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CSC373H1 Summer 2014 Assignment 3

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**Acknowledgements:**

"We declare that we have not used any outside help in completing this assignment."

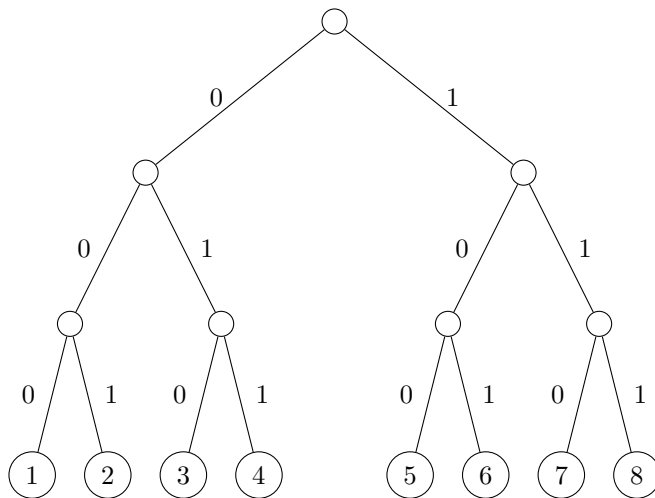
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**Q1.** Suppose  $G(V, E)$  is representative of a network where  $V = \{s, t, v_1, \dots, v_n\}$  and  $E$  is the set of edges that make up this network. Suppose we have sets  $S$  and  $T$  such that  $s \in S$ ,  $t \in T$ ,  $(S \cup T) - \{s, t\} = V$ , and  $S \cap T = \emptyset$ . Also, suppose that for all possible arrangement of vertices in  $S$  and  $T$ ,  $c(S, T) = f(S, T)$ , which means that  $G(V, E)$  has an exponential number of minimum cuts between  $s$  and  $t$ . Precisely,  $2^n$  minimum cuts as each vertex is either in  $S$  or  $T$  and cannot be in both, thus it is much like a binary string of  $|V|$  elements, which can be expressed in  $2^n$  possible ways.

To construct a graph that is representative of there being  $2^n$  possible minimum cuts, a tree like structure seems appropriate since there are  $2^n$  leaves in a full and complete tree for  $n$  levels in the tree. Taking inspiration from Huffman encoding, we wish to have a graph that for each leaf in a full tree will represent a unique binary string that represents whether a vertex is in  $S$  or  $T$ . Suppose we arrange the the string as follows,  $v_1, \dots, v_n$ , where if  $v_i$  is in  $S$  then  $v_i = 0$  and  $v_i = 1$  if it is in  $T$ . The tree/graph can be constructed so that each left edge appends 0 to the vertex string, and WLOG each right edge appends 1. Finally, when reaching any leaf we have an  $n$  length binary string that represents a unique cut  $S, T$ . In total there are  $2^n$  such possible cuts.

For example, suppose we had  $|V| = 3$  and we are certain that there are an exponential number of minimum cuts. We construct a full and complete binary tree as follows to represent the minimum cuts:



If we were to trace each leaf from the node, in a similar fashion to Huffman encoding, we would have 1: 000, 2: 001, 3: 010, 4: 011, 5: 100, 6: 101, 7: 110, and 8: 111. So, it is clear that each binary string representing  $v_1, v_2, v_3$  will represent all possible sets  $S, T$  such that in total the constructed graph can represent an exponential number of minimum cuts.

**Q2. Claim:**

Function  $f$ , such that  $f(S)$  is the number of edges  $(u, v)$  with  $u \in S, v \in V \setminus S$ , is submodular.

**Proof:**

Using the fact provided in the question, all that is necessary to show that  $f$  is submodular is to show that for any two subsets  $A, B \subseteq V$ ,  $f(A) + f(B) \geq f(A \cup B) + f(A \cap B)$ .

We begin by establishing a fact. Suppose you have disjoint sets  $X_1, X_2, \dots, X_k \subseteq V$ ,  $k > 1$ .

Case 1: Assume that in each  $X_i$  the set of vertices can be divided into two sets, those that exist as startpoints of edges in  $E$  and those that exist as endpoints in  $E$ . However,  $\forall u \in X_i, \nexists v \in X_i$  such that  $(u, v) \in E$ . It follows that  $f(X_i) \geq 0$ . Additionally, suppose that  $\forall u \in X_1 \cup X_2 \cup \dots \cup X_k, \exists v \in X_1 \cup X_2 \cup \dots \cup X_k$ , such that  $(u, v) \in E$ . It follows that  $f(X_1 \cup X_2 \cup \dots \cup X_k) = 0$ , and so  $f(X_1) + f(X_2) + \dots + f(X_k) \geq f(X_1 \cup X_2 \cup \dots \cup X_k)$ .

Case 2: Assume that  $\forall u \in X_i \nexists v \in X_j$ , such that  $(u, v) \in E$ . Also,  $i \neq j$  and  $1 \geq i, j \geq k$ . So, it would follow that  $f(X_1) + f(X_2) + \dots + f(X_k) = f(X_1 \cup X_2 \cup \dots \cup X_k)$ .

Both Case 1 and Case 2 represent the least ideal and most ideal cases, respectively. It follows that in all cases the function  $f$  on the union of any series of disjoint subsets of  $V$  will result in a value less than or equal to the summation of values of  $f$  operating on each subset separately, thus,  $f(X_1) + f(X_2) + \dots + f(X_k) \geq f(X_1 \cup X_2 \cup \dots \cup X_k)$ .

Now, suppose we have some arbitrary subsets  $A, B \subseteq V$ . Indeed, it is possible to separate both  $A$  and  $B$  as follows. Let  $A'$  represent all vertices from  $A$  that do not appear in  $B$ , and conversely let  $A''$  be those vertices that do appear in both  $A$  and  $B$ . Similarly, Let  $B'$  represent all vertices from  $B$  that do not appear in  $A$ , and let  $B''$  be those vertices that appear in  $A$  and  $B$ . Then,  $f(A) + f(B) = f(A') + f(A'') + f(B') + f(B'')$ . Clearly,  $A'' = B'' = (A \cap B)$ , then  $f(A) + f(B) = (f(A') + f(A \cap B) + f(B')) + f(A \cap B)$ . It follows by our construction of  $A'$  and  $B'$  that the sets  $A'$ ,  $(A \cap B)$ , and  $B'$  are disjoint. Then using the fact proven above,  $(f(A') + f(A \cap B) + f(B')) \geq f(A' \cup (A \cap B) \cup B')$ . However,  $(A' \cup (A \cap B) \cup B') = (A \cup B)$ . Finally,  $f(A) + f(B) = (f(A') + f(A \cap B) + f(B')) + f(A \cap B) \geq f(A \cup B) + f(A \cap B)$ .

Indeed,  $f(A) + f(B) \geq f(A \cup B) + f(A \cap B)$  implies that function  $f$ , such that  $f(S)$  is the number of edges  $(u, v)$  with  $u \in S, v \in V \setminus S$ , is submodular, by the equivalence of submodular functions.

**Q3.**

**Q4.**

**Q5.**

**Q6.**

**Q7.**



Q8.