



# A two-stage SVM method to predict membrane protein types by incorporating amino acid classifications and physicochemical properties into a general form of Chou's PseAAC

Guo-Sheng Han<sup>a</sup>, Zu-Guo Yu<sup>a,b,\*</sup>, Vo Anh<sup>b</sup>

<sup>a</sup> School of Mathematics and Computational Science, Xiangtan University, Hunan 411105, China

<sup>b</sup> School of Mathematical Science, Queensland University of Technology, GPO Box 2434, Brisbane Q 4001, Australia

## HIGHLIGHTS

- We present a novel SVM method for predicting membrane protein types.
- The SVM method is combined with a two-step optimal feature selection process.
- The performance of the proposed method is evaluated on two benchmark datasets.
- Our method provides better performance as compared to the existing approaches.

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## ABSTRACT

Membrane proteins play important roles in many biochemical processes and are also attractive targets of drug discovery for various diseases. The elucidation of membrane protein types provides clues for understanding the structure and function of proteins. Recently we developed a novel system for predicting protein subnuclear localizations. In this paper, we propose a simplified version of our system for predicting membrane protein types directly from primary protein structures, which incorporates amino acid classifications and physicochemical properties into a general form of pseudo-amino acid composition. In this simplified system, we will design a two-stage multi-class support vector machine combined with a two-step optimal feature selection process, which proves very effective in our experiments. The performance of the present method is evaluated on two benchmark datasets consisting of five types of membrane proteins. The overall accuracies of prediction for five types are 93.25% and 96.61% via the jackknife test and independent dataset test, respectively. These results indicate that our method is effective and valuable for predicting membrane protein types. A web server for the proposed method is available at [http://www.juemengt.com/jcc/memty\\_page.php](http://www.juemengt.com/jcc/memty_page.php)

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## 1. Introduction

According to cellular anatomy, a cell consists of different functional units or organelles, most of which are enveloped by membranes and they are necessary for many biological functions. Although the lipid bilayer is the basic structure of membranes, most of the specific functions of the cell membrane are performed by the membrane proteins (Alberts et al., 1994; Lodish et al., 1995). Membrane proteins are significantly important for many biological processes, such as contact of the membrane proteins in the cell, recognition of surface, transduction of the signal, and activity of

enzyme. Membrane proteins are also attractive targets of drug discovery for various diseases (Tusnady et al., 2004; Feng et al., 2005, 2006; Sanders et al., 2006). Many researchers believe that membrane proteins constitute approximately 50% of possible targets for novel drugs (Gao et al., 2010). Given a particular membrane protein, the elucidation of its membrane protein types can provide clues for understanding the structure and function of proteins (Chou and Elrod, 1999). However, the prediction of membrane protein types from the traditional experimental and manual annotation methods is expensive and time consuming, hence effective computational methods are in urgent need for discriminating uncharacterized proteins.

Based on their functions, membrane proteins can be classified into transmembrane proteins, which span across the membrane, and anchored proteins, which are attached to the membrane on one side (Chou and Elrod, 1999). Transmembrane and anchored

\* Corresponding author at: School of Mathematics and Computational Science, Xiangtan University, Hunan 411105, China.

E-mail address: [yuzg1970@yahoo.com](mailto:yuzg1970@yahoo.com) (Z.-G. Yu).

proteins have distinct structural features. The transmembrane proteins usually contain one or more hydrophobic segments (i.e. several consecutive hydrophobic amino acids); anchored proteins have a consensus sequence pattern (motif) on either the N- or C-terminus. Transmembrane proteins can be further classified into three sub-types including Type-I transmembrane, Type-II transmembrane and multi-pass transmembrane proteins. Anchored proteins consist of two sub-types, lipid chain-anchored membrane and glycosylphosphatidylinositol(GPI)-anchored membrane proteins. Five sub-types of membrane proteins have respective significant features. Type-I and Type-II transmembrane proteins are called single-pass transmembrane proteins. Type-I transmembrane proteins are extracellular on N-terminus and cytoplasmic on C-terminus, whereas Type-II transmembrane proteins are extracellular on C-terminus and cytoplasmic on N-terminus. In both types, the lipid bilayer is crossed by polypeptide only once, whereas in multi-pass membrane protein, the lipid bilayer is crossed by polypeptide multiple times. The lipid chain-anchored membrane protein is associated with the bilayer, whereas GPI-anchored membrane protein is directly bound to the membrane by a GPI anchor. Besides the five types of membrane proteins, some investigation has also been carried out to predict them among their seven types (Chou and Shen, 2007); i.e., (1) Type I, (2) Type II, (3) Type III, (4) Type IV, (5) multipass, (6) lipid-chain-anchored, and (7) GPI-anchored.

In the last few decades, various approaches have been developed for predicting membrane protein types. Chou and Elrod (1999) first carried out the prediction of membrane protein types based on the covariant discriminant algorithm (CDA) and amino acid composition (AAC). Similarly, Cai et al. (2004) used AAC in conjunction with support vector machine (SVM) to predict membrane protein types. However, when using AAC to represent a protein, the sequence-order and sequence-length effects are lost. To avoid this shortcoming, the pseudo-amino acid composition (PseAAC) was proposed (Chou, 2001, 2005; Hayat and Khan, 2011) to improve the prediction accuracy of membrane protein types. Then, various forms of the PseAAC method were proposed (Wang et al., 2004, 2005, 2006; Shen and Chou, 2005; Shen et al., 2006; Lin, 2008; Mahdavi and Jahandideh, 2011; Wang et al., 2012; Hayat and Khan, 2012a,b; Chen and Li, 2013; Huang and Yuan, 2013) for predicting membrane protein types and related tasks.

A recent comprehensive review for more detailed information about development and applications of PseAAC was given by Chou and Shen (2009). Higher order peptide composition is an alternative to improve the AAC method in conjunction with stepwise discriminant analysis (Yang et al., 2007) and k-nearest neighbor (Wang et al., 2010). Besides, non-sequence information is also explored for predicting membrane protein types including function domain (Cai et al., 2003), gene ontology (Chou and Cai, 2005), and evolutionary information (Pu et al., 2007; Chou and Shen, 2007; Hayat and Khan, 2012a). Chou and Shen (2007) proposed the Pse-PSSM method and developed a web server for predicting membrane protein types. In addition, time-frequency analysis methods are also applied in this field including the Fourier spectrum (Liu et al., 2005) and the discrete wavelet transform (DWT) (Rezaei et al., 2008; Qiu et al., 2010). As well as feature combination, ensemble classifier can be constructed to improve performance (Nanni and Lumini, 2008; Shen and Chou, 2007).

Recently we developed a novel system for predicting protein subnuclear localizations (Han et al., 2013). In the present paper, we propose a simplified version of our system for predicting membrane protein types directly from primary protein structures. In this simplified system, we will design a two-stage multi-class support vector machine (MSVM) combined with a two-step optimal feature selection process by incorporating amino acid classifications and physicochemical properties into a general form

of Chou's PseAAC. In order to reduce computation complexity and feature abundance, we also use a two-step optimal feature selection process to find the optimal feature subset for each binary classification, which is different from conventional methods using the same features for all binary classifiers. In our system, all binary classifiers are constructed using support vector machine with probability output in the first stage (Chang and Lin, 2001). After this, the high dimensional feature vector of each protein is converted into a probability vector. At the second stage, conventional MSVM is used to construct final models. The performance of our method is evaluated on two benchmark datasets consisting of five types of membrane proteins. The overall accuracies of prediction for five types are 93.25% and 96.61% in the jackknife test and independent dataset test, respectively.

As demonstrated by a series of recent publications (Chen et al., 2013; Lin et al., 2013; Xiao et al., 2013a,b) and summarized in a comprehensive review (Chou, 2011) to establish a really useful statistical predictor for a protein system, we need to consider the following procedures: (i) construct or select a valid benchmark dataset to train and test the predictor; (ii) formulate the protein samples with an effective mathematical expression that can truly reflect their intrinsic correlation with the attribute to be predicted; (iii) introduce or develop a powerful algorithm (or engine) to operate the prediction; (iv) properly perform cross-validation tests to objectively evaluate the anticipated accuracy of the predictor; (v) establish a user-friendly web-server for the predictor that is accessible to the public. Below, we will describe how to deal with these procedures in our method.

## 2. Materials and methods

### 2.1. Dataset

In this work, we use two popular benchmark datasets to evaluate the performance of our method, the training dataset and the independent dataset, which are both taken from Chou and Elrod (1999). The training dataset was constructed through a three-step screening procedure (Chou and Elrod, 1999) which finally contains 2059 protein sequences consisting of 435 type-I, 152 type-II, 1311 multi-pass transmembrane, 51 lipid-chain-anchored, and 110 GPI anchored membrane protein sequences. The independent dataset contains 2625 membrane protein sequences, which consists of 487 type I, 180 type II, 1867 multipass, 14 lipid-chain anchored, and 86 GPI anchored membrane proteins.

### 2.2. Feature extraction

To successfully use the proposed two-stage SVM as a powerful classifier, the key is how to effectively define a feature vector to formulate the statistical samples concerned. According to Eq. (6) of Chou (2011), the feature vector for any protein, peptide, or biological sequence is just a general form of pseudo-amino acid composition or PseAAC (Chou, 2001) that can be formulated as

$$\mathbf{P} = [\psi_1, \psi_2, \dots, \psi_\mu, \dots, \psi_\Omega]^T \quad (1)$$

where  $\mathbf{T}$  is the transpose operator, the components  $\psi_1, \psi_2, \dots, \psi_\mu, \dots, \psi_\Omega$  will depend on how to extract the desired information from the statistical samples concerned, while the subscript  $\Omega$  is an integer representing the dimension of the feature vector  $\mathbf{P}$ . In this work, we use various features extracted from amino acid classification-based methods and physicochemical property-based methods, and  $\Omega = 7270$ .

### 2.2.1. Methods based on amino acid classification

To capture more contextual information, we consider different amino acid classification approaches for local amino acid composition (LAAC) and local dipeptide composition (LDC) (Höglund et al., 2006), global descriptor (GD) (Dubchak et al., 1995; Yang et al., 2008) and Lempel–Ziv complexity (LZC) (Lempel and Ziv, 1976). Some of these amino acid classification approaches (Chou and Fasman, 1974; Dill, 1985; Basu et al., 1997; Murphy et al., 2000; Yu et al., 2004a,b; Shen et al., 2007; Alejandro et al., 2008; Li et al., 2008) are listed in Table 1.

The LAAC, LDC, GD, and LZC methods were also used in our previous study (Han et al., 2013), which gave the definitions and descriptions of these methods.

Twenty amino acids are divided into  $n$  groups, denoted by  $A$ , according to certain classification method listed in Table 1. Note that we select one symbol (boldface letter in Table 1) for representing the group containing two or more amino acids, and this is convenient to generate the feature name. Then, for a given protein sequence  $S$  of length  $N$ , we may obtain a new sequence  $S'$  of  $n$  symbols with the same length as  $S$ , each symbol corresponding to one group of amino acids.

Using LAAC and LDC,  $2 \times (n + n^2)$  features are generated. We get  $6 \times n + n \times (n + 1)/2$  features from the GD method for  $S'$ . Let  $S'_{ij}$  be the subsequence of  $S'$  between positions  $i$  and  $j$ . The LZ complexity of sequence  $S'$ , usually denoted by  $c(S')$ , is defined as the minimal number of steps with which  $S'$  is synthesized from null sequence according to the rule that at each step only two operations are allowed: either copying the longest fragment from the part of  $S'$  that has already been synthesized or generating an additional symbol.

According to Table 1, the number of features generated by LAAC, LDC, GD, and LZC is 4530 ( $= 278 + 2662 + 1569 + 21$ ).

**Table 1**  
Amino acid classifications.

| Method                                  | Num | Amino acid classification          |
|---|-----|------------------------------------|
| HP (Dill, 1985)                         | 2   | (ALIMFPWW)(DENCQGSTYRHK)           |
| DHP (Yu et al., 2004a, 2004b)           | 4   | (ALVIFWMP)(STYCNGQ)(KRH)(DE)       |
| 7-Cat (Shen et al., 2007)               | 7   | (AGV)(ILFP)(YMTS)(HNQW)(RK)(DE)C   |
| 20-Cat                                  | 20  | AGVILFPYMTSHNQWRKDEC               |
| ms (Alejandro et al., 2008)             | 6   | (AVLIMC)(WYHF)(TQSN)(RK)(ED)(GP)   |
| lesk (Alejandro et al., 2008)           | 6   | (AST)(CVILWYMPF)(HQN)(RK)(ED)G     |
| F-Ic4 (Alejandro et al., 2008)          | 7   | (AWM)(GST)(HPY)(CVIFL)(DNQ)(ER)K   |
| F-Ic2 (Alejandro et al., 2008)          | 9   | (AWM)(GS)(HPY)(CVI)(FL)(DNQ)(ER)KT |
| F-Illc4 (Alejandro et al., 2008)        | 9   | (ACV)(HPL)(DQ)S(ERGN)F(IMT)(KW)Y   |
| F-Vc4 (Alejandro et al., 2008)          | 8   | (AWHC)G(LEPV)(KYMT)(IN)QDS         |
| Murphy8 (Murphy et al., 2000)           | 8   | (LVMIC)(AG)(ST)P(FYW)(DENQ)(KR)H   |
| Murphy15 (Murphy et al., 2000)          | 15  | (LVIM)CAGSTP(FY)WEDNQ(KR)H         |
| Letter6 (Chou and Fasman, 1974)         | 6   | (VIM)(CYFQLTW)(RGD)(HKSNP)AE       |
| Letter12 (Basu et al., 1997)            | 12  | (LVIM)C(AG)(ST)P(FY)W(ED)NQ(KR)H   |
| Hydrophobicity (Li et al., 2008)        | 3   | (RKEDQN)(GASTPHY)(CLVIMFW)         |
| NvdW (Li et al., 2008)                  | 3   | (GASTPD)(NVEQIL)(MHKFRYW)          |
| Polarity (Li et al., 2008)              | 3   | (LIFWCMVY)(PATGS)(HQRKNE)          |
| Polarizability (Li et al., 2008)        | 3   | (GASDT)(CPNVEQIL)(KMHFRYW)         |
| Charge (Li et al., 2008)                | 3   | (KR)(ANCQGHILMFPSTWYV)(DE)         |
| Secondary structure (Li et al., 2008)   | 3   | (EALMQKRH)(VIYCWFT)(GNPSD)         |
| Solvent accessibility (Li et al., 2008) | 3   | (ALFCGIVW)(PKQEND)(MPSTHY)         |

### 2.2.2. Methods based on physicochemical properties

In order to capture as much information of protein sequences as possible, the autocorrelation descriptor (AD) (Li et al., 2008), sequence-order descriptor (SD) (Li et al., 2008), and Hilbert–Huang transform (HHT) (Huang et al., 1998; Yu et al., 2010; Han et al., 2011) are used. Thirty physicochemical properties are utilized for AD and HHT, which can be found in AAindex1 of the Amino Acid index (AAindex) database (Kawashima and Kanehisa, 2000). Detailed information of these 30 physicochemical properties is listed in Table 2. For SD, we use two distance matrices for amino acid pairs. One is the *Grantham chemical distance matrix* (Li et al., 2008), and the other is the *Schneider–Wrede physicochemical distance matrix* (Chou, 2000).

In the present study, we only need the AD, SD and HHT methods in this simplified system. In our previous study (Han et al., 2013), we used three more methods: physicochemical property distribution descriptor (PPDD), recurrence quantification analysis (RQA), and discrete wavelet transform (DWT) for predicting protein sub-nuclear localizations. For the descriptions of AD, SD, and HHT methods, one can also refer to our previous paper (Han et al., 2013).

Three widely used autocorrelation descriptors are selected: normalized Moreau–Broto autocorrelation descriptors, Moran autocorrelation descriptors and Geary autocorrelation descriptors (Li et al., 2008). They are all defined based on the value distributions of 30 physicochemical properties of amino acids along an amino acid sequence (see Table 2). The measurement values of these properties are first standardized to have zero mean and unit standard deviation and then three autocorrelation descriptors are calculated. These descriptors are also used for the classification of G-protein-coupled receptors by Peng et al. (2010). For each AD, we obtain 600 ( $= 30 \times 20$ ) features. In total, 1800 ( $= 600 \times 3$ ) features are obtained to describe a protein sequence.

For SD, we end up with 60 ( $= 30 \times 2$ ) sequence-order-coupling numbers and 100 ( $= 50 \times 2$ ) quasi-sequence-order descriptors. In total, there are 160 features extracted from SD.

The HHT consists of two parts: empirical mode decomposition (EMD) and Hilbert spectral analysis (HSA). For each physicochemical property index selected,  $4 \times 5 + 5 + 1 = 26$  features (here 5 is the number of IMFs selected in EMD) are obtained in HHT. In total, 780 ( $= 30 \times 26$ ) features are obtained from HHT.

## 2.3. System construction

### 2.3.1. Support vector machine

Vapnik (1995) introduced the support vector machine (SVM) method to solve the binary classification problem. In order to solve a multi-class classification problem, such as the prediction of membrane protein types, the method must be extended. There are three notable extension strategies: one-against-all, one-against-one and directed acyclic graph SVM (DAGSVM) (Platt et al., 2000). In this paper, we adopted the one-against-one strategy. For a  $k$  classification problem, the SVM designed by the one-against-one strategy constructs  $k \times (k - 1)/2$  classifiers, each of which is trained on data from two different classes. Throughout, we used the radial basis kernel function (RBF) to find the optimal feature subsets and corresponding parameters  $C$  and  $\gamma$  by grid search.

Furthermore, we used a weighting scheme as in Blum et al. (2009) for each class in order to reduce the effect of over-prediction when using unbalanced training datasets. The weighting scheme assigns weight 1.0 to the largest class and higher weights to the remaining classes. The weights of these classes are simply calculated by dividing the size of the largest class by that of each smaller class.

**Table 2**  
30 physicochemical properties of amino acids selected from AAindex database.

| AAindex    | Physicochemical property                           | Range of property |
|------------|--|-------------------|
| BULH740101 | Transfer free energy to surface                    | [−2.46, 0.16]     |
| BULH740102 | Apparent partial specific volume                   | [0.558, 0.842]    |
| PONP800102 | Average gain in surrounding hydrophobicity         | [10.53, 13.86]    |
| PONP800104 | Surrounding hydrophobicity in alpha-helix          | [10.98, 14.08]    |
| PONP800105 | Surrounding hydrophobicity in beta-sheet           | [11.79, 16.49]    |
| PONP800106 | Surrounding hydrophobicity in turn                 | [9.93, 15.00]     |
| MANP780101 | Average surrounding hydrophobicity                 | [11.36, 15.71]    |
| EISD840101 | Consensus normalized hydrophobicity scale          | [−1.76, 0.73]     |
| JOND750101 | Hydrophobicity                                     | [0.00, 3.15]      |
| HOPT810101 | Hydrophilicity value                               | [−3.4, 3.00]      |
| PARJ860101 | HPLC parameter                                     | [−10.00, 10.00]   |
| JANJ780101 | Average accessible surface area                    | [22.8, 103.0]     |
| PONP800107 | Accessibility reduction ratio                      | [2.12, 7.69]      |
| CHOC760102 | Residue accessible surface area in folded protein  | [18, 97]          |
| ROSG850101 | Mean area buried on transfer                       | [62.9, 224.6]     |
| ROSG850102 | Mean fractional area loss                          | [0.52, 0.91]      |
| BHAR880101 | Average flexibility indices                        | [0.295, 0.544]    |
| KARP850101 | Flexibility parameter for no rigid neighbors       | [0.925, 1.169]    |
| KARP850102 | Flexibility parameter for one rigid neighbor       | [0.862, 1.085]    |
| KARP850103 | Flexibility parameter for two rigid neighbors      | [0.803, 1.057]    |
| JANJ780102 | Percentage of buried residues                      | [3, 74]           |
| JANJ780103 | Percentage of exposed residues                     | [5, 85]           |
| LEVM780101 | Normalized frequency of alpha-helix, with weights  | [0.90, 1.47]      |
| LEVM780102 | Normalized frequency of beta-sheet, with weights   | [0.72, 1.49]      |
| LEVM780103 | Normalized frequency of reverse turn, with weights | [0.41, 1.91]      |
| GRAR740102 | Polarity   | [4.9, 13.0]       |
| GRAR740103 | Volume   | [3, 170]          |
| MCMT640101 | Refractivity                                       | [0.00, 42.35]     |
| PONP800108 | Average number of surrounding residues             | [4.88, 7.86]      |
| KYTJ820101 | Hydropathy index                                   | [−4.5, 4.5]       |

### 2.3.2. Two-step optimal feature selection

After the feature extraction procedure, all protein sequences are converted into numerical feature vectors with the same dimension. In order to reduce feature abundance and computation complexity, we propose a two-step optimal feature selection process by using an incremental feature selection (IFS) method (Huang et al., 2010; Han et al., 2013).

The IFS is based on the mRMR method originally proposed by Peng et al. (2005) for analyzing microarray data. The detailed information about the mRMR and IFS methods can be found in Peng et al. (2005) and Huang et al. (2010), respectively. In the first step, we consider each feature extraction method separately and construct corresponding models for each binary classification. Supposing that the number of feature extraction methods used is  $M$ , there are  $M$  optimal feature subsets constructed for each binary classification in this step. In the second step, for each binary classification, we extract the final optimal feature subset on the union of  $M$  optimal feature subsets obtained in the first step. We simultaneously find the optimal feature subset and the SVM parameters  $C$  and  $\gamma$  using 5-fold cross validation on the training dataset for each turn in the leave-one-out cross validation process.

### 2.3.3. Two-stage support vector machine

Finally, we construct a two-stage support vector machine to predict membrane protein types. In the first stage,  $k \times (k-1)/2$  SVM classifiers with probability estimates are constructed based on the two-step optimal feature selection procedure. All optimal feature subsets and SVM parameters for  $k \times (k-1)/2$  binary

classifiers are simultaneously obtained by the two-step optimal feature selection procedure. We use LIBSVM for probability estimation as in Chang and Lin (2001). After this, each amino acid sequence is represented by a  $k$ -dimensional numerical vector, each element of which is the probability of the corresponding class to be predicted. The outputs of this stage are used as inputs for the next stage. In the second stage, we use conventional multi-class SVMs to predict membrane protein types. Here we use LIBSVM (Chang and Lin, 2001) to implement SVMs. It has been demonstrated using permutation analysis in Han et al. (2013) that the two-step optimal feature selection method and two-stage support vector machine are effective. The complete flow chart of the current method is illustrated in Fig. 1, which is slightly simpler than that shown in Figure 1 of Han et al. (2013) (PPDD, RQA, and DWT are removed). Note that if the leave-one-out cross validation is chosen to test this two-stage SVM, a different two-stage SVM is constructed for each turn of the leave-one-out cross validation.

### 2.4. Performance evaluation

In statistical prediction, three validation tests are often used to evaluate the prediction performance: independent dataset test, sub-sampling test and jackknife test. Among these three tests, the jackknife test was thought to be the most rigorous and objective one (Chou, 1995). Hence, we adopted the jackknife test in this paper. That is, each protein sequence in the samples is singled out in turn as a test sample and the remaining protein sequences are used as training samples. In this sense, the jackknife test is also known as the leave-one-out test.



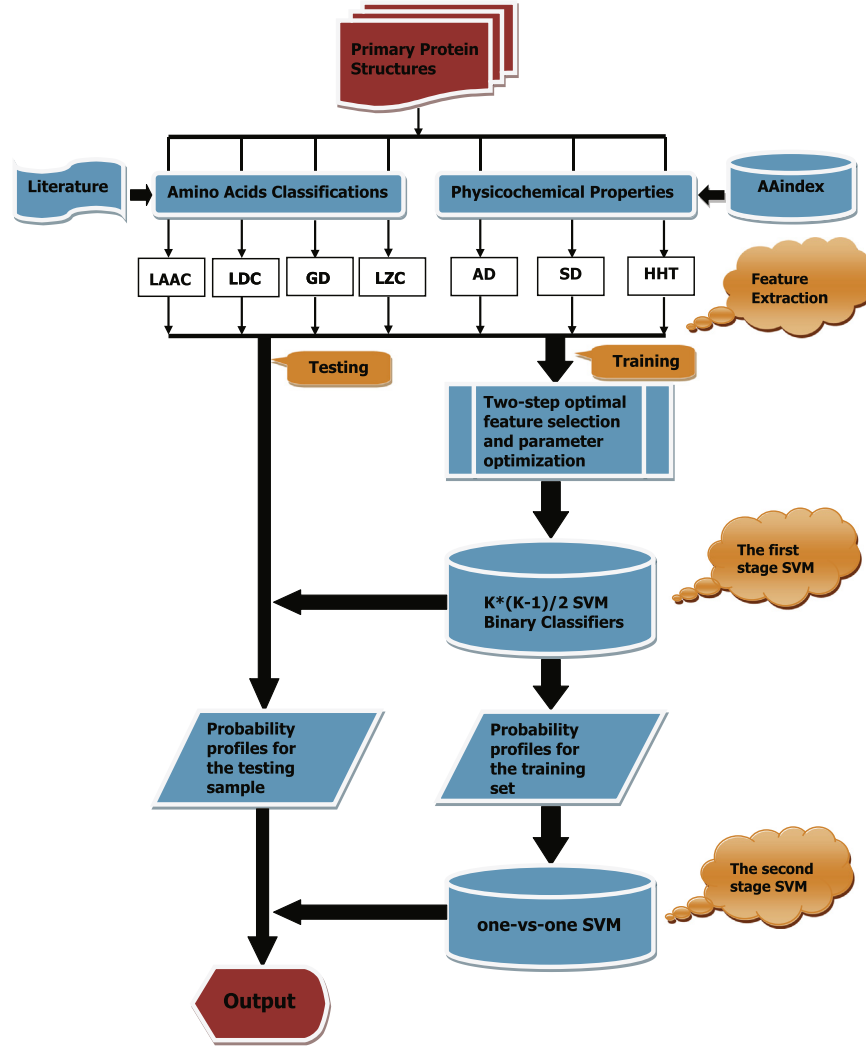


Fig. 1. The complete flowchart of the proposed method.

The overall prediction accuracy  $A_c$ , individual sensitivity  $S_{in}$ , individual specificity  $S_{ip}$  and Matthew's correlation coefficient  $MCC_i$  are used to evaluate the prediction performance of our work as in Xu et al. (2013) and Chen et al. (2013). Their definitions are as follows:

$$S_{in} = TP_i / (TP_i + FN_i), \quad (2)$$

$$S_{ip} = TN_i / (TN_i + FP_i), \quad (3)$$

$$MCC_i = \frac{TP_i \times TN_i - FP_i \times FN_i}{\sqrt{(TP_i + FP_i) \times (TP_i + FN_i) \times (TN_i + FP_i) \times (TN_i + FN_i)}}, \quad (4)$$

$$A_c = \frac{\sum_i TP_i}{N}, \quad i = 1, 2, 3, \dots, k, \quad (5)$$

where true positives  $TP$  is the number of positive events that are correctly predicted; true negatives  $TN$  is the number of negative events that are correctly predicted; false positives  $FP$  is the number of negative events that are incorrectly predicted to be positive; false negatives  $FN$  is the number of subjects that are predicted to be negative despite they are positive;  $k$  is the number of classes.

The above metrics are valid only for the single-label systems. For the multi-label systems whose existence has become more

frequent in system biology (Chou et al., 2011, 2012) and system medicine (Chen et al., 2012; Xiao et al., 2013b), a different set of metrics as defined in Chou (2013) is needed.

### 3. Results and discussion

#### 3.1. Effectiveness of simplified system

In this work, we simplify the system designed in our previous study (Han et al., 2013) removing three methods: PPDD, RQA and DWT. One may ask whether this simplified system is better? In order to show the effectiveness of the simplified system, we compare it with the old system using the jackknife test and independent dataset test. Detailed comparisons for five types are illustrated in Figs. 2 and 3. As seen from these two figures, in most cases, the simplified system is better than the old one. The overall prediction accuracies of the new system via jackknife test and independent dataset test are 93.25% and 96.61%, which are a little better than the old one (92.71% and 96.08%). This may be because these removed features are covered by other features. We should declare that our system is suitable for predicting the type of membrane proteins among the five types, but may not yield meaningful results for non-membrane proteins.

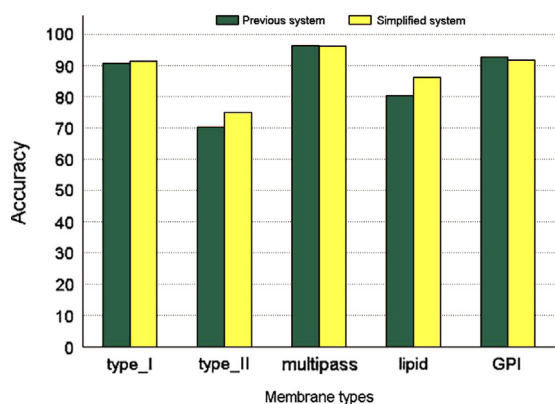


Fig. 2. Comparison for five types between the new system and the old one using jackknife test.

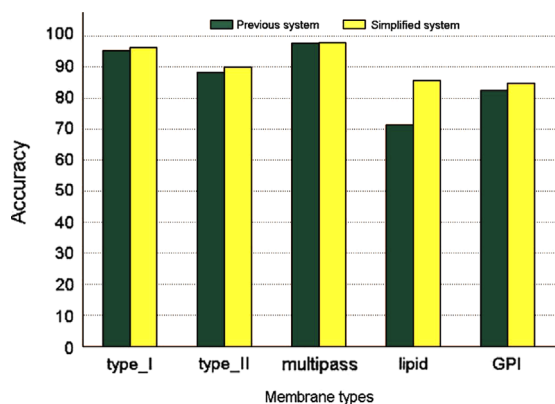


Fig. 3. Comparison for five types between the new system and the old one using independent dataset test.

### 3.2. Comparison of feature extraction methods

Now, we intend to perform each feature extraction method separately to see which method is more effective. During the training process, we used a grid search approach to find optimal feature subsets and optimize the SVM parameters  $C$  and  $\gamma$  using 5-fold cross validation for all binary classifications of each feature extraction method. It is found that the number of elements of the optimal feature subset for each binary classification is generally less than 300. So we chose the top-rank 300 features as the upper bound for optimal feature subset search. The top-rank 10 features are used as an initial feature subset. The size of the feature subset is increased by 10, obtaining 10, 20, 30, ..., 300 features. At each size, we searched for a pair  $(C, \gamma)$  with the best 5-fold cross validation (e.g.  $\log C = -5, -3, -1, \dots, 15$ ;  $\log \gamma = -15, -13, -11, \dots, 3$ ). From this process, each binary classification corresponds to an optimal feature subset and a parameter pair  $(C, \gamma)$ . Thus we can construct all binary classification models and make preparation for training the second stage model. The training method for the second stage model is identical to the first stage except that it does not need feature selection. The final prediction system can be constructed as follows: the training dataset is used; the optimal feature subsets for each binary classification are taken as the union of all optimal feature subsets obtained from the leave-one-out cross validation; and the optimal value for each parameter of the SVMs for the training set is taken as the average value of the optimal parameters obtained from the leave-one-out cross validation. The final system is then tested on the independent dataset. Note that all parameters of the final system including

Table 3

Comparison of the overall prediction accuracy between different feature extraction methods on the training dataset and the independent dataset.

| Method       | Jackknife test (%) | Independent dataset test (%) |
|--------------|--------------------|------------------------------|
| Combination1 | 89.46              | 90.82                        |
| HHT          | 86.16              | 92.19                        |
| Combination2 | 93.25              | 96.61                        |

optimal features and SVM parameters are not re-parameterized to apply on the independent dataset.

We calculated the overall prediction accuracies for all feature extraction methods on the training dataset and the independent dataset. As far as the individual feature extraction methods are concerned, we found that the HHT method is the best. We combine the feature extraction methods LAAC, LDC, GD, LZC, AD, SD as one method, named *Combination1*. In the following, the values on the independent dataset are shown in the parentheses. From Table 3, the overall prediction accuracies of HHT are 86.16% (92.19%). The overall prediction accuracy on the training dataset is worse than that of *Combination1* (89.46%). But, for the independent dataset, the overall prediction accuracy of the HHT method is better than that of *Combination1* (90.82%). Finally, we evaluate the overall prediction accuracies of the combination of all feature extraction methods, named *Combination2*. As shown in Table 3, *Combination2* achieves the overall prediction accuracy of 93.25% (96.61%), with accuracy increases against individual methods between 3.78% (4.42%) and 7.09% (5.79%).

### 3.3. Assessment of the reliability of two-step optimal feature selection by permutation analysis

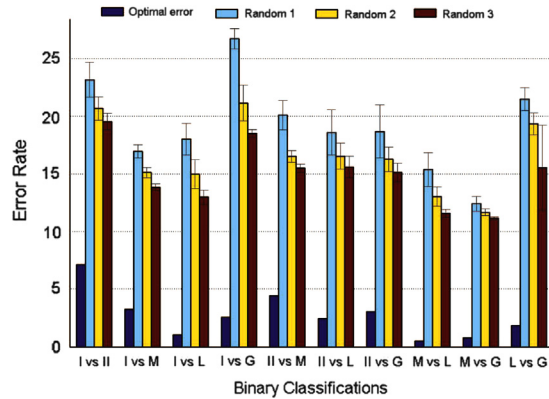
In order to evaluate the reliability of the two-step optimal feature selection method, two kinds of randomization studies are performed for each binary classification as in Han et al. (2013). They are given the number  $K$ , randomly select  $K$  features from original features (case 1) or suboptimal features (case 2) of the samples from two different membrane protein types, while keeping the class memberships unchanged. Then the newly generated feature set is analyzed by using the same five-fold cross validation as applied before to the original feature set. Here, the given numbers of features  $K$  are set as one fourth, half or all of the number of optimal features. This procedure for case 2 is carried out 50 rounds and the error rates ( $\pm$  standard deviation) over 50 permutations are shown in Fig. 4, and compared with the minimum error rates obtained from optimal features. For case 1, similar results are obtained. In each case, the estimated error rate obtained by optimal features is significantly lower than that obtained by the randomization study. Especially when we compare the experimental results for case 1 and 2, the misclassification error rates obtained by using features selected randomly from suboptimal features are also much lower than that estimated by using those from the original features. If we perform these two randomization analysis on the whole original feature set 50 times, the overall error rates on average are 43.24% (5.23%) and 20.56% (1.80%), which are both significantly higher than the error rate 7.92% obtained by optimal features. Therefore, it can be concluded that the two-step optimal feature selection method is effective and reliable.

### 3.4. Analysis of optimal feature

Since we select different optimal feature subsets for each binary classification, we have 10 final selected feature subsets corresponding to 10 binary classifications. Top 10 features for each binary classification are listed in Table 4. Fragments of all feature names are linked by underline. For LAAC and LDC, the template of

feature names is (name of amino acid classification)\_(LAAC or LDC)\_(C or N terminal)\_(amino acid or dipeptide). For GD, there are four templates, namely, (name of amino acid classification)\_(GD)\_(COMP)\_(amino acids), (name of amino acid classification)\_(GD)\_(TRAN)\_(dipeptide)\_(reverse dipeptide), (name of amino acid classification)\_(GD)\_(DIST)\_(amino acids)\_(percentage) and (name of amino acid classification)\_(GD)\_(EDP)\_(amino acids). For LZC, the template is (name of amino acid classification)\_(LZ). For AD, the template is (index of physicochemical property)\_(AD)\_(NMB or M or G)\_(distance). For HHT, the template is (index of physicochemical property)\_(HHT)\_(MAX, Min, AVG, STD, or ENG)\_(IMF)\_(number) or is (index of physicochemical property)\_(HHT)\_(SE). For SD, the template is (SD)\_(Tau)\_(SW or GR)\_(tau) or is (SD)\_(QSO)\_(SW or

GR)\_(amino acids or tau). From Table 4, we can find that the distribution of features for different binary classifications is significantly different. This suggests that our idea works well to determine which different optimal feature subsets should be constructed for different binary classifications. Surprisingly, for all binary classifications about the GPI-anchored membrane type (i.e., I vs G, II vs G, M vs G, and L vs G), the features from LAAC and LDC on C-terminal constitute most of the top 10 features. It is verified that LAAC and LDC methods can represent the signal for GPI-anchoring to some extent, which is confined to be C-terminal of the target protein. Except these four binary classifications, some HHT features appear in the list of top 10 features of other binary classifications, although most features are from GD. Especially, HHT features are effective for identifying multipass type, which may be due to the special topology of multipass transmembrane proteins.



**Fig. 4.** Comparisons of error rate (percentage of misclassified samples) over 50 runs of randomization analysis. Random 1: selecting randomly features subsets from suboptimal features, whose size is one-fourth of the number of optimal features; Random 2: one half of the number of optimal features; Random 3: equal to the number of optimal features. The upper letters I, II, M, L and G correspond to five membrane protein types, namely, type I, type II, multipass, lipid-chain-anchored, and GPI-anchored, respectively.

### 3.5. Comparison with the existing methods

The detailed performances of our method (*Combination2*) on the training dataset and the independent dataset are illustrated in Table 5 and a comparison of the performance of this method against other existing methods is illustrated in Table 6, where better results are highlighted in bold. As shown in Table 5, *Combination2* achieves highest prediction results on type I and multipass transmembrane proteins, which are 91.49% (96.64%) and 96.34% (97.91%), respectively. This may be due to large sample sizes of these two classes in the data. However, the training dataset used is highly unbalanced. The lipid-chain-anchored type only contains 51 samples, while the multi-pass type contains 1311 samples. So the model trained on this benchmark dataset may cause bias to large-size types, although we use a weight scheme. It can be seen from Table 5 that the results for small-size types, such as type-II and lipid, are not as good as the other types. Other more effective strategies for solving imbalance problem may contribute to improving our method.

**Table 4**  
Top 10 features for all binary classifications.

| Binary  | Top 10 features  |
|---------|--|
| I vs II | MS_GD_DIST_W_1, polarizability_GD_COMP_K, 7-cat_GD_DIST_H_50, F-Vc4_GD_DIST_K_75, Letter12_LAAC_C_N, MANP780101_HHT_ENG_4, Letter6_GD_DIST_C_50, Murphy15_GD_EDP_F, Murphy8_GD_DIST_S_75, SD_QSO_SW_23                 |
| I vs M  | F-Ic4_GD_TRAN_AC_CA, BULH740101_AD_NMB_4, Murphy8_GD_TRAN_PE_EP, F-IIIc4_GD_TRAN_AF_FA, Letter20_GD_EDP_M, KYTJ820101_AD_NMB_7, BULH740101_HHT_STD_IMF_2, GRAR740102_AD_G_4, Letter12_GD_TRAN_YA_AY, DHP_GD_TRAN_DN_ND |
| I vs L  | Letter12_GD_TRAN_IW_WI, Letter12_LDC_N_AW, SD_QSO_SW_K, KYTJ820101_HHT_MAX_IMF_5, polarizability_GD_COMP_C, SD_QSO_GR_N, MS_GD_DIST_W_1, Murphy15_GD_TRAN_SH_HS, JANJ780103_HHT_ENG_IMF_2, Letter20_LDC_N_MK           |
| I vs G  | sol-acc_LAAC_C_F, F-IIIc4_LAAC_C_E, hydro_LDC_C_FF, MS_LDC_C_AA, HP_LAAC_C_A, Letter20_LAAC_C_L, charge_LAAC_C_C, polarity_LAAC_C_L, Murphy8_LAAC_C_E, Letter12_LDC_C_II   |
| II vs M | SD_QSO_GR_4, SD_QSO_SW_K, 7-cat_GD_TRAN_AY_YA, HOPT810101_HHT_ENG_IMF_1, Murphy8_GD_DIST_S_50, JANJ780103_HHT_ENG_IMF_1, HOPT810101_HHT_STD_IMF_3, Letter6_GD_DIST_R_50, KARP850102_AD_G_4, 7-cat_GD_COMP_H            |
| II vs L | Letter12_LDC_N_AW, EISD840101_HHT_STD_IMF_5, F-IIIc4_GD_COMP_H, Letter20_LDC_N_MK, hydro_GD_TRAN_NP_PN, MS_LAAC_N_W, 7-cat_GD_DIST_D_50, Murphy15_LDC_N_GC, Murphy8_GD_EDP_H, GRAR740102_AD_M_1                        |
| II vs G | HP_LDC_C_AA, Letter20_LAAC_C_L, sol-acc_LDC_C_EF, MS_C_LAAC_A, 7-cat_LDC_C_II, MS_LDC_C_EA, DHP_LAAC_C_R, F-Ic4_LDC_C_CC, hydro_GD_EDP_P, sol-acc_LAAC_C_F   |
| M vs L  | SD_QSO_GR_K, Letter12_LDC_N_AW, DHP_GD_DIST_A_25, ROSG850101_AD_NMB_11, KARP850103_AD_NMB_16, JOND750101_HHT_MAX_IMF_5, Letter12_GD_TRAN_IW_WI, SD_QSO_GR_D, Letter20_GD_TRAN_DK_KD, KYTJ820101_AD_M_4                 |
| M vs G  | MS_LAAC_C_A, F-IIIc4_LAAC_C_H, BULH740101_HHT_STD_IMF_2, charge_LDC_C_CC, HP_GD_DIST_A_50, F-Ic4_LDC_C_CC, F-IIIc4_GD_COMP_F, HP_LAAC_C_D, Letter20_GD_TRAN_AI_IA, Letter20_LDC_C_LL                                   |
| L vs G  | DHP_LAAC_C_D, 7-cat_LAAC_C_I, Letter12_LAAC_C_Q, Murphy8_LDC_C_LL, LESK_LDC_C_CD, 7-cat_GD_DIST_C_100, F-IIIc4_LAAC_C_E, polarity_LDC_C_HH, charge_LAAC_C_C, hydro_LDC_C_PN  |

**Table 5**

Detailed classification performances for the jackknife and independent dataset tests using our method *Combination2*.

| Types     | Size       | Jackknife test |       |      | Independent dataset test |       |      |
|-----------|------------|----------------|-------|------|--------------------------|-------|------|
|           |            | $S_n$          | $S_p$ | MCC  | $S_n$                    | $S_p$ | MCC  |
| Type-I    | 435(487)   | 91.49          | 97.63 | 0.89 | 96.44                    | 99.00 | 0.95 |
| Type-II   | 152(180)   | 75.00          | 97.89 | 0.73 | 90.00                    | 98.71 | 0.86 |
| Multipass | 1311(1867) | 96.34          | 93.06 | 0.89 | 97.91                    | 95.93 | 0.94 |
| Lipid     | 51(14)     | 86.27          | 99.79 | 0.89 | 85.71                    | 100   | 0.93 |
| GPI       | 110(86)    | 91.82          | 99.45 | 0.91 | 84.88                    | 99.72 | 0.88 |
| OA        |            | 93.25          |       |      | 96.61                    |       |      |

**Table 6**

Comparison of the overall prediction accuracy with existing approaches.

| Method                              | Jackknife test (%) | Independent dataset test (%) |
|-------------------------------------|--------------------|------------------------------|
| CDA (Chou and Elrod, 1999)          | 76.4               | 79.4                         |
| CDA and PseAA (Chou, 2001)          | 80.9               | 87.5                         |
| AA composition (Cai et al., 2004)   | 80.4               | 85.4                         |
| Fourier spectrum (Liu et al., 2005) | 78.0               | 87                           |
| PseAA (Wang et al., 2004)           | 82.3               | 90.3                         |
| Stacking (Wang et al., 2006)        | 85.4               | 94.3                         |
| Wavelet (Rezaei et al., 2008)       | 81.3               | 91.4                         |
| Discrete wavelet (Qiu et al., 2010) | 78.1               | –                            |
| Dipeptide (Wang et al., 2010)       | 82.0               | 90.1                         |
| CPSR (Hayat and Khan, 2011)         | 86.0               | 95.2                         |
| MemHyb (Hayat and Khan, 2012a)      | 91.1               | –                            |
| <i>Combination2</i>                 | <b>93.25</b>       | <b>96.61</b>                 |

As shown in Table 6, *Combination2* achieves better performance on all types of two datasets compared with several existing methods. *Combination2* significantly improves performances of the jackknife test. Interestingly, it can be seen from Tables 3 and 6 that HHT outperforms Fourier spectrum (Liu et al., 2005) and wavelet method (Rezaei et al., 2008; Qiu et al., 2010), implying that HHT is more effective. Note that the HHT and wavelet method are both time-frequency analysis methods and use similar definitions of statistical features. Overall, the results show that our method has good generalization abilities in predicting membrane protein types regardless of the size of types.

Furthermore, comparing with Hayat and Khan (2012a), our method only uses information on amino acids of the protein sequence, and do not use non-sequence information such as evolutionary information (e.g. PSI-BLAST profile), which makes our method more general since the PSI-BLAST profile is computationally more intensive than sequence-based methods, and is also time consuming for training on a novel query sequence.

However, although our method makes encouraging identification among the five types on two benchmark datasets, there are still some proteins that fail to be grouped into the correct types. In addition to inaccuracy in predicting small-size types, some hidden complicated signals cannot be captured by our model. Therefore, some other good features should be incorporated to make potential improvement for our system.

#### 4. Conclusions

In this work, we not only proposed a novel feature extraction method based on HHT but also established a two-stage SVM system by incorporating amino acid classifications and physicochemical

properties into the general form of Chou's PseAAC to predict membrane protein types. Numerical results show that this method is more effective than the other individual feature extraction methods under consideration. The results further demonstrate that combination of the feature extraction methods significantly increases the performance compared with individual methods. The reason may be that diverse amino acid classifications and physicochemical properties can capture more contextual and environmental information of the protein sequence, and different feature extraction methods effectively integrate multidirectional information of the protein sequence. Furthermore, our method also has better generalization abilities than non-sequence information-based methods such as MemHyb (Hayat and Khan, 2012a). Since user-friendly and publicly accessible web-servers represent the future direction for developing practically more useful predictors (Chou and Shen, 2009; Lin and Lapointe, 2013), here we have provided a web-server for the method presented in this paper at [http://www.juementg.com/jcc/memty\\_page.php](http://www.juementg.com/jcc/memty_page.php).

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