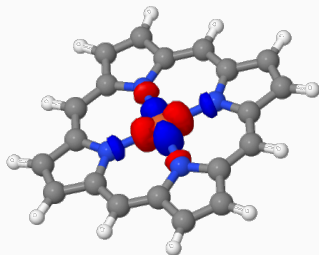


# Cheap and Near Exact CASSCF with Large Active Spaces

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James E. T. Smith

University of Colorado, Boulder, Department of Chemistry



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1. Background
2. Applications
3. Conclusions and Future Work

# Background

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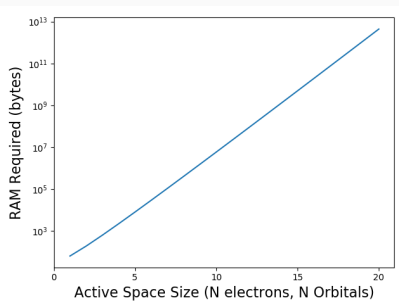
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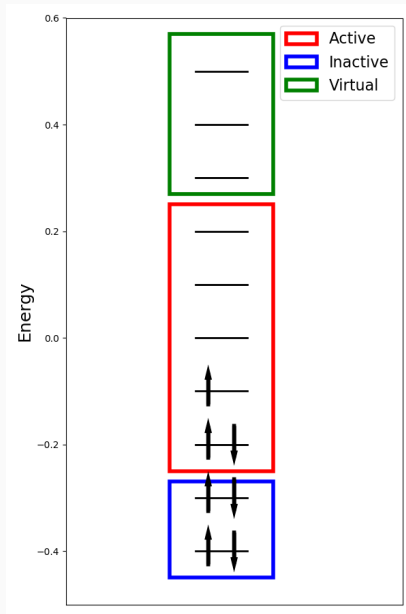
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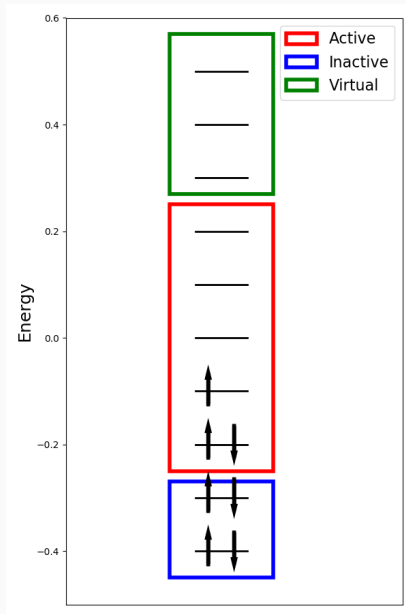
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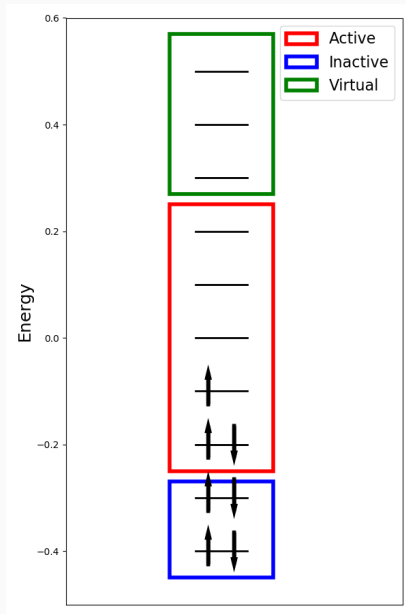
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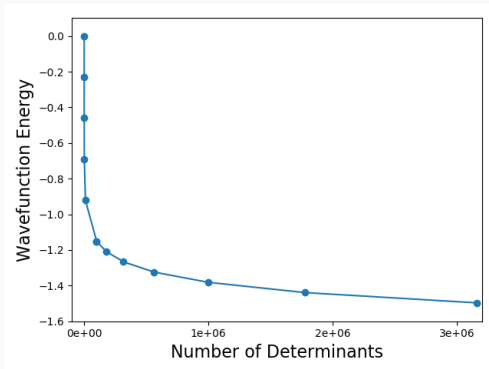
# Complete Active Space CI (CASCI)

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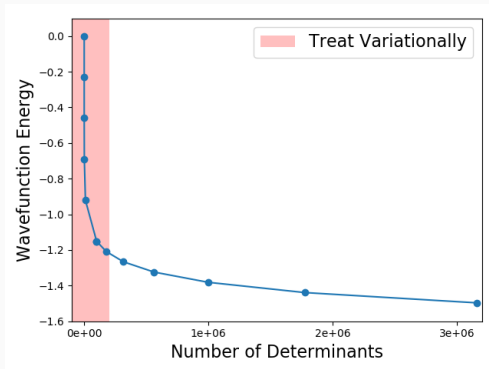
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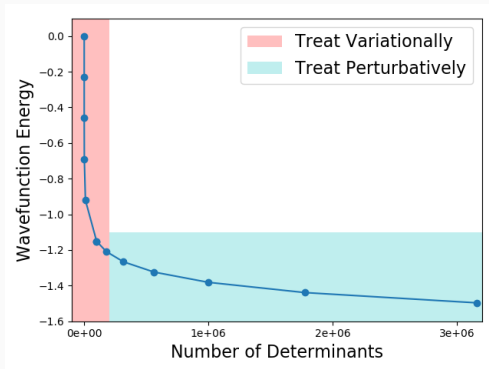
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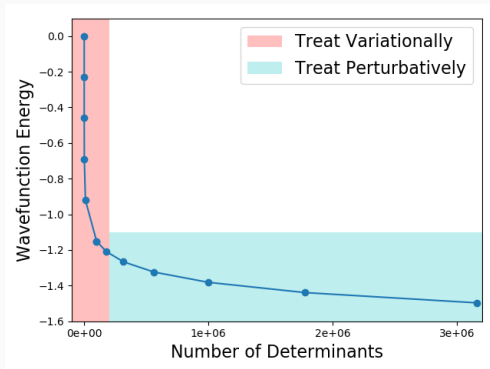
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# Selected CI

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- Treat important determinants variationally
- Treat remaining determinants perturbatively
- How do we *select* the "important" determinants?



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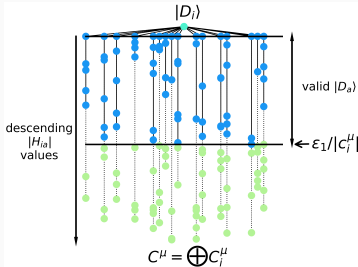
# CIPSI vs. HCI

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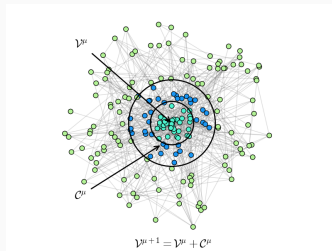
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**Figure 1:** From Smith et al. 2017



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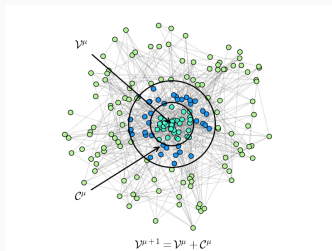
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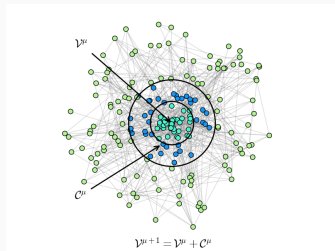
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Importance Criterion (add to  $\mathcal{V}^\mu$ ):

$$f_{HCI}^\mu(|D_a\rangle) = \max_{|D_i\rangle \in \mathcal{V}^\mu} |H_{ai} c_i^\mu| \quad (7)$$



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# HCI Algorithm: Perturbative Stage

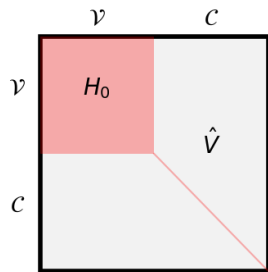
Epstein-Nesbet Hamiltonian:

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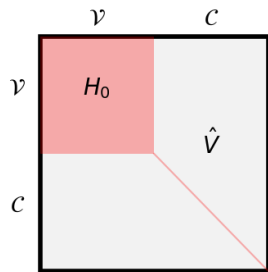
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Deterministic perturbative energy correction using subset of determinants (determined by  $\epsilon_2$ ):

$$E_2(\epsilon_2) = \sum_{|D_a\rangle \in \mathcal{C}(\epsilon_2)} \frac{1}{E_0 - H_{aa}} \left( \sum_{|D_i\rangle \in \mathcal{V}}^{(\epsilon_2)} H_{ai} c_i \right)^2 \quad (9)$$

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Stochastic error on smaller component

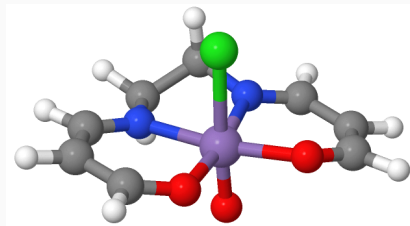


<https://sanshar.github.io/Dice/>



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Routine active space of (28e, 22o)



Mn(salen)

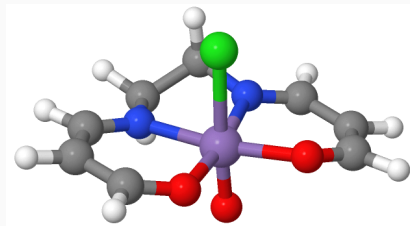


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Routine active space of (28e, 22o)

Sym.	$N_{var}$	SHCI (Ha)	DMRG (Ha)	Time (sec)
$^1A$	232484	0.7980(7)	0.7991	37
$^3A$	208334	0.7994(8)	0.8001	32

**Table 1:** All energies shifted by 2251 Ha.



Mn(salen)

Large active space (22e, 82o)

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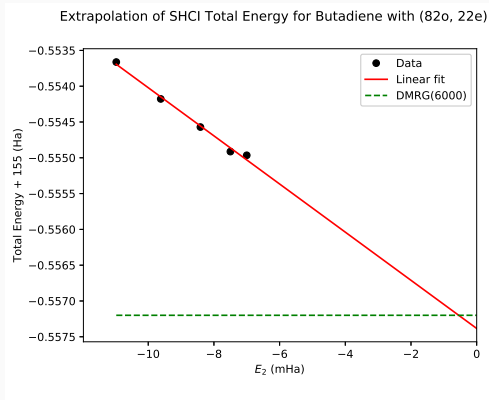
$\epsilon_1$	$N_{\text{var}}$	vHCl	SHCl
$3 \times 10^{-5}$	$1.1 \times 10^7$	-0.5411	-0.5534(1)
$2 \times 10^{-5}$	$2.1 \times 10^7$	-0.5441	-0.5540(1)
$1 \times 10^{-5}$	$5.9 \times 10^7$	-0.5481	-0.5550(1)
CCSD(T)		-0.5550	
CCSDT		-0.5560	
DMRG(M=6000)		-0.5572	

**Table 2:** All energies shifted by 155 Ha.

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SHCI( $E_2 \rightarrow 0$ )		-0.5574(8)	

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Optimize orbitals for *single* determinant:

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**Objective:** Use SHCI instead of CASCI to solve for  $C_i$  coefficients in CASSCF-like calculations.

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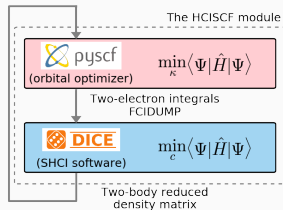
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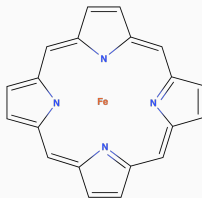
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**Figure 3:** Overview of workflow for HCISCF module from Smith et al. 2017

# HCISCF: Converging the Multiconfigurational Orbitals

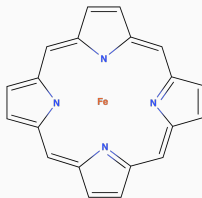
- PT does not improve convergence of orbitals



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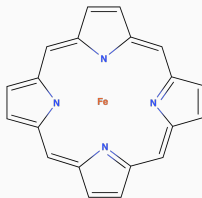
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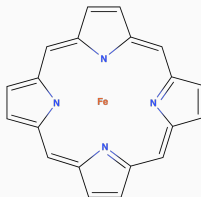
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2. Run final SHCI calculation w/ tight settings to get final energy

- Optimization of CI coefficients is **not** bottleneck even for large active spaces

Total Orbitals	CAS	$T_{\text{OO}}$ (sec)	$T_{\text{CI}}$ (sec)
439	(29o, 32e)	126	52
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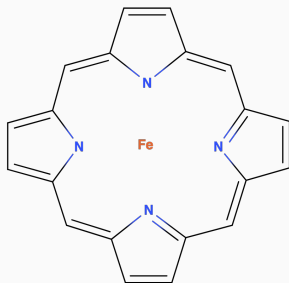
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# Applications

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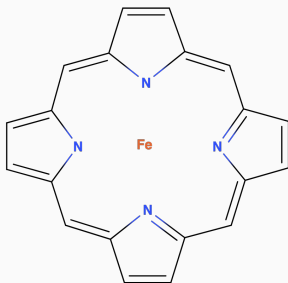
# Fe(porphyrin): Motivation



**Figure 4:** Fe(II)(porphyrin)

- Model system for active site of heme group

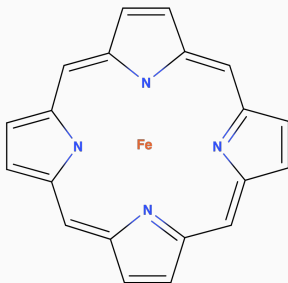
# Fe(porphyrin): Motivation



**Figure 4:** Fe(II)(porphyrin)

- Model system for active site of heme group
- Coordination compound with d-orbital degeneracy

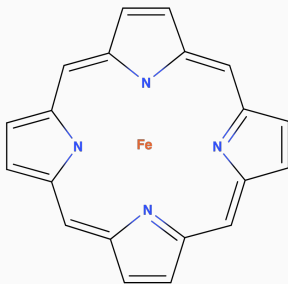
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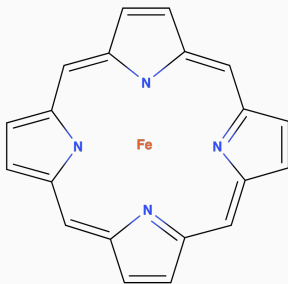
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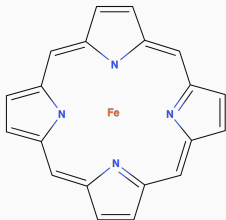
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- Almost all theoretical work suggests  $^5A_{1g}$

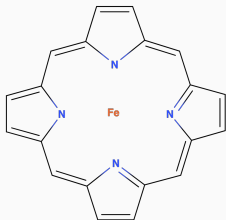
# Fe(porphyrin): CAS(32e,29o)



**Figure 5:** Fe(II)(porphyrin)

- Fe 3d and all the conjugated  $\pi$  orbitals in active space

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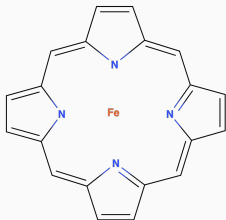


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Basis	Sym.	$E_{\text{SHCI}}$ (Ha)	$E_{\text{ex}}$ (kcal/mol)
<b>CAS(29o, 32e)</b>			
cc-pVDZ	$^5A_g$	-2245.0314(5)	16.7
cc-pVDZ	$^3B_{1g}$	-2245.0049(6)	
cc-pVTZ	$^5A_g$	-2245.2549(5)	16.4
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# Fe(porphyrin): CAS(32e,29o)



**Figure 5:** Fe(II)(porphyrin)

- Add Fe-N 2px/y and stabilize triplet by adding Fe 4d

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CAS(29o, 32e)			
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cc-pVTZ	$^3B_{1g}$	-2245.2288(6)	
CAS(44o,44e)			
cc-pVDZ	$^5A_g$	-2245.1964(9)	-2.0
cc-pVDZ	$^3B_{1g}$	-2245.1995(6)	



## Fe(porphyrin): Wrap up

- Only takes a few hours to run (44e,44o) calculation!
- Suggest that previous work disagreed because of choice of active space, i.e. need to include Fe-N bonding/anti-bonding and second d shell

# Capturing Dynamical Correlation

CASSCF isn't always enough, but CASPT2 is expensive, so we are investigating two methods:

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- Projection based embedding
  - F. R. Manby and T. F. Miller 2012

- Use MCSCF calculation as a reference calculation
  - Total density and pair density
  - Kinetic and coulomb energy contributions

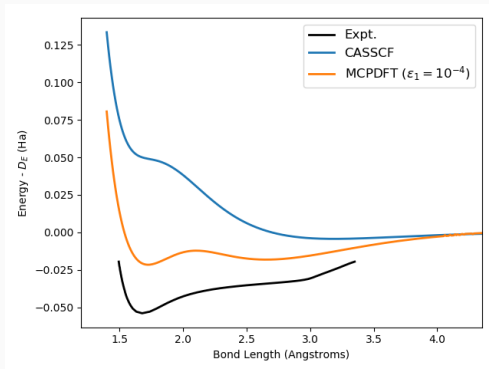
- Use MCSCF calculation as a reference calculation
  - Total density and pair density
  - Kinetic and coulomb energy contributions
- Use density and pair density to calculate remaining contribution to energy

Cr<sub>2</sub> CAS(12e, 12o)

- CASSCF wavefunctions do not produce bound dissociation curve

## Cr<sub>2</sub> CAS(12e, 12o)

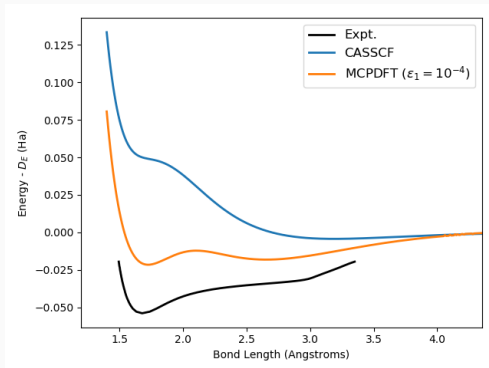
- CASSCF wavefunctions do not produce bound dissociation curve
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## Cr<sub>2</sub> CAS(12e, 12o)

- CASSCF wavefunctions do not produce bound dissociation curve
- MC-PDFT with HCISCF reference wavefunctions produce bound curves
- Good agreement with MC-PDFT using CASSCF wavefunctions (G. L. Manni and Gagliardi 2014)



## Conclusions and Future Work

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Wrap up:

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Wrap up:

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- Derived new extension of SHCI algorithm called HCISCF, for quickly optimizing CI coefficients *and* orbitals
- Performed CASSCF-like calculation with (44e, 44o) in only a few hours

Up next:

- Using MC-PDFT with large active space reference wavefunctions
- Calculating nuclear gradients of SHCI energy

# Acknowledgements

Thanks to the Sharma Group at CU Boulder and particular: Sandeep Sharma, Bastien Mussard, and Adam Holmes for helpful discussion and offering countless advice. This work was supported by

- University of Colorado Boulder startup grant
- The GAANN Fellowship
- MoSSI Phase I Software Fellowship

And thank you Qiming!



Thanks you for your attention!  
Do you have any questions?



<https://sanshar.github.io/Dice/>

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