# Noise Explorer: X1K

May 8, 2019

# 1 Message A

# 1.1 Message Pattern Analysis

Message A is the first message in the X1K Noise Handshake Pattern. It is sent from the initiator to the responder. In this detailed analysis, we attempt to give you some insight into the protocol logic underlying this message. The insight given here does not fully extend down to fully illustrate the exact state transformations conducted by the formal model, but it does describe them at least informally in order to help illustrate how Message A affects the protocol.

# 1.1.1 Sending Message A

In the applied pi calculus, the initiator's process prepares Message A using the following function:

```
letfun writeMessage a (me: principal, them: principal, hs: handshakestate, payload:
    \hookrightarrow bitstring, sid:sessionid) =
           \mathbf{let} \hspace{0.2cm} (\hspace{0.1cm} \mathtt{ss:symmetricstate} \hspace{0.1cm}, \hspace{0.1cm} \mathtt{s:keypair} \hspace{0.1cm}, \hspace{0.1cm} \mathtt{e:keypair} \hspace{0.1cm}, \hspace{0.1cm} \mathtt{rs:key} \hspace{0.1cm}, \hspace{0.1cm} \mathtt{re:key} \hspace{0.1cm}, \hspace{0.1cm} \mathtt{psk:key} \hspace{0.1cm},
                \hookrightarrow initiator:bool) = handshakestateunpack(hs) in
           let (ne: bitstring , ns: bitstring , ciphertext: bitstring) = (empty, empty ,
                \hookrightarrow empty) in
           let e = generate keypair(key e(me, them, sid)) in
           let ne = key2bit(getpublickey(e)) in
           let ss = mixHash(ss, ne) in
           (* No PSK, so skipping mixKey *)
           let ss = mixKey(ss, dh(e, rs)) in
           let (ss:symmetricstate, ciphertext:bitstring) = encryptAndHash(ss,
                \hookrightarrow payload) in
           let hs = handshakestatepack(ss, s, e, rs, re, psk, initiator) in
           let message buffer = concat3 (ne, ns, ciphertext) in
           (hs, message buffer).
```

# How each token is processed by the initiator:

- e: Signals that the initiator is sending a fresh ephemeral key share as part of this message. This token adds the following state transformations to writeMessage\_a:
  - mixHash, which hashes the new key into the session hash.

- es: Signals that the initiator is calculating a Diffie-Hellman shared secret derived from the initiator's ephemeral key and the responder's static key as part of this message. This token adds the following state transformations to writeMessage\_a:
  - mixKey, which calls the HKDF function using, as input, the existing SymmetricState key, and dh(e, rs), the Diffie-Hellman share calculated from the initiator's ephemeral key and the responder's static key.

If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message A's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• encryptAndHash, which performs an authenticated encryption with added data (AEAD) on the payload, with the session hash as the added data (encryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

# 1.1.2 Receiving Message A

In the applied pi calculus, the initiator's process prepares Message A using the following function:

```
letfun readMessage a (me: principal, them: principal, hs: handshakestate, message:
   → bitstring , sid:sessionid) =
        let (ss:symmetricstate, s:keypair, e:keypair, rs:key, re:key, psk:key,

    initiator:bool) = handshakestateunpack(hs) in

        let (ne:bitstring, ns:bitstring, ciphertext:bitstring) = deconcat3(
           → message) in
        let valid1 = true in
        let re = bit2key(ne) in
        let ss = mixHash(ss, key2bit(re)) in
        (* No PSK, so skipping mixKey *)
        let ss = mixKey(ss, dh(s, re)) in
        let (ss:symmetric state, plaintext:bitstring, valid 2:bool) =
           \hookrightarrow decryptAndHash(ss, ciphertext) in
        if ((valid1 && valid2)) then (
                 let hs = handshakestatepack(ss, s, e, rs, re, psk, initiator) in
                 (hs, plaintext, true)
        ) .
```

### How each token is processed by the responder:

- e: Signals that the responder is receiving a fresh ephemeral key share as part of this message. This token adds the following state transformations to readMessage\_a:
  - mixHash, which hashes the new key into the session hash.
- es: Signals that the responder is calculating a Diffie-Hellman shared secret derived from the initiator's ephemeral key and the responder's static key as part of this message. This token adds the following state transformations to readMessage\_a:

- mixKey, which calls the HKDF function using, as input, the existing SymmetricState key, and dh(e, rs), the Diffie-Hellman share calculated from the initiator's ephemeral key and the responder's static key.

If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message A's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• decryptAndHash, which performs an authenticated decryption with added data (AEAD) on the payload, with the session hash as the added data (decryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

### 1.1.3 Queries and Results

Message A is tested against four authentication queries and five confidentiality queries.

#### Authentication Grade 1: Failed

```
RESULT event (RecvMsg(bob, alice, stagepack_a(sid_b),m)) \Longrightarrow event (SendMsg(alice, c_1382, stagepack_a(sid_a),m)) || event (LeakS(phase0, alice)) || event (LeakS \hookrightarrow (phase0, bob)) cannot be proved.
```

In this query, we test for *sender authentication* and *message integrity*. If Bob receives a valid message from Alice, then Alice must have sent that message to *someone*, or Alice had their static key compromised before the session began, or Bob had their static key compromised before the session began.

#### Authentication Grade 2: Failed

```
RESULT event (RecvMsg(bob, alice, stagepack_a(sid_b),m)) \Longrightarrow event (SendMsg(alice, \hookrightarrow c_1382, stagepack_a(sid_a),m)) || event (LeakS(phase0, alice)) cannot be \hookrightarrow proved.
```

In this query, we test for *sender authentication* and is *Key Compromise Impersonation* resistance. If Bob receives a valid message from Alice, then Alice must have sent that message to *someone*, or Alice had their static key compromised before the session began.

#### **Authentication Grade 3: Failed**

```
RESULT event (RecvMsg(bob, alice, stagepack_a(sid_b),m)) \Longrightarrow event (SendMsg(alice, \hookrightarrow bob, stagepack_a(sid_a),m)) || event (LeakS(phase0, alice)) || event (LeakS(\hookrightarrow phase0, bob)) cannot be proved.
```

In this query, we test for sender and receiver authentication and message integrity. If Bob receives a valid message from Alice, then Alice must have sent that message to Bob specifically, or Alice had their static key compromised before the session began, or Bob had their static key compromised before the session began.

### **Authentication Grade 4: Failed**

```
RESULT event (RecvMsg(bob, alice, stagepack_a(sid_b),m)) \Longrightarrow event (SendMsg(alice, \hookrightarrow bob, stagepack_a(sid_a),m)) || event(LeakS(phase0, alice)) cannot be proved.
```

In this query, we test for sender and receiver authentication and is Key Compromise Impersonation resistance. If Bob receives a valid message from Alice, then Alice must have sent that message to Bob specifically, or Alice had their static key compromised before the session began.

# Confidentiality Grade 1: Passed

```
RESULT attacker_p1(msg_a(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || event \hookrightarrow (LeakS(phase1,bob)) is true.
```

In this query, we test for *message secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Bob's static key either before or after the protocol session.

# Confidentiality Grade 2: Passed

```
RESULT attacker_p1(msg_a(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || event \hookrightarrow (LeakS(phase1,bob)) is true.
```

In this query, we test for *message secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Bob's static key either before or after the protocol session.

# Confidentiality Grade 3: Failed

```
RESULT attacker_p1(msg_a(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || ( \hookrightarrow event(LeakS(px,bob)) && event(LeakS(pz,alice))) cannot be proved.
```

In this query, we test for *forward secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Bob's static key before the protocol session, or after the protocol session along with Alice's static public key (at any time.)

### Confidentiality Grade 4: Failed

```
RESULT attacker_p1(msg_a(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || ( \hookrightarrow event(LeakS(px,bob)) && event(LeakS(pz,alice))) cannot be proved.
```

In this query, we test for *weak forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Bob's static key before the protocol session, or after the protocol session along with Alice's static public key (at any time.)

### Confidentiality Grade 5: Failed

```
RESULT attacker_p1(msg_a(alice,bob,sid_a)) \implies event(LeakS(phase0,bob)) cannot \mapsto be proved.
```

In this query, we test for *strong forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Bob's static key before the protocol session.

# 2 Message B

# 2.1 Message Pattern Analysis

Message B is the second message in the X1K Noise Handshake Pattern. It is sent from the responder to the initiator. In this detailed analysis, we attempt to give you some insight into the protocol logic underlying this message. The insight given here does not fully extend down to fully illustrate the exact state transformations conducted by the formal model, but it does describe them at least informally in order to help illustrate how Message B affects the protocol.

# 2.1.1 Sending Message B

In the applied pi calculus, the initiator's process prepares Message B using the following function:

```
letfun writeMessage b(me:principal, them:principal, hs:handshakestate, payload:
   → bitstring, sid:sessionid) =
        let (ss:symmetricstate, s:keypair, e:keypair, rs:key, re:key, psk:key,
           → initiator:bool) = handshakestateunpack(hs) in
        let (ne: bitstring , ns: bitstring , ciphertext: bitstring) = (empty, empty,
           \hookrightarrow empty) in
        let e = generate_keypair(key_e(me, them, sid)) in
        let ne = key2bit(getpublickey(e)) in
        let ss = mixHash(ss, ne) in
        (* No PSK, so skipping mixKey *)
        let ss = mixKey(ss, dh(e, re)) in
        let (ss:symmetricstate, ciphertext:bitstring) = encryptAndHash(ss,
           → payload) in
        let hs = handshakestatepack(ss, s, e, rs, re, psk, initiator) in
        let message buffer = concat3(ne, ns, ciphertext) in
        (hs, message_buffer).
```

### How each token is processed by the responder:

- e: Signals that the responder is sending a fresh ephemeral key share as part of this message. This token adds the following state transformations to writeMessage\_b:
  - mixHash, which hashes the new key into the session hash.
- ee: Signals that the responder is calculating a Diffie-Hellman shared secret derived from the initiator's ephemeral key and the responder's ephemeral key as part of this message. This token adds the following state transformations to writeMessage\_b:
  - mixKey, which calls the HKDF function using, as input, the existing SymmetricState key, and dh(e, re), the Diffie-Hellman share calculated from the initiator's ephemeral key and the responder's ephemeral key.

If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message B's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• encryptAndHash, which performs an authenticated encryption with added data (AEAD) on the payload, with the session hash as the added data (encryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

# 2.1.2 Receiving Message B

In the applied pi calculus, the initiator's process prepares Message B using the following function:

```
{\bf let fun} \ \ {\bf readMessage\_b} \ ({\bf me:principal} \ , \ \ {\bf them:principal} \ , \ \ {\bf hs:handshakestate} \ , \ \ {\bf message:message:handshakestate} \ , \ \ {\bf message:handshakestate} 
              → bitstring , sid:sessionid) =
                                 let (ss:symmetricstate, s:keypair, e:keypair, rs:key, re:key, psk:key,
                                               → initiator:bool) = handshakestateunpack(hs) in
                                 let (ne:bitstring, ns:bitstring, ciphertext:bitstring) = deconcat3(
                                               \rightarrow message) in
                                 let valid1 = true in
                                  let re = bit2key(ne) in
                                 let ss = mixHash(ss, key2bit(re)) in
                                  (* No PSK, so skipping mixKey *)
                                 let ss = mixKey(ss, dh(e, re)) in
                                  let (ss:symmetricstate, plaintext:bitstring, valid2:bool) =

→ decryptAndHash(ss, ciphertext) in

                                  if ((valid1 && valid2)) then (
                                                                   let hs = handshakestatepack(ss, s, e, rs, re, psk, initiator) in
                                                                   (hs, plaintext, true)
                                 ) .
```

### How each token is processed by the initiator:

- e: Signals that the initiator is receiving a fresh ephemeral key share as part of this message. This token adds the following state transformations to readMessage\_b:
  - mixHash, which hashes the new key into the session hash.
- ee: Signals that the initiator is calculating a Diffie-Hellman shared secret derived from the initiator's ephemeral key and the responder's ephemeral key as part of this message. This token adds the following state transformations to readMessage\_b:
  - mixKey, which calls the HKDF function using, as input, the existing SymmetricState key, and dh(e, re), the Diffie-Hellman share calculated from the initiator's ephemeral key and the responder's ephemeral key.

If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message B's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• decryptAndHash, which performs an authenticated decryption with added data (AEAD) on the payload, with the session hash as the added data (decryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

# 2.1.3 Queries and Results

Message B is tested against four authentication queries and five confidentiality queries.

#### Authentication Grade 1: Passed

```
RESULT event (RecvMsg(alice, bob, stagepack_b(sid_a),m)) \Longrightarrow event (SendMsg(bob, c_1382, stagepack_b(sid_b),m)) || event (LeakS(phase0,bob)) || event (LeakS(phase0,alice)) is true.
```

In this query, we test for *sender authentication* and *message integrity*. If Alice receives a valid message from Bob, then Bob must have sent that message to *someone*, or Bob had their static key compromised before the session began, or Alice had their static key compromised before the session began.

### Authentication Grade 2: Passed

```
RESULT event (RecvMsg(alice, bob, stagepack_b(sid_a),m)) \Longrightarrow event (SendMsg(bob, c_1382, stagepack_b(sid_b),m)) || event (LeakS(phase0,bob)) is true.
```

In this query, we test for *sender authentication* and is *Key Compromise Impersonation* resistance. If Alice receives a valid message from Bob, then Bob must have sent that message to *someone*, or Bob had their static key compromised before the session began.

#### **Authentication Grade 3: Failed**

```
RESULT event (RecvMsg(alice,bob,stagepack_b(sid_a),m)) \Longrightarrow event (SendMsg(bob, \hookrightarrow alice,stagepack_b(sid_b),m)) || event(LeakS(phase0,bob)) || event(LeakS(\hookrightarrow phase0,alice)) cannot be proved.
```

In this query, we test for sender and receiver authentication and message integrity. If Alice receives a valid message from Bob, then Bob must have sent that message to Alice specifically, or Bob had their static key compromised before the session began, or Alice had their static key compromised before the session began.

### Authentication Grade 4: Failed

```
RESULT event (RecvMsg(alice, bob, stagepack_b(sid_a),m)) \Longrightarrow event (SendMsg(bob, \hookrightarrow alice, stagepack_b(sid_b),m)) || event(LeakS(phase0,bob)) cannot be proved.
```

In this query, we test for sender and receiver authentication and is Key Compromise Impersonation resistance. If Alice receives a valid message from Bob, then Bob must have sent that message to Alice specifically, or Bob had their static key compromised before the session began.

### Confidentiality Grade 1: Passed

```
RESULT attacker_p1(msg_b(bob, alice, sid_b)) \implies event(LeakS(phase0, alice)) || \mapsto event(LeakS(phase1, alice)) is true.
```

In this query, we test for *message secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Alice's static key either before or after the protocol session.

# Confidentiality Grade 2: Failed

```
RESULT attacker_p1(msg_b(bob, alice, sid_b)) \implies event(LeakS(phase0, alice)) || \mapsto event(LeakS(phase1, alice)) cannot be proved.
```

In this query, we test for *message secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Alice's static key either before or after the protocol session.

### Confidentiality Grade 3: Passed

```
RESULT attacker_p1(msg_b(bob, alice, sid_b)) \Longrightarrow event(LeakS(phase0, alice)) || ( \hookrightarrow event(LeakS(px, alice)) && event(LeakS(pz, bob))) is true.
```

In this query, we test for *forward secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Alice's static key before the protocol session, or after the protocol session along with Bob's static public key (at any time.)

# Confidentiality Grade 4: Failed

```
RESULT attacker_p1(msg_b(bob, alice, sid_b)) \Longrightarrow event(LeakS(phase0, alice)) || ( \hookrightarrow event(LeakS(px, alice)) && event(LeakS(pz, bob))) cannot be proved.
```

In this query, we test for *weak forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Alice's static key before the protocol session, or after the protocol session along with Bob's static public key (at any time.)

# Confidentiality Grade 5: Failed

```
RESULT attacker_p1(msg_b(bob, alice, sid_b)) \implies event(LeakS(phase0, alice)) cannot \mapsto be proved.
```

In this query, we test for *strong forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Alice's static key before the protocol session.

# 3 Message C

# 3.1 Message Pattern Analysis

Message C is the third message in the X1K Noise Handshake Pattern. It is sent from the initiator to the responder. In this detailed analysis, we attempt to give you some insight into the protocol logic underlying this message. The insight given here does not fully extend down to fully illustrate the exact state transformations conducted by the formal model, but it does describe them at least informally in order to help illustrate how Message C affects the protocol.

# 3.1.1 Sending Message C

In the applied pi calculus, the initiator's process prepares Message C using the following function:

```
 \begin{array}{l} \textbf{letfun} \  \, write Message\_c \, (me:principal \, , \ them:principal \, , \ hs:handshake state \, , \ payload: \\ \hookrightarrow \  \, bitstring \, , \ sid:sessionid \, ) \, = \\ \end{array}
```

# How each token is processed by the initiator:

- s: Signals that the initiator is sending a static key share as part of this message. This token adds the following state transformations to writeMessage\_c:
  - encryptAndHash is called on the static public key. If any prior Diffie-Hellman shared secret was established between the sender and the recipient, this allows the initiator to communicate their long-term identity with some degree of confidentiality.

Message C's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• encryptAndHash, which performs an authenticated encryption with added data (AEAD) on the payload, with the session hash as the added data (encryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

# 3.1.2 Receiving Message C

In the applied pi calculus, the initiator's process prepares Message C using the following function:

```
letfun readMessage c(me:principal, them:principal, hs:handshakestate, message:
                \hookrightarrow bitstring, sid:sessionid) =
                                       let (ss:symmetricstate, s:keypair, e:keypair, rs:key, re:key, psk:key,
                                                       → initiator:bool) = handshakestateunpack(hs) in
                                       let (ne:bitstring, ns:bitstring, ciphertext:bitstring) = deconcat3(
                                                       → message) in
                                       let valid1 = true in
                                        \textbf{let} \hspace{0.1in} (\hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em}, \hspace{0.1em} valid1:bool) \hspace{0.1em} = \hspace{0.1em} decryptAndHash(ss \hspace{0.1em}, \hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em}, \hspace{0.1em} valid1:bool) \hspace{0.1em} = \hspace{0.1em} decryptAndHash(ss \hspace{0.1em}, \hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em}, \hspace{0.1em} valid1:bool) \hspace{0.1em} = \hspace{0.1em} decryptAndHash(ss \hspace{0.1em}, \hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em}, \hspace{0.1em} valid1:bool) \hspace{0.1em} = \hspace{0.1em} decryptAndHash(ss \hspace{0.1em}, \hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em}, \hspace{0.1em} valid1:bool) \hspace{0.1em} = \hspace{0.1em} decryptAndHash(ss \hspace{0.1em}, \hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em}, \hspace{0.1em} valid1:bool) \hspace{0.1em} = \hspace{0.1em} decryptAndHash(ss \hspace{0.1em}, \hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em}, \hspace{0.1em} valid1:bool) \hspace{0.1em} = \hspace{0.1em} decryptAndHash(ss \hspace{0.1em}, \hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em}, \hspace{0.1em} valid1:bool) \hspace{0.1em} = \hspace{0.1em} decryptAndHash(ss \hspace{0.1em}, \hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em}, \hspace{0.1em} ss:symmetric state \hspace{0.1em}, \hspace{0.1em} ne:bitstring \hspace{0.1em},
                                                       \hookrightarrow ns) in
                                       let rs = bit2key(ne) in
                                        let (ss:symmetricstate, plaintext:bitstring, valid2:bool) =
                                                         \hookrightarrow decryptAndHash(ss, ciphertext) in
                                        if ((valid1 && valid2) && (rs = getpublickey(generate keypair(key s(them
                                                       \hookrightarrow ))))) then (
                                                                               let hs = handshakestatepack(ss, s, e, rs, re, psk, initiator) in
                                                                                (hs, plaintext, true)
                                       ) .
```

# How each token is processed by the responder:

- s: Signals that the responder is receiving a static key share as part of this message. This token adds the following state transformations to readMessage\_c:
  - encryptAndHash is called on the static public key. If any prior Diffie-Hellman shared secret was established between the sender and the recipient, this allows the initiator to communicate their long-term identity with some degree of confidentiality.

Message C's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• decryptAndHash, which performs an authenticated decryption with added data (AEAD) on the payload, with the session hash as the added data (decryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

### 3.1.3 Queries and Results

Message C is tested against four authentication queries and five confidentiality queries.

# **Authentication Grade 1: Failed**

```
RESULT event (RecvMsg(bob, alice, stagepack_c(sid_b),m)) \Longrightarrow event (SendMsg(alice, c_1382, stagepack_c(sid_a),m)) || event (LeakS(phase0, alice)) || event (LeakS \hookrightarrow (phase0, bob)) cannot be proved.
```

In this query, we test for *sender authentication* and *message integrity*. If Bob receives a valid message from Alice, then Alice must have sent that message to *someone*, or Alice had their static key compromised before the session began, or Bob had their static key compromised before the session began.

#### Authentication Grade 2: Failed

```
RESULT event (RecvMsg(bob, alice, stagepack_c(sid_b),m)) \Longrightarrow event (SendMsg(alice, \hookrightarrow c_1382, stagepack_c(sid_a),m)) || event (LeakS(phase0, alice)) cannot be \hookrightarrow proved.
```

In this query, we test for *sender authentication* and is *Key Compromise Impersonation* resistance. If Bob receives a valid message from Alice, then Alice must have sent that message to *someone*, or Alice had their static key compromised before the session began.

### **Authentication Grade 3: Failed**

```
RESULT event (RecvMsg(bob, alice, stagepack_c(sid_b),m)) \Longrightarrow event (SendMsg(alice, \hookrightarrow bob, stagepack_c(sid_a),m)) || event(LeakS(phase0, alice)) || event(LeakS(\hookrightarrow phase0,bob)) cannot be proved.
```

In this query, we test for sender and receiver authentication and message integrity. If Bob receives a valid message from Alice, then Alice must have sent that message to Bob specifically, or Alice had their static key compromised before the session began, or Bob had their static key compromised before the session began.

### **Authentication Grade 4: Failed**

```
RESULT event (RecvMsg(bob, alice, stagepack_c(sid_b),m)) \Longrightarrow event (SendMsg(alice, \hookrightarrow bob, stagepack_c(sid_a),m)) || event(LeakS(phase0, alice)) cannot be proved.
```

In this query, we test for sender and receiver authentication and is Key Compromise Impersonation resistance. If Bob receives a valid message from Alice, then Alice must have sent that message to Bob specifically, or Alice had their static key compromised before the session began.

# Confidentiality Grade 1: Passed

```
RESULT attacker_p1(msg_c(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || event \hookrightarrow (LeakS(phase1,bob)) is true.
```

In this query, we test for *message secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Bob's static key either before or after the protocol session.

# Confidentiality Grade 2: Passed

```
RESULT attacker_p1(msg_c(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || event \hookrightarrow (LeakS(phase1,bob)) is true.
```

In this query, we test for *message secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Bob's static key either before or after the protocol session.

# Confidentiality Grade 3: Passed

```
RESULT attacker_p1(msg_c(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || ( \hookrightarrow event(LeakS(px,bob)) && event(LeakS(pz,alice))) is true.
```

In this query, we test for *forward secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Bob's static key before the protocol session, or after the protocol session along with Alice's static public key (at any time.)

### Confidentiality Grade 4: Passed

```
RESULT attacker_p1(msg_c(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || ( \hookrightarrow event(LeakS(px,bob)) && event(LeakS(pz,alice))) is true.
```

In this query, we test for *weak forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Bob's static key before the protocol session, or after the protocol session along with Alice's static public key (at any time.)

### Confidentiality Grade 5: Passed

```
RESULT attacker_p1(msg_c(alice,bob,sid_a)) => event(LeakS(phase0,bob)) is true.
```

In this query, we test for *strong forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Bob's static key before the protocol session.

# 4 Message D

# 4.1 Message Pattern Analysis

Message D is the fourth message in the X1K Noise Handshake Pattern. It is sent from the responder to the initiator. In this detailed analysis, we attempt to give you some insight into the protocol logic underlying this message. The insight given here does not fully extend down to fully illustrate the exact state transformations conducted by the formal model, but it does describe them at least informally in order to help illustrate how Message D affects the protocol.

# 4.1.1 Sending Message D

In the applied pi calculus, the initiator's process prepares Message D using the following function:

### How each token is processed by the responder:

- se: Signals that the responder is calculating a Diffie-Hellman shared secret derived from the initiator's static key and the responder's ephemeral key as part of this message. This token adds the following state transformations to writeMessage\_d:
  - mixKey, which calls the HKDF function using, as input, the existing SymmetricState key, and dh(s, re), the Diffie-Hellman share calculated from the initiator's static key and the responder's ephemeral key.

If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message D's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• encryptAndHash, which performs an authenticated encryption with added data (AEAD) on the payload, with the session hash as the added data (encryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

# 4.1.2 Receiving Message D

In the applied pi calculus, the initiator's process prepares Message D using the following function:

```
letfun readMessage d(me:principal, them:principal, hs:handshakestate, message:
   → bitstring , sid:sessionid ) =
        let (ss:symmetricstate, s:keypair, e:keypair, rs:key, re:key, psk:key,

→ initiator:bool) = handshakestateunpack(hs) in

        let (ne: bitstring , ns: bitstring , ciphertext: bitstring) = deconcat3(
           → message) in
        let valid1 = true in
        let ss = mixKey(ss, dh(s, re)) in
        let (ss:symmetricstate, plaintext:bitstring, valid2:bool) =

→ decryptAndHash(ss, ciphertext) in

        if ((valid1 && valid2)) then (
                 let hs = handshakestatepack(ss, s, e, rs, re, psk, initiator) in
                 let (ssi:symmetric state, cs1:cipher state, cs2:cipher state) =
                    \hookrightarrow split(ss) in
                 (hs, plaintext, true, cs1, cs2)
        ) .
```

### How each token is processed by the initiator:

- se: Signals that the initiator is calculating a Diffie-Hellman shared secret derived from the initiator's static key and the responder's ephemeral key as part of this message. This token adds the following state transformations to readMessage\_d:
  - mixKey, which calls the HKDF function using, as input, the existing SymmetricState key, and dh(s, re), the Diffie-Hellman share calculated from the initiator's static key and the responder's ephemeral key.

If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message D's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• decryptAndHash, which performs an authenticated decryption with added data (AEAD) on the payload, with the session hash as the added data (decryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

### 4.1.3 Queries and Results

Message D is tested against four authentication queries and five confidentiality queries.

# **Authentication Grade 1: Passed**

```
RESULT event (RecvMsg(alice ,bob, stagepack_d(sid_a),m)) \Longrightarrow event (SendMsg(bob, c_1382, stagepack_d(sid_b),m)) || event (LeakS(phase0,bob)) || event (LeakS(phase0,alice)) is true.
```

In this query, we test for *sender authentication* and *message integrity*. If Alice receives a valid message from Bob, then Bob must have sent that message to *someone*, or Bob had their static key compromised before the session began, or Alice had their static key compromised before the session began.

#### Authentication Grade 2: Passed

```
RESULT event (RecvMsg(alice, bob, stagepack_d(sid_a),m)) \implies event (SendMsg(bob, c_1382, stagepack_d(sid_b),m)) || event (LeakS(phase0,bob)) is true.
```

In this query, we test for *sender authentication* and is *Key Compromise Impersonation* resistance. If Alice receives a valid message from Bob, then Bob must have sent that message to *someone*, or Bob had their static key compromised before the session began.

#### Authentication Grade 3: Passed

```
RESULT event (RecvMsg(alice,bob,stagepack_d(sid_a),m)) \Longrightarrow event (SendMsg(bob, \hookrightarrow alice,stagepack_d(sid_b),m)) || event(LeakS(phase0,bob)) || event(LeakS(\hookrightarrow phase0, alice)) is true.
```

In this query, we test for sender and receiver authentication and message integrity. If Alice receives a valid message from Bob, then Bob must have sent that message to Alice specifically, or Bob had their static key compromised before the session began, or Alice had their static key compromised before the session began.

### **Authentication Grade 4: Passed**

```
RESULT event (RecvMsg(alice, bob, stagepack_d(sid_a),m)) \Longrightarrow event (SendMsg(bob, \hookrightarrow alice, stagepack_d(sid_b),m)) || event(LeakS(phase0,bob)) is true.
```

In this query, we test for sender and receiver authentication and is Key Compromise Impersonation resistance. If Alice receives a valid message from Bob, then Bob must have sent that message to Alice specifically, or Bob had their static key compromised before the session began.

### Confidentiality Grade 1: Passed

```
RESULT attacker_p1(msg_d(bob, alice, sid_b)) \Longrightarrow event(LeakS(phase0, alice)) || \hookrightarrow event(LeakS(phase1, alice)) is true.
```

In this query, we test for *message secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Alice's static key either before or after the protocol session.

### Confidentiality Grade 2: Passed

```
 \begin{array}{ll} \textbf{RESULT} \ \ attacker\_p1(msg\_d(bob, alice \,, sid\_b)) \implies event(LeakS(phase0 \,, alice)) \ \mid \mid \\ \hookrightarrow \ \ event(LeakS(phase1 \,, alice)) \ \ \textbf{is} \ \ \textbf{true}. \end{array}
```

In this query, we test for *message secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Alice's static key either before or after the protocol session.

### Confidentiality Grade 3: Passed

```
RESULT attacker_p1(msg_d(bob, alice, sid_b)) \Longrightarrow event(LeakS(phase0, alice)) || ( \hookrightarrow event(LeakS(px, alice)) && event(LeakS(pz, bob))) is true.
```

In this query, we test for *forward secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Alice's static key before the protocol session, or after the protocol session along with Bob's static public key (at any time.)

### Confidentiality Grade 4: Failed

```
RESULT attacker_p1(msg_d(bob, alice, sid_b)) \Longrightarrow event(LeakS(phase0, alice)) || ( \hookrightarrow event(LeakS(px, alice)) && event(LeakS(pz, bob))) cannot be proved.
```

In this query, we test for *weak forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Alice's static key before the protocol session, or after the protocol session along with Bob's static public key (at any time.)

# Confidentiality Grade 5: Failed

```
RESULT attacker_p1(msg_d(bob, alice, sid_b)) \Longrightarrow event(LeakS(phase0, alice)) cannot \hookrightarrow be proved.
```

In this query, we test for *strong forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Alice's static key before the protocol session.

# 5 Message E

# 5.1 Message Pattern Analysis

Message E is the fifth message in the X1K Noise Handshake Pattern. It is sent from the initiator to the responder. In this detailed analysis, we attempt to give you some insight into the protocol logic underlying this message. The insight given here does not fully extend down to fully illustrate the exact state transformations conducted by the formal model, but it does describe them at least informally in order to help illustrate how Message E affects the protocol.

### 5.1.1 Sending Message E

In the applied pi calculus, the initiator's process prepares Message E using the following function:

Since Message E contains no tokens, it is considered purely an "AppData" type message meant to transfer encrypted payloads. If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message E's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• encryptAndHash, which performs an authenticated encryption with added data (AEAD) on the payload, with the session hash as the added data (encryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

### 5.1.2 Receiving Message E

In the applied pi calculus, the initiator's process prepares Message E using the following function:

Since Message E contains no tokens, it is considered purely an "AppData" type message meant to transfer encrypted payloads. If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message E's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• decryptAndHash, which performs an authenticated decryption with added data (AEAD) on the payload, with the session hash as the added data (decryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

# 5.1.3 Queries and Results

Message E is tested against four authentication queries and five confidentiality queries.

### Authentication Grade 1: Passed

```
RESULT event (RecvMsg(bob, alice, stagepack_e(sid_b),m)) \Longrightarrow event (SendMsg(alice, c_1382, stagepack_e(sid_a),m)) || event (LeakS(phase0, alice)) || event (LeakS \hookrightarrow (phase0, bob)) is true.
```

In this query, we test for *sender authentication* and *message integrity*. If Bob receives a valid message from Alice, then Alice must have sent that message to *someone*, or Alice had their static key compromised before the session began, or Bob had their static key compromised before the session began.

### Authentication Grade 2: Passed

```
RESULT event (RecvMsg(bob, alice, stagepack_e(sid_b),m)) \Longrightarrow event (SendMsg(alice, c_1382, stagepack_e(sid_a),m)) || event (LeakS(phase0, alice)) is true.
```

In this query, we test for *sender authentication* and is *Key Compromise Impersonation* resistance. If Bob receives a valid message from Alice, then Alice must have sent that message to *someone*, or Alice had their static key compromised before the session began.

#### Authentication Grade 3: Passed

```
RESULT event (RecvMsg(bob, alice, stagepack_e(sid_b),m)) \Longrightarrow event (SendMsg(alice, \hookrightarrow bob, stagepack_e(sid_a),m)) || event(LeakS(phase0, alice)) || event(LeakS(\hookrightarrow phase0, bob)) is true.
```

In this query, we test for sender and receiver authentication and message integrity. If Bob receives a valid message from Alice, then Alice must have sent that message to Bob specifically, or Alice had their static key compromised before the session began, or Bob had their static key compromised before the session began.

### **Authentication Grade 4: Passed**

```
RESULT event (RecvMsg(bob, alice, stagepack_e(sid_b),m)) \Longrightarrow event (SendMsg(alice, \hookrightarrow bob, stagepack_e(sid_a),m)) || event(LeakS(phase0, alice)) is true.
```

In this query, we test for *sender and receiver authentication* and is *Key Compromise Impersonation* resistance. If Bob receives a valid message from Alice, then Alice must have sent that message to *Bob specifically*, or Alice had their static key compromised before the session began.

### Confidentiality Grade 1: Passed

```
RESULT attacker_p1(msg_e(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || event \hookrightarrow (LeakS(phase1,bob)) is true.
```

In this query, we test for *message secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Bob's static key either before or after the protocol session.

### Confidentiality Grade 2: Passed

```
 \begin{array}{ll} \textbf{RESULT} \ \ attacker\_p1(msg\_e(\ alice\ ,bob\ ,sid\_a)) \implies event(LeakS(\ phase0\ ,bob)) \ \ || \ \ event \\ \hookrightarrow \ (LeakS(\ phase1\ ,bob)) \ \ \textbf{is} \ \ \textbf{true}. \end{array}
```

In this query, we test for *message secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Bob's static key either before or after the protocol session.

# Confidentiality Grade 3: Passed

```
RESULT attacker_p1(msg_e(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || ( \hookrightarrow event(LeakS(px,bob)) && event(LeakS(pz,alice))) is true.
```

In this query, we test for *forward secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Bob's static key before the protocol session, or after the protocol session along with Alice's static public key (at any time.)

# Confidentiality Grade 4: Passed

```
RESULT attacker_p1(msg_e(alice,bob,sid_a)) \Longrightarrow event(LeakS(phase0,bob)) || ( \hookrightarrow event(LeakS(px,bob)) && event(LeakS(pz,alice))) is true.
```

In this query, we test for *weak forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Bob's static key before the protocol session, or after the protocol session along with Alice's static public key (at any time.)

### Confidentiality Grade 5: Passed

```
RESULT attacker p1 (msg e(alice, bob, sid a)) => event(LeakS(phase0, bob)) is true.
```

In this query, we test for *strong forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Bob's static key before the protocol session.

# 6 Message F

# 6.1 Message Pattern Analysis

Message F is the sixth message in the X1K Noise Handshake Pattern. It is sent from the responder to the initiator. In this detailed analysis, we attempt to give you some insight into the protocol logic underlying this message. The insight given here does not fully extend down to fully illustrate the exact state transformations conducted by the formal model, but it does describe them at least informally in order to help illustrate how Message F affects the protocol.

### 6.1.1 Sending Message F

In the applied pi calculus, the initiator's process prepares Message F using the following function:

Since Message F contains no tokens, it is considered purely an "AppData" type message meant to transfer encrypted payloads. If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message F's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• encryptAndHash, which performs an authenticated encryption with added data (AEAD) on the payload, with the session hash as the added data (encryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

# 6.1.2 Receiving Message F

In the applied pi calculus, the initiator's process prepares Message F using the following function:

Since Message F contains no tokens, it is considered purely an "AppData" type message meant to transfer encrypted payloads. If a static public key was communicated as part of this message, it would have been encrypted as ciphertext1. However, since the initiator does not communicate a static public key here, that value is left empty.

Message F's payload, which is modeled as the output of the function msg\_a(initiatorIdentity, responderIdentity, sessionId), is encrypted as ciphertext2. This invokes the following operations:

• decryptAndHash, which performs an authenticated decryption with added data (AEAD) on the payload, with the session hash as the added data (decryptWithAd) and mixHash, which hashes the encrypted payload into the next session hash.

# 6.1.3 Queries and Results

Message F is tested against four authentication queries and five confidentiality queries.

### Authentication Grade 1: Passed

```
RESULT event(RecvMsg(alice,bob,stagepack_f(sid_a),m)) \Longrightarrow event(SendMsg(bob, c_1382,stagepack_f(sid_b),m)) || event(LeakS(phase0,bob)) || event(LeakS( \hookrightarrow phase0, alice)) is true.
```

In this query, we test for *sender authentication* and *message integrity*. If Alice receives a valid message from Bob, then Bob must have sent that message to *someone*, or Bob had their static key compromised before the session began, or Alice had their static key compromised before the session began.

### Authentication Grade 2: Passed

```
RESULT event (RecvMsg(alice, bob, stagepack_f(sid_a),m)) \Longrightarrow event (SendMsg(bob, c_1382, stagepack_f(sid_b),m)) || event(LeakS(phase0,bob)) is true.
```

In this query, we test for *sender authentication* and is *Key Compromise Impersonation* resistance. If Alice receives a valid message from Bob, then Bob must have sent that message to *someone*, or Bob had their static key compromised before the session began.

#### Authentication Grade 3: Passed

```
RESULT event(RecvMsg(alice,bob,stagepack_f(sid_a),m)) \Longrightarrow event(SendMsg(bob, \hookrightarrow alice,stagepack_f(sid_b),m)) || event(LeakS(phase0,bob)) || event(LeakS( \hookrightarrow phase0, alice)) is true.
```

In this query, we test for sender and receiver authentication and message integrity. If Alice receives a valid message from Bob, then Bob must have sent that message to Alice specifically, or Bob had their static key compromised before the session began, or Alice had their static key compromised before the session began.

### **Authentication Grade 4: Passed**

```
RESULT event(RecvMsg(alice,bob,stagepack_f(sid_a),m)) \Longrightarrow event(SendMsg(bob, \hookrightarrow alice,stagepack_f(sid_b),m)) || event(LeakS(phase0,bob)) is true.
```

In this query, we test for sender and receiver authentication and is Key Compromise Impersonation resistance. If Alice receives a valid message from Bob, then Bob must have sent that message to Alice specifically, or Bob had their static key compromised before the session began.

### Confidentiality Grade 1: Passed

```
RESULT attacker_p1(msg_f(bob, alice, sid_b)) \Longrightarrow event(LeakS(phase0, alice)) || \hookrightarrow event(LeakS(phase1, alice)) is true.
```

In this query, we test for *message secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Alice's static key either before or after the protocol session.

### Confidentiality Grade 2: Passed

```
 \begin{array}{ll} \textbf{RESULT} \  \, attacker\_p1(msg\_f(bob,alice\,,sid\_b)) \implies event(LeakS(phase0\,,alice\,)) \  \, || \\ \hookrightarrow \  \, event(LeakS(phase1\,,alice\,)) \  \, \textbf{is} \  \, \textbf{true}\,. \end{array}
```

In this query, we test for *message secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Alice's static key either before or after the protocol session.

### Confidentiality Grade 3: Passed

```
RESULT attacker_p1(msg_f(bob, alice, sid_b)) \Longrightarrow event(LeakS(phase0, alice)) || ( \hookrightarrow event(LeakS(px, alice)) && event(LeakS(pz, bob))) is true.
```

In this query, we test for *forward secrecy* by checking if a passive attacker is able to retrieve the payload plaintext only by compromising Alice's static key before the protocol session, or after the protocol session along with Bob's static public key (at any time.)

# Confidentiality Grade 4: Passed

```
RESULT attacker_p1(msg_f(bob, alice, sid_b)) \Longrightarrow event(LeakS(phase0, alice)) || ( \hookrightarrow event(LeakS(px, alice)) && event(LeakS(pz, bob))) is true.
```

In this query, we test for *weak forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Alice's static key before the protocol session, or after the protocol session along with Bob's static public key (at any time.)

### Confidentiality Grade 5: Passed

```
RESULT attacker_p1(msg_f(bob, alice, sid_b)) \implies event(LeakS(phase0, alice)) is \hookrightarrow true.
```

In this query, we test for *strong forward secrecy* by checking if an active attacker is able to retrieve the payload plaintext only by compromising Alice's static key before the protocol session.