

# Module - II

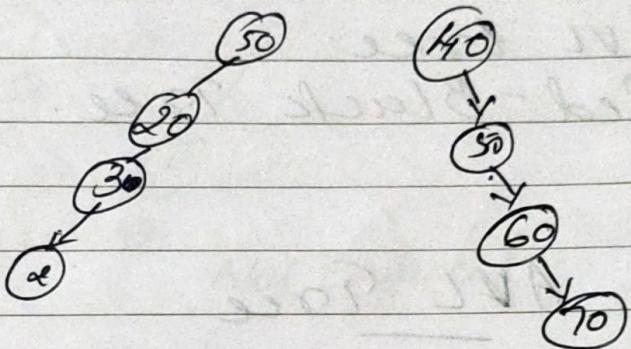
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## AVL Tree [Balanced Binary Search Tree]

To overcome the problems like to maintain the properties of the BST sometimes the tree becomes skewed. So the skewed tree will be look like this



- \* This is actually a tree, but this is looking like linked list. For this kind of tree, the searching time will be  $O(n)$ . That is not effective for binary trees.
- \* So for overcoming these problems, we can create a tree which is height balanced. So the tree will not be skewed. For example, we will make them balanced. So each

Side of a node will hold a subtree whose height will be almost same.

- \* There are different techniques for balancing. Some of them are -

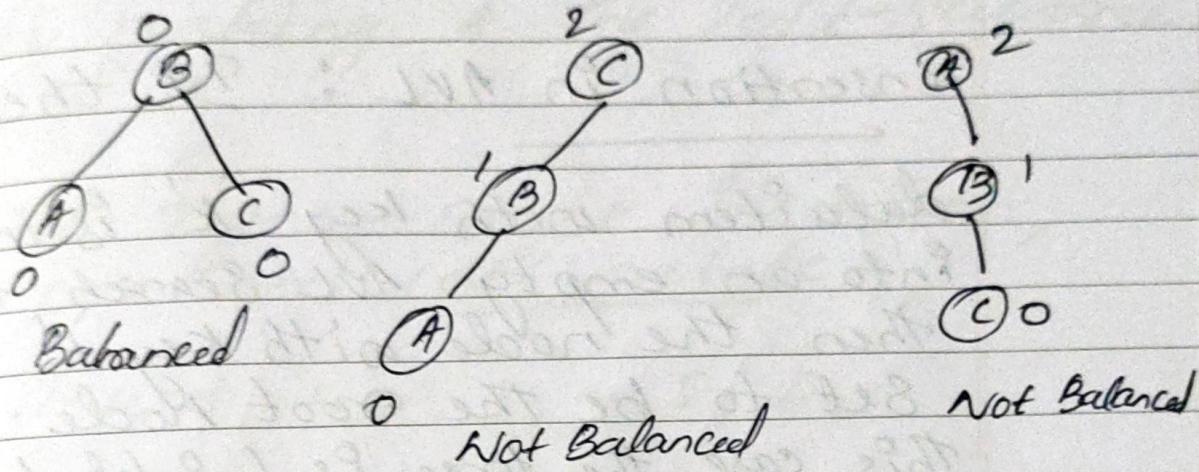
1. AVL tree
2. Red-Black tree.

## AVL Tree

AVL is height balanced BST.  
Balance factor = height of left subtree - height of right subtree

- x Named after their Inventor Adelson, Velski & Landis, AVL trees are height balanced BST.

AVL trees checks the height of the left and right subtrees and assures that the difference is not more than 1. This difference is called the Balance factor.



If the difference in the height of left and right subtrees is more than 1, the tree is balanced using some rotation techniques.

### AVL Rotations

1. Left rotation } Single rotation
2. Right rotation } Double rotation
3. Left - Right rotation }
4. Right - Left rotation }

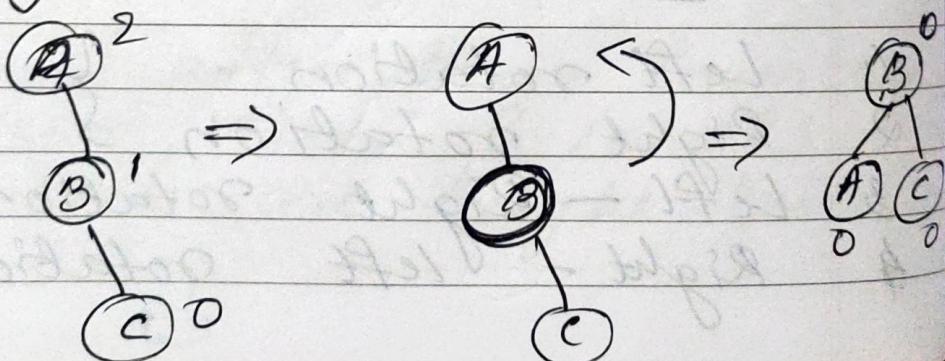
## 1. Left Rotation

Before going to left rotation in AVL, we can do insertion in AVL.

Insertion in AVL : If the

data item with key 'k' is inserted into an empty AVL Search tree, then the node with key 'k' is set to be the root node. In this case the tree is height balanced.

Left Rotation :- If a tree becomes unbalanced, when a node is inserted into the right subtree of the right subtree, then we perform a single left rotation.

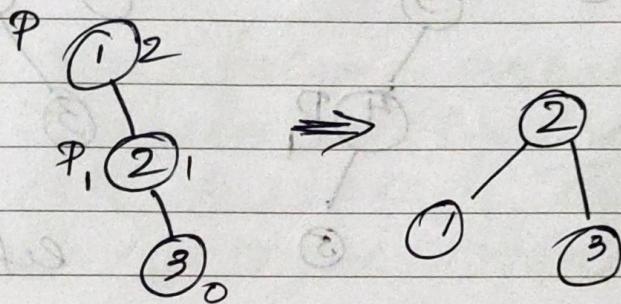


In our example, node A has become unbalanced as a node B inserted in the right subtree of A's right subtree.

We perform the left rotation by making A the left subtree of B.

\* If right-right insertion, perform left rotate.

e.g.: Insert 1, 2, 3, 4, 5, 6



i.e.,  $P_1 = P$ 's right child

2 make left rotate at  $P_1$

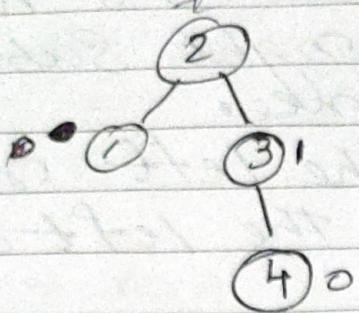
3  $P_1 \rightarrow \text{left} = P$  // if NULL

4 otherwise - if not null

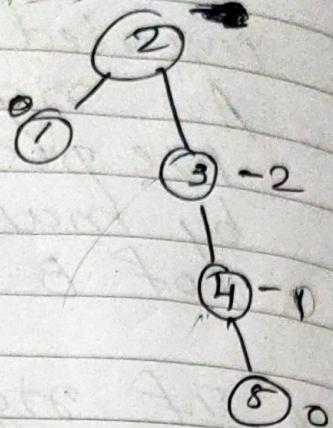
$P_1 \rightarrow \text{left child} = P \rightarrow \text{right child}$   
then append

$P_1 \rightarrow \text{left} = P$

ii Insert 4

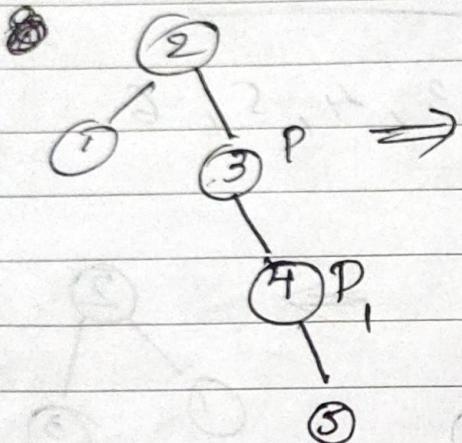


iii Insert 5



balanced.

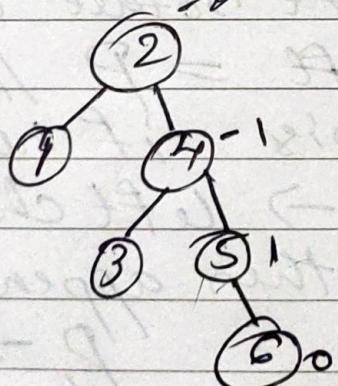
iv

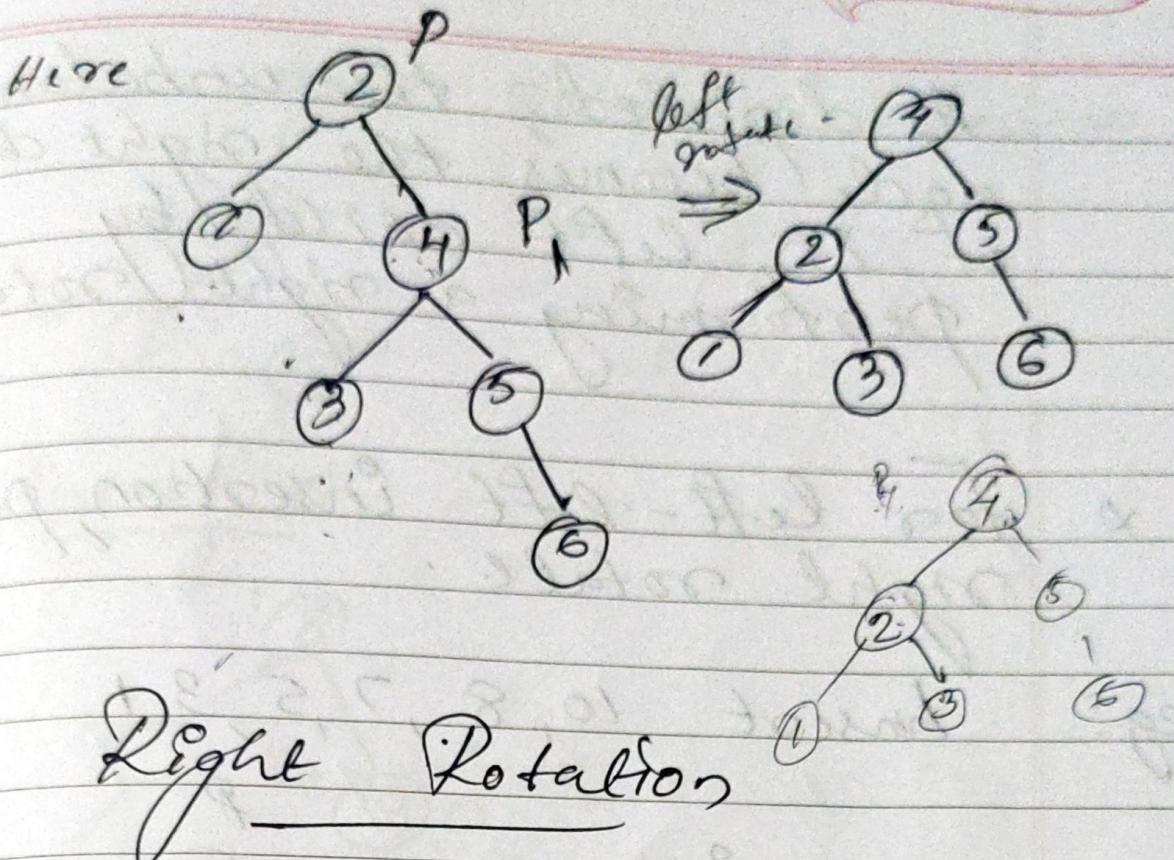


left rotate.

✓

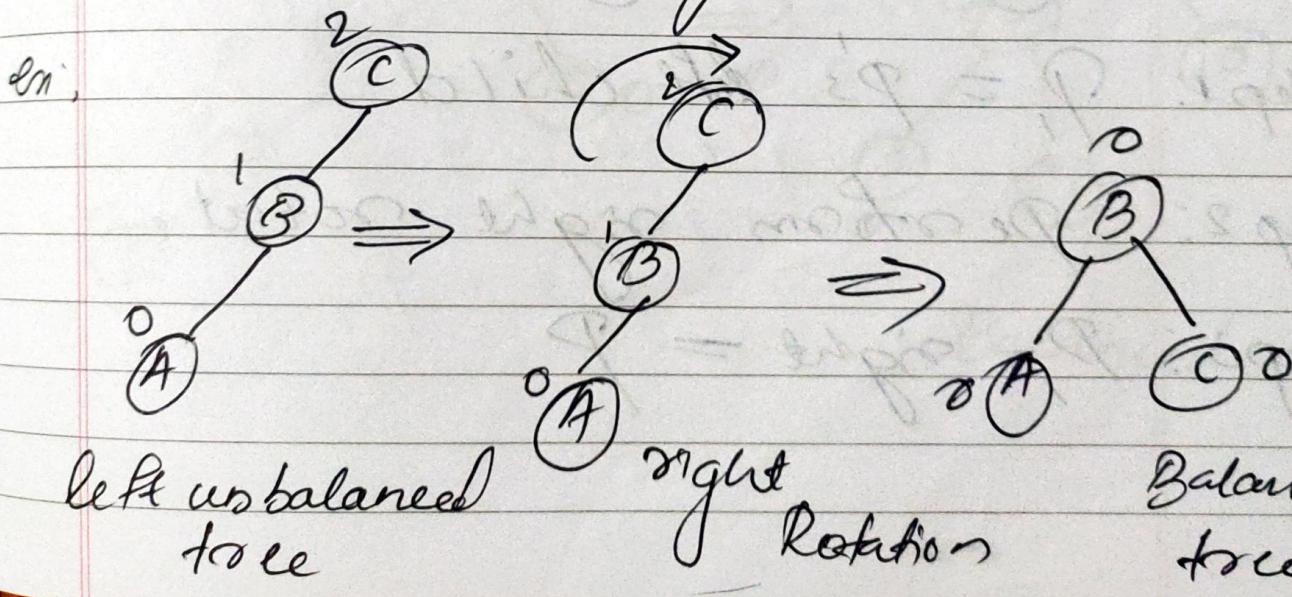
Insert 6





## Right Rotation

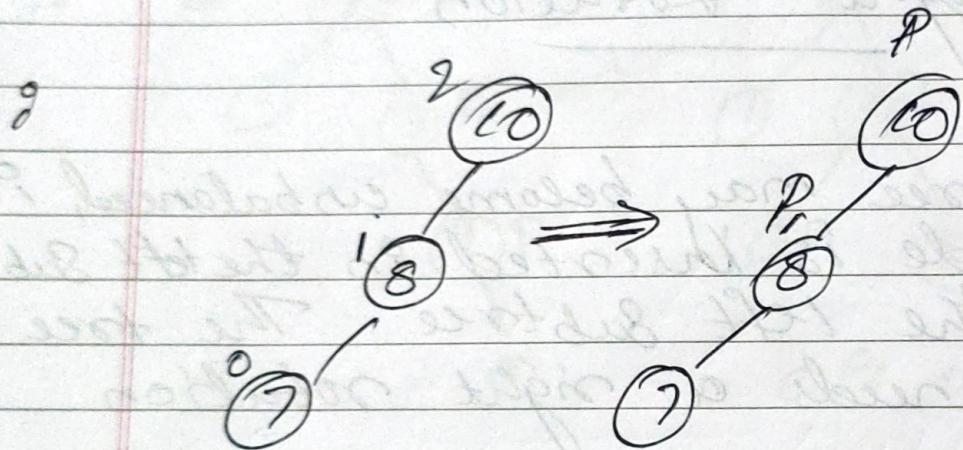
AVL tree may become unbalanced, if a node is inserted in the left subtree of the left subtree. The tree then needs a right rotation.



As depicted the unbalanced node becomes the right child of its left child by performing a right rotation.

- For left-left insertion, perform right rotate.

e.g.: Insert 10, 8, 7, 5, 3



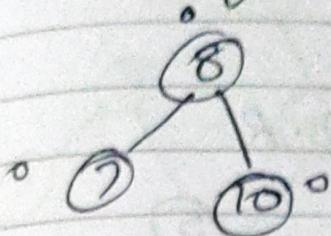
Step 1:  $Q_1 = P$ 's left child

Step 2: Perform right rotate.

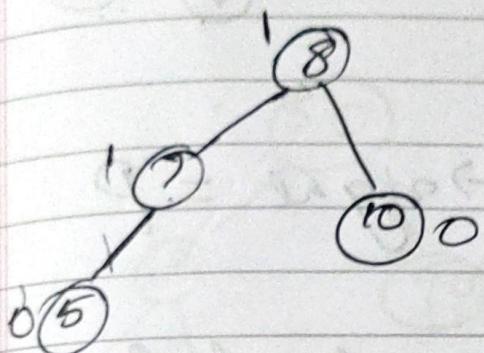
Step 3:  $P_1 - \text{right} = P$

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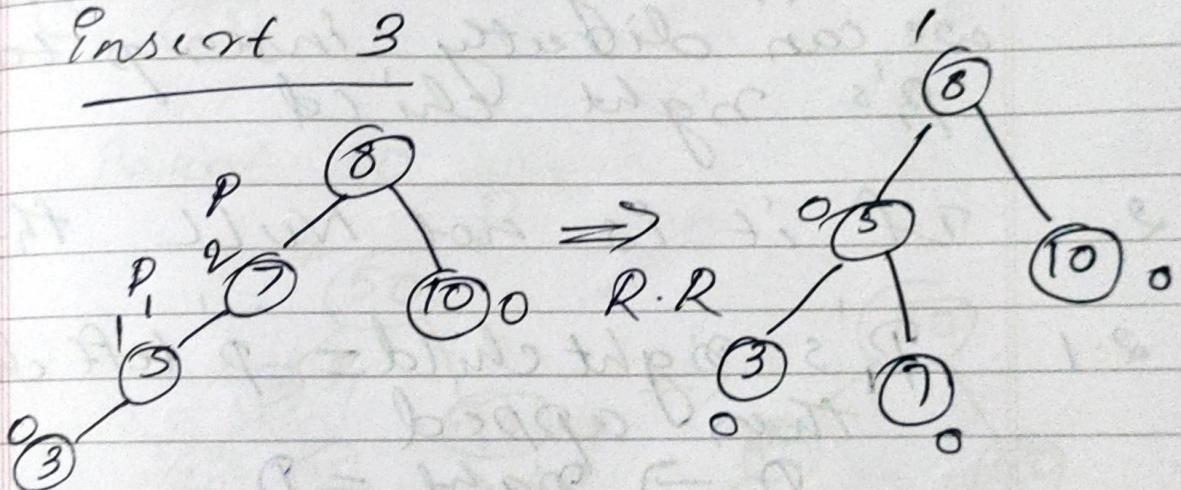
After right rotate (i) becomes



ii Insert 5

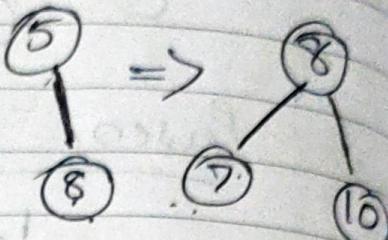
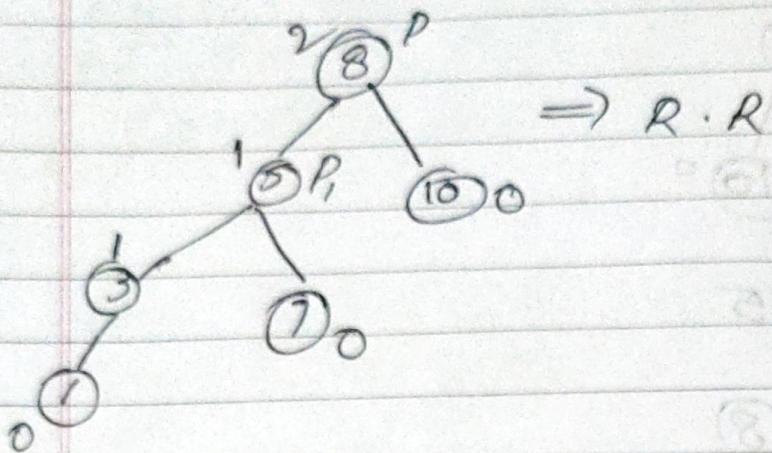


iii Insert 3



Balanced

'N' Insert 1



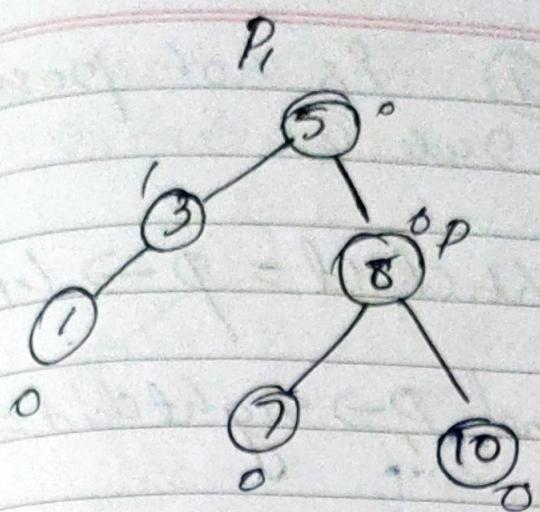
$\Rightarrow$  append  $P_i \rightarrow \text{right} = P$

### Rule

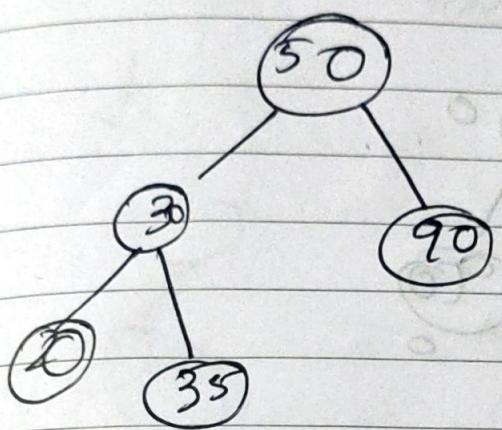
1. If  $P_i$ 's right child is null  
we can directly insert  $p$  to  
 $P_i$ 's right child

2. if it is not null then

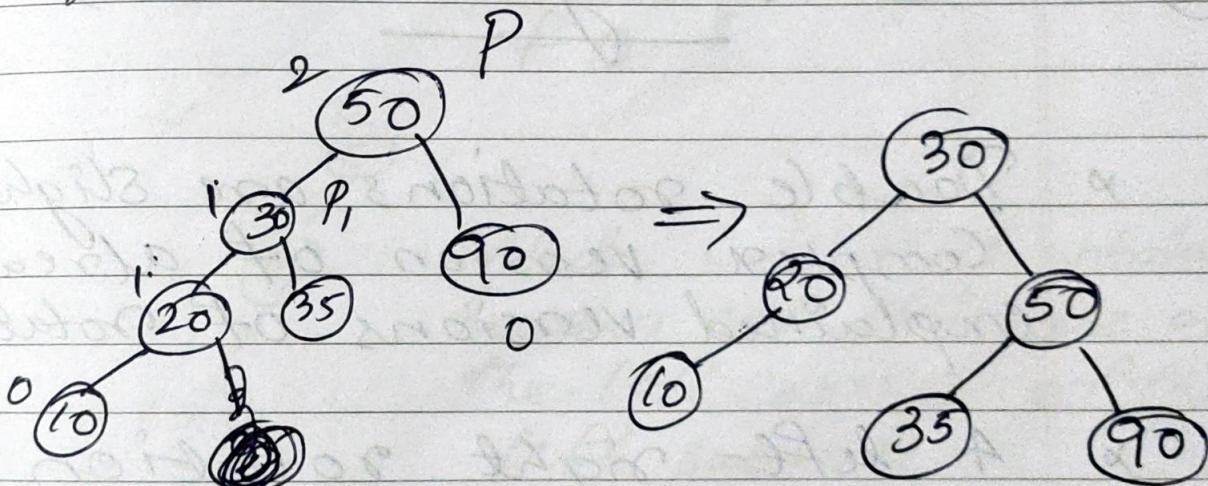
2.1  $P_i$ 's right child =  $p$ 's left child  
then append  
 $P_i \rightarrow \text{right} = P$



eg. 2

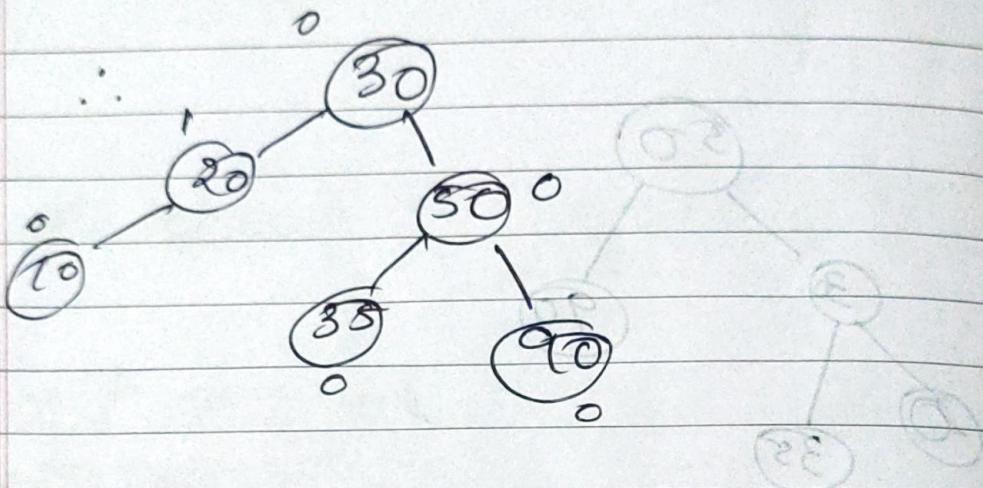


9 Insert 10



Here rule ① is not possible  
then apply rule ②

i.e;  $P_i \rightarrow \text{right child} = P \rightarrow \text{left child}$   
then append  $P_i \rightarrow \text{right child} = P$

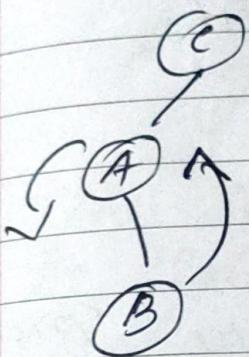


### 3. Left- Right Rotation

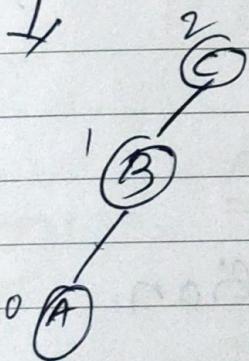
- Doable rotations are slightly complex version of already explained versions of rotations.
- A left-right rotation is a combination of left

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rotation followed by right rotation.



A node has been inserted into right subtree of the left subtree causes C as unbalanced node. So it cause AVL tree to perform L-R rotation.



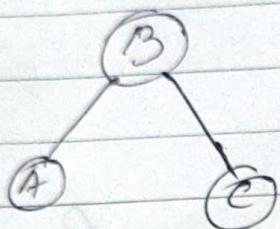
we first perform the left rotation on the left subtree of C. This makes A, the left subtree of B.

Node C is still unbalanced, however now, it is root of the left subtree of the left subtree.



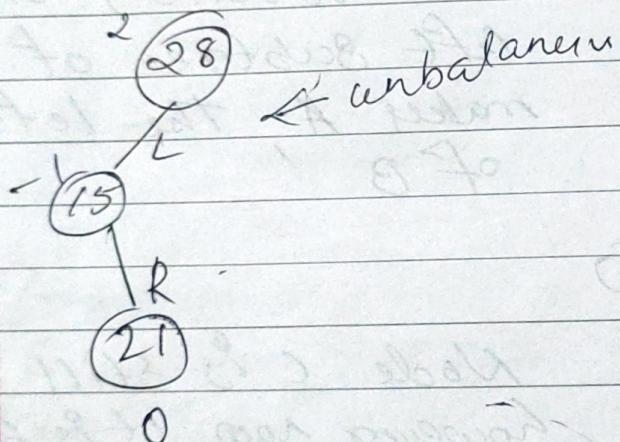
We shall now right rotate the tree, making B the new rootnode of this subtree, C now becoming the right subtree of its own left subtree.

↓



The tree is now balanced.

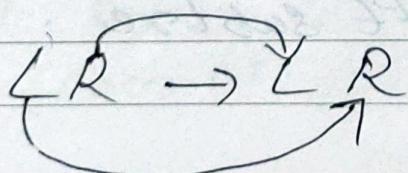
eg: 2



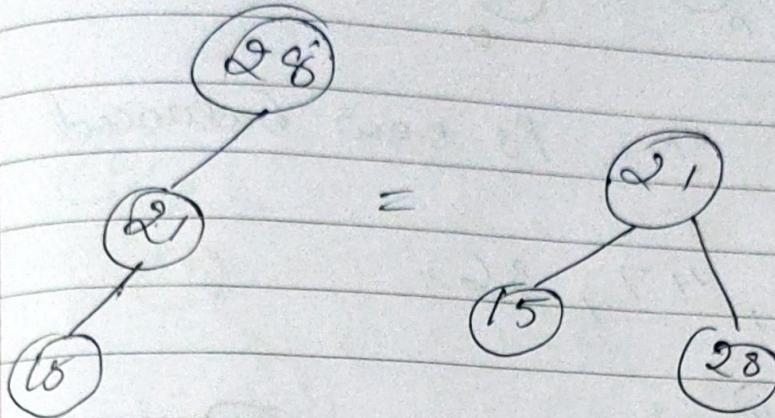
Trick

last node - root

$L R \rightarrow$  two rotation

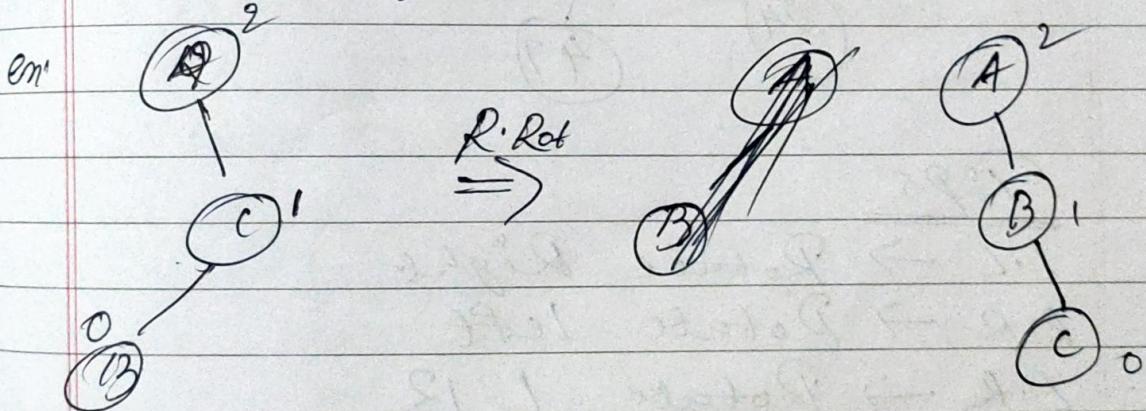


R - Rotate to left  
 L - Rotate to right.



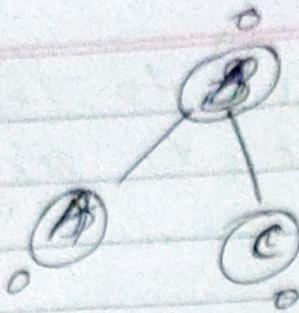
## 4 Right - Left Rotation

- The second type of double rotation is Right - Left Rotation. It is a combination of right rotation followed by left rotation.



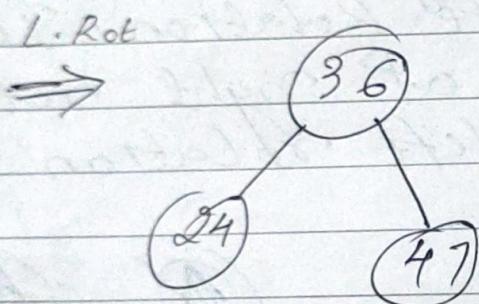
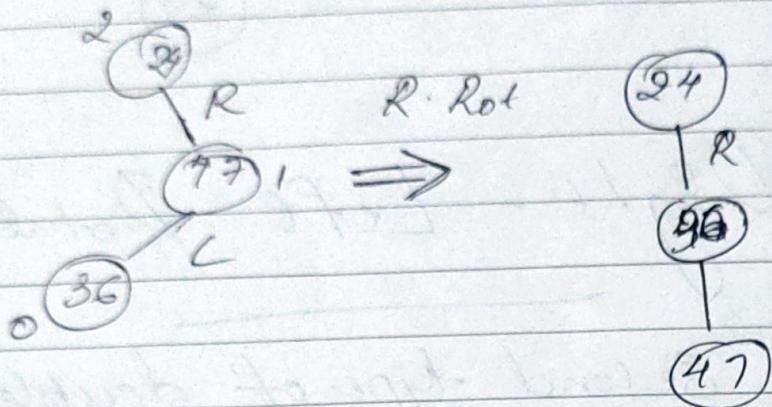
unbalanced

L.Rot  

Tree is now balanced

e.g.: 24, 47, 36

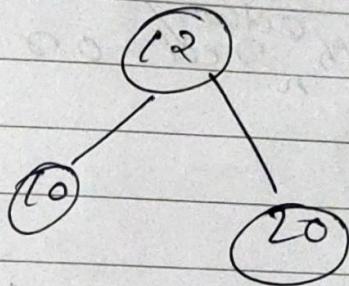
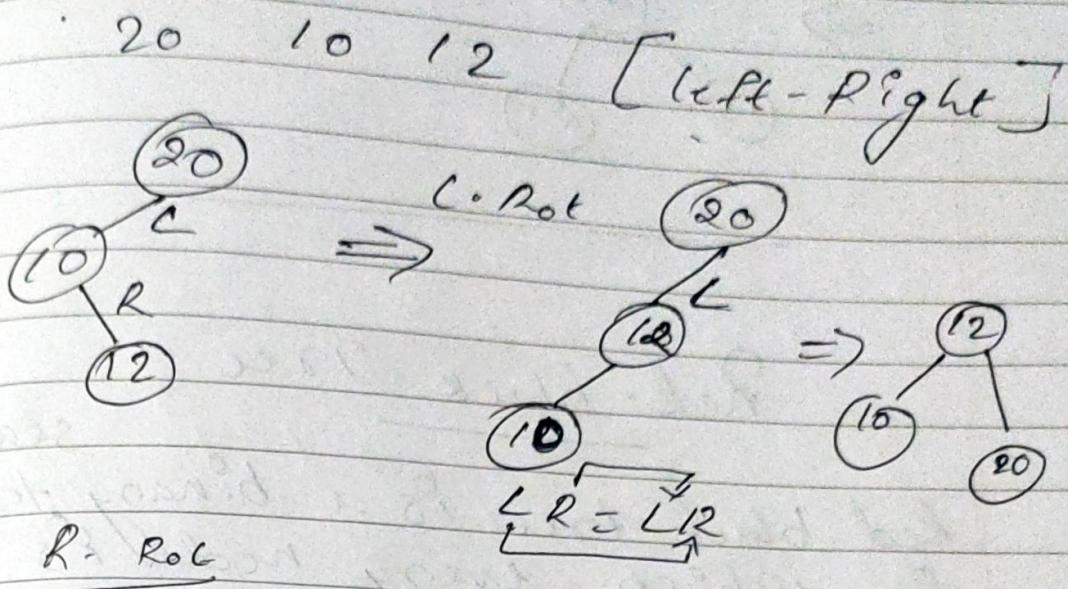


### Tips

1. L.L  $\rightarrow$  Rotate Right
2. R.R  $\rightarrow$  Rotate Left
3. L.R  $\rightarrow$  Rotate L.R

4  
R.L  $\rightarrow$  Rotate RL

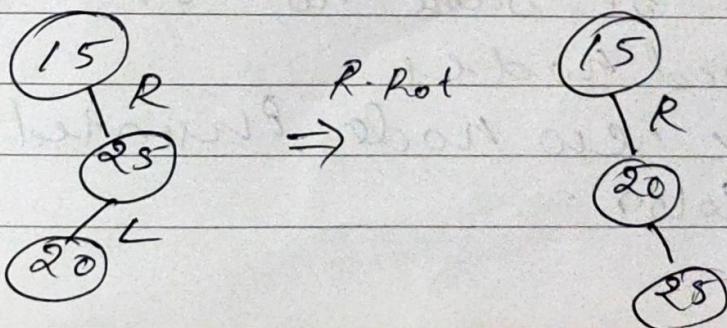
eg.2

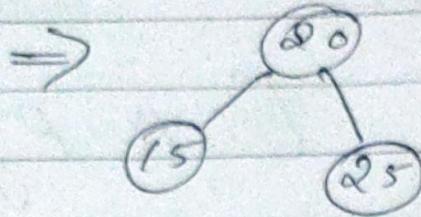


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eg.2 Right - Left Rotation

15 25 20





## Red-black Tree

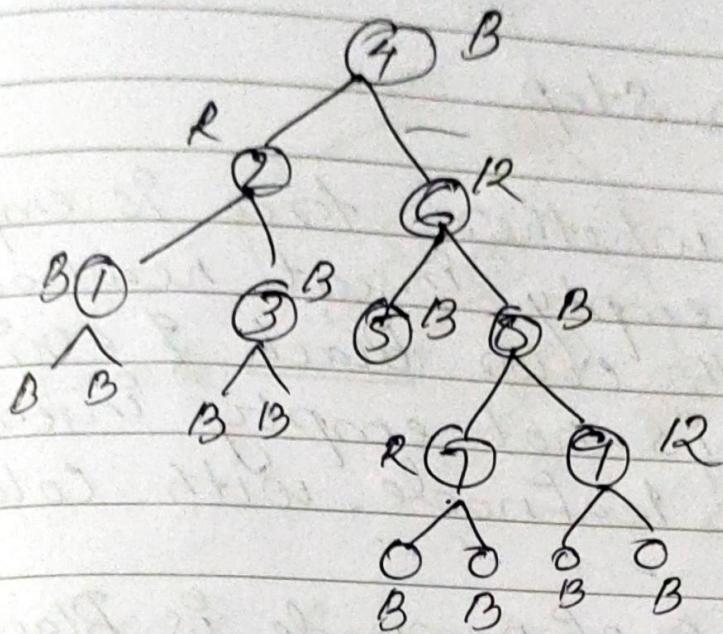
Red black tree is a <sup>search</sup> binary tree in which every node is colored with either red or black.

### Properties

1. Red black tree must be a BST
2. Root node must be coloured black.
3. The children of red node must be black (There should not be 2 consecutive red nodes )
4. In all paths of the tree there should be same no. of black coloured nodes
5. Every new node inserted with red color.

6

Every Black. leaf must be coloured



### Insertion into Red-Black tree

1. Every node inserted with colour Red.
2. Insertion similar to BST
3. After every operation check red black properties.
4. If all properties satisfied then go to next operation otherwise perform -
  1. Recolor

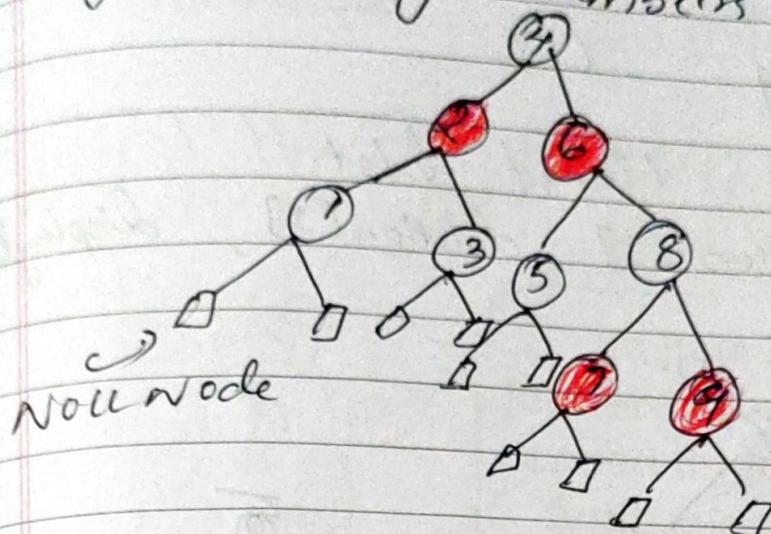
2. Rotation

3. Rotation followed by Recolor

### Insertion Step

- Step 1: Check whether tree is empty
2. If tree empty Insert new node as Root with color Black & exit.
3. If tree is not empty Insert new node as leafnode with color Red.
4. If parent of newnode is Black then exit from operation.
5. If parent of newnode is Red then check colour of parent node's sibling of newnode.
6. If it is coloured Black or null, then make suitable rotation & Recolor it.
7. If it is coloured Red then perform Recolor (black)<sup>on root</sup> until tree becomes red black tree.

Example - Red-Black Tree which is created by inserting numbers from 1 to 9



Qn: 2 Create R-B - tree by inserting 8, 18, 5, 15, 17, 25, 40 & 80.

i) (8)

(8)

(18)

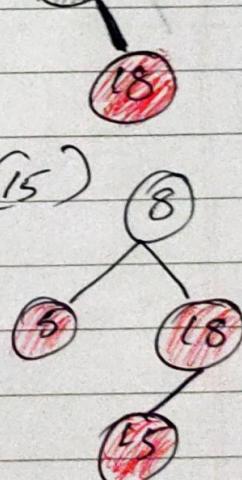
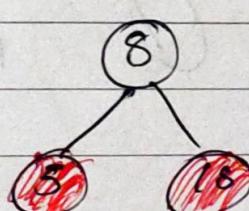
(8)

ii) (5)

(8)

iv) (15)

(8)

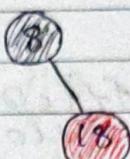


Example 2: Create Red-Black Tree by inserting following sequence by number 8, 18, 5, 15, 17, 25, 40, 80

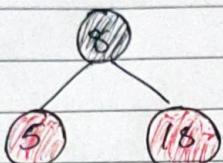
i Insert (8)



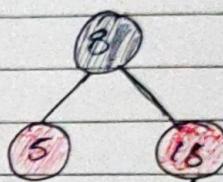
ii Insert (18)



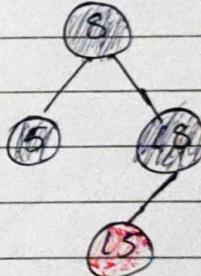
iii Insert (5)



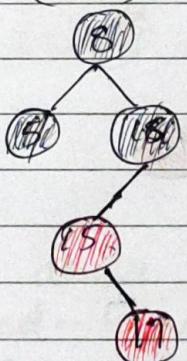
iv Insert (15)



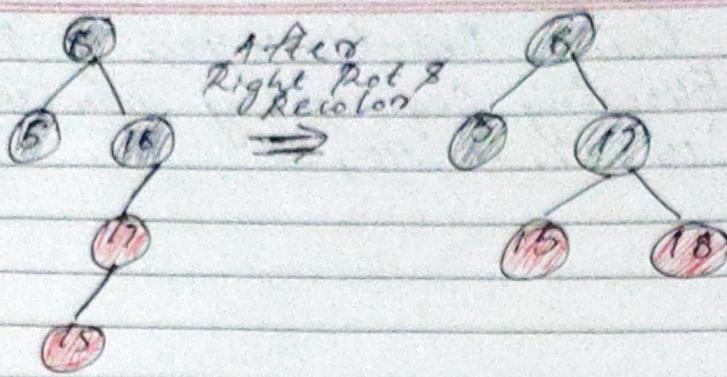
↓ After Recolor



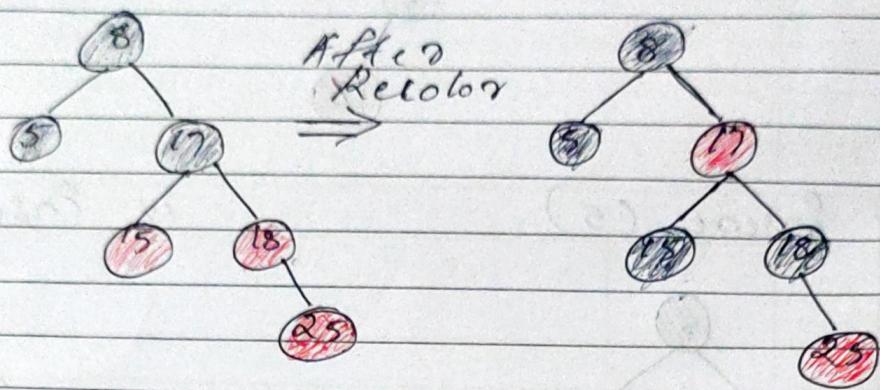
v Insert (17)



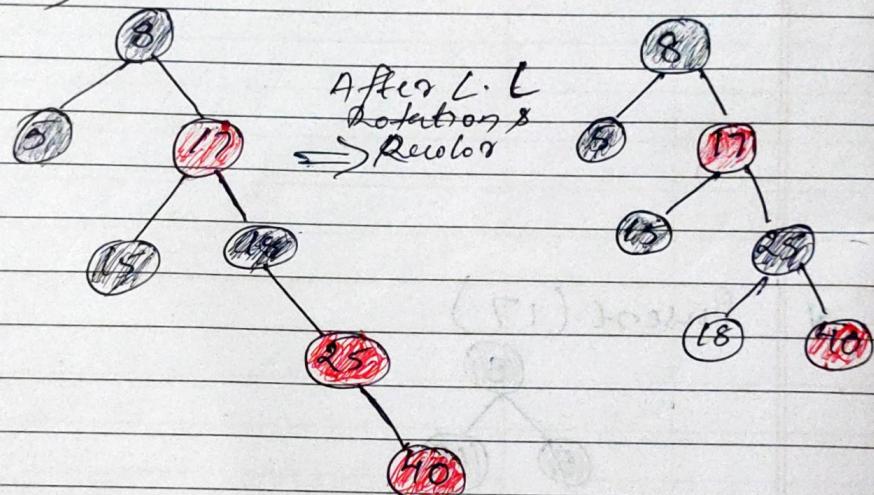
↓ After L. Rotation



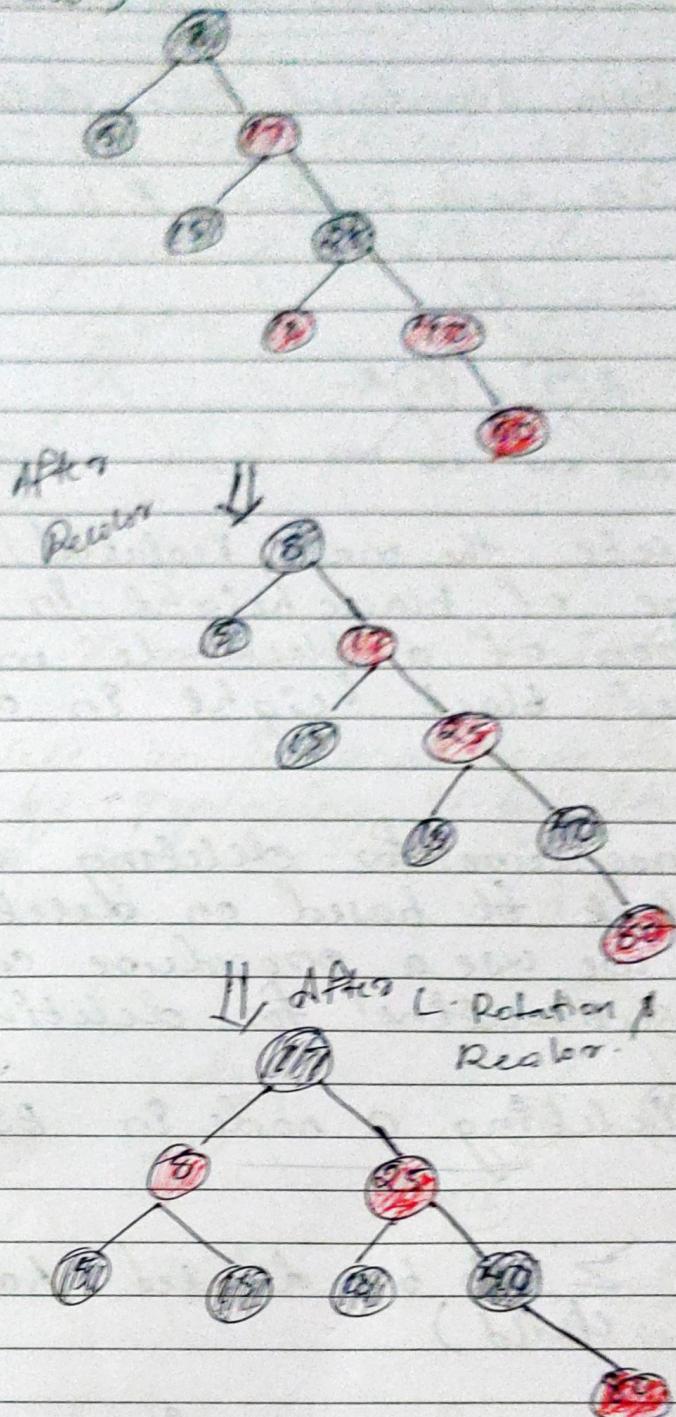
vi Insert (25)



vii Insert (40)



10 Insert (20)



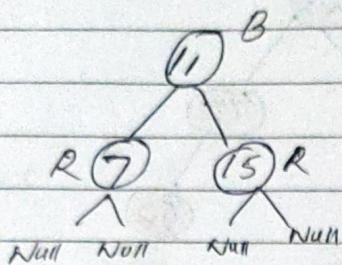
Finally above tree is satisfying all the properties of Red-Black Tree and it is a perfect Red Black tree.

1

Deletion in R.B tree

1. Perform standard BST delete.

eg: Delete node x from R-B tree

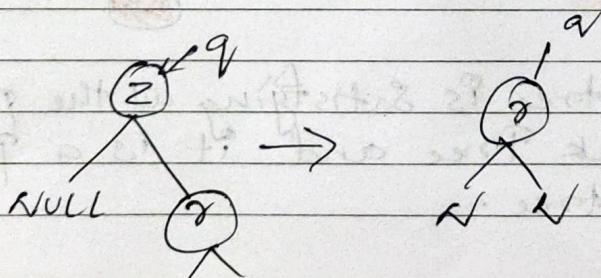


2. In delete, the main violated property is change of black height in subtrees. deletion of a black node may cause reduced black height in one root to leaf.

3. The procedure for deleting a node in Red-Black bt based on deletion in BST. Hence we use a procedure called 'TRANSPLANT' subroutine for deleting.

Deleting a node in BST

Case 1: Node 'z' to be deleted has no (left child)

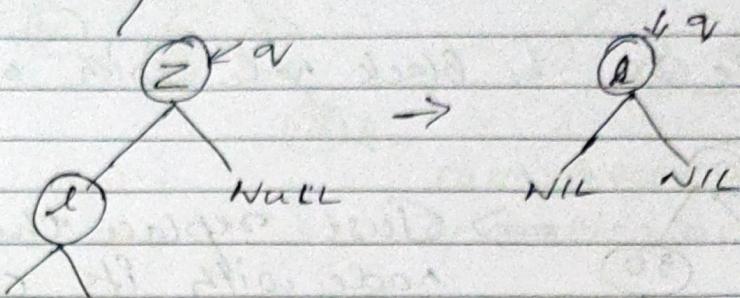


\* we replace 'z' with its right child 'y'

Case 2: Node 'z' has left child no right child :-

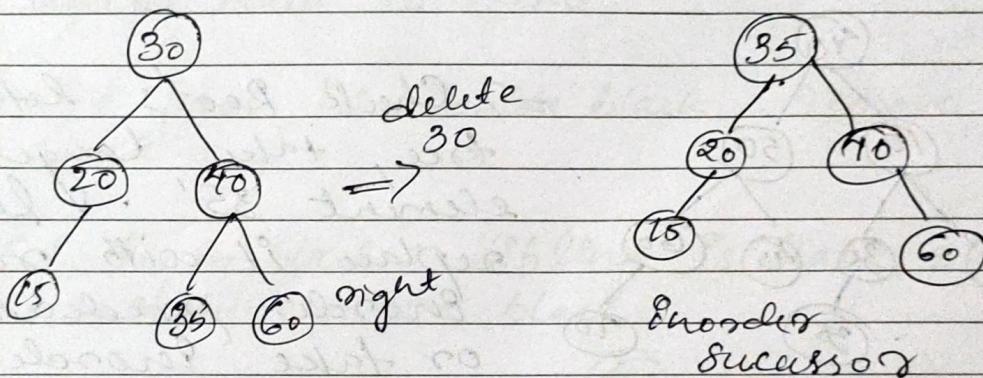
we replace 'z' with 'l'

e.g.



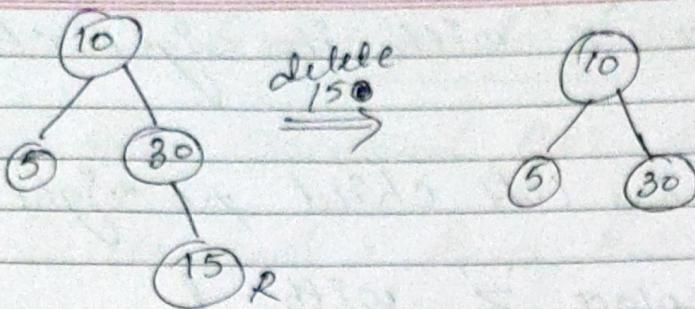
Case 3: Node 'z' has two children :-

We delete an internal node from BST  
simply by replacing it by its in-order successor and we recursively call delete operation.

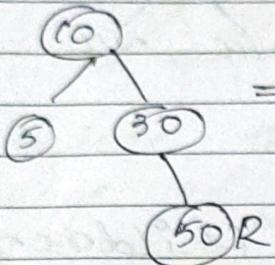


Step 1: Perform or follow BST delete  
2: Node to be deleted is red  
just delete doesn't affect black path.

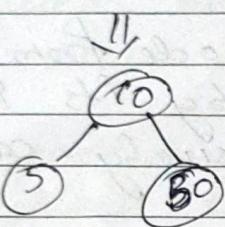
ex: 1



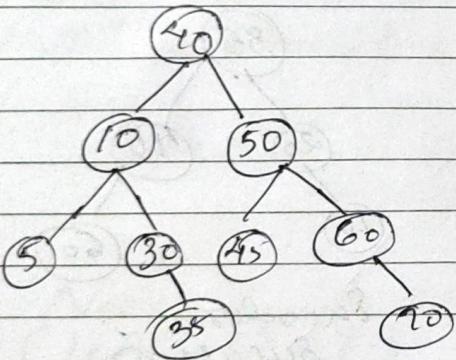
Delete 30 [ Black node with one child ]



⇒ Just replace the value of node with its child. No need to change colour.

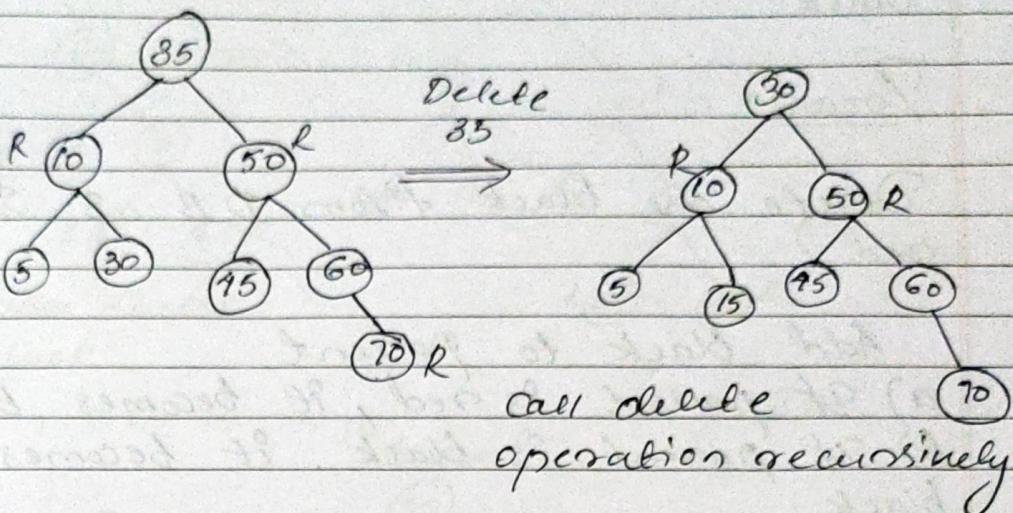


ex: 2

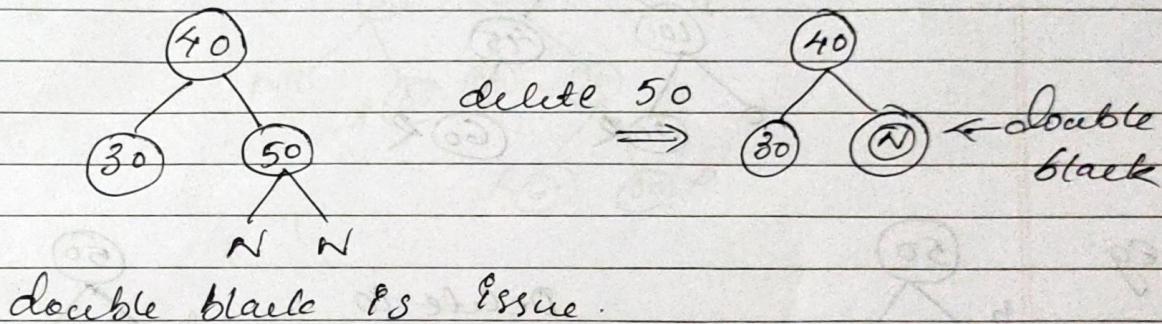


⇒ Check Root's left sub tree, take largest element '35'. Largest replace it with root Preorder predecessor or take Preorder successor.

Delete 40

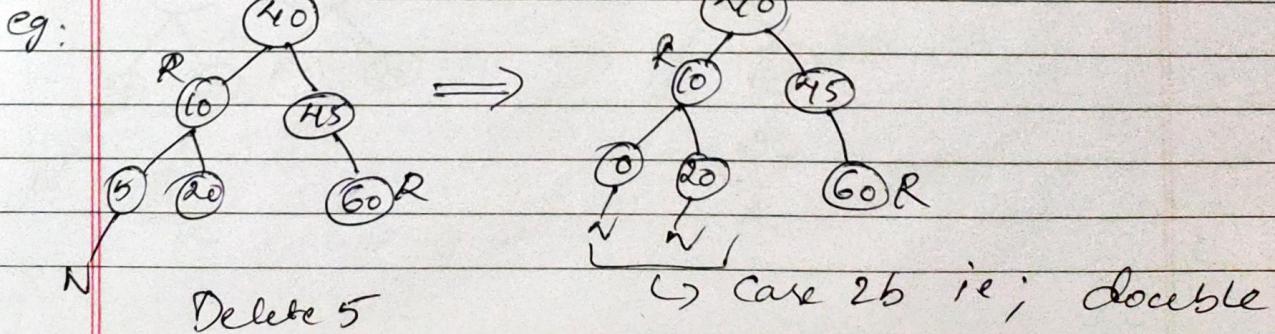


Case 2: Node to be deleted is black :-



2a. If Root is Double Black, remove Double Black.

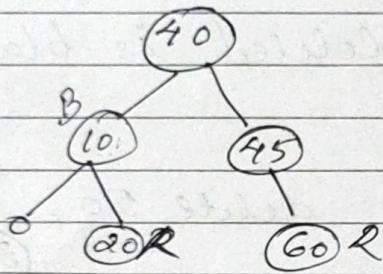
2b. If double black's sibling is black & both its children are black.



black's sibling : black & both its children  
black.

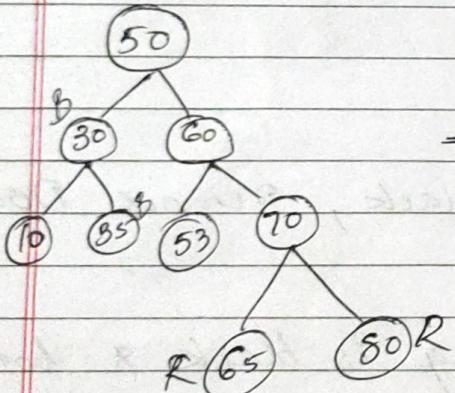
### Process

1. Delete one black from DB & Sb (black red)
2. Add black to parent
  - a) If parent is red, it becomes black
  - b) If parent is black, it becomes double black.

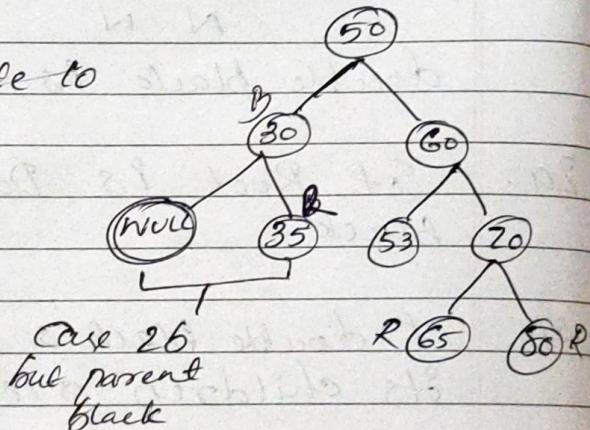


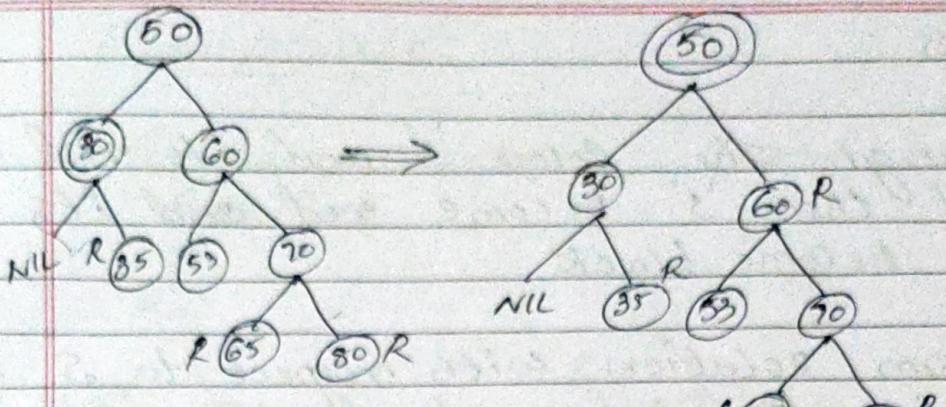
① → double black

eg:

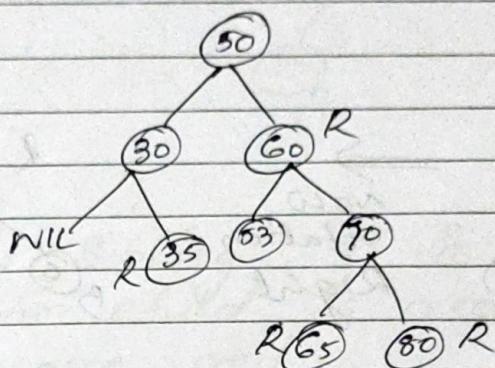


Delete 60

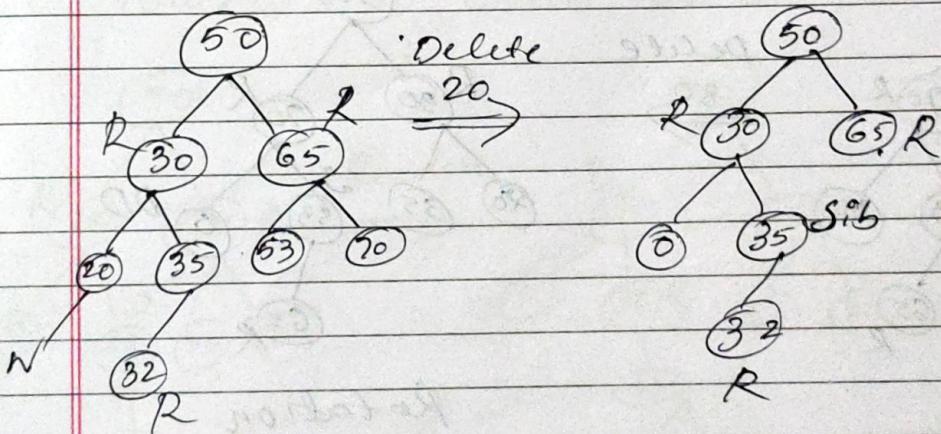




↓ Case 2a

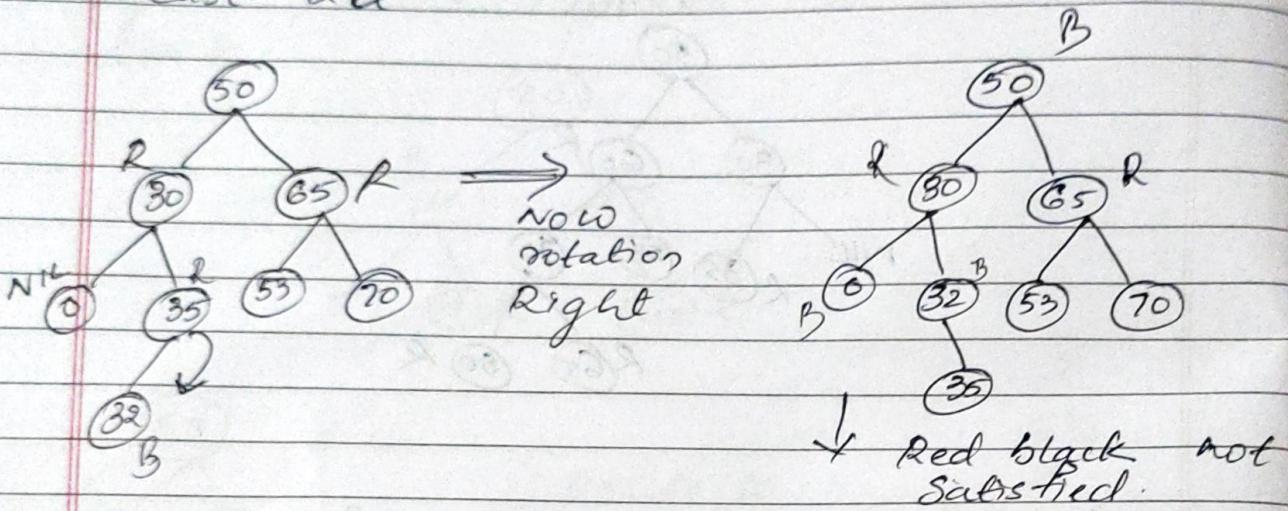


2c. If double black's sibling is black & one of its double black near child is red and other one is black.

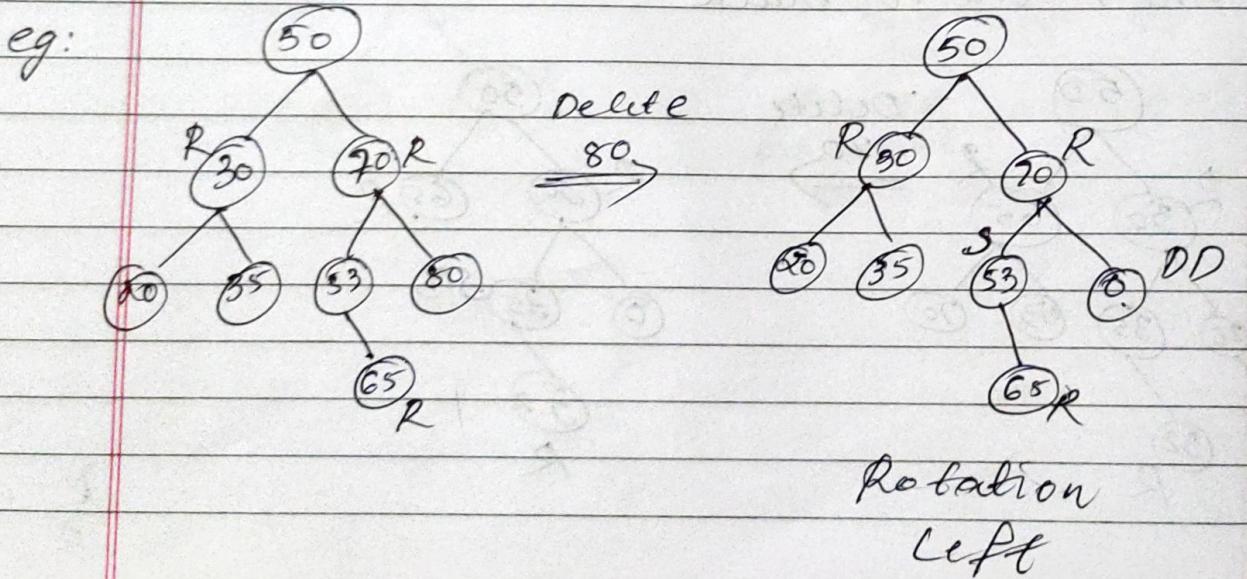


## Process

1. Exchange the color 'S' and its red child then, 'S' become red and its child become black.
2. Perform rotation with respect to S in opposite direction of double black's node.
3. Case ad.

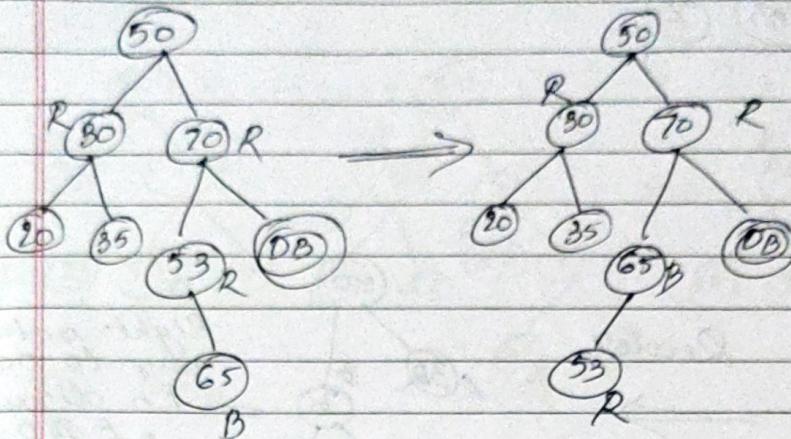


Go to Case d



Same process

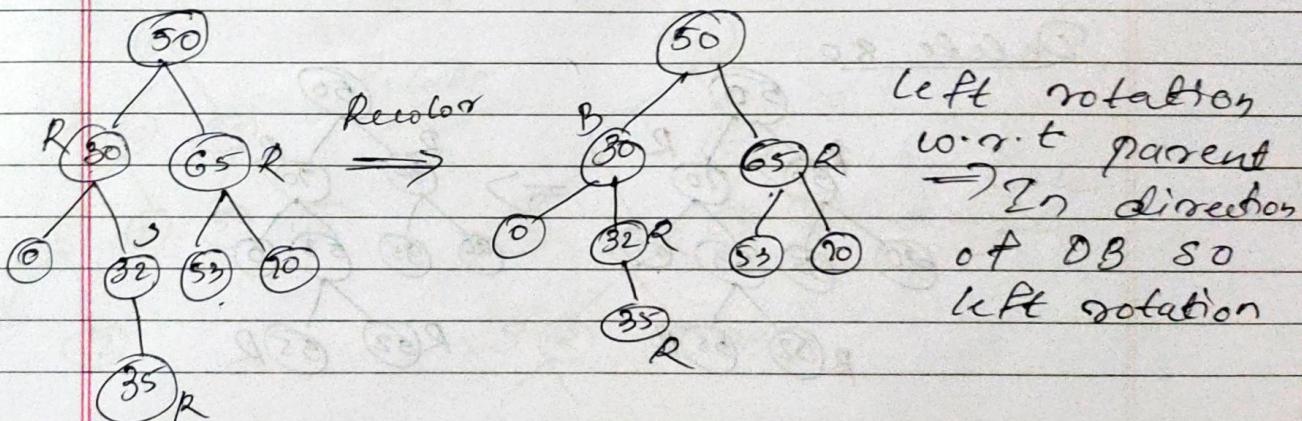
1. Exchange colour & and
2. Rotation

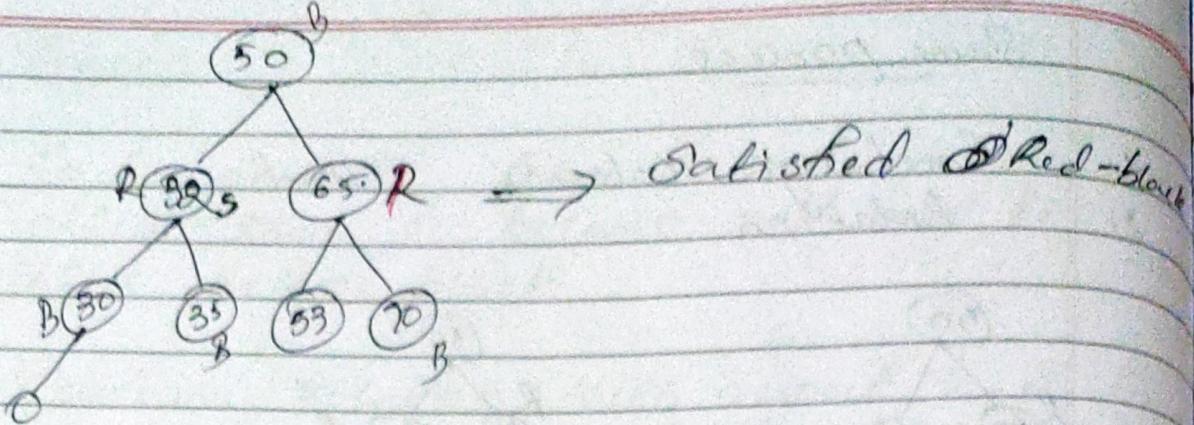


not satisfied  
R.B; go to  
case d.

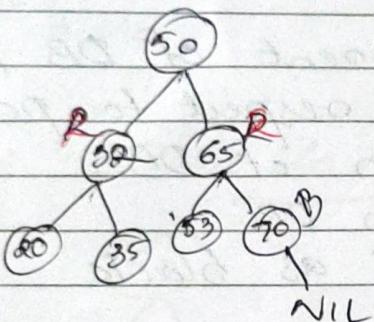
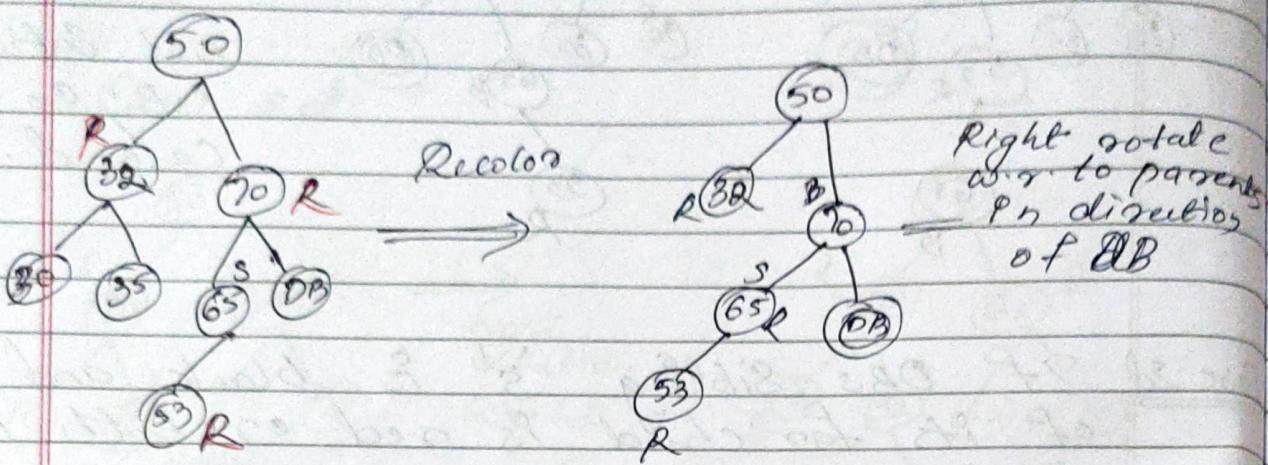
Case 2d If DB's sibling S' is black and one of its far child is red or both its children are red.  
then process

1. Swap the color of parent of DB & S
2. Perform rotation with respect to parent of DB in the direction of DB
3. Remove one black from DB
4. Color red child of S as black

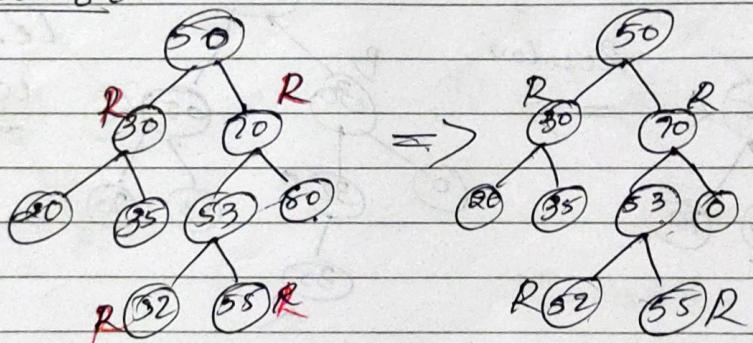




eg:



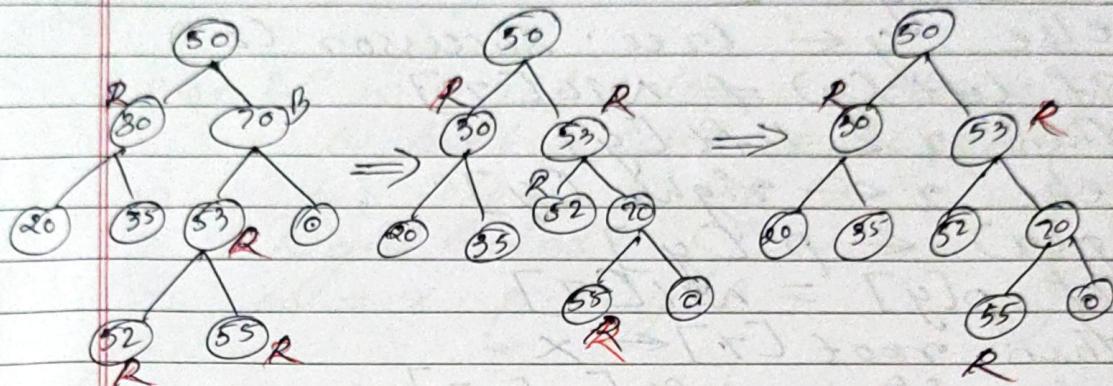
Delete 80



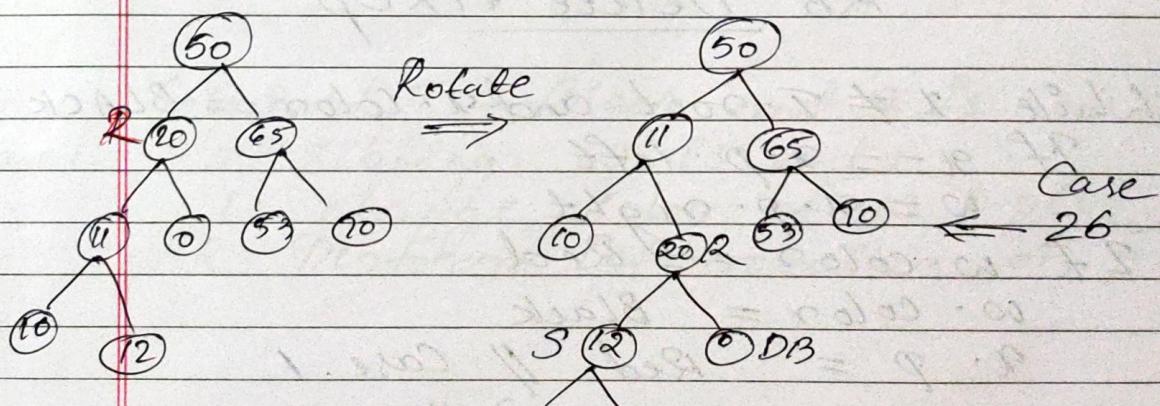
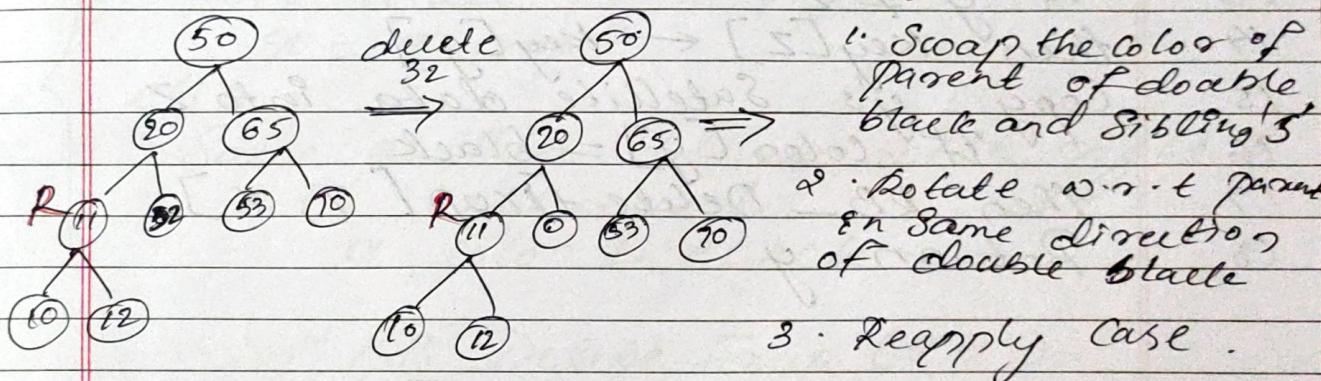
- \* If double black's sibling 's' is black & both its children are red then

1. Recolor

2. Rotation (in direction of double black)



Case 2e If double black's sibling 's' is red



## Red-black Tree Algorithm

### RB-Delete ( $T, z$ )

1. If  $\text{left}[z] = \text{nil}[T]$  or  $\text{right}[z] = \text{nil}[T]$   
 2. then  $y \leftarrow z$   
 3. else  $y \leftarrow \text{tree} \cdot \text{successor}(z)$   
 4. If  $\text{left}[y] \neq \text{nil}[T]$   
 5. then  $x \leftarrow \text{left}[y]$   
 6. else  $x \leftarrow \text{right}[y]$   
 7.  $p(x) \leftarrow p[y]$   
 8. If  $p[y] = \text{nil}[T]$   
 9. then  $\text{root}[T] \leftarrow x$   
 10. else if  $y = \text{left}[p[y]]$   
 11. then  $\text{left}[p[y]] \leftarrow x$   
 12. else  $\text{right}[p[y]] \leftarrow x$   
 13. If  $y \neq z$   
 14. then  $\text{key}[z] \leftarrow \text{key}[y]$   
 15. Copy  $y$ 's satellite data into  $z$   
 16. If  $\text{color}[y] = \text{black}$   
 17. then RB-Delete fixup [ $T, x$ ]  
 18. Return  $y$

### RB Delete fixup

While  $x \neq T \cdot \text{root}$  and  $x \cdot \text{color} = \text{BLACK}$

If  $a == x \cdot p \cdot \text{left}$

$w = x \cdot p \cdot \text{right}$

{ } If  $w \cdot \text{color} == \text{Red}$

$w \cdot \text{color} = \text{Black}$

$x \cdot p = \text{Red}$  // Case 1

(Left Rotate ( $T, x, p$ ) // case 1

$w = \alpha \cdot p \cdot \text{right}$  // case 1

(2) { if  $w \cdot \text{left} \cdot \text{color} == \text{BLACK}$  and  $w \cdot \text{right} \cdot \text{color} == \text{Black}$   
 $w \cdot \text{color} = \text{Red}$  // case 2  
 $\alpha = \alpha \cdot p$  // case 2

else if  $w \cdot \text{right} \cdot \text{color} == \text{BLACK}$  } // case 3  
 $w \cdot \text{left} \cdot \text{color} = \text{BLACK}$   
 $w \cdot \text{color} = \text{RED}$   
Right Rotate ( $T, w$ )  
 $w = \alpha \cdot p \cdot \text{right}$

$w \cdot \text{color} = \alpha \cdot p \cdot \text{color}$   
 $\alpha \cdot p \cdot \text{color} = \text{BLACK}$   
 $w \cdot \text{right} \cdot \text{color} = \text{BLACK}$   
Left Rotate ( $T, \alpha, p$ )  
 $\alpha = T \cdot \text{root}$

} else

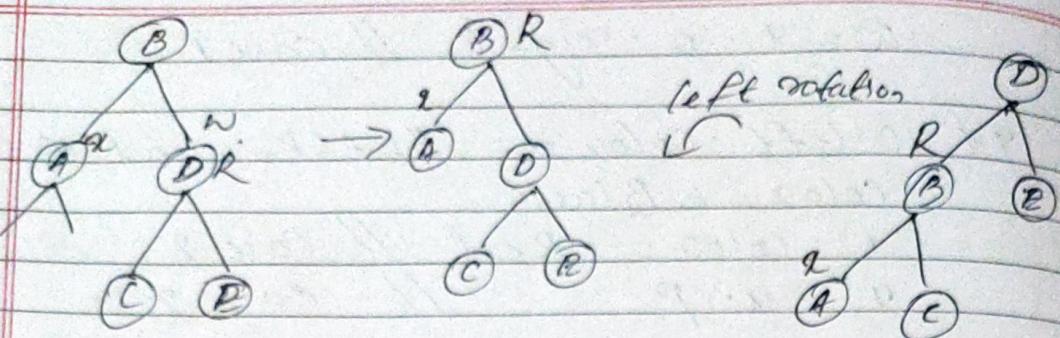
Same as then clause with right & left exchanged  
 $\alpha \cdot \text{color} = \text{BLACK}$

### RB Delete Fixup ( $T, \alpha$ )

Color of  $T = \text{Black}$  and  $\alpha$  is not root

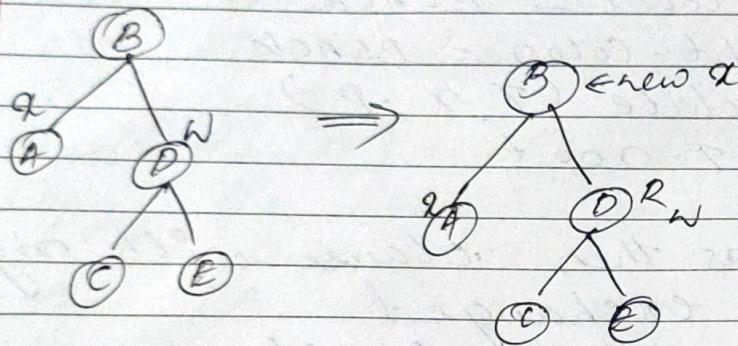
case 1:  $\alpha$ 's sibling  $w$  is red

1. change color of  $w$  &  $p(\alpha)$
2. Perform left rotation on  $p(\alpha)$



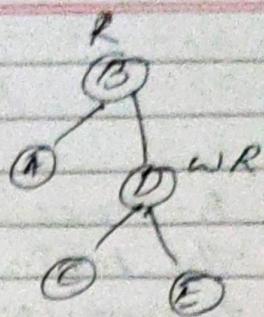
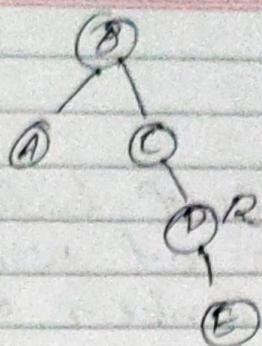
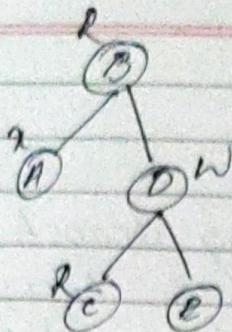
Case 2:  $x$ 's sibling  $w$  is black and left child is black and Right child is black

- 1. Change the color of  $w$
- 2. make  $p(x)$  as new  $x$



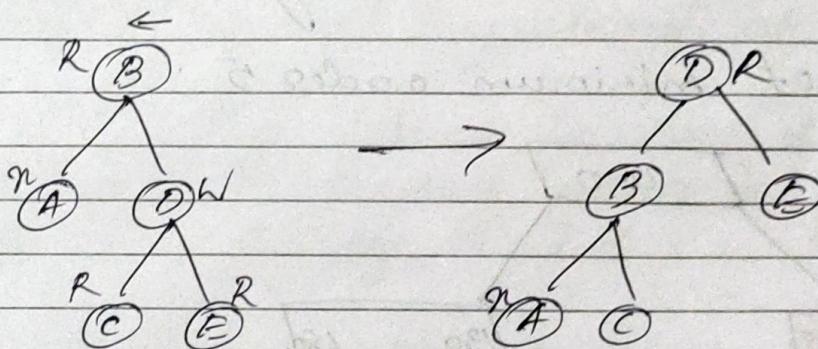
Case 3:  $x$ 's sibling is black and left child is red &  $w$ 's Right child is black  
then :

- 1. change the color of  $w$  & its left child
- 2. Right Rotation of  $w$
- 3. Case 4.



Case 4  $w$ 's sibling  $\omega$  is black and  $w$ 's right child is red (case 2d)

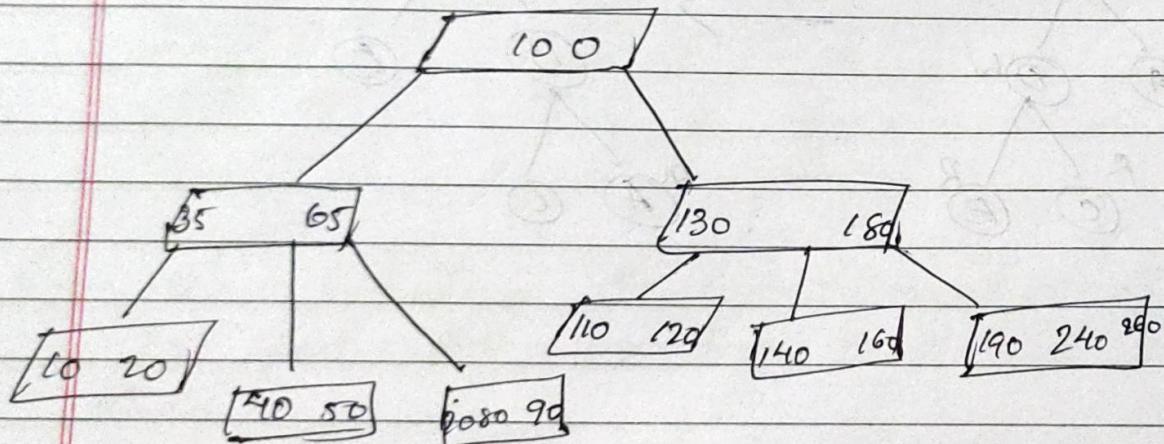
1. Change color of  $w$  or color of  $p[x]$
2. make color of  $p(x) \leftarrow$  black
3. Change color of right child of  $w$
4. left rotate  $p(x)$
5. make  $x$  as root.

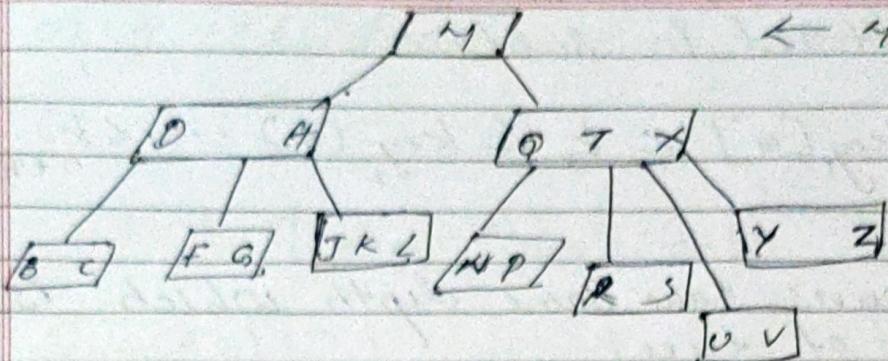


## B-tree

- \* B-tree is a self-balancing search tree.
- \* The main idea of using B-trees is to reduce the number of disk accesses.
- \* The height of B-trees is kept low by putting maximum possible keys in a B-tree node.
- \* Generally, the B-tree node size is kept equal to the block disk block size!
- \* It is designed to work with on magnetic disk or secondary storage.
- \* B-tree nodes have many children.

e.g.: B-trees of minimum order 5.





- \* If internal node  $x$  contains  $n[x]$  key then  $x$  has  $n(x)+1$  children and all leaves are at same depth.

## Properties

1. Every node  $x$  has following properties
  - a.  $n[x]$  no. of key stored at  $x$  node.
  - b.  $n[x]$  key stored in non decreasing order (increasing order)  
 $\text{key}_1[x] \leq \text{key}_2[x] < \dots < \text{key}_n[x]$
  - c.  $x\text{-leaf}$ , a boolean value, that is true.  
 If  $x$  is a leaf and FALSE if  $x$  is internal node.
2. Each internal node also contain  $n(x)+1$  Pointers to its children  
 $C_1(x), C_2(x) \dots C_{n(x)+1}$
3. The keys  $\text{key}_i(x)$  Separate the range of

Keys stored in subtree.

$$k_1 \leq \text{key}_1(\alpha) \leq k_2 \leq \text{key}_2(\alpha) \dots \leq k_{n_{\text{new}}} \leq \dots$$

4. All leaves have same depth which is height of tree.

5. Nodes have lower and upper bounds on the number of keys.  
Bound expressed as  $t \geq 2$

Lower bound: Every node other than root contain at least  $(t-1)$  key &  $t$  children

Upper bound: Every node contain almost  $(2t-1)$  key and  $2t$  children.

i.e.;  $t = 3$  key

$\therefore$  Lower bound: 2 key & 3 children

$\Rightarrow$  Upper bound  $(2t-1)$

$$= (2 \times 3 - 1)$$

= 5 key & 6 children

- \* Simplest B tree when  $t = 2$  i.e.; every internal node has either 2, 3

or 4 children.

## B-tree Operations.

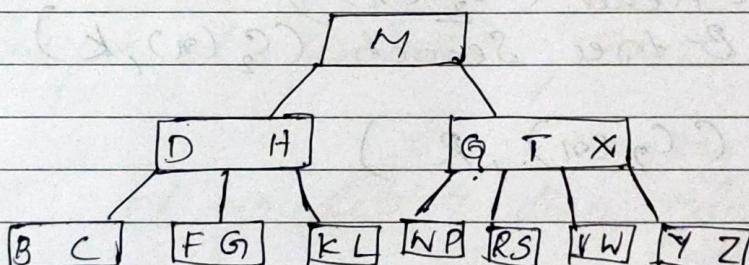
1. Search
2. Create
3. Insertion
4. Deletion

x Root of B-tree is always in main memory.

### B-tree Search ( $\alpha, k$ )

$k=R$

1.  $i \leftarrow 1$
2. While  $i \leq n(\alpha)$  and  $k > \text{key}_i[\alpha]$
3. do  $i \leftarrow i + 1$
4. if  $i \leq n(\alpha)$  and  $k = \text{key}_i[\alpha]$
5. Then Return  $[n, i]$
6. If leaf  $[\alpha]$
7. then Return NIL
8. DISK · READ ( $C_i[\alpha]$ )
9. Return B-tree Search ( $C_i[\alpha], k$ ) // Recursive call

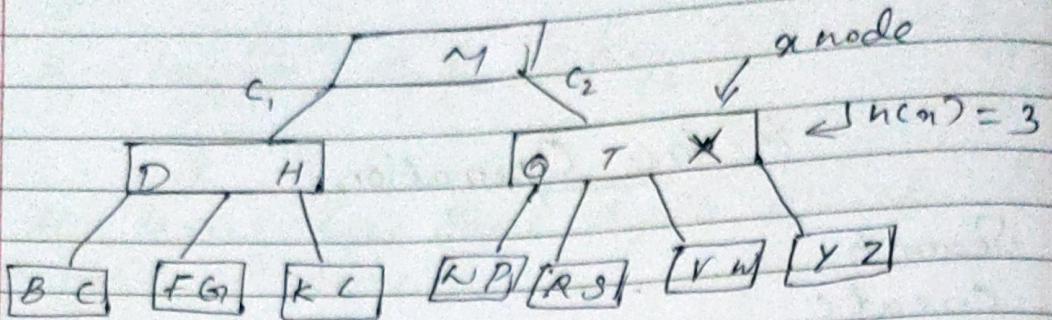


$k=R$   
 $i=1$   
 $R > M \rightarrow T$

- do  $i = 2$
- $2 \leq i \leq 4$
- if  $2 \leq i$
- if leaf( $\alpha$ )
- DISK.read( $C_i[\alpha]$ )

then Call B-tree Search ( $C_2(a), k$ )

i.e.



B-tree Search ( $C_2(a), R$ )

$$i = 1$$

$l \leq 3$  and  $R > \text{key}_i(x)$  i.e;  $G$  Yes

$$i = i + 1$$

$$\underline{i = 2}$$

$\hookrightarrow 2 \leq 3$  and  $R > T$ ; No condition failed  
out to 4

4.  $2 \leq 3$  (Yes) &  $R = T$ ; No condition failed  
out to 6

6. If leaf( $a$ )  $\hookleftarrow$  No leaf node  
Conditions.

else

Disk Read ( $C_2(a)$ )

Return B-tree Search ( $C_2(a), k$ ) call to next

B-tree ( $C_2(a), R$ )

$$i = 1$$

$$i < 2 \& R > R$$

No, Condition fails

4.  $1 \leq 2 \beta R = \text{key}_i(x)$

i.e.,  $R = R$ ; Yes

return  $(x, 1)$

22/1/21

## B tree Create & Insert

1. Create - Create empty node then call B tree Insert.

B-tree-create ( $T$ )

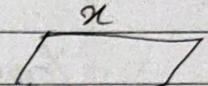
$x = \text{ALLOCATE-NODE}$  ( $\rightarrow$ )

$x.\text{leaf} = \text{TRUE}$

$x.n = 0$

DISK-WRITE ( $x$ )

$T.\text{root} = x$



Disk operation in  $O(1)$  time

1. B-tree Insert : Insert key value to empty node.

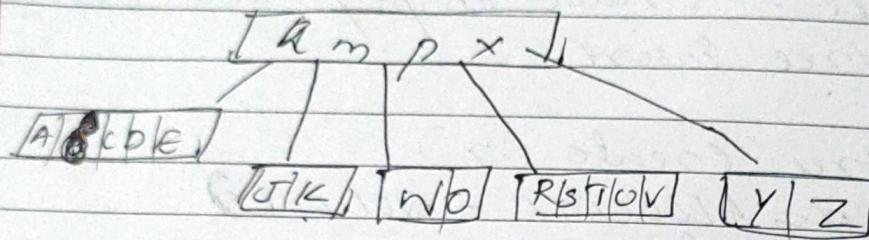
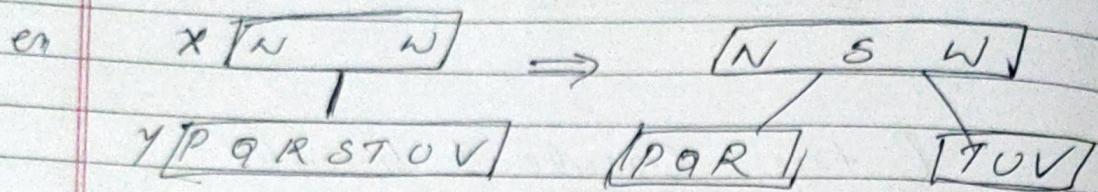
Suppose  $t = \text{order } 3$ , then  $\min \text{key } t-1 = 3-1 = 2$

$\max \text{key } 2t-1 = 6-1 = 5$

$\therefore t = \text{order } 4$ , then  $\min \text{key } t-1 = 4-1 = 3$

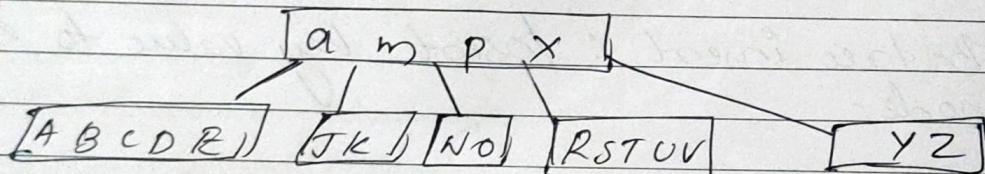
$\max \text{key } 2t-1 = 8-1 = 7$

\* If we cannot insert a key onto a leaf node that is full then introduce operation 'split' around its median key. The median value moves up to parent.

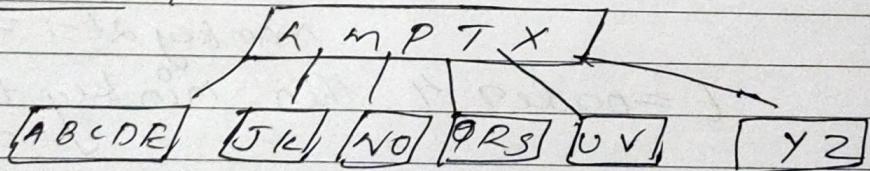


Insert B, Q, L, F in tree having Order  $t=3$   
 minkey  $(t-1) = (3-2) = 1$  Except root  
 maxkey  $(2t-1) = (6-1) = 5$

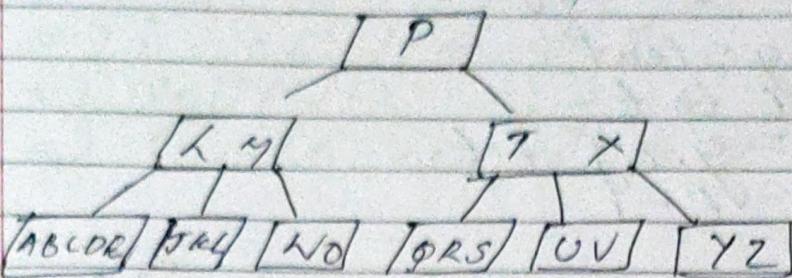
i) Insert B



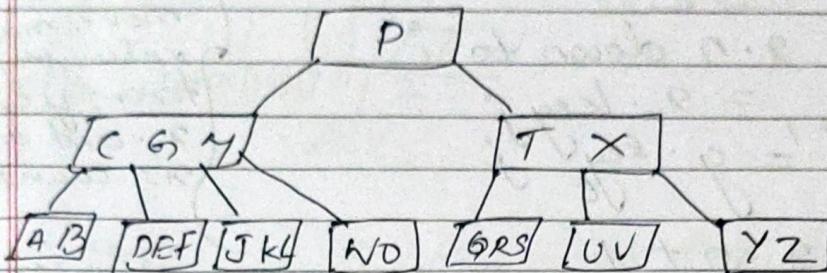
ii) Insert Q



iii Insert L



iv Insert F



Q. Show that the result of inserting the following key in an empty tree of degree  $\lceil t/2 \rceil = 3$

F, S, G, K, I, C, L, H, T, V, W, Y, R, N, P, A, B, X, Y, D, Z, E.

Algorithm

Case 1: If node full

B tree split child ( $a, i$ )

1.  $Z = \text{Allocate Node } C$

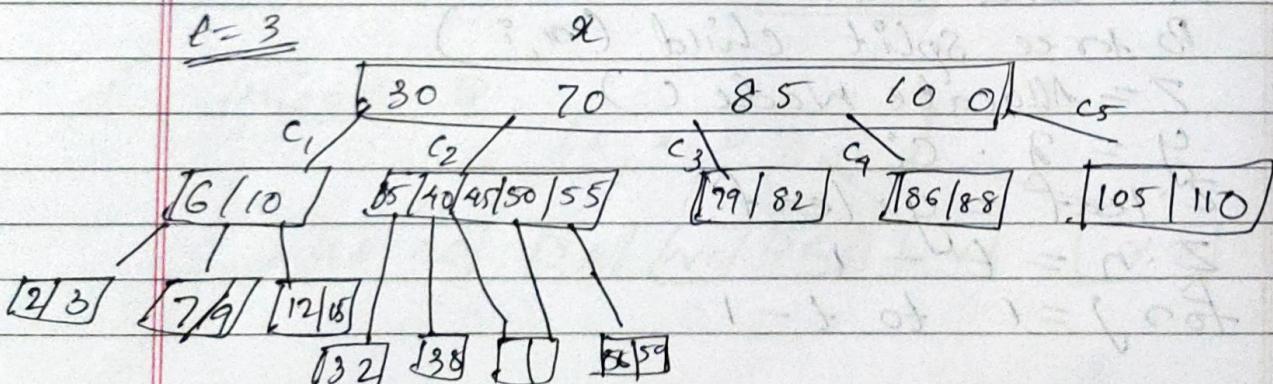
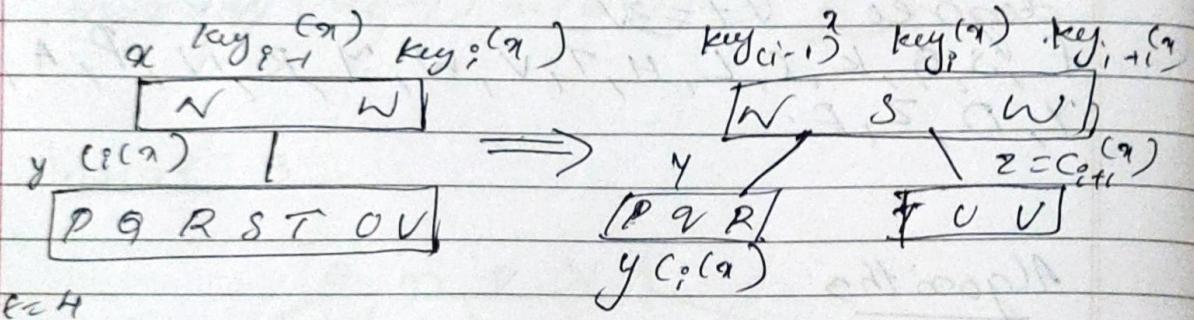
2.  $y = a \cdot c_i$

3.  $Z \cdot leaf = y \cdot leaf$

4.  $Z \cdot n = t - 1$

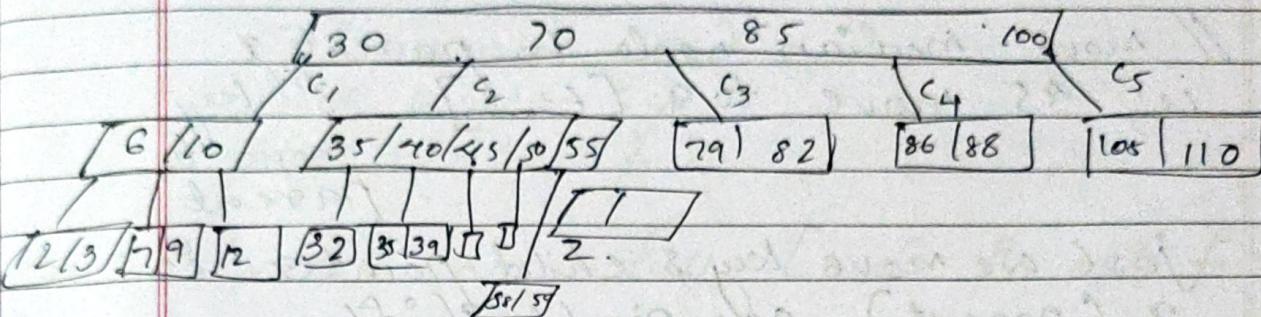
5. For  $j=1$  to  $t-1$

6.  $z \cdot \text{key}_{jj} = y \cdot \text{key}_{jj+t}$   
 7. If not  $y \cdot \text{leaf}$   
 8. For  $j = j_1$  to  $t$   
 9.  $z \cdot c_j = y \cdot c_j + t$   
 10.  $y \cdot n = t - 1$
11. For  $j = 2 \cdot n + 1$  down to  $i + 1$  } line 11 to 17  
 12.  $x \cdot c_{j+1} = x \cdot c_j$  } insert  $x$  at  
 13.  $x \cdot c_{j+1} = z$  } chi id of  $x$ ,  
 14. For  $j = 2 \cdot n$  down to  $i$  } move modified  
 15.  $x \cdot \text{key}_{j+1} = y \cdot \text{key}_j$  } value from  
 16.  $x \cdot \text{key}_j = y \cdot \text{key}_{j+1}$  } from  $y$  lists  
 17.  $y \cdot n = 2 \cdot n + 1$  }  $x$  and adjust  
 18. Disk-write ( $y$ ) } line 18-20 write out  
 19. Disk-write ( $z$ ) } all modified page.  
 20. Disk-write ( $x$ )



key: 37 to be insert

- $\Rightarrow$  it's child of a Pg y and y is full
- $\Rightarrow$  Split it
- $\Rightarrow$  Create new node z



$$\text{key}[t-1] \quad z \cdot \text{key}_j = g \cdot \text{key}_{j+1}$$

$$z \cdot \text{key}[1] = g \cdot \text{key}[4]$$

$$z \cdot \text{key}[2] = g \cdot \text{key}[5]$$

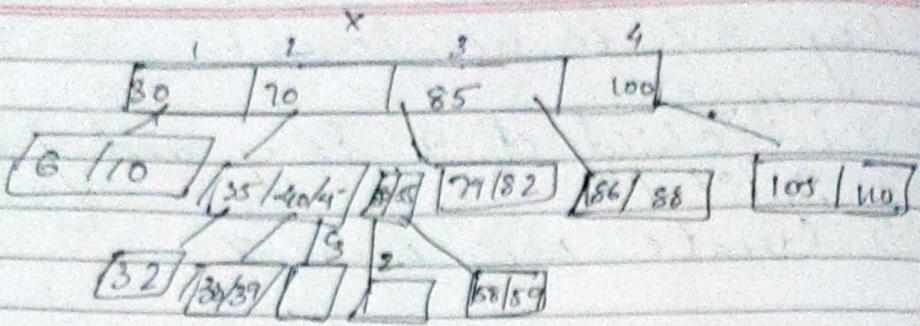
$$\begin{cases} 1+3=4 \\ 5+4=9 \end{cases}$$

[50 155] z

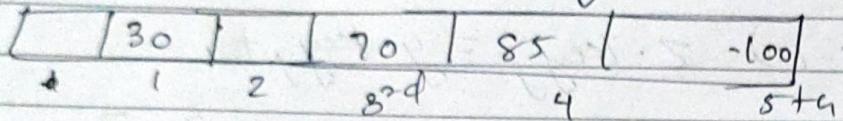
$z + g$  is not leaf // required to move  
child pointer //  
child t //

$$z \cdot c[1] = y \cdot c[4]$$

$$z \cdot c[2] = y \cdot c[5]$$



// move median node to parent  $x$   
 i.e; 45 move to 9 [ $t=3$ ]      3<sup>rd</sup> key  
                                         move to  
                                         parent.  
 just we move key & child pointers : ③  
 $x$  (parent) one right shift.

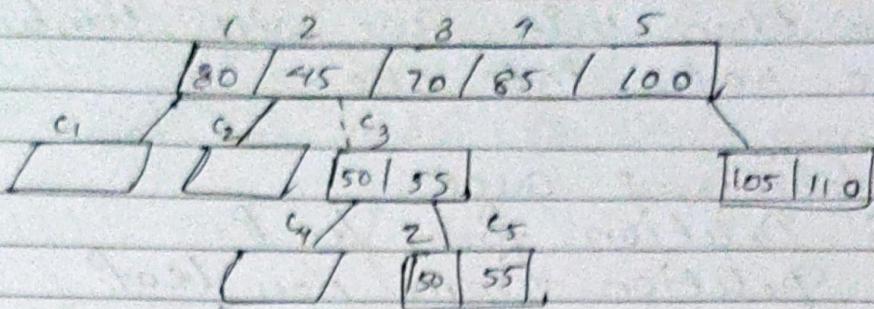


for  $j = 2 \cdot n(4)$  down to  $i$   
 $\pi \cdot \text{key} = 2 - \text{key}_{j+1}$

$\text{key}[6] = \text{key}[5]$       so 100 move  
 from 5 to 6

//  $\pi \cdot \text{key}[i] = g \cdot \text{key}[3]$

for  $j = 2 \cdot n + 1$  down to  $i + 1$  //  $J = 5$   
 $\pi \cdot c[6] = \pi \cdot c[5]$       child  
 $\pi \cdot c[i+1] = 2$       pointer  
                                         // child  
                                         pointer  
                                         link

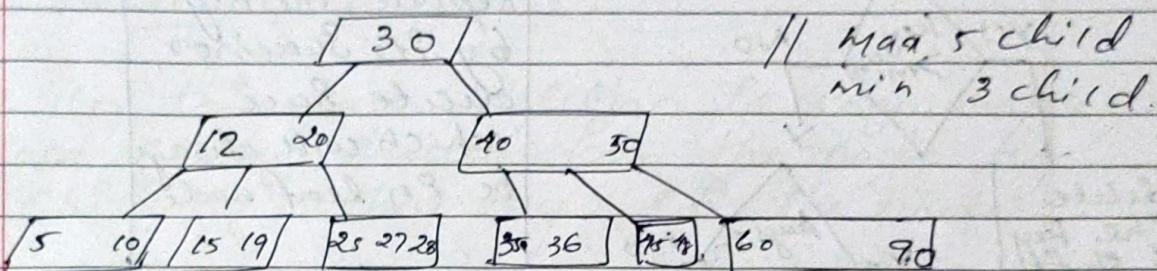


Disk Write (a)

Disk Write (c<sub>3</sub>)

Disk Write (c<sub>4</sub>)

Case 2: Insert non full. [node P<sub>0</sub> not full]



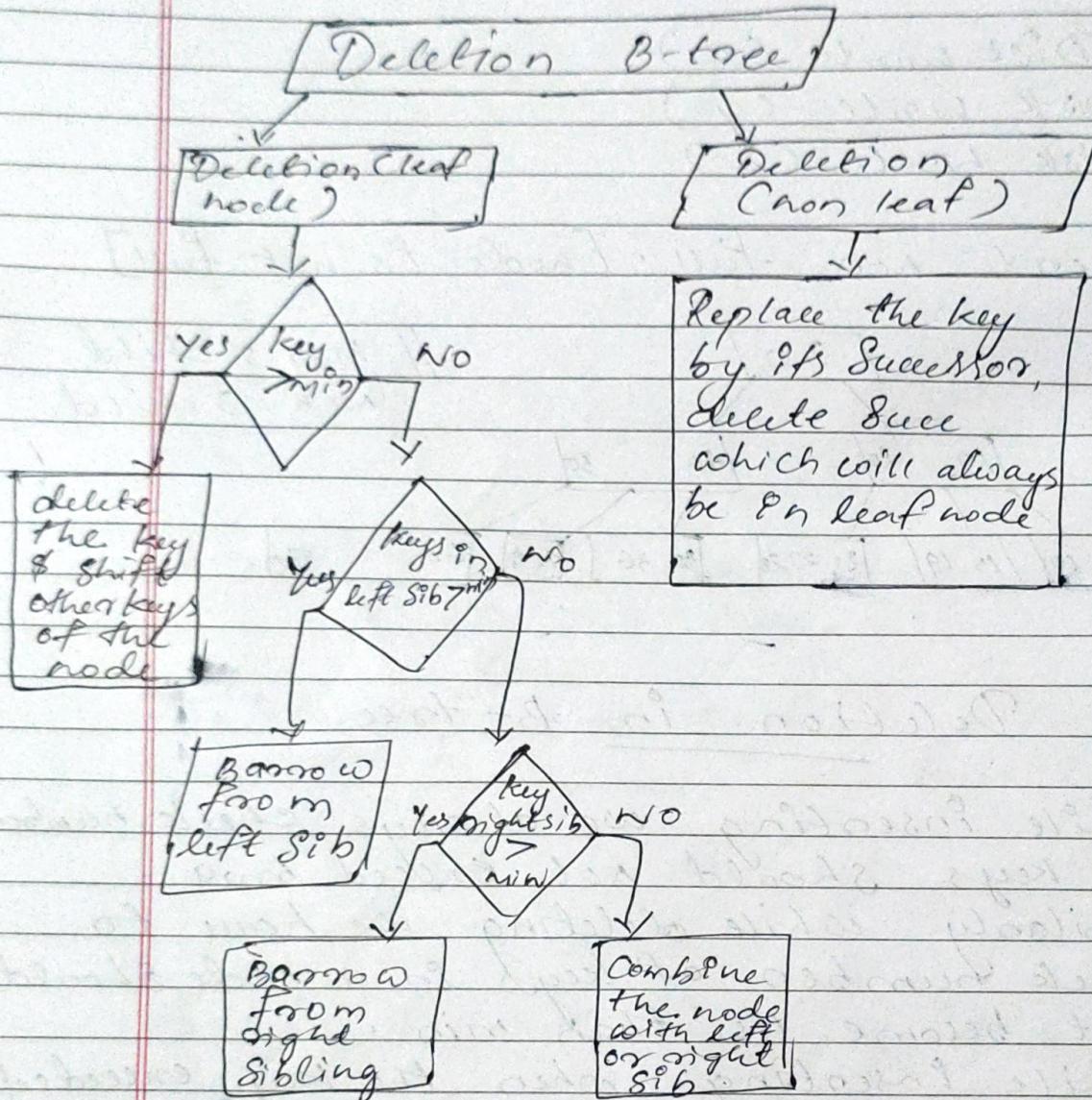
### Deletion in B-tree

- \* While inserting we always check number of keys should not exceed max.
- \* Similarly while deleting we have to check number of keys in a node should not become less than min.
- \* While inserting when the key exceeded max we splitted the node into two nodes and median key went to parent node.
- \* While deleting when the keys will become

less than min we will combine two nodes into one.

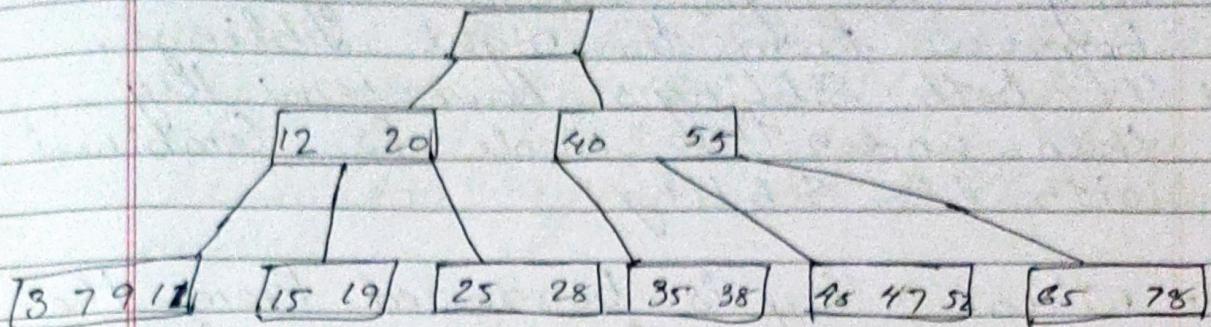
### Deletion 2 cases

- ① Deletion from leaf
- ② Deletion from non leaf



## Deletion from leaf node

- \* If node has more than min keys



Delete 7, 52

In this case, deletion is very simple; keys are easily deleted from the node by shifting other keys of the node.

Delete 9, 11

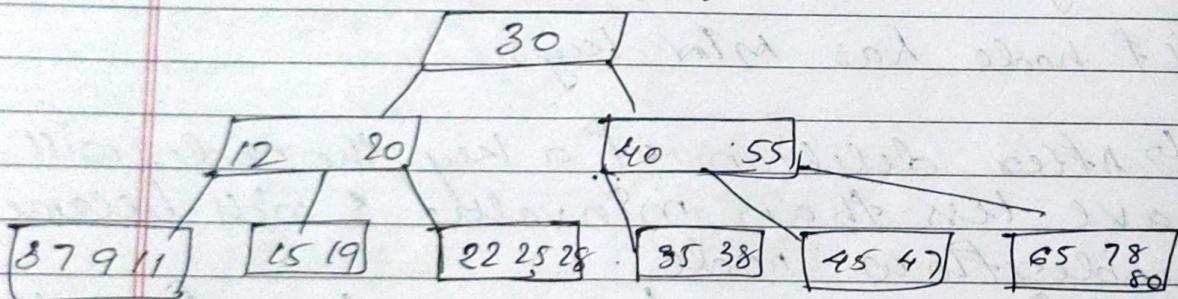
9 and 11 can be shifted left to fill the gap created by 7

- \* If node has min keys

After deletion of a key, the node will have less than min value & will become underflow node.

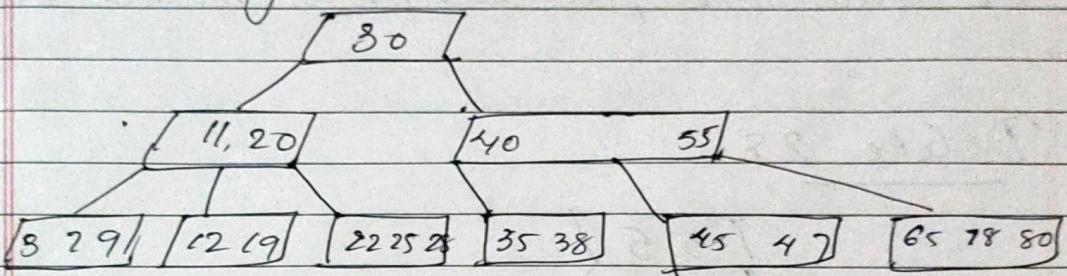
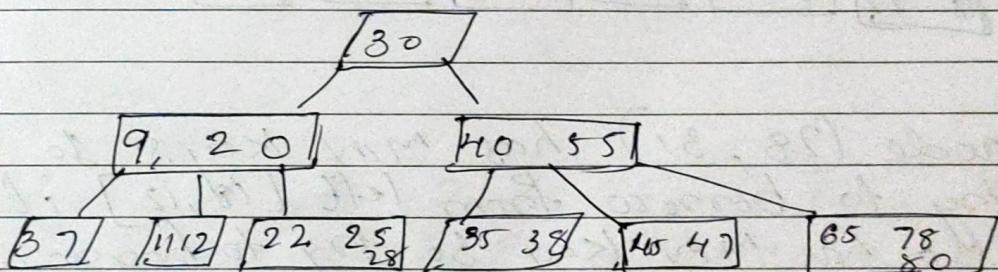
In this case, we borrow a key from the left or right siblings if anyone of them has more than min keys.

- In our algorithm we will first try to borrow a key from the left siblings, if the left sibling has only min keys, then we try to borrow from the right siblings.
- If both siblings have min key then under flow node, P is combined with its siblings.
- When a key is borrowed from the left sibling, separator key in the parent P is moved to the underflow node and the last key from the left sibling is moved to parent.
- When a key is borrowed from the right sibling, separator key in the parent P is moved to the underflow node and 1<sup>st</sup> key from the right sibling is moved to parent. All the remaining keys in the right sibling are moved one position left.



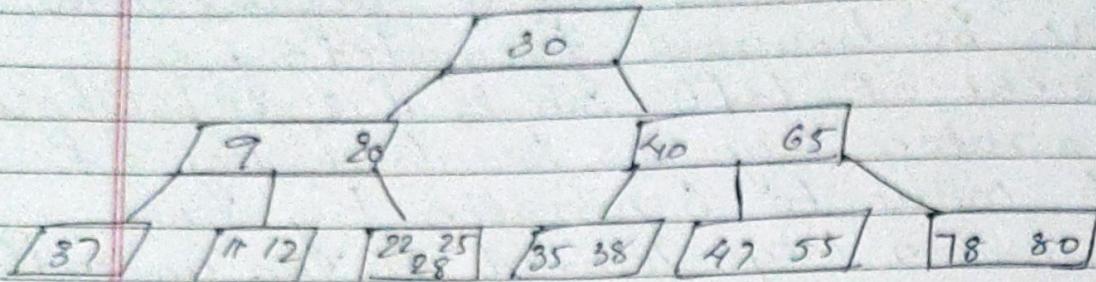
Delete 15

If we delete 15, node became min value so borrow from left.  
 Parent of left sib is [12, 20] whose separator key 12. So last key of left move to separator key and separator key moved to the underflow node.

Resulting treeDelete 45

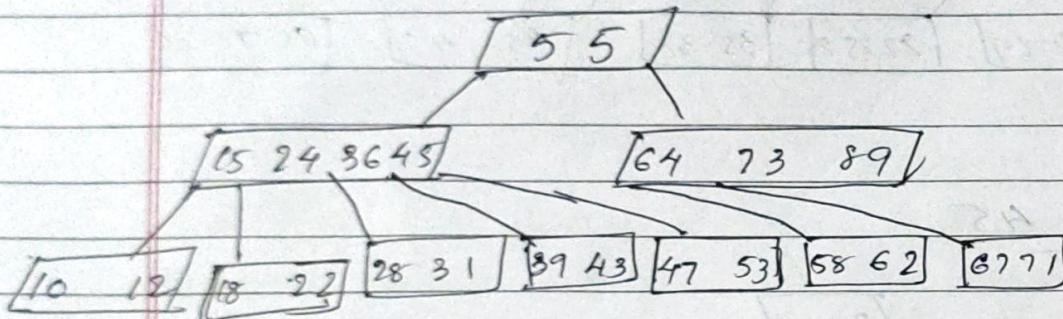
- \* If we delete 45, left sibling of [35, 38] which has only min value so borrow from right sib [65, 78, 80]. 1<sup>st</sup> key of right sib move to parent node and separator key (55) move to

underflow in underflow ~~8~~ 45  
shifter left to make room for 55



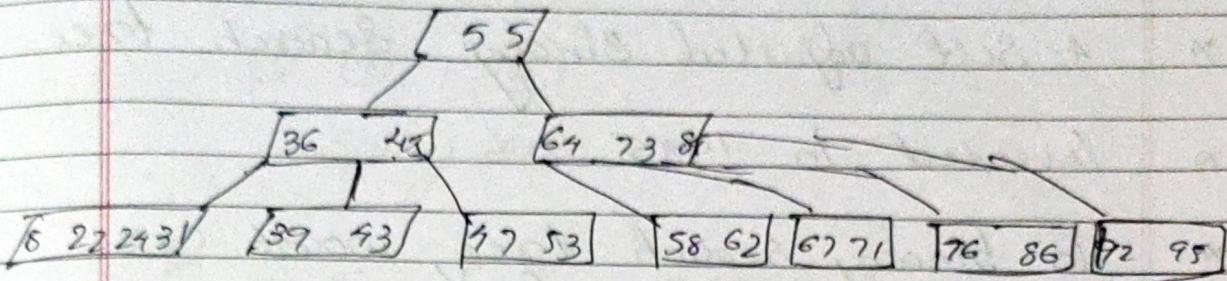
- \* If left & right sib have min value, then we can't borrow.
- \* In this case, underflow node combined

Delete 28



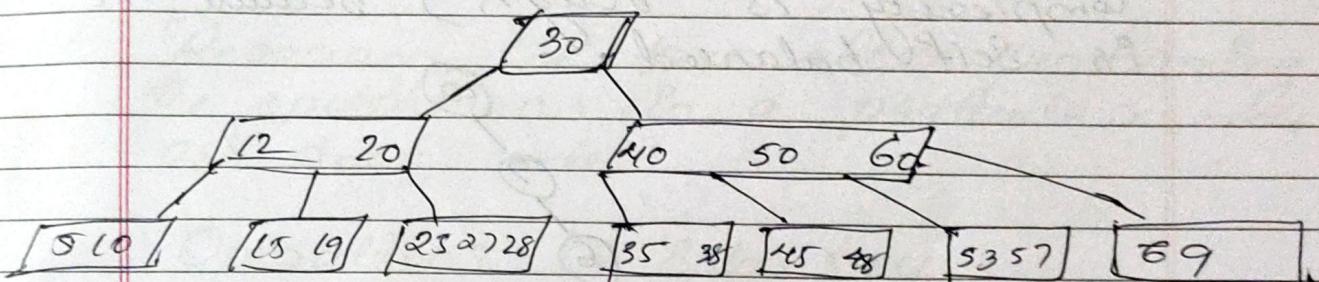
node (28, 31) has min. keys so try to borrow from left [18, 12] if also has min key so try to borrow from right [39, 43], which also has min keys 80 after deletion of 28. we combine underflow node with its left sib. for combining these two nodes the separation key (24) from the

parent will move down in the combined node.



### Non - leaf node

In this case, Successor key is copied at the place of the key to be deleted and then the Successor is deleted.



### Delete 12

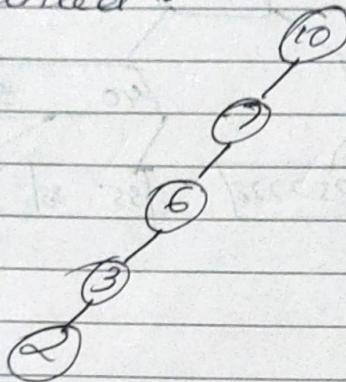
Successor of 12 is 15 So copy 15 to 12. Then our task is deletion of 15 from leaf by borrowing a key from right sibling & move to parent. So separator key moves down.

~~29/1/21~~

classmate  
Date \_\_\_\_\_  
Page \_\_\_\_\_

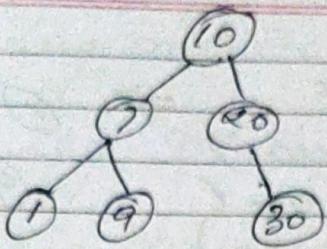
## Splay Tree

- \* A Self adjusted Binary Search tree.
  - \* Invented in 1985.
  - \* In binary search tree, worst case time complexity =  $O(n)$ , but best case time complexity is  $O(\log n)$ . Its best case time complexity is  $O(\log \log n)$  or  $O(1)$ .
  - \* Because left skewed or right skewed
- ↳ But in AVL RB ; B tree the time complexity is  $O(\log n)$  because it is self balanced.



## Properties of Splay tree

1. \* Splaying
- \* Splay tree are roughly balanced tree.



Splay tree

## Operations in BST

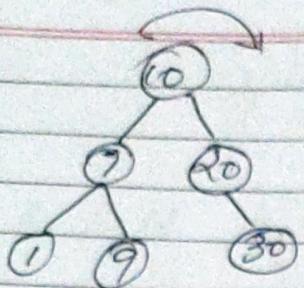
- x Insertion
- x Deletion
- x Search
  
- x Rearrang the tree after performing the operations in a particular node as root node

## Operations in Splay tree

- Splaying + Search
- Splaying + insertion
- Splaying + deletion

eg: Search 9, then 10 > 7 > 9

- x Operation performed (Search & find 9) then perform splaying ie; make 9 as the root. So to make 9' as root we want to make some rotations.



Search

7 f

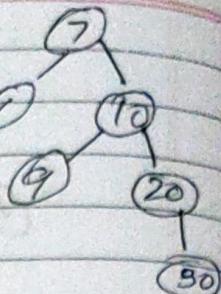
 $\Rightarrow$ 

replace 7

with root

(Splaying)

so Right Rotat



Right Rotat

$\hookrightarrow$  Right Rotation is called Zig Rotation

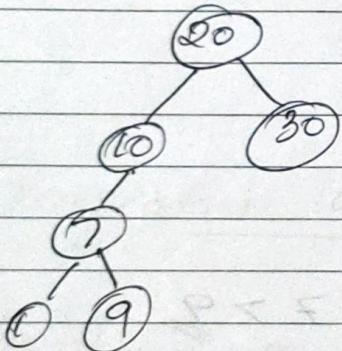
Case 1: Search item is root node

Case 2: Search item is child of root node

a. Left child - Zig

b. Right child - Zag

\* If we want to search 20 so Replace 20 with root (Splaying) so left rotation  $\Rightarrow$  other name is Zag.



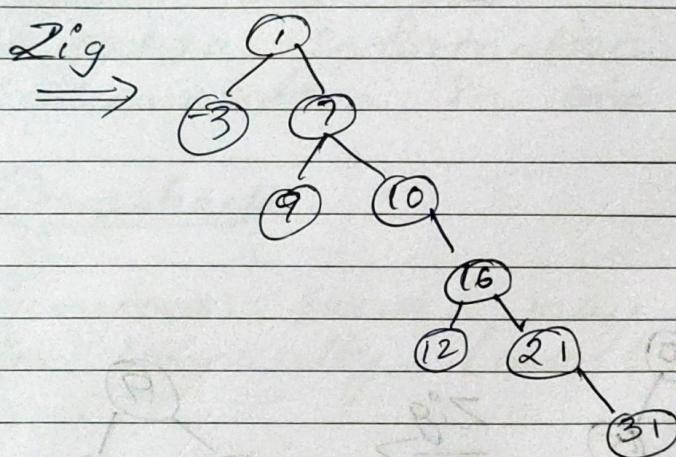
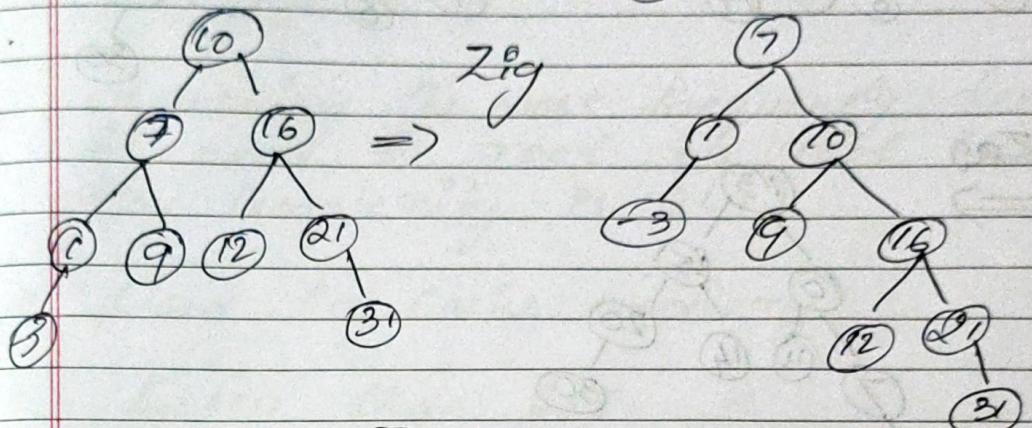
Case 3: Search item have parent & grand parent then 4 rotation

a. Zig Zag rotation (Right - Rotation)

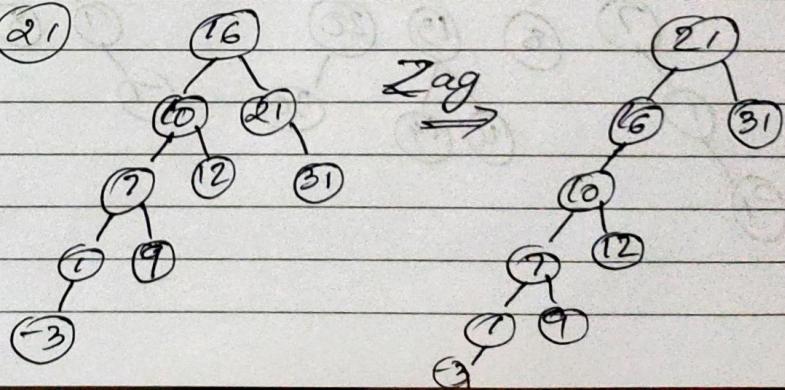
- b. Zig Zag rotation (Left rotation)
- c. Z<sup>o</sup>g Zag rotation (Right - Left Rotate)
- d. Zag Z<sup>o</sup>g rotation (Left - Right Rotate)

ex:

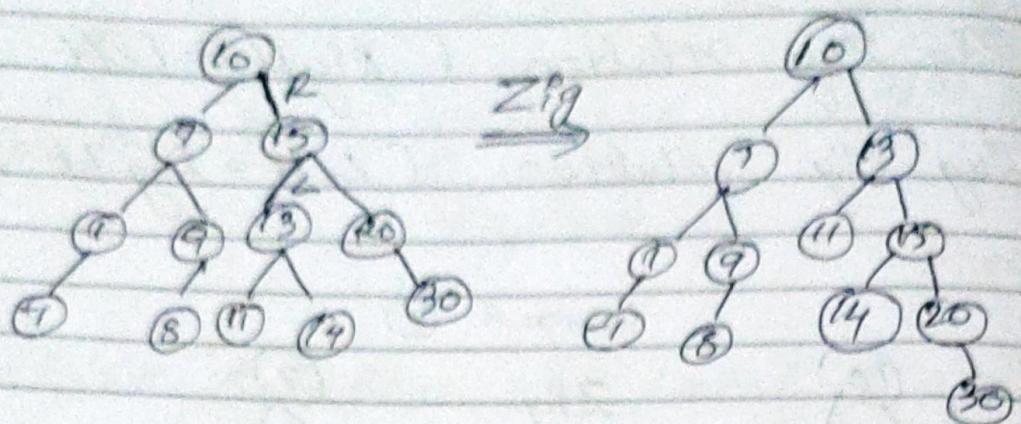
a.



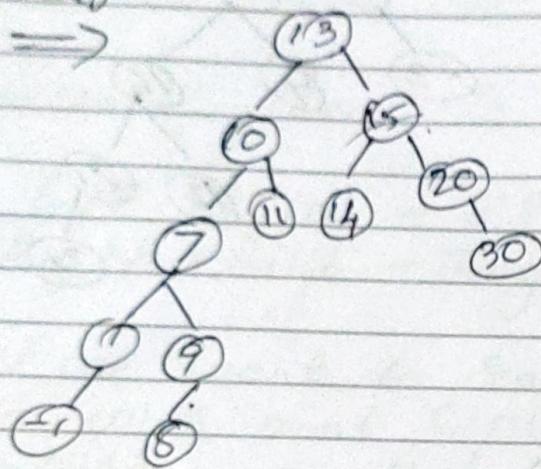
b. Search ② 1



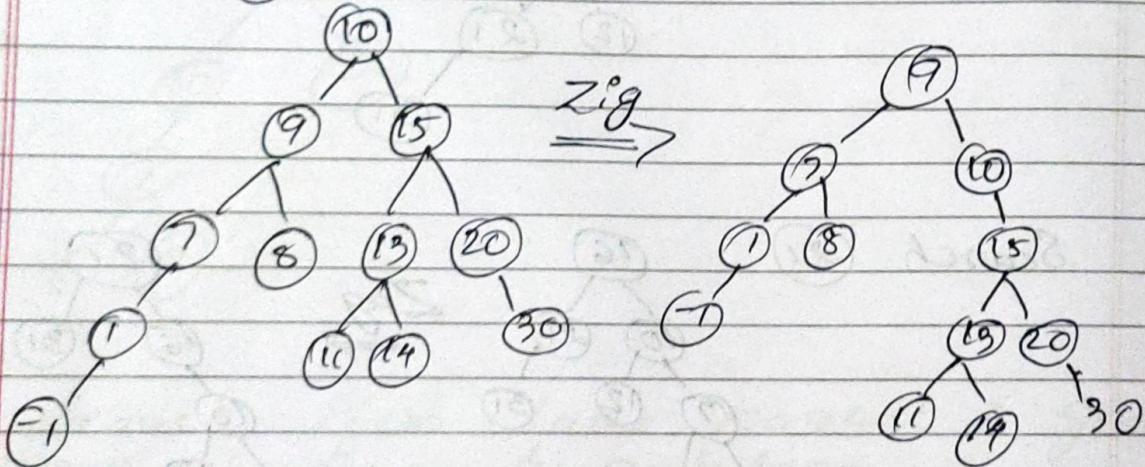
c Search 13



Zag



d Search 9



- If node  $P_s$  in right side, so zig  
ie; left rotation
- If node  $P_s$  in left side, so zig  
ie; right rotation

### Advantages

- Searching the most frequently data could be near to root or root itself so time complexity is  $O(1)$
- Used in cache memory
- Faster than BST
- No extra information needed
- Implementation in cache memory

### Drawback

- Sometimes skewed may happen then time complexity [worst case  $O(n)$ ] ]