

Notes on Physics from Symmetry

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This document contains my personal notes on Jakob Schwichtenberg's Physics from Symmetry ([Schwichtenberg, 2015](#)), with a sprinkling of notes from my undergraduate physics course in quantum field theory (and, to a lesser extent, general relativity).

1. Special relativity

1.1. Definitions and postulates

In special relativity, **inertial frames of reference** are coordinate systems moving with constant velocity relative to each other. Special relativity has two basic postulates:

1. **The principal of relativity:** The laws of physics are the same in all inertial frames of reference.
2. **The invariance of the speed of light:** The velocity of light has the same value c in all inertial frames of reference.

Theorem 1.1 (Invariant of special relativity). *Consider two events A and B in an inertial observer O 's frame of reference. Let the time interval measured by O between the two events be (Δt) , and the three spatial intervals be (Δx) , (Δy) , (Δz) . Then, the quantity*

$$(\Delta s)^2 := (\Delta ct)^2 - (\Delta x)^2 - (\Delta y)^2 - (\Delta z)^2 \quad (1.1)$$

is invariant between all frames of reference. I.e.

$$(\Delta s')^2 = (\Delta s)^2 \quad (1.2)$$

for any inertial frame of reference O' .

Theorem 1.1 follows directly from the invariance of the speed of light (consider a pair of mirrors, for two observers with relative velocity).

Definition 1.1 (Proper time). *Proper time, τ , is the time measured by an observer in the special frame of reference where the object in question is at rest. In this frame of reference,*

$$(\Delta s)^2 = (c\Delta\tau)^2. \quad (1.3)$$

In the infinitesimal limit

$$(ds)^2 = (cd\tau)^2. \quad (1.4)$$

Physically, Defn. 1.1 means that all observers agree on the time interval between events for an observer who travels with the object in question. However, different observers **do not** in general agree on the time interval between events generally: $(\Delta t) \neq (\Delta t')$ – this is called **time dilation**.

1.2. c is an upper speed limit

All observers agree on the value of $(ds)^2 = (cd\tau)^2$. Furthermore, we commonly assume that there exists a minimal proper time of $\tau = 0$ for two events if $\Delta s^2 = 0$. We can therefore write that when $\tau = 0$

$$c^2 = \frac{(dx)^2 + (dy)^2 + (dz)^2}{(dt)^2} \quad (1.5)$$

between two events with an infinitesimal distance. We can equate the right-hand side with a squared velocity, and hence

$$\tau = 0 \implies c^2 = v^2 \quad (1.6)$$

so

$$(ds)^2 \geq 0 \implies c^2 \geq v^2 \quad (1.7)$$

for **any** pair of events (which are causally connected, although how this follows is not immediately clear to me right now).

1.3. Tensor notation and Minkowski spacetime

Definition 1.2 (Four-vector (contravariant)). A position four-vector is defined as

$$x^\mu = \begin{pmatrix} ct \\ x \\ y \\ z \end{pmatrix} \equiv \begin{pmatrix} x^0 \\ x^1 \\ x^2 \\ x^3 \end{pmatrix}. \quad (1.8)$$

Definition 1.3 (Minkowski metric). The Minkowski metric is defined as

$$\eta_{\mu\nu} = \text{diag}(1, -1, -1, -1). \quad (1.9)$$

η is used to compute distances and lengths in Minkowski space.

We define $\eta^{\mu\nu}$ through the relation

$$\eta^{\mu\nu}\eta_{\nu\sigma} = \delta^\mu_\sigma \quad (1.10)$$

where we have applied the **Einstein summation convention**, where a repeated Greek index implies a summation from 0 to 3 (where the zeroth index is time), and a repeated Roman index is summed from 1 to 3. Hence, for a matrix multiplication between two 3×3 matrices A and B , $(AB)_{ij} = A_{ik}B_{kj}$, and $(A^T)_{ij} = A_{ji}$.

Definition 1.4 (One-form (covariant vector)). We define a one-form as

$$x_\mu = \eta_{\mu\nu}x^\nu. \quad (1.11)$$

Thus,

$$ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu. \quad (1.12)$$

Definition 1.5 (Scalar product). A scalar product between four-vectors x and y is defined as

$$x \cdot y := x^\mu y^\nu \eta_{\mu\nu} = x_\mu y_\nu \eta^{\mu\nu} = x^\mu y_\mu = x_\nu y^\nu \quad (1.13)$$

due to the symmetry of the metric: $\eta_{\mu\nu} = \eta_{\nu\mu}$.

Ordering (spacing) of indicies In order to be able to freely raise/lower indicies (without repeatedly writing the metric tensor), we can impose an ordering upon indicies of tensor fields – which we can represent typographically with spacing between tensor indicies. A metric g_{ij} (or g^{ij}) has the effect of lowering (or raising) a repeated index. For example,

$$g_{iq} T^{abcd}{}_{efgh}{}^{ijkl}{}_{mnop} = T^{abcd}{}_{efghq}{}^{ijkl}{}_{mnop}. \quad (1.14)$$

(Proof of this, I imagine, requires background in differential geometry?)

1.4. Lorentz transformations

From the invariant of SR (Theorem 1.1), we have

$$ds'^2 = dx'_\mu dx'_\nu \eta^{\mu\nu} = dx_\mu dx_\nu \eta^{\mu\nu} \quad (1.15)$$

for all reference frames. We denote Λ as a $(1,1)$ tensor field, which transforms a four-vector from one reference frame to another:

$$dx'^\mu = \Lambda^\mu_\nu dx^\nu \quad (1.16)$$

which leaves the ds^2 invariant, i.e. $ds'^2 = ds^2$. It follows that

$$\begin{aligned} \eta_{\mu\nu} &= \Lambda^\sigma_\mu \Lambda^\delta_\nu \eta_{\sigma\delta} \\ \eta &= \Lambda^T \eta \Lambda. \end{aligned} \quad (1.17)$$

The physical meaning of Eq.(1.17) is that Lorentz transformations leave the scalar product of Minkowski spacetime invariant: i.e. changes between frames of reference that respect the two postulates of special relativity (Section 1.1). Conservation of the scalar product is analogous to rigid rotation (O) in Euclidean space ($a \cdot b = a' \cdot b' = a^T O^T O b \implies O^T O = I$), which preserves orientation ($\det(O) = 1$).

Note that $\Lambda^\mu_\nu \neq \Lambda_\nu^\mu$. Beginning with Eq.(1.17),

$$\Lambda^\mu_\rho \Lambda^\nu_\sigma \eta_{\mu\nu} = \eta_{\rho\sigma}$$

we can raise one index, and lower one index, of Λ^ν_σ

$$\begin{aligned} \Lambda^\mu_\rho \eta_{\mu\nu} \Lambda^\nu_\sigma \eta^{\sigma\lambda} &= \eta_{\rho\sigma} \eta_{\nu\mu} \eta^{\sigma\lambda} \\ \Lambda^\mu_\rho \Lambda_\mu^\lambda \eta_{\nu\sigma} &= \eta_{\nu\sigma} \delta_\rho^\lambda \\ \Lambda^\mu_\rho \Lambda_\mu^\lambda &= \delta_\rho^\lambda \end{aligned} \quad (1.18)$$

so we see that Λ_ν^μ is the inverse of Λ^μ_ν .

2. Lie group theory

2.1. Invariance, symmetry, and covariance

We call a quantity **invariant** if it does not change under particular transformations. E.g. if we transform $A, B, C, \dots \rightarrow A', B', C', \dots$ and we have

$$F(A', B', C', \dots) = F(A, B, C, \dots) \quad (2.1)$$

then we say F is invariant under this transformation. **Symmetry** is defined as invariance under a transformation (or class of transformations). An equation is covariant if it takes the same form when objects in it are transformed. *All physical laws must be covariant under Lorentz transformations.*

Group theory describes the properties of particular sets of transformations: the invariances under such groups allows us to mathematically describe symmetry. For example, the set of rotations about the origin of a square by $n\pi/2$ form a **discrete group**, and leave the set of points which constitute the square invariant under the transformation. The set of rotations about the origin of a circle form a **continuous group**. We can use group theory to work with *all* kinds of symmetries: symmetries which operate on vectors, equations, ...

2.2. Groups

Definition 2.1 (Group axioms). A group (G, \circ) is a set G , together with a binary operation \circ defined on G , that satisfies the following axioms

- *Closure:* For all $g_1, g_2 \in G$, $g_1 \circ g_2 \in G$
- *Identity element:* There exists an identity element $e \in G$ such that for all $g \in G$, $g \circ e = g = e \circ g$
- *Inverse element:* For each $g \in G$, there exists an inverse element $g^{-1} \in G$ such that $g \circ g^{-1} = e = g^{-1} \circ g$.
- *Associativity:* For all $g_1, g_2, g_3 \in G$, $g_1 \circ (g_2 \circ g_3) = (g_1 \circ g_2) \circ g_3$

The set of all transformations that leave a given object invariant is called a **symmetry group**. For Minkowski spacetime, the object that is left invariant is the Minkowski metric, and the corresponding symmetry group is called the **Poincaré group**. Notice that the transformations which constitute a group are defined entirely independently from the object on which the transformations act.

2.2.1. Rotations in two dimensions and $SO(2)$

Consider the 2D rotation matrix

$$R_\theta = \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix} \quad (2.2)$$

and the two reflection matrices

$$P_x = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \quad P_y = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (2.3)$$

These matrices satisfy the group axioms. We can uncover this group from a symmetry perspective. The above transformations leave the length of a vector unchanged, i.e.

$$a \cdot a = a' \cdot a'. \quad (2.4)$$

Letting the transformation be represented by $a' = Oa$, it follows that all members of the group must satisfy

$$O^T O = I. \quad (2.5)$$

This condition defines the group $O(2)$, which is the group of all **orthogonal** 2×2 matrices. It follows that $\det(O) = \pm 1$ – i.e. the transformations are area-preserving. The subgroup with $\det(O) = 1$ is called $SO(2)$, which corresponds to rigid rotations preserving the orientation of the system – “S” denoting **special**.

2.2.2. Rotations with unit complex numbers and $U(1)$

A unit complex number is a complex number z which satisfies $|z|^2 = z^* z = 1$. The group $U(1)$ is the set of unit complex numbers, together with ordinary complex number multiplication. The U stands for ‘**unitary**’, which generally stands for the condition

$$U^\dagger U = 1, \quad (2.6)$$

where $U^\dagger = (U^T)^*$ is the **Hermitian conjugate** of U . For scalars, the Hermitian conjugate is equivalent to the complex conjugate. Note that a unit complex number can also be denoted as

$$R_\theta = e^{i\theta} = \cos(\theta) + i \sin(\theta) \quad (2.7)$$

which makes the interpretation of $U(1)$ as rotations on the unit complex numbers evident.

We can connect this description of rotations ($U(1)$) to the previous ($SO(2)$) by defining

$$1 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad i = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}. \quad (2.8)$$

For an arbitrary unit complex number $z = a + ib$, let

$$f(z) = a \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + b \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} a & -b \\ b & a \end{pmatrix}. \quad (2.9)$$

Since $z = R_\theta = \cos(\theta) + i \sin(\theta)$, we can plug in the real and imaginary components of z into Eq.(2.9) to arrive at Eq.(2.2). We then have $z' = R_\theta z$, to perform rotations. There therefore exists an **isomorphism** between $SO(2)$ and $U(1)$:

Definition 2.2 (Group isomorphism). *Given two groups $(G, *)$, (H, \odot) , a group isomorphism is a bijective function $f : G \rightarrow H$ such that*

$$f(u * v) = f(u) \odot f(v) \quad \forall u, v \in G. \quad (2.10)$$

which is written as

$$(G, *) \cong (H, \odot). \quad (2.11)$$

$f(z)$ in Eq.(2.9) is therefore a group isomorphism between $U(1)$ and $SO(2)$. This realization has an analogue in three dimensions, which will reveal something fundamental about nature.

2.2.3. Rotations in three dimensions

Rotations in three dimensions can be described by the following “basis rotations”

$$\begin{aligned} R_x &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) \\ 0 & \sin(\theta) & \cos(\theta) \end{pmatrix} & R_y &= \begin{pmatrix} \cos(\theta) & 0 & \sin(\theta) \\ 0 & 1 & 0 \\ -\sin(\theta) & 0 & \cos(\theta) \end{pmatrix} \\ R_z &= \begin{pmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{pmatrix}. \end{aligned} \quad (2.12)$$

So, to rotate a vector v around the z -axis by θ , we would compute $R_z(\theta)v$.

2.2.4. Quaternions

To get a second description of rotations in three dimensions, we must generalize complex numbers in higher dimensions. Astonishingly, it turns out that there are no 3-dimensional complex numbers. Instead, we can find 4-dimensional complex numbers called quaternions, which will turn out to be able to describe rotations in 3-dimensions. The fact that quaternions are 4-dimensional will reveal something deep about the universe. We could have anticipated this result, because we will be using unit quaternions, which have 3 degrees of freedom.

References

Schwichtenberg, J., 2015 *Physics from symmetry*. Springer.