

## Assignment: 3

### Procedure

- Get dataset and trim it to 10000 for train and 5000 for test at all clients and federated server and central server
- Now at all clients train clients model and pass it to federated server.
- While train model at client note class wise accuracy, overall accuracy and confusion matrix of client and similar at federated server and central model
- For aggregation I used FedAVG at fedmodel
- After training fedmodel and centralized model compare the results

### Part A

- Implemented in code

### Part B

Result:

#### Client 1:

Overall Accuracy of Client Model: 0.9085

Class wise Accuracy:

Class 0: 0.9980

Class 1: 0.9880

Class 2: 0.9840

Class 3: 0.9900

Class 4: 0.9760

Class 5: 0.9740

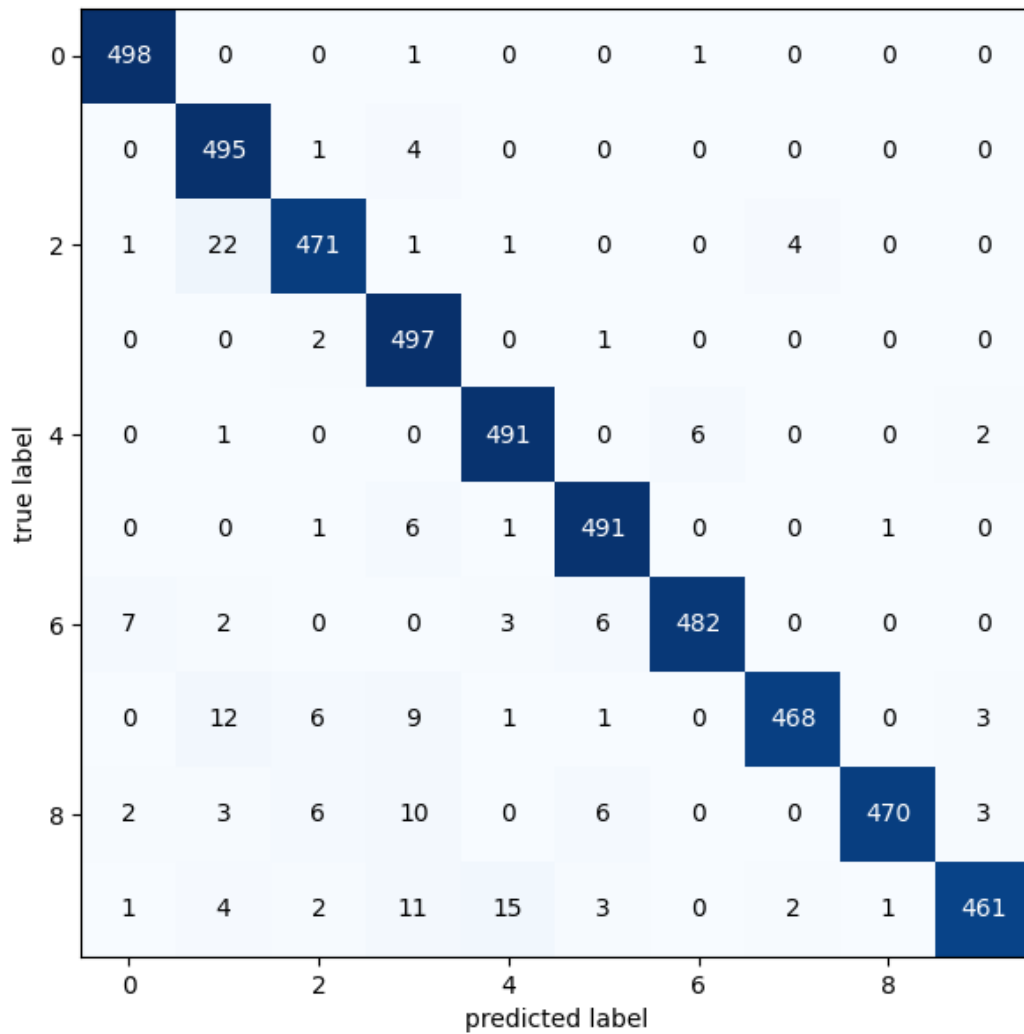
Class 6: 0.9680

Class 7: 0.9700

Class 8: 0.9740

Class 9: 0.9500

Confusion Metric



### Client 2:

Overall Accuracy of Client Model: 0.8994

Class wise Accuracy:

Class 0: 0.9980

Class 1: 0.9860

Class 2: 0.9820

Class 3: 0.9940

Class 4: 0.9700

Class 5: 0.9460

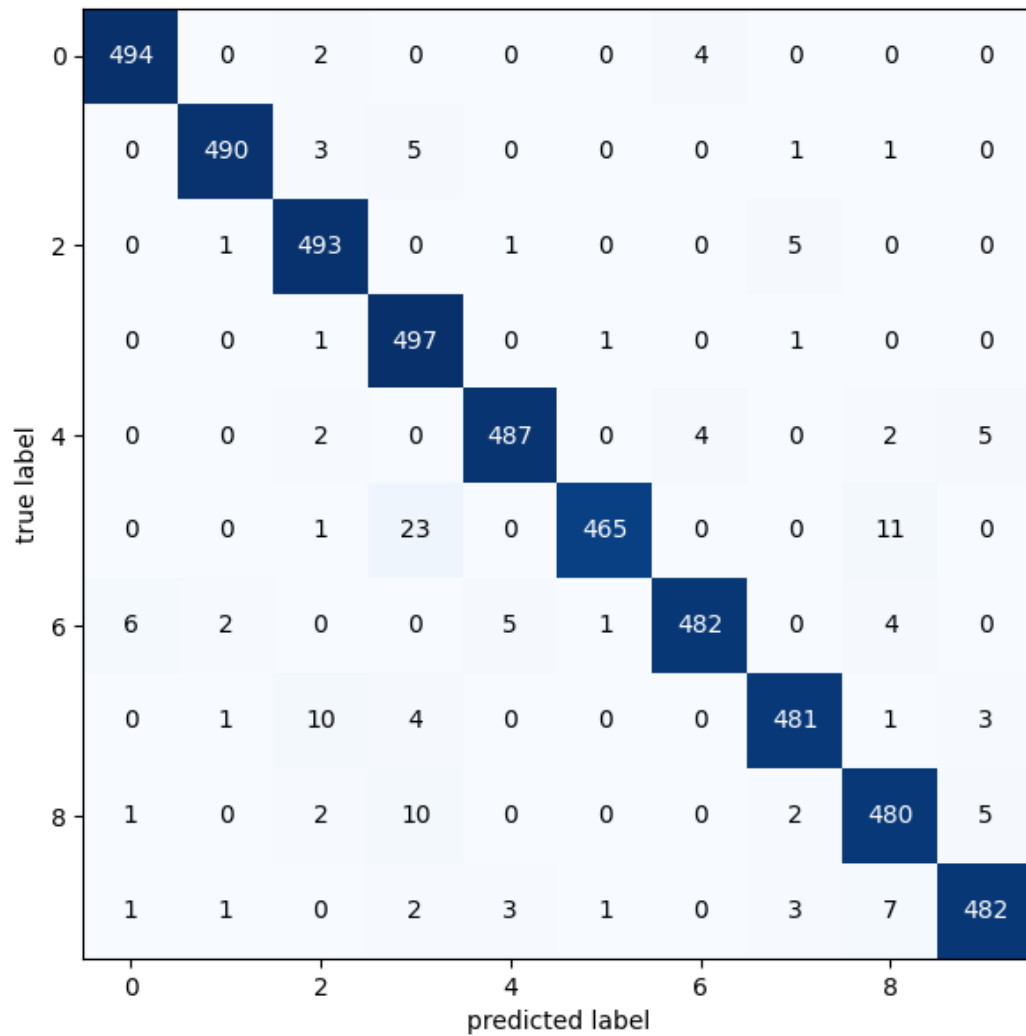
Class 6: 0.9640

Class 7: 0.9500

Class 8: 0.9520

Class 9: 0.9580

Confusion Metric



**Client 3:**

Overall Accuracy of Client Model: 0.8899

Class wise Accuracy:

Class 0: 0.9900

Class 1: 0.9800

Class 2: 0.9660

Class 3: 0.9940

Class 4: 0.9320

Class 5: 0.9560

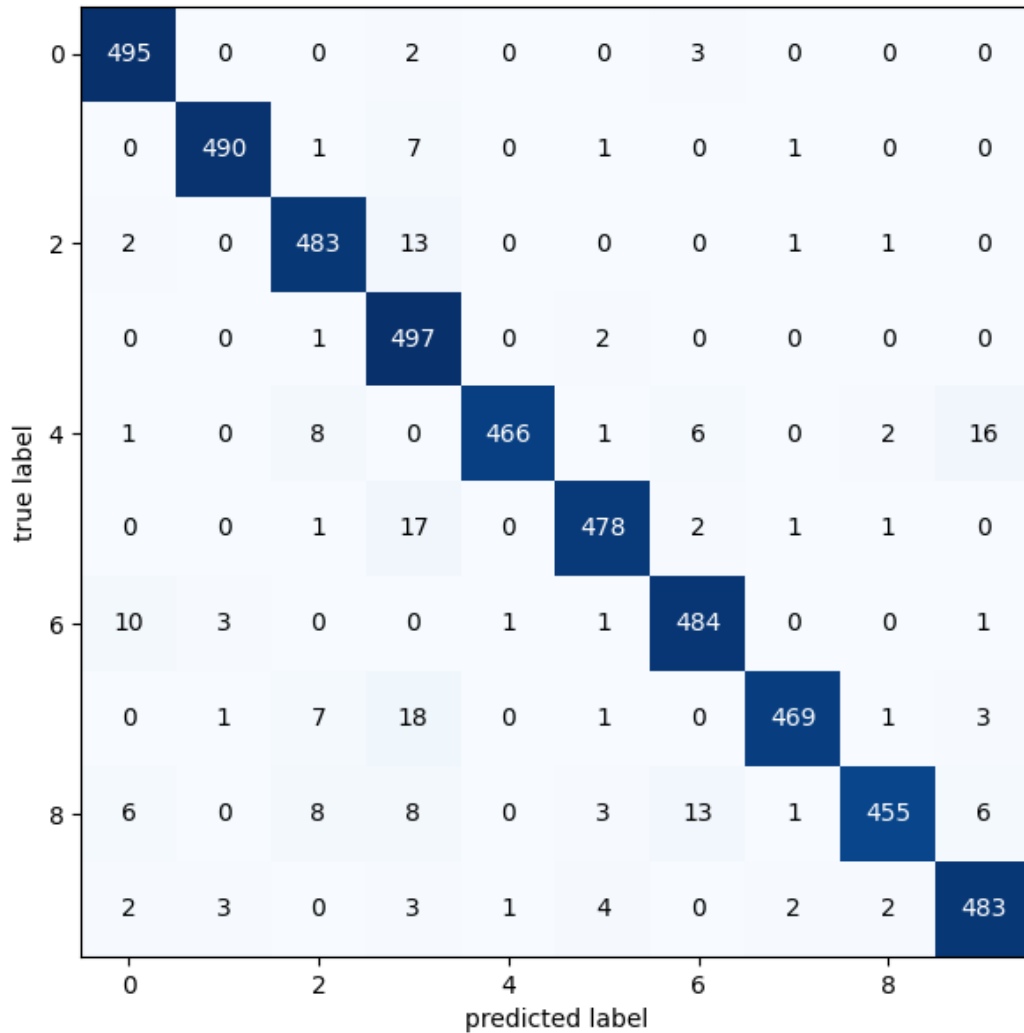
Class 6: 0.9680

Class 7: 0.9380

Class 8: 0.9100

Class 9: 0.9660

Confusion Metric



### Federated Server Model:

Overall Accuracy of Client Model: 0.9376

Class wise Accuracy:

Class 0: 0.9745

Class 1: 0.9833

Class 2: 0.9806

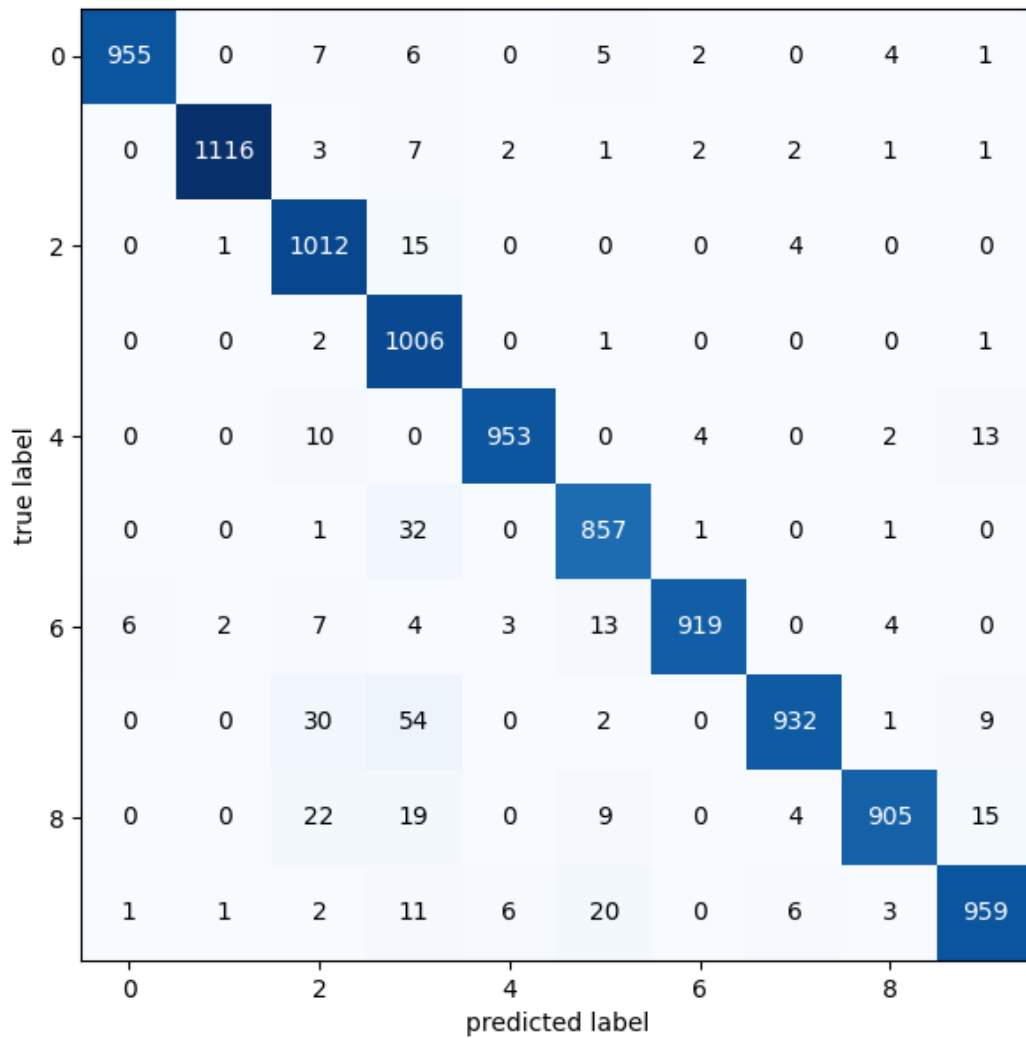
Class 3: 0.9960

Class 4: 0.9705

Class 5: 0.9608

Class 6: 0.9593  
Class 7: 0.9066  
Class 8: 0.9292  
Class 9: 0.9504

Confusion Metric



**Centralized Based Server:**

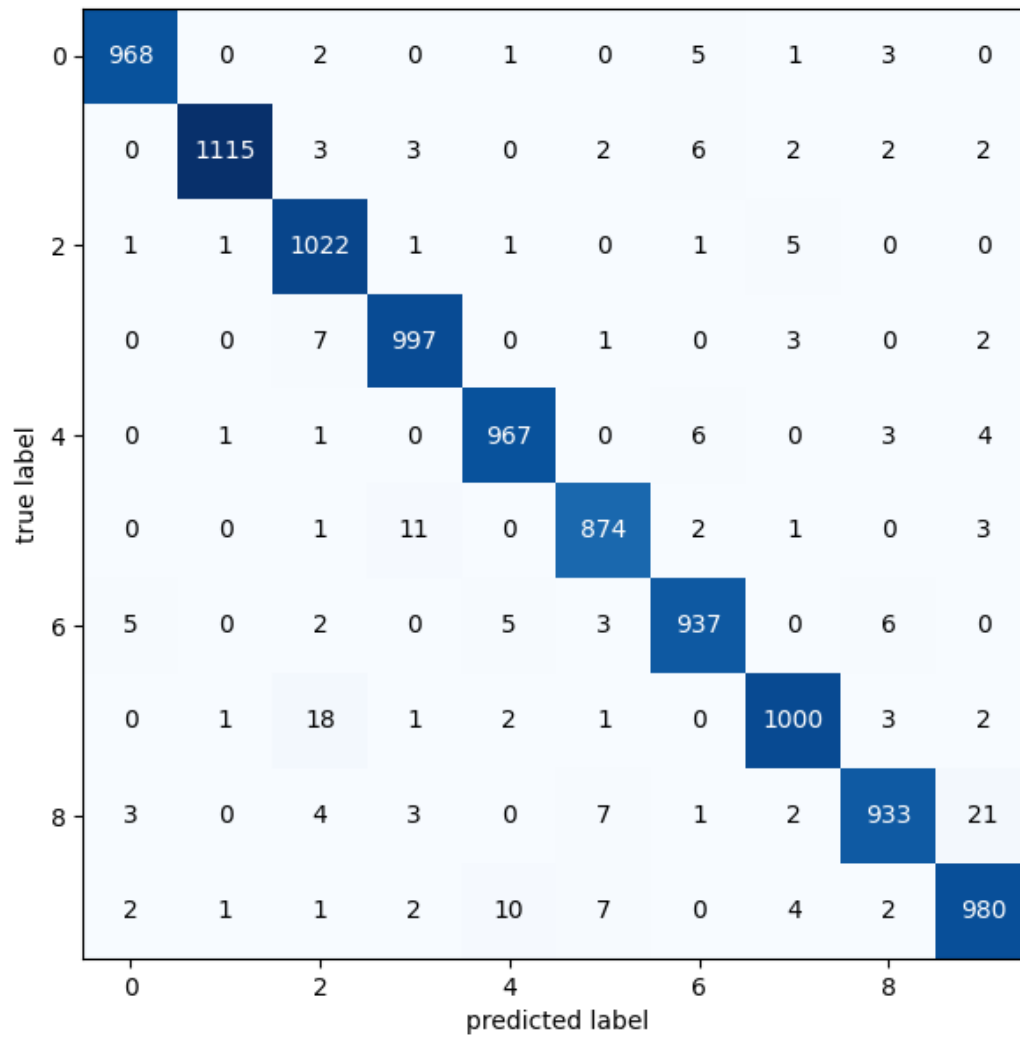
Overall Accuracy of Client Model: 0.9552

Class wise Accuracy:

Class 0: 0.9878  
Class 1: 0.9824  
Class 2: 0.9903  
Class 3: 0.9871

Class 4: 0.9847  
Class 5: 0.9798  
Class 6: 0.9781  
Class 7: 0.9728  
Class 8: 0.9579  
Class 9: 0.9713

Confusion Metric



### Part C:

- In federated learning, the FedAVG (Federated Averaging) algorithm is commonly used to aggregate the model updates from the client devices at the central server. The FedAVG algorithm computes the average of the model parameters across all the participating client devices, weighted by the number of training examples on each device. The mathematical explanation of the FedAVG algorithm is as follows:
- Let  $N$  be the total number of participating client devices in the federated learning system, and let  $w_t$  be the model parameters (e.g., weights and biases) of the  $i$ -th client device after training on its local data. Each client device trains on a subset of the overall training data, denoted as  $n_k$ . Let  $n = |n_k|$  be the number of training examples on the  $i$ -th device.

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**Algorithm 1** *FederatedAveraging*. The  $K$  clients are indexed by  $k$ ;  $B$  is the local minibatch size,  $E$  is the number of local epochs, and  $\eta$  is the learning rate.

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**Server executes:**

```
initialize  $w_0$ 
for each round  $t = 1, 2, \dots$  do
     $m \leftarrow \max(C \cdot K, 1)$ 
     $S_t \leftarrow$  (random set of  $m$  clients)
    for each client  $k \in S_t$  in parallel do
         $w_{t+1}^k \leftarrow \text{ClientUpdate}(k, w_t)$ 
     $w_{t+1} \leftarrow \sum_{k=1}^K \frac{n_k}{n} w_{t+1}^k$ 
```

```
ClientUpdate( $k, w$ ): // Run on client  $k$ 
     $\mathcal{B} \leftarrow$  (split  $\mathcal{P}_k$  into batches of size  $B$ )
    for each local epoch  $i$  from 1 to  $E$  do
        for batch  $b \in \mathcal{B}$  do
             $w \leftarrow w - \eta \nabla \ell(w; b)$ 
    return  $w$  to server
```

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- The FedAVG algorithm aggregates the model updates from the client devices by computing the weighted average of the model parameters as follows:

- where  $w_{avg}$  is the averaged model parameters at the central server, and  $|n|$  is the total number of training examples across all client devices.
- The term  $(|n_k|/|n|)$  represents the weight assigned to the  $i$ -th device in the aggregation process, which reflects the proportion of training examples on that device relative to the total number of training examples across all devices. The FedAVG algorithm applies this weighting to ensure that devices with more data have a greater influence on the aggregated model than those with less data.
- The FedAVG algorithm is an iterative process that repeats until convergence or a stopping criterion is met. In each iteration, the client devices train their local models on their respective data, compute their model updates, and send them to the central server for aggregation using the FedAVG algorithm. The central server then updates its global model with the averaged parameters and sends the updated model back to the client devices for further training. This process continues until the global model converges to a satisfactory level of accuracy.

#### Part D:

- In federated learning, multiple client devices participate in training a shared machine learning model without sharing their data with each other.
- After each client trains its local model on its respective data, the model's parameters are sent to a central server for aggregation.
- The FedAVG algorithm is a widely-used aggregation technique in federated learning that computes the weighted average of the model parameters across all client devices.

$$w_{t+1} \leftarrow \sum_{k=1}^K \frac{n_k}{n} w_{t+1}^k$$

- The weighted average is computed by assigning a weight to each client device that is proportional to the number of training examples on that device.
- The weight assigned to each device ensures that devices with more data have a greater influence on the aggregated model than those with less data.
- The aggregated model parameters are then used as the global model that is sent back to each client for further training.
- The FedAVG algorithm is an iterative process that repeats until convergence or a stopping criterion is met.



- At each iteration, the client devices train their local models on their respective data, compute their model updates, and send them to the central server for aggregation using the FedAVG algorithm.
- The central server then updates its global model with the averaged parameters and sends the updated model back to the client devices for further training.
- This process continues until the global model converges to a satisfactory level of accuracy.
- In essence, the FedAVG algorithm computes a weighted average of the model parameters across all participating client devices in order to aggregate model updates in federated learning. The privacy and security of the various data sets are maintained while ensuring that devices with more data have a bigger impact on the aggregated model.

#### Part E:

	Federated	Centralized
<b>Overall Accuracy</b>	0.9376	0.9552
<b>Class wise accuracy</b>		
<b>Class 0</b>	0.9745	0.9878
<b>Class 1</b>	0.9833	0.9824
<b>Class 2</b>	0.9806	0.9903
<b>Class 3</b>	0.9960	0.9871
<b>Class 4</b>	0.9705	0.9847
<b>Class 5</b>	0.9608	0.9798
<b>Class 6</b>	0.9593	0.9781
<b>Class 7</b>	0.9066	0.9728
<b>Class 8</b>	0.9292	0.9579
<b>Class 9</b>	0.9504	0.9713

- If we tried to train client's models in real time and then pass the weight to a federated server, we could achieve similar results, or it may be possible to get better accuracy than with a centralized model.

- In class wise accuracy all class accuracy are similar they have only just 1-2 % differences. But for class 7 we get 7% different.
- We have compared both federated and centralized models and have observed that there is a difference of 2% accuracy between them because in centralised model we train test and evaluate all in the same system at a serial instance and in federated model there is a security issue to clients so in order to maintain it they send the train weights to the server. Next all the n values are combined in terms of average and hence a common final weight has been generated.
- Hence it is the average of all client model weights so accuracy of 1-3 % are considered to be negligible and can be ignored.

A federated model could be less accurate than a centralized model for a number of reasons, including the following:

- Data distribution: In federated learning, the data is split across a number of client devices, and each one builds a local model using the data that belongs to it. In comparison to a centralized model that has access to all data, the data distribution may not be representative of the distribution of data as a whole, which could lead to lower accuracy.
- Limited communication rounds: Only a certain number of communication rounds are allowed between client devices and the central server during federated learning. The federated approach could be less accurate than the centralized model if there aren't enough communication rounds to get a decent answer.
- Heterogeneous devices: Devices that are heterogeneous: In federated learning, client devices may have varying hardware setups, network setups, or training data sizes. In comparison to a centralized model, this heterogeneity may cause updates to the model to vary and convergence to occur more slowly.
- Privacy preservation: Federated learning is intended to protect the confidentiality and privacy of individual data sets. Neither the central server nor the client devices have access to the training data. In comparison to a centralized model with access to all data, this privacy-preserving mechanism may restrict the amount of data that is available for training and lead to lower accuracy.

It's vital to remember that not all tasks will benefit from federated learning more than centralized learning. Federated learning aims to make model training collaborative while maintaining security and privacy. A successful federated model would be one that maintains privacy while maintaining an acceptable level of accuracy.

**Note: I also provide a video to explain the code. Thank You:)**

**References:**

<https://towardsdatascience.com/federated-learning-a-simple-implementation-of-fedavg-federated-averaging-with-pytorch-90187c9c9577>

<https://www.kaggle.com/code/puru98/federated-learning-pytorch>

<https://github.com/AshwinRJ/Federated-Learning-PyTorch/tree/master/src>

<https://flower.dev/docs/example-pytorch-from-centralized-to-federated.html>

[https://shreyansh26.github.io/post/2021-12-18\\_federated\\_optimization\\_fedavg/](https://shreyansh26.github.io/post/2021-12-18_federated_optimization_fedavg/)

<https://www.dam.brown.edu/drp/talks/OhJoonKwon.pdf>

Also from class videos recording.