# Appendix A

## List of Parameters

Table A.1: This table gives the parameters for  $\mathrm{UO}_2$  generating the energy function.

| Array number | Parameter name  | Parameter value  |
|--------------|---|------------------|
| 1            | Energy Scaling Factor $(e_{RGB})$                             | $1.6012 \ J/m^2$ |
| 2            | $\langle 100 \rangle$ Max Distance                            | 0.405            |
| 3            | $\langle 110 \rangle$ Max Distance                            | 0.739            |
| 4            | $\langle 111 \rangle$ Max Distance                            | 0.352            |
| 5            | $\langle 100 \rangle$ Weight                                  | 50.5             |
| 6            | $\langle 110 \rangle$ Weight                                  | 4.55             |
| 7            | (111) Weight  | 0.08             |
| 8            | $\langle 100 \rangle$ Tilt/Twist Mix Power Law (1)            | 0.03325          |
| 9            | (100) Tilt/Twist Mix Power Law (2)                            | 0.00053125       |
| 10           | Maximum $\langle 100 \rangle$ Twist Energy                    | 0.60903          |
| 11           | $\langle 100 \rangle$ Twist Shape Factor                      | 1.4486           |
| 12           | $\langle 100 \rangle$ Asymmetric Tilt Interpolation Power     | 35.8             |
| 13           | $\langle 100 \rangle$ Symmetric Tilt First Peak Energy        | 1.0058           |
| 14           | $\langle 100 \rangle$ Symmetric Tilt First $\Sigma 5$ Energy  | 0.84456          |
| 15           | $\langle 100 \rangle$ Symmetric Tilt Second Peak Energy       | 0.97259          |
| 16           | $\langle 100 \rangle$ Symmetric Tilt Second $\Sigma 5$ Energy | 0.9379           |
| 17           | $\langle 100 \rangle$ Symmetric Tilt $\Sigma 17$ Energy       | 0.96881          |
| 18           | (100) Symmetric Tilt First Peak Angle                         | 0.31569          |
| 19           | $\langle 100 \rangle$ Symmetric Tilt Second Peak Angle        | 0.88538          |
|              |   |                  |

Continued on next page.

Table A.1 – Continued from previous page

| Array number | Parameter name  | Parameter value |
|--------------|---|-----------------|
| 20           | $\langle 110 \rangle$ Tilt/Twist Mix Power Law (1)      | 3.1573          |
| 21           | $\langle 110 \rangle$ Tilt/Twist Mix Power Law (2)      | 1.9784          |
| 22           | $\langle 110 \rangle$ Twist Peak Angle                  | 0.46145         |
| 23           | $\langle 110 \rangle$ Twist Peak Energy                 | 1.1444          |
| 24           | $\langle 110 \rangle$ Twist $\Sigma 3$ Energy           | 1.0931          |
| 25           | $\langle 110 \rangle$ Twist 90° Energy                  | 1.152           |
| 26           | $\langle 110 \rangle$ Asymmetric Tilt Shape Factor      | 3.1843          |
| 27           | $\langle 110 \rangle$ Symmetric Tilt Third Peak Energy  | 1.0514          |
| 28           | $\langle 110 \rangle$ Symmetric Tilt $\Sigma 3$ Energy  | 0.61703         |
| 29           | $\langle 110 \rangle$ Symmetric Tilt Second Peak Energy | 1.0902          |
| 30           | $\langle 110 \rangle$ Symmetric Tilt $\Sigma 11$ Energy | 0.56686         |
| 31           | $\langle 110 \rangle$ Symmetric Tilt First Peak Energy  | 1.1024          |
| 32           | $\langle 110 \rangle$ Symmetric Tilt Third Peak Angle   | 0.88736         |
| 33           | $\langle 110 \rangle$ Symmetric Tilt Second Peak Angle  | 1.8711          |
| 34           | $\langle 110 \rangle$ Symmetric Tilt First Peak Angle   | 2.731           |
| 35           | $\langle 111 \rangle$ Tilt-Twist Linear Interpolation   | 38.201          |
| 36           | $\langle 111 \rangle$ Twist Shape Factor                | 1.2414          |
| 37           | $\langle 111 \rangle$ Twist Peak Angle                  | 0.49979         |
| 38           | $\langle 111 \rangle$ Twist Peak Energy                 | 0.7971          |
| 39           | $\langle 111 \rangle$ Symmetric Tilt Peak Angle         | 0.25966         |
| 40           | $\langle 111 \rangle$ Symmetric Tilt Max Energy         | 1.0288          |
| 41           | $\langle 111 \rangle$ Symmetric Tilt $\Sigma 3$ Energy  | 1.1311          |
| 42           | (111) Asymmetric Tilt Symmetry Point Energy             | 3.7674          |
| 43           | (111) Asymmetric Tilt Scale Factor                      | 0.053417        |

### Appendix B

# Grain Boundary Representations

Visual representations of the GB space helped Bulatov et al. develop their 5D function. However, the size of the five-space in which GBs reside makes representing them difficult. Researchers have developed different methods to represent them, each with their advantages and disadvantages. Three of these methods are the axis-angle representation, the Rodrigues representation, and the fundamental zone representation. These methods, though described separately, can be used together to form a better picture of what the GB space looks like (see for example ?? which combines the Rodrigues representation and the fundamental zone representation).

#### **B.1** Axis-Angle Representation

Of the three described, the axis-angle representation most simplistically describes GB space. The axis of rotation of the GB specifies the point in axis-angle space, and the angle of misorientation between the two grains at the GB specifies the magnitude of the vector. Thus, the axis (a, where a) has components  $a_x$ ,  $a_y$ , and  $a_z$  and the angle  $(\theta)$  mathematically represent an axis-angle vector as:

$$\mathbf{A} = \mathbf{a} \ \theta \tag{B.1}$$

The axis-angle space can only take into account three degrees of freedom: the two angles specifying the axis, and the angle rotated through. Thus, axis-angle space cannot fully visualize all of the necessary information contained in the full 5D space. [?] This representation suffers from the difficulties of understanding an infinite space because it maps an axis and an angle onto a Cartesian coordinate system. Without the help of additional methods, this infinite space remains difficulty to understand. The best uses of this representation focus on using it as a starting point to move to other, more robust representations, and to represent the misorientation between two grains. [?]

### **B.2** Rodrigues Representation

The Rodrigues representation (sometimes called the "Rodrigues-Frank" representation) uses Rodrigues vectors to represent rotations in Rodrigues space. This representation takes ideas from the axis-angle space, but makes a few changes allowing crystal symmetries to be taken into account. The orientation of the GB normal still specifies the point in space, but the tangent of half the angle represents the magnitude of the vector. Thus, a Rodrigues vector can be represented as:[?, ?, ?, ?, ?]

$$\mathbf{R} = \mathbf{a} \, \tan \left( \frac{\theta}{2} \right) \tag{B.2}$$

Some researchers favor this representation over others because of the lack of curvature such a mapping entails.[?, ?] However, it still only specifies three of the five degrees of freedom. Bulatov et al. attached a unit vector at the points along the axis to represent the other two DoFs in ??. A parallel vector represents a twist boundary, and a perpendicular vector represents a tilt boundary. Anything else represents a mix of twist and tilt (or a mixed boundary). One limitation of Rodrigues space lies in that it also maps to an infinite space.[?, ?].

### **B.3** Fundamental Zone Representation

The fundamental zone best graphically represents the full 5D GB. This representation takes advantage of the symmetries inherent in crystals[?] to simplify an infinite space into a compact, finite area called the fundamental zone.[?, ?, ?, ?, ?] Every point within the space represents a unique orientation, and every point outside the space can be represented as a point inside

the space through symmetry operations.[?, ?, ?] Bulatov et al. used this idea in connection with Rodrigues space to create ??. In Rodrigues space, the crystal symmetries of the material determine the shape of the fundamental zone.[?, ?] For fcc crystals, the fundamental zone takes the form of a truncated tetrahedron.[?] The edges of the fundamental zone in Rodrigues space represent the high-symmetry rotation axes, and points on one face can represent another point on a different face of the fundamental zone.

# Appendix C Graphs

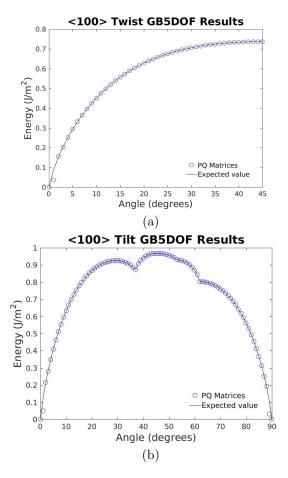


Figure C.1: The  $\langle 100 \rangle$  twist (a) and tilt (b) results for the P and Q matrices as compared to Bulatov *et al.*'s energy profiles. Bulatov *et al.*'s GB5DOF .m MATLAB® script calculated the expected values by using the default parameters. The GB5DOF.m script calculated the values using the generated matrices. With the exception of the data points at 1° in both (a) and (b) and 89° in (b), the energies calculated from the matrices matches the expected curves exactly.

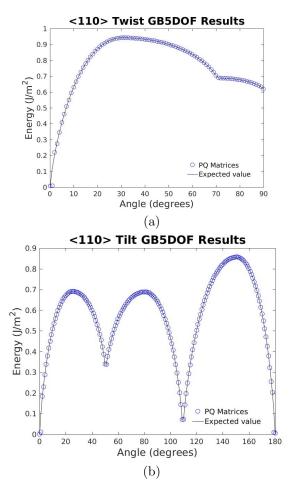


Figure C.2: The  $\langle 110 \rangle$  twist (a) and tilt (b) results for the P and Q matrices as compared to Bulatov *et al.*'s energy profiles. Bulatov *et al.*'s GB5DOF .m MATLAB® script calculated the expected values by using the default parameters. The GB5DOF.m script calculated the values using the generated matrices. With the exception of the data points at 1° in both (a) and (b) and 179° in (b), the energies calculated from the matrices matches the expected curves exactly.

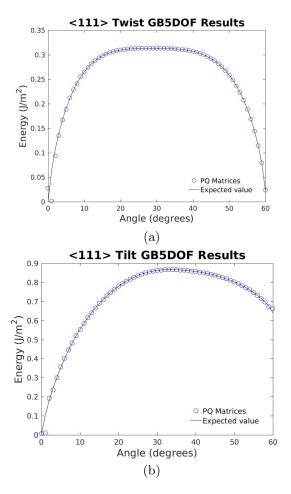


Figure C.3: The  $\langle 111 \rangle$  twist (a) and tilt (b) results for the P and Q matrices as compared to Bulatov *et al.*'s energy profiles. Bulatov *et al.*'s GB5DOF .m MATLAB® script calculated the expected values by using the default parameters. The GB5DOF.m script calculated the values using the generated matrices. With the exception of the data points at 1° in both (a) and (b) and 60° in (b), the energies calculated from the matrices matches the expected curves exactly.

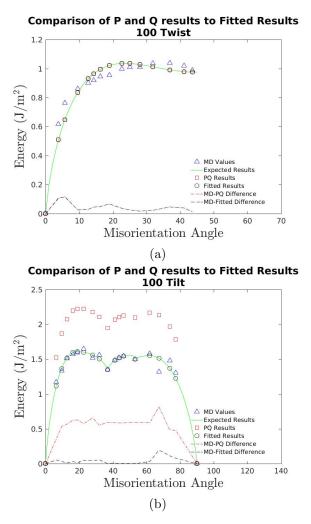


Figure C.4: A comparison of the expected value of the fitted function with the values calculated using the P and Q matrices for the  $\langle 100 \rangle$  1D subsets, with the MD values shown for reference. (a) PQ results follow exactly the fitted curve. (b) has a scaling issue yet to be fixed. The cause of the scaling issue remains unknown.

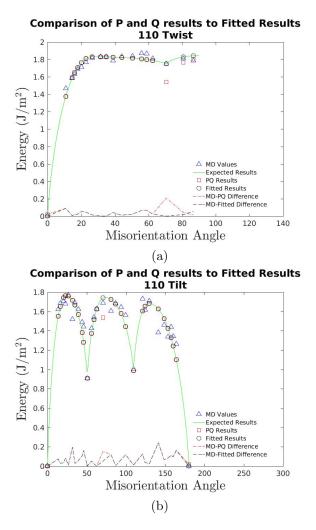


Figure C.5: A comparison of the expected value of the fitted function with the values calculated using the P and Q matrices for the  $\langle 110 \rangle$  1D subsets, with the MD values shown for reference. (a) follows the fitted result until the cusp, at which point some anomalies appear. The results from the PQ matrices dip well below the expected value at the cusp, and never make it back to the original fitted line. (b) has a similar issue on a lesser scale. Only two of the calculated points do not follow the fitted curve. At the endpoint the expected value is zero, but the PQ matrices calculated a value slightly higher. Also, an unexpected cusp from the PQ matrices appears in the middle of the second hump. All other data points follow the fitted curve exactly.

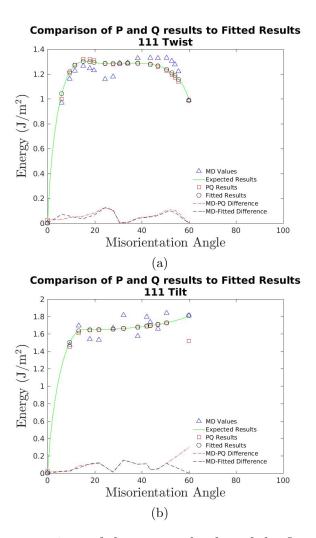


Figure C.6: A comparison of the expected value of the fitted function with the values calculated using the P and Q matrices for the  $\langle 111 \rangle$  1D subsets, with the MD values shown for reference. (a) closely follows the expected fitted values, but has a slight error throughout. (b) follows the expected values exactly in the center of the fitting, but misses slightly for lower angle boundaries, and misses completely at the end.

## Appendix D

### Orientation Matrix Generator

This code generates the orientation matrices (known as the P and Q matrices in Bulatov *et al.*'s code). Provision for calculating the matrices one of two ways appears in-code through the use of command-line options.

```
from __future__ import division, print_function # To
   avoid numerical problems with division, and for ease
    of printing
from sys import argv # for CLI arguments
from math import cos, sin, pi, atan2, sqrt # Trig
  functions
from os.path import exists # For checking existence of
   a file
from numpy import array, linalg
from myModules import * # imports my functions from the
    file myModules.py
# Helper functions
def displayHelp():
    print( ''')
    This script will calculate the orientation matrices
        for any given misorientation
    for any of the high-symmetry axes.
    Arguments:
        _axis: The axis of orientation (type: int)
```

```
\_misorientation: The angle of misorientation (
       type: float)
        -----OR-
    (with option -e or --euler)
    \_z1: The first rotation angle (Z) (type:
       float)
    \exists x: The second rotation angle (X') (type:
       float)
    z2: The third rotation angle (Z'') (type:
       float)
If the option -e or --euler-angles is entered, the
   calculation skips to simply
output the orientation matrices. Otherwise, the
   Euler angles are calculated from
the axis, orientation, and grain boundary normal,
   and then the orientation matrix is
created through the use of the Rodrigues Rotation
   Formula, which is:
R = I + sin(theta) * K + (1 - cos(theta)) * K^2
where I is the identity matrix, theta is the
   misorientation \ angle, and K is
the skew-symmetric matrix formed by the axis of
   rotation:
K = 0 - kz \quad ky
    kz = 0 - kx
   -ky \quad kx \quad 0
where the vector k is the unit vector defining the
   axis of rotation, or using
a set of predefined rotations for each axis (
   default is the predefined rotations).
The Euler angles are calculated in this case simply
    for the file to be written
to. If the user does not specify to save, then the
    angles are not used for
```

Options:

anything.

```
-e - euler < z1 > < x > < z2 >
                                       Returns the
   Bunge orientation matrix
                                       based on the
                                          euler angles
                                           provided.
-f — file < filename >
                                       Reads the file
   filename and uses the
                                       Euler angles
                                          from them to
                                           calculate
                                          the
                                       orientation
                                          matrix.
--rrf
                                       Calculates the
   matrices using the Rodrigues
                                       Rotation
                                          Formula
-a --a ng les
                                       Displays the
   Euler angles. Can be used
                                       in \quad conjunction
                                          with -q or
                                          --quiet to
                                       display only
                                          the Euler
                                          angles.
                                       Saves the
-s --save
   resultant orientation matrix to
                                       a database (
                                          orientation\_matrix\_database
                                          .m)
                                       with the
                                          accompanying
                                           Euler
```

angles.

```
-q -quiet
                                          Suppresses
       output of the orientation matrices
                                          to the terminal
    --help
                                          Displays this
       help info
    Output:
    For an Euler angle set, the outut is simply its
       orientation matrix.
    For the misorientations, the first matrix is the 'P
       'orientation matrix, and
    the second matrix is the {}^{\prime}Q{}^{\prime} orientation matrix (
       see Bulatov et al., Acta Mater
    65 (2014) 161-175.
    , , ,)
    return
def displayAngles(z1, x, z2): # Displays an Euler angle
    set (Bunge convention)
    print("Euler_angles:")
    # This is the "new" way to format strings. The 16
       indicates the padding to
    # be done before the next character.
                                            The <
       character below says which side
    \# to pad (the right side).
    print("{:16}{:16}{:16}".format('Z', 'X', 'Z'))
    print("-
    print("\{:<16\}\{:<16\}\{:<16\}\n".format(rad2deg(z1),
       rad2deg(x), rad2deg(z2))
    return
def check4RRF(args): # Check the args for the rrf
   command
    if "---rrf" in args:
        index = args.index("--rrf")
        del args [index]
```

```
return True, args
    else:
        return False, args
\mathbf{def} check \mathbf{4Euler}(\mathbf{args}): # Check the args for the -a or
  --- angles command
    if "-a" in args or "--angles" in args:
        try:
             index = args.index("-a")
        except:
             index = args.index("—angles")
        del args[index]
        return True, args
    else:
        return False, args
# Write the matrix and angles to a file
def writeMat(m, _z1 , _x , _z2 , grain , axis):
    # This is to avoid issues with duplicates
    if _{z}1 = 0:
        _{z1} = abs(_{z1})
    if _{-}x = 0:
        _{x} = abs(_{x})
    if _{z2} = 0:
        _{z2} = abs(_{z2})
    lastVal = 1
    # This is the default filename to be used.
    # TODO: make provisions to provide the database
       file via command line
    tex_filename = "orientation_matrix_database.m"
    var_name = "%s%d"%(grain, axis) # Will generally
       look like P100 or Q100
    if not exists (tex_filename):
         tex_file = open(tex_filename, "a")
         tex_file.write("%Database_for_orientation_
            matrices_for_specified_Euler_Angles\n")
```

```
tex_file.write("
      %-
       n")
    tex_file.write("%Orientation_Matrix_____
       Euler\_Angles \ "
    tex_file. write ("%s (:,:,%d)=[%2.6 f__\%2.6 f__\%2.6 f
       3.4 \, \text{f} \, \text{m} \, \%
       var\_name, lastVal, m[0][0], m[0][1], m
       [0][2], -z1, -x, -z2)
    tex_file.write("\%2.6f_{--}\%2.6f_{--}\%2.6f)n"\%(m)
       [1][0], m[1][1], m[1][2])
    tex_file.write("\%2.6f_-\%2.6f_-\%2.6f]; \n"\%(m
       [2][0], m[2][1], m[2][2])
    tex_file.write("
      %-
       n")
    tex_file.close()
else:
    f = open(tex_filename,"r")
    while True:
        data = f.readline().split()
        if not data:
            break
        elif len(data) != 6:
            continue
        else:
            assert data[0][0] in {'P', 'Q'}, "
               Unknown_orientation_matrix_type_(
               should_be_{-}\'P\'or_{-}\'Q\')."
            if not "%d"%(axis) in data[0][1:4]:
                lastVal = 0
            elif "%d"%(axis) in data [0][1:4]:
                \mathbf{try}:
                    try:
                         if data [0][0] = 'P': #
                            Handles anything 3
                            digits long
```

```
lastVal = int(data)
                     [0][9:12]) - 1
             else:
                  lastVal = int(data)
                     [0][9:12]
         except:
             if data [0][0] = 'P': #
                Handles anything 2
                digits long
                 lastVal = int(data)
                     [0][9:11]) - 1
             else: \# data / 0 / / 0 / == 'Q'
                 lastVal = int(data)
                     [0][9:11])
    except:
         if data[0][0] = 'P': # One
            digit case
             lastVal = int(data[0][9]) -
         else: \# data [0]/[0] == Q'
             lastVal = int(data[0][9])
else:
    print("Error: _Unknown_last_index.")
    exit()
# Checks to see if the Euler angles
   have already been used before
# If so, the calculated matrix is not
   saved (assumed to already
# be in the database)
if data[0][0] = grain and data[3] = (
   \%' + \%2.4 \, f\%_{z1} and data [4] = "
   \%2.4 \,\mathrm{f} "%_x and data [5] == "\%2.4 \,\text{f} "\%_z2
    unique = False
    break
else:
    unique = True
```

```
if unique:
             tex_file = open(tex_filename, "a")
             tex_file.write("%s(:,:,\%d)=[\%2.6f_{--}\%2.6f_{--}
               \%2.6\,f ....\%2.4\,f ...\%2.4\,f ...\%2.4\,f ...
               \%(var_name, lastVal + 1, m[0][0], m
                [0][1], m[0][2], z1, x, z2)
             tex_file.write("%2.6f__\%2.6f__\%2.6f\n"%(m
                [1][0], m[1][1], m[1][2])
             tex_file.write("%2.6f_{--}%2.6f_{--}%2.6f]; \ n"%(m)
                [2][0], m[2][1], m[2][2])
             tex_file.write("
               %—
               n")
             tex_file.close()
    return
if "-help" in argv: # Help info
    displayHelp()
    exit()
orientation_matrix = []
save, argv = check4Save(argv) # Save the file? Delete
   the save argument
quiet, argv = check4Quiet(argv) # Checks for
   suppressing output. Delete the quiet argument.
useRRF, argv = check4RRF(argv) # Checks for using the
  RRF method. Delete the rrf argument.
dispEuler, argv = check4Euler(argv) # Checks for
   displaying the Euler angles. Delete the angle
   argument
\# If the arguments come from a file...
if "-f" in argy or "--file" in argy: #input arguments
   come from file
    \mathbf{try}:
        try:
            index = argv.index("-f")
        except:
```

```
index = argv.index("—file")
except:
    print ("ERROR: _Unable_to_find_filename.")
    exit()
filename = argv[index + 1]
try:
    f1 = open(filename, 'r')
except:
    print("ERROR: _Unable_to_read_file.", filename)
while True: # Read the file line by line.
    line = f1.readline()
    # break if we don't read anything. If there
       are blank lines in the
    # file, this will evaluate to TRUE!
    if not line:
        break;
    data = line.split()
    if len(data) != 4: # If there are less than 4
       parts to the data, move along (format of
       file MUST be _z1 _x _z2 1.00)
        continue
    else:
        # Convert the data to stuff we can use
         _{z1} = \mathbf{float} (data[0])
         _{x} = \mathbf{float} (data[1])
         _{z2} = \mathbf{float} (data[2])
         _{z1} = deg2rad(_{z1})
         _{x} = deg2rad(_{x})
         _{z2} = deg2rad(_{z2})
         orientation_matrix = calcRotMat(_z1, _x,
            _{z}^{2}
         if not quiet:
             displayMat (orientation_matrix)
         if save:
             writeMat(orientation_matrix, _z1, _x,
                _z2, 'P', _axis)
```

```
# Input is a set of euler angles
elif "-e" in argv or "--euler-angles" in argv:
    try:
         try:
             index = argv.index("-e")
         except:
             index = argv.index("--euler-angles")
    except:
         print("ERROR: _Unable_to_read_Euler_angles.")
         exit()
    _{z1} = float(argv[index + 1])
    _{x} = float(argv[index + 2])
    z2 = float(argv[index + 3])
    _{z1} = deg2rad(_{z1})
    _{x} = deg2rad(_{x})
    _{z2} = deg2rad(_{z2})
    orientation_matrix = calcRotMat(z1, x, z2)
    if not quiet:
         displayMat(orientation_matrix)
    if save:
         writeMat(orientation_matrix, _z1, _x, _z2, 'P',
             _{\rm axis})
else:
    if len(argv) < 3:
         print ("ERROR: _Not_enough_command_line_arguments
            . ")
         print ("Input_either_an_axis, _and_a_
            misorientation, _or_a_ZXZ_Euler_angle_set_
            with the option -e or -euler -angles.")
         displayHelp()
         exit()
    try:
         _{axis} = int(argv[1])
         _{\text{misorientation}} = \mathbf{float} (\operatorname{argv} [2])
```

```
except:
         print( '''; '')
        ERROR: Command line argument(s) is (are) not of
             correct type.
         Please enter an int for argument 1, a float for
             argument 2, and an int for argument 3.
         exit()
    if not len(str(_axis)) == 3: # axis length greater
       than 3
         print("ERROR: _Argument_1_must_by_a_3_digit_
            number \exists like \exists \setminus 100 \setminus ...
         exit()
    _misorientation = deg2rad(_misorientation) # Change
         input to radians
    axis = [None] *3
    _{z1} = [None] *2
    _{x} = [None] * 2
    _{z2} = [None] *2
    q = [None] * 2
    for i in range (0, len(str(axis))):
         axis[i] = int(str(axis)[i])
#
                                  -The Actual Calculations
#
    # First convert to a quaternion
    # These functions are from a myModules.py.
    q[0] = axis2quat(axis, _misorientation / 2)
    q[1] = axis2quat(axis, -_misorientation / 2)
```

```
for i in range (0, len(z1)):
        z1[i], x[i], z2[i] = quat2euler(q[i])
#
    \# Using the Rodrigues Rotation Formula, defined as
       R = I + sin(theta) * K + (1 - cos(theta)) * K^2
    \# \ with \ K = [0 - k_{-}z, k_{-}y; k_{-}z, 0, -k_{-}x; -k_{-}y, k_{-}x,
       0, and the components of
    \# k coming from the vector being rotated about.
       Theta is specified by the misorientation.
    if useRRF:
        orientation_matrix1, orientation_matrix2 =
           calcRotMatRRF(axis, _misorientation)
        # Normalize the matrices using their
           determinants
        orientation_matrix1 = orientation_matrix1 /
           linalg.det(orientation_matrix1)
        orientation_matrix2 = orientation_matrix2 /
           linalg.det(orientation_matrix2)
        if not quiet:
             displayMat (orientation_matrix1)
             displayMat (orientation_matrix2)
        for i in range (0, len(z1)):
             if dispEuler:
                 displayAngles(_z1[i], _x[i], _z2[i])
             if save:
                 assert i < 2, "ERROR: _Too_many_Euler_
                    angles."
                 if i == 0:
                     writeMat(orientation_matrix1, _z1[i
                        ], _x[i], _z2[i], 'P', _axis)
```

# Convert the quaternion to Euler Angles

```
[ , _x[i], _z2[i], ^Q, _axis )
else:
    for i in range (0, len(z1)):
        orientation_matrix = calcRotMat(_z1[i], _x[
           i], _z2[i])
        # Normalize the matrix using the
           determinant
        orientation_matrix = orientation_matrix /
           linalg.det(orientation_matrix)
        if not quiet: # Display the results
            displayMat (orientation_matrix)
        if dispEuler: # Display the Euler Angles
            display Angles (_z1[i], _x[i], _z2[i])
        if save: # We only calculate 2 angles at a
           time. If there are more, that 's a
           problem.
            assert i < 2, "ERROR: _Too_many_Euler_
               angles."
            if i == 0:
                writeMat(orientation_matrix, _z1[i
                   ], _x[i], _z2[i], 'P', _axis)
            else:
                writeMat(orientation_matrix, _z1[i
```

writeMat(orientation\_matrix2, \_z1[i

else:

#

], \_x[i], \_z2[i], 'Q', \_axis)

## Appendix E

### **Rotation Matrix Generator**

This code generates the rotation matrices used to rotate the axes to the [100] direction as required by Bulatov *et al.*'s script.

```
from __future__ import division, print_function #
   Automatically divides as floats, and considers print
   () a function
from sys import argv # for CLI arguments
from numpy import array, linalg # for matrix operations
from os.path import exists # For checking existence of
   a file
from myModules import * # For using my user-defined
   functions
# Helper functions
def displayHelp():
    print( '''

    This script will generate the rotation matrix for
       the given misorientation axis
    Input:
        \_rotation\_axis
                             This specifies the axis
           around which the grains are
                             rotated. (type: int (100)
                                or string ('100'))
        \_gbnormal
                             This specifies the boundary
            plane normal.
                            (type:
```

```
int (100) or string
                                ('100'))
    Options:
                             Saves the resultant
        -s --save
           rotation matrix to a database
                             (rotation\_matrix\_database.m
                                ) with the accompanying
                             rotation axis and
                                misorientation type.
        -q -q uiet
                             Suppresses output of the
           rotation matrix
        --help
                             Display this help info.
    Output:
    The output displayed will be the resultant rotation
        matrix for the given
    misorientation.
    ,,,)
# This function is an adaptation from MOOSE
   Rotation Matrix class.
def rotVecToZ(vec): # Creates the rotation matrix to
   rotate vec to the z direction
    # REALLY make sure vec is normalized
    vec = vec / linalg.norm(vec)
    # Initialize our vectors
    v1 = array([[0., 0., 0.]])
    v0 = array([[0., 0., 0.]])
    # Temp vector that gives a prototype of v1 by
       looking at the smallest component of vec
    w = array([[abs(vec[0][0]), abs(vec[0][1]), abs(vec[0][1]))]
       [0][2])])
    if (w[0][2] >= w[0][1] and w[0][1] >= w[0][0] or
        (w[0][1] >= w[0][2] \text{ and } w[0][2] >= w[0][0]):
        v1[0][0] = 1.0
```

```
elif (w[0][2] >= w[0][0] and w[0][0] >= w[0][1]
       or (w[0][0] >= w[0][2] and w[0][2] >= w[0][1])
        v1[0][1] = 1.0
    else:
        v1[0][2] = 1.0
    \# Gram-Schmidt method to find v1
    v1 = v1 - ((v1.dot(vec.T))*vec)
    v1 = v1 / linalg.norm(v1)
    \# v0 = v1 \ x \ vec
    v0[0][0] = v1[0][1] * vec[0][2] - v1[0][2] * vec[0][1]
    v0[0][1] = v1[0][2] * vec[0][0] - v1[0][0] * vec[0][2]
    v0[0][2] = v1[0][0] * vec[0][1] - v1[0][1] * vec[0][0]
    # Rotation matrix is just:
    rot = array([[v0[0][0], v0[0][1], v0[0][2]],
                  [v1[0][0], v1[0][1], v1[0][2]],
                  [\text{vec}[0][0], \text{vec}[0][1], \text{vec}[0][2]]])
    return rot
def rotVec1ToVec2 (vec1, vec2):
    rot1_to_z = rotVecToZ(vec1)
    rot2\_to\_z = rotVecToZ(vec2)
    return (rot2\_to\_z.T).dot(rot1\_to\_z)
def writeMat(m, _axis, gbnormal): # Write the matrix
   and angles to a file
    tex_filename = "rotation_matrix_database.m"
    normName = _axis + '_' + gbnormal
    var_name = "rot%snorm"%(normName)
    if not exists (tex_filename):
         tex_file = open(tex_filename, "a")
         tex_file.write("%Database_for_rotation_matrices
           _ for _ specified _ misorientation _ axes\n")
         tex_file.write("
           %-
```

```
n")
    tex_file.write("%Rotation_Matrix\n")
    tex_file.write("%s=[\%2.4f_{\}\\\\\]%2.4f_{\}\"%(
       var_name, m[0][0], m[0][1], m[0][2])
    tex_file.write("\%2.4f_{\_}\%2.4f_{\_}\%2.4f_{n}\%m
       [1][0], m[1][1], m[1][2])
    tex_file.write("\%2.4f_{\_}\%2.4f_{\_}\%2.4f]; \ n"\%(m)
       [2][0], m[2][1], m[2][2])
    tex_file.write("
       %-
       n")
    tex_file.close()
else:
    \# Check for already written
    numlines = countFileLines(tex_filename)
    if numlines \ll 4:
         tex_file = open(tex_filename, "a")
         tex_file.write("%s=[%2.4f__%2.4f__%2.4f\n"
           \%(\text{var\_name}, m[0][0], m[0][1], m[0][2]))
         tex_file.write("\%2.4f_\_\%2.4f_\_\%2.4f_\_\%2.4f_\_
            [1][0], m[1][1], m[1][2])
         tex_file.write("\%2.4f_{\_}\%2.4f_{\_}\%2.4f]; \n"\%(m
            [2][0], m[2][1], m[2][2])
         tex_file.write("
           %-
           n")
         tex_file.close()
    else:
         f = open(tex_filename, "r")
        while True:
             data = f.readline().split()
             if not data:
                 break
             elif len (data[0]) > 14:
                 if not data [0][14] = '=':
                      continue
                 else:
                      if data[0][0:10] = var_name:
```

```
unique = False
                          else:
                              unique = True
             if unique:
                 tex_file = open(tex_filename, "a")
                 tex_file.write("%s=[\%2.4f_{\_}\%2.4f_{\_}\%2.4f]
                    n''\%(var\_name, m[0][0], m[0][1], m
                    [0][2])
                 tex_file.write("\%2.4f_{--}\%2.4f_{--}\%2.4f)"
                    \%(m[1][0], m[1][1], m[1][2])
                 t ex_file . write ("\%2.4 f_{\_}\%2.4 f_{\_}\%2.4 f]; \ n
                    "%(m[2][0], m[2][1], m[2][2]))
                 tex_file.write("
                    %-
                    n")
                 tex_file.close()
# Check what we were given ...
if "-help" in argv: # Help info
    displayHelp()
    exit()
save, argv = check4Save(argv)
quiet, argv = check4Quiet(argv) # Checks for
   suppressing output
if len(argv) != 3: # if not both values given
    print ("ERROR: _Incorrect_number_of_command_line_
       arguments. Line 150")
    displayHelp()
    exit()
else: \# len(argv) == 3
    script, _rotation_axis, _gbnormal = argv
if not type(_gbnormal) in {int, str}:
    print ("ERROR: Grain boundary normal type is a
       incorrect.__Please_enter_an_int_or_a_string._
       Line_157")
```

```
print ("You_entered_%s_with_type_%s"%(str(_gbnormal))
       , type(_gbnormal)))
    exit()
else:
    if type(_gbnormal) = int:
        _gbnormal = '0' + '0' + '0' + str(_gbnormal)
        \_gbnormal = \_gbnormal[-3:] # gets the last three
            characters
        assert _gbnormal != '000', "ERROR: _invalid _
           boundary_normal._Line_173"
    assert (len (_rotation_axis) == 3), "ERROR: _Something
       _went_wrong_converting__gbnormal_into_a_string._
       Line_166"
if not type(_rotation_axis) in {int, str}:
    print ("ERROR: Grain boundary rotation axis type is a
       incorrect.__Please_enter_an_int_or_a_string._
       Line_169")
    print ("You_entered_%s_with_type_%s"%(str(
       _rotation_axis), type(_rotation_axis)))
    exit()
else: # Convert anything besides a string into a string
    if type(\_rotation\_axis) = int:
        _{rotation\_axis} = '0' + '0' + '0' + str(
           rotation_axis)
        _{rotation\_axis} = _{rotation\_axis} [-3:] # Get the
           last three characters
        assert _rotation_axis != '000', "ERROR: _invalid
           _rotation_axis._Line_176"
    assert (len (_rotation_axis) == 3), "ERROR: _Something
       _went_wrong_converting__rotation_axis_into_a_
       string._Line_178"
# Now that the input is taken care of, do the work
axis = array([[1, 0, 0]]) # This is the axis that we
   rotate the grain boundary normal to
```

```
# This part converts _gbnormal to an array for use in
   the rotation functions
gbnormal = array([[None]*3])
j = 0
indices = []
for i in range(0,len(gbnormal[0])):
    try:
        gbnormal[0][i] = int(_gbnormal[j])
        j = j+1
    except:
        \#print(\_gbnormal[i:i+2])
        gbnormal[0][i] = int(\_gbnormal[j:j+2])
        j = j + 2
        indices.append(i)
# So much work...
gbnorm = ','
for i in range (0, len(gbnormal[0])):
    if gbnormal[0][i] < 0:
        gbnorm += str(abs(gbnormal[0][i])) + 'bar'
    else:
        gbnorm += str(gbnormal[0][i])
gbnormal = gbnormal / linalg.norm(gbnormal) # Normalize
    the gbnormal vector.
axis = axis / linalg.norm(axis) # Just to be sure...
rotation_matrix = rotVec1ToVec2(gbnormal, axis)
if not quiet:
    displayMat (rotation_matrix)
if save:
    writeMat(rotation_matrix, _rotation_axis, gbnorm)
```

## Appendix F

# genOrientationMatrix.sh Bash Script

This bash script reads a CSV file containing misorientation angles data, and uses those angles to generate the P and Q matrices. This script calls the script orientation\_matrix.py.

```
\#! /bin/bash
# This script will generate the orientation matrices
   through python by looping
# through the CSV values given in the input files.
\# Argument(s):
     $1
              Should be a filename that specifies the
   angles and relative
              energies for the 100, 110, and 111
   symmetric tilt and twist
#
              boundaries
\# Command—line argument counter that checks for the
   correct number of arguments.
# Does not check for correct syntax.
if [ "$#" -ne 1 ]; then
  echo "Illegal_number_of_parameters"
  exit 1
fi
```

```
# This takes the first argument from the command line -
    this is assumed to be a
# filename of the format 100 Tilt.
FN=\$1
echo "Determining the axis..."
# Pulls out the axis from the input file name.
                                                 This
   uses regex syntax to find
# a series of numbers that match either 100, 110, or
         This also has an issue
   111.
# where it will find a match for 101, but as long as
   the files are named correctly
\# it shouldn't be an issue.
AXIS='echo $FN | grep -o "1[01][01]" '
echo "Reading _ the _ file ..."
IFS="," # separation character is the comma
# Exit with error code 99 if unable to read the file
[!-f $FN ] && { echo "$FN_file_not_found"; exit 99; }
# This makes the assumption that the file
   orientation_matrix.py has executable
\# rights.
echo "Running_the_command:_~/projects/scripts/
   orientation_matrix.py_$AXIS_<angle>_-s_-q"
while read -r angle en; do # read the file with comma
   separated values
  ~/projects/scripts/orientation_matrix.py $AXIS $angle
done < "$FN" # the "$FN" is required if it's going to
   run properly!
IFS=$OLDIFS # go back to the old separation character
```

based on the system value.