is an array of pairs of indices, giving the row and column index of the entries in SIJ.

example - if the above triatomic is water, using

```
IJS(1) = 1,1, 3,1, 1,2, 3,2, 2,3
SIJ(1) = 1.0, 1.0, 1.0,-1.0, 1.0
```

gives the matrix  $S = \begin{pmatrix} 1.0 & 1.0 & 0.0 \\ 0.0 & 0.0 & 1.0 \\ 1.0 & -1.0 & 0.0 \end{pmatrix}$

which defines the symmetric stretch, asymmetric stretch,  
and bend of water.

references for natural internal coordinates:

P.Pulay, G.Fogarasi, F.Pang, J.E.Boggs

J.Am.Chem.Soc. 101, 2550-2560(1979)

G.Fogarasi, X.Zhou, P.W.Taylor, P.Pulay

J.Am.Chem.Soc. 114, 8191-8201(1992)

reference for delocalized coordinates:

J.Baker, A. Kessi, B.Delley

J.Chem.Phys. 105, 192-212(1996)

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**\$LIBE** group (required if linear bends are used in \$ZMAT)

A degenerate linear bend occurs in two orthogonal planes, which are specified with the help of a point A. The first bend occurs in a plane containing the atoms I,J,K and the user input point A. The second bend is in the plane perpendicular to this, and containing I,J,K. One such point must be given for each pair of bends used.

APTS(1)= x1,y1,z1,x2,y2,z2,... for linear bends 1,2,...

Note that each linear bend serves as two coordinates, so that if you enter 2 linear bends (HCCH, for example), the correct value of NZVAR is M-2, where  $M=3N-6$  or  $3N-5$ , as appropriate.

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**\$SCF** group            relevant if SCFTYP = RHF, UHF, or ROHF,  
                         required if SCFTYP = GVB)

This group of parameters provides additional control over the RHF, UHF, ROHF, or GVB SCF steps. It must be given to define GVB open shell or perfect pairing wavefunctions. See \$MCSCF for multireference inputs.

DIRSCF = a flag to activate a direct SCF calculation, which is implemented for all the Hartree-Fock type wavefunctions: RHF, ROHF, UHF, and GVB. This keyword also selects direct MP2 computation. The default of .FALSE. stores integrals on disk storage for a conventional SCF calculation.

FDIFF = a flag to compute only the change in the Fock matrices since the previous iteration, rather than recomputing all two electron contributions. This saves much CPU time in the later iterations. This pertains only to direct SCF, and has a default of .TRUE. This option is implemented only for the RHF, ROHF, UHF cases.

Cases with many diffuse functions in the basis set, or large molecules, may sometimes be "mushy" at the end, rather than converging. Increasing ICUT in \$CONTRL by one may help this, or consider turning this parameter off.

---- The next flags affect convergence rates.

NOCONV = .TRUE. means neither SOSCF nor DIIS will be used. The default is .FALSE., making the choice of the primary converger as follows:

    for RHF, GVB, UHF, or ROHF (if Abelian): SOSCF  
    for any DFT, or for non-Abelian groups: DIIS.

DIIS = selects Pulay's DIIS interpolation.

SOSCF = selects second order SCF orbital optimization.

Once either DIIS or SOSCF are initiated, the following less important accelerators are placed in abeyance:

EXTRAP = selects Pople extrapolation of the Fock matrix.

DAMP = selects Davidson damping of the Fock matrix.  
 SHIFT = selects level shifting of the Fock matrix.  
 RSTRCT = selects restriction of orbital interchanges.  
 DEM = selects direct energy minimization, which is implemented only for RHF. (default=.FALSE.)

defaults for	EXTRAP	DAMP	SHIFT	RSTRCT	DIIS	SOSCF
ab initio:	T	F	F	F	F/T	T/F
semiempirical:	T	F	F	F	F	F

The above parameters are implemented for all SCF wavefunction types, except that DIIS will work for GVB only for those cases with NPAIR=0 or NPAIR=1.

CUHF = flag requesting Constrained UHF, which causes the occupied beta orbitals of a UHF run to lie entirely within the occupied alpha orbital space. This produces results identical to high spin ROHF! Obviously, this keyword pertains only when using SCFTYP=UHF. The default is .FALSE., meaning a spin-contaminated ordinary UHF solution is sought. Applicable to UHF or UDFT energy and gradients, or to UMP2 energy calculations.

---- These parameters fine tune the various convergers.

CONV = SCF density convergence criteria. Convergence is reached when the density change between two consecutive SCF cycles is less than this in absolute value. One more cycle will be executed after reaching convergence. Less accuracy in CONV gives questionable gradients. The default is 1.0d-05, except runs involving CI, MP2, CC, or TDDFT use 1.0d-06 to obtain more crisply converged virtual orbitals.

SOGTOL = second order gradient tolerance. SOSCF will be initiated when the orbital gradient falls below this threshold. (default=0.25 au)

ETHRSH = energy error threshold for initiating DIIS. The DIIS error is the largest element of e=FDS-SDF. Increasing ETHRSH forces DIIS on sooner. (default = 0.5 Hartree)

MAXDII = Maximum size of the DIIS linear equations, so that at most MAXDII-1 Fock matrices are used in the interpolation. (default=10)

SWDIIS = density matrix convergence at which to switch from DIIS to SOSCF. A value of zero means to keep using DIIS at all geometries, which is the default. However, it may be useful to have DIIS work only at the first geometry, in the initial iterations, for example transition metal ECP runs which has a less good Huckel guess, and then use SOSCF for the final SCF iterations at the first geometry, and ever afterwards. A suggested usage might be DIIS=.TRUE. ETHRSH=2.0 SWDIIS=0.005. This option is not programmed for GVB.

LOCOPT = When set to .TRUE., SCF options are locked and do not change during the following: SOSCF and DIIS switch (SWDIIS), DFT grid switch, RHF -> DFT switch (SWOFF). If .FALSE., any of these switches resets some SCF options, such as SHIFT or DAMP. (Default: .FALSE.)

RESET = In UHF, reset SOSCF or DIIS if energy rises (Default: .TRUE. for UHF, .FALSE. otherwise).

DEMCUT = Direct energy minimization will not be done once the density matrix change falls below this threshold. (Default=0.5)

DMPCUT = Damping factor lower bound cutoff. The damping factor will not be allowed to drop below this value. (default=0.0)

note: The damping factor need not be zero to achieve valid convergence (see Hsu, Davidson, and Pitzer, J.Chem.Phys., 65, 609 (1976), see the section on convergence control), but it should not be astronomical either.

```
* * * * *
For more info on the convergence methods,
see the 'Further Information' section.
* * * * *
```

---- orbital modification options ----

The four options UHFNOS, VVOS, MVOQ, and ACAVO are mutually exclusive. The latter 3 require RUNTYP=ENERGY, and should not be used with any correlation treatment.

UHFNOS = flag controlling generation of the natural orbitals of a UHF function. (default=.FALSE.)

VVOS = flag controlling generation of Valence Virtual Orbitals. See J.Chem.Phys. 120, 2629-2637(2004). The default is .FALSE.

VVOs are a quantitative realization of the concept of the "lowest unoccupied molecular orbital". The implementation allows any elements H-Xe, for RHF, ROHF, and GVB wavefunctions, as well as DFT runs (see also VVOS in \$MCSCF). Core potentials may not be used. VVOS should be much better MCSCF starting orbitals than either MVOQ or ACAVO type virtuals.

MVOQ = 0 Skip MVO generation (default)  
 = n Form modified virtual orbitals, using a cation with n electrons removed. Implemented for RHF, ROHF, and GVB. If necessary to reach a closed shell cation, the program might remove n+1 electrons. Typically, n will be about 6.  
 = -1 The cation used will have each valence orbital half filled, to produce MVOs with valence-like character in all regions of the molecule. Implemented for RHF and ROHF only.

ACAVO = Flag to request Approximate Correlation-Adapted Virtual Orbitals. Implemented for RHF, ROHF, and GVB (w/o direct SCF). Default is .FALSE.

PACAVO = Parameters used to define the ACAVO generating operator, which is defined as

$$a*T + b*Vne + c*Jcore + d*Jval + e*Kcore + f*Kval$$

The default, PACAVO(1)=0,0,0,0,0,-1, maximizes the exchange interaction with valence MOs (see for example J.L.Whitten, J.Chem.Phys. 56, 5458-5466(1972).

The K-orbitals of D.Feller, E.R.Davidson J.Chem.Phys. 74, 3977-3979(1981) are 0.06\*F-K(valence), which is

PACAVO(1)= 0.06,0.06,0.12,0.12,-0.06,-1.06.



Of course, canonical virtuals are PACAV0(1)=1,1,2,2,-1,-1.

\* \* \*

UHFCHK = a flag to perform a RHF --> UHF wavefunction stability test (relaxation of RHF orbitals to unequal alpha and beta orbitals). This option is implemented only for RHF, and involves testing only pairs of orbitals at a single time: this is not foolproof! default is .FALSE.

NHOMO = if UHFCHK is selected, the number of orbitals at The top of the occupied orbital space to be checked for instabilities.  
Default= -1, meaning check HOMO and HOMO-1.

NLUMO = if UHFCHK is selected, the number of orbitals at the bottom of the virtual space checked. Basis sets with diffuse functions should check many more orbitals, to get past the diffuse MOs. Default=2, meaning check LUMO, LUMO+1, LUMO+2.

\* \* \*

MOM = flag enabling the Molecular Overlap Method (MOM). Currently works only with SCFTYP=UHF. MOM computes excitations by selecting orbitals at each iteration to resemble earlier iterations. See J.Phys.Chem. A 112, 13164-13171(2008). (default=.FALSE.)

note: MOM typically requires an initial MOREAD set of ground-state MO's, reordered by NORDER=1 in conjunction with the IORDER/JORDER arrays.  
The MOM flag is an algorithm very similar to that enabled by the RSTRCT flag. Note: RSTRCT works with all SCF types.

KPROJ determines the flavor of the MOM projections p\_j obtained from the overlap matrix O(i,j).  
KPROJ pertains only if the MOM flag is enabled.  
= 0 p\_j = |sum\_i O(i,j)|  
as given in the original MOM article  
= 1 p\_j = sum\_i O(i,j) (default)  
= 2 p\_j = sum\_i O(i,j)\*O(i,j),  
as implemented in Q-Chem

## ----- GVB wavefunction input -----

The next parameters define the GVB wavefunction. See also MULT in the \$CONTRL input. The GVB wavefunction assumes orbitals are in the order core, open, pairs.

NCO = The number of closed shell orbitals. The default almost certainly should be changed! (default=0).

NSETO = The number of sets of open shells in the function. Maximum of 10. (default=0)

NO = An array giving the degeneracy of each open shell set. Give NSETO values. (default=0,0,0,...).

NPAIR = The number of geminal pairs in the -GVB- function. Maximum of 12. The default corresponds to open shell SCF (default=0).

CICOEF = An array of ordered pairs of CI coefficients for the -GVB- pairs. (default = 0.90,-0.20,0.90,-0.20,...)

For example, a two pair case for water, say, might be CICOEF(1)=0.95,-0.05,0.95,-0.05. If not normalized, as in the default, CICOEF will be. This parameter is useful in restarting a GVB run, with the current CI coefficients.

COUPLE = A switch controlling the input of F, ALPHA, and BETA. (Default=.FALSE.)

Input for F, ALPHA, BETA will be ignored unless you select this variable as .TRUE.

F = An vector of fractional shell occupations.

ALPHA = An array of A coupling coefficients, given in lower triangular order.

BETA = An array of B coupling coefficients, given in lower triangular order.

Note: The default for F, ALPHA, and BETA depends on the state chosen. Defaults for the most commonly occurring

cases are internally stored. See "Further Information" for other cases, including degenerate open shells.

Note: ALPHA and BETA can be given for -ROHF- orbital canonicalization control, see "Further Information".

----- miscellaneous options -----

NPUNCH = option for output to the PUNCH file  
= 0 do not punch out the final orbitals  
= 1 punch out the occupied orbitals  
= 2 punch out occupied and virtual orbitals  
The default is NPUNCH = 2.

NPREQ = energy and orbital printing options, applying after other output options, for example NPRINT=-5 for no orbital output overrules this keyword. Orbitals from NPREO(1) to NPREO(2) and orbital energies from NPREO(3) to NPREO(4) are printed. Positive values indicate plain ordinal numbers. Non-positive values are relative to HOMO. For NPREO(1) and (3), 0 is HOMO, -1 is HOMO+1 etc. For NPREO(2) and (4), 0 is HOMO, -1 is HOMO+1 etc. Numbers exceeding the total orbital count are automatically adjusted to the maximum value. Orbitals printed by NPREO(1) and NPREO(2) will always have the orbital energy labels attached, NPREO(3) to NPREO(4) define separate print-out of the orbital energies. HOMO here means the highest occupied orbital, assuming a singlet RHF orbital occupation, that is to say NE/2, no matter what SCFTYP is. To print only the HOMO and LUMO LCAO coefficients. and all orbital energies, enter:  
NPREO(1)=0,-1,1,9999  
Default: 1,9999,2,1 (meaning print all orbitals, but no separate list of orbital energies).

----- options for virial scaling -----

VTSCAL = A flag to request that the virial theorem be satisfied. An analysis of the total energy as an exact sum of orbital kinetic energies is printed. The default is .FALSE.

This option is implemented for RHF, UHF, and ROHF, for RUNTYP=ENERGY, OPTIMIZE, or SADPOINT. Related input is:

SCALF = initial exponent scale factor when VTSCAL is in use, useful when restarting. The default is 1.0.

MAXVT = maximum number of iterations (at a single geometry) to satisfy the energy virial theorem. The default is 20.

VTCONV = convergence criterion for the VT, which is satisfied when  $2\langle T \rangle + \langle V \rangle + R \times dE/dR$  is less than VTCONV. The default is  $1.0D-6$  Hartree.

For more information on this option, which is most useful during a geometry search, see M.Lehd and F.Jensen, J.Comput.Chem. 12, 1089-1096(1991).

\* \* \* \* \*

For more discussion of GVB/ROHF input  
see the 'further information' section

\* \* \* \* \*

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**\$SCFMI** group                      (optional, relevant if SCFTYP=RHF)

The Self Consistent Field for Molecular Interactions (SCF-MI) method is a modification of the usual Roothaan equations that avoids basis set superposition error (BSSE) in intermolecular interaction calculations, by expanding each monomer's orbitals using only its own basis set. Thus, the resulting orbitals are not orthogonal. The presence of a \$SCFMI group in the input triggers the use of this option.

The implementation is limited to ten monomers, treated at the RHF level. The energy, gradient, and therefore semi-numerical hessian are available. The SCF step may be run in direct SCF mode, and parallel calculation is also enabled. The calculation must use Cartesian Gaussian AOs only, not spherical harmonics. The SCF-MI driver differs from normal RHF calculations, so not all converger methods are available. Finally, this option is not compatible with electron correlation treatments (DFT, MP2, CI, or CC).

The first 3 parameters must be given. All atoms of a fragment must appear consecutively in \$DATA.

NFRAGS        = number of distinct fragments present. Both the supermolecule and its constituent monomers must be well described as closed shells by RHF wavefunctions.

NF  
occupied        = an array containing the number of doubly occupied MOs for each fragment.

MF             = an array containing the number of atomic basis functions located on each fragment.

ITER           = maximum number of SCF-MI cycles, overriding the usual MAXIT value. (default is 50).

DTOL           = SCF-MI density convergence criteria. (default is 1.0d-10)

ALPHA = possible level shift parameter.  
(default is 0.0, meaning shifting is not used)

DIISON = a flag to active the DIIS convergence.  
(default is .TRUE.)

MXDIIS = the maximum number of previous effective Fock  
and overlap matrices to be used in DIIS  
(default=10)

DIISTL = the density change value at which DIIS starts.  
(default=0.01)

A Huckel guess is localized by the Boys procedure onto each fragment to provide starting orbitals for each:

ITLOC = maximum number of iteration in the localization  
step (Default is 50)

CNVLOC = convergence parameter for the localization.  
(default is .01).

IOPT = prints additional debug information.  
= 0 standard outout (default)  
= 1 print for each SCF-MI cycle MOs, overlap  
between the MOs, CPU times.  
= 2 print some extra informations in secular  
systems solution.

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"Modification of Roothan Equations to exclude BSSE  
from Molecular Interaction calculations"

E. Gianinetti, M. Raimondi, E. Tornaghi  
Int. J. Quantum Chem. 60, 157-166 (1996)

"Implementation of Gradient optimization algorithms  
and Force Constant computations in BSSE-free direct  
and conventional SCF approaches"

A. Famulari, E. Gianinetti, M. Raimondi, M. Sironi  
Int. J. Quantum Chem. 69, 151-158 (1997)

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**\$DFT** group (relevant if DFTTYP is chosen)  
(relevant if SCFTYP=RHF,UHF,ROHF)

Note that if DFTTYP=NONE, an ab initio calculation will be performed, rather than density functional theory.

This group permits the use of various one electron (usually empirical) operators instead of the true many electron Hamiltonian. Two programs are provided, METHOD=GRID or GRIDFREE. The programs have different functionals available, and so the keyword DFTTYP (which is entered in \$CONTRL) and other associated inputs are documented separately below. Every functional that has the same name in both lists is an identical functional, but each METHOD has a few functionals that are missing in the other.

The grid free implementation is based on the use of the resolution of the identity to simplify integrals so that they may be analytically evaluated, without using grid quadratures. The grid free DFT computations in their present form have various numerical errors, primarily in the gradient vectors. Please do not use the grid-free DFT program without reading the discussion in the 'Further References' section regarding the gradient accuracy.

The grid based DFT uses a typical grid quadrature to compute integrals over the rather complicated functionals, using two possible angular grid types.

Achieving a self-consistent field with DFT is rather more difficult than for normal HF, so DIIS is the default converger.

Both DFT programs will run in parallel. See the two lists below for possible functionals in the two programs.

See also the \$TDDFT input group for excited states.

METHOD = selects grid based DFT or grid free DFT.  
          = GRID       Grid based DFT (default)  
          = GRIDFREE Grid free DFT

DFTTYP is given in \$CONTRL, not here in \$DFT! Possible values for the grid-based program are listed first,

----- options for METHOD=GRID -----

DFTTYP = NONE        means ab initio computation (default)

Many choices are given below, perhaps the most sensible are

    local DFT: SVWN

    pure DFT GGA: BLYP, PW91, B97-D, PBE/PBESol

    hybrid DFT GGA: B3LYP, X3LYP, PBE0

    pure DFT meta-GGA: revTPSS

    hybrid DFT meta-GGA: TPSSh, M06

but of course, everyone has their own favorite!

    pure exchange functionals:

    = SLATER        Slater exchange

    = BECKE        Becke 1988 exchange

    = GILL         Gill 1996 exchange

    = OPTX         Handy-Cohen exchange

    = PW91X        Perdew-Wang 1991 exchange

    = PBEX         Perdew-Burke-Ernzerhof exchange

These will be used with no correlation functional at all.

    pure correlation functionals:

    = VWN          Vosko-Wilk-Nusair correlation, using  
                    their electron gas formula 5 (aka VWN5)

    = VWN3         Vosko-Wilk-Nusair correlation, using  
                    their electron gas formula 3

    = VWN1RPA      Vosko-Wilke-Nusair correlation, using  
                    their e- gas formula 1, with RPA params.

    = PZ81         Perdew-Zener 1981 correlation

    = P86          Perdew 1986 correlation

    = LYP          Lee-Yang-Parr correlation

    = PW91C        Perdew-Wang 1991 correlation

    = PBEC         Perdew-Burke-Ernzerhof correlation

    = OP          One-parameter Progressive correlation

These will be used with 100% HF exchange, if chosen.

    combinations (partial list):

    = SVWN         SLATER exchange + VWN5 correlation



Called LDA/LSDA in physics for RHF/UHF.

- = SVWN1RPA Slater exchange + VWN1RPA correlation
- = BLYP BECKE exchange + LYP correlation
- = BOP BECKE exchange + OP correlation
- = BP86 BECKE exchange + P86 correlation
- = GVWN GILL exchange + VWN5 correlation
- = GPW91 GILL exchange + PW91 correlation
- = PBEVWN PBE exchange + VWN5 correlation
- = PBEOP PBE exchange + OP correlation
- = OLYP OPTX exchange + LYP correlation
- = PW91 means PW91 exchange + PW91 correlation
- = PBE means PBE exchange + PBE correlation

There's a nearly infinite set of pairings (well, 6\*9), so we show only enough to give you the idea. In other words, pairs are formed by abbreviating the exchange functionals

SLATER=S, BECKE=B, GILL=G, OPTX=O, PW91X=PW91, PBEX=PBE and matching them with any correlation functional, of which only two are abbreviated when used in combinations,

PW91C==>PW91, PBEC==>PBE

The pairings shown above only scratch the surface, but clearly, many possibilities, such as PW91PBE, are nonsense!

#### pure DFT GGA functionals:

- = EDF1 empirical density functional #1, which is a modified BLYP from Adamson/Gill/Pople.
- = PW91 Perdew/Wang 1991
- = PBE Perdew/Burke/Ernzerhof 1996
- = revPBE PBE as revised by Zhang/Yang
- = RPBE PBE as revised by Hammer/Hansen/Norskov
- = PBEsol PBE as revised by Perdew et al for solids
- = HCTH93 Hamprecht/Cohen/Tozer/Handy's 1998 mod to B97, omitting HF exchange, fitting to 93 atoms and molecules
- = HCTH120 later fit to 120 systems
- = HCTH147 later fit to 147 systems
- = HCTH407 later fit to 407 systems (best)
- = SOGGA PBE revised by Zhao/Truhlar for solids
- = MOHLYP metal optimized OPTX, half LYP
- = B97-D Grimme's modified B97, with dispersion correction (this forces DC=.TRUE.)
- = SOGGA11 optimized with broad applicability for chemistry, by Peverati/Zhao/Truhlar

## hybrid GGA functionals:

- = BHHLYP HF and BECKE exchange + LYP correlation
- = B3PW91 Becke's 3 parameter exchange hybrid, with PW91 correlation functional
- = B3LYP this is a hybrid method combining five functionals: Becke + Slater + HF exchange (B3), with LYP + VWN5 correlation. B3LYPV5 is a synonym for B3LYP.
- = B3LYPV1R use VWN1RPA in place of VWN5, matches the e- gas formula chosen by some programs.
- = B3LYPV3 use VWN3 in place of B3LYP's VWN5
- = B3P86 B3-type exchange, P86 correlation, using VWN3 as the LDA part of the correlation. B3P86V3 is a synonym for B3P86.
- = B3P86V1R use VWN1RPA in place of VWN3
- = B3P86V5 use VWN5 in place of VWN3
- = B97 Becke's 1997 hybrid functional
- = B97-1 Hamprecht/Cohen/Tozer/Handy's 1998 reparameterization of B97
- = B97-2 Wilson/Bradley/Tozer's 2001 mod to B97
- = B97-3 Keal/Tozer's 2005 mod to B97
- = B97-K Boese/Martin's 2004 mod for kinetics
- = B98 Schmider/Becke's 1998 mode to B97, using their best "2c" parameters.
- = PBE0 a hybrid made from PBE
- = X3LYP HF+Slater+Becke88+PW91 exchange, and LYP+VWN1RPA correlation.
- = SOGGA11X a hybrid based on SOGGA11, with 40.15% HF exchange.

Each includes some Hartree-Fock exchange, and also may use a linear combination of many DFT parts.

## range separated functionals:

These are also known as "long-range corrected functionals". LC-BVWN, LB-BOP, LC-BLYP, or LC-BPBE are available by selecting BVWN, BOP, BLYP, or BPBE and also setting the flag LC=.TRUE. (see LC and also MU below). Others are selected by their specific name, without using LC:

- = CAMB3LYP coulomb attenuated B3LYP
- = wB97 omega separated form of B97
- = wB97X wB97 with short-range HF exchange
- = wB97X-D dispersion corrected wB97X

M11 is also range-separated, but is listed below with the other meta-GGAs.

**"double hybrid" GGA:**

- = B2PLYP mixes BLYP, HF exchange, and MP2!  
See related inputs CHF and CMP2 below.
- "double hybrid" and "range separated":
- = wB97X-2 intended for use with GBASIS=CCT,CCQ,CC5
- = wB97X-2L intended for use with GBASIS=N311  
NGAUSS=6 NDFUNC=3 NFFUNC=1 NPFUNC=3  
DIFFSP=.T. DIFFS=.T.

Note: there are no analytic gradients for "double hybrids".

Note: the B2PLYP family uses the conventional MP2 energy and may be used for closed shell or spin-unrestricted open shell cases. The wB97X-2 family uses the SCS-MP2 energy, and thus is limited to closed shell cases at present.

**meta-GGA functionals:**

These are not hybridized with HF exchange, unless that is explicitly stated below.

- = VS98 Voorhis/Scuseria, 1998
- = PKZB Perdew/Kurth/Zupan/Blaha, 1999
- = tHCTH Boese/Handy's 2002 metaGGA akin to HCTH
- = tHCTHhyb tHCTH's hybrid with 15% HF exchange
- = BMK Boese/Martin's 2004 parameterization of tHCTHhyb for kinetics
- = TPSS Tao/Perdew/Staroverov/Scuseria, 2003
- = TPSSh TPSS hybrid with 10% HF exchange
- = TPSSm TPSS with modified parameter, 2007
- = revTPSS revised TPSS, 2009
- = d1DF a reparameterized M05-2X, reproducing interaction energies which have had all dispersion removed. This MUST be used with a special -D correction to recover dispersion. See 'Further References'.
- = M05 Minnesota exchange-correlation, 2005  
a hybrid with 28% HF exchange.
- = M05-2X M05, with doubled HF exchange, to 56%
- = M06 Minnesota exchange-correlation, 2006  
a hybrid with 27% HF exchange.
- = M06-L M06, with 0% HF exchange (L=local)
- = M06-2X M06, with doubled HF exchange, to 54%
- = M06-HF M06 correlation, using 100% HF exchange
- = M08-HX M08 with 'high HF exchange'
- = M08-SO M08 with parameters that enforce the

correct second order gradient expansion.  
 = M11 M11 range-separated hybrid  
 = M11-L M11 local (0% HF exchange) with  
 dual-range exchange

When the M06 family was created, Truhlar recommended M06 for the general situation, but see his "concluding remarks" in the M06 reference about which functional is best for what kind of test data set. The most recent M11 family is probably a better choice, and two functionals fit all the needs of the older M05/M06/M08 families.

An extensive bibliography for all functionals can be found in the 'Further References' section of this manual.

Note that only a subset of these functionals can be used for TD-DFT energy or gradients. These subsets are listed in the \$TDFT input group.

### \* \* \* dispersion corrections \* \* \*

Many exchange-correlation functionals fail to compute intra- and inter-molecular dispersion interactions accurately. Two possible correction schemes are provided below. The first uses empirically chosen C6 and C8 coefficients, while the latter obtains these from the molecular DFT densities. At most, only one of the LRDFLG or DC options below may be chosen.

DC = a flag to turn on Grimme's empirical dispersion correction, involving scaled  $R^{*-6}$  terms.  
 N.B. This empiricism may also be added to plain Hartree-Fock, by choosing DFTTYP=NONE with DC=.T.  
 Three different versions exist, see IDCVER.  
 (default=.FALSE., except if DFTTYP=B97-D, wB97X-D)

IDCVER = 1 means 1st 2004 implementation.  
 = 2 means 2nd 2006 implementation DFT-D2,  
 default for B97-D, wB97X-D.  
 = 3 means 3rd 2010 implementation DFT-D3,  
 default for all others.  
 Setting IDCVER will force DC=.TRUE.

DCCHG = a flag to use Chai-Head-Gordon damping function instead of Grimme's 2006 function. Pertinent only

for the DFT-D2 method. Forces DC=.TRUE.  
(default=.FALSE. except for wB97X-D)

DCABC = a flag to turn on the computation of the E(3) non-additive energy term. Pertinent only for DFT-D3, it forces DC=.TRUE. (default=.FALSE.)

The following parameters govern Grimme's semiempirical dispersion term. They are basis set and functional dependent, so they exist for only a few DFTTYP. Default values are automatically selected and printed out in the output file for many common density functionals.

The following keywords are for entering non-standard values. For DFT-D2 values, see also:

R.Peverati and K.K.Baldrige

J.Chem.Theory Comput. 4, 2030-2048 (2008).

For DFT-D3 values, and a detailed explanation of each parameter, see:

S. Grimme, J. Antony, S. Ehrlich and H. Krieg,  
J.Chem.Phys. 132, 154104/1-19(2010)

DCALP = alpha parameter in the DFT-D damping function (same as alpha6 in Grimme's DFT-D3 notation). Note also that alpha8 and alpha10 in DFT-D3 have constrained values of:  
alpha8 = alpha6 + 2, alpha10 = alpha8 + 2.  
Default=14.0 for DFT-D3  
=20.0 for DFT-D2  
=23.0 for DFT-D1  
=6.00 for DCCHG=.TRUE.

DCSR = sR exponential parameter to scale the van der Waals radii (same as sR,6 in Grimme's DFT-D3 notation). Note also that sR,8 in DFT-D3 have a fixed value of 1.0. Optimized values are automatically selected for some of the more common functionals, otherwise, the default is 1.00 for DFT-D3, 1.10 for DFT-D2, and 1.22 for DFT-D1.

DCS6 = s6 linear parameter for scaling the C6 term. Optimized values are automatically selected for some of the more common functionals, otherwise, the default is 1.00.

DCS8 = s8 linear parameter for scaling the C8 term of DFT-D3. Pertinent only for DFT-D3. Optimized values are automatically selected for some of the more common functionals, otherwise, the default is 1.00.

The old keywords DCPAR and DCEXP were replaced by DCS6 and DCSR in 2010. Similarly, DCOLD has morphed into IDCVER.

- - -

The Local Response Dispersion (LRD) correction includes atomic pair-wise  $-C6/R^{**6}$ ,  $-C8/R^{**8}$ , and  $-C10/R^{**10}$  terms, whose coefficients are computed from the molecular system's electron density and its nuclear gradient. The nuclear gradient assumes the dispersion coefficients do not vary with geometry, which causes only a very small error in the gradient. Optionally, 3 and 4 center terms may be added, at the  $1/R^{**6}$  level; in this case, nuclear gradients may not be computed at all.

Since the three numerical parameters are presently known only for the long-range exchange corrected BOP functional, calculations may specify simply DFTTYP=LCBOPLRD. The "LCBOPLRD" functional will automatically select the following:

DFTTYP=BOP LC=.TRUE. MU=0.47

LRDFLG=.TRUE. LAMBDA=0.232 KAPPA=0.600 RZERO=3.22

leaving only the choice for MLTINT up to you.

References for LRD are

T.Sato, H.Nakai J.Chem.Phys. 131, 224104/1-12(2009)

T.Sato, H.Nakai J.Chem.Phys. 133, 194101/1-9(2010)

LRDFLG = flag choosing the Local Response Dispersion (LRD) C6, C8, and C10 corrections. Default=.FALSE.

MLTINT = flag to add the 3 and 4 center 6th order terms, the default=.FALSE. Note that nuclear gradients are not available if these multi-center terms are requested.

Three numerical parameters may be input. The defaults shown are optimized for the BOP functional with the LC correction for long-range exchange.

LAMBDA = parameter adjusting the density gradient correction for the atomic and atomic pair polarizabilities. (default=0.232)  
KAPPA = parameter in the damping function (default=0.600)  
RZERO = parameter in the damping function (default=3.22)

It may be interesting to see a breakdown of the total dispersion correction, using these keywords:

PRPOL = print out atomic effective polarizabilities (default=.FALSE.)  
PRCOEF = N (default N=0)  
print out dispersion coefficient to N-th order.  
PRPAIR = print out atomic pair dispersion energies (default=.FALSE.)

\* \* \* range separation \* \* \*

LC = flag to turn on the long range correction (LC), which smoothly replaces the DFT exchange by the HF exchange at long inter-electron distances. (default=.FALSE.)  
This option can be used only with the Becke exchange functional (Becke) and a few correlation functionals: DFTTYP=BVWN, BOP, BLYP, BPBE only. For example, B3LYP has a fixed admixture of HF exchange, so it cannot work with the LC option. See H.Iikura, T.Tsuneda, T.Yanai, and K.Hirao, J.Chem.Phys. 115, 3540 (2001).

MU = A parameter for the long range correction scheme. Increasing MU increases the HF exchange used, very small MU produces the DFT limit. (default=0.33)

Other range-separated options exist, invoked by naming the functional, such as DFTTYP=CAMB3LYP (see the DFTTYP keyword for a full list).

\* \* \* B2x-PLYP double hybrid functionals \* \* \*

B2xPLYP Double Hybrid functionals have the general formula:

$$\text{Exc} = (1-\text{CHF}) * \text{ExGGA} + \text{CHF} * \text{ExHF} \\ + (1-\text{CMP2}) * \text{EcGGA} + \text{CMP2} * \text{E}(2)$$

The next keywords allow the choice of CHF and CMP2. Both values must be between 0 and 1 (0-100%).

CHF = amount of HF exchange. (default=0.53)

CMP2 = amount of MP2. (default=0.27)

Some other common double hybrid functionals are available simply by choosing DFTTYP=B2PLYP, and changing the CHF and CMP2 parameters. Popular parametrizations are:

	CHF	CMP2
B2-PLYP (default)	0.53	0.27
B2K-PLYP	0.72	0.42
B2T-PLYP	0.60	0.31
B2GP-PLYP	0.65	0.36

### \* \* \* Grid Input \* \* \*

Only one of the three grid types may be chosen for the run. The default (if no selection is made) is the Lebedev grid. In order to duplicate results obtained prior to April 2008, select the polar coordinate grid NRAD=96 NTHE=12 NPHI=24. Energies can be compared if and only if the identical grid type and density is used, analogous to needing to compare with the identical basis set expansions. See REFS.DOC for more information on grids. See similar inputs in \$TDDFT.

Lebedev grid:

NRAD = number of radial points in the Euler-MacLaurin quadrature. (default=96)

NLEB = number of angular points in the Lebedev grids. (default=302). Possible values are 86, 110, 146, 170, 194, 302, 350, 434, 590, 770, 974, 1202, 1454, 1730, 2030...



Meta-GGA functionals require a tighter grid to achieve the same accuracy. For this reason a tighter default grid of NRAD=99 and NLEB=590 is chosen by default with all meta-GGA functionals.

The default for NLEB means that nuclear gradients will be accurate to about the default OPTTOL=0.00010 (see \$STATPT), 590 approaches OPTTOL=0.00001, and 1202 is "army grade".

The next two specify radial/angular in a single keyword:

- SG1 = a flag to select the "standard grid 1", which has 24 radial points, and various pruned Lebedev grids, from 194 down to 6. (default=.FALSE. This grid is very fast, but produces gradients whose accuracy reaches only OPTTOL=0.00050. This grid should be VERY USEFUL for the early steps of a geometry optimization.
- JANS = two unpublished grids due to Curtis Janssen, implemented here differently than in MPQC:  
= 1 uses 95 radial points for all atoms, and prunes from a Lebedev grid whose largest size is 434, thus using about 15,000 grid points/atom.  
= 2 uses 155 radial points for all atoms, and prunes from a Lebedev grid whose largest size is 974, thus using about 71,000 grid points/atom.  
This is a very accurate grid, e.g. "army grade".  
The information for pruning exists only for H-Ar, so heavier elements will use the large radial/Lebedev grid without any pruning.

polar coordinate grid:

- NRAD = number of radial points in the Euler-MacLaurin quadrature. (96 is reasonable)
- NTHE = number of angle theta grids in Gauss-Legendre quadrature (polar coordinates). (12 is reasonable)
- NPHI = number of angle phi grids in Gauss-Legendre quadrature. NPHI should be double NTHE so points are spherically distributed. (24 is reasonable)

The number of angular points will be  $NTHE \times NPHI$ . The values shown give a gradient accuracy near the default OPTTOL of 0.00010, while  $NTHE=24$   $NPHI=48$  approaches  $OPTTOL=0.00001$ , and "army grade" is  $NTHE=36$   $NPHI=72$ .

\* \* \* Grid Switching \* \* \*

At the first geometry of the run, pure HF iterations will be performed, since convergence of DFT is greatly improved by starting with the HF density matrix. After DFT engages, most runs (at all geometries, except for PCM or numerical Hessians) will use a coarser grid during the early DFT iterations, before reaching some initial convergence. After that, the full grid will be used. Together, these switchings can save considerable CPU time.

**SWOFF** = turn off DFT, to perform pure SCF iterations, until the density matrix convergence falls below this threshold. This option is independent of **SWITCH** and can be used with or without it. It is reasonable to pick  $SWOFF > SWITCH > CONV$  in \$SCF. **SWOFF** pertains only to the first geometry that the run computes, and is automatically disabled if you choose **GUESS=MOREAD** to provide initial orbitals. The default is 5.0E-3.

**SWITCH** = when the change in the density matrix between iterations falls below this threshold, switch to the desired full grid (default=3.0E-4)  
This keyword is ignored if the SG1 grid is used.

**NRAD0** = same as **NRAD**, but defines initial coarse grid.  
default = smaller of 24 and  $NRAD/4$

**NLEB0** = same as **NLEB**, but defines initial coarse grid.  
default = 110

**NTHE0** = same as **NTHE**, but defines initial coarse grid.  
default = smaller of 8,  $NTHE/3$

**NPHI0** = same as **NPHI**, but defines initial coarse grid.  
default = smaller of 16,  $NPHI/3$

## technical parameters:

THRESH = threshold for ignoring small contributions to the Fock matrix. The default is designed to produce no significant energy loss, even when the grid is as good as "army grade". If for some reason you want to turn all threshold tests off, of course requiring more CPU, enter 1.0e-15.  
default:  $1.0e-4/\text{Natoms}/\text{NRAD}/\text{NTHE}/\text{NPHI}$

GTHRE = threshold applied to gradients, similar to THRESH.  
< 1 assign this value to all thresholds  
= 1 use the default thresholds (default).  
> 1 divide default thresholds by this value.  
If you wish to increase accuracy, set GTHRE=10.  
The default introduces an error of roughly  $1e-7$  (a.u./bohr) in the gradient.

The keyword \$DFTTYP is given in \$CONTRL, and may have these values if the grid-free program is chosen:

----- options for METHOD=GRIDFREE -----

DFTTYP = NONE        means ab initio computation (default)  
                       exchange functionals:  
      = XALPHA        X-Alpha exchange (alpha=0.7)  
      = SLATER        Slater exchange (alpha=2/3)  
      = BECKE        Becke's 1988 exchange  
      = DEPRISTO      Depristo/Kress exchange  
      = CAMA        Handy et al's mods to Becke exchange  
      = HALF        50-50 mix of Becke and HF exchange  
                       correlation functionals:  
      = VWN        Vosko/Wilke/Nusair correlation, formula 5  
      = PWLOC        Perdew/Wang local correlation  
      = LYP        Lee/Yang/Parr correlation  
                       exchange/correlation functionals:  
      = BVWN        Becke exchange + VWN5 correlation  
      = BLYP        Becke exchange + LYP correlation  
      = BPWLOC       Becke exchange + Perdew/Wang correlation  
      = B3LYP        hybrid HF/Becke/LYP using VWN formula 5  
      = CAMB        CAMA exchange + Cambridge correlation  
      = XVWN        Xalpha exchange + VWN5 correlation  
      = XPWLOC       Xalpha exchange + Perdew/Wang correlation  
      = SVWN        Slater exchange + VWN5 correlation  
      = SPWLOC       Slater exchange + PWLOC correlation  
      = WIGNER       Wigner exchange + correlation  
      = WS        Wigner scaled exchange + correlation  
      = WIGEXP       Wigner exponential exchange + correlation

AUXFUN = AUX0    uses no auxiliary basis set for resolution  
                       of the identity, limiting accuracy.  
      = AUX3        uses the 3rd generation of RI basis sets,  
                       These are available for the elements H to  
                       Ar, but have been carefully considered for  
                       H-Ne only. (DEFAULT)

THREE    = a flag to use a resolution of the identity to  
                       turn four center overlap integrals into three  
                       center integrals. This can be used only if  
                       no auxiliary basis is employed. (default=.FALSE.)

=====

## =====

**\$TDDFT** group

(relevant if TDDFT chosen in \$CONTRL)

This group generates molecular excitation energies by time-dependent density functional theory computations (or time-dependent Hartree-Fock, also known as the Random Phase Approximation). The functional used for the excited states is necessarily the same one that is used for the reference state, specified by DFTTYP in \$CONTRL.

For conventional TD-DFT (TDDFT=EXCITE in \$CONTRL), the orbitals are optimized for RHF or UHF type reference states. Analytic nuclear gradients are available for singlet excited states, while the energy of excited states of other multiplicities can be computed. Two-photon absorption cross-sections may be predicted for singlet excited states. Ground state hyperpolarizabilities may be computed with the TDDFT module.

For spin-flip TD-DFT (TDDFT=SPNFLP in \$CONTRL), the calculation obtains orbitals for a reference state of either UHF or ROHF type, with MULT in \$CONTRL determining the  $M_s$  quantum number of the reference. The reference state's  $M_s$  is set equal to the  $S$  value implied by \$CONTRL's  $MULT=2S+1$ . The SF-TD-DFT then uses only determinants with  $M_s=S-1$ , due to the flip of one alpha spin into a beta spin. This means that target states (which are spin contaminated) will have multiplicities around the range  $S-1$  to  $S$ , only. It is quite possible for some of the target states to have a lower energy than the reference!!! Nuclear gradients and properties are available.

See just below for "limitations" below regarding the two different TD-DFT types.

TD-DFT is a single excitation theory. All of the caveats listed in the \$CIS input group about states with double excitation character, need for Rydberg basis sets, greatly different topology of excited state surfaces, and so on apply here as well. Please read the introduction to the \$CIS input group! If you use very large or very small Gaussian exponents, you may need to increase the number of

radial grid points (the program prints advice in such cases).

TDHF, TDDFT, and CIS are related in the following way:

```

      -- Tamm/Dancoff approximation -->
      |
DFT  |   TDHF                      CIS
      |
      V   TDDFT                    TDDFT/TDA
  
```

Here TDHF means absorption of photons, to produce excited states (TDHF is called RPA in the physics community). This meaning of TDHF should not be confused with the photon scattering processes computed by RUNTYP=TDHF or TDHFX, which generate polarizabilities. Note, in particular, that CITYP=CIS is equivalent to using TDDFT=EXCITE DFTTYP=NONE TAMMD=.TRUE., provided the former is run with no frozen cores. Solvent effects for CIS calculations are therefore available via the TDDFT codes.

Excited state properties are calculated using the TDDFT excited state electronic density only during gradient runs, or by setting TDPRP below.

The TD-DFT codes excite all electrons, that is, there is no frozen core concept. Please see the 4th chapter of this manual for more information on both types of TD-DFT.

"limitations" for TDDFT=EXCITE:

Permissible values for DFTTYP are shown below. These include "NONE" which uses TDHF (i.e. the Random Phase Approximation), noting that extra states may need to be solved for in order to be sure of getting the first few states correctly. If nuclear gradients are needed, you may choose any of the following functionals:

```

NONE
SVWN, SOP, SLYP, OLYP,
BVWN, BOP, BLYP (and their LC=.TRUE. versions)
B3LYP, CAMB3LYP, B3LYP1, PBE, PBE0
  
```

For evaluation of just the excitation energies, you may use many more functionals, notably including the metaGGAs in the last three lines:

```

NONE
SVWN, SVWN1, SPZ81, SP86, SOP, SLYP,
BVWN, BVWN1, BPZ81, BP86, BOP, BLYP, OLYP,
  
```

B3LYP, CAMB3LYP, B3LYP1, B3PW91, X3LYP, PW91, PBE, PBE0  
VS98, PKZB,  
M05, M05-2X, M06, M06-HF, M06-L, M06-2X, M08-HX, M08-SO  
TPSS, TPSSm, TPSSh, and revTPSS

The LC flag in \$DFT automatically carries over to TDDFT runs. The LC option may be used with the "B" functionals, and (like the similar range-separated CAMB3LYP) is useful in obtaining better descriptions for charge-transfer excitations or Rydberg excitation energies than are the conventional exchange correlation functionals (whether pure or hybrid). The LC flag is also available for excited state gradient computation.

Limits specific to the references for TDDFT=EXCITE are:

For SCFTYP=RHF, excitation energies can be found for singlet or triplet coupled excited states. For singlet excited states only, analytic gradients and properties can be found, for either full TD-DFT or in the Tamm/Dancoff approximation. For RHF references, solvent effects can be included by EFP1 or PCM (or both together), for both TD-DFT excitation energies and their nuclear gradients.

For SCFTYP=UHF, excited states with the same spin projection as the ground state are found. MULT in \$CONTRL governs the number of alpha and beta electrons, hence  $M_s = (MULT-1)/2$  is the only good quantum number for either the ground or excited states. Since U-TDDFT is a single excitation theory, excited states with  $\langle S \rangle$  values near  $M_s$  and near  $M_s+1$  will appear in the calculation. There are no properties other than the excitation energy, nor gradients, nor solvent effects, at present.

"limitations" for TDDFT=SPNFLP:

Spin-flip TDDFT is programmed in the "collinear approximation" which means only the HF exchange term carries a large impact on the excitation energies. Pure DFT functionals may be used, but normally hybrids with large HF exchange fractions are used. The LC option for range-separation hybrids cannot be used, which also removes CAMB3LYP. Finally, no meta-GGA may be used. Note that spin-flip TD-DFT in the Tamm/Dancoff approximation using DFTTYP=NONE is equivalent to spin-flip CIS.

MULT below is ignored, as the Ms of target states is fixed solely by MULT in \$CONTRL. The spin-flip code operates only in the Tamm/Dancoff approximation, so TAMMD below is automatically .TRUE. Nuclear gradients and/or excited state properties are available only in the gas phase. Solvation effects are available for both energy and gradient calculations, for EFP1, C-PCM, or both.

-----

NSTATE = Number of states to be found (excluding the reference state). The default is 1 more state.

IROOT = State used for geometry optimization and property evaluation. (default=1)  
TDDFT=EXCITE counts the reference as 0, and this should be the lowest state. Hence IROOT=1 means the 1st excited state, just as you might guess.  
TDDFT=SPNFLP labels the reference state as 0, but this might not be the lowest state overall. The meaning of IROOT=1 is the lowest state, omitting the reference state from consideration. Hence IROOT=1 might specify the ground state!

MULT = Multiplicity (1 or 3) of the singly excited states. This keyword applies only when the reference is a closed shell. (default is 1)  
This parameter is ignored when TDDFT=SPNFLP.

TDPRP = a flag to request property computation for the state IROOT. Properties can only be obtained when the nuclear gradient is computable, see gradient restrictions noted in the introduction to this group. Properties require significant extra computer time, compared to the excitation energy alone, so the default is .FALSE. Properties are always evaluated during nuclear gradient runs, when they are a free by-product.

TPA = a flag requesting two-photon absorption cross-sections. These are computed for each of the NSTATE excited states, after first evaluating



their excitation energies. The TPA calculation is only available for closed shell references, only for singlet excited states (MULT=1), and may not be used with the Tamm/Dancoff approximation. Solvent effects may be treated by EFP.

TAMMD is a flag selecting the Tamm/Dancoff approximation be used. This may be used with closed shell excitation energies or gradients, or open shell excitation energies. Default = .FALSE.  
This parameter is ignored by TDDFT=SPNFLP, which is only coded in the Tamm/Dancoff approximation.

NONEQ is a flag controlling PCM's solvent behavior: .TRUE. splits the dielectric constant into a bulk value (EPS in \$PCM) and a fast component (EPSINF), see Cossi and Barone, 2001. The idea is that NONEQ=.t. is appropriate for vertical excitations, and .f. for adiabatic. (the default is .TRUE.)  
This keyword is ignored by TDDFT=SPNFLP.

\* \* \* ground state polarizability calculation \* \* \*  
(use TDDFT=HPOL option in \$CONTRL)

These two frequency dependent polarizability calculations may be requested in the same run (more efficient). These properties are available only for closed shell references, require the default MULT=1 value in this input group, and may not be used with the Tamm/Dancoff approximation. Solvent effects may be treated by EFP.

ALPHA = requests the polarizability. Default=.FALSE.  
If BETA is not chosen, give just one PFREQ.

BETA = requests the hyperpolarizability. Default=.FALSE.  
Two values are required for PFREQ.

PFREQ = an array of one or two input frequencies, omega1 and omega2, to yield the polarizability  
alpha(omega1;omega1) [if BETA=.F.]  
alpha(omega2;omega2) [if BETA=.T.]  
alpha(omega3;omega3) [if BETA=.T.]  
and/or to yield the hyperpolarizability  
beta(omega3;omega1,omega2).

The output photon frequency is determined from  $\omega_3 = -(\omega_1 + \omega_2)$ . Useful special cases  
 second harmonic generation  $\beta(-2W; W, W)$ ,  
 electro-optic Pockels effect  $\beta(-W; W, 0)$ , and  
 optical rectification  $\beta(0; W, -W)$   
 are among the possibilities.  
 The default is the static polarizability and/or  
 static hyperpolarizability:  $\text{PFREQ}(1)=0.0, 0.0$   
 PFREQ is given in atomic units:  $\text{PFREQ}=45.56/\lambda$ ,  
 for wavelength  $\lambda$  in nm.

\* \* \* Grid Selection \* \* \*

The grid type and point density used in \$TDDFT may be chosen independently of the values in \$DFT. Excitation energies accurate to 0.01 eV may be obtained with grids that are much sparser than those needed for the ground state, and this is reflected in the defaults. Prior to April 2008, the default grid was  $\text{NRAD}=24$   $\text{NTHE}=8$   $\text{NPHI}=16$ .

**NRAD** = number of radial grid points in Euler-Maclaurin quadrature, used in calculations of the second or third derivatives of density functionals.  
 (default=48)

**NLEB** = number of angular points in the Lebedev grid.  
 (default=110)

**NTHE** = number of theta grid points if a polar coordinate grid is used.

**NPHI** = number of phi grid points if a polar coordinate grid is used. NPHI should be twice NTHE.

**SG1** = flag selecting "standard grid one".  
 (default=.FALSE.)

See both \$DFT and REFS.DOC for more information on grids. The "army grade" standard for \$TDDFT is  $\text{NRAD}=96$  combined with either  $\text{NLEB}=302$  or  $\text{NTHE}=12/\text{NPHI}=24$ .

the remaining parameters are technical in nature:

CNVTOL = convergence tolerance in the iterative TD-DFT step. (default=1.0E-7)

MAXVEC = the maximum number of expansion vectors used by the solver's iterations, per state (default=50). The total size of the expansion space will be NSTATE\*MAXVEC.

NTRIAL = the number of initial expansion vectors used. (default is the larger of 5 and NSTATE).

=====

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**\$DFTB** group

(relevant for GBASIS=DFTB)

Density-functional tight-binding (DFTB) is turned on by selecting GBASIS=DFTB in \$BASIS. \$DFTB controls optional parameters for a DFTB calculation. DFTB is formulated in a two-center approximation utilizing implicitly a minimal pseudoatomic orbital basis set with corresponding, pretabulated one- and two-center integrals. Because of this, many properties (for instances, multipoles higher than dipoles) and many options are ignored or not available in the current implementations of DFTB. DFTB also uses an independent SCF driver (SCF in DFTB is also called SCC, see below), so most SCF options are not available for DFTB.

Only SCFTYP=RHF and UHF are implemented. SCFTYP=ROHF is available, only when all SPNCST values are zero. DFTB does not explicitly use symmetry (C1 throughout) since integrals are never computed during the calculations. Slater-Koster tables are only defined for spherical functions (5d) so DFTB sets ISPHER=1. Most \$GUESS options do not work for DFTB (DFTB does not use initial orbitals in the usual sense). Other than the default (METHOD=HUCKEL, which is ignored), only METHOD=MOREAD works (note that SCC-DFTB can use initial charges on atoms, derived from the orbitals).

RUNTYP=OPTIMIZE, HESSIAN and RAMAN are available for full (non-FMO) DFTB, whereas RUNTYP=OPTIMIZE and OPTFMO are available for FMO-DFTB. At present, DFTB may not be combined with any solvation model, and excited states (i.e., from time-dependent DFTB), are also not available.

In DFTB calculation, the atom type is determined by its name, not its nuclear charge as elsewhere in GAMESS. The nuclear charge (the second column in \$DATA) is used only in population analysis, but not in SCF. DFTB uses a notion of "species", which means an atomic type. The species are numbered according to the order in which atoms appear in \$DATA. For instances, in water there are two species, O and H. An atomic type of each species needs MAXANG, which for most but not all atoms is set automatically.

- NDFTB    order of the Taylor expansion of the total energy around a reference density in the DFTB model.
- = 1 NCC-DFTB, also called DFTB1.  
NCC stands for non-charge-consistent, i.e., no explicit charge-charge interaction term is included in the energy calculation.
  - = 2 SCC-DFTB, also called DFTB2.  
SCC means a self-charge-consistent approach, and SCC implies that SCF iterations are carried out that converge monopolar charges towards self-consistency.
  - = 3 DFTB3, including 3rd order correction using Hubbard derivatives (HUBDER).  
In order to reproduce the published DFTB3 approach, it is necessary to also specify DAMPXH=.TRUE. to add other terms.  
Gaus, M. et al. J. Chem. Theory Comput. 2011, 7, 931-948 is referred to as Gaus2011 below.  
Default: 2.
- DAMPXH =    a flag to include the damping function for X-H atomic pair in DFTB3. See also DAMPEX, and eq 21 in Gaus2011.  
The damping function is used when at least one atom in a pair is "H". "HYDROGEN" and any other name will turn off the damping.  
Default: .false.
- DAMPEX =    an exponent used in the damping function for X-H atomic pairs. The default value is 4.2  
(see Table 2 in Gaus2011 for more details).
- SRSCC    =    a flag to perform shell-resolved SCC calculation. If set to .false., the code uses the Hubbard value for an s orbital for p and d orbitals, ignoring their Hubbard values defined in Slater-Koster tables.  
Using .true. enables the use of proper Hubbard values for p and d orbitals, implemented only for DFTB1 and DFTB2.  
Default: .false.
- ITYPMX    Convergence method of SCC calculations.
- = -1 Use standard GAMESS convergence methods.  
SOSCF and DIIS are supported, but DEM is not.

- = 0 Broyden's method.  
Interpolation is applied for atomic  
(or shell-resolved when SRSCC=.TRUE.)  
charges, but not Hamiltonian matrix.
  - = 1 (reserved)
  - = 2 DIIS for charges.  
Default: 0.
- ETEMP = electronic temperature in Kelvin. Non-zero values  
of ETEMP help SCF convergence of nearly-degenerate  
systems by smearing occupation numbers around the  
Fermi-level. Only the Fermi-Dirac distribution  
function is available as a smearing function. The  
default value is 0 Kelvin, meaning the smearing  
function is not used.  
ETEMP is implemented only for SCFTYP=RHF and when  
FMO is not used.
- DISP dispersion model for DFTB.
  - = NONE no Dispersion correction.
  - = UFF UFF-type dispersion correction.  
Parameters for atomic numbers up to 36 are  
available internally or can be supplied in  
DISPPR for any atom.  
Built-in parameters are taken from Rappe  
et al. J. Am. Chem. Soc. 1992, 114, 10024.
  - = SK The Slater-Kirkwood type dispersion  
correction omitting the change polarizability  
depending on the number of bonds.  
No default values of DISPPR are available.  
Some are listed in the manual of the DFTB+  
program.
- DISPPR an array of parameters used for dispersion  
correction, listed in sets for each species.  
For DISP=UFF, DISPPR(1) and DISPPR(2) define the  
non-bonded distance (Angs.) and energy (kcal/mol)  
for the first species, respectively, and so on.  
For DISP=SK, a set for a species has 3 parameters,  
the polarizability (Angstrom^3), cutoff length  
(Angstrom), and atomic charge.  
Default: see DISP.
- HUBDER an array of Hubbard derivatives for each species  
(1 per species) used only for DFTB3 calculations.

Default values are set only for C, H, N, O, and P using the final row of Table 2 in Gaus2011 (see the paper for other choices).

**MAXANG** array of maximum angular momentum of each species, which determines the number of basis functions. DFTB uses only valence orbitals and electrons! Most elements have proper default values, but for some atomic types (i.e., species) you need to manually define the values.

**SPNCST** an array of spin constants used in unrestricted (UHF) DFTB calculation. Provide 6 spin constants,  $W_{ss}$ ,  $W_{sp}$ ,  $W_{pp}$ ,  $W_{sd}$ ,  $W_{pd}$ , &  $W_{dd}$ , for each species in a continuous array. Constants for some elements can be found in the manual of the DFTB+ program.

\* \* \*

The following options are FMO-DFTB specific (Nishimoto, Y. et al. J. Chem. Theory Comput. 10, 4801-4812, 2014).

FMO-DFTB has many limitations and some FMO options are not supported (for instance, AFO, multilayer FMO etc). Only single layer, restricted (RHF) FMO2-DFTB1 and FMO2-DFTB2 are implemented at present. DFTB3, SRSCC, ETEMP etc are not available. FMO-DFTB is available for the energy and approximate gradient (no SCZV terms).

**MODESD** = controls the behavior of ES-DIM (electrostatic dimer) approximation (bit additive).  
1 Calculate interfragment repulsive energy for ES dimers (almost never used).  
2 Add up all ES-DIM energies. This means that individual ES dimer energies are not calculated, but only their total lump sum, computed with the dynamic load balancing.  
4 Lump ES-DIM routine with static load balancing. The bits of 2 or 4 are mutually exclusive.  
Default: 0 (i.e., individual ES dimer energies).

**MODGAM** = controls the calculation of gamma values (interatomic  $1/R$ -like function) in FMO-DFTB2.

- 0 Calculate gamma values on the fly. (default)
- 1 Calculate once and prestore gamma values.  
This option is faster but takes more memory.

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**\$DFTBSK** group (required if GBASIS=DFTB)

This group is required for all DFTB calculations. It defines the file names for Slater-Koster parameters describing pairwise interactions for each pair of species (see \$DFTB for the introduction).

The group is free-formatted, and consists of N\*N lines, where N is the number of species. Each line has the format:

species-i species-j "i-j parameter file name"

Note that (species-i species-j) is different from (species-j species-i), and both must be given! For example,

C O "/home/user/DFTB/mio-0-1/C-O.skf"

which defines carbon-oxygen parameters to be in that file. Naturally, this file must be readable on the computer where calculations are actually run.

The names of species should be the same as in \$DATA (for FMO, in \$FMOXYZ). In FMO-DFTB calculations, these names must be atomic symbols (H, He, ...), otherwise names may be used (hydrogen, helium, ...) truncated to 8 symbols. The names may include numbers, as in (H.1).

Slater-Koster parameters are available from  
<http://www.dftb.org/>

(for free) and from some other sources. There are many different parameter sets, for instance, the above example uses the "mio-0-1" set. Follow the requirements on proper citations when using these parameters.

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**\$CIS** group

required when CITYP=CIS

required when CITYP=SFCIS

The CIS method (singly excited CI) is the simplest way to treat excited states. By Brillouin's Theorem, a single determinant reference such as RHF will have zero matrix elements with singly substituted determinants. The ground state reference therefore has no mixing with the excited states treated with singles only. Reading the references given in Section 4 of this manual will show the CIS method can be thought of as a non-correlated method, rigorously so for the ground state, and effectively so for the various excited states. Some issues making CIS rather less than a black box method are:

- a) any states characterized by important doubles are simply missing from the calculation.
- b) excited states commonly possess Rydberg (diffuse) character, so the AO basis used must allow this.
- c) excited states often have different point group symmetry than the ground state, so the starting geometries for these states must reflect their actual symmetry.
- d) excited state surfaces frequently cross, and thus root flipping may very well occur.

The normal CIS implementation allows the use of only RHF references, but can pick up both singlet and triplet excited states. Nuclear gradients are available, as are properties. The CIS run automatically includes computation of the dipole moments of all states, and all pairwise transition dipoles and oscillator strengths.

The spin-flip type of CIS is very similar to spin-flip TD-DFT (the \$TDDFT input contains more information about how spin-flip runs select the target state's Ms by \$CONTRL's MULT value). The reference state must be UHF or ROHF, with MULT in \$CONTRL at least 3. The target states of the CIS have one lower Ms, after one alpha spin in the reference is flipped to beta. Nuclear gradients are possible.

Solvent effects are not available for either CIS or SFCIS.

It is worthwhile to look at the \$TDDFT input, which is a very similar calculation. The TD-DFT program offers the possibility of recovering some of the correlation energy, permits some solvent models, and can be used for MEX/CONICL surface intersection searches.

The first six keywords are chemically important, while the remainder are mostly technical.

- NACORE = n Omits the first n occupied orbitals from the calculation (frozen core approximation).  
For CITYP=CIS, the default for n is the number of chemical core orbitals.  
For CITYP=SFCIS, the default, which is also the only possibility, is 0.
- NSTATE = Number of states to be found (excluding the reference state). No default is provided.
- IROOT = State for which properties and/or gradient will be calculated. Only one state can be chosen. The reference state is referred to as 0, and in the case of CITYP=SFCIS, might have a higher energy than some of the NSTATE target states.
- CISPRP = Flag to request the determination of CIS level properties, using the relaxed density. Relevant to RUNTYP=ENERGY jobs, although the default is .FALSE. because additional CPHF calculation will be required. Properties are an automatic by-product of runs involving the CIS or SFCIS nuclear gradient.
- HAMTYP = Type of CI Hamiltonian to use, if CITYP=CIS.  
= SAPS spin-adapted antisymmetrized product of the desired MULT will be used (default)  
= DETS determinant based, so both singlets and triplets will be obtained.
- MULT = Multiplicity (1 or 3) of the singly excited SAPS (the reference can only be singlet RHF). Only relevant for SAPS-based CITYP=CIS run, as SFCIS controls the Ms for target states by the value of MULT in \$CONTRL.

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- DIAGZN = Hamiltonian diagonalization method.  
= DAVID use Davidson diagonalization. (default)  
= FULL construct the full matrix in memory and diagonalize, thus determining all states (not recommended except for small cases).
- DGAPRX = Flag to control whether approximate diagonal elements of the CIS Hamiltonian (based only on the orbital energies) are used in the Davidson algorithm. Note, this only affects the rate of convergence, not the resulting final energies. If set .FALSE., the exact diagonal elements are determined and used. Default=.TRUE.
- NGSVEC = Dimension of the Hamiltonian submatrix that is diagonalized to form the initial CI vectors. The default is the greater of NSTATE\*2 and 10.
- MXVEC = Maximum number of expansion basis vectors in the iterative subspace during Davidson iterations, before the expansion basis is truncated. The default is the larger of 8\*NSTATE and NGSVEC.
- NDAVIT = Maximum number of Davidson iterations. Default=50.
- DAVCVG = Convergence criterion for Davidson eigenvectors. Eigenvector accuracy is proportional to DAVCVG, while the energy accuracy is proportional to its square. The default is 1.0E-05.
- CHFSLV = Chooses type of CPHF solver to use.  
= CONJG selects an ordinary preconditioned conjugate gradient solver. (default)  
= DIIS selects a diis-like iterative solver.
- RDCISV = Flag to read CIS vectors from a \$CISVEC input group in the input file. Default is .FALSE.
- MNMEDG = Flag to force the use of the minimal amount of memory in construction of the CIS Hamiltonian diagonal elements. This is only relevant when DGAPRX=.FALSE., and is meant for debug purposes.

The default is .FALSE.

MNMEOP = Flag to force the use of the minimal amount of memory during the Davidson iterations. This is for debug purposes. The default is .FALSE.

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**\$CISVEC** group      required if RDCISV in \$CIS is chosen

This is formatted data generated by a previous CIS run, to be read back in as starting vectors. Sometimes molecular orbital phase changes make these CI vectors problematic.

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**\$MP2** group (relevant to SCFTYP=RHF,UHF,ROHF if MPLEVEL=2)

Controls 2nd order Moller-Plesset perturbation runs, if requested by MPLEVEL in \$CONTRL. MP2 is implemented for RHF, high spin ROHF, or UHF wavefunctions, but see also \$MRMP for MCSCF. Analytic gradients and the first order correction to the wavefunction (i.e. properties) are available for RHF, ROHF (if OSPT=ZAPT), and UHF. The \$MP2 input group is not usually given. See also the DIRSCF keyword in \$SCF to select AO integral direct MP2.

The spin-component-scaled MP2 (SCS-MP2) energy of Grimme is printed for SCFTYP=RHF references during energy runs. See also the keyword SCSPT below. Only the CODE=IMS program is able to do analytic gradients for SCS-MP2.

Special serial codes exist for RHF or UHF MP2 energy or gradient, or the ROHF MP2 energy. Parallel codes using distributed memory are available for RHF, ROHF, or UHF MP2 gradients. In fact, the only way that ROHF MP2 gradients can be computed on one node is with the parallel code, using MEMDDI!

MP2 energy values using solution models are computed by using the solvated SCF orbitals in the perturbation step. All of the MP2 nuclear gradient programs contain additional terms required for EFP, PCM, EFP plus PCM, or COSMO solvation models.

NACORE = n Omits the first n occupied orbitals from the calculation. The default for n is the number of chemical core orbitals.

NBCORE = Same as NACORE, for the beta orbitals of UHF. It is almost always the same value as NACORE.

MP2PRP= a flag to turn on property computation for jobs with RUNTYP=ENERGY. This is appreciably more expensive than just evaluating the second order energy correction alone, so the default is to skip properties. Properties are always computed during gradient runs, when they are an almost free byproduct. (default=.FALSE.)

OSPT= selects open shell spin-restricted perturbation.  
This parameter applies only when SCFTYP=ROHF.  
Please see the 'further information' section for  
more information about this choice.

= ZAPT picks Z-averaged perturbation theory. (default)

= RMP picks RMP (aka ROHF-MBPT) perturbation theory.

CODE = the program implementation to use, choose from  
SERIAL, DDI, or IMS according to the following  
chart, depending on SCFTYP and whether the run  
involves gradients,

RHF energy	RHF gradient	UHF energy	UHF gradient	ROHF energy	ROHF gradient	ROHF energy
				OSPT=ZAPT	ZAPT	RMP
SERIAL	SERIAL	SERIAL	SERIAL	SERIAL	-	SERIAL
DDI	DDI	DDI	DDI	DDI	DDI	-
IMS	IMS	-	-	-	-	-
RIMP2	-	RIMP2	-	-	-	-

The default for serial runs (p=1) is CODE=IMS for RHF, and CODE=SERIAL for UHF or ROHF (provided PARALL is .FALSE. in \$SYSTEM). When p>1 (or PARALL=.TRUE.), the default becomes CODE=DDI. However, if FMO is in use, the default for closed shell parallel runs is CODE=IMS. The "SERIAL" code for OSPT=RMP will run with modest scalability when p>1.

The many different MP2 programs are written for different hardware situations. Here N is the number of atomic basis functions, and O is the number of correlated orbitals in the run:

The original SERIAL programs use  $N^3$  memory, and have larger disk files and generally takes longer than CODE=IMS.

The IMS program uses  $NO^2$  memory, and places most of its data on local disks (so you must have good disk access), and will run in parallel...ideal for small clusters. Using this program on a node where the disks are of poor quality (SATA-type) and with many cores accessing that single disk may be very I/O bound. Adding more memory can make this program run more efficiently. Network traffic is modest when running in parallel.

The DDI program uses  $N^4$  memory, but this is distributed across all nodes, and there is essentially no I/O...ideal for large parallel machines where the manufacturer has forgotten to include disk drives. MEMDDI must be given in \$SYSTEM for these codes, so large problems may require many nodes to aggregate enough MEMDDI. The network traffic is high, so an Infiniband quality network or better preferred. Scalability is very good, for example, this program has been used up to 4,000 cores on Altix/ICE equipment.

All of the programs just mentioned should generate the same numerical results, so select which one best matches your hardware.

The RIMP2 program is an approximation to the true MP2 energy, using the "resolution of the identity" to reduce the amount of data stored (in memory and/or on disk), and also the total amount of computation. See the paper on this program for its reduced CPU and memory requirements. Network traffic is modest. The code has options within the \$RIMP2 input to govern the use of replicated memory versus shared memory, as well as the use of disk storage versus distributed memory, so you can tune this to your hardware.

References for the various programs are given in REFS.DOC.

NOSYM = disables the orbital symmetry test completely. This is not recommended, as loss of orbital symmetry is likely to mean a bad calculation. It has the same meaning as the keyword in \$CONTRL, but just for the MP2 step. (Default=0)

CUTOFF = transformed integral retention threshold, the default is 1.0d-9 (1.0d-12 in FMO runs).

The following keyword applies only to RHF references:

SCSPT = spin component scaled MP2 energy selection.  
= NONE - the energy will be the normal MP2 value.  
This is the default.  
= SCS - the energy used for the potential surface will be the SCS energy value.

Use of SCSPT=SCS causes gradients to be those for the SCS-MP2 potential surface. For CODE=IMS, the nuclear gradient can be evaluated analytically. See NUMGRD in \$CONTRL if



for some reason you wish to use the other two closed shell codes for SCS-MP2 gradients.

The following keywords apply to any CODE=SERIAL MP2 run, or to parallel ROHF+MP2 runs using OSPT=RMP:

- LMOMP2= a flag to analyze the closed shell MP2 energy in terms of localized orbitals. Any type of localized orbital may be used. This option is implemented only for RHF, and its selection forces use of the METHOD=3 transformation, in serial runs only. The default is .FALSE.
- CPHFBS = BASISMO solves the response equations during gradient computations in the MO basis. This is programmed only for RHF references without frozen core orbitals, when it is the default.  
= BASISAO solves the response equations using AO integrals, for frozen core MP2 with a RHF reference, or for ROHF or UHF based MP2.
- NWORD = controls memory usage. The default uses all available memory. Applies to CODE=SERIAL. (default=0)
- METHOD= n selects transformation method, 2 being the segmented transformation, and 3 being a more conventional two phase bin sort implementation. 3 requires more disk, but less memory. The default is to attempt method 2 first, and method 3 second. Applies only to CODE=SERIAL.
- AOINTS= defines AO integral storage during conventional integral transformations, during parallel runs.  
DUP stores duplicated AO lists on each node, and is the default for parallel computers with slow interprocessor communication, e.g. ethernet.  
DIST distributes the AO integral file across all nodes, and is the default for parallel computers with high speed communications.  
Applies only to parallel OSPT=RMP runs.

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**\$RIMP2** group    (optional, relevant if CODE=RIMP2 in \$MP2)

This group controls the resolution of the identity MP2 program, which approximately evaluates the MP2 energy. The RI approximation greatly reduces the computer resources required, while suffering only a small error in the energies. Thus, very large atomic basis sets may be used. The input below controls both utilization of the computer resources, and the accuracy of the calculation. See also \$AUXBAS, regarding the auxiliary basis set, whose choice also affects the accuracy of the calculation.

The program is enabled for parallel calculation, and is tuned to today's SMP nodes. It is limited to energy calculations only, without any solvent effects, for RHF or UHF references.

IAUXBF = 0 uses Cartesian Gaussians  
         = 1 uses spherical harmonics  
              for the auxiliary basis set used to expand the  
              MP2 energy expression into products of 3-index  
              matrices. The default is inherited from ISPHER.

The next two control computer resources, trading memory for disk storage.

GOSMP = flag requesting shared memory use. The default is .TRUE. in multi-core nodes, but .FALSE. in a uniprocessor. This option means only one copy of certain large matrices is stored per node.

USEDM = a flag to store two and three center repulsion integrals in distributed memory (.TRUE.), or in disk files (.FALSE., which is the default). Selection of this flag requires MEMDDI in \$SYSTEM. The default is .TRUE.

The RI approximation reduces CPU time, memory requirements, and total disk storage requirements compared to exact calculation. Experimentation with these two keywords will let you tune the program to your hardware situation. For example, choosing GOSMP=.TRUE. and USED=.TRUE. will run without any extra disk files, while setting GOSMP=.TRUE.

and USEDMM .FALSE. will minimize memory usage (and network usage) at the expense of doing disk I/O.

Total memory usage per node can be obtained by running EXETYP=CHECK. Note the largest replicated memory printed during the RIMP2's output, dividing by 1000000 to get the correct input for MWORDS (round up a bit). Note the largest shared memory requirement printed, also dividing by 100000, and rounding up a bit. Note the distributed memory requirement, which is already in megawords, and is the correct input for MEMDDI. Then, assuming you use p total compute process on multiple n-way nodes, the memory per node is

$$\text{GBytes/node} = 8(n * \text{MWORDS} + \text{shared} + n * \text{MEMDDI}/p) / 1024$$
Turning off GOSMP reduces the shared memory to 0 but increases MWORDS, which is multiplied by the number of cores per node! Turning off USEDMM leads to MEMDDI=0 by using disk storage instead.

If additional memory is available, increasing MWORDS can lead to a reduction in the level of the occupied orbital batch, or "LV". Larger MWORDS permits a smaller LV, which will in turn reduce the required computational time, and the required network traffic or disk I/O. The value of LV used is the last line appearing after "CHECKING SIZE OF OCCUPIED ORBITAL BATCH".

The next four control numerical accuracy, but see \$AUXBAS which is even more influential in regards the accuracy!

OTHAUX = flag to orthogonalize the RI basis set by diagonalization of the overlap matrix. If there is reason to suspect linear dependence may exist in the RI basis, select this option to have a more numerically stable result. Larger RI basis sets such as CCT and ACCT, in particular, may benefit from selecting this. (default=.FALSE.)

STOL = threshold at which to remove small overlap matrix eigenvectors, ignored if OTHAUX=.FALSE. This keyword is analogous to QMTTOL in \$CONTRL for the true A0 basis. (default= 1.0d-6)

IVMTD = selects the procedure for removing redundancies when inverting the two-center, two-e- matrix.

= 0 use Cholesky decomposition (default)  
 = 2 use diagonalization

VTOL = threshold at which to remove redundancies. This  
 is ignored unless IVMTD=2 (default= 1.0d-6)

Don't forget to see also the \$AUXBAS input group!

An example of this program follows. The molecule is taxol,  
 with 1032 AOs and MOs in the 6-31G(d) basis, correlating  
 164 valence orbitals. The RI basis set used is SVP, which  
 matches the true basis set in quality. There are 4175 AOs  
 in the RI basis. The job was run on a single 8-way node  
 (n=8, p=1,2,4,8), using MWORDS=50 (leading to LV=6),  
 MEMDDI=580, and the largest shared memory needed is 95  
 million words. The total node memory is thus

$(8 \text{ bytes/word}) * (8 * 50 + 95 + 8 * 580 / 8) / 1024 = 8.4 \text{ GBytes}$   
 easily fitting into a modern 16 GByte node. It reduces to  
 $(8 \text{ bytes/word}) * (8 * 50 + 95 + 8 * 580 / 16) / 1024 = 6.1 \text{ GB/node}$   
 if two 8-way nodes are used. Scaling is

p	SCF	RI-MP2	job total
1	7391	7919	15366
2	3718	4131	7860
4	1857	2290	4174
8	952	1488	2479
16	486	758	1276 using two 8-way nodes.

numerical results are E(RI-MP2)= -2920.607512

versus the exact E(MP2)= -2920.606231

The 0.0013 error should be measured against the total 2nd  
 order correlation energy, which is -8.7855, while noting  
 the time for the 2nd order E is similar to the SCF time.

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**\$AUXBAS** group    (required if CODE=RIMP2 in \$MP2)

This group specifies the auxiliary basis set used to define the resolution of the identity in the RI-MP2 method. The RI methods are formally exact if the RI basis set is complete, so selecting larger bases improves the results. However, this also increases the computational cost of the run! It is reasonable to use smaller RI basis sets when the AO basis is modest, and increase the RI basis when you use very large AO bases.

CABNAM specifies built-in basis sets for the RI:

- = SVP    Ahlrich's SVP basis,    available H-Kr
- = TZVP   Ahlrich's TZVP basis,   available H-Ar
- = TZVPP Ahlrich's TZVPP basis, available H-Ar
- = CCD       cc-pVDZ basis, available H-Ar
- = ACCD    aug-cc-pVDZ basis, available H-Ar
- = CCT       cc-pVTZ basis, available H-Ar
- = ACCT    aug-cc-pVTZ basis, available H-Ar
- = XXXXX externally defined: see EXTCAB.

CABNAM has no default, this is a required input!

Note IAUXBF in \$RIMP2 for selecting spherical harmonics versus Cartesian Gaussians.

EXTCAB = flag to read the basis from an external file.  
(default is .FALSE.)

This is analogous to EXTBAS in \$BASIS: no external files are provided with GAMESS. The value for CABNAM=XXXX must be 8 or fewer letters: avoid the name of any built in auxiliary basis. Your XXXX bases will be read from a file defined by environment variable EXTCAB, in the execution script. Every atom present in your molecule must be defined in the external file by a line giving its chemical symbol and then XXXX. Following this header, give the basis in free format \$DATA style, containing only S, P, D, F, and G shells, terminating each atom by the usual blank line.

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**\$CCINP** group                      (optional, relevant for any CCTYP)

This group controls a coupled-cluster calculation specified by CCTYP in \$CONTRL. The reference orbitals may be RHF or high spin ROHF. If this group is not given, as is often the case, all valence electrons are correlated. Several ground state CCTYP choices obey at least a few of the keywords from \$EOMINP, so please see that group too. Excited state runs such as CCTYP=EOM-CCSD or CR-EOML read \$CCINP to define orbital ranges for the ground state CCSD prior to generating excitations under \$EOMINP's control.

A number of CCTYP choices have been superseded by more advanced equations. For example, R-CC and CR-CC were developed prior to their CR-CCL replacement, while CR-EOML supercedes CR-EOM. CR-CCL provides a good approximation to the fully iterated CCSDT method, and so is superior to the familiar CCSD(T). A reasonable menu is:

RHF	ROHF (high spin)
---	-----
ground states [properties]:	
CCSD [CCPRP]	CCSD [n/a]
CCSD(T)	n/a
CR-CCL	CR-CCL
excited states [properties]:	
EOM-CCSD [CCPRPE]	EOM-CCSD
MULT=1	MULT=1,3,5 or
	spin-contaminated
CR-EOML	n/a
ionization processes:	
EA-EOM3a	n/a
IP-EOM3a	n/a
MULT=2,4	

CR-CCL =left-CCSD + CR-CC(2,3) perturbative triples.  
 CR-EOML=left-EOM-CCSD + CR-CC(2,3) perturbative triples.

Parallel computation is possible for RHF references only, and only for CCTYP=CCSD or CCSD(T). Memory use in parallel runs is exotic: use EXETYP=CHECK with PARALL in \$SYSTEM set on prints the per node memory requirements.

See the "Further Information" section of this manual for more details about coupled-cluster runs.

\*\*\*\* The first four pertain to both RHF and ROHF \*\*\*\*

- NCORE = gives the number of frozen core orbitals to be omitted from the CC calculation. The default is the number of chemical core orbitals.
- NFZV = the number of frozen virtual orbitals to be omitted from the calculation. (default is 0)
- MAXCC = defines the maximum number of CCSD (or LCCD, CCD) iterations. This parameter also applies to ROHF's left CC vector solver, but not RHF's left vector. See MAXCCL for RHF. (default=30)
- ICONV = defines the convergence criterion for the cluster amplitudes, as  $10^{*(-ICONV)}$ . The ROHF reference also uses this for its left eigenstate solver, but see CVGEOM in \$EOMINP for RHF references. (default is 7, but it tightens to 8 for FMO-CC.)

\*\*\*\* the next group pertains to RHF reference only \*\*\*\*

- CCPRP = a flag to select computation of the CCSD level ground state density matrix (see also CCPRPE in \$EOMINP for EOM-CCSD level excited states). The computation takes significant extra time, to obtain left eigenstates, so the default is .FALSE. except for CCTYP=CR-CCL or CR-EOML, where the work required for properties must be done anyway. This keyword is only available in serial runs.

Notes: CCSD is the only level at which properties can be obtained. Therefore this option can only be chosen for CCTYP=CCSD, CR-CCL, EOM-CCSD, CR-EOM, or CR-EOML. A CCSD run requesting CCPRP=.TRUE. will internally change itself to EOM-CCSD to run the left CCSD, but since NSTATE of \$EOMINP will still be zero, this remains a ground state calculation. Note that the convergence criterion for left eigenstates is CVGEOM in \$EOMINP, which is set to obtain excitation energies, and may need tightening.

There is little reason to select any of these:

- MAXCCL = iteration limit on the left eigenstate needed by CCSD properties, or CR-CCL energies.  
This is just a synonym for MAXEOM in \$EOMINP.  
If you want to alter the left state's convergence tolerance, use CVGEOM in \$EOMINP. The right CCSD state's convergence is set by MAXCC and ICONV.
- NWORD = a limit on memory to be used in the CC steps.  
The default is 0, meaning all memory available will be used.
- IREST = defines the restart option. If the value of IREST is greater or equal 3, program will restart from the earlier CC run. This requires saving the disk file CCREST from the previous CC run. Values of IREST between 0 and 3 should not be used. In general, the value of IREST is used by the program to set the iteration counter in the restarted run. The default is 0, meaning no restart is attempted.
- MXDIIS = defines the number of cluster amplitude vectors from previous iterations to be included in the DIIS extrapolation during the CCSD (or LCCD, CCD) iterative process. The default value of MXDIIS is 5 for all but small problems. The DIIS solver can be disengaged by entering MXDIIS = 0. It is not necessary to change the default value of MXDIIS, unless the CC equations do not converge in spite of increasing the value of MAXCC.
- AMPTSH = defines a threshold for eliminating small cluster amplitudes from the CC calculations. Amplitudes with absolute values smaller than AMPTSH are set to zero. The default is to retain all small amplitudes, meaning fully accurate CC iterations. Default = 0.0.

\*\*\*\* the next group pertains to ROHF reference only \*\*\*\*  
There is little reason to select any of these.

- MULT = spin multiplicity to use in the reference determinant during the CC computation.



The value of MULT given in the \$CONTRL input determines the spin state for the ROHF orbital optimization, and is the default for the CC. It would be quite unusual to use a different spin in the SCF than in the CC. The MULT keyword in \$EOMINP is of greater physical interest.

IOPMET = method for the CR-CC(2,3) triples correction.  
= 0 means try 1 and then try 2 (default)  
= 1, the high memory option  
This option uses the most memory, but the least disk storage and the least CPU time.  
= 2, the high disk option  
This option uses least memory, by storing a large disk file. Time is slightly more than IOPMET=1, but the disk file is  $(NO^{**3} * NU^{**3})/6$  words, where NO = correlated orbitals, and NU= virtuals.  
= 3, the high I/O option  
This option requires slightly more memory than 2, and slightly more disk than 1, but does much I/O. It is also the slowest of the three choices.

Check runs will print memory needed by all three options.

KREST = 0 fresh start of the CCSD equations (default)  
= 1 restart from AMPROCC file of a previous run

KMICRO = n performs DIIS extrapolation of the open shell CCSD, every n iterations (default is 6)  
Enter 0 to avoid using the DIIS converger.

LREST = 0 fresh start of the left CCSD equations (default)  
= 1 restart from AMPROCC file of a previous run

LMICRO = n performs DIIS extrapolation of the open shell left equations, every n iterations (default is 5)  
Enter 0 to avoid using the DIIS converger.  
KMICRO and LMICRO are ignored for trivial problem sizes.

=====

```
=====
$EOMINP group
      (optional, for CCTYP=EOM-CCSD, CR-EOM, or CR-EOML)
      (optional, for CCTYP=EA-EOMx or IP-EOMx)
      (optional for CCSD properties, or CCTYP=CR-CCL)
```

This group controls the calculation of excited states by the equation of motion coupled cluster with single and double excitations, with optional triples corrections. It also pertains to electron attachment and detachment processes, which may result in the system being left in an excited state. EOM-CCSD can be selected for RHF or ROHF reference states, while all other CCTYP listed above can be used only with SCFTYP=RHF.

These EOM-type runs consist of an SCF calculation on the reference state, followed by a ground state CCSD (see the \$CCINP input to control the ground state calculation, and the orbital range correlated), followed by an EOM-CCSD, IP-EOMCC, or EA-EOMCC calculations on the target states (see NSTATE below). In some cases, triples corrections based on the method of moments approach may follow.

The input group permits selection of how many states are computed (machine time is linear in the number of states). Since the target state default is simplistic (only one excited state in the totally symmetric representation), it is usually necessary to give this group, to select NSTATE and IROOT sensibly.

Because this input group is used for several CCTYP calculations, not all keywords are used in every case, or the keywords may have slightly different meanings:

- a) if the reference type is RHF, and CCTYP is EOM-CCSD, CR-EOM, or CR-EOML, keywords MULT and NACT are ignored.
- b) if the reference type is ROHF, and CCTYP is EOM-CCSD, keywords CCPRPE, NACT, MTRIP, MEOM, MCI, MINIT, CVGCI, MAXCI, MICCI are ignored. MULT is sometimes ignored.
- c) if the reference type is RHF, and CCTYP is an ionization process (EA/IP), keywords CCPRPE, MTRIP, MEOM, MCI, MINIT, CVGCI, MAXCI, MICCI are ignored.

Additional information on CC and EOM methods can be found in the "Further Information" section of this manual.

--- spin and space symmetry, and state selection:

MULT = Spin multiplicities for the target states.  
The meaning depends on the particular calculation.  
The default for cases using \$EOMINP's MULT is -1.  
If any doubly excited states or EA/IP quartets  
are sought, be sure to select MINIT=1 so that  
the initial guess states include these.

In case the run is IP-EOM or EA-EOM type, the run will  
pass through open shell code, although the reference  
in \$CONTRL must be given as RHF and MULT=1. The IP or EA  
states will be spin adapted.

MULT = -1 means target both doublet and quartet states  
= 2 means consider only doublet states  
= 4 means consider only quartet states, which can  
be produced at the EOM-CCSD level by a double  
that unpairs two electrons, and attaches (or  
detaches) a third electron.

In case the run is EOM-CCSD, SCFTYP=ROHF, but \$CONTRL  
selects a closed shell reference by MULT=1:

MULT = -1 means target singlet, triplet, and pentuplets.  
= 1 consider only singlet excited states  
= 3 consider only triplet excited states  
= 5 consider only pentuplet excited states.

In case the run is EOM-CCSD, SCFTYP=ROHF, and \$CONTRL  
selects a genuinely open shell reference, the EOM states  
will not be perfectly spin adapted. The spin projection  
of  $M_s$  from \$CONTRL's  $(MULT-1)/2$  is the only good quantum  
number. The excited states will have  $S$  values near to  
 $M_s$ ,  $M_s+1$ , or  $M_s+2$  since single and double excitations are  
treated. Note that target states with spins LOWER than  
 $M_s$  are not generated, even if they exist in nature. The  
output will not print approximate  $S$  or  $\langle S^2 \rangle$  values,  
but will label spatial symmetry.

MULT = input will be ignored

In case the run is an RHF reference EOM-CCSD (or triples  
correction), the target states are singlets, only.

MULT = input will be ignored

- GROUP** the name of the Abelian group to be used, which may be only one of the groups shown in the table below. The default is taken from \$DATA, and is reset to C1 if the group is non-Abelian. The purpose is to let the Abelian symmetry be turned off by setting GROUP=C1, if desired. Symmetry is used to help with the initial excited state selection, for controlling the EOMCC calculations, and for labeling the calculated states in the output (not to speed up the calculations).
- NSTATE** an array of up to 8 integers telling how many excited states of each symmetry type should be computed. The default is  
 NSTATE(1)=1,0,0,0,0,0,0,0  
 meaning 1 totally symmetric state is to be found. The ground state is always computed, and MUST NOT be included in NSTATE's input, for excited state runs. For EA or IP runs, the NSTATE input MUST include the target ion's ground state, and may include excited states of the ion. See also ISELECT below.

There is no particular reason the first excited state (or ionic ground state) should be totally symmetric, so most runs should give a sensible NSTATE input. Up to 10 states can be found in any irrep. Machine time is linear in the number of states to be found, so be realistic!

NSTATE uses this order for irreducible representations:

irrep	1	2	3	4	5	6	7	8
C1	A							
C2	A	B						
Cs	A'	A''						
Ci	Ag	Au						
C2v	A1	A2	B1	B2				
C2h	Ag	Au	Bg	Bu				
D2	A	B1	B2	B3				
D2h	Ag	Au	B1g	B1u	B2g	B2u	B3g	B3u

As an aside, NSTATE(1)=0,0,0,0,0,0,0,0 for RHF references will calculate the ground state only, generating the type I, II, or possibly III CR-CCSD(T) energies, which aren't otherwise available in a direct ground state calculation.

**IRROOT** selects the state whose energy is to be saved for further calculations, such as numerical gradients, or whose properties are evaluated, see CCPRPE below.  
The first integer lists the irrep number, from the same table as NSTATE, and the second lists the number of the state. Thus, IRROOT(1)=3,2 means the second B1 state, if GROUP=C2V.  
(default IRROOT(1)=1,0)

IRROOT's default is moderately sensible for a RHF-based excited state run, corresponding to the ground state (labeled as state 0), as this state must lie in the totally symmetric representation. ROHF-based excitations, or EA/IP runs should select something appropriate!

If degenerate EOM-CCSD states are detected, only one such state will be triples-corrected. The state chosen for possible triples will be the lower irrep number, so make sure IRROOT matches this.

**ISELECT** = an array allowing experts to reduce the number of states that are actually solved for. When given, NSTATE determines the number of states generated by the initial guess procedures, with ISELECT selecting those which carry into the calculation. NSTATE(1)=2,2,2,2 with ISELECT(1)=1,3,5,7 prepares two guesses in each irrep, but only iterates the EOM-CCSD equations for the lowest state in each irrep (the guesses are counted serially).

The next two keywords address triples corrections. Note that non-iterative triples corrections are not presently available for any SCFTYP=ROHF reference.

**MTRIP** selects the type of noniterative triples corrections to SCFTYP=RHF EOM-CCSD energies.  
MINIT applies only to CCTYP=CR-EOM or CR-EOML:  
1 = compute the CR-EOMCCSD(T) triples corrections termed type I and II in the output. This is the default, which skips the iterative CISD calculations needed to construct the CR-EOMCCSD(T) triples corrections of type III.  
2 = after performing an additional CISD calculation,

- evaluate all types of the CR-EOMCCSD(T) triples corrections, including types I, II, and III. This choice of MTRIP uses approximately 50 % more memory, but less CPU time than MTRIP=4.
- 3 = evaluate the CR-EOMCCSD(T) corrections of type III only. As with MTRIP=2, this calculation includes the iterative CISD calculation, which is needed to construct the type III triples corrections, in addition to the EOMCCSD and CR-EOMCCSD(T) calculations.
- 4 = carry out MTRIP=1 calculations, followed by MTRIP=3 calculations, thus evaluating all types of the CR-EOMCCSD(T) corrections (types I, II, and III in the output). As with MTRIP=2, this calculation includes the CISD iterations, which are needed to construct the type III triples corrections, in addition to the EOMCCSD and CR-EOMCCSD(T) calculations.

NACT pertains only to EA-EOM3A or IP-EOM3A runs:

NACT = the number of active MOs used to select the 3p2h or 3h2p excitations in EA-EOMCCSDt (EA-EOM3A) or IP-EOMCCSDt (IP-EOM3A) calculations.  
For CCTYP=EA-EOM3A, used to describe the (N+1) e-system, NACT refers to the NACT lowest unoccupied orbitals of the N e- reference system.  
For CCTYP=IP-EOM3A, used to describe the (N-1) e-system, NACT refers to the NACT highest occupied orbitals of the N e- reference system.  
The default for NACT is 0, which allows no three particle or three hole operators, and thus yields only EA-EOMCC2 or IP-EOMCC2 results.  
In other words, you should input a value for NACT!

CCPRPE = a flag to select computation of the EOM-CCSD level excited state density matrices (see also CCPRP in \$CCINP for ground states).  
The computation takes extra time, to obtain left eigenstates, so the default is .FALSE.

CCPRPE can be used only if SCFTYP=RHF and CCTYP=EOM-CCSD, CR-EOM, or CR-EOML. The property printout includes transition moments and oscillator strengths between all pairs of states, as well as the full range of Gaussian

properties (see \$ELMOM, etc), for state IROOT only. CC density matrices are square, not symmetric, which means that CCSD natural orbitals come in left/right pairs. To minimize the amount of output, only left natural orbitals for excited state IROOT will be found in the log file.

--- iterative solver selection:

MEOM        selects the solver for the EOMCCSD calculations:  
0 = one EOMCCSD root at a time, united iterative space for all calculated roots (default)  
1 = one root at a time, separate iterative space for each calculated root  
2 = the Hirao-Nakatsuji multi-root solver  
3 = one root at a time, separate iterative space for all computed right/left roots. (compare to 1)  
4 = one root at a time, united iterative spaces for each right/left root (compare to 0).

For open shell references, or IP/EA runs, there is only one EOM-CCSD solver, so MEOM is ignored.

MEOM=0,1,2 obtain all the right eigenvectors first, and then if properties are being computed, proceed to compute the left eigenvectors. MEOM=3,4 obtain right and left eigenvectors simultaneously, and therefore should only be chosen if you are computing properties (see CCPRP/CCPRPE).

MCI        selects the solver for the CISD step, which is irrelevant unless MTRIP is bigger than 1.  
1 = one root at a time, separate iterative space for each calculated root (default)  
2 = the Hirao-Nakatsuji multi-root solver (slower)

--- initial guess for EOM-CCSD (and possible CISD) solvers:

For both MINIT and MACT below, S and D stand for using all singles or doubles, while s and d mean restricting those excitations, both from and to a smaller number of orbitals. Of course, to define the range of orbitals "active" in the initial guess, inputs NOACT and NUACT (and perhaps MOACT) below must be given. The reason that MINIT=1 is preferred is that low-lying states with non-negligible double excitation character, or significant multi-configurational

character are missing in a simple CIS guess, and thus may not appear in the final converged calculations.

MINIT selects the initial guess procedure for EOM-CCSD, and possibly CISD iterations, in case MTRIP>1. MINIT applies to all runs reading \$EOMINP.

- 1 = Use EOMCCSD to start the EOMCCSD iterations, and CISd to start possible CISD iterations.
- 2 = Use CIS wave functions to start both EOMCCSD, or any possible CISD calculations.

MINIT's default is 2, but MINIT=1 is HIGHLY RECOMMENDED!

MACT = fine tuning of MINIT's EOM-CCSD initial guess

- For MINIT=1 MACT=0, use EOMCCSD guess
- For MINIT=1 MACT=1, use EOMCCSD guess
- For MINIT=2 MACT=0, use CIS guess
- For MINIT=2 MACT=1, use CIS guess

The default for MACT is 0.

MINIT applies to all calculations reading \$EOMINP, while MACT applies only if SCFTYP=ROHF.

the next three define the initial guess active space:  
There are no default values of NOACT and NUACTION, so the user MUST provide NOACT and NUACTION values if they are needed. NOACT and NUACTION are usually small (5 or so), but should be chosen to avoid splitting any degenerate orbital shells.

NOACT the number of occupied MOs in the active space for little s or little d initial guesses.

NUACT the number of unoccupied MOs in the active space for little s or little d initial guesses.

MOACT array allows explicit selection of the active orbitals used to define the EOMCCSD and CISD initial guesses. If not provided, the MOACT array is filled such that the NOACT highest occupied and NUACTION lowest unoccupied orbitals are selected. If MOACT is given, the number of values provided must be NOACT+NUACT. MOACT is most useful in the virtual space, where the lowest orbitals might be diffuse in nature. An example with 15 occupied orbitals, and where the user has searched the virtual space looking for valence-like orbitals, might be



```
MINIT=1 NOACT=3 NUACTION=5  
MOACT(1)=13,14,15, 19,20,24,25,30
```

--- iteration control:

CVGEOM	convergence criterion on the EOMCCSD excitation amplitudes R1 and R2 (default=1.0d-4).
MAXEOM	maximum number of iterations in the EOMCCSD calculations (default=50). For MEOM=0 or 1, this is the maximum number of iterations per each calculated state. For MEOM=2, this is the maximum number of iterations for all states of the EOMCCSD multi-root procedure.
MICEOM	maximum number of microiterations in the EOMCCSD calculations (default=80). Rarely used. For MEOM=1 (separate iterative space for each root), this is the maximum number of microiterations for each calculated state. For MEOM=0 or 2 (united iterative space for all calculated roots), this is the maximum number of microiterations for all calculated states. It is much better to perform calculations with MICEOM > MAXEOM (i.e., in a single iteration cycle). If for some reason the EOMCCSD convergence is very slow and the iterative space becomes very large, it may be worth changing the default MICEOM value to MICEOM < MAXEOM to reduce the disk usage. This is not going to happen too often and normally there is no need to change the default MICEOM value.

The next three apply only to closed shell reference triples, if the triples method MTRIP is greater than 1:

CVGCI	convergence criterion for the CISD expansion coefficients (default=1.0d-4).
MAXCI	maximum number of iterations in the CISD calculation (default=50). For MCI=1, this is the maximum number of iterations per each calculated CISD state. For MCI=2, this is the maximum number of iterations for all states of the CISD multi-root procedure.
MICCI	maximum number of microiterations in the

CISD calculation (default=80). Rarely used.  
For MCI=1 (separate iterative space for each root), this is the maximum number of microiterations for each calculated state.  
For MCI=2 (united iterative space for all calculated roots), this is the maximum number of microiterations for all calculated states. In analogy to MICEOM, it is much better to perform the CISD calculations with MICCI > MAXCI (i.e., in a single iteration cycle).

---- restarts:

JREST = 0 this is not a restart  
      = 1 restart data is read from AMPROCC file  
One use for this is to request additional states, with the restart taking any converged roots from disk, and doing an initial guess for additional states.  
You must not change MULT when restarting.

=====

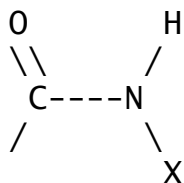
=====

**\$MOPAC** group      (relevant if GBASIS=PM3, AM1, or MNDO)

This group affects only semi-empirical jobs, which are selected in \$BASIS by keyword GBASIS.

PEPTID = flag for peptide bond correction.

By default a molecular mechanics-style torsion potential term is added for every peptide bond linkage found. The intent is to correct these torsions to be closer to planar than they would otherwise be in the semi-empirical model. Here, the peptide bond means any



One such torsion is added for O-C-N-H and one for O-C-N-X. This term is parameterized as in MOPAC6. Default=.TRUE.

=====

=====

**\$GUESS** group      (optional, relevant for all SCFTYP's)

This group controls the selection of initial molecular orbitals.

GUESS = Selects type of initial orbital guess.

- = HUCKEL      Carry out an extended Huckel calculation using a Huzinaga MINI basis set, and project this onto the current basis. This is implemented for atoms up to Rn, and will work for any all electron or core potential basis set. (default for most runs)
- = HCORE      Diagonalize the one electron Hamiltonian to obtain the initial guess orbitals. This method is applicable to any basis set, but does not work as well as the HUCKEL guess.
- = MOREAD      Read in formatted vectors punched by an earlier run. This requires a \$VEC deck, and you MUST pay attention to NORB below.
- = RDMINI      Read in a \$VEC deck from a converged SCF calculation using GBASIS=MINI, to project the MINI orbitals onto the current basis. The option improves upon the Huckel guess because it involves SCF orbitals, which are typically easily obtained in the small MINI basis. This option doesn't work if the current basis uses core potentials. potentials. The \$VEC from the MINI run must contain all virtual orbitals.
- = MOSAVED      (default for restarts) The initial orbitals are read from the DICTNRY file of the earlier run.
- = SKIP      Bypass initial orbital selection. The initial orbitals and density matrix are assumed to be in the DICTNRY file. Mostly used for RUNTYP=HESSIAN when the hessian is being read in from the input.

The next options are less general, being for Fragment Molecular Orbital runs, or Divide and Conquer runs:

- = FMO        Read orbitals from the DICTNRY file, from previous FMO run with MODPRP=1.
- = HUCSUB     Perform a Huckel guess in each subsystem of a Divide and Conquer run
- = DMREAD     Read a density matrix from a formatted \$DM group, produced by a previous Divide and Conquer run, see NDCPRT in \$DANDC.

All GUESS types except 'SKIP' permit reordering of the orbitals, carry out an orthonormalization of the orbitals, and generate the correct initial density matrix, for RHF, UHF, ROHF, and GVB, but note that correct computation of the GVB density requires also CICOEF in \$SCF. The density matrix cannot be generated from the orbitals alone for MP2, CI, or MCSCF, so property evaluation for these should be RUNTYP=ENERGY rather than RUNTYP=PROP using GUESS=MOREAD. PRTMO = a flag to control printing of the initial guess. (default=.FALSE.)

PUNMO = a flag to control punching of the initial guess. (default=.FALSE.)

MIX        = rotate the alpha and beta HOMO and LUMO orbitals so as to generate inequivalent alpha and beta orbital spaces. This pertains to UHF singlets only. This may require use of NOSYM=1 in \$CONTRL depending on your situation. (default=.FALSE.)

NORB       = The number of orbitals to be read in the \$VEC group. This applies only to GUESS=MOREAD.

For -RHF-, -UHF-, -ROHF-, and -GVB-, NORB defaults to the number of occupied orbitals. NORB must be given for -CI- and -MCSCF-. For -UHF-, if NORB is not given, only the occupied alpha and beta orbitals should be given, back to back. Otherwise, both alpha and beta orbitals must consist of NORB vectors.

NORB may be larger than the number of occupied MOs, if you wish to read in the virtual orbitals. If NORB is less than the number of atomic orbitals, the remaining orbitals are generated as the orthogonal complement to those read.

NORDER = Orbital reordering switch.

      = 0    No reordering (default)

      = 1    Reorder according to IORDER and JORDER.

IORDER = Reordering instructions, giving the new molecular orbital order. This parameter applies to the common orbitals (both alpha and beta) except for UHF, where IORDER only affects the alpha MOs.

Examples (let there be 10 occupied orbitals):

transposition of HOMO and LUMO:

IORDER(10)=11,10

a different transposition:

IORDER(10)=15 IORDER(15)=10

a more general permutation:

IORDER(8)=11,8,9,10

so the new orbital 10 is the original 9th.

The default is IORDER(i)=i.

JORDER = Reordering instructions.

Same as IORDER, but for the beta MOs of UHF.

INSORB = the first INSORB orbitals specified in the \$VEC group will be inserted into the Hucklel guess, making the guess a hybrid of HUCKEL/MOREAD. This keyword is meaningful only when GUESS=HUCKEL, and it is useful mainly for QM/MM runs where some orbitals (buffer) are frozen and need to be transferred to the initial guess vector set, see \$MOFRZ. (default=0)

\* \* \* the next are 3 ways to clean up orbitals \* \* \*

PURIFY = flag to symmetrize starting orbitals. This is the most soundly based of the possible procedures. However it may fail in complicated groups when the orbitals are very unsymmetric. (default=.FALSE.)

TOLZ = level below which MO coefficients will be set to zero. (default=1.0E-7)

TOLE = level at which MO coefficients will be equated. This is a relative level, coefficients are set equal if one agrees in magnitude to TOLE times the other. (default=5.0E-5)

SYMDEN = project the initial density in order to generate symmetric orbitals. This may be useful if the

HUCKEL or HCORE guess types give orbitals of impure symmetry (? 's present). The procedure will generate a fairly high starting energy, and thus its use may not be a good idea for orbitals of the quality of MOREAD. (default=.FALSE.)

=====

=====

**\$VEC** group                    (optional, relevant for all SCFTYP's)  
                                 (required if GUESS=MOREAD)

This group consists of formatted vectors, as written onto file PUNCH in a previous run. It is considered good form to retain the titling comment cards punched before the \$VEC card, as a reminder to yourself of the origin of the orbitals.

For Morokuma decompositions, the names of this group are \$VEC1, \$VEC2, ... for each monomer, computed in the identical orientation as the supermolecule. For transition moment or spin-orbit coupling runs, orbitals for states one and possibly two are \$VEC1 and \$VEC2.

=====

**\$DM** group                    (relevant in Divide and Conquer runs)

This group consists of a formatted density matrix, read in exactly the format it was written. See GUESS=DM, and NDCPR in \$DANDC.

=====

**\$MOFRZ** group                (optional, relevant for RHF, ROHF, GVB)

This group controls freezing the molecular orbitals of your choice during the SCF procedure. If you choose this option, select DIIS in \$SCF since SOSCF will not converge as well. GUESS=MOREAD is required in \$GUESS.

FRZ    = flag which triggers MO freezing. (default=.FALSE.)

IFRZ   = an array of MOs in the input \$VEC set which are to be frozen. There is no default for this.

=====



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**\$STATPT** group (for RUNTYP=OPTIMIZE or SADPOINT)

This group controls the search for stationary points. Note that NZVAR in \$CONTRL determines if the geometry search is conducted in Cartesian or internal coordinates.

METHOD = optimization algorithm selection. Pick from

- NR Straight Newton-Raphson iterate. This will attempt to locate the nearest stationary point, which may be of any order. There is no steplength control. RUNTYP can be either OPTIMIZE or SADPOINT
- RFO Rational Function Optimization. This is one of the augmented Hessian techniques where the shift parameter(s) is(are) chosen by a rational function approximation to the PES. For SADPOINT searches it involves two shift parameters. If the calculated stepsize is larger than DXMAX the step is simply scaled down to size.
- QA Quadratic Approximation. This is another version of an augmented Hessian technique where the shift parameter is chosen such that the steplength is equal to DXMAX. It is completely equivalent to the TRIM method. (default)
- SCHLEGEL The quasi-NR optimizer by Schlegel.
- CONOPT, CONstrained OPTimization. An algorithm which can be used for locating TSs. The starting geometry MUST be a minimum! The algorithm tries to push the geometry uphill along a chosen Hessian mode (IFOLOW) by a series of optimizations on hyperspheres of increasingly larger radii. Note that there currently are no restart capabilities for this method, not even manually.

OPTTOL = gradient convergence tolerance, in Hartree/Bohr. Convergence of a geometry search requires the largest component of the gradient to be less than OPTTOL, and the root mean square gradient less than 1/3 of OPTTOL. (default=0.0001)

NSTEP = maximum number of steps to take. Restart data is punched if NSTEP is exceeded. The default is 50 steps for a minimum search, but only 20 for a transition state search, which benefit from relatively frequent Hessian re-evaluations.

--- the next four control the step size ---

DXMAX = initial trust radius of the step, in Bohr. For METHOD=RFO, QA, or SCHLEGEL, steps will be scaled down to this value, if necessary. (default=0.3 for OPTIMIZE and 0.2 for SADPOINT) For METHOD=NR, DXMAX is inoperative. For METHOD=CONOPT, DXMAX is the step along the previous two points to increment the hypersphere radius between constrained optimizations. (default=0.1)

the next three apply only to METHOD=RFO or QA:

TRUPD = a flag to allow the trust radius to change as the geometry search proceeds. (default=.TRUE.)

TRMAX = maximum permissible value of the trust radius. (default=0.5 for OPTIMIZE and 0.3 for SADPOINT)

TRMIN = minimum permissible value of the trust radius. (default=0.05)

--- the next three control mode following ---

IFOLLOW = Mode selection switch, for RUNTYP=SADPOINT. For METHOD=RFO or QA, the mode along which the energy is maximized, other modes are minimized. Usually referred to as "eigenvector following". For METHOD=SCHLEGEL, the mode whose eigenvalue is (or will be made) negative. All other curvatures will be made positive.

For METHOD=CONOPT, the mode along which the geometry is initially perturbed from the minima. (default is 1)  
In Cartesian coordinates, this variable doesn't count the six translation and rotation degrees. Note that the "modes" aren't from mass-weighting.

STPT = flag to indicate whether the initial geometry is considered a stationary point. If .true. the initial geometry will be perturbed by a step along the IFOLLOW normal mode with stepsize STSTEP. (default=.false.)  
The positive direction is taken as the one where the largest component of the Hessian mode is positive. If there are more than one largest component (symmetry), the first is taken as positive.  
Note that STPT=.TRUE. has little meaning with HESS=GUESS as there will be many degenerate eigenvalues.

STSTEP = Stepsize for jumping off a stationary point. Using values of 0.05 or more may work better. (default=0.01)

IFREEZ = array of coordinates to freeze. These may be internal or Cartesian coordinates. For example, IFREEZ(1)=1,3 freezes the two bond lengths in the \$ZMAT example, which was for a triatomic  
\$CONTRL NZVAR=3 \$END  
\$ZMAT IZMAT(1)=1,1,2, 2,1,2,3, 1,2,3 \$END  
while optimizing the angle.

If NZVAR=0, so that this value applies to the Cartesian coordinates instead, the input of IFREEZ(1)=4,8 means to freeze the x coordinate of the 2nd and y coordinate of the 3rd atom.

See also IFZMAT and FVALUE in \$ZMAT, and IFCART below, as IFREEZ does not apply to DLC internals.

In a numerical Hessian run, IFREEZ specifies Cartesian displacements to be skipped for a Partial Hessian Analysis. IFREEZ can pertain to EFP particles, but only during RUNTYP=HESSIAN,

where the 6 translational and rotational degrees of freedom of each EFP come AFTER the QM atom coordinates. For more information:

J.D.Head, Int.J.Quantum Chem. 65, 827, 1997

H.Li, J.H.Jensen

Theoret. Chem. Acc. 107, 211-219(2002)

IFCART = array of Cartesian coordinates to freeze during a geometry optimization using delocalized internal coordinates. This probably works less well than IFREEZ when it freezes Cartesians. Only one of IFREEZ or IFCART may be chosen in a single run.

IACAT = array of "active atoms", which is a complimentary input to IFREEZ. Any atom \*not\* included in the list has its Cartesian coordinates frozen. Thus IACAT(1)=3,-5,107,144,202,-211 allows 15 atoms, namely 3-5, 107, 144, and 202-211 to be optimized, while all other atoms are frozen. NZVAR in \$CONTRL must be 0 when this option is chosen.

IFREEZ and IACAT are mutually exclusive. The latter acts by generating a IFREEZ for all atom coordinates not defined as "active", so users can input whichever list is shorter.

--- The next two control the hessian matrix quality ---

HESS = selects the initial hessian matrix.  
= GUESS chooses an initial guess for the hessian.  
(default for RUNTYP=OPTIMIZE)  
= READ causes the hessian to be read from a \$HESS group. (default for RUNTYP=SADPOINT)  
= RDAB reads only the ab initio part of the hessian, and approximates the effective fragment blocks.  
= RDALL reads the full hessian, then converts any fragment blocks to 6x6 T+R shape. (this option is seldom used).  
= CALC compute the hessian, see \$FORCE input.

IHREP = the number of steps before the hessian is recomputed. If given as 0, the hessian will be computed only at the initial geometry if you choose HESS=CALC, and never again. If nonzero, the hessian is recalculated every

IHREP steps, with the update formula used on other steps. (default=0)

HSEND = a flag to control automatic hessian evaluation at the end of a successful geometry search. (default=.FALSE.)

--- the next two control the amount of output ---  
Let 0 mean the initial geometry, L mean the last geometry, and all mean every geometry.  
Let INTR mean the internuclear distance matrix.  
Let HESS mean the approximation to the hessian.  
Note that a directly calculated hessian matrix will always be punched, NPUN refers only to the updated Hessians used by the quasi-Newton step.

NPRT = 1 Print INTR at all, orbitals at all  
0 Print INTR at all, orbitals at 0+L (default)  
-1 Print INTR at all, orbitals never  
-2 Print INTR at 0+L, orbitals never

NPUN = 3 Punch all orbitals and HESS at all  
2 Punch all orbitals at all  
1 same as 0, plus punch HESS at all  
0 Punch all orbitals at 0+L, otherwise only occupied orbitals (default)  
-1 Punch occ orbitals at 0+L only  
-2 Never punch orbitals

---- the next parameters control harmonic constraints ---  
Harmonic constraints can be added to the current geometry by setting ALL the keywords below. For instance, to harmonically constrain the distance between atom 3 and 12 to a distance of 2.0 Angstrom and a force constant of 500 kcal/mol, the following example can be used:

IHMCON(1)=1,3,12 SHMCON(1)=2.0 FHMCON(1)=500.0

The default is all zeros which means do not do this.

IHMCON = array of coordinates to constrain. The input is similar to IZMAT in \$ZMAT, a code integer, and the atoms involved in the coordinate.  
The code integer may only be 1, for stretches.

SHMCON = equilibrium constraint values for the distances

specified by IHMCON, given in Angstrom.

FHMCON = array of force constants for the distances specified by IHMCON, given in kcal/mol.

---- the following parameters are quite specialized ----

PURIFY = a flag to help eliminate the rotational and translational degrees of freedom from the initial hessian (and possibly initial gradient). This is much like the variable of the same name in \$FORCE, and will be relevant only if internal coordinates are in use. (default=.FALSE.)

PROJECT = a flag to eliminate translation and rotational degrees of freedom from Cartesian optimizations. The default is .TRUE. since this normally will reduce the number of steps, except that this variable is set false when POSITION=FIXED is used during EFP runs.

ITBMAT = number of micro-iterations used to compute the step in Cartesians which corresponds to the desired step in internals. The default is 5.

UPHESS = SKIP        do not update Hessian (not recommended)  
         BFGS        default for OPTIMIZE using RFO or QA  
         POWELL      default for OPTIMIZE using NR or CONOPT  
         POWELL      default for SADPOINT  
         MSP         mixed Murtagh-Sargent/Powell update  
         SCHLEGEL    only choice for METHOD=Schlegel

---- NNEG, RMIN, RMAX, RLIM apply only to Schlegel ----

NNEG    = The number of negative eigenvalues the force constant matrix should have. If necessary the smallest eigenvalues will be reversed. The default is 0 for RUNTYP=OPTIMIZE, and 1 for RUNTYP=SADPOINT.

RMIN    = Minimum distance threshold. Points whose root mean square distance from the current point is less than RMIN are discarded. (default=0.0015)

RMAX = Maximum distance threshold. Points whose root mean square distance from the current point is greater than RMAX are discarded. (default=0.1)

RLIM = Linear dependence threshold. Vectors from the current point to the previous points must not be colinear. (default=0.07)

=====

\* \* \* \* \*  
See the 'further information' section for  
some help with OPTIMIZE and SADPOINT runs  
\* \* \* \* \*

=====

**\$TRUDGE** group (required for RUNTYP=TRUDGE)

This group defines the parameters for a non-gradient optimization of exponents or the geometry. The TRUDGE package is a modified version of the same code from Michel Dupuis' HONDO 7.0 system, originally written by H.F.King. Presently the program allows for the optimization of 10 parameters.

Exponent optimization works only for uncontracted primitives, without enforcing any constraints. Two non-symmetry equivalent H atoms would have their p function exponents optimized separately, and so would two symmetry equivalent atoms! A clear case of GIGO.

Geometry optimization works only in HINT internal coordinates (see \$CONTRL and \$DATA inputs). The total energy of all types of SCF wavefunctions can be optimized, although this would be extremely stupid as gradient methods are far more efficient. The main utility is for open shell MP2 or CI geometry optimizations, which may not be done in any other way with GAMESS. If your run requires NOSYM=1 in \$CONTRL, you must be sure to use only C1 symmetry in the \$DATA input.

OPTMIZ = a flag to select optimization of either geometry or exponents of primitive gaussian functions.  
= BASIS for basis set optimization.  
= GEOMETRY for geometry optimization (default).  
This means minima search only, there is no saddle point capability.

NPAR = number of parameters to be optimized.

IEX = defines the parameters to be optimized.

If OPTMIZ=BASIS, IEX declares the serial number of the Gaussian primitives for which the exponents will be optimized.

If OPTMIZ=GEOMETRY, IEX define the pointers to the HINT internal coordinates which will be optimized.



(Note that not all internal coordinates have to be optimized.) The pointers to the internal coordinates are defined as: (the number of atom on the input list)\*10 + (the number of internal coordinate for that atom). For each atom, the HINT internal coordinates are numbered as 1, 2, and 3 for BOND, ALPHA, and BETA, respectively.

P = Defines the initial values of the parameters to be optimized. You can use this to reset values given in \$DATA. If omitted, the \$DATA values are used. If given here, geometric data must be in Angstroms and degrees.

A complete example is a TCSCF multireference 6-31G geometry optimization for methylene,

```
$CONTRL SCFTYP=GVB CITYP=GUGA RUNTYP=TRUDGE
        COORD=HINT $END
$BASIS  GBASIS=N31 NGAUSS=6 $END
$DATA
```

Methylene TCSCF+CISD geometry optimization  
Cnv 2

```
C      6.      LC  0.00  0.0  0.00  -  0  K
H      1.      PCC 1.00  53.  0.00  +  0  K  I
```

\$END

\$SCF NCO=3 NPAIR=1 \$END

\$STRUDGE OPTMIZ=GEOMETRY NPAR=2

IEX(1)=21,22 P(1)=1.08 \$END

\$CIDRT GROUP=C2V SOCI=.TRUE. NFZC=1 NDOC=3 NVAL=1  
NEXT=-1 \$END

using GVB-PP(1), or TCSCF orbitals in the CI. The starting bond length is reset to 1.09, while the initial angle will be 106 (twice 53). Result after 17 steps is R=1.1283056, half-angle=51.83377, with a CI energy of -38.9407538472

Note that you may optimize the geometry for an excited CI state, just specify

\$GUGDIA NSTATE=5 \$END

\$GUGDM IROOT=3 \$END

to find the equilibrium geometry of the third state (of five total states) of the symmetry implied by your \$CIDRT.

=====

=====

**\$TRURST** group      (optional, relevant if RUNTYP=TRUDGE)

    This group specifies restart parameters for TRUDGE runs and accuracy thresholds.

KSTART indicates the conjugate gradient direction in which the optimization will proceed. ( default = -1 )

    -1 .... indicates that this is a non-restart run.

    0 .... corresponds to a restart run.

FNOISE accuracy of function values.

Variation smaller than FNOISE are not considered to be significant (Def. 0.0005)

TOLF accuracy required of the function (Def. 0.001)

TOLR accuracy required of conjugate directions (Def. 0.05)

    For geometry optimization, the values which give better results (closer to the ones obtained with gradient methods) are: TOLF=0.0001, TOLR=0.001, FNOISE=0.00001

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## **\$FORCE** group

(optional, relevant for RUNTYP=HESSIAN,OPTIMIZE,SADPOINT)

This group controls the computation of the hessian matrix (the energy second derivative tensor, also known as the force constant matrix), and an optional harmonic vibrational analysis. This can be a very time consuming calculation. However, given the force constant matrix, the vibrational analysis for an isotopically substituted molecule is very cheap. Related input is HESS= in \$STATPT, and the \$MASS, \$HESS, \$GRAD, \$DIPDR, \$VIB inputs. Calculation of the hessian automatically yields the dipole derivative tensor, giving IR frequencies. Raman intensities are obtained by following with RUNTYP=RAMAN.

METHOD = chooses the computational method:

- = ANALYTIC is a fully analytic calculation. This is implemented for SCFTYP=RHF, UHF, ROHF, GVB (for NPAIR=0 or 1, only), and MCSCF (for CISTEP=ALDET or ORMAS, only). R-DFT and U-DFT are also analytic. This is the default for these cases.
- = SEMINUM does numerical differentiation of analytically computed first derivatives. This is the default for UHF, MCSCF using other CISTEPs, DFT, all solvent, models, relativistic corrections, and most MP2 or CI runs.
- = FULLNUM numerically differentiates the energy twice, which can be used by all other cases. It requires many energies (a check run will tell how many) and so it is mainly useful for systems with only very few symmetry unique atoms.

The default for METHOD is to pick ANALYTIC over SEMINUM if that is programmed, and SEMINUM otherwise. FULLNUM will never be chosen unless you specifically request it.

RDHESS = a flag to read the hessian from a \$HESS input, rather than computing it. This variable pertains only to RUNTYP=HESSIAN. See also HESS= in the

\$STATPT input group. (default is .FALSE.)

PURIFY = controls cleanup

Given a \$ZMAT, the hessian and dipole derivative tensor can be "purified" by transforming from Cartesians to internals and back to Cartesians. This effectively zeros the frequencies of the translation and rotation "modes", along with their IR intensities. The purified quantities are punched out. Purification does change the Hessian slightly, frequencies at a stationary point can change by a wave number or so. The change is bigger at non-stationary points. (default=.FALSE. if \$ZMAT is given)

PRTIFC = prints the internal coordinate force constants. You MUST have provided \$ZMAT input to use this. (Default=.FALSE.)

--- the next four apply to numeric differentiation ----

NVIB = The number of displacements in each Cartesian direction for force field computation. This pertains only to METHOD=SEMINUM, as FULLNUM always uses double difference formulae.

= 1 Move one VIBSIZ unit in each positive Cartesian direction. This requires  $3N+1$  evaluations of the wavefunction, energy, and gradient, where N is the number of SYMMETRY UNIQUE atoms given in \$DATA.

= 2 Move one VIBSIZ unit in the positive direction and one VIBSIZ unit in the negative direction. This requires  $6N+1$  evaluations of the wavefunction and gradient, and gives a small improvement in accuracy. In particular, the frequencies will change from NVIB=1 results by no more than 10-100 wavenumbers, and usually much less. However, the normal modes will be more nearly symmetry adapted, and the residual rotational and translational "frequencies" will be much closer to zero. (default)

VIBSIZ = Displacement size (in Bohrs). This pertains to Both SEMINUM and FULLNUM. Default=0.01

Let 0 mean the Vib0 geometry, and  
D mean all the displaced geometries

NPRT    = 1   Print orbitals at 0 and D  
          = 0   Print orbitals at 0 only (default)

NPUN    = 2   Punch all orbitals at 0 and D  
          = 1   Punch all orbitals at 0 and occupied orbs at D  
          = 0   Punch all orbitals at 0 only (default)

----- the rest control normal coordinate analysis -----

VIBANL = flag to activate vibrational analysis.  
(the default is .TRUE. for RUNTYP=HESSIAN, and  
otherwise is .FALSE.)

SCLFAC = scale factor for vibrational frequencies, used  
in calculating the zero point vibrational energy.  
Some workers correct for the usual overestimate  
in SCF frequencies by a factor 0.89. ZPE or other  
methods might employ other factors, see  
J.P.Merrick, D.Moran, L.Radom  
J.Phys.Chem.A 111, 11683-11700 (2007).  
The output always prints unscaled frequencies, so  
this value is used only during the thermochemical  
analysis. (Default is 1.0)

TEMP    = an array of up to ten temperatures at which the  
thermochemistry should be printed out. The  
default is a single temperature, 298.15 K. To  
use absolute zero, input 0.001 degrees.

FREQ    = an array of vibrational frequencies. If the  
frequencies are given here, the hessian matrix  
is not computed or read. You enter any imaginary  
frequencies as negative numbers, omit the  
zero frequencies corresponding to translation  
and rotation, and enter all true vibrational  
frequencies. Thermodynamic properties will be  
printed, nothing else is done by the run.

PRTSCN = flag to print contribution of each vibrational  
mode to the entropy. (Default is .FALSE.)

DECOMP = activates internal coordinate analysis.  
Vibrational frequencies will be decomposed into  
"intrinsic frequencies", by the method of  
J.A.Boatz and M.S.Gordon, J.Phys.Chem., 93,  
1819-1826(1989). If set .TRUE., the \$ZMAT input  
may define more than 3N-6 (3N-5) coordinates.  
(default=.FALSE.)

PROJECT = controls the projection of the hessian matrix.  
The projection technique is described by  
W.H.Miller, N.C.Handy, J.E.Adams in J. Chem.  
Phys. 1980, 72, 99-112. At stationary points,  
the projection simply eliminates rotational and  
translational contaminants. At points with  
non-zero gradients, the projection also ensures  
that one of the vibrational modes will point  
along the gradient, so that there are a total of  
7 zero frequencies. The other 3N-7 modes are  
constrained to be orthogonal to the gradient.  
Because the projection has such a large effect on  
the hessian, the hessian is punched both before  
and after projection. For the same reason, the  
default is .FALSE. to skip the projection, which  
is mainly of interest in dynamical calculations.

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There is a program ISOEFF for the calculation of kinetic  
and equilibrium isotope effects from the group of Piotr  
Paneth at the Technical University of Lodz. This program  
will accept data computed by GAMESS (and other programs),  
and can be requested from paneth@p.lodz.pl

=====

**\$CPHF** group      (relevant for analytic RUNTYP=HESSIAN)

This group controls the solution of the response equations, also known as coupled Hartree-Fock (CPHF).

POLAR = a flag to request computation of the static polarizability, alpha. Because this property needs 3 additional response vectors, beyond those needed for the hessian, the default is to skip the property. (default = .FALSE.)

CPHF = nature of integrals driving the formation of the response equation. The defaults are intelligent, so this is meant mostly for experts/debugging.

= AO      forms response equations from AO integrals, which usually takes less memory, and is more parallel. This is the default for RHF, UHF, ROHF, R-DFT, or U-DFT. AO-driven mode is not available for any other cases.

= MO      forms response equations from transformed MO integrals. This is the default for GVB or MCSCF. This is available for RHF or ROHF.

= AODDI forms response equations from AO integrals, using distributed memory (see MEMDDI). This does AO integrals about 2x more than AO, but spreads the CPHF memory requirement out across multiple nodes. Coded only for RHF.

SOLVER = linear equation solver choice. This is primarily a debugging option. For RHF analytic Hessians, choose from CONJG (default), DIIS, ONDISK, not all of which will work for all CPHF= choices. For imaginary frequency dependent polarizability responses (MAKEFP jobs), choose GMRES (default), biconjugate gradient stabilized BCGST, DODIIS, or an explicit solver GAUSS. Most response equations have only one solver programmed, and thus ignore this keyword.

NWORD = controls memory usage for this step. The default uses all available memory. (default=0)

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## **\$CPMCHF** group

(relevant for analytic RUNTYP=HESSIAN,NACME,CONICAL)

This group controls the solution of the response equations, also called coupled perturbed multiconfiguration Hartree-Fock, for MCSCF wavefunctions. These are needed for analytic hessians (CISTEP=ALDET or ORMAS), or for state-averaged gradients or non-adiabatic coupling matrix element calculations. The full response equations are solved for hessians, while Z-vector equations are used for NACME and SA-gradients.

The default converger is a (linear) conjugate gradient (CG) method, but three others may be chosen. Difficult cases might work upwards from the default CG method by:

```
$cpmchf $end
$cpmchf ipdir=50 $end
$cpmchf gcro=.t.   micit=5   kicit=10 $end
$cpmchf gcrodr=.t. micit=10 kicit=5  $end
$cpmchf gcrodr=.t. micit=30 kicit=10 reclin=.false. $end
$cpmchf gcrodr=.t. micit=20 kicit=10 reclin=.false.
      prcchg=.true. prctol=1.0 $end
$cpmchf gcro=.t.   micit=50 kicit=100
      prcchg=.true. prctol=1.0 $end
```

where the last one is "the sledgehammer". The options shown in the next to last case very often work, and will be considerably faster than the last set, which should always work. GCRO will typically take many fewer iterations than CG, a measure of its robustness, but will use more machine time due to its microiterations.

--- the next set apply to any CP-MCHF converger ---

MAXIT = maximum iterations. (default=300)

CPTOL = accuracy tolerance for cpmchf equations  $Ax=b$ .  
(compared to  $r/||b||$ , within orbital, CI, and  
state averaged components) (default=1.0D-07)

PRCCHG = a flag to adjust the linear equation's  
preconditioning. (Default=.FALSE.)  
For ORMAS runs in particular, the standard



preconditioner might lead to ill-conditioning with very small elements. If selected, any preconditioner elements below PRCTOL will be reset to a value of 1.0

PRCTOL = a tolerance to keep preconditioner elements, if PRCCHG is chosen. Default=1.0D-6

--- for RUNTYP=NACME ---

NAPICK = a flag to select running through z-vector setup and choose which linear equations to solve.  
.TRUE. leads to z-vector linear equations.  
.FALSE. leads to non-z-vector linear equations.  
The z-vector equations are advantageous when the degrees of freedom exceeds the no. of electronic states involved in the state-averaging.  
(The defaults are set to enforce this option.)  
The z-vector equations are also advantageous when only a few NA couplings out of the total total possible couplings are of interest.  
If CISTEP=ORMAS, NAPICK=.TRUE. is forced.  
Selecting NAPICK=.TRUE. requires the choice of NA couplings in the NACST array (see below).  
(default=varies... see ROUTINE NACME for notes)

NACST = an array that indicates which NA couplings to Calculate, if NAPICK is chosen. For example, if WSTATE in \$DET contains at least four states, the NACME can be limited to state pairs 1<->2 and 3<->4 by NACST(1)=3,4, 1,2. Note that you should pick increasing order within any pair of states! The program always generates the state-specific gradient of every state with a non-zero WSTATE.  
(default=none)

--- the next three choose the other CPMCHF convergers ---  
If none is selected, conjugate gradient (CG) is used.

GCRODR = a flag to select Generalized Conjugate Residual with inner Orthogonalization with Deflated Restarting. (default=.FALSE.)

GCRO = a flag to select Generalized Conjugate Residual

with inner Orthogonalization. (default=.FALSE.)

CGGCRO = a flag to alternate between GCRO and CG solvers.  
(default=.FALSE.)

--- next apply only to GCRODR:

RECLIN = a flag to select recycling of Krylov subspaces from the first linear equation. (recycle linear). The first CP-MCHF linear equation is solved and a recyclable subspace is generated. Then, after a projection of approximate solution across the subspace from the first system, the rest of the linear equations are solved. The recycled subspace may or may not give rapid convergence with fewer iterations. See MICIT and KICIT. (default=.TRUE.)

--- next apply only to GCRODR,GCRO,CGGCRO:

MICIT = total size of the Krylov expansion space, namely the number of micro-iterations within an overall iteration. While the MICIT variable has no limit, fifty or more micro-iterations start to become computationally unmanagable for larger systems. The default often must be increased for large systems or for geometries far from equilibrium. In addition, the GCRODR converger has a slightly modified scheme for the micro-iterations. In the first iteration, MICIT micro-iterations are performed in a GMRES(MICIT) iteration. However, for subsequent iterations, (MICIT-KICIT) micro-iterations are performed.  
(default=5, often increased to 20 or 30)

KICIT = the size of the recyclable Krylov basis saved and modified from iteration to iteration, created from eigenvectors with small eigenvalues. If this space is too small, the run will experience ill-conditioning, but if too large, the search space includes ineffective parts. In case PRCCHG is chosen, make sure the number of vectors reset from small values does not exceed

KICIT, if it does, increase KICIT.  
(default=5, usually small, e.g. 5-10 for GCRODR)

(MICIT> KICIT for GCRODR)  
(KICIT>=MICIT for GCRO and CGGCRO)

--- next apply only to (linear) CG:

NACFAC = number of iterations before softening the current  
convergence tolerance by 1/3, for CG only. Use  
NACFAC=0 (or MAXIT) to prevent raising the  
from the initial CPTOL. (default=50)

IPDIR = number of iterations before resetting the  
residual from a pseudo-residual to the true  
residual. This reset also resets the search  
directions, since keeping the old 'ill-rounded'  
directions is not very beneficial.  
If a run almost convergences but struggles in  
later iterations, IPDIR=50 is recommended.  
This option appears more useful for NACME  
rather than Hessian runs.  
(default=MAXIT)

--- next apply only to CGGCRO:

ITERA = the number of (linear) CG iterations to apply  
before alternation to the GCRO converger.  
(default=5)

ITERB = the number of GCRO iterations to apply  
before alternation to the (linear) CG converger.  
(default=5)

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**\$MASS** group (relevant for RUNTYP=HESSIAN, IRC, or DRC)

This group permits isotopic substitution during the computation of mass weighted Cartesian coordinates. Of course, the masses affect the frequencies and normal modes of vibration.

AMASS = An array giving the atomic masses, in amu. The default is to use the mass of the most abundant isotope. Masses through element 104 are stored.

example - \$MASS AMASS(3)=2.0140 \$END  
will make the third atom in the molecule a deuterium.

=====

---

**\$HESS** group

(relevant for RUNTYP=HESSIAN if RDHESS=.TRUE.)  
(relevant for RUNTYP=IRC if FREQ,CMODE not given)  
(relevant for RUNTYP=OPTIMIZE,SADPOINT if HESS=READ)

Formatted force constant matrix (FCM), i.e. hessian matrix. This data is punched out by a RUNTYP=HESSIAN job, in the correct format for subsequent runs. The first card in the group must be a title card.

\$HESS information is always punched in Cartesians. It will be transformed into internal coordinate space if a geometry search uses internals. It will be mass weighted (according to \$MASS) for IRC and frequency runs.

The initial FCM is updated during the course of a geometry optimization or saddle point search, and will be punched if a run exhausts its time limit. This allows restarts where the job leaves off. You may want to read this FCM back into the program for your restart, or you may prefer to regenerate a new initial hessian. In any case, this updated hessian is absolutely not suitable for frequency prediction!

---

**\$GRAD** group (relevant for RUNTYP=OPTIMIZE or SADPOINT)  
(relevant for RUNTYP=HESSIAN when RDHESS=.TRUE.)

Formatted gradient vector at the \$DATA geometry. This data is read in the same format it was punched out.

For RUNTYP=HESSIAN, this information is used to determine if you are at a stationary point, and possibly for projection. If omitted, the program pretends the gradient is zero, and otherwise proceeds normally.

For geometry searches, this information (if known) can be read into the program so that the first step can be taken instantly.

---

=====

**\$DIPDR** group (relevant for RUNTYP=HESSIAN if RDHESS=.T.)

Formatted dipole derivative tensor, punched in a previous RUNTYP=HESSIAN job. If this group is omitted, then a vibrational analysis will be unable to predict the IR intensities, but the run can otherwise proceed.

=====

**\$ALPDR** group (relevant for RUNTYP=RAMAN or HESSIAN)

Formatted alpha polarizability derivative tensor, punched by a previous RUNTYP=RAMAN job. If both \$DIPDR and \$ALPDR group are found in the input file, the applied electric field computation will be skipped, to immediately evaluate IR and Raman intensities. This restart may be most relevant for isotopic substitution.

If this group is found during RUNTYP=HESSIAN, the Raman intensities will be added to the output. You might want to restart as RUNTYP=HESSIAN instead of RUNTYP=RAMAN in order to have access to PROJCT or the other options available in the \$FORCE input group.

=====

=====

**\$VIB** group     (relevant for RUNTYP=HESSIAN, METHOD=SEMINUM)

Formatted restart data, consisting of energies, gradients, and dipole moments. This data is read in the same format by which it was written to the RESTART file. Just add a " \$END" card, and place this group into the input file to effect a restart. If the final displacement's gradient was written as zero, delete the entire last data set (energy, gradient, and dipole).

In case the numerical hessian was run in groups (see \$GDDI), the \$VIB entries will be out of order. The unsorted data can be read back in, if and only if the new run is also using processor groups. Note that assembling a complete \$VIB could be sent to one core in one group, but use NGROUP=1 to make it look like a "group run".

This group can be used to turn a less accurate single differencing run into a more accurate double differencing run (NVIB in \$HESS).

The mere presence of this group triggers the restart.

=====

**\$VIB2** group             (relevant for Hessians, METHOD=FULLNUM)  
                              (relevant for gradients, with NUMGRD=.TRUE.)

Formatted restart information, consisting of energy values, as written to the RESTART file. Just add a " \$END" line at the bottom, and place this group into the input file to effect a restart. This group has the same name (\$VIB2), but different contents, depending on whether you are restarting a numerical gradient or a fully numerical hessian job.

The mere presence of this group triggers the restart.

=====

=====

**\$VSCF** group                      (optional, relevant to RUNTYP=VSCF)

This group governs the computation of vibrational frequencies including anharmonic effects. Besides the keywords shown below, the input file must contain a \$HESS input (and perhaps a \$DIPDR input), to start with previously obtained harmonic vibrational information. The VSCF method requires only energies, so any energy type in GAMESS may be used, perhaps with fully numerical harmonic vibrational information. Energies are sampled along the directions of the harmonic normal modes, and usually along pairs of harmonic normal modes, after which the nuclear vibrational wavefunctions are obtained. The dipole on the grid points may be used to give improved IR intensities.

The most accurate calculation computes the potential surface directly, on all grid points, but this involves many energy evaluations. An attractive alternative is the Quartic Force Field approximation of Yagi et al., which computes a fit to the derivatives up to fourth order by computing a specialized set of points, after which this fit is used to generate the full grid of points for the solver.

Since there are a great many independent energy evaluations, no matter which type of surface is computed, the VSCF method allows for computations in subgroups (much like the FMO method). Thus any \$GDDI input group will be read and acted upon, if found.

Vibrational wavefunctions are obtained at an SCF-like level, termed VSCF, using product nuclear wavefunctions, along with an MP2-like correction to the vibrational energy, which is termed correlation corrected (cc-VSCF). In addition, vibrational energy levels based on second order degenerate perturbation theory (see VDPT) or a CI analog (see VCI) may be obtained.

Most VSCF applications have been carried out with an electronic structure level of MP2 with triple zeta basis sets. This is thought to give accuracy to 50 wavenumbers for the larger fundamentals. Use of internal coordinates is known to give improved accuracy for lower frequencies, particularly in weakly bound clusters.



Restarts involve the \$VIBSCF input (which has different formats for each PETYP), and the READV keyword. Restarts are safest on the same machine, where normal mode phases are reproducible.

References for the VSCF method, the QFF approximation, and the solvers are given in Chapter 4 of this manual, along with a number of sample applications.

\* \* \* \* \*

The first input variables control the generation of the potential surface on which the nuclear vibrations occur:

PETYP = DIRECT computes the full potential energy surface, according to NCOUP/NGRID. The total number of energy/dipole calculations for NCOUP=2 will be  $M \times \text{NGRID} + (M \times (M-1)/2) \times \text{NGRID} \times \text{NGRID}$ , where M is the number of normal modes.

This is the default.

= QFF the Quartic Force Field approximation to the potential surface is obtained. This is usually only slightly less accurate, but has a greatly reduced computational burden, namely  $6 \times M + 12 \times M \times (M-1)/2$  energy/dipoles.

INTCRD = flag setting the coordinate system used for the grids. Any internal coordinates to be used must be defined in \$ZMAT, using 3N-6 simple, DLC, or natural internal coordinates. Of course, you must enter NZVAR in \$CONTRL as well.

The default is to use Cartesians (default .FALSE.)

INTTYP = 0 default if INTCRD=.FALSE. (ignore this keyword)

= 1 implies that the \$ZMAT contains only stretches, bends, and torsions. It also selects an approximate transformation between Cartesian and internal coords.

= 2 the other \$ZMAT coordinates may be used, and the coordinate transformation will be iterated to convergence. (default if INTCRD=.TRUE.)

NCOUP = the order of mode couplings included.

- = 1 computes 1-D grids along each harmonic mode
- = 2 adds additionally, 2-D grids along each pair of normal modes. (default=2)
- = 3 adds additionally, 3-D grids for mode triples, for PETYP=DIRECT only.

NGRID = number of grid points to be used in solving for the anharmonic vibrational levels. In the case of PETYP=DIRECT, each of these grid points must be explicitly computed. For PETYP=QFF these grid points are obtained from a fitted quartic force field. Reasonable values are 8 or 16 for DIRECT, with 16 considered significantly more accurate. For PETYP=QFF, the generation of the solver grid is very fast, so use 16 always. (default=16)

AMP = step size for PETYP=DIRECT displacements. The maximum distance along each mode is a function of its frequency,  
$$\text{amplitude}(i) = \sqrt{2 * (\text{AMP} + 1/2) / \text{freq}(i)}$$
so that AMP resembles a vibrational quantum number. The default goes far enough past the classical turning points of the fundamentals to capture the relevant part of the surface.  
(default = 7.0)

STPSZ = step size for PETYP=QFF displacements. The step along each mode depends on the harmonic frequency, as well as this parameter, whose default is usually satisfactory (default=0.5)

In case the user wants to control each normal mode with a separate parameter, arrays of values may be given, using the keywords AMPX(1)=xx,yy,... or STPSZX(1)=xx,yy,zz...

IMODE = array of modes for which anharmonic effects will be computed. IMODE(1)=10,19 computes anharmonic energies and wavefunctions for modes 10 and 19, only. In the current implementation, pairs of modes cannot be coupled, so NCOUP is forced to 1 if this option is specified. This approximation is intended for larger molecules, where the whole VSCF calculation is prohibitive.

\* \* \* \* \*

The next set of keywords relates to the solver step which finds the vibrational states. The results always include VSCF and cc-VSCF (SCF and non-degenerate MP2-like solutions). Use of the restart option makes comparing the solvers very fast, compared to the time to generate the electronic potential energy surface's points.

VDPT = option to use 2nd order degenerate perturbation theory, based on the ground and singly excited vibrational levels. Results for virtual CI within the same singly excited space will also be given. (default=.TRUE.)

VCI = option to use the virtual CI solver within a space of the ground and both singly and doubly excited vibrational levels. Selection of VCI turns VDPT off. (default=.FALSE.)

The solver always finds the ground vibrational state (v=0) by default, and defaults to finding the fundamentals (v=1 in every mode). It can rapidly find excited levels (such as all v=2) if restarted (see READV) from \$VIBSCF, using the following to control the excitation levels:

IEXC = 1 obtain fundamental frequencies (default)  
 = 2 instead, obtain first overtones  
 = 3 instead, obtain second overtones

IEXC2 = 0 skip combination bands (default)  
 = 1 add one additional quanta in other modes  
 = 2 add two other quanta in one mode at a time.

IEXC	IEXC2	for H2O, which has only three modes:
0	0	only 000 ground state, no transitions
1	0	000, and 100, 010, 001 (fundamentals)
2	0	000, and 200, 020, 002 (1st overtones)
3	0	000, and 300, 030, 003 (2nd overtones)
1	1	000, and 100, 010, 001, 110, 101, 110 (1st overtones and combinations)
1	2	000, and 100, 010, 001, 210, 201, 021
2	1	000, and 200, 020, 002, 120, 102, 012 between them, 1st and 2nd overtones, and all 2-1-0 combinations.

ICAS1, ICAS2 = starting and ending vibrations whose quanta are included. The default is all modes, ICAS1=1 and ICAS2=3N-6 (or 3N-5).

SFACT = a numerical cutoff for small contributions in the solver. The default is 1d-4: 5d-3 or 1d-3 may affect accuracy of results, 1d-4 is safer, and 1d-5 might not converge.

VCFACT = scaling factor for pair-coupling potential. Sometimes when pair-coupling potential values are larger than the corresponding single mode values, they must be scaled down. It is seldom necessary to select a scaling other than unity. (Default=1.0)

\* \* \* \* \*

The next two relate to simplified intensity computation. These simplifications are aimed at speeding up MP2 runs, if one does not care so much about intensities, and would like to eliminate the considerable extra time to compute MP2-level dipoles. DMDR must not be used if overtones are being computed.

DMDR = if true, indicates that the harmonic dipole derivative tensor \$DIPDR will be read and used, rather than computing dipoles. (default=.FALSE.)

MPDIP = for MP2 electronic structure, a value of .FALSE. uses SCF level dipoles in order to save the time needed to obtain the MP2 density at every grid point. It is more accurate to use the DMDR flag instead of this option, if an MP2 level \$DIPDR is available. (default=.TRUE.)

\* \* \* \* \*

These relate to the initial harmonic mode generation. Normally, a \$HESS is provided, from which harmonic modes are obtained. It is possible to give the harmonic data explicitly with the first two:

RDFRQ = array of harmonic frequencies, starting from the smallest.

CMODE = array of normal mode displacements given in the same order as the frequencies read in RDFRQ. The data should be the x,y,z displacement of the first atom of the first mode, then x,y,z for the second atom, then going on to give each additional mode.

PROJECT = controls the projection of the hessian matrix (same meaning as in \$FORCE). Default is .TRUE. which removes small mixings between rotations or translations and the harmonic modes.

\* \* \* \*

READV = flag to indicate restart data \$VIBSCF should be read in to resume an interrupted calculation, or to obtain overtones in follow-on runs. (default is .FALSE.)

GEONLY = option to generate all points on the potential energy surface needed by the VSCF routine, without energy evaluations. The purpose of this is to prepare a set of geometries at which the energy is needed. A possible use for this is to obtain energies from a different program package, which might have an energy unavailable in GAMESS, but which lacks its own VSCF program. (default=.false.)

=====

**\$VIBSCF** group (optional, relevant to RUNTYP=VSCF)

This is restart data, as written to the disk file RESTART in a complete or partially completed previous run. Append a " \$END", and also select READV=.TRUE. to read the data.

\$VIBSCF's contents are different for PETYP=DIRECT or QFF.

The format of this group changed in December 2006, so that old groups can no longer be used.

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**\$GAMMA** group                      required if RUNTYP=GAMMA

This group governs evaluation of the 3rd derivative of the energy with respect to nuclear coordinates, by finite differentiation of Hessians (see \$FORCE options).

NFCM    = n describes the amount of restart data provided.  
           The default is n=-1, to evaluate everything.  
           A value of n means that n+1 \$FCM groups are to  
           be read from the file (hessian #0 means the  
           equilibrium geometry). Restart data is read from  
           a .gamma file, created by an earlier run.

DELTA = step size, default=0.01 Bohr

PRTALL = flag to print full Hessian and Gamma matrix,  
           the default is .FALSE.

PRTSYM = flag to print unsymmetrical Gamma elements,  
           the default is .FALSE.

PRTBIG = flag to print large Gamma elements, default = .F.

=====

**\$EQGEOM** group                      required if NFFLVL=2 or 3 in \$CONTRL

The coordinates of the stationary point, where the hessian and possibly 3rd derivative information was evaluated, in exactly the format it was printed by RUNTYP=GAMMA.

=====

**\$HLOWT** group                      required if NFFLVL=2 or 3 in \$CONTRL

**\$GLOWT** group                      required if NFFLVL=3 in \$CONTRL

These are the lower triangular parts of the hessian and 3rd derivative matrices, read in the same format as printed by an earlier RUNTYP=GAMMA.

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**\$IRC** group

(relevant for RUNTYP=IRC)

This group governs the location of the intrinsic reaction coordinate (also called the minimum energy path, MEP), a steepest descent path in mass weighted coordinates, that connects the saddle point to reactants and products. The IRC serves a proof of the mechanism for a reaction, and is a starting point for reaction path dynamics.

The IRC may be found for systems with QM atoms, EFP particles, or the combinations of QM and EFP particles, or QM plus the optional SIMOMM plug-in MM atoms.

Restart data for RUNTYP=IRC is written into the PUNCH file. Information summarizing the reaction path is written to the TRAJECT file, which should be saved, appending these as various restarts are done. The graphics program MacMolPlt can display a movie of the entire mechanism, if you join the entire forward and entire backwards trajectory files, while changing the path distance parameter in the reverse part to a negative value.

----- there are five integration methods chosen by PACE.

PACE = GS2      selects the Gonzalez-Schlegel second order method. This is the default method.  
Related input is:

GCUT	cutoff for the norm of the mass-weighted gradient tangent (the default is chosen in the range from 0.00005 to 0.00020, depending on the value for STRIDE chosen below.
RCUT	cutoff for Cartesian RMS displacement vector. (the default is chosen in the range 0.0005 to 0.0020 Bohr, depending on the value for STRIDE)
ACUT	maximum angle from end points for linear interpolation (default=5 degrees)
MXOPT	maximum number of constrained optimization steps for each IRC point (default=20)
IHUPD	is the hessian update formula. 1 means Powell, 2 means BFGS (default=2)

GA is a gradient from the previous IRC point, and is used when restarting.  
OPTTOL is a gradient cutoff used to determine if the IRC is approaching a minimum. It has the same meaning as the variable in \$STATPT. (default=0.0001)

PACE = LINEAR selects linear gradient following (Euler's method). Related input is:

STABLZ switches on Ishida/Morokuma/Komornicki reaction path stabilization. The default is .TRUE.  
DELTA initial step size along the unit bisector, if STABLZ is on. Default=0.025 Bohr.  
ELBOW is the collinearity threshold above which the stabilization is skipped. If the mass weighted gradients at QB and QC are almost collinear, the reaction path is deemed to be curving very little, and stabilization isn't needed. The default is 175.0 degrees. To always perform stabilization, input 180.0.  
READQB,EB,GBNORM,GB are energy and gradient data already known at the current IRC point. If it happens that a run with STABLZ on decides to skip stabilization because of ELBOW, this data will be punched to speed the restart.

PACE = QUAD selects quadratic gradient following.  
Related input is:

SAB distance to previous point on the IRC.  
GA gradient vector at that historical point.

PACE = AMPC4 selects the fourth order Adams-Moulton variable step predictor-corrector.  
Related input is:

GA0,GA1,GA2 which are gradients at previous points.

PACE = RK4 selects the 4th order Runge-Kutta variable step method. There is no related input.



----- The next two are used by all PACE choices -----

STRIDE = Determines how far apart points on the reaction path will be. STRIDE is used to calculate the step taken, according to the PACE you choose. The default is good for the GS2 method, which is very robust. Other methods should request much smaller step sizes, such as 0.10 or even 0.05. (default = 0.30 sqrt(amu)-Bohr)

NPOINT = The number of IRC points to be located in this run. The default is to find only the next point. (default = 1)

----- constraint -----

Of course, applying a constraint to the saddle point search and the reaction path means that you are not locating the true saddle, nor following the true reaction path.

IFREEZ = array of Cartesian coordinates to freeze. The IRC stepper works in mass-weighted Cartesian space, making it impossible to freeze internal coordinates. An input of IFREEZ(1)=4,8 means to freeze the x coordinate of the 2nd atom and the y coordinate of the 3rd atom, that is, we count coordinates x1,y1,z1,x2,y2,z2,x3,y3,z3,...

----- The next two let you choose your output volume -----

Let F mean the first IRC point found in this run,  
and L mean the final IRC point of this run.  
Let INTR mean the internuclear distance matrix.

NPRT    =   1   Print INTR at all, orbitals at all IRC points  
             0   Print INTR at all, orbitals at F+L (default)  
            -1   Print INTR at all, orbitals never  
            -2   Print INTR at F+L, orbitals never

NPUN    =   1   Punch all orbitals at all IRC points  
             0   Punch all orbitals at F+L, only occupied  
                     orbitals at IRC points between (default)  
            -1   Punch all orbitals at F+L only  
            -2   Never punch orbitals

----- The next two tally the reaction path results. The defaults are appropriate for starting from a saddle point, restart values are automatically punched out.

NEXTPT = The number of the next point to be computed.

STOTAL = Total distance along the reaction path to next IRC point, in mass weighted Cartesian space.

----- The following controls jumping off the saddle point. If you give \$HESS input, FREQ and CMODE will be generated automatically.

SADDLE = A logical variable telling if the coordinates given in the \$DATA deck are at a saddle point (.TRUE.) or some other point lying on the IRC (.FALSE.). If SADDLE is true, either a \$HESS group or else FREQ and CMODE must be given. (default = .FALSE.) Related input is:

TSENGY = A logical variable controlling whether the energy and wavefunction are evaluated at the transition state coordinates given in \$DATA. Since you already know the energy from the transition state search and force field runs, the default is .F.

FORWRD = A logical variable controlling the direction to proceed away from a saddle point. The forward direction is defined as the direction in which the largest magnitude component of the imaginary normal mode is positive. (default = .TRUE.)

EVIB = Desired decrease in energy when following the imaginary normal mode away from a saddle point. (default=0.0005 Hartree)

FREQ = The magnitude of the imaginary frequency, given in  $\text{cm}^{-1}$ .

CMODE = An array of the components of the normal mode whose frequency is imaginary, in Cartesian coordinates. Be careful with the signs!

You must give FREQ and CMODE if you don't give a \$HESS group, when SADDLE=.TRUE. The option of giving these two variables instead of a \$HESS does not apply to the

GS2 method, which must have a hessian input, even for restarts. Note also that EVIB is ignored by GS2 runs.

\* \* \* \* \*

For hints about IRC tracking, see  
the 'further information' section.

\* \* \* \* \*

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**\$DRC** group

(relevant for RUNTYP=DRC)

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This group governs "direct dynamics", following the dynamical reaction coordinate, which is a classical trajectory based on quantum chemistry potential energy surfaces. These may be either ab initio or semi-empirical, and are computed "on the fly" as the trajectory proceeds.

Because the vibrational period of a normal mode with frequency 500 wavenumbers is 67 fs, a DRC needs to run for many steps in order to sample a representative portion of phase space. Restart data can be found in the job's OUTPUT file, with important results summarized to the TRAJECT file. Almost all DRCs break molecular symmetry, so build your molecule with C1 symmetry in \$DATA, or specify NOSYM=1 in \$CONTRL. RUNTYP=DRC may not be used with EFP particles.

NSTEP = The number of DRC points to be calculated, not including the initial point. (default = 1000)

DELTAT = is the time step. (default = 0.1 fs)

TOTIME = total duration of the DRC computed in a previous job, in fs. The default is the correct value when initiating a DRC. (default=0.0 fs)

\* \* \*

In general, a DRC can be initiated anywhere, so \$DATA might contain coordinates of the equilibrium geometry, or a nearby transition state, or something else. You must also supply an initial kinetic energy, and the direction of the initial velocity, for which there are a number of options:

EKIN = The initial kinetic energy (default = 0.0 kcal/mol)

See also ENM, NVEL, and VIBLVL regarding alternate ways to specify the initial value.

VEL = an array of velocity components, in Bohr/fs.  
When NVEL is false, this is simply the direction

of the velocity vector. Its magnitude will be automatically adjusted to match the desired initial kinetic energy, and it will be projected so that the translation of the center of mass is removed. Give in the order vx1, vy1, vz1, vx2, vy2, ...

NVEL = a flag to compute the initial kinetic energy from the input VEL using the sum of  $\text{mass} \cdot \text{VEL} \cdot \text{VEL} / 2$ . This flag is usually selected only for restarts. (default=.FALSE.)

The next three allow the kinetic energy to be partitioned over all normal modes. The coordinates in \$DATA are likely to be from a stationary point! You must also supply \$HESS input, which is the nuclear force constant matrix at the starting geometry.

VIBLVL = a flag to turn this option on (default=.FALSE.)

VIBENG = an array of energies (in units of multiples of the  $h\nu$  of each mode) to be imparted along each normal mode. The default is to assign the zero point energy only, VIBENG(1)=0.5, 0.5, ..., 0.5 when HESS=MIN, and 0.0, 0.5, ..., 0.5 if HESS=TS. If given as a negative number, the initial direction of the velocity vector is along the reverse direction of the mode. "Reverse" means the phase of the normal mode is chosen such that the largest magnitude component is a negative value. An example might be VIBENG(4)=2.5 to add two quanta to mode 4, along with zero point energy in all modes.

RCENG = reaction coordinate energy, in kcal/mol. This is the initial kinetic energy given to the imaginary frequency normal mode when HESS=TS. If this is given as a negative value, the direction of the velocity vector will be the "reverse direction", meaning the phase of the normal mode will be chosen so its largest component is negative.

\* \* \*

The next two pertain to initiating the DRC along a single normal mode of vibration. No kinetic energy is assigned to the other modes. You must also supply \$HESS input for the initial geometry.

- NNM = The number of the normal mode to which the initial kinetic energy is given. The absolute value of NNM must be in the range 1, 2, ..., 3N-6. If NNM is a positive/negative value, the initial velocity will lie in the forward/reverse direction of the mode. "Forward" means the largest normal mode component is a positive value. (default=0)
- ENM = the initial kinetic energy given to mode NNM, in units of vibrational quanta  $h\nu$ , so the amount depends on mode NNM's vibrational frequency,  $\nu$ . If you prefer to impart an arbitrary initial kinetic energy to mode NNM, specify EKIN instead. (default = 0.0 quanta)

To summarize, there are 5 ways to initiate a trajectory:

1. VEL vector with NVEL=.TRUE. This is difficult to specify at your initial point, and so this option is mainly used when restarting your trajectory. The restart information is always in this format.
2. VEL vector and EKIN with NVEL=.FALSE. This will give a desired amount of kinetic energy in the direction of the velocity vector.
3. VIBLVL and VIBENG and possibly RCENG, to give some initial kinetic energy to all normal modes.
4. NNM and ENM to give quanta to a single normal mode.
5. NNM and EKIN to give arbitrary kinetic energy to a single normal mode.

\* \* \*

The most common use of the next two is to analyze a trajectory with respect to the normal modes of a minimum energy geometry it travels around.

- NMANAL = a flag to select mapping of the mass-weighted Cartesian DRC coordinates and velocity (conjugate momentum) in terms of normal modes at a nearby

reference stationary point (which can be either a minimum or transition state). This reference geometry could in fact be the same as the initial point of the DRC, but does not need to be.

If you choose this option, you must supply C0, HESS2, and \$HESS2 input corresponding to the reference stationary point. (default=.FALSE.)

C0 = an array of the coordinates of the stationary reference point (the coordinates in \$DATA might well be some other coordinates). Give in the order x1,y1,z1,x2,y2,... in Angstroms.

\* \* \*

The next options apply to input choices which may read a \$HESS at the initial DRC point, namely NNM or VIBLVL, or to those that read a \$HESS2 at some reference geometry (NMANAL).

HESS = MIN indicates the hessian supplied for the initial geometry corresponds to a minimum (default).  
= TS indicates the hessian is for a saddle point.  
HESS2 = MIN (default) or TS, the same meaning, for the reference geometry.

These are used to decide if modes 1-6 (minimum) or modes 2-7 (TS) are to be excluded from the hessian as the translational and rotational contaminants. If the initial and reference geometries are the same, these two Hessians will be duplicates of each other.

The next variables can cause termination of a run, if molecular fragments get too far apart or close together.

NFRGPR = Number of atom pairs whose distance will be checked. (default is 0)

IFRGPR = Array of the atom pairs. 2 times NFRGPR values.

FRGCUT = Array for a boundary distance (in Bohr) for atom pairs to end DRC calculations. The run will stop if any distance exceeds the tolerance, or if a value is given as a negative number, if the

distance becomes shorter than the absolute value.  
In case the trajectory starts outside the bounds  
specified, they do not apply until after the  
trajectory reaches a point where the criteria  
are satisfied, and then goes outside again.  
Give NFRGPR values.

\* \* \*

The final variables control the volume of output.  
Let F mean the first DRC point found in this run,  
and L mean the last DRC point of this run.

NPRTSM = summarize the DRC results every NPRTSM steps,  
to the TRAJECT file. (default = 1)

NPRT = 1 Print orbitals at all DRC points  
0 Print orbitals at F+L (default)  
-1 Never print orbitals

NPUN = 2 Punch all orbitals at all DRC points  
1 Punch all orbitals at F+L, and occupied  
orbitals at DRC points between  
0 Punch all orbitals at F+L only (default)  
-1 Never punch orbitals

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**\$MEX** group

(relevant if RUNTYP=MEX)

This group governs a search for the lowest energy on the 3N-7 dimensional "seam" of intersection of two different electronic potential energy surfaces. Such Minimum Energy Crossing Points are important for processes such as spin-orbit coupling that involve transfer from one surface to another, and thus are analogous to transition states on a single surface. The present program requires that the two surfaces differ in spin quantum number, or space symmetry, or both. Analytic gradients are used in the search.

In case the two potential surfaces have identical spin and space symmetry, this kind of intersection point is referred to as a Conical Intersection. See \$CONICL using RUNTYP=CONICAL instead.

SCF1, SCF2 = define the molecular wavefunction types, possibly in conjunction with the usual MPLEVL and DFTTYP keywords.

MULT1, MULT2 = give the spin multiplicity of the states.

Permissible combinations of wavefunctions are  
RHF with ROHF/UHF  
ROHF with ROHF  
UHF with UHF  
as well as their MP2 and DFT counterparts, and  
GVB with ROHF/UHF  
MCSCF with MCSCF (CISTEP=ALDET or GUGA only)

NSTEP = maximum number of search steps (default=50)

STPSZ = Step size during the search (default = 0.1D+00)

NRDMOS = Initial orbitals can be read in  
= 0 No initial orbitals (default)  
= 1 Read in orbitals for first state (in \$VEC1)  
= 2 Read in orbitals for second state (in \$VEC2)  
= 3 Read in orbitals for both (\$VEC1 and \$VEC2)

NMOS1 = Number of orbitals for first state's \$VEC1.

NMOS2 = Number of orbitals for second state's \$VEC2.

NPRT = Printing orbitals

= 0 No orbital printed out except at the first geometry (default)

= 1 Orbitals are printed each geometry. If MCSCF is used, CI expansions are also printed.

Finer control of the convergence criterion:

TDE = energy difference between two states  
(default = 1.0D-05)

TDXMAX = maximum displacement of coordinates  
(default = 2.0D-03)

TDXRMS = root mean square displacement  
(default = 1.5D-03)

TGMAX = maximum of effective gradient between the two states (default = 5.0D-04)

TGRMS = root mean square effective gradient tolerance  
(default = 3.0D-04)

=====

Usage notes:

1. Normally \$CONTRL will not give SCFTYP or MULT keywords. SCF1 and SCF2 can be given in any order. The combinations permitted ensure roughly equal sophistication in the treatment of electron correlation.

2. After reading \$MEX, SCFTYP and MULT will be set to the more complex of the two choices, which is considered to be RHF < ROHF < UHF < GVB < MCSCF. This permits the \$SCF input defining a GVB wavefunction to be read and tested for correctness, in a GVB+ROHF run. Since only one SCFTYP is stored while reading the input, you might need to provide some keywords that are normally set by default for the other (such as ensuring DIIS is selected in \$SCF if either of the states is UHF).

3. It is safest by far to prepare and read \$VEC1 and \$VEC2 groups so that you know what electronic states you start with. It is a good idea to regenerate both states at the end of the MEX search, to be sure that they remain as you began.

4. It is your responsibility to make sure that the states have a different space symmetry, or a different spin symmetry (or both). That is why note 3 is so important.

5. \$GRAD1 and/or \$GRAD2 groups containing gradients may be given to speed up the first geometry of the MEX search.

6. The search is even trickier than a saddle point search, for it involves the peaks and valleys of BOTH surfaces being generated. Starting geometries may be guessed as lying between the minima of the two surfaces, but the lowest energy on the crossing seam may turn out to be somewhere else. Be prepared to restart!

7. The procedure is a Newton-Raphson search, conducted in Cartesian coordinates, with a Lagrange multiplier imposing the constraint of equal energy upon the two states. The hessian matrices in the search are guessed at, and subjected to BFGS updates. Internal coordinates will be printed (for monitoring purposes) if you define \$ZMAT, but the stepper operates in Cartesian coordinates only. No geometry constraints can be applied, apart from the point group in \$DATA.

A good paper to read about this kind of search is  
A.Farazdel, M.Dupuis J.Comput.Chem. 12, 276-282(1991)

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**\$CONICL** group

(relevant if RUNTYP=CONICAL)

This group governs a search for the lowest energy on the 3N-7 dimensional "seam" of intersection of two electronic potential energy surfaces of the same spin and space symmetry. Such Conical Intersections (CI) are important in photochemistry, where they serve as "funnels" for the transfer from an excited state to a lower state. See RUNTYP=MEX and the \$MEX input for the simpler case where the two surfaces differ by either space or spin symmetry.

Three search procedures are given, one of which requires the non-adiabatic coupling matrix element (NACME), and two others which do not require NACME information. The conical intersection search is available only for MCSCF (for which NACME are available) or for TD-DFT potential surfaces (where NACME are not available). The TD-DFT must be used in the Tamm/Dancoff approximation (see TAMMD in \$TDDFT), but can be either conventional or spin-flip.

The search utilizes some of the options of \$STATPT, but note that the Schlegel stepper and HESS=CALC are not permitted. It may be reasonable to try the RFO stepper sometimes. The search can only be run in Cartesian coordinates. Restarts are possible only by updating the coordinates in \$DATA.

At present, the only solvation model that is supported is conventional TD-DFT with EFP1.

OPTTYP = search procedure choice, see references below!  
= GPWNAC Gradient Projection with NACME, so this  
is only available for MCSCF.  
= BPUPD branching plane updating method (default)  
= PENALTY penalty-constrained optimization method

Note that for MCSCF surfaces, if state-averaging is used, the program executes the code needed to produce NACME vectors, to producing the state-averaged gradients. There is essentially no extra time required to produce also the NACME, hence the GPWNAC stepper might as well be used.

IXROOT = array of two states whose CI point is sought.  
For example, this might be IXROOT(1)=2,3  
The roots are counted exactly the same as IROOT in  
the \$DET or \$TDDFT input groups. For the latter  
case, set IXROOT to 0 if you want the ground state  
to be one of the two surfaces searched on.  
There is no default for IXROOT!

SYMOFF = flag to switch off point group symmetry,  
the default is .TRUE.

DEBUG = flag to print debugging info, default is .FALSE.

The following are meaningful only for OPTTYP=PENALTY:

TOLSTP = energy difference tolerance  
default=1d-6 Hartree

TOLGRD = gradient convergence tolerance  
default=5d-3 Hartree/Bohr

ALPHA = parameter ensuring a singularity free penalty,  
default=0.02 Hartree

SIGMA = Lagrange multiplier for the penalty term. In  
case the energy gap between the states is not  
acceptable at the CI point, increase the value.  
default = 3.5 (unitless)

An understanding of the search procedures can be gained by  
reading the following papers:

Gradient Projection with NACME:

M.J.Bearpark, M.A.Robb, H.B.Schlegel  
Chem.Phys.Lett. 223, 269(1994)

Branching Plane Updating method:

S.Maeda, K.Ohno, K.Morokuma  
J.Chem.Theor Comput. 6, 1538(2010)

Penalty constrained update method:

B.G.Levine, C.Ko, J.Quenneville, T.J.Martinez  
Mol.Phys. 104, 1039(2006)

B.G.Levine, J.D.Coe, T.J.Martinez  
J.Phys.Chem.B 112, 405(2008)

A comparative study of the first two procedures is

T.W.Keal, A.Koslowski, W.Thiel  
Theoret.Chem.Acc. 118, 837(2007)

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**\$MD** group

(relevant if RUNTYP=MD)

This group controls the molecular dynamics trajectory for a collection of quantum mechanical atoms and/or Effective Fragment Potential particles.

A typical MD simulation starts with an equilibration phase, running long enough to produce a randomized structure and velocity distribution. Typically equilibration is done with an NVT ensemble, allowing the system to equilibrate to a desired temperature. A production run restarts with the positions and the velocity and quaternion data from the equilibration run, might use either a NVE or NVT ensemble, and collects radial distribution functions and other properties.

Only a few properties are computed from the MD trajectory, apart from correct radial distribution functions. In particular, the pressures, diffusion constants, and heats of vaporization that appear on the printout (presently only for pure EFP runs) are from a preliminary code, which has not yet been verified.

If the system contains only EFP particles, it may be placed in a periodic box, according to the minimum image convention. The optional periodic boundary conditions, along with cut-offs, are given in the \$EFRAG input. See also the \$EWALD input group for long-range electrostatic treatment if PBC is used.

The first keywords relate to the steps:

- MDINT = MD integrator selection.  
= FROG (leapfrog). This is less accurate, and lacks the special ensemble stepper option NVTNH.  
= VVERLET (velocity Verlet) - default.
- DT = MD time step size, in seconds, default=1.0d-15, which is a femtosecond.
- NVTNH selects a integrator step appropriate to the desired ensemble. This is only implemented for

velocity Verlet.

= 0 means use NVE Verlet stepping

= 1 means use NVT Verlet stepping

= 2 means use Nose/Hoover chain NVT Verlet stepping

The default is 2 if either NVT option RSTEMP or RSRAND is chosen, but is 0 otherwise.

NSTEPS = number of MD time steps to be found in this run,  
default=10000.

TTOTAL = total time elapsed in the previous part of a MD  
trajectory which is being restarted (READ=.TRUE.).  
The default means this trajectory is a new one, or  
perhaps the start of a production phase of the MD.  
(default=0.0 seconds)

\* \* \*

BATHT = bath temperature, in Kelvin (default=300.0)  
This value is used during NVT runs, or if the  
MD is initialized to a Maxwell-Boltzmann velocity  
distribution.

\* \* \*

Two options exist to create NVT runs, to bring  
the system to a desired bath temperature.  
If neither is chosen, the ensemble is NVE:

RSTEMP = flag to rescale the temperature. default=.FALSE.

DTEMP = temperature range for the RSTEMP option. The  
velocities are rescaled to the bath temperature  
if  $T < (\text{BATHT} - \text{DTEMP})$  or  $T > (\text{BATHT} + \text{DTEMP})$ .  
The default is DTEMP=100.0 degrees.

RSRAND = flag to reset to Maxwell-Boltzmann distribution,  
using random numbers (same algorithm as MBT and  
MBR) to choose individual velocity magnitudes and  
directions. default=.FALSE.

NRAND = number of steps for the RSRAND option. Reassign  
velocities (translational and rotational) every  
NRAND time steps. Default=1000.



NVTOFF = step number at which to turn off either NVT thermostat, and switch to NVE. At this point, the NVTNH parameter will be reset to 0, and the PROD flag will be turned on, so that the production run will start (gathering and printing the RDF information to .log file). This keyword is also useful in NVE runs to postpone the accumulation of production information. The default means no switch to NVE (default=0).

JEVERY = report simulation quantities (write info such as energies, temps, etc. to .log file) and collect RDF info each JEVERY time step. Default=10

KEVERY = write coordinates (to log and TRAJECT files), velocity/quaternion restart info (to the TRAJECT file and RDFs (to log file) at each KEVERY step. default=100

PROD = production run, at present this means only that information for radial distribution functions is collected, and printed. default=.FALSE.

DELR = spacing for radial bins in RDF calculations, default=0.02 Angstroms.

NPROP = step number at which to begin collecting data for the other properties, such as pressure and diffusion constants. This should be a value between 1 and NSTEPS, as it counts off the current run's steps. Default=0.

PBCOUT = print PBC coordinates in the end of simulation (i.e. all molecules will be contained in one box) Default=.FALSE.

\* \* \*

SSBP = flag to add spherical solvent boundary condition using the harmonic restraint potential(V)  
 $V=0.5*SFORCE*(R-DROFF)**2$ .  
(Default=.FALSE)

SFORCE = the force constant for SSBP in kcal/mol-A\*\*2 (default: 0.0).

- CCMS = flag to add a harmonic potential to constrain the center of mass of the QM subsystem. This will keep the QM subsystem in QM/MM-MD with spherical boundary conditions near the center of sphere.  
(Default=.FALSE)
- CFORCE = the force constant for CCMS in kcal/mol-A\*\*2  
(default: 0.0).
- DROFF = is an array of distances such that  $V=0$  if  $R < \text{DROFF}$ . The first and second elements of the array are for MM and QM subsystems of QM/MM-MD, respectively.  
(default: 1.0d+10).
- USAMP = flag to apply harmonic constraint potential(V)  
 $V=0.5*U\text{FORCE}*(R-R\text{ZERO})**2$   
(default: .false.).
- UFORCE = the force constant for USAMP in kcal/mol-A\*\*2  
(default: 0.0).
- RZERO = array of reference values for USAMP. Distances and angles are in Angstrom and Degree, respectively.  
(default: all 0.0).
- IUSTYP specifies the type of USAMP
- = 0 bond constraint (default value)
  - = 1 asymmetric bond constraint
  - = 2 angle constraint
  - = 3 torsion constraint
  - = 4 asymmetric and bond constraint.  
This is for 2 dimensional umbrella sampling of an atom shift reaction (atom 1 is moving from atom 2 to 3), and a bond distance between atom 4 and 5. So it requires 5 elements in IPAIR!
  - = 5 asymmetric coordinate + Restraint  
IPAIR contains three atoms, where atom 1 is moving from atom 2 to 3 by asymmetric coordinate. This restraint forces atom 1 to stay between atom 2 and 3.
  - = 6 Normal constraining for up to 2 bonds based on flexible constraints. IPAIR must contain 4 values for the two bonds.

- = 7 Asymmetric coordinate based on flexible constraints. IPAIR should contain all the corresponding atoms.
- = 8 The center of mass coordinates of two QM parts. IPAIR should include only the first part of QM atoms.
- = 9 The center of mass coordinates with respect to the center of sphere (0,0,0). IPAIR should not be used, since GAMESS will automatically generate the center of mass of your QM part.

IPAIR is an array of atom numbers to which the umbrella sampling potentials are applied. For example, a bond constraint for atom 1 and 2 is IPAIR(1)=1,2. An asymmetric bond constraint requires three atoms, in which the atom 1 migrates from atom 2 to 3. IUSTYP=4 requires 5 atoms: the first three atoms are for asymmetric bond constraint. Default: 0.

\* \* \*

MREMD specifies the type of REMD (Replica Exchange MD). NGROUPS in \$GDDI must be greater than 1 in order for this option to be effective. Note that currently REMD works only for NVT.

- = 0 no REMD
- = 1 one dimension REMD

LEVERY Temperature exchange at LEVERY steps during REMD runs. (default: 1000)  
If JEVERY.gt.LEVERY, JEVERY is reset to LEVERY.

\* \* \*

The following keywords control starting MD conditions. Normally an MD trajectory is initiated with both MBT and MBR chosen, while restarts would select only READ. The restart data is written to the TRAJECT file. To restart requires merging particle coordinates into \$DATA and/or \$EFRAG, and placing the new \$MD input below your existing \$MD input, thus keeping your choices for the variables above (both \$MD input groups will be read).

MBT = get translational velocities from a random Maxwell-Boltzmann ensemble. Default=.FALSE.

MBR = get rotational velocities from a random Maxwell-Boltzmann ensemble. Default=.FALSE.

QRAND = if .TRUE., generate random quaternions, an option that is not normally chosen.  
if .FALSE., use EFP particle coordinates and the initial MBT/MBR assigned velocities to set correct quaternion data (default is .FALSE.)

READ = read velocities (translational and rotational) and quaternions and their first and second derivatives from input file. Default is .FALSE. Set the other three values MBT/MBR/QRAND off if you choose restarting with READ.

For READ=.TRUE., the following restart data is required. This data may be copied from the TRAJECT file, in exactly the format it was written out. The required data depends on your choice for the integrator, see MDINT above. In addition, you will need to update the particle coordinates in \$DATA and/or \$EFRAG, using data from the TRAJECT file.

TVELQM(1)= quantum atom's translational velocities (both).  
TVEL(1)= array of EFP translational velocities (both).  
RVEL(1)= array of EFP rotational velocities (VVERLET).  
RMOM(1)= array of EFP rotational momenta (FROG).  
QUAT(1)= array of EFP quaternions (both).  
QUAT1D(1)= EFP quaternion first derivatives (VVERLET).  
QUAT2D(1)= EFP quaternion second derivatives (VVERLET).

extra reading:

"Computer Simulation of Liquids"

M.P.Allen, D.J.Tildesley Oxford Science, 1987

"Understanding Molecular Simulation"

D.Frenkel, B.Smit Academic Press, 2002

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**\$RDF** group

(relevant for RUNTYP=MD)

This group defines the pairs of atoms for which the radial distribution functions are to be computed, at the end of a molecular dynamics trajectory. The input is similar in style to \$EFRAG, consisting of separate lines, with the word STOP ending each particular pair.

Line 1. NRDF=<no.RDFs>  
gives the number of RDFs which should be computed.

Line 2. <pair title> <FRAG1> <FRAG2> <no.pairs>  
gives a string for the printout (a good choice involves both atoms, such as ClCl), the name of the \$FRAGNAME containing the first atom of the pair, the name of the \$FRAGNAME input group with the second atom of the pair, and how many such pairs exist.

Line 3. <label> <num.atom1> <num.atom2>  
gives a label (arbitrary), the position of the atom within the \$FRAG1 group, and the 2nd atom's within the \$FRAG2. This line must be repeated <no.pairs> times.

Line 4. STOP  
the word STOP ends this RDF's pair input.

Lines 2-4 must then be repeated a total of <no.RDFs> times.

An example will make this all clear. If there is only one type of fragment used, such as water (so \$EFRAG contains only FRAGNAME=WATER), and assuming that this \$WATER group defining the water EFP has atoms in the order O,H,H:

```
$RDF
nrdf=3
OO      water   water   1
  dum    1     1
STOP
OH      water   water   4
  dum    1     2
  dum    1     3
  dum    2     1
  dum    3     1
```

STOP  
HH        water    water    4  
    dum    2    2  
    dum    2    3  
    dum    3    2  
    dum    3    3  
STOP  
\$end

=====

=====

**\$GLOBOP** group (relevant to RUNTYP=GLOBOP)

This controls the Metropolis Monte Carlo search method for finding local and global minima. Systems can include EFP fragments, FMO fragments, or fully ab initio groups or some combination of the three (excluding FMO and ab initio). The present code is backwards compatible with old runtyp=globop inputs.

There are options for a single temperature Monte Carlo search, or a multiple temperature simulated annealing. Local minimization of some or all of the structures selected by the Monte Carlo is an option.

Accepted coordinates and energy can be printed to a trajectory file in the scratch file, using a keyword described below. A perl script named "globop\_extract" is provided in the standard GAMESS distribution, which can extract the lowest energies (and matching coordinates) from the TRAJECT data set.

See REFS.DOC for an overview of this RUNTYP.

RNDINI = flag to randomize the particles given in input, usually choosing the particle at random, placing it near the center of the coordinate origin but in such a way that it does not collide with any particles placed earlier. The default is to use coordinates as given in \$EFRAG (default .FALSE.)

JSTRND = If RNDINI and JSTRND are both true, the run ends after the randomization and energy calculation. (default .TRUE.)

RIORD = relevant only if RNDINI is .TRUE.  
= RAND selects EFP particles in random order, as well as randomizing their coordinates. (default)  
= STANDARD chooses the particles in the same order that they were given in \$EFRAG, so only their positions are randomized.

See REFS.DOC for some ideas on how to build clusters with these two inputs.

- TEMPI = initial temperature used in the simulation.  
(default = 20000 K)
- TEMPF = final temperature. If TEMPF is not given and NTEMP is greater than 1, TEMPF will be calculated based on a cooling factor of 0.95.
- NTEMP = number of temperatures used in the simulation. If NTEMP is not given but TEMPF is given, NTEMP will be calculated based on a cooling factor of 0.95. If neither NTEMP nor TEMPF is given, the job defaults to a single temperature Monte Carlo calculation.
- MCTYP = Bitwise label of fragment types being used  
1 = ab initio groups  
2 = FMO fragments  
4 = EFP fragments  
6 = FMO and EFP
- NFRMOV = number of EFP fragments to move on each step.  
(default=1)
- NFMORV = number of FMO fragments or ab initio groups to move on each step. (default=1)
- MCMIN = flag to enable geometry optimization to minimize the energy is carried out every NSTMIN steps.  
(default=.true.)
- NGEOPT = number of geometries to be evaluated at each temperature. (default = 100)
- NTRAN = number of translational steps in each block.  
(default=5)
- NROT = number of rotational steps in each block.  
(default=5)
- NBLOCK = the number of blocks of steps can be set directly with this variable, instead of being calculated from NGEOPT, NTRAN, and NROT, according to



$$\text{NBLOCK} = \text{NGEOPT} / (\text{NTRAN} + \text{NROT})$$

If NBLOCK is input, the number of geometries at each temperature will be taken as

$$\text{NGEOPT} = \text{NBLOCK} * (\text{NTRAN} + \text{NROT})$$

Each block has NTRAN translational steps followed by NROT rotational steps.

NAIFG = number of ab initio groups for odd values of MCTYP. If not the default value, then \$GLBFRG must be included (default=no. of atoms in \$DATA)

AIMOVE = applicable to MCTYP=4 with ab initio atoms only. maximum translation movement of ab initio atoms during EFP movement step. (default=0.0)

SCALE = 2 value array that scales max movement and rotation. first value is translations second is rotations (default=1.0,1.0)

ALPHA = controls the rate at which information from successful steps is folded into the maximum step sizes for each of the 6\*(number of fragments) coordinates. ALPHA varies between 0 and 1. ALPHA=0 means do not change the maximum step sizes, and ALPHA=1 throws out the old step sizes whenever there is a successful step and uses the successful step sizes as the new maxima. This update scheme was used with the Parks method where all fragments are moved on every step. It is not normally used with the Metropolis method. (default = 0)

BOLTWT = method for calculating the Boltzmann factor, which is used as the probability of accepting a step that increases the energy.  
= STANDARD = use the standard Boltzmann factor,  $\exp(-\Delta E/kT)$  (default)  
= AVESTEP = scale the temperature by the average step size, as recommended in the Parks reference when using values of ALPHA greater than 0.

NSTMIN = After this number of geometry steps are taken, a local (Newton-Raphson) optimization will be carried out. If this variable is set to 1, a local minimization is carried out on every step,

reducing the MC space to the set of local minima.  
Irrelevant if MCMIN is false. (default=10)

- OPTN = if set to .TRUE., at the end of the run local minimizations are carried out on the final geometry and on the minimum-energy geometry. (default=.FALSE.)
- DACRAT = the desired acceptance ratio, the program tries to achieve this by adjusting the maximum step size. Setting this to 0.0 disables any change to the maximum step size. (default = 0.5)
- UPDFAC = the factor used to update the maximum step size in the attempt to achieve the desired acceptance ratio (DACRAT). If the acceptance ratio at the previous temperature was below DACRAT, the step size is decreased by multiplying it by UPDFAC. If the acceptance ratio was above DACRAT, the step size is increased by dividing it by DACRAT. It should be between 0 and 1. (default = 0.95)
- SEPTOL = the separation tolerance between atoms in either the EFP or FMO fragments. If a step moves atoms closer than this tolerance, the step is rejected. (default = 1.5 Angstroms)
- XMIN, XMAX, YMIN, YMAX, ZMIN, ZMAX = minimum and maximum values for the Cartesian coordinates of the fragment. If the first point in a fragment steps outside these boundaries, periodic boundary conditions are used and the fragment re-enters on the opposite side of the box. The defaults of -10 for minima and +10 for maxima should usually be changed.
- NPRTGO = controls the amount of output,  
= -2 reduces output even more than -1  
= -1 reduces output further, needed for MCMIN=.true.  
= 0 gives minimal output (default)  
= 1 gives the normal GAMESS amount of output  
= 2 gives maximum output  
For large simulations, even IOUT=0 may produce a log file too large to work with easily.

If geometry optimization is being done at each Monte Carlo generated structure, you can use the NPRT in \$STATPT to further suppress output.

RANDOM = controls the choice of random number generator.  
= DEBUG uses a simple random number generator with a constant seed. Since the same sequence of random numbers is generated during each job, it is useful for debugging.  
= RAND1 uses the simple random number generator used in DEBUG, but with a variable seed.  
= RAND3 uses a more sophisticated random number generator described in Numerical Recipes, with a variable seed (default).

IFXFRG = array whose length is the number of fragments. It allows one or more fragments to be fixed during the simulation.  
=0 allows the fragment to move during the run  
=1 fixes the fragment  
For example, IFXFRG(3)=1 would fix the third fragment, the default is IFXFRG(1)=0,0,0,...,0

NPRBND = number of pairs of atoms to be positionally linked. A non-zero value requires IBNDS to be specified in GLBFRG. (default 0)

NSMTP = number of steps in each secondary Monte Carlo that occurs when an FMO or AI group is moved. (default 0)

SMTEMP = Temperature below which the secondary Monte Carlo search will be carried out. (default 0)

=====

**\$GLBFRG** group (relevant to RUNTYP=GLOBOP)

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This group defines the sets of ab initio atoms in \$DATA that are treated as groups in Monte Carlo runs. It also defines any groups that are to be frozen, not moved during the Monte Carlo search.

AIFRG = an array assigning atoms to groups. Two styles are supported (the choice is made based on AIFRG(1): if it is nonzero, choice (a) is taken, otherwise AIFRG(1) is ignored and choice (b) is taken):

- a) AIFRG(i)=m assigns atom i is to fragment m. AIFRG(i) must be given for each atom.
- b) the style is  
a1 a2 ... ak 0  
b1 b2 ... bm 0  
...  
Elements a1...ak are assigned to fragment 1, then b1...bm are assigned to fragment 2, etc. An element is one of the following:  
I or I -J  
where I means atom I, and a pair I,-J means the range of atoms I-J. There must be no space after the "-".

Example:  
AIFRG(1)=1,1,1,2,2,1 is equivalent to  
AIFRG(1)=0, 1,-3,6,0, 4,5,0  
Both assign atoms 1,2,3 and 6 to fragment 1, and 4,5 to fragment 2.

NAICUT = automatically divides a molecule into fragments by assigning NAICUT atoms to each fragment (useful for something like water clusters). This sets AIFRG, so it need not be included. If 0, the automatic option is disabled. (default: 0)

IFXFM0 = array of FMO fragments or ab initio groups that are not moved during the Monte Carlo search. if an optimization step (MCMIN or OPTN) is used, the fragment/group will likely be moved.

IBNDS = Array of atoms to be positionally linked.  
example for two pairs between atoms 1 and 5,  
and 3 and 6.  
IBNDS(1)=1,5,3,6

PRSEP = Maximum separation allowed for paired atoms.  
The default should be changed (default 1.0d+00)

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**\$GRADEX** group (optional, for RUNTYP=GRADEXTR)

This group controls the gradient extremal following algorithm. The GEs leave stationary points parallel to each of the normal modes of the hessian. Sometimes a GE leaving a minimum will find a transition state, and thus provides us with a way of finding that saddle point. GEs have many unusual mathematical properties, and you should be aware that they normally differ a great deal from IRCs.

The search will always be performed in cartesian coordinates, but internal coordinates along the way may be printed by the usual specification of NZVAR and \$ZMAT.

METHOD = algorithm selection.

SR A predictor-corrector method due to Sun and Ruedenberg (default).

JJH A method due to Jorgensen, Jensen and Helgaker.

NSTEP = maximum number of predictor steps to take.  
(default=50)

DPRED = the stepsize for the predictor step.  
(default = 0.10)

STPT = a flag to indicate whether the initial geometry is considered a stationary point. If .TRUE., the geometry will be perturbed by STSTEP along the IFOLLOW normal mode.  
(default = .TRUE.)

STSTEP = the stepsize for jumping away from a stationary point. (default = 0.01)

IFOLLOW = Mode selection option. (default is 1)  
If STPT=.TRUE., the initial geometry will be perturbed by STSTEP along the IFOLLOW normal mode. Note that IFOLLOW can be positive or negative, depending on the direction the normal mode should be followed in. The positive direction is defined as the one where the largest component of the Hessian eigenvector is positive.

If STPT=.FALSE. the sign of IFOLLOW determines which direction the GE is followed in. A positive value will follow the GE in the uphill direction. The value of IFOLLOW should be set to the Hessian mode which is parallel to the gradient to avoid miscellaneous warning messages.

GOFIRST = a flag to indicate whether the algorithm should attempt to locate a stationary point. If .TRUE., a straight NR search is performed once the NR step length drops below SNRMAX. 10 NR step are then allowed, a value which cannot be changed. (default = .TRUE.)

SNRMAX = upper limit for switching to straight NR search for stationary point location. (default = 0.10 or DPRED, whichever is smallest)

OPTTOL = gradient convergence tolerance, in Hartree/Bohr. Used for optimizing to a stationary point. Convergence of a geometry search requires the rms gradient to be less than OPTTOL. (default=0.0001)

HESS = selection of the initial hessian matrix, when STPT=.TRUE.  
= READ causes the hessian to be read from a \$HESS.  
= CALC causes the hessian to be computed. (default)

---- the next parameters apply only to METHOD=SR ----

DELCOR = the corrector step should be smaller than this value before the next predictor step is taken. (default = 0.001)

MYSTEP = maximum number of micro iteration allowed to bring the corrector step length below DELCOR. (default=20)

SNUMH = stepsize used in the numerical differentiation of the Hessian to produce third derivatives. (default = 0.0001)

HSDFDB = flag to select determination of third derivatives. At the current geometry we need the gradient, the Hessian, and the partial third derivative matrix in the gradient direction.

If .TRUE., the gradient is calculated at the current geometry, and two Hessians are calculated at SNUMH distance to each side in the gradient direction. The Hessian at the geometry is formed as the average of the two displaced Hessians.

If .FALSE., both the gradient and Hessian are calculated at the current geometry, and one additional Hessian is calculated at SNUMH in the gradient direction.

The default double-sided differentiation produces a more accurate third derivative matrix, at the cost of an additional wave function and gradient. (default = .TRUE.)

=====

```
* * * * *
See the 'further information' section
for some help with GRADEXTR runs.
* * * * *
```



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**\$SURF** group (relevant for RUNTYP=SURFACE)

This group allows you to probe a potential energy surface along a small grid of points. Note that there is no option to vary angles, only distances. The scan can be made for any SCFTYP, or for the MP2 or CI surface. You may specify two rather different calculations to be done at each point on the grid, through the RUNTYPn, SCFTYPn, and electron correlation keywords.

\* \* \* below, 1 and 2 refer to different calculations \* \* \*

RUNTP1,RUNTYP2 = some RUNTYP supported in \$CONTRL  
 First RUNTYP=RUNTP1 and then RUNTYP=RUNTP2 will be performed, for each point on the grid. The second run is omitted if RUNTP2 is set to NONE.  
 default: RUNTP1=ENERGY RUNTP2=NONE

SCFTP1,SCFTP2 = some SCFTYP supported in \$CONTRL  
 default: SCFTYP in \$CONTRL

CITYP1,CITYP2 = some CITYP supported in \$CONTRL  
 default: CITYP in \$CONTRL

MPLEV1,MPLEV2 = some MPLEVL supported in \$CONTRL  
 default: MPLEVL in \$CONTRL

CCTYP1,CCTYP2 = some CCTYP supported in \$CONTRL  
 default: CCTYP in \$CONTRL

DFTYP1,DFTYP2 = some DFTTYP supported in \$DFT  
 default: DFTTYP in \$DFT

You may need to help by giving values in \$CONTRL that will permit the program to estimate what is coming in the values here. For example, if you want to request hessians here, it may be good to give RUNTYP=HESSIAN in \$CONTRL so that in its earliest stages of a job, the program can initialize for 2nd derivatives. There is less checking here than on \$CONTRL input, so don't request something impossible such as two correlation methods simultaneously, or analytic hessians for MP2, or other things that are impossible.

\* \* \* below, 1 and 2 refer to different coordinates \* \* \*

IVEC1 = an array of two atoms, defining a coordinate from the first atom given, to the second.

IGRP1 = an array specifying a group of atoms, which must include the second atom given in IVEC1. The entire group will be translated (rigidly) along the vector IVEC1, relative to the first atom given in IVEC1.

ORIG1 = starting value of the coordinate, which may be positive or negative. Zero corresponds to the distance given in \$DATA.

DISP1 = step size for the coordinate. If DISP1 is set to zero, then the keyword GRID1 is read.

NDISP1 = number of steps to take for this coordinate.

GRID1 = an array of grid points at which to compute the energy. This option is an alternative to the ORIG1, DISP1 input which produces an equidistant grid. To use GRID1, one has to set DISP1=0.0. The number of grid points is given in NDISP1, and is limited to at most 100 grid points. The input of GRID1(1)=ORIG1,ORIG1+DISP1,ORIG1+DISP1\*2,... would reproduce an equidistant grid given by ORIG1 and DISP1.

ORIG1, DISP1, and GRID1 should be given in Angstrom. There are no reasonable defaults for these keywords.

IVEC2, IGRP2, ORIG2, DISP2, NDISP2, GRID2 have the same meaning as their "1" counterparts, and permit you to make a two dimensional map along two displacement coordinates. If the "2" data are not input, the surface map proceeds in only one dimension.

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**\$LOCAL** group            (relevant if LOCAL=RUEDNBRG or BOYS)  
                              (relevant if LOCAL=POP or SVD)

This group allows input of additional data to control the localization methods. If no input is provided, the valence orbitals will be localized as much as possible, while still leaving the wavefunction invariant. There are many specialized options for Localized Charge Distribution analysis, and for EFP generation.

LOCAL=RUEDENBRG, BOYS, and POP all work by sequences of two by two Jacobi rotations. This needs iteration control, and permits fine tuning of the orbital pairs rotated, leading to keywords such as SYMLOC and MOIN/MOOUT below.

LOCAL=SVD does a direct projection of the RHF, ROHF, or MCSCF orbitals onto the basis set of each atom, taking in turn atoms one by one, with a symmetric orthogonalization between atoms at the end. Consequently, many keywords here pertaining to iteration control and to various orbital restrictions (MOIN, MOOUT, SYMLOC, etc) don't pertain to LOCAL=SVD.

N.B. Since Boys localization needs the dipole integrals, do not turn off dipole moment calculation in \$ELMOM.

MAXLOC = maximum number of localization cycles. This applies to BOYS or POP methods only. If the localization fails to converge, a different order of 2x2 pairwise rotations will be tried. (default=250)

CVGLOC = convergence criterion. The default provides LMO coefficients accurate to 6 figures. (default=1.0E-6)

SYMLOC = a flag to restrict localization so that orbitals of different symmetry types are not mixed. This option is not supported in all possible point groups. The purpose of this option is to give a better choice for the starting orbitals for GVB-PP or MCSCF runs, without destroying the orbital's symmetry. This option is compatible with each of

the 3 methods of selecting the orbitals to be included. If chosen in a run requesting VVOS (see \$SCF), occupied and virtual orbitals will also not be permitted to mix in a localization of these two separate orbital spaces. (default=.FALSE.)

ORIENT = a flag to request orientation of the localized orbitals for bond-order analysis. After the localization, the orbitals on each atom are rotated only among themselves, in order to direct the orbitals towards neighboring atom's orbitals, to which they are bonded. The density matrix, or bond-order matrix, of these Oriented LMOs is readily interpreted as atomic populations and bond orders. This option can only be used for LOCAL=RUEDNBRG when SCFTYP=MCSCF, or if LOCAL=SVD. (default=.FALSE.)

EXTLOC = options to localize external orbitals, above the valence MBS orbital space (SVD and ATMNOS), and to control internal orbital localization (SPLITxx keywords), in the valence minimal basis space.

= NONE (default)

= SVD Forms the SVD quasi-atomic external orbitals using an SVD with respect to the accurate atomic minimal basis functions. The localization of all internal orbitals means that ORMAS wavefunctions are not left invariant, nor are full spaces which are not full valence type.

= ATMNOS Performs the EXTLOC=SVD option, and then forms the ordered external orbitals using exchange integrals.

= SPLITQA Performs the EXTLOC=SVD and EXTLOC=ATMNOS options, and then, form split-localized orbitals in the internal orbital space, preserving ORMAS subspaces when doing the latter step.

The next two skip localization in the external space, which saves time, for cases where only localization of internal orbitals is needed:

= SPLITQ2 Forms the split-localized orbitals in the internal orbital space, preserving any ORMAS subspaces (wavefunction will be left invariant).

= SPLITQ3 Forms the split-localized orbitals in the internal orbital space, but these split-localized orbitals do not preserve the ORMAS wavefunction. In other words, the split-localization treats the calculation as if it had a single united active space.

PRTLLOC = a flag to control supplemental printout. The extra output is the rotation matrix to the localized orbitals, and, for the Boys method, the orbital centroids, for the Ruedenberg method, the coulomb and exchange matrices, for the population method, atomic populations. (default=.FALSE.)

----- The following keywords select the orbitals which are to be included in the localization. You may select from FCORE, NOUTA/NOUTB, or NINA/NINB, but may choose only one of these three groups. These options do not pertain to LOCAL=SVD:

FCORE = flag to freeze all the chemical core orbitals present. All the valence orbitals will be localized. You must explicitly turn this option off to choose one of the other two orbital selection options. (default=.TRUE.)

\* \* \*

NOUTA = number of alpha orbitals to hold fixed in the localization. (default=0)

MOOUTA = an array of NOUTA elements giving the numbers of the orbitals to hold fixed. For example, the input NOUTA=2 MOOUTA(1)=8,13 will freeze only orbitals 8 and 13. You must enter all the orbitals you want to freeze, including any cores. This variable has nothing to do with cows.

NOUTB = number of beta orbitals to hold fixed in -UHF- localizations. (default=0)

MOOUTB = same as MOOUTA, except that it applies to the beta orbitals, in -UHF- wavefunctions only.

\* \* \*

- NINA = number of alpha orbitals which are to be included in the localization. (default=0)
- MOINA = an array of NINA elements giving the numbers of the orbitals to be included in the localization. Any orbitals not mentioned will be frozen.
- NINB = number of -UHF- beta MOs in the localization. (default=0)
- MOINB = same as MOINA, except that it applies to the beta orbitals, in -UHF- wavefunctions only.
- ORMFUL = this flag is relevant only to CISTEP=ORMAS MCSCF localizations. By default, the localization is restricted such that the multiple active spaces are not mixed, leaving the total wavefunction invariant. It may be used to localize within the full range of active MOs. (Default is .FALSE.)
- The following keywords are used for the localized charge distribution (LCD), a decomposition scheme for the energy, or multipole moments, or the first polarizability. See also LOCHYP in \$FFCALC for the decomposition of hyperpolarizabilities.
- EDCOMP = flag to turn on LCD energy decomposition.  
Note that this method is currently implemented for SCFTYP=RHF and ROHF and LOCAL=RUEDNBRG only. The SCF LCD forces all orbitals to be localized, overriding input on the previous page. See also LMOMP2 in the \$MP2 input. (default = .FALSE.)
- MOIDON = flag to turn on LMO identification and subsequent LMO reordering, and assign nuclear LCD automatically. (default = .FALSE.)
- DIPDCM = flag for LCD molecular dipole decomposition. (default = .FALSE.)

QADDCM = flag for LCD molecular quadrupole decomposition.  
(default = .FALSE.)

POLDCM = flag to compute the static alpha polarizability, and its decomposition in terms of LCDs.  
LMO dipole polarizabilities are the polarizability term in the EFP model.  
The computation is done analytically, for SCFTYP of RHF or ROHF, but must be done numerically for their DFT counterparts (choose one of POLNUM or POLAPP). No other correlation method makes sense, since the point of this keyword is a decomposition over localized orbitals.  
LOCAL may be BOYS or RUEDNBRG.  
See also LOCHYP in \$FFCALC for a similar breakdown of static beta and gamma hyperpolarizabilities.  
Default=.FALSE., except that RUNTYP=MAKEFP turns this computation on, automatically.

POLNUM = flag to force numerical rather than analytical calculation of the polarizabilities. This may be much faster for larger molecules. The numerical polarizabilities of bonds in or around aromatic rings sometimes are unphysical. (default=.FALSE.)  
See D.R.Garmer, W.J.Stevens  
J.Phys.Chem. 93, 8263-8270(1989).  
This keyword cannot be used with POLDYN or POLAPP.

POLAPP = flag to force calculation of the polarizabilities using a perturbation theory expression. This may be useful in larger molecules. (default=.FALSE.)  
See R.M. Minikis, V. Kairys, J.H. Jensen  
J.Phys.Chem.A 105, 3829-3837(2001)  
Quality of the results is not as good as POLNUM!  
This keyword cannot be used with POLDYN or POLNUM.

POLANG = flag to choose units of localized polarizability output. The default is Angstroms\*\*3, while false will give Bohr\*\*3. (default=.TRUE.)

ZDO = flag for LCD analysis of a composite wavefunction, given in a \$VEC input of a van der Waals complex, using the zero differential overlap approximation. The MOs are not orthonormalized and the inter-molecular electron exchange energy is neglected.

Also, the molecular overlap matrix is printed out. This is a very specialized option.  
(default = .FALSE.)

----- The following keywords can be used to define the nuclear part of an LCD. They are usually used to rectify mistakes in the automatic definition made when MOIDON=.TRUE. The index defining the LMO number then refers to the reordered list of LMOs.

NMOIJ = array giving the number of nuclei assigned to a particular LMO.

IJMO = is an array of pairs of indices (I,J), giving the row (nucleus I) and column (orbital J) index of the entries in ZIJ and MOIJ.

MOIJ = arrays of integers K, assigning nucleus K as the site of the Ith charge of LCD J.

ZIJ = array of floating point numbers assigning a charge to the Ith charge of LCD J.

IPROT = array of integers K, defining nucleus K as a proton.

DEPRNT = a flag for additional decomposition printing, such as pair contributions to various energy terms, and centroids of the Ruedenberg orbitals.  
(default = .FALSE.)

----- The following keywords are used to build large EFPs from several RUNTYP=MAKEFP runs on smaller molecular fragments, by excluding common regions of overlap. For example, an EFP for n-octanol can be build from two MAKEFP runs, on n-pentane and n-pentanol,  
CH3CH2CH2CH2-CH2CH2CH2CH2OH  
CH3CH2CH2CH2[-CH3]  
[CH3]-CH2CH2CH2CH2OH  
by excluding overlapping regions shown in brackets from the two EFPs. See J.Phys.Chem.A 105, 3829-3837, (2001) for more information.



NOPATM = array of atoms that define an area to be excluded from a DMA (\$STONE) during a RUNTYP=MAKEFP run. All atomic centers specified, and the midpoints of any bonds to them, are excluded as expansion points. The density due to all LMOs primarily centered on these atoms are excluded from the DMA (see also KMIDPT). Furthermore, polarizability tensors for these LMOs are excluded.

KPOINT = array of "boundary atoms", those atoms that are covalently bonded to the atoms given in NOATM.

KMIDPT = flag to indicate whether the density due to bond LMOs (and associated expansion points) between the NOPATM atoms and the KPOINT atoms are to be included in the DMA. (default = .TRUE.)

NODENS = an array that specifies the atoms for which the associated electronic density will be removed before the multipole expansion. This provides an EFP with net integer charge. (P.A.Molina, H.Li, J.H.Jensen J.Comput.Chem. 24, 1972-1979(2003).

The following keywords relate to the computation of imaginary frequency dynamic polarizabilities. This is useful in the development of the dispersion energy formula in the EFP2 model, but may also be computed separately, if wished.

POLDYN = a flag to compute imaginary frequency dependent dynamic polarizabilities ( $\alpha$ ), by analytic means. Available only for uncorrelated RHF. (default=.FALSE., but .TRUE. if RUNTYP=MAKEFP)

NDPFRQ = number of imaginary frequencies to compute. Default=1 for most runs, but=12 if RUNTYP=MAKEFP.

DPFREQ = an array of imaginary frequencies to be used, entered as real numbers (absolute values). The default=0.0 for most runs, which is silly, because this just computes the normal static dipole polarizability! For RUNTYP=MAKEFP, the program uses 12 internally stored values, which serve as the roots for a Gauss-Legendre quadrature to extract the C6 dispersion coefficients. Given in

atomic units.

For more information, see  
I.Adamovic, M.S.Gordon Mol.Phys. 103, 379-387(2005).

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For hints about localizations, and  
the LCD energy decomposition, see  
the 'further information' section.  
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**\$TRUNCN** group (optional, relevant for RHF)

This group controls the truncation of some of the localized orbitals to just the AOs on a subset of the atoms. This option is particularly useful to generate localized orbitals to be frozen when the effective fragment potential is used to partition a system across a chemical bond. In other words, this group prepares the frozen buffer zone orbitals. This group should be used in conjunction with RUNTYP=ENERGY (or PROP if the orbitals are available) and either LOCAL=RUEDNBRG or BOYS, with MOIDON set in \$LOCAL.

DOPROJ = flag to activate MO projection/truncation, the default is to skip this (default=.FALSE.)

AUTOID = forces identification of MOs (analogous to MOIDON in \$LOCAL). This keyword is provided in case the localized orbitals are already present in \$VEC, in which case this is a faster RUNTYP=PROP with LOCAL=NONE job. Obviously, GUESS=MOREAD. (default=.FALSE.)

PLAIN = flag to control the MO tail truncation. A value of .FALSE. uses corresponding orbital projections, H.F.King, R.E.Stanton, H.Kim, R.E.Wyatt, R.G.Parr J. Chem. Phys. 47, 1936-1941(1967) and generates orthogonal orbitals. A value of .TRUE. just sets the unwanted AOs to zero, so the resulting MOs need to go through the automatic orthogonalization step when MOREAD in the next job. (default=.FALSE.)

IMOPR = an array specifying which MOs to be truncated. In most cases involving normal bonding, the options MOIDON or AUTOID will correctly identify all localized MOs belonging to the atoms in the zone being truncated. However, you can inspect the output, and give a list of all MOs which you want to be truncated in this array, in case you feel the automatic assignment is incorrect. Any orbital not in the truncation set, whether this is chosen automatically or by IMOPR, is left

completely unaltered.

- - -

There are now two ways to specify what orbitals are to be truncated. The most common usage is for preparation of a buffer zone for QM/MM computations, with an Effective Fragment Potential representing the non-quantum part of the system. This input is NATAB, NATBF, ICAPFR, ICAPBF, in which case the \$DATA input must be sorted into three zones. The first group of atoms are meant to be treated in later runs by full quantum mechanics, the second group by frozen localized orbitals as a 'buffer', and the third group is to be substituted later by an effective fragment potential (multipoles, polarizabilities, ...). Note that in the DOPROJ=.TRUE. run, all atoms are still quantum atoms.

NATAB = number of atoms to be in the 'ab initio' zone.

NATBF = number of atoms to be in the 'buffer' zone.  
The program can obtain the number of atoms in the remaining zone by subtraction, so it need not be input.

In case the MOIDON or AUTOID options lead to confused assignments (unlikely in ordinary bonding situations around the buffer zone), there are two fine tuning values.

ICAPFR = array indicating the identity of "capping atoms" which are on the border between the ab initio and buffer zones (in the ab initio zone).

ICAPBK = array indicating the identity of "capping atoms" which are on the border between the buffer and EFP zones (in the effective fragment zone).

See also IXCORL and IXLONE below.

- - -

In case truncation seems useful for some other purpose, you can specify the atoms in any order within the \$DATA group, by the IZAT/ILAT approach. You are supposed to give only one of these two lists, probably whichever is

shorter:

IZAT = an array containing the atoms which are NOT in the buffer zone.

ILAT = an array containing the atoms which are in the buffer zone.

The AO coefficients of the localized orbitals present in the buffer zone which lie on atoms outside the buffer will be truncated.

See also IXCORL and IXLONE below.

- - -

The next two values let you remove additional orbitals within the buffer zone from the truncation process, if that is desirable. These arrays can only include atoms that are already in the buffer zone, whether this was defined by NATBF, or IZAT/ILAT. The default is to include all core and lone pair orbitals, not just bonding orbitals, as the buffer zone orbitals.

IXCORL = an array of atoms whose core and lone pair orbitals are to be considered as not belonging to the buffer zone orbitals.

IXLONE = an array of atoms for which only the lone pair orbitals are to be considered as not belonging to the buffer zone orbitals.

The final option controls output of the truncated orbitals to file PUNCH for use in later runs:

NPUNOP =     punch out option for the truncated orbitals  
          = 1   the MOs are not reordered.  
          = 2   punch the truncated MOs as the first vectors  
                 in the \$VEC MO set, with untransformed vectors  
                 following immediately after. (default)

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**\$ELMOM** group (not required)

This group controls electrostatic moments calculation.

The symmetry properties of multipoles are discussed in  
A.Gelessus, W.Thiel, W.Weber  
J.Chem.Ed. 72, 505-508(1995)

The quadrupole and octopole tensors on the printout are formed according to the definition of Buckingham. Caution: only the first nonvanishing term in the multipole charge expansion is independent of the coordinate origin chosen, which is normally the center of mass.

IEMOM = 0 - skip this property  
          1 - calculate monopole and dipole (default)  
          2 - also calculate quadrupole moments  
          3 - also calculate octopole moments

WHERE = COMASS - center of mass (default)  
          NUCLEI - at each nucleus  
          POINTS - at points given in \$POINTS.

OUTPUT = PUNCH, PAPER, or BOTH (default)

\* \* the following are for atomic multipole moments \* \*

The Cartesian atomic multipole moments printed are a generalization of Mulliken charges, generated by distributing density factors according to the atomic orbitals used. Only the first point is used as an expansion center, so generally only WHERE=COMASS or providing a single point make sense. For details refer to

W.A.Sokalski, R.A.Poirier  
Chem.Phys.Lett. 98, 86-92(1983)  
K.M.Langner, P.Kedzierski, W.A.Sokalski,  
J.Leszczynski J.Phys.Chem.B 110, 9720-9727(2006)

IAMM = 0 - skip generation of Atomic Multipole Moments  
          n - generate atomic moments up to rank n  
          The default is n=0, note that n may not exceed 12.

CUM = Flag to accumulate the atomic moments to their

local atom coordinates, if IAMB was selected.  
When .FALSE., the resulting moments are additive  
and sum up to corresponding molecular moments,  
printed by selecting IEMOM. Setting this flag to  
.TRUE. recombines the atomic moments to their local  
coordinates system, making them invariant of the  
reference frame. Default=.FALSE.

IEMINT = 0 - skip printing of integrals (default)  
1 - print dipole integrals  
2 - also print quadrupole integrals  
3 - also print octopole integrals  
-2 - print quadrupole integrals only  
-3 - print octopole integrals only

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**\$ELPOT** group (not required)

This group controls electrostatic potential calculation.

IEPOT = 0 skip this property (default)  
1 calculate electric potential

WHERE = COMASS - center of mass  
NUCLEI - at each nucleus (default)  
POINTS - at points given in \$POINTS  
GRID - at grid given in \$GRID  
PDC - at points controlled by \$PDC.

OUTPUT = PUNCH, PAPER, BOTH (default), or NONE

This property is the electrostatic potential  $V(a)$  felt by a test positive charge, due to the molecular charge density, of both nuclei and electrons. If there is a nucleus at the evaluation point, that nucleus is ignored, avoiding a singularity. If this property is evaluated at the nuclei, it obeys the equation

$$\sum_{\text{nuclei}(a)} Z(a) * V(a) = 2 * V(\text{nn}) + V(\text{ne}).$$

The electronic portion of this property is called the diamagnetic shielding.

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**\$ELDENS** group (not required)

This group controls electron density calculation.

IEDEN = 0 skip this property (default)  
      = 1 compute the electron density.

MORB = The molecular orbital whose electron density is  
      to be computed. If zero, the total density is  
      computed. (default=0)

WHERE = COMASS - center of mass  
      NUCLEI - at each nucleus (default)  
      POINTS - at points given in \$POINTS  
      GRID - at grid given in \$GRID

OUTPUT = PUNCH, PAPER, or BOTH (default)

IEDINT = 0 - skip printing of integrals (default)  
      1 - print the electron density integrals

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**\$ELFLDG** group (not required)

This group controls electrostatic field and electric field gradient calculation.

IEFLD = 0 - skip this property (default)  
1 - calculate field  
2 - calculate field and gradient

WHERE = COMASS - center of mass  
NUCLEI - at each nucleus (default)  
POINTS - at points given in \$POINTS

OUTPUT = PUNCH, PAPER, or BOTH (default)

IEFINT = 0 - skip printing these integrals (default)  
1 - print electric field integrals  
2 - also print field gradient integrals  
-2 - print field gradient integrals only

The Hellman-Feynman force on a nucleus is the nuclear charge multiplied by the electric field at that nucleus. The electric field is the gradient of the electric potential, and the field gradient is the hessian of the electric potential. The components of the electric field gradient tensor are formed in the conventional way, i.e. see D.Neumann and J.W.Moskowitz.

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For conversion factors, and references  
see the 'further information' section.

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**\$POINTS** group      (not required)

This group is used to input points at which properties will be computed. This first card in the group must contain the string ANGS or BOHR, followed by an integer NPOINT, the number of points to be used. The next NPOINT cards are read in free format, containing the X, Y, and Z coordinates of each desired point.

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**\$GRID** group          (not required)

This group is used to input a grid (plane or cube) on which properties will be calculated. This group should be given if WHERE=GRID in \$ELPOT or \$ELDENS. This output will be in the PUNCH file whenever OUTPUT=PUNCH or BOTH.

MODGRD      = 0 generates 2-D grid (default)  
               = 1 generates 3-D grid, also called "cube file",  
                     which can be visualized by several programs.  
                     Cube sides XVEC/YVEC/ZVEC needn't be equal.  
 ORIGIN(i) = coordinates of one corner of the grid/cube.  
 XVEC(i)    = vector from ORIGIN to an adjacent corner "X" of  
               the grid (or cube). The XVEC direction need  
               not be parallel to the X-axis of the molecule.  
 YVEC(i)    = vector to the adjacent corner "Y" of grid/cube.  
 ZVEC(i)    = vector to the adjacent corner "Z" of the cube,  
               given if and only if MODGRD=1.  
 SIZE        = grid increment in all directions (default 0.25)  
 UNITS       = units of the above five values, it can be  
               either ANGS (the default) or BOHR.

Two dimensional grids may be drawn with the graphics program MEPMAP provided with GAMESS. Several programs will accept the 3-dimensional CUBE format.

Note that MacMolPlt draws 2D and 3D density maps without any need to pre-compute them inside GAMESS by this group.

User *\*must\** input orthogonal XVEC/YVEC/ZVEC directions!

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**\$PDC** group (relevant if WHERE=PDC in \$ELPOT)

This group determines the points at which to compute the electrostatic potential, for the purpose of fitting atomic charges to this potential. Constraints on the fit which determines these "potential determined charges" can include the conservation of charge, the dipole, and the quadrupole.

- PTSEL = determines the points to be used, choose
- GEODESIC to use a set of points on several fused sphere van der Waals surfaces, with points selected using an algorithm due to Mark Spackman. The results are similar to those from the Kollman/Singh method, but are less rotation dependent. (default)
  - CONNOLLY to use a set of points on several fused sphere van der Waals surfaces, with points selected using an algorithm due to Michael Connolly. This is identical to the method used by Kollman & Singh (see below)
  - CHELPG to use a modified version of the CHELPG algorithm, which produces a symmetric grid of points for a symmetric molecule.
- CONSTR = NONE - no fit is performed. The potential at the points is instead output according to OUTPUT in \$ELPOT.
- CHARGE - the sum of fitted atomic charges is constrained to reproduce the total molecular charge. (default)
  - DIPOLE - fitted charges are constrained to exactly reproduce the total charge and dipole.
  - QUPOLE - fitted charges are constrained to exactly reproduce the charge, dipole, and quadrupole.

Note: the number of constraints cannot exceed the number of parameters, which is the number of nuclei. Planar molecules afford fewer constraint equations, namely two dipole constraints and three quadrupole constraints,

instead of three and five, respectively.

\* \* the next 5 pertain to PTSEL=GEODESIC or CONNOLLY \* \*

VDWSCL = scale factor for the first shell of VDW spheres.  
The default of 1.4 seems to be an empirical best value. Values for VDW radii for most elements up to Z=36 are internally stored.

VDWINC = increment for successive shells (default = 0.2).  
The defaults for VDWSCL and VDWINC will result in points chosen on layers at 1.4, 1.6, 1.8 etc times the VDW radii of the atoms.

LAYER = number of layers of points chosen on successive fused sphere VDW surfaces (default = 4)

Note: RUNTYP=MAKEFP's screening calculation changes the defaults to VDWSCL=0.5 or 0.8 depending on the type of Stone analysis, VDWINC=0.1, LAYER=25, and MAXPDC=100,000.

NFREQ = flag for particular geodesic tessellation of points. Only relevant if PTSEL=GEODESIC.  
Options are:  
    (10\*h + k) for {3,5}h,k tessellations  
    -(10\*h + k) for {5,3}h,k tessellations  
Of course both nh and nk must be less than 10, so NFREQ must lie within the range -99 to 99.  
The default value is NFREQ=30 (=03)

PTDENS = density of points on the surface of each scaled VDW sphere (in points per square au). Relevant if PTSEL=CONNOLLY. Default=0.28 per au squared, which corresponds to 1.0 per square Angstrom, the default recommended by Kollman & Singh.

\* \* \* the next two pertain to PTSEL=CHELPG \* \* \*

RMAX = maximum distance from any point to the closest atom. (default=3.0 Angstroms)

DELR = distance between points on the grid.  
(default=0.8 Angstroms)

MAXPDC = an estimate of the total number of points whose electrostatic potential will be included in the fit. (default=10000)

CENTER = an array of coordinates at which the moments were computed.

DPOLE = the molecular dipole.

QPOLE = the molecular quadrupole.

PDUNIT = units for the above values. ANGS (default) will mean that the coordinates are in Angstroms, the dipole in Debye, and quadrupole in Buckingham. BOHR implies atomic units for all 3.

Note: it is easier to compute the moments in the current run, by setting IEMOM to at least 2 in \$ELMOM. However, you could fit experimental data, for example, by reading it in here.

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There is no unique way to define fitted atomic charges. Smaller numbers of points at which the electrostatic potential is fit, changes in VDW radii, asymmetric point location, etc. all affect the results. A useful bibliography is

U.C.Singh, P.A.Kollman, J.Comput.Chem. 5, 129-145(1984)  
L.E.Chirlain, M.M.Franci, J.Comput.Chem. 8, 894-905(1987)  
R.J.Woods, M.Khalil, W.Pell, S.H.Moffatt, V.H.Smith,  
J.Comput.Chem. 11, 297-310(1990)  
C.M.Breneman, K.B.Wiberg, J.Comput.Chem. 11, 361-373(1990)  
K.M.Merz, J.Comput.Chem. 13, 749(1992)  
M.A.Spackman, J.Comput.Chem. 17, 1-18(1996)

Start your reading with the last paper shown.

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**\$MGC** group (note: requires RUNTYP=GRADIENT)

This group requests the Mean Gradient Charge calculation. You can calculate MGC charges or pointwise gradient charges.

MGCHRG specifies the MGC calculation.  
You can specify .TRUE. to activate  
MGC routine. Default is .FALSE.

NPOINT specifies pointwise Gradient Charge  
calculations. If NPOINT.gt.0, then  
pointwise Gradient Charges will be  
calculated rather than MGC. Default  
is 0. If NPOINT.gt.0, user should  
provide the corresponding positions  
of unit probe using CP.

CP specifies the positions of unit  
probe. So CP is an array of positions.

MGC does not support molecular symmetry, so use NOSYM=1 in  
\$CONTRL to turn symmetry off.

See: C. H. Choi, Chem.Phys.Lett. 524, 107-111 (2012).

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**\$RADIAL** group (relevant only to atoms)

This input data governs the computation of radial expectation values  $\langle r \rangle$  and  $\langle r^2 \rangle$  for atomic orbitals. The atomic wavefunctions can be any SCFTYP except UHF. The atomic calculation should preserve radial degeneracy in p, d, or f shells, so UHF is not allowed, and furthermore, many atoms will require GVB or MCSCF inputs (see the 'Further References' section about doing atomic SCF). It is OK to use core potentials (MCP or ECP) or to apply scalar relativistic effects, so long as the calculation preserves degeneracy  $2l+1$  in every occupied shell.

One should keep in mind that there is some arbitrariness in how different SCFTYPs canonicalize orbitals, so that individual orbitals may vary, for exactly the same total wavefunction. For example, ROHF orbitals within the doubly occupied set of orbitals change as a function of the A and B canonicalization inputs (see 'Further References'). Similar comments apply to orbitals from GVB or MCSCF.

It is recommended that you do two runs, first to check if radial degeneracy is maintained (equal eigenvalues for all three p, or all five d orbitals). This preliminary run will help count which orbitals lie in degenerate shells, for MEMSH below. The quality of the numerical radial integration can be assessed from its closeness to 1.0. Radial wavefunctions can be printed, as an option. There are no defaults provided for the first three keywords, which are required inputs, if this group is given.

NSHELL - number of atomic shells to be computed

IDEGSH - an array of NSHELL values, giving the degeneracy of each shell (1, 3, 5, or 7)

MEMSH - an array containing the sum of all IDEGSH values, listing the members of each shell.

RMAX - maximum radius to be considered, in Bohr. The default is most appropriate for valence orbitals, which for bottom row elements may extend to five Angstroms (default=10.0). Inner shell orbitals



may require input of a smaller RMAX, to move some of the tick marks closer to the nucleus.

NTICKS - radial increment is RMAX/NTICKS, so the default step size is 0.01 Bohr (default NTICKS=1001)

PRTRAD - flag to print each shell's radial wavefunction at every radial tick mark (default is .FALSE.)

The following example uses a basis that is too small to be converged, printing radial expectation values for manganese as 1s=0.0615, 3p=0.9156, 4s=3.4027, and 3d=1.1095:

```
$contrl scftyp=roh mult=6 ispher=1 $end
$guess  guess=huckel norder=1
        iorder(10)=15,10,11,12,13,14 $end
$basis  gbasis=n31 ngauss=6 $end
$scf    rstrct=.true. $end
$radial nshell=4 idegsh(1)=1,3,1,5
        memsh(1)=1, 7,8,9, 10, 11,12,13,14,15 $end
$data
Mn atom...(4s)2(3d)5...6-S...spherical harmonics
Dnh 2

Mn 25.0
$end
```

=====

=====

**\$MOLGRF** group      (relevant only if you have MOLGRAPH)

This option provides an interface for viewing orbitals through a commercial package named MOLGRAPH, from Daikin Industries. Note that this option uses three disk files which are not defined in the GAMESS execution scripts we provide, since we don't use MOLGRAPH ourselves. You will need to define files 28, 29, 30, as generic names PRGRID, COGRID, MOGRID, of which the latter is passed to MOLGRAPH.

GRID3D = a flag to generate 3D grid data.  
(default is .false.).

TOTAL = a flag to generate a total density grid data.  
"Total" means the sum of the orbital densities given by NPLT array. (default is .false.).

MESH = numbers of grids. You can use different numbers for three axes. (default is MESH(1)=21,21,21).

BOUND = boundary coordinates of a 3D graphical cell. The default is that the cell is larger than the molecular skeleton by 3 bohr in all directions.  
E.g., BOUND(1)=xmin,xmax,ymin,ymax,zmin,zmax

NPLOTS = number of orbitals to be used to generate 3D grid data. (default is NPLOTS=1).

NPLT = orbital IDs. The default is 1 orbital only, the HOMO or SOMO. If the LOCAL option is given in \$CONTRL, localized orbital IDs should be given.  
For example, NPLT(1)=n1,n2,n3,...

CHECK = debug option, printing some of the grid data.

If you are interested in graphics, look at the GAMESS web page for information about other graphics packages with GAMESS, particularly MacMolPlt and Avogadro, both are available for all common desktop operating systems.

=====

=====

**\$STONE** group            (optional)

This group defines the expansion points for Stone's distributed multipole analysis (DMA) of the electrostatic potential.

The DMA takes the multipolar expansion of each overlap charge density defined by two Gaussian primitives, and translates it from the center of charge of the overlap density to the nearest expansion point. Some references for the method are

A.J.Stone Chem.Phys.Lett. 83, 233-239 (1981)  
 A.J.Stone, M.Alderton Mol.Phys. 56, 1047-1064(1985)  
 A.J.Stone J.Chem.Theory and Comput. 1, 1128-1132(2005)

The existence of \$STONE input is what triggers the analysis. The first set of lines must appear as the first line after \$STONE (enter a blank line if you make no choice), then enter as many choices as you wish, in any order, from the other sets.

-----

BIGEXP <value>	exponents larger than this are treated by the original Stone expansion, and those smaller by a numerical integration. The default is 0.0, meaning no numerical grid. The other parameters are meaningless if BIGEXP remains zero.
----------------	---

NRAD <nrad>	number of radial grid points (default 100)
NANG <nang>	number of angular grid points, choose one of the Lebedev grid values (default 590)
SMOOTH <nbecke>	degree of Becke smoothing (default=2)
SMRAD <nbckrd>	Radii choice, 0=constant, 1=Bragg-Slater, which is the default.

-----

ATOM i name, where

ATOM        is a keyword indicating that a particular

atom is selected as an expansion center.  
i is the number of the atom  
name is an optional name for the atom. If not  
entered the name will be set to the name  
used in the \$DATA input.

---

ATOMS is a keyword selecting all nuclei in the  
molecule as expansion points. No other  
input on the line is necessary.

---

BONDS is a keyword selecting all bond midpoints  
in the molecule as expansion points. No  
other input on the line is necessary.

---

BOND i j name, where

BOND is a keyword indicating that a bond mid-  
point is selected as an expansion center.  
i,j are the indices of the atoms defining the  
bond, corresponding to two atoms in \$DATA.  
name is an optional name for the bond midpoint.  
If omitted, it is set to 'BOND'.

---

CMASS is a keyword selecting the center of mass  
as an expansion point. No other input on  
the line is necessary.

---

POINT x y z name, where

POINT is a keyword indicating that an arbitrary  
point is selected as an expansion point.  
x,y,z are the coordinates of the point, in Bohr.  
name is an optional name for the expansion  
point. If omitted, it is set to 'POINT'.

-----

While making the EFPs for QM/MM run, a single keyword QMMMBUF is necessary. Adding additional keywords may lead to meaningless results. The program will automatically select atoms and bond midpoints which are outside the buffer zone as the multipole expansion points.

QMMMBUF nmo, where

QMMMBUF	is a keyword specifying the number of QM/MM buffer molecular orbitals, which must be the first NMO orbitals in the MO set. These orbitals must be frozen in the buffer zone, so this is useful only if \$MOFRZ is given.
NMO	is the number of buffer MO-s (if NMO is omitted, it will be set to the number of frozen MOs in \$MOFRZ)

=====

The second and third moments on the printout can be converted to Buckingham's tensors by formula 9 of A.D.Buckingham, Quart.Rev. 13, 183-214 (1959) These can in turn be converted to spherical tensors by the formulae in the appendix of S.L.Price, et al. Mol.Phys. 52, 987-1001 (1984)

---

**\$RAMAN** group

(relevant for all SCFTYPs)

This input controls the computation of Raman intensity by the numerical differentiation procedure of Komornicki and others. It is applicable to any wavefunction for which the analytic gradient is available, including some MP2 and CI cases. The calculation involves the computation of 19 nuclear gradients, one without applied electric fields, plus 18 no symmetry runs with electric fields applied in various directions. The numerical second differencing produces intensity values with 2-3 digits of accuracy.

This run must follow an earlier RUNTYP=HESSIAN job, and the \$GRAD and \$HESS inputs from that first job must be given as input. If the \$DIPDR is computed analytically by this Hessian job, it too may be read in, if not, the numerical Raman job will evaluate \$DIPDR. Once the data from the 19 applied fields is available, the \$ALPDR tensor is evaluated. Then the nuclear derivatives of the dipole moment and alpha polarizability will be combined with the normal coordinate information to produce the IR and Raman intensity of each mode.

To study isotopic substitution speedily, provide \$GRAD, \$HESS, \$DIPDR, and \$ALPDR inputs, along with the desired atomic masses in \$MASS.

The code does not permit semi-empirical or solvation models to be used.

EFIELD = applied electric field strength. The literature suggests values in the range 0.001 to 0.005.  
(default = 0.002 a.u.)

---

---

**\$COMP** group

(relevant for all SCFTYPs)

This input defines the parameters for setting up a composite thermochemical method, to evaluate heats of formation. Some literature methods are available, or you can define your own.

RMETHD = hardwired composite methods

G32CCSD is G3(MP2, CCSD(T))

G4MP2 is G4(MP2)

G4MP2-6X is G4(MP2)-6x

CCCA-S4 is ccCA-S4

CCCA-CCL is ccCA-CC(2,3)

No further input is required with these standard pathways.

GENERAL SETUP FOR OPTIMIZATIONS, HESSIAN, AND SINGLE POINT ENERGIES:

IOP = NUMBER OF OPTIMIZATIONS

IHS = INDEX OF OPTIMIZATION USED FOR HESSIAN CALCULATION

IENE = NUMBER OF SINGLE POINT ENERGY CALCULATIONS

TOTAL # ENERGY CALCULATIONS = IOP + IENE

VARIOUS PARAMETERS FOR COMPOSITE METHOD:

CZPSCL = ZERO POINT ENERGY SCALING FACTOR

CENHLC = HIGHER LEVEL CORRECTION ENERGY

IENREF = REFERENCE ENERGY FOR COMPOSITE ENERGY CALCULATION

CXETH = ADDED THERMAL ENERGY CORRECTION

CXHTH = ADDED THERMAL ENTHALPY CORRECTION

CXGTH = ADDED FREE ENERGY CORRECTION

CCXZPE = ADDED ZERO POINT ENERGY

CNUTRL = NEUTRAL ENERGY FOR HEATS OF FORMATION OF IONS AND RADICALS

CHEATS = ACCESSES ATOMIC ENERGIES FOR SPECIFIC COMPOSITE METHOD:

G3MP2 is G3(MP2,CCSD(T)) atom energys

CCCAS4 is ccCA-S4 atom energies

CCCACCL is ccCA-CC(2,3) atom energies

CSCALE = PERFORMS SCALAR RELATIVISTIC CORRELATIONS (DK, ANESC, RESC)

CXTRAP = CBS EXTRAPOLATION TECHNIQUE

S4 is a 2-POINT EXTRAPOLATION SCHEME

CGASES = GAS PHASE ENERGY VALUE FOR HEATS OF SOLVATION  
CALCULATION

ARRAYS FOR PARAMETERS USED FOR EACH COMPOSITE CALCULATION:

CDFTTP() = DFT FUNCTIONALS (DFTTYP VALUES)  
ICMPLV() = MOLLER PLESSET ORDER NUMBER  
CCCTYP() = COUPLED CLUSTER TYPE  
CCITYP() = CONFIGURATION INTERACTION TYPE  
CCSCF() = SCF TYPE (RHF, ROHF, UHF)  
(DEFAULT VALUES IN SUBROUTINE 'CLRALL')

BASIS SET OPTION ARRAYS:

ICISPH() = ISPHER SPHERICAL HARMONICS  
CGBASS() = GBASIS INTERNAL BASIS SETS  
ICNGSS() = NGAUSS VALUES  
ICNDFC() = NDFUNC (D POLARIZATION FUNCTION) VALUES  
ICNFFC() = NFFUNC (F POLARIZATION FUNCTION) VALUES  
ICNPFC() = NPFUNC (P POLARIZATION FUNCTION) VALUES  
CDFFSP() = DIFFSP (SP DIFFUSE FUNCTION) OPTION  
CDIFFS() = DIFFS (S DIFFUSE FUNCTION) OPTION

Additional calculation controls:

CETOT() = ADDED ENERGY VALUES, ADD VALUE TO SKIP A  
CALCULATION (CETOT(1) SKIPS CALCULATION 1)  
ICNACR() = NACORE (# ALPHA CORE ELECTRONS)  
ICNBCR() = NBCORE (# BETA CORE ELECTRONS)  
ICNCOR() = NCORE(NCCFZC)(# CORE ELECTRONS)  
IDOPCM() = PCM CONTROL (0 = OFF, 1 = ON)  
ICNDER() = MP2 GRADIENT CONTROL, FOR OPTIMIZATIONS  
(0=OFF, 1=ON)  
IEXTRP() = SELECTS CETOT() ENERGIES FOR CBS EXTRAPOLATION  
CESCF() = OBTAINS REFERENCE ENERGY FOR CBS EXTRAPOLATION  
CECOR() = OBTAINS CORRELATION ENERGY FOR CBS EXTRAPOLATION

ENERGY CORRECTION CALCULATION (ICENCA - ICENCB):

ICENCA() = HIGHER LEVEL CALCULATION  
ICENCB() = LOWER LEVEL CALCULATION

There are three ways to carry out a G3(MP2,CCSD(T))  
calculation, which serve to illustrate the above:



- a) select RUNTYP=G3MP2
- b) select RUNTYP=COMP and \$COMP's RMETHD=G32CCSD
- c) select RUNTYP=COMP and explicitly define it:

\$COMP

```
iop=2, ihs=1, iene=3, icalc=2, ienref=5,  
cenhlc=-0.009170, czpscl=0.8929, cheats=g3mp2,  
icmplv(2)=2,  
icmplv(4)=2,  
icmplv(5)=2,  
ccctyp(3)=ccsd(t),  
icisph(4)=1,  
cgbass(1)=n31,n31,n31,g31,n31,  
icngss(1)=6,6,6,0,6,  
icndfc(1)=1,1,1,0,1,  
icnacr(2)=0,  
icnbcr(2)=0,  
icnder(2)=1,  
icenca(1)=3,4,  
icencb(1)=5,5
```

\$END

In case of failures when the program shifts basis sets, try running without point group symmetry: NOSYM=1 in \$CONTRL.

=====

=====

**\$NMR** group                      (optional, relevant if RUNTYP=NMR)

This group governs the analytic computation of the NMR shielding tensor for each nucleus, using the Gauge Invariant Atomic Orbital (GIAO) method, also known as London orbitals. The most useful input values are the first three printing options. The wavefunction must be RHF, the atomic basis set may be spdfg, the EFP model may be used to include solvent effects, and the McMurchie-Davidson integrals used are not fast.

ANGINT = a flag to control the evaluation of the perturbed two-electron integrals by increasing the angular momentum on the unperturbed 2e- integrals. With this selected, only two passes through the 2e-NMR integral code are needed. Otherwise, six slow passes are needed, and option meant only for debugging purposes. (default=.TRUE.)

INMEM      A flag to carry all integrals in memory. If selected, the calculation will require several multiples of NAO\*\*4. By default, the calculation will require space on the order of NATOMS\*NAO\*\*2, where NAO is the basis set dimension. This is useful for debugging. (default=.FALSE.)

The rest are print flags, in increasing order of the amount of output created, as well as decreasing order of interest. The default for all of these options is .FALSE.

PDIA      Print diamagnetic term of the shielding tensor.

PPARA      Print paramagnetic term of the shielding tensor.

PEVEC      Print eigenvectors of asymmetric shielding tensor.

PITER      Print iteration data for the formation of the three first-order density matrices.

PRMAT      Print the three first-order perturbed density matrices, the three first-order H matrices for

each nucleus, the unperturbed density matrix, and the nine second-order H matrices for each nucleus.

POEINT    Print all one-electron integrals.

PTEINT    Print the perturbed two-electron integrals.

TEDBG    Print VAST amounts of debugging information for the McMurchie-Davidson two-electron integrals. Should only be used for the smallest test jobs.

=====

=====

**\$MOROKM** group (relevant if RUNTYP=EDA)

This performs an analysis of the energy contributions to dimerization (or formation of larger clusters of up to ten monomers), according to the Morokuma-Kitaura and/or Reduced Variational Space schemes. The analysis is limited to closed shell RHF monomers. In other words, the monomers should be distinct molecular species: avoid breaking chemical bonds! For more general energy decompositions, see the \$LMOEDA input group. See also PIEDA in the FMO codes.

Solvation models are not supported.

MOROKM = a flag to request Morokuma-Kitaura decomposition.  
(default is .TRUE.)

RVS = a flag to request "reduced variation space" decomposition. This differs from the Morokuma analysis. One or the other or both may be requested in the same run. (default is .FALSE.)

Generally speaking, RVS handles non-orthogonality of monomers better. When diffuse functions are used, the MOROKM analysis sometimes fails, but RVS will work.

BSSE = a flag to request basis set superposition error be computed. You must ensure that CTPSPL is selected. This option applies only to MOROKM decompositions, as a basis superposition error is automatically generated by the RVS scheme. This is not the full Boys counterpoise correction, as explained in the reference. (default is .FALSE.)

\* \* \*

The inputs here control how the RHF supermolecule, whose coordinates are given in the \$DATA input group, is divided into two or more monomers.

IATM = An array giving the number of atoms in each of the monomer. Up to ten monomers may be defined. Your input in \$DATA must have all the atoms in

the first monomer defined before the atoms in the second monomer, before the third monomer... The number of atoms belonging to the final monomer can be omitted. There is no sensible default for IATM, so don't omit it from your input.

- ICHM = An array giving the charges of the each monomer. The charge of the final monomer may be omitted, as it is fixed by ICH in \$CONTRL, which is the total charge of the supermolecule. The default is neutral monomers, ICHM(1)=0,0,0,...
- EQU = a flag to indicate all monomers are equivalent by symmetry (in addition to containing identical atoms). If so, which is not often true, then only the unique computations will be done. (default is .FALSE.)

\* \* \*

- CTPSPL = a flag to decompose the interaction energy into charge transfer plus polarization terms. This is most appropriate for weakly interacting monomers. (default is .TRUE.)
- CTPLX = a flag to combine the CT and POL terms into a single term. If you select this, you might want to turn CTPSPL off to avoid the extra work that that decomposition entails, or you can analyze both ways in the same run. (default is .FALSE.)
- RDENG = a flag to enable restarting, by reading the lines containing "FINAL ENERGY" from a previous run. The \$EMORO group is single lines read under format A16,F20.10 containing the energies, and a card \$END to complete. The 16 chars = anything. (default is .FALSE.)

=====

The present implementation has some quirks:

1. The initial guess of the monomer orbitals is not controlled by \$GUESS. The program first looks for a \$VEC1, \$VEC2, ... group for each monomer. The orbitals must be

obtained for the identical coordinates which that monomer has within the supermolecule. If any \$VECn groups are found, they will be MOREAD. If any are missing, the guess for that monomer will be constructed by HCore. Check your monomer energies carefully! The initial guess orbitals for the supermolecule are formed from a block diagonal matrix containing the monomer orbitals.

2. The use of symmetry is turned off internally.
3. Spherical harmonics (ISPHER=1) may not be used.
4. There is no direct SCF option. File ORDINT will be a full C1 list of integrals. File AOINTS will contain whatever subset of these is needed for each particular decomposition step. So extra disk space is needed compared to RUNTYP=ENERGY.
5. This run type applies only to ab initio RHF treatment of the monomers. To be quite specific: this means that DFT (which involves a grid, not just integrals) will not work, nor will MOPAC's approximated 2e- integrals
6. This kind of calculation will run in parallel.

Quirks 1, 3 and 4 can be eliminated by using PIEDA if only two monomers are present. For more monomers PIEDA results will slightly differ. PIEDA is a special case of FMO, q.v.

#### References:

- C.Coulson in "Hydrogen Bonding", D.Hadzi, H.W.Thompson, Eds., Pergamon Press, NY, 1957, pp 339-360.  
C.Coulson Research, 10, 149-159 (1957).  
K.Morokuma J.Chem.Phys. 55, 1236-44 (1971).  
K.Kitaura, K.Morokuma Int.J.Quantum Chem. 10, 325 (1976).  
K.Morokuma, K.Kitaura in "Chemical Applications of Electrostatic Potentials", P.Politzer,D.G.Truhlar, Eds. Plenum Press, NY, 1981, pp 215-242.  
The method coded is the newer version described in the 1976 and 1981 papers. In particular, note that the CT term is computed separately for each monomer, as described in the words below eqn. 16 of the 1981 paper, not simultaneously.

#### Reduced Variational Space:

W.J.Stevens, W.H.Fink, Chem.Phys.Lett. 139, 15-22(1987).

A comparison of the RVS and Morokuma decompositions can be found in the review article: "Wavefunctions and Chemical Bonding" M.S.Gordon, J.H.Jensen in "Encyclopedia of

Computational Chemistry", volume 5, P.V.R.Schleyer, editor,  
John Wiley and Sons, Chichester, 1998.

BSSE during Morokuma decomposition:  
R.Cammi, R.Bonaccorsi, J.Tomasi  
Theoret.Chim.Acta 68, 271-283(1985).

The present implementation:  
"Energy decomposition analysis for many-body interactions,  
and application to water complexes"  
W.Chen, M.S.Gordon J.Phys.Chem. 100, 14316-14328(1996)

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**\$LMOEDA** group

(relevant if RUNTYP=EDA)

This group governs the Localized Molecular Orbital Energy Decomposition Analysis, which is capable of more sophisticated treatment of "monomers" than the Morokuma or RVS schemes (see \$MOROKM). For example, the wavefunctions of the monomers may be RHF, ROHF, or UHF, the DFT counterparts of each of these, the MP2 counterparts of each of these, or CCSD and CCSD(T) for RHF and ROHF references. Furthermore, division of the system into "monomers" can involve splitting chemical bond pairs, as the MMULT example below shows.

If one or more monomers are open shell, to be treated by ROHF, use SCFTYP=ROHF in \$CONTRL. Whenever a monomer has an even number of electrons, so that its MMULT=1 below, SCFTYP=ROHF (or UHF) automatically reduces to RHF on that monomer. Note that open shell monomers sometimes have more than one possible electron occupancy (for example, oxygen atom can fill 3 p orbitals by 4 electrons in various ways), in which case the energy decomposition isn't unique.

**MATOM** = an array giving the number of atoms in each monomer. Up to ten monomers may be defined. Your input in \$DATA must have all the atoms in the first monomer defined before the atoms in the second monomer, before the third monomer etc. The sum of the MATOM array must be equal to the total number in the supermolecule.

**MCHARG** = an array giving the charge of each monomer. Up to ten monomers may be defined. The sum of the charges in the monomers must be equal to the total charge of the supermolecule.

**MMULT** = an array giving the multiplicity of each monomer. Up to ten monomers may be defined. A positive integer means alpha spin, a negative integer means beta spin. For example, if an ethane molecule is separated into two neutral CH<sub>3</sub> groups, MMULT(1)=2,-2 or MMULT(1)=-2,2.



SUPBAS = a flag to request Boys and Bernardi style counterpoise method for correcting basis set superposition errors. (default is .TRUE.). Usually it works well with Hartree-Fock and MP2 and coupled cluster methods, but less well with DFT methods due to SCF divergent problems.

The paper describing this method is  
P.Su, H.Li J.Chem.Phys. 131, 014102/1-15(2009)

Notes:

1. scalar relativistic effects can be handled by ECP or MCP, but at present, all electron treatment by RELWFN=IOTC or DK is not enabled.
2. the initial guess should be HCORE, as there is no option at present to read monomer orbitals.

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=====

**\$QMEFP** group (relevant for RUNTYP=QMEFPEA)

This run type prints a detailed breakdown of QM/EFP1 and EFP1/EFP1 interaction energies, for combined quantum mechanics/effective fragment potential (QM/EFP) systems. The run first performs a gas phase QM calculation, and then in a second stage, includes the explicit EFP1 solvent molecules. Some methods (any SCFTYP or ground state DFT) permit a fully self-consistent interaction of the QM and EFP particles. Otherwise, any QM calculation that supports EFP runs and also generates the QM density matrix may be used to obtain the "method 2" interaction energy. Certain non-variational runs must therefore select as .TRUE. the appropriate QM density matrix evaluation: see MPPRP in \$MP2, TDPRP in \$TDDFT, CCPRP in \$CCINP, or CCPRPE in \$EOM. Note that calculations for which the QM density is not available cannot be analyzed here, although "method 1" energies can be obtained (by RUNTYP=ENERGY) for other cases, such as the triples corrected CC methods.

Very often, this entire input group is omitted, as the inputs are related to restarts. One very good reason for doing two steps is in case the EFP solvation changes the order of the excited states, so that two different IROOT values must be given to specify the target state.

STEP1 is a flag requesting the gas phase step be run, but note that the EFP particles must be present in the input file's \$EFRAG.

STEP2 is a flag requesting the QM+EFP step be run. the default for both is .TRUE. so that the full results are obtained in a single run.

In case STEP1 is .FALSE., three restart data (which may be found in the PUNCH output file) must be given for the second step:

STOTAL total QM energy, without EFP molecules

EMULT expectation value of the QM/EFP electrostatics

for the isolated solute.

EREM      expectation value of the QM/EFP remainder term,  
            which is largely exchange repulsion, for the  
            isolated solute.

Those QM methods which are not based on fully self-consistent solutions of the QM/EFP interaction Hamiltonian (namely TDDFT, CIS, MP2, CCSD, EOM-CCSD) provide results which include the EFP's perturbation by the correlated density, and/or a particular excited state's density. This approach is termed "Method 2" in the following references:

1. P.Arora, L.V.Slipchenko, S.P.Webb, A.DeFusco, M.S.Gordon  
J.Phys.Chem.A 114, 6742-6750(2010)
2. A.DeFusco, J.Ivanic, M.W.Schmidt, M.S.Gordon  
J.Phys.Chem.A 115, 4574-4582(2011)

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**\$FFCALC** group (relevant for RUNTYP=FFIELD)

This group permits the study of the influence of an applied electric field on the wavefunction. The most common finite field calculation applies a sequence of fields to extract the linear polarizability and the first and second order hyperpolarizabilities (static alpha, beta, and gamma tensors). The method is general, because it relies on finite differencing of the energy values, and so works for all ab initio wavefunctions. If the dipole moments are available (true for SCF or CI functions, and see MPPROP in \$MP2), the same tensors are formed by differencing the dipoles, which is more accurate. Some idea of the error in the numerical differentiations can be gleaned by comparing energy based and dipole based quantities.

For analytic computation of static polarizabilities alpha, beta, and gamma (as well as frequency dependent NLO properties), for closed shell cases, see \$TDHF and \$TDHFX. For analytic computation of the static polarizability alpha, see POLAR in \$CPHF.

The standard computation obtains the polarizabilities, by double numerical differentiation. See ONEFLD to apply a single electric field, but for a more general approach to applied static fields, see \$EFIELD.

OFFDIA = .TRUE. computes the entire polarizability tensors, which requires a total of 49 wavefunction evaluations (some of gamma is not formed).  
= .FALSE. forms only diagonal components of the polarizabilities, using 19 wavefunctions.  
The default is .TRUE.

ESTEP = step size for the applied electric field strength, 0.01 to 0.001 is reasonable.  
(default=0.001 a.u.)

The next parameters pertain to applying a field in only one direction:

ONEFLD = flag to apply one field (default=.FALSE.)

SYM = a flag to specify when the field to be applied does not break the molecular symmetry. Since most fields do break the nuclear point group symmetry, the default is .FALSE.

EFIELD = an array of the three x,y,z components of the single applied field.

\* \* \*

LOCHYP = a flag to perform a localized orbital analysis of the alpha, beta, and gamma polarizabilities. See \$LOCAL for similar analyses of the energy, multipole moments, or alpha polarizability. References for this keyword are given below.

Finite field calculations require large basis sets, and extraordinary accuracy in the wavefunction. To converge the SCF to many digits is sometimes problematic, but we suggest you use the input to increase integral accuracy and wavefunction convergence, for example

```
$CONTRL ICUT=20 ITOL=30 $END
$SCF     CONV=1d-7 FDIFF=.FALSE. $END
```

Examples of fields that do not break symmetry are a Z-axis field for an axial point group which is not centrosymmetric (i.e. C<sub>2v</sub>). However, a field in the X or Y direction does break the C<sub>2v</sub> symmetry. Application of a Z-axis field for benzene breaks D<sub>6h</sub> symmetry. However, you could enter the group as C<sub>6v</sub> in \$DATA while using D<sub>6h</sub> coordinates, and regain the prospect of using SYM=.TRUE. If you wanted to go on to apply a second field for benzene in the X direction, you might want to enter Cs in \$DATA, which will necessitate the input of two more carbon and hydrogen atom, but recovers use of SYM=.TRUE.

#### References:

J.E.Gready, G.B.Bacskay, N.S.Hush

Chem.Phys. 22, 141-150(1977)  
H.A.Kurtz, J.J.P.Stewart, K.M.Dieter  
J.Comput.Chem. 11, 82-87(1990).

polarizability analysis:

S.Suehara, P.Thomas, A.P.Mirgorodsky, T.Merle-Mejean,  
J.C.Champarnaud-Mesjard, T.Aizawa, S.Hishita,  
S.Todoroki, T.Konishi, S.Inoue  
Phys.Rev.B 70, 205121/1-7(2004)  
S.Suehara, T.Konishi, S.Inoue  
Phys.Rev.B 73, 092203/1-4(2006)

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**\$TDHF** group      (relevant for SCFTYP=RHF if RUNTYP=TDHF)

This group permits the analytic calculation of various static and/or frequency dependent polarizabilities, with an emphasis on important NLO properties such as second and third harmonic generation. The method is programmed only for closed shell wavefunctions, at the semi-empirical or ab initio level. Ab initio calculations may be direct SCF, or parallel, if desired, except INIG=2.

For a more general numerical approach to the static properties, see \$FFCALC. For additional closed shell dynamic polarizabilities and spectra, see \$TDHFX. To compute the hyperpolarizability for closed shell DFT, or to use fully general frequency combinations, see TDDFT=HPOL. There is a summary of all possible different types of polarizability computations in the 4th manual chapter.

NFREQ = Number of frequencies to be used. (default=1)

FREQ = An array of energy values in atomic units. For example: if NFREQ=3 then FREQ(1)=0.0,0.1,0.25. By default, only the static polarizabilities are computed. (default is freq(1)=0.0)

The conversion factor from wavenumbers to Hartree is to divide by 219,474.6. To convert a wavelength to Hartree, compute  $FREQ=45.56/\lambda$ ,  $\lambda$  in nm.

MAXITA = Maximum number of iterations for an alpha computation. (default=100)

MAXITU = Maximum number of iterations in the second order correction calculation. This applies to iterative beta values and all gammas. (default=100)

DIIS = use the DIIS extrapolation using residual induced Fock matrix (default=.TRUE.).

MAXDII = the maximum number of Fock matrices to be used in DIIS extrapolation (default=50).

ATOL = Tolerance for convergence of first-order results.  
(default=1.0d-05)

BTOL = Tolerance for convergence of second-order results.  
(default=1.0d-05)

RETDHF = a flag to choose starting points for iterative  
calculations from best previous results.  
(default=.true.)

\* \* \* the following NLO properties are available \* \* \*

Note: alpha polarizabilities are always calculated.

INIB = 0 turns off all beta computation (default)  
= 1 calculates only noniterative beta  
= 2 calculate iterative and noniterative beta  
The next flags allow further BETA tuning

BSHG = Calculate beta for second harmonic generation.

BEOPE = Calculate beta for electrooptic Pockels effect.

BOR = Calculate beta for optical rectification.

INIG = 0 turns off all gamma computation (default)  
= 1 calculates only noniterative gamma  
= 2 calculate iterative and noniterative gamma  
The next flags allow further GAMMA tuning

GTHG = Calculate gamma for third harmonic generation.

GEFISH = Calculate gamma for electric-field induced  
second harmonic generation.

GIDRI = Calculate gamma for intensity dependent  
refractive index.

GOKE = Calculate gamma for optical Kerr effect.

These will be computed only if a nonzero energy (FREQ)  
is requested. The default for each flag is .TRUE., and  
they may be turned off individually by setting some .FALSE.  
Note however that the program determines the best way to



calculate them. For example, if you wish to have the SHG results but no gamma results are needed, the SHG beta will be computed in a non-iterative way from  $\alpha(w)$  and  $\alpha(2w)$ . However if you request the computation of the THG gamma, the second order  $U(w,w)$  results are needed and an iterative SHG calculation will be performed whether you request it or not, as it is a required intermediate.

Only the following combinations make sense:

INIB	INIG	giving	FREQ(1)=0.0,0.1	e.g. $w=0.1$
0	0	static	$\alpha$ ,	$a(w)$
1	0	static	$\alpha, \beta$	$a(w), a(2w)$
		noniterative	$b(OR), b(OPE), b(SHG)$	
2	0	static	$\alpha, \beta$	$a(w), a(2w)$
		noniterative	$b(OR), b(OPE), b(SHG)$	
		iterative	$b(OR), b(OPE), b(SHG)$	
2	1	static	$\alpha, \beta, \gamma$	$a(w), a(2w)$
		iterative	$b(OR), b(OPE), b(SHG)$	
		noniterative	$g(THG), g(EFISH),$	
			$g(IDRI), g(OKE)$	
2	2	static	$\alpha, \beta, \gamma$	$a(w), a(2w)$
		iterative	$b(OR), b(OPE), b(SHG)$	
		noniterative	$g(THG), g(EFISH),$	
			$g(IDRI), g(OKE)$	
		iterative	static $\gamma, g(OKE),$	
			$g(THG), g(EFISH),$	
			$g(IDRI), g(DC-OR)$	

This is a quirky program:

1. INIG=2 only runs in serial, and only runs with AO integrals stored on disk.
2. ISPHER=1 may not be chosen.
3. INIB=1 and INIB=2 print the same components for OR, OPE, SHG, but different totals from the whole tensor. It is not clear which is correct.
4. units are not well specified on the output!

#### References:

for static polarizabilities,  
 G.J.B.Hurst, M.Dupuis, E.Clementi  
 J.Chem.Phys. 89, 385-395(1988)

for dynamic polarizabilities,

S.P.Karna, M.Dupuis J.Comput.Chem. 12, 487-504 (1991).  
P.Korambath, H.A.Kurtz, in "Nonlinear Optical Materials",  
ACS Symposium Series 628, S.P.Karna and A.T.Yeates, Eds.  
pp 133-144, Washington DC, 1996.

Review: D.P.Shelton, J.E.Rice, Chem.Rev. 94, 3-29(1994).

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**\$TDHFX** group      (relevant for SCF=RHF if RUNTYP=TDHFX)

This group permits the analytical determination of static and/or frequency dependent polarizabilities and hyperpolarizabilities (alpha, beta, and gamma), as well as their first- and second-order geometrical derivatives (of alpha and beta). This permits the prediction of dynamic (nonresonant) Raman and hyper-Raman spectra, yielding both intensities and depolarizations. The method is only available for closed shell systems (RHF).

For other polarizability options, see \$FFCALC and \$TDHF. For ordinary Raman spectra, see \$RAMAN.

You must not use point group symmetry in this kind of calculation (except to enter the molecule's structure), so provide NOSYM=1. Since the derivative level is quite high, it is a good idea to converge the SCF problem crisply, CONV=1.0D-6. These options are not forced by the RUNTYP, so please use explicit input.

The \$TDHFX group acts as a script. Each keyword must be on a separate line, terminated by a \$END. The available keywords are gathered into 3 sets. Those belonging to the first set must appear before the second set, which must appear before the third set.

Set 1:

Here is a list of keywords that specifies the number of parameters (electric fields and geometrical distortions) that will be taken into account in the computations.

ALLDIRS = compute the responses for all the electric field directions (x,y,z).

DIR idir = compute the responses for one electric field specific direction:  
x(idir=1), y(idir=2) and z(idir=3).

USE\_C = do the computation in Cartesian coordinates.

USE\_Q = do the computation in normal coordinates.

The default is ALLDIRS and USE\_C.

Set 2:

The following two keywords must be specified before any computation that requires vibrational frequencies or normal modes of vibration:

FREQ = compute the normal modes and the harmonic vibrational frequencies. Do a HESSIAN job.

FREQ2 = same as FREQ but store the second derivative of the monoelectronic Hamiltonian. Required if you want to determine geometrical second-order derivatives of properties.

Set 3:

The following keywords are related to the generalized iterative method to solve TDHF mixed derivative equations. They can be inserted anywhere in the \$TDHFX group and change the behavior of the generalized iterative method for any of the following tasks that might be requested.

DIIS = Use the DIIS method. This is the default method.

NOACCEL = Do not use any accelerating method.

ITERMAX imax = Specify the maximum number of iterations to obtain the converged solution. Default=100.

CONV threshold = the threshold convergence criterion for the U response matrices. Default=1E-5.

Below are the keywords to select a particular computation. The xx\_NI version will call a non-iterative procedure.

The laser energy (w) must be given in Hartree. Divide by 219,474.6 to convert a frequency in wavenumbers (cm<sup>-1</sup>) to a photon energy in Hartree. Wavelength (in nm) is 45.56/w, when w is in Hartree. Static polarizabilities may be obtained from w=0.0.

MU = compute the dipole moment.

ALPHA w =  
    compute the dynamic polarizability:  
        alpha(-w;w).

BETA w1 w2 / BETA\_NI w1 w2 =  
    compute the dynamic first hyperpolarizability:  
        beta(-w1-w2;w1,w2).

GAMMA w1 w2 w3 / GAMMA\_NI w1 w2 w3 =  
    compute the dynamic second hyperpolarizability:  
        gamma(-w1-w2-w3;w1,w2,w3).

POCKELS w / POCKELS\_NI w =  
    compute electro-optic Pockels effect: beta(-w;w,0).

OR w / OR\_NI w =  
    optical rectification: beta(0;w,-w).

SHG w / SHG\_NI w =  
    second harmonic generation: beta(-2w;w,w).

KERR w / KERR\_NI w =  
    DC Kerr effect: gamma(-w;w,0,0).

ESHG w / ESHG\_NI w =  
    electric field induced 2nd harm gen: gamma(-2w;w,w,0).

THG w / THG\_NI w =  
    third harmonic generation: gamma(-3w;w,w,w).

DFWM w / DFWM\_NI w =  
    degenerate four wave mixing gamma(-w;w,-w,w).

See the review  
    D.P.Shelton, J.E.Rice Chem.Rev. 94, 3-29(1994)  
for more information on the quantities just above. The  
next options are nuclear derivatives of some of the above.

DMDX\_NI =  
    compute the dipole derivative matrix,  
    the geometrical first derivative of MU.

DADX w / DADX\_NI w =  
    compute the polarizability derivative matrix, the

geometrical first-order derivative of  $\alpha(-w;w)$ .

DBDX w1 w2 / DBDX\_NI w1 w2 =  
compute the geometrical first-order derivative  
of  $\beta(-w_1-w_2;w_1,w_2)$ .

D2MDX2\_NI =  
compute geometrical second derivatives of MU

D2ADX2\_NI w =  
compute geometrical second derivatives of  $\alpha(-w;w)$ .

D2BDX2\_NI w1 w2 =  
geometrical second derivatives of  $\beta(-w_1-w_2;w_1,w_2)$ .

The next two keywords automatically select paths through the package generating the required intermediates (both polarizabilities and their nuclear derivatives) to form spectra. The most efficient path through the program will be selected automatically.

RAMAN w = Summarize the Raman responses in a table, and if necessary, compute the geometrical first-order derivatives of  $\alpha(-w;w)$ .

HRAMAN w = Summarize the hyper-Raman responses in a table, and if necessary, compute the geometrical first-order derivatives of  $\beta(-2w;w,w)$ .

The following keywords permit the deletion of disk files associated with the set of frequencies  $w_1, w_2, \dots$

FREE w1  
FREE w1 w2  
FREE w1 w2 w3

Below is an example of a TDHFX group:

```
$TDHFX
  ALLDIRS
  USE_Q
  FREQ
  DIIS
  ITERMAX 100
  CONV 0.1E-7
```

```
HRAMAN 0.02
FREE 0.02
FREE 0.02 0.02
HRAMAN 0.03
$END
```

#### References:

"Time Dependent Hartree-Fock schemes for analytic evaluation of the Raman intensities"  
O.Quinet, B.Champagne J.Chem.Phys. 115, 6293-6299(2001).

"Analytical TDHF second derivatives of dynamic electronic polarizability with respect to nuclear coordinates. Application to the dynamic ZPVA correction."  
O.Quinet, B.Champagne, B.Kirtman  
J.Comput.Chem. 22, 1920-1932(2001).

"Analytical time-dependent Hartree-Fock schemes for the evaluation of the hyper-Raman intensities"  
O.Quinet, B.Champagne J.Chem.Phys. 117, 2481-2488(2002).  
errata: JCP 118, 5692(2003)

"Analytical time-dependent Hartree-Fock evaluation of the dynamically zero-point averaged (ZPVA) first hyperpolarizability"  
O.Quinet, B.Kirtman, B.Champagne  
J.Chem.Phys. 118, 505-513(2003).

#### Computer quirks:

1. This package uses file numbers 201, 202, ... but some compilers (chiefly g77) may not support unit numbers above 99. The remedy is to use a different computer or compiler.

2. If you experience trouble running this package under AIX, degrade the optimization of subroutine JDDFCK in hss2b.src, by placing this line  
@PROCESS OPT(2)  
immediately before JDDFCK, recompile hss2b, and relink.

=====

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**\$EFRAG** group

(optional)

The Effective Fragment Potential (EFP) is a potential extracted from rigorous quantum mechanics, permitting the treatment of solvent molecules (or other types of subsystems) with a potential. There are two models, EFP1 and EFP2, with more accurate physics in the latter. For more information, see chapter 4 of this manual.

EFP1 calculations are typically limited to a QM system with water molecules, the latter modeled by RHF-based or DFT-based potentials which are built into the program. The following EFP1/QM calculations are possible:

QM/EFP1		method1	method2	SCF
RHF (and DFT)	gradient			x
UHF (and DFT)	gradient			x
ROHF (and DFT)	gradient			x
MP2(RHF/UHF/ROHF)	gradient	x	x	
CCSD	energy	x	x	
CCSD(T)	energy	x		
CR-CCL	energy	x		
EOM-CCSD	energy	x	x	
CR-EOML	energy	x		
CITYP=CIS (only)	gradient	x	x	
TDDFT(RHF)	gradient	x	x	
GVB	gradient			x
MCSCF	gradient			x

Here, SCF means the QM calculation and the EFP particle's polarizability terms are made fully self-consistent. Otherwise, the QM density felt by the EFP particles is that of the reference (ground) state, termed "method 1". A more accurate and detailed energy calculation is possible when the QM's density is available for a specific correlation treatment and/or a specific excited state. Such "method 2" calculations are available only for RUNTYP=QMEFPEA. The "method 1" calculations can be used for any relevant run type using the energy or analytic nuclear gradients, as indicated. For example, after MP2 geometry optimization, numerical differentiation can produce solvated MP2-level frequencies.

EFP2 calculations should use COORD=FRAGONLY at the present time, as the QM/EFP2 interaction terms are



currently under active development. The programming for EFP2/EFP2 interactions is completed. See RUNTYP=MAKEFP to create EFP2 potentials.

In most cases, the entire EFP1, QM/EFP1, or EFP2 system can be embedded in a PCM continuum (see \$PCM).

This group gives the name and position of one or more effective fragment potentials. It consists of a series of free format card images, which may not be combined onto a single line! The position of a fragment is defined by giving any three points within the fragment, relative to the ab initio system defined in \$DATA, since the effective fragments have a frozen internal geometry. All other atoms within the fragment are defined by information in the \$FRAGNAME input group.

-----  
-1- a line containing one or more of these options:

If you choose more options than are able to be fit on a single 80 character line, type an > character to continue onto the next line.

If you do not choose any of these options, input a blank line to accept defaults.

COORD	=CART	selects use of Cartesians coords to define the fragment position at line -3-. (default)
	=INT	selects use of Z-matrix internal coordinates at line -3-.
POLMETHD	=SCF	indicates the induced dipole for each fragment due to the ab initio electric field and other fragment fields is updated only once during each SCF iteration.
	=FRGSCF	requests microiterations during each SCF iteration to make induced dipoles due to ab initio and other fragment fields self consistent among the fragments. (default)
		Both methods converge to the same

dipolar interaction.

POSITION=OPTIMIZE	Allows full optimization within the ab initio part, and optimization of the rotational and translational motions of each fragment. (default)
=FIXED	Allows full optimization of the ab initio system, but freezes the position of the fragments. This makes sense only with two or more fragments, as what is frozen is the fragments' relative orientation. FIXED may be used with RUNTYP being OPTIMIZE, SADPOINT, HESSIAN and IRC.
=EFOPT	the same as OPTIMIZE, but if the fragment gradient is large, up to 5 geometry steps in which only the fragments move may occur, before the geometry of the ab initio piece is relaxed. This may save time by reusing the two electron integrals for the ab initio system.
NBUFFMO = n	First n orbitals in the MO matrix are deemed to belong to the QM/MM buffer and will be excluded from the interaction with the EFP region. This makes sense only if these first MOs are frozen via the \$MOFRZ.

The next few inputs apply periodic boundary conditions, which is only possible if the system contains only EFP particles, with no ab initio atoms. The default is to use the minimum image convention, for all terms in the potentials, but see also the \$EWALD input group in order to perform the long range electrostatic interactions in a more accurate manner. You may choose no more than one of the possible sets of cutoffs, with the switching function SWR1/SWR2 being the most physically reasonable.

XBOX, YBOX, ZBOX = dimensions of the periodic box, which must be given in Angstroms. If these sizes are omitted, the simulation is an isolated cluster.

SWR1, SWR2 = distance cutoffs for the switching function that gradually drops the interactions from full strength at SWR1 to zero at SWR2. Choose  $SWR2 \leq \min(XBOX/2, YBOX/2, ZBOX/2)$  and  $SWR1 \leq SWR2$  (typically 80%), to cut off interactions within a single box. In Angstrom

RCUT a radial cutoff, implemented as a step function, which should be chosen like SWR2. In Angstrom

XCUT, YCUT, ZCUT = cutoffs (as step functions) beyond which effective fragment potential interactions are not computed,  $XCUT \leq XBOX/2$ , etc. Angstroms

For a simulation of 64 CCl<sub>4</sub> molecules, PBC input might be  
xbox=21.77 ybox=21.77 zbox=21.77 swr1=8.0 swr2=10.0  
Box sizes are typically chosen to give a correct value for the density of the system.

The following turn off selected terms in the potentials, even if data for the term is found in the various \$FRAGNAME input groups. These keywords are standalone strings, without a value assigned to them. They allow data from potentials generated by MAKEFP runs to be kept in the \$FRAGNAME, for possible future use. The first two are of interest in production runs, while the others are primarily meant for debugging purposes, as the latter terms are normally quite large.

NOCHTR = switch off charge transfer in EFP2  
NODISP = switch off dispersion in EFP2  
NOEXREP = switch off exchange repulsion (EFP1/EFP2)  
NOPOL = switch off polarization (implies NOPSCR)  
NOPSCR = switch off polarization screening, only

The following parameters are related to screening of some terms in the potentials, when fragments are at close distances. Note that they are relevant only to EFP2 runs. Prior to May 2009, the defaults were

ISCRELEC=0 ISCRPOL=0 ISCRDISP=0

at which time the defaults were changed to

ISCRELEC=0 ISCRPOL=1 ISCRDISP=1

If you need to reproduce results or continue an ongoing set of computations, simply input the old defaults.

ISCRELEC = fragment-fragment electrostatic screening,  
a correction for "charge penetration":  

$$E(\text{elec}) = E(\text{multipoles}) + E(\text{chg.pen.})$$
 = 0 damping by various formulae is controlled  
by SCREEN1, SCREEN2, or SCREEN3 input  
sections in the \$FRAGNAME input(s). If  
none are found, there will be no charge  
penetration screening of electrostatics.  
(default)  
 = 1 use an overlap based damping correction  

$$E(\text{chg.pen.}) = -2(S^{**2}/R)/\text{sqrt}(-2\ln|S|)$$
 to the classical multipole energy. Since  
the overlap integrals used here, as well as  
in ISCRDISP must be evaluated as part of  
the exchange repulsion energy, there is  
essentially no overhead for selecting this.

ISCRPOL = fragment-fragment polarization screening.  
 = 0 damping is controlled by POLSCR sections in  
the \$FRAGNAME inputs. If not found, there  
will be no screening. If POLSCR is found,  
you must also use ISCRELEC=0 and SCREEN3.  
 = 1 damping will use a Tang-Toennis style  
Gaussian formula,  

$$(1 - \exp(aR^{**2}))(1 + aR^{**2})$$
 where the default value of  $a=0.6$ . In order  
to change the 'a' parameter, give  
 POLAB <a's value>  
 STOP  
 in the \$FRAGNAME input. A smaller value  
may be useful for ionic EFPs. (default)

ISCRDISP = fragment-fragment dispersion screening  
 = 0 Use Tang-Toennies damping, with a fixed  
parameter  $a=1.5$ .  
 = 1 use an overlap based damping factor,  

$$1 - S^{**2}(1 - 2\ln|S| + 2\ln^{**2}|S|)$$
 instead. There is no parameterization, so  
there's no other input. (default)

It is possible to choose ISCRELEC, ISCRPOL, and ISCRDISP independently, as they apply to distinct parts of the fragment-fragment effective potential, and apart from POLSCR/SCREEN3, are independently implemented.

FRCPNT        this keyword activates decomposing and printing the forces at the desired points in the EFP fragments, in addition to the traditional summing of the forces at the fragments' center-of-masses. This is useful for coarse graining the EFP data. If this option is selected, FORCE POINT section(s) must be given in the \$FRAGNAME input(s).

The following keywords are for use with the EFP2-AI (a.k.a. EFP2-QM) dispersion calculation, that is, the calculation of the dispersion energy in a mixed system containing one or more EFP2 fragment(s) and a molecule modeled with a fully ab initio method (e.g. Hartree-Fock).

QMDISP        specify whether to perform the calculation of EFP2-AI dispersion  
= 0        do not calculate dispersion, even if both an EFP2 fragment and an ab initio part are present (default)  
= 1        perform the EFP2-AI dispersion calculation

ISCRQMDS     specify type of screening to use with EFP2-AI damping  
=-1        turn off damping (for debugging or benchmark comparison purposes)  
= 0        use Tang-Toennies damping, with a fixed parameter  $a=1.5$   
= 1        use a parameter-free, overlap-based damping factor,  $1-S^{*2}(1-2\ln|S|+2\ln^{*2}|S|)$  (default)

NODSGRD      skip calculation of the EFP2-AI dispersion gradient, even if a gradient calculation is specified with RUNTYP=GRADIENT

Note that localized orbitals are necessary for the dispersion energy calculation. Boys localization will be performed by default if QMDISP=1 is specified, with no additional input keywords necessary. An alternate

-----

---

NAME = the name of a fragment point. The name used here must match one of the points in \$FRAGNAME.

For the internally stored H2ORHF and H2ODFT potential, the atom names are O1, H2, and H3.

X, Y, Z = Cartesian coordinates defining the position of this fragment point RELATIVE TO THE COORDINATE ORIGIN used in \$DATA. The choice of units is controlled by UNITS in \$CONTRL.

I, DISTANCE, J, BEND, K, TORSION = the usual Z-matrix connectivity internal coordinate definition. The atoms I, J, K must be atoms in the ab initio system from in \$DATA, or fragment points already defined in the current fragment or previously defined fragments.

If COORD=INT, line -3- must be given a total of three times to define this fragment's position.

If COORD=CART, line -3- must be given three times, which is sufficient to orient the rigid EFP particle. However, it is good form to read in any remaining nuclei in the EFP, for example all 12 atoms in a benzene EFP, although only the first three lines determine the entire EFP's position, whenever you have the data for the extra nuclei.

-----  
Repeat lines -2- and -3- to enter as many fragments as you desire, and then end the group with a \$END line.

Note that it is quite typical to repeat the same fragment name at line -2-, to use the same type of fragment system at many different positions.

=====

\* \* \* \* \*

For tips on effective fragment potentials  
see the 'further information' section

\* \* \* \* \*

=====

## **\$FRAGNAME** group

(required for each FRAGNAME given in \$EFRAG)

This group gives all pertinent information for a given Effective Fragment Potential (EFP). This information falls into three categories, with the first two shared by the EFP1 and EFP2 models:

    electrostatics (distributed multipoles, screening)

    polarizability (distributed dipole polarizabilities)

The EFP1 model contains one final term,

    fitted exchange repulsion

whereas the EFP2 model contains a collection of terms,

    exchange repulsion, dispersion, charge transfer...

An Effective Fragment Potential is input using several different subgroups. Each subgroup is specified by a particular name, and is terminated by the word STOP. You may omit any of the subgroups to omit that term from the EFP. All values are given in atomic units.

To input monopoles,	follow input sequence -EM-
To input dipoles,	follow input sequence -ED-
To input quadrupoles,	follow input sequence -EQ-
To input octopoles,	follow input sequence -EO-
To input electrostatic screening,	follow input seq. -ES-
To input polarizable points,	follow input sequence -P-
To input polarizability screening,	follow input seq. -PS-
To input fitted "repulsion",	follow input sequence -R-
To input Pauli exchange,	follow input sequence -PE-
To input dispersion,	follow input sequence -D-
To input charge transfer,	follow input sequence -CT-

The data contained in a \$FRAGNAME is normally generated by performing a RUNTYP=MAKEFP using a normal \$DATA's ab initio computation on the desired solvent molecule. A MAKEFP run will generate all terms for an EFP2 potential, including multipole screening parameters. The screening option is controlled by \$DAMP and \$DAMPGS input, and by you checking the final fitting parameters for reasonableness.

Note that the ability to fit the "repulsion" term in an EFP1 potential is not included in GAMESS, meaning that EFP1 computations normally use built-in EFP1 water potentials.



-----  
-1- a single descriptive title card  
-----

-2- COORDINATES

COORDINATES signals the start of the subgroup containing the multipolar expansion terms (charges, dipoles, ...). Optionally, one can also give the coordinates of the polarizable points, or centers of exchange repulsion.

-3- NAME, X, Y, Z, WEIGHT, ZNUC

NAME is a unique string identifying the point.

X, Y, Z are the Cartesian coordinates of the point, and must be in Bohr units.

WEIGHT, ZNUC are the atomic mass and nuclear charge, and should be given as zero only for points which are not nuclei.

In EFP1 potentials, the true nuclei will appear twice, once for defining the positive nuclear charge and its screening, and a second time for defining the electronic distributed multipoles.

Repeat line -3- for each expansion point, and terminate the list with a "STOP".  
-----

Note: the multipole expansion produced by RUNTYP=MAKEFP comes from Stone's distributed multipole analysis (DMA). An alternative expansion, from a density based multipole expansion (DBME) performed on an adaptive grid is placed in the job's PUNCH file. This alternative multipole expansion may be preferable if large basis sets are in use (the DMA expansion is basis set sensitive). The DBME values can be inserted in place of the DMA values, for -EM-, -ED, -EQ-, and -EO- sections, if you wish. Experience suggests that DBME multipoles are about as accurate as those obtained using DMA.

-EM1- MONOPOLES

MONOPOLES signals the start of the subgroup containing

the electronic and nuclear monopoles.

-EM2- NAME, CHARGE1, CHARGE2

NAME must match one given in the COORDINATES subgroup.

CHARGE1 = electronic monopole at this point.

CHARGE2 = nuclear monopole at this point. Omit or enter zero if this is a bond midpoint or some other expansion point that is not a nucleus.

Repeat -EM2- to define all desired charges.

Terminate this subgroup with a "STOP".

-----  
-ED1- DIPOLES

DIPOLES signals the start of the subgroup containing the dipolar part of the multipolar expansion.

-ED2- NAME, MUX, MUY, MUZ

NAME must match one given in the COORDINATES subgroup.

MUX, MUY, MUZ are the components of the electronic dipole.

Repeat -ED2- to define all desired dipoles.

Terminate this subgroup with a "STOP".

-----  
-EQ1- QUADRUPOLES

QUADRUPOLES signals the start of the subgroup containing the quadrupolar part of the multipolar expansion.

-EQ2- NAME, XX, YY, ZZ, XY, XZ, YZ

NAME must match one given in the COORDINATES subgroup.

XX, YY, ZZ, XY, XZ, and YZ are the components of the electronic quadrupole moment.

Repeat -EQ2- to define all desired quadrupoles.

Terminate this subgroup with a "STOP".

-----  
-E01- OCTUPOLES (note: OCTOPOLES is misspelled)

OCTUPOLES signals the start of the subgroup containing the octupolar part of the multipolar expansion.

-E02- NAME, XXX, YYY, ZZZ, XXY, XXZ,  
      YYY, YYZ, XZZ, YZZ, XYZ

NAME must match one given in the COORDINATES subgroup.  
XXX, ... are the components of the electronic octopole.

Repeat -E02- to define all desired octopoles.  
Terminate this subgroup with a "STOP".

-----

-ES1a- SCREEN

SCREEN signals the start of the subgroup containing  
Gaussian screening ( $A \cdot \exp[-B \cdot r^2]$ ) for the distributed  
multipoles, which account for charge penetration effects.

SCREEN pertains to ab initio-EFP multipole interactions, in  
contrast to the SCREENx groups defined just below for EFP-  
EFP interactions.

-ES1b- NAME, A, B

NAME must match one given in the COORDINATES subgroup.  
A, B are the parameters of the Gaussian screening term.

Repeat -ES1b- to define all desired screening points.  
Terminate this subgroup with a "STOP".

-----

note: SCREENx input (any x) is only obeyed if ISCRELEC=0.  
      SCREENx input will be ignored if ISCRELEC=1.

One (and only one) of the following groups should appear to  
define the EFP-EFP multipole screening:

-ES2a- SCREEN1 or SCREEN2 or SCREEN3

SCREEN1 signals the start of the subgroup containing  
Gaussian screening ( $A \cdot \exp[-B \cdot r^2]$ ) for the distributed  
multipoles, which account for charge-charge penetration  
effects.

SCREEN2 signals the start of the subgroup containing  
exponential screening ( $A \cdot \exp[-B \cdot r]$ ) for the distributed

multipoles, which account for charge-charge penetration effects. This is often the EFP-EFP screening of choice.

SCREEN3 signals the start of the subgroup containing the screening terms ( $A \cdot \exp[-B \cdot r]$ ) for the distributed multipoles, which account for high-order penetration effects (higher terms means charge-charge, as for SCREEN1 or SCREEN2, but also charge-dipole, charge-quadrupole, and dipole-dipole and dipole-quadrupole terms).

-ES2b- NAME, A, B

NAME must match one given in the COORDINATES subgroup. A, B are the parameters of the exponential screening term.

Repeat -ES2b- to define all desired screening points. Terminate this subgroup with a "STOP".

-----

-P1- POLARIZABLE POINTS

POLARIZABLE POINTS signals the start of the subgroup containing the distributed dipole polarizability tensors, and their coordinates. This subgroup allows the computation of the polarization energy.

-P2- NAME, X, Y, Z

NAME gives a unique identifier to the location of this polarizability tensor. It might match one of the points already defined in the COORDINATES subgroup, but often does not. Typically the distributed polarizability tensors are located at the centroids of localized MOs.

X, Y, Z are the coordinates of the polarizability point. They should be omitted if NAME did appear in COORDINATES. The units are controlled by UNITS= in \$CONTRL.

-P3- XX, YY, ZZ, XY, XZ, YZ, YX, ZX, ZY

XX, ... are components of the distributed polarizability, which is not a symmetric tensor. XY means  $d\text{MU}_x/dF_y$ , where  $\text{MU}_x$  is a dipole component, and  $F_y$  is a component of an applied field.

Repeat -P2- and -P3- to define all desired polarizability tensors, and terminate this subgroup with a "STOP".

-----

-PS1- POLSCR

This section must not be given if ISCRPOL=1. If not given, when ISCRPOL=0, no polarization screening is performed.

POLSCR signals the start of the subgroup containing the screening (by  $\exp[-B*r]$ ) for the induced dipoles. It pertains only to EFP-EFP interactions. It requires that you be using SCREEN3 damping of the multipole-multipole interactions! It applies to charge/induced dipole, dipole/induced dipole, quadrupole/induced dipole, and induced dipole/induced dipole terms.

-PS2- NAME, B

NAME must match one of the distributed dipole points given in the POLARIZABLE subgroup.

B is the exponent of the exponential screening term, and a typical value is about 1.5.

Repeat -PS2- to define all desired screening points. Terminate this subgroup with a "STOP".

-----

FORCE POINT

This section controls coarse graining of the gradient, if FRCPNT is selected in \$EFRAG. The input consists of the coordinates of the desired points:

COM x y z

FP1 x y z

FP2 x y x

...

STOP

where x,y,z are the coordinates of center of mass (COM) and also any desired "force points" FP1, FP2, ...

Terminate this subgroup with a "STOP".

-----

## EFP1 versus EFP2

The EFP1 model consists of a fitted potential, which is a remainder term, after taking care of electrostatics and polarization with the input described above. The fitted term is called a "repulsive potential" because its largest contribution stems from Pauli exchange repulsion. The fit actually contains several other interactions, since it is just a fit to the total interaction potential's remainder after subtracting the electrostatic and polarization interactions.

The EFP2 model uses analytic representations for exchange repulsion and other terms, and these are documented after the EFP1's "repulsive potential".

-----

## -R1- REPULSIVE POTENTIAL

See also the \$FRGRPL input group, which defines the fit for the EFP1-EFP1 repulsion term.

REPULSIVE POTENTIAL signals the start of the subgroup containing the fitted exchange repulsion potential, for the interaction between the fragment and the ab initio part of the system. This term also accounts, in part, for other effects, since it is a fit to a remainder. The fitted potential has the form

$$\sum_i^N C_i \exp[-D_i * r^{**2}]$$

## -R2- NAME, X, Y, Z, N

NAME may match one given in the COORDINATES subgroup, but need not. If NAME does not match one of the known points, you must give its coordinates X, Y, and Z, otherwise omit these three values. N is the total number of terms in the fitted repulsive potential.

-R3- C, D

These two values define the i-th term in the repulsive potential. Repeat line -R3- for all N terms.

Repeat -R2- and -R3- to define all desired repulsive potentials, and terminate this subgroup with a "STOP".

-----

The following terms are part of the developing EFP2 model. This model replaces the "kitchen sink" fitted repulsion in the EFP1 model by analytic formulae. These formulae are to be specific for each kind of physical interaction, and to pertain to any solvent, not just water. The terms which are programmed so far are given below.

-----

-PE1- PROJECTION BASIS SET  
-PE2- PROJECTION WAVEFUNCTION n m  
-PE3- FOCK MATRIX ELEMENTS  
-PE4- LMO CENTROIDS

These four sections contain the data needed to compute the Pauli exchange repulsion, namely

1. the original basis set used to extract the potential.
2. the localized orbitals, expanded in that basis.
3. the Fock matrix, in the localized orbital basis.
4. the coordinates of the center of each localized orb.

The information generated by a MAKEFP that follows these four strings is largely self explanatory. Note, however, that the orbitals (PE2) must have two integers giving the number of occupied orbitals -n- and the size of the basis set -m-. The PE2 and PE3 subsections do not contain STOP lines.

-----

-D1- DYNAMIC POLARIZABLE POINTS

DYNAMIC POLARIZABLE POINTS signals the start of the subgroup containing the distributed imaginary frequency dipole polarizability tensors, and their coordinates. This information permits the computation of dispersion energies.

-D2- NAME, X, Y, Z

NAME gives a unique identifier to the location of this polarizability tensor. It might match one of the points already defined in the COORDINATES subgroup, but often does not. Typically the distributed polarizability tensors are located at the centroids of localized MOs.

X, Y, Z are the coordinates of the polarizability point. They should be omitted if NAME did appear in COORDINATES. The units are controlled by UNITS= in \$CONTRL.

-D3- XX, YY, ZZ, XY, XZ, YZ, YX, ZX, ZY

XX, ... are components of the distributed polarizability, which is not a symmetric tensor. XY means  $d\text{MUX}/dF_y$ , where MUX is a dipole component, and  $F_y$  is a component of an applied field.

Repeat -D2- and -D3- to define all desired polarizability tensors, and then repeat for all desired imaginary frequencies. MAKEFP jobs use 12 imaginary frequencies at certain internally stored values, to enable quadrature of these tensors, to form the C6 dispersion coefficient. Thus D2 and D3 input is repeated 12 times. Terminate this subgroup with a "STOP".

-----

-CT1- CTVEC n m

-CT2- CTFOK

These two sections contain the data needed to compute the charge transfer energy, namely

1. the canonical occupied orbitals, followed by either valence virtuals or canonical virtuals, depending on CTVVO's setting during the MAKEFP run. These MOs are expanded in the -PE1- basis.

2. the occupied orbitals' eigenvalues.

The information generated by a MAKEFP that follows these two strings is largely self explanatory. The MO and AO sizes given by -n- and -m- have the same meaning as for the -PE2- group. The CTVEC info does not have a STOP line, but CTFOK does.

-----



The EFP2 model presently can generate the energy for a system with an ab initio molecule and EFP2 solvents, if only Pauli exchange repulsion is used. The AI-EFP gradient for this term is not yet programmed, nor are there AI-EFP codes for dispersion or charge transfer. Thus use of the EFP2 model, for all practical purposes, is limited to EFP-EFP interactions only, via COORD=FRAGONLY.

=====

The \$FRAGNAME input group is terminated by a " \$END".

=====

## \$FRGRPL group

This group defines the inter-fragment repulsive potential for EFP1 potentials. It accounts primarily for exchange repulsions, but also includes charge transfer. Note that the functional form used for the fragment-fragment repulsion differs from that used for the ab initio-fragment repulsion, which is defined in the \$FRAGNAME input. The form of the potential is

$$\sum_i^N A_i \exp[-B_i r]$$

-----

-1- PAIR=FRAG1 FRAG2

specifies which two fragment repulsions are being defined. \$FRAGNAME input for the two names FRAG1 and FRAG2 must have been given.

-----

-2- NAME1 NAME2 A B  
       \*or\*  
       NAME1 NAME2 'EQ' NAME3 NAME4

NAME1 must be one of the "NAME" points defined in the \$FRAG1 group's REPULSION POTENTIAL section. Similarly NAME2 must be a point from the \$FRAG2 group. In addition, NAME1 or NAME2 could be the keyword CENTER, indicating the center of mass of the fragment.

A and B are the parameters of the fitted repulsive potential.

The second form of the input allows equal potential fits to be used. The syntax implies that the potential between the points NAME1 and NAME2 should be taken the same as the potential previously given in this group for the pair of points NAME3 and NAME4.

If there are NPT1 points in FRAG1, and NPT2 points in FRAG2, input line -2- should be repeated NPT1\*NPT2 times.

Terminate the pairs of potentials with a "STOP" card.  
Any pairs which you omit will be set to zero interaction.

Typically the number of points on which fitted potentials  
might be taken to be all the nuclei in a fragment, plus  
the center of mass.

-----

Repeat lines -1- and -2- for all pairs of fragments, then  
terminate the group with a \$END line.

=====

=====

**\$EWALD** group                      (relevant for all-EFP runs with PBC)

This group controls evaluation of the electrostatic energy of EFP calculations by means of the Ewald sum formulae. This gives a more accurate evaluation of these long range interactions than the minimum image convention, which sums only up to a distance of one box, centered on each particle. Ewald sum formulae are not used for the other, shorter range interactions in the EFP model, such as exchange repulsion and polarization, which are always evaluated by the minimum image convention. This group is relevant if and only if a periodic box is defined in the \$EFRAG input group.

IFEWLD = a flag to activate Ewald sums for electrostatics  
The default is .FALSE.

LEVEL = 1 means Ewald sum charge-charge interactions only,  
          which is the default if IFEWLD is turned on.  
      = 2 charge-charge, charge-dipole, dipole-dipole  
      = 3 charge-charge, charge-dipole, dipole-dipole, and  
          charge-quadrupole terms should be Ewald summed.

TNFOIL = a flag to select tin foil boundary conditions,  
          which uses a metallic continuum past the cutoffs,  
          instead of a vacuum. The default is .TRUE.

BETA = parameter for the direct summation, in 1/Bohr.  
      It should be 1.7/cutoff. Cutoffs are specified  
      in \$EFRAG, with the periodic box sizes, use a  
      cutoff in units Angstrom in this formula, as the  
      value 1.7 includes the conversion factor.  
      The default=0.2

KMAX = number of reciprocal vectors in each direction.  
      This should be  $k_{\max} \geq 3.2L/\text{cutoff}$ , where the  
      radial cutoff, and box side L are both given in  
      your \$EFRAG. The default=10

=====

=====

**\$MAKEFP** group (relevant if RUNTYP=MAKEFP)

This group controls generation of the effective fragment potential (EFP2 style) from the wavefunction of a single monomer. EFP generation is allowed for SCFTYP=RHF and ROHF. Multipole moments for electrostatics are always generated, and the default for the keywords below is to generate all additional terms.

FRAG = a string of up to 8 letters to identify this EFP.  
For example, WATER or BENZENE or CH3OH or ...  
(default=FRAGNAME, which you can hand edit later)

SCREEN = a flag to generate screening information for the multipole electrostatics, and maybe polarizability screening. See \$DAMP and \$DAMPGS.  
(default=.TRUE. for RHF, so far ROHF is not coded)

POL = a flag to generate dipole polarizabilities.  
(default=.TRUE.)

See POLNUM in \$LOCAL for an alternative way to generate the polarizabilities, which may be faster for large molecules.

EXREP = a flag to generate exchange repulsion parameters.  
(default=.TRUE.)

CHTR = a flag to generate charge transfer parameters.  
(default=.TRUE. for RHF, so far ROHF is not coded)

CTVVO = a flag to specify what type of charge transfer data is generated. (default=.TRUE.)  
.FALSE. means all canonical virtuals are used.  
.TRUE. means Valence Virtual Orbitals will be created, by forcing VVOS in \$SCF is forced on.  
The VVOs are many fewer in number, so the charge transfer calculation is greatly accelerated.

DISP = a flag to generate information for dispersion.  
(default=.TRUE. for RHF, so far ROHF is not coded)

See also similar inputs NOPOL, NOEXREP, NOCHTR, NODISP in the \$EFRAG input group, to ignore these terms if they are generated.

=====

=====

**\$PRTEFP** group (optional)

This group provides control for generating integer charge EFP fragments for constructing large EFPs. See P.A.Molina, H.Li, J.H.Jensen J.Comput.Chem. 24, 1971-1979 (2003)

This group is mainly used in RUNTYP=MAKEFP runs. However, in MOPAC RUNTYP=ENERGY runs, the presence of a \$PRTEFP group causes AM1 or PM3 charges to be printed and punched out in a suitable format for EFP calculations.

NOPRT = an array specifying the atoms for which EFP multipole and polarizability points will not be printed/punched out.  
Example: For a molecule with the connectivity A1-A2-A3-A4-A5, NOPRT(1)=4,5 means that multipoles centered on atoms 4 and 5, and bond midpoints B034 and B045 are not part of the EFP.

MIDPRT = an array specifying atoms whose bond midpoints neglected by using NOPRT should be printed out.  
Example: MIDPRT(1)=3 forces the printout of bond midpoint B034.

The neglect of monopoles leads to EFPs with overall non-integer charge. The next keyword defines "collection points" to which the removed monopoles are added. Thus, the net charge of the EFP=ICHARG. The presence of this "fictitious" charge is compensated for by adding an opposing dipole to the collection point.

NUMFFD = an array that defines (1) a collection point, (2) the number of atoms contributing to monopoles to this point, and (3) the numbers of the atoms. More than one collection point can be defined. An opposing dipole is calculated as  $-0.5Q \cdot r$  ( $Q$  = sum of neglected monopoles,  $r$  = distance between collection point and nearest neglected monopole) and placed at the collection point.

Example: NUMFFD(1)=3,2,4,5. The sum of monopoles

at A4, A5, B034 and B045 (Q) is added to the A3 monopole. A dipole,  $-0.5Q*r$ , is placed on A3, where  $r$  is the distance between A3 and B034. If MIDPRT(1)=3, Q does not include the B034 monopole,  $r$  is the distance between B034 and A4, and the resulting dipole is centered on B034.

=====



=====

**\$DAMP** group            (optional, relevant if RUNTYP=MAKEFP)

This group provides control over the screening of the charge term in the distributed multipole expansion used by the EFP model for electrostatic interactions, to account for charge penetration. See

M.A.Freitag, M.S.Gordon, J.H.Jensen, W.A.Stevens  
J.Chem.Phys. 112, 7300-7306(2000)

L.V.Slipchenko, M.S.Gordon  
J.Comput.Chem. 28, 276-291(2007)

The screening exponents are optimized by fitting a damped multipolar electrostatic potential to the actual quantum mechanical potential of the wavefunction, computed on concentric layers of united spheres (namely, "GEODESIC" layers for WHERE=PDC in \$ELPOT). See \$STONE's generation of the unscreened classical multipoles, \$PDC's generation of the true quantum potential, and \$DAMPGS.

Different multipole damping functions can be generated. The first contains a single exponential form,

$$(1 - \beta \exp(-\alpha r))$$

and the second function is a single Gaussian form,

$$(1 - \beta \exp(-\alpha r^2))$$

The exponent 'alpha' values are optimized (normally with  $\beta=1$ ), with starting values defined in \$DAMPGS. The exponential fit is used for fragment-fragment charge penetration screening, while the Gaussian fit is used in ab initio-fragment screening. See equations 28 and 4 in the reference. These two screen only the charge-charge interactions.

It is also possible to generate a "higher order exponential" screening term, meaning that in addition to the charge-charge energy, also affects charge-dipole, charge-quadrupole, and dipole-dipole energy terms.

Words of advice:

1. Higher order screening is usually similar in accuracy to just charge-charge screening, except in molecules without dipole moment, such as ethylene or benzene.

2. If the bond midpoints have smaller charges, it may be more physically reasonable to screen only the atomic monopoles, see ISCCHG.
3. Use of the numerical Stone distributed multipole analysis may not be fully converged with respect to the level of highest used multipole moment (octapole) and corresponding energy terms (quadrupole-quadrupole), which makes screening much more problematic.
4. Accuracy of screening with the damping function of a single exponential form depends on a region of fitting the quantum mechanical electrostatic potential, i.e., a radius of first sphere with grid points (parameter VDWSCL in \$PDC). A general trend is that for molecules with stronger electrostatic interaction, and, consequently, shorter intermolecular separations, e.g., methanol and water, smaller values of VDWSCL are preferable, whereas for weaker interacting molecules, e.g., dichloromethane and acetone, bigger VDWSCL values are more acceptable. Our recommended VDWSCL values are 0.4-0.5 for methanol, 0.5-0.8 for water, and 0.7-0.9 for weaker bonded molecules. Note that VDWSCL values of 1.0 and higher often result in not converged or badly converged damping parameters, and are not recommended. The default VDWSCL value is 0.7.
5. If the non-linear parameters alpha increase to 10, that term is effectively removed from the screening. This happens sometimes with buried atoms, and fairly often with bond mid-points.
6. Double check the numerical results carefully.

ISCCHG = 0 use both atoms and bond midpoints as screening centers (the default)

1 use only atoms as screening centers

IFTTYP = selects the type of multipole screening fit:

0 means generate a Gaussian fit, for use as SCREEN input in \$FRAGNAME.

2 means generate an exponential charge-charge fit, for use as SCREEN2 input in \$FRAGNAME.

3 means generate an exponential higher order fit, for use as SCREEN3 input in \$FRAGNAME.

If you wish to use Gaussian screening for EFP-EFP, simply copy the SCREEN output into a SCREEN1 section.

IFTFIX = 0 means the coefficients in the fit (beta) are free parameters

1 means the coefficients are held to unity.  
In case the linear coefficients become large, and particularly if they are negative, a fit with unit coefficients is more reasonable.

The default is to do both fits in one run, IFTTYP(1)=2,0, using unit coefficients, IFTFIX(1)=1,1.

The remaining parameters are seldom given:

NMAIN = the number of centers to receive a smaller alpha initial value, 2.0, which defaults to the number of atoms. The remaining centers, usually the bond midpoints, receive a larger starting value, 4.0. \$DAMPGS gives more control of the values.

MAXIT = maximum iterations in the fit, default=30.

THRSH = printing threshold for large deviations. The default is 100.0 kcal/mol.

=====

=====

**\$DAMPGS** group (relevant if \$DAMP was given)

This is a free-format, line by line input group that sets the initial values damping functions used to screen the multipole expansion. A check run may be helpful in listing the names of the expansion points that are chosen by MAKEFP jobs. Very often the input group contains only type -1- lines, and only in its second form.

-----

```
-1-      <exp.pt.> <nterms>
      or   <exp.pt.>=<prev.exp.pt.>
```

This line gives the name of the expansion point, and how many terms are in the damping function (always 1 at present). The second form of this line lets you equate the current point to some previous point's values in \$DAMPGS, skipping line -2-.

-----

```
-2-   <coef> <exponent>
```

The linear coefficient (usually 1.0) and exponent of this term in the damping function. Repeat -2- <nterms> times. If not given, the starting exponent for atoms is 2.0, and for bond midpoints, 4.0.

-----

An example, for water, enforcing equivalent points, is:

\$dampgs	or much more simply,
01 1	since the left is default exponents,
1.0 2.0	\$dampgs
H2 1	H3=H2
1.0 2.0	B031=B021
H3=H2	\$end
B021 1	
1.0 4.0	
B031=B021	The "B0" is short for bond midpoint.
\$end	

=====

=====

**\$PCM** group (optional)

This group controls solvent effect computations using the Polarizable Continuum Model. If this group is found in the input file, a PCM computation is performed. The default calculation, chosen by selecting only the SOLVNT keyword, is to compute the electrostatic free energy.

Appropriate numerical constants are provided for a wide range of solvents. Typical input might be as simple as

```
$PCM SOLVNT=H2O $END
```

There is in fact little need to give other PCM input data, except perhaps atomic radii in \$PCMCAV if your molecule contains an unusual atom.

Additional keywords (ICOMP, ICAV, IDISP, or IREP/IDP) allow for more sophisticated computations, namely cavitation, repulsion, and dispersion free energies. The methodology for these is general, but numerical constants are provided only for water.

Alternatively, the PCM codes for electrostatics can be combined with U. Minnesota codes to implement the SMD solvation model. SMD combines the electrostatics with an alternative cavitation, dispersion, and solute structure reorganization (CDS) correction. Since SMD also changes the atomic radii, the electrostatics interaction is changed. See keyword SMD below (and the 4th chapter of this manual).

Calculations are possible on either a solute embedded in a PCM continuum, or a system combining a solute & EFP explicit solvent molecules, embedded in a PCM continuum. The energy and/or nuclear gradients are programmed for RHF, ROHF, UHF, GVB, and MCSCF wavefunctions, and for DFT or MP2 level calculations using RHF, ROHF, and UHF. Closed shell TD-DFT excited states have analytic gradients, as well. Polarizabilities in solution may be found by RUNTYP=TDHF. Parallel computation is enabled, with scaling similar to the scaling of the corresponding gas phase calculation. PCM is not programmed for CI or Coupled Cluster. PCM is enabled for use during semiempirical MOPAC runs.

See the Fragment Molecular Orbital section of the References chapter for information on using PCM within the FMO model.

There is additional information on PCM in the References chapter of this manual. This includes information on which keyword combinations were default values in the past.

IEF        switch to choose the type of PCM model used.  
            The default is -10, iterative C-PCM.  
= 0 isotropic dielectrics using the original  
      formulation of PCM for dielectrics (D-PCM)  
= 1 anisotropic dielectric using the Integral  
      Equation Formalism (IEF) of PCM, see \$IEFPCM  
= 2 ionic solutions using IEF-PCM, see \$IEFPCM  
= 3 isotropic dielectrics using IEF-PCM with matrix  
      inversion solver, see \$IEFPCM  
= -3 isotropic dielectric IEF-PCM with iterative  
      solver, see \$PCMITR.  
= 10 conductor-like PCM (C-PCM) with matrix  
      inversion. Charge scaling is  $(\text{Eps}-1.0)/\text{Eps}$   
=-10 C-PCM, with iterative solver. See \$PCMITR.

C-PCM is normally a better choice than IEF-PCM. The iterative solvers chosen by IEF=-3 or -10 usually reproduce the energy of the explicit solvers IEF=3 or 10 to within  $1.0 \times 10^{-8}$  Hartrees, and will be much faster and use less memory for large molecules. D-PCM should be considered obsolete, and choices 1 and 2 are seldom made.

\* \* \*

SOLVNT = keyword naming the solvent, whose choices depend on use of non-SMD or SMD models. For the former, the eight numerical constants defining the solvent are internally stored for:

WATER (or H2O)	
CH3OH	C2H5OH
CLFORM (or CHCl3)	CTCL (or CCl4)
METHYCL (or CH2Cl2)	12DCLET (or C2H4Cl2)
BENZENE (or C6H6)	TOLUENE (or C6H5CH3)
CLBENZ (or C6H5Cl)	NITMET (or CH3NO2)
NEPTANE (or C7H16)	CYCHEX (or C6H12)
ANILINE (or C6H5NH2)	ACETONE (or CH3COCH3)

TABS = the temperature, in Kelvin. (default=298.0)

-----

There are two procedures for the calculation of the repulsion and dispersion contributions to the free energy. Parameterizations were obtained for RHF cases, so the implementation permits their use only for RHF.

IDISP is older, and is incompatible with IREP and/or IDP. Nuclear gradients are available for IDISP (select either ICLAV or ILJ in \$DISREP). The older GEPOL-GB tessellation does some gradient terms numerically, which results in a less accurate gradient.

IDISP = Calculation of both dispersion and repulsion free energy through the empirical method of Floris and Tomasi.  
= 0 skip the computation (default)  
= 1 perform the computation. See \$DISREP.

The next two options add repulsive and dispersive terms to the solute hamiltonian, in a more ab initio manner, by the method of Amovilli and Mennucci. These may be used only in single point energy calculations (see IDISP if you wish to use gradients).

IREP = Calculation of repulsion free energy  
= 0 skip the computation (default)  
= 1 perform the computation. See \$NEWCAV.

IDP = Calculation of dispersion free energy  
= 0 skip the computation (default)  
= 1 perform the computation. See \$DISBS.

If IDP=1, then three additional parameters must be defined. The two solvent values correspond to water, and therefore these must be input for other solvents.

WA = solute average transition energy. This is computed from the orbital energies for RHF, but must be input for MCSCF runs.  
(default=1.10)  
WB = ionization potential of solvent, in Hartrees.  
(default=0.451)  
ETA2 = square of the zero frequency refractive index



of the solvent. (default=1.75)

--- the next 8 values define the solvent, if SOLVNT=INPUT:

RSOLV = the solvent radius, in units Angstrom  
EPS = the dielectric constant  
EPSINF = the dielectric constant at infinite frequency.  
This value must be given only for RUNTYP=TDHF,  
if the external field frequency is in the optical  
range and the solvent is polar; in this case the  
solvent response is described by the electronic  
part of its polarization. Hence the value of the  
dielectric constant to be used is that evaluated  
at infinite frequency, not the static one (EPS).  
This value also must be given for TD-DFT/PCM,  
when NONEQ is selected in \$TDDFT.  
For nonpolar solvents, the difference between  
the two is almost negligible.  
TCE = the thermal expansion coefficient, in units 1/K  
VMOL = the molar volume, in units ml/mol  
STEN = the surface tension, in units dyne/cm  
DSTEN = the thermal coefficient of log(STEN)  
CMF = the cavity microscopic coefficient

Values for TCE, VMOL, STEN, DSTEN, CMF need to be given  
only for the case ICAV=1. Input of any or all of these  
values will override an internally stored value, if you  
have chosen a solvent by its name.

\* \* \* SMD calculations \* \* \*

The Solvation Model Density (SMD) uses the solute's quantum  
mechanical density (the D in the model's name) for IEF-PCM  
or C-PCM's electrostatics. It adds "CDS" corrections for  
cavitation, dispersion, and solvent structure, all of which  
have nuclear gradient contributions coded. The SMD model's  
parameters were developed using IEF-PCM and GEPOL cavity  
construction, but SMD may also be used with the more robust  
C-PCM model and FIXPVA cavity tessellation.

SMD = a flag to select "Solvation Model Density".  
default=.FALSE. If chosen, naming the solvent  
by SOLVNT=xxx picks numerical values for the  
six SOLX keywords just below, which may then be  
omitted. The SMD model knows 178 solvents, see

chapter 4 of this manual for a listing.

SOLA = Abraham's hydrogen bond acidity  
SOLB = Abraham's hydrogen bond basicity  
SOLC = aromaticity: fraction of non-H solvent atoms  
which are aromatic Carbon atoms  
SOLG = macroscopic surface tension at the air/solvent  
interface, in units of cal/mole/angstrom\*\*2  
SOLH = halogenicity: fraction of non-H solvent atoms  
which are F, Cl, or Br  
SOLN = index of refraction at optical frequencies at  
298K, n-sub-20-super-D.

In addition to the parameters just above, SMD provides its own set of radii for each atom's sphere, so \$PCMCAV input must not be given. Of course, if you choose SMD=.TRUE., with its built in CDS correction, you must select ICOMP=ICAV=IDISP=IREP>IDP=0! See also SMVLE in \$SVP.

\* \* \*

--- interface to Fragment Molecular Orbital method:

IFMO specifies "n" for the n-body FMO expansion of the total electron density to be used in PCM.  
Default=0 should be used for any non-FMO run.  
Non-zero IFMO can be used only within the regular FMO framework (q.v. for further FMO limitations):  
IFMO should be less or equal than NBODY in \$FMO,  
Not all PCM options can be used with FMO!  
The following are explicitly permitted:  
IEF=-3,-10; ICOMP=0,1,2; MTHALL=2,4;  
IDISP=0,1; IDP=0; IREP=0,1.  
Gradient runs require ICOMP=0.  
IFMO may take the values of -1,0,1,2,3.  
For FMO, IFMO=-1 chooses PCM<1>,  
IFMO= 1 chooses PCM[1],  
IFMO= 2, NPCMIT=2 chooses PCM[1(2)],  
IFMO= 2, NPCMIT>2 chooses PCM[2],  
IFMO= 3, NPCMIT=2 chooses PCM[1(3)],  
IFMO= 3, NPCMIT>2 chooses PCM[3].  
The fully analytic gradient requires IFMO=-1 or 1.

--- the next set of keywords defines the molecular cavity,

used for electrostatic (surface charge) calculations. See also \$PCMCAV, \$TESCAV, and \$NEWCAV for other cavities.

NESFP = option for spheres forming the cavity:  
= 0 centers spheres on each nucleus in the quantum solute, and every atom in EFP. (default)  
= N use N initial sphere, whose centers XE, YE, ZE and radii RIN must be specified in \$PCMCAV.

The cavity generation algorithm may use additional spheres to smooth out sharp grooves, etc. If you are interested in smoother cavities, see the SVPE and SS(V)PE methods, which use a cavity based on isodensity surfaces. The following parameters control how many extra spheres are generated:

OMEGA and FRO = GEPOL parameters for the creation of the 'added spheres' defining the solvent accessible surface. When an excessive number of spheres is created, which may cause problems of convergence, the value of OMEGA and/or FRO must be increased. For example, OMEGA from 40 to 50 ... up to 90,  
FRO from 0.2 ... up to 0.7.  
(defaults are OMEGA=40.0, FRO=0.7)

RET = minimum radius (in Å) of the added spheres. Increasing RET decreases the number of added spheres. A value of 100.0 (default) inhibits the addition of any spheres, while 0.2 fills in many. The use of added spheres is strongly discouraged.

MODPAR = cavity generation's parallelization option:  
0 parallelize tessellation, 1= do not parallelize. The present parallel code is inefficient, so MODPAR=0 is recommended. (default=0)  
Don't confuse this with running PCM in parallel!

MXSP = the maximum number of spheres.  
Default: MXATM parameter in GAMESS.

MXTS = the maximum number of tesserae.  
Default:  $N_{\text{sph}} \times \text{NTSALL} \times 2/3$ , where  $N_{\text{sph}}$  is the number of spheres (usually equal to the number of atoms). If less than 20 spheres are present, default is  $N_{\text{sph}} \times \text{NTSALL}$ . For GEPOL-RT, NTSALL=960 is used in

setting the default value.

Note on MXSP and MXTS: PCM usually constructs more than one cavity (for example, a different one for the cavitation energy). MXSP and MXTS must be large enough to handle every possible cavity.

--- arcane parameters:

IPRINT = 0 normal printing (default)  
= 1 turns on debugging printout

IFIELD = At the end of a run, calculate the electric potential and electric field generated by the apparent surface charges.  
= 0 skip the computation (default)  
= 1 on nuclei  
= 2 on a planar grid

If IFIELD=2, the following data must be input:

AXYZ,BXYZ,CXYZ = each defines three components of the vertices of the plane where the reaction field is to be computed (in Angstroms)

A ==> higher left corner of the grid

B ==> lower left corner of the grid

C ==> higher right corner of the grid

NAB = vertical subdivision (A--B edge) of the grid

NAC = horizontal subdivision (A--C edge) of the grid.

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**\$PCMCAV** group

(optional)

This group controls generation of the cavity holding the solute during Polarizable Continuum Model runs. The cavity is a union of spheres, according to NESFP given in \$PCM. The data in this group supplements cavity data given in \$PCM. It is unlikely that users will input anything here, except perhaps a few RIN values. The data given here must be in Angstrom units.

XE,YE,ZE = arrays giving the coordinates of the spheres.

if NESFP=0, the atomic positions will be used.

if NESFP>0, you must supply NESFP values here.

RADII = three tables of values (Angstroms!) are available:

VANDW selects van der Waals radii (default)

This table has radii for atoms

H,He, B,C,N,O,F,Ne, Na,Al,Si,P,S,Cl,Ar,

K,As,Se,Br,Kr, Rb,Sb,Te,I, Cs,Bi

internally tabulated, otherwise give RIN.

= VDWEFP, similar to VANDW, except that radii not tabulated by VANDW are assigned as 1.60A.

This option is most useful for protein-EFP calculations.

= SUAHF, the simplified united atomic radii will be used for the array RIN, namely

H:0.01 C:1.77 N:1.68 O:1.59 P:2.10 S:2.10

For the other elements with Z<16, 1.50 is used.

For the elements with Z>16, 2.30 will be applied.

RIN = an array giving the sphere radii. Radii given here will overwrite the values selected by RADII's tables.

RIN values are multiplied by ALPHA, see just below.

if NESFP=0, the program will look up the internally data according to the RADII keyword.

if NESFP>0, give NESFP values.

Example: Suppose the 4th atom in your molecule is Fe, but all other atoms have van der Waals radii. You decide a good guess for Fe is twice the covalent radius: \$PCMCAV RIN(4)=2.33 \$END. Due to ALPHA, traditionally 1.2, the Fe radius will be 2.796.

The source for the van der Waals radii is "The Elements", 2nd Ed., John Emsley, Clarendon Press, Oxford, 1991, except for C,N,O where the Pisa group's experience with the best radii for PCM treatment of singly bonded C,N,O atoms is taken. The radii for a few transition metals are given by A.Bondi, J.Phys.Chem. 68, 441-451(1964).

ALPHA = an array of scaling factors, for the definition of the solvent accessible surface. If only the first value is given, all radii are scaled by the same factor. (default is ALPHA(1)=1.2)

EPSHET = an array of dielectric constants, for each atom in the heterogeneous CPCM. The default is to use the same dielectric for every atom, namely the value of EPS in \$PCM. (only if IEF=10 or -10). The default EPSHET(1)=X,X,X,X where EPS=X means homogeneous CPCM.

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**\$TESCAV** group

(optional)

This group controls the tessellation procedure for the cavity surfaces in PCM computations. The default values for this group will normally be satisfactory. Use of the FIXPVA mechanism for dividing the surface of the atomic spheres into tesseræ should allow for convergent PCM geometry optimizations. To converge to small OPTTOL values may require the use of internal coordinates, since the tessellation amounts to a finite grid (so the PCM energy is not strictly rotationally invariant).

Cartesian geometry optimizations may require a high density of tesseræ on the cavity surface:

NTSALL=240 (or 960)

This may require raising the maximum number of tesseræ, see MXTS in \$PCM. It is reasonable to just try internal coordinates first, as this should be sufficient w/o increasing the tesseræ density. See also IFAST=1 in \$PCMGRD.

--- The first two arrays control the density of tesseræ and the method to generate the tesseræ.

INITs = array defines the initial number of tesseræ for each sphere. Only 60, 240 and 960 are allowed, but the value can be different for each sphere. (Default is INITs(1)=60,60,60,...) See NTSALL.

METHOD = array defining the tessellation method for each sphere. The value can be different for each sphere. The default is 4 for all spheres, e.g. METHOD(1)=4,4,4,... See also MTHALL.

= 1 GEPOl-GB, "Gauss-Bonnet" tessellation.

= 2 GEPOl-AS, "area scaling" tessellation.

= 3 GEPOl-RT, "regular tessellation".

= 4 FIXPVA, "Fixed points with variable area".

FIXPVA gives smooth potential surfaces during geometry optimizations, works with \$PCM options ICAV and IDISP (but not with IDP or IRP), and is the preferred tessellation method.

--- The next three parameters are presets for filling the

arrays INITS and METHOD with identical values.

NTSALL = 60, 240 or 960 (default = 60)  
All values in the array INITS are set to NTSALL

MTHALL = 1, 2, 3, or 4 (default = 4)  
All values in the array METHOD are set to MTHALL

MTHAUT = 0 or 1 (default = 0)  
If RUNTYP=OPTIMIZE and frozen atoms are defined  
by IFCART, MTHAUT=1 will select METHOD=1 for  
frozen atoms. See also AUTFRE and NTSFRZ.

note: Explicitly defining INITS and METHOD from the input  
deck will overrule the presets from NTSALL, MTHALL  
and/or MTHAUT.

--- The following two parameters control GEPOL-RT

AREATL = The area criterion ( $A^2$ ) for GEPOL-RT.  
Tesserae with areas  $< AREATL$  at the boundary of  
intersecting spheres will be neglected.  
Default=0.010  $A^2$ . Smaller AREATL cause larger  
number of tesserae.  $AREATL < 0.00010$  is not  
recommended.

BONDRY = Controls (by scaling) the distance within which  
tesserae are considered "close" to the boundary.  
Such tesserae will be recursively divided into  
smaller ones until their areas are  $< AREATL$ .  
The default (= 1.0) means the distance is the  
square root of the tessera area.  
A large BONDRY value like 1000.0 will lead to  
fine tessellation for the entire surface with  
all tessera areas  $< AREATL$ .

--- The next two parameters are only relevant if MTHAUT=1

AUTFRE = Distance (A) for frozen atoms to be treated as  
moving atoms when MTHAUT=1. Default=2.0 A.

NTSFRZ = 60, 240 OR 960, initial tessera number for  
frozen atoms. Default=60

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**\$NEWCAV** group

(optional)

This group controls generation of the "escaped charge" cavity, used when ICOMP=3 or IREP=1 in \$PCM. This cavity is used only to calculate the fraction of the solute electronic charge escapes from the original cavity.

IPTYPE = choice for tessalation of the cavity's spheres.  
= 1 uses a tetrahedron  
= 2 uses a pentakis dodecahedron (default)

ITSNUM = m, the number of tessera to use on each sphere.  
if IPTYPE=1, input  $m=30*(n**2)$ , with  $n=1,2,3$  or 4  
if IPTYPE=2, input  $m=60*(n**2)$ , with  $n=1,2,3$  or 4  
(default is 60)

\*\*\* the next three parameters pertain to IREP=1 \*\*\*

RHOW = density, relative to liquid water (default = 1.0)

PM = molecular weight (default = 18.0)

NEVAL = number of valence electrons on solute (default=8)

The defaults for RHOW, PM, and NEVAL correspond to water, and therefore must be correctly input for other solvents.

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**\$PCMGRD** group (optional)

This group controls the PCM gradient computations. It is of a technical nature, and is seldom given.

IPCDER = selects different methods for PCM gradients

- 1 use  $U_x(q)$  approximation (C-PCM and IEF-PCM), or use charge-derivative method (D-PCM). This is the default for D-PCM.
- 2 Variable-Tessera-Number Approximation. Implemented only for C-PCM and IEF-PCM, and the default for GEPOLE-AS tessellation.
- 3 The same as 2, but for FIXPVE tessellation.

The program will pick the correct default for IPCDER!

note: If ICAV = 1 or IDISP = 1 in \$PCM, the derivatives of the cavitation energy or dispersion-repulsion, respectively, will automatically be calculated.

You must be using the following input:

\$PCM ICAV=1 IDISP=1 \$END

\$DISREP ICLAV=1 \$END

IFAST = Controls the PCM calculations for RUNTYP=OPTIMIZE.

- 0 update PCM charges at each SCF cycle at every geometry (default)
- 1 update PCM charges at each SCF cycle for the initial geometry.  
For the subsequent geometries, calculate PCM charges at the first SCF cycle and use the PCM charges for the following SCF cycles; after the density change falls below DENTOL, update the PCM charges one time (to save CPU time).

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**\$IEFPCM** group (optional)

This group defines data for the integral equation formalism version of PCM solvation. It includes special options for ionic or anisotropic solutions.

The next two sets are relevant only for anisotropic solvents, namely IEF=1:

EPS1, EPS2, EPS3 =  
    diagonal values of the dielectric permittivity  
    tensor with respect to the laboratory frame.  
    The default is EPS in \$PCM

EUPHI, EUTHE, EUPSI =  
    Eulerian angles which give the rotation of the  
    solvent orientation with respect to the lab frame.  
    The term lab frame means \$DATA orientation.  
    The default for each is zero degrees.

The next two are relevant to ionic solvents, namely IEF=2:

EPSI = the ionic solutions's dielectric, the default is  
    EPS from \$PCM.

DISM = the ionic strength, in Molar units (mol/dm\*\*3)  
    The default is 0.0

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**\$PCMITR** group      (optional, for IEF=-3 or -10 in \$PCM)

This group provides control over the iterative isotropic IEF-PCM calculation. See

C.S.Pomelli, J.Tomasi, V.Barone  
Theoret.Chem.Acc. 105, 446-451(2001)  
H.Li, C.S.Pomelli, J.H.Jensen  
Theoret.Chem.Acc. 109, 71-84(2003)

MXDIIS = Maximum size of the DIIS linear equations, the value impacts the amount of memory used by PCM. Memory=2\*MXDIIS\*NTS, where NTS is the number of tesserae. MXDIIS=0 means no DIIS, instead the point Jacobi iterative method will be used. (Default=50)

MXITR1 = Maximum number of iters in phase 1. (Default=50)

MXITR2 = Maximum number of iters in phase 2. (Default=50)

note: if MXDIIS is larger than both MXITR1 and MXITR2  
MXDIIS will be reset to be the larger of these two.

THRES = Convergence threshold for the PCM Apparent Surface Charges (ASC). (Default=1.0D-08)

THRSLs = Loose threshold used in the early SCF cycles when the density change is above DENSLS. If THRSLs < THRESH, this option is turned off. Default is 5.0D-04.

DENSLS = If the density change is above DENSLS the loose threshold THRSLs applies. (Default = 0.01 au)

IDIRECT = 1, Directly compute the electronic potential at each tessera and the ASC potential at the electronic coordinates, with no disk storage. (Default)  
0, Compute and save above data to hard disk.

Keywords for region wise multipole expansion of ASCs in approximating interaction among tesserae:

(C.S.Pomelli, J.Tomasi THEOCHEM 537, 97-105(2001))

IMUL = Region wise multipole expansion order in the  
approximate interaction among tesserae.  
= 0, Neglected (Only for test purposes)  
= 1, Monopole  
= 2, Monopole+Dipole  
= 3, Monopole+Dipole+Quadrupole (Default)

RCUT1 = Cutoff radius (Angstrom) for mid-range  
interactions among tesserae. Default=15.0 A  
If RCUT1 is larger than your molecule, the  
option is effectively turned off.

RCUT2 = Cutoff radius (Angstrom) for long range  
interactions among tesserae. Default=30.0 A

The remaining keywords apply only to PCM calculations with  
a QM/EFP solute (see Li et al.)

Keywords for region wise multipole expansion of ASCs  
in approximating interaction between ASCs and QM region:

IMGASC = 1, Use region wise multipole expansion of ASCs  
to compute the ASC potential at QM region.  
0, no use of the multipole expansion method.  
(default)

RASC = Cutoff radius (Angstrom) for used of the IMGASC  
multipole expansion (Default=20.0 A)

Keywords for multipole expansion of the QM region in  
approximating the QM region potential:

IMGABI = 0, multipole expansion of the QM region is turned  
off (default).  
1, turn multipole expansion of the QM region on.

RABI = Cutoff radius (Angstrom) for used of the IMGABI  
multipole expansion (Default=4.0 A)

Keywords for the coupling of PCM and EFP polarizability  
tensors:

IEFPOL = 1, PCM ASCs induce EFP dipoles.(default)

0, PCM ASCs do not induce EFP dipoles.

REFPOL = When IEFPOL=1, if the distance (Angstrom) between a polarizability point and a tessera is less than REFPOL, they are considered too close and the field from the tessera will not induce dipole for the polarizability point. Default=0.0 A means always induce the dipole.

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**\$DISBS** group (optional)

This group defines auxiliary basis functions used to evaluate the dispersion free energy by the method of Amovilli and Mennucci. These functions are used only for the dispersion calculation, and thus have nothing to do with the normal basis given in \$BASIS or \$DATA. If the input group is omitted, only the normal basis is used for the IDP=1 dispersion energy.

NADD = the number of added shells

XYZE = an array giving the x,y,z coordinates (in bohr) of the center, and exponent of the added shell, for each of the NADD shells.

NKTYPE = an array giving the angular momenta of the shells

An example placing 2s,2p,2d,1f on one particular atom,

```
$DISBS  NADD=7 NKTYP(1)= 0 0 1 1 2 2 3
        XYZE(1)=2.9281086  0.0  .0001726  0.2
              2.9281086  0.0  .0001726  0.05
              2.9281086  0.0  .0001726  0.2
              2.9281086  0.0  .0001726  0.05
              2.9281086  0.0  .0001726  0.75
              2.9281086  0.0  .0001726  0.2
              2.9281086  0.0  .0001726  0.2  $END
```

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**\$DISREP** group (optional)

This group controls evaluation of the dispersion and repulsion energies by the empirical method of Floris and Tomasi. The group must be given when IDISP=1 in \$PCM, whenever the solvent is not water. Only one of the two options ICLAV or ILJ should be selected. Due to its lack of parameters, almost no one chooses ILJ.

ICLAV = selects Claverie's disp-rep formalism.  
= 0 skip computation.  
= 1 Compute the solute-solvent disp-rep interaction as a sum over atom-atom interactions through a Buckingham-type formula ( $R^{-6}$  for dispersion, exp for repulsion). (default)  
Ref: Pertsin-Kitaigorodsky "The atom-atom potential method", page 146.

ILJ = selects a Lennard-Jones formalism.  
= 0 skip computation. (default)  
= 1 solute atom's-solvent molecule interaction is modeled by Lennard-Jones type potentials,  $R^{-6}$  for dispersion,  $R^{-12}$  for repulsion).

---- the following data must given for ICLAV=1:

RHO = solvent numeral density  
N = number of atom types in the solvent molecule  
NT = an array of the number of atoms of each type in a solvent molecule  
RDIFF = distances between the first atoms of each type and the cavity  
DKT = array of parameters of the dis-rep potential for the solvent  
RWT = array of atomic radii for the solvent

The defaults are appropriate for water,

RHO=3.348D-02  
N=2  
NT(1)=2,1  
RDIFF(1)=1.20,1.50  
DKT(1)=1.0,1.36  
RWT(1)=1.2,1.5



DKA = array of parameters of the dis-rep potential for the solute. Defaults are provided for some common elements:

H: 1.00	Be: 1.00	B: 1.00	C: 1.00
N: 1.10	O: 1.36	P: 2.10	S: 1.40

RWA = array of atomic radii for the solute to compute dis-rep. Defaults are provided for some common elements:

H: 1.20	Be: 1.72	B: 1.72	C: 1.72
N: 1.60	O: 1.50	P: 1.85	S: 1.80

Other elements have DKA and RWA values of 0.0 and so must be given in the input deck, or the dispersion/repulsion energy will be 0. For EFP/PCM calculations, only QM atoms need DKA and RWA values to calculate the DIS-REP energy.

---- the following data must given for ILJ=1:

RHO = solvent numeral density

EPSI = an array of energy constants referred to each atom of the solute molecule.

SIGMA = an array of typical distances, relative to each solute atom

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**\$SVP** group (optional)

The presence of this group in the input turns on use of the Surface and Simulation of Volume Polarization for Electrostatics (SS(V)PE) solvation model, or the more exact Surface and Volume Polarization for electrostatics (SVPE) model. These treat the solvent as a dielectric continuum, and are available with either an isodensity or spherical cavity around the solute. The solute may be described only by RHF, UHF, ROHF, GVB, or MCSCF wavefunctions. The energy is reported as a free energy, which includes the factor of 1/2 that accounts for the work of solvent polarization assuming linear response. Gradients are not yet available.

In addition, the CMIRS (Composite Method for Implicit Representation of Solvent) model may be invoked to combine SS(V)PE with the DEFESR (Dispersion, Exchange, and Field-Extremum Short-Range) model to achieve a more complete treatment of solvation. The field-extremum contribution is designed to describe hydrogen bonding effects.

The current version 1.0 of CMIRS has parameters for water solvent with isodensity cavities having contours of 0.0005, 0.001, or 0.002 au for use with the B3LYP/6-31+G\*, B3LYP/G3large, HF/6-31+G\*, or HF/G3large electronic structure methods. In addition, parameters are also available for cyclohexane and benzene solvents with isodensity cavities having contours of 0.0005, 0.001, or 0.002 au for use with the B3LYP/6-31+G\* method.

Typical use of these methods will involve a prior step to do an equivalent calculation on the given solute in the gas phase. This provides a set of orbitals that can be used as a good initial guess for the subsequent run including solvent. It also provides the gas phase energy (input as keyword EGAS) that can be subtracted from the energy in solvent to obtain the free energy of solvation.

Many runs will be fine with all parameters set at their default values. The most important parameters a user may want to consider changing are:

NVLPL = treatment of volume polarization

- 0 - SS(V)PE method, which simulates volume polarization by effectively folding in an additional surface polarization (default)
- N - SVPE method, which explicitly treats volume polarization with N extra layers

DIELST = static dielectric constant of solvent  
(default = 78.39, appropriate for water)

IVERT = 0 do an equilibrium calculation (default)  
1 do a nonequilibrium calculation to get the final state of a vertical excitation - this requires that IRDRF=1 to read the \$SVPIRF input group that was punched with IPNRF=1 in a run on the initial state - note that a meaningful result is obtained only if the initial and final states both come from the same wavefunction/basis set/geometry/solvation model.

DIELOP = optical dielectric constant of solvent -  
this only relevant if IVERT=1  
(default 1.776, appropriate for water)

EGAS = gas phase energy (optional): if given, the program will output the free energy of solvation and the change in solute internal energy due to solvation. Note that a meaningful result is obtained only if EGAS comes from the same wavefunction/basis set/geometry as is used in the solvation calculation

ISHAPE = sets the shape of the cavity surface  
0 - electronic isodensity surface (default)  
1 - spherical surface

RHOISO = value of the electronic isodensity contour used to specify the cavity surface, in electrons/bohr\*\*3  
(relevant if ISHAPE=0; default=0.001)

RADSPH = sphere radius used to specify the cavity surface. A positive value means it is given in Bohr, negative means Angstroms. (relevant if ISHAPE=1; default is half the distance between the outermost atoms plus 1.4 Angstroms)

INTCAV = selects the surface integration method

- 0 - single center Lebedev integration (default)
- 1 - single center spherical polar integration,  
not recommended; Lebedev is far more efficient

NPTLEB = number of Lebedev-type points used for single center surface integration. The default value has been found adequate to obtain the energy to within 0.1 kcal/mol for solutes the size of monosubstituted benzenes. (relevant if INTCAV=0) Valid choices are 6, 14, 26, 38, 50, 86, 110, 146, 170, 194, 302, 350, 434, 590, 770, 974, 1202, 1454, 1730, 2030, 2354, 2702, 3074, 3470, 3890, 4334, 4802, 5294, or 5810. (default=1202)

NPTTHE, NPTPHI = number of (theta,phi) points used for single center surface integration. These should be multiples of 2 and 4, respectively, to provide symmetry sufficient for all Abelian point groups. (relevant if INTCAV=1; defaults = 8,16; these defaults are probably too small for all but the tiniest and simplest of solutes.)

TOLCHG = a convergence criterion on the program variable named CHGDIF, which is the maximum change in any surface charge from its value in the previous iteration (default=1.0D-6). This is checked in each SCF iteration, although the actual value is not printed until final convergence is reached.

The single-center surface integration approach may fail for certain highly nonspherical molecular surfaces. The program will automatically check for this and bomb out with a warning message if need be. The single-center approach succeeds only for what is called a star surface, meaning that an observer sitting at the center has an unobstructed view of the entire surface. Said another way, for a star surface any ray emanating out from the center will pass through the surface only once. Some cases of failure may be fixed by simply moving to a new center with the ITRNGR parameter described below. But some surfaces are inherently nonstar surfaces and cannot be treated with this program until more sophisticated surface integration approaches are implemented.

ITRNGR = translation of cavity surface integration grid

- 0 - no translation (i.e., center the grid at the origin of the atomic coordinates)
- 1 - translate to center of nuclear mass
- 2 - translate to center of nucl. charge (default)
- 3 - translate to midpoint of outermost atoms
- 4 - translate to midpoint of outermost non-Hydrogen atoms
- 5 - translate to user-specified coordinates, in Bohr
- 6 - translate to user-specified coordinates, in Angstroms

TRANX, TRANY, TRANZ = x,y,z coordinates of translated cavity center, relevant if ITRNGR=5 or 6.  
(default = 0,0,0)

IROTGR = rotation of cavity surface integration grid

- 0 - no rotation
- 1 - rotate initial xyz axes of integration grid to coincide with principal moments of nuclear inertia (relevant if ITRNGR=1)
- 2 - rotate initial xyz axes of integration grid to coincide with principal moments of nuclear charge (relevant if ITRNGR=2; default)
- 3 - rotate initial xyz axes of integration grid through user-specified Euler angles as defined by Wilson, Decius, Cross

ROTTHE, ROTPHI, ROTCHI = Euler angles (theta, phi, chi) in degrees for rotation of the cavity surface integration grid, relevant if IROTGR=3.  
(default=0,0,0)

IOPPRD = choice of the system operator form. The default symmetric form is usually the most efficient, but when the number of surface points N is big it can require very large memory (to hold two N by N matrices). The nonsymmetric form requires solution of two consecutive system equations, and so is usually slower, but as trade-off requires less memory (to hold just one N by N matrix). The two forms will lead to slightly different numerical results, although tests documented in the third reference given in Further Information show that the differences are generally less than the

inherent discretization error itself and so are not meaningful.

0 - symmetric form (default)

1 - nonsymmetric form

\* \* \*

The CMIRS (Composite Method for Implicit Representation of Solvent) model is a combination of SS(V)PE with the DEFESR (Dispersion, Exchange, and Field-Extremum Short-Range) model. It borrows use of a grid from the DFT code, and therefore is currently implemented only for the \$DFT METHOD=GRID choice in \$CONTRL: note that HF calculations can be done with DFTTYP=HFX in \$CONTRL. If default parameters are desired (which correspond to water solvent, an isodensity cavity with contour 0.001 au, and the B3LYP/6-31+G\* electronic structure method), then only the IDEF flag needs to be set.

IDEF = flag to activate DEFESR calculations  
0 - DEFESR energies are not computed (default)  
1 - DEFESR energies are also computed

RHOSOLV = average electron density of solvent for use in the dispersion model (default=0.05 au for water)

DISDMP = dispersion damping factor (default 7.0 bohr).  
This value has been found to be nearly optimal for all solvent/cavity/methods tested.

DISLIN = dispersion linear parameter  
(default=0.0109369 au).  
It is sensitive to the solvent/cavity/method.

EXCLIN = exchange linear parameter  
(default=0.0460402 au).  
It is sensitive to the solvent/cavity/method.

NGSLGR = order of Gauss-Laguerre numerical integration used for the exchange term (default=6).  
Possible values are 1 to 25.

FNNL,FPNL = field-negative and field-positive nonlinear parameters (default=3.6 and 3.6). These values

have been found to be nearly optimal for all solvent/cavity/methods tested.

FNLIN,FPLIN = field-negative and field-positive linear parameters (defaults=-945.810 and -17.8279 au). They are sensitive to the solvent/cavity/method. For solvents like cyclohexane and benzene that have negligible hydrogen-bonding capability they can be set to 0.

SMVLE = flag to turn on an alternative (to DEFESR) semi-empirical correction for local electrostatic effects based on the electric field's normals to the surface cavity. This also adds cavitation/dispersion/solvent structure (CDS) effects drawn from the SMD model, see SMD in \$PCM. (Default=.FALSE.)

\* \* \*

The remaining parameters below are rather specialized and rarely of concern. They should be changed from their default values only for good reason by a knowledgeable user.

TOLCAV = convergence criterion on maximum deviation of calculated vs. requested RHOISO  
(relevant if ISHAPE=0; default=1.0D-10)

ITRCAV = maximum number of iterations to allow before giving up in search for isodensity surface.  
(relevant if ISHAPE=0; default=99)

NDRCV = highest analytic density derivative to use in the search for isodensity surface.  
0 - none, use finite differences (default)  
1 - use analytic first derivatives

LINEQ = selects the solver for the linear equations that determine the effective point charges on the cavity surface.  
0 - use LU decomposition in memory if space permits, else switch to LINEQ=2  
1 - use conjugate gradient iterations in memory if space permits, else use LINEQ=2 (default)

2 - use conjugate gradient iterations with the system matrix stored externally on disk.

CVGLIN = convergence criterion for solving linear equations by the conjugate gradient iterative method (relevant if LINEQ=1 or 2; default = 1.0D-7)

CSDIAG = a factor to multiply diagonal elements to improve the surface potential matrix, S. (default = 1.104, optimal for Lebedev integration)

IRDRF = a flag to read in a set of point charges as an initial guess to the reaction field.  
0 - no initial guess reaction field (default)  
1 - read point charges from \$SVPIRF input group.  
It is up to the user to be sure that the number of charges read is appropriate.

IPNRF = a flag to punch the final reaction field.  
0 - no punch (default)  
1 - punch in format of \$SVPIRF input group

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**\$SVPIRF** group            (optional; relevant for SVP runs)

Formatted card images of reaction field point charges, as punched by setting IPNRF=1 in a previous SVP run. These can be used by setting IRDRF=1 in a subsequent SVP run to provide an initial guess to the reaction field.

These charges from the initial state are required if IVERT=1 in \$SVP to do a vertical excitation calculation on the final state.

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**\$COSGMS** group (optional)

The presence of this group in the input turns on the use of the conductor-like screening model (COSMO) with molecular shaped cavity for closed and open shell HF, DFT, and MP2. Open shells may be high spin-restricted or any sort of spin-unrestricted case. The energy and/or the gradient can be computed for each of these.

The implementation of the COSMO cavity has a limit of about 150-200 atoms. Like other limits in GAMESS, this can be raised according to directions in the Programmer's Reference.

EPSI = the dielectric constant, 80 is often used for H2O  
This parameter must be given, except for the perfect conductor approximation (see PRFCND).

PRFCND = perfect conductor approximation, sets EPSI equal to infinity. Relevant only if EPSI is not given. (default=.FALSE.)

COSRAD = the multiplicative factor for the van der Waals radii used for cavity construction. (default=1.2)

NSPA = the number of surface points on each atomic sphere that form the cavity. (default=92)

DISEX = parameter for the refinement of crevices (default=10.0D+00)

OUTCHG select the method for the correction of the outlying charge error (OCE).  
= DMULTI sets the multipole expansion method.  
= DBLCAV sets the double cavity method (default).

COSWRT = flag to generate the .cosmo output file, used as input to the COSMO-RS program, from the company COSMOlogic. A replacement output source file is needed (full version of cosprt.src). Users need to sign a special license agreement to enable this option, see <http://ocikbws.uzh.ch/gameess>  
COSWRT forces PRFCND=.T. and requires GBASIS=KTZVP

and DFTTYP=BP86, because COSMO-RS is parametrized for use only with this specific setup.  
(default=.FALSE.)

DCOSMO = flag to use the DCOSMO-RS method. This requires reading in a supplementary .pot file, obtained by processing COSWRT's .cosmo output with the COSMO-RS software. (default is .FALSE.)

COSBUG = flag to turn on debugging printout.

Additional information on the COSMO model can be found in the References chapter of this manual.

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**\$SCRF** group

(optional)

The presence of this group in the input turns on the use of the Kirkwood-Onsager spherical cavity model for the study of solvent effects. The method is implemented for RHF, UHF, ROHF, GVB and MCSCF wavefunctions and gradients, and so can be used with any RUNTYP involving the gradient. The method is not implemented for MP2, CI, any of the semiempirical models, or for analytic Hessians.

DIELEC = the dielectric constant, 80 is often used for H<sub>2</sub>O

RADIUS = the spherical cavity radius, in Angstroms

G = the proportionality constant relating the solute molecule's dipole to the strength of the reaction field. Since G can be calculated from DIELEC and RADIUS, do not give G if they were given.

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Additional information on the SCRF model can be found in the Further Information chapter.

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**\$ECP** group (required if PP=READ in \$CONTRL)

This group lets you read in effective core potentials, for some or all of the atoms in the molecule. You can use built in potentials for some of the atoms if you like. This is a free format (positional) input group. Since the input is a little tricky, it is good to look at the two examples at the end of this group.

\*\*\* Give a card set -1-, -2-, and -3- for each atom \*\*\*

-card 1- PNAME, PTYPE, IZCORE, LMAX+1

PNAME is a 8 character descriptive tag for this potential.

If PNAME is repeated later, for the same type of element, the previously defined potential is copied to this atom. No other information should be given on this card, and cards -2- and -3- must be skipped.

Do not use this "copy" option when there is no core potential, instead type "NONE" over and over again.

PTYPE = GEN a general potential should be read.

= SBKJC look up the Stevens/Basch/Krauss/Jasien/Cundari potential for this type of atom.

= HW look up the Hay/Wadt built in potential for this type of atom.

= NONE treat all electrons on this atom.

IZCORE is the number of core electrons to be removed.

Obviously IZCORE must be an even number, or in other words, all core orbitals being removed must be completely occupied.

LMAX+1 is the one higher than the maximum angular momentum occupied in the core orbitals being removed:

to remove s,p,d,f core orbitals (LMAX=0,1,2,3)

we use p,d,f,g core potentials (LMAX+1=1,2,3,4).

LMAX+1 is not permitted to exceed 4.

\*\*\* Give IZCORE and LMAX only if PTYPE is GEN \*\*\*

\*\*\* For the first occurrence of PNAME, if PTYPE is GEN, \*\*\*  
\*\*\* then give cards -2- and -3-. Otherwise go to -1-. \*\*\*

\*\*\* Card sets -2- and -3- are repeated LMAX+1 times \*\*\*

The potential  $U(LMAX+1)$  is given first, followed by difference potentials  $U(L)-U(LMAX+1)$ , for  $L=0,LMAX$ . Note that is a minus sign, not a hyphen! The LMAX potential, and the differences of potentials are expanded by Gaussians, on these two cards.

-card 2-      NGPOT

NGPOT is the number of Gaussians in this part of the fit to the local effective potential.

-card 3-      CLP,NLP,ZLP    (repeat this card NGPOT times)

CLP is the coefficient of this Gaussian in the potential.  
NLP is the power of  $r$  for this Gaussian,  $0 \leq NLP \leq 2$ .  
ZLP is the exponent of this Gaussian.

Note that PTYPE lets you to type in one or more atoms explicitly, while using built in data for other atoms.

By far the easiest way to use the SBKJC potential for all atoms in the formic acid molecule is to request PP=SBKJC in \$CONTRL. But here we show two alternatives. Note that both examples copy one oxygen potential to the other, and both explicitly declare there is no potential on every hydrogen.

Assume that the atoms in \$DATA are generated in the order C, H, O, O, H.

The first way is to look up the program's internally stored SBKJC potentials one atom at a time:

```
$ECP
C-ECP SBKJC
H-ECP NONE
O-ECP SBKJC
O-ECP
H-ECP NONE
$END
```

The second oxygen duplicates the first, no core electrons are removed on hydrogen. The order of the atoms must

follow that generated by \$DATA. All atoms must be given here in \$ECP, not just the symmetry unique atoms.

The second example reads all SBKJC potentials explicitly:

```

$ECP
C-ECP GEN 2 1
1      ----- CARBON U(P) -----
-0.89371  1  8.56468
2      ----- CARBON U(S)-U(P) -----
  1.92926  0  2.81497
 14.88199  2  8.11296
H-ECP NONE
O-ECP GEN 2 1
1      ----- OXYGEN U(P) -----
-0.92550  1 16.11718
2      ----- OXYGEN U(S)-U(P) -----
  1.96069  0  5.05348
 29.13442  2 15.95333
O-ECP
H-ECP NONE
$END

```

Again, the 2nd oxygen copies from the first. It is handy to use the rest of card -2- as a descriptive comment.

As a final example, for antimony we have LMAX+1=3 (the core 3d is removed, so LMAX=2). One must first enter U(f), followed by U(s)-U(f), U(p)-U(f), U(d)-U(f).

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**\$MCP** group (required if MCP READ was given on card -6U-)

This group lets you read in model core potentials, for some or all of the atoms in the molecule. This is a fixed format input group. For the review of the MCP method, see M.Klobukowski, S.Huzinaga, and Y.Sakai, pp. 49-74 in J. Leszczynski, "Computational Chemistry", vol. 3 (1999) .

\*\*\* Give input -1-, -2-, ..., -9- for each MCP atom \*\*\*

-card 1-      ANAT

ANAT    is a 8 character name for the MCP atom.  
It must match the name given for that atom  
in the \$DATA input group.

-card 2- NOAN, (NO(IS),NG(IS), IS=1,4)      FORMAT(9I3)  
IS = 1, 2, 3, 4 for s, p, d, and f symmetry, resp.

NOAN    is the number of terms in the MCP  
NO(IS) is the number of core orbitals in symmetry IS  
NG(IS) is the number of basis functions used to  
expand the core orbitals in symmetry IS

-card 3-      ZEFF, MCPFMT      FORMAT(F10.2, A8)

ZEFF    is the number of valence electrons, e.g. 7.0  
for Fluorine  
MCPFMT is the format for reading floating-point  
numbers in the MCP data

-card 4-      (ACOE(L), L=1,NOAN)      FORMAT(MCPFMT)

ACOE(L) is the L-th coefficient in the expansion of  
the model core potential; more than one  
line may be provided  
ACOE(L) is the defined as A(l) in Eq. (38)  
of the MCP review paper.

-card 5-      (AEXP(L), L=1,NOAN)      FORMAT(MCPFMT)

AEXP(L) is the L-th exponent in the expansion of the  
model core potential; more than one line  
may be provided



AEXPN(L) is the defined as  $\alpha(l)$  in Eq. (38) of the MCP review paper.

-card 6- (NINT(L), L=1,NOAN) FORMAT(10I3)

NINT(L) is the power of R in the expansion of the model core potential; NINT(L) is defined as  $n(l)$  in Eq. (38) of the MCP review paper.

\*\*\* For each symmetry IS present in the core orbitals \*\*\*  
 \*\*\* read the card set -7-, -8-, and -9- \*\*\*

-card 7- (BPAR(K), K=1,NO(IS)) FORMAT(MCPFMT)  
 BPAR(K) is the constant in the core projector operator,  $B(k)$  in Eq. (41) of the review.

-card 8- (EX(I), I=1,NG(IS)) FORMAT(MCPFMT)  
 EX(I) is the exponent of the I-th Gaussian function used to expand the core orbitals

\*\*\* Repeat -9- for each core orbital in symmetry IS \*\*\*

-card 9- (C(I), I=1,NG(IS)) FORMAT(MCPFMT)  
 C(I) expansion coefficients of the core orbital

The following example input file is for H<sub>2</sub>CO, and by the way, provides another example of COORD=HINT.

```
!
$CONTRL  RUNTYP=ENERGY  COORD=HINT  PP=MCP $END
$DATA
Formaldehyde H2CO
CNV      2

C   6.0    LC   0.00          0.0    0.0  - 0 K
MCP READ          <<<< this is an MCP atom
L           3      <<<< (311/311/1) basis
1  18.517235      -0.16370140      0.22673090E-01
2   2.5787547     -0.26304451      0.19109693
3  0.58994362      0.58040872      0.50918856
L           1
1  0.17330638      1.00000000      1.00000000
L           1
1  0.60957120E-01  1.00000000      1.00000000
D 1; 1  0.600  1.0
```

```

O  8.0  LC  1.2031      0.0      0.0  - 0 K
MCP READ                <<<< this is an MCP atom
L      3                <<<< (311/311/1) basis
1  44.242510           -0.13535836           0.17372951E-01
2  6.2272700           -0.30476423           0.16466813
3  1.4361751           0.43955753           0.46721611
L      1
1  0.40211473           1.0000000           1.0000000
L      1
1  0.12688798           1.0000000           1.0000000
D 1;  1  1.154  1.0

H  1.0  PCC  1.1012    121.875  0.0  + 0 K I
TZV                <<<< not an MCP atom, TZV+pol basis
P 1;  1  1.100  1.0

```

\$END

```

$MCP                <<<< start of the MCP data
                    <<<< empty lines allowed
MCP for  C  NR (2S/2P)  S(2)P(2)  <<<< comment
                    <<<< empty lines allowed
C                <<<< MCP for the atom C
2  1  14                <<<< NOAN, NO(1), NG(1)
    4.00(4D15.8)        <<<< ZEFF, MCPFMT
.41856306              .99599513E-01    <<<< ACOEF
16.910482              7.4125554        <<<< AEXPN
0  0                    <<<< NINT
22.676882              <<<< B(1s)
26848.283              8199.1206         2798.3668         1048.2982
423.36984              181.26843         81.068295         37.403931
17.629539              8.4254263         4.0611964         1.9672294
.95541420              .46459041
.10743274D-03          .21285491D-03    .99343100D-03    .28327774D-02
.83154481D-02          .21694082D-01    .52916004D-01    .11618593D+00
.21812785D+00          .32180986D+00    .29375407D+00    .10974353D+00
.70844050D-02          .17825971D-02

```

```

MCP for  O  NR (2S/2P)  S(2)P(4)

O                <<<< MCP for the atom O
2  1  16
    6.00(4D15.8)
.31002267           .27178756E-01

```

25.973731	13.843290		
0 0			
41.361784			
57480.749	17270.167	5766.9282	2107.0076
829.06758	346.04791	151.12147	68.233250
31.542773	14.815300	7.0298236	3.3561489
1.6077662	.77153240	.37052330	.17799002
.85822477D-04	.18173691D-03	.84803428D-03	.25439914D-02
.76877460D-02	.20823429D-01	.52424753D-01	.11864010D+00
.22782741D+00	.33492260D+00	.28833079D+00	.93046197D-01
.55937988D-02	.16121923D-02	.10915544D-04	.21431633D-03

\$END

=====

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**\$RELWFN** group

(optional)

This group is relevant if RELWFN in \$CONTRL chose one of the relativistic transformations (DK, RESC, or NESCL) for elimination of the small components of relativistic wavefunctions, to produce a corrected single component wavefunction. For DK or RESC, only one electron integral corrections are added, whereas for NESCL, corrections to two electron integrals are accounted for by means of a relativistically averaged basis set. All relativistic methods in GAMESS neglect two-electron corrections coming from pVp integrals. The 3rd order DK transformation will normally afford the most sound results, from a theoretical point of view.

Analytic gradients are programmed for both RESC and NESCL computations. For DK, all non-relativistic gradient terms are analytic, while the relativistic contributions are evaluated numerically by a double difference formula.

During geometry optimizations, in rare cases, the number of nearly linearly independent functions in the Resolution of the Identity (RI) used to evaluate the most difficult integrals may change at some new geometry. If so, the job will quit with an error message, and the user must restart it again manually.

For IOTC, DK, or RESC, ordinary basis sets are used. This however is a misleading statement, for while any basis set will run, accurate answers may be hard to obtain without the use of basis sets constructed using the relativistic approximations. Certainly at least the contraction coefficients must be modified to account for effects such as the s orbital size contraction under relativity, but the reoptimization of exponents may also be important. Experience suggests that large uncontracted basis sets using non-relativistic exponents are probably OK, but standard contractions from NR atomic calculations can lead to spurious results. As a rule of thumb, elements H-Xe might perhaps be OK, but for heavier elements, use relativistically derived basis sets.

There are two possible basis set choices for IOTC, DK, or RESC calculations. The Sapporo relativistic segmented contractions are available for elements down to Xenon (see GBASIS=SPKrnZP or SPKrnAnZP). DK3 basis sets for H-Lr obtained at U. of Tokyo exist in the form of general contractions,

[http://www.riken.jp/qc1/](http://www.riken.jp/qc1/publications/dk3bs/periodic_table.html)

[publications/dk3bs/periodic\\_table.html](http://www.riken.jp/qc1/publications/dk3bs/periodic_table.html)

which gives the EPAPS data published by

T.Tsuchiya, M.Abe, T.Nakajima, K.Hirao

J.Chem.Phys. 115,4463-4472(2001)

A program to extract this web page into GAMESS's format is provided with GAMESS, see file ~/gamess/tools/dk3.f. Light to medium atom main group (H-Kr) DK2 bases exist, look for the names cc-pVnZ\_DK on

<http://www.emsl.pnl.gov:2080/forms/basisform.html>

For NESC, you must provide three basis sets, for the large and small components and an averaged one, which are given in \$DATAL, \$DATAS, \$DATA, respectively. The only possible choice for these basis sets is due to Dyal, and these are available from

<http://www.emsl.pnl.gov:2080/forms/basisform.html> Their names are similar to cc-pVnZ(pt/sf/lc), pt=point or fi=finite nucleus, sf for spin-free and the final field is lc=large component (\$DATAL), sc=small component (\$DATAS), and wf is a typo for Foldy-Wouthuysen 2e- basis (\$DATA). In GAMESS you can only use point nucleus approximation. The need to input three basis sets means that you cannot use \$BASIS input, and you must use COORD=UNIQUE style input in the various \$DATA's. The three \$DATA input groups must contain identical information except for the primitive expansion coefficients, as the three basis sets must have the same exponents. In case the option to treat only some atoms relativistically is chosen, all non-relativistic atoms must have identical basis input in all three groups.

The finite size of nuclei is not taken into account, so do not use any basis set obtained including this effect.

For NESC, the one electron part of the spin-orbit operator can be corrected, while for RESC, one can compute spin-orbit coupling with relativistic corrections to both one and two electron SOC integrals, unless internal uncontraction is requested (in this case only 1 electron

SOC integrals are modified). It should be noted that internally uncontracted basis sets containing very large exponents have large SOC integrals, thus the average asymmetry due to RESC appears larger (before contraction).

For any order DK or IOTC, the  $1e^-$  part of the Breit-Pauli operator's integrals are corrected only to first order (DK1): this is keyword NESOC=1 in \$TRANSTN. It has been observed by many people that even the first order correction is small, and thus should be sufficient.

\* \* \* the next parameter applies only to RELWFN=DK:

NORDER gives the order of the DK transformation to be applied to the one-electron potential:

- 1 corresponds to the free particle
- 2 is the most commonly implemented DK method. It has all relativistic corrections to second order. (default)
- 3 represents 3rd order DK transformation. It does not include all 3rd order relativity corrections, in the sense of collecting all terms in the same order of  $c$  (speed of light), due to using only a 2nd order form of the Coulomb potential ( $1/r_{ij}$ ). However, DK3 gives the closest approximation to the Dirac-Coulomb equation of all methods here.

MODEQR is the mode of quasi-relativistic calculation. These options pertain to the DK or RESC methods. The default is 1 (or 3 if ISPHER=1 in \$CONTRL).

These are additive (bitwise) options, meaning you must enter 5 to request options 1+4:

- = 0 use the input contracted atomic basis set for the Resolution of the Identity (RI) used to simplify the pVp relativistic integrals in order to evaluate them in closed form. Use of this option will reproduce RESC results prior to June 2001. As the accuracy of the RI is compromised, this option is not recommended.
- = 1 use the Gaussian primitives constituting the input contracted atomic basis set to define the RI. This produces a considerable increase in accuracy of the integrals.

- = 2 HONDO's implementation of the RI for RESC is mimicked, namely for ISPHER=+1, the space used for the RI will have no spherical contaminants, similar to the MO space. This option is not available for RESC gradients.
- = 4 avoid redundant exponents when splitting L shells into s and p, when generating the internally uncontracted basis set. This is necessary if you are using s or p primitives with the same exponents as in some L shell. This is unlikely to occur, but if so, the L shell must be entered before the s or p. Option 4 requires 1.
- = 8 use 128 bit precision in the RIs. Select this option if your exponent range is larger than 64 bits can handle (for example, if your basis set's s primitive's exponents run from  $1e+14$  to  $1e-2$ , 16 orders, exhausting the 14-16 decimal places that 64 bits supports on most machines). Note that setting this option also reduces numerical noise in the gradient. This option can be used with or without the internal uncontraction.
  - 1. 128 bit math can be very slow, depending on your CPU and/or compiler's support for it. Only relativistic  $1e-$  integrals use 128 bits.
  - 2. If your FORTRAN library does not support the REAL\*16 data type (128 bits), the code compiles itself in 64 bit mode, and will halt if you ask for 128 bits.

NESOC = Douglas-Kroll style 1st order relativistic corrections for SOC integrals.  
Relevant only if OPERAT=HSO1, HSO2P, or HSO2, for RUNTYP=TRANSITN.

- = 0 no corrections (default for no relativity)
- = 1 apply correction to one-electron spin-orbit integrals (default if RESC, NESC, DK, or IOTC scalar relativity was chosen).

NRATOM the number of different elements to be treated nonrelativistically. For example, in Pb3O4, to treat only lead relativistically, enter NRATOM=1. The elements to be treated nonrelativistically are defined by CHARGE. (default=0)

For NESC, this parameter affects the choice of the basis sets, you should use identical large, small, and averaged basis set for such atoms.  
For DK or RESC, MODEQR=1 won't uncontract to the primitives of such atoms.

CHARGE is an array containing nuclear charges of the atoms to be treated nonrelativistically.  
(e.g. CHARGE(1)=8.0, to drop all oxygen atoms)

CLIGHT gives the speed of light (atomic units), introduced as a parameter in order to reproduce exactly results published with a slightly different choice.  
Default: 137.0359895

\* \* \* the next parameters are used only with DK or RESC:

QMTTOL same as in \$CONTRL, but used for the preparation of the RI space. It is sensible to use a value smaller than \$CONTRL, if desired. (default: from \$CONTRL).

QRTOL parameter for relativistic gradients.

RESC: tolerance for equating nearly degenerate eigenvalues of the kinetic energy and overlaps, when evaluating the gradient. Values that are too large ( $>1e-6$ ) can cause numerical errors in the gradient, approximately on the same order as QRTOL. Too small values can add very large values to the gradient due to division by numbers that are zero within machine precision that are not avoided with this tolerance filter. The recommended values for MODEQR=1 are  $1e-6$  for gold to  $1e-7$  for silver. For MODEQR=0,  $1d-8$  or smaller can be used.  
(default = smaller of  $1d-8$  or QMTTOL).

DK: Coordinate offset in bohr for the numerical differentiation of the relativistic contributions to the gradient (analogous to VIBSIZ in \$HESS, but applied to gradients). Note that the offset is applied to linear combinations of Cartesian coordinates that conserve symmetry, and have the translations and rotations projected out; the change in Cartesian coordinates is equal to the



offset times the expansion coefficient.  
Default: 1e-2.

NVIB The number of offsets per coordinate (similar to NVIB in \$FORCE). NVIB can be 1 or 2 (or -1 or -2). This parameter applies only to DK gradients. Positive values correspond to the projected mode, in which translations, rotations, and any modes which are not totally symmetric are projected out. Negative values correspond to using Cartesian coordinates.  
In most cases projected modes are superior; however they can cause slight distortions away from the true symmetry -IF- you specify lower symmetry than the molecule actually possesses. (default=2)

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**\$EFIELD** group    (not required)

This group permits the study of the influence of an external electric field on the molecule. The method is general, and so works for all wavefunctions, and for both energies and nuclear gradients.

EVEC            = an array of the three x,y,z components of the applied electric field, in a.u., where  $1 \text{ Hartree}/e \cdot \text{bohr} = 5.1422082(15) \text{D}+11 \text{ V/m}$ . A typical size for the EVEC components is therefore about 0.001 a.u.

SYM            = a flag to specify when the field breaks the the molecular symmetry. Since most fields break symmetry, the default is .FALSE.

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Restrictions: analytic hessians are not available, but numerical hessians are. Because an external field causes a molecule with a dipole to experience a torque, geometry optimizations must be done in Cartesian coordinates only. Internal coordinates eliminate the rotational degrees of freedom, which are no longer free.

A nuclear hessian calculation will have two rotational modes with non-zero "frequency", caused by the torque. A gas phase molecule will rotate so that the dipole moment is anti-parallel to the applied field. To carry out this rotation during geometry optimization will take many steps, and you can help save much time by inputting a field opposite the molecular dipole. There is also a stationary point at higher energy with the dipole parallel to the field, which will have two imaginary frequencies in the hessian. These will appear as the first two modes in a hessian run, but will not have the i for imaginary included on the printout since they are rotational modes.

sign conventions:

Dipole vectors are considered to point from the negative end of the molecule to the positive end. Thus HCl at the MP2/aug-cc-pVDZ level's geometry of  $R=1.2831714$  has a positive dipole, if we place Cl at the origin and H along

the positive z-axis. The sign convention on applied fields is such that a +1 charge particle feels a force in the positive direction under a positive field, namely, as if there was a negative plate at large +Z and a positive plate at large -Z. Hence positive fields enhance HCl's dipole:

EVEC(z)	E(MP2)	mu(MP2)
-0.001	-460.2567905970	1.112875
-0.0001	-460.2571917846	1.153172
0.0	-460.2572372416	1.157646
+0.0001	-460.2572828745	1.162119
+0.001	-460.2577014871	1.202350

and the higher energy for each negative EVEC means HCl would prefer to turn around in the field.

Thus, one use for this group is calculation of the electric dipole by finite difference, for wavefunctions that cannot yield molecular properties due to not having a relaxed density matrix. Perform two RUNTYP=ENERGY jobs per component, with fields 0.001 and -0.001 a.u. The central difference formula for each component of the dipole is

$$\mu = 2.541766 * (E(+0.001) - E(-0.001)) / 0.002, \text{ in Debye.}$$

The differentiation using data from HCl gives 1.157635.

For an application to molecular ionization in intense fields generated by lasers, see

H.Kono, S.Koseki, M.Shiota, Y.Fujimura  
J.Phys.Chem.A 105, 5627-5636(2001)

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**\$INTGRL** group (optional)

This group controls A0 integral formats. Probably the only values that should ever be selected are QFMM or NINTIC, as the program picks sensible values otherwise.

QFMM = a flag to use the quantum fast multipole method for linear scaling Fock matrix builds. This is available for RHF, UHF, and ROHF wavefunctions, and for DFT, but not with any other correlation treatment. You must select DIRSCF=.TRUE. in \$SCF if you use this option. The RHF and closed shell DFT gradients also uses QFMM techniques. The Optimal Parameter FMM code will run at a comparable speed to a ordinary run doing all integrals for molecules about 15 Angstroms in size, and should run faster for 20 Angstroms or more. See also the \$FMM input. (default=.FALSE.)

SCHWRZ = a flag to activate use of the Schwarz inequality to predetermine small integrals. There is no loss of accuracy when choosing this option, and there are appreciable time savings for bigger molecules. Default=.TRUE. for over 5 atoms, or for direct SCF, and is .FALSE. otherwise.

NINTMX = Maximum no. of integrals in a record block. (default=15000 for J or P file, =10000 for PK)

NINTIC = Controls storage of integrals in memory, with any remaining integrals will be stored on disk. Caution: memory set aside for this parameter is unavailable to the quantum chemistry methods. Positive NINTIC indicate the number of integrals, negative the amount of memory used for integrals and labels (in words). At present NINTIC works robustly for RHF, ROHF, or UHF, is thought to work for GVB or MCSCF and mostly works for sequential MP2 as well. Direct SCF does not use this option! (default=0).

Various antiquated or antediluvian parameters follow:

NOPK = 0 PK integral option on, which is permissible  
for RHF, UHF, ROHF, GVB energy/gradient runs.  
= 1 PK option off (default for all jobs).  
Must be off for anything with a transformation.

NORDER = 0 (default)  
= 1 Sort integrals into canonical order. There  
is little point in selecting this option, as  
no part of GAMESS requires ordered integrals.  
See also NSQUAR through NOMEM.

NSQUAR = 0 Sorted integrals will be in triangular  
canonical order (default)  
= 1 instead sort to square canonical order.

NDAR = Number of direct access logical records to be  
used for the integral sort (default=2000)

LDAR = Length of direct access records (site dependent)

NBOXMX = 200 Maximum number of bins.

NWORD = 0 Memory to be used (default=all of it).

NOMEM = 0 If non-zero, force external sort.

The following parameters control integral restarts.

IST=JST=KST=LST=1 NREC=1 INTLOC=1

Values shown are defaults, and mean not restarting.

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**\$FMM** group            (relevant if QFMM selected in \$INTGRL)

This group controls the quantum fast multipole method evaluation of Fock matrices. The defaults are reasonable, so there is little need to give this input.

ITGERR = Target error in final energy, to  $10^{**-(ITGERR)}$  Hartree. The accuracy is usually better than the setting of ITGERR, in fact QFMM runs should suffer no loss of accuracy or be more accurate than a conventional integral run (default=7).

QOPS    = a flag to use the Quantum Optimum Parameter Searching technique, which finds an optimum FMM parameter set. (Default=.TRUE.)

If QOPS=.FALSE., the ITGERR value is not used. In this case the user should specify the following parameters:

NP       = the highest multipole order for FMM (Default=15).

NS       = the highest subdivision level (Default=2).

IWS       = the minimum well-separateness (Default=2).

IDPGD    = point charge approximation error ( $10^{**(-IDPGD)}$ ) of the Gaussian products (Default=9).

IEPS     = very fast multipole method (vFMM) error, ( $10^{**(-IEPS)}$ ) (Default=9)

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**\$TRANS** group                      (optional for -CI- or -MCSCF-)  
   (relevant to analytic Hessians)  
   (relevant to energy localization)

This group controls the integral transformation. MP2 integral transformations are controlled instead by the \$MP2 input group. There is little reason to give any but the first variable.

DIRTRF = a flag to recompute AO integrals rather than storing them on disk. The default is .FALSE. for MCSCF and CI runs. If your job reads \$SCF, and you select DIRSCF=.TRUE. in that group, a direct transformation will be done, no matter how DIRTRF is set.

Note that the transformation may do many passes over the AO integrals for large basis sets, and thus the direct recomputation of AO integrals can be very time consuming.

CUTTRF = Threshold for keeping transformed two electron integrals. (default= 1.0d-9, except FMO=1.0d-12)

IPURFY = orbital purification, like PURIFY in \$GUESS.  
= 0 skip orbital purification before transform.  
= 1 perform purification once per geometry, for example, in the first iteration of MCSCF only.  
= 2 purify during every MCSCF iteration.  
The default is 0. Use of 2 causes example 9 to take one more iteration to converge, due to the small upsetting of the orbitals between each iteration by this purification. This option is useful if PURIFY in \$GUESS at the initial geometry is insufficient purification.

NOSYM = disables the orbital symmetry test completely. This is not recommended, as loss of orbital symmetry is likely to mean a calculation is turning into garbage. It has the same meaning as the keyword in \$CONTRL, but pertains to just the integral transform. (Default is 0)

The remaining keywords refer almost entirely to the serial integral transformation codes, not the distributed memory routines:

MPTRAN = method to use for the integral transformation.  
the default is try 0, then 1, then 2.  
0 means use the incore method  
1 means use the segmented method.  
2 means use the alternate method, which uses  
less memory than 2, but much more disk.

NWORD = Number of words of fast memory to allow. Zero  
uses all available memory. (default=0)

AOINTS = AO integral storage during parallel runs.  
It pertains only to CPHF=MO analytic Hessians.  
DUP stores duplicated AO lists on each node.  
DIST distributes the AO integral file across  
all nodes.

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**\$FMO** group (optional, activates FMO option)

The presence of this group activates the Fragment Molecular Orbital option, which divides large molecules (think proteins or clusters) into smaller regions for faster computation. The small pieces are termed 'monomers' no matter how many atoms they contain. Calculations within monomers, then 'dimer' pairs, and optionally 'trimer' sets act so as to approximate the wavefunction of the full system. The quantum model may be SCF, DFT, DFTB, MP2, CC, MCSCF, TDDFT, or CI.

Sample inputs, and auxiliary programs, and other information may be found in the GAMESS source distribution in the directory ~/gamess/tools/fmo.

NBODY = n-body FMO expansion:

- 0 only run initial monomer guess (maybe remotely useful to create the restart file, or as an alternative to EXETYP=CHECK).
- 1 run up to monomer SCF
- 2 run up to dimers (FM02, the default)
- 3 run up to trimers (FM03)

IEFMO = switch to turn on EFM0 (effective fragment potential based Fragment Molecular Orbital)

- 0 = use FMO (default)
- 1 = use EFM0

MODEFM array of five values controlling EFM0, each allows a bit-wise combination of several options. Default is MODEFM(1)=0,0,0,0,0

The first element is control over electrostatics

- 0 no screening of electrostatics
- 1 exponential screening of electrostatics by fixed value to fit the classical potential to the QM-potential, set SCREEN(1)=-1 in \$FMO. (experimental)
- 2 Add octupole energy into electrostatic energy
- 4 use Hui Li's density based multipole expansion
- 8 ignore torque contributions to the gradient

16 generate electrostatics on bond midpoints too  
The second element controls polarizabilities.  
0 Tang-Toennis type screening  
1 do not include any polarization at all  
4 add percentage discrimination based on  
distance to atoms  
8 ignore torque contributions to the gradient  
16 use full polarization tensors  
32 move polarizability tensors to nearest atom  
before induction  
64 do not evaluate electrostatic field, induced  
dipoles or gradient contributions from  
neighbouring fragments. This assumes  
fragments are made in a sequential fashion.  
128 use Ruedenberg localization for localization  
of orbitals  
The third element affects dispersion  
0 no dispersion interactions  
1 include dispersion  
The fourth element affects charge transfer  
0 no charge transfer interactions  
1 include charge transfer  
The fifth element affects exchange repulsion  
0 no exchange interactions  
1 include exchange repulsion

MODFD = switch to freeze the electronic state of some  
fragments. FMO/FD and FMO/FDD require  
RUNTYP=OPTIMIZE and two layers in FMO.  
0 = regular FMO  
1 = FMO/FD (frozen domain)  
3 = FMO/FDD (frozen domain and dimers)

NDUALB = switch to use dual basis approach with  
auxilliary polarization, AP, (i.e., a different  
basis set is used to estimate the polarization).  
The two basis sets in FMO/AP are entered in  
the multibasis fashion (not in the multilayer),  
i.e., as H.1 and H.2 in \$DATA, not as H-1 and H-2.  
The dual basis set has some restrictions.  
Gradient (but not Hessian) is available.  
0 = usual FMO  
1 = dual basis FMO/AP

### I. The following parameters define layers.

NLAYER = the number of layers (default: 1)

MPLEVL = an array specifying  $n$  in  $MP_n PT$  for each layer,  $n=0$  or 2. (default: all 0s).

Note that MCQDPT is not available and therefore one may not choose this for MCSCF.

DFTTYP = an array specifying the DFT functional type for each layer. (default: DFTTYP in \$DFT).  
See \$DFT for possible functionals. All functionals except dual hybrids may be used.  
Only grid-based DFT is supported.

SCFTYP = an array specifying SCF type for each layer.  
At present the only valid choices are RHF, ROHF, UHF, and MCSCF.  
(default: SCFTYP in \$CONTRL for all layers).

CCTYP = an array specifying CC type for each layer, which may be only the following choices from \$CONTRL:  
LCCD, CCD, CCSD, CCSD(T), CCSD(T), CCSD(TQ), CR-CCL, or non-size extensive R-CC or CR-CC.  
Since FMO's CC methods involve adding corrections from pairs of monomers together, it is better to choose a size extensive method.

TDTYPE = an array specifying TDDFT type for each layer, of the same kind as TDDFT in \$CONTRL.  
Default: TDDFT in \$CONTRL for all layers.

CITYP = an array specifying CI type for each layer, see CITYP in \$CONTRL. At present, only CIS may be used (FMO1-CIS energy only, i.e., nbod=1).  
Default: CITYP from \$CONTRL, for all layers.

### II. Parameters defining FMO fragments:

NFRAG = the number of FMO fragments (default: 1)

LAYER = an array defining the layer for each fragment.  
Default: all fragments in layer 1, i.e.,  
LAYER(1)=1,1,1,...,1

FRGNAM = an array of names for each fragment (each 1-8 character long) (default: FRG00001,FRG00002...).

INDAT = an array assigning atoms to fragments. Two styles are supported (the choice is made based on INDAT(1): if it is nonzero, choice (a) is taken, otherwise INDAT(1) is ignored and choice (b) is taken):

a) INDAT(i)=m assigns atom i is to fragment m.  
INDAT(i) must be given for each atom.

b) the style is

a1 a2 ... ak 0

b1 b2 ... bm 0

...

Elements a1...ak are assigned to fragment 1, then b1...bm are assigned to fragment 2, etc.

An element is one of the following:

I or I -J

where I means atom I, and a pair I,-J means the range of atoms I-J. There must be no space after the "-".

Example:

indat(1)=1,1,1,2,2,1 is equivalent to

indat(1)=0, 1,-3,6,0, 4,5,0

Both assign atoms 1,2,3 and 6 to fragment 1, and 4,5 to fragment 2.

ICHARG = an array of charges on the fragments  
(default: all 0 charges)

MULT = an array of multiplicities for each fragment.  
For MCSCF only the unique MCSCF fragment may be something other than a singlet.  
For ROHF and UHF multiple open-shell fragments are allowed, which may have any multiplicity; for dimers the high spin coupling will be used.  
(default: all 1's)

SCFFRG = an array giving the SCF type for each fragment.  
For MCSCF, only one fragment may be MCSCF and the rest should be RHF. For ROHF, UHF and U-DFT multiple open-shell fragments are allowed, but for ground state runs only.  
The values in SCFTYP overwrite SCFFRG, that is, if

you want to do a 2-layer calculation, the first layer being RHF and the other MCSCF, then you would use SCFTYP(1)=RHF,MCSCF and SCFFRG(N)=MCSCF, where you should replace N by your MCSCF fragment number. Then the first layer will be all RHF and the other will have one MCSCF fragment. In special cases, some SCFFRG values may be set to NONE, in which case SCF is not performed. This is useful in conjunction with ATCHRG.  
(default: SCFTYP in \$CONTRL).

MOLFRG = an array listing fragments for selective FMO, where not all dimers (and/or trimers) are computed. Setting MOLFRG imposes various restrictions, such as RUNTYP=ENERGY only. See MODMOL. Default: all 0.

IACFTG = array specifying fragments in the active domain in FMO/FD(D). Ranges can be specified as in INDAT, so INDAT(1)=1,2,-5,8 means fragments 1,2,3,4,5,8. All IACFTG fragments should be in the 2nd layer, and the interfragment distance between fragments in IACFTG and the 1st layer's fragments should not be zero (i.e., no detached bonds between them). Default: all zeroes.

NOPFRG = printing and other additive options, specified for each fragment,

- 1 set the equivalent of \$CONTRL NPRINT=7 (printing option). Useful if you want to print orbitals only for a few selected monomers.
- 2 set MVOQ to +6 to obtain better virtual orbitals (ENERGY runs only, useful mostly to prepare good initial orbitals for MCSCF).
- 4 generate cube file for the specified fragment, the grid being chosen automatically.  
(default: all 0s)
- 64 use frozen atomic charges (defined in ATCHRG) instead of the variational ones to compute converged fragment densities, to describe the electrostatic field from a fragment acting upon other fragments.
- 128 apply options 1 and 4 above only at the final SCF iteration (correlation or GRADIENT only).

NACUT = automatically divides a molecule into fragments by assigning NACUT atoms to each fragment (useful for something like water clusters). This sets FRGNAM and INDAT, so they need not be given. If 0, the automatic option is disabled. (default: 0)

IEXCIT = options for FMO based TDHF, TDDFT, or CI calculations:

IEXCIT(1): ordinal number for the excited state fragment. There is no default for IEXCIT(1), you should always set it.

IEXCIT(2): chooses the many-body level excitation n, e.g. for FMO<sub>n</sub>-TDDFT.

n=1 means only the fragment given in IEXCIT(1) will be excited.

n=2 adds dimer corrections (from fragment pairs involving IEXCIT(1)).

IEXCIT(2) must not exceed NBODY. Default: 1.

IEXCIT(3): (relevant for FMO2-TDDFT only)

= 0 economic mode: only TDDFT dimer calculations are performed (skipping all other dimers).

= 1 all dimer calculations are performed to obtain not just the excitation but also the total excited state energy.

Default: 0.

IEXCIT(4): excited state matching method in FMO2-TDDFT used to determine which excitations in dimers correspond to those in the TDDFT fragment given in IEXCIT(1). Default=2.

= 0 trivial or identity matching (assume the same order of the excited states in monomers and dimers).

= 1 match the dominant orbital pair (aka DRF) coefficient.

= 2 match the whole excitation vector.

Methods 1 and 2 try to match monomer dimer orbitals first, and then use DRF coefficients. In difficult cases (i.e., if the orbitals in a dimer are very delocalised), methods 1 and 2 may not be able to find the right transition, so some visual checking is recommended.

ATCHRG = array of atomic charges, to be used with NOPFRG, set for some fragments to 64 (i.e., to freeze some

of fragment electrostatic potentials during SCC).  
Nota bene: the order of atoms in ATCHRG is not the same as in FMOXYZ. In ATCHRG, you should specify atomic charges for all atoms in fragment 1, then for fragment 2 etc, as a single array. For covalently connected fragments there are formally divided atoms (some redundant), and ATCHRG should then list charges for them as well, all in the exact order of atoms in which fragments are defined in FMO. The number of entries in ATCHRG is NATFMO+NBDFG, where NATFMO is the number of atoms in \$FMOXYZ and NBDFG is the number of bonds defined in \$FMOBND.

NATCHA = option applicable to molecular clusters made exclusively of the same molecules. Only NATCHA atoms are then specified in ATCHRG, and the rest are copied from the first set.

RAFO = array of three thresholds defining model systems in FMO/AFO. All of them are multiplicative factors applied to distances. Two atoms are considered covalently bonded if they are separated by the predefined distance determined by their van der Waals radii. Larger RAFO values make further separated atoms to be considered as bonded.

All atoms within RAFO(1) distance from BDA or BAA are included into the model system in AFO (\$FMOBND lists BDAs and BAAs in this order as -BDA BAA). Atoms within RAFO(2) from the set defined by RAFO(1) are replaced by hydrogens. AO coefficients expanding localized orbitals to be frozen are saved for use in FMO for atoms within RAFO(3) from BDA or BAA. A nonzero RAFO(1) turns on FMO/AFO, else FMO/HOP is used. Default: 0,0,0.

MODMOL = additive options for dimers and trimers in the selective FMO based on MOLFRG.

- 1 limits correlated calculations to a) dimers/trimers including one fragments in MOLFRG, and b) monomers appearing in such dimers/trimers. In other words, this is a cross option, to study interactions between MOLFRG and the rest.
- 2 modifies the choice of dimers/trimers to those in which all fragments are listed in MOLFRG (i.e., option 2 requires also 1, resulting in 3). In other words, this is an intra option, to study

interactions within MOLFRG.

- 4 do not store NFRAG\*\*3 arrays in FMO3, to be used with MODMOL=2, to reduce memory in very special cases. No property summary will be provided, just whatever is printed in SCF for each trimer.

Default: 0 (do not use MOLFRG)

- NFRND = additive options controlling interface and compatibility of GAMESS' FMO with other programs.
- 2 output basis set for each n-mer. Such an FMO output can be split with tools/fmo/misc/frgout, and thus obtained fragment output files can be read into various GUIs (e.g., MacMolPlt), for example to plot MOs of individual n-mers (but not of the whole system), e.g., to help understand an excited state calculation.
  - 4 punch normal modes in RUNTYP=FMOHESS for GUIs (e.g., MacMolPlt) to visualize vibrations. This also prints a frequency table in the output.

### III. Parameters defining FMO approximations

MODESP = options for ESP calculations.

- 0 the original distance definition (uniform),
  - 1 an improved distance definition (many-body consistent, applied to unconnected n-mers),
  - 2 an improved distance definition (many-body consistent, applied to all n-mers).
- (default: 0 (FMO2) or 1 (FMO3))

- MODGRD = 0 subtract the external potential from the Lagrangian (default).
- 1 do not do that.
  - 2 add ESP derivatives (MODESP should be 0)
  - 8 add Mulliken charge derivatives to MODGRD=2
  - 16 do not add HOP derivatives (required for AF0)
  - 32 add CPHF-related terms (known as SCZV) needed for the fully analytic gradient, which may be combined with EFP or PCM<1>.
- This option requires MODESP=0 and for MP2 also RESPPC=0.
- Note that 2+8 terms should be added, too, so MODGRD=42 (=2+8+32) gives the fully analytic gradient.

There are three main usages (some further limitations



are not listed below, e.g., for combinations with PCM or EFP):

MODGRD=0 gives the least accurate gradient, available for almost any FMO method (except CIS and when ab initio gradient in GAMESS is not available, e.g., CC).  
MODGRD=10 is medium accurate, unavailable for CI, CC, ROHF and MCSCF.  
MODGRD=42 is analytic, available for RHF, UHF, ROHF, RDFT and RMP2. The latter requires resppc=0. FMO3-ROHF and FMO3-UHF are not implemented for SCZV.

Default: 10 (=2+8, for FMO2) or 0 (for FMO3).

RESPAP = cutoff for Mulliken atomic population approx, namely, usage of only diagonal terms in ESPs. It is applied if the distance between two monomers is less than RESPAP, the distance is relative to van der Waals radii; e.g. two atoms A and B separated by R are defined to have the distance equal to  $R/(R_A+R_B)$ , where  $R_A$  and  $R_B$  are van der Waals radii of A and B). RESPAP has no units, as may be deduced from the formula. RESPAP=0.0 disables this approximation. (default: 0.0)

RESPPC = cutoff for Mulliken atomic point charge approximation, namely replacing 2e integral contributions in ESPs by effective 1e terms). See RESPAP. (default: 2.0 (FMO2) or 2.5 (FMO3))

RESDIM = cutoff for approximating the SCF energy by electrostatic interaction (1e terms), see RESPAP. This parameter must be nonzero for ab initio electron correlation methods. RESDIM=0 disables this approximation. (default: 2.0 (FMO2) or RITRIM(1)+RITRIM(3) for FMO3 energy, 0 for FMO3 gradient)

RCORSD = cutoff that is compared to the distance between two monomers and all dynamic electron correlation during the dimer run is turned off if the distance is larger than this cutoff. RCORSD must be less than or equal to RESDIM and it affects only MP2, CC, CI, and TDDFT.

(default: 2.0 (FM02), RITRIM(1)+RITRIM(4) for FM03 energy, 0 for FM03 gradient)

RITRIM = an array of 4 thresholds determining neglect of 3-body terms (FM03 only). The first three are for uncorrelated trimers and the exact definition can be found in the source code. The fourth one neglects correlated trimers with the separation larger than the threshold value. RITRIM(4) should not exceed RITRIM(3).  
(default: 1.25,-1.0,2.0,2.0, which corresponds to the medium accuracy with medium basis sets, see REFS.DOC).

SCREEN = an array of two elements, alpha and beta, giving the exponent and the multiplicative factor defining the damping function  
 $1 - \beta \exp(-\alpha R^2)$ .  
This damping function is used to screen the potential due to point charges of bond detached atoms and it can only be applied for RESPPC=-1, i.e., when ESP is approximated by point charges. Default: 0,0 (no screening). Other sensible values are 1,1.

ORSHFT = orbital shift, the universal constant that multiplies all projection operators. The value of  $1e+8$  was sometimes erroneously quoted instead of the actual value of  $1e+6$  in some FMO publications. (default:  $1e+6$ ).

MAXKND = the maximum number of hybrid orbital sets (one set is given for each basis set located at the atoms where bonds are detached). See also \$FMOHYB. (default: 10)

MAXCAO = the maximum number of hybrid orbitals in an LMO set. (default: 5)

MAXBND = the maximum number of detached bonds.  
(default:  $NFG*2+1$ )

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**\$FMOPRP** group (optional for FMO runs)

Options setting up SCF convergers, parallelization and properties are given here.

I. Parameters for SCF convergers and initial guess

MAXIT = the maximum number of monomer SCF iterations.  
(default 30)

CONV = monomer SCF energy convergence criterion.  
It is considered necessary to set CONV in \$SCF to a value less or equal to the CONV in \$FMO.  
Usually 1e-7 works well, but for poorly converging monomer SCF (frequently seen with DFT) one order, smaller value for CONV in \$SCF is recommended, (1e-7 in \$FMO and 1e-8 in \$SCF) (default: 1e-7).

NGUESS = controls initial guess (cumulative options, add all options desired) (default=2):

- 1 run free monomer SCF
- 2 if set, dimer density/orbitals are constructed from the "sum" of monomer quantities, otherwise Huckel guess will be used for dimers, and the same applies to trimers.
- 4 insert HMO projection operator in Huckel guess
- 8 apply dimer HO projection to dimer initial guess
- 16 do RHF for each dimer and trimer, then run DFT.
- 128 do not use orbitals from the previous geometry during geometry optimization. This is mostly useful for multilayer optimizations, when this choice must always be set if basis sets differ.
- 256 if SCF does not converge, try the alternative converger (flip between SOSCF and DIIS).  
If 2048 is not added, the alternative converger will start up with the final orbitals of the unconverged SCF.
- 512 reorder initial orbitals manually using \$GUESS options (IORDER), applies to MCSCF layers only.
- 2048 a modifier of 256 - when both 256 and 2048 are set, the alternative converger will use the same initial set of initial orbitals as the

unconverged SCF.

IJVEC = Index array enabling reading \$VEC inputs defining initial orbitals for individual n-mer runs.

This consists of quintuplets:

ifg1,jfg1,kfg1,ilay1,norb1, ifg2,jfg2, ...

The first pair indexes \$VEC1 with ifg1,jfg1,kfg1 for layer ilay1 expecting norb1 MOs.

The second quintuplet handles \$VEC2 etc.

ifg,0,0,ilay1,norb1 is used for monomer IFG,

ifg,jfg,0,ilay1,norb1 is used for dimer IFG,JFG.

ifg equal to 0 in a quintuplet ends the list.

\$VEC groups must be used consequently from \$VEC1.

(default: all 0s; at most 100 can be given)

MODORB = controls whether orbitals and energies are exchanged between fragments (additive options).

1 exchange orbitals if set, otherwise densities

2 exchange energies

DFT, ROHF, UHF and MCSCF, SCZV and PIEDA require

MODORB=3. MODORB=3 is in general more robust,

because it provides a better initial guess.

(Default: 0 for RHF, 3 for DFT/ROHF/UHF/MCSCF.)

MCONV = an array specifying SCF convergers for each FMO step. Individually (MCONV(2) is for monomers, MCONV(4) for dimers, MCONV(9) for trimers). Each array element is set to A1+A2+A3, where A1 determines SCF and A2 MCSCF convergers, and A3 is the direct/conventional bit common for all SCF methods. MCONV is an additive option:

A1(SCF):	A2(MCSCF):	A3(direct)
1 EXTRAP	1024 FOCAS	256 FDIFF
2 DAMPH	2048 SOSCF	512 DIRSCF
4 VSHIFT	4096 DROPC	
8 RSTRCT	8192 CANONC	
16 DIIS	16384 FCORE	
32 DEM	32768 FORS	
64 SOSCF	65536 n/a	
128 LOCOPT	131072 EKT	
	262144 LINER	
	524288 JACOBI	
	1048576 QUD	

There are some limitations on joint usage for each that can be understood from \$SCF or \$MCSCF.

If set to -1, the defaults given in \$SCF or \$MCSCF are used. See MCONFG. (default: all -1's).

MCONFG = an array specifying SCF convergers for each fragment during the monomer SCF runs. The value -1 means use the default (defined by MCONV). The priority in which convergers are chosen is:  
MCONFG (highest), if not defined MCONV,  
if not defined, \$SCF (lowest).  
This option is useful in case of poor convergence caused by charge fluctuations and SCF converger problems in particular, SOSCF instability for poor initial guess. Default: all -1.

ESPSCA = scale factors for up to nine initial monomer SCF iterations. ESPs will be multiplied by these factors, to soften the effect of environment and help convergence. At most nine factors can be defined. (default: all 1.0's)

CNVDMP = damping of SCF convergence, that is, loosen convergence during the initial monomer SCF iterations to gain speed. CONV in \$SCF and ITOL and ICUT in \$CONTRL are modified.  
CONV is set roughly to  $\min(\text{DE}/\text{CNVDMP}, 1\text{e-}4)$ , where DE is the convergence in energy at the given monomer SCF iteration. It is guaranteed that CONV, ITOL and ICUT at the end will be set to the values given in \$SCF. Damping is disabled if CNVDMP is 0. Reasonable values are 10-100.  
Care should be taken for restart jobs: since restart jobs do not know how well FMO converged, restart jobs start out at the same rough values as nonrestart jobs, if CNVDMP is used. Therefore for restart jobs either set CNVDMP appropriately for the restart (i.e., normally 10-100 times larger than for the original run) or turn this option off, otherwise regressive convergence can incur additional iterations (default: 0).

COROFF = parameter turning off DFT in initial monomer SCF, similar to SWOFF. COROFF is used during monomer SCF, and it turns off DFT until monomer energies converge to this threshold. If COROFF is nonzero, SWOFF is ignored during monomer SCF, but is used

for dimers and trimer iterations. Setting COROFF=1e-3 and SWOFF=0 usually produces good DFT convergence. COROFF may be thought as a macro-analogue of SWOFF. If monomer SCF converges poorly (>25 iterations), it is also recommended to raise CONV in \$SCF to 1e-8 (if CONV in \$FMO is 1e-7). Default: 1.0E-3 (0.0 skips this option).

NPCMIT = the maximum number of FMO/PCM[m] iterations, applicable to m>1 only (for m=1, \$FMOPRP MAXIT is used). NPCMIT=2 can be thought as having special meaning: it is used to define FMO/PCM[l(m)] runs by forcing the FMO/PCM loop run only twice, which corresponds to determining PCM charges during the first iteration (and the m-body level) and then using them during the second iteration (l-body). For FMO/PCM[l(m)] only l=1 is implemented and "m" is given in \$PCM IFMO. Default: 30.

CNVPCM = convergence threshold for FMO/PCM[m] iterations, applicable to m>1 only (for m=1, \$FMOPRP CONV is used). CNVPCM is applied to the total FMO energy Default: 1.0D-07 Hartree.

PCMOFF = parameter turning PCM off in initial monomer SCF iterations, analogous to COROFF. PCM is turned off, until convergence reaches PCMOFF. PCMOFF=0 disables this feature. Default: 0.0

NCVSCF = an array of 2 elements to alter SCF convergers. After NCVSCF(1) monomer SCF iterations the SCF converger will switch between SOSCF <-> FULLNR. This option is useful in converging difficult cases in the following way:  
 \$SCF diis=.t. soscf=.f. \$end  
 \$FMOPRP NCVSCF(1)=2 mconv(4)=65 \$end  
 This results in the initial 2 monomer SCF iterations being done with DIIS, then a switch to SOSCF occurs. mconv(4)=65 switches to SOSCF for dimers.  
 Note that NCVSCF(1) will only overwrite MCONV, but not MCONFG. The SCF converger in MCONV(2) will be enforced after NCVSCF(2) monomer SCF iterations, overwriting MCONFG as well. This is useful for the most obnoxiously converging cases. See other

FMO documentation.

Default: 9999,9999 (which means do not use).

NAODIR = a parameter to decide whether to enforce DIRSCF. Useful for incore integral runs in parallel. NAODIR is the number of AO orbitals that is expected to produce 100,000,000 non-zero integrals. Using this and assuming  $NAO^{**3.5}$  dependence, the program will then guess how many integrals will each n-mer have and whether they will fit into the available memory. If they are determined not to fit, DIRSCF will be set true. This option overwrites MCONV but not MCONFIG. If set to 0, then the default in-core integral strategy is used. (default=0)

VDWRAD = array of van der Waals radii in Angstrom, one for each atom in the periodic table. Reasonable values are set only for a few light atoms and otherwise a value of 2.5 is used. VDWRAD values are used only to compute distance between fragments and thus somewhat affect all distance-based approximations.

## II. Parameters defining parallel execution

MODPAR = parallel options (additive options)  
(default: 13, which is 1+4+8)  
1 turns on/off heavy job first strategy (reduces waiting on remaining jobs at barrier points) (see also 8)  
4 broadcast all fragments done by a group at once rather than fragment by fragment.  
8 alters the behavior of fragment initialisation: if set, fragments are always done in the reverse order (nfg, nfg-1, ...1) because distance calculation costs decrease in the same order and they usually prevail over making Huckel orbitals or running free monomer SCF. Note that during SCC (monomer SCF) iterations the order in which monomers are done is determined by MODPAR=1.  
16 if set, hybrid orbital projectors will not be parallelized (may be useful on slow networks)  
32 reserved  
64 Broadcast F40 for FMO restarts. F40 should only

be precopied to the grand master scratch directory and it should NOT exist on all slaves.

256 Replace I/O to fragment density file by parallel broadcasts from group masters

512 Use DDI memory to store fragment densities during the monomer step, using supervector (smallest memory).

1024 Use DDI memory to store fragment densities during the monomer step, using supervector (smallest communications).

Only one option out of 512 and 1024 may be used.

NGRFMO = an array that sets the number of GDDI compute groups during various stages of the calculation. The first ten elements are used for layer 1, the next 10 for layer 2, etc.

- ngrfmo(1) monomer SCF
- ngrfmo(2) dimers
- ngrfmo(3) trimers
- ngrfmo(4) correlated monomers
- ngrfmo(5) separated dimers
- ngrfmo(6) SCF monomers in FMO-MCSCF (MCSCF monomer will be done with ngrfmo(1) groups)
- ngrfmo(7) SCF dimers in FMO-MCSCF (MCSCF dimer be done with ngrfmo(2) groups)
- ngrfmo(8-10) reserved

If any of them is zero, the corresponding stage runs with the previously defined number of groups. If NGRFMO option is used, it is recommended to set NGROUP in \$GDDI to the total number of nodes. (default: 0,0,0,0).

MANNOD = manually define node division into groups. Contrary to MANNOD in \$GDDI and here it is defined for each FMO stage (see NGRFMO) in each layer. If MANNOD values are set at all, it is required that they be given corresponding to the first nonzero NGRFMO value. The MANNOD values should be given for each nonzero NGRFMO.

E.g. ngrfmo(1)=6,3,0,0,0, 0,0,0,0,0, 4,3  
 mannod(1)=4,2,2,2,2,2, 5,5,4, 4,4,3,3, 6,6,2  
 where 6 groups are defined for monomers in layer 1, then 3 for dimers in layer 1, and 4 and 3 groups for monomers and dimers in layer 2.



(default: all -1 which means do not use).

Note that nodes with very large counts may be too large for good scaling with certain kinds of FMO runs. Any such fat nodes can be divided into "logical nodes" by using the kickoff option :cpus= for TCP/IP, or DDI\_LOGICAL\_NODE\_SIZE for MPI runs. See the DDI instructions.

LOADBF = an array for semi-dynamic load balancing, specifying the basis set sizes. If it is exceeded, static load balancing is used. LOADBF has the same structure as NGRFMO.  
(Default: all 0's (disabling this feature)).

LOADGR = an array for semi-dynamic load balancing, specifying the group sizes to be used with LOADBF. LOADGR has the same structure as NGRFMO.  
LOADGR is normally used with MANNOD, because it is only useful with uneven group sizes. The main purpose is to handle cases when only a few very large fragments are mixed with many small ones.  
An example: LOADBF(1)=200,400 LOADGR(1)=1,4  
NGRFMO(1)=3,5 MANNOD(1)=11,1,1, 3,3,3,3,1  
Monomers whose size exceeds 200 basis functions are executed on 1 group consisting of 11 nodes with static load balancing. Other monomers are computed on 2 groups consisting of 1 node with dynamic load balancing. Dimers whose size exceeds 400 basis functions are executed on 4 groups consisting of 3 nodes with static load balancing. Other monomers are computed on 1 group consisting of 1 node with dynamic load balancing.  
Note that after finishing static work load, large groups will join the dynamic load balancing pool.  
(Default: all 0's (disabling this feature)).

### III. Orbital conversion

File F40 that contains orbital density can be manipulated in some way to change the information stored in it without running any FMO calculations. Such conversion requires irest=2 and the basis sets in the input should define the old (before conversion) format. The results will be stored in F30. You should then rename it to F40 and use in a consequent run (with irest>=2).

Two basic conversion types are supported: A) changing RHF into MCSCF and B) changing basis sets for RHF. RHF and MCSCF use different structure of the restart file (F40) and therefore conversion is necessary.

For type A the following orbital reordering manipulation before storing the results can be done, for example

```
$guess guess=modaf norder=1 iorder(28)=34,28
```

Type B is typically used for preparing good initial orbitals for hard to converge cases. E.g., you can use something like 6-21G to converge the orbitals and then convert F40 to be used with 6-311G\*. At present there is a limitation that only density based (MODORB=0) files may be converged, i.e. you cannot do it for DFT and MCSCF.

MAXAOC = The new (i.e., after conversion) maximum number of AOs per fragment. If you don't know what it should be you can run a CHECK job with the new basis set and find the number in "Max AOs per frg:". If this number is equal to the old value, then type A is chosen.

IBFCON = the array giving pairs of the old and new numbers of AOs for each atom in \$DATA (type B only).

MAPCON = maps determining how to copy old orbitals into new (type B only). See the example.

Example: \$DATA contains only H and O (in this order), F40 was computed with 6-31G and you want to convert to 6-31G\*\*. One water per fragment.

```
MAXAOC=25    25=5*2+15=new basis size for 6-31G**
```

```
IBFCON(1)=2,5, 9,15
```

```
    2 and 5 for H (6-31 and 6-31G**), 9 and 15 for O
```

```
MAPCON(1)=1,2,0,0,0,
```

```
    1,2,3,4,5,6,7,8,9,0,0,0,0,0,0
```

Here we copy the two s functions of each H, and add p polarization p to each H (3 0's), and similarly we copy nine s,p functions for O, and add d polarization (6 0's)

In order to construct MAPCON, you should know in what order Gaussian primitives are stored. The easiest way to learn

this is to run a simple calculation and check the output (SHELL information).

#### IV. Printing, properties, restart, and dimensions.

NPRINT = controls print-out (bit additive)

bits 1-2

0 normal output

1 reduced output (recommended for single points)

2 minimum output (recommended for MD)

4 print interfragment distances. Note: any of RESPAP, RESPPC, or RESDIM must be non-zero or otherwise nothing will be printed. If you only want the distances but no approximations, set the thresholds to huge values, e.g. resdim=1000.

8 print Mulliken charges.

Note: RESPPC must be set (non-zero), see above.

16 special test run to check for errors in \$FMOBND.

32 increase the print-out/punch-out level a) on the last SCC iteration for monomers and b) for all dimers/trimers. It can be employed to plot fragment MOs while using \$CONTRL NPRINT=-5 to reduce monomer output.

64 print atomic coordinates for each fragment.

128 skip printing ES dimer energies during their calculation (but a summary will list them).

Note that choosing 128+2 will reduce the NFG<sup>2</sup> memory requirement for DFTB in half.

PRTDST = array of four print-out thresholds:

1. print all pairs of fragments separated by less than PRTDST(1).

2. print a warning if two fragments are closer than PRTDST(2), intended mostly to monitor suspicious geometries during optimization.

3. print a warning if two fragments are closer than PRTDST(3) and have no detached bond between them, intended to check input.

PRTDST(3) values should slightly exceed the longest detached bond in the system.

4. PRTDST(4) has a completely different meaning. In the summary of pair interactions, only those values will be printed, which are larger than PRTDST(4), in the units of kcal/mol.

Using zero for PRTDST(1) and PRTDST(2) turns them

off. Similarly, use `PRTDST(3)=-1` to turn it off. `PRTDST` has no units, as it applies to unitless FMO distances (e.g., 0.5 means half the sum of van der Waals radii for the closest pair of atoms).  
(default: 0.0, 0.5, 0.6, 0.0)

`IREST` = restart level (to use it, you must copy `.F40.000` to the scratch disk of either the first node only (if `MODPAR=64`) or all nodes (otherwise). Multilayer FMO can be restarted if either all layers use the same basis set or if you save the `.F40.000` file for layer 1 and use it for the same layer.

0 no restart

2 restart monomer SCF (SCC).

4 restart dimers. Requires monomer energies be given in `$FMOENM`. Some or no dimer energies may also be given in `$FMOEND`, in which case those dimers with energies will not be run. Usually the only property that can be obtained with `IREST=4` is the energy. The only exception is: a) 1024 was added to `IREST` when monomer SCF was run and b) property restart files (`*.F38*`) from each node were saved and copied to the scratch directory for the `IREST=1028` job. If these two conditions are met, gradient and ES moments can be restarted with `IREST=1028`.

1024 write property restart files during monomer SCF and/or use them to restart gradient and/or ES moments. No other property may be restarted.

Note that monomer restarts (`irest=2`) do not need adding 1024, as the properties are recomputed. 1024 should only be used for `IREST=4` (or for `IREST=0` to save restart data).

`MODPRP` = some extra FMO properties (bit additive)

Default: 0.

1 total electron density (AO-basis matrix, written to `F10`: useful to create initial orbitals for ab initio).

2 reserved.

4 electron density on a grid.

8 if set, the grid output is a "sparse cube file", otherwise a "Gaussian cube file".

16 automatically generate grid for `modprp = 4` or `8`.

32 molecular electrostatic potential on a grid.

Only one bit out of 4 and 8 may be set.  
Default: 0.

NGRID = three integers, giving the number of 3D grid points for monomers with NOPFRG=4 in x,y and z directions (default 0,0,0).

GRDPAD = Grid padding. Contributions to density on grid will be restricted to the box surrounding an n-mer with each atom represented by a sphere of GRDPAD vdW radii. In general the finer effects one is interested in, the larger GRDPAD should be. For example, if one plots not density, but density differences and a very small cutoff is used, then a larger value of GRDPAD (2.5 or 3.0) may be preferred.  
Default: 2.0.

IMECT = The partitioning method for the interfragment charge transfer (computed from Mulliken charges). IMECT pertains only to those dimers between which a bond is detached.  
IMECT=0,1,2,3,4 are supported (see source code).  
(default: 4)

#### V. Interaction analysis (PIEDA)

IPIEDA = 0 skip the analysis (default)  
1 perform brief PL-state analysis (FMO pair interactions)  
2 perform full PL-state analysis with the PL0-state data.  
PCM may be used with IPIEDA=0 or 1.

NOBDA = gives the number of detached bonds. This parameter should be set to a nonzero value only in runs that produce BDA pair energies. (default: 0)

ROBDA = array of the detached bond lengths, whose number is NOBDA. ROBDA must be given if EOBDA is used.

EOBDA = the array of BDA pair energies, whose number is NOBDA\*4.

EFMO0 = the array of the free state fragment energies,

first NFRAG correlated, then NFRAG uncorrelated values.

EPL0DS = monomer polarization energies, first NFRAG values of PL0d, then NFRAG values of PL0s, then NFRAG values of PL0DI.

EINT0 = the total components for the PL0 state:  
ES0, EX0, CT+mix0, DI0.

None of the PIEDA input values (except IPIEDA) are to be manually prepared, all should come from the punch file of preceeding calculations.

The brief order of IPIEDA=2 execution is:

1. run FMO0.
2. compute BDA energies (if detached bonds are present), using sample files in tools/fmo/pieda. To do this, one needs only ROBDA for a given system. ROBDA is punched by any FMO run at the very beginning, so NBODY=0 type of run might be used to generate it.
3. The results of (1) are EFM00; the results of (2) are EOBDA; use them to run PL0, whose results will be EPL0DS and EINT0.
4. Run PL with the results of (1),(2) and (3).

The alternative is to run IPIEDA=1, which requires none of the above data, but it will use EOBDA is available.

=====

=====

**\$FMOXYZ** group

(given for FMO runs)

This group provides an analog of \$DATA for \$FMO, except that no explicit basis set is given here. For DFTB and FMO/MM runs see notes at the end of this subsection - these runs have some specific requirements. \$FMOXYZ contains any number of lines of the following type:

A.N Q X Y Z

A is the dummy name of an atom.

N is an optional basis set number (if omitted, it will be set to 1). N is intended for mixed basis set runs, for example, if you want to put diffuse functions on carboxyl groups.

Q is the atomic charge.

Z is the integer atomic charge.

X, Y and Z are Cartesian coordinates. These obey UNITS given in \$CONTRL.

There is no default, this group must always be given for FMO runs. Alternatively, you may use the chemical symbol instead of Q. Note that "A" is ignored in all cases, but must be given.

Here is how \$DATA is used in FMO:

Each atom given in \$DATA defines the basis set for that atom type, entirely omitting Cartesian coordinates (which are in \$FMOXYZ). There are two ways to input basis sets in FMO.

I. easy!

This works only if you want to use the same built-in basis set for all atoms. It is possible to use EXTFIL as usual for externally defined basis sets.

1. Define \$BASIS as usual

2. Put each atom type in \$DATA, e.g. for (H2O)2,

\$DATA

H2O

C1 ! FMO does not support symmetry, so always use C1

```
H 1
O 8
$end
```

## II. advanced.

This allows you to mix basis sets, have multiple layers or a non-standard without involving EXTFIL.

1. Do not define \$BASIS.
2. Put each atom type in \$DATA, followed by basis set, either explicit or built in.

The names of atoms in \$DATA have the following format, where brackets indicate optional parameters:

S[.N][-L]

N and L may be omitted (taking the default value of 1),

S is the atom name (discarded upon reading),

N is the basis set ordinal number,

L is the layer.

S[.N][-L] may not exceed 8 characters.

Example: 2-layer water dimer. In the first layer, you want to use STO-3G for the first molecule and your own basis set for the second. In the second layer, you want to use 6-31G and 6-31G\* for the first and second molecules, respectively.

\$DATA

water dimer (H2O)2

C1

H-1 1 ! explanation: layer 1, basis 1 (STO-3G) for Hydr.  
sto 3

O-1 8 ! explanation: layer 1, basis 1 (STO-3G) for Oxygen  
sto 3

H.2-1 1 ! layer 1, basis 2 (manual) for hydrogen  
s 1 ; 1 2.0 1

O.2-1 8 ! explanation: layer 1, basis 2 (manual) for Oxygen  
s 2  
1 100.0 0.8  
2 10.0 0.6  
1 1



```
1 5.0 1 1
```

```
H-2 1 ! explanation: layer 2, basis 1 (6-31G) for Hydr.
n31 6
```

```
O-2 8 ! explanation: layer 2, basis 1 (6-31G) for Oxygen
n31 6
```

```
H.2-2 1 ! layer 2, basis 2 (6-31G* = 6-31G) for Hydrogen
n31 6
```

```
O.2-2 8 ! explanation: layer 2, basis 2 (6-31G*) for Oxygen
n31 6
d 1 ; 1 0.8 1
```

```
$end
```

Your \$FMOXYZ matching this \$DATA will then look as follows:

```
$FMOXYZ
O 8 x y z
H 1 x y z
H 1 x y z
O.2 8 x y z
H.2 1 x y z
H.2 1 x y z
$END
```

Note that if you define mixed basis sets for the atoms where bond detachment occurs (do not do this for basis sets with diffuse functions), then you should provide all required sets in \$FMOHYB as well, and define \$FMOBND properly.

For DFTB, atom names used in \$FMOXYZ should match the usage in other places, such as \$DFTBSK.

For FMO-based IMOMM (FMO/MM) atomic coordinates are given in \$TINXYZ rather than in \$FMOXYZ! There are no FMO-specific options to turn on FMO/MM (use the same style as for regular SIMOMM, complemented by FMO groups).

```
=====
```

=====

**\$OPTFMO** group (relevant if RUNTYP=OPTFMO)

This group controls the search for stationary points using optimizers developed for the Fragment Molecular Orbital (FMO) method. There is no restriction on the number of atoms in the molecule, whereas optimising FMO with standard optimizers (RUNTYP=OPTIMIZE) has a restriction to 2000 atoms (unless you rebuild your GAMESS appropriately). OPTFMO runs may be restarted by providing the updated coordinates in \$FMOXYZ and, optionally, optimization restart data (punched out for each step) in \$OPTRST (the data differs for each method).

METHOD = optimization method

STEEP steepest descent

CG conjugate gradient

BFGSL approximate BFGS numeric updates of the inverse Hessian, that do not require explicitly storing that matrix.

HSSUPD numeric updates of the inverse Hessian

Default: HSSUPD.

HESS = initial inverse Hessian for METHOD=HSSUPD

GUESS diagonal guess of 3

READ read from F38 (advanced option)

Default: GUESS.

UPDATE = inverse Hessian update scheme for METHOD=HSSUPD

BFGS Broyden-Fletcher-Goldfarb-Shanno

DFP Davidon-Fletcher-Powell

Default: BFGS.

OPTTOL = gradient convergence tolerance, in Hartree/Bohr.

Convergence of a geometry search requires the largest component of the gradient to be less than OPTTOL, and the root mean square gradient less than 1/3 of OPTTOL. (default=0.0001)

NSTEP = maximum number of steps to take. Restart data are punched at each step. (default=200)

IFREEZ = array of coords to freeze during optimization.

The usage is the same as for the similar option in \$STATPT.

IACTAT = array of active (not frozen) atoms in geometry optimizations, see \$STATPT for its description.

STEP = initial step factor. This multiplies the gradient to prevent large steps. The values of 0.1-0.2 are considered useful in the vicinity of minimum, and 0.5-1.0 is probably OK at the start. (default: 1)

STPMIN = the minimum permitted value of dynamically chosen STEP size (see STPFAC). (default: 0)

STPMAX = the maximum permitted value of dynamically chosen STEP size (see STPFAC). (default: 1)

STPFAC = Dynamic adjustment of STEP. If the energy goes down considerably, the new STEP is set to the old STEP multiplied by 1/STPFAC, if the energy goes up significantly, STEP is set to STEP\*STPFAC, both constrained by STPMIN and STPMAX. The default is 1, which means do not use dynamic adjustment. The value 0.9 may be useful if dynamically adjusted steps are desired.

=====

=====

**\$FMOHYB** group (optional, for FMO runs)  
 (this group was previously known as \$FMOLMO)

Hybrid orbitals are used to describe bond detachment when dividing a molecule into fragments. These are the familiar sp<sup>3</sup> orbitals for C, plus the 1s core orbital. One set is given for each basis set used. The number of basis functions L1 (see below) should match your basis set(s). This group is not required if no detached bonds are present, for example in water clusters, where the FMO boundaries do not detach bonds. FMO/AF0 also does not use \$FMOHYB and this group may be omitted.

Format:

```
NAM1 L1 M1
I1,1 J1,1 C1,1 C2,1 C3,1 ... CL1,1
...
I1,M1 J1,M1 C1,M1 C2,M1 C3,M1 ... CL1,M1
NAM2 L2 M2
I2,1 J2,1 C1,1 C2,1 C3,1 ... CL1,1
...
I2,M2 J2,M2 C1,M2 C2,M2 C3,M2 ... CL2,M2
```

where NAM are set names (up to 8 characters long), L1 is the basis set size, M1 is the number of hybrid orbitals in this set.

C<sub>i,j</sub> are LCAO coefficients (i is AO, j is MO) so it is the transposed matrix of what is usually considered. I<sub>i,j</sub> and J<sub>i,j</sub> are bond assignment numbers, defining to which side the corresponding projection operator is added. Usually one of each pair of I and J is 1, and the other 0. (default: nothing, that is, no detached bonds).

Orbitals to be put into \$FMOHYB are provided for many common basis sets (see gamess/tools/fmo/HMO).

=====

=====

**\$FMOBND** group (optional, for FMO runs)

The atom indices involved in the bond detachment are given, in pairs for each bond. Bonds are always detached between fragments, layers in multilayer FMO are defined fragment-wise, i.e., whole fragments are assigned to layers.

```
-I1 J1 NAM1,1 NAM1,2 ... NAM1,n ICH1 IMUL1
-I2 J2 NAM2,1 NAM2,2 ... NAM2,n ICH2 IMUL2...
```

I and J are positive integers giving absolute atom indices. NAMs are hybrid orbital set names, defined in \$FMOHYB.

Each line is allowed to have different set of NAMs, which can happen if different type of bonds are detached, for example, one line describing C-C bond and another C-N. Every bond given is detached in such a way that the I-atom will get nothing of it, effectively remove one electron (1/2 of a single covalent bond) from its fragment. The J-atom will get all of the bond and thus adds one electron to its fragment (e.g., formally heterolytic assignment, although in practice all electrons remain through the Coulomb field). The number 'n' above is the number of layers.

ICH and IMUL are ignored in FMO/HOP. For FMO/AFO, they define the charge and multiplicity of the model system constructed for the given bond (both 0 by default). IMUL follows the same rules and in \$CONTRL. In FMO/AFO any name should be used in place of NAM as NONE, if ICH or MUL should be specified, otherwise only -I and J may be given (i.e., omitting NAM, ICH and MUL).  
(default: nothing, that is, no detached bonds).

Example, for a two-layer run with STO-3G and 6-31G\* in the first and second layers, respectively.

```
$FMOBND
-10 15 STO-3G 6-31G*
-20 27 STO-3G 6-31G*
$END
```

=====

=====

\$FMOEFP group (optional, for FMO runs)

This group is used to specify some parameters for FMO/EFP including interaction energy analysis (IEA) of solute-solvent interactions.

NLEVEL = chooses the physical model of FMO/EFP,  
= 0: do not use EFP even if they are defined in the input file.  
= 1: subsystem-specific FMO/EFP (T. Nagata et al., J.Chem.Phys. 134, 034110, 2011)  
= 2: monomer-concerted FMO/EFP (T. Nagata et al., J. Phys. Chem. A, 116, 9088-9099, 2012).

Default: 1 if any EFP is present, and 0 otherwise.

NLEVEL=2 is an approximation to NLEVEL=1. Subsystem-specific means that the EFP polarization is evaluated for each monomer and dimer separately. Monomer-concerted means that the polarization is evaluated once for the total electrostatic field of all FMO (and EFP) fragments (i.e., monomers). The monomer-concerted treatment is somewhat like FMO/PCM[1], where EFP is treated analogously to PCM in the way of doing it once for the total field. Then the induced dipoles on EFP are fixed and used to evaluate the polarization energy of FMO dimers.

IEACAL = controls IEA runs  
= 0: do not do IEA (default)  
= -1: original version (JPC-A 116,9088(2012))  
= 1: run IEA (newer version)  
IEACAL=-1 and 1 differ only for IEA with IEABDY=2, in the dimer polarization terms (factor of 2).

ITRLVL = extract polarization contributions in IEA  
= 0: do usual SCC iterations (default)  
= 1: extract ESO contributions using free state densities  
= 2: calculate ES using densities of the solute (PL?) state

IEABDY = do IEA up to n-mers, for example, IEABDY=2 means

do IEA up to dimers in FMO. (default=2)

NPRIEA = bit-additive print-out options for IEA  
= 0: no additional print-out (default)  
= 1: print contribution of all EFPs to each FMO  
= 2: print contribution of all EFPs to each FMO atom  
= 4: print individual FMO fragments/EFP fragments  
= 8: print contributions for EFP points/FMO atoms

IPFMO = an array of the size of the number of FMO  
fragments, giving a list of FMO fragments  
for which IEA is done.  
Default: 1, 2, 3, ... (all FMO fragments)

IPEFP = an array of the size of the number of EFP  
fragments, giving a list of EFP fragments  
for which IEA is done.  
Default: 1, 2, 3, ... (all EFP fragments)

=====

---

**\$FMOENM** group (optional, for FMO runs)

This group defines monomer energies for restart jobs. The group should be taken from a previous run.

The format is IFG and ILAY, followed by 4 monomer energies, of which only the first two are used (noncorrelated and correlated).

IFG is the fragment number and ILAY is the layer number. This group is required for FMO restarts IREST=4.

---

**\$FMOEND** group (optional, for FMO runs)

Dimer energies for restart jobs. The group should be taken from a previous run.

The format is IFG, JFG and ILAY, followed by 2 dimer energies, (E'IJ and  $\text{Tr}(\text{deltaDIJ} \cdot \text{VIJ})$ ). IFG and JFG describe the dimer and ILAY is the layer number.

This group is optional for FMO restarts IREST=4 and is otherwise ignored. Note that for parallel restarts, \$FMOEND inputs from all nodes should be collected and merged into one group.

---

**\$OPTRST** group (optional, for RUNTYP=OPTFMO)

Restart data for FMO geometry optimizations. The data inside vary for each optimization method, and are supposed to be taken from a previous run (from the punch file).

---



=====

**\$GDDI** group (parallel runs only)

This group controls the partitioning of a large set of processors into sub-groups of processors, each of which might compute separate quantum chemistry tasks. If there is more than one processor in a group, the task assigned to that group will run in parallel within that processor group. Note that the implementation of groups in DDI requires that the group boundaries be on SMP nodes, not individual processor cores.

For example, the FMO method can farm out its different monomer or dimer computations to different processor subgroups. This is advantageous, as the monomers are fairly small, and therefore do not scale to very many processors. However, the monomer, dimer, and maybe trimer calculations are very numerous, and can be farmed out on a large parallel system.

At present, only a few procedures in GAMESS can utilize processor groups, namely

- a) the FMO method which breaks large calculations into many small ones,
- b) VSCF, which has to evaluate the energy at many geometries,
- c) numerical derivatives which do the same calculation at many geometries (for gradients, see NUMGRD in \$CONTRL, for hessians, see HESS=SEMINUM/FULLNUM in \$FORCE),
- d) replica-exchange MD (see REMD in \$MD).

NGROUP = the number of groups in GDDI. Default is 0 which means standard DDI (all processes in one group).

PAROUT = flag to create punch and log files for all nodes. If set to .false, these files are only opened on group masters.

BALTYP = load balancing at the group level, otherwise similar to the one in \$SYSTEM. BALTYP in \$SYSTEM is used for intragroup load balancing and the one in \$GDDI for intergroup. It applies only to FMO

runs. (default is DLB)

MANNOD = manual node division into groups. Subgroups must split up on node boundaries (a node contains one or more cores). Provide an array of node counts, whose sum must equal the number of nodes fired up when GAMESS is launched.

Note the distinction between nodes and cores, also called processors, If you are using six quad-core nodes, you might enter

NGROUP=3 MANNOD(1)=2,2,2

so that eight CPUs go into each subgroup.

If MANNOD is not given (the most common case), the NGROUP groups are chosen to have equal numbers of nodes in them. For example, a 8 node run that asks for NGROUP=3 will set up 3,3,2 nodes/group.

Note that nodes with very large core counts may be too large for good scaling with certain kinds of subgroup runs. Any such 'fat' nodes can be divided into "logical nodes" by using the kickoff option :cpus= for TCP/IP-based runs, or the environmental variable DDI\_LOGICAL\_NODE\_SIZE for MPI-based runs. See the DDI instructions.

Note on memory usage in GDDI: Distributed memory MEMDDI is allocated globally, MEMDDI/p words per computing process, where p is the total number of processors. This means an individual subgroup has access to MANNOD(i)\*ncores\*MEMDDI/p words of distributed memory. Thus, if you use groups of various sizes, each group will have different amounts of distributed memory (which can be desirable if you have fragments of various sizes in FMO).

=====

=====

**\$ELG** group (polymer elongation calculation)

This group of parameters provides control of elongation calculations, which steadily increase the size of aperiodic polymers, by adding attacking monomers to the end of an existing chain. The existing chain consists of two parts: an A region, with a frozen electron density, farthest from the new monomer, and a B region at whose end the monomer attacks. The wavefunction of the B region and the new monomer are optimized quantum mechanically. Disk files containing integrals and/or wavefunction information must be saved from one elongation run to the next.

A large number of examples are provided with the source code distribution, see ~/gamess/tools/elg for this, perhaps starting with the (gly)5, (gly)6, (gly)7 examples. See the literature cited below for more help.

NELONG = a flag to activate an elongation calculation,  
0 means normal GAMESS run (default)  
1 same as 0 but without reorientation of geometry  
2 means elongation starting cluster calculation,  
this initiates a chain's A and B regions.  
3 implies the monomer elongation of the chain.

NATM = NUMBER OF ATOMS IN A-REGION  
Coordinates of the A-region atoms must be listed  
at the beginning of the input geometry in \$DATA

NASPIN = multiplicity of the A-region

NTMLB = NUMBER OF TERMINAL ATOMS IN B-REGION

NCT = CONTROLLER FOR AO-CUT  
0 means no AO-cut  
1 means AO-cut activated

IPRI = PRINT LEVEL  
0 minimum printing (default)  
3 debugging printing

LDOS = LOCAL DENSITY OF STATES CALCULATION

0 means no LDOS calculation  
1 means LDOS calculation

I2EA = READ-IN 2E-INTEGRALS FOR A-REGION  
0 means A-region 2e-integrals are recalculated  
1 means A-region 2e-integrals are read from a  
previous calculation

ATOB = Flag to shift one unpaired electron to the A- or  
the B-region, for covalently bonded A and B.  
.TRUE. means shift one electron to B-region  
.FALSE. means shift one electron to A-region

For more information on this method, see

A.Imamura, Y.Aoki, K.Maekawa  
J.Chem.Phys. 95, 5419-5431(1991)  
Y.Aoki, A.Imamura J.Chem.Phys. 97, 8432-8440(1992)  
Y.Aoki, S.Suhai, A.Imamura  
Int.J.Quantum Chem. 52, 267-280(1994)  
Y.Aoki, S.Suhai, A.Imamura  
J.Chem.Phys. 101, 10808-10823(1994)

and particularly the new implementation described in

"Application of the elongation method to nonlinear optical  
properties: finite field approach for calculating static  
electric (hyper)polarizabilities"

F.L.Gu, Y.Aoki, A.Imamura, D.M.Bishop, B.Kirtman  
Mol.Phys. 101, 1487-1494(2003)

"A new localization scheme for the elongation method"

F.L.Gu, Y.Aoiki, J.Korchowiec, A.Imamura, B.Kirtman  
J.Chem.Phys. 121, 10385-10391(2004)

"Elongation method with cutoff technique for linear SCF  
scaling"

J.Korchowiec, F.L.Gu, A.Imamura, B.Kirtman, Y.Aoki  
Int.J.Quantum Chem. 102, 785-794(2005)

"Elongation method at Restricted Open-Shell Hartree-Fock  
level of theory"

J.Korchowiec, F.L.Gu, Y.Aoki  
Int.J.Quantum Chem. 105, 875-882(2005)

=====

=====

**\$DANDC** group (optional, relevant if SCFTYP=RHF or UHF)

This group controls the divide-and-conquer (DC) SCF calculations, in which the total 1-electron density matrix is obtained as sum of subsystem density matrices. In this calculation, the total system is partitioned into several disjoint subsystems (central regions). A subsystem density matrix is expanded by bases in the central region and its neighboring environmental region (buffer).

The present implementation allows energy and analytic nuclear gradients, for HF, DFT, and semi-empirical runs, for SCFTYP=RHF or UHF only. The discrete EFP and various continuum solvation models are available. DC correlation energies are also available for either MP2 and CC, see \$DCCORR, without nuclear gradients. Dynamic and static polarizabilities (but no hyperpolarizabilities) based on DC-HF are available by specifying RUNTYP=TDHF (not TDHFX).

The initial guess is given by a density matrix, not orbitals. The only available options are GUESS=HUCKEL, HCORE, HUCSUB, DMREAD, and MOREAD (the latter means orbitals for the entire system).

For a review paper on Divide-and-Conquer in GAMESS:  
M.Kobayashi, H.Nakai  
in Linear-Scaling Techniques in Computational  
Chemistry and Physics: Methods and Applications  
(Springer), Chap. 5 (2011)

For more information on the DC-SCF method, see  
W.Yang, T.-S.Lee  
J.Chem.Phys. 103, 5674-5678(1995)  
T.Akama, M.Kobayashi, H.Nakai  
J.Comput.Chem. 28, 2003-2012(2007)  
T.Akama, A.Fujii, M.Kobayashi, H.Nakai  
Mol.Phys. 105, 2799-2804(2007)  
T.Akama, M.Kobayashi, H.Nakai  
Int.J.Quant.Chem. 109, 2706-2713(2009)  
M.Kobayashi, T.Yoshikawa, H.Nakai  
Chem.Phys.Lett. 500, 172-177(2010) [open-shell]  
M.Kobayashi, T.Kunisada, T.Akama, D.Sakura, H.Nakai  
J.Chem.Phys. 134, 034105/1-11(2011) [gradient]

For more information on DC-MP2 and DC-CC, see

M.Kobayashi, Y.Imamura, H.Nakai  
J.Chem.Phys. 127, 074103/1-7(2007)

M.Kobayashi, H.Nakai  
J.Chem.Phys. 129, 044103/1-9(2008)

M.Kobayashi, H.Nakai  
J.Chem.Phys. 131, 114108/1-9(2009)

M.Kobayashi, H.Nakai  
Int.J.Quant.Chem. 109, 2227-2237(2009)

For more information on DC-TDHF polarizability, see

T.Touma, M.Kobayashi, H.Nakai  
Chem.Phys.Lett. 485, 247-252(2010)

Of course, the trick to methods that divide up a large problem into small ones is to control the errors that result. A simple way to set up a DC-MP2 calculation is with atomic partitions:

```
$contrl scftyp=rhf mplevl=2 runtyp=energy $end
$system mwords=25 $end
$scf      dirscf=.true. $end
$dandc   dcflg=.true. subtyp=atom bufrad=8.0 $end
$dccorr  dodccr=.true. rbufcr=5.0 $end
$guess   guess=hucsub $end (if DC-SCF is used)
```

This leads to as many subsystems as there are atoms, with the buffer region around the central atom being defined by a radius. This input recognizes that exchange effects in Hartree-Fock are longer range than correlation, and thus uses dual level radii. It may be reasonable to simply do a conventional and thus fully accurate SCF computation by DCFLG=.FALSE., obtaining only the MP2 correlation energy by the divide and conquer method. Faster run times may result from other partitionings, such as manually dividing a protein into subsystems containing a single amino acid.

DCFLG =            flag to activate DC-SCF calculation.  
                  (default=.FALSE.)

Note: If you want to treat only the correlated MP2/CC procedure in the DC manner, after a standard HF calculation, this option may be set to .FALSE.

SUBTYP =           chooses a method to construct disjoint  
                     subsystems (central region).  
= ATOM            individual atom is 1 subsystem.

- (default if NSUBS=0 or not given)
- = MANUAL manually selects using NSUBS and LBSUBS keywords. (default if NSUBS>=1)
  - = CARD reads from card. \$SUBSCF is used for SCF and \$SUBCOR for MP2/CC calculation.
  - = AUTO constructs subsystems automatically by dividing total system by cubic grid. Grid size can be set by SUBLNG.
  - = AUTOBND considers bond strength after AUTO.

NSUBS = number of subsystems when SUBTYP=MANUAL.

LBSUBS = an array assigning atoms to subsystems.  
 The style is the same as INDAT keyword in \$FMO.  
 Two styles are supported (the choice is made based on LBSUBS(1): if it is nonzero, choice (a) is taken, otherwise LBSUBS(1) is ignored and choice (b) is taken):

- a) LBSUBS(i)=m assigns atom i is to subsystem m. LBSUBS(i) must be given for each atom.
- b) the style is
 

```

a1 a2 ... ak 0
b1 b2 ... bm 0
...

```

 Elements a1...ak are assigned to subsystem 1, then b1...bm are assigned to subsystem 2, etc.  
 An element is one of the following:
 

```

      I      or      I -J

```

 where I means atom I, and a pair I,-J means the range of atoms I-J. There must be no space after the "-"!

Example:  
 LBSUBS(1)=1,1,1,2,2,1 is equivalent to  
 LBSUBS(1)=0, 1,-3,6,0, 4,5,0  
 Both assign atoms 1,2,3 and 6 to subsystem 1, and 4,5 to subsystem 2.

SUBLNG = grid length of cube used in SUBTYP=AUTO or AUTOBND.  
 This value should be in the unit given by UNITS keyword in \$CONTRL. (default=2.0 Angstroms).

BUFTYP = chooses a method to construct buffer region.  
 = RADIUS selects atoms included in spheres centered at atoms in the central region (default).  
 The radius is given by BUFRAD keyword for

- DC-SCF and by the RBUFCR keyword in \$DCCORR for DC-MP2/CC.
- = RADSUB selects subsystems containing one or more atom(s) which is included in spheres centered at atoms in the central region. This selection can avoid cutting bonds within each subsystem.
  - = CARD reads from \$SUBSCF or \$SUBCOR card. Only available when SUBTYP=CARD.
- BUFRAD = buffer radius in DC-SCF calculation. This value should be in the units given by UNITS keyword in \$CONTRL (default=5.0 Angstroms).
- FRBETA = inverse temperature parameter of Fermi function used in DC-SCF procedure in a.u. (default=200.0) Reducing this value may improve SCF convergence but may obtain worse total energy.
- MXITDC = maximum number of iteration cycles for determining Fermi level (default=100). Usually, you need not care about this keyword.
- FTOL = Fermi function cutoff factor (default=15.0).  
= p The value of Fermi function less than  $10^{**}(-p)$  is considered as 0. The value greater than  $[1 - 10^{**}(-p)]$  is considered as 1.
- NDCPRT = DC print-out option which is the sum of followings (default=0).  
= +1 not used (reserved).  
= +2 prints density matrix (\$DM section) on punch.  
= +4 prints energy corresponding to each subsystem. Gives correct energy only in HF calculation.  
= +8 prints orbitals in each subsystem.
- IORBD = selects molecular orbital in total system whose electron density is to be computed. Print format is given in \$ELDENS.  
= -1, -2, ... correspond to HOMO, HOMO-1, ...  
= 1, 2, ... correspond to LUMO, LUMO+1, ...  
= 0 no calculation (default).

In the DC-SCF procedure, the available SCF acceleration techniques are DIIS, DAMP, EXTRAP as well as DC-DIIS and



VFON which are specific to the DC-SCF. In DC-SCF calculation, only DIIS is used by default. DC-DIIS (DIIDCF=.TRUE.) is not normally needed for convergence.

The following keywords control (DC-)DIIS convergence:

DIITYP =            selects the error vector used in the  
                     standard DIIS extrapolation  
     = FDS        Pulay's modified DIIS (e=FDS-SDF). Although  
                     this type of error vector behaves well in  
                     standard SCF, it may not for DC-SCF.  
     = DELTAF    Pulay's original DIIS (e[i]=F[i]-F[i-1]),  
                     or so-called Anderson mixing (default).

DIIQTR = .TRUE.    uses orthogonal basis (in entire system)  
                     for DIIS extrapolation. Normally, this  
                     does not make sense in DC-SCF run.  
         = FALSE.    uses atomic basis function for DIIS  
                     extrapolation (default).

EXTDII = energy error threshold in absolute value for  
         exiting DIIS (default=0.0).

PEXDII = percentage threshold of energy error change for  
         exiting DIIS (default=1.0). PEXDII is  
         preferential to EXTDII.

DIIDCF = a flag to activate DC-DIIS interpolation  
         (default=.FALSE.).

ETHRDC = energy error threshold for initiating DC-DIIS.  
         Increasing ETHRDC forces DC-DIIS on sooner  
         (default = 1.D-4 if DIIDCF=.TRUE.).

The following keywords control the convergence acceleration  
based on the varying fractional occupation number (VFON).  
The final electronic temperature is given by FRBETA.

FONTYP =            selects the variation pattern of electronic  
                     temperature (beta) in SCF iteration  
     = DIIER        logarithmic variation with respect to DIIS  
                     error.  
     = NONE        no variation (default).

BETINI = initial beta value in a.u.  
(default = FRBETA/4 for FONTYP=DIIER).

FONSTA = threshold to start variation of beta  
(default=1.0 for FONTYP=DIIER).

FONEND = threshold to stop variation of beta  
(default=1.D-4 for FONTYP=DIIER).

When FONTYP=DIIER, the beta value used in the iteration  
(of which the DIIS error is DIISer) is the following:  
    beta = BETINI [for DIISer>FONSTA]  
          = FRBETA [for DIISer<=FONEND]  
          = FRBETA + C\_FON \* Log(DIISer/FONEND) [otherwise]  
where (C\_FON = (BETINI-FRBETA) / Log(FONSTA/FONEND))

Option for the type of nuclear gradient:

NDCGRD = selects the DC-SCF gradient implementation  
          = 0 use a formula proposed by Yang and Lee in 1995  
          = 1 use a formula proposed by Kobayashi et al. in  
            2011 (default)

Next are options for printing density of states (DOS).

DOSITV = Interval between plot points in Hartree. The  
          default is zero, meaning no DOS print-out. If  
          you print out DOS, DOSITV=0.05 may be sufficient.

DOSRGL = Left end of the plot range in Hartree.  
(default=-2.0)

DOSRGR = Right end of the plot range in Hartree.  
(default=+2.0)

BDOS = Inverse temperature parameter (beta) for  
        distributing states. This value should not be  
        given because it is set to be equivalent to FRBETA  
        in \$DANDC by default.

=====

```
=====
$DCCORR group          (optional)
      relevant for MPLEVEL=2
      relevant for CCTYP=LCCD, CCD, CCSD, CCSD(T), R-CC
```

This group controls the linear-scaling DC-based MP2 or CC calculations. In this method, subsystem correlation energy is evaluated in each subsystem by means of subsystem MOs. Total correlation energy is obtained by summing up subsystem contributions.

The present implementation allows only RHF reference. DC-MP2 calculations can be run in parallel (using CODE=DDI, IMS, or SERIAL in \$MP2), but DC-CC is limited to serial execution. DC-MP2 with CODE=IMS is only compatible with DIRSCF=.TRUE. Coupled cluster code is only available for CCTYP=LCCD, CCD, CCSD, CCSD(T), or R-CC. No solvation models are available. This group must be given if the "double-hybrid" DFT is used (e.g., DFTTYP=B2PLYP).

Note: Although \$DANDC input is usually used together to select subsystem and buffer information, DC-SCF calculation is not indispensable to perform DC correlation calculation. You can perform DC correlation calculation without DC-SCF by setting DCFLG=.FALSE. in \$DANDC and DODCCR=.TRUE.

For more information (and references), see \$DANDC.

DODCCR = a flag to activate DC-MP2/CC calculation. This is forced to be .TRUE. if DCFLG=.TRUE. in \$DANDC. This keyword enables to perform DC-MP2/CC calculation after standard (non-DC) RHF.

RBUFCR = buffer radius used in DC-MP2/CC calculation. This value should be in the unit given by UNITS option in \$CONTRL. By default, RBUFCR is set to be equal to BUFRAD in \$DANDC. This keyword is mainly used to perform so-called dual-buffer DC-MP2/CC calculations, see the paper on the DC-CC method for more details.

RMKORB = a flag to remake orbitals in each subsystems. This

is forced to be `.TRUE.` if `RBUFCR` is different from `BUFRAD` in `$DANDC` or standard HF calculation was performed. Apart from these cases, `RMKORB=.FALSE.` by default. This keyword is meant for debug purposes.

`HFFRM` = a flag to use the Fermi level determined in the preceding HF calculations even when `RMKORB=.TRUE.` (default=`.FALSE.`)

The Fermi level is used to classify the subsystem orbitals into occupied and virtual ones. Usually, this option does not change the results except for the use of diffuse basis functions.

`WOCC` = a parameter determining proportion of occupied contribution. This should be between 0 and 1. The proportion of virtual contribution becomes `[1 - WOCC]`. (default=`1.0`)

This is forced to be `1.0` in DC-CC calculation, except when `WOCC=0.0`, which only calculates virtual contribution.

We recommend `1.0` to obtain accurate results.

`ONLYOC` = a flag to disable MP2 calculation for virtual contributions. This is forced to be `.FALSE.` if `WOCC` is not `1.0`, and to be `.TRUE.` in DC-CC calculation.

= `.TRUE.` Performs DC-MP2 calculation only for occupied contributions. This option will accelerate the CPU time. (default)

= `.FALSE.` Performs DC-MP2 calculation for occupied and virtual contributions.

`ITPART` = specifies the partitioning for (T) correction. This is only relevant to `CCTYP=CCSD(T)` or `R-CC`.  
= `XY` (two-digit integer)  
uses `[X,Y]` type partitioning defined in the following article: *J.Chem.Phys.*131,114108(2009) (default=`00`)

`ISTCOR` = restart option for DC-MP2/CC.

= 0 does DC-MP2/CC calculation from the beginning (default).

= `n` reads subsystem correlation energies corresponding to subsystem 1-(`n-1`) from input

and perform DC-MP2/CC calculation from n-th subsystem. \$MP2RES and \$CCRES inputs are required for DC-MP2 and DC-CC calculations, respectively.

FZCORE = a flag to freeze core electrons in DC-MP2 or DC-CC calculation. Other frozen orbital options such as NACORE in \$MP2 and NCORE in \$CCINP do not pertain to DC-MP2/CC calculations. The default is .TRUE. to freeze cores.

=====

=====

**\$SUBSCF** group            (relevant during Divide and Conquer)  
**\$SUBCOR** group

These groups specify the central and buffer regions when SUBTYP=CARD or BUFTYP=CARD in \$DANDC. \$SUBSCF is used for DC SCF and \$SUBCOR is for DC-MP2/CC. If BUFTYP is not CARD, only central region is specified by these groups. They consist of free format integer numbers of which the style is like this:

```
$SUBSCF
! SUBSYSTEM      1
  1  3 -5  0
  2  6 -8  0 0
! SUBSYSTEM      2
  2  6 -9 11  0
  1  3  4 10 12 -14 0 0
! SUBSYSTEM      3
  ...
$END
```

Lines starting with ! are comments neglected when reading.

First, atoms in the central region of subsystem 1 is specified according to the (b) style of LBSUBS in \$DANDC. A single 0 separates the central and buffer region of the same subsystem. Then, specify atoms in the buffer region of subsystem 1. A double 0 separates subsystems. These are iterated until all subsystems are specified.

In the above case, the subsystems are the followings:

```
Subsystem 1 central: 1,3,4,5
              buffer : 2,6,7,8
Subsystem 2 central: 2,6,7,8,9,11
              buffer : 1,3,4,10,12,13,14
```

=====

=====

**\$MP2RES** group (restart data for DC-MP2 runs)

**\$CCRES** group (restart data for DC-CC runs)

Restart data (consisting of subsystem correlation energies) for Divide and Conquer correlation calculations. The appropriately named input group is required if ISTCOR is selected in \$DCCORR. The format of these two groups is slightly different for DC-MP2 or DC-CC, but the data should be given in exactly the same format that it was written to file RESTART, adding only a \$END line.

Examples:

\$MP2RES

```
1 -0.133110332082E+00 0.000E+00 -0.133110332082E+00
2 -0.130740147906E+00 0.000E+00 -0.130740147906E+00
3 -0.130483660838E+00 0.000E+00 -0.130483660838E+00
$END
```

\$CCRES

2

```
1 -0.135031928183E+00 -0.132440119981E+00
2 -0.132589691149E+00 -0.131009546477E+00
3 -0.132673391144E+00 -0.130832334600E+00
4 -0.133163592168E+00 -0.131377855474E+00
$END
```

The integer in the first line indicates the CC method.

=====

=====

**\$CIMINP** group (required when CIMTYP is not NONE)

This group controls a CIM calculation of the type specified by CIMTYP in \$CONTRL. The \$CIMINP input variables can be divided into a few categories:

\*\*\* The variable required by all CIM runs \*\*\*

SUBMTD = assigns the default method for each CIM subsystem. In single-level CIM calculations, SUBMTD applies to all CIM subsystems and no further information about quantum-chemistry methods used in subsystem calculations is needed. In order to run a multi-level CIM calculation (CIMTYP=SECIM or GSECIM only), information about subsystems treated with methods other than SUBMTD must be provided in \$CIMATM (CIMTYP=SECIM) or \$CIMFRG (CIMTYP=GSECIM). The allowed values of SUBMTD are:

- = NONE or HF means no post-HF calculation will be performed. In this case, information about methods used in subsystem calculations must be provided in \$CIMATM (CIMTYP=SECIM) or \$CIMFRG (CIMTYP=GSECIM) and at least one atom in \$CIMATM or at least one group of atoms in \$CIMFRG must be treated by the allowed post-HF approach.
- = MP2 means an MP2 subsystem calculation (SCFTYP=RHF only).
- = CCD means a CCD subsystem calculation (SCFTYP=RHF only).
- = CCSD means a CCSD subsystem calculation.
- = CCSD(T) means a CCSD(T) subsystem calculation (SCFTYP=RHF only).
- = CR-CCL means a CR-CC(2,3) subsystem calculation.

Note: There is no default for SUBMTD; the user must specify one of the above values.

The remaining \$CIMINP input variables are optional.



\*\*\* the details of CIM subsystem design \*\*\*

- ZETA = threshold for assigning environment orbitals to central occupied LMOs in the SECIM/GSECIM algorithm (CIMTYP=SECIM or GSECIM only). Default=0.003.
- ZETA1 = threshold for assigning primary environment orbitals to central occupied LMOs in the DECIM algorithm (CIMTYP=DECIM only). Default=0.01.
- ZETA2 = threshold for assigning secondary environment orbitals to irreducible central domains in the DECIM algorithm (CIMTYP=DECIM only). Default=0.05.
- ATMMLK = the Mulliken population cutoff for assigning central LMO(s) to each non-hydrogen atom and its adjacent hydrogen atom(s) (the SECIM case) or to the user-specified set of two or more non-hydrogen atoms and hydrogen atoms bounded to those atoms provided in \$CIMFRG (the GSECIM case) in initial steps of the SECIM/GSECIM algorithm. The same parameter is also used to assign AO domains to occupied LMOs of extended subsystems within the SECIM/GSECIM methodology (CIMTYP=SECIM or GSECIM only). Default=0.15.
- BUFDST = cutoff distance (in Angstrom) for buffer atoms included in extended subsystems. Default=4.0.
- MRGSUB = determine whether or not to incorporate smaller subsystems into larger ones if the former are completely embedded in the latter.
- = 0 do not incorporate smaller subsystems into larger ones, i.e., retain all subsystems resulting from the initial steps of the CIM algorithms without eliminating redundancies.
  - = 1 incorporate smaller subsystems into larger ones if the methods used for smaller subsystems and larger ones that contain them are identical or if the method used for a given smaller subsystem is a lower-level approach compared to the method used for the larger subsystem that contains it. Otherwise, i.e., if the method

used for a given smaller subsystem is a higher-level approach compared to the method used for the larger subsystem, retain the smaller subsystem and the method used for it without embedding it into the larger one. The ordering of theory levels from low to high level is MP2<CCD<CCSD<CCSD(T)<CR-CC(2,3). This is the default choice for MRGSUB.

- = 2 always incorporate smaller subsystems into larger ones and, if the method used for a given smaller subsystem is different than the method used for the larger subsystem that contains it, apply the higher-level approach to the larger subsystem after embedding the smaller one in it.

ETA = the threshold for selecting the final sets of unoccupied orbitals associated with CIM subsystems from the larger sets of unoccupied orbitals associated with the corresponding extended subsystems. A given unoccupied orbital 'a' is kept in the calculations if the norm of the difference between 'a' and the projected form of 'a' obtained by projecting it on the AO space of the corresponding CIM subsystem is less than ETA. The larger the ETA value the larger the number of unoccupied orbitals associated with extended subsystems is retained. Default=0.2.

FCORE = a flag to exclude the chemical core orbitals in the correlated CIM calculations. Default = .TRUE.

MOFIX = determines whether or not to read information about the orbital composition of CIM subsystems from the external \${JOB}.dmn file (environment variable CIMSYS).

- = 0 do not read information from the \${JOB}.dmn file if it exists prior to calculation. The \${JOB}.dmn file with the orbital composition of CIM subsystems is produced by the present run.
- = 1 read information about the occupied LMOs of each subsystem from the previously generated CIMSYS file to perform the CIM calculation with partially fixed domains. The unoccupied LMOs

of each subsystem are generated by the present run. The CIMSYS file from the previous CIM run must be saved on the disk as the \${JOB}.dmn file.

- = 2 read info about the occupied and unoccupied LMOs of each subsystem from the previously generated CIMSYS file to perform the fixed domain CIM calculation. The CIMSYS file from the previous CIM run must be saved on the disk as the \${JOB}.dmn file.

\*\*\* The variables controlling subsystem calculations \*\*\*

CCONV = the convergence criterion for the cluster amplitudes in CCD or CCSD subsystem iterations. Default=1.0E-6.

ENRGML = the way to determine the correlation energy contribution due to the individual occupied LMO 'i' if 'i' is central in two or more subsystems that are treated at different theory levels when running multi-level SECIM or GSECIM calculations.

- = AVERAGE use the average energy, i.e., average the correlation energy contributions due to LMO 'i' that correspond to all subsystems where 'i' is central (default).

- = HIGHER use the correlation energy contribution that corresponds to the subsystem in which 'i' is central and which is treated by the highest-level approach. The ordering of theory levels from low to high level is  
MP2 < CCD < CCSD < CCSD(T) < CR-CC(2,3).

\*\*\* for highly specialized users \*\*\*

The parameters below are rather specialized and rarely of concern. They should be changed from their default values only for good reason by a knowledgeable user.

SUBTYP = type of orbitals used in subsystem calculations.  
= QCMO use the quasi-canonical molecular

MTDCIM = 0 a sequential CIM calculation will be performed (default). If the calculation does not complete due to the failure of one of the subsystem calculations, the program will abort, but the user will be able to restart it using one of the two restart methods described below.

= 1 gracefully stop the calculation after the Hartree-Fock calculation, orbital localization, and CIM subsystem construction are completed.

The \$JOB.cim file, which contains the information about all subsystems needed to complete the CIM run, and multiple input files \$JOB.Sys-N.inp, where N is the subsystem number, for individual subsystem calculations with GAMESS, are generated, but not run. This option is particularly useful for parallel calculations.

- = 2 resume the calculation once all \$JOB.Sys-N.cim subsystem files are generated by running GAMESS calculations for all subsystems (for example, in parallel). This option is particularly useful for parallel calculations, but it can also be used to restart the CIM sequential run.

=====

\* \* \* \* \*

For information on Cluster-in-Molecules,  
see the 'further information' section

\* \* \* \* \*

---

**\$CIMATM** group

(optional for CIMTYP=SECIM in \$CONTRL;  
relevant to multi-level SECIM calculations)

In free format, provide an array of the comma or dash separated integers labeling the atoms, followed, in each row of the array, by the name of the method to be applied to the group of atoms listed in that row. A method must be supplied for each group of atoms in \$CIMATM. The hydrogen atoms do not have to be specified, since each hydrogen atom is assigned to the nearest non-hydrogen atom, although there may be cases where listing hydrogens is useful if there is ambiguity in assigning hydrogens to non-hydrogen atoms. No atom can be listed more than once. Atoms that are not represented in \$CIMATM are treated with the method defined by SUBMTD in \$CIMINP. For example,

```
$CIMATM
1-4,11,12 CR-CCL
9,10 CCSD
$END
```

implies that atoms 1-4, 11, and 12 (and adjacent hydrogens, if any) will be treated by CR-CC(2,3) and atoms 9 and 10 (and adjacent hydrogens, if any) by CCSD. The remaining atoms will be treated by the method defined by SUBMTD in \$CIMINP.

---

**\$CIMFRG** group

(required when CIMTYP=GSECIM in \$CONTRL;  
relevant to single- and multi-level GSECIM calculations)

In free-format, provide an array of the comma or dash separated integers labeling the atoms. Integers in each row, which must be listed in parentheses, represent the user-specified group of atoms that are used to identify central occupied LMOs in the initial steps of the GSECIM subsystem design. When generating subsystems, the atoms specified in a given row will always be kept together. Optionally, one can provide the name of the method to be

applied to the group of atoms listed in a given row. The hydrogen atoms do not have to be included, since each hydrogen atom is assigned to the nearest non-hydrogen atom, although there may be cases where listing hydrogens is useful if there is ambiguity in assigning hydrogens to non-hydrogen atoms. The subsystems involving atoms that are not represented in \$CIMFRG will be determined using the standard SECIM algorithm and treated with the method defined by SUBMTD in \$CIMINP. For example,

```
$CIMFRG
1 (3-4,6) CR-CCL
2 (1-2,11) CCSD
3 (14,34,50)
4 (16,18,54)
5 (21-22,26,30)
6 (37-38,42,46)
$END
```

implies that atoms 3, 4, and 6 (and adjacent hydrogens, if any) will be used to identify central LMOs that define the 1st subsystem in the initial steps of the GSECIM algorithm, which will be treated by CR-CC(2,3). Similarly, atoms 1, 2, and 11 (and adjacent hydrogens, if any) will be used to identify central LMOs that define the 2nd subsystem in the initial steps of the GSECIM algorithm, which will be treated by CCSD, whereas atoms 14, 34, and 50 (and adjacent hydrogens, if any) will be used to identify central LMOs that define the 3rd subsystem in the initial steps of the GSECIM algorithm which will be treated by the method defined by SUBMTD in \$CIMINP, etc. The remaining atoms will be treated by the standard SECIM algorithm and the method defined by SUBMTD in \$CIMINP.

=====

=====

**\$FFDATA** group (optional, relevant if QuanPol is used)

**\$FFPDB** group (optional, relevant if QuanPol is used)

QuanPol (quantum chemistry polarizable force field program) can perform MM, QM/MM or QM/MM/Continuum solvent MD simulation or geometry optimization, using HF, DFT, GVB, MCSCF, MP2 or TDDFT wavefunctions. To use QuanPol, either \$FFDATA or \$FFPDB needs to be present, to define the MM atoms. Quantum atoms, if any, are given in \$DATA as usual.

After the initial input giving QuanPol's options, one gives either explicit coordinates (in which case this group must be named \$FFDATA) or Protein Data Bank coordinates (in which case this is \$FFPDB).

Force field data sets are located by the environment variable QUANPOL.

-----

-1- one or more lines, containing one or more options:

DT = MD time step size. Default=1.0D-15 seconds.

NSTEPS = number of MD and OPTIMIZE steps. Default=1000.

OPTTOL = geometry optimization gradient criterion.  
Default=1.0D-04 hartree/bohr

NPROP = start to calculate properties after NPROP steps.  
Default=0

JOUT = report simulation information such as energies  
and temperature to log file every JOUT steps.  
Default=1.

KOUT = write coordinates to the log file every KOUT  
steps. Default=100

LOUT = special action control  
= 314159 to create the \$FFDATA input for a molecule  
using the information in LOUT314159.PAR file.



ITSTAT = flag to enable the thermostat (velocity scaling)  
= 0 no thermostat (default)  
= 1 velocity scaling  
= 2 Berenedsen thermostat  
= 3 Andersen thermostat

IPSTAT = flag to enable the barostat (volume scaling)  
= 0 no barostat (default)  
= 1 Berenedsen barostat after NPRAP steps.  
A barostat is meaningful only for PBC system.  
For spherical systems with a soft-wall, volume  
and pressure are self-adjusted.

TEMPO = bath temperature. Default=298.15 K.

PRESO = bath pressure. Default=1.0 bar.  
A pre-equilibrium system may show huge positive or  
negative pressures like 100,000 bar.  
An equilibrium system may show pressures  
fluctuating by several hundreds bar.

INTALG = MD integrator algorithm.  
= 1 Beeman algorithm (default)  
= 2 velocity verlet algorithm

NRANDOM= selects the seed for QuanPol's random number  
generator:  
= 0 use fixed seeds for reproducibility (default)  
= 1 use time/date to generate seeds  
QuanPol uses a 16-bit pseudo-random integer  
generator with a cycle length 6953607871644. See  
Wichmann & Hill, Appl.Statist. 31, 188-190 (1982)  
Fixed and time/date seeds should give the same  
randomness. Using fixed seeds, serial MD jobs are  
reproducible; parallel MD jobs are reproducible  
for ~500 steps.

MXFFAT = maximum number of MM atoms.

MXBOND = maximum number of bonds.

MXANGL = maximum number of bond angles.

MXDIHR = maximum number of dihedral rotation angles.

MXDIHB = maximum number of dihedral bending angles.  
(i.e. improper torsion in CHARMM).

MXWAGG = maximum number of wagging angles.

MXCMAP = maximum number of CHARMM correction map cases.  
All of these are for memory allocation purposes.  
Default varies from 10,000 to 100,000, depending  
on the number of processors.

MXLIST = maximum number of non-bond MM atoms around a  
given MM atom. Default=1400 is good for SWR2=12.

BUFLIST= The width of the buffer region for the non-bond  
list. Default = 2.0 angstrom.  
A new non-bond list will be generated if any  
atom moves more than half BUFLIST since the  
last updating of the non-bond list.

IADDWAT= specifies how to add water molecules to the system  
= 0 No adding water (default)  
= 1 Add water in PBC master box  
= 2 Add water in a sphere

ITYPWAT= selects the water model. (the default is 301)  
= 301 Nonpolarizable flexible 3-point model  
= 302 Polarizable flexible 3-point model  
= 303 SPC/Fw model by Wu/Tepper/Voth,  
see J.Chem.Phys. 124, 024503(2006).  
= 304 flexible TIP3P as implemented in CHARMM22/27.

Water models 301 and 302 are optimized using SWR1=10,  
SWR2=12, SWMODE=3, 510 waters, PBC, at 298.15 K and 1 bar.  
Density, O-O rdf, self-diffusion coefficient are good and  
not size-sensitive. Dielectric constants are ~70 for 301,  
~56 for 302 when 510 waters are used, and are ~110 for 301,  
~96 for 302 when 2187 waters are used.

JADDNA1= flag to add NA<sup>+</sup> ions to DNA/RNA PO4<sup>-</sup> sites.  
JADDK1 = flag to add K<sup>+</sup> ions to DNA/RNA PO4<sup>-</sup> sites.  
= 0 skip (default)  
= 1 Add NA<sup>+</sup>/K<sup>+</sup> ions to all possible PO4<sup>-</sup> sites

IADDNA1= number of Na<sup>+</sup> ions randomly added. Default=0.  
IADDK1 = number of K<sup>+</sup> ions randomly added. Default=0.  
IADDCA2= number of Ca<sup>2+</sup> ions randomly added. Default=0.  
IADDMG2= number of Mg<sup>2+</sup> ions randomly added. Default=0.  
IADDCL1= number of Cl<sup>-</sup> ions randomly added. Default=0.

CENTER = X, Y, Z

define the center of the PBC master box or the sphere center.  
If not found, it will be automatically calculated.  
Use the same CENTER for restart jobs.

XBOX, YBOX, ZBOX

= size of the periodic box in Angstrom.  
Default 1.0D+30 means no PBC is imposed.

RCUT = radial cutoff of MM-MM interactions in angstrom.

RCUTQ = radial cutoff of QM-MM interactions in angstrom.

SWMODE = selects the switching function mode, default=3  
Note that shifting functions operate on the range zero to SWR2, while switching functions work in the tail region, from SWR1 to SWR2.

= 1 atom-atom switching function.  
Tests show that atom-atom SWF gives incorrect water structure and properties.

= 2 group-group switching function.  
Terminal atoms (H, F, Cl, ... and carbonyl O) will be grouped to their node atoms.  
Tests show that group-group SWF can give a correct water structure.

= 3 the atom-atom shifting function  
$$S(r) = (1 - r/SWR2)^2$$
is used for charge-charge interaction. The same shifting function is used by the ENCAD and ilMM codes. In addition, this option means that group-group switching function is used for both charge-charge and Lennard-Jones interactions in the range between SWR1 and SWR2. Set SWR1=SWR2 to turn off the switching, but keep the shifting.

= 4 similar to SWMODE=3, but with the atom-atom shifting function  
$$S(r) = [1 - (r/SWR2)^2]^2$$
which is one of the CHARMM shifting functions. Switching function is used as in option 3.

= 5 similar to SWMODE=3, but the atom-atom shifting function is chosen to mimic a dielectric reaction field for charge-charge interaction. RXNEPS & SWR2 are required. The shifting function is Eq (5) in Rick, J.Chem.Phys. 120, 6085 (2004)

Switching function is used as in option 3.

The switching function implemented in QuanPol is

$$W(r) = 1 - 10*D^{**3} + 15*D^{**4} - 6*D^{**5}$$

with

$$D = (r^{**2} - SWR1^{**2}) / (SWR2^{**2} - SWR1^{**2})$$

SWR1,SWR2

= distance cutoffs for the switching function that gradually drops the interactions from full strength at SWR1 to zero at SWR2. In angstrom. For MM atoms only.

SWR1Q,SWR2Q

= same as SWR1 and SWR2, but for QM-MM interactions.

SPHRAD = radius of the sphere containing the QM/MM system.

Default is a huge value, meaning no sphere.

A Lennard-Jones type potential is applied to keep the heavy atoms in the sphere. For each such atom:

$$V = 4 * SPHEPS * \{ [SPHSIG / (r - R)]^{**12} - [SPHSIG / (r - R)]^{**6} \}$$

$$R = SPHRAD + [2^{**}(1/6) - 1] * SPHSIG$$

$$V = -SPHEPS \text{ when } r = SPHRAD - SPHSIG$$

SPHEPS = Lennard-Jones epsilon parameter for SPHRAD.

Default = 0.15 kcal/mol is good for water.

Proper values should be determined empirically.

SPHSIG = Lennard-Jones sigma parameter for SPHRAD.

Default = 1.5 A is good for water.

Proper values should be close to the radii of the solvent atoms, which are usually around 1.5.

IRXNFLD= flag to enable spherical reaction field model

= 0 no reaction field (default)

= 1 image charge method, currently only for pure MM system

= 60, 240, 960, 3840 to choose surface charge method and define the number of surface elements. Available for MM and QM/MM.

RXNEPS = dielectric constant in RXNFLD (default = 1.0)

RXNRAD = radius of sphere in angstrom (default = 1.0D+30).

SPHRAD is required. For water solvent,

RXNRAD = SPHRAD + 0.60 A

RXNEPS = 78.39

SPHEPS = 0.15

SPHSIG = 1.50

are strongly suggested.

RDF = NRDF, NAME1, NAME2, ...

= specifies the number of pairs for the radial distribution function calculation, and the names of the atoms. Must give NRDF pairs of names. Default for NRDF is 0.

The RDF will be calculated every JOUT steps.

DELRDF = specifies the radial increment in the radial distribution function calculation.

Default= 0.02 angstrom.

DIFFUSE= NDFS, NAME1, NAME2, ...

= specifies the number of atoms for diffusion coefficient calculation, and the names of the atoms. Must give NDFS names. Default is NDFS=0.

TIMDFS = time interval for diffusion coefficient calculation.

Default = 3.0D-12 seconds is good for water.

Can be larger, but should not be smaller.

There must be sufficient displacement in order to apply the statistical formula.

NATPDB = number of atoms in the PDB file. If \$FFPDB is used, NATPDB will be automatically determined. The main usage is for restart jobs in which only \$FFDATA is provided.

NFIXPDB= fix the coordinates of PDB atoms for the initial NFIXPDB steps of an MD or OPTIMIZE procedure. This is useful for initial solvent equilibration. Reasonable values are 1000-10000. Default = 0.

NRIJ = NRIJ, I1, J1, I2, J2, ...

= specifies up to 20 pairs of atoms to print out their distances at every JOUT steps. Useful when one wants to monitor H-bond distances. Default NRIJ = 0.

NRMSD = flag root-mean-square-displacement calculation.  
 = 0 skip  
 = 1 calculate RMSD from the initial coordinates at every JOUT steps.

NGYRA = flag radius of gyration calculation (see TIMGYRA).  
 = 0 skip  
 = 1 calculate radius of gyration using formula:  

$$R = \text{SQRT}[\text{sum}(m \cdot r \cdot r) / \text{sum}(m)]$$
 r: distance from COM  
 m: atom mass  
 So R is mass-weighted RMS distance from COM.

TIMGYRA= time interval for radius of gyration calculation.  
 Default=1.0D-12 seconds. Can be larger or smaller.

NRALL = flag to activate internuclear distance calculation (see TIMRALL).  
 = 0 skip  
 = 1 calculate internuclear distances and compare to those in the initial structure. Unsigned and RMS displacements will be printed out.

TIMRALL= time interval for internuclear distance calculation. Default = 1.0D-12 seconds.  
 Can be larger or smaller, but frequent calculation slows down the MD.

NDIEL = flag MD simulation of dielectric constant.  
 = 0 skip (default)  
 = 1 calculate dielectric constant using formula:  

$$\text{Eps} = 1 + (\langle M^2 \rangle - \langle M \rangle^2) / (3kT \langle V \rangle)$$
 M = total dipole of the master box or sphere  
 k = Boltzmann constant  
 T = temperature  
 V = volume  
 When spherical systems are used, a sphere with radius = SPHRAD-SPHSIG is used to calculate M and V. Tests show this gives Eps very similar to those from PBC simulations.  
 When induced point dipoles are used, each dipole is decomposed into two point charges at the opposite sites of the point dipole. These charges are considered for M calculation. One

of them may be relocated when PBC is imposed, or may be excluded if it is outside of the sphere.

- AANAM = a name for the LOUT=314159 purpose. This name should be in the LOUT314159.TOP file. Give any name, if no intention to use LOUT314159.TOP.
- NFOLD = this is used only in \$FFDATA to duplicate the input molecule in 3D space NFOLD times. Reasonable values are 0, 3, 6, 9, 12 and 15, which leads to 1, 8, 64, 512, 4096 and 32768 copies. 0-20 can be used. Default=0, no action.
- RFOLD = specifies the spacing when NFOLD is active. The value should be calculated using density. Default= 0.0 angstrom.
- IDOCHG = flag to include MM charges  
IDOPOL = flag to include MM polarization  
IDOLJ = flag to include MM Lennard-Jones  
IDOCMAP= flag to include CHARMM correction map for proteins  
For all of these,  
= 1 include (default)  
= 0 exclude
- NFFTYP = select the force field type  
= 20022, which is CHARMM22/27 (default)
- WT14 = scaling factor for 1-4 LJ, charge-charge and polarization interactions. Default 1.00
- RETAIN = retaining factor (0.0 - 1.0, default 0.5) for MM force field covalent terms that involve only QM atoms, one of which is a boundary atom with repulsion potentials in place of a frontier MM atom. Using 0.5 is reasonable for weakened boundary bonds. Use 0.0 if the boundary bonds are not weakened. The retaining factor for MM covalent terms involving only QM atoms (none of which is a boundary atom in place of a frontier MM atom) is always 0.0 and cannot be changed from the input deck.
- QMREP = NQMREP, IATOM1, NTERM1, C11, Z11, C12, Z12 ...,

IATOM2, NTERM2, C21, Z21, C22, Z22 ...  
= specify effective Gaussian repulsion potentials  
at boundary QM/MM atoms (typically H atoms in  
place of alpha carbons of a peptide) to produce  
the desired longer bond lengths.  
NQMREP = number of QM atoms with Gaussian  
potentials. Up to 200 atoms.  
IATOMn = QM atom sequential number in \$DATA  
NTERMn = number of Gaussians at IATOMn. Up to 4  
C11,.. = strength part of the Gaussian, e/bohr  
Z11,.. = radial part of the Gaussian, 1/bohr\*\*2  
Must enter NTERMn pairs of C and Z for  
atom IATOMn.  
For example, to define 1 Gaussian for QM atom 1  
and 3 Gaussians for QM atom 7, give  
QMREP=2,1,1,3.0,3.0,7,3,8.0,6.0,3.0,3.0,0.3,1.0  
For H atom forming C-H bond, a single Gaussian  
with C=3.0 Z=3.0 is a good option.

The remainder of this group depends on  
whether it is a \$FFDATA or a \$FFPDB!



-----

\*\*\*\* the following inputs pertain to \$FFDATA \*\*\*\*

-2-     the input is given in subsections with a keyword at  
          the start of the subsection, and STOP at its end.

COORDINATES  
NAME, NUC, X, Y, Z  
      NAME     = The name of the atom.  
      NUC      = nuclear charge of the atom  
      X,Y,Z    = Cartesian coordinates in angstrom  
STOP

PARAMETERS  
NAME, MASS, Q, POL, SIGMA, EPSILON, SIGMA2, EPSILON2  
      NAME     = The name of the atom.  
      Q        = Force field charge (e) on the atom.  
      POL      = Polarizability of the atom, in A\*\*3  
      SIGMA    = Lennard-Jones parameter in angstrom.  
                CHARMM uses RMIN/2. QuanPol will  
                automatically check whether it is  
                SIGMA or RMIN/2.  
      EPSILON = Lennard-Jones parameter in kcal/mol  
      SIGMA2, EPSILON2  
                = the LJ parameters for select 1-4 cases  
                in CHARMM. Give zeros if not these.

          Give the same number of lines as specified in  
          the COORDINATES section

STOP

QMMMREP  
N, C1, Z1, C2, Z2, C3, Z3, C4, Z4  
      N        = number of Gaussian type potentials.  
                currently only 4 is allowed.  
      C1 - C4 = strength factor of the potential  
      Z1 - Z4 = radial factor of the potential

          give the same number of lines as specified in  
          the COORDINATES section

STOP

BOND

SERIAL#, ATOM1, ATOM2, BFC, R0

SERIAL# = serial number of the bond.  
this is only for notation purpose.  
ATOM1 = serial number in COORDINATES section  
for the first atom in the bond.  
ATOM2 = same as ATOM1, but for the second atom.  
BFC = bond force constant in kcal/mol/A\*\*2  
R0 = equilibrium bond length in angstrom.

STOP

ANGLE

SERIAL#, ATOM1, ATOM2, ATOM3, AFC, ANGLE0

SERIAL# = serial number of the angle.  
this is only for notation purpose.  
ATOM1 = serial number in COORDINATES section  
for the first atom in the angle.  
ATOM2 = same as ATOM1, but for the second atom.  
ATOM3 = same as ATOM1, but for the third atom.  
AFC = angle bending force constant in  
kcal/mol/rad\*\*2  
ANGLE0 = equilibrium angle in degree.

STOP

DIHROT

SERIAL#, ATOM1, ATOM2, ATOM3, ATOM4, VROT, N, GAMMA

SERIAL# = serial number of the dihedral rotation  
angle. This is only for notation  
purpose.  
ATOM1 = serial number in COORDINATES section  
for the first atom in the dihedral  
rotation angle.  
ATOM2 = same as ATOM1, but for the second atom.  
ATOM3 = same as ATOM1, but for the third atom.  
ATOM4 = same as ATOM1, but for the fourth atom.  
VROT = rotational barrier in kcal/mol  
N = multiplicity, an integer.  
GAMMA = the phase factor in degree.

STOP

DIHBND

SERIAL#, ATOM1, ATOM2, ATOM3, ATOM4, DBFC, DIHBO

SERIAL# = serial number of the dihedral bending  
(improper torsion) angle. this is only  
for notation purpose.  
ATOM1 = serial number in COORDINATES section

for the first atom in the dihedral bending angle.  
ATOM2 = same as ATOM1, but for the second atom.  
ATOM3 = same as ATOM1, but for the third atom.  
ATOM4 = same as ATOM1, but for the fourth atom.  
DBFC = dihedral bending force constant in kcal/mol/rad\*\*2  
DIHBO = equilibrium dihedral bending angle in degree.

STOP

CMAP

SERIAL#, ATOM1, ATOM2, ATOM3, ATOM4, ATOM5, ITYPE  
SERIAL# = serial number of the CHARMM correction map phi,psi couples. this is only for notation purpose.  
ATOM1 = serial number in COORDINATES section for the first atom in the phi angle (the carbonyl carbon of an amino acid residue) of the peptide backbone in a phi,psi couple.  
ATOM2 = serial number in COORDINATES section for the second atom in the phi angle (peptide N atom) of a phi,psi couple. this is the first atom of the psi angle.  
ATOM3 = serial number in COORDINATES section for the third atom in the phi angle (the alpha C atom) of a phi,psi couple. this is the 2nd atom of the psi angle.  
ATOM4 = serial number in COORDINATES section for the fourth atom in the phi angle (a carbonyl carbon) of a phi,psi couple. this is the third atom of the psi angle.  
ATOM5 = serial number in COORDINATES section for the fourth atom in the psi angle (the next peptide N atom).  
ITYPE = specifies the two amino acid residues a phi,psi couple belongs to.  
=1 alanine-alanine (most cases)  
=2 alanine-proline  
=3 glycine-glycine/glycine-proline  
=4 proline-alanine  
=5 proline-proline  
(here alanine stands for any amino acid residue other than glycine and proline)

STOP

When \$FFPDB is given, CMAP is automatically generated for restart jobs, via \$FFDATA input.

WAGGING

SERIAL#, ATOM2, ATOM3, ATOM4, ATOM1, WFC

SERIAL# = serial number of the wagging angle.  
this is only for notation purpose.

ATOM2 = serial number in COORDINATES section  
for the second atom in the wagging  
angle.

ATOM3 = same as ATOM2, but for the third atom.

ATOM4 = same as ATOM2, but for the fourth atom.

ATOM1 = same as ATOM2, but for the first atom.

WFC = wagging force constant kcal/mol/rad\*\*2

STOP

Use a \$END line to end \$FFDATA.

-----

\*\*\*\* the following inputs pertain to \$FFPDB \*\*\*\*

-2- Simply paste a PDB text file into \$FFPDB will work.

- (1). H atoms must be added beforehand and appear at the correct places. Currently QuanPol cannot add H atoms or any other missing atoms.
- (2). PDB format is enforced. Sequential numbers are not used by QuanPol. Chemical symbols are used.
- (3). Rename the atom at the very end of each chain as 'OXT' or 'HXT', and delete the 'TER' lines. Multiple chains are allowed.
- (4). SSBOND lines are required to define S-S bonds.

Use a \$END line to end \$FFPDB.

=====

The remaining groups apply only to MCSCF and CI runs.

```

* * * * *
For hints on how to do MCSCF and CI
see the 'further information' section
* * * * *

```

=====

**\$CIINP** group (optional, relevant for any CITYP)

This group is the control box for Graphical Unitary Group Approach (GUGA) CI calculations or determinant based CI. Each step which is executed potentially requires a further input group described later.

NRNFG = An array of 10 switches controlling which steps of a CI computation are performed.  
1 means execute the module, 0 means don't.

NRNFG(1) = Generate the configurations. See either \$CIDRT or \$CIDET input. (default=1)  
 NRNFG(2) = Transform the integrals. See \$TRANS. (default=1)  
 NRNFG(3) = determinants: skip the CI iterations.  
           GUGA: Sort integrals and calculate the Hamiltonian matrix, see \$CISORT and \$GUGEM. (default=1)  
 NRNFG(4) = determinants: meaningless  
           GUGA: Diagonalize the Hamiltonian matrix, see \$GUGDIA or \$CIDET. (default=1)  
 NRNFG(5) = Construct the one electron density matrix, and generate NO's. See \$GUGDM or \$CIDET. (default=1)  
 NRNFG(6) = Construct the two electron density matrix. See \$GUGDM2 or \$CIDET. (default=0 normally, but 1 for CI gradients)  
 NRNFG(7) = Construct the Lagrangian of the CI function. Requires DM2 matrix exists. See \$LAGRAN. (default=0 normally, but 1 for CI gradients)  
           This does not apply to determinants.  
 NRNFG(8-10) are not used.

Users seldom need to input NRUNFG, as the defaults are quite reasonable.

NPFLG = An array of 10 switches to produce debug printout.  
There is a one to one correspondence to NRNFG, set  
to 1 for output. (default = 0,0,0,0,0,0,0,0,0,0)

The most interesting may be NPFLG(2)=1 to see the  
transformed 1e- integrals. NPFLG(2)=2 adds the  
very numerous transformed 2e- integrals to this.

IREST = n      Restart the -CI- at stage NRNFG(n).

=====

```
=====
```

**\$DET** group (required by MCSCF if CISTEP=ALDET or ORMAS)  
**\$GEN** group (required by MCSCF if CISTEP=GENCI)  
**\$CIDET** group (required if CITYP=ALDET, ORMAS, or FSOCI)  
**\$CIGEN** group (required if CITYP=GENCI)

This group describes the determinants to be used in a MCSCF or CI wavefunction:

- a) For full CI calculations (ALDET) the \$DET/\$CIDET will generate a full list of determinants. If the CI is part of an MCSCF, this means the MCSCF is of the FORS type (which is also known as CASSCF).
- b) For Occupation Restricted Multiple Active Space (ORMAS) CI, the input in \$ORMAS will partition the active orbitals defined here into separate spaces, that is, provide both \$DET/\$CIDET and \$ORMAS.
- c) For Full Second Order CI, provide \$CIDET and \$SODET inputs.
- d) For a general CI (meaning user specified space orbital products) provide \$DET/\$CIDET plus \$GEN/\$CIGEN and most likely \$GCILST (according to the keyword GLIST).

In the above, group names for MCSCF/CI jobs are separated by a slash.

Determinants contain several spin states, in contrast to configuration state functions. The Sz quantum number of each determinant is the same, but the Hamiltonian eigenvectors will have various spins  $S=S_z, S_z+1, S_z+2, \dots$  so NSTATE may need to account for states of higher spin symmetry. In Abelian groups, you can specify the exact spatial symmetry you desire.

GLIST = general determinant list option  
 The keyword GLIST must not be given in a \$DET or \$CIDET input group! These both generate full determinant lists, automatically.  
 = INPUT means \$GCILST input will be read.  
 = EXTRNL means the list will be read from a disk file GCILST generated in an earlier run.  
 = SACAS requests generation of several CAS spaces of different space symmetries, specified by

the input IRREPS. This option is intended for state averaged calculations for cases of high symmetry, where degenerate irreps of the true group may fall into different irreps of the Abelian subgroup used.

\* \* \* The next four define the orbital spaces \* \* \*  
There is no default for NCORE, NACT, and NELS:

NCORE = total number of orbitals doubly occupied in all determinants.

NACT = total number of active orbitals.

NELS = total number of active electrons.

SZ = azimuthal spin quantum number for each of the determinants, two times SZ is therefore the number of excess alpha spins in each determinant. The default is  $SZ=S$ , extracted from the  $MULT=2S+1$  given in \$CONTRL.

\* \* \* The following determine the state symmetry \* \* \*

GROUP = name of the point group. The default is to copy this from \$DATA, if that group is Abelian (C1, Ci, Cs, C2, C2v, C2h, D2, or D2h). If not, the point group used will be C1 (no symmetry).

STSYM = specifies the spatial symmetry of the state. Of course these names are the standard group theory symbols for irreducible representations:

C1	A				
Ci	Ag	Au			
Cs	AP	APP			(P stands for prime, i.e. ')
C2	A	B			
C2v	A1	A2	B1	B2	
C2h	Ag	Bu	Bg	Au	
D2	A	B1	B2	B3	
D2h	Ag	B1g	B2g	B3g	Au B1u B2u B3u

Default is STSYM being the totally symmetric state, listed as the first column above.

The free format scanner is not able to read quotes



so the letters "P" must be used in Cs.

IRREPS = specifies the symmetries of the GLIST=SACAS space determinant list. This variable should always be an array, as a single symmetry is more quickly obtained by the regular full CI code. The values given are more primitive than STSYM, being the following integers, not strings:

IRREPS= 1 2 3 4 5 6 7 8 meaning

C1	A							
Ci	Ag	Au						
Cs	A'	A''						
C2	A	B						
C2v	A1	A2	B1	B2				
C2h	Ag	Bu	Bg	Au				
D2	A	B1	B2	B3				
D2h	Ag	B1g	B2g	B3g	Au	B1u	B2u	B3u

\* \* \* the following control the diagonalization \* \* \*

NSTATE = Number of CI states to be found, including the ground state. The default is 1, meaning ground state only. The maximum number of states is 100. See also IROOT below (two places).

PRTTOL = Printout tolerance for CI coefficients, the default is to print any larger than 0.05.

ANALYS = a flag to request analysis of the CI energy in terms of single and double excitation pair correlation energies. This is normally used in CI computations, rather than MCSCF, and when the wavefunction is dominated by a single reference, as the analysis is done in terms of excitations from the determinant with largest CI coefficient. The default is .FALSE.

ITERMX = Maximum number of Davidson iterations per root. The default is 100. A CI calculation will fail if convergence is not obtained before reaching the limit. MCSCF computations will not bomb if the iteration limit is reached, instead the last CI vector is used to proceed into the next orbital update. In cases with very large active

spaces, it may be faster to input ITERMX=2 or 3 to allow the program to avoid fully converging the CI eigenvalue problem during the early MCSCF iterations. For small active spaces, it is best to allow the CI step to be fully converged on every iteration.

CVGTOL = Convergence criterion for Davidson eigenvector routine. This value is proportional to the accuracy of the coefficients of the eigenvectors found. The energy accuracy is proportional to its square. The default is 1.0E-5, but 1E-6 if gradients, MPLEVL, CITYP, or FMO selected).

NHGSS = dimension of the Hamiltonian submatrix which is diagonalized to obtain the initial guess eigenvectors. The determinants forming the submatrix are chosen on the basis of a low diagonal energy, or if needed to complete a spin eigenfunction. The default is 300.

NSTGSS = Number of eigenvectors from the initial guess Hamiltonian to be included in the Davidson's iterative scheme. It is seldom necessary to include extra states to obtain convergence to the desired states. The default equals NSTATE.

MXXPAN = Maximum number of expansion basis vectors in the iterative subspace during the Davidson iterations before the expansion basis is truncated. The default is the larger of 10 or 2\*NSTGSS. Larger values might help convergence, do not decrease this parameter below 2\*NSTGSS.

CLOBBR = a flag to erase the disk file containing CI vectors from the previous MCSCF iteration. The default is to use these as starting values for the current iteration's CI. If you experience loss of spin symmetry in the CI step, reverse the default, to always take the CI from the top. Default = .FALSE.

\* \* \* the following control the 1st order density \* \* \*

The following pertain to CI calculations by CITYP=xxx (not to the CI step within MCSCF jobs). Similar keywords apply to MCSCF runs, see just below.

PURES = flag to say that IROOT and NGFLGDM just below should count only those states whose S value is a match to that implied by MULT in \$CONTRL. Thus, PURES=.TRUE. (the default) allows selection of S1 as IROOT=2 (the second singlet), even if there is a T1 state (and maybe others!) between S0 and S1. Of course, NSTATE must be large enough to reach S1 (at least 3, if there is a T1 between S0 and S1). Setting PURES to .FALSE. ignores the spin of each state when using IROOT and NFLGDM.

IROOT = the root whose density is saved on the disk file for subsequent property analysis. Only one root can be saved, and the default value of 1 means the ground state. Be sure to set NFLGDM to form the density of the state you are interested in! IROOT has a similar meaning for MCSCF, see below.

NFLGDM = Array controlling each state's density formation.  
0 -> do not form density for this state.  
1 -> form density and natural orbitals for this state, print and punch occ.num. and NOs.  
2 -> same as 1, plus print density over MOs.  
3 -> same as 2, plus print properties for this state (see \$ELMOM, \$ELPOT, et cetera).  
The default is NFLGDM(1)=1,0,0,...,0 meaning only ground state NOs are generated.

SAFLG = is a logical flag that determines whether or not state averaged CI density matrices and natural orbitals should be evaluated. Setting SAFLG=.TRUE. will result in the evaluation of the state averaged density matrix and NOs. The default .FALSE. means generate state-specific densities according to the NFLGDM input. See also WSTATE.

WSTATE = An array of up to 100 weights to be given to the densities of each state in forming the average density matrix. The default is to optimize a pure ground state, WSTATE(1)=1.0,0.0,...,0.0.

Note that values given for WSTATE (during a CI calculation) will only be used if SAFLG=.TRUE. It should also be noted that any electronic state that has a nonzero value for WSTATE but a zero for NFLGDM will reset its value for NFLGDM to 1.

FSTATE = An array of up to 100 weights to be given to the densities of each state in forming the average density matrix used for QM-EFP polarization. FSTATE is ignored unless PMTD1=.FALSE. in \$CONTRL. See also PURES. The default is to set FSTATE from WSTATE if only the latter is given.

\* \* \* the following control the state averaged \* \* \*  
\* \* \* 1st and 2nd order density matrix computation \* \* \*

The following keywords apply to the CI step within the MCSCF iterations. See just above for similar inputs pertaining to CITYP=xxx calculations.

PURES = a flag controlling the spin purity of the state averaging. If true, the WSTATE array pertains to the lowest states of the same S value as is chosen by the MULT keyword in \$CONTRL. In this case, the value of NSTATE will need to be bigger than the total number of weights given as WSTATE if there are other spin states present at low energies. If .FALSE., it is possible to state average over more than one S value, which might be of interest in spin-orbit coupling jobs. State-averaged MCSCF gradient runs must use .TRUE. The default is .TRUE.

WSTATE = An array of up to 100 weights to be given to the densities of each state in forming the average. The default is to optimize a pure ground state, WSTATE(1)=1.0,0.0,...,0.0  
A small amount of the ground state can help the convergence of excited states greatly.  
Gradient runs are possible only with pure states. Be sure to set NSTATE above appropriately!  
See also IDWREF just below.

IDWREF = The target state K used to control dynamically

adjusted MCSCF state weights. This keyword may only be used for CISTEP=ALDET or CISTEP=ORMAS. The default is 0, to use static WSTATE values.

Dynamic weights are updated every MCSCF iteration by the formula:

$$WSTATE(n) = \text{sech}^2[-DWPARM*(E(n)-E(K))].$$

for  $n$ = state  $K$  and any other weighted states, followed by a normalization to sum to unity. The formula gives the largest weight to state  $K$ , with decreasing weight given to states farther away in energy. See Deskevich, Nesbitt, and Werner, J.Chem.Phys. 120, 7281(2004).

If IDWREF is given, the values given in WSTATE are used only to specify which roots should have non-zero weights.

The target state is often the ground state,  $K=1$ , but any other state may be used: often  $K=IROOT$ ! Converged dynamic weights will be passed to the determinant MCQDPT program (becoming its default WPTST) and to the state-averaged gradient/NACME program.

DWPARM = the value of the energy parameter used by IDWREF. The default is 2.0 eV.

IROOT = the MCSCF state whose energy will be used as the desired value. (default=0)  
The default means to use the average (according to WSTATE) of all states as the FINAL energy, which is not a physically meaningful quantity.  
When given as non-zero, IROOT chooses a specific state, ignoring any states with undesired spins, see PURES above, and also ignoring any states of the correct spin which were given no weight.  
Any run doing either analytic state-specific gradients in state-averaged runs, or a gradient by numerical differentiation must pick the desired specific IROOT value!  
IROOT has a similar meaning for CI, see above.

FSTATE = An array of up to 100 weights to be given to the densities of each state in forming the average density matrix used for QM-EFP polarization.  
FSTATE is ignored unless PMTD1=.FALSE. in \$CONTRL.

See also PURES. The default is to set FSTATE from  
WSTATE if only the latter is given.

=====

=====

**\$ORMAS** group            (required by MCSCF if CISTEP=ORMAS)  
                              (required for CITYP=ORMAS)

This group partitions an active space, defined in \$DET or \$CIDET, into Occupation Restricted Multiple Active Spaces (ORMAS). All possible determinants satisfying the occupation restrictions (and of course the space symmetry restriction given in \$DET/\$CIDET) will be generated. This group's usefulness lies in reducing the large number of determinants present in full CI calculations with large active spaces.

There are no sensible defaults for these inputs, but if the group is entirely omitted, a full CI calculation will be performed. That is, the defaults are

NSPACE=1, MSTART(1)=NCORE+1, MINE(1)=NELS, MAXE(1)=NELS meaning all active orbitals are in one partition.

NSPACE    = number of orbital groups you wish to partition the active space (NACT in \$DET/\$CIDET) into.

MSTART    = an array of NSPACE integers. These specify where each orbital group starts in the full list. You must not overlook the NCORE core orbitals in computing MSTART values. Space I runs from orbital MSTART(I) up to orbital MSTART(I+1)-1, or NACT+NCORE if I is the last space, I=NSPACE.

IMPORTANT !!!! Remember to make sure your orbitals have been reordered to suit MSTART, using NORDER in \$GUESS.

MINE       = an array of NSPACE integers. These specify the minimum numbers of electrons that must always occupy the orbital groups. In other words, MINE(I) is the minimum number of electrons that can occupy space I in any of the determinants.

MAXE       = an array of NSPACE integers. These specify the maximum numbers of electrons that must always occupy the orbital groups. In other words, MAXE(I) is the maximum number of electrons that can occupy space I in any of the determinants.

The number of active electrons is NELS in \$DET or \$CIDET, and the program will check that MINE/MAXE values are consistent with this total number.

### Input for Coupled Electron Pair Approximations

These two keywords provide size-extensivity corrections for singly and doubly excited CI calculations. At present, these approximate corrections may only be applied to a single state. The CISD may follow RHF (single reference, SR) or complete active space (multireference, MR) calculations. Usually, the final ORMAS orbital space will be the entire external space of the SR-CISD or MR-CISD calculations. The excitation level (MAXE) into this space should only be two. The number of electrons being correlated (NELS in \$CIDET) is denoted Ne. The value of a parameter G determines the weight of the approximate size-extensivity correction, with the literature containing several possible choices: GVAL here sometimes is written as  $-(1-G)$  in the literature. The first order density matrix for the chosen CEPA-style correction will be generated, and used for property calculation.

CEPA     = NONE   ordinary SR-CISD or MR-CISD (default)  
          = CEPA0  Coupled Electron Pair Approximation,  
                  whose GVAL= 1.0  
          = ACPF   Averaged Coupled Pair Functional,  
                  whose GVAL=  $(Ne-2)/Ne$   
          = AQCC   Averaged Quadratic Coupled-Cluster,  
                  whose GVAL=  $(Ne-3)(Ne-2)/[Ne(Ne-1)]$   
          = READ   user will supply the desired GVAL.

GVAL     = is given only when CEPA=READ

An example of the SR-AQCC size-extensivity correction for a molecule with 10 chemical cores, 8 occupied valence orbitals, and 163 total MOs is

```
$CONTRL SCFTYP=RHF CITYP=ORMAS RUNTYP=ENERGY
$CIDET  NCORE=10 NACT=153 NELS=16
$ORMAS  CEPA=AQCC NSPACE=2
        MSPACE(1)=11,19 MINE(1)=14,0 MAXE(1)=16,2
```

A MR-CEPA case with N active electrons and M electrons in filled valence orbitals should use three spaces, with NELS M+N; MINE M-2,N-2,0; and MAXE M,N+2,2.



Review: P.G.Szalay, in Modern Ideas in Coupled-Cluster methods, R.J.Bartlett (ed), World Scientific, Singapore (1997), pp 81-123.

\* \* \* \* \*

BLOCK = a flag to request that natural orbital generation for CISTEP=ORMAS MCSCF or CITYP=ORMAS CI runs should prevent mixing between the NSPACE orbital subspaces. This means the NOs only diagonalize the diagonal blocks of the density, and are thus not the genuine NOs. However, these approximate NOs can be used with MOREAD to exactly reproduce the ORMAS energy, which is invariand to rotations within the orbital subspaces. (Default=.FALSE.)

QCORR = a flag to request Davidson-style +Q corrections. If this is not sensible for your CI choice, the program will not print this correction, anyway. The default is .TRUE.

FDIRCT = a flag to choose storage in memory of some intermediates. This is very large, and slower in the case of many occupied orbitals, but helpful with a smaller number of orbitals. Therefore the default for this is .TRUE. for MCSCF runs, but .FALSE. during CI computations.

\*\*\* See REFS.DOC for more information on using ORMAS \*\*\*

=====

=====

**\$CEEIS** group (optional, for extrapolation to FCI limit)

The method termed Correlation Energy Extrapolation by Intrinsic Scaling (CEEIS) allows one to extrapolate sequences of CI energies, computed with the ORMAS program, to what is effectively the full CI limit for a given basis set. Typically, the energy for SD and SDT excitation levels using all orbitals ( $m=M$ , meaning occupied + all virtuals) is combined, using certain scaling relations, with explicit computations using  $m$  orbitals for quadruple, quintuple... excitations ( $x$ ), using a smaller  $m$  for each higher excitation, to obtain the extrapolated FCI limit, within an estimated error bar. When this is done for several basis sets, it is possible to extrapolate the individual full CI energies to the limit of the complete basis set.

A series of papers combines complete basis set CEEIS energies with scalar relativistic, spin-orbit, and long range electrostatic corrections to produce a very accurate rotational-vibrational spectrum of F2, see  
L.Bytautas, T.Nagata, M.S.Gordon, K.Ruedenberg  
J.Chem.Phys. 127, 164317/1-20 (2007)  
L.Bytautas, N.Matsunaga, T.Nagata, M.S.Gordon, K.Ruedenberg  
J.Chem.Phys. 127, 204301/1-12 (2007)  
L.Bytautas, N.Matsunaga, T.Nagata, M.S.Gordon, K.Ruedenberg  
J.Chem.Phys. 127, 204313/1-19 (2007)  
L.Bytautas, K.Ruedenberg  
J.Chem.Phys. 130, 204101/1-14 (2009)

The input description below is quite terse. A full description of how to use CEEIS with ORMAS is provided in a separate file (a Word document) named  
~/gamess/tools/ci-tools/ceeis/CEEIS.doc  
containing a much more detailed description of how to do this kind of calculation. This document explains how to use an Excel spreadsheet to allow visual checking of the energy data that are being extrapolated. Several input examples are given in the same directory.

ENREF = reference energy, usually either a zero-excited ORMAS reference wavefunction, or some SCF level energy (if the reference is one determinant).

ISTPEX = highest excitation level considered by the CEEIS, the default is 8 (octuple excitations).

M1M2EX = an array to specify the various ORMAS computations to be performed, at each excitation level  $x$ .

0's start the specification of  $m$  values for each level  $x=3,4,\dots,ISTPEX$ . Some examples follow,

```
M1M2EX(1)= 0,0,0,
            0,7,10,-14,20
            0,7,10,-14   ISTEPX=5
```

The final two zero's on the first (SDT) line mean do the SDT computations with the entire virtual space, and also for all  $m$  values used at the higher excitations. The SDTQ energies are found for  $m=7,10,11,12,13,14,20$ , that is, the minus sign implies all values in the range 10-14. The SDTQ5 computations do not include  $m=20$ . If there is not enough memory to do the entire SDT calculation, this can be extrapolated (losing accuracy in the entire CEEIS process), by input such as

```
M1M2EX(1)= 0,7,10,-14,20,27,
            0,7,10,-14,20
            0,7,10,-14   ISTEPX=5
```

Changing the 0,0 part of the triples line to what is shown extrapolates from  $m=27$ . Note that it is an error not to include the same  $m$  values that higher excitations will use. There is no input for doubles, as in all cases the program will generate the SD energy for the entire virtual space, and additional SD energies for the  $m$  values chosen for use by the higher excitation levels.

M1M2EX(1)= all 0's will carry out a fully automated CEEIS using MMIN to MMIN+4, testing convergence, possibly adding MMIN+5 to MMIN+9 and so forth.

IDELTM = range increment for the  $m_1, m_2$  ranges given as  $\{m_1, -m_2\}$  in M1M2EX. Default=1.

ISCHME = extrapolation choice (the default is 1) for energy increments (DEMAT = differences of EMAT values):

= 1 means extrapolate excitation level " $x$ " by

$$DEMAT(m, x) = a \cdot DEMAT(m, x-2) + b$$

= 2 means extrapolate quadruples as above, but

$x=5+6$  or  $x=7+8, \dots$  are extrapolated together:

$$DEMAT(m, x) = A \cdot DEMAT(m, 2) + B \cdot DEMAT(m, 3) + C$$

In this case energies for odd excitation levels

are not needed, and their computation can be avoided by making the odd levels in M1M2EX be the same input for 5+6, 7+8, ...

**MMIN** = "m" value of the lowest virtual orbital to be considered in the extrapolation. The default is  $\text{NCORE} + 1 + \text{MAX}(\text{no. valence e-}, \text{no. valence orbs})$ , which is in fact the lowest "m" that should ever be used.

**XTRTOL** = an array of thresholds for each extrapolated energy  $E(x)$ , if the automated CEEIS is being used. default = 2D-4 Hartree for all levels x.

**NSEXT** = an array containing NSPACE entries. Each entry corresponds to an ORMAS orbital group defined by MSTART in \$ORMAS, and can be either 0 or 1. An entry of 1 means include excitations from this space during the CEEIS. 0 means do not include any such excitations, meaning electrons in this subspace are NOT being correlated, apart from the correlation built into the original ORMAS. The final entry in the list is the virtual space, and must be given as 1. The default is all 1's.

**RESTR** = a flag to say that the CEEIS calculation is being restarted, in which case energies provided in the \$CEDATA input are read, and only the missing energies will be calculated. Default = .FALSE.

**IEXPND** = expands the excitation level in restarts, e.g. if the previous data was computed for ISTPEX=6, and you now wish to use ISTPEX=8, enter IEXPND=2 to add two more columns to the matrix EMAT(m,x) being read in \$CEDATA.

=====

**\$CEDATA** group (optional restart data for CEEIS runs)

This group contains previously computed ORMAS energies, forming the EMAT array, to be used to restart CEEIS runs. It is required if RESTR in \$CEEIS is true.

=====

The second space product above generates two determinants. All space products with singly occupied orbitals are used to form all possible determinants, to ensure that the final

states are eigenfunctions of the  $S^2$  operator (meaning they will be pure spin states).

Note that there is no way at present to generate lists such as singles and doubles from a single reference.

Convergence of MCSCF calculations with arbitrary lists of space products will depend on how well chosen your list is, and may very well require the use of FULLNR or JACOBI convergers.

A utility program to pre-select the important part of CI expansions with high excitation levels, based on information from CI-SDT calculations, is distributed with the source code. See the file

    ~/gamess/tools/ci-tools/select/readme.1st  
for more information.

=====

NMODOC: number of orbitals restricted to double occupancy

during MCSCF, but which are correlated in the PT calculation. In other words, the filled valence orbitals. (no default). (It is possible to enter a different keyword NMOCOR which is the total number of doubly occupied orbitals, and NMOFZC. In this case the program will obtain NMODOC by subtraction, namely  $NMODOC = NMOCOR - NMOFZC$ ).

NMOACT: number of active orbitals in the MCSCF (no default)

NMOFZV: number of virtual orbitals to be omitted from the PT step. The default is 0, retaining all virtuals.

NELACT: number of active electrons. Since the default is computed from the total number of electrons given in \$DATA and \$CONTRL's ICHARG, minus  $2*NMOFZC$  minus  $2*NMODOC$ , there is little reason to input this.

MULT: multiplicity of the state, with the default being taken from MULT in \$CONTRL.

SZ: spin projection quantum number for determinants, default is  $(MULT-1)/2$

STSYM: The symmetry of the electronic state. See \$DET for possible values: use AP/APP in Cs, not primes. Default is the totally symmetric representation.

If you are treating a system with degenerate states in an appropriate Abelian subgroup of the true group, up to three STSYM values can be given, to specify all components of that originally degenerate state. For example,

STSYM(1)=b1u,b2u,b3u

generates all P states for an atom running in the Abelian subgroup D2h.

## 2. data to specify the MCSCF CI (and PT's reference CI):

The type of general MCSCF reference is specified by REFTYP, which can be MRX, ORMAS, or RAS:

REFTYP= MRX means multi-reference determinant list, plus excitations (default). The determinants will be



given by \$PDET input, and the keywords NPDET and NEXCIT defined below are required.

REFTYP= RAS means the active space is divided into three subspaces, known as RAS1, RAS2, and RAS3. Keywords MSTART and NEXCIT defined below are required. For example, MSTART(1)=4,6,9 defines a RAS with three orbitals in the NMOFZC/NMODOC spaces, while the RAS1, RAS2, and RAS3 subspaces contain 2, 3, and NMOACT-5 orbitals. It remains only to specify the excitation level NEXCIT between these spaces.

REFTYP= ORMAS defines even more general subspaces than RAS, and requires inputs NSPACE, MSTART, MINE, and MAXE. These have the same meaning as the \$ORMAS keywords.

NPDET is the number of parent determinants, to be given as NPDET lines in the \$PDET input. A value is required for REFTYP=MRX.

NEXCIT is an excitation level. A value is required for REFTYP=MRX or REFTYP=RAS.

NSPACE is the number of subspaces into which the active space is divided. Required for REFTYP=ORMAS.

MSTART is an array telling the starting MO of each orbital space. It is required for REFTYP=RAS and ORMAS.

MINE is an array giving the minimum number of electrons occupying each subspace. Required for REFTYP=ORMAS.

MAXE is an array giving the maximum number of electrons occupying each subspace. Required for REFTYP=ORMAS.

NSPACE, MSTART, MINE, and MAXE have the same meaning as in the \$ORMAS input. See the 'how to do MCSCF/CI' section of REFS.DOC, for help in understanding the power of the ORMAS type of reference determinant list.

### 3. data to define the reference CI states:

KSTATE is an array of states to be used. As an example, KSTATE(1)=0,1,0,1 means use states 2 and 4. The

default is the ground state, KSTATE(1)=1,0,0...

WSTATE is a set of weights for each state. The default is equal weight assigned to every state selected by KSTATE (WSTATE(1)=1.0, 1.0, 1.0, ...)

IROOT specifies which state's energy should be saved for use in numerical gradient evaluation. IROOT counts only for those states included by KSTATE, so KSTATE(1)=0,1,0,1 and IROOT=2 refers to the second root computed (4th overall). Default: IROOT=1.

ISPINA spin adaptation (default=0)  
0 means off, 1 means on (strictly), -1 means on (loosely). Proper spin states are picked up automatically so this input is usually skipped. See NSOLUT in this context.

KNOSYM a flag to turn off space symmetry use, i.e. STSYM.  
.FALSE. will ignore symmetry (default=.TRUE.)

KNOSPN a flag to ignore spin symmetry, i.e. MULT. Give as .FALSE. to ignore the spin (default=.TRUE.)

The next few influence the Davidson CI diagonalization, and are quite similar to \$MCQDPT keywords, so the description here is terse.

NSOLUT is the number of roots to be obtained. If there are not enough states of the correct spin found in the first NSOLUT states to satisfy KSTATE/WSTATE, increase this parameter to find enough.

MXITER is the maximum number of Davidson iterations to find the states (default=200)

THRCON is the convergence criterion on the CI coefficient convergence (default= 1.0d-6)

THRENE is a convergence criterion on the total energy of the states. This is ignored if given as a negative number. (default = -1.0d-12 Hartree)

MAXBAS maximum expansion space size in the Davidson diagonalization subspace (default=100)

MDI      dimension of the initial guess subspace used to initiate the Davidson iterative CI solver. See NHGSS in \$DET for more information (default=300).

4. data to define perturbation theory computation:

KXGMC    a flag to choose the 0-th order Hamiltonian used, when more than one state is included by KSTATE and WSTATE. KXGMC has no impact on single state runs. .TRUE. selects Granovsky's XMCQDPT equations for the zero-th order Hamiltonian, see A.A.Granovsky, J.Chem.Phys. 134, 214113(2011). .FALSE. selects the original definition of the unperturbed Hamiltonian. The default is .TRUE.

IWGT     selects wavefunction analysis (default=1) 0 means off, 1 means on (external), -1 means on (internal orbitals). This will compute the approximate weight of the MCSCF reference CI in the first order wavefunction. It is therefore a very useful diagnostic for the quality of the calculation, as the MCSCF state should be a high percentage. The formula for the decomposition is changed from the original CAS-type MCQDPT (REFWGT in \$MCQDPT), see Miyajima, Watanabe, and Nakano's reference cited below. Select IWGT=0 if the fastest speed is desired.

KFORB    flag to request canonicalization (default=.TRUE.) Canonicalization within the core, virtual, and any rotationally invariant active subspaces yields a well defined theoretical model. You would not normally turn this option off.

KROT     flag for treating (ij)->(ab) excitations .TRUE. means treating this type of term by the traditional MCQDPT formulae .FALSE. uses a MP2-type formula when this type of term arises between two identical determinants, while using zero otherwise. This is thought to be better in terms of size-consistency. (default) KROT has an impact on run times and on the numerical result. See the paper cited below by

Ebisuzaki, Watanabe, and Nakano for details.

**THRWGT** threshold weight on the square of CI coefficients, for determinant selection. Any determinants that are excluded from the reference list due to THRWGT are treated in the outer space of the perturbation. Give as a negative number to retain all of the determinants, even those of very little importance, in the reference of the perturbation treatment. The default is 1.0d-8.

**KSZDOE** flag to use spin ( $S_z$ ) dependent orbital energies. This variable is ignored for singlet state(s), or if SZ is chosen as 0.  
If .TRUE., alpha and beta orbital energies are not the same,  

$$E_{\alpha}(i) = h(i,i) + \sum_k \{ D_{\alpha}(k,l)[(ii|kl)-(il|ki)] + D_{\beta}(k,l)(ii|kl) \}$$

$$E_{\beta}(i) = h(i,i) + \sum_k \{ D_{\beta}(k,l)[(ii|kl)-(il|ki)] + D_{\alpha}(k,l)(ii|kl) \}$$
 If .FALSE. both sets use the energies  

$$E(i) = h(i,i) + \sum_k D(k,l)[(ii|kl)-1/2*(il|ki)] = [E_{\alpha}(i)+E_{\beta}(i)]/2$$
 from the total density  $D(k,l)=D_{\alpha}(k,l)+D_{\beta}(k,l)$   
 Default=.TRUE.

**THRGEN** threshold on generator constants. Default=1.0d-9  
 Raising lowers accuracy but produces speedups. Lowering to 1.0d-12 should give full accuracy for benchmarking purposes.

**THRHDE** threshold to ignore  $|\langle I|V|nu \rangle/dE|$ , which is not a very effective screening, and its use is thus not recommended. Default is 1.0 which should not screen anything. Possible values are 0.05-0.10, since many  $|\langle I|V|nu \rangle/dE|$  are around 0.02-0.03.

The next two deal with the so-called "intruder state avoidance". There are theoretical difficulties with either one. THRHDE just drops terms, so the potential surface may have small discontinuities. EDSHFT always shifts results a little bit, even if no small denominators (aka intruder states) are actually present. Clearly both are "band-

aids"! Note that the first ISA technique is turned on, by default.

THRDE is a threshold to simply drop out any term whose energy denominator is too small. The default for this is 0.005 Hartree. Change to zero to turn this option off.

EDSHFT is the same as the same keyword in \$MCQDPT. The denominators  $D$  are changed to  $D + \text{EDSHFT}/D$ . Turn off THRDE if you select this option. A reasonable value to try is 0.02, the default is 0.0.

## 5. miscellaneous data

CEXCEN = string defining the units for the excitation energy. Choose from these 4 strings (any case):  
eV (default), cm-1, Kcal/mol, KJ/mol

DDTFPT = a flag requesting the distributed data integral transformation be used, if the run is parallel. This option requires MEMDDI in \$SYSTEM. If there is not enough memory to allow this, turn this option off to use an alternate parallel transformation (DEFAULT=.TRUE.).

Note: There are additional technical parameters for \$GMCPT, documented only in the source code file gmcpt.src.

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In case it is desirable for the GMC-QDPT program to reproduce results obtained by the DETMRPT/MCQDPT programs:

- a) use a CAS-SCF reference in the MCSCF step
- b) select REFTYP=ORMAS here, and enter NSPACE=1, giving only one value for MSTART, MINE, MAXE
- c) retain the entire CAS reference in the internal determinant's perturbation space, THRWGT=-1.0
- d) select the original external determinant space's perturbation treatment, KROT=.FALSE.
- e) use equal alpha/beta orbital energies, KSZDOE=.FALSE.
- f) in multi-state mode, select KXGMC off, to reproduce those program's 0-th order reference states
- g) ensure ISA is turned off, THRDE= -1.0

h) perhaps adjust numerical parameters to full accuracy, to increase the no. of decimals: THRGEN=1D-12, THRHDE=1D+10.

#### References for GMC-QDPT:

- a) H.Nakano, R.Uchiyama, K.Hirao  
J.Comput.Chem. 23, 1166-1175(2002)
- b) M.Miyajima, Y.Watanabe, H.Nakano  
J.Chem.Phys. 124, 044101/1-9(2006)
- c) R.Ebisuzaki, Y.Watanabe, H.Nakano  
Chem.Phys.Lett. 442, 164-169(2007)

The first paper introduced the theory, with further developments including reference state weights given in the second. The present computer code is based on the efficient formulation involving ionized intermediate determinants, as described in the third paper.

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**\$PDET** group (required if NPDET>0 in \$GMCPT)

This group defined the "parent" determinants, which will be excited to excitation level NEXCIT. There must be a total of NPDET determinants given in the group. Each determinant may have spaces at the front or rear, but not embedded within the string. An example, presuming NPDET=3, is

```
$PDET
  2200
  2+-0
  2-+0
$END
```

=====

**\$ADDDDET** group (optional, if NPDET>0 in \$GMCPT)  
**\$REMDDET** group (optional, if NPDET>0 in \$GMCPT)

These two groups add (or remove) determinants from the reference list. The first line in the group tells how many determinants are contained in the group.

```
$ADDDDET/$REMDDET
  2
  2002
  +-02
$END
```

These two determinants would be generated if the \$PDET list was used with NEXCIT=2 (or higher), but this \$REMDDET would remove them from the generated total reference CI.

=====

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**\$SODET** group

(required if CITYP=FSOCI)

This group controls a full second order CI calculation using determinants (see also the keyword SOCI in \$CIDRT). Most of the characteristics of the active space (such as NCORE, NACT, NELS) must be given by \$CIDET input, as a preliminary full CI according to \$CIDET will be made. The FCI states will then used as the initial guess for the full second order CI. A few additional parameters may be given in this group, but many runs will not need to give any of these.

NEXT = the number of external orbitals to be included.  
The default is the entire virtual MO space.

NSOST = the number of states to be found in the SOCI.  
The default is copied from NSTATE in \$CIDET.

MAXPSO = maximum expansion space size used in the SOCI.  
The default is copied from MXXPAN in \$CIDET.

ORBS = MOS means use the MCSCF orbitals, which should be allowed to undergo canonicalization (see the CANONC keyword in \$MCSCF), or the input \$VEC group in case SCFTYP=NONE. (default)  
NOS means to instead use the natural orbitals of the MCSCF.

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**\$DRT** group (required by MCSCF if CISTEP=GUGA)  
**\$CIDRT** group (required if CITYP=GUGA)

This group describes the Configuration State Functions (CSFs) used by the MCSCF or CI calculation. The Distinct Row Table (DRT) is the means by which the Graphical Unitary Group Approach (GUGA) specifies configurations. The group is spelled \$DRT for MCSCF runs, and \$CIDRT for CI runs. The main difference in these is NMCC versus NFZC.

There is no default for GROUP, and you must choose one of FORS, FOCI, SOCI, or IEXCIT.

GROUP = the name of the point group to be used. This is usually the same as that in \$DATA, except for RUNTYP=HESSIAN, when it must be C1. Choose from the following: C1, C2, CI, CS, C2V, C2H, D2, D2H, C4V, D4, D4H. If your \$DATA's group is not listed, choose only C1 here.

FORS = flag specifying the Full Optimized Reaction Space set of configuration should be generated. This is usually set true for MCSCF runs, but if it is not, see FORS in \$MCSCF. (Default=.FALSE.)

FOCI = flag specifying first order CI. In addition to the FORS configurations, all singly excited CSFs from the FORS reference are included. Default=.FALSE.

SOCI = flag specifying second order CI. In addition to the FORS configurations, all singly and doubly excited configurations from the FORS reference are included. (Default=.FALSE.)

IEXCIT= electron excitation level, for example 2 will lead to a singles and doubles CI. This variable is computed by the program if FORS, FOCI, or SOCI is chosen, otherwise it must be entered.

INTACT= flag to select the interacting space option. See C.F.Bender, H.F.Schaefer J.Chem.Phys. 55, 4798-4803(1971). The CI will include only those

CSFs which have non-vanishing spin couplings with the reference configuration. Note that when the Schaefer group uses this option for high spin ROHF references, they use Guest/Saunders orbital canonicalization.

\* \* the next variables define the single reference \* \*

The single configuration reference is defined by filling in the orbitals by each type, in the order shown. The default for each type is 0.

Core orbitals, which are always doubly occupied:

NMCC = number of MCSCF core MOs (in \$DRT only).

NFZC = number of CI frozen core MOs (in \$CIDRT only).

Internal orbitals, which are partially occupied:

NDOC = number of doubly occupied MOs in the reference.

NAOS = number of alpha occupied MOs in the reference, which are singlet coupled with a corresponding number of NBOS orbitals.

NBOS = number of beta spin singly occupied MOs.

NALP = number of alpha spin singly occupied MOs in the reference, which are coupled high spin.

NVAL = number of empty MOs in the reference.

External orbitals, occupied only in FOCI or SOCI:

NEXT = number of external MOs. If given as -1, this will be set to all remaining orbitals (apart from any frozen virtual orbitals).

NFZV = number of frozen virtual MOs, never occupied.

\* \* the next two help with state symmetry \* \*

STSYM= The symmetry of the electronic state. See \$DET for possible values: use AP/APP in Cs, not primes. Default is the totally symmetric representation.

note: This option overwrites whatever symmetry is implied by NALP/NAOS/NBOS. It is easier to pick STSYM than to allow its inference from the singly occupied orbitals, which is a relic of ancient input files.

NOIRR= controls labelling of the CI state symmetries.  
= 1 no labelling (default)

- = 0 usual labelling. This can be very time consuming if the group is non-Abelian.
- = -1 fast labelling, in which all CSFs with small CI coefficients are ignored. This can produce weights quite different from one, due to ignoring small coefficients, but overall seems to work OK. Note that it is normal for the weights not to sum to 1 even for NOIRR=0 because for simplicity the weight determination is focused on the relative weights rather than absolute. However weight do not sum to one only for row-mixed MOs.
- = -2,-3... fast labelling and sets SYMTOL=10\*\*NOIRR for runs other than TRANSITN. All irreps with weights greater than SYMTOL are considered.

\* \* \* the final choices are seldom used \* \* \*

MXNINT = Buffer size for sorted integrals. (default=20000)  
Adjust this upwards if the program tells you to, which may occur in cases with large numbers of external orbitals.

MXNEME = Buffer size for energy matrix. (default=10000)

NPRT = Configuration printout control switch.  
This can consume a HUMUNGUS amount of paper!  
0 = no print (default)  
1 = print electron occupancies, one per line.  
2 = print determinants in each CSF.  
3 = print determinants in each CSF (for Ms=S-1).

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**\$MCSCF** group

(for SCFTYP=MCSCF)

This group controls the MCSCF orbital optimization step. The difference between the five convergence methods is outlined in the Further Information chapter, which you should carefully study before trying MCSCF computations.

--- the next chooses the configuration basis ---

CISTEP = ALDET chooses the Ames Lab. determinant full CI, and requires \$DET input. (default)  
 = ORMAS chooses an Occupation Restricted Multiple Active Space determinant CI, requiring both \$DET and \$ORMAS inputs.  
 = GUGA chooses the graphical unitary group CSFs, and requires \$DRT input. This is the only value usable with the QUAD converger.  
 = GENCI chooses the Ames Laboratory general CI, and requires \$GEN input.  
 = GMCCI chooses the Kyushu University general CI, and requires \$GMCPT input.

--- the next five choose the orbital optimizer ---

FOCAS = a flag to select a method with a first order convergence rate. (default=.FALSE.)  
 Parallel runs with FOCAS do not use MEMDDI.

SOSCF = a flag selecting an approximately second order convergence method, using an approximate orbital hessian. (default=.TRUE.)  
 Parallel runs with SOSCF do not use MEMDDI.

FULLNR = a flag selecting a second order method, with an exact orbital hessian. (default=.FALSE.)  
 Parallel runs with FULLNR require input of MEMDDI.

QUAD = a flag to pick a fully quadratic (orbital and CI coefficient) optimization method, which is applicable to FORS or non-FORS wavefunctions. QUAD may not be used with state-averaging. (default = .FALSE.)  
 This converger can be used only in serial runs.

JACOBI = a flag to pick a program that minimizes the MCSCF energy by a sequence of 2x2 Jacobi orbital rotations. This is very systematic in forcing convergence, although the number of iterations may be high and the time longer than the other procedures. This option does not compute the orbital Lagrangian, hence at present nuclear gradients may not be computed. (default = .FALSE.)  
This converger can be used only in serial runs.

Note that FOCAS must be used only with FORS=.TRUE. in \$DRT. The other convergers are usable for either FORS or non-FORS wavefunctions, although convergence is always harder in the latter case, when FORS below must be set .FALSE.

--- the next apply to all convergence methods ---  
Keywords specific to each converger are described below.

ACURCY = the major convergence criterion, the maximum permissible asymmetry in the Lagrangian matrix. (default=1E-5, but 1E-6 if MPLEVL, CI, or FMO is selected.)

ENGTOL = a secondary convergence criterion, the run is considered converged when the energy change is smaller than this value. (default=1.0E-10)

MAXIT = Maximum number of iterations (default=100 for FOCAS, 60 for SOSCF, 30 for FULLNR or QUAD)

MICIT = Maximum number of microiterations within a single MCSCF iteration. (default=5 for FOCAS or SOSCF, or 1 for FULLNR or QUAD)

NWORD = The maximum memory to be used, the default is to use all available memory. (default=0)

FORS = a flag to specify that the MCSCF function is of the Full Optimized Reaction Space type, which is sometimes known as CAS-SCF. .TRUE. means omit active-active rotations from the optimization. Since convergence is usually better with these

rotations included, the default is sensible:  
for FOCAS: .TRUE.,  
for FULLNR or QUAD: .FALSE. for FULLNR or QUAD,  
and for SOSCF: .TRUE. for ALDET/GUGA  
but .FALSE. for ORMAS/GENCI)  
It is seldom a good idea to enter this keyword.

Some keywords that apply after convergence is obtained:

CANONC = a flag to cause formation of the "standard Fock operator", used to generate canonical core and virtual orbitals. This may reorder the core by orbital energies. If the active space is also canonicalized, the active orbitals may also be reordered by energy. Whenever possible, the program will also attempt to canonicalize the active orbitals. (default=.TRUE.)

VVOS = Valence Virtual Orbital generation, akin to the same keyword in \$SCF, for MCSCF runs. The same restrictions (no core potentials, atoms H-Xe) apply. (default= .FALSE.)

FINCI = NONE means skip regeneration of CAS-CI states over final converged orbitals. (default)  
= MOS use the final MOS, including any final canonicalization by CANONC, to regenerate the CAS-CI states matching the MOs.  
= NOS use the final NOS to regenerate the CAS-CI states. Note that CISTEP=ORMAS' natural orbital process will mix active subspaces, so an ORMAS natural orbital CI will not reproduce the MCSCF energies.

This keyword is not implemented for CISTEP=GENCI or GMCCI.

DIABAT = flag controlling construction of diabatic states, from the final MCSCF adiabatic states.  
This is presently programmed only for CISTEP=GUGA.  
See the \$DIABAT input. (default is .FALSE.)

EKT = a flag to cause generation of extended Koopmans' theorem orbitals and energies. (Default=.FALSE.)  
For this option, see R.C.Morrison and G.Liu, J.Comput.Chem., 13, 1004-1010 (1992). Note that

the process generates non-orthogonal orbitals, as well as physically unrealistic energies for the weakly occupied MCSCF orbitals. The method is meant to produce a good value for the first I.P.

NPUNCH = MCSCF punch option (analogous to \$SCF NPUNCH)

- 0 do not punch out the final orbitals
  - 1 punch out the occupied orbitals
  - 2 punch out occupied and virtual orbitals
- The default is NPUNCH = 2.

NPFLG = an array for debug printing control. This is analogous to the same variable in \$CIINP. Elements 1,2,3,4,6,8 make sense, the 8th step controlling debugging of the orbital optimization. In case you want to see normal output from all steps during all iterations, set NPFLG(10)=1. This may help trace problems that occur only after the first iteration.

--- the next apply to SOSCF optimizations ---

NOFO = number of FOCAS iterations before switching to the SOSCF converger. May be 0, 1, ... (default=1). One FOCAS iteration at the first geometry permits a canonicalization of the virtual space to occur, which is likely to be crucial for convergence.

MC FMO = set to 1 to remove redundant orbital Lagrangian elements in FMO-MCSCF. Note that corresponding orbital rotations will still be optimised but not considered when deciding whether a run converged. This option is only in effect if detached bonds are present (for which redundant orbitals exist). Default: 1.  
(This variable is irrelevant except to FMO runs)

--- the next three refer to FOCAS optimizations ---

CASDII = threshold to start DIIS (default=0.05)

CASHFT = level shift value (default=1.0)

NRM CAS = renormalization flag, 1 means do Fock matrix

renormalization, 0 skips (default=1)

--- the next applies to the QUAD method ---  
(note that all FULLNR input is also relevant to QUAD)

QUIDTHR = threshold on the orbital rotation parameter,  
SQCDF, to switch from the initial FULLNR  
iterations to the fully quadratic method.  
(default = 0.05)

--- The JACOBI converger accepts FULLNR options ---  
--- NORB, NOROT, MOFRZ, and FCORE as input ---

--- all remaining input applies only to FULLNR ---  
except that JACOBI obeys four of these!

Freezing any orbitals (FCORE, MOFRZ, NORB, NOROT)  
is incompatible with gradients, as the orbitals will  
not be fully optimized. Use frozen orbital options  
only with RUNTYP=ENERGY!

DAMP = damping factor, this is adjusted by the program  
as necessary. (default=0.0)

METHOD = DM2 selects a density driven construction of the  
Newton-Raphson matrices. (default).  
= TEI selects 2e- integral driven NR construction.  
See the 'further information' section for more  
details concerning these methods. TEI is slow!

LINSER = a flag to activate a method similar to direct  
minimization of SCF. The method is used if  
the energy rises between iterations. It may in  
some circumstances increase the chance of  
converging excited states. (default=.FALSE.)

FCORE = a flag to freeze optimization of all filled  
orbitals, which is useful in preparation for  
RUNTYP=TRANSITN jobs. Filled orbitals means not  
only chemical core, but also any inert valence  
orbitals below the active space. Setting FCORE  
automatically forces CANONC false. It may be  
useful to decrease TOLZ and TOLE in \$GUESS by two  
orders of magnitude to ensure the filled orbitals  
are unchanged during MOREAD. (default=.FALSE.)



MOFRZ = an array of orbitals to be frozen out of the orbital optimization step (default=none frozen). This list may contain active orbitals, as well as the filled orbitals.  
No more than 15 orbitals may be frozen.  
You probably want to toggle CANONC off too!

--- the last few FULLNR options are seldom used ---

NORB = the number of orbitals to be included in the optimization. The default is to optimize with respect to the entire basis. Give NORB as two smaller than the number of MOs if you want to freeze out the top two virtual orbitals. (default=all orbitals present in the run).

NOROT = an array of up to 250 orbital rotation pairs to be omitted from the optimization process. The program automatically deletes all core-core rotations, all act-act rotations if FORS=.TRUE., and all core-act and core-virt rotations if FCORE=.TRUE. Additional rotations are input as I1,J1,I2,J2... to exclude rotations between orbital I running from 1 to NORB, and J running up to the smaller of I or NVAL in \$TRANS.

DROPC = a flag to include MCC core orbitals during the CI computation. The default is to drop them during the CI, instead forming Fock operators which are used to build the correct terms in the orbital hessian. (default = .TRUE.)

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**\$MRMP** group      (relevant if SCFTYP=MCSCF, MPLEVL=2)

This group allows you to specify which second order multi-reference perturbation theory program is executed. See REFS.DOC for more details about multireference PT.

Results from these programs should never be referred to as "CASPT2". That method is similar in spirit, but is a different set of equations, whose numerical results will not be identical to those used for MRMP/MCQDPT. When the reference wavefunction is CAS-SCF, the perturbation energies from either DETMRPT or MCQDPT programs should be called MRMP when applied to a single state, and MCQDPT when applied to more than one state. GMCPT is a different method, also not "CASPT2".

Diabatic state generation is permitted only through the CSF-based CAS-reference perturbation program (MCQDPT).

- MRPT    = DETMRPT requests a determinant program.  
           The MCSCF may use CISTEP=ALDET, using  
           a CAS-SCF reference, in which case the run  
           produces results equivalent to MCQDPT.  
           The MCSCF may also use CISTEP=ORMAS to use  
           more general references.  
           In either case, the reference must be given  
           by \$DET (and possibly \$ORMAS) inputs.  
           See \$DETPT for perturbation specific input.  
           (default for most runs)
- = MCQDPT requests a CSF (GUGA based) program. Its  
           advantages compared to DETMRPT are that it  
           can do spin-orbit MRPT, and find the weight  
           of the MCQDPT zeroth order state.  
           CISTEP can be ALDET or GUGA, your choice.  
           See \$MCQDPT for related input.  
           (default for RUNTYP=TRANSITN)  
           (default for DIABAT=.TRUE. in \$MCSCF)
- = GMCPT requests a determinant based program that  
           can use non-CAS type reference functions,  
           including ORMAS or user defined lists.

See \$GMCPT for related input and more info.

Both the DETMRPT and MCQDPT programs produce numerically identical results, if you select a tight value of `THRGEN=1D-12` for the latter program (in some cases you may also need to tighten their CI convergence criteria). Eight or more decimal place energy agreement between the two codes has been observed, when being careful about these cutoffs. This is true whether the codes are running in single state mode, which the literature calls MRMP, or in multi-state mode, which the literature calls MCQDPT.

Generally speaking, the determinant code uses direct CI technology to avoid disk I/O, and is much faster when used with larger active spaces (particularly above 12 active orbitals). The determinant code uses essentially no disk space beyond that required by the MCSCF itself. The determinant code uses native integral transformation codes, including the distributed memory parallel transformation. However, the determinant code is perhaps a bit slower when there is a small active space and very many filled valence orbitals included in the PT. Both codes exploit distributed memory parallelization (MEMDDI).

The determinant program lacks complete control of orbital canonicalization. Be careful to read in only canonicalized core, active, and virtual MOs if you pick `RDVECS=.TRUE.` with this program.

`RDVECS` = a flag controlling whether the orbitals should be MCSCF optimized in this run. A value of `.TRUE.` means that your converged MCSCF orbitals are being given in \$VEC, and the program will branch to the perturbation treatment. (default=`.FALSE.`)

notes:

If you select `RDVECS`, and are not doing spin-orbit coupling with the CSF program, \$GUESS method `GUESS=MOREAD` is used to process the orbitals. Its options such as `NORB` and `PURIFY` will apply to reading the \$VEC input, and as always, `MOREAD` in \$GUESS will orthogonalize.

If you are using the CSF program for spin-orbit coupling, \$GUESS is ignored, and the \$VEC or \$VECn group must contain all virtuals. The orbitals will not be reorthogonalized unless you select the `MODVEC` option.

In either case, if your orbitals are not orthogonal, you are better off repeating MCSCF with RDVECS=.FALSE.!

MODVEC = 0 skip orthogonalization (default)  
          = 1 do orthogonalization in the SO-MCQDPT program.

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**\$DETPT** group            (relevant if SCFTYP=MCSCF and MPLEVL=2)

This input group applies to the determinant-based multi-reference perturbation theory program, if chosen by MRPT=DETMPT in \$MRMP.

When applied to only one state, the theory is known as multi-reference Moller-Plesset (MRMP), but the term MCQDPT is used when this theory is used in its multi-state form. Please note that this perturbation theory is not the same thing as the CASPT2 theory, and should -NEVER- be called that. A more complete discussion may be found in the 'Further Information' chapter.

NVAL    = number of filled valence orbitals in the MCSCF to be included in the dynamic correlation treatment. This is analogous to NMODOC in the \$MCQDPT input. The number of frozen cores orbitals is found by subtracting NVAL from NCORE in \$DET, so that you need not specify the chemical core's size. Also, there is no input for specifying the active space, which is inherited from \$DET. The default for NVAL correlates valence orbitals, but freezes any chemical cores.

NEXT    = number of external orbitals to use. The default means to use all of them (default=-1).

NOS     = a flag to use MCSCF natural orbitals rather than canonicalized orbitals as the basis of the PT. This changes the numerical results!!!

Omitting NPTST, IPTST, and WPTST is the simplest option, meaning that any state with a non-zero WSTATE in \$DET is included in the perturbation. Canonicalization of the orbitals is normally done by the MCSCF program, see CANONC in \$MCSCF. However, if not, or if the state weights are changed, the canonicalization is done in the perturbation code, according to CANON in this group. The default is the most computationally efficient.

CANON   = flag to request canonicalization. Default=.TRUE.

Turning off canonicalization is for experimental purposes, so most runs should not avoid it. The canonicalization will be done in the perturbation code under three circumstances,

RDVECS=.TRUE. was used, at the first geometry, the MCSCF step skipped canonicalization, or you enter NPTST/IPTST/SPTST information.

Canonicalization uses the state averaged density matrix to build the "standard Fock operator", and involves diagonalizing its diagonal sub-blocks.

NPTST = the number of states to include in generation of the unperturbed CAS states. If NPTST is chosen, spins of the states will be ignored, like using PURES=.F. in \$DET, so you must be careful in your matching IPTST input.

IPTST = an array of CAS-CI states to be included in the perturbation theory, give NPTST values.

WPTST = an array of state weights. Like NPTST/IPTST, the default for WPTST is derived from WSTATE in \$DET.

example: NPTST=3 IPTST(1)=1,3,5 might be used to include three singlets, S0,S1,S2 in a MCQDPT-type treatment, but skip over T1 and T2. You will have done an earlier CI or MCSCF run, in order to know that you need NPTST five or higher to capture the lowest three singlets, and that these singlets appear where they do. NSTATE in \$DET must be at least 5 in this example, to find enough roots.

EDSHFT is the same as the same keyword in \$MCQDPT. The denominators D are changed to D + EDSHFT/D. Reasonable values are 0.02 to 1D-4, if you need any shift at all. The default is 0.0.

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**\$MCQDPT** group (relevant if SCFTYP=MCSCF and MPLEVL=2)

Controls 2nd order MCQDPT (multiconfiguration quasi-degenerate perturbation theory) runs, if requested by MPLEVL=2 in \$CONTRL. MCQDPT2 is implemented only for FORS (aka CASSCF) wavefunctions. The MCQDPT method is a multistate, as well as multireference perturbation theory. The implementation is a separate program, interfaced to GAMESS, with its own procedures for determination of the canonical MOs, CSF generation, integral transformation, CI in the reference CAS, etc. Therefore some of the input in this group repeats data given elsewhere, particularly for \$DET/\$DRT.

Analytic gradients are not available. Spin-orbit coupling may be treated as a perturbation, included at the same time as the energy perturbation. If spin-orbit calculations are performed, the input groups for each multiplicity are named \$MCQD1, \$MCQD2, ... rather than \$MCQDPT. Parallel calculation is enabled.

When applied to only one state, the theory is known as multi-reference Moller-Plesset (MRMP), but the term MCQDPT is used when this theory is used in its multi-state form. Please note that this perturbation theory is not the same thing as the CASPT2 theory, and should -NEVER- be called that. A more complete discussion may be found in the 'Further Information' chapter.

Most values will inherit sensible defaults for the state symmetry and the orbital space counts from the \$DET or \$DRT input defining the MCSCF: however for multi-state runs, the user probably has to supply the desired state and weighting information.

In case of diabatic state generation at the MCQDPT level, the settings for state selection and weights will be inherited from the \$DIABAT input, to be the same as used for the Diabatic MO generation. Thus diabatization runs will probably not give any input here, although they might override NMOFZC/NMODOC defaults.

\*\*\* MCSCF reference wavefunction \*\*\*

NEL = total number of electrons, including core.  
(default from \$DATA and ICHARG in \$CONTRL)

MULT = spin multiplicity (default from \$CONTRL)

NMOACT = Number of orbitals in FORS active space  
(default is the active space in \$DET or \$DRT)

NMOFZC = number of frozen core orbitals, NOT correlated  
in the perturbation calculation. (default is  
number of chemical cores)

NMODOC = number of orbitals which are doubly occupied in  
every MCSCF configuration, that is, not active  
orbitals, which are to be included in the  
perturbation calculation. (The default is all  
valence orbitals between the chemical core and  
the active space)

NMOFZV = number of frozen virtuals, NOT occupied during  
the perturbation calculation. The default is  
to use all virtuals in the MP2. (default=0)

If the input file does not provide a \$DET or \$DRT, the user must give NMOFZC, NMODOC, and NMOACT correctly here.

STSYM = The symmetry of the target electronic state(s).  
See \$DET for possible values: use AP/APP in Cs, not  
primes. This must be given, and need not match the  
state symmetry used in optimizing the orbitals by  
\$DET or \$DRT, although it often does.  
Default is the totally symmetric representation.

NOSYM = 0 use CSF symmetry (see the STSYM keyword).  
off diagonal perturbations vanish if states are  
of different symmetry, so the most efficient  
computation is a separate run for every space  
symmetry. (default)

1 turn off CSF state symmetry so that all states  
are treated at once. STSYM is ignored.  
Presently this option does not seem to work!!

-1 Symmetry purify the orbitals. Since \$GUESS is  
not read by MCQDPT runs, this option can be used  
as a substitute for its PURIFY. After cleaning  
the orbitals, they are reorthogonalised within  
each irrep and within each group (core, double,  
active, virtual) separately. Since this occurs



without MCSCF optimization if you have chosen to use RDVECS in \$MRMP, it is *\*your\** responsibility to ensure that any purification of the orbitals is small enough that the CAS energies for the original CASSCF and the CAS-CI performed during the MCQDPT are the same!

\*\*\* perturbation specification \*\*\*

KSTATE= state is used (1) or not (0) in the MCQDPT2.  
Maximum of 20 elements, including zeros.  
For example, if you want the perturbation correction to the second and the fourth roots,  
KSTATE(1)=0,1,0,1  
See also WSTATE.  
(normal default=1,0,0,0,0,0,0,...)  
(default for DIABAT=.TRUE. will be from \$DIABAT)

XZERO a flag to choose the 0-th order Hamiltonian used, when more than one state is included by KSTATE and WSTATE. XZERO has no impact on single state runs.  
.TRUE. selects Granovsky's XMCQDPT equations for the zero-th order Hamiltonian, see  
A.A.Granovsky, J.Chem.Phys. 134, 214113(2011).  
.FALSE. selects the original definition of the unperturbed Hamiltonian. The default is .FALSE.

\*\*\* Intruder State Removal \*\*\*

EDSHFT = energy denominator shifts. (default=0.0,0.0)  
See also REFWGT.

Intruder State Avoidance (ISA) calculations can be made by changing the energy denominators around poles (where the denominator is zero). Each denominator  $x$  is replaced by  $x + \text{EDSHFT}/x$ , so that far from the poles (when  $x$  is large) the effect of such change is small. EDSHFT is an array of two values, the first is used in spin-free MCQDPT, and the second is for spin-orbit MCQDPT. Both values are used if RUNTYP=TRNSTN, only the first is used otherwise. A suggested pair of values is 0.02,0.1, but experimentation with your system is recommended. Setting these values to zero is ordinary MCQDPT, whereas infinite collapses to the MCSCF reference.

Note that the energy denominators (which are ket-dependent in MCQDPT) are changed in a different way for each ket-vector, that is, for each row in MCQDPT Hamiltonian matrix. In other words, the zeroth order energies are not "universal", but state specific. This is strictly speaking an inconsistency in defining zeroth order energies that are usually chosen "universally".

In order to maintain continuity when studying a PES, one usually uses the same EDSHFT values for all points on PES. In order to study the potential surface for any extended range of geometries, it is recommended to use ISA, as it is quite likely that one or more regions of the PES will be unphysical due to intruder states.

For an example of how intruder states can appear at some points on the PES, see Figures 1,2,7 of

K.R.Glaesemann, M.S.Gordon, H.Nakano  
Phys.Chem.Chem.Phys. 1, 967-975(1999)

and also

H.A.Witek, D.G.Fedorov, K.Hirao, A.Viel, P.-O.Widmark  
J.Chem.Phys. 116, 8396-406(2002)

For a discussion of intruder state removal from MCQDPT, see

H.A.Witek, Y.-K.Choe, J.P.Finley, K.Hirao  
J.Comput.Chem. 23, 957-965(2002)

REFWGT = a flag to request decomposition of the second order energy into internal, semi-internal, and external contributions, and to obtain the weight of the MCSCF reference in the 1st order wave function. This option significantly increases the run time! When you run in parallel, only the transformation steps will speed up, as the PT part of the reference weight calculation has not been adapted for speedups (default=.FALSE.)

The EDSHFT option does not apply if REFWGT is used. One purpose of using REFWGT is to try to understand the nature of the intruder states.

\*\*\* Canonical Fock orbitals \*\*\*

IFORB = 0 skip canonicalization  
(default when DIABAT=.TRUE.).  
= 1 determine the canonical Fock orbitals.

(the usual default)  
= 3 canonicalise the Fock orbitals averaged over  
all \$MCQDx input groups.

IFORB=3 option pertains only to RUNTYP=TRANSITN. It is primarily meant to include spin-orbit coupling perturbation into the energy perturbation, but could also be used in conjunction with OPERAT=DM to calculate only the second order energy perturbation. IFORB=3 means that WSTATE is used as follows: In each \$MCQDx group, the WSTATE weights are divided by the total number of states ( $\sum(i) \text{IROOTS}(i)$ ), so the sum over all WSTATE values in all \$MCQDx groups is normalized to sum to 1. Thus there is no normalization to 1 within each \$MCQDx group. This option might be used to speed up an atomic MCQDPT, e.g. if computing the 3-P ground state of carbon, one would want to average over all three spatial components of the P term, to be sure of spatial degeneracy, but then run the perturbation using symmetry, separately on the B1g+B2g+B3g subspecies (within D2h) of a P term. It is very important to give weights appropriate for the symmetry, the input requires care.

WSTATE = weight of each CAS-CI state in computing the closed shell Fock matrix. You must enter 0.0 whenever the same element in KSTATE is 0. In most cases setting the WSTATES for states to be included in the MCQDPT to equal weights is the best, and this is the default. Runs with DIABAT=.TRUE. default to the same weights used during the DMO generation step.

\*\*\* Miscellaneous options \*\*\*

ISELECT is an option to select only the important CSFs for inclusion into the CAS-CI reference states. Set to 1 to select, or 0 to avoid selection of CSFs (default = 0)  
All CSFs in a preliminary complete active space CI whose CI coefficients exceed the square root of THRWGT are kept in a smaller CI to determine the zero-th order states. Note that the CSFs with smaller coefficients, while excluded from the reference states, are still used during the

perturbation calculation, so most of their energy contribution is still retained. This can save appreciable computer time in cases with large active spaces.

- THRWGT = weight threshold for retaining CSFs in selected configuration runs. In quantum mechanics, the weight of a CSF is the square of its CI coefficient. (default=1d-6)
- THRGEN = threshold for one-, two-, and three-body density matrix elements in the perturbation calculation. The default gives about 5 decimal place accuracy in energies. Increase to 1.0D-12 if you wish to obtain higher accuracy, for example, in numerical gradients (default=1D-8). Tightening THRGRN and perhaps CI diagonalization should allow 7-8 decimal place agreement with the determinant code.
- THRENE = threshold for the energy convergence in the Davidson's method CAS-CI. (default=-1.0D+00)
- THRCON = threshold for the vector convergence in the Davidson's method CAS-CI. (default=1.0D-06)
- MDI = dimension of small Hamiltonian diagonalized to prepare initial guess CI states. (default=50)
- MXBASE = maximum number of expansion vectors in the Davidson diagonalization subspace (e.g. MXXPAN). (default=50)
- NSOLUT = number of states to be solved for in the Davidson's method, this might need to exceed the number of states in the perturbation treatment in order to "capture" the correct roots.
- NSTOP = maximum number of iterations to permit in the Davidson's diagonalization.
- LPOUT = print option, 0 gives normal printout, while <0 gives debug print (e.g. -1, -5, -10, -100) In particular, LPOUT=-1 gives more detailed

timing information. (default=0)

The next three parameters refer to parallel execution:

DOORD0 = a flag to select reordering of A0 integrals which speeds the integral transformations. This reduces disk writes, but increases disk reads, so you can try turning it off if your machine has slow writes. (default=.TRUE.)

PARAIO = access 2e- integral file on every node, at the same time. This affects only runs with DOORD0 true, and it may be useful to turn this off in the case of SMP nodes sharing a common disk drive. (default=.TRUE.)

DELSCR = a flag to delete file 56 containing half-transformed integrals after it has been used. This reduces total disk requirements if this file is big. (default=.FALSE.)

Note that parallel execution will be more effective if you use distributed memory, MEMDDI in \$SYSTEM. Using AOINTS=DIST in \$TRANS is likely to be helpful in situations with relatively poor I/O rates compared to communication, e.g. SMP enclosures forced to share a single scratch disk system. See PROG.DOC for more information on parallel execution.

Finally, there are additional very specialized options, described in the source code routine MQREAD: IROT, LENGTH, MAXCSF, MAXERI, MAXROW, MXTRFR, THRERI, MAINCS, NSTATE

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**\$EXCORR** group (compatible with CITYP=ORMAS)

This group prepares input data used to run the universal perturbative explicitly correlated basis set completeness correction (PT2R12). This sequence starts with a GAMESS multireference CI calculation, using ORMAS, and then passes information (basis set, orbitals, density matrix) to the Massively Parallel Quantum Chemistry (MPQC) program. The latter program's calculation uses R-12 methods to minimize basis set incompleteness errors.

- PT2R12 = PT2R12 basis set incompleteness correction.  
Selecting this flag generates ASCII data files  
that serve as MPQC's input.  
(DEFAULT = .false.)
- NFRZC = number of frozen core orbitals in the PT2R12  
basis set incompleteness correction.  
(DEFAULT = NCORE from \$CIDET)
- NINACT = number of inactive orbitals in the PT2R12 basis  
set incompleteness correction. (DEFAULT = 0)
- NCORR = number of orbitals to be explicitly correlated.  
(DEFAULT = NINACT + NACT from \$EXCORR)
- NFRZV = number of frozen valence orbitals in the PT2R12  
basis set incompleteness correction.  
(DEFAULT = 0)
- PUNTOL = printing tolerance for the second order reduced  
density matrix (DEFAULT = 1.00E-11)

The following are used specifically for the MPQC interface to GAMESS.

- DFBS = use density fitting for integral evaluation  
Note: recomputed reference energy will be  
slightly different. (DEFAULT = .false.)

RUNR12 = compute [2]\_R12 correction with MPQC interface.  
(DEFAULT = .false.)

SINGLS = compute [2]\_S correction with MPQC interface.  
(DEFAULT = .false.)

F12EXP = f12 exponent. (default=1.0D+00)

NTHRDS = number of threads that are spawned during the  
[2]\_R12 and/or [2]\_S computation. (DEFAULT = 1)

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=====

**\$CASCI** group                      (relevant to SCFTYP=RHF MPLEVL=2)

This group carries out the Improved Virtual Orbital - Complete Active Space CI method of Freed, Chaudhuri, and co-workers. IVO-CASCI starts with a RHF reference, and then generates IVOs, which are used in a CI computation within an active space chosen by the user. The input consists of this group, a \$MCQDPT, and perhaps a \$IVOORB input, along with SCFTYP=RHF and MPLEVL=2. MULT in \$CONTRL applies to the SCF reference, while MULT in \$MCQDPT selects the spin of the IVO-CASCI state(s). Doublets are treated by using a cation RHF reference.

IVOCAS = a flag to turn on IVO-CASCI computation. This is usually the only input required (default=.FALSE.)

MOLIST = a flag to request complete control over the active space specification. The default uses the parameters in \$MCQDPT to select from the IVOs with the lowest energy. (default=.FALSE.)

DEGENR = a flag to indicate the HOMO is degenerate. The program should set this for you.

PRINT = a flag to print debugging info (default=.FALSE.)

The user should request IFORB=0 in \$MCQDPT to suppress its generation of canonical orbitals, so that the IVOs are used. A Huckel guess is usually fine. The \$MCQDPT should define the active orbitals taken from the IVO set by giving NMOFZC, NMODOC, and NMOACT, and the electronic state is specified by that group's MULT, NSTATE, and NSTSYM.

References:

- D.M.Potts, C.M.Taylor, R.K.Chaudhuri, K.F.Freed  
J.Chem.Phys. 114, 2592-2600(2001)  
R.K.Chaudhuri, K.F.Freed, S.A.Abrash, D.M.Potts  
J.Mol.Spectrosc. 547, 83-96(2001)  
R.K.Chaudhuri, K.F.Freed  
J.Chem.Phys. 126, 114103/1-6(2007)

A simple example follows,



```

$contrl scfityp=rhf mplevl=2 runtyp=energy ispher=1 $end
$scasi IVOCAS=.true. $end
$mcqdpt mult=3 stsym=b1 nstate=1 iforb=0
      nel=8 nmofzc=1 nmodoc=2 nmoact=2 $end
$basis  gbasis=ccd $end
$guess  guess=huckel $end
$data
Methylene...3-B-1 state...RHF/cc-pVDZ
Cnv  2

C   6.0   0.0   .0000000000   .0289123030
H   1.0   0.0   .9813851814   .4758735367
$end

```

The result for the 1st order energy will be -38.9156231594, which is a full CI within a two orbital space, generated by the IVO process, rather than a more expensive MCSCF run.

### =====

#### **\$IVOORB** group (relevant if MOLIST=.T. in \$CASI)

In case the IVOs are not generated in the desired order, this group can fully specify the orbital counts in each irreducible representation.

line 1: NIRREP - gives the total number of irreps

line 2: NDIM, NCORE, NDOC, NUNOCC, NSING - for this irrep, gives its total dimension, the number of core MOs in the CASCI, and 3 parameters which define the active orbitals: filled, empty, and singly occupied (0,1 only) in the reference. Repeat NIRREP times. A 6 active e- example is

```

$IVOORB
2
59 4 2 2 0
26 0 1 1 0
$END

```

=====

The input groups \$CISORT, \$GUGEM, \$GUGDIA, \$GUGDM, \$GUGDM2, \$LAGRAN, and \$TRFDM2 pertain only to GUGA CI, chosen by either \$CONTRL's CITYP=GUGA or \$MCSCF's CISTEP=GUGA. Equivalent values (using the same keywords) may be given for determinant runs in \$DET or \$CIDET input groups.

=====

**\$CISORT** group            (relevant for GUGA -CI- or -MCSCF-)

This group provides further control over the sorting of the transformed molecular integrals into the order the GUGA program requires.

NDAR    = Number of direct access records.  
         (default = 2000)

LDAR    = Length of direct access record (site dependent)

NBOXMX = Maximum number of boxes in the sort.  
         (default = 200)

NWORD   = Number of words of fast memory to use in this  
         step. A value of 0 results in automatic use of  
         all available memory. (default = 0)

NOMEM   = 0 (set to one to force out of memory algorithm)

=====

**\$GUGEM** group            (relevant for GUGA -CI- or -MCSCF-)

This group provides further control over the calculation of the energy (Hamiltonian) matrix.

CUTOFF = Cutoff criterion for the energy matrix.  
         (default=1.0E-8)

NWORD   = not used.

=====

=====

**\$GUGDIA** group      (relevant for GUGA -CI- or -MCSCF-)

This group provides control over the Davidson method diagonalization step.

NSTATE = Number of CI states to be found, including the ground state. (default=1, ground state only.)  
You can solve for any number of states, but only 100 can be saved for subsequent sections, such as state averaging. See IROOT in \$GUGDM/\$GUGDM2.

PRTTOL = Printout tolerance for CI coefficients  
(default = 0.05)

MXXPAN = Maximum no. of expansion basis vectors used before the expansion basis is truncated.  
(default=30)

ITERMX = Maximum number of iterations (default=50)

CVGTOL = Convergence criterion for Davidson eigenvector routine. This value is proportional to the accuracy of the coefficients of the eigenvector(s) found. The energy accuracy is proportional to its square. (default=1.0d-5, but 1E-6 if gradients, MPLEVL, CITYP, or FMO selected).

NWORD = Number of words of fast memory to use in this step. A value of zero results in the use of all available memory. (default = 0)

MAXHAM = specifies dimension of Hamiltonian to try to store in memory. The default is to use all remaining memory to store this matrix in memory, if it fits, to reduce disk I/O to a minimum.

MAXDIA = maximum dimension of Hamiltonian to send to an incore diagonalization. If the number of CSFs is bigger than MAXDIA, an iterative Davidson procedure is invoked. Default=100

NIMPRV = Maximum no. of eigenvectors to be improved every iteration. (default = nstate)

NSELECT = Determines initial guess to eigenvectors.  
= 0 -> Unit vectors corresponding to the NSTATE  
lowest diagonal elements and any diagonal  
elements within SELTHR of them. (default)  
< 0 -> First abs(NSELECT) unit vectors.  
> 0 -> use NSELECT unit vectors corresponding to  
the NSELECT lowest diagonal elements.

SELTHR = Guess selection threshold when NSELECT=0.  
(default=0.01)

NEXTRA = Number of extra expansion basis vectors to be  
included on the first iteration. NEXTRA is  
decremented by one each iteration. This may be  
useful in "capturing" vectors for higher states.  
(default=5)  
On AXP processors, enter as 0 to avoid core dumps.

KPRINT = Print flag bit vector used when  
NPFLG(4)=1 in \$CIINP. (default=8)  
value 1 bit 0 print final eigenvalues  
value 2 bit 1 print final tolerances  
value 4 bit 2 print eigenvalues and tolerances  
at each truncation  
value 8 bit 3 print eigenvalues every iteration  
value 16 bit 4 print tolerances every iteration

Inputs for a multireference Davidson correction, in case  
the orbitals are from a MCSCF.

NREF = number of CSFs in the MCSCF (full CI) job.

EREF = the energy of the MCSCF reference.

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**\$GUGDM** group

(relevant for GUGA -CI-)

This group provides further control over formation of the one electron density matrix. See NSTATE in \$GUGDIA.

NFLGDM = Array controlling each state's density formation.

0 -> do not form density for this state.

1 -> form density and natural orbitals for this state, print and punch occ.num. and NOs.

2 -> same as 1, plus print density over MOs.

The default is NFLGDM(1)=1,0,0,...,0 meaning only ground state NOs are generated.

Note that forming the 1-particle density for a state is negligible compared to diagonalization time for that state.

IROOT = The root whose density matrix is saved on disk for later computation of properties. You may save only one state's density per run. By default, this is the ground state (default=1).

WSTATE = An array of up to 100 weights to be given to the 1 body density of each state. The averaged density will be used for property computations, as well as "state averaged natural orbitals". The default is to use NFLGDM/IROOT, unless WSTATE is given, when NFLGDM/IROOT are ignored.

It is not physically reasonable to average over any CI states that are not degenerate, but it may be useful to use WSTATE to produce a totally symmetric density when the states are degenerate.

IBLOCK = Density blocking switch. If nonzero, the off diagonal block of the density above row IBLOCK will be set to zero before the (now approximate) natural orbitals are found. One use for this is to keep the internal and external orbitals in a FOCI or SOCI calculation from mixing, where IBLOCK is the highest internal orbital. (default=0)

NWORD = Number of words of memory to use. Zero means use all available memory (default=0).

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**\$GUGDM2** group      (relevant for GUGA -CI- or -MCSCF-)

This group provides control over formation of the 2-particle density matrix.

WSTATE = An array of up to 100 weights to be given to the 2 body density of each state in forming the DM2. The default is to optimize a pure ground state. (Default=1.0,99\*0.0)  
A small amount of the ground state can help the convergence of excited states greatly.  
Gradient runs are possible only with pure states.

IROOT = the MCSCF state whose energy will be used as the desired value. The default means to use the average (according to WSTATE) of all states as the FINAL energy, which of course is not a physically meaningful quantity. This is mostly useful for the numerical gradient of a specific state obtained with state averaged orbitals. (default=0).

Be sure to set NSTATE in \$GUGDIA appropriately!

CUTOFF = Cutoff criterion for the 2nd-order density. (default = 1.0E-9)

NWORD = Number of words of fast memory to use in sorting the DM2. The default uses all available memory. (default=0).

NOMEM = 0 uses in memory sort, if possible.  
= 1 forces out of memory sort.

NDAR = Number of direct access records. (default=4000)

LDAR = Length of direct access record (site dependent)

NBOXMX = Maximum no. of boxes in the sort. (default=200)

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**\$LAGRAN** group (relevant for GUGA -CI- gradient)

This group provides further control over formation of the CI Lagrangian, a quantity which is necessary for the computation of CI gradients.

NOMEM = 0 form in core, if possible  
= 1 forces out of core formation

NWORD = 0 (0=use all available memory)

NDAR = 4000

LDAR = Length of each direct access record  
(default is NINTMX from \$INTGRL)

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**\$TRFDM2** group                      (relevant for GUGA -CI- gradient)

This group provides further control over the back transformation of the 2 body density to the A0 basis.

NOMEM =    0 transform and sort in core, if possible  
         =    1 transform in core, sort out of core, if poss.  
         =    2 transform out of core, sort out of core

NWORD =    0 (0=use all available memory)

CUTOFF= 1.0D-9, threshold for saving DM2 values

NDAR    = 2000

LDAR    = Length of each direct access record  
          (default is system dependent)

NBOXMX= 200

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Usually neither \$LAGRAN nor \$TRFDM2 are given. Since these groups are normally used only for CI gradient runs, we list here the restrictions on GUGA CI gradients:

- a) SCFTYP=RHF, only
- b) no FZV orbitals in \$CIDRT, all MOs must be used.
- c) the derivative integrals are computed in the 2nd derivative code, which is limited to spd basis sets.
- d) the code does not run in parallel.
- e) Use WSTATE in \$GUGDM2 to specify the state whose gradient is to be found. Use IROOT in \$GUGDM to specify the state whose other properties will be found. These must be the same state!
- f) excited states often have different symmetry than the ground state, so think about GROUP in \$CIDRT.
- g) the gradient can probably be found for any CI for which you have sufficient disk to do the CI itself.

Time is probably about 2/3 additional.  
See also \$CISGRD for CI singles gradient



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**\$DIABAT** group      (relevant if DIABAT=.TRUE. in \$MCSCF)

This group controls creation of diabatic states from adiabatic states using complete active space-type MCSCF wavefunctions. Diabatization is performed at a single geometry, during RUNTYP=ENERGY, if DIABAT=.TRUE. in \$MCSCF. Diabatization is presently programmed only for CISTEP=GUGA, and may be performed at either the the CASSCF level or at the MCQDPT level.

Diabatization creates first the Diabatic Molecular Orbitals (DMOs), and then the diabatic states by rotation of the adiabatic CI states obtained with the DMOs. DMOs are a set of active orbitals evolving smoothly from a reference geometry (where the adiabatic states are cleanly separated, i.e. already diabatic in nature), through a region where several states might come close, or even undergo avoided crossings, and beyond to another region where adiabatic states are once again cleanly separable. Only one side is considered the reference geometry for DMO generation. The dominant CSFs in the diabatic reference states are taken from the adiabatic states at this reference geometry, possibly informed by user knowledge about the dominant CSFs at the other side of the crossing region.

If MPLEVL=2 is specified, the diabatzation program will also produce diabatic states at the MCQDPT level, utilizing the CAS-level DMOs during the MCQDPT diabatzation. This is a simpler procedure than was used prior to 2013. Note that \$MCQDPT input need not be given, as DIABAT=.TRUE. will force the selection of the CSF-based MCQDPT program, and will pass orbital counts and state weights from this input group to the MCQDPT.

The method is described in:

- H.Nakamura, D.G.Truhlar J.Chem.Phys. 115, 10353-10372(2001)
- H.Nakamura, D.G.Truhlar J.Chem.Phys. 117, 5576-5593(2002)
- H.Nakamura, D.G.Truhlar J.Chem.Phys. 118, 6816-6829(2003)
- Z.H.Li, R.Valero, D.G.Truhlar  
Theoret.Chem.Acc. 118, 9-24(2007)
- K.R.Yang, X.Xu, D.G.Truhlar  
Chem.Phys.Lett. 573, 84-89(2013)

REFMOS = a flag controlling reading of "order reference orbitals" at a reference geometry, from \$DFMVEC. These are often obtained by the 3-fold way, or perhaps the 4-fold way at a nearby geometry. Default is .FALSE.  
If not set, this run will use the 3-fold way to prepare DMOs, and will also punch the DMOs for possible use as the \$DFMVEC for other geometries. If set, this run will use the order reference orbitals to help align the active orbitals of the run to their order at the reference geometry. This is normally a good idea!

REFGRP = a flag controlling reading of groups of CSFs expected to dominate different diabatic states. Default is .FALSE.  
If not set, for a run at some chosen reference geometry, the dominant groups are prepared and punched as a \$REFCSF group. See also SLCTTH, which sets the threshold for "dominant".  
If set, diabaticization will be performed, using the dominant CSF group information read from \$REFCSF.

Note: real diabaticization runs must set REFGRP=.TRUE. but in some cases diabaticization might have no ambiguity in orbital ordering, so REFMOS might be .TRUE. or .FALSE.

SLCTTH = selection threshold for dominant configurations, when REFGRP=.FALSE. Also used as a printing threshold in adiabatic and diabatic states. Default is 0.20; it pertains to CI coefficients.

\* \* \* Keywords related to state selection \* \* \*

The defaults are quite reasonable, so most runs might omit all of these! Let NWEIGHT be the number of states up to and including the highest weighted state in the MCSCF orbital optimization (according to WSTATE in \$GUGDM2). NWEIGHT includes any states with zero weight below the highest weighted one. The defaults use NWEIGHT states during the DMO generation, all with equal weight in the DMO generation, and then diabaticize NWEIGHT states.

NGRST = number of low lying states to be excluded from the final diabatisation. Default = 0.

NDIAST = number of states above the first NGRST which are included in the final diabatisation.  
Default = NWEIGHT - NGRST.

NEXST = number of excited states above the states being dealt with. One might set NSTATE in \$GUGDIA fairly high, to monitor the position of states to ensure they don't come close in energy to the interesting states, which stop at NWEIGHT. Such extra states are ignored during generation of DMOs and during diabatisation.  
Default = NSTATE - NGRST - NDIAST.

WBLOCK = array of three (3) weights for the GR/DIA/EX blocks of states, used during DMO generation. The default is to give all NGRST and NDIAST states equal weight in the DMO process, with no weight for the NEXST states, namely  
 $\text{NGRST}/(\text{NGRST}+\text{NDIAST}), \text{NDIAST}/(\text{NGRST}+\text{NDIAST}), 0.0$

Suppose the system of interest has one state lying at very low energy, two excited states of interest that are close to each other, and the user monitors three states higher than these. The MCSCF might very well average only the two states that come close together,

```
$GUGDIA NSTATE=6 $END
```

```
$GUGDM2 WSTATE(1)=0,1,1,0,0,0 $END
```

The situation is thus NWEIGHT=3, so if no keywords are chosen here, both DMO generation and diabatisation involve the first three states. The most reasonable non-default choice is NGRST=1, to omit the low-lying ground state from the diabatisation, but keep it during the DMO generation. In case one also wishes to have the DMO step ignore the low lying state, enter the keyword WBLOCK(1)=0.0,1.0,0.0 which weights only the 2nd and 3rd states.

\* \* \* three-fold way parameters \* \* \*

ALPHAN = weight of the state-averaged natural orbital term,  
default = 2.0

ALPHAR = weight of the state-specific occupation number

term, default= 1.0

ALPHAT = weight of the transition density matrix term,  
default = 0.5

\* \* \* four-fold way parameters \* \* \*

The four-fold way is used if NMLAP and/or NDLAP are given.

NDLAP = number of "resolution orbitals". Resolution DMOs are introduced to determine some of DMOs in the '2' (or DOC) block, as defined by MOSLAB below. Complex multi-arrangement reactions may use this resolution to avoid scrambling certain DMOs with others of smaller occupancy. A typical use is for systems with nearly filled lone pair orbitals. If given, \$DPSVEC orbitals must be given, and if ORIENT is chosen, also \$LCLDC input. Default = 0.

NMLAP = number of "reference orbitals" used by the maximum overlap reference MO (MORMO) criterion of the "4-fold way" method for DMO determination. Reference DMOs are obtained at some reference geometry, and advice about their selection can be gained from looking at successful applications in the literature. MORMO is used to determine some of the DMOs in the '1' (or VAL) orbitals, see MOSLAB below. If given, \$DIAVEC orbitals must be given, and if ORIENT is chosen, also \$LCLVL input. Default = 0.

ORIENT = logical flag to rotate the "reference orbitals" and/or "resolution orbitals" from the reference geometry to the present coordinates. Default = .FALSE.

MOSLAB = array containing a character assessment of the active orbitals:  
DOC ('2') orbitals should have occupancies close to two in all electronic states,  
and be filled in all reference CSFs;  
VAL ('1') orbitals have variable occupancies

in different electronic state,  
not necessarily close to 1.0, with  
variable occupancy in reference CSFs;  
VIR ('0') orbitals should be only weakly  
occupied in all electronic states,  
and be empty in all reference CSFs.  
Only orbitals marked '2' are candidates for the  
"resolution orbital" step (see NDLAP), and only  
orbitals marked '1' are candidates for the 4-fold  
MORMO step (see NMLAP). The default treats the  
entire active space as the VAL block:  
MOSLAB(1)=1,1,1,...,1,1  
Typically the number of '2' or '1' orbitals would  
exceed NDLAP and NMLAP inputs.  
See also THDOC and THVIR to let the program  
choose the 2's, 1's, 0's based on the active  
orbitals occupation number.

THDOC = threshold on the state-averaged occupation numbers  
to identify DOC orbitals. Default=1.8 electrons.

THVIR = threshold on the state-averaged occupation numbers  
to identify VIR orbitals. Default=0.2 electrons.

\* \* \* three-fold and four-fold iterations \* \* \*

MAXIT = number of D3 or MORMO Jacobi cycle iterations.  
The 3-fold way iterations seem very robust,  
but the MORMO 4-fold way iterations are less  
well convergent and more numerous (default=200)

CONVTH = threshold for convergence of D3 and MORMO.  
Default = 1.0E-6

\* \* \*

DMOSYM = flag to allow lowering of symmetry during the DMO  
process. Default = .TRUE., preserving symmetry.

Note: it is probably safer to enter GROUP=C1 in \$DRT, and  
NOSYM=1 in \$CONTRL, and thus have symmetry off during the  
entire run, than to choose this keyword.

\* \* \*

Diabatization runs can read additional input groups which are not well described here. The \$REFCSF and \$DFMVEC input groups are often given. They are usually prepared by a three-fold DIABAT=.TRUE. run at some reference geometry, using both REFGRP=.FALSE. and REFMOS=.FALSE.

\$REFCSF: list of dominant configurations at the reference geometry, which is created by a REFGRP=.FALSE. run. Note that the SLCTTH threshold assists in deciding how many CSFs are placed in the reference groups. The \$REFCSF data is then read by all REFGRP=.TRUE. runs at the various other geometries, in the same format as it is generated.

\$DFMVEC: a set of temporary DMOs for ordering the DMOs at the current geometry. Usually these are DMOs prepared by a 3-fold way calculation at one reference geometry, but could be from a 4-fold way calculation at a geometry very close to the current one. This contains only active MOS, namely NDOC+NALP+NAOS+NBOS+NVAL from \$DRT.

\$DPSVEC contains NDLAP resolution DMOs.

\$DIAVEC contains NMLAP reference DMOs.

Note: \$DFMVEC, \$DPSVEC, \$DIAVEC are typical \$VEC type inputs. Each is read ignoring the MO index, so you might prepare the order reference MOs from converged natural orbitals by simply deleting all doubly occupied orbitals, and keeping all active orbitals. Similarly, reference or resolution orbitals may be plucked from any desired orbital set: natural, canonical, localized...

At present, there is no way for GAMESS to generate the orientation information, although this can be read in. Therefore their contents are not well described:

\$LCLDC and \$LCLVL are orientation data for DPSVEC and DIAVEC, respectively, required if ORIENT=.TRUE.

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**\$TRANST** group (relevant for RUNTYP=TRANSITN)  
(only for CITYP=GUGA or MPLEVL=2)

This group controls the evaluation of the radiative transition moment, or spin orbit coupling (SOC). An SOC calculation can be based on variational CI wavefunctions, using GUGA CSFs, or based on 2nd order perturbation theory using the MCQDPT multireference perturbation theory. These are termed SO-CI and SO-MCQDPT below. The orbitals are typically obtained by MCSCF computations, and since the CI or MCQDPT wavefunctions are based on those MCSCF states, the zero-th order states are referred to below as the CAS-CI states. SOC jobs prepare a model Hamiltonian in the CAS-CI basis, and diagonalize it to produce spin-mixed states, which are linear combinations of the CAS-CI states. If scalar relativistic corrections were included in the underlying spin-free wavefunctions, it is possible either to include or to neglect similar corrections to the spin-orbit integrals, see keyword NESOC in \$RELWFN.

An input file to perform SO-CI will contain  
SCFTYP=NONE CITYP=GUGA MPLEVL=0 RUNTYP=TRANSITN  
while a SO-MCQDPT calculation will have  
SCFTYP=NONE CITYP=NONE MPLEVL=2 RUNTYP=TRANSITN  
The SOC job will compute a Hamiltonian matrix as the sum of spin-free terms and spin-orbit terms,  $H = H\text{-sf} + H\text{-so}$ . For SO-CI, the matrix  $H\text{-sf}$  is diagonal in the CAS-CI state basis, with the LS-coupled CAS-CI energies as the diagonal elements, and  $H\text{-so}$  contains only off-diagonal couplings between these LS states,

$H\text{-sf} = \text{CAS-CI spin-free } E$

$H\text{-so} = \text{CAS SOC Hamiltonian (e.g. HS01, HS02P, HS02)}$

For SO-MCQDPT, the additional input PARMP defines these matrices differently. For PARMP=0, the spin-free term has diagonal and off-diagonal MCQDPT perturbations:

$H\text{-sf} = \text{CAS-CI spin-free } E + \text{2nd order spin-free MCQDPT}$

$H\text{-so} = \text{CAS SOC Hamiltonian}$

For PARMP not equal to 0, the spin orbit operator is also included into the perturbing Hamiltonian of the MCQDPT:

$H\text{-sf} = \text{CAS-CI spin-free } E + \text{2nd order spin-free MCQDPT}$

$H\text{-so} = \text{CAS SOC Hamiltonian} + \text{2nd order SO-MCQDPT}$

Pure transition moment calculations (OPERAT=DM) are presently limited to CI wavefunctions, so please use only CITYP=GUGA MPLEVL=0. The transition moments computed by SO-MCQDPT runs (see TMOMNT flag) will form the transition density for the CAS-CI zeroth order states rather than the 1st order perturbed wavefunctions.

Please see REFS.DOC for additional information on what is actually a fairly complex input file to prepare.

OPERAT selects the type of transition being computed.

- = DM calculates radiative transition moment between states of same spin, using the dipole moment operator. (default)
- = HS01 one-electron Spin-Orbit Coupling (SOC)
- = HS02P partial two electron and full 1e- SOC, namely core-active 2e- contributions are computed, but active-active 2e- terms are ignored. This generally captures >90% of the full HS02 computation, but with spin-orbit matrix element time similar to the HS01 calculation.
- = HS02 one and two-electron SOC, this is the full Pauli-Breit operator.
- = HS02FF one and two-electron SOC, the form factor method gives the same result as HS02, but is more efficient in the case of small active spaces, small numbers of CAS-CI states, and large atomic basis sets. This final option applies only to SO-CI.

PARMP = controls inclusion of the SOC terms in SO-MCQDPT, for OPERAT=HS01 (default=1) or for HS02P/HS02 (default=3) only.

- 0 - no SOC terms should be included in the MCQDPT corrections at 2nd order, but they will be included in the CAS states on which the MCQDPT (i.e. up to 1st order)
- 1 - include the 1e- SOC perturbation in MCQDPT
- 1 - defined under "3", read on...
- 3 - full 1-electron and partial 2-electron in the form of the mean field perturbation (this is very similar to HS02P, but in the MCQDPT2 perturbation). Only doubly occupied orbitals



(NMODOC) are used for the core 2e terms. If the option is set to -1, then all core orbitals (NMOFZC+NMODOC) are used. Neither calculation includes extra diagrams including filled orbitals, so both are "partial".

PARMP=3 (or -1) has almost no extra cost compared to PARMP=1, but can only be used with OPERAT=HSO2 or HSO2P. The options -1 and 3 are not rigorously justified, contrary to HOS2P for a SO-CI, as 2e integrals with 2 core indices appear in the second order in two ways. There is a mean-field addition to 1e integrals, which is included when you choose PARMP=3 or -1. But, there are separate terms from additional diagrams that are not implemented, so that there is some imbalance in including the partial 2e correction. Nevertheless, it may be better to include such "partial" partial 2e contributions than not to. Note that at first order in the energy (the CAS-CI states) the N-electron terms are treated exactly as specified by OPERAT.

NFFBUF = sets buffer size for form factors in SO-MCQDPT. (applies only to OPERAT = HSO1, HSO2 or HSO2P). This is a very powerful option that speeds up SO-MCQDPT calculations by precomputing the total multiplicative factor in front of each diagram so that the latter is computed only once (this is in fact what happens in MCQDPT). It is not uncommon for this option to speed up calculations by a factor of 10. Since this option forces running the SO-CASCI part twice (due to the SO-MCQDPT Hamiltonian being non-Hermitian), it is possible that in rare cases NFFBUF=0 may perform similarly or better. The upper bound for NFFBUF is NACT\*\*2, where NACT=NOCC-NFZC. Due to the sparseness of the coupling constants it is usually sufficient to set NFFBUF to 3\*NACT. To use the older way of dynamically computing form factors and diagrams on the fly, set NFFBUF to 0. Default: 3\*(NOCC-NFZC)

It is advisable to tighten up the convergence criteria in the \$MCQDx groups since SOC is a fairly small effect, and the spin-free energies should be accurately computed, for example THRCON=1e-8 THRGEM=1e-10.

PARMP has a rather different meaning for OPERAT=HSO2FF: It refers to the difference between ket and bra's Ms,

- 1 do matrix elements for ms=-1 only
- 0 do matrix elements for ms=0 only
- 1 do matrix elements for ms=1 only
- 2 do matrix elements for all ms (0, 1, and -1),  
which is the default.
- 3 calculates form factors so they can be saved

\* \* \* next defines the orbitals and wavefunctions \* \* \*

NUMCI = For SO-CI, this parameter tells how many CI calculations to do, and therefore defines how many \$DRTx groups will be read in. For SO-MCQDPT, this parameter tells how many MCQDPT calculations to do, and therefore defines how many \$MCQDx groups will be read in. (default=1)

IRROOTS, IVEX, NSTATE, and ENGYST below will all have NUMCI values. NUMCI may not exceed 64.

You may wish to define one \$DRTx or \$MCQDx group for each spatial symmetry representation occurring within each spin multiplicity, as the use of symmetry during these separate calculations may make the entire job run much faster.

NUMVEC = the meaning is different depending on the run:

- a) spin-orbit CI (SO-CI),  
Gives the number of different MO sets. This can be either 1 or 2, but 2 can be chosen only for FORS/CASSCF or FCI wavefunctions. (default=1)  
If you set NUMVEC=2 and you use symmetry in any of the \$DRTx groups, you may have to use STSYM in the \$DRTx groups since the order of orbitals from the corresponding orbital transformation is unpredictable.
- b) spin-orbit perturbation (SO-MCQDPT),  
The option to have different MOs for different states is not implemented, so your job will have only one \$VEC1 group, and IVEX will not normally be input. The absolute value of NUMVEC should be equal to the value of NUMCI above. If NUMVEC positive, the orbitals in the \$VEC1 will be used exactly as given, whereas if NUMVEC is a negative number, the orbitals will be canonicalized according to IFORB in \$MCQDx. Using NUMVEC=-NUMCI and IFORB=3 in all \$MCQDx to canonicalize over all

states is recommended.

Note that \$GUESS is not read by this RUNTYP! Orbitals must be in \$VEC1 and possibly \$VEC2 input groups.

NFZC = For SO-CI, this is equal to NFZC in each \$DRTx group. When NUMVEC=2, this is also the number of identical core orbitals in the two vector sets. For SO-MCQDPT, this should be NMOFZC+NMODOC given in each of the \$MCQDx groups. The default is the number of AOs given in \$DATA, this is not very reasonable.

NOCC = the number of occupied orbitals. For SO-CI this should be NFZC+NDQC+NALP+NAOS+NBOS+NVAL, but add the external orbitals if the CAS-CI states are CI-SD or FOCI or SOCI type instead of CAS. For SO-MCQDPT enter NUMFZC+NUMDOC+NUMACT. The default is the number of AOs given in \$DATA, which is not usually correct.

Note: IROOTS, NSTATE, ENGYST, IVEX contain NUMCI values.

IROOTS = array containing the number of CAS-CI states to be used from each CI or MCQDPT calculation. The default is 1 for every calculation, which is probably not a correct choice for OPERAT=DM runs, but is quite reasonable for the HSO operators. The total number of states included in the SOC Hamiltonian is the summation of the NUMCI values of IROOTS times the multiplicity of each CI or MCQDPT. See also ETOL/UPPREN.

NSTATE = array containing the number of CAS-CI states to be found by diagonalising the spin-free Hamiltonians. Of these, the first IROOTS(i) states will be used to find transition moments or SOC. Obviously, enter NSTATE(i) >= IROOTS(i). The default for NSTATE(i) is IROOTS(i), but might be bigger if you are curious about the additional energies, or to help the Davidson diagonalizer. NSTATE is ignored by SO-MCQDPT runs, and you must ensure that your IROOTS input corresponds to the KSTATE option in \$MCQDx.

ETOL = energy tolerance for CI state elimination.

This applies only to SO-CI and OPERAT=HS01,2,2P. After each CI finds NSTATE(i) CI roots for each \$DRTx, the number of states kept in the run is normally IROOTS(i), but ETOL applies the further constraint that the states kept be within ETOL of the lowest energy found for any of the \$DRTx. The default is 100.0 Hartree, so that IROOTS is the only limitation.

UPPREN = similar to ETOL, except it is an absolute energy, instead of an energy difference.

IVEX = Array of indices of \$VECx groups to be used for each CI calculation. The default for NUMVEC=2 is IVEX(1)=1,2,1,1,1,1,1..., and of course for NUMVEC=1, it is IVEX(1)=1,1,1,1,1... This applies only to CITYP=GUGA jobs.

ENGYST = energy values to replace the spin-free energies. This parameter applies to SO-CI only. A possible use for this is to use first or second order CI energies (FOCI or SOCI in \$DRT) on the diagonal of the Hamiltonian (obtained in some earlier runs) but to use only CAS wavefunctions to evaluate off diagonal HSO matrix elements. The CAS-CI is still conducted to get CI coefs, needed to evaluate the off diagonal elements. Enter MXRT\*NUMCI values as a square array, by the usual FORTRAN convention (that is, MXRT roots of \$DRT1, MXRT roots of \$DRT2 etc), in hartrees, with zeros added to fill each column to MXRT values. MXRT is the maximum value in the IROOTS array. (the default is the computed CAS-CI energies) See B.Schimmelpfennig, L.Maron, U.Wahlgren, C.Teichtel, H.Fagerli, O.Gropen Chem.Phys.Lett. 286, 261-266(1998).

\* \* \* the next pertain only to spin-orbit runs \* \* \*

ISTNO if given as positive values:  
an array of one or two state indices which govern computation of the density matrix of one state, or the transition density of two states.  
if given as negative values:

one state-averaged density with equal weights.  
ISTNO(1)=5 state-specific density of state 5  
ISTNO(1)=1,2 transition density between 1 and 2  
ISTNO(1)=-1,-6 state-average all states 1 to 6  
The default is ISTNO(1)=0,0 meaning no density.

Computation of the density gives access to the full Gaussian property package, except Mulliken populations. At present, computation of the transition density does just that, without any oscillator strengths. If the computation is of SO-MCQDPT type, the density or transition density that is computed will be that for the unperturbed SO-CASCI states.

DEGTOL = array of two tolerances to help define what states are considered degenerate. This is ignored except for linear molecules or atoms. The purpose is to decide what states are grouped together during the determination of simultaneous eigenstates of the spin-orbit Hamiltonian and  $J_z$ . DEGTOL(1) is in wavenumbers, and defines which spin-orbit states have the same energy. DEGTOL(2) is in units of electrons, and defines which natural orbitals are considered to be degenerate. If the  $J_z$  values in your run seem incorrect, tighten or relax the two degeneracy tolerances to get the correct groupings of the states. Default= 0.02,0.002

RSTATE = sets the zero energy level  
format: ndrt\*1000+iroot for adiabatic state (root)  
0000 sets zero energy to the lowest diabatic root  
default: 1001 (1st root in \$DRT1 or \$MCQD1)

ZEFTYP specifies effective nuclear charges to use.  
= TRUE uses true nuclear charge of each atom, except protons are removed if an ECP basis is being used (default).  
= 3-21G selects values optimized for the 3-21G basis, but these are probably appropriate for any all electron basis set. Rare gases, transition metals, and  $Z > 54$  will use the true nuclear charges.  
= SBKJC selects a set obtained for the SBKJC ECP

basis set, specifically. It may not be sensible to use these for other ECP sets. Rare gases, lanthanides, and  $Z > 86$  will use the true nuclear charges.

**ZEFF** = an array of effective nuclear charges, overriding the charges chosen in ZEFTYP.

Note that effective nuclear charges can be used for any HSO type OPERAT, but traditionally these are used mainly for HSO1 as an empirical correction to the omission of the  $2e^-$  term, or to compensate for missing core orbitals in ECP runs.

**ONECNT** = uses a one-center approximation for SOC integrals:  
= 0 compute all SOC integrals without approximations  
= 1 compute only one-center  $1e$  and  $2e$  SOC integrals  
= 2 compute all  $1e$ , but only one-center  $2e$  integrals  
Numerical tests indicate the error of the one-center approximation (ONECNT=1) is usually on the order of a few wavenumbers for Li-Ne (a bit larger for F) and its errors appear to become negligible for anything heavier than Ne. ONECNT=1 appears to give a better balanced description than ONECNT=2. Very careful users can check how well the approximation works for their particular system by using ONECNT=0, then ONECNT=1, to compare the results. One important advantage of ONECNT=1/2 is that this removes the dependence of SOC  $2e$  integrals upon the molecular geometry. This means the program needs to compute SOC  $2e$  integrals only once for a given set of atoms and then they can be read by using SOC integral restart. RUNTYP=SURFACE automatically takes advantage of this fact.

**JZ** controls the calculation of  $J_z$  eigenvalues  
= 0 do not perform the calculation  
= 1 do the calculation  
By default,  $J_z$  is set to 1 for molecules that are recognised as linear (this includes atoms!).  
 $J_z$  cannot be computed for nonlinear molecules.  
The matrix of  $J_z = L_z + S_z$  operator is constructed between spin-mixed states (eigenvalues of Hso).  
Setting  $J_z$  to 1 can enforce otherwise avoided (by symmetry) calculations of SOC matrix elements.

JZ applies only to HS01,2,2P.

TMOMNT = flag to control computation of the transition dipole moment between spin-mixed wavefunctions (that is, between eigenvectors of the Pauli-Breit Hamiltonian). Applies only to HS01,2,2P. (default is .FALSE.)

SKIPDM = flag to omit(.TRUE.) or include(.FALSE.) dipole moment matrix elements during spin-orbit coupling. Usually it takes almost no addition effort to calculate  $\langle R \rangle$  excluding some cases when the calculation of forbidden by symmetry spin-orbit coupling matrix elements  $\langle H_{so} \rangle$  may have to be performed since  $\langle R \rangle$  and  $\langle H_{so} \rangle$  are computed simultaneously. Applies only to HS01,2,2P. Since the lack of a MCQDPT density matrix means there are no MCQDPT dipole moments at present, SO-MCQDPT jobs will compute the dipole matrix elements for the CAS-CI states only. However, the dipole moments in the spin-mixed states will be computed with the MCQDPT mixing coefficients. (default is .TRUE.)

IPRHSO = controls output style for matrix elements (HSO\*)  
=-1 do not output individual matrix elements otherwise these are accumulative:  
= 0 term-symbol like kind of labelling:  
    labels contain full symmetry info (default)  
= 1 all states are numbered consequently within each spin multiplicity (ye olde style)  
= 2 output only nonzero ( $\geq 1e-4$ ) matrix elements

PRTPRM = flag to provide detailed information about the composition of the spin-mixed states in terms of adiabatic states. This flag also provides similar information about Jz (if JZ set). (default is .FALSE.)

LVAL = additional angular momentum symmetry values:  
For the case of running an atom:  
LVAL is an array of the L values ( $L**2 = L(L+1)$ ) for each \$MCQDx/\$DRTx (L=0 is S, 1 is P, etc.)  
For the case of running a linear molecule:  
LVAL is an array that gives the  $|L_z|$  values. Note

that real-valued wavefunctions (e.g.  $\text{Pi-x}$ ,  $\text{Pi-y}$ ) have  $L_z$  and  $-L_z$  components mixed, so you should input  $|L_z|$  as 1 and 1 for both  $\text{Pi-x}$  and  $\text{Pi-y}$ . This parameter should not be given for a nonlinear polyatomic system.

Default: all set to -1 (that is, do not use these additional symmetry labels. It is the user's responsibility to ensure the values' correctness.

Note that for SO-MCQDPT useful options in \$MCQDPT are NDIAOP and KSTATE. They enable efficient separation of atomic/linear symmetry irreps).

It is acceptable to set only some values and leave others as -1, if only some groups have definite values. Note that normally  $L_z$  values are printed at the end of the log file, so its easy to double check the initial values for LVAL. For the case of atoms LVAL drastically reduces the CPU time, as it reduces a square matrix to tridiagonal form. For the case of linear molecules the savings at the spin-orbit level are somewhat less, but they are usually quite significant at the preceding spin-free MCQDPT step.

MCP2E = Model Core Potential SOC 2e contributions.  
Note that MCP 1e contributions are handled as in case of all-electron runs because MCP orbitals contain all proper nodes).  
= 0 do not add the MCP 2e core-active contribution, but add any other 2e- terms asked for by OPERAT.  
= 1 add this contribution, but no other 2e SOC term. This is recommended, and the default.  
= 2 add this contribution and the 2e- contributions requested by OPERAT, for any e- which are being treated by quantum mechanics (not MCP cores).

Note that for MCP2E=0 and 2, HS02, HS01, HS02P values of OPERAT are supported for the explicit 2e- contributions. The recommended approach is to assume that MCP alone can capture all the 2e SOC, for this use MCP2E=1 OPERAT=HS02P. The entire 2e-contribution is achieved with MCP2E=2 OPERAT=HS02. If your MCP leaves out many core electrons as



particles, MCP2E=2 OPERAT=HS02P can be tested to see if it adds a sizable amount to SOC, compared to MCP2E=1 OPERAT=HS02P).  
 MCP2E=2 OPERAT=HS01 is an illegal combination.  
 MCP2E=1 OPERAT=HS01 is illogical since the MCP 2e integrals are computed but not used anywhere.

The following table explains MCP2E and gives all useful combinations:

MCP2E/OPERAT	2e SOC contributions	SOC 2e ints
2 HS02	MCPcore-CIact + CIcone-CIact	MCP+basis
	+ CIact-CIact	
2 HS02P	MCPcore-CIact + CIcone-CIact	MCP+basis
1 HS02P	MCPcore-CIact	MCP

using the following orbital space definitions:  
 MCPcore orbitals whose e- are replaced by MCP  
 CIcone always doubly occupied  
 CIact MOs allowed to have variable occupation

\* \* \* expert mode HS0 control options \* \* \*

MODPAR = parallel options, which are independent bit options, 0=off, 1=on. Bit 1 refers only to HS02FF, bit 2 to HS01,2,2P. Enter a decimal value 0, 1, 2, 3 meaning binary 00, 01, 10, 11.

bit 1 = 0/1 (HS02FF) uses static/dynamic load balancing in parallel if available, otherwise use static load balancing. Dynamic algorithm is usually faster but may utilize memory less efficiently, and I/O can slow it down. Also, dynamical algorithm forces SAVDSK=.F. since its unique distribution of FFs among nodes implies no savings from precalculating form factors.

bit 2 = 0/1 (HS01,2,2P) duplicate/distribute SOC integrals in parallel. If set, 2e AO integrals and the four-index transformation are divided over nodes (distributed), and SOC MO integrals are then summed over nodes.

The default is 3, meaning both bits are set on (11)

PHYSRC = flag to force the size of the physical record to be equal to the size of the sorting buffers. This option can have a dramatic effect on the efficiency. Usually, setting PHYSRC=.TRUE. helps

if the code complains that low memory enforces SLOWFF=.TRUE., or you set it yourself. For large active spaces and large memory (more precisely, if RECLN is larger than the physical record size) PHYSRC=.TRUE. can slow the code down. Setting PHYSRC to .true. forces SLOWFF to be .false. See MODPAR. (default .FALSE.) (only with HS02FF)

RECLN = specifies the size of the record on file 40, where form factors are stored. This parameter significantly affects performance. If not specified, RECLN have to be guessed, and the guess will usually be either an overestimate or underestimate. If the former you waste disk space, if the latter the program aborts. Note that RECLN will be different for each pair of multiplicities and you must specify the maximum for all pairs. The meaning of this number is how many non-zero form factors are present given four MO indices. You can decrease RECLN if you are getting a message "predicted sorting buffer length is greater than needed..." Default depends on active space. (only HS02FF)

SAVDSK = flag to repeat the form factor calculation twice. This avoids wasting disk space as the actually required record size is found during the 1st run. (default=.FALSE.) (only with HS02FF)

SLOWFF = flag to choose a slower FF write-out method. By default .FALSE., but this is turned on if:  
1) not enough memory for the fast way is available  
2) the maximum usable memory is available, as when the buffer is as large as the maximum needed, then the "slow FF" algorythm is faster.  
Generally SLOWFF=.true. saves up to 50% or so of disk space. See PHYSRC. (only with HS02FF)

ACTION controls disk file DAFL30 reuse.  
= NORMAL calculate the form factors in this run.  
= SAVE calculate, and store the form factors on disk for future runs with the same active space characteristics.  
= READ read the form factors from disk from an earlier run which used SAVE.

(default=NORMAL) (only with HS02FF)

Note that currently in order to use ACTION =  
SAVE or READ you should specify MS= -1, 0, or 1

\* \* \* some control tolerances \* \* \*

NOSYM= -1 forces use of symmetry-contaminated orbitals  
symmetry analysis, otherwise the same as NOSYM=0  
= 0 fully use symmetry  
= 1 do not use point group symmetry, but still use  
other symmetries (Hermiticity, spin).  
= 2 use no symmetry. Also, include all CSFs for  
HS01, 2, 2P.  
= 3 force the code to assume the symmetry specified  
in \$DATA is the same as in all \$DRTx groups, but  
is otherwise identical to NOSYM=-1. This option  
saves CPU time and money(memory). Since the \$DRT  
works by mapping non-Abelian groups into their  
highest Abelian subgroup, do not use NOSYM=3 for  
non-Abelian groups.

SYMTOL = relative error for the matrix elements. This  
parameter has a great impact upon CPU time, and  
the default has been chosen to obtain nearly  
full accuracy while still getting good speedups.  
(default=1.0E-4)

\* \* \* the remaining parameters are not so important \* \* \*

PRTCMO = flag to control printout of the corresponding  
orbitals. (default is .FALSE.)

HSOTOL = HSO matrix elements are considered zero if they  
are smaller than HSOTOL. This parameter is used  
only for print-out and statistics.  
(default=1.0E-1 cm-1)

TOLZ = M0 coefficient zero tolerance (as for \$GUESS).  
(default=1.0E-8)

TOLE = M0 coefficient equating tolerance (as for  
\$GUESS). (default=1.0E-5)

=====

```
* * * * *
  For information on RUNTYP=TRANSITN,
  see the 'further information' section
* * * * *
```

Here is an alphabetical listing of all input group names:

ADDDDET, 416	DRC, 157	GUGDM2, 447	POINTS, 204
ALPDR, 143	DRT, 418	GUGEM, 443	PRTEFP, 264
AUXBAS, 101	ECP, 302	HESS, 142	QMEFP, 227
	EFIELD, 315	HLOWT, 151	
BASIS, 26	EFRAG, 241		RADIAL, 209
	ELDENS, 202	IEFPCM, 284	RAMAN, 215
CASCI, 441	ELFLDG, 203	INTGRL, 317	RDF, 174
CCINP, 102	ELG, 356	IRC, 152	RELWFN, 309
CCRES, 368	ELMOM, 199	IVOORB, 442	REMDDET, 416
CEDATA, 405	ELPOT, 201		RIMP2, 98
CEEIS, 403	EOMINP, 106	LAGRAN, 448	
CIDET, 392	EQGEOM, 151	LIBE, 52	SCF, 53
CIDRT, 418	EWALD, 261	LMOEDA, 225	SCFMI, 61
CIGEN, 392	EXCORR, 439	LOCAL, 188	SCRIF, 301
CIINP, 390			SODET, 417
CIMATM, 375	FFCALC, 229	MAKEFP, 262	STATPT, 121
CIMFRG, 375	FFDATA, 377	MASS, 141	STONE, 212
CIMINP, 369	FFPDB, 377	MCP, 305	SUBCOR, 367
CIS, 90	FMM, 319	MCQDPT, 432	SUBSCF, 367
CISORT, 443	FMO, 322	MCSCF, 421	SURF, 186
CISVEC, 93	FMOBND, 350	MD, 168	SVP, 291
COMP, 216	FMOEND, 353	MEX, 162	SVPIRF, 298
CONTRL, 6, 165	FMOENM, 353	MGC, 208	SYSTEM, 22
COSGMS, 299	FMOHYB, 349	MOFRZ, 120	
CPHF, 135	FMOPRP, 332	MOLGRF, 211	TDDFT, 77
CPMCHF, 137	FMOXYZ, 344	MOPAC, 115	TDHF, 232
	FORCE, 131	MOROKM, 221	TDHFX, 236
DAMP, 266	FRAGNAME, 249	MP2, 94	TESCAV, 280
DAMPGS, 269	FRGRPL, 259	MP2RES, 368	TRANS, 320
DANDC, 358		MRMP, 427	TRANST, 456
DATA, 38	GAMMA, 151		TRFDM2, 449
DATAL, 38	GCILST, 406	NEWCAV, 282	TRUDGE, 128
DATAS, 38	GDDI, 354	NMR, 219	TRUNCN, 196
DCCORR, 364	GEN, 392		TRURST, 130
DET, 392	<b>GLBFRG</b> , 181	OPTFMO, 347	
DETPT, 430	GLOBOP, 176	OPTRST, 353	VEC, 120
DFT, 63	GLOWT, 151	ORMAS, 400	VEC1, 120
DFTB, 84	GMCPT, 408		VEC2, 120
DFTBSK, 89	GRAD, 142	PCM, 270	VIB, 144
DIABAT, 450	GRADEX, 183	PCMCAV, 278	VIB2, 144
DIPDR, 143	GRID, 204	PCMGRD, 283	VIBSCF, 150
DISBS, 288	GUESS, 116	PCMITR, 285	VSCF, 145
DISREP, 289	GUGDIA, 444	PDC, 205	
DM, 120	GUGDM, 446	PDET, 416	ZMAT, 48