

Automated Visualization for Flat and Hierarchical State Machines

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Abstract—Finite State Machines (FSMs) are essential in event-driven control systems but become complex with more states and events, which complicate debugging and updates. Traditional tools for state diagrams are error-prone as they require manual input or source code annotations. This paper introduces a tool that automatically generates state diagrams from FSM code, using naming conventions targeted by Abstract Syntax Tree (AST) patterns with XSLT transformations. This tool fully automates common coding practices and allows customization for unique styles. The tool improves FSM design, debugging, and maintenance by ensuring diagrams accurately reflect the code.

Index Terms—Finite State Machines (FSMs), Automated Visualization, State Diagram Generation, Source Code Analysis, Abstract Syntax Tree (AST), XSLT Transformations, XPATH, Event-Driven Control Systems, Debugging and Feature Integration, Coding Conventions, Software Tools for FSMs.

I. INTRODUCTION

FINITE State Machines (FSMs) are key in event-driven systems but get complex with more states and events, making debugging and integration harder. State diagrams are useful for understanding FSMs, but manually creating and updating them is tedious and error-prone, often leading to mismatches with the actual code.

A. Diagram Tools

Diagram tools like Graphviz [1] (also Mermaid.JS [2], PlantUML [3], ...) require diagrams to be already described using their visualization language. Tools like Doxygen [4] require source code to be annotated for state diagram generation. Unified Modeling Language [5] IDE tools like "Enterprise Architect" [6] need manual intervention for FSM diagram creation. No tool found can automatically generate diagrams directly from source code.

B. Automatic Diagrams

FSM code typically involves a series of checks: current state, last event, event parameters, and guard conditions. Implementations can vary, using structures like switch-default or if-elseif-else statements, and the sequence of checks can differ. This variability poses a challenge: **How can we automatically generate accurate visual representations of FSMs from their source code?**

To address this, we developed a tool that extracts state diagrams from source code. It uses naming conventions and Abstract Syntax Tree (AST) patterns, employing a pipeline of

XSLT [7]. This tool is fully automated when standard code conventions are followed. For non-standard conventions, it offers flexibility through modifiable XSLT templates. Users can adapt the tool to alternative naming conventions either by altering the XSLT directly or by preprocessing the source code. When encountering unfamiliar variable names and coding styles, the tool's AST pattern recognition can be expanded with new or updated XSLT templates. This approach ensures that any enhancements in the diagram generation process are immediately reflected across all diagrams, facilitating efficient and accurate visualization of FSM implementations.

II. CODE PATTERNS

A. UCSC Mechatronics Robot Projects

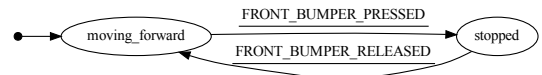
UCSC Mechatronics students bring their robot projects with code samples. These samples use "CurrentState", "nextState", and "ThisEvent" to track states and events. This section presents code examples that follow this pattern.

B. Code Pattern 1: Basic FSM

This pseudocode illustrates a simple FSM for a robot:

```
1 handle(ThisEvent) {
2   switch (CurrentState):
3     case moving_forward:
4       if (ThisEvent == FRONT BUMPER_PRESSED):
5         nextState = stopped
6         break
7     case stopped:
8       if (ThisEvent == FRONT BUMPER_RELEASED):
9         nextState = moving_forward
10        break
11   CurrentState = nextState
12 }
13 }
```

In the "moving_forward" state, if the front bumper is pressed, the robot shifts to the "stop" state. Conversely, in the "stopped" state, releasing the bumper returns it to "moving_forward". The state diagram for this behavior is:



C. Code Pattern 2: Event Parameters

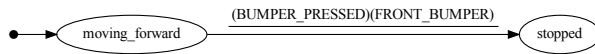
In the example above all bumper activations may require some common action like a switch reset and for this reason it can be useful to allow handling collections of related events as groups. One way to achieve this is to split events into ThisEvent.EventType and ThisEvent.EventParam as follows:

```

1 handle(ThisEvent) { {
2   switch (CurrentState):
3     case moving_forward:
4       ...
5       if (ThisEvent.EventType == BUMPER_PRESSED):
6         if (ThisEvent.EventParam == FRONT_BUMPER)
7           nextState = stopped
8         else
9           ...
10      case stopped:
11        ...
12      CurrentState = nextState
13 }

```

The matching state diagram looks like this:



D. Code Pattern 3: Transition Logic

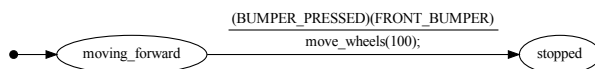
Up until now, the behavior was only switching state based on events the system has detected, but has not made any real world reactions to them. To implement real actions as a result of transitioning state, we would need to call upon functions that move the robot, for example `move_wheels(int speed)` which will move the wheels based on the speed.

```

1 handle(ThisEvent) {
2   switch (CurrentState):
3     case moving_forward:
4       ...
5       if (ThisEvent.EventType == BUMPER_PRESSED):
6         if (ThisEvent.EventParam == FRONT_BUMPER)
7           move_wheels(100)
8         nextState = stopped
9       else
10        ...
11     case stopped:
12       ...
13   CurrentState = nextState
14 }

```

The matching state diagram looks like this:



E. Code Pattern 4: Transition Guards

Before an FSM decides to switch states it may test whether various conditions are true. These tests are called guards and it can be useful to show these guards in state diagrams. For example a robot may check before starting to move if it is safe to do so, which is done in the function `isSafeToMove()`. If it is safe the robot can proceed to the `moving_forward` state, else it must stay in the `stopped` state.

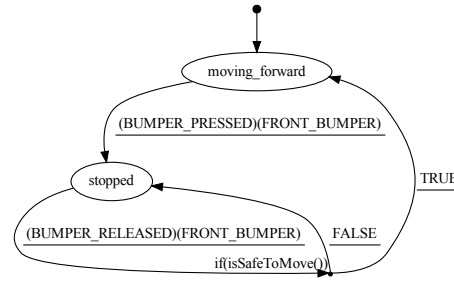
The code pattern for transition guards looks like this:

```

1 handle(ThisEvent) {
2   switch (CurrentState):
3     case moving_forward:
4       if (ThisEvent.EventType == bumper_pressed):
5         if (ThisEvent.EventParam == front_bumper):
6           nextState = stopped
7         ...
8     case stopped:
9       if (ThisEvent.EventType == BUMPER_RELEASED):
10        if (ThisEvent.EventParam == FRONT_BUMPER):
11          if (isSafeToMove()):
12            nextState = moving_forward
13          else:
14            nextState = stopped
15      CurrentState = nextState
16 }

```

The matching state diagram looks like this:



F. Code Pattern 5: Entry / Exit Logic

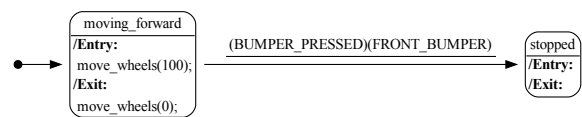
When entering or leaving a state often there is common logic performed and that logic is also useful to show in state diagrams. For example we may want to always want the robot to move forward when it enters the state `moving_forward` and stop moving when it leaves the state `moving_forward`. Since it would be repetitive to put this logic in every transition into the state and out of the state, we use special events called `ES_ENTRY` and `ES_EXIT` to always execute logic whenever a state is entered and exited.

```

1 handle(ThisEvent) {
2   switch (CurrentState):
3     case moving_forward:
4       ...
5       if (ThisEvent.EventType == ES_ENTRY):
6         move_wheels(100)
7       break;
8       if (ThisEvent.EventType == ES_EXIT):
9         move_wheels(0)
10      break;
11     if (ThisEvent.EventType == BUMPER_PRESSED):
12       if (ThisEvent.EventParam == FRONT_BUMPER)
13         nextState = stopped
14     else
15       ...
16     case stopped:
17       ...
18   CurrentState = nextState
19 }

```

The matching state diagram looks like this:



G. Code Pattern 6: Hierarchical State Machines

Hierarchical State Machines (HSMs) simplify complex systems by nesting smaller FSMs within larger ones. For example, in a robot, a main 'moving' state can include a nested state machine for specific movements. This allows the robot to manage detailed behaviors within the 'moving' state, while the top-level state machine focuses on broader states. Events are processed at the appropriate level, ensuring efficient and organized behavior management.

Top level FSM code pattern example:

```

1 handle(ThisEvent) {
2   switch (CurrentState):
3     case moving_forward:
4       RunHSM_Top_Moving(ThisEvent)
5       if (ThisEvent.EventType == ES_EXIT):
6         stop_wheels();

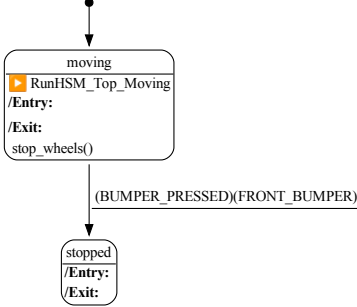
```

```

7         if (ThisEvent.EventType == BUMPER_PRESSED):
8             if (ThisEvent.EventParam==FRONT_BUMPER)
9                 nextState = stopped
10            else
11                ...
12        case stopped:
13            ...
14        CurrentState = nextState
15    }

```

Top level state diagram:



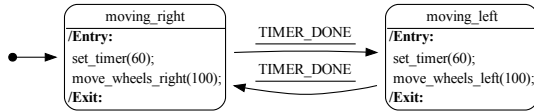
Code for nested FSM:

```

1 RunHSM_Top_Moving(ThisEvent):
2     switch (CurrentState):
3         case moving_right:
4             if (ThisEvent.EventType == ES_ENTRY):
5                 set_timer(60)
6                 move_wheels_right(100)
7             if (ThisEvent.EventType == TIMER_DONE):
8                 nextState = moving_left
9             break;
10        case moving_left:
11            if (ThisEvent.EventType == ES_ENTRY):
12                set_timer(60)
13                move_wheels_right(100)
14            if (ThisEvent.EventType == TIMER_DONE):
15                nextState = moving_left
16            break;
17        CurrentState = nextState
18    }

```

Diagram for nested FSM:



III. METHOD

Our state diagram generator operates in three stages:

- 1) The first stage reads source code and generates an abstract syntax tree AST
- 2) The second stage analyzes and annotates the AST with tags relevant for a state diagram.
- 3) The third stage uses the AST tags to generate a diagram description which is then rendered visually in various formats (PNG, SVG, PDF)

A. Stage One: AST Generation

1) *Supported Inputs:* We designed our tool to interpret FSMs in an embedded C variant for PIC32MX microcontrollers, a cost-effective 32-bit MCU family with versatile memory and integrated peripherals. This technology is used in UCSC classrooms [8] for developing robotic applications with Microchip's MPLAB X IDE [9] and MPLAB XC Compilers [10].

```

1 find "$src_path" -type f -name '*.c' -print0 \
2 | xargs -0 egrep -l nextState \
3 | while read f; do
4     ff="basename \"$f\" \"\""
5     b="dirname \"$f\" \"\""
6     (
7         cd "$b" \
8         && echo "amalgamating '$f'" \
9         && cat "$ff" \
10        | dos2unix \
11        | perl -p "${epath}" \
12        | ( egrep -avi '^#define ' || true ) \
13        > "${ff}.undef" \
14        && echo "
15        cpp
16        -I\"${course_include_path}\"
17        -I\"${pic32mx_include_path}\" \
18        $!list $iconfig2 -I'$b' -I. '$ff.undef' \
19        " \
20        | bash \
21        | perl -pe '
22            s{zz0912819zz}{};
23        ' \
24        | dos2unix \
25        > "${ff}.cp5" \
26        && rm -f "${ff}.undef"
27    ) 2>&1
28 done
29
30 find "$src_path" -name '*.c.cp5' \
31 | while read f; do
32     echo "visualizing '$f'"
33     (
34         sx=saxonb-xslt
35         cat "$f" \
36         | tr -d '\r' \
37         | ( egrep -avi '^[[:blank:]]*${va_list|__attribute__|true}' ) \
38         | perl -pe 's{__extension__}{ }g; s{__}{ }g; ' \
39         | python3 c_ast_xml.py \
40         | tee "${f}.xml" \
41         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00005_identity.xml \
42         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00100_declutter_attributes.xml \
43         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00200_add_bLine_eLine.xml \
44         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00300_add_CurrentStateTest.xml \
45         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00300_add_EventParamTest.xml \
46         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00300_add_EventTypeTest.xml \
47         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00300_add_NextStateLabel.xml \
48         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00400_add_CascadeElements.xml \
49         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00500_add_CascadeLabel.xml \
50         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00550_add_EventLabel.xml \
51         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00560_add_Guard_Element.xml \
52         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00570_add_Guard_Attributes.xml \
53         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00600_add_onEntry_onExit.xml \
54         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00600_add_onTransition2.xml \
55         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00620_drop_unwanted_code.xml \
56         | $sx -s:/dev/stdin -o:/dev/stdout -xsl:s00800_gv_digraph4.xml \
57         | perl -pe 's/ && / & /g;
58         s/ < / < /g;
59         s/ > / > /g;
60         s/ <= / <= /g;
61         s/ >= / >= /g;
62         ' \
63         > "${f}.gv"
64     )
65     dot -Tpng "${f}.gv" -o "${f}.png"
66     dot -Tpdf "${f}.gv" -o "${f}.pdf"
67     dot -Tsvg "${f}.gv" -o "${f}.svg"
68 ) 2>&1
69 done

```

Fig. 1. The two principal commands that power our tool. The first command (spanning lines 1-28) prepares C code for parsing. During preparation some macros are protected from expansion (line 11) and after cpp this protection is removed (lines 21-23). The second command (spanning lines 30-69) builds the AST (line 39), runs the annotation pipeline (lines 41-55) and generates diagrams (lines 56-67). See section III for details.

2) *Keywords and Constructs:* The embedded C variant for PIC32MX microcontrollers uses C language elements such as `va_list`, `__attribute__`, and `__extension__`, which are not recognized by some parsers like PycParser [11]. These elements unnecessary for our diagram generation, are eliminated using regular expressions. Additionally, superfluous elements such as empty lines and comments are removed.

3) *Macro Encoding:* C programs use macros, e.g., `#include "stdio.h"` and `#define FRONT_BUMPER 0x42`. These are processed by the C Preprocessor (CPP) [12], which enables macro functions, file inclusion, and conditional compilation. The `#include` macros need merging, and `#define` macros replace text in the code. In diagrams, it's beneficial to display macro names like `FRONT_BUMPER` instead of their expanded forms (e.g., `0x42`). Therefore, our

tool selectively suppresses some macro expansions during CPP processing. This is achieved by protecting them from expansion, and later removing this protection. The protection is added (figure 1 line 11) by an ad hoc script the generation of which is shown in figure 2.

```

1 epath="$src_path"/encode.pl
2
3 find \
4   "${src_path}" \
5   "${course_include_path}" \
6   -type f \( -name '*.h' -o -name '*.hpp' -o -name '*.c' \) \
7   | tr "\n" "\0" \
8   | xargs -0 cat \
9   | dos2unix \
10  | ( egrep -ai '#define' || true ) \
11  | perl -pe 's/#define (\w+)(\s+)[ \(\].*s{\b$1$2\b}($1zz0912819zz$2)g; #
12  | ( grep encode123 || true ) \
13  | perl -pe 's/ # encode123//g; ' \
14  | sort | uniq \
15  > "${epath}"

```

Fig. 2. Generation of ad hoc encoding script to protect macros. Line 11 in figure 1 adds the macro protection and lines 21-23 remove it. Section III-A3 has details.

4) *Apply CPP*: After filtering out unsupported keywords and encoding macros, we use CPP to expand `#include` files. Post-CPP, the macro protections are removed, reverting them to their original names.

5) *Construct AST*: A Python script processes the CPP output, creating an XML with two sections: `code` and `ast`. The `code` section lists the source code with line numbers, useful for diagram annotations. The `ast` section contains the corresponding AST, as generated by PycParser.

B. Stage Two: AST Annotation

In this stage, we annotate the AST using a series of XSLT steps, facilitating independent inspection and development of each annotation phase.

1) *XML Normalize*: Initially, we normalize the XML AST to enhance readability and track changes more efficiently. This involves removing unnecessary whitespace and maintaining the integrity of all XML elements and attributes. Indentation is used for clear visualization of the AST's tree structure.

2) *AST Declutter*: We simplify the AST by removing redundant elements and attributes generated by PycParser that are not required for state diagrams. Attributes like `quals`, `align`, `storage`, `funcspec`, and `line` (when null) are omitted, along with any empty attributes, using targeted XSLT rules. This decluttering focuses on creating a cleaner, more navigable AST.

3) *bLine / eLine*: Each AST element is assigned `bLine` and `eLine` attributes, marking the start and end line numbers in the original C code, respectively. This facilitates linking AST elements to their corresponding source code lines, essential for illustrating logic in state diagrams.

4) *CurrentStateTest*: For `case` and `default` elements within `switch` statements checking `CurrentState`, we add a `CurrentStateTest` attribute, reflecting the state name represented by that case. This annotation is extendable to `if-elseif-else` patterns if encountered.

5) *EventParamTest*: We tag AST elements within conditional statements involving `EventParam` with an `EventParamTest` attribute, indicating the specific `EventParam` being tested.

6) *EventTypeTest*: Similar to `EventParamTest`, conditional statements involving `EventType` are tagged with an `EventTypeTest` attribute, specifying the `EventType` under consideration.

7) *NextStateLabel*: Elements indicating state changes (which have class attribute set to `Assignment` and operation attribute set to `=`, and `nextState` on the left side) receive a `NextStateLabel` attribute, denoting the new state as defined in the assignment's right-hand value.

8) *CascadeElements*: `Case` and `Default` elements following uninterrupted `Case` elements (without a `Break`) gain `CascadeElement` children, representing each cascading case value.

9) *CascadeLabel*: A `CascadeLabel` attribute is formed by merging the current case value with all `CascadeElement` values, separated by the word `or`. This label collectively represents switch branches that cascade together.

10) *EventLabel*: Elements with `NextStateLabel` are also tagged with an `EventLabel`, combining relevant `EventType` and `EventParam` values.

11) *GuardElements*: If statements leading to state transitions but not checking `Event` attributes are marked with a `guard` child element, encapsulating the condition's code. This highlights the triggering logic in diagrams.

12) *GuardLabel*: To uniquely identify guards, we use `CurrentStateTest` and `NextStateLabel` attributes, with the guard's line number serving as an identifier. The `EventLabel` differentiates true and false conditions.

13) *onEntry / onExit*: `onEntry` and `onExit` elements are added, populated with code executed upon entering and exiting states, respectively.

14) *onTransition*: The `onTransition` element, filled with code executed during state transitions, is added. This information is displayed alongside event labels in the state diagram.

15) *Code Declutter*: We remove code lines that are redundant or non-essential, such as references to `nextState`, `makeTransition`, and `ThisEvent.EventType`. This is because their actions are already represented diagrammatically.

C. Stage Three: Diagram Generation

Once AST annotations are applied they are used to generate a description of a diagram in the GraphViz [1] diagram description language. This is done in four steps by XSLT in figure 3:

1) *Step: Diagram Setup*: Output format is set to plain text, suitable for Graphviz format and the initial starting state for the diagram is identified.

2) *Step: Loop over States*: We loop through AST elements representing different states, excluding the initial state and guard conditions. These are formatted with matching styles and labels including `onEntry` and `onExit` code blocks.

3) *Step: Loop over Guards*: We loop through guard conditions associated with state transitions, adding them to the digraph with their specific style.

4) *Step: Loop over Transitions*: Last we loop through state transitions adding them to the diagram description with their `onTransition` code blocks.

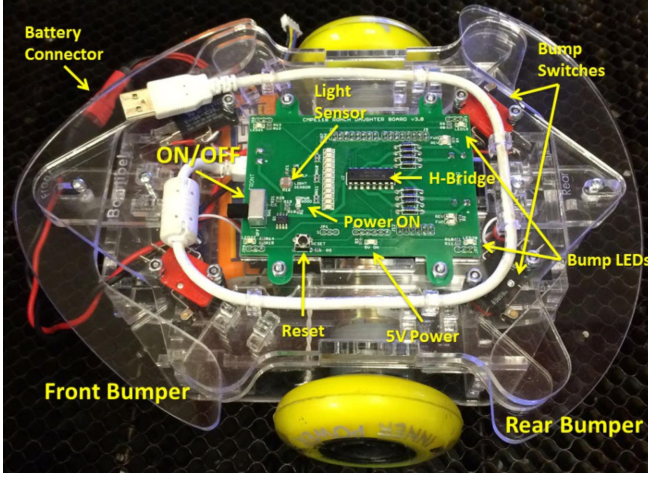


Fig. 4. A wheeled robot from UCSC's Mechatronics's Roach Lab. It is controlled by the code in figure 5. Figure 6 shows the automatically generated FSM state diagram for this robot. This robot is programmed in an embedded C variant for PIC32MX microcontrollers, a cost-effective 32-bit MCU family with versatile memory and integrated peripherals. See section III-A1 for details.

10 Pro default power profile settings. During the tests, three virtual cores were occupied with background tasks, leaving nine cores primarily for our benchmarking.

The benchmark results indicate that:

- 1) **Diagram Generation Time:** It takes less than ten seconds to generate one state diagram, with a 20-25% time variation between the fastest and slowest runs. This discrepancy is likely due to thermal throttling affecting CPU performance.
- 2) **Elapsed Time vs. CPU Usage:** Contrary to expectations, higher CPU usage did not correlate with shorter elapsed times. The longest processing times coincide with the highest CPU usages, suggesting that thermal throttling is slowing down the cores, increasing the overall time despite seemingly higher CPU % usage.
- 3) **Core Utilization Efficiency:** The tool uses eight of the nine available virtual cores, leaving limited scope for further parallelization on our test system. While servers with more cores might benefit from concurrent diagram generation, our users (UCSC students) are unlikely to see significant performance improvements on standard laptops or PCs from additional parallel processing.

V. DISCUSSION

A. Abstract Syntax Trees (ASTs)

Initially, we employed regular expression patterns [13] for diagram generation data extraction. This method fell short as it treated source code linearly, struggling with nested structures like switch-default and if-elseif-else constructs.

To overcome these limitations, we shifted to using a C parser and ASTs which represent the hierarchical nature of source code, enabling us to use XPATH [14], a pattern language designed for tree structures.

```

1 ES_Event RunTemplateHSM(ES_Event ThisEvent) {
2   uint8_t makeTransition = FALSE; TemplateHSMState_t nextState; ES_Tattle();
3
4   switch (CurrentState) {
5   case InitState:
6     if (ThisEvent.EventType == ES_INIT) {
7       InitLightSubHSM(); InitDarkSubHSM(); InitJigSubHSM(); ES_Timer_SetTimer(
8         ↳ JIG_TIMER, JIG_TIME); nextState = InDark; makeTransition = TRUE;
9         ↳ ThisEvent.EventType = ES_NO_EVENT;
10    }
11    break;
12  case InLight:
13    ThisEvent = RunLightSubHSM(ThisEvent);
14    switch (ThisEvent.EventType) {
15    case ES_ENTRY: ES_Timer_InitTimer(JIG_TIMER, JIG_TIME); break;
16    case ES_EXIT: ES_Timer_StopTimer(JIG_TIMER); break;
17    case LIGHT_TO_DARK: nextState = InDark; makeTransition = TRUE; ThisEvent.
18      ↳ EventType = ES_NO_EVENT; break;
19    case ES_TIMEOUT: nextState = Jig; makeTransition = TRUE; ThisEvent.EventType =
20      ↳ ES_NO_EVENT; ES_Timer_SetTimer(JIG_SPIN_TIMER, JIG_SPIN_TIME);
21      ↳ break;
22    }
23    break;
24  case InDark:
25    ThisEvent = RunDarkSubHSM(ThisEvent);
26    switch (ThisEvent.EventType) {
27    case ES_ENTRY: StopMotors(); break;
28    case DARK_TO_LIGHT: nextState = InLight; makeTransition = TRUE; ThisEvent.
29      ↳ EventType = ES_NO_EVENT; break;
30    }
31    break;
32  case Jig:
33    ThisEvent = RunJigSubHSM(ThisEvent);
34    switch (ThisEvent.EventType) {
35    case JIG_FINISHED: nextState = InLight; makeTransition = TRUE; ThisEvent.
36      ↳ EventType = ES_NO_EVENT; break;
37    case LIGHT_TO_DARK: nextState = InDark; makeTransition = TRUE; ThisEvent.
38      ↳ EventType = ES_NO_EVENT; break;
39    }
40    break;
41  }
42  if (makeTransition == TRUE) {
43    RunTemplateHSM(EXIT_EVENT); CurrentState = nextState; RunTemplateHSM(
44      ↳ ENTRY_EVENT);
45  }
46  ES_Tail(); return ThisEvent;
47 }

```

Fig. 5. Diagrams are automatically generated from source code that looks like this. This code shows the primary level in a Hierarchical State Machine (HSM) and controls a wheeled robot modeled after a cockroach (shown in figure 4). It exhibits behaviors like moving in darkness and freezing in light, with an added periodic 'jig dance'.

To see why this approach is more effective than regular expressions for parsing nested code patterns consider this XPATH used in our tool:

ancestor::*[@CurrentStateTest][1]/@CurrentStateTest
This XPATH works as follows:

- ancestor::*[@CurrentStateTest][1]: It locates the nearest ancestor element with a
- CurrentStateTest attribute in the AST hierarchy. The process involves:
 - ancestor::* to select all ancestor elements.
 - [@CurrentStateTest] to filter ancestors with the CurrentStateTest attribute.
 - [1] to pick the first element from this filtered set.
- /@CurrentStateTest: Retrieves the CurrentStateTest attribute's value from the selected ancestor.

B. Annotation Pipeline

Our second prototype attempted to directly convert ASTs into state diagrams. This was acceptable for simple diagrams however it soon proved overly complex and unmanageable, when adding features like event parameters, transition logic, and guards.

To address this, we developed a third prototype featuring an annotation pipeline. This pipeline breaks down the diagram

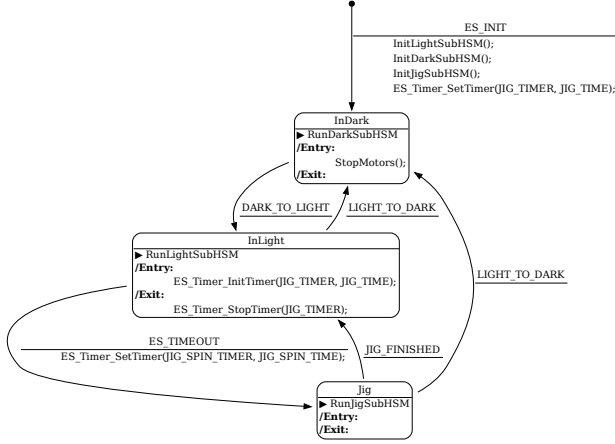


Fig. 6. What our generated state diagrams look like. This one was automatically generated from the FSM source code in figure 5 and shows the top level in a Hierarchical State Machine (HSM). Each top-level HSM state (InDark, InLight, Jig) contains an internal FSM but these are omitted for brevity.

generation process into distinct steps, each handling a specific type of annotation. This modular approach allows for easier debugging and verification of each step. After the annotations are complete, the AST is ready for a straightforward transformation into a state diagram using a single XSLT step. This final step uses the annotated AST and three loops to fill out a diagram description template as shown in figure 3.

At present, our annotation pipeline comprises fifteen XSLT steps (lines 41-55 in figure 1). Additional steps can be incorporated as needed for new diagram features or to handle more AST patterns. An example of one such early annotation step is illustrated in Figure 10. This step determines the diagram label associated with the current state and adds it as an attribute named `CurrentStateTest`.

Figure 10 includes an XPATH pattern that targets `block_items` AST elements based on specific criteria:

- `@class='Case' or @class='Default'`: This selects `block_items` nodes either with a `class` attribute value of `Case` or `Default`.
- `../../../../block_items[@class='Switch']`
`/cond[@class='ID' and @name='CurrentState']`:

The process here is:

- `../../../../`: Ascends three levels in the AST from the current `block_items` node.
- `/block_items[@class='Switch']`: Selects `block_items` nodes that are children of the node reached and have a `class` attribute of `Switch`.
- `/cond[@class='ID' and @name='CurrentState']`: Then selects `cond` nodes that have a `class` attribute of `ID` and a `name` attribute of `CurrentState`.
- and `not(@CurrentStateTest)`: Excludes nodes already tagged with a `CurrentStateTest` attribute.

This XPATH pattern selects `block_items` nodes classified as either `Case` or `Default`, but only if they are hierarchically related to `block_items` nodes of class `Switch` with a

child `cond` node meeting specific criteria (`class='ID'` and `name='CurrentState'`).

These nodes must not already have a `CurrentStateTest` attribute. This ensures no overwriting if `CurrentStateTest` is already computed in another step.

The outcome of this XSLT is tagging all branches of switch statements conditional on the variable `CurrentState` with a `CurrentStateTest` attribute.

If the current state is determined differently, like through if-elseif-else constructs instead of a switch statement, another template can handle that scenario. Hence, the downstream logic needing the current state label does not depend on the specific logic computing the `CurrentStateTest` attribute.

C. Limitations and Challenges

Some limitations and challenges associated with our tool include:

a) *CPP Includes*: In Section III-A4, we discuss the application of CPP to generate a C code stream independent of other files. The success of CPP hinges on accessing all necessary project and library include files. Although our tool includes standard files, version mismatches with users' code may necessitate manual updates to the CPP launch command. To facilitate this, our tool outputs each CPP command, allowing users to modify the CPP launch command as needed if the default setting fails.

b) *AST Understanding*: The AST's complexity compared to the original source code is evident in Figure 12, which depicts the AST for the first branch of a `CurrentState` switch statement from Figure 11. The AST's verbosity and size—often expanding a few hundred lines of code into thousands—pose significant navigational challenges.

c) *Annotation Development*: Understanding the effects of annotation steps requires examining the AST before and after each step by using AST captures:

- **State Tracking**: AST captures facilitate tracking the state of the AST at key stages in the annotation process. This is essential for understanding the impacts of changes on the AST's structure.
- **Debugging and Verification**: These captures also aid in debugging and verifying transformations or annotations applied to the AST while they are being developed and tested.

Figure 13 demonstrates the use of `tee` commands for capturing AST states around the `s00400_add_CascadeElements.xml` annotation step. Differences can be highlighted using `diff -u before.xml after.xml` or an IDE's equivalent function.

D. Features Supported

1) Automatic Labeling:

- **Current, Next State, and Transition Event Labels**: Automatically labels states and transitions, enhancing the clarity of state progressions and events triggering these transitions.
- **Initial State Elements**: Clearly marks the starting state of each FSM, providing an immediate understanding of the FSM's entry point.

```

1 ES_Event RunHSM_Top_Orienting(ES_Event ThisEvent) {
2
3 uint8_t makeTransition=FALSE; HSM_Top_OrientingState_t nextState; ES_Event postEvent
4   ↳ ES_Tattle(); uint8_t nextFromTrack; uint8_t nextFromTape;
5
6 switch (CurrentState) {
7 case InitSubState:
8   if (ThisEvent.EventType==ES_INIT) {
9     wallHit=FALSE; barrierCount=0; barrierTrack=BARRIER_NULL; barrierTape=
10    ↳ BARRIER_NULL; fieldSide=FIELD_UNKNOWN; centerTimerTime=
11    ↳ TIMER_TICKS_CENTER_BUMP;
12     turningTimerTime=DCMOTOR_TIME_TURN_90DEG; nextState=Find; makeTransition=TRUE;
13     ↳ ThisEvent.EventType=ES_NO_EVENT;
14   }
15   break;
16
17 case Find:
18   switch (ThisEvent.EventType) {
19   case ES_ENTRY: DCMotor_Drive(DCMOTOR_DRIVE_SPEED, FORWARDS); break;
20   case ES_EXIT: DCMotor_Stop(); break;
21   case BUMPER_PRESSED: wallHit=TRUE; centerTimerTime=TIMER_TICKS_CENTER_BUMP;
22     ↳ nextState=Align; makeTransition=TRUE; ThisEvent.EventType=ES_NO_EVENT;
23     ↳ break;
24   case TRACK_ENTERED: barrierTrack=barrierCount; centerTimerTime=
25     ↳ TIMER_TICKS_CENTER_TRACK; nextState=Center; makeTransition=TRUE;
26     ↳ ThisEvent.EventType=ES_NO_EVENT; break;
27   case TAPE_ENTERED: barrierTape=barrierCount; centerTimerTime=
28     ↳ TIMER_TICKS_CENTER_TAPE; nextState=Center; makeTransition=TRUE;
29     ↳ ThisEvent.EventType=ES_NO_EVENT; break;
30   }
31   break;
32
33 case Align:
34   switch (ThisEvent.EventType) {
35   case ES_ENTRY: ES_Timer_InitTimer(TIMER_TOP_ORIENTING, TIMER_TICKS_ALIGN);
36     ↳ DCMotor_Turn(DCMOTOR_DRIVE_SPEED, FORWARDS, LEFT); break;
37   case ES_EXIT: DCMotor_Stop(); break;
38   case ES_TIMEOUT:
39     if (ThisEvent.EventParam==TIMER_TOP_ORIENTING) {
40       nextState=Center; makeTransition=TRUE; ThisEvent.EventType=ES_NO_EVENT;
41     }
42     break;
43   }
44   break;
45
46 case Center:
47   switch (ThisEvent.EventType) {
48   case ES_ENTRY:
49     ES_Timer_InitTimer(TIMER_TOP_ORIENTING, centerTimerTime); DCMotor_Drive(
50     ↳ DCMOTOR_DRIVE_SPEED, BACKWARDS);
51     if (wallHit==TRUE) barrierCount++; break;
52   case ES_EXIT: DCMotor_Stop(); break;
53   case ES_TIMEOUT:
54     if (ThisEvent.EventParam==TIMER_TOP_ORIENTING) {
55       if (barrierCount < BARRIER_COUNT) {
56         nextState=Rotate;
57       } else {
58         if (barrierTrack==(BARRIER_COUNT - 1)) {
59           nextFromTrack=0;
60         } else if (barrierTrack==BARRIER_NULL) {
61           nextFromTrack=BARRIER_NULL;
62         } else { nextFromTrack=barrierTrack + 1; }
63         if (barrierTape==(BARRIER_COUNT - 1)) {
64           nextFromTape=0;
65         } else if (barrierTape==BARRIER_NULL) {
66           nextFromTape=BARRIER_NULL;
67         } else { nextFromTape=barrierTape + 1; }
68         if (barrierTrack==BARRIER_NULL) {
69           fieldSide=FIELD_UNKNOWN;
70         } else if (barrierTape==BARRIER_NULL) {
71           fieldSide=FIELD_UNKNOWABLE;
72         } else if (nextFromTrack==barrierTrack) {
73           fieldSide=FIELD_LEFT;
74         } else if (nextFromTape==barrierTrack) {
75           fieldSide=FIELD_RIGHT;
76         }
77         if ((fieldSide==FIELD_LEFT) || (fieldSide==FIELD_RIGHT) || (fieldSide==
78         ↳ FIELD_UNKNOWABLE)) {
79           nextState=Turning_Beacon;
80           turningTimerTime=DCMOTOR_TIME_TURN_90DEG * (barrierTrack + 1);
81         } else {
82           nextState=Turning_OtherSide; turningTimerTime=DCMOTOR_TIME_TURN_90DEG
83           ↳ * (barrierTape + 1); wallHit=FALSE; barrierCount=0;
84           ↳ barrierTrack=BARRIER_NULL; barrierTape=BARRIER_NULL;
85           ↳ fieldSide=FIELD_UNKNOWN; centerTimerTime=
86           ↳ TIMER_TICKS_CENTER_BUMP; turningTimerTime=
87           ↳ DCMOTOR_TIME_TURN_90DEG;
88         }
89       }
90       makeTransition=TRUE; ThisEvent.EventType=ES_NO_EVENT;
91     }
92     break;
93
94 case Rotate:
95   switch (ThisEvent.EventType) {
96   case ES_ENTRY: ES_Timer_InitTimer(TIMER_TOP_ORIENTING, TIMER_TICKS_ROTATE);
97     ↳ DCMotor_TankTurn(DCMOTOR_TURN_SPEED, RIGHT); break;
98   case ES_EXIT: DCMotor_Stop(); break;
99   case ES_TIMEOUT:
100     if (ThisEvent.EventParam==TIMER_TOP_ORIENTING) {
101       if (ThisEvent.EventParam==TIMER_TOP_ORIENTING) {
102         nextState=Find; makeTransition=TRUE; ThisEvent.EventType=ES_NO_EVENT;
103       }
104       break;
105     }
106     break;
107
108 case Turning_Beacon:
109   switch (ThisEvent.EventType) {
110   case ES_ENTRY: ES_Timer_InitTimer(TIMER_TOP_ORIENTING, turningTimerTime);
111     ↳ DCMotor_TankTurn(DCMOTOR_TURN_SPEED, RIGHT); break;
112   case ES_EXIT: DCMotor_Stop(); break;
113   case ES_TIMEOUT:
114     if (ThisEvent.EventParam==TIMER_TOP_ORIENTING) {
115       postEvent.EventParam=fieldSide; PostHSM_Top(
116       ↳ postEvent); nextState=InitSubState; makeTransition=TRUE;
117       ↳ ThisEvent.EventType=ES_NO_EVENT;
118     }
119     break;
120   }
121   break;
122
123 case Turning_OtherSide:
124   switch (ThisEvent.EventType) {
125   case ES_ENTRY: ES_Timer_InitTimer(TIMER_TOP_ORIENTING, turningTimerTime);
126     ↳ DCMotor_TankTurn(DCMOTOR_TURN_SPEED, RIGHT); break;
127   case ES_EXIT: DCMotor_Stop(); break;
128   case ES_TIMEOUT:
129     if (ThisEvent.EventParam==TIMER_TOP_ORIENTING) {
130       nextState=Driving_OtherSide; makeTransition=TRUE; ThisEvent.EventType=
131       ↳ ES_NO_EVENT;
132     }
133     break;
134   }
135   break;
136
137 case Driving_OtherSide:
138   switch (ThisEvent.EventType) {
139   case ES_ENTRY: DCMotor_Drive(DCMOTOR_DRIVE_SPEED, FORWARDS); break;
140   case ES_EXIT: DCMotor_Stop(); break;
141   case TAPE_EXITED: nextState=Find; makeTransition=TRUE; ThisEvent.EventType=
142     ↳ ES_NO_EVENT; break;
143   }
144   break;
145
146 if (makeTransition==TRUE) {
147   RunHSM_Top_Orienting(EXIT_EVENT); CurrentState=nextState; RunHSM_Top_Orienting(
148   ↳ ENTRY_EVENT);
149 }
150
151 ES_Tail(); return ThisEvent;
152 }

```

Fig. 7. An example of a more complex FSM. Shown is the lower-level FSM in a multi-tiered HSM. The HSM has several of these FSMs but only this one is shown for brevity. This particular FSM implements the orienting behavior for a UCSC competition [8] robot which reacts to various sensor inputs and internal events. The code here uses switch-case logic to decide the current state (initialization, finding, aligning, centering, rotating, and driving) and then the actions within each state include motor operations and transitions to other states based on sensor feedback and time-based events.

- **Switch Cascade Labels:** Simplifies diagrams by merging labels when multiple conditions in a switch statement lead to the same next state, aiding in reducing diagram complexity.
- **Event Parameter Labels:** Adds context to events by displaying associated parameters, such as timer IDs in timeout events, facilitating a deeper understanding of event-specific behaviors.
- **Entry/Exit Logic Labels:** Marks repetitive logic executed upon entering or exiting states, crucial for understanding state-dependent behaviors.
- **Transition Logic Labels:** Indicates logic executed during

transitions, essential for tracking changes in behavior in response to events.

- **Macro Expansion Suppression:** Represents constants (e.g., TURN_RIGHT_ENUM instead of 0x45) with their defined labels, improving readability and comprehension.

2) Advanced Features:

- **Transition Guards:** Displays conditions that control state transitions, instrumental for visualizing decision-making within the FSM.
- **Hierarchical State Machines:** Supports nested state machines, providing abstraction and modularity, and encapsulating complex logic within states.

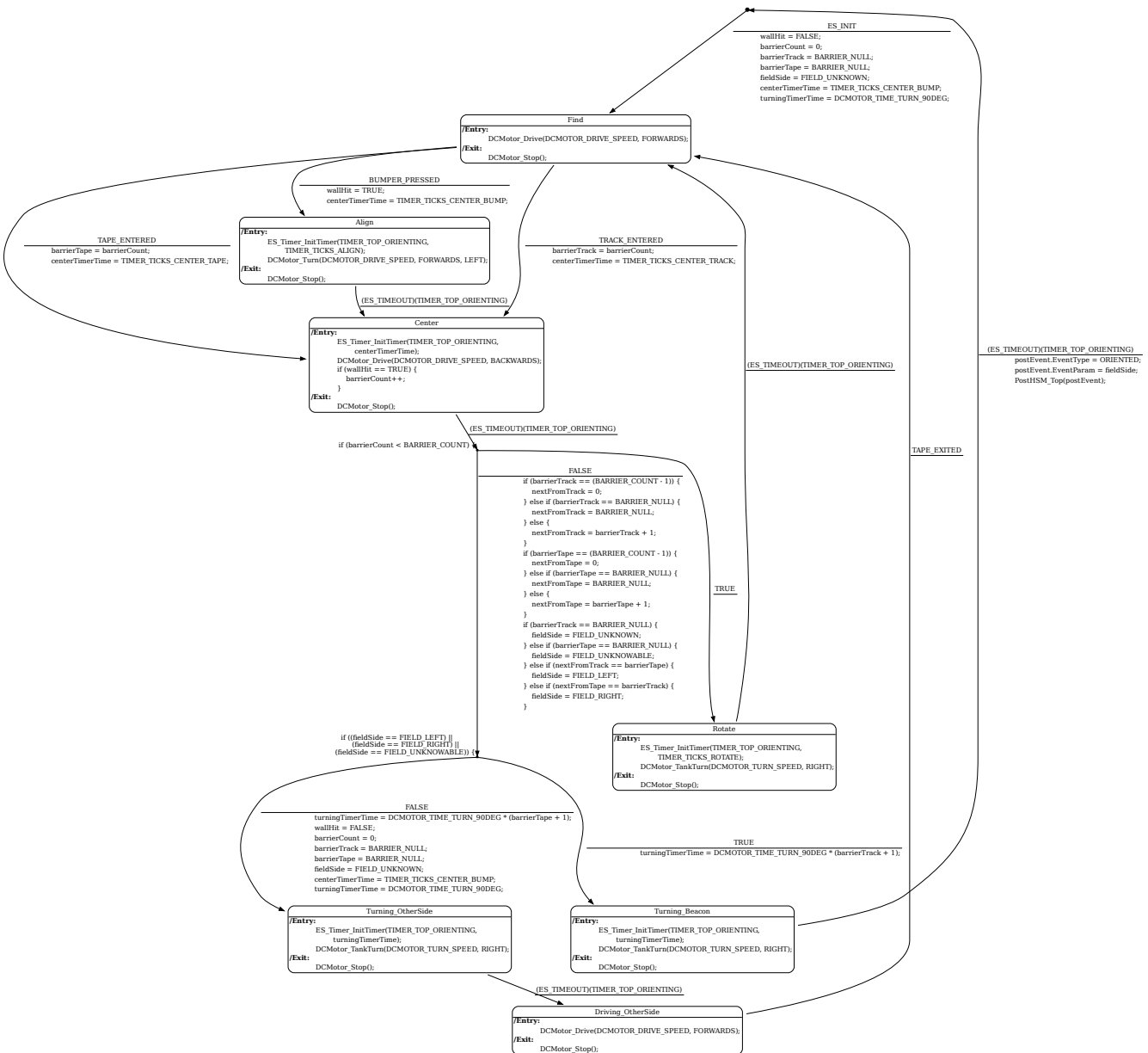


Fig. 8. An example of a more sophisticated FSM state diagram which is based on code in figure 7. This FSM implements the orienting behavior for a UCSC competition [8] robot which reacts to various sensor inputs and internal events. The FSM has states like `InitPSubState`, `Find`, `Align`, `Center`, `Rotate`, `Turning_Beacon`, `Turning_OtherSide`, and `Driving_OtherSide`. Each state encompasses specific motor control actions upon entry and exit. State transitions are dictated by events and conditions, including sensor inputs and timers, managing the system's behavior through sequential stages and responses to external stimuli. This diagram demonstrates our tool's ability to analyze the complex code from figure 7. Our tool automatically labels state transitions with their matching code and handles nested guard conditions in sequences such as `if (barrierCount < ...` followed by `if ((fieldSide == ...`

3) *Ease of Use:*

- **Automatic Discovery of FSMs:** Identifies and processes FSMs in *.c files automatically, streamlining the diagram generation process for entire projects. No need to generate diagrams one at a time.
- **Isolated Installation and Runtime:** Uses Linux containers for a single-command, isolated setup and operation, ensuring compatibility across different systems including WSL2 for Windows and Docker Desktop for MacOS.

E. Future Work

To foster collaborative development and wider adoption, the complete tool is available under an Open Source license (AGPLv3) and can be accessed free of charge at [15].

Possible future work includes:

- **More Code Patterns:** As UCSC students use our tool, supporting a wider range of FSM code patterns is our primary focus.
- **More Inputs and Outputs:** Extending support to FSMs in Java, Python, JavaScript, etc. Generation of diagrams

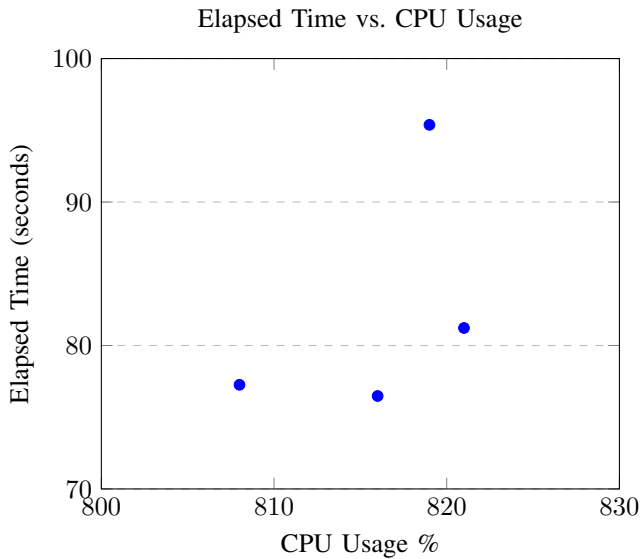


Fig. 9. Each point is a single run of our benchmark which scans two project folders and generates 13 state diagrams. Contrary to expectations, higher CPU usage % did not correlate with shorter elapsed times. The longest processing times coincide with the highest CPU usages, suggesting that CPU thermal throttling is slowing down the cores, increasing the overall time despite seemingly higher CPU % usage. This is based on benchmark results reported in section IV-B.

```

1  <xsl:template match="
2    block_items[
3      (@class='Case'
4        or @class='Default')
5      and (
6        ../../..
7        /block_items[@class='Switch']
8        /cond[@class='ID' and @name='CurrentState']
9      )
10     and not(@CurrentStateTest)
11   ]">
12    <xsl:copy>
13      <xsl:apply-templates select="@*" />
14      <xsl:attribute name="CurrentStateTest">
15        <xsl:value-of select="..expr[@class='ID']/@name"/>
16      </xsl:attribute>
17      <xsl:apply-templates select="node()" />
18    </xsl:copy>
19  </xsl:template>

```

Fig. 10. Demonstration of how current state label is tracked by tagging branches of switch statements conditional on the variable `CurrentState` with a `CurrentStateTest` attribute. This attribute serves as a reference for the label of the current switch branch, enabling subsequent pipeline logic to reference this label without recalculating it. The approach is designed to be adaptable, allowing for different templates if the current state is determined differently, such as through if-elseif-else construct instead of a switch statement.

not just using GraphViz but also using Mermaid.js, PlantUML, etc. Introduction of new diagram types such as Harel Statecharts and Activity Diagrams.

- **More Intelligence:** Analysis to identify FSM programming errors, like states with incomplete transitions or potential deadlocks, where the FSM could freeze without any viable transitions.

VI. CONCLUSION

We have described a new tool for automatically creating visualizations of FSMs, which is particularly useful in software engineering and robotics. The tool simplifies the creation of state diagrams, which is usually complex and error-prone, especially for intricate FSMs. It uses naming conventions, AST

```

1  switch (CurrentState) {
2    case InitSubState:
3      if (ThisEvent.EventType == ES_INIT)
4      {
5        ES_Timer_StopTimer(TIMER_TOP_RELOADING);
6        trackCrossings = 0;
7        nextState = Turning;
8        makeTransition = TRUE;
9        ThisEvent.EventType = ES_NO_EVENT;
10     }
11     break;
12     ...

```

Fig. 11. Sample C code snippet showing just the first case in a switch statement which is shorter and simpler than its AST version in figure 12

```

1  <block_items class="Switch" line="602">
2    <cond class="ID" line="602" name="CurrentState"/>
3    <stmt class="Compound" line="602">
4      <block_items class="Case" line="603">
5        <expr class="ID" line="603" name="InitSubState"/>
6        <stmts class="If" line="604">
7          <cond class="BinaryOp" line="604" op="==">
8            <left class="StructRef" line="604" type=".">
9              <name class="ID" line="604" name="ThisEvent"/>
10             <field class="ID" line="604" name="EventType"/>
11            </left>
12            <right class="ID" line="604" name="ES_INIT"/>
13          </cond>
14          <iftrue class="Compound" line="605">
15            <block_items class="FuncCall" line="606">
16              <name class="ID" line="606" name="ES_Timer_StopTimer"/>
17              <args class="ExprList" line="606">
18                <exprs class="ID" line="606" name="TIMER_TOP_RELOADING"/>
19              </args>
20            </block_items>
21            <block_items class="Assignment" line="607" op="=">
22              <lvalue class="ID" line="607" name="trackCrossings"/>
23              <rvalue class="Constant" line="607" type="int" value="0"/>
24            </block_items>
25            <block_items class="Assignment" line="608" op="=">
26              <lvalue class="ID" line="608" name="nextState"/>
27              <rvalue class="ID" line="608" name="Turning"/>
28            </block_items>
29            <block_items class="Assignment" line="609" op="=">
30              <lvalue class="ID" line="609" name="makeTransition"/>
31              <rvalue class="ID" line="609" name="TRUE"/>
32            </block_items>
33            <block_items class="Assignment" line="610" op="=">
34              <lvalue class="StructRef" line="610" type=".">
35                <name class="ID" line="610" name="ThisEvent"/>
36                <field class="ID" line="610" name="EventType"/>
37              </lvalue>
38              <rvalue class="ID" line="610" name="ES_NO_EVENT"/>
39            </block_items>
40          </iftrue>
41        </stmts>
42      <stmts class="Break" line="612"/>
43    </block_items>
44    ...

```

Fig. 12. Based on the C code in figure 11, this AST represents the same information as the original C code but an AST has many lines and is harder to read.

patterns, and XSLT transformations to generate accurate FSM visuals from the source code, accommodating various coding patterns. This not only saves time and reduces errors but also helps in understanding FSM structures, proving especially beneficial in educational settings like UCSC's mechatronics courses [8].

The tool's ability to handle different FSM code patterns, including hierarchical state machines and transition guards, shows its versatility. It is being used in education to help students learn and implement FSMs in robotics. Although it currently works in a specific programming environment and with certain naming conventions, there's potential for expanding its capabilities to more programming languages, diagram types, and FSM verification diagnostics.

In summary, this tool marks a significant advancement in automating state diagram generation, improving the design and debugging of FSMs in various applications, especially in education.

- **Password Prompt:** The script uses `sudo apt-get`, which might prompt you for your password to install missing tools.
- **First-Time Setup:** On its initial run, `smv.bash` will download the latest version of the State Machine Visualizer and install required dependencies.
- **System Requirements:** The script is designed for Linux systems with the `apt` package manager, such as Ubuntu. Windows users can use Ubuntu/WSL2, and MacOS users might need to run Ubuntu in a VM.
- **Containerization:** To create a suitable environment, `smv.bash` builds a Linux container, installing additional dependencies (Python, Java, etc.) and executes the SMV code within this container. Note that this container requires approximately 900MB of space.
- **Cleanup:** At the end of the script, instructions are provided to remove the installations made by `smv.bash`. These instructions are for when you are done using SMV and want to remove it. Leaving things installed allows `smv.bash` to run faster.

```
1 bash smv.bash ${path_to_code}
```

```
1 find ${path_to_code} -name '*.cp5'
```

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The State Machine Visualizer (SMV) is a tool for visualizing the structure and behavior of state machines in your code. Follow these steps to set up and use the tool.

STEP 1: Download the Script: First, download the `smv.bash` script using the following command:

STEP 2: Inspect the Script:

- [1] Graphviz. [Online]. Available: <https://graphviz.org/>
- [2] Mermaid. [Online]. Available: <https://mermaid.js.org/>
- [3] Plantuml. [Online]. Available: <https://plantuml.com/>
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- [5] O. M. Group. Unified modeling language specification. [Online]. Available: <https://www.omg.org/spec/UML/>
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- [7] W. W. W. Consortium. Extensible stylesheet language transformations (xslt) version 3.0. [Online]. Available: <https://www.w3.org/TR/xslt-30/>
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- [9] Mplab® x integrated development environment (ide). [Online]. Available: <https://www.microchip.com/en-us/tools-resources/develop/mplab-x-ide>
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