

Emulation of Agricultural Production Systems sIMulator (APSIM)

Jarad Niemi and Luis Damiano

Iowa State University

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C-CHANGE: Science for a changing agriculture



C·CHANGE

<http://agchange.org>

Iowa Agricultural Production

<https://www.iadg.com/iowa-advantages/target-industries/>

Iowa is the largest producer of corn, pork and eggs in the United States and second in soybeans and red meat production.



<https://www.britannica.com/plant/corn-plant>

<https://www.nationalhogfarmer.com/marketing/total-pork-production-2014-down-slightly>

<https://www.medicalnewstoday.com/articles/283659>

<https://www.midwestfarmreport.com/2019/12/11/state-soybean-yield-contest-entries-announced/>

<https://www.scientificamerican.com/article/meat-and-environment/>

Loss of prairie

85% of Iowa was covered with prairie; today less than 0.1% remains



<https://www.facebook.com/NealSmithNWR/photos/a.363116150433606/3093501587395035>

<https://dissolve.com/stock-photo/field-young-soybean-plants-showing-corn-stalks-residue-royalty-free-image/101-D869-14-341>

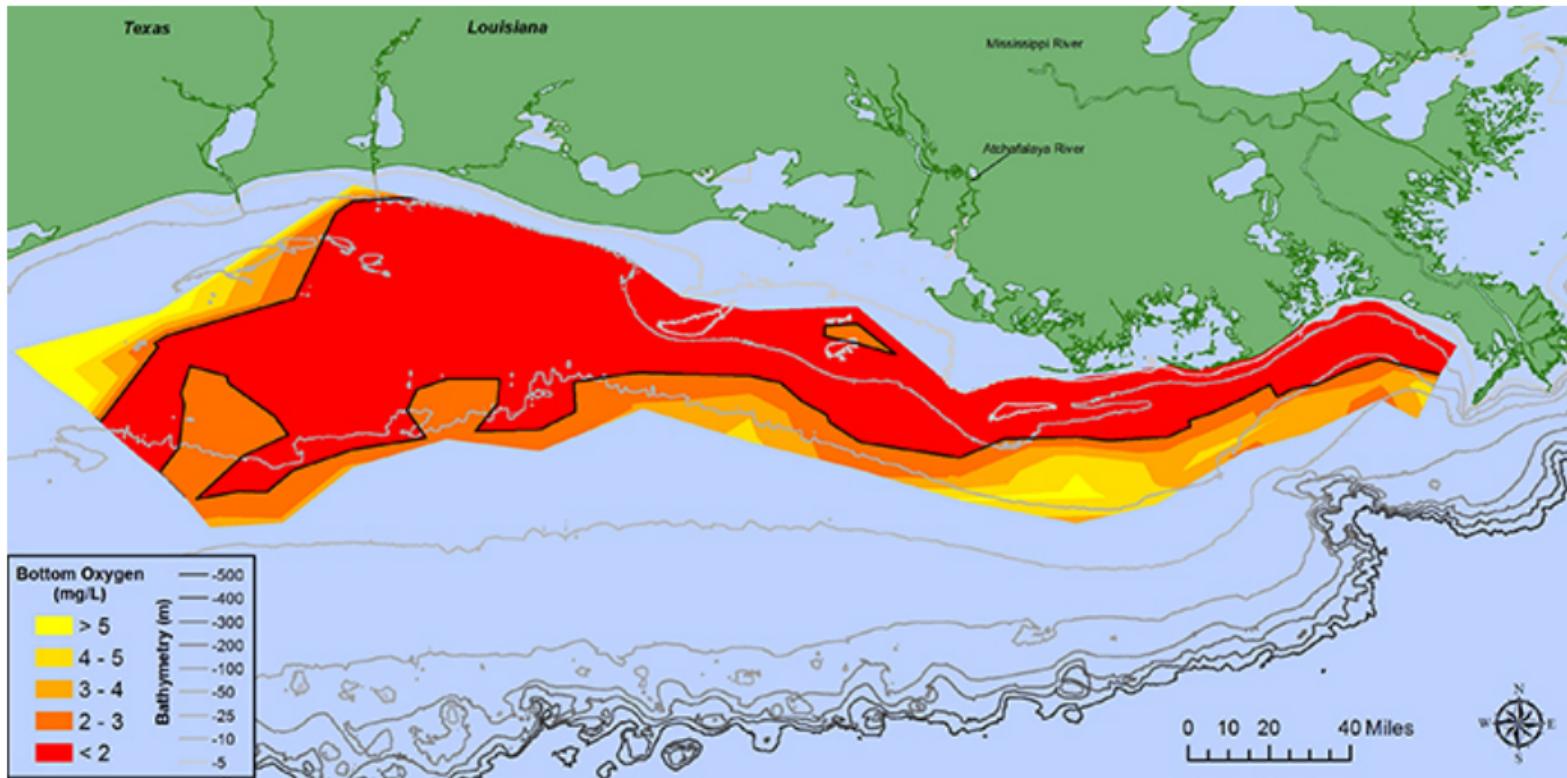
Soil loss

Iowa loses \$1,000,000,000/year in soil



<https://www.desmoinesregister.com/story/money/agriculture/2014/05/03/erosion-estimated-cost-iowa-billion-yield/8682651/>

Gulf of Mexico Dead Zone



<https://www.noaa.gov/media-release/gulf-of-mexico-dead-zone-is-largest-ever-measured>

Des Moines Water Works Lawsuit



Tedesco Environmental Learning Corridor



<https://www.storycountyiowa.gov/1369/Tedesco-Environmental-Learning-Corridor>



Manure lagoons

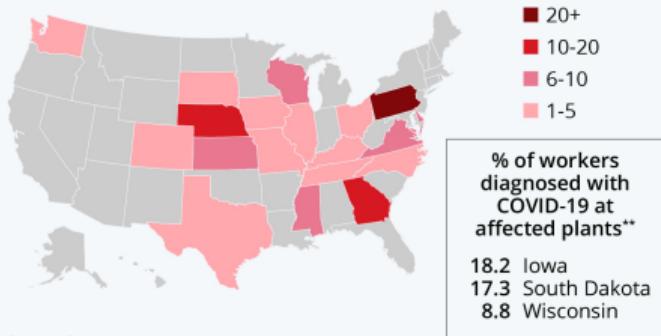


<https://www.npr.org/2018/09/22/650698240/hurricane-s-aftermath-floods-hog-lagoons-in-north-carolina>

COVID-19 in Meat Packing Plants

COVID-19 Detected At Meat Processing Plants In 19 States

Number of meat processing plants reporting COVID-19 cases in U.S. states*



* April 20-27, 2020

** Data unavailable for Pennsylvania

Source: Centers for Disease Control and Prevention



statista

<https://www.statista.com/chart/21585/meat-processing-plants-reporting-coronavirus-cases/>

Prairie STRIPS



Prairie STRIPS

The screenshot shows the Proceedings of the National Academy of Sciences of the United States of America (PNAS) website. At the top, there is a search bar labeled "Keyword, Author, or E". Below the search bar is a navigation menu with links for Home, Articles, Front Matter, News, Podcasts, and Authors. The "Articles" link is highlighted with a white background and black border.

NEW RESEARCH IN

Physical Sciences

Social Sciences

RESEARCH ARTICLE



Prairie strips improve biodiversity and the delivery of multiple ecosystem services from corn–soybean croplands

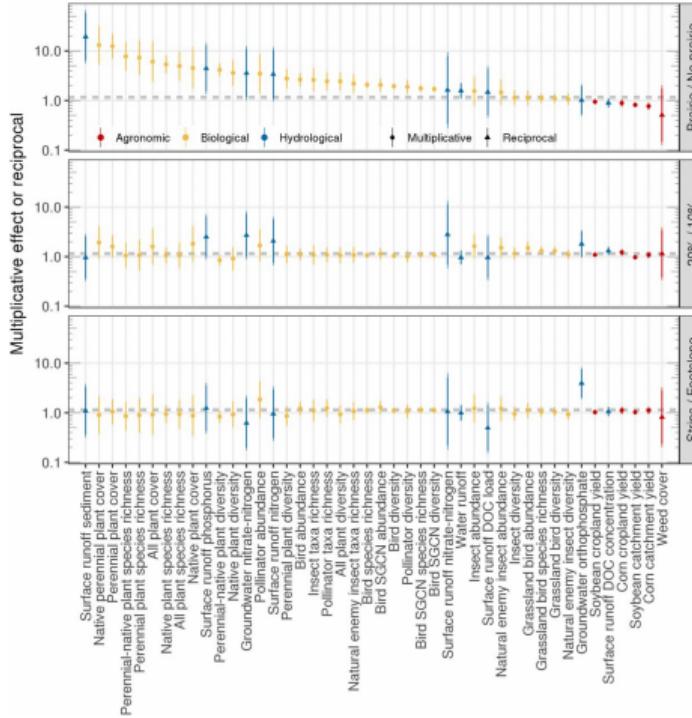
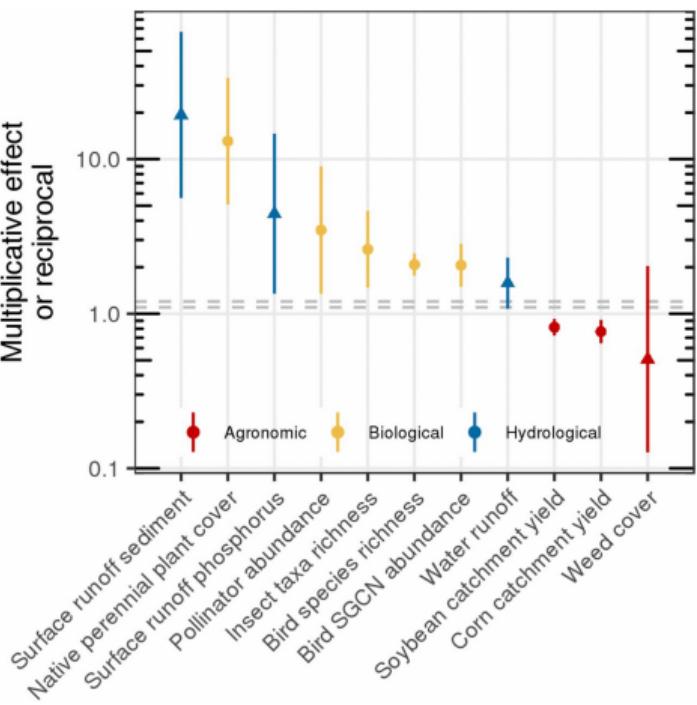
✉ Lisa A. Schulte, Ⓛ Jarad Niemi, Matthew J. Helmers, Matt Liebman, Ⓛ J. Gordon Arbuckle, David E. James, Randall K. Kolka, Matthew E. O’Neal, Mark D. Tomer, John C. Tyndall, Heidi Asbjørnsen, Pauline Drobney, Jeri Neal, Gary Van Ryswyk, and Chris Witte

PNAS October 17, 2017 114 (42) 11247-11252; first published October 2, 2017 https://doi.org/10.1073/pnas.1620229114

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<https://www.pnas.org/content/114/42/11247.short>

Prairie STRIPS results



<https://www.pnas.org/content/114/42/11247/tab-figures-data>

USDA NIFA - Biogas production from manure and herbaceous biomass



USDA Scientific Research Program Funds Sustainable Agricultural Systems Projects



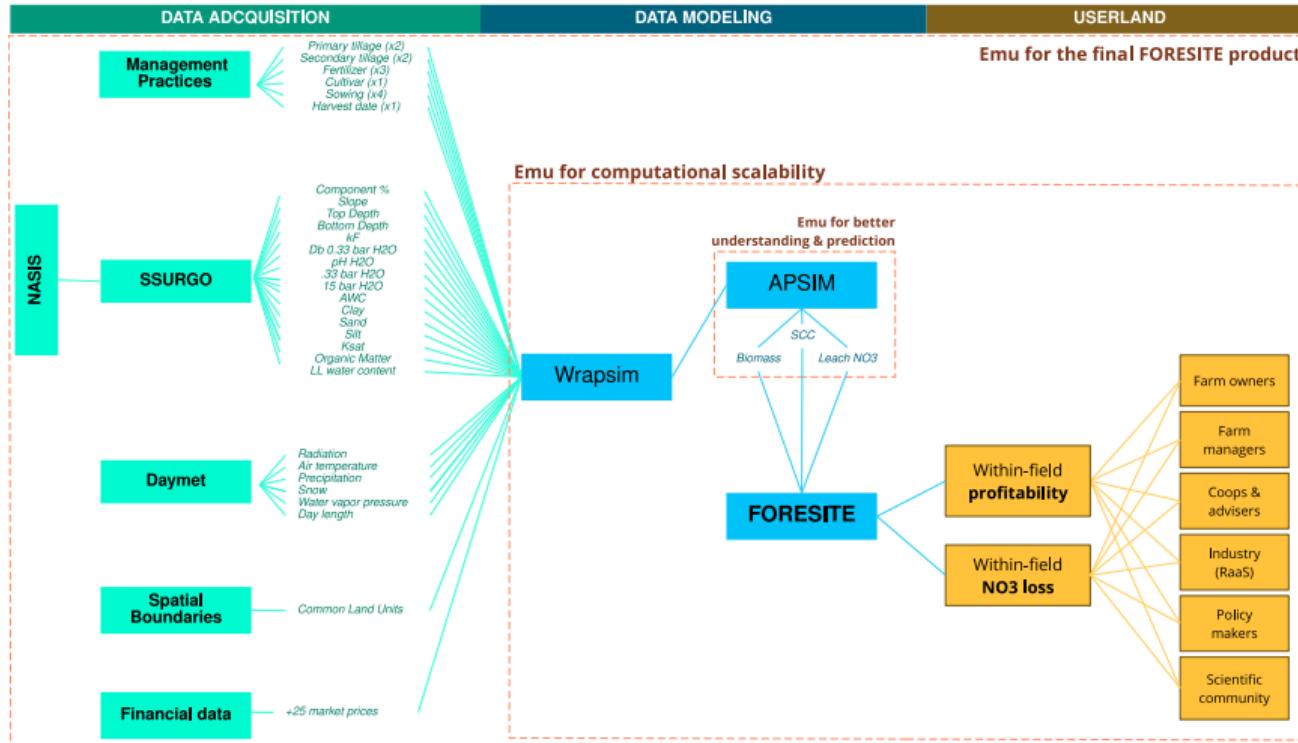
Fig. 1. The agricultural value chain developed through C-CHANGE.

Computer models

- ▶ Water Erosion Prediction Project (WEPP)
 - ▶ Daily Erosion Project (DEP)
- ▶ Agricultural Production Systems sIMulator (APSIM)
 - ▶ Foresite
- ▶ Cycles
- ▶ Iowa Biogas Assessment Model (IBAM)
- ▶ others...

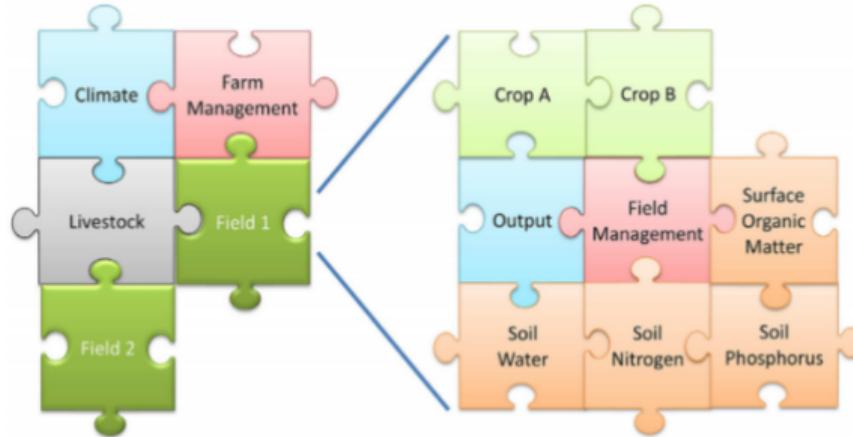
APSIM

Role in the Foresite project



APSIM

Overview



- ▶ Physical process based
- ▶ Peer-reviewed
- ▶ Flexible
- ▶ Calibrated for many different climates and countries
- ▶ Dedicated, funded team of software engineers working to improve it

APSIM

Emulation goals

- ▶ Variable selection: to guide data collection by field scientists.
- ▶ Model calibration: APSIM assumes a flat, uniform soil. TWI.
- ▶ Identify deficiencies: does APSIM emphasize on soil too much?
- ▶ Uncertainty quantification: APSIM is deterministic, real life is not.
- ▶ Inform the development & calibration of new crop modules (e.g. cover crops).
- ▶ Portability: web interface back end for on-line prediction.
- ▶ Assist scientists in studying agronomic hypothesis.

APSIM

Input space

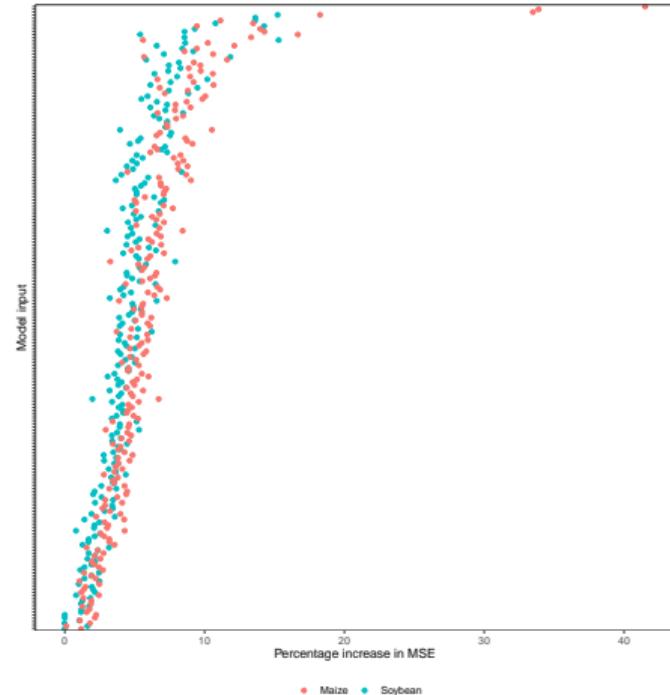
- ▶ 2,160 run-specific input values
 - ▶ Soil properties 2 functionals × 16 layers, 2 scalars.
 - ▶ Climate dynamics 4 functionals × 365 daily values, 2 scalars.
 - ▶ Land management practices, but mostly categorical scalars.
- ▶ The input space is...
 - ▶ High dimensional: computational and modeling challenging.
 - ▶ Structured: complex to capture hierarchy.
 - ▶ Vast: large number of runs to explore.

APSIM

Exploratory Analyses

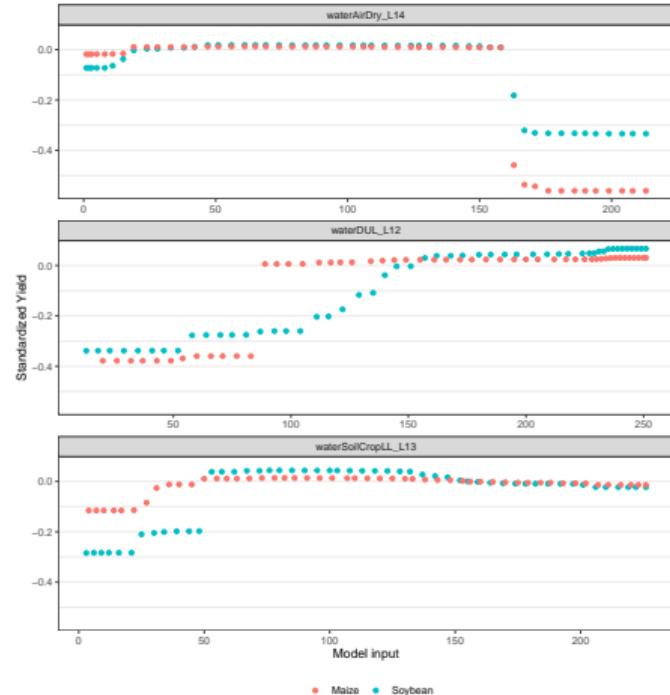
Random forest model input importance analysis

Standardized yield ~ model inputs



Random forest partial dependence plot

Standardized Yield ~ model input while holding all other model inputs constant



One-at-a-time knot selection

Training a GP

Find the maximum likelihood estimator (MLE) for $\theta = (\tau^2, \sigma^2, \phi)$,

$$\hat{\theta} = \operatorname{argmax}_{\theta} p(y|\theta) = \operatorname{argmax}_{\theta} N(y; m_x, \tau^2 I + \Sigma(\theta))$$

where $y = (y_1, \dots, y_N)$.

The log-likelihood is

$$\begin{aligned} \log \mathcal{N}(y; m_x, \tau^2 I + \Sigma_{\theta}) = & C \\ & -\frac{1}{2} \log |\tau^2 I + \Sigma(\theta)| \\ & -\frac{1}{2} (y - m_x)^{\top} [\tau^2 I + \Sigma(\theta)]^{-1} (y - m_x) \end{aligned}$$

If there are N observations, $\Sigma(\theta)$ is an $N \times N$ covariance matrix and thus the computational time scales as $\mathcal{O}(N^3)$.

This is doable if $N \approx 1,000$ but not when you start getting larger and larger data sets.

One-at-a-time knot selection

Fully Independent Conditional (FIC) Approximation

Introduce a set of knots $x^\dagger = \{x_1^\dagger, \dots, x_K^\dagger\}$ with $K \ll N$,
such that

$$p(f_x, f_{x^\dagger} | \theta) = p(f_x | f_{x^\dagger}, \theta)p(f_{x^\dagger} | \theta).$$

where

$$\begin{aligned} f_x | f_{x^\dagger}, \theta &\sim \mathcal{N}(m_x + \Sigma_{xx^\dagger} \Sigma_{x^\dagger x^\dagger}^{-1} (f_{x^\dagger} - m_{x^\dagger}), \Lambda) \\ f_{x^\dagger} | \theta &\sim \mathcal{N}(m_{x^\dagger}, \Sigma_{x^\dagger x^\dagger}) \end{aligned}$$

with $\Lambda = \text{diag}(\Sigma_{xx} - \Sigma_{xx^\dagger} \Sigma_{x^\dagger x^\dagger}^{-1} \Sigma_{x^\dagger x})$.

This joint implies the following marginal distribution for f_x :

$$f_x | \theta \sim \mathcal{N}(m_x, \Lambda + \Sigma_{xx^\dagger} \Sigma_{x^\dagger x^\dagger}^{-1} \Sigma_{x^\dagger x})$$

which has the correct marginal means and variances, but the covariances are controlled by the knots.

One-at-a-time knot selection

Knot selection algorithm

Algorithm 1. OAT knot selection algorithm.

-
- 1 **Initialize:** $x^\dagger = \{x_i^\dagger\}_{i=1}^{K_I}$;
 - 2 $\hat{\theta} = \operatorname{argmax}_\theta p(y|x, x^\dagger, \theta)$;
 - 3 **repeat**
 - 4 propose new knot $x^{\dagger*} \leftarrow J(y, x, x^\dagger, \hat{\theta})$;
 - 5 $(\hat{x}^{\dagger*}, \hat{\theta}) = \operatorname{argmax}_{(x^{\dagger*}, \theta)} p(y|x, \{x^\dagger, x^{\dagger*}\}, \theta)$;
 - 6 $x^\dagger = \{x^\dagger, \hat{x}^{\dagger*}\}$;
 - 7 **until** $|x^\dagger| = K_{max}$ or convergence;
-

[Garton et al., Garton]

One-at-a-time knot selection

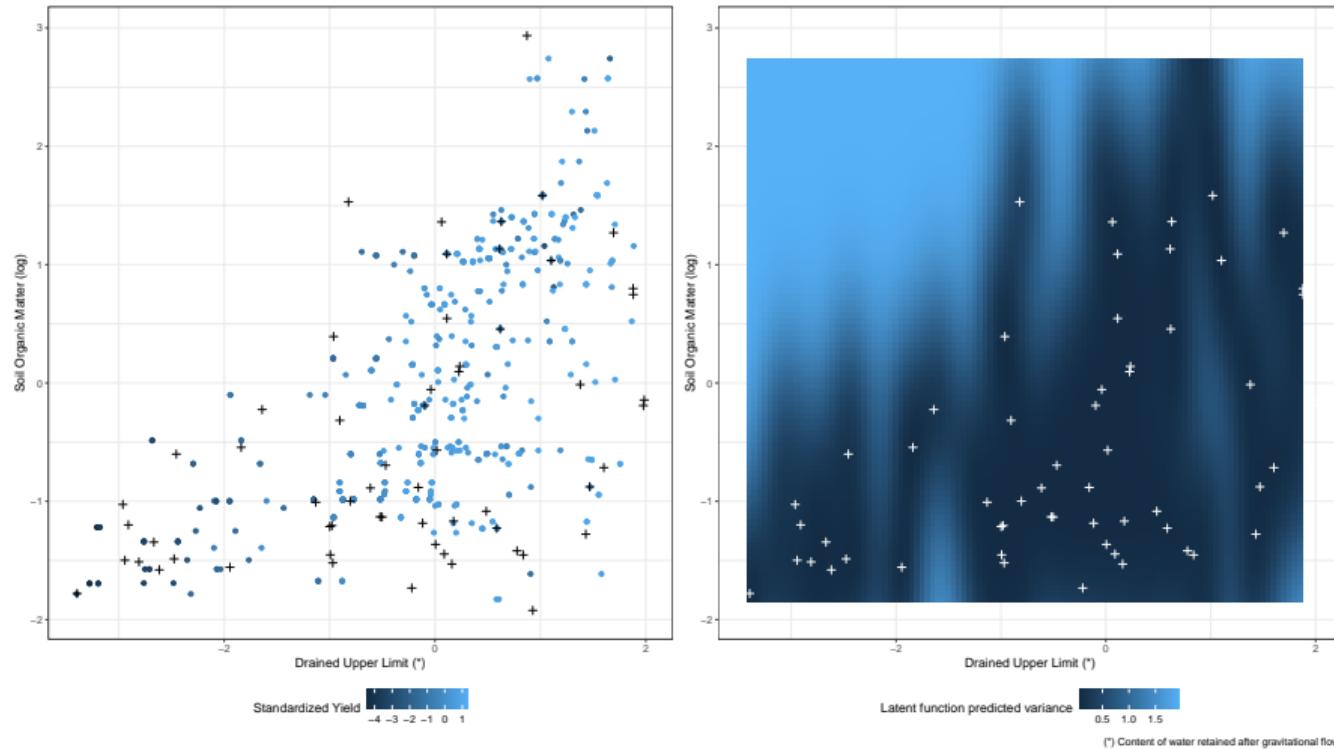
Knot proposal algorithm

Algorithm 2. Knot proposal algorithm. Set the minimum (T_{min}) and maximum (T_{max}) number of marginal likelihood evaluations.

- 1 set the mean of the meta GP equal to $\log p\left(y \middle| x, \{x^\dagger, \cdot\}, \hat{\theta}\right)$;
 - 2 sample $x_1^\dagger, \dots, x_{T_{min}}^\dagger$ without replacement from x ;
 - 3 augment known marginal likelihood values $w_j = \log p\left(y \middle| x, \{x^\dagger, x_j^\dagger\}, \hat{\theta}\right)$ for $j = 1, \dots, k$ with evaluations of the marginal likelihood at the new knots, that is $w_{k+j} = \log p\left(y \middle| x, \{x^\dagger, x_j^\dagger\}, \hat{\theta}\right)$ for $j = 1, \dots, T_{min}$;
 - 4 **for** $t = T_{min} + 1, \dots, T_{max}$ **do**
 - 5 update covariance parameters in meta GP ;
 - 6 $x_t^* = \operatorname{argmax}_{z \in x \setminus \{x_l^\dagger\}_{l=1}^{t-1}} \alpha\left(z; w, \{x_1^\dagger, \dots, x_{t-1}^\dagger\}\right)$;
 - 7 $w_t = \log p\left(y \middle| x, \{x^\dagger, x_t^*\}, \hat{\theta}\right)$;
 - 8 **end**
 - 9 **return** x_j^* such that $j = \operatorname{argmax}_t w_t$
-

OAT Knot selection

Visualization



Future Efforts

Extending Morris' correlation distance for unknown weight function

- ▶ Let $Y \in \mathbb{R}$ be a scalar-valued output
- ▶ Let \mathbf{X} be a D -sized input vector, $D \in \mathbb{N}$
- ▶ Assume $Y = f(\mathbf{X})$
- ▶ Assume $Y \sim GP(\mu, \sigma^2)$, $\mu \in \mathbb{R}$ and $\sigma^2 > 0$
- ▶ Recall the SE Kernel with ARD

For a continuous index $t \in [0, T]$, $T \in \mathbb{R}^+$

$$D(\cdot) = \int_0^t w(t-s)(X_i(s) - X_j(s))^2 ds$$

$$w : \mathbb{R}^+ \rightarrow \mathbb{R}^+$$

The model now becomes as follows

$$k(\mathbf{X}_i, \mathbf{X}_j) = \sigma_{ard}^2 e^{-\frac{1}{2}D(\mathbf{X}_i, \mathbf{X}_j, \mathbf{w})}$$

$$D(\mathbf{X}_i, \mathbf{X}_j, \mathbf{w}) = \sum_{d=1}^D w_d (X_{i,d} - X_{j,d})^2$$

$$\mu_w \sim \pi(\mu_w)$$

$$\sigma_w^2 \sim \pi(\sigma_w)$$

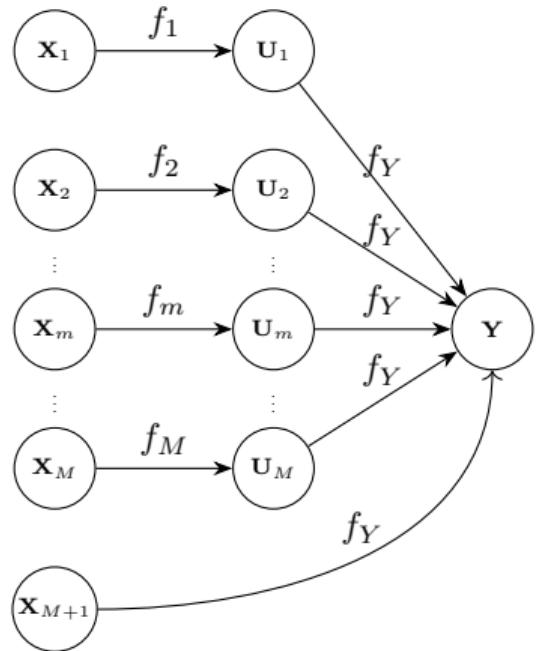
$$\log w(t) \sim GP(\mu_w, \sigma_w^2)$$

$$Y \sim GP(\mu_Y, \sigma_Y^2)$$

for some priors $\pi(\mu_w)$, $\pi(\sigma_w)$

Future Efforts

Deep Gaussian Process



- ▶ High-dimensional input vector \mathbf{X} with $M \in \mathbb{N}$ functional inputs.
- ▶ Let $\mathbf{X} \in \mathbb{R}^{D_1} \times \cdots \times \mathbb{R}^{D_M} \times \mathbb{R}^{D_{M+1}}$, where $D_1, \dots, D_M, D_{M+1} \in \mathbb{N}$, be a $D = \sum_{m=1}^M D_m + D_{M+1}$ dimensional vector.
- ▶ For each functional $m \in \{1, \dots, M\}$, let $\mathbf{U}_m = \mathbf{f}_m(\mathbf{X}_m) + \varepsilon_m$ and assume $\mathbf{f}_m \sim GP(\mu_m, \sigma_m)$ for $\mu_m \in \mathbb{R}^{D_m}$
- ▶ $\tilde{\mathbf{X}} = (\mathbf{U}_1, \dots, \mathbf{U}_M, \mathbf{X}_{M+1})$
- ▶ $Y = f_Y(\tilde{\mathbf{X}}) + \varepsilon_y$ and $f_Y \sim GP(\mu_Y, \sigma_Y)$

Summary

One-at-a-time (OAT) knot selection

- ▶ Automatically selects the number of knots
- ▶ Similar predictive performance to simultaneous knot selection
- ▶ Better represents full GP compared to simultaneous knot selection
- ▶ Reduced runtimes compared to simultaneous knot selection

Future work

- ▶ Extending the functional input correlation function for unknown weights
- ▶ Deep Gaussian Process for the emulation of functional

These slides are available at

- ▶ <https://github.com/jarad/LANL2020>
- ▶ <http://www.jarad.me/research/presentations.html>

Thank you!

Other links:

- ▶ <http://www.jarad.me/>
- ▶ <https://luisdamiano.github.io/>

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