

R-6 CAUSES/CONDITIONS/ PREVENTION REPORT



*NOTE: IN CATEGORIES BELOW DO NOT USE CHECKMARKS. PRIORITIZE USING NUMBERS, ONE (1) BEING THE MOST SIGNIFICANT OR IMPORTANT.

UNSAFE ACTS (DIRECT CAUSE OF ACCIDENT)

DISABLED SAFETY DEVICE		HORSEPLAY		NON-COMPLIANCE: SOP	
FAILURE TO LOCKOUT		IMPAIRMENT		OPERATING WITHOUT AUTHORITY	
FAILURE TO SECURE		IMPROPER LIFTING		RUNNING, JUMPING	
FAILURE TO WARN		IMPROPER LOADING/UNLOADING		THROWING	
FAILURE TO WEAR PROPER PPE		MISUSE OF TOOL/EQUIP.		USING DEFECT. TOOL/EQUIP	
HARASSMENT		NON-COMP: PROV. SAFETY RULE		WILLFUL MISCONDUCT	
HAZARDOUS CLOTHING		NON-COMPLIANCE: SAFETY RULE		WORKING TOO SLOW/FAST	

UNSAFE CONDITIONS (DIRECT CAUSE OF INJURY)

CONGESTED WORK AREA		LACK OF COMMUNICATION		POOR ERGONOMIC DESIGN	
DEFECTIVE MATERIAL		LACK OF ENFORCEMENT: PPE		POOR HOUSEKEEPING	
DEFECTIVE TOOL/EQUIPMENT		LACK OF ENFORCEMENT: SAFETY RULES		POOR LIGHTING	
EXTREME TEMPERATURES		LACK OF ENFORCEMENT: SWP		RADIATION/CHEMICAL EXPOSURE	
INADEQUATE GUARDS		LACK OF MANAGEMENT COMMITMENT		SEVERE WEATHER	
INADEQUATE PPE		LACK OF SECURITY		STARTLED/DISTRACTED	
INADEQUATE VENTILATION		LACK OF SPACE		OTHER	
INADEQUATE WARNING SYSTEMS		NOISE EXPOSURE		OTHER	

OTHER FACTORS (PERSONAL)

DISTRACTED		INADEQUATE PHYSICAL CAPABILITY		NEGLIGENCE	
FATIGUE		LACK OF KNOWLEDGE		PHYSICAL STRESS	
IMPROPER MOTIVATION		LACK OF SKILL		POOR ATTITUDE	
INADEQUATE MENTAL CAPABILITY		MENTAL STRESS		POOR WORK HABITS	

ROOT CAUSES (INDIRECT CAUSES) - INADEQUACIES

EMERG. PROCEDURES		INSPECTION		SIGNAGE	
ENFORCEMENT: HYGIENE		INSTRUCTIONS/COMMUNICATIONS		SPACE	
ENFORCEMENT: LOCKOUT		LEADERSHIP TRAINING		SUPERVISION	
ENFORCEMENT: PPE		MAINTENANCE		TOOLS/EQUIPMENT	
ENFORCEMENT: SAFETY RULES		MANAGEMENT COMMITMENT		TRAINING	
ENFORCEMENT: SAFE WORK PRACTICE		PPE		UNSAFE DESIGN OR LAYOUT	
ENGINEERING CONTROLS		PREVIOUS INVESTIGATION		WARNING	
HAZARD ASSESSMENT		SAFETY RULES		OTHER	
HR: HIRING PRACTICES/PLACEMENT		SAFE WORK PRACTICE		OTHER	

PREVENTION

COMMUNICATION WITH MANUFACTURER		INSTALL GUARDS/BARRIERS	
EQUIPMENT/TOOL REPAIR		PROCEDURE CHANGES (SWP)	
IMPROVE ADMIN CONTROLS		REASSIGN INVOLVED WORKIER	
IMPROVE COMMUNICATIONS		REVIEW LAYOUT OF FACILITIES	
IMPROVE ENGINEERING CONTROLS		TRAINING	
IMPROVE INSPECTION		UPDATE HAZARD ANALYSIS & ASSESSMENTS	
IMPROVE PPE		OTHER	

*ASK THE EMPLOYEE: "WHAT SHOULD BE DONE SO THIS DOESN'T HAPPEN AGAIN?"