Transformer

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(Credit to TA's & This slide is based on 2110572: Natural Language Processing Systems)

Attention mechanism

Published as a conference paper at ICLR 2015 NEURAL MACHINE TRANSLATION BY JOINTLY LEARNING TO ALIGN AND TRANSLATE Attention Is All You Need **Dzmitry Bahdanau** Jacobs University Bremen, Germany KyungHyun Cho Yoshua Bengio* Université de Montréal 473v7 [cs.CL] 19 May 2016 Noam Shazeer* Ashish Vaswani* Niki Parmar* Jakob Uszkoreit* ABSTRACT Google Brain Google Research Google Research Google Brain avaswani@google.com noam@google.com nikip@google.com usz@google.com Neural machine translation is a recently proposed approach to machine translation. Unlike the traditional statistical machine translation, the neural machine Llion Jones* Aidan N. Gomez* † Łukasz Kaiser* translation aims at building a single neural network that can be jointly tuned to Google Research Google Brain University of Toronto maximize the translation performance. The models proposed recently for neural machine translation often belong to a family of encoder-decoders and encode llion@google.com aidan@cs.toronto.edu lukaszkaiser@google.com a source sentence into a fixed-length vector from which a decoder generates a translation. In this paper, we conjecture that the use of a fixed-length vector is a Illia Polosukhin* ‡ bottleneck in improving the performance of this basic encoder-decoder architecillia.polosukhin@gmail.com ture, and propose to extend this by allowing a model to automatically (soft-)search for parts of a source sentence that are relevant to predicting a target word, without having to form these parts as a hard segment explicitly. With this new approach, we achieve a translation performance comparable to the existing state-of-the-art Attention Is All You Need. 31st Conference on Neural Information phrase-based system on the task of English-to-French translation. Furthermore, qualitative analysis reveals that the (soft-)alignments found by the model agree Processing Systems (NIPS 2017), Long Beach, CA, USA. well with our intuition. 1 INTRODUCTION

Reference: Bahdanau, Dzmitry, Kyunghyun Cho, and Yoshua Bengio. "Neural machine translation by jointly learning to align and translate." ICLR(2015).

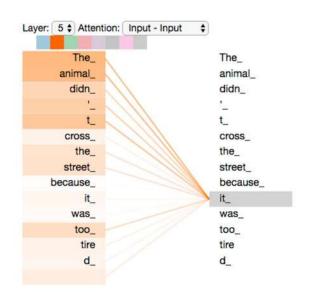


Scaled Dot-Product Attention (1)

- Introduced in Attention is all you need (Viswani et al., 2017)
- NO recurrence nor convolution
- Widely used today in all Transformer-based model
- "Relating different positions of a single sequence in order to compute a representation of the sequence"

Attention
$$(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

where the query, keys, values, and output are all vectors and d_k is a number.





Scaled Dot-Product Attention (2)



What are the "query", "key", and "value" vectors?

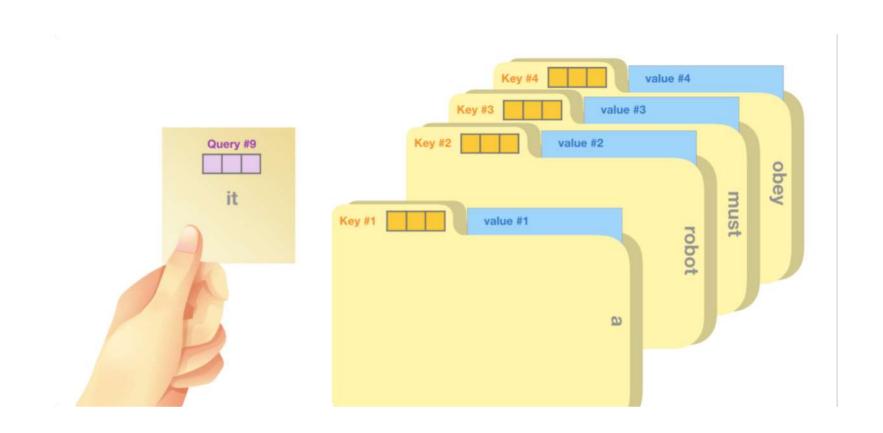
As an analogy, think of Google Search.

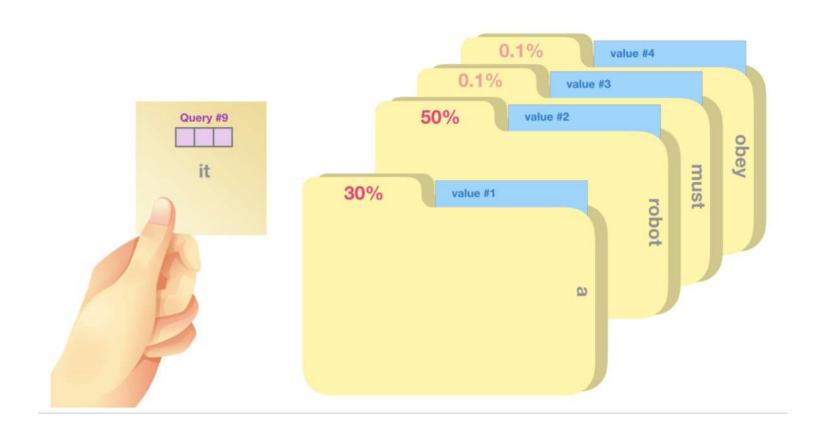
Query - what we want to know

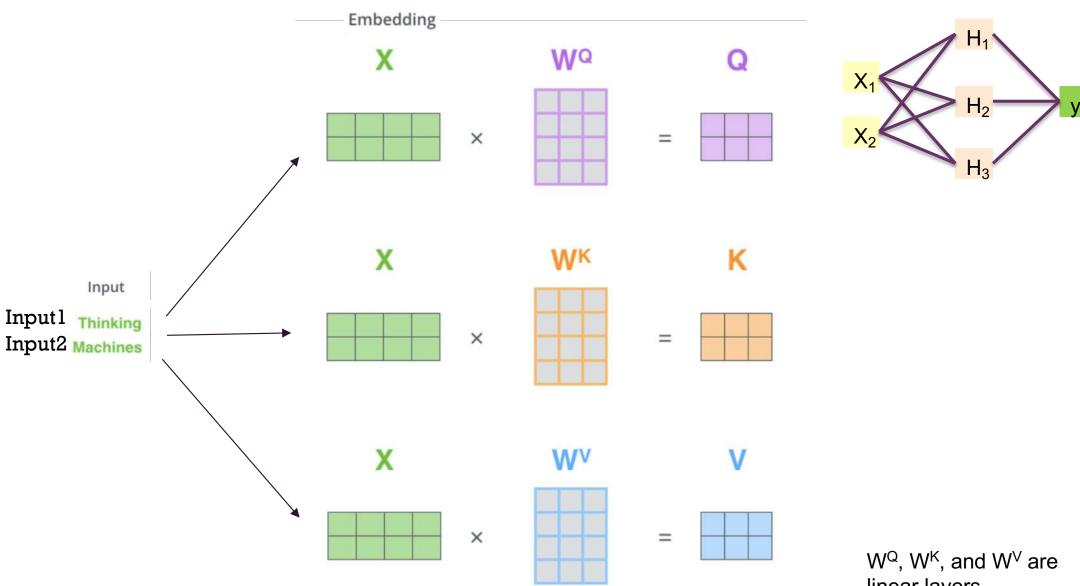
Key - how to index information

Value - what kind of info is in each website



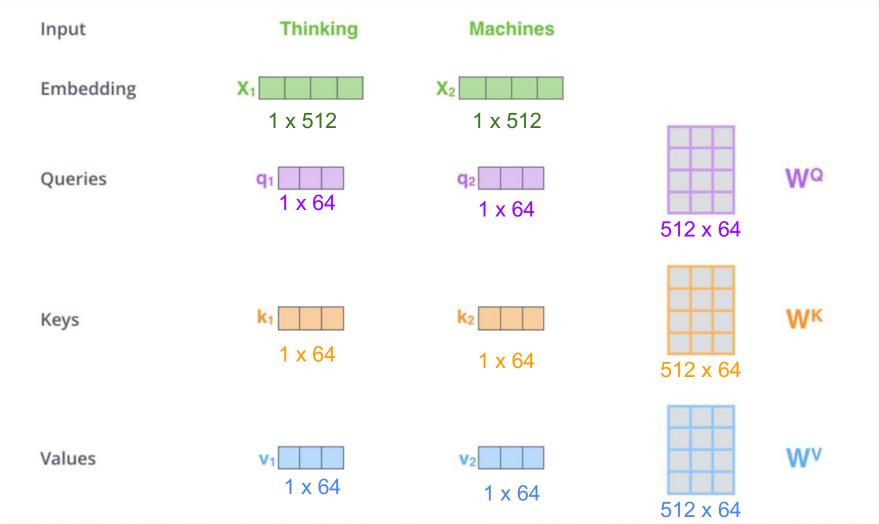






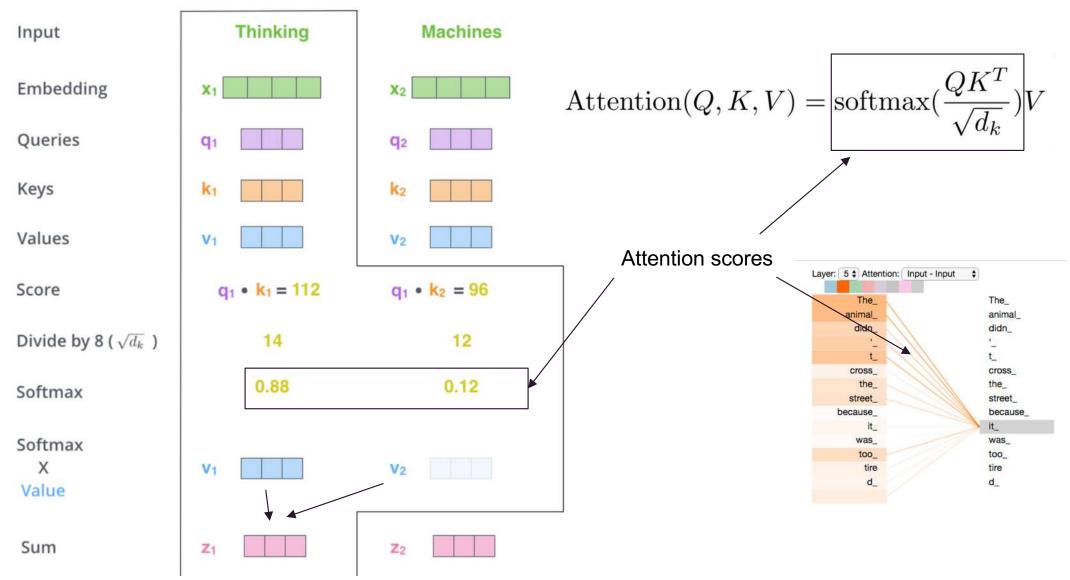
http://jalammar.github.io/illustrated-transformer/

linear layers



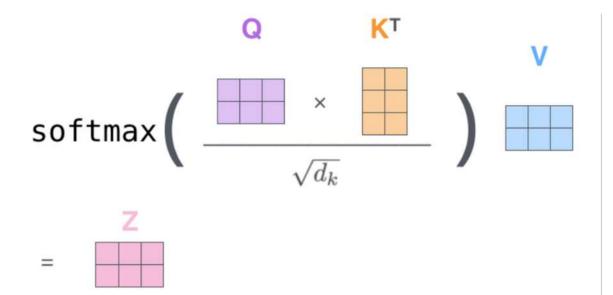
Multiplying x1 by the WQ weight matrix produces q1, the "query" vector associated with that word. We end up creating a "query", a "key", and a "value" projection of each word in the input sentence.

http://jalammar.github.io/illustrated-transformer/

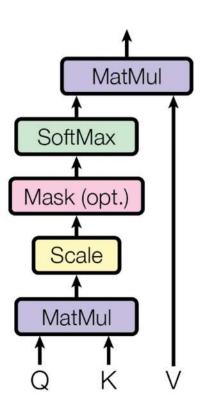


http://jalammar.github.io/illustrated-transformer/

$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$



Scaled Dot-Product Attention



Attention Is All You Need

Ashish Vaswani*

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Niki Parmar*

Jakob Uszkoreit*

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University of Toronto aidan@cs.toronto.edu Łukasz Kaiser*

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Illia Polosukhin* ‡

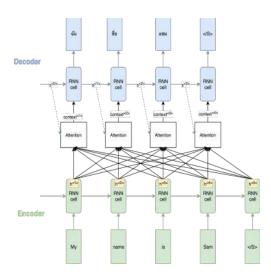
g<2> g<2> g<3> g<3> RNN cell RNN cell RNN cell RNN cell

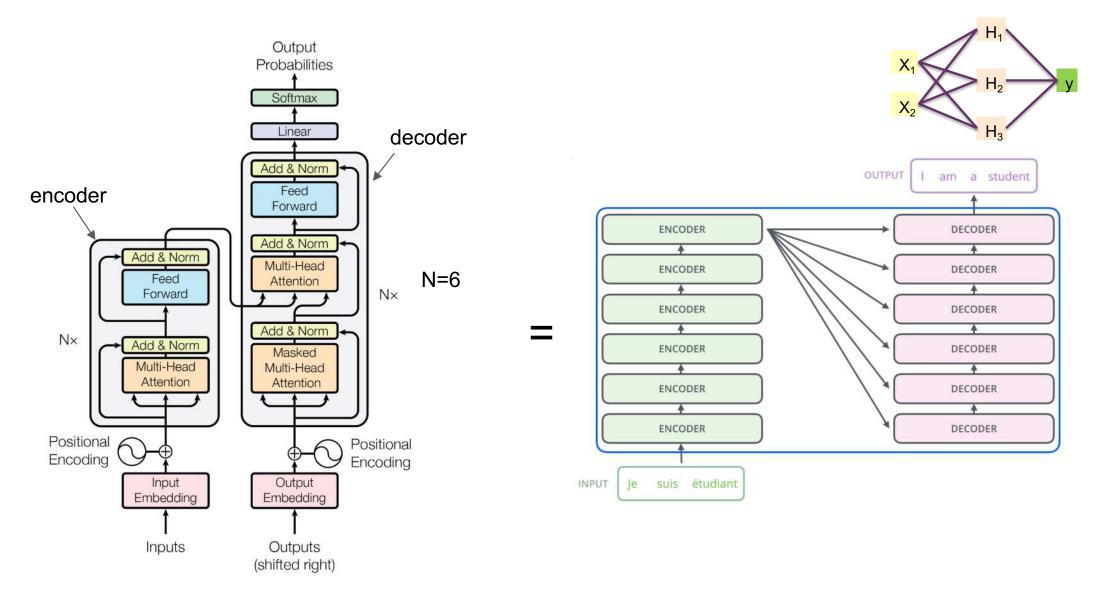
The Transformer

Only rely on the attention mechanism - **No RNN**!

- More parallelizable -> Faster training time
- Better at longer sequence

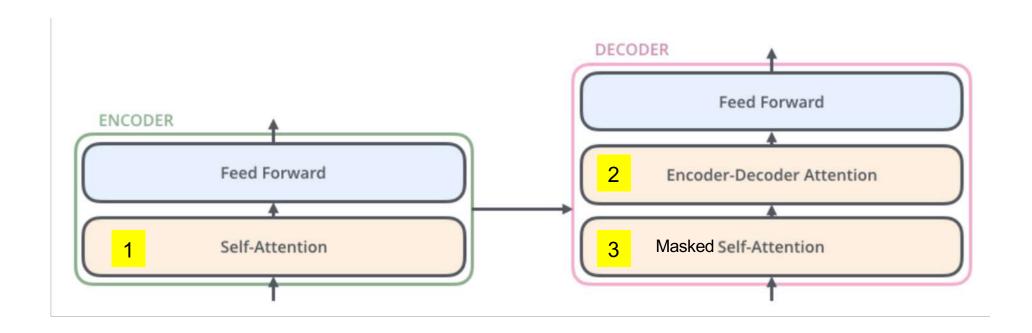






http://jalammar.github.io/illustrated-transformer/

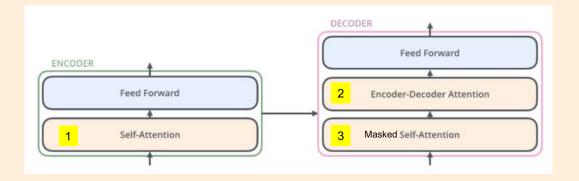
In each layer...

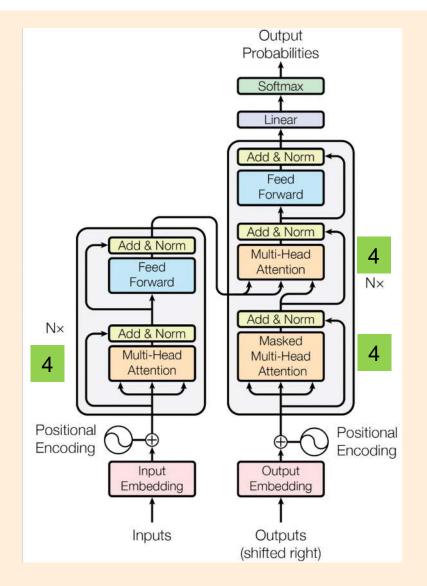


Scaled Dot-Product Attention

There are many variations used in the Transformer:

- Self Attention
- Encoder-decoder Attention
- Masked Self Attention
- Multi-headed Self Attention





Scaled Dot-Product Attention

1) Self Attention

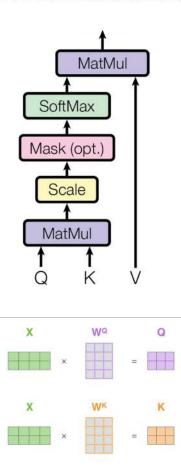
Beach, CA, USA.

Q, K, and V are all from the same input.

$$Attention(Q, K, V) = softmax(\frac{QK^T}{\sqrt{d_k}})V$$

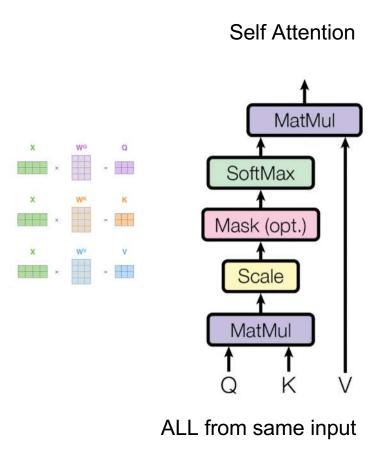
where the query, keys, values, and output are all vectors and d_k is a number.

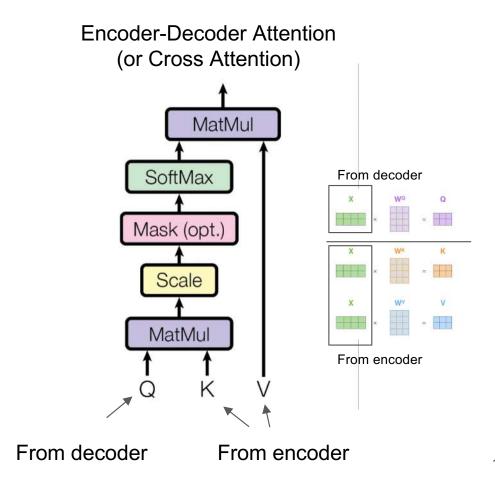
Attention Is All You Need. 31st Conference on Neural Information Processing Systems (NIPS 2017), Long



My name is Sam 🗕 ฉัน ชื่อ แซม

2) Encoder-Decoder Attention

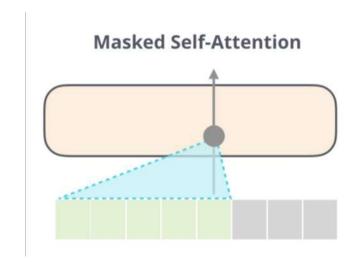


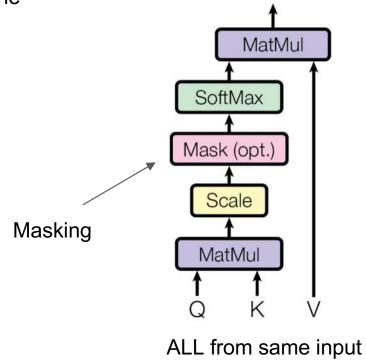


3) Masked Self Attention

Prevent the model from **seeing into the future** to preserve the autoregressive property.

Used in *decoder* only (so the model can't see the answer).

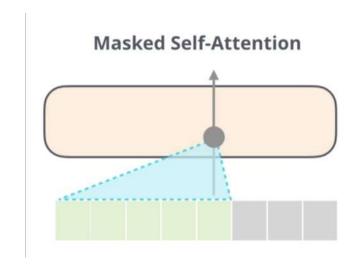


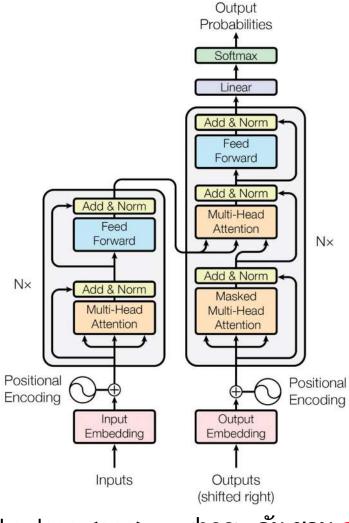


Masked Self Attention

Prevent the model from **seeing into the future** to preserve the autoregressive property.

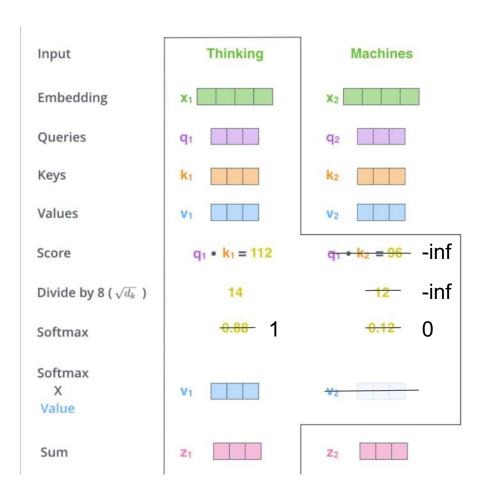
Used in *decoder* only (so the model can't see the answer).





I like dogs <eos> <bos> ฉัน ชอบ <mark>สุนัข</mark>

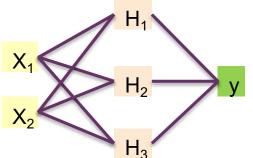
Masked Self Attention



4) Multi-head Self Attention

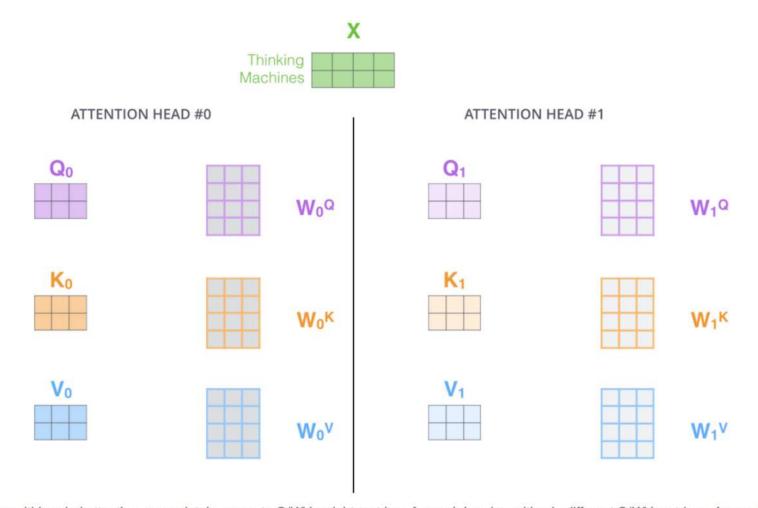
Multi-head attention allows the model to jointly attend to information from different representations.

$$\begin{aligned} \text{MultiHead}(Q, K, V) &= \text{Concat}(\text{head}_1, ..., \text{head}_h) W^O \\ \text{where head}_i &= \text{Attention}(QW_i^Q, KW_i^K, VW_i^V) \end{aligned}$$

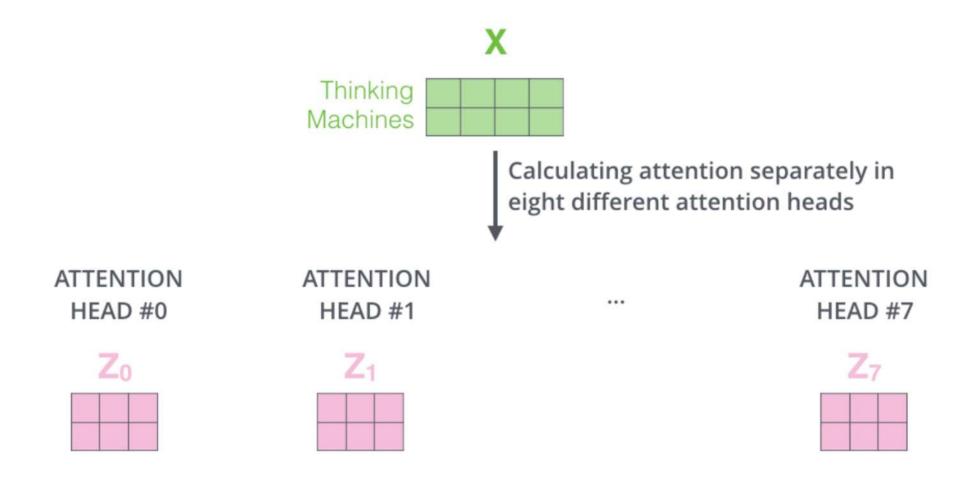


Multi-Head Attention Linear Concat Scaled Dot-Product Attention Linear Linear Linear

H₃
Attention Is All You Need. 31st Conference on Neural Information Processing Systems (NIPS 2017), Long Beach, CA, USA.



With multi-headed attention, we maintain separate Q/K/V weight matrices for each head resulting in different Q/K/V matrices. As we did before, we multiply X by the WQ/WK/WV matrices to produce Q/K/V matrices.

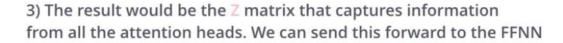


1) Concatenate all the attention heads

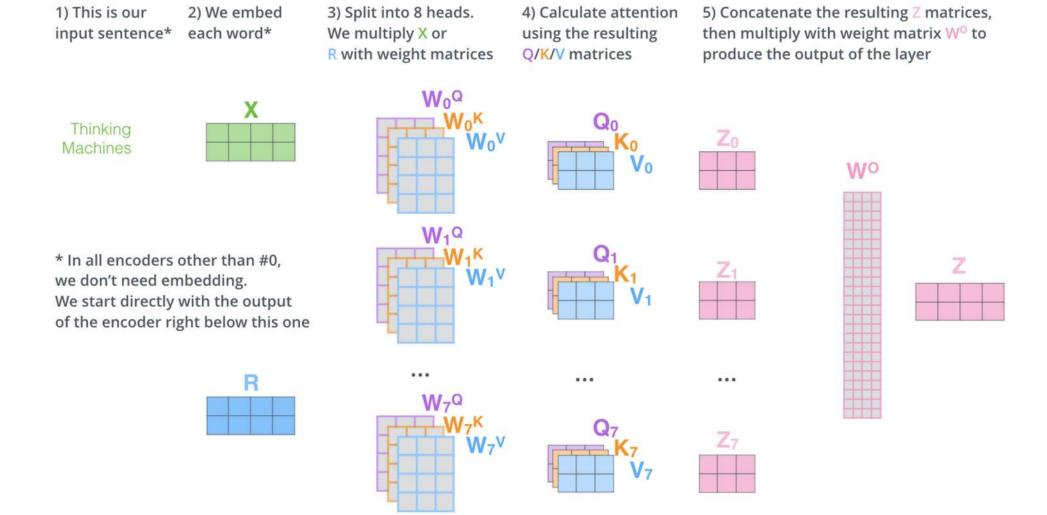


2) Multiply with a weight matrix W^o that was trained jointly with the model

X

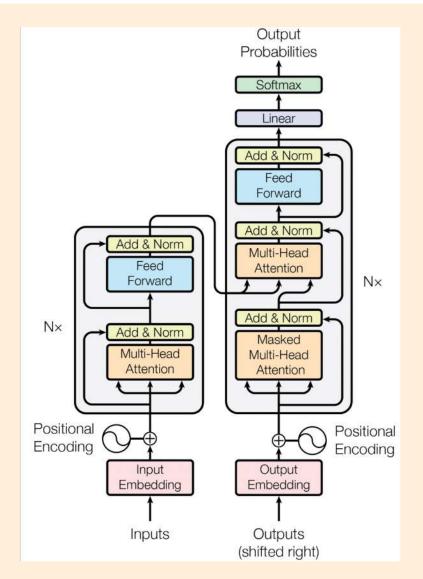






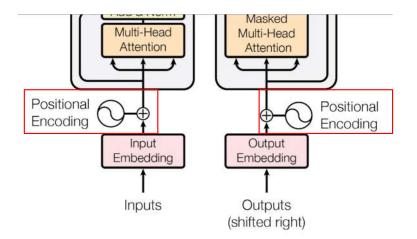
Positional Encodings

The black cat fights the white cat.



Positional Encodings

Without RNN, the model **cannot** make use of the *order* of the input sequence, e.g. the first, second, or third token.



$$PE_{(pos,2i)} = sin(pos/10000^{2i/d_{\text{model}}})$$

 $PE_{(pos,2i+1)} = cos(pos/10000^{2i/d_{\text{model}}})$

$$PE_{(pos,2i+1)} = cos(pos/10000^{2i/d_{\text{model}}})$$

pos is the token position, i is the dimension

Figure 1: The Transformer - model architecture.

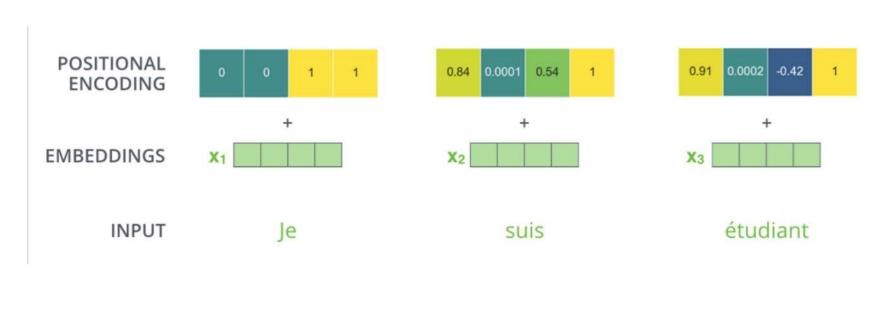
The black cat fights the white cat.

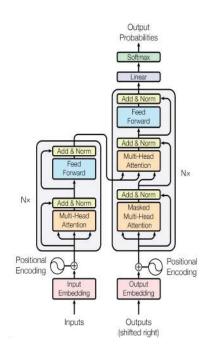
$$PE_{(pos,2i)} = sin(pos/10000^{2i/d_{model}})$$
 $PE_{(pos,2i+1)} = cos(pos/10000^{2i/d_{model}})$

30 Embedding Dimension

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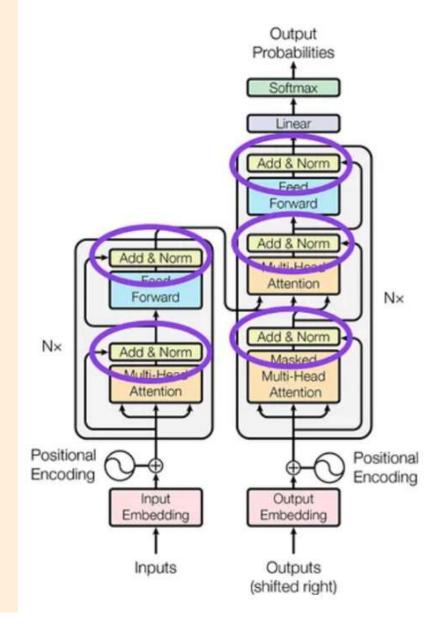


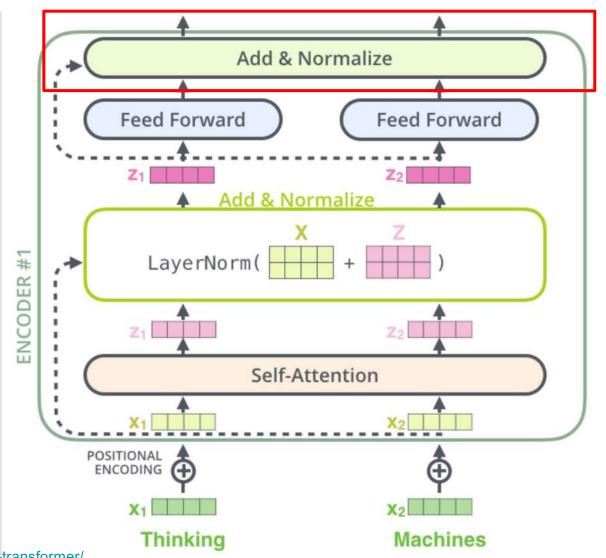
Types of positional encoding

- 1. Absolute Positional encoding
 - 1.1) Fixed encoding (Transformer)
 - E.g. sinusoidal forms
 - 1.2) Learned encoding (GPT)
- 2. Relative Positional encoding (GPT NeoX)

	Token 1	Token 2	Token 3	Token 4	Token 5	Token 6
Absolute	1	2	3	4	5	6
Relative	-2	-1	0	1	2	3

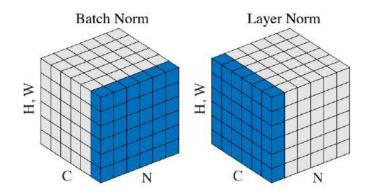
Layer Normalization





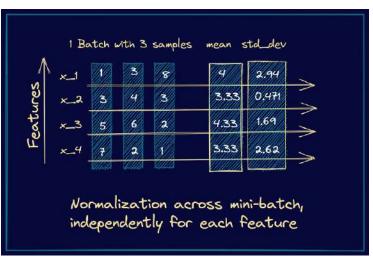
Batch norm. (each feature (channel)) vs Layer norm. (each word)

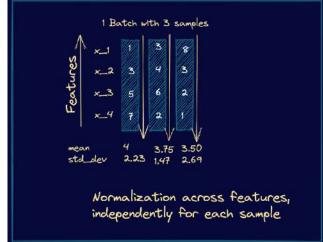
https://medium.com/@sachinsoni600517/layer-normalization-in-transformer-1a2efbff8b85

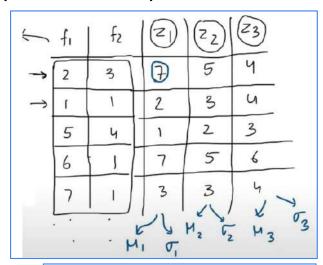


$$\text{Normalized Value} = \frac{\text{Original Value} - \mu}{\sigma}$$

 $\textbf{Final Value} = (\textbf{Normalized Value} \times \gamma) + \beta$







$$\frac{7 - u_1}{\sigma_1} = \frac{0.36}{\frac{y_1}{(1)}} + \frac{y_1}{\beta_1} = 0.36$$

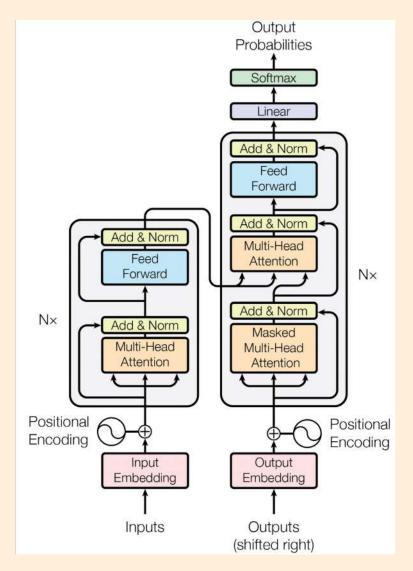
$$\frac{2 - u_1}{\sigma_1} = \frac{0.71}{y_1} + \frac{y_1}{\beta_1} = 0.71$$

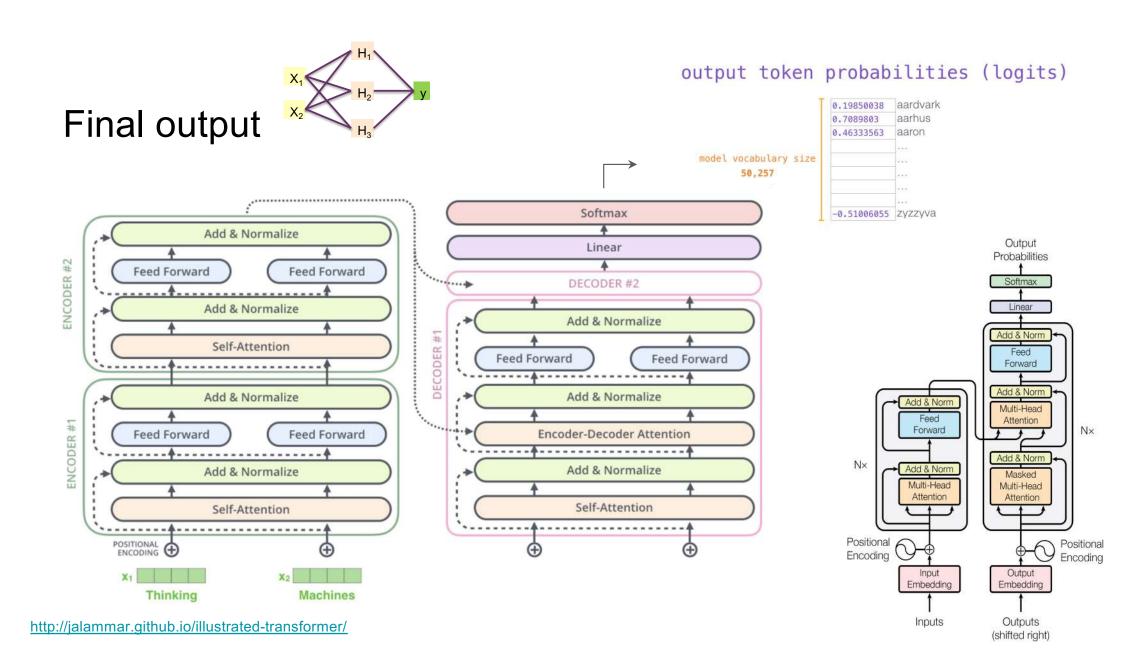
$$\frac{5-H2}{\sigma_2} = -0.21\gamma_2 + \beta_2 = -0.21$$

$$\frac{4-H3}{\sigma_3} = 0.12 \gamma_3 + \beta_3 = 0.12$$

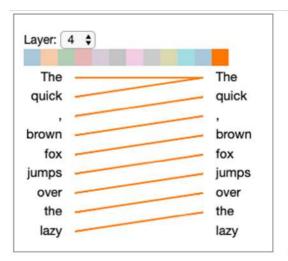
Done:

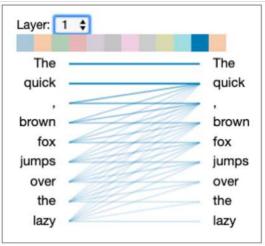
- Let's link encoder to decoder
- Then, generate final output

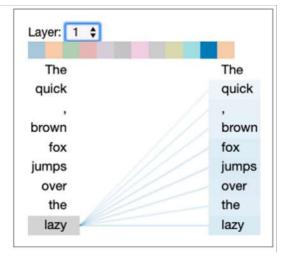


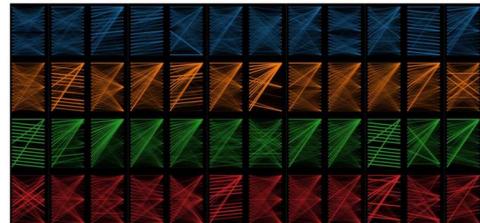


Visualizing Attention (N encoders & N decoders)

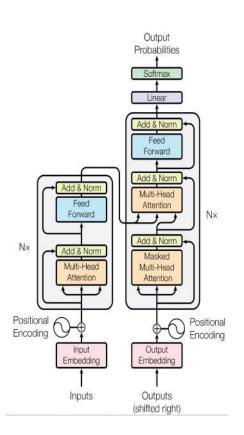








https://github.com/jessevig/bertviz



Transformer-Based Models

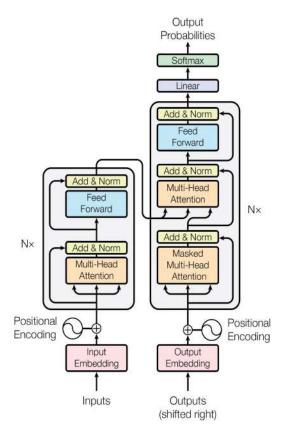
Transformer-Based Models

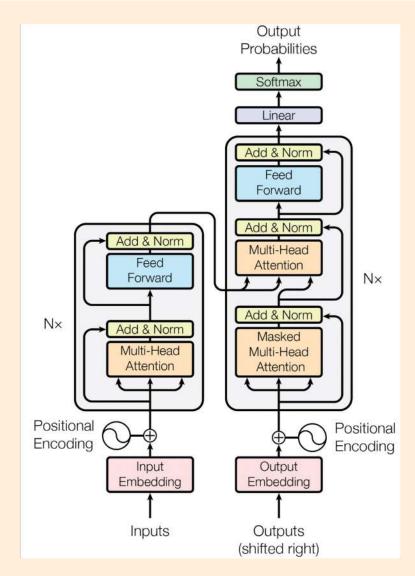
All Transformer based

Decoder-based model: GPT

Encoder-based model: BERT

Encoder and Decoder: BART







OpenAI GPT (Generative Pre-Training) [Radford, 2018]

Improving Language Understanding by Generative Pre-Training

Alec Radford OpenAI alec@openai.com Karthik Narasimhan OpenAI karthikn@openai.com Tim Salimans OpenAI tim@openai.com

Ilya Sutskever OpenAI ilyasu@openai.com

Abstract

Natural language understanding comprises a wide range of diverse tasks such as textual entailment, question answering, semantic similarity assessment, and document classification. Although large unlabeled text corpora are abundant, labeled data for learning these specific tasks is scarce, making it challenging for discriminatively trained models to perform adequately. We demonstrate that large gains on these tasks can be realized by generative pre-training of a language model on a diverse corpus of unlabeled text, followed by discriminative fine-tuning on each specific task. In contrast to previous approaches, we make use of task-aware input transformations during fine-tuning to achieve effective transfer while requiring minimal changes to the model architecture. We demonstrate the effectiveness of our approach on a wide range of benchmarks for natural language understanding. Our general task-agnostic model outperforms discriminatively trained models that use architectures specifically crafted for each task, significantly improving upon the state of the art in 9 out of the 12 tasks studied. For instance, we achieve absolute improvements of 8.9% on commonsense reasoning (Stories Cloze Test), 5.7% on question answering (RACE), and 1.5% on textual entailment (MultiNLI).

A model comprises of Transformer decoders only.

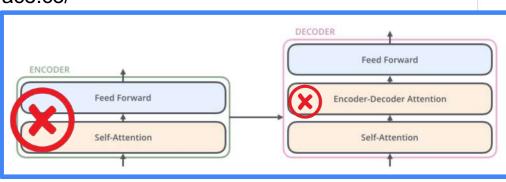
The framework consists of two stages:

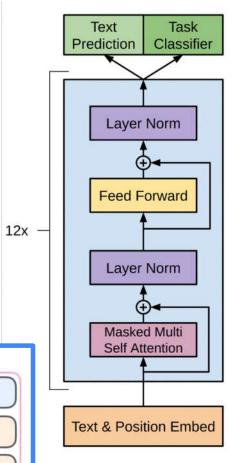
- 1. Unsupervised pre-training
- 2. Supervised finetuning

Excels at text generation tasks

Try it out:

https://transformer.huggingface.co/





1) Unsupervised pre-training

Given a large unlabeled corpus $\mathcal{U} = \{u_1, \dots, u_n\}$ the model's objective is to maximize the following likelihood (also called "Language Modelling").

$$L_1(\mathcal{U}) = \sum_{i} \log P(u_i|u_{i-k}, \dots, u_{i-1}; \Theta)$$

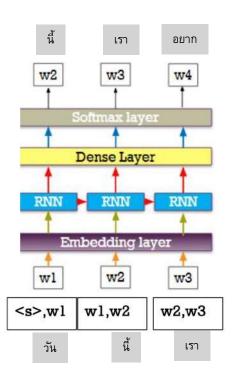
where k is the context length and the conditional probability P is modeled using a neural network with parameters Θ .

Language Model (LM)

- It is the model that aims to predict next word based on the given previous words.
- So, the model can understand grammar & context.

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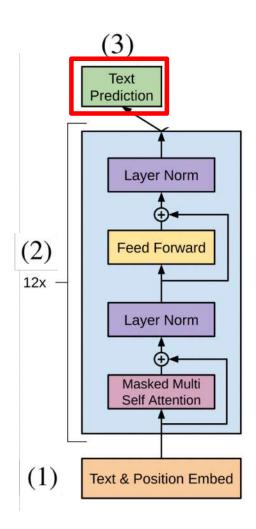
1) Unsupervised pre-training (next word prediction)

$$(1) h_0 = UW_e + W_p$$

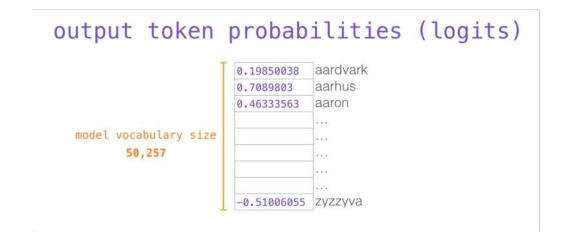
(2)
$$h_l = \texttt{transformer_block}(h_{l-1}) \forall i \in [1, n]$$

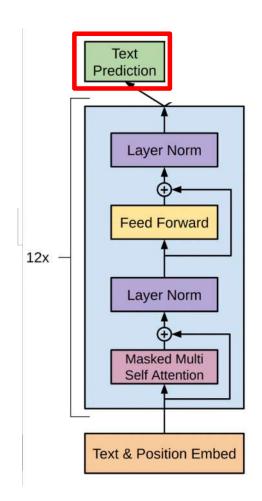
(3)
$$P(u) = \operatorname{softmax}(h_n W_e^T)$$

where $U = (u_{-k}, \dots, u_{-1})$ is the context vector of tokens, n is the number of layers, W_e is the token embedding matrix, and W_p is the position embedding matrix.



1) Unsupervised pre-training (next word prediction)





2) Supervised finetuning

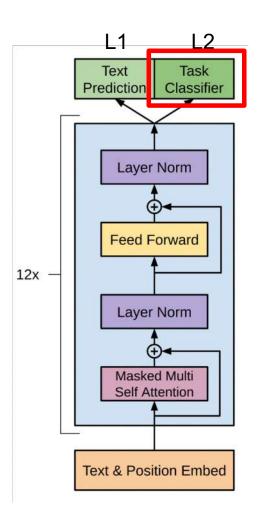
We assume a labeled dataset C, where each instance consists of a sequence of input tokens (x^1, \ldots, x^m) , along with a label y.

$$P(y|x^1,\ldots,x^m) = \operatorname{softmax}(h_l^m W_y).$$

$$L_2(\mathcal{C}) = \sum_{(x,y)} \log P(y|x^1, \dots, x^m).$$

Total finetuning loss

$$L_3(\mathcal{C}) = L_2(\mathcal{C}) + \lambda * L_1(\mathcal{C})$$
 classification LM (unsupervised)



2) Supervised finetuning

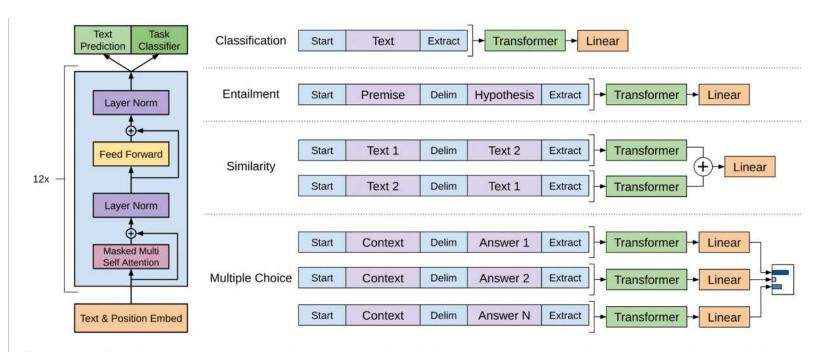


Figure 1: (**left**) Transformer architecture and training objectives used in this work. (**right**) Input transformations for fine-tuning on different tasks. We convert all structured inputs into token sequences to be processed by our pre-trained model, followed by a linear+softmax layer.



The first version

The second of GPT was version of GPT released was released

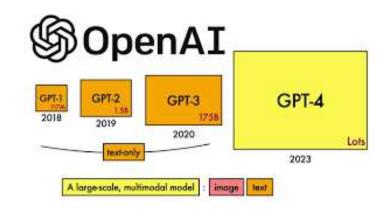
Initial GPT-3 preprint paper was published at arXiv. API became publicly available on Nov. 18th, 2021

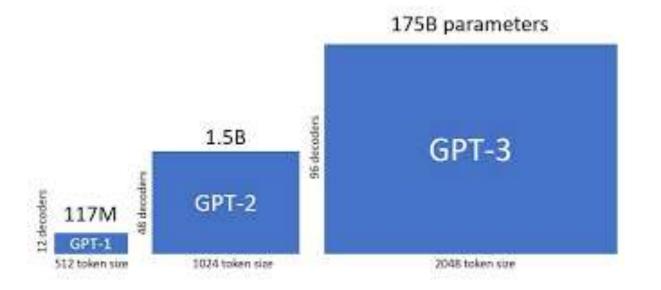
ChatGPT

ChatGPT was announced on OpenAI blog. ChatGPT API became available on Mar. 1st, 2023

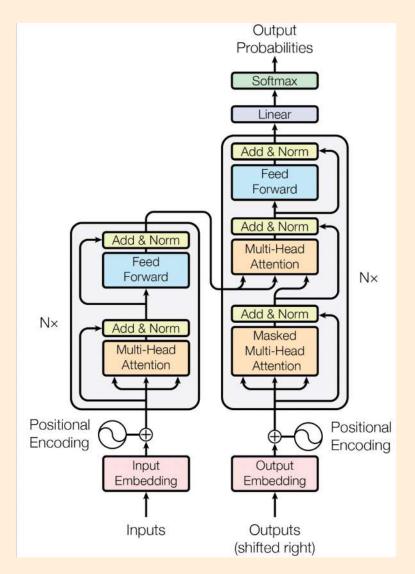
GPT-4

GPT-4 was released via ChatGPT. API will be publicly available soon.





2) Bidirectional Encoder Representation from Transformers (BERT)





BERT [Devlin, et al, 2018]:

Bidirectional Encoder Representation from Transformers

BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding

Jacob Devlin Ming-Wei Chang Kenton Lee Kristina Toutanova Google AI Language

{jacobdevlin, mingweichang, kentonl, kristout}@google.com

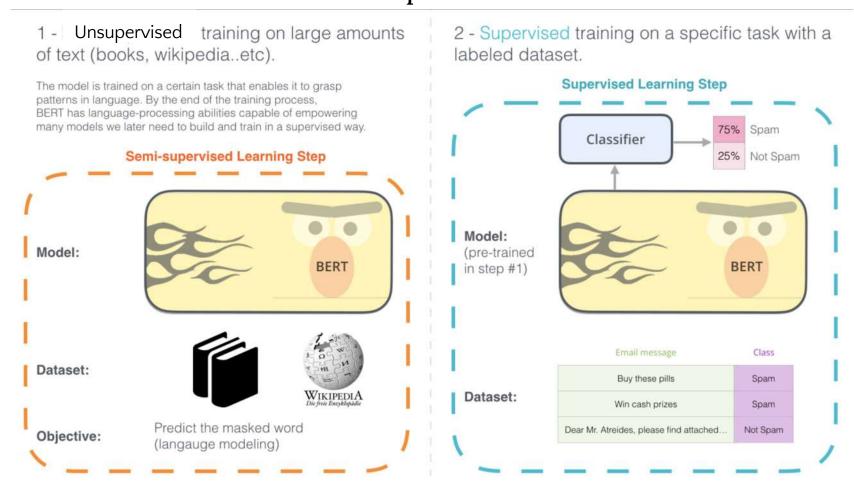
Abstract

We introduce a new language representation model called BERT, which stands for Bidirectional Encoder Representations from Transformers. Unlike recent language representation models (Peters et al., 2018a; Radford et al., 2018), BERT is designed to pretrain deep bidirectional representations from unlabeled text by jointly conditioning on both left and right context in all layers. As a result, the pre-trained BERT model can be fineThere are two existing strategies for applying pre-trained language representations to downstream tasks: feature-based and fine-tuning. The feature-based approach, such as ELMo (Peters et al., 2018a), uses task-specific architectures that include the pre-trained representations as additional features. The fine-tuning approach, such as the Generative Pre-trained Transformer (OpenAI GPT) (Radford et al., 2018), introduces minimal task-specific parameters, and is trained on the downstream tasks by simply fine-tuning all pre-

24 May 2019



BERT [Devlin, et al, 2018]: Bidirectional Encoder Representation from Transformers





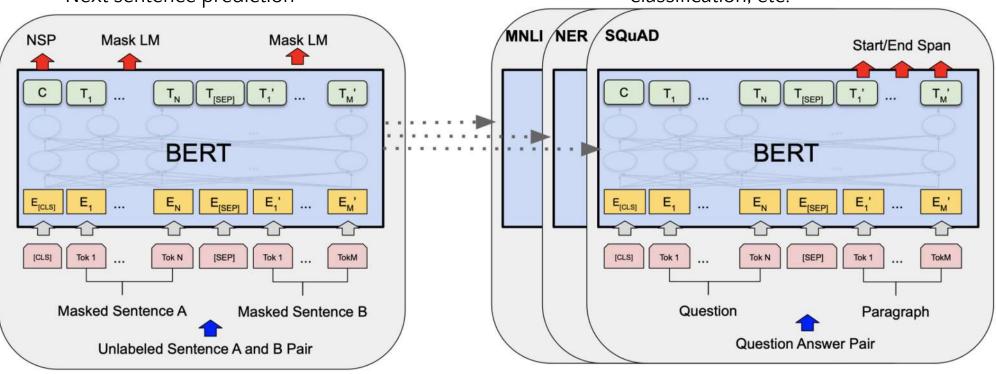
BERT (cont.): Overall idea

Phase1: Unsupervised Learning

- Mask LM
- Next sentence prediction

Phase2: Supervised learning

- **E.g.** Finetune on QA, Text classification, etc.



Pre-training

Fine-Tuning



Phase1: Unsupervised Phase

Semi-supervised training, using 2 prediction tasks:

1.1) Mask language modeling

- represents the word using both its left and right context, so called deeply bidirectional.
- Mask out 15% of the words in the input, run the entire sequence through a deep bidirection encoder, and then predict only the masked words.

```
Input: the man went to the [MASK1] . he bought a [MASK2] of milk.
Labels: [MASK1] = store; [MASK2] = gallon
```

1.2) Next sentence prediction (NSP)

to learn relationships between sentences.

```
Sentence A: the man went to the store .

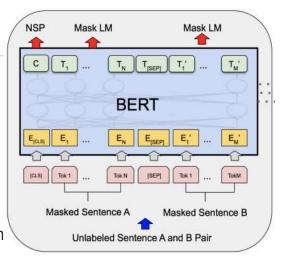
Sentence B: he bought a gallon of milk .

Label: IsNextSentence
```

```
Sentence A: the man went to the store .

Sentence B: penguins are flightless .

Label: NotNextSentence
```

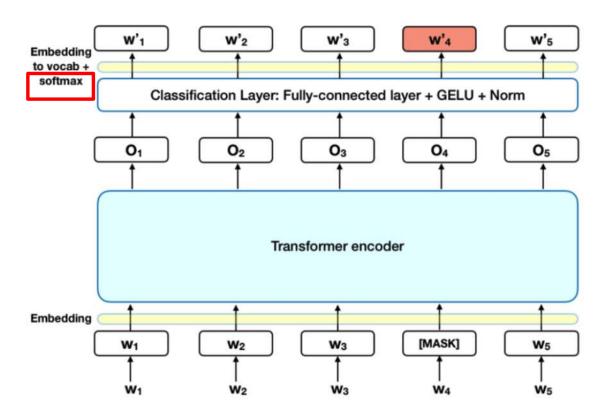


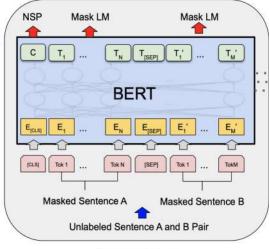
Pre-training



Phase1: Unsupervised Phase (1.1. Masked LM)

Mask language modeling:

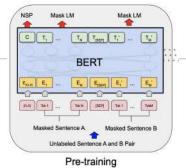


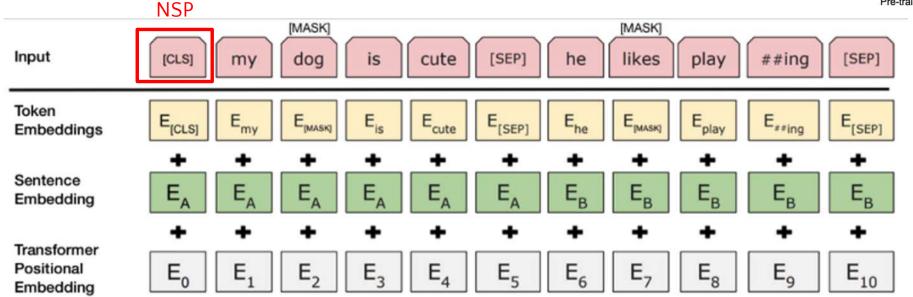


Pre-training



Phase1: Unsupervised Phase (1.2. Next Sentence Prediction)





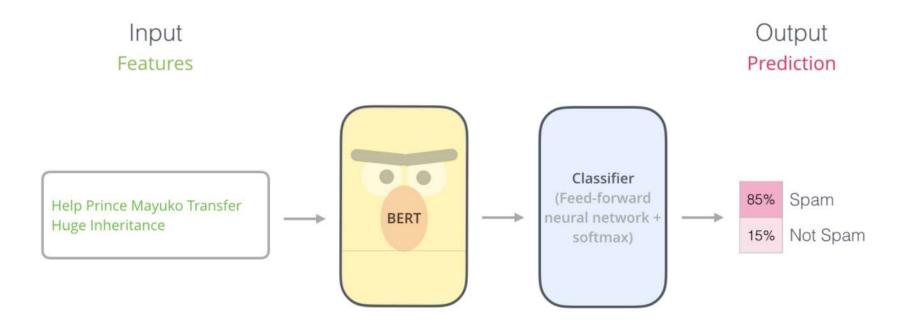
CLS = Classification, which is used for NSP (Next Sentence Prediction) during this phase SEP = a special separator token (e.g. separating questions/answers).



Phase2: Supervised Phase

Supervised training (e.g. Email sentence classification)

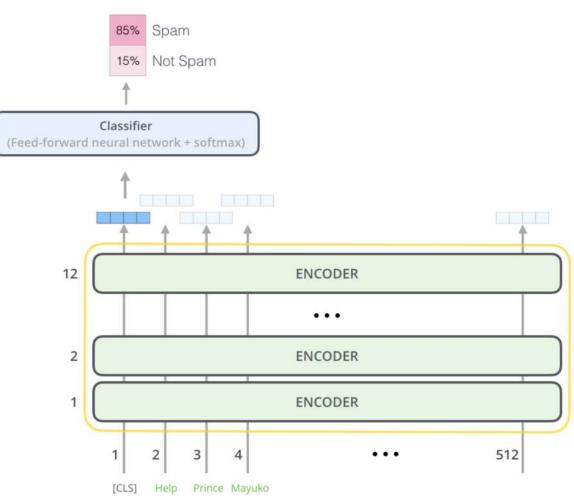
• you mainly have to train the classifier, with minimal changes happening to the pre-trained model during the training phase (fine-tuning approach).





Phase2: Supervised Phase E.g., Spam Email Classification

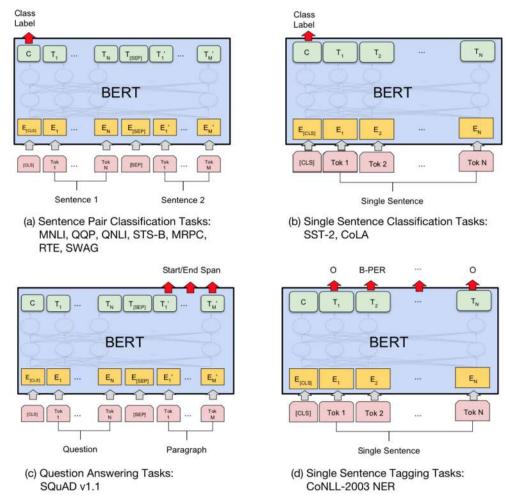
- BERT is basically a trained Transformer Encoder ¹ stack.
- The first input token is supplied with a special (classification embedding) [CLS] token for classification task to represent the entire sentence.
- The output is generated from only this special [CLS] token.



 $^{^1}$ Vaswani et al., **Attention Is All You Need**, NIPS 2017, https://arxiv.org/pdf/1706.03762.pd

SP -

Phase2: Supervised Phase E.g., other tasks



Pretrained BERT (English)

https://github.com/google-research/bert

BERT

***** New March 11th, 2020: Smaller BERT Models *****

This is a release of 24 smaller BERT models (English only, uncased, trained with WordPiece masking) referenced in <u>Well-Read</u> Students Learn Better: On the Importance of Pre-training Compact Models.

We have shown that the standard BERT recipe (including model architecture and training objective) is effective on a wide range of model sizes, beyond BERT-Base and BERT-Large. The smaller BERT models are intended for environments with restricted computational resources. They can be fine-tuned in the same manner as the original BERT models. However, they are most effective in the context of knowledge distillation, where the fine-tuning labels are produced by a larger and more accurate teacher.

Our goal is to enable research in institutions with fewer computational resources and encourage the community to seek directions of innovation alternative to increasing model capacity.

You can download all 24 from here, or individually from the table below:

	H=128	H=256	H=512	H=768	
L=2	2/128 (BERT-Tiny)	2/256	2/512	2/768	
L=4	4/128	4/256 (BERT-Mini)	4/512 (BERT-Small)	4/768	
L=6	6/128	6/256	6/512	6/768	
L=8	8/128	8/256	8/512 (BERT-Medium)	8/768	
L=10	10/128	10/256	10/512	10/768	
L=12	12/128	12/256	12/512	12/768 (BERT-Base)	

Pretrained BERT (Multilingual)

https://github.com/google-research/bert/blob/master/multilingual.md

[™] Models

There are two multilingual models currently available. We do not plan to release more single-language models, but we may release BERT-Large versions of these two in the future:

- BERT-Base, Multilingual Cased (New, recommended): 104 anguages, 12-layer, 768-hidden, 12-heads, 110M parameters
- BERT-Base, Multilingual Uncased (Orig, not recommended): 102 languages, 12-layer, 768-hidden, 12-heads, 110M
 parameters
- BERT-Base, Chinese: Chinese Simplified and Traditional, 12-layer, 768-hidden, 12-heads, 110M parameters

BART [2019]

BART: Denoising Sequence-to-Sequence Pre-training for Natural Language Generation, Translation, and Comprehension

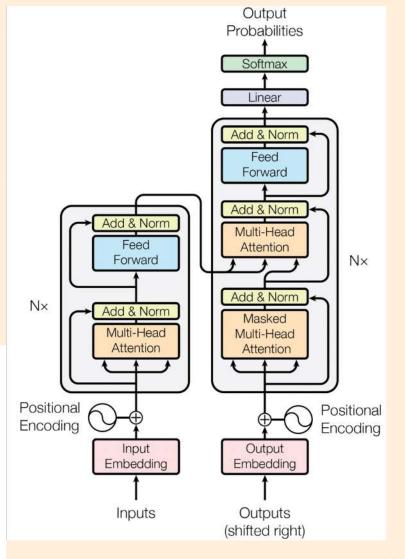
Mike Lewis, Yinhan Liu, Naman Goyal, Marjan Ghazvininejad, Abdelrahman Mohamed, Omer Levy, Ves Stoyanov, Luke Zettlemoyer

We present BART, a denoising autoencoder for pretraining sequence-to-sequence models. BART is trained by (1) corrupting text with an arbitrary noising function, and (2) learning a model to reconstruct the original text. It uses a standard Tranformer-based neural machine translation architecture which, despite its simplicity, can be seen as generalizing BERT (due to the bidirectional encoder), GPT (with the left-to-right decoder), and many other more recent pretraining schemes. We evaluate a number of noising approaches, finding the best performance by both randomly shuffling the order of the original sentences and using a novel in-filling scheme, where spans of text are replaced with a single mask token. BART is particularly effective when fine tuned for text generation but also works well for comprehension tasks. It matches the performance of RoBERTa with comparable training resources on GLUE and SQuAD, achieves new state-of-the-art results on a range of abstractive dialogue, question answering, and summarization tasks, with gains of up to 6 ROUGE. BART also provides a 1.1 BLEU increase over a back-translation system for machine translation, with only target language pretraining. We also report ablation experiments that replicate other pretraining schemes within the BART framework, to better measure which factors most influence end-task performance.

Subjects: Computation and Language (cs.CL); Machine Learning (cs.LC); Machine Learning (stat.ML)

Cite as: arXiv:1910.13461 [cs.CL]

(or arXiv:1910.13461v1 [cs.CL] for this version) https://doi.org/10.48550/arXiv.1910.13461

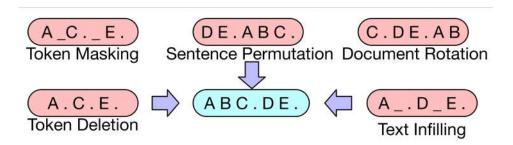


BART

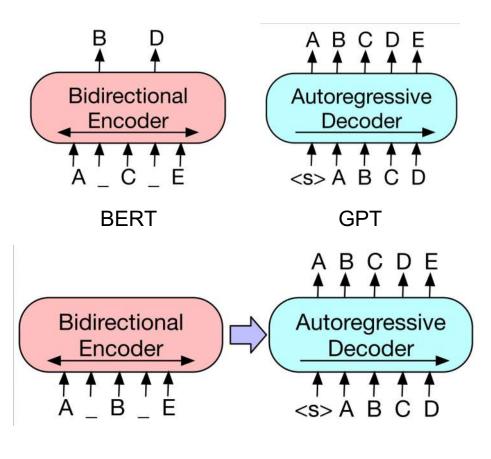
A model comprises of both Transformer encoders and decoders

BART optimizes on denoising corrupted inputs

By using both encoders and decoders, BART combines the bidirectionality of BERT and autoregressive ability of GPT.



Input noising scheme

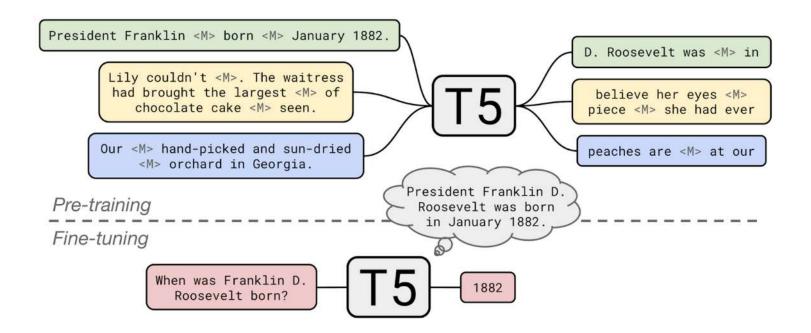


BART

Text-to-text Transfer Transformer (T5)

T5 is also an encoder-decoder model

It pretrains on the span-corruption objective.



When to use which?

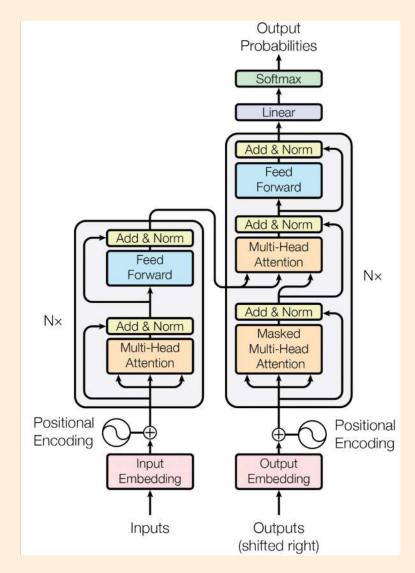
Text Generation: GPT models

Sequence-to-sequence (e.g. text summarization, translation): BART, T5, or similar

Text classification/ Token classification: BERT (easier to use) or BART

Model	data	bsz	steps	SQuAD (v1.1/2.0)	MNLI-m	SST-2
RoBERTa						
with BOOKS + WIKI	16GB	8K	100K	93.6/87.3	89.0	95.3
+ additional data (§3.2)	160GB	8K	100K	94.0/87.7	89.3	95.6
+ pretrain longer	160GB	8K	300K	94.4/88.7	90.0	96.1
+ pretrain even longer	160GB	8K	500K	94.6/89.4	90.2	96.4

Beyond NLP



Beyond NLP

1) Computer Vision

VTAB (19 tasks)

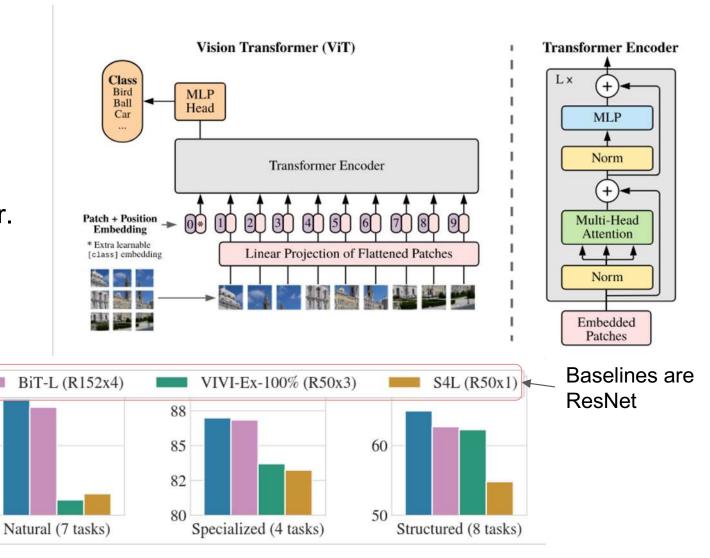
80

65

ViT uses only encoder.

ViT-H/14

80

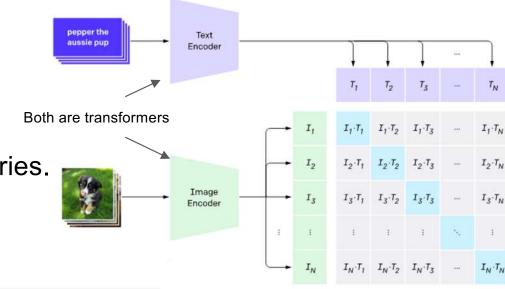


1. Contrastive pre-training

Beyond NLP

2) Text-Image (Multimodal)

CLIP maps images into categories.



guacamole (90.1%) Ranked 1 out of 101 labels vaphoto of guacamole, a type of food. xaphoto of edamame, a type of food. aphoto of tuna tartare, a type of food. aphoto of hummus, a type of food.

DALL.E and CLIP: How Open Al's New Models are
Defining the Future of Al Yet Again

By [x]cube LABS Published: Jan 07 2021

OpenAl OpenA

https://openai.com/blog/clip/

TEXT PROMPT

an illustration of a baby daikon radish in a tutu walking a dog

Beyond NLP

2) Text-to-Image

DALL-E creates new images.

AI-GENERATED IMAGES



Edit prompt or view more images+

TEXT PROMPT

an armchair in the shape of an avocado....

AI-GENERATED IMAGES



Edit prompt or view more images+

TEXT PROMPT

a store front that has the word 'openai' written on it....

AI-GENERATED IMAGES



Edit prompt or view more images+